

# Unitarity constraints on general Higgs sectors

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# Overview

- Introduction
- Unitarity constraints on general theories
- Example in the THDM
- Colourful unitarity

# Constraining the electroweak sector of BSM theories

Absence of new particles has led to renewed interest in non-SUSY model building, mostly with purely phenomenological motivation, e.g.

- THDM variants
- SSM,  $Z_2$ SSM, inert doublets, singlets, etc
- Georgi-Machacek

These are then hit by the typical toolbox:

- Collider constraints give us information up to a couple of TeV for coloured particles, but much less for electroweak (few hundred GeV).
- Flavour constraints are very powerful, but again mainly for coloured states. E.g. 10s of TeV vs 600 GeV for charged Higgs from  $b \rightarrow s \gamma$  in THDM-II.
- SMEFT program attempts to constrain models from precision (including EWPT).

But we can gain lots of information from the renormalisable terms that are not being (well) exploited:

- The Higgs mass
- Stability or instability scale of the electroweak vacuum
- Unitarity

# Unitarity

- Everyone learns that the Higgs is necessary for unitarisation of  $WW \rightarrow WW$  scattering.
- Slightly less well known, but still famously, Lee, Quigg and Thacker used this to place an upper bound on the Higgs mass of

$$M_H < \sqrt{\frac{8\pi\sqrt{2}}{3G_F}} \lesssim 1 \text{ TeV}$$

- $2 \rightarrow 2$  scattering of scalars is sometimes used to constrain BSM theories: e.g. constraints worked out for THDM [Kanemura, Kubota, Takasugi]
- Doing the full calculation is rather messy. It's far easier to use the Goldstone boson equivalence theorem. From the Ward ID:

$$\frac{k_\mu}{m_V} T(W^\mu, \dots) = T(G, \dots)$$

but  $\epsilon_L^\mu \xrightarrow{E \gg m} \frac{k^\mu}{m_V}$ , so we can just calculate  $T(G, \dots)$

- This requirement for  $E \gg m_V$  might be why people typically only consider the  $s \rightarrow \infty$  limit of unitarity constraints. But this is not necessary!

# Unitarity basics

Basically:

- Say  $S = 1 + iT$ , then for unitary theory  $(1 + iT)(1 - iT^\dagger) = 1$ .
- For  $2 \rightarrow 2$  scattering

$$-i(\mathcal{M}_{ba}^{2 \rightarrow 2} - (\mathcal{M}_{ba}^{2 \rightarrow 2})^\dagger) = \sum_c \frac{1}{2\delta_c} \frac{|\mathbf{p}_c|}{16\pi^2 \sqrt{s}} \int d\Omega \mathcal{M}_{ca}^{2 \rightarrow 2} \overline{\mathcal{M}_{cb}^{2 \rightarrow 2}} + \underbrace{\sum_{n>2} d\Pi_n \int d\Omega \mathcal{M}_{ca}^{2 \rightarrow n} \overline{\mathcal{M}_{cb}^{2 \rightarrow n}}}_{\geq 0}.$$

- We decompose the matrices into partial waves:

$$\mathcal{M}_{ca} = 16\pi \sum (2J + 1) P_J(z_c) \hat{a}_J(s) \rightarrow \hat{a}_0^{ca} = \frac{1}{32\pi} \int_{-1}^1 dz \mathcal{M}_{ca}$$

- In general have  $a_J^{ba} \equiv \sqrt{\frac{4|\mathbf{p}_b||\mathbf{p}_a|}{2\delta_a 2\delta_b s}} \hat{a}_J^{ba}$  and constraint on eigenvalues:

$$\text{Im}(a_J^i) \geq |a_J^i|^2$$

- When  $s \rightarrow \infty$  get  $\hat{a}_J = a_J$

# Unitarity diagrams

In the limit of large  $s$ , can neglect the cubic couplings:

$$\frac{\lambda}{8\pi} \sqrt{1 - \frac{4m^2}{s}}$$

$$\frac{\kappa^2 \sqrt{1 - \frac{4m^2}{s}}}{8\pi (M^2 - s)} \rightarrow 0$$

$$\frac{\kappa^2 \log\left(\frac{M^2}{-4m^2 + M^2 + s}\right)}{8\pi \sqrt{s(s - 4m^2)}} \rightarrow 0$$

