

Initial state physics: An introduction to the Color Glass Condensate

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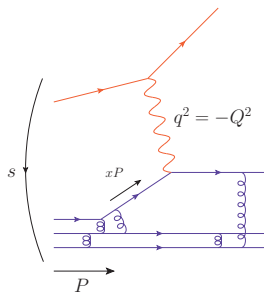
Brookhaven National Laboratory

Hard Probe 2020
Student lectures

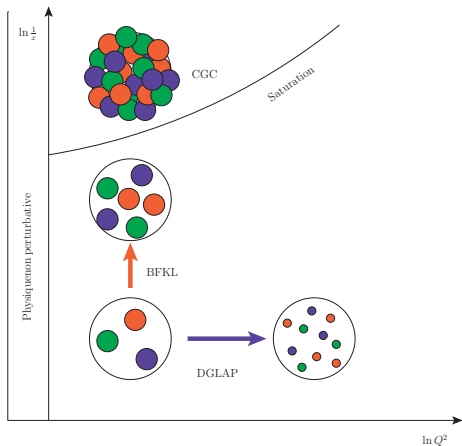
Overview

- 1 Probing a single hadron
- 2 The Color Glass Condensate
- 3 Hadron-hadron collisions within the CGC framework
- 4 Summary

Accessing the partonic content of hadrons with an electromagnetic probe

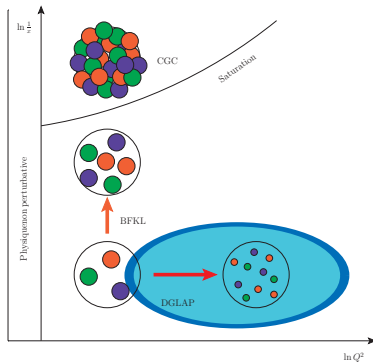


Electron-proton
collision
(parton model)



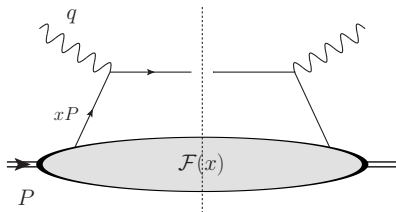
QCD at moderate $x_B = Q^2/s$

$$Q^2 \sim s$$



QCD factorization

processes with a hard scale $Q \gg \Lambda_{QCD}$



$$\sigma = \mathcal{F}(x, \mu) \otimes \mathcal{H}(x, \mu)$$

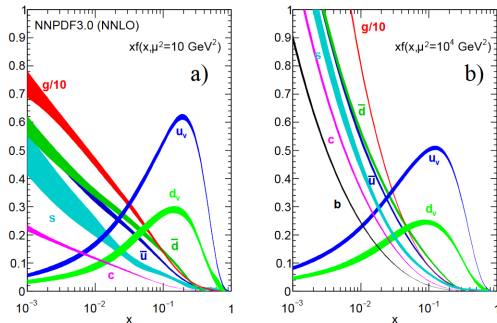
At a scale μ , the process is factorized into:

- A hard scattering subamplitude $\mathcal{H}(x, \mu)$
- A **Parton Distribution Function (PDF)** $\mathcal{F}(x, \mu)$

μ independence: **DGLAP** renormalization equation for \mathcal{F}

Parton Distribution Functions

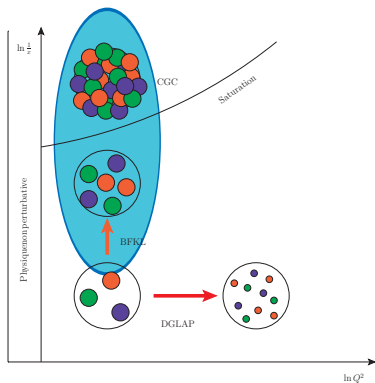
Gluon exchanges dominate at small x



[NNLO NNPDF3.0 global analysis, taken from PDG2018]

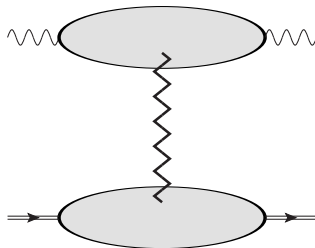
QCD at small $x_B = Q^2/s$

$$Q^2 \ll s$$



The Pomeron

Regge theory: for asymptotic values of s , an **effective particle with the quantum numbers of the vacuum** is exchanged

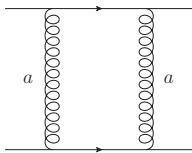


Positive C -parity: **Pomeron** exchange, negative C -parity: **Odderon** exchange

- How can we understand the Pomeron and the Odderon in perturbative QCD?
- How does it couple to hadrons?

