

B Physics Anomalies 2019

Summer Institute 2019 18-23 August 2019

25th International Summer Institute on Phenomenology of
Elementary Particle Physics and Cosmology

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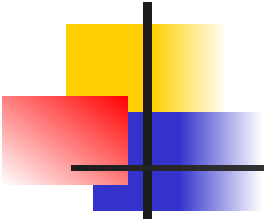
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18-23 August 2019

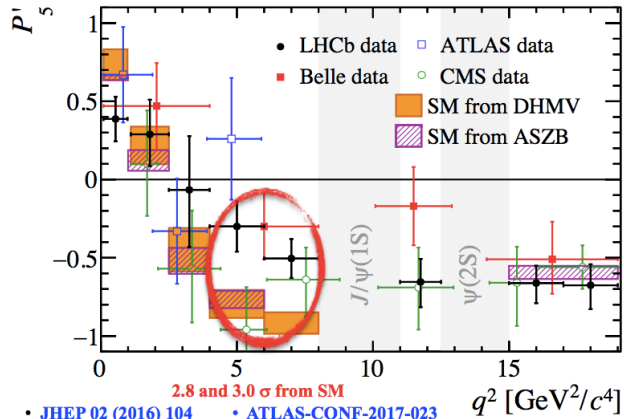
SANDPINE, Gangneung, Korea



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1. Anomalies in B Decays
 2. Standard Model and Beyond for B Physics
 3. Models for $R(D^{(*)})$ and $b \rightarrow s \mu^+ \mu^-$ Anomalies
 4. Conclusions

1. Anomalies in B Decays

B physics anomalies Previously



2.8 and 3.0 σ from SM
 • JHEP 02 (2016) 104 • ATLAS-CONF-2017-023
 • PRL 118 (2017) • CMS-PAS-BPH-15-008

B \rightarrow K* $\mu \mu$

$$\frac{1}{dq^2} \frac{d^4\Gamma}{d\cos\theta_L d\cos\theta_K d\phi dq^2} = \frac{9}{32\pi} \left[\frac{3(1-F_L)}{4} \sin^2\theta_K + F_L \cos^2\theta_K + \frac{1-F_L}{4} \sin^2\theta_K \cos 2\theta_L \right.$$

$$\left. -F_L \cos^2\theta_K \cos 2\theta_L + S_3 \sin^2\theta_K \sin^2\theta_L \cos 2\phi \right.$$

$$\left. +S_4 \sin 2\theta_K \sin 2\theta_L \cos \phi + S_5 \sin 2\theta_K \sin \theta_L \cos \phi \right.$$

$$\left. +S_6 \sin^2\theta_K \cos \theta_L + S_7 \sin 2\theta_K \sin \theta_L \sin \phi \right.$$

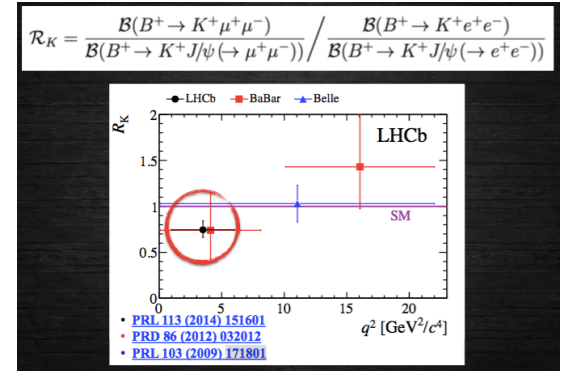
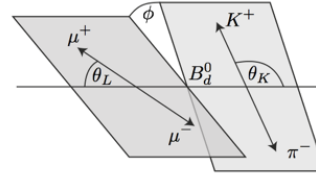
$$\left. +S_8 \sin 2\theta_K \sin 2\theta_L \sin \phi + S_9 \sin^2\theta_K \sin^2\theta_L \sin 2\phi \right].$$

$$P_1 = \frac{2S_3}{1-F_L}$$

$$P_2 = \frac{2}{3} \frac{A_{FB}}{1-F_L}$$

$$P_3 = -\frac{S_9}{1-F_L}$$

$$P'_{i=4,5,6,8} = \frac{S_{j=4,5,7,8}}{\sqrt{F_L(1-F_L)}}$$



$$R_K = 0.745^{+0.090}_{-0.074} (\text{stat}) \pm 0.036 (\text{syst})$$

$$1 \text{ GeV}^2 < q^2 < 6 \text{ GeV}^2,$$

New data on $B_s \rightarrow \mu^+ \mu^-$ from LHCb, lowered the differences

$$\overline{\mathcal{B}}(B_s \rightarrow \mu^+ \mu^-)_{\text{LHCb}} = (3.0 \pm 0.6^{+0.3}_{-0.2}) \times 10^{-9},$$

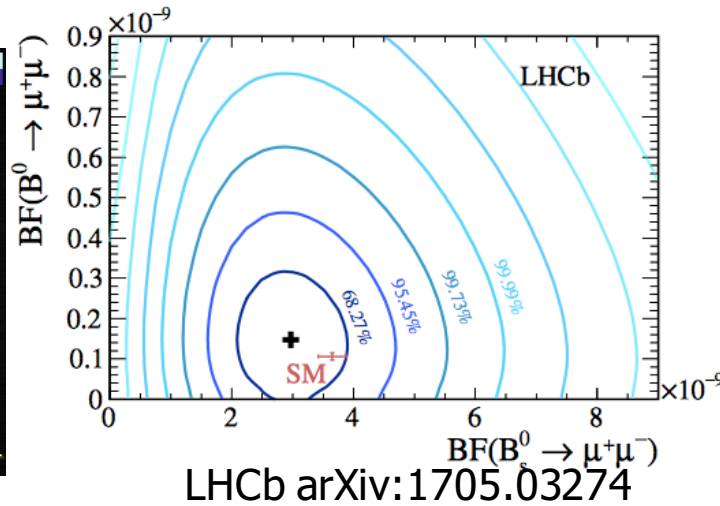
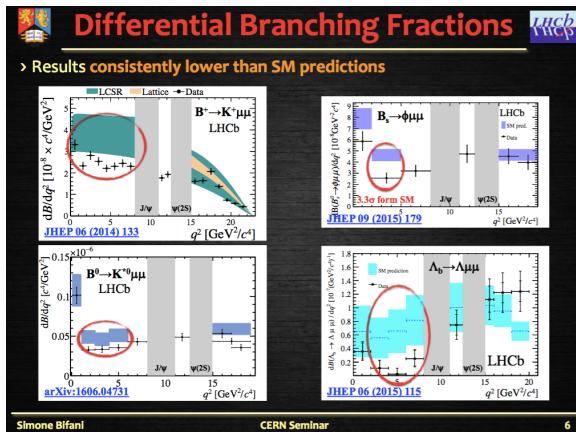
$$\overline{\mathcal{B}}(B_s \rightarrow \mu^+ \mu^-)_{\text{CMS}} = (3.0^{+1.0}_{-0.9}) \times 10^{-9},$$

$$\overline{\mathcal{B}}(B_s \rightarrow \mu^+ \mu^-)_{\text{average}} = (3.0 \pm 0.5) \times 10^{-9},$$

$$\mathcal{B}(B_s \rightarrow \mu^+ \mu^-) = (3.65 \pm 0.23) \times 10^{-9}$$

$$\mathcal{B}(B_d \rightarrow \mu^+ \mu^-) = (1.06 \pm 0.09) \times 10^{-10}$$

[Bobeth et al, PRL 112 (2014) 101801]



All these processes are induced by $b \rightarrow s$ II interaction.

Consistently lower than SM predictions. Combined effects are now about 4σ !

2017: The R_{K^*} Anomaly previously

S. Bifani, CERN Seminar, 18th April, 2017

> $R_{K^{*0}}$ determined as double ratio to reduce systematic effects

$$\mathcal{R}_{K^{*0}} = \frac{\mathcal{B}(B^0 \rightarrow K^{*0} \mu^+ \mu^-)}{\mathcal{B}(B^0 \rightarrow K^{*0} J/\psi (\rightarrow \mu^+ \mu^-))} \bigg/ \frac{\mathcal{B}(B^0 \rightarrow K^{*0} e^+ e^-)}{\mathcal{B}(B^0 \rightarrow K^{*0} J/\psi (\rightarrow e^+ e^-))}$$

> Control of the absolute scale of the efficiencies via the ratio

$$r_{J/\psi} = \frac{\mathcal{B}(B^0 \rightarrow K^{*0} J/\psi (\rightarrow \mu^+ \mu^-))}{\mathcal{B}(B^0 \rightarrow K^{*0} J/\psi (\rightarrow e^+ e^-))}$$

which is expected to be unity and measured to be

$$1.043 \pm 0.006 \text{ (stat)} \pm 0.045 \text{ (syst)}$$

LHCb Preliminary	low- q^2	central- q^2
$\mathcal{R}_{K^{*0}}$	$0.660^{+0.110}_{-0.070} \pm 0.024$	$0.685^{+0.113}_{-0.069} \pm 0.047$
95% CL	[0.517–0.891]	[0.530–0.935]
99.7% CL	[0.454–1.042]	[0.462–1.100]

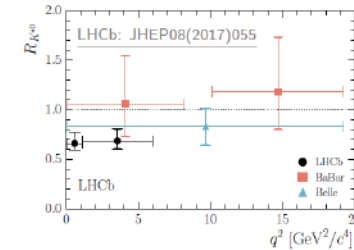
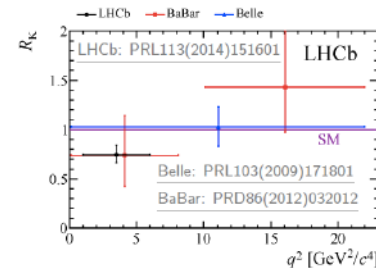
LHCb arXiv: 1705.05802

$R_{K^{(*)}}$ anomalies in earlier data

Previous R_{K^*} and R_K results (LHCb Run 1 data)

Imperial College London

T Humair @ Moriond 2019



All LHCb results below SM expectations:

- ▶ $R_K = 0.745^{+0.090}_{-0.074} \pm 0.036$ for $1.0 < q^2 < 6.0$ GeV², $\sim 2.6\sigma$ from SM;
- ▶ $R_{K^*} = 0.66^{+0.11}_{-0.07} \pm 0.03$ for $0.045 < q^2 < 1.1$ GeV², $\sim 2.2\sigma$ from SM;
- ▶ $R_{K^*} = 0.69^{+0.11}_{-0.07} \pm 0.05$ for $1.1 < q^2 < 6.0$ GeV², $\sim 2.4\sigma$ from SM;

Together with $b \rightarrow s\mu\mu$ results, R_K and R_{K^*} constitute an interesting pattern of anomalies, but the significance is still low.

$$R_{K^{(*)}} = \frac{\mathcal{B}(B \rightarrow K^{(*)} \mu^+ \mu^-)}{\mathcal{B}(B \rightarrow K^{(*)} e^+ e^-)} \stackrel{\text{SM}}{=} 1.0$$

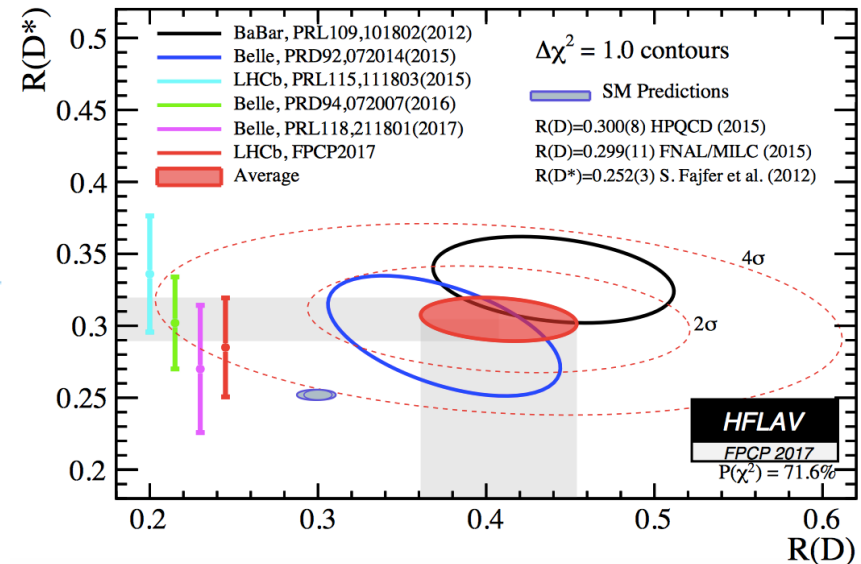
R(D^{*}) anomalies (previously)

$$R(D^{(*)}) = \frac{Br(D^{(*)} \rightarrow \tau \bar{\nu}_\tau)}{Br(D^{(*)} \rightarrow l \bar{\nu}_l)}$$

$$R(D) = \frac{B(\bar{B} \rightarrow D \tau^- \bar{\nu}_\tau)}{B(\bar{B} \rightarrow D \ell^- \bar{\nu}_\ell)} = 0.407 \pm 0.039 \pm 0.024$$

$$R(D^*) = \frac{B(\bar{B} \rightarrow D^* \tau^- \bar{\nu}_\tau)}{B(\bar{B} \rightarrow D^* \ell^- \bar{\nu}_\ell)} = 0.304 \pm 0.013 \pm 0.007$$

4 σ effects!



$$R(J/\psi) = \frac{B(B_c^+ \rightarrow J/\psi \tau^+ \nu_\tau)}{B(B_c^+ \rightarrow J/\psi \mu^+ \nu_\mu)} = 0.71 \pm 0.17 \pm 0.18$$

R. Aaij *et al.* [LHCb Collaboration], [arXiv:1711.05623](https://arxiv.org/abs/1711.05623) [hep-ex]

SM prediction

$$R(J/\psi) = 0.283 \pm 0.048$$

What's new in 2019?

