

# Inflation & Cosmology III

- primordial black hole and gravitational waves -

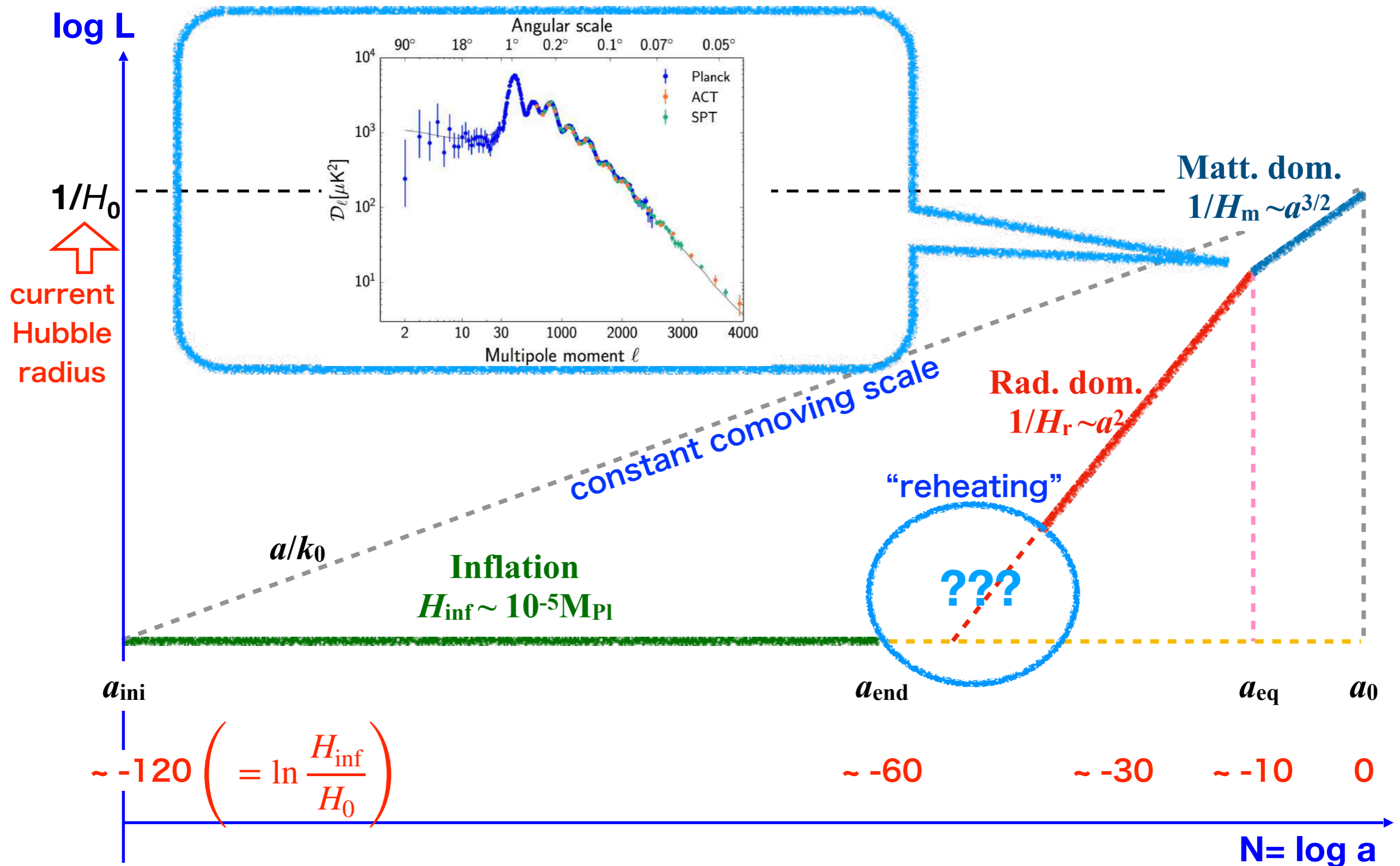
Misao Sasaki

Kavli IPMU, University of Tokyo  
YITP, Kyoto University

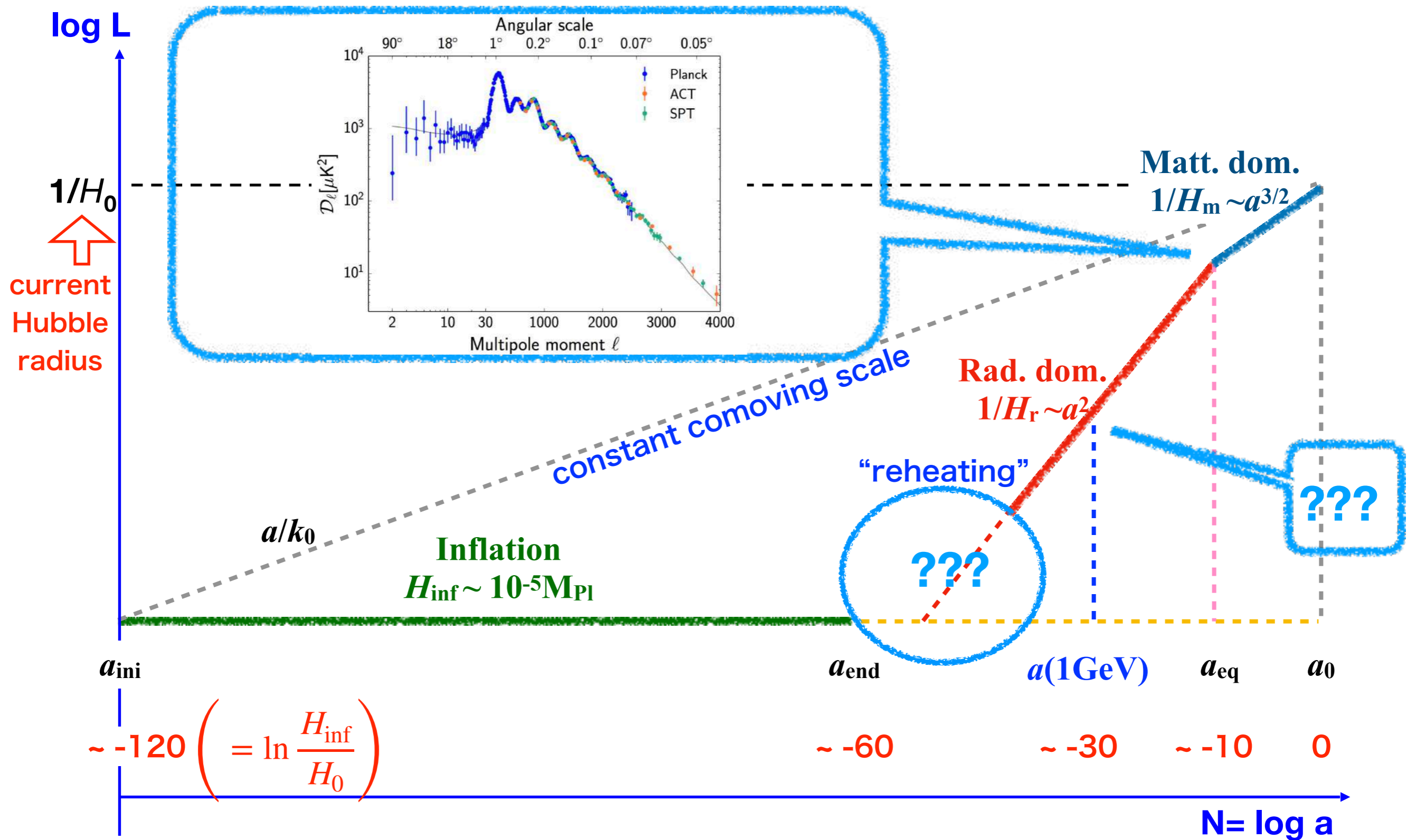
LeCosPA, National Taiwan University

# PBH Cosmology!

# cosmic spacetime diagram

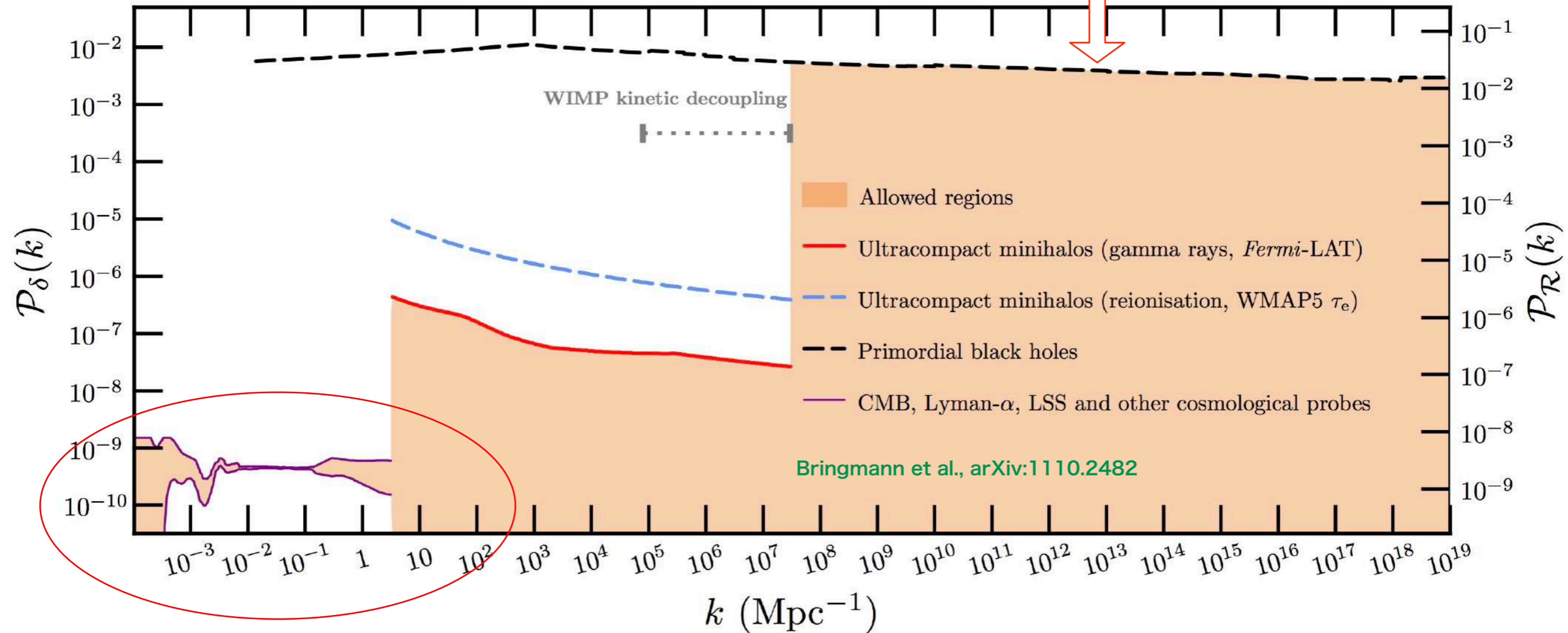


# cosmic spacetime diagram



# observational constraint on inflation

constraints on small scales are from PBHs