Naive perturbative Pomeron and Odderon

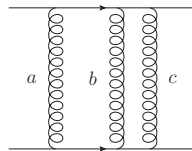
Naive perturbative description of the target hadron



Two gluons on a color singlet state

$$\text{tr}(t^a t^a)$$

Leading Pomeron



Three gluons on a color singlet state

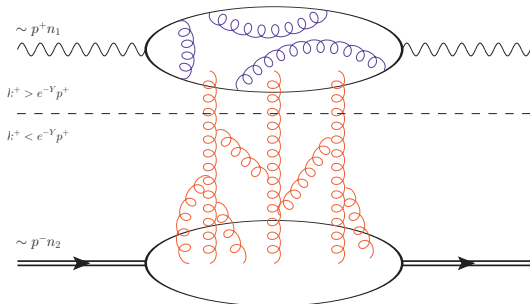
$$\text{tr}(t^a t^b t^c) = \frac{1}{4}(d^{abc} + if^{abc})$$

f^{abc} : subleading Pomeron

d^{abc} : leading Odderon

More involved but still for perturbative targets: BFKL, BKP, BLV...

Most general framework: small- x semiclassical effective theory

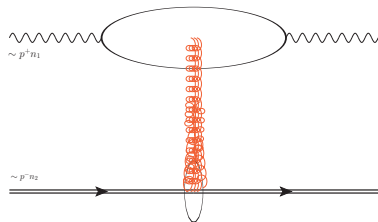
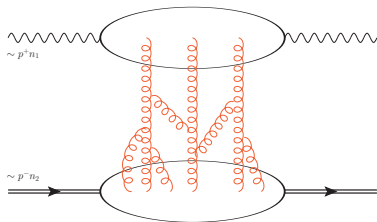
Effective semiclassical description of small x QCD

Let us split the gluonic field between "fast" and "slow" gluons

$$\begin{aligned} \mathcal{A}^{\mu a}(k^+, k^-, \vec{k}) &= A_{Y_c}^{\mu a}(|k^+| > e^{-Y_c} p^+, k^-, \vec{k}) \\ &+ b_{Y_c}^{\mu a}(|k^+| < e^{-Y_c} p^+, k^-, \vec{k}) \end{aligned}$$

$$e^{-Y_c} \ll 1$$

Large longitudinal boost to the projectile frame



$$b^+(x^+, x^-, \vec{x})$$

$$b^-(x^+, x^-, \vec{x})$$

$$b^k(x^+, x^-, \vec{x})$$

 \longrightarrow

$$\Lambda \sim \sqrt{\frac{s}{m_t^2}}$$

$$\frac{1}{\Lambda} b^+(\Lambda x^+, \frac{x^-}{\Lambda}, \vec{x})$$

$$\Lambda b^-(\Lambda x^+, \frac{x^-}{\Lambda}, \vec{x})$$

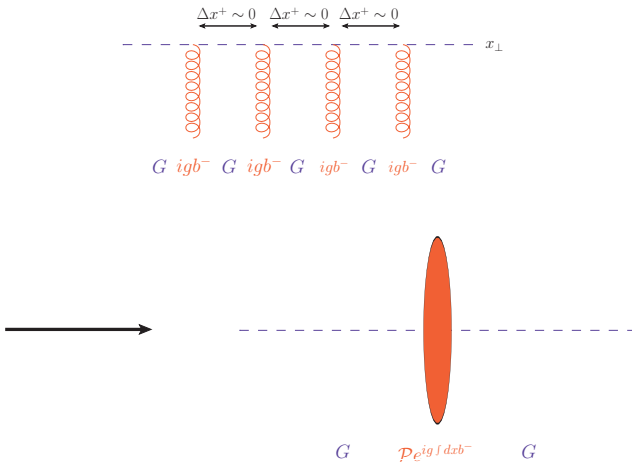
$$b^k(\Lambda x^+, \frac{x^-}{\Lambda}, \vec{x})$$

$$b^\mu(x) \rightarrow b^-(x) n_2^\mu = \delta(x^+) \mathbf{B}(\vec{x}) n_2^\mu + O(\sqrt{\frac{m_t^2}{s}})$$