New results: R_K from LHCb

R_K result with 2011 to 2016 data [LHCb-Paper-2019-009](#)

 Imperial College London

T Humair @ Moriond 2019

$$R_{K^{(*)}} = B(B \text{ to } K^{(*)} \mu\mu) / B(B \text{ to } K^{(*)} ee)$$

$$R_{K^{(*)}} = 1 \text{ SM}$$

Using 2011 and 2012 LHCb data, R_K was:

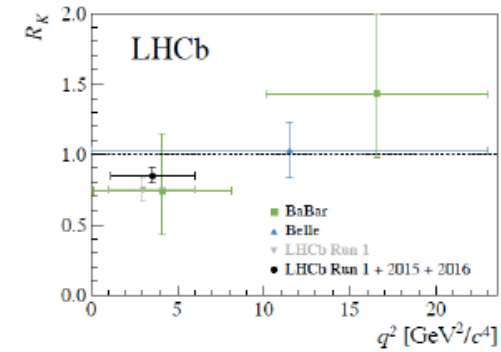
$$R_K = 0.745^{+0.090}_{-0.074}(\text{stat.}) \pm 0.036(\text{syst.}),$$

$\sim 2.6 \sigma$ from SM ([PRL113\(2014\)151601](#)).

Adding 2015 and 2016 data, R_K becomes:

$$R_K = 0.846^{+0.060}_{-0.054}(\text{stat.})^{+0.016}_{-0.014}(\text{syst.})$$

$\sim 2.5 \sigma$ from SM.



New results: R_K from LHCb

Search for lepton-universality violation in $B^+ \rightarrow K^+ \ell^+ \ell^-$ decays

Branching fractions and other results [LHCb-Paper-2019-009](#)

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If instead the **Run 1** and **Run 2** were fitted separately:

$$R_{K \text{ Run 1}}^{\text{new}} = 0.717^{+0.083+0.017}_{-0.071-0.016}, \quad R_{K \text{ Run 2}} = 0.928^{+0.089+0.020}_{-0.076-0.017},$$


$$R_{K \text{ Run 1}}^{\text{old}} = 0.745^{+0.090}_{-0.074} \pm 0.036 \text{ (PRL113(2014)151601)},$$

Compatibility taking correlations into account:

- ▶ Previous Run 1 result vs. this Run 1 result (new reconstruction selection): $< 1 \sigma$;
- ▶ Run 1 result vs. Run 2 result: 1.9σ .

$B^+ \rightarrow K^+ \mu^+ \mu^-$ branching fraction:

- ▶ Compatible with previous result ([JHEP06\(2014\)133](#)) at $< 1 \sigma$;
- ▶ Run 1 and Run 2 results compatible at $< 1 \sigma$.

LHCb collaboration 

Abstract

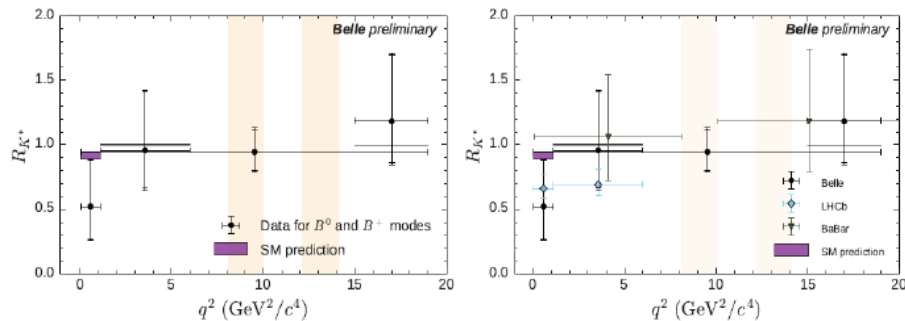
A measurement of the ratio of branching fractions of the decays $B^+ \rightarrow K^+ \mu^+ \mu^-$ and $B^+ \rightarrow K^+ e^+ e^-$ is presented. The proton-proton collision data used correspond to an integrated luminosity of 5.0 fb^{-1} recorded with the LHCb experiment at centre-of-mass energies of 7, 8 and 13 TeV. For the dilepton mass-squared range $1.1 < q^2 < 6.0 \text{ GeV}^2/c^4$ the ratio of branching fractions is measured to be $R_K = 0.846^{+0.060+0.016}_{-0.054-0.014}$, where the first uncertainty is statistical and the second systematic. This is the most precise measurement of R_K to date and is compatible with the Standard Model at the level of 2.5 standard deviations.

Test of lepton flavor universality in $B \rightarrow K^* \ell^+ \ell^-$ decays at Belle

arXiv:1904.02440

We present a measurement of R_{K^*} , the ratio of the branching fractions $\mathcal{B}(B \rightarrow K^* \mu^+ \mu^-)$ and $\mathcal{B}(B \rightarrow K^* e^+ e^-)$, for both charged and neutral B mesons. The ratio for charged B mesons, $R_{K^{*+}}$, is the first measurement ever performed. The analysis is based on a data sample of 711 fb^{-1} , containing 772×10^6 BB events, recorded at the $\Upsilon(4S)$ resonance with the Belle detector at the KEKB asymmetric-energy e^+e^- collider. The obtained results are compatible with standard model expectations.

$R(K^*)$: (Preliminary) Result

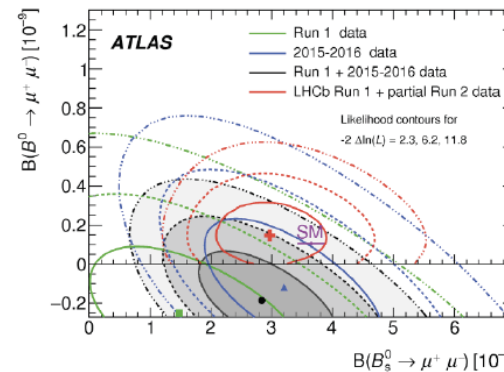


q^2 in GeV^2/c^4	All modes	B^0 modes	B^+ modes
[0.045, 1.1]	$0.52^{+0.36}_{-0.28} \pm 0.05$	$0.46^{+0.55}_{-0.27} \pm 0.07$	$0.62^{+0.60}_{-0.38} \pm 0.10$
[1.1, 6]	$0.96^{+0.45}_{-0.29} \pm 0.11$	$1.06^{+0.63}_{-0.39} \pm 0.13$	$0.72^{+0.99}_{-0.54} \pm 0.18$
[0.1, 8]	$0.90^{+0.27}_{-0.21} \pm 0.10$	$0.86^{+0.38}_{-0.24} \pm 0.08$	$0.96^{+0.56}_{-0.35} \pm 0.14$
[15, 19]	$1.18^{+0.52}_{-0.32} \pm 0.10$	$1.12^{+0.61}_{-0.38} \pm 0.10$	$1.40^{+1.99}_{-0.69} \pm 0.11$
[0.045,]	$0.94^{+0.17}_{-0.14} \pm 0.08$	$1.12^{+0.27}_{-0.21} \pm 0.09$	$0.70^{+0.24}_{-0.19} \pm 0.07$

- All measured values are in accordance with the SM and other recent measurements.
- First measurement of $R(K^{*+})$.

$B_s \rightarrow \mu^+ \mu^-$ from ATLAS

Results



- SM:
 - $\text{Br}(B_s \rightarrow \mu\mu) = (3.65 \pm 0.23) \times 10^{-9}$
 - $\text{Br}(B^0 \rightarrow \mu\mu) = (1.06 \pm 0.09) \times 10^{-10}$
- Best fit of Run 2 data:
 - $\text{Br}(B_s \rightarrow \mu\mu) = (3.2 \pm 0.9) \times 10^{-9}$
 - $\text{Br}(B^0 \rightarrow \mu\mu) = (-1.3 \pm 2.1) \times 10^{-10}$
- Run 1 + Run 2 result @ 95% CL
 - $\text{Br}(B_s \rightarrow \mu\mu) = (2.8 \pm 0.8) \times 10^{-9}$
 - $\text{Br}(B^0 \rightarrow \mu\mu) < 2.1 \times 10^{-10}$

PDG 2018: $\mathcal{B}(B_s \rightarrow \mu\mu) = (2.7^{+0.6}_{-0.5}) \times 10^{-9}$

B^0 limit is most stringent at the moment

Processes induced by $b \rightarrow s$ II interaction are still consistently lower than SM predictions. Combined effects are about 3.8σ !

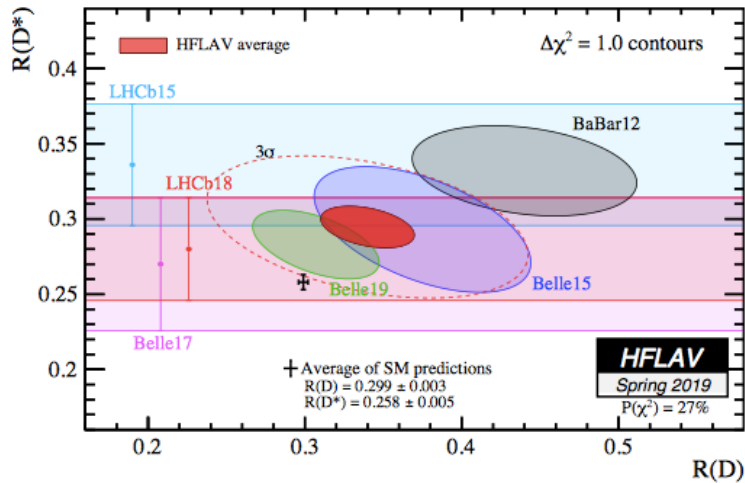
Measurement of $\mathcal{R}(D)$ and $\mathcal{R}(D^*)$ with a semileptonic tagging method [arXiv:1904.08794](https://arxiv.org/abs/1904.08794)

We report a measurement of the ratios of branching fractions $\mathcal{R}(D) = \mathcal{B}(\bar{B} \rightarrow D\tau^-\bar{\nu}_\tau)/\mathcal{B}(\bar{B} \rightarrow D\ell^-\bar{\nu}_\ell)$ and $\mathcal{R}(D^*) = \mathcal{B}(\bar{B} \rightarrow D^*\tau^-\bar{\nu}_\tau)/\mathcal{B}(\bar{B} \rightarrow D^*\ell^-\bar{\nu}_\ell)$, where ℓ denotes an electron or a muon. The results are based on a data sample containing 772×10^6 $B\bar{B}$ events recorded at the $\Upsilon(4S)$ resonance with the Belle detector at the KEKB e^+e^- collider. The analysis utilizes a method where the tag-side B meson is reconstructed in a semileptonic decay mode, and the signal-side τ is reconstructed in a purely leptonic decay. The measured values are $\mathcal{R}(D) = 0.307 \pm 0.037 \pm 0.016$ and $\mathcal{R}(D^*) = 0.283 \pm 0.018 \pm 0.014$, where the first uncertainties are statistical and the second are systematic. These results are in agreement with the Standard Model predictions within 0.2 and 1.1 standard deviations, respectively, while their combination agrees with the Standard Model predictions within 1.2 standard deviations.

$$F_{D^*}^L = \frac{\Gamma(\bar{B} \rightarrow D^*\tau\nu)}{\Gamma(\bar{B} \rightarrow D^*\tau\nu)}$$

$$(F_{D^*}^L)^{SM} = 0.457 \pm 0.010$$

[arXiv:1903.03102](https://arxiv.org/abs/1903.03102) $F_{D^*}^L = 0.60 \pm 0.08$ (stat) ± 0.035 (sys)
1.7 σ deviation

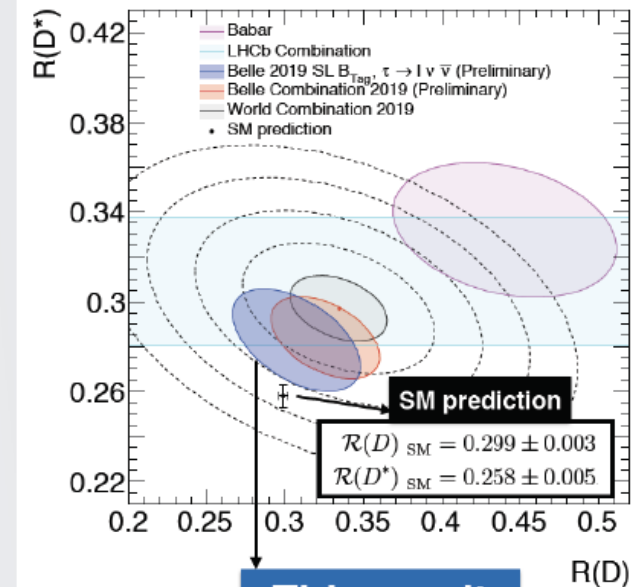


Still 3.1 sigma effect for world average.

