



LNV and cLFV probes of heavy Majorana fermions

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Beyond the Standard Model: New Physics

► Strong arguments in favour of New Physics!

Observations unaccounted for in the Standard Model: neutrino oscillations,
baryon asymmetry of the Universe, viable dark matter candidate

And a number of theoretical caveats...



How to unveil the NP model at work?

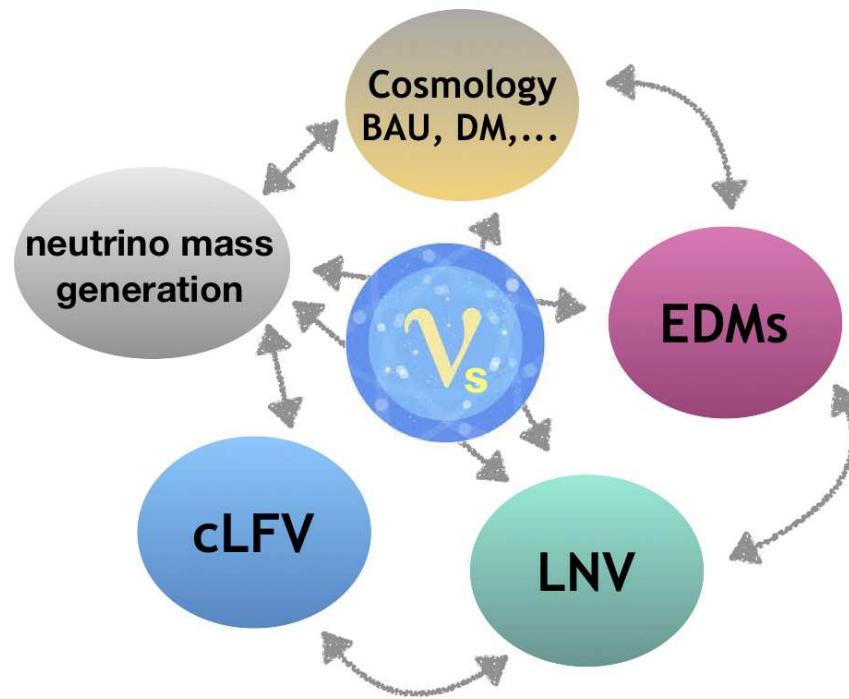
- Neutrino oscillations: 1st laboratory evidence of NP
New mechanism of mass generation? New Majorana fields?!
⇒ $\Delta L \neq 0$ with implications for leptogenesis...
- SM extensions via “heavy” sterile fermions
Theoretically well-motivated! Rich phenomenology!
- How to unveil presence of (Majorana) sterile states?
Numerous observables to be explored!
Forbidden or highly suppressed in the SM...



SM extended by sterile neutrinos: signs of New Physics?

- Majorana sterile fermions: an appealing hypothesis

NP candidate motivated by numerous theoretical and observational arguments



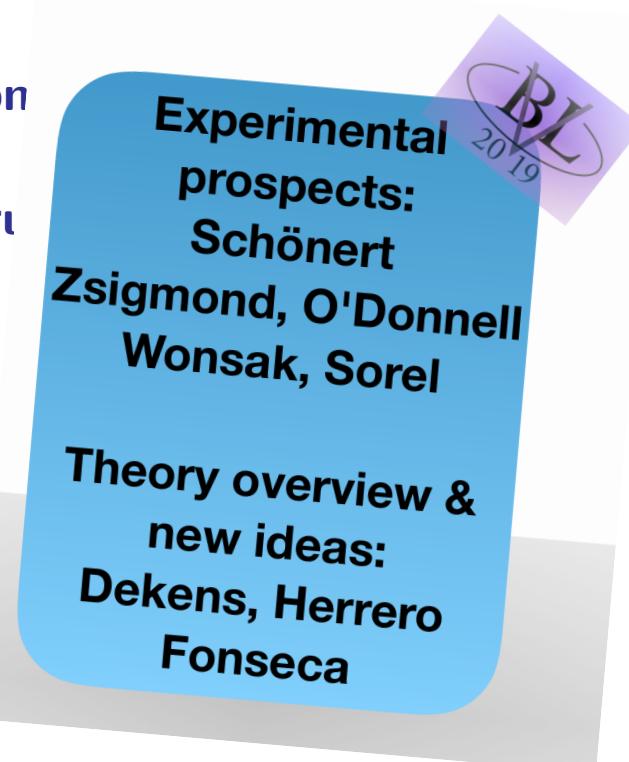
- Potentially a very “visible” NP portal: extensive imprints, from colliders to low-energies, from flavour dedicated experiments to CPV searches...
 - ⇒ experimental signatures within reach of current and future sensitivities!
 - ⇒ focus on contributions to lepton number violation processes

Why Lepton Number Violation?

- ▶ Why not? “Lepton number” is only an accidental symmetry of the SM...
- ▶ Neutrino oscillations: evidence of NP! \Rightarrow Majorana fermions, and $\Delta L = 2$ transitions
- ▶ $\Delta L = 2$ processes at the “crossroads” of many BSM constructions
 - New theoretical ideas, with massive implications
 - \Rightarrow addressing the BAU via leptogenesis!
 - Many processes to study, at very distinct energy scales
- ▶ Impressive experimental prospects (in the near future...) and exciting new theoretical ideas & models!

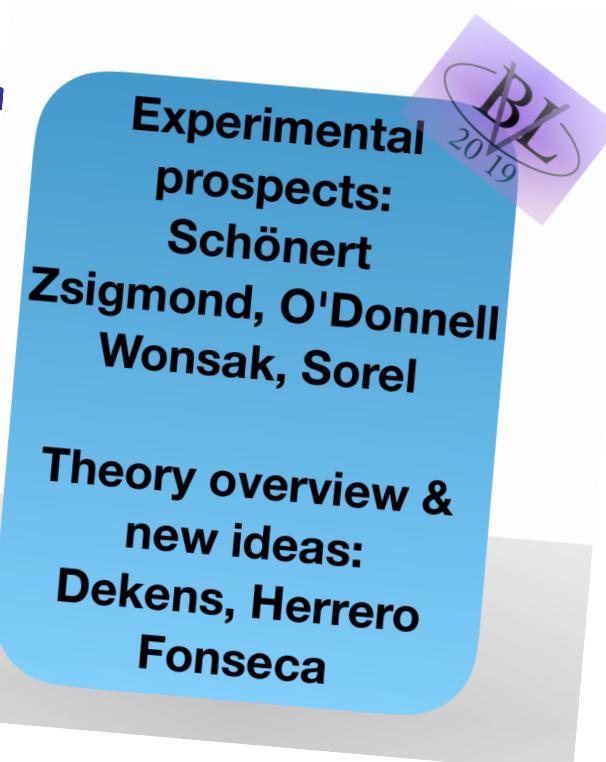
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part of the reason we are here :)



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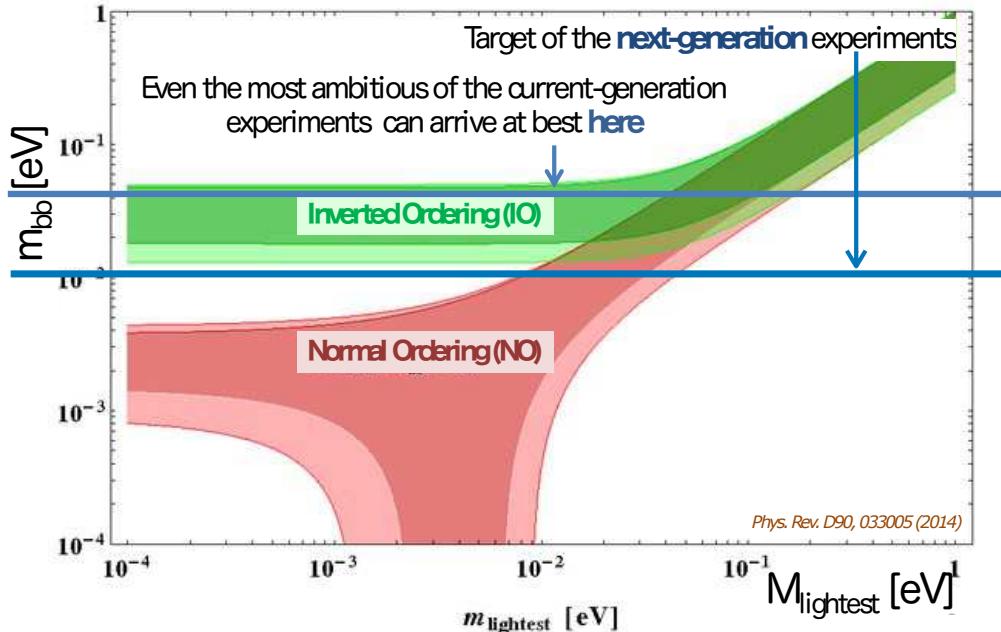
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LNV ($\Delta L = 2$) observables: neutrinoless double beta decays

★ LNV suggests the presence of Majorana states; opens the door for leptogenesis...