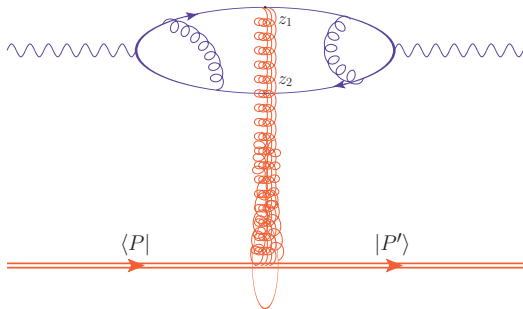
Shockwave approximation

Effective Feynman rules in the slow background field

The interactions with the background field can be **exponentiated**



Factorized picture



Factorized amplitude

$$\mathcal{A}^{Y_c} = \int d^{D-2} \vec{z}_1 d^{D-2} \vec{z}_2 \Phi^{Y_c}(\vec{z}_1, \vec{z}_2) \langle P' | [\text{Tr}(U_{\vec{z}_1}^{Y_c} U_{\vec{z}_2}^{Y_c \dagger}) - N_c] | P \rangle$$

$$\text{Dipole operator } U_{ij}^{Y_c} = \frac{1}{N_c} \text{Tr}(U_{\vec{z}_i}^{Y_c} U_{\vec{z}_j}^{Y_c \dagger}) - 1$$

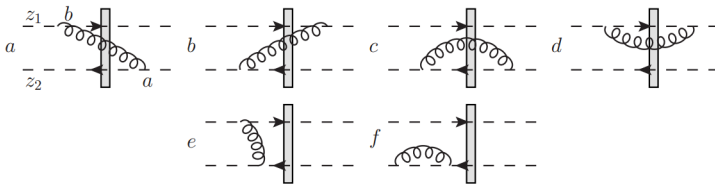
Written similarly for any number of Wilson lines in any color representation!

Y_c independence: **B-JIMWLK** hierarchy of equations

[Balitsky, Jalilian-Marian, Iancu, McLerran, Weigert, Leonidov, Kovner]

Evolution for the dipole operator

$$\mathcal{U}_{12}^{Y_c + \delta Y} - \mathcal{U}_{12}^{Y_c}$$



B-JIMWLK hierarchy of equations

[Balitsky, Jalilian-Marian, Iancu, McLerran, Weigert, Leonidov, Kovner]

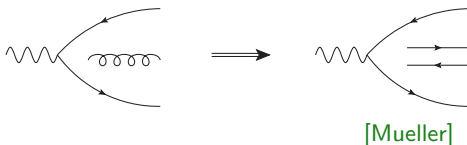
$$\frac{\partial \mathcal{U}_{12}^{Y_c}}{\partial Y_c} = \frac{\alpha_s N_c}{2\pi^2} \int d\vec{z}_3 \frac{\vec{z}_{12}^2}{\vec{z}_{13}^2 \vec{z}_{23}^2} \left[\mathcal{U}_{13}^{Y_c} + \mathcal{U}_{32}^{Y_c} - \mathcal{U}_{12}^{Y_c} + \mathcal{U}_{13}^{Y_c} \mathcal{U}_{32}^{Y_c} \right]$$

$$\frac{\partial \mathcal{U}_{13}^{Y_c} \mathcal{U}_{32}^{Y_c}}{\partial Y_c} = \dots$$

Evolves a dipole into a double dipole

The BK equation

Mean field approximation, or 't Hooft planar limit $N_c \rightarrow \infty$ in the dipole B-JIMWLK equation