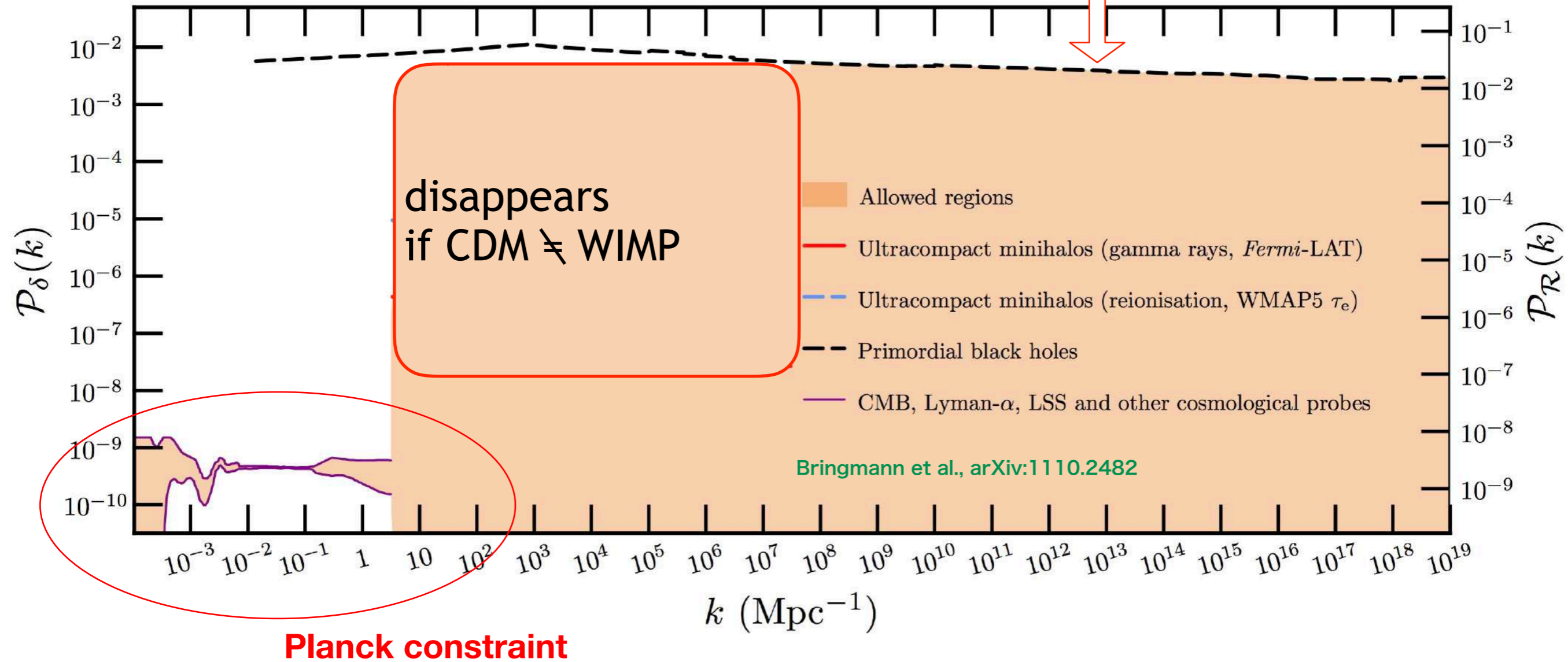


Planck constraint

There are some constraints on small scales, but quite weak.

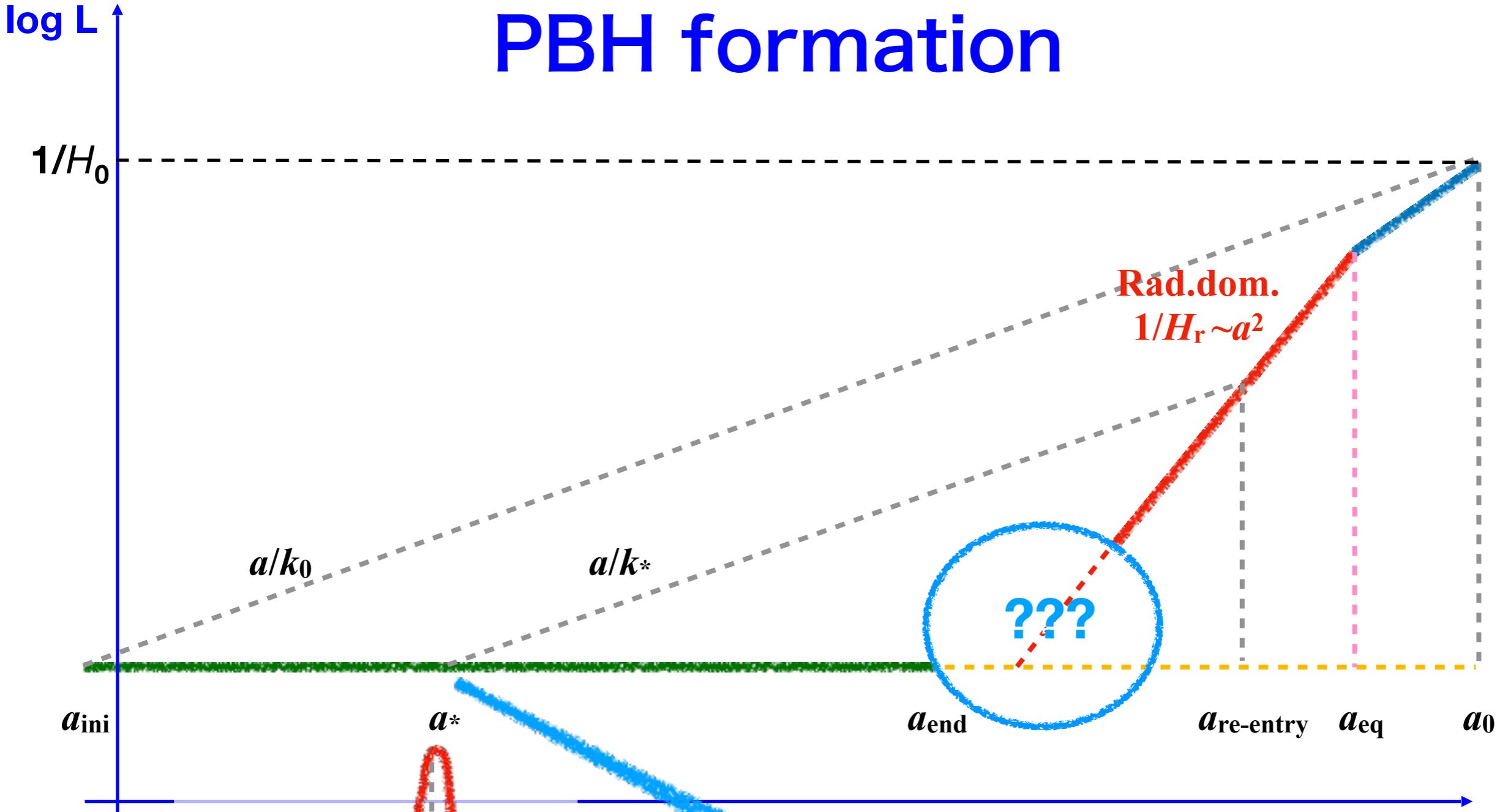
# observational constraint on inflation

constraints on small scales are from PBHs



**There are some constraints on small scales, but quite weak.**

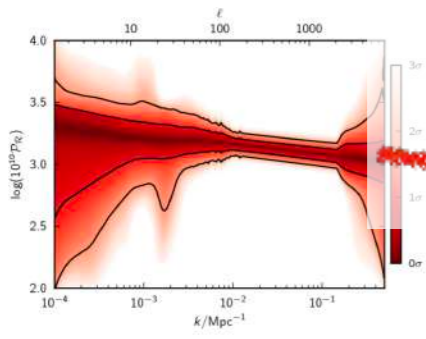
# PBH formation



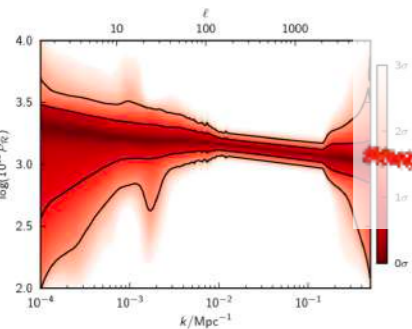
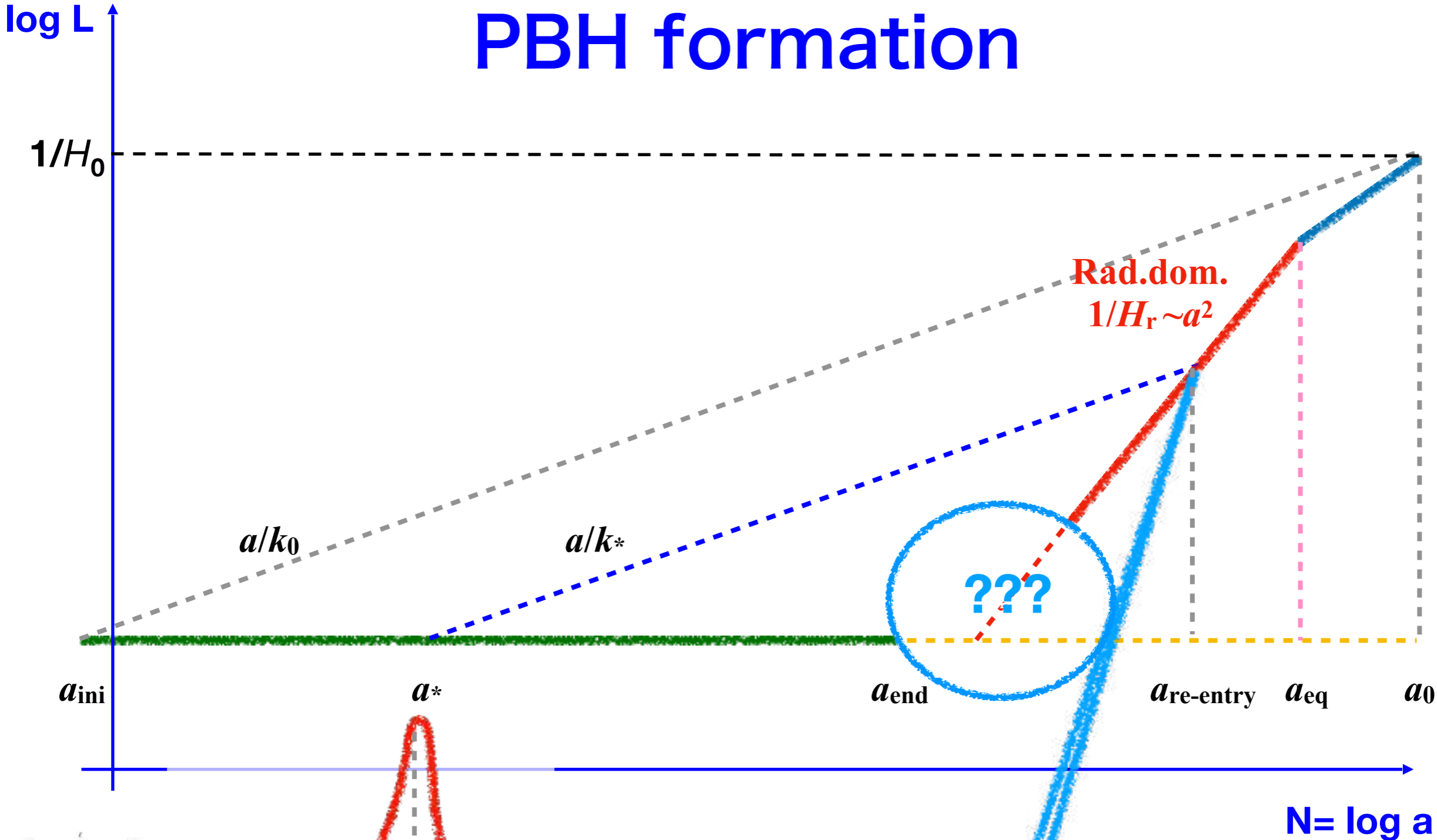
$N = \log a$