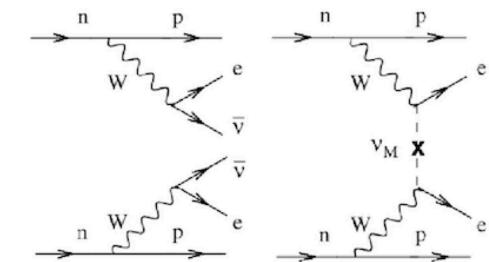
► Neutrinoless double beta decays: $(A, Z) \rightarrow (A, Z + 2) + 2e^-$



[adapted from Giuliani, EPPS'19]

► Current status: $m_{\beta\beta} < (61 - 165) \text{ meV}$

[Kamland-Zen, '16]



source=detector	NOW	MID-TERM	LONG-TERM
Fluid embedded source	Xe-based TPC	EXO-200 NEXT-10	NEXT-20 PandaX-III 1t
	Liquid scintillator as a matrix	KamLAND-Zen 800 SNO+ phase I	KamLAND2-Zen SNO+ phase II
High DE and e Crystal embedded source	Germanium diodes	GERDA-II MJD	LEGEND 200 LEGEND 1000
	Bolometers	AMoRE pilot, I CUORE CUPID-0, CUPID-Mo	AMoRE II CUPID

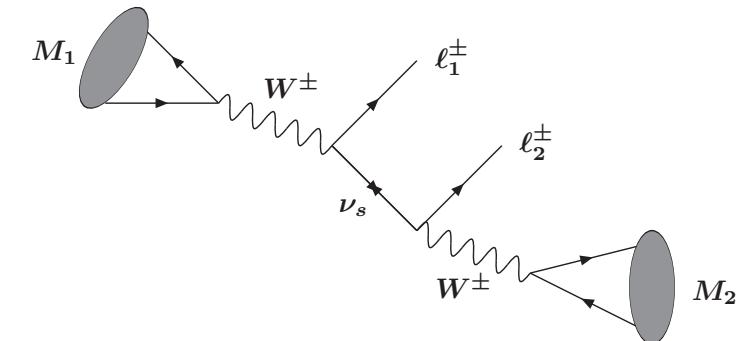
These experiments aim to explore deeply or fully the IO region and to cover a substantial part of the NO region
 $T_{1/2} > 10^{27} - 10^{28} \text{ y} - m_{bb} < 20 \text{ meV}$

LNV ($\Delta L = 2$) in semileptonic tau and meson decays

- A (small) subset of semileptonic tau and meson LNV bounds

LNV decay	Current Bound	
	$\ell = e, \ell' = e$	$\ell = \mu, \ell' = \mu$
$K^- \rightarrow \ell^- \ell'^- \pi^+$	6.4×10^{-10}	1.1×10^{-9}
$D^- \rightarrow \ell^- \ell'^- \pi^+$	1.1×10^{-6}	2.2×10^{-8}
$D^- \rightarrow \ell^- \ell'^- K^+$	9.0×10^{-7}	1.0×10^{-5}
$B^- \rightarrow \ell^- \ell'^- \pi^+$	2.3×10^{-8}	4.0×10^{-9}
$B^- \rightarrow \ell^- \ell'^- K^+$	3.0×10^{-8}	4.1×10^{-8}
$B^- \rightarrow \ell^- \ell'^- \rho^+$	1.7×10^{-7}	4.2×10^{-7}
$B^- \rightarrow \ell^- \ell'^- D^+$	2.6×10^{-6}	6.9×10^{-7}

- Also LNV in 4-body meson decays
and in (cLFV) $\mu^- \rightarrow e^+$ conversion...



- ◀ ▶ Experimental status: BaBar, Belle

LNV decay	Current Bound	
	$\ell = e$	$\ell = \mu$
$\tau^- \rightarrow \ell^+ \pi^- \pi^-$	2.0×10^{-8}	3.9×10^{-8}
$\tau^- \rightarrow \ell^+ \pi^- K^-$	3.2×10^{-8}	4.8×10^{-8}
$\tau^- \rightarrow \ell^+ K^- K^-$	3.3×10^{-8}	4.7×10^{-8}

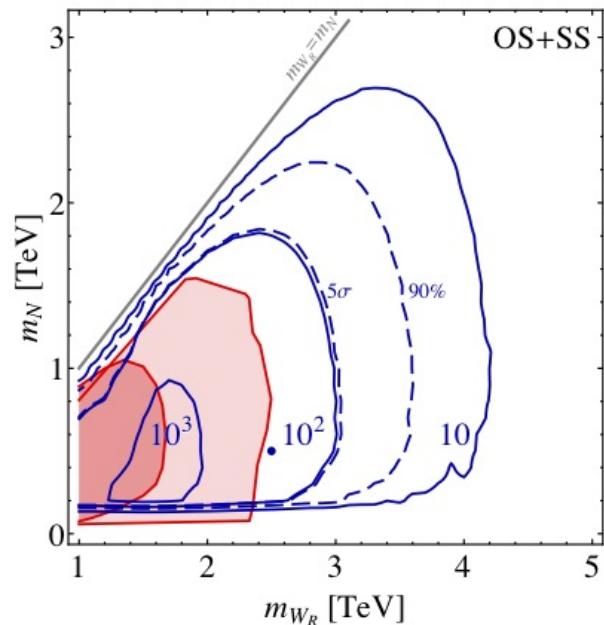
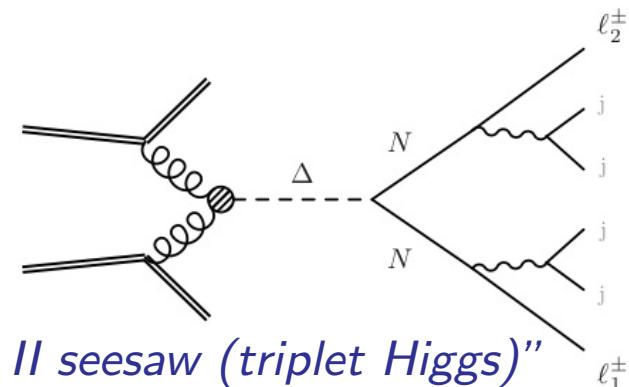
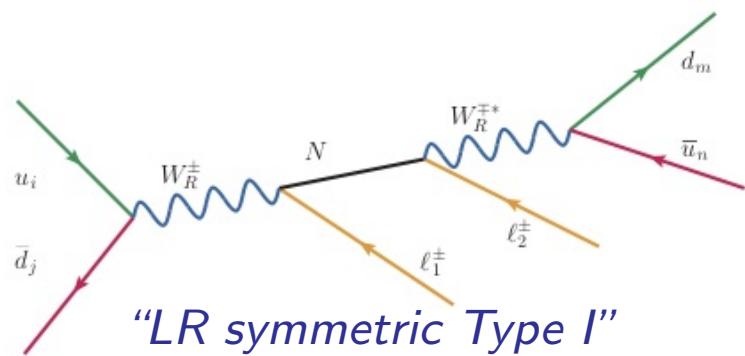
- Future prospects: LHCb (Upgrade I & II), Belle II (upgrade),
TauFV, Super Charm-Tau factory... NA62, KOTO, KLEVER, ...

LNV at higher energies: $\Delta L = 2$ collider searches

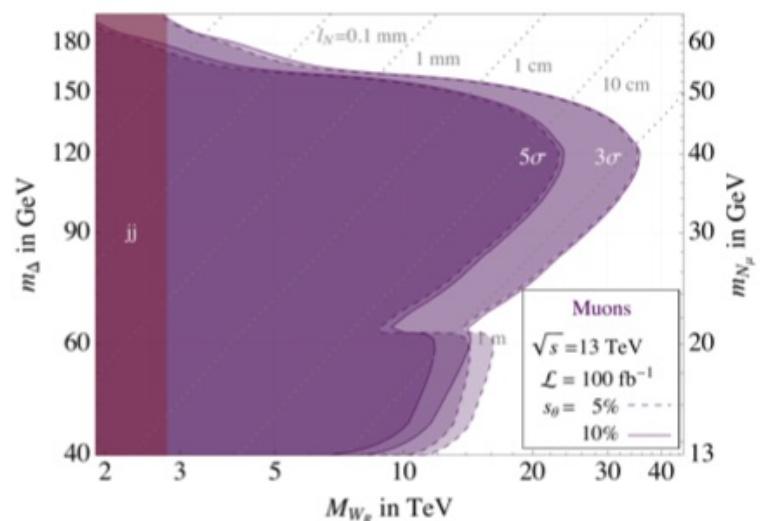
★ Many NP models predict “heavy” Majorana mediators, produced **on-shell** at colliders

► Production and decay modes (LNV final states & signatures) ↪ model dependent

E.g.: “**observable**” LNV $\ell^\pm \ell^\pm + n$ jets from N_R , W_R^\pm , Z_R , H , $\Delta^{\pm\pm}$, $\Sigma^{\pm\pm}$, ...