- **Most precise measurement** of $\mathcal{R}(D)$ and $\mathcal{R}(D^*)$ to date
- First $\mathcal{R}(D)$ measurement performed with a **semileptonic tag**
- Results **compatible with SM** expectation within **1.2 σ**
- $\mathcal{R}(D) - \mathcal{R}(D^*)$ Belle average is now within **2 σ** of the SM prediction
- $\mathcal{R}(D) - \mathcal{R}(D^*)$ exp. world average tension with SM expectation **decreases from 3.8 σ to 3.1 σ**

Preliminary $R_{D^{(*)}}$ results from Belle

G Caria @ Moriond 2019



This result

$$\mathcal{R}(D) = 0.307 \pm 0.037 \pm 0.016$$

$$\mathcal{R}(D^*) = 0.283 \pm 0.018 \pm 0.014$$

What Anomalies tell us?

anomaly – Cambridge Dictionary

noun [C or U] • **UK**  /əˈnɒm.ə.li/ **US**  /əˈnɑː.mə.li/ FORMAL

- ★ **a person or thing that is different from what is usual, or not in agreement with something else and therefore not satisfactory:**

Statistical anomalies can make it difficult to compare economic data from one year to the next.

The anomaly of the social security system is that you sometimes have more money without a job.

B decays that are different from SM predictions and therefore not satisfactory.

The B physics anomalies might be some hints of something more than just SM.

Will these anomalies stand with time??? More Data!!!

Measurement of the branching ratio of $\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau$ relative to $\bar{B} \rightarrow D^{(*)} \ell^- \bar{\nu}_\ell$ decays with hadronic tagging at Belle

Belle Collaboration (M. Huschle (Karlsruhe U., EKP) *et al.*). Jul 12, 2015. 14 pp.

Published in *Phys.Rev.* **D92** (2015) no.7, 072014

KEK-REPORT-2015-18

DOI: [10.1103/PhysRevD.92.072014](https://doi.org/10.1103/PhysRevD.92.072014)

e-Print: [arXiv:1507.03233](https://arxiv.org/abs/1507.03233) [hep-ex] | [PDF](#)

[References](#) | [BibTeX](#) | [LaTeX\(US\)](#) | [LaTeX\(EU\)](#) | [Harvmac](#) | [EndNote](#)

[ADS Abstract Service](#); [OSTI.gov Server](#); [Link to Scientific American article](#)

[Detailed record](#) - Cited by 476 records 250+

Measurement of the ratio of branching fractions $B(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau)/B(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu)$

LHCb Collaboration (Roel Aaij (CERN) *et al.*). Jun 29, 2015. 10 pp.

Published in *Phys.Rev.Lett.* **115** (2015) no.11, 111803, Erratum: *Phys.Rev.Lett.* **115** (2015) no.11, 111804

CERN-PH-EP-2015-150, LHCb-PAPER-2015-025

DOI: [10.1103/PhysRevLett.115.159901](https://doi.org/10.1103/PhysRevLett.115.159901), [10.1103/PhysRevLett.115.111803](https://doi.org/10.1103/PhysRevLett.115.111803)

e-Print: [arXiv:1506.08614](https://arxiv.org/abs/1506.08614) [hep-ex] | [PDF](#)

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[CERN Document Server](#); [ADS Abstract Service](#); [Link to livescience article](#); [Link](#)

[Detailed record](#) - Cited by 580 records 500+

Angular analysis of the $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ decay using 3 fb^{-1}

LHCb Collaboration (Roel Aaij (CERN) *et al.*). Dec 14, 2015.

Published in *JHEP* **1602** (2016) 104

CERN-PH-EP-2015-314, LHCb-PAPER-2015-051

DOI: [10.1007/JHEP02\(2016\)104](https://doi.org/10.1007/JHEP02(2016)104)

e-Print: [arXiv:1512.04442](https://arxiv.org/abs/1512.04442) [hep-ex] | [PDF](#)

[References](#) | [BibTeX](#) | [LaTeX\(US\)](#) | [LaTeX\(EU\)](#) | [Harvmac](#) | [EndNote](#)

[CERN Document Server](#); [ADS Abstract Service](#); [Link to Article from SCOAP3](#); [Link to Figures, tables and](#)

Data: [INSPIRE](#) | [HepData](#)

[Detailed record](#) - Cited by 453 records 250+

Lepton-Flavor-Dependent Angular Analysis of $B \rightarrow K^* \ell^+ \ell^-$

Belle Collaboration (S. Wehle (DESY) *et al.*). Dec 15, 2016.

Published in *Phys.Rev.Lett.* **118** (2017) no.11, 111801

BELLE-PREPRINT-2016-15, KEK-PREPRINT-2016-54

DOI: [10.1103/PhysRevLett.118.111801](https://doi.org/10.1103/PhysRevLett.118.111801)

e-Print: [arXiv:1612.05014](https://arxiv.org/abs/1612.05014) [hep-ex] | [PDF](#)

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[Detailed record](#) - Cited by 197 records 100+

Evidence for an excess of $\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau$ decays

BaBar Collaboration (J.P. Lees (Annecy, LAPP) *et al.*). May 2012. 8 pp.

Published in *Phys.Rev.Lett.* **109** (2012) 101802

BABAR-PUB-12-012, SLAC-PUB-15028

DOI: [10.1103/PhysRevLett.109.101802](https://doi.org/10.1103/PhysRevLett.109.101802)

e-Print: [arXiv:1205.5442](https://arxiv.org/abs/1205.5442) [hep-ex] | [PDF](#)

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[ed by 664 records](#) 500+

Test of lepton universality using $B^+ \rightarrow K^+ \ell^+ \ell^-$ decays

LHCb Collaboration (Roel Aaij (NIKHEF, Amsterdam) *et al.*). Jun 25, 2014. 10 pp.

Published in *Phys.Rev.Lett.* **113** (2014) 151601

CERN-PH-EP-2014-140, LHCb-PAPER-2014-024

DOI: [10.1103/PhysRevLett.113.151601](https://doi.org/10.1103/PhysRevLett.113.151601)

e-Print: [arXiv:1406.6482](https://arxiv.org/abs/1406.6482) [hep-ex] | [PDF](#)

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[CERN Document Server](#); [ADS Abstract Service](#)

[Detailed record](#) - Cited by 802 records 500+

Search for lepton-universality violation in $B^+ \rightarrow K^+ \ell^+ \ell^-$ decays

LHCb Collaboration (Roel Aaij (NIKHEF, Amsterdam) *et al.*). Mar 21, 2019. 28 pp.

LHCb-PAPER-2019-009, CERN-EP-2019-043

e-Print: [arXiv:1903.09252](https://arxiv.org/abs/1903.09252) [hep-ex] | [PDF](#)

[References](#) | [BibTeX](#) | [LaTeX\(US\)](#) | [LaTeX\(EU\)](#) | [Harvmac](#) | [EndNote](#)

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[Detailed record](#) - Cited by 16 records



After 2019 new data

Citing LHCb R_K arXiv: 1903.09252: 1903.03016;1093.09632;1903.10086;
1903.10440;1903.10434;1903.10302;1903.10932;1093.11517;1903.05890;1904.08399;
1904.10954;1905.00315;1905.04074;1905.04046;1905.06339;1905.06614;1905.07690;
1905.07982...

Citing Belle R_{K^*} arXiv: 1903.02440: 1904.08267;1904.08399;1905.04245;
1905.07690;1905.07982...

Citing Belle $F_L(D)$ arXiv: 1903.03102: 1903.10486;1904.07530;1904.10432;
1905.01795;1905.03311;1905.08209;1905.08498...

Citing Belle $R_{D^{(*)}}$ arXiv: 1904.08794: 1903.03016;1904.09311;1905.01795;
1905.02702;1905.03311;1905.04074;1905.05211;1905.08257;1905.08253;
1905.08498...

Compared with 2017 LHCb R_{K^*} arXiv: 1705.05802 and the CERN seminar 18/4/2016 talk by S. Bifani cited 35 times (now ~ 410) the end of May. Although the excitement went down a little bit, people are still very much concerned with the B anomalies!



Theoretical issues

How robust are SM theoretical calculations?

Why $R_{K(*)}(\text{exp}) < R_{K(*)}(\text{SM})$?

Why $R_{D(*)}(\text{exp}) > R_{D(*)}(\text{SM})$?

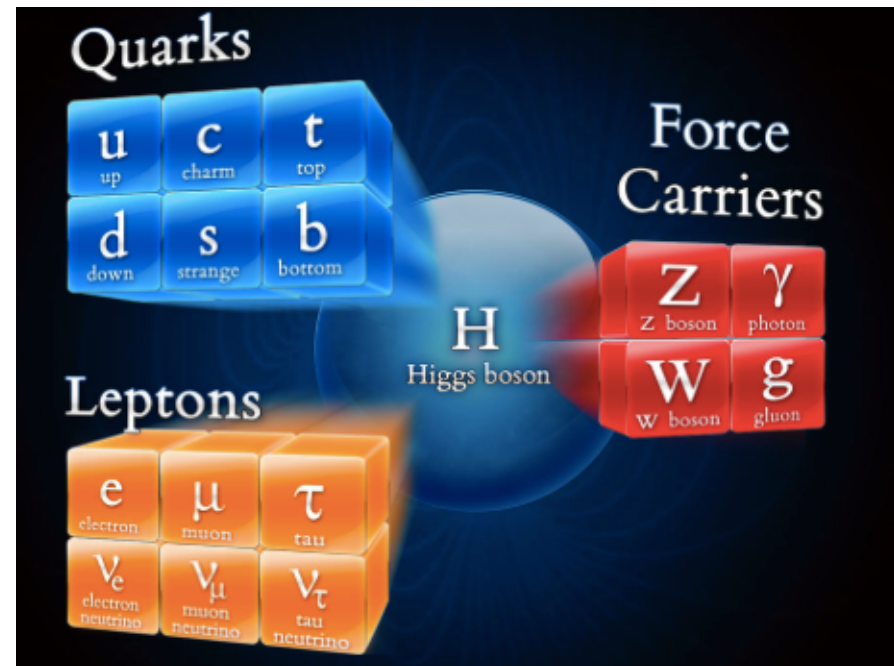
Is there a q^2 dependence, why?

2. Standard Model and Beyond for B Physics

Standard Model is based on $SU(3)_C \times SU(2)_L \times U(1)_Y$ gauge interaction.

In SM mis-match of weak and mass eigen-bases, leads to flavor mixing and CP violation, part of the story of flavor physics.

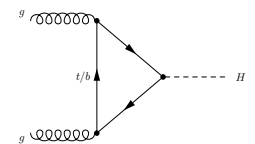
The theory for B physics!



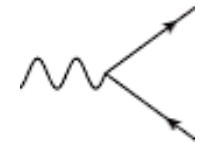
Number of SM generations

In the SM, only 3 generations of quarks and leptons are allowed.

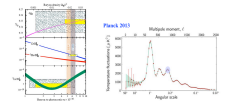
$gg \rightarrow \text{Higgs} \sim (\text{number of heavy quarks})^2$, if fourth generation exist, their mass should be large, 9 times bigger production of Higgs. LHC data ruled out more than 3 generations of quarks.



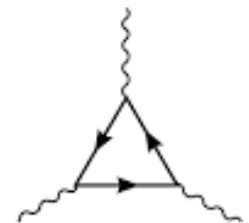
LEP already ruled out more than 3 neutrinos with mass less than $m_Z/2$.



Cosmology and astrophysics, number of light neutrinos also less than 4.



SM, triangle anomaly cancellation: equal number of quarks and leptons



There are only three generations of sequential quarks and leptons!

Why 3 generations? How do they mix with each other?

Quark and Lepton mixing patterns

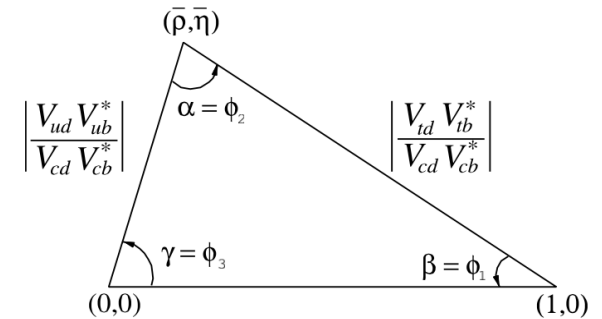
The mis-match of weak and mass eigen-state bases lead quark and lepton mix within generations.

Quark mixing the Cabibbo -Kobayashi-Maskawa (CKM) matrix V_{CKM} ,
 lepton mixing the Pontecorvo -Maki-Nakawaga-Sakata (PMNS) matrix U_{PMNS}

$$L = -\frac{g}{\sqrt{2}}\bar{U}_L\gamma^\mu V_{CKM}D_LW_\mu^+ - \frac{g}{\sqrt{2}}\bar{E}_L\gamma^\mu U_{PMNS}N_LW_\mu^- + H.C. ,$$

$$U_L = (u_L, c_L, t_L, \dots)^T, D_L = (d_L, s_L, b_L, \dots)^T, E_L = (e_L, \mu_L, \tau_L, \dots)^T, \text{ and } N_L = (\nu_1, \nu_2, \nu_3, \dots)^T$$

For n-generations, $V = V_{CKM}$ or U_{PMNS} is an $n \times n$ unitary matrix.