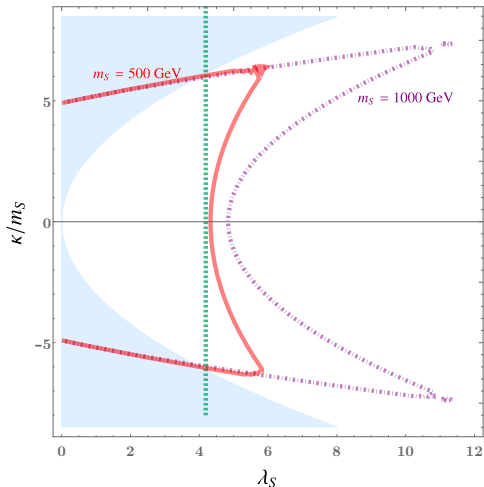
- The constraint is a quantification of perturbativity in the quartic coupling
- But it throws away a lot of information! And implies that cubic couplings are not bounded by unitarity!
- Indeed, if we consider the limit  $s \sim \kappa^2 \gg M_W^2$ , then the Goldstone boson equivalence theorem is still valid (so only compute scalar diagrams) but now get genuine constraints!

# Simplest example

Take a trivial example of

$$\mathcal{L} \supset \frac{1}{2} M_S^2 S^2 + \frac{1}{3} \kappa S^3 + \frac{1}{2} \lambda_S S^4$$

We shouldn't be able to take  $\kappa$  arbitrarily large!! And indeed we have limits:



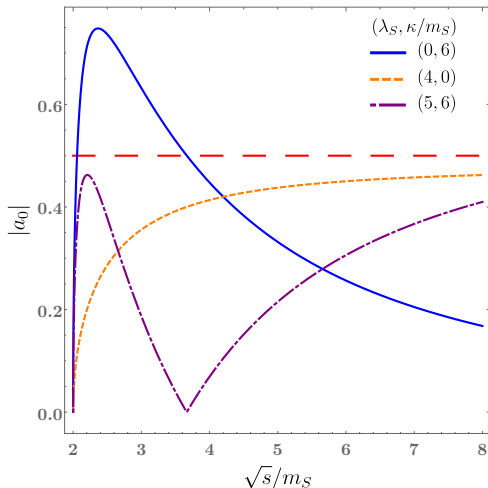
New information corresponds to:

- Vacuum stability
- Perturbativity of cubic coupling

Simple to derive  $\alpha_0$  for this case:

$$\alpha_0 = -\frac{1}{32\pi} \left[ \sqrt{1 - \frac{4m_S^2}{s}} \left( 12\lambda_S + \frac{4\kappa^2}{s - m_S^2} \right) + \frac{8\kappa^2}{\sqrt{s(s - 4m_S^2)}} \log \frac{m_S^2}{s - 3m_S^2} \right].$$

For  $\lambda_S \lesssim \kappa/m_S$  has a maximum near  $s \sim 6m_S^2$ :





# Stronger and weaker constraints

Constraints can strengthen or weaken:

- Typically maximum  $\alpha_0$  for  $s$  just above threshold: can be large enhancement of constraint
- But: maximum  $s \leftrightarrow$  cutoff  $\Lambda$ : if  $\alpha_0$  is increasing with  $s$  then can weaken constraints
- Also: trilinear coupling contribution can negatively interfere with quartic.