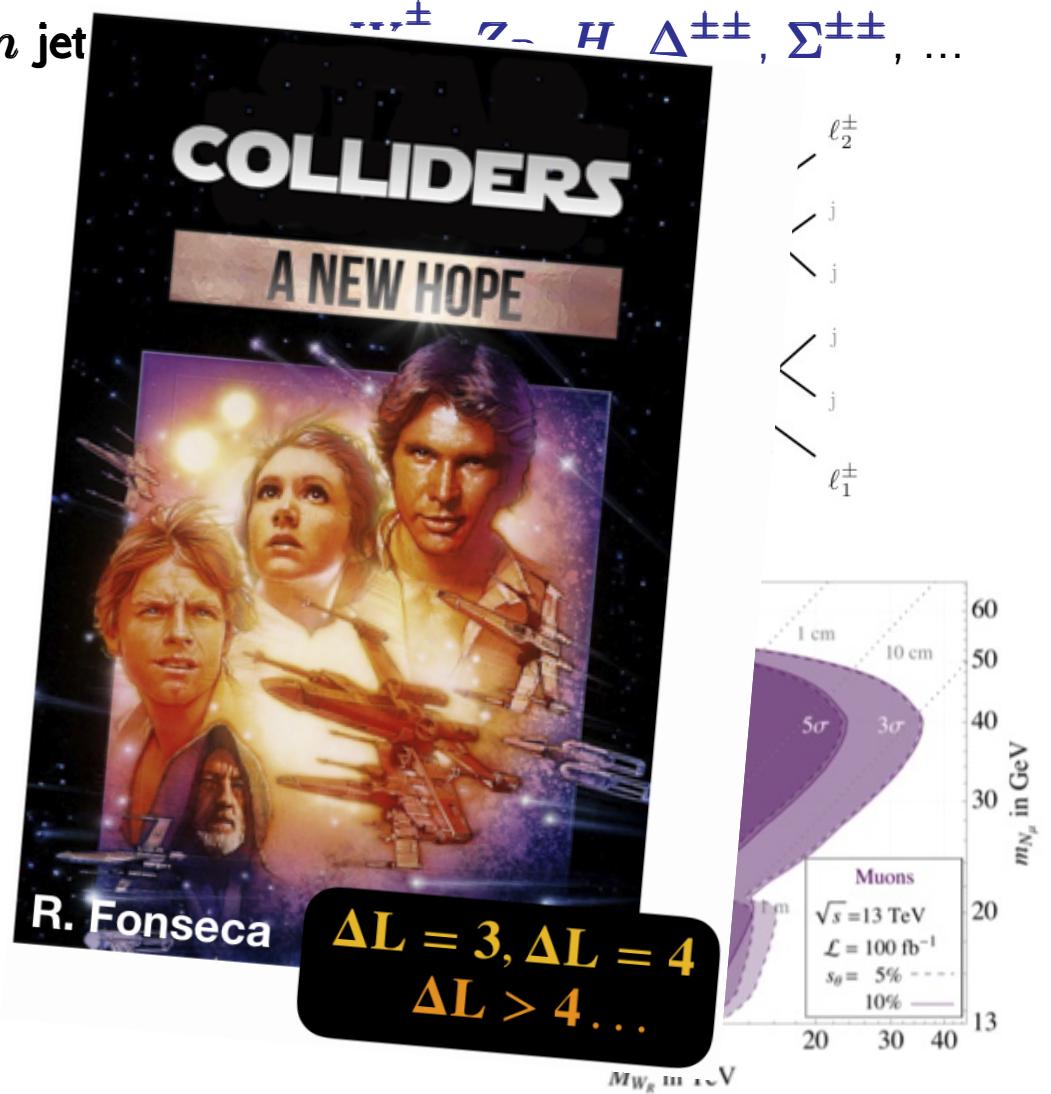
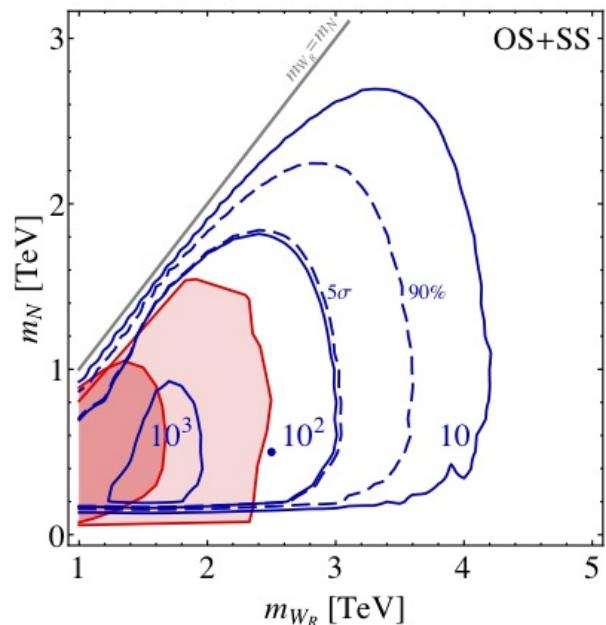
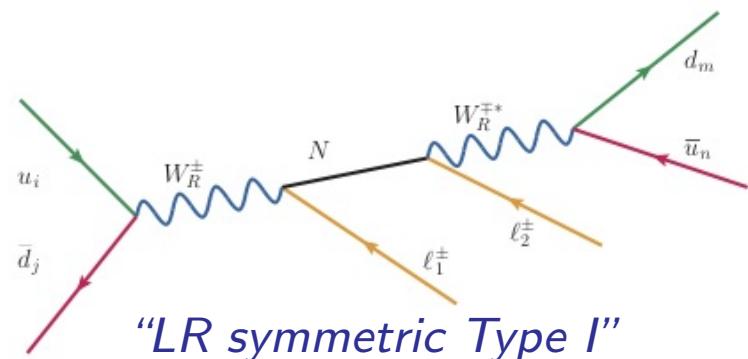


(For a review: [Cai et al, 1711.02180])



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- E.g.: “observable” LNV $\ell^\pm \ell^\pm + n \text{ jet}$



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Brief summary

- ▶ Sterile fermion extensions of the SM
 - Motivation & minimal theoretical constructions
 - Experimental searches
- ▶ LNV and new physics models with sterile fermions
 - LNV observables - from $0\nu 2\beta$ to semileptonic decays
 - Semileptonic meson and τ decays: effective Majorana masses
- ▶ Interference effects in LNV and cLFV semileptonic meson decays
 - The role of CP phases & impact for experimental prospects
- ▶ Further LNV (and cLFV) impact of sterile fermions
- ▶ Overview & discussion

Many dedicated talks on the “LNV & LFV” session!



Sterile fermion extensions of the SM

Sterile fermions: beyond the 3-neutrino paradigm

► Sterile fermions: **singlets** under $SU(3)_c \times SU(2)_L \times U(1)_Y$

Interactions with SM fields: through **mixings** with **active neutrinos** (via Higgs)

No bound on the **number** of sterile states, **no limit** on their **mass scale(s)**

Present in several **theoretical models** accounting for ν **masses and mixings**

► **Interest & phenomenological implications** - strongly dependent on their **mass!**

eV scale \leftrightarrow extra neutrinos suggested by **short baseline ν oscillation anomalies**
(oscillation results not explained within 3 flavour oscillation)

keV scale \leftrightarrow **warm dark matter** candidates; explain **pulsar velocities (kicks)**
(extensive bounds to be complied with...)

MeV - TeV scale \leftrightarrow **experimental testability!** (and BAU, DM, m_ν generation...)

(direct and indirect effects, both at the high-intensity and high-energy frontiers)

Beyond 10^9 GeV \leftrightarrow theoretical appeal: “standard” seesaw, BAU, GUTs

Sterile fermions: beyond the 3-neutrino paradigm

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No bound on the **number** of sterile states, no limit on their **mass scale(s)**

Present in several **theoretical models** accounting for ν masses and mixings

- Sterile fermions integral part of (low scale) mechanisms of ν mass generation

~~ **Right-handed neutrinos** (low scale seesaws: type I, ν MSM, ...)

$$\mathcal{L}_{\text{type I}} = -Y^\ell \bar{L}_L H e_R - Y^\nu \bar{\nu}_R \tilde{H} \nu_L - \frac{1}{2} \bar{\nu}_R M_N \nu_R^c \quad \Rightarrow m_\nu \sim \frac{v^2 Y_\nu^2}{M_N}$$

~~ **Other neutral fermions** (ν_R + extra sterile states in Inverse Seesaw, ...)

$$\mathcal{L}_{\text{ISS}} = -Y^\nu \bar{\nu}_R \tilde{H} L - M_R \bar{\nu}_R X - \frac{1}{2} \mu_X \bar{X}^c X + \frac{1}{2} \mu_R \bar{\nu}_R \nu_R^c \quad \Rightarrow m_\nu \sim \frac{v^2 Y_\nu^2}{M_R} \frac{\mu_X}{M_R}$$

- Simplified “toy models” for phenomenological analyses: **SM + ν_s**

“ad-hoc” construction (no specific assumption on mechanism of mass generation)

“Toy model” for phenomenological analyses: SM + ν_s

- ▶ Assumptions: 3 active neutrinos + 1 sterile state $n_L = (\nu_{Le}, \nu_{L\mu}, \nu_{L\tau}, \nu_s^c)^T$
 interaction basis \leftrightarrow physical basis $n_L = U_{4 \times 4} \nu_i$
 $U_{4 \times 4}^T M U_{4 \times 4} = \text{diag}(m_{\nu_1}, \dots, m_{\nu_4})$ “Majorana mass”: $\mathcal{L}_{\text{toy}} \sim n_L^T C M n_L$

▶ Active-sterile mixing $U_{\alpha i}$:

rectangular matrix $\leftarrow \mathbf{U} = U|_{3 \times 4}$

▶ Left-handed lepton mixing \tilde{U}_{PMNS} :

3×3 sub-block, non-unitary!

$$U_{4 \times 4} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

▶ Physical parameters: 4 masses [3 light (mostly active) + 1 heavier (mostly sterile) states]

6 mixing angles [$\theta_{12}, \theta_{23}, \theta_{13}$, & θ_{i4}] and 6 phases [(3 Dirac and 3 Majorana)]