A commonly used form of mixing matrix for three generations of fermions is given by

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix},$$

where $s_{ij} = \sin\theta_{ij}$ and $c_{ij} = \cos\theta_{ij}$ are the mixing angles and δ is the CP violating phase.

If neutrinos are of Majorana type, for the PMNS matrix one should include an additional diagonal

matrix with two Majorana phases $\text{diag}(e^{i\alpha_1/2}, e^{i\alpha_2/2}, 1)$ multiplied to the matrix from right in the above.

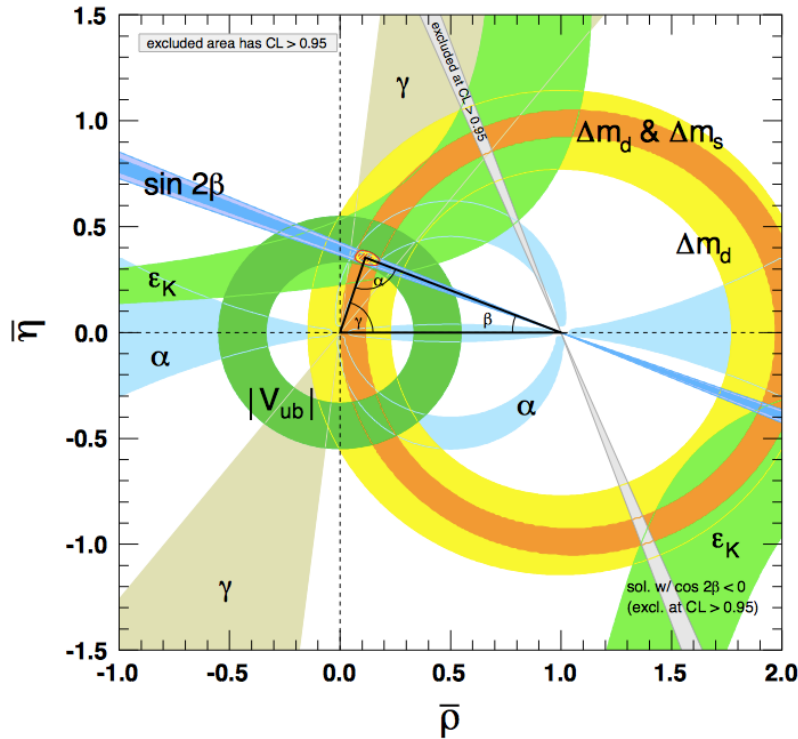
Status of Quark and Lepton

Quark Mixing

PDG

Neutrino Mixing

$\Delta m^2 = \Delta m_{31}^2 - \Delta m_{21}^2/2 > 0$, if $m_1 < m_2 < m_3$, and $\Delta m^2 = \Delta m_{32}^2 + \Delta m_{21}^2/2 < 0$ for $m_3 < m_1 < m_2$.



Parameter	best-fit	3σ
Δm_{21}^2 [10^{-5} eV ²]	7.37	6.93 – 7.97
$ \Delta m^2 $ [10^{-3} eV ²]	2.50 (2.46)	2.37 – 2.63 (2.33 – 2.60)
$\sin^2 \theta_{12}$	0.297	0.250 – 0.354
$\sin^2 \theta_{23}, \Delta m^2 > 0$	0.437	0.379 – 0.616
$\sin^2 \theta_{23}, \Delta m^2 < 0$	0.569	0.383 – 0.637
$\sin^2 \theta_{13}, \Delta m^2 > 0$	0.0214	0.0185 – 0.0246
$\sin^2 \theta_{13}, \Delta m^2 < 0$	0.0218	0.0186 – 0.0248
δ/π	1.35 (1.32)	(0.92 – 1.99) ((0.83 – 1.99))

$$\begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

$$\lambda = 0.22537 \pm 0.00061,$$

$$A = 0.814^{+0.023}_{-0.024},$$

$$\bar{\rho} = 0.117 \pm 0.021,$$

$$\bar{\eta} = 0.353 \pm 0.013.$$

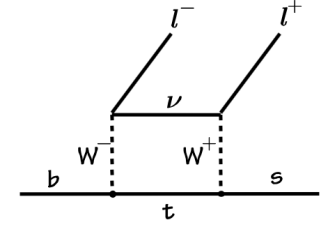
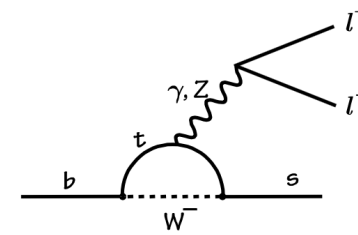
b -> s ll induced anomalies

B -> s ll in the SM and beyond

$$\mathcal{H}_{\text{eff, NP}}^{bsll} = -\mathcal{N} \left(C_7^{bs} O_7^{bs} + C_7'^{bs} O_7'^{bs} + \sum_{\ell=e,\mu} \sum_{i=9,10,S,P} \left(C_i^{bsll} O_i^{bsll} + C_i'^{bsll} O_i'^{bsll} \right) \right) + \text{h.c.},$$

with the normalization factor

$$\mathcal{N} = \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{e^2}{16\pi^2}.$$



The dipole operators are given by¹

$$O_7^{bs} = \frac{m_b}{e} (\bar{s} \sigma_{\mu\nu} P_R b) F^{\mu\nu},$$

$$O_7'^{bs} = \frac{m_b}{e} (\bar{s} \sigma_{\mu\nu} P_L b) F^{\mu\nu},$$

where $\sigma^{\mu\nu} = \frac{i}{2} [\gamma^\mu, \gamma^\nu]$, and the semi-leptonic operators

$$O_9^{bsll} = (\bar{s} \gamma_\mu P_L b) (\bar{\ell} \gamma^\mu \ell),$$

$$O_9'^{bsll} = (\bar{s} \gamma_\mu P_R b) (\bar{\ell} \gamma^\mu \ell),$$

$$O_{10}^{bsll} = (\bar{s} \gamma_\mu P_L b) (\bar{\ell} \gamma^\mu \gamma_5 \ell),$$

$$O_{10}'^{bsll} = (\bar{s} \gamma_\mu P_R b) (\bar{\ell} \gamma^\mu \gamma_5 \ell),$$

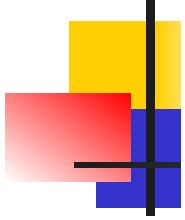
$$O_S^{bsll} = (\bar{s} P_R b) (\bar{\ell} \ell),$$

$$O_S'^{bsll} = (\bar{s} P_L b) (\bar{\ell} \ell),$$

$$O_P^{bsll} = (\bar{s} P_R b) (\bar{\ell} \gamma_5 \ell),$$

$$O_P'^{bsll} = (\bar{s} P_L b) (\bar{\ell} \gamma_5 \ell).$$

$$C_{7\text{eff},9,10}^{\text{SM}}(\mu_b) = (-0.29, 4.07, -4.31) \quad \mu_b = 4.8 \text{ GeV}$$



Coeff.	best fit	1σ	2σ	pull
$C_9^{bs\mu\mu}$	-0.95	[-1.10, -0.79]	[-1.26, -0.63]	5.8σ
$C_9^{'bs\mu\mu}$	+0.09	[-0.07, +0.24]	[-0.23, +0.39]	0.5σ
$C_{10}^{bs\mu\mu}$	+0.73	[+0.59, +0.87]	[+0.46, +1.01]	5.6σ
$C_{10}^{'bs\mu\mu}$	-0.19	[-0.30, -0.07]	[-0.41, +0.04]	1.6σ
$C_9^{bs\mu\mu} = C_{10}^{bs\mu\mu}$	+0.20	[+0.05, +0.35]	[-0.09, +0.51]	1.4σ
$C_9^{bs\mu\mu} = -C_{10}^{bs\mu\mu}$	-0.53	[-0.62, -0.45]	[-0.70, -0.36]	6.5σ
C_9^{bsee}	+0.88	[+0.62, +1.15]	[+0.36, +1.44]	3.4σ
$C_9^{'bsee}$	+0.32	[+0.09, +0.61]	[-0.16, +0.91]	1.3σ
C_{10}^{bsee}	-0.82	[-1.06, -0.59]	[-1.31, -0.37]	3.7σ
$C_{10}^{'bsee}$	-0.27	[-0.52, -0.05]	[-0.78, +0.17]	1.2σ
$C_9^{bsee} = C_{10}^{bsee}$	-1.65	[-1.93, -1.36]	[-2.19, -1.02]	4.0σ
$C_9^{bsee} = -C_{10}^{'bsee}$	+0.45	[+0.31, +0.59]	[+0.19, +0.74]	3.6σ
$(C_S^{bs\mu\mu} = -C_P^{bs\mu\mu}) \times \text{GeV}$	-0.005	[-0.008, -0.003]	[-0.013, -0.001]	2.6σ
$(C_S^{'bs\mu\mu} = C_P^{'bs\mu\mu}) \times \text{GeV}$	-0.005	[-0.008, -0.003]	[-0.013, -0.001]	2.6σ

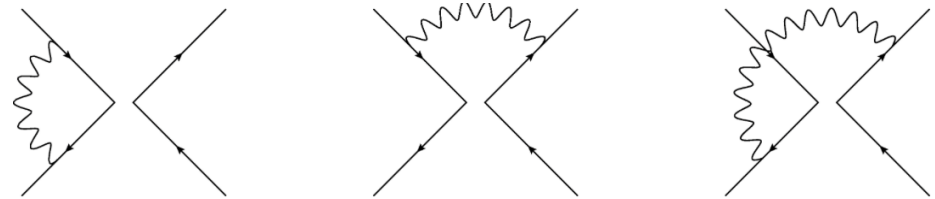
Latest fit: J. Aebischer et al., arxiv:1903.10434

Older fits: arXiv:1307.5683, 1510.04239, 1703.09189

$b \rightarrow c \tau \nu_\tau$

$$\mathcal{H}_{\text{eff}} = 2\sqrt{2}G_F V_{cb} [(1 + C_V^L)O_V^L + C_S^R O_S^R + C_S^L O_S^L + C_T O_T]$$

M. Blanke et al., arXiv:1901811.09603



$$O_V^L = (\bar{c}\gamma^\mu P_L b) (\bar{\tau}\gamma_\mu P_L \nu_\tau) ,$$

$$O_S^R = (\bar{c}P_R b) (\bar{\tau}P_L \nu_\tau) ,$$

$$O_S^L = (\bar{c}P_L b) (\bar{\tau}P_L \nu_\tau) ,$$

$$O_T = (\bar{c}\sigma^{\mu\nu} P_L b) (\bar{\tau}\sigma_{\mu\nu} P_L \nu_\tau) ,$$

1D hyp.	best-fit	1σ range	2σ range	p -value (%)	pull_{SM}
C_V^L	0.11	[0.09, 0.13]	[0.06, 0.15]	35	4.6
$C_S^R _{10\%}$	0.15	[0.13, 0.15]	[0.08, 0.15]	1.7	3.8
$C_S^R _{30\%,60\%}$	0.16	[0.13, 0.20]	[0.08, 0.23]	1.8	3.8
C_S^L	0.12	[0.07, 0.16]	[0.01, 0.20]	0.02	2.2
$C_S^L = 4C_T$	-0.07	[-0.12, -0.03]	[-0.15, 0.02]	0.01	1.6

Similar work: P. Asadi and D. Shih, arXiv: 1905.03311

3. Models for $R(D^{(*)})$ and $b \rightarrow s \mu^+ \mu^-$ Anomalies

A lot of model building activities trying to provide solutions to $R(D^{(*)})$ and $b \rightarrow s \mu^+ \mu^-$ induced anomalies.

Making $b \rightarrow s \mu^+ \mu^-$ smaller or $b \rightarrow s e^+ e^-$ larger than SM predictions.

Z' and W' models, Multi-Higgs models, leptoquark models,
Susy, R-parity violating models,

.....

Solve two types of anomalies separately or solve them simultaneously. Hundreds of papers written on related subjects!

