Positivity Constraints on Vector Boson Scattering



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October 26 VBSCan WG1 meeting

Based on 1808.00010 with Shuang-Yong Zhou

TH framework

 We study the anomalous Quartic Gauge-boson Couplings (aQGC) parametrized by 18 dim-8 operators.

$$\begin{split} &O_{S,0} = [(D_{\mu}\Phi)^{\dagger}D_{\nu}\Phi] \times [(D^{\mu}\Phi)^{\dagger}D^{\nu}\Phi] \\ &O_{S,1} = [(D_{\mu}\Phi)^{\dagger}D^{\mu}\Phi] \times [(D_{\nu}\Phi)^{\dagger}D^{\nu}\Phi] \\ &O_{S,2} = [(D_{\mu}\Phi)^{\dagger}D_{\nu}\Phi] \times [(D^{\nu}\Phi)^{\dagger}D^{\nu}\Phi] \\ &O_{M,0} = \operatorname{Tr} \left[\hat{W}_{\mu\nu}\hat{W}^{\mu\nu} \right] \times \left[(D_{\beta}\Phi)^{\dagger}D^{\beta}\Phi \right] \\ &O_{M,1} = \operatorname{Tr} \left[\hat{W}_{\mu\nu}\hat{W}^{\nu\nu} \right] \times \left[(D_{\beta}\Phi)^{\dagger}D^{\beta}\Phi \right] \\ &O_{M,2} = \left[\hat{B}_{\mu\nu}\hat{B}^{\mu\nu} \right] \times \left[(D_{\beta}\Phi)^{\dagger}D^{\beta}\Phi \right] \\ &O_{M,3} = \left[\hat{B}_{\mu\nu}\hat{B}^{\nu\beta} \right] \times \left[(D_{\beta}\Phi)^{\dagger}D^{\mu}\Phi \right] \\ &O_{M,4} = \left[(D_{\mu}\Phi)^{\dagger}\hat{W}_{\beta\nu}D^{\mu}\Phi \right] \times \hat{B}^{\beta\nu} \\ &O_{M,5} = \left[(D_{\mu}\Phi)^{\dagger}\hat{W}_{\beta\nu}D^{\nu}\Phi \right] \times \hat{B}^{\beta\mu}(+h.c.) \\ &O_{M,7} = \left[(D_{\mu}\Phi)^{\dagger}\hat{W}_{\beta\nu}D^{\nu}\Phi \right] \times \Phi \end{split}$$

$$\begin{split} O_{7,0} &= \operatorname{Tr} \begin{bmatrix} \hat{W}_{\mu\nu} \, \hat{W}^{\mu\nu} \\ \hat{W}_{\alpha\nu} \, \hat{W}^{\mu\beta} \\ \times \operatorname{Tr} \end{bmatrix} \times \operatorname{Tr} \begin{bmatrix} \hat{W}_{\alpha\beta} \, \hat{W}^{\alpha\beta} \\ \hat{W}^{\alpha\nu} \\ \hat{W}^{\alpha\nu} \, \hat{W}^{\mu\beta} \\ \times \operatorname{Tr} \end{bmatrix} \times \operatorname{Tr} \begin{bmatrix} \hat{W}_{\mu\beta} \, \hat{W}^{\alpha\nu} \\ \hat{W}_{\mu\beta} \, \hat{W}^{\alpha\nu} \\ \hat{W}^{\alpha\nu} \, \hat{W}^{\mu\beta} \\ \times \hat{B}_{\alpha\beta} \, \hat{B}^{\alpha\beta} \end{bmatrix} \\ \times \hat{B}_{\alpha\beta} \, \hat{B}^{\alpha\beta} \\ O_{7,6} &= \operatorname{Tr} \begin{bmatrix} \hat{W}_{\alpha\nu} \, \hat{W}^{\mu\beta} \\ \hat{W}_{\alpha\mu} \, \hat{W}^{\mu\beta} \\ \times \hat{B}_{\mu\beta} \, \hat{B}^{\alpha\nu} \\ \times \hat{B}_{\beta\nu} \, \hat{B}^{\nu\alpha} \\ \times \hat{B}_{\beta\nu} \, \hat{B}^{\alpha\beta} \\ \times \hat{D}_{7,9} &= \hat{B}_{\alpha\mu} \hat{B}^{\mu\beta} \times \hat{B}_{\beta\nu} \, \hat{B}^{\nu\alpha}, \end{split}$$

 TGC and QGC are fully correlated at dim-6. To parametrize independent QGC couplings not constrained by TGC measurements, we need the above operators.