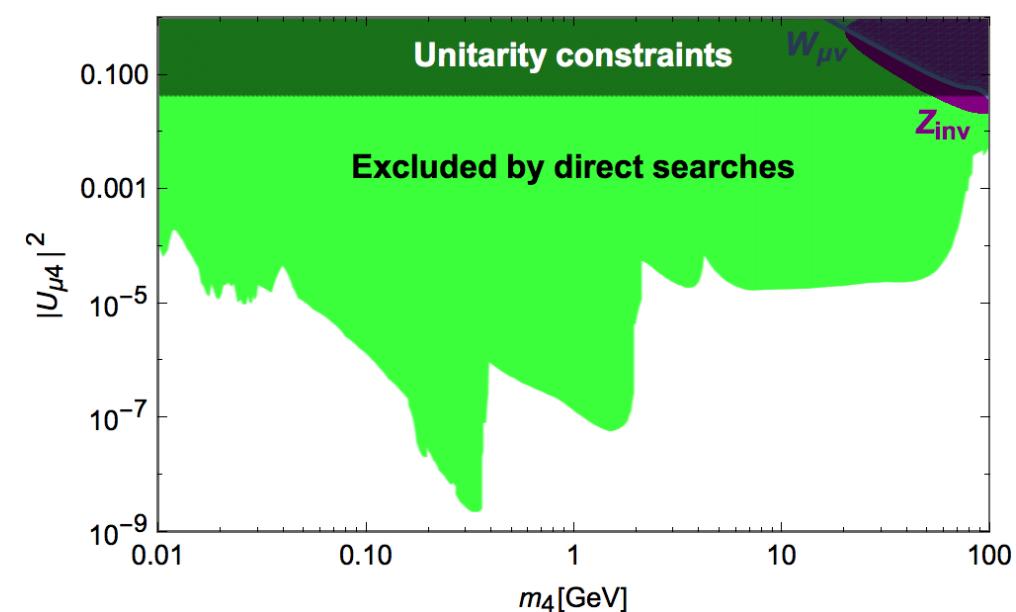
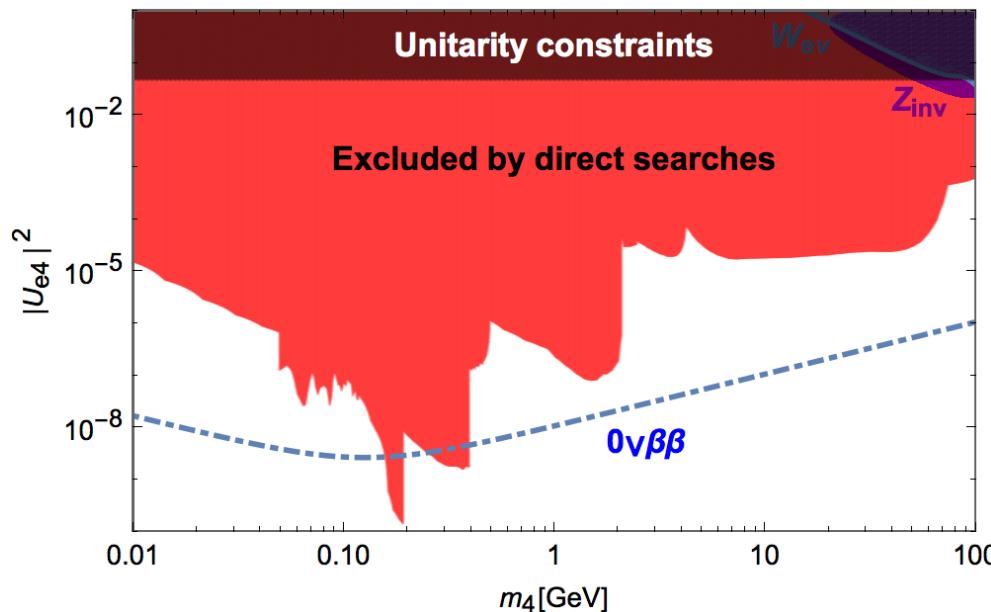
▶ Modified charged (W^\pm) and neutral (Z^0) current interactions:

$$\mathcal{L}_{W^\pm} \sim -\frac{g_w}{\sqrt{2}} W_\mu^\pm \sum_{\alpha=e,\mu,\tau} \sum_{i=1}^{3+n_S} \mathbf{U}_{\alpha i} \bar{\ell}_\alpha \gamma^\mu P_L \nu_i$$

$$\mathcal{L}_{Z^0} \sim -\frac{g_w}{2 \cos \theta_w} Z_\mu \sum_{i,j=1}^{3+n_S} \bar{\nu}_i \gamma^\mu \left[P_L (\mathbf{U}^\dagger \mathbf{U})_{ij} - P_R (\mathbf{U}^\dagger \mathbf{U})_{ij}^* \right] \nu_j$$

Sterile fermions: experimental prospects

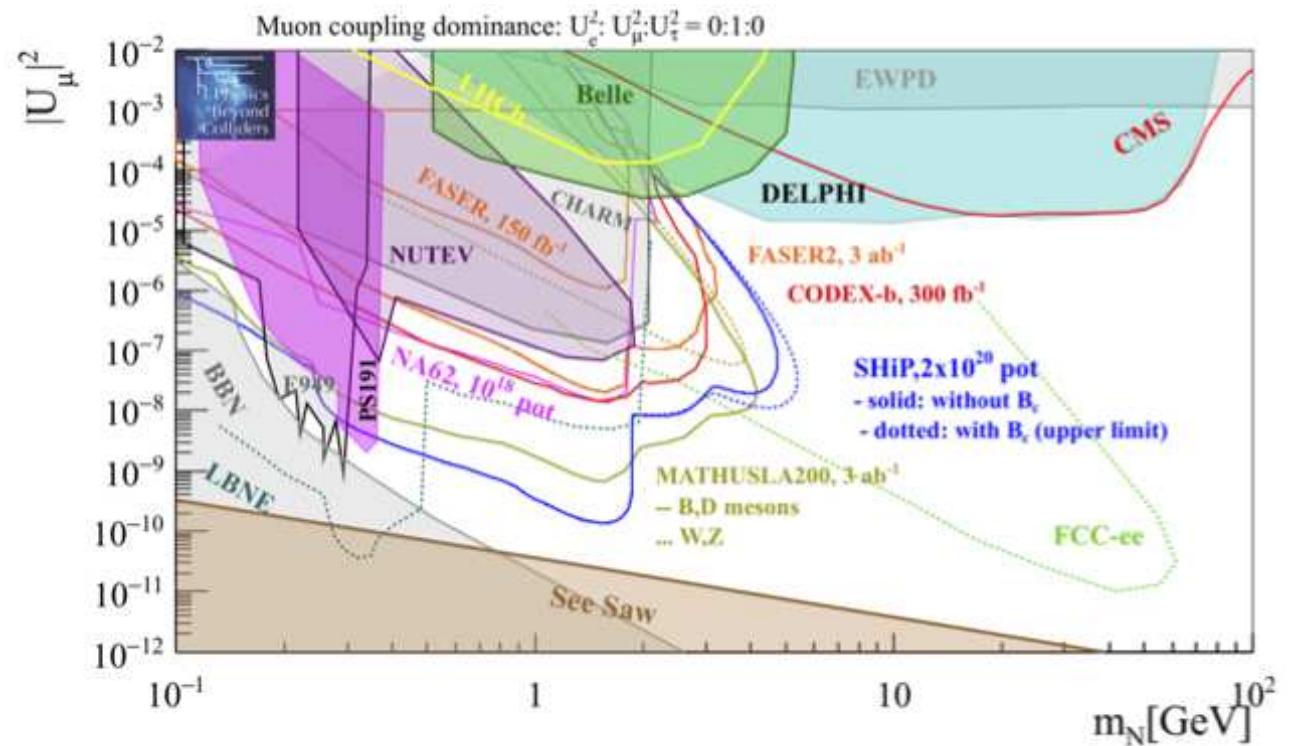
- Phenomenological impact: modified W^\pm charged currents and Z^0, H neutral currents
If sufficiently light, sterile ν s can be produced as final states
- Contributions to many processes and observables [low and high energies]
Electroweak precision tests, cLFV, $0\nu2\beta$ decays, rare meson decays (cLFV, LNV, LFUV),
collider searches, beam dump experiments, cosmology...
- Current data already allowing to constrain ν_s parameter space: $[m_4, |U_{\alpha 4} U_{\beta 4}|]$



[Abada, De Romeri, Lucente, Toma, AMT '18]

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Electroweak precision tests, cLFV, $0\nu2\beta$ decays, rare meson decays (cLFV, LNV, LFUV),
collider searches, beam dump experiments, cosmology...
- Excellent prospects for future experiments, covering impressive regions in $[m_N, |U_{\alpha N}|^2]$



► LNV and New Physics models with sterile fermions:
from $0\nu2\beta$ to semileptonic decays

Sterile neutrinos: impact for LNV observables

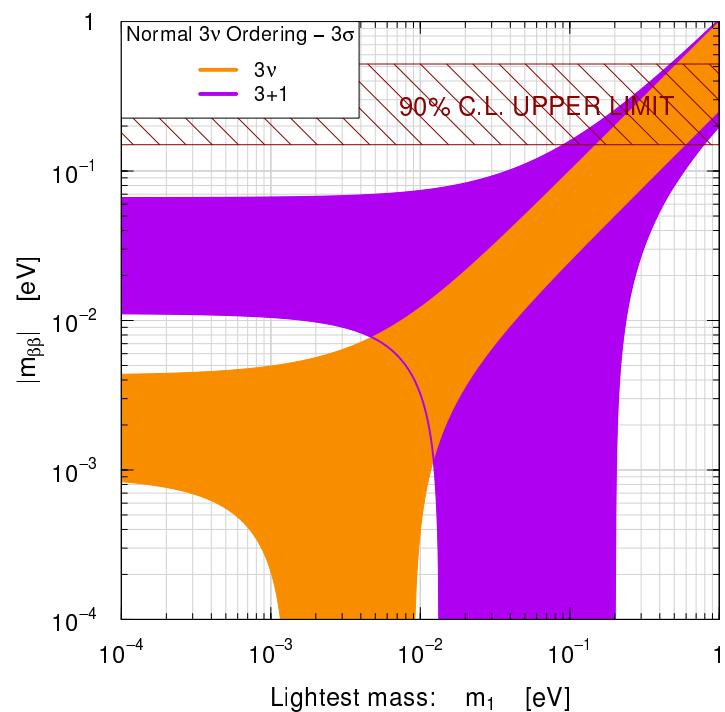
- If sterile neutrinos are Majorana fermions, expect contributions to LNV processes
- **Neutrinoless double beta decays ($0\nu2\beta$)**

$$m_{ee} \simeq \sum_{i=1}^4 U_{ei}^2 p^2 \frac{m_i}{p^2 - m_i^2} \simeq \left(\sum_{i=1}^3 U_{ei}^2 m_{\nu_i} \right) + p^2 U_{e4}^2 \frac{m_4}{p^2 - m_4^2}$$

