

# Inflation & Cosmology II

- single-field slow-roll inflation-

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# a word of wisdom for young people

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a sense of dawn in obs cosmology  golden age of particle physics

1971-77 Confirmation of CMB dipole

1974 discovery of  $J/\psi$

...

...

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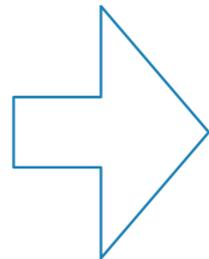
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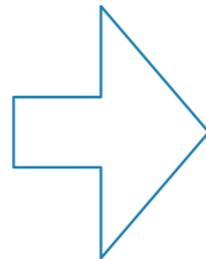
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So find out your own fairy tales! But don't forget your real life!

# §1. Introduction

- Horizon problem

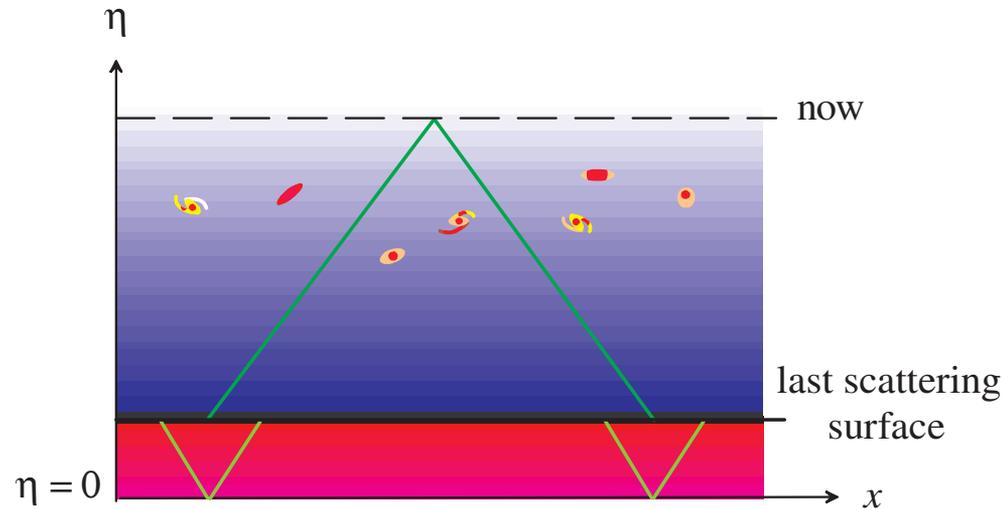
$$ds^2 = -dt^2 + a^2(t)d\vec{x}^2 \quad + \quad \text{Einstein eqs.}$$

$$\Rightarrow \quad \frac{\ddot{a}}{a} = -\frac{4\pi G}{3}(\rho + 3p) \quad \boxed{\rho + 3p > 0 \Leftrightarrow \text{decelerated expansion}}$$

If  $a \propto t^n$ , then  $n(n-1) < 0 \Rightarrow 0 < n < 1$

$$ds^2 = a^2(\eta) (-d\eta^2 + d\vec{x}^2), \quad d\eta = \frac{dt}{a}$$

( $\eta$ : conformal time  $\dots$  maintains causality)



$$d\eta = \pm dx : \text{light ray}$$

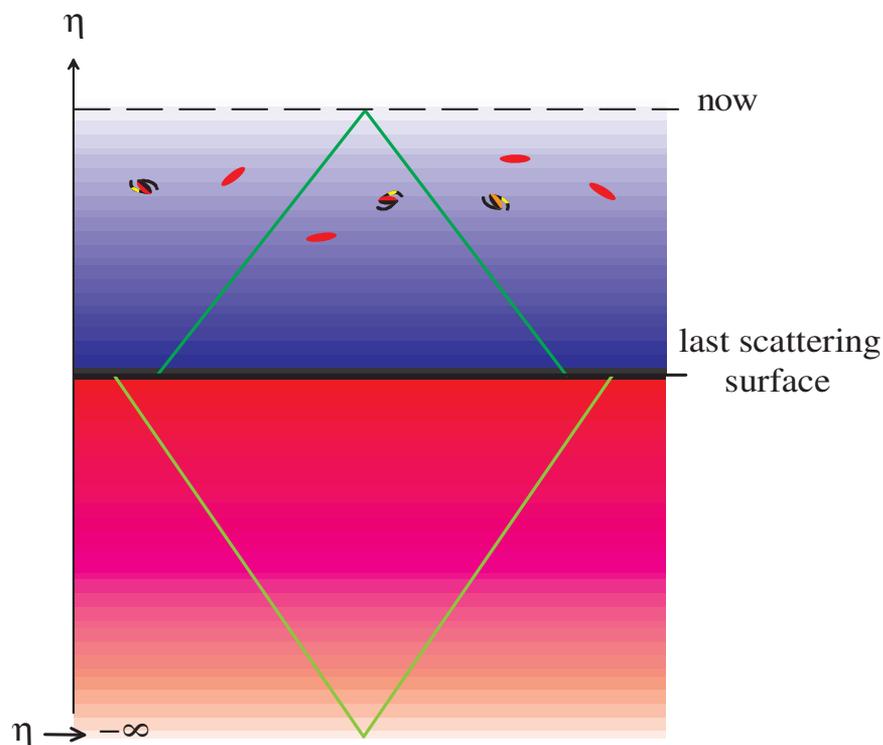
$$\eta = \int \frac{dt}{a} \rightarrow 0 \quad \text{for } t \rightarrow 0$$

- Solution to the horizon problem

Existence of a stage  $a \propto t^n$   $n > 1$   
in the early universe

$$\Leftrightarrow \rho + 3p < 0$$

$$\Rightarrow \int_0^t \frac{dt}{a} = \int d\eta = \infty !!$$



- Entropy problem (= flatness problem)

Entropy within the curvature radius:  $N_\gamma \sim$  conserved

$$N_\gamma = n_\gamma \left( \frac{a}{\sqrt{|K|}} \right)^3 \sim \left( \frac{T_0}{H_0} \right)^3 |1 - \Omega_0|^{-3/2} > \left( \frac{T_0}{H_0} \right)^3 \sim 10^{87}$$

$$T_0 \sim 10^{-4} \text{eV} \quad H_0 \sim 10^{-33} \text{eV}$$

Where does this big number come from?