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Positivity VBS

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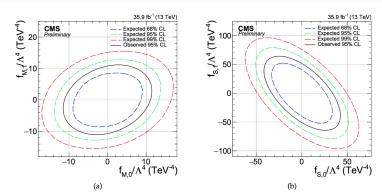


Figure 5: Two-dimensional observed 95% CL limits (solid contour) and expected 68 and 95% CL limits (dashed contour) on the selected aQGC parameters. The values of couplings outside of contours are excluded at the corresponding confidence level.

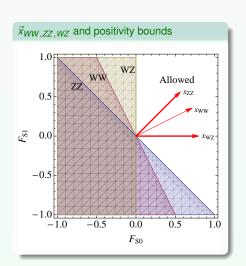
What are positivity constraints

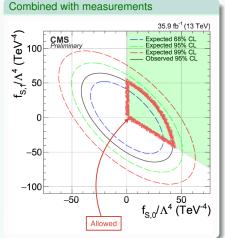
- In this work, we derive a set of "positivity constraints" on the 18 operator coefficients, by assuming that the SMEFT has a UV completion.
- What are "positivity constraints":
 - ▶ A linear combination of coefs. $(F_{S,0}, F_{S,1}, F_{S,2}, \cdots)$ must be positive.
 - ▶ Or equivalently, consider a vector $\vec{c} = (F_{S,0}, F_{S,1}, F_{S,2}, \cdots)$. Positivity says that \vec{c} has to be positive upon projection on a certain direction \vec{x}_i , i.e.

$$\vec{c} \cdot \vec{x}_i \geq 0$$

- \vec{x}_i come from the requirements that the VBS amplitudes (WW, ZZ, ...) satisfy the fundamental principles of QFT (analyticity, unitarity, etc.), i.e. we have $\vec{x}_{WW}, \vec{x}_{ZZ}, \vec{x}_{WZ}, ...$
 - But the resulting constraint apply regardless of the amplitude from which it is derived.

Implications on EXP results





Outline

Derivation

- Implication
- 3 Conclusion

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- 2 Implication
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Positivity approach

- First established in [A. Adams et al. JHEP '06]: dispersion relation + optical theorem, forward 2-to-2 scattering.
- Non-forward generalization: [C. de Rham et al. Phys.Rev.D '17], [C. de Rham et al. JHEP '18]
- Application in collider pheno:
 - ightharpoonup ZZ and $Z\gamma$: [B. Bellazzini and F. Riva '18]
 - Implications in Higgs physics under ceratin assumptions:

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[I. Low et al. '09] [A. Falkowski et al. '12]
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 In general the approach has strong implication on SMEFT dim-8 operators, which are important for the interpretation of VBS, so we should understand the constraints.



Analytic dispersion relation

• As an simplified version: consider the forward scattering (t = 0) of two identical particles with mass m, with possible heavy new physics.

(see [C. Cheung and G. N. Remmen '16] for a quick overview)

- If the UV completion exists, the amplitude M(s, t = 0)
 - ▶ is analytic and
 - ▶ satisfies Froissart unitarity bound $M(s, 0) \le \mathcal{O}(s \ln^2 s)$.