- ν_s can strongly impact **predictions for $|m_{ee}|$**
 - ⇒ **augmented ranges** for effective mass for both cases of light neutrino spectra (*IO and NO*)

- **Observation of $0\nu2\beta$ signal** in future experiments does not imply **Inverted Ordering** for light ν_s

[Abada, De Romeri and AMT, '14; ...; Giunti et al, '15 ←]



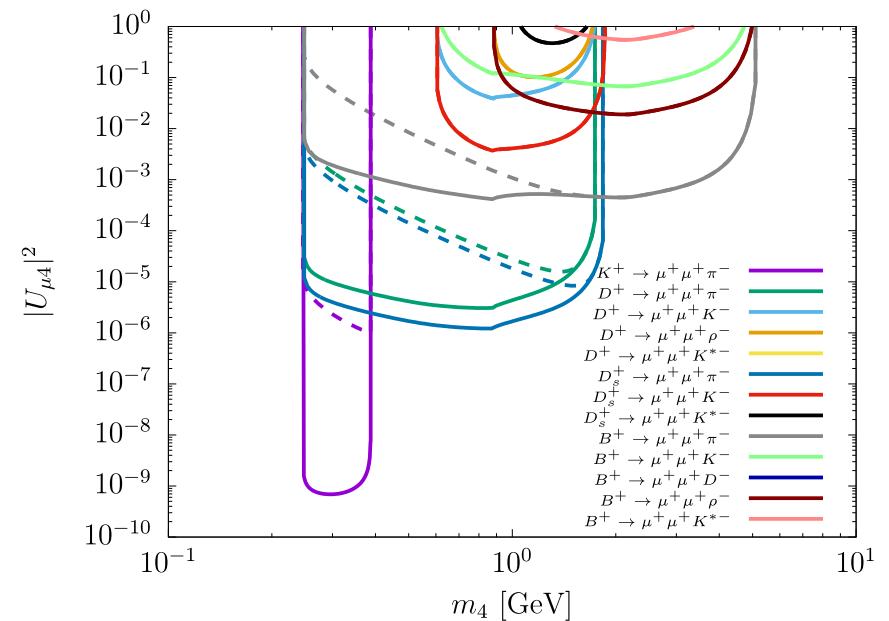
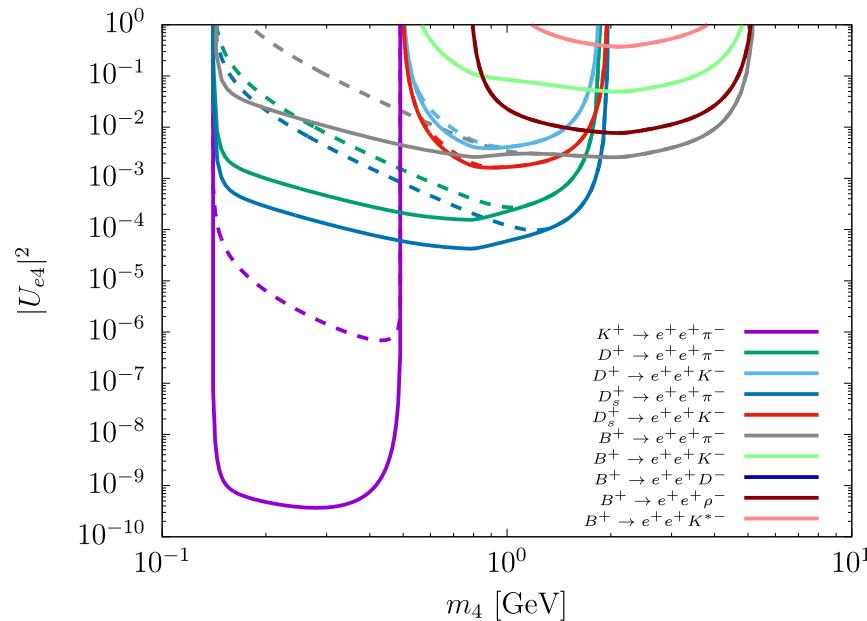
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How should data be interpreted in view of
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Sterile neutrinos: impact for LNV meson and tau decays

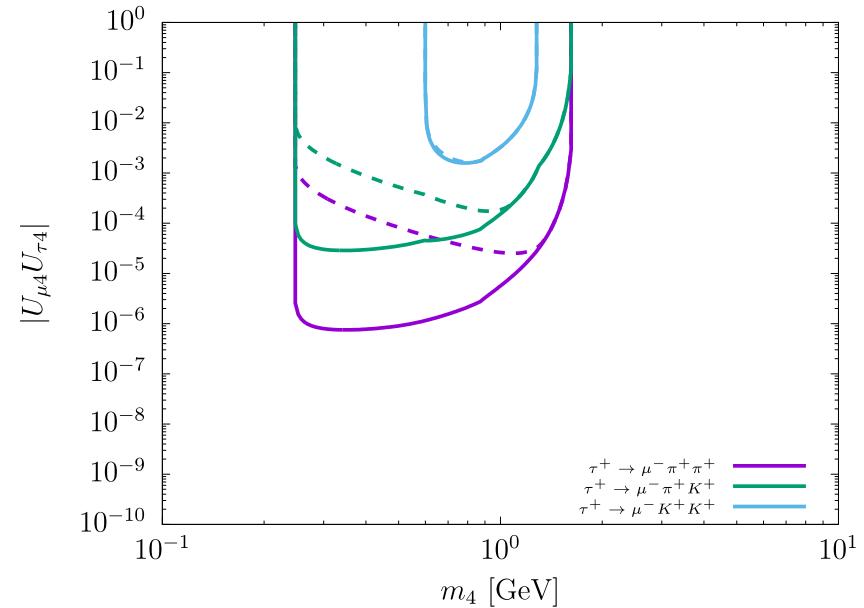
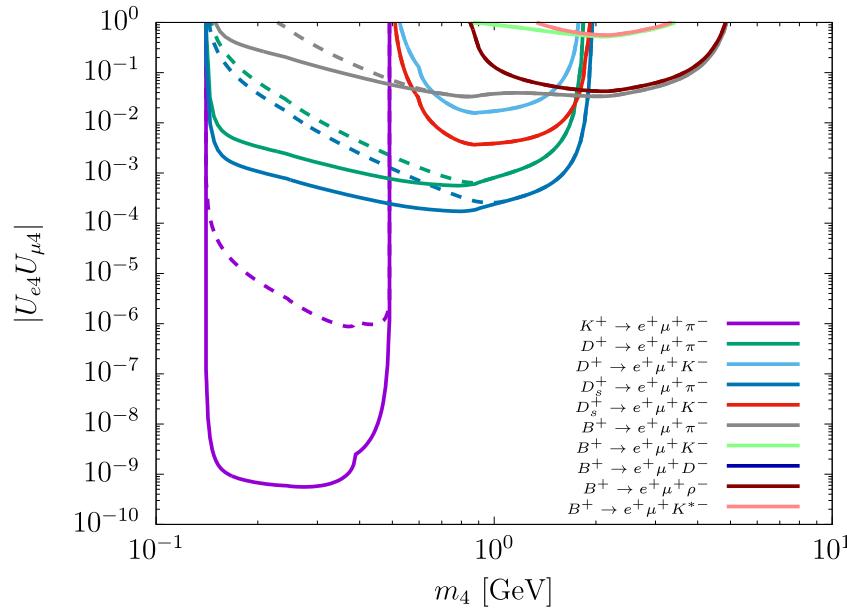
- On-shell ν_s : “resonant-enhancement” of $M_1 \rightarrow M_2 \ell_\alpha^\pm \ell_\beta^\pm$ and $\tau^\pm \rightarrow M_1 M_2 \ell^\mp$ decays
- Bounds from BaBar, Belle, LHCb; near future - LHCb, Belle II, BES-III, NA62...
- Full update of LNV constraints on ν_s ([0.1 GeV, 10 GeV]) [1712.03984; see also Atre et al, '09]



- Prospects for observation:
 - ⇒ ν_s must **decay inside the detector** (sufficiently short-lived)
 - ⇒ Sizeable #_{events}: BRs $\sim \mathcal{O}(10^{-8}, -10)$
- Non-negligible mixings!