“Huge entropy production in the early universe”

## §2. Single-field slow-roll inflation

Universe dominated by a scalar field:

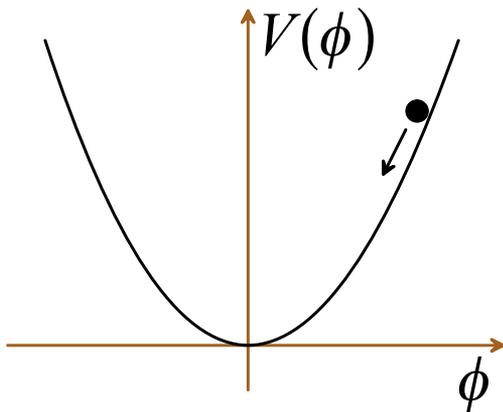
$$\begin{cases} \rho = \frac{1}{2}\dot{\phi}^2 + V(\phi) \\ p = \frac{1}{2}\dot{\phi}^2 - V(\phi) \end{cases} \Rightarrow \rho + 3p = 2(\dot{\phi}^2 - V(\phi))$$

$$\text{if } \dot{\phi}^2 < V(\phi) \implies \frac{\ddot{a}}{a} = -\frac{1}{6M_P^2}(\rho + 3p) > 0; \quad M_P^2 = \frac{1}{8\pi G}$$

accelerated expansion

\* Chaotic inflation (or Creation of Universe from nothing)

(Linde, Vilenkin, Hartle-Hawking, ...)



$$\rho_{\text{initial}} \lesssim M_P^4 \approx (10^{19} \text{ GeV})^4$$

... quantum gravitational

$$\text{if } V''(\phi) \ll M_P^2, \quad \text{then } \phi \gg M_P$$

- Equations of motion:

$$\ddot{\phi} + \underbrace{3H\dot{\phi}}_{\text{friction}} + V'(\phi) = 0 \quad (H \lesssim M_P \text{ initially in chaotic inflation})$$

$$\Rightarrow \boxed{\dot{\phi} \approx -\frac{V'}{3H}} \quad (\text{slow roll (1)}) \quad \Leftrightarrow \quad \delta \equiv \frac{\ddot{\phi}}{H\dot{\phi}} = -\epsilon + \frac{\eta}{2}; \quad |\delta| \ll 1$$

$$\begin{cases} \dot{H} = -\frac{1}{2M_P^2}(\rho + p) = -\frac{\dot{\phi}^2}{2M_P^2} & \eta \equiv \frac{\dot{\epsilon}}{H\epsilon} \\ H^2 = \frac{1}{3M_P^2} \left( \frac{1}{2}\dot{\phi}^2 + V(\phi) \right) \end{cases}$$

$$\Rightarrow \boxed{H^2 \approx \frac{V(\phi)}{3M_P^2}} \quad (\text{potential dominated (2)}) \quad \Leftrightarrow \quad \epsilon \equiv -\frac{\dot{H}}{H^2} \approx \frac{3\dot{\phi}^2}{2V(\phi)} \ll 1$$

The slow-roll condition (1) is satisfied, provided that

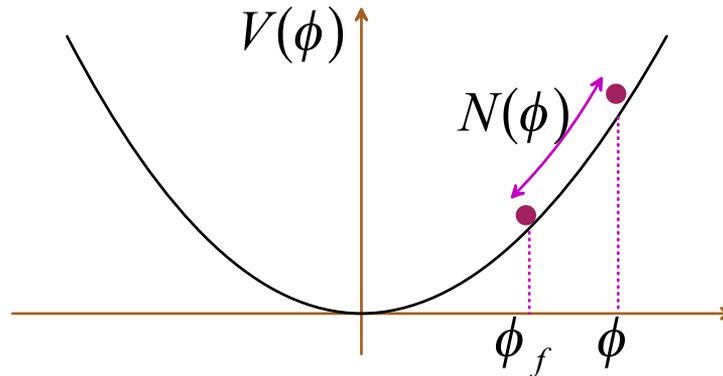
$$\eta \approx -2\eta_V + 4\epsilon_V, \quad \eta_V \equiv \frac{M_P^2 V''}{V}; \quad |\eta_V| \ll 1, \quad \epsilon_V \equiv \frac{M_P^2 V'^2}{2V^2} \ll 1$$

- Slow-roll inflation assumes that the above two are fulfilled.  
(Note that these are not necessary but sufficient conditions.)
- There are models that violate either or both of the above two conditions.  
(Need special care in the calculation of perturbations)

- $e$ -folding number of inflation  $a \propto e^{-N}$

$$N(\phi) = \int_t^{t_f} H dt = \int_{\phi}^{\phi_f} \frac{H}{\dot{\phi}} d\phi \approx \frac{1}{M_P^2} \int_{\phi_f}^{\phi} \frac{V}{V'} d\phi = \frac{\phi^2 - \phi_f^2}{4M_P^2} \approx \left( \frac{\phi}{2M_P} \right)^2$$

$\uparrow$  slow roll                       $\uparrow$   $V = \frac{1}{2}m^2\phi^2$



For  $V(\phi) \sim (10^{15}\text{GeV})^4$ ,  $N(\phi) \gtrsim 60$  solves horizon & flatness problems

$$N(\phi) \gtrsim 60 \quad \text{at} \quad \phi \gtrsim 15M_P \quad \text{for} \quad V = \frac{1}{2}m^2\phi^2$$

Slow roll ends at  $\phi = \phi_f \sim \sqrt{2}M_P \Rightarrow$  **Reheating** (entropy generation)

### §3. Generation of cosmological perturbations

Action: 
$$S = \int d^4x \sqrt{-g} \left( \frac{M_P^2}{2} R - \frac{1}{2} g^{\mu\nu} \phi_{,\mu} \phi_{,\nu} - V(\phi) \right); \quad M_P^2 = (8\pi G)^{-1}.$$

Cosmological perturbations are generated from quantum (vacuum) fluctuations of the inflaton  $\phi$  and the metric  $g_{\mu\nu}$ .