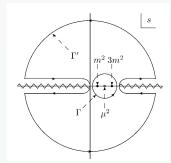


Analytic dispersion relation

Consider the contour integral:

$$f=rac{1}{2\pi i}\oint_{\Gamma}\mathrm{d}srac{M(s,0)}{(s-\mu^2)^3}$$

 Deform Γ to Γ' and notice that boundary contribution vanishes due to Froissart bound:



$$f = \frac{1}{2\pi i} \oint_{\Gamma} ds \frac{M(s,0)}{(s-\mu^2)^3} = \frac{1}{2\pi i} \left(\int_{-\infty}^{0} + \int_{4m^2}^{\infty} \right) ds \frac{\text{Disc}M(s,0)}{(s-\mu^2)^3}$$

i.e. sum of residues at low energy =

discontinuity along +x axis + discontinuity along -x axis

 Note that BSM (above Λ) enters the discontinuity, as poles (tree level) or branch cuts (heavy loops).

Derivation of positivity

- discontinuity along $\pm x$ axis | must positive, because of optical theorem (disc. = xsec >0) (plus crossing symmetry for -x)
- \bullet \Rightarrow sum of residues at low energy is positive.

We started with the amplitude in the full theory, but have reached a conclusion that only involves low energy, which can be computed in SMEFT:

$$\boxed{\text{sum of residues at low energy}} = \frac{\mathrm{d}^2 M(s,0)}{\mathrm{d} s^2} = \sum_i c_i^{(8)} x_i + \sum_{i,j} c_i^{(6)} c_j^{(6)} y_{i,j} > 0$$

- Conclusion: the above positivity condition must be satisfied, if
 - SMEFT has a UV completion, that satisfies unitarity, Lorentz symmetry, is analytic.
 - At low energy, the SMEFT is valid and tree level calculation is a good approximation, which anyway need to be assumed in a real measurement.



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Dim-6 contributions can be removed

$$\sum_{i} c_{i}^{(8)} x_{i} \geq -\sum_{i,j} c_{i}^{(6)} c_{j}^{(6)} y_{i,j}$$

- In general, we expect dim-6 to be better constrained by other processes.
- But in any case, dim-6 doesn't matter, because by explicit calculation the RHS is positive.
- E.g. from WZ scattering:

$${\rm R.H.S} \propto a_3^2b_3^2 \left[e^2C_{DW} - s_W^2c_W^2C_{\varphi D} - 4s_W^3c_WC_{\varphi WB}\right]^2 + 36(a_1b_1 + a_2b_2)^2e^2s_W^2c_W^2C_W^2$$

and from WW:

$${\rm R.H.S} \propto a_3^2b_3^2s_W^2\left(e^2C_{DB}+c_W^2C_{\varphi D}\right)^2+e^2c_W^2\left[6(a_1b_1+a_2b_2)s_WC_W+a_3b_3eC_{DW}\right]^2$$

$$oxed{\sum_i c_i^{(8)} x_i \geq -\sum_{i,j} c_i^{(6)} c_j^{(6)} y_{i,j} \geq 0}$$
 or simply: $oxed{ec{c} \cdot ec{x}_i \geq 0}$





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Positivity VBS

Explicitly:

Polarization matters. We will use

$$\vec{a} = (a_1, a_2, a_3)$$

 $\vec{b} = (b_1, b_2, b_3)$

to denote the polarization of the two vector boson being scattered.

• As an example, ZZ → ZZ gives the following constraint:

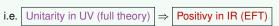
$$\begin{split} &8a_{3}^{2}b_{3}^{2}t_{W}^{4}\left(F_{S,0}+F_{S,1}+F_{S,2}\right)+\left[a_{3}^{2}\left(b_{1}^{2}+b_{2}^{2}\right)\right.\\ &\left.+\left(a_{1}^{2}+a_{2}^{2}\right)b_{3}^{2}\right]t_{W}^{2}\left(-t_{W}^{4}F_{M,3}+t_{W}^{2}F_{M,5}-2F_{M,1}+F_{M,7}\right)\\ &\left.+\left[\left(a_{1}b_{1}+a_{2}b_{2}\right)^{2}+\left(a_{1}^{2}+a_{2}^{2}\right)\left(b_{1}^{2}+b_{2}^{2}\right)\right]\left(2t_{W}^{8}F_{T,9}\right.\\ &\left.+4t_{W}^{4}F_{T,7}+8F_{T,2}\right)+8\left(a_{1}b_{1}+a_{2}b_{2}\right)^{2}\left[t_{W}^{4}\left(t_{W}^{4}F_{T,8}\right)\right]\\ &\left.+2F_{T,5}+2F_{T,6}\right)+4F_{T,0}+4F_{T,1}\right]\geq0 \end{split}$$