Sterile neutrinos: impact for LNV meson and tau decays

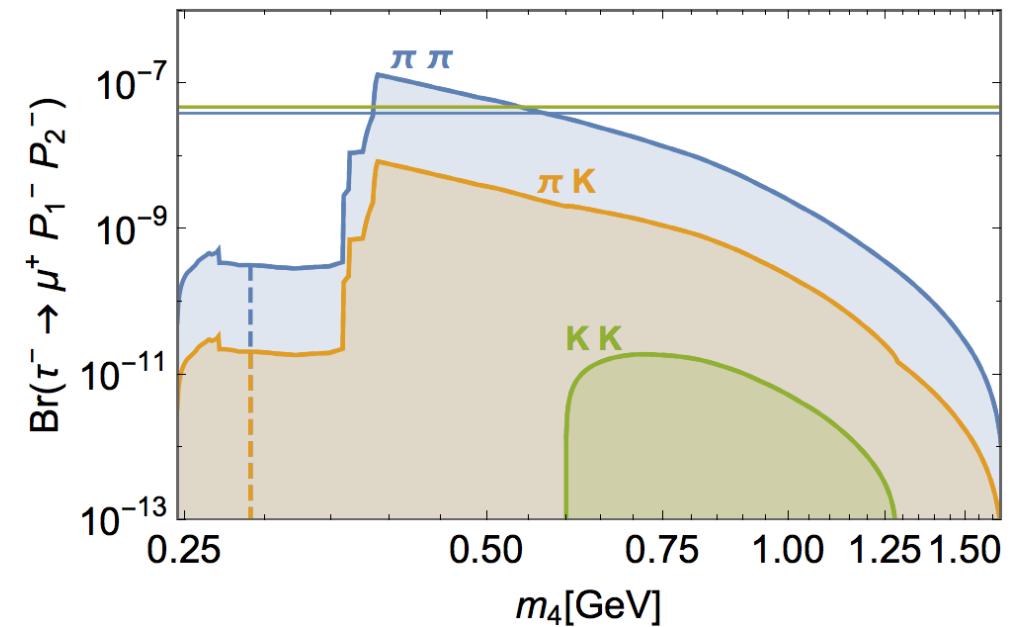
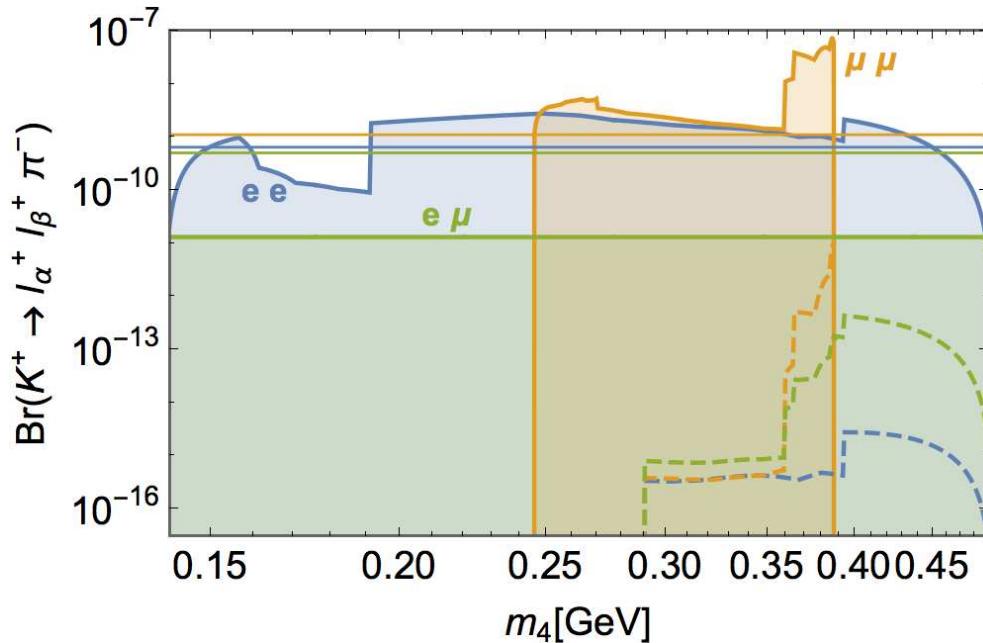
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- Evaluation of constraints from available semileptonic decays
- ⇒ bounds on distinct active-sterile mixings $|U_{\alpha 4} U_{\beta 4}|$ for corresponding ν_4 mass regime

Sterile neutrinos: impact for LNV meson and tau decays

- LNV meson and tau decays via ν_s : prospects for discovery



[Abada, De Romeri, Lucente, Toma, AMT '18]

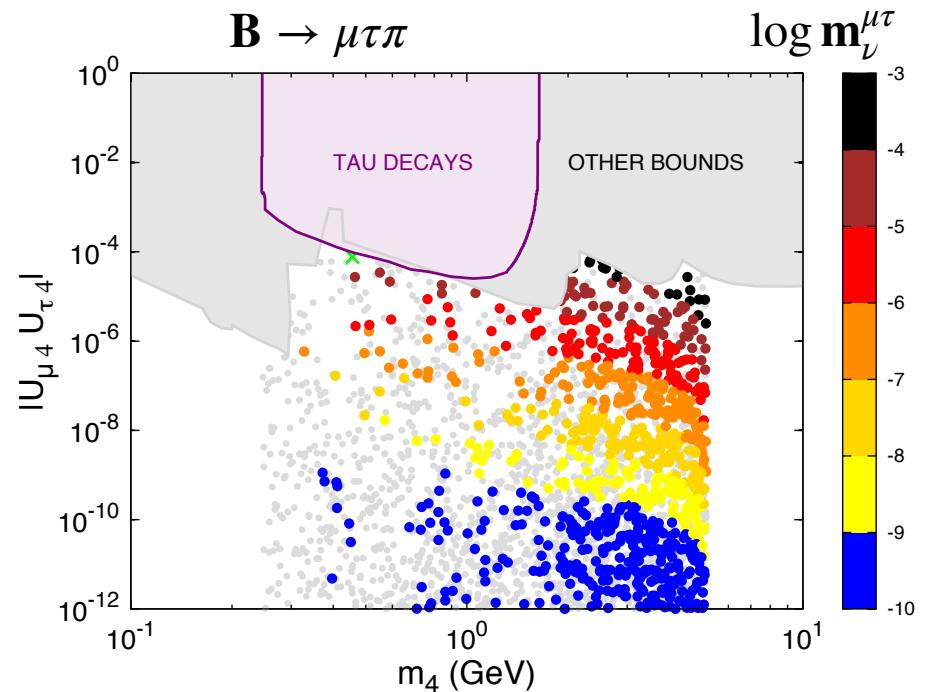
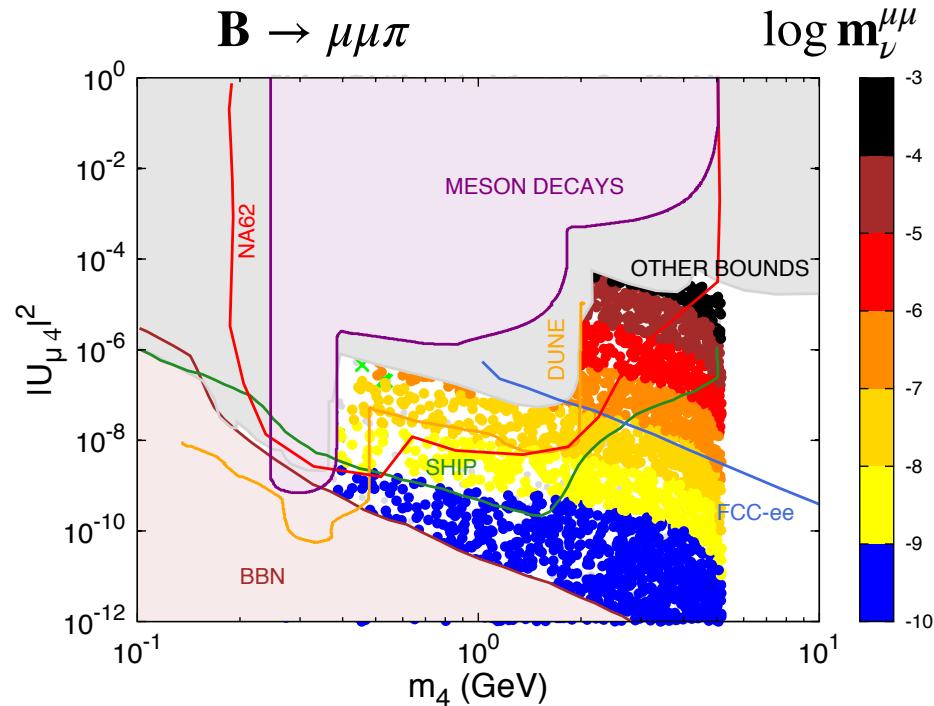
- BRs of several LNV meson and tau decays close to **current sensitivities**
 - ⇒ Certain τ and K LNV decay modes already in **conflict with experimental data!**

Sterile neutrinos: impact for LNV meson and tau decays

- LNV meson and tau decays offer possibility to infer information on $m_\nu^{\ell_\alpha \ell_\beta}$

$$m_\nu^{\ell_\alpha \ell_\beta} = \left| \sum_{i=1}^4 \frac{U_{\alpha i} m_i U_{\beta i}}{1 - m_i^2/p_{12}^2 + i m_i \Gamma_i / p_{12}^2} \right|$$

- m_ν^{ee} best constraints from $0\nu 2\beta$
- New bounds on all $m_\nu^{\ell_\alpha \ell_\beta}$ entries - $\lesssim \mathcal{O}(10^{-3} \text{ GeV})$ [$m_\nu^{\tau\tau} \lesssim \mathcal{O}(10^{-2} \text{ GeV})$]