- Scalar-type (density) perturbations

•  $g_{\mu\nu}$  and  $\phi$ :

$$ds^2 = a^2 \left[ -(1 + 2A) d\eta^2 - 2\partial_j B d\eta dx^j + \left( (1 + 2\mathcal{R}) \delta_{ij} + 2\partial_i \partial_j H_T \right) dx^i dx^j \right],$$

$$\phi(t, x^i) = \phi(t) + \chi(t, x^i)$$

$A$  : Lapse function ( $\sim$  time coordinate) perturbation ( $= A_k Y_k$ )

$B$  : Shift vector ( $\sim$  space coordinate) perturbation ( $= k^{-1} B_k Y_k$ )

Scalar perturbation has 2 degrees of coordinate gauge freedom.

$\mathcal{R}$  : Spatial curvature (potential) perturbation ( $= \mathcal{R}_k Y_k$ )  $\left[ \delta R = -\frac{4}{a^2} \Delta \mathcal{R} \right]$

$H_T$  : Shear of the metric ( $= k^{-2} H_{T,k} Y_k$ )

No dynamical degree of freedom in the metric itself.

★ Action expanded to 2nd order

$$\begin{aligned}
S_2 = \int d\eta d^3x \mathcal{L}_s = \frac{1}{2} \int d\eta d^3x a^2 \left[ M_P^2 \left\{ -6(\mathcal{R}' - \mathcal{H}A)^2 - 2\mathcal{R} \overset{(3)}{\Delta} \mathcal{R} - 4A \overset{(3)}{\Delta} \mathcal{R} \right\} \right. \\
+ (\chi' - A\phi')^2 + \chi(\overset{(3)}{\Delta} - a^2 \partial_\phi^2 V) \chi - 6\phi'(\mathcal{R}' - \mathcal{H}A) \chi - 2A(\mathcal{H}\phi' - \phi'') \chi \\
\left. - 2 \overset{(3)}{\Delta} (H'_T - B) \left\{ \phi' \chi + 2M_P^2 (\mathcal{R}' - \mathcal{H}A) \right\} \right],
\end{aligned}$$

Canonical momenta

$$\begin{aligned}
P_\chi &\equiv \frac{\partial \mathcal{L}_s}{\partial \chi'} = a^2 (\chi' - A\phi') \\
P_{\mathcal{R}} &\equiv \frac{\partial \mathcal{L}_s}{\partial \mathcal{R}'} = a^2 \left( -6M_P^2 (\mathcal{R}' - \mathcal{H}A) - 3\phi' \chi - 2M_P^2 \overset{(3)}{\Delta} (H'_T - B) \right) \\
P_T &\equiv \frac{\partial \mathcal{L}_s}{\partial H'_T} = -a^2 \overset{(3)}{\Delta} [\phi' \chi + 2M_P^2 (\mathcal{R}' - \mathcal{H}A)]
\end{aligned}$$

Solving the above for  $\mathcal{R}'$ ,  $H'_T$  and  $\chi'$ , the Hamiltonian is obtained from

$$\mathcal{H}_{s,\text{tot}} = P_{\mathcal{R}} \mathcal{R}' + P_T H'_T + P_\chi \chi' - \mathcal{L}_s$$

$A$  and  $B$  remain as Lagrange multipliers.

Action in the Hamiltonian form

Garriga, Montes, MS & Tanaka (1998)

$$S_2 = \int d\eta d^3x \mathcal{L}_s = \int d\eta d^3x \left( \sum_a P_a Q'_a - \mathcal{H}_s - A C_A - B C_B \right)$$

$$\mathcal{H}_s = \frac{1}{2a^2} P_\chi^2 - 4\pi G \phi' P_{\mathcal{R}} \chi + \dots, \quad (\mathcal{H}_{s,\text{tot}} = \mathcal{H}_s + A C_A + B C_B)$$

$$C_A = \phi' P_\chi + \mathcal{H} P_{\mathcal{R}} + 2M_P^2 a^2 \overset{(3)}{\Delta} \mathcal{R} + a^2 (\mathcal{H} \phi' - \phi'') \chi \quad (\text{Hamiltonian constraint}),$$

$$C_B = P_T \quad (\text{Momentum constraint}),$$

$$Q_a = \{\mathcal{R}, H_T, \chi\}, \quad P_a = \{P_{\mathcal{R}}, P_T, P_\chi\}.$$

• Gauge transformation  $[\xi^\mu = (T, \partial_i L)]$  is generated by  $C_A$  and  $C_B$ :

$$\delta_g Q = \left\{ Q, \int (T C_A + L C_B) d^3x \right\}_{P.B.}$$

Up to total derivatives,  $\mathcal{L}_2$  is gauge-invariant:

$$\delta_g \mathcal{L}_2 = 0 + (\text{total derivatives})$$

In particular,  $C_A$  and  $C_B$  are gauge-invariant by themselves.