A peak in the primordial curvature perturbation, which leaves horizon and gets frozen at  $a^*$ .

$k_* = Ha_*$



# PBH formation



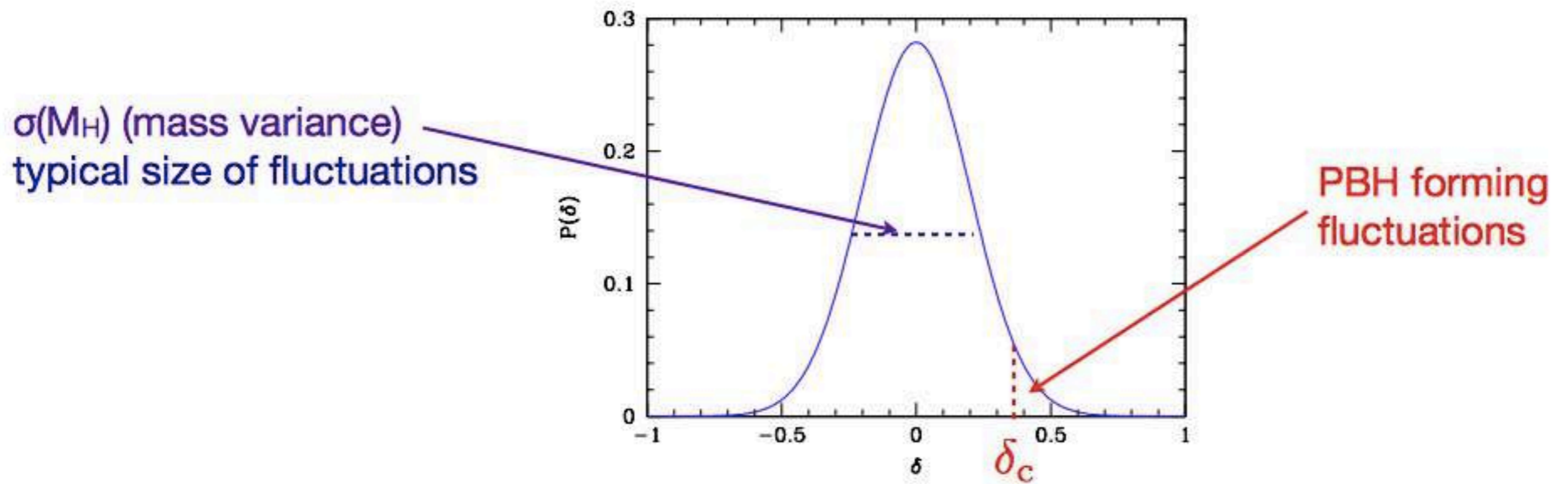
$k_* = Ha_*$

The peak re-enters horizon during radiation era.  
 If the amplitude  $> O(0.1)$ , PBH will form.



# fraction $\beta$ that turns into PBHs

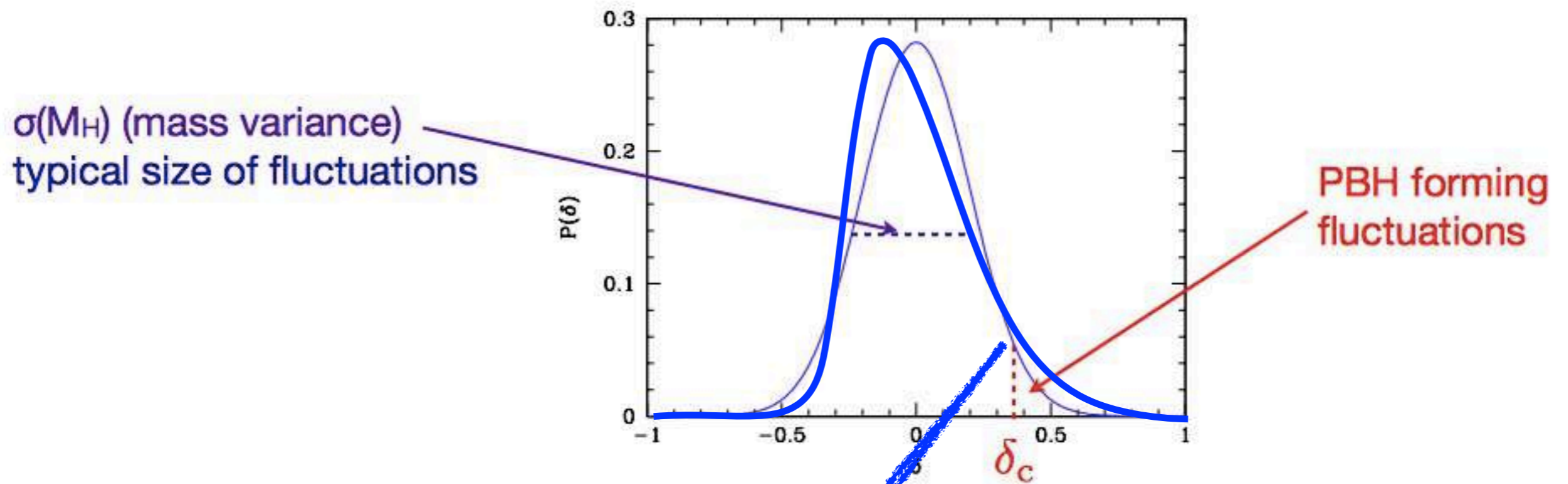
for Gaussian probability distribution



- When  $\sigma_M \ll \delta_c$ ,  $\beta$  can be approximated by exponential:

$$\beta \approx \sqrt{\frac{2}{\pi}} \frac{\sigma_M}{\delta_c} \exp\left(-\frac{\delta_c^2}{2\sigma_M^2}\right)$$

# effect or non-Gaussianity



Non-Gaussianity can increase ( $f_{NL} > 0$ ) or decrease ( $f_{NL} < 0$ ) the PBH abundances, **substantially** if  $\sigma(M_H) \ll 1$ .

# Formation of PBHs

# Curvature perturbation to PBH

- gradient expansion/separate universe approach

$$6H^2(t, \mathbf{x}) + R^{(3)}(t, \mathbf{x}) = 16\pi G\rho(t, \mathbf{x}) + \dots \quad \text{Hamiltonian constraint (Friedmann eq.)}$$

$$\begin{aligned} \rightarrow R^{(3)} &\approx -\frac{4}{a^2} \nabla^2 \mathcal{R}_c \approx \frac{8\pi G}{3} \delta\rho_c & \rightarrow \frac{\delta\rho_c}{\rho} &\approx \mathcal{R}_c \quad \text{at} \quad \frac{k^2}{a^2} = H^2 \end{aligned}$$

$$R^{(3)} \simeq 0$$

$$\begin{aligned} & \leftarrow R^{(3)} \sim H^2 \\ & \leftarrow H^{-1} = a/k \end{aligned}$$

formation of a closed universe

- If  $R^{(3)} \sim H^2$  ( $\Leftrightarrow \delta\rho_c / \rho \sim 1$ ), it collapses to form BH

Young, Byrnes & MS '14

$$M_{\text{PBH}} \sim \rho H^{-3} \sim 10^5 M_{\odot} \left(\frac{t}{1\text{s}}\right) \sim 20 M_{\odot} \left(\frac{k}{1\text{pc}^{-1}}\right)^{-2}$$