Theoretical modeling for $b \rightarrow s$ anomalies

A Z' model based on gauge family symmetry

A Variation of each generation has a $SU(2) \times U(1)$, Ernest Ma and X-Y Li

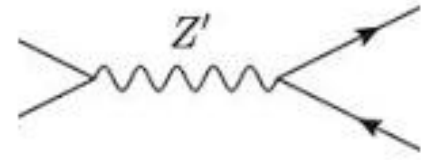
$$SU(3) \times SU(2)_l \times SU(2)_h \times U(1)_Y \quad \text{C-W. Chiang, X-G He, G. Valencia, PRD93,074003.}$$

Motivated by the fact that the third generation mass is bigger than the first two generations.)

$$Q_L^{1,2} : (3, 2, 1, 1/3), \quad Q_L^3 : (3, 1, 2, 1/3), \quad U_R^{1,2,3} : (3, 1, 1, 4/3), \quad D_R^{1,2,3} : (3, 1, 1, -2/3),$$

$$L_L^{1,2} : (1, 2, 1, -1), \quad L_L^3 : (1, 1, 2, -1), \quad E_R^{1,2,3} : (1, 1, 1, -2),$$

$$\mathcal{L} = \bar{\psi} \gamma_\mu \left[e A^\mu Q + \frac{g}{c_W} Z_L^\mu (T_3^l + T_3^h - Q s_W^2) + g Z_H^\mu \left(\frac{s_E}{c_E} T_3^l - \frac{c_E}{s_E} T_3^h \right) \right] \psi,$$



$$Z_L = -\sin \xi Z_h + \cos \xi Z_l, \quad Z_H = \cos \xi Z_h + \sin \xi Z_l, \quad \xi \approx \frac{s_E c_E}{c_W} (s_\beta^2 - s_E^2) \epsilon^2, \quad \frac{m_{Z_l}^2}{m_{Z_h}^2} \approx \epsilon^2 \frac{s_E^2 c_E^2}{c_W^2},$$

$$R_K = 0.745 \Rightarrow \sin^2 \theta = 0.37,$$

$$\frac{\mathcal{B}(B \rightarrow K \tau \bar{\tau})}{\mathcal{B}(B \rightarrow K \mu \bar{\mu})} = 1.36,$$

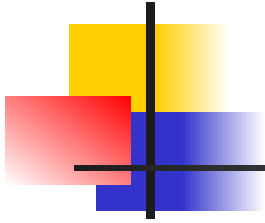
$$\frac{\mathcal{B}(B \rightarrow K(e \bar{\tau}, \tau \bar{e}))}{\mathcal{B}(B \rightarrow K \mu \bar{\mu})} = 0.037.$$

going to fermion mass eigenstates via unitary matrices

T , introduces FCNC

$$\tilde{\Delta}_{sb}^q = T_{bs}^{q*} T_{bb}^q, \quad \tilde{\Delta}_{ij}^\ell = T_{3i}^{\ell*} T_{3j}^\ell$$

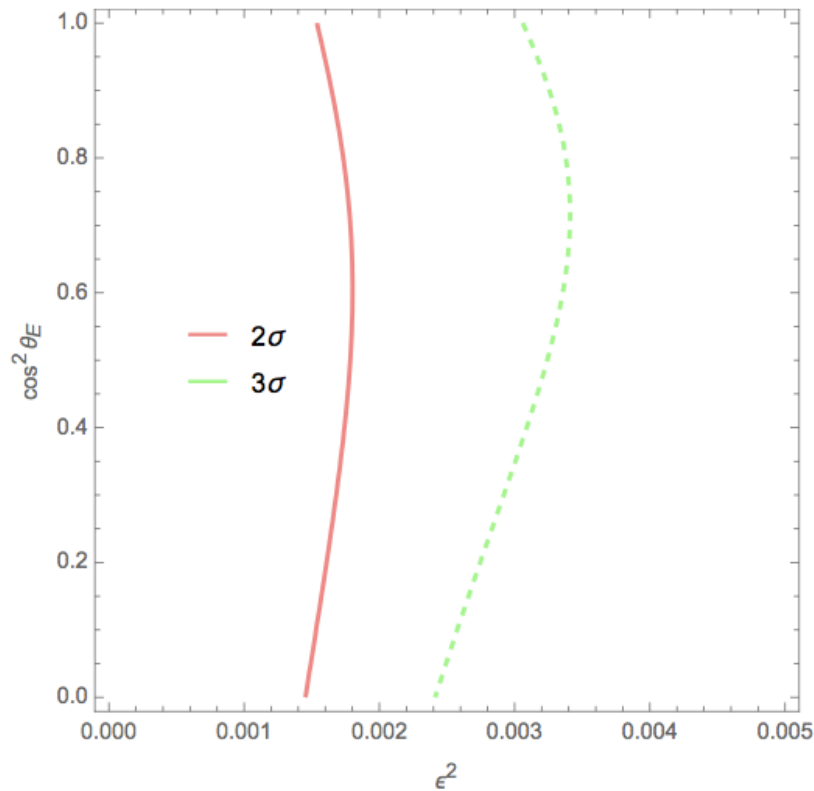
$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{\pi}{\alpha} \epsilon^2 c_E^2 \frac{\tilde{\Delta}_{sb}^q}{V_{tb} V_{ts}^*} \delta_{ij} \left[(4s_W^2 - 1) \mathcal{O}_9^{ij} + \mathcal{O}_{10}^{ij} \right] - \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{\pi}{\alpha} \epsilon^2 \frac{\tilde{\Delta}_{sb}^q}{V_{tb} V_{ts}^*} (s_E^2 \delta_{ij} - \tilde{\Delta}_{ij}^\ell) (\mathcal{O}_9^{ij} - \mathcal{O}_{10}^{ij}),$$



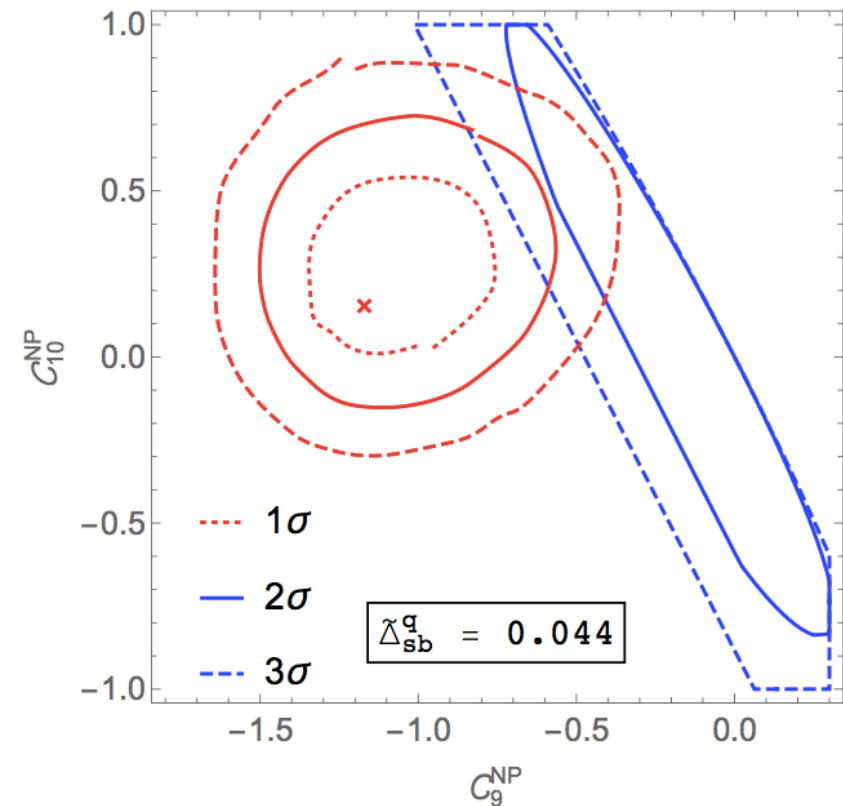
Very constraining electroweak precision data!

Chiang, Deshpande, He, Jiang, PRD81, 015006(2010). Chiang, He, Valencia, PRD93, 074003(2016)

Updated by Fang Ye, 2017



Updated by G. Valencia 2017

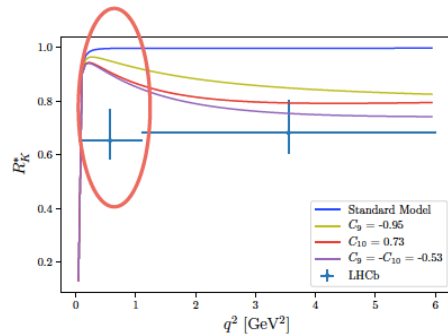


Difficult to explain

Peisi Huang's talk at susy2019

why lower q^2 has lower event number

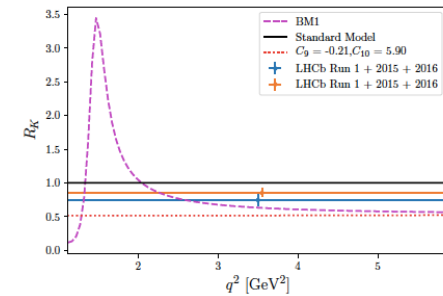
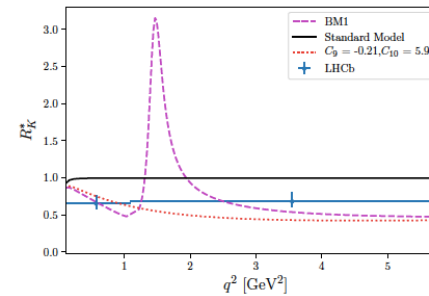
Conclusion



Tension in the low q^2 bin

- Hadron effects become important when introducing new physics
- The hadron effects are not well understood in the interested q^2 region
- Possible explain both bins with modified form factors
- Calls for lattice calculation in the low q^2 bin
- New physics with light and heavy mediators

BM Scenarios



A wide peak (dip-peak structure) near the light Z' pole
 Low q^2 bin receives extra suppression from the light Z'
 The asymptotic value smaller than the measured value because of the peak

A light Z' exchange

A. Datta et al., arXiv: 1702.01099...

The corresponding Wilson coefficients are

$$C_{9,10}^{l,NP} = \frac{\sqrt{2}\pi}{G_F V_{tb} V_{ts}^*} \frac{g_{bs} g_{\mu}^{V,A}}{\alpha q^2 - m_{Z'}^2 + i m_{Z'} \Gamma_{Z'}}$$

The $B \rightarrow D^{(*)} \tau \nu$ anomalies

If one neglects differences between

$$(R_{D^*}/R_D)_{\text{EXP}} = 1.3 \text{ and } (R_{D^*}/R_D)_{\text{SM}} = 1.16,$$

then modification of the form

$$H_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{m3} (\delta_i^{l'} + \Delta_i^{l'm}) \bar{l} \gamma^\mu P_L \nu_l \bar{u}^m \gamma_\mu P_L b_L$$

V_{ij} – KM matrix element

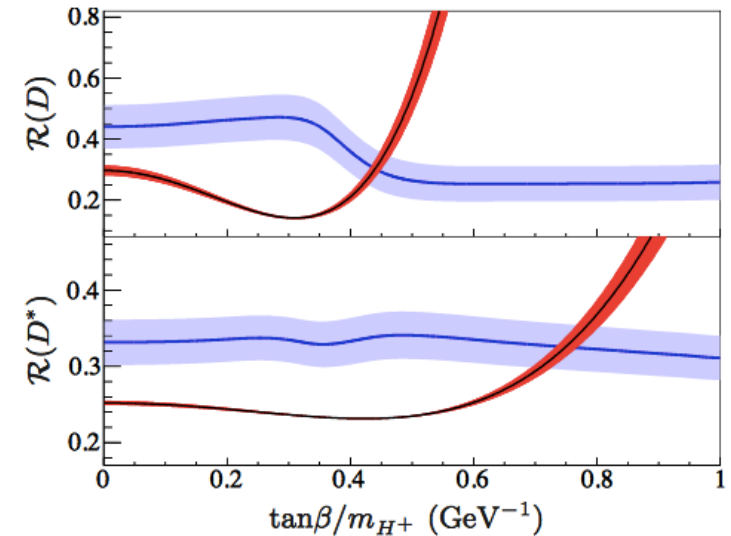
With $\Delta_2^{2,3} \sim 0.13$ and other $\Delta_i^{j,k} = 0$

will solve the problem.

But if one cares, then needs to have different modifications for R_{D^*} and R_D .

New Physics modify charged current interaction... in a way that

- a) The first two and third generations interact differently;
- b) Modification for charged current interaction in SM!



Charged Higgs contribution is not enough

Babar collaboration, arXiv 1303.0571

A sample model modify R_{D^*} and R_D differently

$$SU(3)_C \times SU(2)_L \times SU(2)_R \times U(1)_{B-L} \quad Q_L^3 : (3, 2, 1)(1/3), \quad Q_R^3 : (3, 1, 2)(1/3),$$

X-G He, G. Valencia, PRD87, 014014(2013)

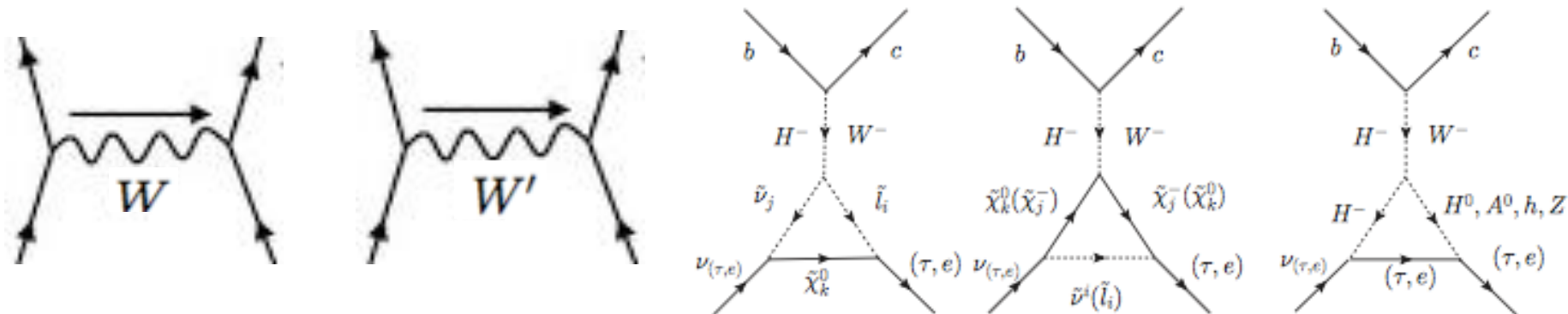
$$L_L^3 : (1, 2, 1)(-1), \quad L_R^3 : (1, 1, 2)(-1).$$

$$Q_L^{1,2} : (3, 2, 1)(1/3), \quad U_R^{1,2} : (3, 1, 1)(4/3), \quad D_R^{1,2} : (3, 1, 1)(-2/3),$$

$$L_L^{1,2} : (1, 2, 1)(-1), \quad E_R^{1,2} : (1, 1, 1)(-2).$$

The 3rd generation is different than other generations. Motivated by Rb problem in EW precision test.