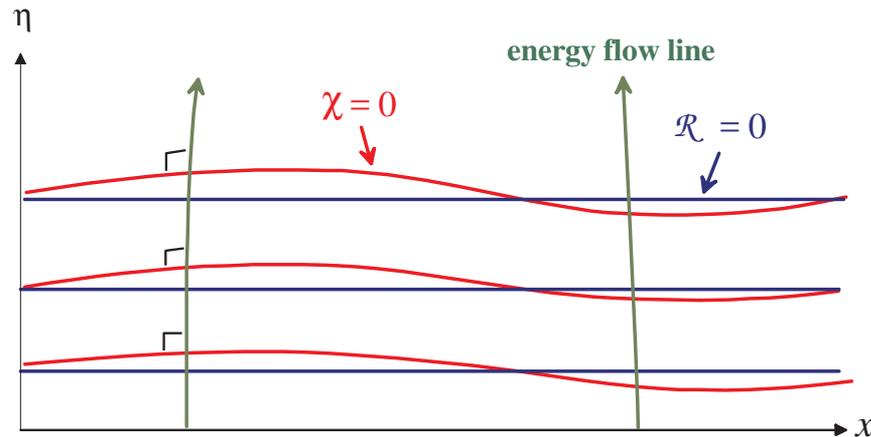
- Reduction to unconstrained variables *a lá* Faddeev-Jackiw (1988)

1. Solve  $C_A = \phi' P_\chi + \dots = 0$  for  $P_\chi$  and insert it into  $S_2$ . Also insert  $C_B = P_{H_T} = 0$ .
2. The resulting  $S_2$  is a functional of  $\{P_{\mathcal{R}}, \mathcal{R}, \chi\}$ :  $S_2^* = S_2^*[P_{\mathcal{R}}, \mathcal{R}, \chi]$
3. Since  $C_A = C_B = 0$  are gauge-invariant,  $S_2^*$  is still gauge-invariant. Hence it must be expressed solely in terms of gauge-invariant variables. Indeed, one finds

$$S_2^* = \int d\eta d^3x \left[ P_c \mathcal{R}'_c - \frac{2M_P^4 a^2}{\phi'^2} \left( \Delta \mathcal{R}_c + \frac{\mathcal{H}}{2M_P^2 a^2} P_c \right)^2 - a^2 M_P^2 \mathcal{R}_c \Delta \mathcal{R}_c \right]$$

$$P_c \equiv P_{\mathcal{R}} + \frac{2M_P^2 a^2}{\phi'} \Delta \chi, \quad \mathcal{R}_c \equiv \mathcal{R} - \frac{\mathcal{H}}{\phi'} \chi$$

This is in fact the same as choosing  $\chi = 0$  gauge (called ‘comoving’ slicing).  
i.e.,  $\mathcal{R}_c$  is the curvature perturbation on the comoving hypersurface.



★  $S_2^*$  in the 2nd order form:

$$S_2^* = \int d\eta d^3x \frac{z^2}{2} (\mathcal{R}'_c{}^2 - (\nabla \mathcal{R}_c)^2); \quad z \equiv \frac{a\phi'}{\mathcal{H}}, \quad \mathcal{H} \equiv \frac{a'}{a} = aH$$

★ can be generalized to the case of a non-trivial sound velocity  $c_s^2 \neq 1$ :

$$S_2^* = \int d\eta d^3x \frac{z^2}{2} (\mathcal{R}'_c{}^2 - c_s^2 (\nabla \mathcal{R}_c)^2); \quad z \equiv \frac{a(\rho + p)^{1/2}}{c_s H}. \quad (\text{Garriga \& Mukhanov '99})$$

Equation of motion (for Fourier modes:  $\Delta \xrightarrow{(3)} -k^2$ )

$$\mathcal{R}_c'' + 2\frac{z'}{z}\mathcal{R}_c' + c_s^2 k^2 \mathcal{R}_c = 0; \quad z \propto a \frac{(1+w)^{1/2}}{c_s} \propto a \text{ for slow-roll inflation.}$$

For  $c_s k < \mathcal{H}$  ( $\Leftrightarrow c_s k/a < H$ ),

$$\mathcal{R}'_c \propto \begin{cases} z^{-1} \sim \text{decaying mode} \\ 0 \sim \text{growing mode} \end{cases}$$

- “growing” mode of  $\mathcal{R}_c$  stays constant on super-(sound) horizon scales.
- The existence of a constant mode is a general property of any cosmological model.

But this does **not** mean that adiabatic  $\mathcal{R}_c$  is constant on super-horizon scales.

- Inflaton perturbation on flat slicing (assume  $c_s = 1$  again)

Alternatively, in terms of  $\chi$  on  $\mathcal{R} = 0$  hypersurface (flat slicing),

$$\chi_F \equiv \chi - \frac{\phi'}{\mathcal{H}} \mathcal{R} = -\frac{\phi'}{\mathcal{H}} \mathcal{R}_c$$

$$S_2^* = S_2^*[\chi_F] = \int d\eta d^3x \frac{a^2}{2} (\chi_F'^2 - (\nabla \chi_F)^2 - a^2 m_{eff}^2 \chi_F^2);$$

$$m_{eff}^2 = -\frac{\{a^2 (\phi'/\mathcal{H})'\}'}{a^4 (\phi'/\mathcal{H})} = \partial_\phi^2 V + \frac{2}{M_P^2} \frac{d}{dt} \left( \frac{V}{H} \right)$$

$\chi_F \sim$  minimally coupled almost massless scalar in de Sitter space

$\therefore \partial_\phi^2 V \ll H^2$ ,  $(2/M_P^2)(V/H) \approx 6\dot{H} \ll H^2$  for slow-roll inflation.

(N.B. the sufficient conditions for slow roll:  $\partial_\phi^2 V \ll 3H^2$  &  $\dot{H} \ll H^2$ .)

- de Sitter approximation for the background:

$$H = \text{const.}, \quad a(\eta) = \frac{1}{-H\eta} \quad (-\infty < \eta < 0)$$