⇒ **BK equation** [Balitsky, 1995] [Kovchegov, 1999]

$$\frac{\partial \langle u_{12}^{Y_c} \rangle}{\partial Y_c} = \frac{\alpha_s N_c}{2\pi^2} \int d\vec{z}_3 \frac{z_{12}^2}{z_{13}^2 z_{23}^2} \left[\langle u_{13}^{Y_c} \rangle + \langle u_{32}^{Y_c} \rangle - \langle u_{12}^{Y_c} \rangle + \langle u_{13}^{Y_c} \rangle \langle u_{32}^{Y_c} \rangle \right]$$

BFKL/BKP part

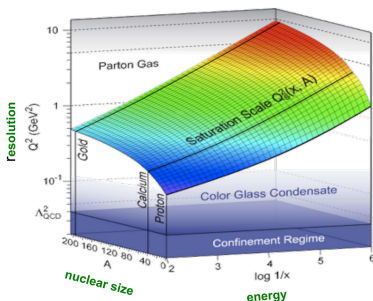
Triple pomeron vertex

Non-linear term : one type of **saturation**

Non-perturbative elements are **compatible with CGC-type models**

Saturation scale: a quick estimate

The saturation scale Q_s



Gluons per unit area

$$\rho \propto \frac{xG_A(x, Q^2)}{\pi R_A^2}$$

Recombination cross section

$$\sigma_{gg \rightarrow g} \propto \frac{\alpha_s}{Q^2}$$

Saturation starts when $\rho\sigma \simeq 1$,
which means Q_s^2 solves

$$Q_s^2 \propto \alpha_s \frac{xG_A(x, Q_s^2)}{\pi R_A^2}.$$

$$Q_s^2 \propto A^{1/3} x^{-0.3}$$

Loop corrections: probing a single hadron

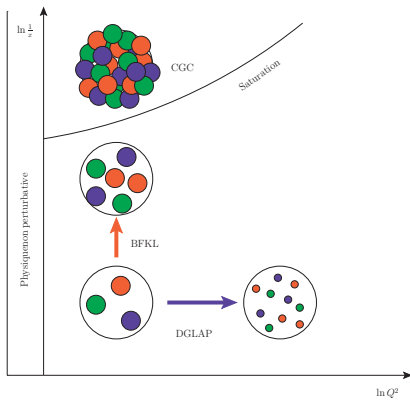
One-loop corrections with saturation effects: state of the art Evolution

- Dipole evolution [Balitsky, Chirilli]
- 3-point operator evolution [Balitsky, Gerasimov, Grabovsky]
- 4-point operator evolution [Grabovsky]
- Full JIMWLK Hamiltonian [Kovner, Lublinsky, Mulian, 2014]

Observables

- Fully inclusive Deep Inelastic Scattering [Balitsky, Chirilli], [Beuf], [Hänninen, Lappi, Paatelainen]
- (Semi-inclusive) Photon+dijet in for ep and eA [Roy, Venugopalan]
- Exclusive dijet in ep , eA , γp or γA [RB, Grabovsky, Szymanowski, Wallon]
- Exclusive light vector meson in ep and eA [RB, Ivanov, Grabovsky, Szymanowski, Wallon]

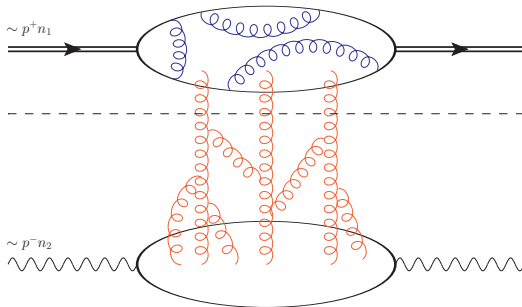
Summary: probing a single hadron



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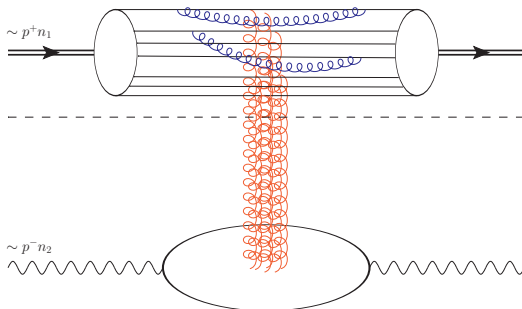
The Color Glass Condensate