# Implementation

We implemented this calculation for all uncoloured scalars into SARAH:

- Can calculate scattering diagrams, and output `Fortran` code linked to `SPheno` library for spectrum generation and numerical evaluation of unitarity
- Choose the best value of  $s$  in range given (e.g. if define theory with a cutoff then constraints can be weaker)
- Compute eigenvalues of scattering matrix
- Cut out irreducible submatrices if we are near a pole (perturbation expansion effectively breaks down there)

## Example: THDM

These constraints even improve bounds on quartic couplings in theories with a vev!  
E.g. in the THDM:

$$V_{\text{Tree}} = \lambda_1 |H_1|^4 + \lambda_2 |H_2|^4 + \lambda_3 |H_1|^2 |H_2|^2 + \lambda_4 |H_2^\dagger H_1|^2 \\ + m_1^2 |H_1|^2 + m_2^2 |H_2|^2 + \left( M_{12}^2 H_1^\dagger H_2 + \frac{1}{2} \lambda_5 (H_2^\dagger H_1)^2 + \text{h.c.} \right)$$

- With CP, have 8 parameters plus two expectation values minus 2 vacuum conditions and the weak vev  $\rightarrow$  7 free parameters.
- Can trade these for  $m_h, m_H, m_A, m_{H^\pm}, \tan \beta, \tan \alpha$  and  $M_{12}^2$ :

$$\lambda_1 = \frac{1 + t_\beta^2}{2(1 + t_\alpha^2)v^2} (m_H^2 - M_{12}^2 t_\beta + t_\alpha^2 (m_h^2 - M_{12}^2 t_\beta))$$

$$\lambda_2 = \frac{1 + t_\beta^2}{2(1 + t_\alpha^2)t_\beta^3 v^2} (-M_{12}^2 - M_{12}^2 t_\alpha^2 + t_\beta (m_h^2 + m_H^2 t_\alpha^2))$$

$$\lambda_3 = \frac{1}{(1 + t_\alpha^2)t_\beta v^2} [m_h^2 t_\alpha + 2m_H^2 + (1 + t_\alpha^2)t_\beta \\ + m_h^2 t_\alpha t_\beta^2 - m_H^2 t_\alpha (1 + t_\beta^2) - M_{12}^2 (1 + t_\alpha^2)(1 + t_\beta^2)]$$

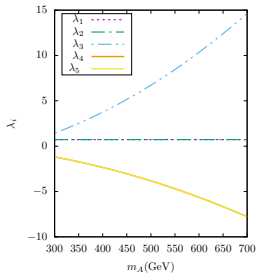
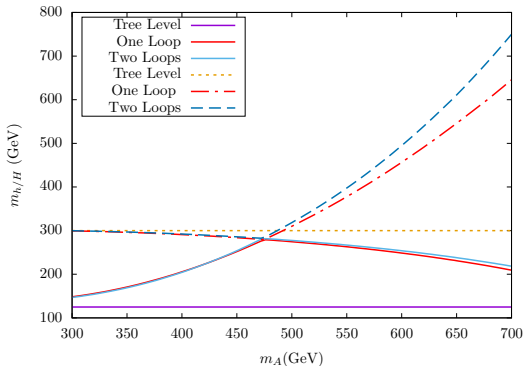
$$\lambda_4 = \frac{1}{t_\beta v^2} (M_{12}^2 + m_A^2 t_\beta - 2m_H^2 + t_\beta + M_{12}^2 t_\beta^2)$$

$$\lambda_5 = \frac{1}{t_\beta v^2} (M_{12}^2 - m_A^2 t_\beta + M_{12}^2 t_\beta^2)$$

# Unphysical couplings

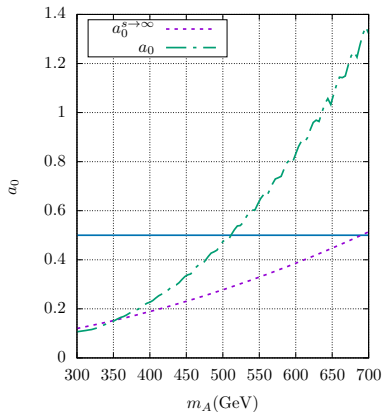
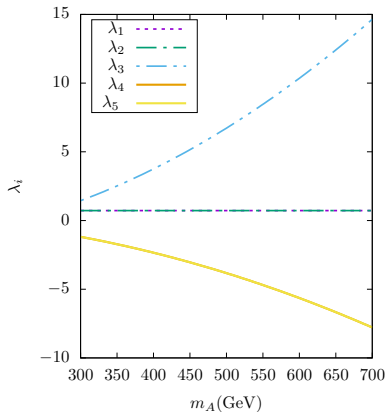
- Main problem with this: it is very easy to have huge underlying unphysical couplings!