This is a good approximation for  $k > \mathcal{H}$  (sub-horizon scale) modes

- Canonical quantization

$$\pi(\eta, \vec{x}) = \frac{\delta S_2^*[\chi_F]}{\delta \chi'_F(\eta, \vec{x})}, \quad [\chi_F(\eta, \vec{x}), \pi(\eta, \vec{x}')] = i\hbar\delta(\vec{x} - \vec{x}')$$

$$\Rightarrow \hat{\chi}_F = \int \frac{d^3k}{(2\pi)^{3/2}} \left( \hat{a}_{\vec{k}} \chi_k(\eta) e^{i\vec{k}\cdot\vec{x}} + \text{h.c.} \right); \quad [\hat{a}_{\vec{k}}, \hat{a}_{\vec{k}'}^\dagger] = \hbar\delta(\vec{k} - \vec{k}')$$

$$\chi_k'' + 2\mathcal{H}\chi_k' + (k^2 + m_{eff}^2 a^2) \chi_k = 0; \quad \chi_{\vec{k}} \bar{\chi}_{\vec{k}'} - \chi_{\vec{k}'} \bar{\chi}_{\vec{k}} = \frac{i}{a^2}$$

$$\Leftrightarrow \ddot{\chi}_k + 3H\dot{\chi}_k + \left( \frac{k^2}{a^2} + m_{eff}^2 \right) \chi_k = 0; \quad \chi_{\vec{k}} \dot{\bar{\chi}}_{\vec{k}'} - \dot{\chi}_{\vec{k}'} \bar{\chi}_{\vec{k}} = \frac{i}{a^3}$$

$$\text{slow roll} \Rightarrow m_{eff}^2 \ll H^2 \sim \text{massless}$$

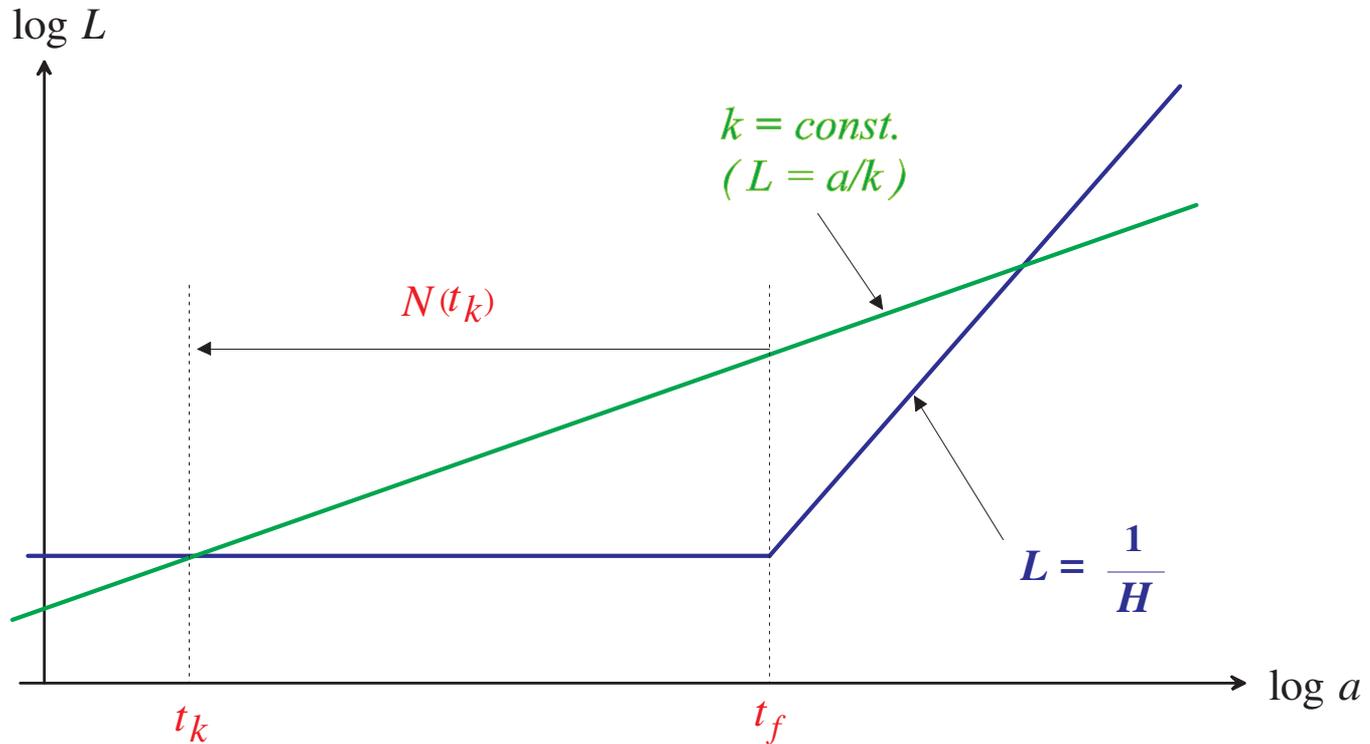
de Sitter approximation:

$$\Rightarrow \chi_k \approx \frac{H}{(2k)^{3/2}} (i - k\eta) e^{-ik\eta} \begin{cases} \xrightarrow{k/\mathcal{H} \rightarrow \infty} \frac{1}{\sqrt{2ka}} e^{-ik\eta} \\ \xrightarrow{k/\mathcal{H} \rightarrow 0} \frac{H}{\sqrt{2k^3}} e^{-i\alpha_k} \end{cases}$$

$$\langle \delta\phi^2 \rangle_k \Big|_{\text{on flat slice}} = \langle \chi_F^2 \rangle_k \equiv \frac{4\pi k^3}{(2\pi)^3} |\chi_k|^2 \rightarrow \left( \frac{H}{2\pi} \right)^2 \quad \text{for } k \lesssim \mathcal{H} \quad (\hbar = 1)$$

- de Sitter approximation breaks down at  $k \ll \mathcal{H}$ .  
i.e., the time-variation of  $\chi_k$  on super-horizon scales cannot be neglected.
- However, the corresponding mode of  $\mathcal{R}_c$  becomes constant on super-horizon scales.

$$\Rightarrow \mathcal{R}_{c,k}(\eta) \approx \mathcal{R}_{c,k}(\eta_k) = -\frac{\mathcal{H}}{\phi'} \chi_k(\eta_k) \approx \frac{H^2(t_k)}{\sqrt{2k^3} \dot{\phi}(t_k)} e^{-i\alpha_k}.$$



$$t = t_k \Leftrightarrow \eta = \eta_k \Leftrightarrow k = \mathcal{H}(\eta_k) \cdots \text{horizon crossing time}$$