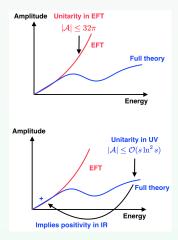
- Depending on \vec{a} , \vec{b} , there is a infinite number of constraints from ZZ...
- Other constraints from $W^{\pm}Z$, $W^{\pm}W^{\pm}$, $W^{\pm}W^{\mp}$, $W^{\pm}\gamma$, $Z\gamma$, $\gamma\gamma$.
- These are the key results of this work.



"Unitarity"

- It is well-known that unitarity violation can be a problem in SMEET.
 - In VBS, unitarization techniques are needed. (two previous talks.)
 - However, here unitarity problem concerns only the prediction of the SMEFT, and only signals the breakdown of EFT.
- Our bounds are derived from a different information, i.e. the Froissart unitarity bound. This unitarity refers to the behaviour of the UV theory at large energy.
 - This is then connected to the IR (EFT) of the theory by the dispersion relation







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- 2 Implication
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Example: simplified model

Consider the simplified model in [Brass, Fleper, Kilian, Reuter, Sekulla '18] (i.e. talk by Prof. Kilian)

In the present paper, we do not refer to a specific scenario. We construct a simplified model with transverse couplings of a generic heavy resonance σ . The effective Lagrangian takes the following form,

$$\mathcal{L}_{\sigma} = -\frac{1}{2}\sigma(m_{\sigma}^2 - \partial^2)\sigma + \sigma(J_{\sigma\parallel} + J_{\sigma\perp})$$
(19a)

$$J_{\sigma\parallel} = F_{\sigma H} \operatorname{tr} \left[(\mathbf{D}_{\mu} \mathbf{H})^{\dagger} (\mathbf{D}^{\mu} \mathbf{H}) \right]$$
(19b)

$$J_{\sigma\perp} = g^2 F_{W\sigma} \sigma \operatorname{tr} \left[\mathbf{W}_{\mu\nu} \mathbf{W}^{\mu\nu} \right] + g^{\prime 2} F_{B\sigma} \sigma \operatorname{tr} \left[\mathbf{B}_{\mu\nu} \mathbf{B}^{\mu\nu} \right]$$
 (19c)

with three independent coupling parameters.

In the low-energy limit, the scalar resonance can be integrated out, and we obtain the SMEFT Lagrangian with the following nonzero coefficients of the dimension-8 operators at leading order:

$$F_{S_0} = F_{\sigma H}^2 / 2m_{\sigma}^2$$
 (20a)

$$F_{M_0} = -F_{\sigma H} F_{\sigma W} / m_{\sigma}^2 \tag{20b}$$

$$F_{M_2} = -F_{\sigma H}F_{\sigma B}/m_{\sigma}^2 \tag{20c}$$

$$F_{T_0} = F_{\sigma W}^2 / 2m_{\sigma}^2$$
 (20d)

$$F_{T_r} = F_{\sigma W} F_{\sigma B} / m_{\sigma}^2 \qquad (20e)$$

$$F_{T_{\bullet}} = F_{\sigma B}^2 / 2m_{\sigma}^2$$
. (20f)