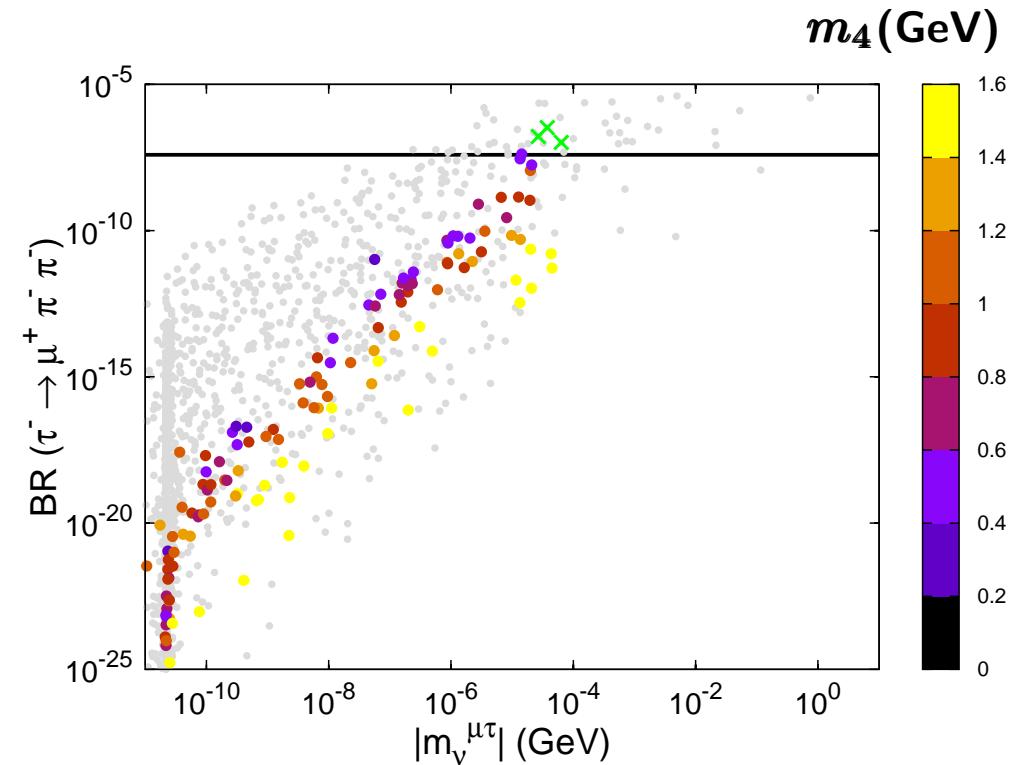
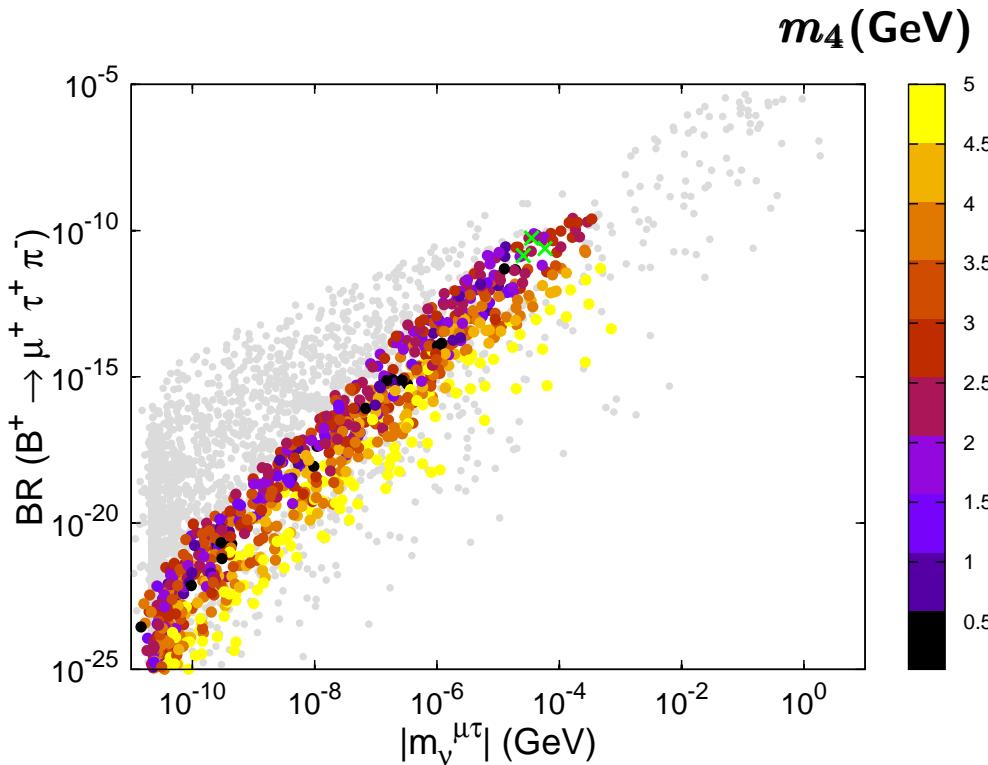
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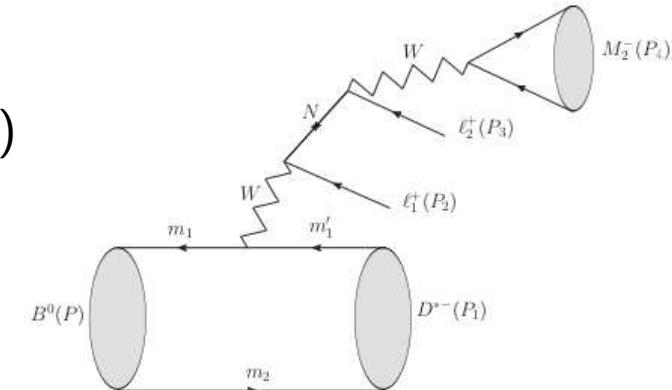
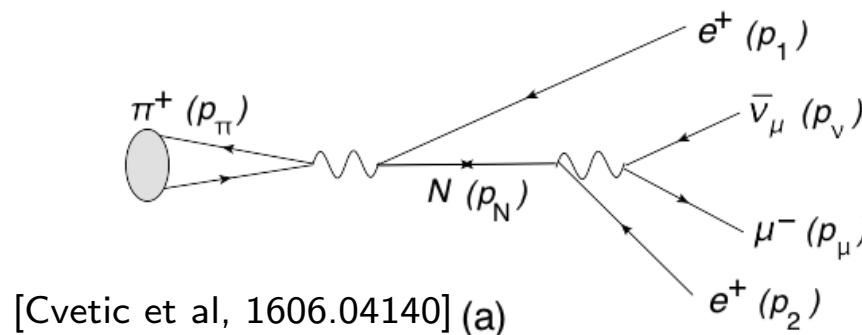
[Abada, De Romeri, Lucente, Toma, AMT '18]

Sterile neutrinos: impact for LNV meson 4-body decays

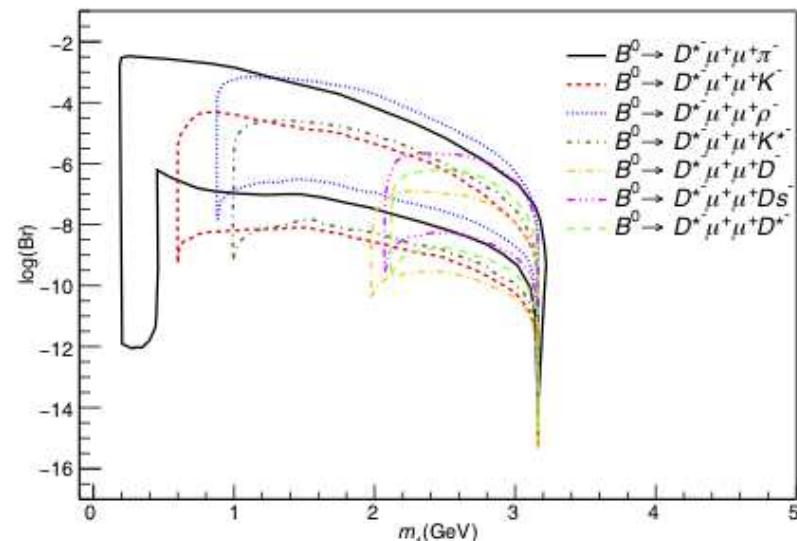
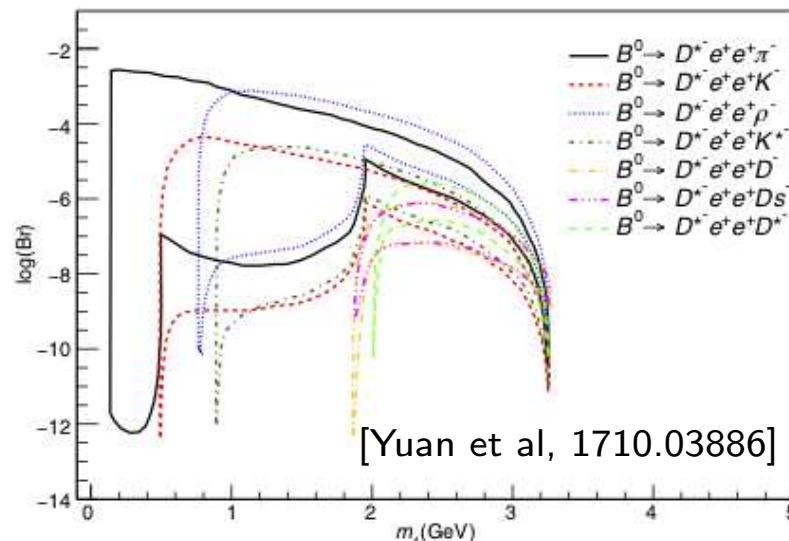
► Additional LNV meson observables - 4 body decays $M_1 \rightarrow M_2 \ell_\alpha^\pm \ell_\beta^\pm M_3$

Typically, **heavier meson** decays (e.g. $B^0 \rightarrow D^{*-} \mu^+ \mu^+ K^-$)

Also: **lighter mesons to 4 leptons** (e.g. $\pi^+ \rightarrow e^+ \bar{\nu}_\mu \mu^- e^+$)



► Estimation of sterile neutrino contributions



- ▶ LNV and sterile fermions: interference effects in semileptonic decays

What can we learn from experiment?