Although not quite intuitive, one can quantize  $\mathcal{R}_c$  from the beginning:

$$S_2^* = \int d\eta d^3x \frac{z^2}{2} (\mathcal{R}'_c{}^2 - (\nabla \mathcal{R}_c)^2); \quad z \equiv \frac{a\phi'}{\mathcal{H}}, \quad \mathcal{H} \equiv \frac{a'}{a} = aH$$

$$P_c(\eta, \vec{x}) = \frac{\delta S_2^*}{\delta \mathcal{R}'_c(\eta, \vec{x})}, \quad [\mathcal{R}_c(\eta, \vec{x}), P_c(\eta, \vec{x}')] = i\hbar\delta(\vec{x} - \vec{x}')$$

$$\Rightarrow \hat{\mathcal{R}}_c = \int \frac{d^3k}{(2\pi)^{3/2}} \left( \hat{a}_{\vec{k}} r_k(\eta) e^{i\vec{k}\cdot\vec{x}} + \text{h.c.} \right); \quad [\hat{a}_{\vec{k}}, \hat{a}_{\vec{k}'}^\dagger] = \hbar\delta(\vec{k} - \vec{k}')$$

$$r_k'' + 2\frac{z'}{z}r_k' + k^2r_k = 0; \quad r_k \bar{r}'_k - r'_k \bar{r}_k = \frac{i}{z^2}$$

$$\Rightarrow r_k \approx \begin{cases} \xrightarrow{k/\mathcal{H} \rightarrow \infty} \frac{H}{a\dot{\phi}} \frac{1}{\sqrt{2k}} e^{-ik\eta} \\ \xrightarrow{k/\mathcal{H} \rightarrow 0} \left. \frac{H^2}{\dot{\phi}} \right|_{\eta=\eta_k} \frac{1}{\sqrt{2k^3}} e^{-ik\eta_k}; \quad -k\eta_k \approx 1 (k \approx \mathcal{H}) \end{cases}$$

$$\langle \mathcal{R}_c^2 \rangle_k \equiv \frac{4\pi k^3}{(2\pi)^3} |r_k|^2 \rightarrow \left( \frac{H^2}{2\pi\dot{\phi}} \right)^2 \Big|_{k=\mathcal{H}} \quad \text{for } -k\eta \rightarrow 0$$

- Curvature perturbation spectrum (say, at  $\eta = \eta_f$ )

$$\langle \mathcal{R}_c^2 \rangle_k \equiv \frac{4\pi k^3}{(2\pi)^3} P_{\mathcal{R}_c}(k; \eta) = \frac{4\pi k^3}{(2\pi)^3} |\mathcal{R}_{c,k}(\eta)|^2 = \left( \frac{H^2}{2\pi \dot{\phi}} \right)^2 \Big|_{t=t_k}$$

Since  $dN = -H dt$ ,

$$\frac{\partial N}{\partial \phi} = -\frac{H}{\dot{\phi}} \quad \Rightarrow \quad \langle \mathcal{R}_c^2 \rangle_k = \left( \frac{\partial N}{\partial \phi} \frac{H}{2\pi} \right)^2 \Big|_{t=t_k} = \left( \frac{\partial N}{\partial \phi} \delta\phi \right)^2 \Big|_{t=t_k} \quad \text{on flat slice}$$

That is, for single-field slow-roll inflation,

$$\mathcal{R}_c = \delta N|_{t=t_k} = \frac{\partial N}{\partial \phi} \delta\phi \Big|_{t=t_k} \quad \left( \delta\phi = \frac{H}{2\pi} \right) \quad \text{on flat slice}$$

Only the knowledge of the homogeneous background is sufficient  
to predict the perturbation spectrum:  $\delta N$ -formula

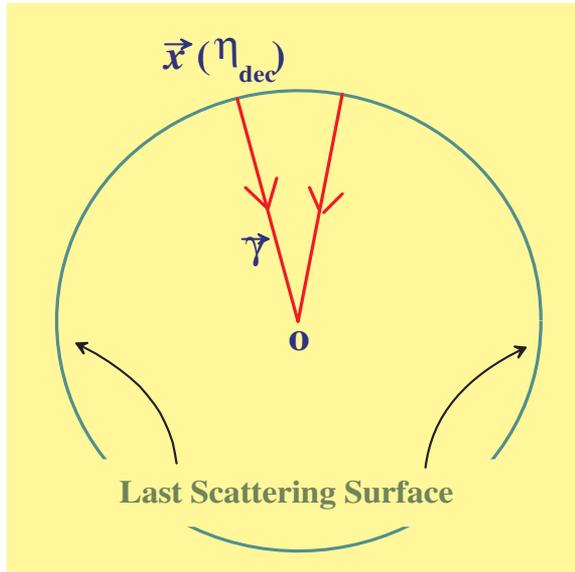
If  $\langle \mathcal{R}_c^2 \rangle_k \propto k^{n_s-1}$

$n_s = 1$  : scale-invariant (Harrison-Zeldovich) spectrum

$n_s = 1 - \epsilon$  ( $\epsilon \ll 1$ ) for chaotic inflation ( $V(\phi) \propto \phi^p$ ).

- Large angle CMB anisotropy

$$\left(\frac{\delta T}{T}\right)(\vec{\gamma}, \eta_0) = \underbrace{(\zeta_r + \Theta)(\eta_{\text{dec}}, \vec{x}(\eta_{\text{dec}}))}_{\text{(Sachs-Wolfe)}} + \underbrace{\int_{\eta_{\text{dec}}}^{\eta_0} d\eta \partial_\eta \Theta(\eta, \vec{x}(\eta))}_{\text{(Integrated Sachs-Wolfe)}}$$



$\zeta_r$  : curvature perturbation on  
 $\rho_{\text{photon}} = \text{const.}$  surfaces

$\Theta \equiv \Psi - \Phi$   
 $\Psi = \text{Newton potential}$   
 $\Phi = \text{curvature pert. on Newton slice}$