E.g. enforce the alignment limit of  $\tan \alpha = -1/\tan \beta$ , we can scan over the other parameters. If we take the Heavy Higgs mass to be 300 GeV and scan only over e.g.  $m_A = m_{H^\pm}$  we find for loop corrections to masses:



# Unitarity

- Better check of perturbativity: use unitarity
- Naively  $s \rightarrow \infty$  limit is enough because only quartic couplings



- For the above point  $t_\beta = -1/t_\alpha = 1$ ,  $m_A = m_{H^+}$ ,  $\rightarrow \lambda_1 = \lambda_2$  and  $\lambda_4 = \lambda_5$ :

$$\mathcal{L} \supset -\frac{2}{v} [4m_{12}^2 + 2m_H^2 + m_h^2] \left(h + \frac{h^2}{2v}\right) H^2 \\ - \frac{2}{v} [4m_{12}^2 + 2m_A^2 + m_h^2] \left(h + \frac{h^2}{2v}\right) A^2,$$

- For the extreme case  $m_H = m_h \sim \sqrt{s} \ll m_A = m_{H^+} = |M_{12}|$  (nb not the case on previous slide, where  $|M_{12}| = 300$  GeV), we find the  $hH^2$  coupling dominates:

$$\frac{\alpha_0^{\max}}{\alpha_0^{s \rightarrow \infty}} = \frac{-2M_{12}^2 \log\left(\frac{m_h^2}{s-3m_h^2}\right)}{\sqrt{s(s-4m_h^2)}} \sim \frac{1}{2} \frac{m_A^2}{m_h^2}$$

- This is dominated by the near-threshold behaviour we saw before, and can become very large!

## Comparison with loop corrections: MSSM limit

The loop corrections to THDM were calculated in  $s \rightarrow \infty$  limit by  
[Grinstein, Murphy, Uttayarat, 1512.04567]

Results clearly seen in the “MSSM-like” limit:

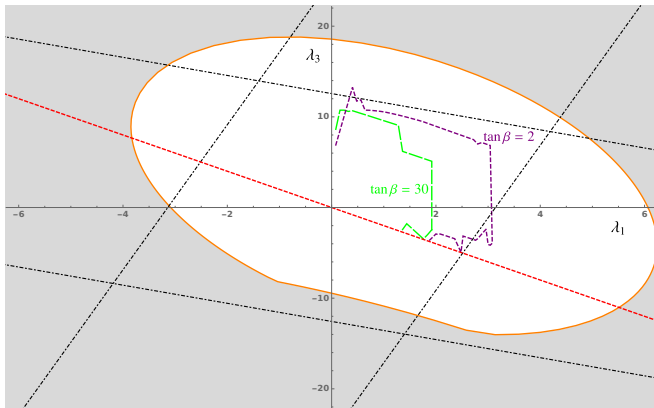
$$\lambda_1 = \lambda_2, \lambda_5 = 0, \lambda_4 = -\lambda_3 - 2\lambda_1$$

Classic constraints are then just

$$|8\lambda_1 - \lambda_3| \leq 8\pi, \quad |2\lambda_1 + 2\lambda_3| \leq 8\pi.$$

# Constraints from finite $s$ vs. loop corrections

But: we generate cubic interactions from vevs of  $H_1, H_2 \rightarrow$  improved constraints at finite  $s$ !





# Summary

- Unitarity constraints give a quantitative definition of perturbativity
- ... and vacuum stability  $\rightarrow$
- Can now get all of this information automatically: can explore all sorts of models
- Currently testing implementation of the constraints from colourful states