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Example: simplified model

If we plug in the dim-8 coefficients into our positivity constraints, we see:

$$\begin{split} ZZ: &(a_1b_1+a_2b_2)^2 \left(s_W^4F_{\sigma B}+2c_W^4F_{\sigma W}\right)^2 + a_3^2b_3^2s_W^4c_W^4e^{-4}F_{\sigma H}^2>0 \\ W^\pm Z: &a_3^2b_3^2F_{\sigma H}^2>0 \\ W^\pm W^\pm: &(a_1b_1+a_2b_2)^2F_{\sigma W}^2 + \left[(a_1b_1+a_2b_2)F_{\sigma W}+a_3b_3s_W^2e^{-2}F_{\sigma H}\right]^2>0 \\ W^\pm W^\mp: &(a_1b_1+a_2b_2)^2F_{\sigma W}^2 + \left[(a_1b_1+a_2b_2)F_{\sigma W}-a_3b_3s_W^2e^{-2}F_{\sigma H}\right]^2>0 \\ ZA: &(a_1b_1+a_2b_2)^2 \left[s_W^2F_{\sigma B}-2c_W^2F_{\sigma W}\right]^2>0 \\ WA: & \text{none} \\ AA: &(a_1b_1+a_2b_2)^2\left(F_{\sigma B}+2F_{\sigma W}\right)^2>0 \end{split}$$

*up to factors of 2 that can be absorbed in the definitions of $F_{\sigma X}$

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All inequalities are satisfied, as they are all sum of squares.

- In a top-down approach, positivity is automatically true, in different models, different ways
 by asking for positivity, we are not restricting the UV models.
- In a bottom-up approach, we can derive the same constraints, but without using model details, and therefore we restrict the parameter space without losing model-independence.

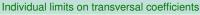
Consider one operator at a time:

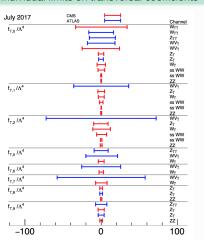
$f_{S,0}$	$f_{\mathcal{S},1}$	$f_{\mathcal{S},2}$	$f_{M,0}$	$f_{M,1}$	$f_{M,2}$	$f_{M,3}$	$f_{M,4}$	$f_{M,5}$
+	+	+	X	_	0	_	0	X
$f_{M,7}$	$f_{T,0}$	$f_{T,1}$	$f_{T,2}$	$f_{T,5}$	f _{T,6}	f _{T,7}	f _{T,8}	f _{T,9}
+	+	+	+	X	+	X	+	+
+: positive —: negative O: free								

- Note there are coefficients that are not individually allowed.
 - ▶ E.g. F_{T5} . In the simplified model $F_{T5} \propto F_{\sigma W} F_{\sigma B}$, cannot take nonzero value independent of $F_{T0} \propto F_{\sigma W}^2$ and $F_{T8} \propto F_{\sigma B}^2$.

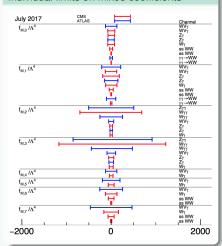


1D limits: EXP



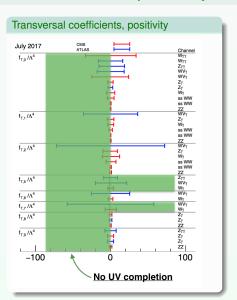


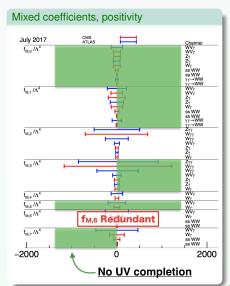
Individual limits on mixed coefficients



https://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsSMPaTGC#aQGC_Results

1D limits: EXP+positivity



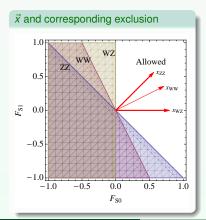


2D limits: Longitudinal case

As a first example, consider $O_{S,0}$ and $O_{S,1}$.

- Coef. vector: $\vec{c} \equiv (F_{S,0}, F_{S,1})$. Positivity: $\vec{c} \cdot \vec{x}_i \ge 0$.
- There are 3 useful constraints, from WW, ZZ, WZ scattering

$$\vec{x}_{WZ} = a_3^2 b_3^2(1,0), \quad \vec{x}_{WW} = a_3^2 b_3^2(2,1), \quad \vec{x}_{ZZ} = a_3^2 b_3^2(1,1)$$



- Note that \vec{x}_{WW} is between \vec{x}_{ZZ} and \vec{x}_{WZ} , so positivity on \vec{x}_{WW} does not give new independent information.
- In general: if \vec{x} is a positive linear combination of a set of $\vec{x_i}$, i.e.

$$\vec{\mathbf{x}} = \alpha_i \vec{\mathbf{x}}_i, \quad \alpha_i \geq \mathbf{0} \quad \forall i$$

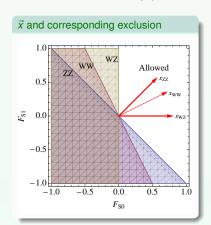
Then positivity along \vec{x} does not lead to additional exclusion.