For a dust-dominated universe at decoupling,

$$\text{SW: } \zeta_r + \Theta = -\frac{1}{5}\mathcal{R}_{c*} - \frac{2}{5}S_{\text{dr}} = \frac{1}{3}\Psi_* - \frac{2}{5}S_{\text{dr}}, \quad \text{no ISW: } \partial_\eta \Theta \approx 0$$

$\mathcal{R}_{c*}$  : primordial adiabatic curvature perturbation;  $\Phi_* = -\Psi_* = \frac{3}{5}\mathcal{R}_{c*}$

$$S_{\text{dr}} = \frac{\delta\rho_d}{\rho_d} - \frac{3\delta\rho_r}{4\rho_r} \sim \text{entropy perturbation}$$

- Observational implications of Large-angle CMB anisotropy  
COBE-DMR ('96); WMAP 9yr ('12); Planck ('18)

$$\left\langle \left( \frac{\delta T}{T} \right)^2 \right\rangle \sim 10^{-10} \quad \text{at } \theta \sim 10^\circ. \quad \frac{\delta T}{T} = \frac{1}{3} \Psi + \dots \quad \text{for adiabatic perturbation}$$

$$\Downarrow$$

$$\langle \Psi^2 \rangle_k \sim 10^{-10} \quad \text{at } \frac{k_0}{a_0} = H_0 \sim \frac{1}{\text{present horizon scale}} \quad (H_0^{-1} \sim 3000 \text{ Mpc} \sim 10^{28} \text{ cm})$$

For  $V = \frac{1}{2} m^2 \phi^2$ ,

$$\langle \Psi^2 \rangle_{k_0} \approx \left( \frac{3}{5} \right)^2 \langle \mathcal{R}_c^2 \rangle_{k_0} = \left( \frac{3}{5} \right)^2 \left( \frac{H^2}{2\pi \dot{\phi}} \right)^2 \Big|_{\frac{k_0}{a} = H} \approx \frac{m^2}{25 M_P^2} N^2(\phi) \Big|_{\frac{k_0}{a} = H}$$

$$\Rightarrow \begin{cases} m \sim 10^{13} \text{ GeV} \\ V \sim (10^{16} \text{ GeV})^4 \end{cases}$$

- power-law index:  $n_{\text{Planck}} = 0.9649 \pm 0.0042$

Blue or scale invariant spectrum ( $n_s \geq 1$ ) is excluded at high CL!

- Tensor-type perturbations

$$ds^2 = -dt^2 + a^2(t) (\delta_{ij} + h_{ij}) dx^i dx^j$$

$h_{ij} \cdots$  Transverse-Traceless

$$\begin{aligned} \delta^2 S_G &= \frac{M_P^2}{8} \int d^4x a^3 \left( \dot{h}_{ij}^2 - \frac{1}{a^2} (\nabla h_{ij})^2 \right) \\ &= \frac{1}{2} \int d^4x a^3 \left( \dot{\varphi}_{ij}^2 - \frac{1}{a^2} (\nabla \varphi_{ij})^2 \right); \quad \varphi_{ij} := \frac{M_P}{2} h_{ij} \end{aligned}$$

$\varphi_{ij} \sim$  massless scalar (2 degrees of freedom)

$$\begin{aligned} \langle \varphi_{ij}^2 \rangle_k &= 2 \times \left( \frac{H}{2\pi} \right)^2 \\ \Rightarrow \frac{4\pi k^3}{(2\pi)^3} P_T(k) &\equiv \langle h_{ij}^2 \rangle_k = 2 \times \frac{4}{M_P^2} \times \left( \frac{H}{2\pi} \right)^2 = \frac{2}{\pi^2} \frac{H^2}{M_P^2} \end{aligned}$$

$\uparrow$   
contribute to CMB anisotropy

$$r \equiv \frac{T}{S} = \frac{\text{tensor}}{\text{scalar}} \sim \frac{\langle h_{ij}^2 \rangle}{\langle \mathcal{R}_c^2 \rangle} \equiv \frac{P_T(k)}{P_S(k)} = 24 \left. \frac{\dot{\phi}^2}{V} \right|_{k_0=aH} \quad \text{slow roll} \quad \Rightarrow \quad r \ll 1.$$

$$r \sim 0.13 \quad \text{for} \quad V = \frac{1}{2} m^2 \phi^2 \quad \Leftrightarrow \quad r_{\text{Planck}} < 0.1 \quad (95\% \text{ CL})$$

- Spectral index

- \* scalar-type (curvature) perturbation

$$n_S \equiv 1 + \frac{d \ln [P_{\mathcal{R}}(k) k^3]}{d \ln k}.$$

$$k = a(t_k)H \quad \rightarrow \quad d \ln k = \frac{da}{a} + \frac{dH}{H} \approx \frac{da}{a} = \frac{d}{H dt} \Big|_{t=t_k}.$$

For slow-roll inflation,

$$\begin{aligned} n_S - 1 &= \frac{d}{H dt} \ln [P_{\mathcal{R}}(k) k^3] = \frac{d}{H dt} (\ln H^4 - \ln \dot{\phi}^2) \\ &\approx \frac{2V''V - 3V'^2}{8\pi G V^2} = 2\eta_V - 6\epsilon_V. \end{aligned}$$

★ observed power-law index:  $n_{\text{Planck}} = 0.9649 \pm 0.0042$

- \* tensor-type perturbation

$$\begin{aligned} n_T &\equiv \frac{d \ln [P_T(k) k^3]}{d \ln k} = \frac{d}{H dt} \ln [P_T(k) k^3] = \frac{d}{H dt} \ln H^2 = 2 \frac{\dot{H}}{H^2} = -\frac{8\pi G \dot{\phi}^2}{H^2} \\ &\approx -3 \frac{\dot{\phi}^2}{V} = -\frac{1}{8} \frac{P_T(k)}{P_S(k)} = -\frac{r}{8} \quad \Leftarrow \quad \text{consistency relation!} \end{aligned}$$

- Model dependence

- \* power-law inflation

$V(\phi) \propto \exp[\lambda\phi/m_{pl}] \leftarrow$  dilaton in string theories ?