$$\begin{aligned} \mathcal{L}_W = & -\frac{g_L}{\sqrt{2}}(\bar{\nu}_L \gamma^\mu U^{\ell\dagger} \ell_L + \bar{\nu}_{R3}^c \gamma^\mu U_{RLj3}^{\ell*} \ell_{Lj})W_\mu^+ (\cos \xi_W W_\mu^+ - \sin \xi_W W_\mu^{\prime+}) \\ & - \frac{g_R}{\sqrt{2}}(\bar{\nu}_{Li}^c \gamma^\mu U_{LRij}^\ell \ell_{Rj} + \bar{\nu}_{R3} \gamma^\mu U_{R3j}^\ell \ell_{Rj})(\sin \xi_W W_\mu^+ + \cos \xi_W W_\mu^{\prime+}) + \text{h. c.} \end{aligned}$$



R(D^{*}) anomalies can be solved.

$$\frac{d\Gamma(B \rightarrow D\tau\nu)}{dq^2} = \frac{d\Gamma(B \rightarrow D\tau\nu)}{dq^2} \Big|_{SM} (F_{\text{dir}}^{bc} + 2 F_{\text{mix}}^{bc})$$

$$\Gamma(B \rightarrow D\tau\nu) = \Gamma(B \rightarrow D\tau\nu)_{SM} (F_{W'}^q + 2 F_{\text{Mix}}^q)$$

$$F_{\text{Mix}}^q = \xi_W \frac{g_R}{g_L} \frac{\text{Re}(V_{qb}^* V_{Rqb})}{|V_{qb}|^2} \left(1 - \left(\frac{M_W}{M_{W'}}\right)^2\right) \left(1 + \left(\frac{g_R M_W}{g_L M_{W'}}\right)^2 |V_{R3\ell}^\ell|^2\right)$$

$$F_{W'}^q = \left(1 + \left(\frac{g_R M_W}{g_L M_{W'}}\right)^4 \frac{|V_{R3\ell}^\ell|^2 |V_{Rqb}|^2}{|V_{qb}|^2}\right)$$

$$\begin{aligned} 1.2 \lesssim F_{W'}^c &\lesssim 1.6 & \frac{\Gamma(B_c^- \rightarrow \tau^- \nu)}{\Gamma(B_c^- \rightarrow \tau^- \nu_\tau)_{SM}} &= F_{\text{dir}}^{bc} - 2 F_{\text{Mix}}^{bc} \\ 0.009 \lesssim F_{\text{Mix}}^c &\lesssim 0.08 & & \end{aligned}$$

$$\frac{d\Gamma(B \rightarrow D^* \tau \nu)}{dq^2} = \frac{d\Gamma(B \rightarrow D^* \tau \nu)}{dq^2} \Big|_{SM} \left(F_{\text{dir}}^{bc} + 2 F_{\text{mix}}^{bc} \frac{|V|^2 - |A|^2}{|V|^2 + |A|^2} \right)$$

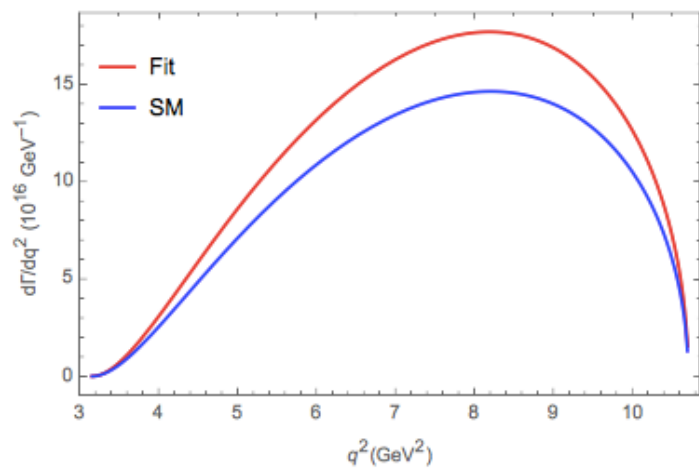
$$\frac{d\Gamma(B_c \rightarrow J/\psi \tau \nu)}{dq^2} = \frac{d\Gamma(B_c \rightarrow J/\psi \tau \nu)}{dq^2} \Big|_{SM} \left(F_{\text{dir}}^{bc} + 2 F_{\text{mix}}^{bc} \frac{|V'|^2 - |A'|^2}{|V'|^2 + |A'|^2} \right)$$

$$V = \langle D^* | \bar{c} \gamma_\mu b | B \rangle, \quad A = \langle D^* | \bar{c} \gamma_\mu \gamma_5 b | B \rangle$$

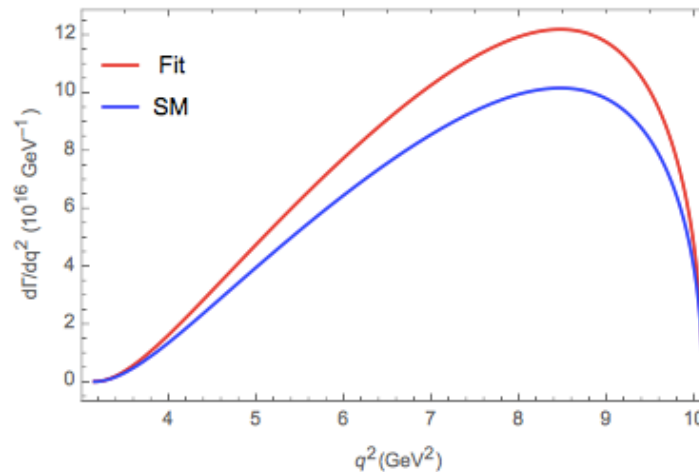
$$V' = \langle J/\psi | \bar{c} \gamma_\mu b | B_c \rangle, \quad A' = \langle J/\psi | \bar{c} \gamma_\mu \gamma_5 b | B_c \rangle$$

$$R(D^*) \approx R(D^*)_{SM} (F_{\text{dir}}^{bc} - 1.77 F_{\text{mix}}^{bc})$$

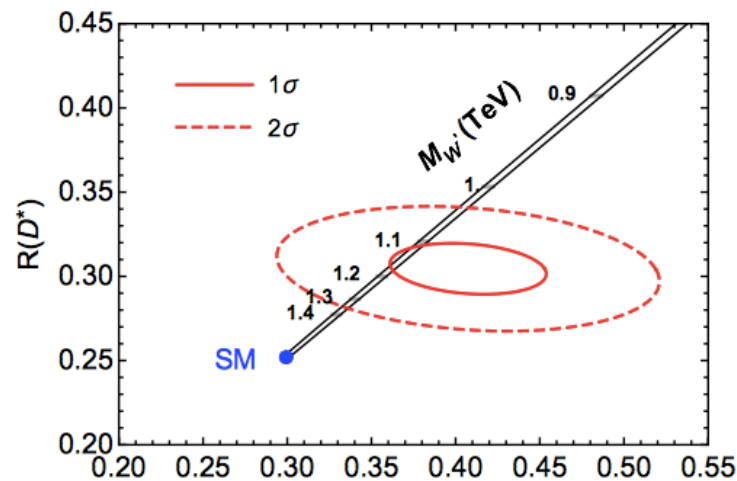
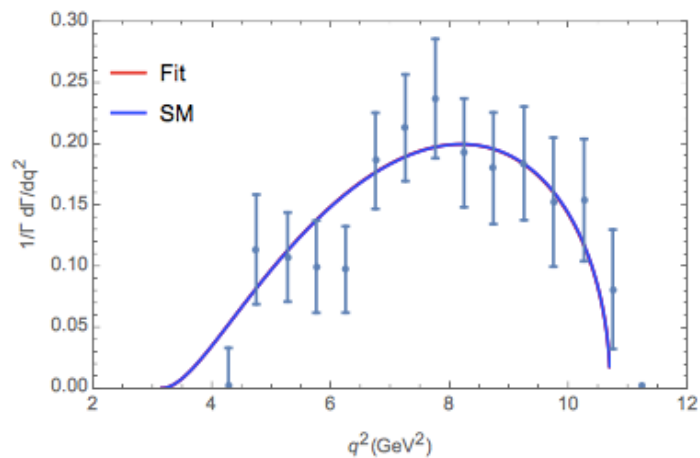
$$R(J/\psi) \approx R(J/\psi)_{SM} (F_{\text{dir}}^{bc} - 1.94 F_{\text{mix}}^{bc})$$



$$B \rightarrow D^* \tau \nu$$



$$B_c \rightarrow J/\psi \tau \nu$$



Normalized distributions

$$F_{\text{dir}}^{bc} = 1.28, \quad F_{\text{mix}}^{bc} = 0.04.$$

Bring $R(D^{(*)})$ and $b \rightarrow s \mu \mu$ anomalies together

A. Scalar Leptoquarks

Leptoquark interaction, Bauer&Neubert, arXiv: 1511.01900 Yes


R-parity violating interaction, N. Deshpande&X-G He, arXiv:1608.04817 No

Leptoquark, D. Becirevic et al., arXiv: 1608.07583 No. A different one

A. Crivellin et al., arXiv:1703.09226 Also a different one

Y. Cai et al, arXiv: 1704.05849. Yes

A. Angelescu et al, arXiv:1808.08179. Conditional



Scalar Leptoquarks

$$\bar{Q}_L e_R R_1, \bar{U}_R L_L R_2, ; \bar{D}_R L_L, \bar{L}_L^c Q_L S_{1,3}, \bar{e}_R^c U_R S_1, \bar{e}_R^c D_R S_2,$$

$$S_1 : (\bar{3}, 1)(1/3), S_3 : (\bar{3}, 3)(1/3), R_2 : (3, 2)(7/6), \tilde{R}_2 : (3, 2)(1/6).$$

$$R_2 : C_9 = C_{10} \text{ out}; \quad \tilde{R}_2 \text{ cannot explain } R_{D^{(*)}} \text{ out};$$

$$S_2 \text{ cannot explain } R_{D^{(*)}} \text{ out}; \quad S_3 \text{ dose not allow } R_{D^{(*)}}^{exp} > R_{D^{(*)}}^{SM} \text{ out}$$

S_3 and $S_1 = \phi$ interactions

$$L_1 = \bar{L}_L^c X Q_L \phi + \bar{U}_R Y e_R^c \phi^* + h.c., \quad X = (x_{ij}), \quad X V_{KM}^\dagger = (z_{ij}), \quad Y = (y_{ij})$$

$$L_3 = \bar{L}_L^c \tilde{X} Q_L S_3 + \bar{U}_R \tilde{Y} e_R^c S_3^* + h.c., \quad \tilde{X} = (\tilde{x}_{ij}), \quad \tilde{X} V_{KM}^\dagger = (\tilde{z}_{ij}), \quad Y = (\tilde{y}_{ij}).$$

The S_3 case: problem with $R_{D^{(*)}}$

$$\begin{aligned}
 H_3 = & -\frac{\tilde{x}_{ij}\tilde{x}_{kl}^*}{m_{S_3}^2} \left(\bar{d}_L^l \gamma_\mu d_L^j \bar{e}_L^k \gamma^\mu e_L^i + (\bar{u}_L V_{KM})^l \gamma_\mu (V_{KM}^\dagger u_L)^j \bar{\nu}_L^k \gamma^\mu \nu_L^i \right. \\
 & + \frac{1}{2} \left((\bar{u}_L V_{KM})^l \gamma_\mu d_L^j \bar{e}_L^k \gamma^\mu \nu_L^i + \bar{d}_L^l \gamma_\mu (V_{KM}^\dagger u_L)^j \bar{\nu}_L^k \gamma^\mu e_L^i \right) \\
 & \left. + \frac{1}{2} \left(\bar{d}_L^l \gamma_\mu d_L^j \bar{\nu}_L^l \gamma^\mu \nu_L^i + (\bar{u}_L V_{KM})^l \gamma_\mu (V_{KM}^\dagger u_L)^j \bar{e}_L^k \gamma^\mu e_L^i \right) \right) ,
 \end{aligned}$$

The contribution to $R_{D^{(*)}}$ is proportional to

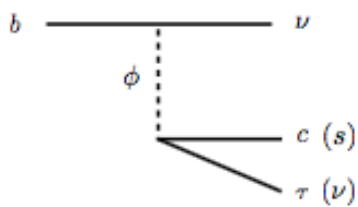
$$-\tilde{x}_{33} \left(\tilde{x}_{33}^* + \frac{V_{cd}}{V_{cb}} \tilde{x}_{31}^* + \frac{V_{cs}}{V_{cb}} \tilde{x}_{32}^* \right) .$$

The first term dominate.

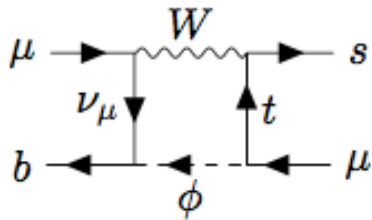
This make $R_{D^{(*)}}^{exp} < R_{D^{(*)}}^{SM}$, and therefore is ruled out.