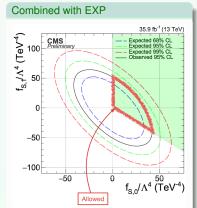
2D limits: longitudinal case

As a first example, consider $O_{S,0}$ and $O_{S,1}$.

- Coef. vector: $\vec{c} \equiv (F_{S,0}, F_{S,1})$. Positivity: $\vec{c} \cdot \vec{x}_i \ge 0$.
- There are 3 useful constraints, from WW, ZZ, WZ

$$\vec{x}_{WZ} = a_3^2 b_3^2(1,0), \quad \vec{x}_{WW} = a_3^2 b_3^2(2,1), \quad \vec{x}_{ZZ} = a_3^2 b_3^2(1,1)$$







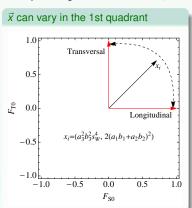
2D limits: longitudinal and transversal case

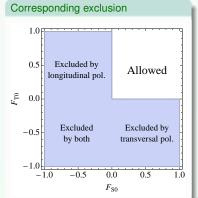
As a second example, consider $O_{S,0}$ and $O_{T,0}$.

The constraint from WW is

$$\vec{x}_{WW} = (a_3^2 b_3^2 s_W^4, 2(a_1 b_1 + a_2 b_2)^2)$$

• Depending on the chosen $\vec{a}, \vec{b}, \vec{x}_{WW}$ can take any direction in the first quadrant.







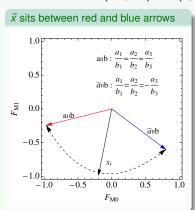
2D limits: mixed case

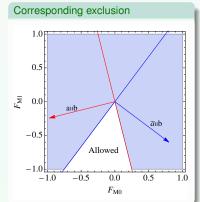
As a third example, consider $O_{M,0}$ and $O_{M,1}$.

• The most useful constraint is from WW

$$\vec{x}_{WW} = -\left(4(a_1b_1 + a_2b_2)a_3b_3,\ (a_1^2 + a_2^2)b_3^2 - (a_1b_1 + a_2b_2)a_3b_3 + (b_1^2 + b_2^2)a_3^2\right)$$

• Varies between (-4, -1) and (4, -3).







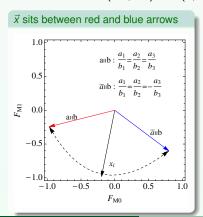
2D limits: mixed case

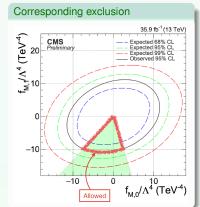
As a third example, consider $O_{M,0}$ and $O_{M,1}$.

• The most useful constraint is from WW

$$\vec{x}_{WW} = -\left(4(a_1b_1 + a_2b_2)a_3b_3, \ (a_1^2 + a_2^2)b_3^2 - (a_1b_1 + a_2b_2)a_3b_3 + (b_1^2 + b_2^2)a_3^2\right)$$

• Varies between (-4, -1) and (4, -3).







2D limits: summary

In 2D case, constraints are given by minimally two key vectors.