fast partons \leftrightarrow valence partons

slow gluons \leftrightarrow wee gluons

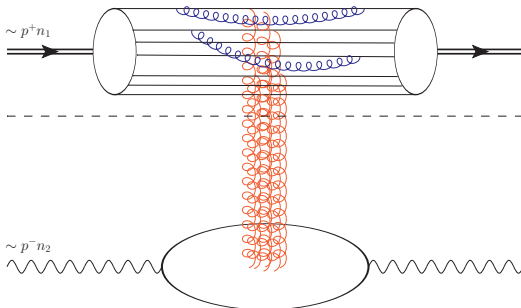
The Color Glass Condensate



Hadron wave function = collection of **static color sources**

Color sources ρ are **classical random variables**, treated with a **weight function** $W_Y[\rho]$

The Color Glass Condensate



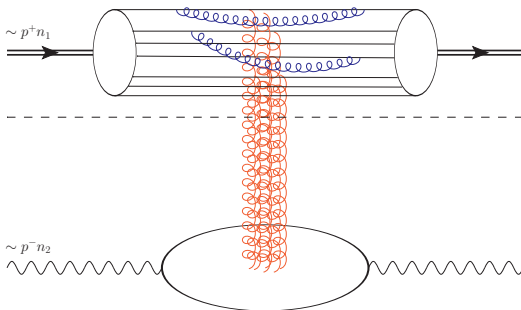
Static source = static **current of color charge**

$$J_a^\mu = \delta^{\mu+} \rho_a(x)$$

Wee gluons: solutions to the **classical Yang-Mills equation** with the source

$$[D_\nu, F^{\mu\nu}] = \delta^{\mu+} \rho_a(x) T^a$$

The Color Glass Condensate



Target matrix elements \rightarrow averages over configurations of sources and dynamical fields A^μ

$$\frac{\langle P|\mathcal{O}|P\rangle}{\langle P|P\rangle} \rightarrow \langle \mathcal{O} \rangle = \int \mathcal{D}\rho \mathcal{D}A^\mu W[\rho] e^{iS[\rho, A]} \mathcal{O}[\rho, A]$$

The MV model

McLerran-Venugopalan model

- Sources \simeq valence quarks \Rightarrow number of sources $\sim N_c A$
- Transverse radius $R_A \sim A^{1/3} \Lambda_{\text{QCD}}^{-1}$
- Transverse resolution of the probe $1/Q^2$
- Number of sources seen by the probe $\Delta N = \frac{\Lambda_{\text{QCD}}^2}{Q^2} \frac{N_c A^{1/3}}{\pi}$

If $Q^2 \ll \Lambda_{\text{QCD}}^2 A^{1/3}$, a large number of sources is probed

Random distribution of sources, total color charge probed is 0:

$$\langle \mathcal{Q} \rangle = \int_{1/Q^2} d^2 \vec{x} \int dx^- \rho(x^-, \vec{x}) = 0$$

McLerran-Venugopalan model

- Assume that $\langle \rho_a(x^-, \vec{x}) \rangle = 0$
- Write that $\langle \rho_a(x^-, \vec{x}) \rho_b(y^-, \vec{y}) \rangle = g_s^2 \delta_{ab} \delta(x^- - y^-) \delta(\vec{x} - \vec{y}) \lambda(x^-)$
- Assume that higher-point functions vanish

Correlators are generated from a Gaussian weight function

$$\Phi[\rho] \propto \exp\left(-\frac{1}{2} \int d^2\vec{x} \frac{\rho_a \rho_a}{\mu^2}\right), \quad \mu \propto \int dx^- \lambda(x^-)$$

Target matrix elements:

$$\frac{\langle P | \mathcal{O} | P \rangle}{\langle P | P \rangle} \rightarrow \frac{\int \mathcal{D}\rho \Phi[\rho] \mathcal{O}}{\int \mathcal{D}\rho \Phi[\rho]}$$