- Spins of PBHs are expected to be very small

# simple 2-field model

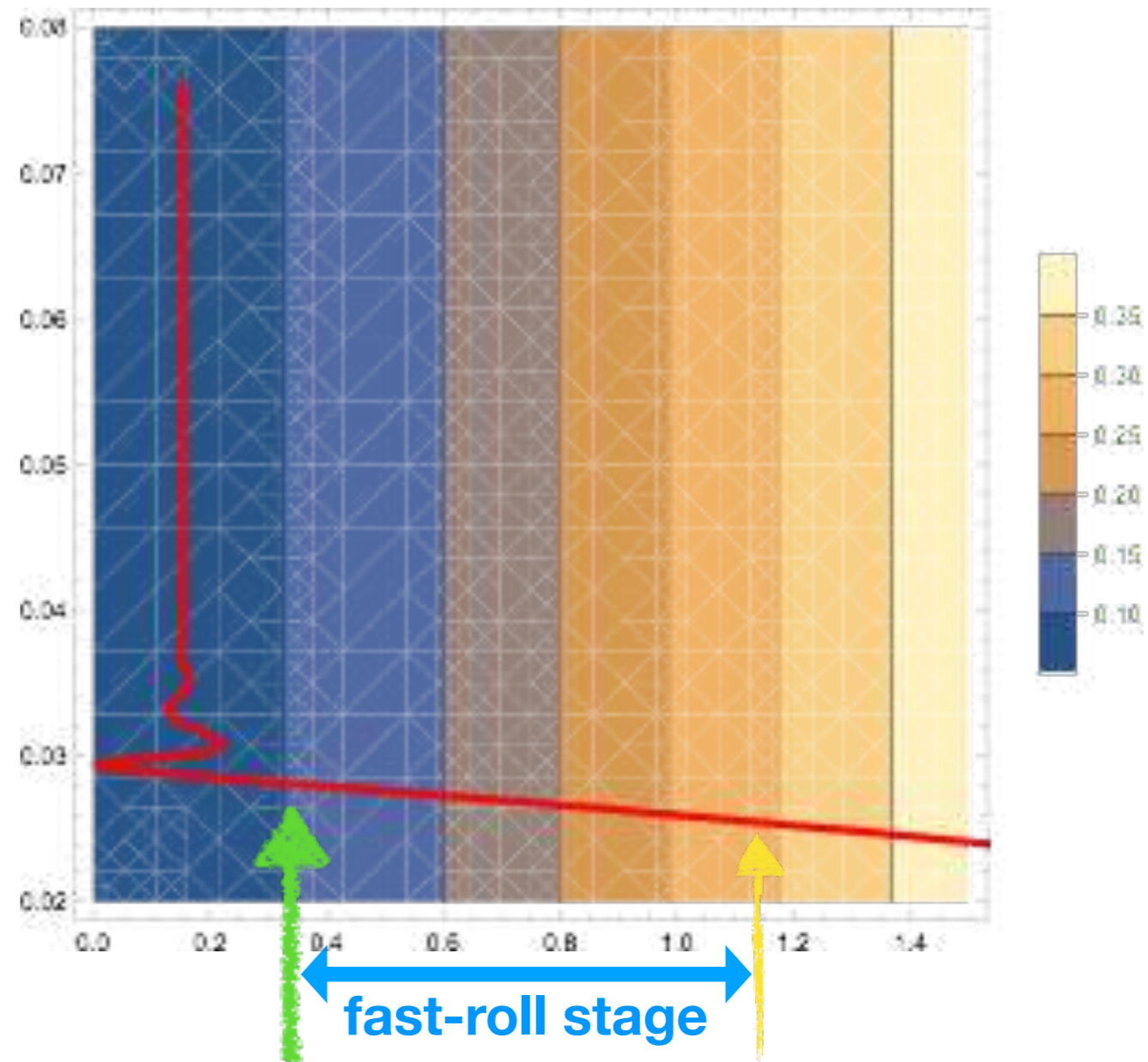
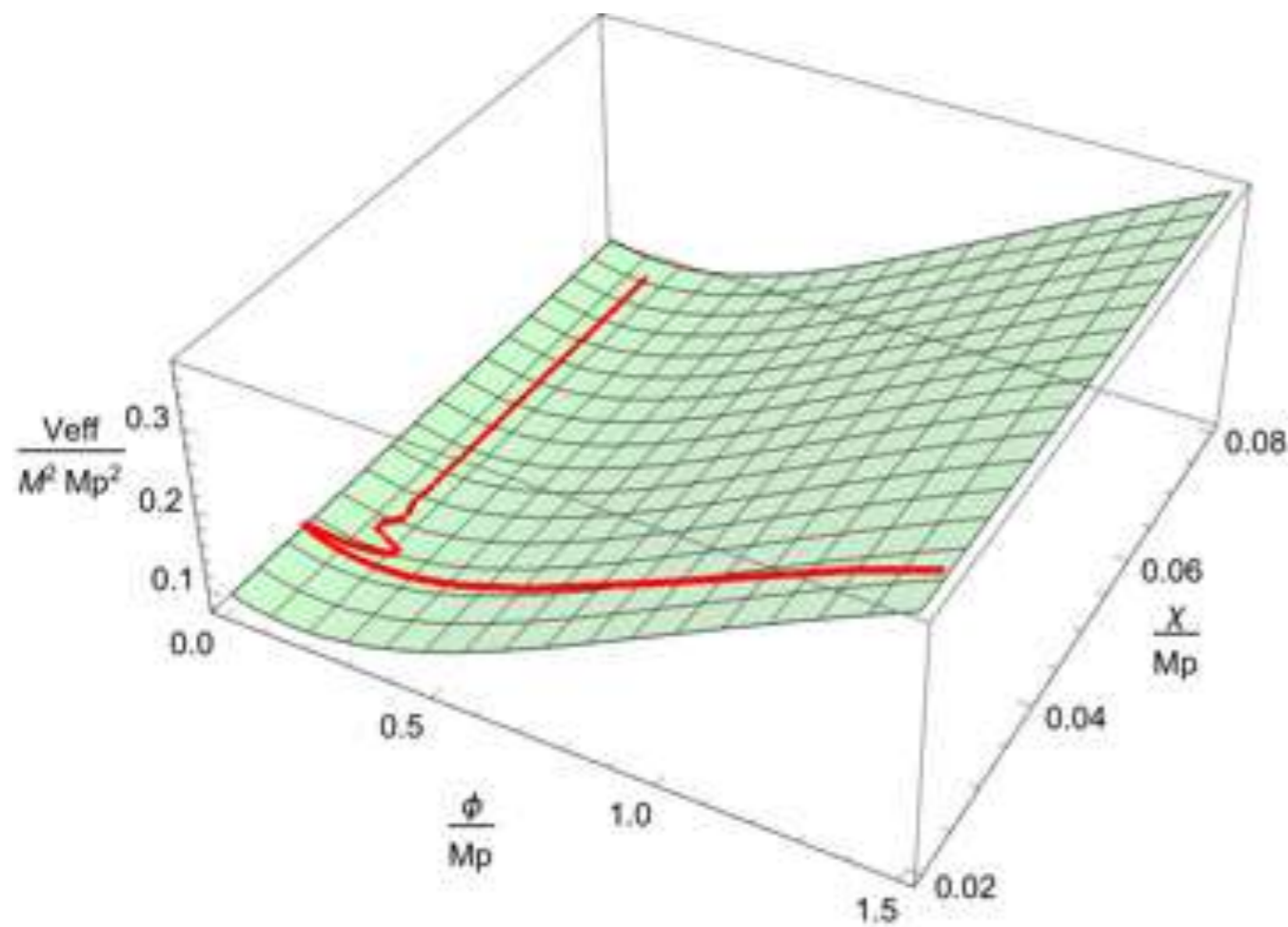
- Starobinsky  $R^2$  gravity plus a scalar field  $\chi$ , non-minimally coupled to gravity: ( $\rightarrow$  scalaron  $\phi$ )

$$S_J = \int d^4x \sqrt{-g} \left\{ \frac{M_{\text{Pl}}^2}{2} \left( R + \frac{R^2}{6M^2} \right) - \frac{1}{2} g^{\mu\nu} \partial_\mu \chi \partial_\nu \chi - V(\chi) - \frac{1}{2} \xi R \chi^2 \right\}.$$

- for  $V(\chi)$  we pick the small-field form:

$$V(\chi) = V_0 - \frac{1}{2} m^2 \chi^2 + \dots$$

- $\xi$ -term is the non-minimally coupled term to stabilize the initial condition problem. A version of **SSB** in  $\chi$  direction.



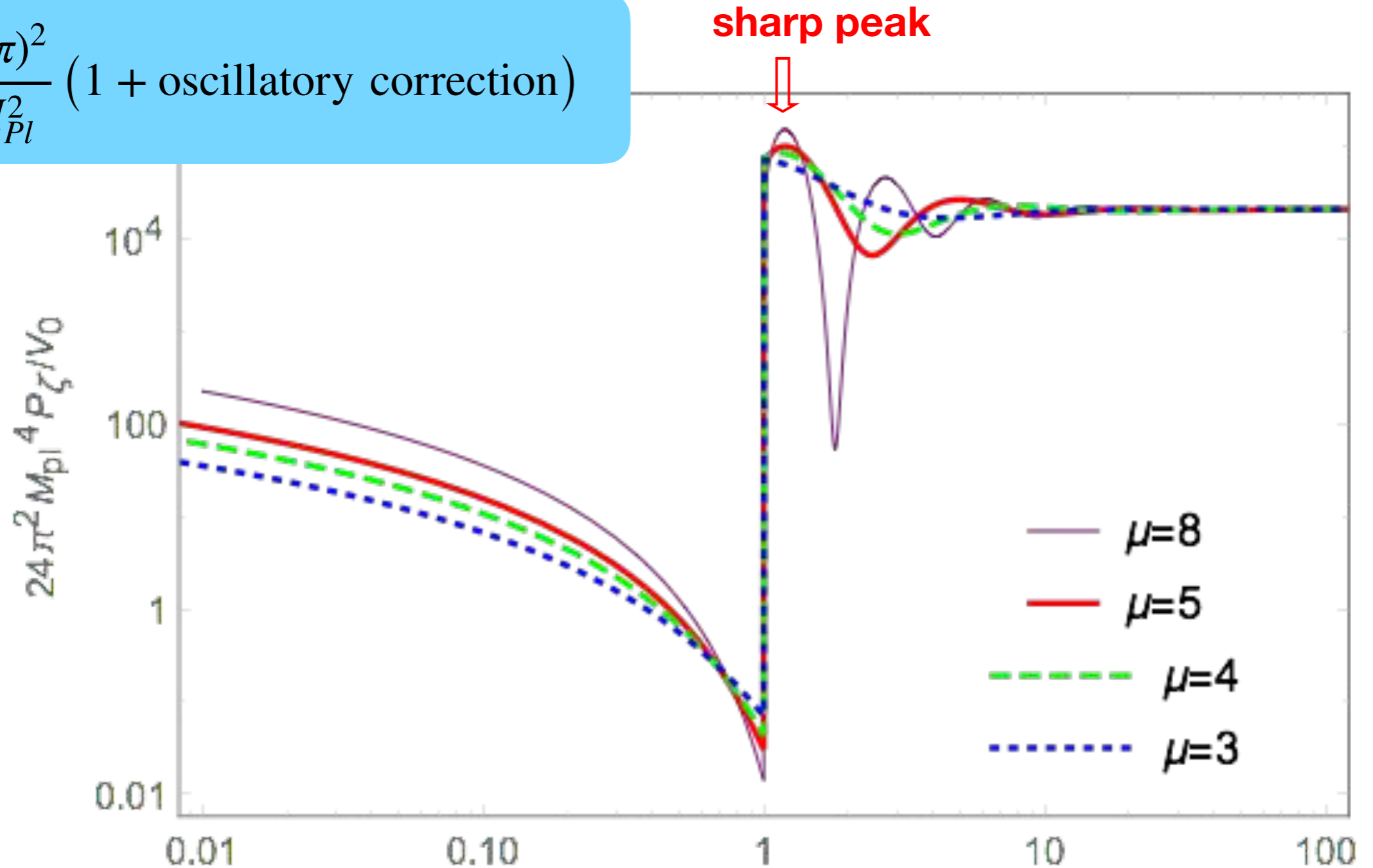
End of the 1st stage of inflation

End of Starobinsky (slow-roll) inflation

- Scalaron  $\phi$  becomes massive at the end of the 1st stage.
- Field  $\chi$  plays the role of inflaton at the 2nd stage.