The S_1 case: tree and one loop level

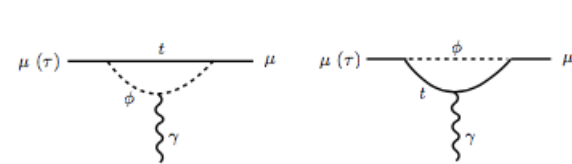
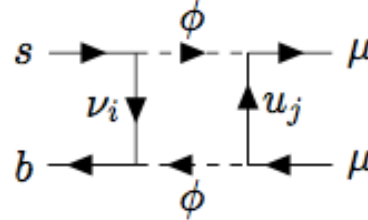
$$\begin{aligned}
 L_{int} = & \frac{1}{2m_\phi^2} [x_{ij} x_{i'j'} \bar{\nu}_L^{i'} \gamma^\mu \nu_L^i \bar{d}_L^{j'} \gamma_\mu d_L^j + z_{ij} z_{i'j'} \bar{e}_L^{i'} \gamma^\mu e_L^i \bar{u}_L^{j'} \gamma_\mu u_L^j] \\
 & \text{K} \rightarrow \pi \nu\nu, \text{B} \rightarrow \text{K}^* \nu\nu \qquad \text{D} \rightarrow \mu\mu, \pi\mu\mu \\
 & - \frac{1}{2m_\phi^2} [x_{ij} z_{i'j'} \bar{\nu}_L^{i'} \gamma^\mu e_L^i \bar{d}_L^{j'} \gamma_\mu u_L^j - x_{ij} z_{i'j'} \bar{e}_L^{i'} \gamma^\mu \nu_L^i \bar{u}_L^{j'} \gamma_\mu d_L^j] \\
 & \text{R}(\text{D}^*), \text{B} \rightarrow \text{D}^* (\rho, \pi) l\nu, \text{B}_c \rightarrow \tau\nu \\
 & + \frac{1}{2m_\phi^2} [y_{ij} y_{i'j'} \bar{e}_R^{i'} \gamma^\mu e_R^i \bar{u}_R^{j'} \gamma_\mu u_R^j + x_{ij} y_{i'j'} (\bar{e}_R^{i'} \nu_L^i \bar{u}_R^{j'} \gamma_\mu d_L^j - \frac{1}{2} \bar{e}_R^i \sigma_{\mu\nu} \nu_L^{i'} \bar{u}_R^{j'} \sigma_{\mu\nu} d_L^j)] \\
 & \text{D} \rightarrow \mu\mu, \pi\mu\mu \qquad \text{R}(\text{D}^*), \text{B} \rightarrow \text{D}^* (\rho, \pi) l\nu, \text{B}_c \rightarrow \tau\nu
 \end{aligned}$$



Solution to $R(\text{D}^*)$



Solution to $b \rightarrow s \mu^+ \mu^-$ induced anomalies



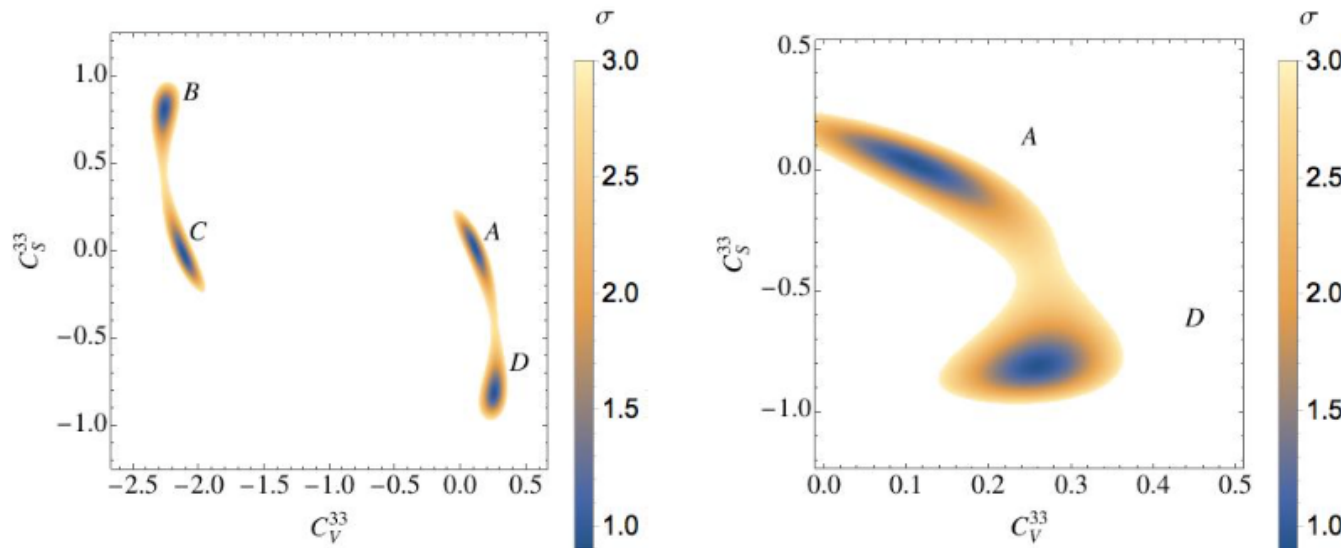
Solution to $(g-2)_\mu$

If R-parity violating interaction, exchange sd-quark, the last line is absent. That is the reason why R-parity cannot solve $R(\text{D}^*)$ and $b \rightarrow s \mu^+ \mu^-$ anomalies (Deshpande and He)

Also why Baur&Neubert, and Becrivic et al could not work, neglect last term contributions to $R(\text{D}^*)$ and lead to conflict for $b \rightarrow s \mu^+ \mu^-$ when other constraints are included, important one $\text{B} \rightarrow \text{K}^* \nu\nu$! $(R = \text{B}(\text{B} \rightarrow \text{K}^* \nu\nu)_{\text{exp}} / \text{B}(\text{B} \rightarrow \text{K}^* \nu\nu)_{\text{SM}} < 4.3!$ (Becirevic et al.)

Solution to R(D^(*)) anomalies

$$\mathcal{L}_{CC}^{ij} = -\frac{4G_F}{\sqrt{2}}V_{cb} \left[C_V^{ij}(\bar{c}\gamma^\mu P_L b)(\bar{\ell}_i\gamma_\mu P_L\nu_j) + C_S^{ij}(\bar{c}P_L b)(\bar{\ell}_i P_L\nu_j) + C_T^{ij}(\bar{c}\sigma^{\mu\nu} P_L b)(\bar{\ell}_i\sigma_{\mu\nu} P_L\nu_j) \right] + \text{h.c.},$$



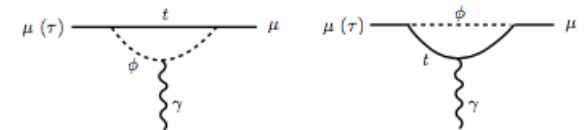
Y. Cai et al, arXiv: 1704.05849

Figure 2: The values for C_V^{33} and C_S^{33} corresponding to a good fit to the R_D and R_{D^*} data at $\Lambda = 1$ TeV. The colors indicate the σ values of our fit. The right plot is zoomed to the area around regions A and D.

$$C_V^{ij} = \frac{1}{2\sqrt{2}G_F V_{cb}} \frac{z_{i2}^* x_{j3}}{2m_\phi^2} + \delta_{ij},$$

$$C_S^{ij} = \frac{1}{2\sqrt{2}G_F V_{cb}} \frac{y_{i2} x_{j3}}{2m_\phi^2},$$

$$C_T^{ij} = -\frac{1}{4} C_S^{ij}.$$



Significant contribution needed from $\bar{e}_R Y U_R^c \phi$ coupling! Can also solve $(g-2)_\mu$ anomaly!

Solution to and $b \rightarrow s \mu^+ \mu^-$ induced anomalies

$$\mathcal{L}_{\text{NC}} = \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{\alpha}{4\pi} \sum_{IJ} C_{IJ}^\mu \mathcal{O}_{IJ}^\mu, \quad \mathcal{O}_{LL}^\mu \equiv \frac{1}{2}(\mathcal{O}_9^\mu - \mathcal{O}_{10}^\mu) = (\bar{s}\gamma^\mu P_L b)(\bar{\mu}\gamma_\mu P_L \mu),$$

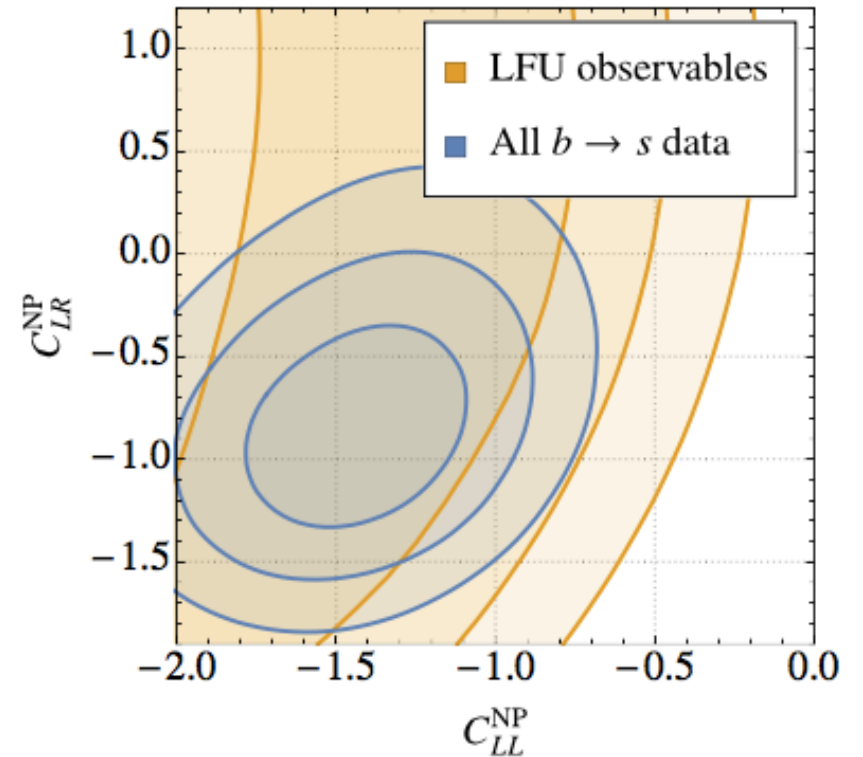
$$\mathcal{O}_{LR}^\mu \equiv \frac{1}{2}(\mathcal{O}_9^\mu + \mathcal{O}_{10}^\mu) = (\bar{s}\gamma^\mu P_L b)(\bar{\mu}\gamma_\mu P_R \mu)$$

$$C_{LL}^{\phi,\mu} = \frac{m_t^2}{8\pi\alpha m_\phi^2} |z_{23}|^2 - \frac{\sqrt{2}}{64\pi\alpha G_F m_\phi^2 V_{tb} V_{ts}^*} \sum_i x_{i3} x_{i2}^* \sum_j$$

$$C_{LR}^{\phi,\mu} = \frac{m_t^2}{8\pi\alpha m_\phi^2} |y_{23}|^2 \left[\ln \frac{m_\phi^2}{m_t^2} - f\left(\frac{m_t^2}{m_W^2}\right) \right]$$

$$- \frac{\sqrt{2}}{64\pi\alpha G_F m_\phi^2 V_{tb} V_{ts}^*} \sum_i x_{i3} x_{i2}^* \sum_j |y_{2j}|^2,$$

$$f(x) = 1 - \frac{3}{x-1} + \frac{3}{(x-1)^2} \ln x.$$



Y. Cai et al, arXiv: 1704.05849

Without $\bar{e}_R Y U_R^c \phi$ coupling (R-parity violating model)
 Satisfying $B \rightarrow K^{(*)} \nu \nu$ constraints

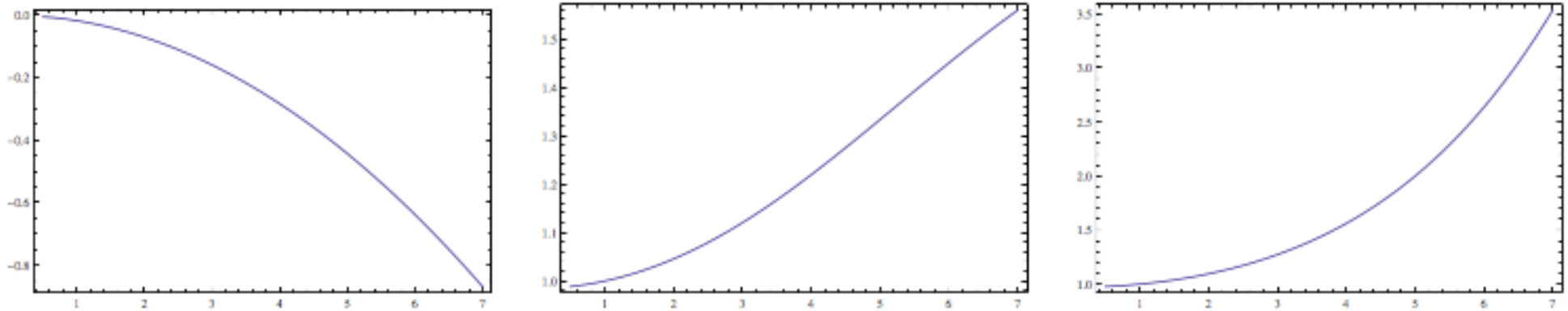


FIG. 1: C_9^{NP} , r_{ave} and $R_\mu^{SM}(c)$ as functions of λ'_{23k} from left to right, respectively. To get $R_\mu^{SM}(c) - 1$ down to 10%, one needs to go to the lower range the 3σ range for C_9^{NP} to about -0.18[7]. However, in that case, r_{ave} also comes down and cannot explain the observed $R(D^{(*)})$ anomaly.