The MV model

Beyond the McLerran-Venugopalan model

Possible extensions

- Add a transverse dependence
 $\langle \rho_a(x^-, \vec{x}) \rho_b(y^-, \vec{y}) \rangle = g_s^2 \delta_{ab} \delta(x^- - y^-) \delta(\vec{x} - \vec{y}) \lambda(x^-, \vec{x})$
- Include higher-point functions, or use non-Gaussian weight functions

$$\Phi[\rho] \propto \exp \left(-\frac{1}{2} \int d^2 \vec{x} \left[\frac{\rho_a \rho_a}{\mu^2} - \frac{d^{abc} \rho_a \rho_b \rho_c}{\kappa} \right] \right)$$

[Jeon, Venugopalan]

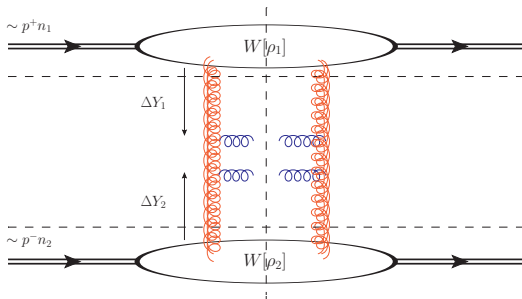
$\rho_a \rho_a$: Pomeron term, $d^{abc} \rho_a \rho_b \rho_c$: Odderon term

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Hadron-hadron collisions in the CGC

Collisions of two distributions of color sources



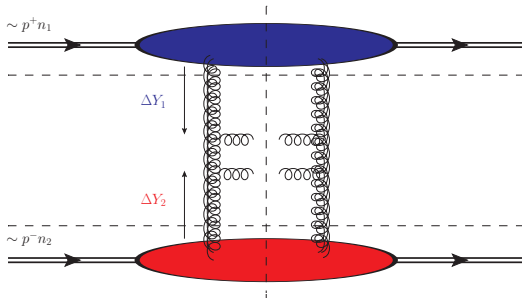
Expectation value of an operator

$$\langle \mathcal{O} \rangle = \int \mathcal{D}\rho_1 \mathcal{D}\rho_2 W_{Y_1}[\rho_1] W_{Y_2}[\rho_2] \mathcal{O}[\rho_1, \rho_2]$$

Source terms in both light cone directions $J_1^\mu = \delta^{\mu+} \rho_1$ and $J_2^\nu = \delta^{\nu-} \rho_2 \dots$

Hadron-hadron collisions in the CGC

Two different saturation scales

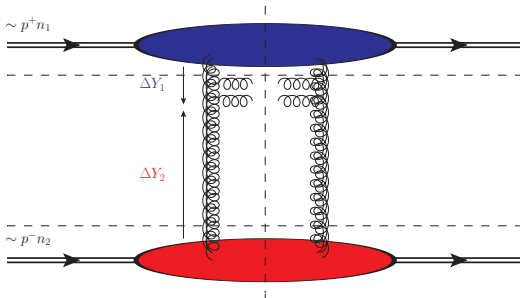


Projectile saturation scale $Q_{s1}^2 \propto (A_1/x_1)^{1/3}$

Target saturation scale $Q_{s2}^2 \propto (A_2/x_2)^{-1/3}$

Hadron-hadron collisions in the CGC

Hybrid factorization ansatz [Dumitru, Hayashigaki, Jalilian-Marian]



At **forward rapidities**, we can use the **CGC** to describe the **target**, while using **colinear factorization** to describe the **projectile**.

Allows to study the target with well-understood descriptions of the projectile.