# Curvature Perturbation Power Spectrum

$$P \approx \frac{(H/2\pi)^2}{2\epsilon M_{\text{Pl}}^2} (1 + \text{oscillatory correction})$$

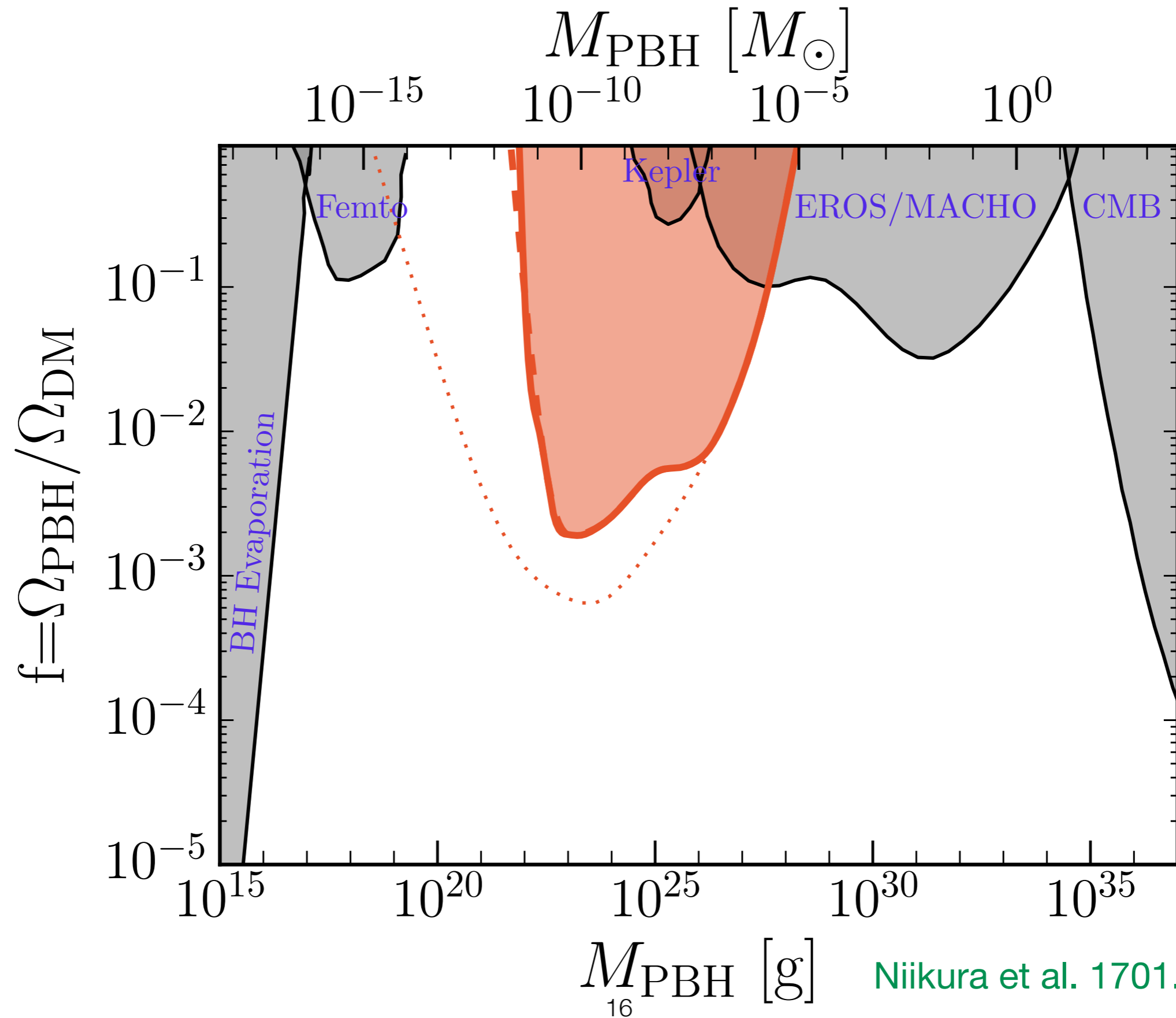


$$\epsilon = \frac{3(\dot{\phi}^2 + \dot{\chi}^2)}{2V}$$

$$\eta_H = 0.02, \quad \chi_*/M_{\text{Pl}} = 0.1, \quad \mu = 2, 3, 5, 8$$

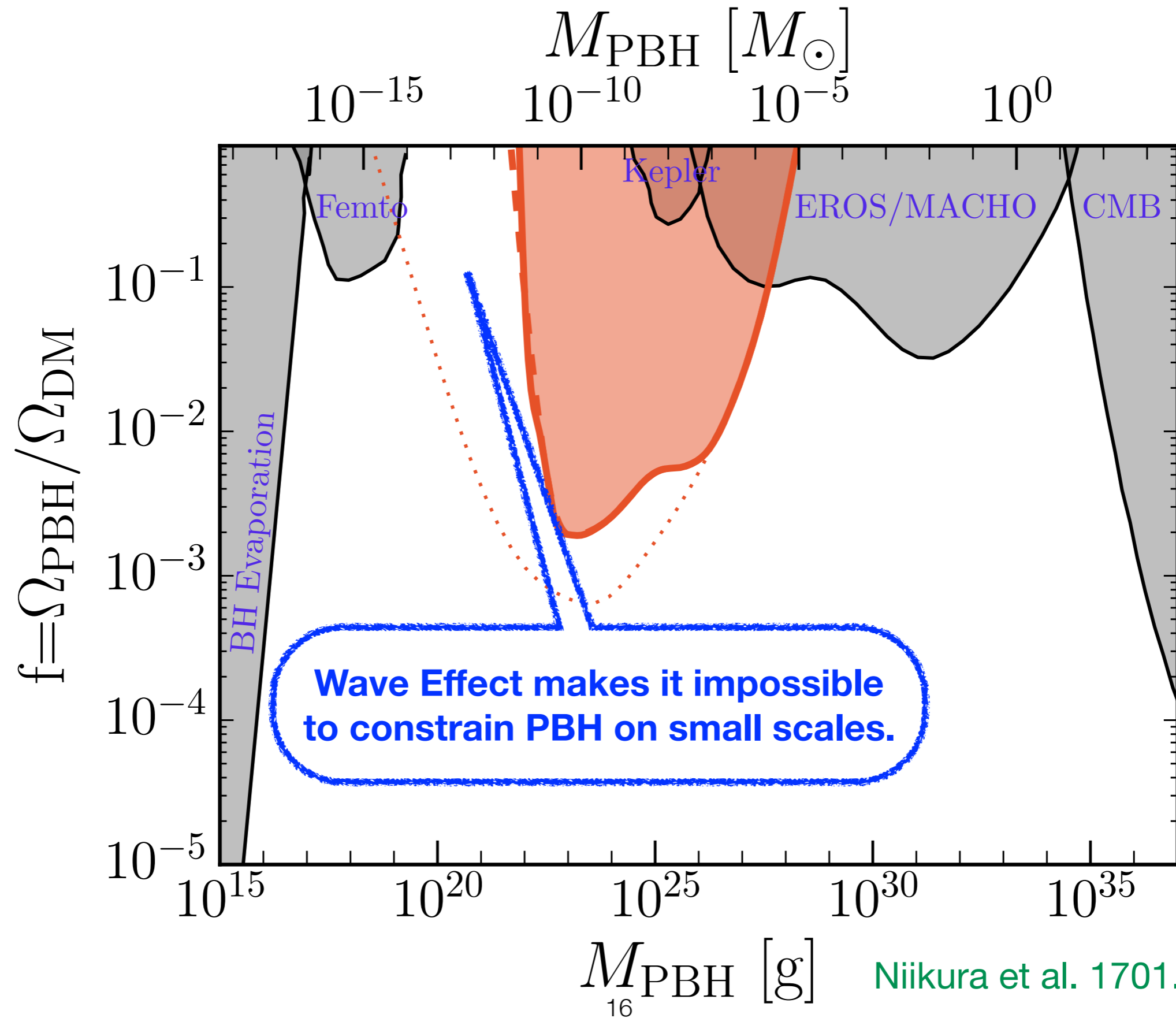
$$\mu^2 \equiv \frac{M_{\text{Pl}}^2 M_{\text{scalaron}}^2}{V_0}$$