$$a \propto t^\alpha \quad \left(\alpha = \frac{16\pi}{\lambda^2}\right)$$

$$\Rightarrow n_S < 1, \quad \frac{T}{S} \gtrsim 0.1$$

- \* hybrid inflation  $\leftarrow$  supergravity-motivated ?

e.g., 
$$V(\phi, \psi) = \frac{1}{4\lambda} (M^2 - \lambda\psi^2)^2 + \frac{1}{2}m^2\phi^2 + \frac{1}{2}g^2\phi^2\psi^2$$

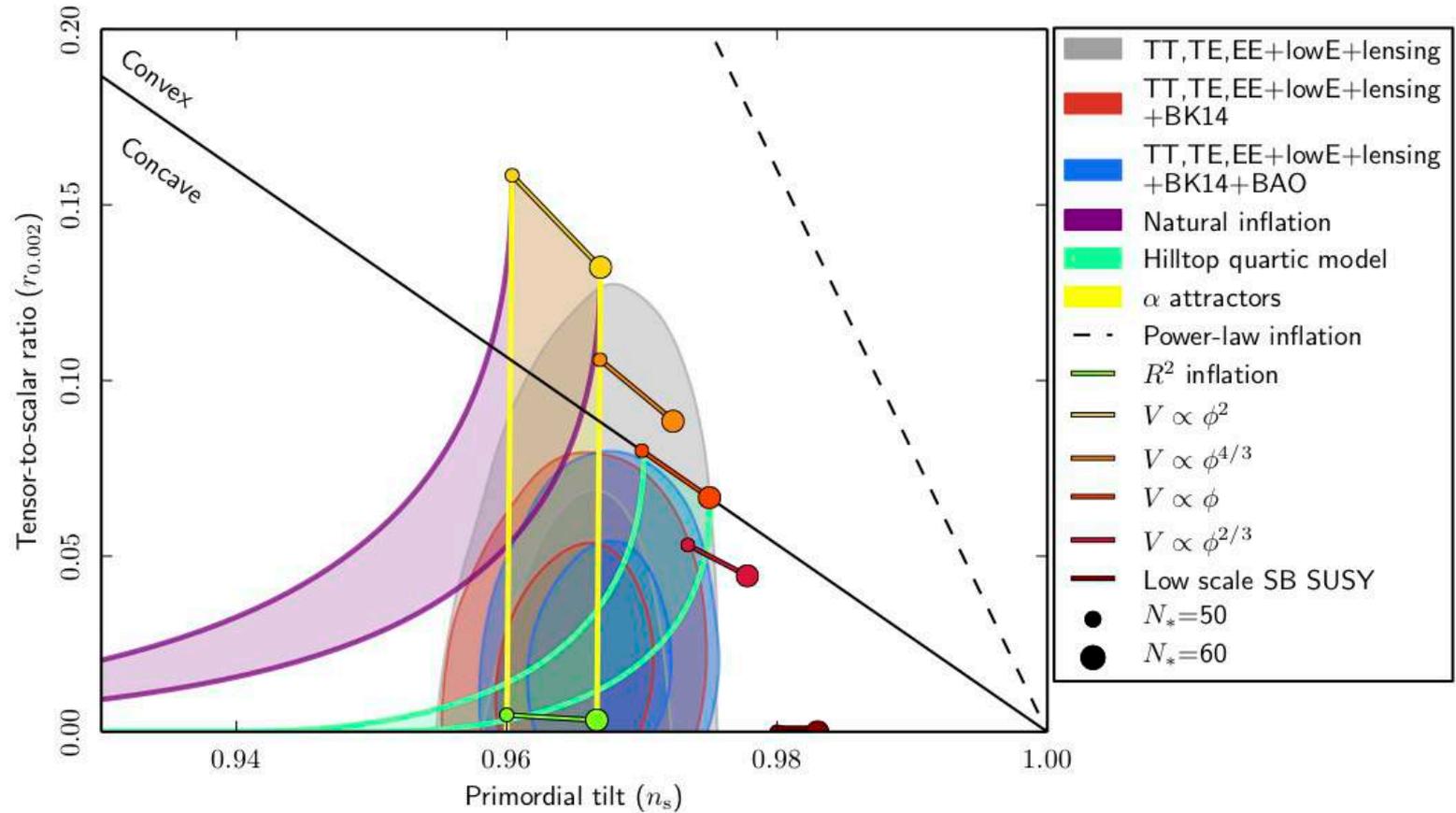
$$a \propto e^{Ht}, \quad H^2 \approx \frac{8\pi G}{3}V_0 \quad \text{when} \quad \psi = 0, \phi > M/g.$$

$$\Rightarrow n_S > 1, \quad \frac{T}{S} \text{ can be large or small.}$$

- \* quartic hilltop inflation

$$V(\phi) = V_0 - \frac{\lambda}{4}\phi^4 + \dots \quad \Rightarrow \quad n_S \approx -\frac{3}{N}, \quad \frac{T}{S} \propto \frac{1}{N^3}$$

- Observational Constraints from Planck 2018: arXiv:1807.06211



★  $\phi^2$  model excluded at high CL,  $r \lesssim 0.1$ ,  $n_s < 1$  at extremely high CL.

## §4. Summary of single-field slow-roll inflation

- The growing mode of the curvature perturbation on comoving slices  $\mathcal{R}_c$  stays constant super-horizon scales.
  - $\mathcal{R}_c \approx \Delta N$  in the slow-roll case.
  - $\mathcal{R}_c$  may vary in time if the slow-roll condition is violated.
  - Slow-roll models predict almost scale-invariant spectrum, but other spectral shapes are possible.
- Tensor perturbations may or may not be negligible.

### On-going and future observations

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- LSST, Euclid,  $\dots \sim 5 \times 10^7$  galaxies, up to  $z \lesssim 2$
- LiteBIRD, Simons Observatory,  $\dots$  high resolution CMB polarization map



Inflaton potential may be determined



Understanding of physics of the early universe ( $\approx$  extreme high energy physics)