Loop corrections with the hybrid factorization ansatz

One-loop corrections with saturation effects: state of the art Evolution

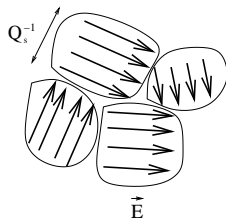
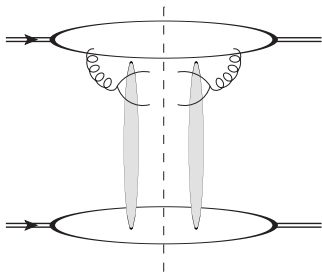
- Dipole evolution [Balitsky, Chirilli]
- 3-point operator evolution [Balitsky, Gerasimov, Grabovsky]
- 4-point operator evolution [Grabovsky]
- Full JIMWLK Hamiltonian [Kovner, Lublinsky, Mulian, 2014]

Observable

- Semi-inclusive hadron production in hybrid factorization [Chirilli, Xiao, Yuan], [Altinoluk, Armesto, Beuf, Kovner, Lublinsky]

Hybrid processes

Example: domain structure and the correlation limit



Picture from [Kovner, Lublinsky]

A pair of partons from the splitting of a **colinear gluon** from the projectile probes the target as a **dipole of size r_{\perp}** .

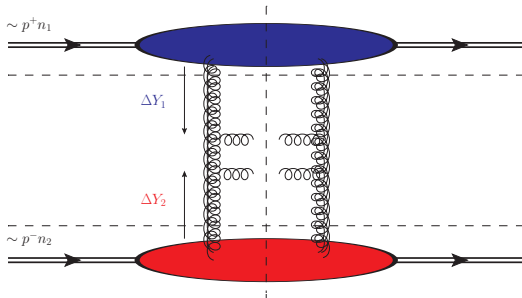
Domain structure: the target contains domains of oriented chromo-electric fields of size $1/Q_s$.

Small dipoles $|r_{\perp}| \ll 1/Q_s$ will probe a **single domain**. In momentum space, **small dipole = back-to-back dijet**.

Thus **local correlations** in the target lead to **momentum correlations** in the outgoing state

Hadron-hadron collisions in the CGC

Two different saturation scales

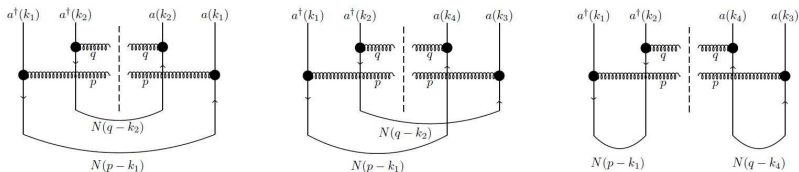


Projectile saturation scale $Q_{s1}^2 \propto (A_1/x_1)^{1/3}$

Target saturation scale $Q_{s2}^2 \propto (A_2/x_2)^{-1/3}$

Glasma graphs

An example of **glasma graphs**: double inclusive gluon production



Picture from [\[Altinoluk, Armesto\]](#)

- 2 gluons from the projectile: need to compute $\langle AAAA \rangle_{A_1}$.
Assumption: each gluon comes from a different color charge density
- Scattering with the dense target via Wilson line operators: **adjoint dipoles** $N(p - k_1)$ and $N(q - k_2)$.
- **Eikonal coupling** between the t -channel gluons and the measured gluons via **Lipatov vertices**

Beyond glasma graphs

- Assumption: each gluon comes from a different color charge density:
 - A **single charge** could emit a gluon which splits into a gluon pairs [Kovner, Lublinsky, Skokov], [Kovchegov, Skokov]
 - Other assumption to relax: mean field $\langle AAAA \rangle_{A_1} \rightarrow \langle AA \rangle_{A_1} \langle AA \rangle_{A_1}$
- adjoint dipoles $N(p - k_1)$ and $N(q - k_2)$.
 - Also a possibility to relax the **mean field approximation**: $\langle N(p - k_1) N(q - k_2) \rangle_{A_2} \rightarrow \langle N(p - k_1) \rangle_{A_2} \langle N(q - k_2) \rangle_{A_2}$
- Eikonal coupling** between the t -channel gluons and the measured gluons via **Lipatov vertices**
 - Possibility to include **sub-eikonal corrections** [Agostini, Altinoluk, Armesto]

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Summary

A few typical CGC topics:

- **One-loop corrections** and precision phenomenology
- Target models **beyond MV**
- Correlations from the **domain structure**, from **glasma graphs** and beyond
- **Spin effects** in the CGC?
- **Odd harmonics** in the CGC?