# Constraints on PBH mass spectrum





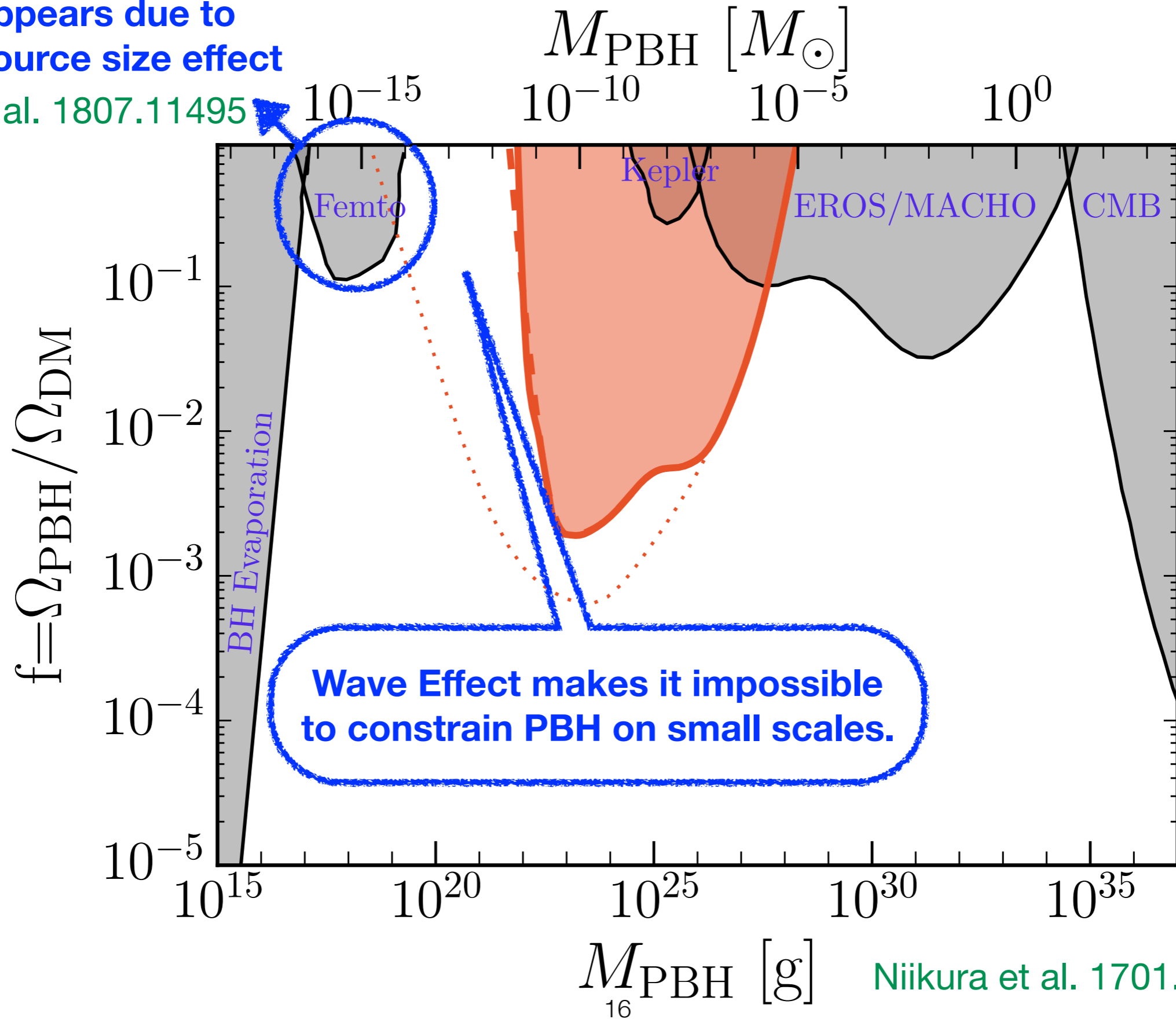
# Constraints on PBH mass spectrum



# Constraints on PBH mass spectrum

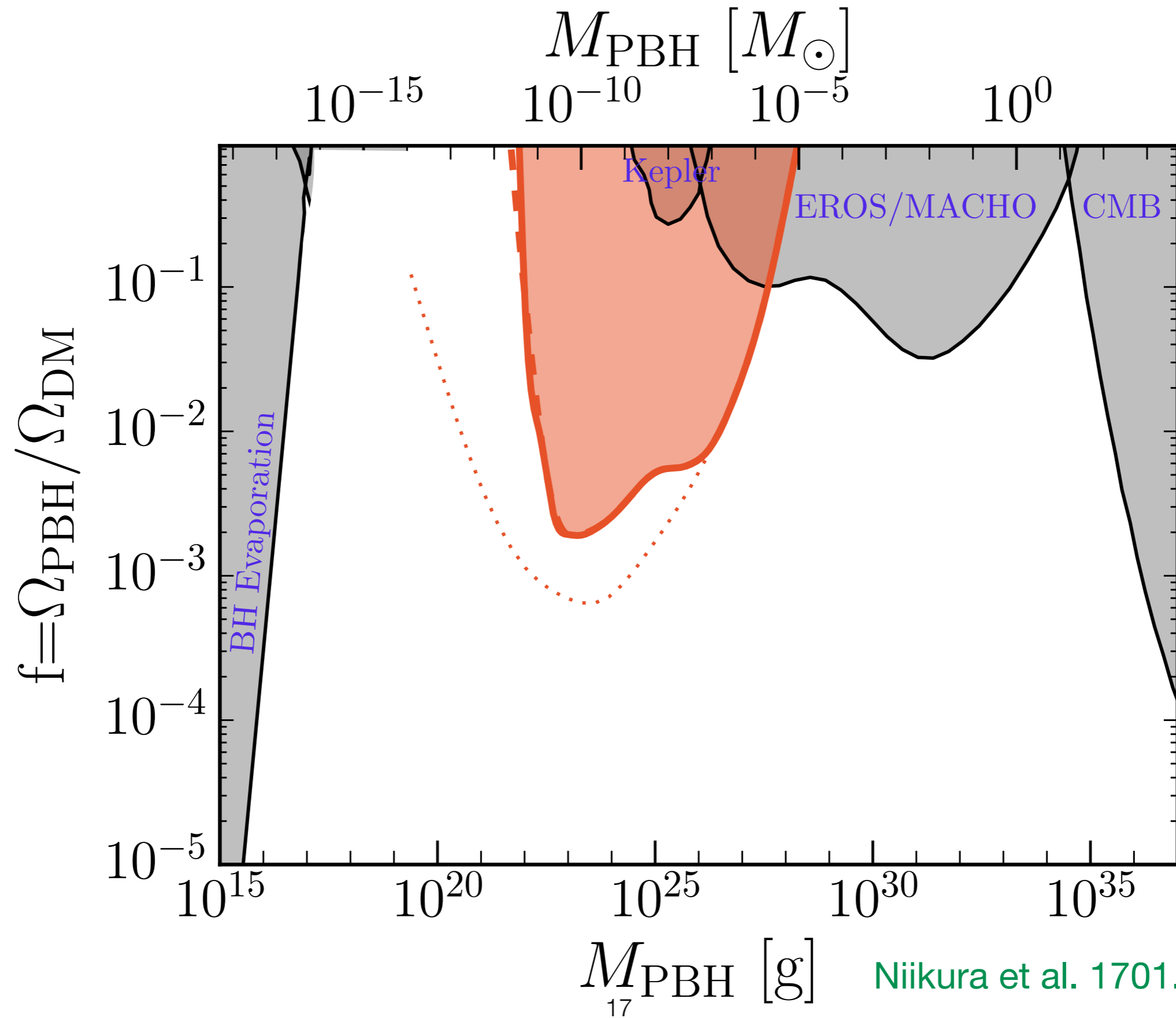
disappears due to  
finite source size effect

Katz et al. 1807.11495

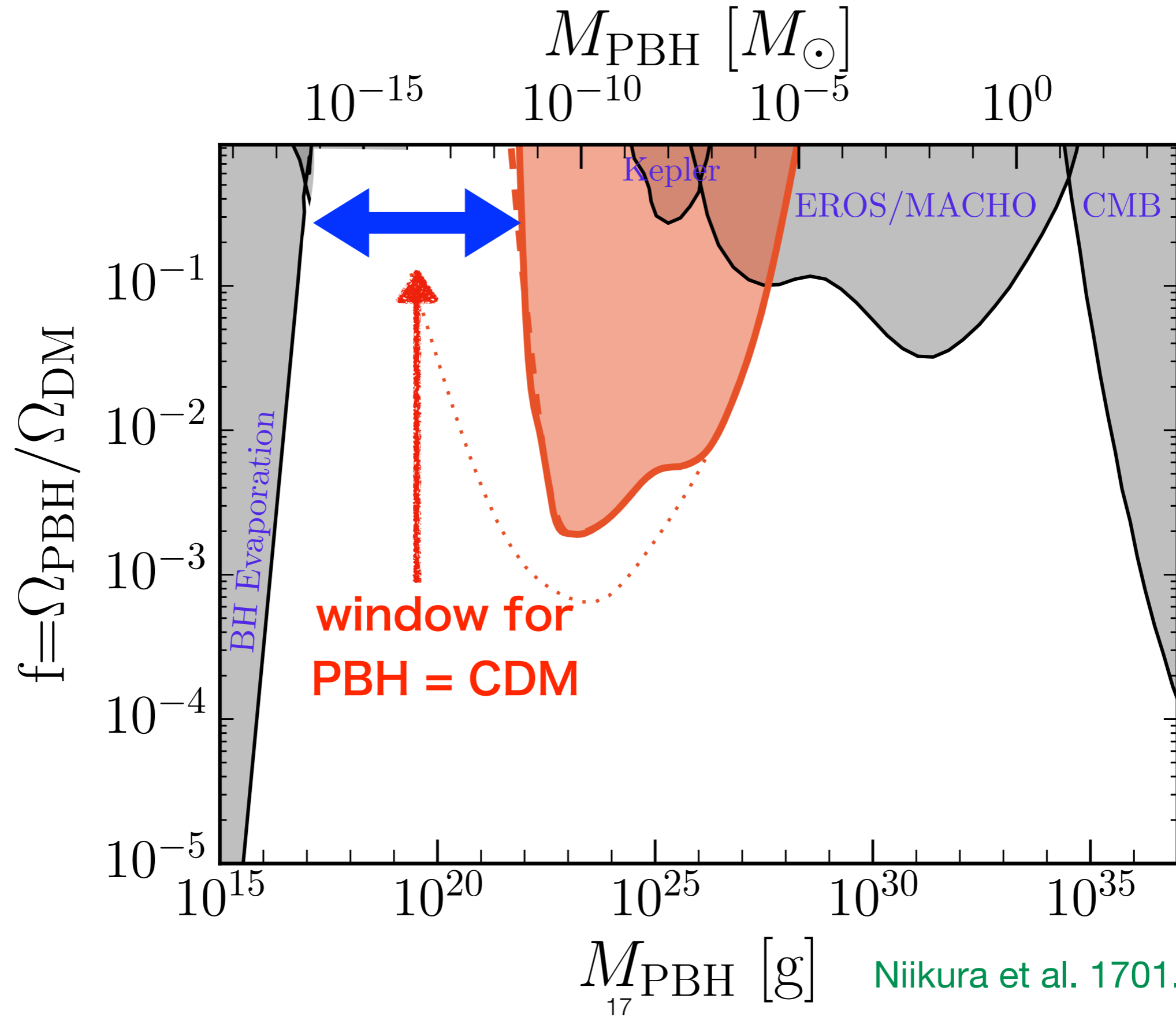


Niikura et al. 1701.02151v3

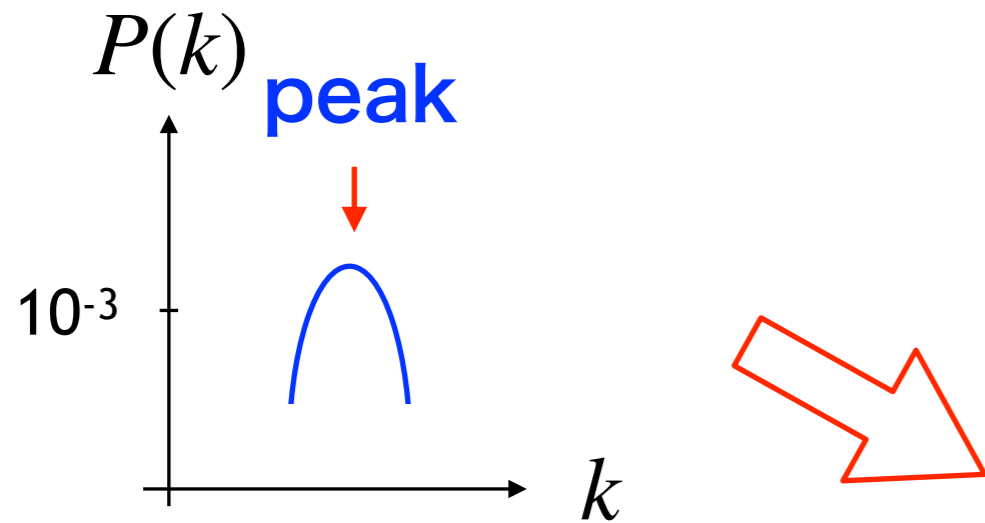
# Constraints on PBH mass spectrum



# Constraints on PBH mass spectrum



# PBHs as CMD



$$f(M) \equiv \frac{\Omega_{PBH}}{\Omega_{DM}} \propto \exp \left[ -\frac{O(0.1)}{P(k)} \right]$$