F_{S0} − F_{S1}:

$$\vec{x}_{WZ}=(1,0)$$

$$\vec{x}_{ZZ}=(1,1)$$

• $F_{S0} - F_{T0}$:

$$\vec{x}_{WW}(trans.) = (0, 1)$$

$$\vec{x}_{WW}(long.) = (1,0)$$

• $F_{M0} - F_{M1}$:

$$\vec{x}_{WW}(\vec{a} \parallel \vec{b}) = (-4, -1)$$

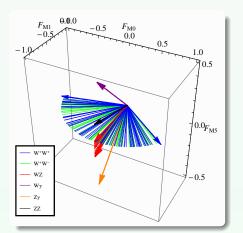
$$\vec{x}_{WW}(\vec{a}||\vec{b}) = (4, -3)$$

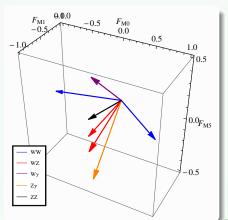
What happens in higher dimension parameter space?



As a last example, consider $O_{M,0}$, $O_{M,1}$ and $O_{M,5}$.

- Scan the polarization space by randomly generating \vec{a} and \vec{b} .
- \vec{x} within the pyramid formed by other \vec{x}_i does not give new info!

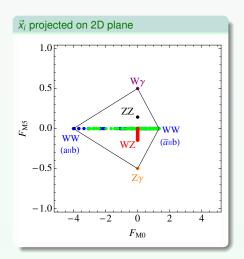




How to find the "key vectors" that characterize the bounds in general:

- Scan all possible polarizations \vec{a} , \vec{b} .
- Project to a 2D plane $(f_{M0} f_{M5})$.
- Take the endpoints of \vec{x} .
- Find the convex hull of the set of points.
- The vertices corresponds to the key vectors:

$$ec{x}_{W\gamma} = (0, -2, 1), \ ec{x}_{Z\gamma} = (0, -2, -1), \ ec{x}_{WW}(ec{a} \parallel ec{b}) = (-4, -1, 0), \ ec{x}_{WW}(ec{a} \parallel ec{b}) = (4, -3, 0).$$



Allowed region is given by

$$-2F_{M1} + F_{M5} \ge 0,$$

$$-2F_{M1} - F_{M5} \ge 0,$$

$$-4F_{M0} - F_{M1} \ge 0,$$

$$4F_{M0} - 3F_{M1} > 0.$$

Note that

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$$\begin{split} F_{M5} &\in [-2|F_{M1}|, 2|F_{M1}|], \\ F_{M0} &\in [-\frac{3}{4}|F_{M1}|, \frac{1}{4}|F_{M1}|] \end{split}$$

 In principle same approach applies for higher-D case: the problem is equivalent to finding a D-1 dimensional convex hull.

3D allowed region given by a pyramid $f_{M,1}$ -1.0 -0.50.5

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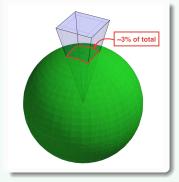
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Positivity VBS

Volume in full parameter space

When all 18 parameters are turned on, how much of the parameter space is excluded by positivity?

- By brutal force, randomly through points on a 18D sphere, uniformly distributed, and count how many of the them fall within constraints for all polarizations.
- \bullet We find that only $\sim 3\%$ parameter space is left.





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How does positivity affect future experimental search?

We don't know, but some thoughts...

- It might help MC generation? Because less space need to be scanned?
 Does it help to move to higher dim? (i.e. towards global EFT fit)
 - 2D/3D benchmarks?
 - ▶ Or simply a MATHEMATICA script...

```
ForAll[{a1, a2, a3, b1, b2, b3}, PositivityConditions]
```

- Does it provide enough info to support a "guided search"? Instead of blindly searching for BSM in 18D space, can we make use of the fact that we know BSM only exists in the 3% parameter space?
- Presentation, e.g. 1D limits should be presented in a more reasonable form.



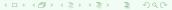
Outline

- Derivation
- 2 Implication
- 3 Conclusion



Conclusion

- Dim-8 aQGC operator coefficients satisfy a set of positivity constraints, if they are generated by a UV completion.
- They have strong implication, e.g. 18D parameter space reduced to 3%, independent of experimental precision.
- The shape of the allowed parameter space shows interesting structure.
- They should be taken into account for future aQGC studies.



Thank you!