N. Deshpande & X-G He, arXiv:1608.04817

$$r(\bar{B} \rightarrow D^{(*)} \tau \bar{\nu}) = R(\bar{B} \rightarrow D^{(*)} \tau \bar{\nu}) / R(\bar{B} \rightarrow D^{(*)} \tau \bar{\nu})_{SM}$$

$$r(B \rightarrow D^{(*)} \tau \bar{\nu})_{ave} = 1.266 \pm 0.070$$

Include dark matter also help to easy the problem.
 This meeting Trifinopoulos

$$R_l^{SM}(c) = Br(\bar{B} \rightarrow D^{(*)} l \nu) / Br(\bar{B} \rightarrow D^{(*)} l \nu)_{SM}$$

S1 + S3 in Pati-Salam model, Heeck.

B. Vector Leptoquark

R. Barbieri et al., arXiv:1512.01560; G. Hiller et al., arXiv:1609.08895; B. Bhattacharya et al., arXiv: 1609.09078; L. Luzio et al., arXiv:1708.08450; L. Calibbi et al., arXiv:1709.00692; A. Angelescu et al., arXiv: 1808.08179; C. Comella et al., arXiv: 1903.11517.

$$\bar{Q}_L \gamma_\mu L_L U_{1,3}^\mu, \quad \bar{U}_R \gamma_\mu e_R U_{5/3}^\mu, \quad \bar{D}_R \gamma_\mu e_R U_1^\mu,$$

$$\bar{Q}_L \gamma_\mu L_L^c R_{-5/6}^\mu, \quad \bar{U}_R \gamma_\mu L_L^c R_{1/6}^\mu, \quad \bar{D}_R \gamma_\mu L_L^c R_{-5/6}^\mu$$


$$U_1^\mu : (3, 1)(2/3), \quad U_3^\mu : (3, 3)(2/3), \quad U_{5/3}^\mu : (3, 1)(5/3),$$

$$R_{-5/6}^\mu : (3, 2)(-5/6), \quad R_{1/6}^\mu : (3, 2)(1/6).$$

Potential vector leptoquarks can solve $R_{D^{(*)}}$ and $R_{K^{(*)}}$ are $U_{1,3}^\mu$

$$H_3 \sim \bar{d}_l \gamma_\mu d_L \bar{e}_L \gamma^\mu e_L - \bar{u}_L \gamma_\mu d_L \bar{e}_L \gamma^\mu \nu_L + \dots$$

Similar problem as S_3 , U_3^μ cannot obtain $R_{D^{(*)}}^{exp} > R_{D^{(*)}}^{SM}$ out.



U_1^μ interactions

$$L_1 = \bar{Q}_L X \gamma_\mu L_L U_1^\mu + \bar{D}_R Y e_R U_1^\mu + h.c.$$

$$X = (x_{ij}), \quad V_{KM} X = (z_{ij}), \quad Y = (y_{ij})$$

$$H_1 = \frac{1}{m_{U_1}^2} \left(x_{ij} ((\bar{u}_L V_{KM})^i \gamma_\mu \nu_L^j + \bar{d}_L^i \gamma_\mu e_L^j) + y_{ij} \bar{d}_L^i \gamma^\mu d_L^j \right) \\ \times \left(x_{ik}^* (\bar{\nu}_L^k \gamma^\mu (V_{KM}^\dagger u_L)^l + \bar{e}_L^k \gamma^\mu d_L^l) + y_{ik}^* \bar{d}_L^k \gamma^\mu d_L^l \right)$$

$$H_{eff} = \frac{x_{22} X_{32}^*}{m_{U_1}^2} \bar{s}_L \gamma_\mu b_L \bar{\mu}_L \gamma^\mu \mu_L + \frac{x_{33}^* (V_{cd} x_{13} + V_{cs} x_{23} + V_{cb} x_{33})}{m_{U_1}^2} \bar{c}_L \gamma_\mu b_L \bar{\tau}_L \gamma^\mu \nu_L .$$

Has solutions for both $R_{D^{(*)}}$ and $R_{K^{(*)}}$ anomalies!

C. Triplet vector and $SU(3)_C \times SU(2)_h \times SU(2)_l \times U(1)_Y$

D. Buttazzo et al., arXiv: 1706.07808, X-G He, G. Valencia and C.-W. Chiang

$X_\mu: (3,1)(0)$

$$X_\mu = \frac{1}{\sqrt{2}} \begin{pmatrix} X_\mu^0 & \sqrt{2}X_\mu^+ \\ \sqrt{2}X_\mu^- & -X_\mu^0 \end{pmatrix} \quad L_{int} = \bar{Q}_L \gamma^\mu X_\mu \Delta^Q Q_L + \bar{L}_L \gamma^\mu X_\mu \Delta^L L_L$$

$$H_{eff} = \frac{1}{m_{X^\pm}^2} [\bar{u}_L \gamma^\mu V_{KM} \Delta^Q d_L \bar{e}_L \gamma_\mu \Delta^L \nu_L + \bar{d}_L \gamma^\mu \Delta^Q V_{KM}^\dagger u_L \bar{\nu}_L \gamma_\mu \Delta^L e_L] \\ + \frac{1}{2m_{X^0}^2} [\bar{u}_L \gamma^\mu V_{KM} \Delta^Q V_{KM}^\dagger u_L \bar{\nu}_L \gamma_\mu \Delta^L \nu_L - \bar{d}_L \gamma^\mu \Delta^Q d_L \bar{\nu}_L \gamma_\mu \Delta^L \nu_L \\ - \bar{u}_L \gamma^\mu V_{KM} \Delta^Q V_{KM}^\dagger u_L \bar{e}_L \gamma_\mu \Delta^L e_L + \bar{d}_L \gamma^\mu \Delta^Q d_L \bar{e}_L \gamma_\mu \Delta^L e_L].$$

Exchange X_μ obtains

$$H_{eff}(R_{D(*)}) = \frac{(V_{KM}\Delta^Q)_{23}\Delta_{3l}^L}{m_{X^\pm}^2} \bar{c}_L \gamma^\mu b_L \bar{\tau}_L \gamma_\mu \nu_L^l ,$$

$$H_{eff}(R_{K(*)}) = \frac{\Delta_{23}^Q \Delta_{22}^L}{2m_{X^0}^2} \bar{s}_L \gamma^\mu b_L \bar{\mu}_L \gamma_\mu \mu_L .$$

For $R_{D(*)}$

$$H_{eff} = \frac{4G_F}{\sqrt{2}} V_{cb} (\delta_{3,l} + \epsilon_{3,l}) \bar{c} \gamma^\mu P_L b \bar{\tau} \gamma_\mu P_L \nu^l ,$$

$$\epsilon_{3,l} = \frac{\sqrt{2}}{4G_F V_{cb}} \frac{V_{2i} \Delta_{i3}^Q \Delta_{3l}^L}{m_{X^\pm}^2} .$$

$$H_{eff} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \sum C_i O_i$$

For $R_{K(*)}$

$$C_9^{NP} = -C_{10}^{NP} = -\frac{\sqrt{2}\pi}{\alpha G_F V_{tb} V_{ts}^*} \frac{\Delta_{23}^Q \Delta_{22}^L}{4m_{X^0}^2} .$$

Numbers OK for both anomalies and also satisfy all known bounds.

Try the best fit values: $\epsilon_{3,\tau} = 0.11$ and $C_9^N = -0.53$. $V_{cb} \approx 0.04$, $V_{ts} \approx -0.04$

Allow $\frac{\Delta m_{B_s}^{NP}}{\Delta m_{B_s}^{SM}}$ to be 0.1 (2σ bound), $\Delta_{23}^Q \approx \pm 0.0068$ with $m_X = 1TeV$.

Solve $R_{K^{(*)}}$ anomaly, $C_9^{NP} \approx -0.56 \rightarrow \Delta_{22}^L \approx \mp 0.26$.

Solve $R_{D^{(*)}}$ anomaly, $\sum_l \epsilon_{3,l} \approx 0.11$.

$$\epsilon \sim (V_{cd}\Delta_{13}^Q + V_{cs}\Delta_{23}^Q + V_{cb}\Delta_{33}^Q)\Delta_{33}^L$$

Prefer to have Δ_{23}^Q positive.

Then $\Delta_{33}^Q\Delta_{33}^L \approx 4$, taking each about 2,

large, but OK solution, although kind of large.

limit from $R_{B \rightarrow K\nu\bar{\nu}} < 4$, OK

$D \rightarrow \mu^+\mu^-$ OK, since can set $\Delta_{12}^Q = 0$.

A gauge model realization

S. Buncenna et al., arxiv:1604.03088
C-W. Chiang, X-G He, G. Valencia, PRD93,074003.

$$SU(3) \times SU(2)_l \times SU(2)_h \times U(1)_Y$$

$$Q_L^{1,2} : (3, 2, 1, 1/3), \quad Q_L^3 : (3, 1, 2, 1/3), \quad U_R^{1,2,3} : (3, 1, 1, 4/3), \quad D_R^{1,2,3} : (3, 1, 1, -2/3),$$

$$L_L^{1,2} : (1, 2, 1, -1), \quad L_L^3 : (1, 1, 2, -1), \quad E_R^{1,2,3} : (1, 1, 1, -2),$$

The charged quark currents can be in the quark mass eigen-basis as

$$\mathcal{L}_{charged} = \frac{g}{\sqrt{2}s_E c_E} W_h^{+\mu} \left(s_E^2 \bar{U}_L \gamma_\mu V_{KM} D_L - \bar{U}_L T_U N T_D^\dagger D_L \right) + \frac{g}{\sqrt{2}} W_l^{+\mu} \left(\bar{U}_L \gamma_\mu V_{KM} D_L \right) + h.c. .$$

The matrices $T_{\psi,U,D}$ diagonalize the left handed fermion weak eigen-states to obtain the mass eigen-states. The weak eigen-states are given by $T_\psi \psi$. In the limit $s_E^2 c_\beta^2 - c_E^2 s_\beta^2 = 0$, $Z_{l,h}$ and $W_{l,h}$ are mass eigen-states with

$$m_{Z_h, W_h}^2 = \frac{u^2 g^2}{2c_E^2 s_E^2} + \frac{v^2 g^2}{4}, \quad m_{Z_l}^2 = \frac{v^2 (g^2 + g'^2)}{4}, \quad m_{W_l}^2 = \frac{v^2 g^2}{4}.$$

The quark neutral currents can be expressed in the physical basis as

$$\mathcal{L}_{NC} = \bar{\psi} \gamma_\mu \left\{ e A^\mu Q + g Z_h^\mu T_\psi \left[\frac{s_E}{c_E} T_3^l - \frac{c_E}{s_E} T_3^h \right] T_\psi^\dagger + \frac{g Z_l^\mu}{c_W} [-s_W^2 Q + T_3] \right\} \psi$$

$$= \bar{\psi} \gamma_\mu \left\{ e A^\mu Q + \frac{g}{s_E c_E} Z_h^\mu \left[s_E^2 T_3 - T_\psi T_3^h N T_\psi^\dagger \right] + \frac{g Z_l^\mu}{c_W} [-s_W^2 Q + T_3] \right\} \psi$$

with $T_3 = T_3^l + T_3^h$, $Q = Y + T_3$ and $N = \text{diag}(0, 0, 1)$.

Exchange W_h solve $R_{D(*)}$!
Exchange Z_h solve $R_{K(*)}$!

$$\mathcal{L}_{NC} = \frac{g}{s_E c_E} Z_h^\mu \left[\bar{D}_L \gamma_\mu (s_E^2 T_3 + \frac{1}{2} T_D N T_D^\dagger) D_L + \bar{U}_L \gamma_\mu (s_E^2 T_3 - \frac{1}{2} V_{KM} T_D N T_D^\dagger V_{KM}^\dagger) U_L \right]$$

$$\mathcal{L}_{charged} = \frac{g}{\sqrt{2}s_E c_E} W_h^{+\mu} \left(s_E^2 \bar{U}_L V_{KM} \gamma_\mu D_L - \bar{U}_L V_{KM} \gamma_\mu T_D N T_D^\dagger D_L \right)$$

Similar for Z_h and W_h interactions with leptons.

S_E small limit works well !

5. Conclusions

Anomalies exist in $R(D^{(*)})$ and $b \rightarrow s \mu^+ \mu^-$ anomalies at about $3 \sim 4\sigma$.

$R(D^{(*)})$: NP add to SM contributions.

$b \rightarrow s \mu^+ \mu^-$: NP destructively contributes to loop SM contributions.

New data seems to moving the trend back towards SM.

Still need confirmation whether the anomalies are real!

Models exist for solve the above two types of anomalies separately.

Can also have model to solve both simultaneously.

Rich B physics ahead with future new data from LHCb and Belle II.

A lot to do for theorists!

A lot for experiments to do also.