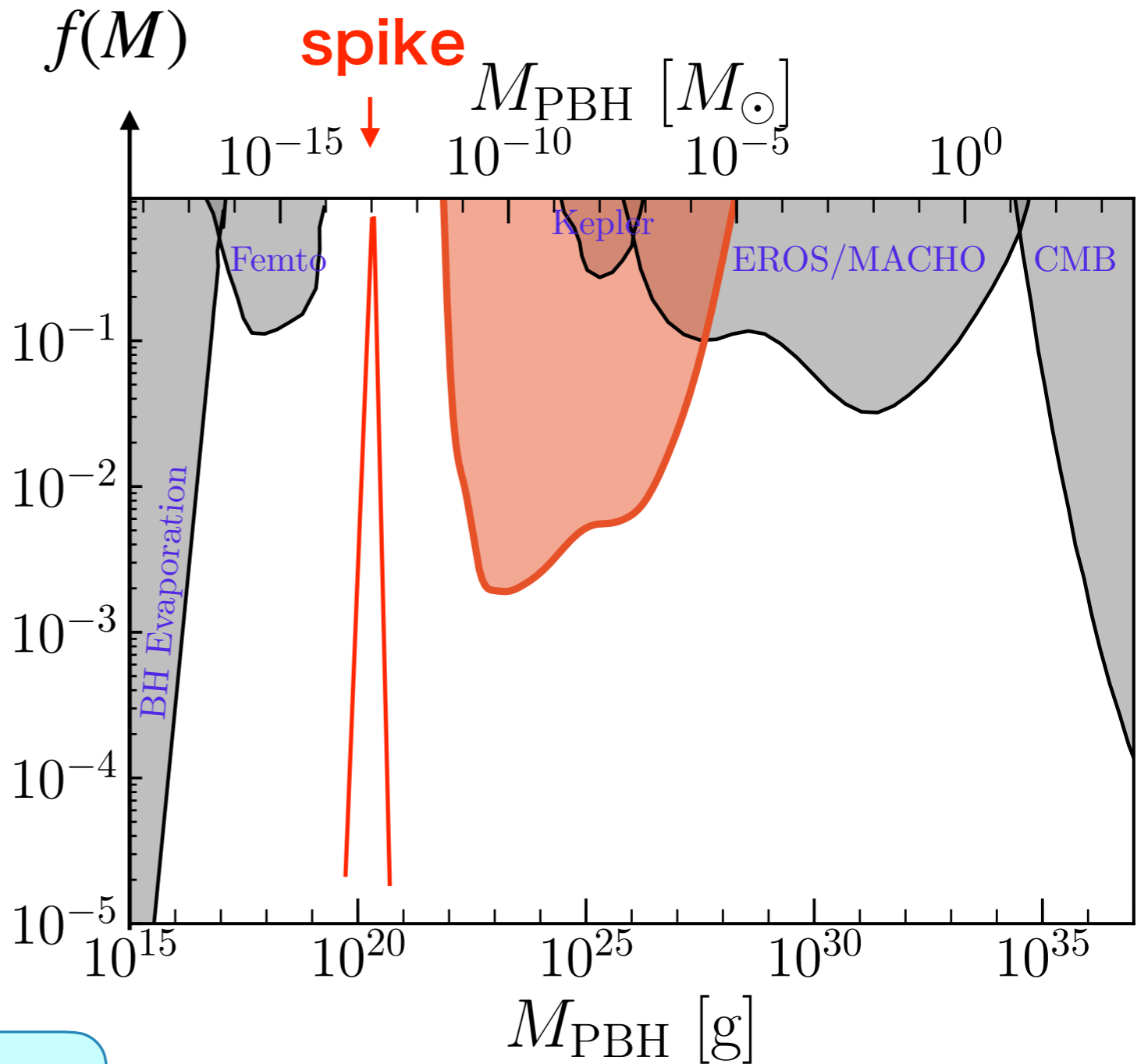
a sharp peak in  $P(k)$



a spike in  $f(M)$

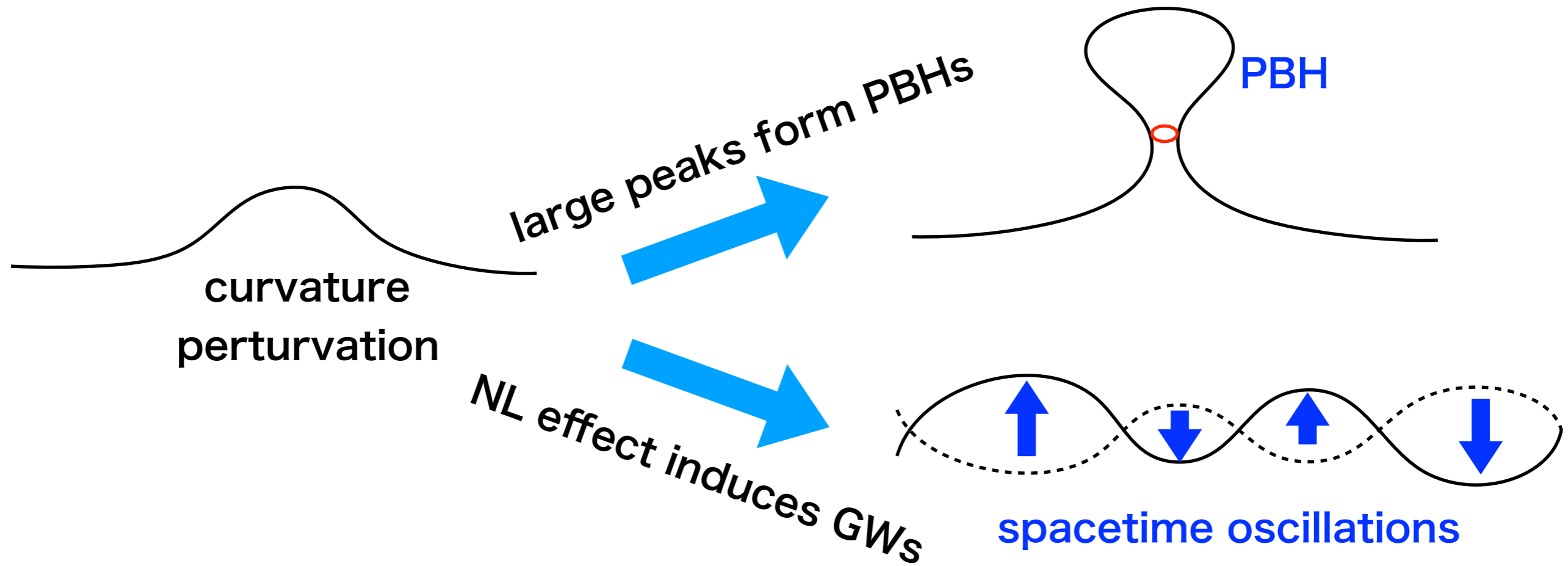


monochromatic PBH mass fcn



# GWs from Large Scalar Curvature Perturbation

# GWs can capture PBHs!



PBHs = CDM with  $M_{\text{PBH}} \sim 10^{21} \text{g}$   
generates GWs with  $f \sim 10^{-3} \text{Hz}$

Background GWs  
at LISA band

LIGO-Virgo : 10 - 1000 Hz

# Induced GWs

- The equation of motion for the tensor perturbation with source

$$h_{\mathbf{k}}'' + 2\mathcal{H}h_{\mathbf{k}}' + k^2h_{\mathbf{k}} = \mathcal{S}(\mathbf{k}, \eta) \sim \int d^3l l_i l_j \Phi_{\mathbf{l}}(\eta) \Phi_{\mathbf{k}-\mathbf{l}}(\eta)$$

- The quantity we want to calculate is

$$\Omega_{\text{GW}}(k) \equiv \frac{1}{12} \left( \frac{k}{Ha} \right)^2 \frac{k^3}{\pi^2} \overline{\langle h_{\mathbf{k}}(\eta) h_{\mathbf{k}}(\eta) \rangle}.$$

$$\rightarrow \Omega_{\text{GW}} \sim \langle hh \rangle \sim \langle \mathcal{S} \mathcal{S} \rangle \sim \langle \Phi \Phi \Phi \Phi \rangle \sim \mathcal{P}_{\Phi}^2$$

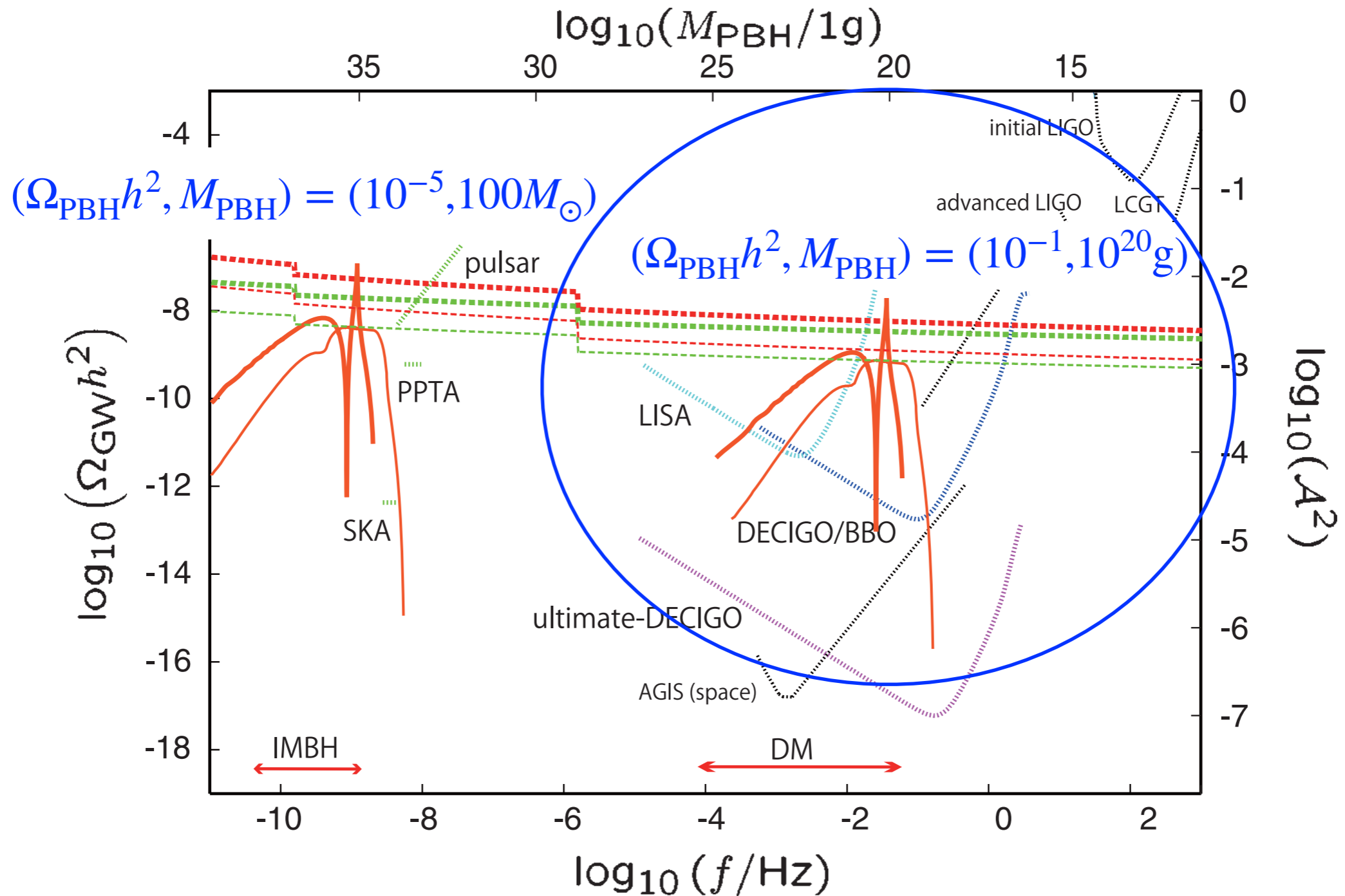
- $\Phi$  may not be Gaussian. So consider a non-Gaussianity:

$$\Phi = \frac{2}{3} \mathcal{R} \quad \mathcal{R}(\mathbf{x}) = \mathcal{R}_g(\mathbf{x}) + F_{\text{NL}} \left[ \mathcal{R}_g^2(\mathbf{x}) - \langle \mathcal{R}_g^2(\mathbf{x}) \rangle \right].$$

at radiation-dominated stage



# GWs test PBH=DM!

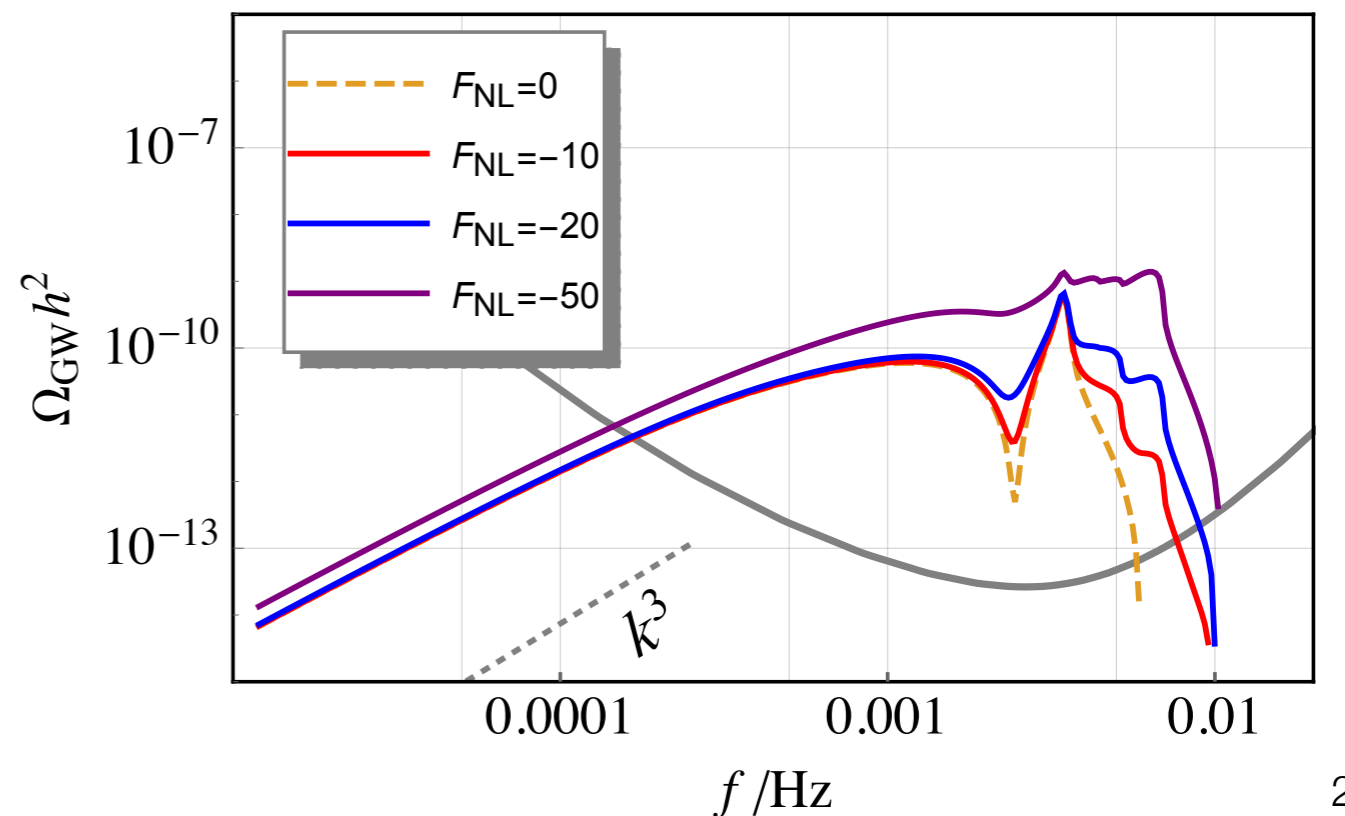
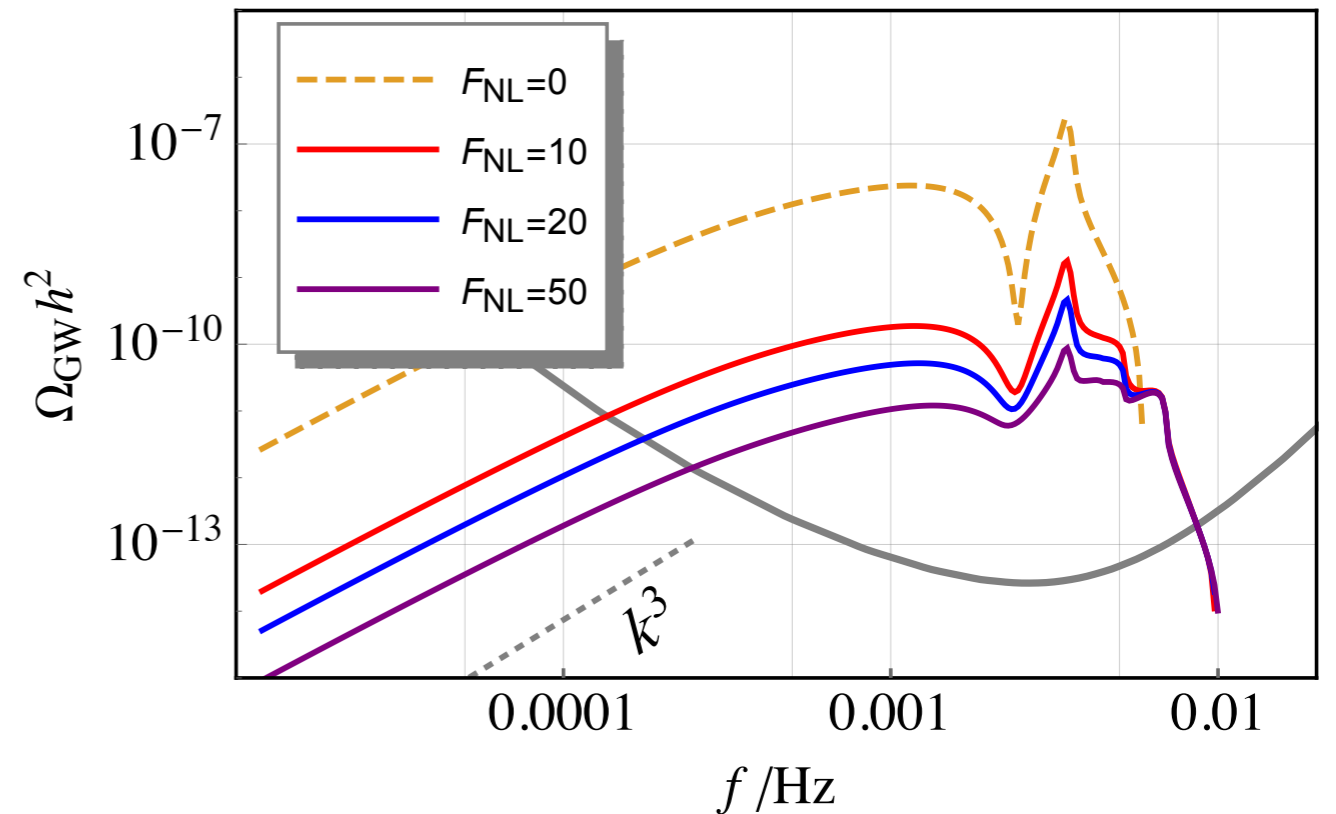


Saito & Yokoyama, arXiv:0912.5317

# Effects of non-Gaussianity

Cai, Pi & MS, '18

- Up:  $F_{NL} > 0$ , and we fix the PBH abundance to be 1.
- Down:  $F_{NL} < 0$ , and we fix the peak amplitude to be  $\mathcal{A}_{\mathcal{R}} = 10^{-2}$
- Gray curve: LISA
- Frequency: PBH window  $\langle - \rangle$  LISA band
- Coincidence, but fortunate for our universe.



# Summary

- 2-field inflation models can provide PBH-as-CDM scenario.

$N_1 \sim 35 - 40$  after CMB scale left the horizon

$\longleftrightarrow M_{\text{PBH}} \sim 10^{19} - 10^{22} \text{g}$

- GWs are generated from large scalar perturbations:

$k^3$  - slope, multiple peaks, cutoff

- If PBHs = CDM, induced GWs must be detectable by LISA, indep of non-Gaussianity  $f_{\text{NL}}$ .

- Conversely if LISA doesn't detect the induced GWs, it constrains the PBH abundances on mass range  $M_{\text{PBH}} \sim 10^{19} - 10^{22} \text{g}$  where no other experiment can explore.