How should data be interpreted in view of
their (hypothetical) presence?

Sterile neutrinos: impact for LNV decays

- LNV (& cLFV) meson and tau decays in SM extended by Majorana states
 - ⇒ resonant enhancement of BRs from on-shell ν_s exchange
 - ⇒ several LNV decay modes close to (or even in conflict with) experimental data
- In the presence of a single sterile state:
 - ⇒ identical widths for LNV and LNC processes (same-sign and opposite-sign dileptons)
$$\Gamma^{\text{LNV}}(M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\pm) = \Gamma^{\text{LNC}}(M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\mp)$$
 - ⇒ LNV decays not sensitive to Majorana CP phases
- What if LNV and cLFV decays are mediated via several (on-shell) Majorana ν_s ?
 - ⇒ Expect constructive & destructive (coherent) interference effects in both LNV and LNC decays!

In particular, $R_{\ell_\alpha \ell_\beta} \equiv \frac{\Gamma_{M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\pm}^{\text{LNV}}}{\Gamma_{M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\mp}^{\text{LNC}}} \neq 1$ (for $\alpha \neq \beta$)

Interference effects in semileptonic cLFV & LNV decays

- ▶ Assume “3+2” toy model: 3 active neutrinos + 2 sterile states
- ▶ Enlarged mixing matrix, $U_{5 \times 5}$: $U_{\alpha i} = e^{-i\phi_{\alpha i}} |U_{\alpha i}|$, $\alpha = e, \mu, \tau$, and $i = 4, 5$
Introduce “relative phase”, $\psi_\alpha = \phi_{\alpha 5} - \phi_{\alpha 4}$ (combination of Dirac and Majorana phases)

$$\left| \mathcal{A}_{M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\pm}^{\text{LNV}} \right|^2 \propto |U_{\alpha 4}|^2 |U_{\beta 4}|^2 |f(M)|^2 \left| 1 + \kappa e^{\mp i(\psi_\alpha + \psi_\beta)} \right|^2$$

$$\left| \mathcal{A}_{M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\mp}^{\text{LNC}} \right|^2 \propto |U_{\alpha 4}|^2 |U_{\beta 4}|^2 |g(M)|^2 \left| 1 + \kappa' e^{\mp i(\psi_\alpha - \psi_\beta)} \right|^2$$

- ▶ Sizeable interference effects: (i) important overlap between heavy ν_s contributions
(ii) similar strength of ν_s contributions

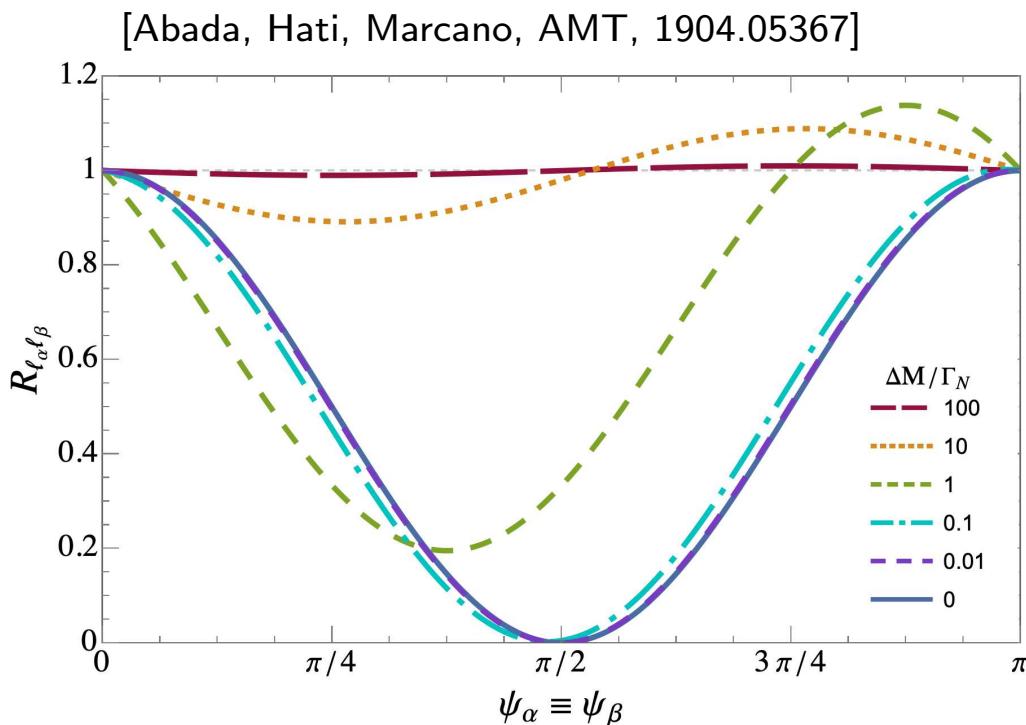
$$\Rightarrow \Delta M \ll M \text{ and } \Delta M < \Gamma_N, \quad |\kappa| \simeq |\kappa'| = \frac{|U_{\alpha 5} U_{\beta 5}^*|}{|U_{\alpha 4} U_{\beta 4}^*|} \left(1 + \mathcal{O}\left(\frac{\Delta M}{\Gamma_N}\right) \right)$$

(maximal effects for $|\kappa| \sim |\kappa'| \approx 1$)

- ▶ $R_{\ell_\alpha \ell_\beta} \rightsquigarrow \frac{\cos^2 \left[\frac{1}{2} (\psi_\alpha + \psi_\beta) \right]}{\cos^2 \left[\frac{1}{2} (\psi_\alpha - \psi_\beta) \right]}$
- $\psi_\alpha + \psi_\beta \rightsquigarrow \text{“LNV” (Majorana and Dirac phases)}$
 $\psi_\alpha - \psi_\beta \rightsquigarrow \text{“LNC” (Dirac phases for cLFV } \alpha \neq \beta\text{)}$

Interference effects: illustrative example

- Ratio of **LNV** to **LNC** BRs (different flavour final states): $R_{\ell_\alpha \ell_\beta} \equiv \frac{\Gamma_{M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\pm}^{\text{LNV}}}{\Gamma_{M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\mp}^{\text{LNC}}}$
for distinct regimes of $\Delta M < \Gamma_N$



$K \rightarrow \pi e \mu$:

$M \sim 350 \text{ MeV}$ and $|U_{\ell 4}| \approx |U_{\ell 5}| = 10^{-5}$

$$\psi_\alpha = \phi_{\alpha 5} - \phi_{\alpha 4}, U_{\alpha i} = |U_{\alpha i}| e^{-i\phi_{\alpha i}}$$

(combination of Dirac and Majorana phases)

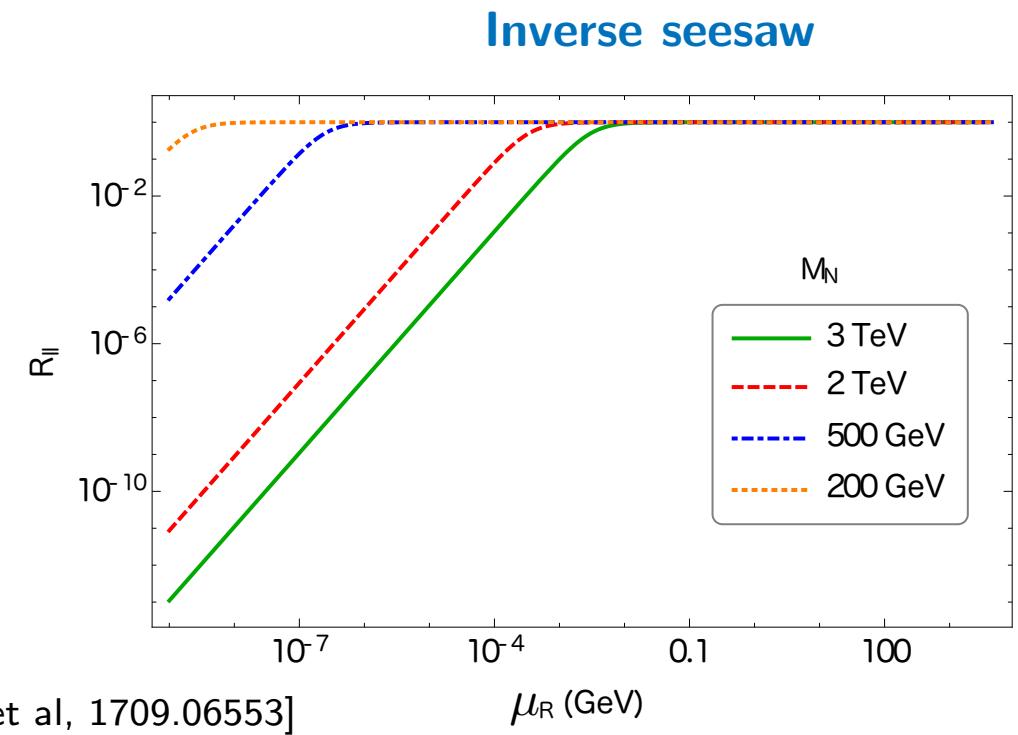
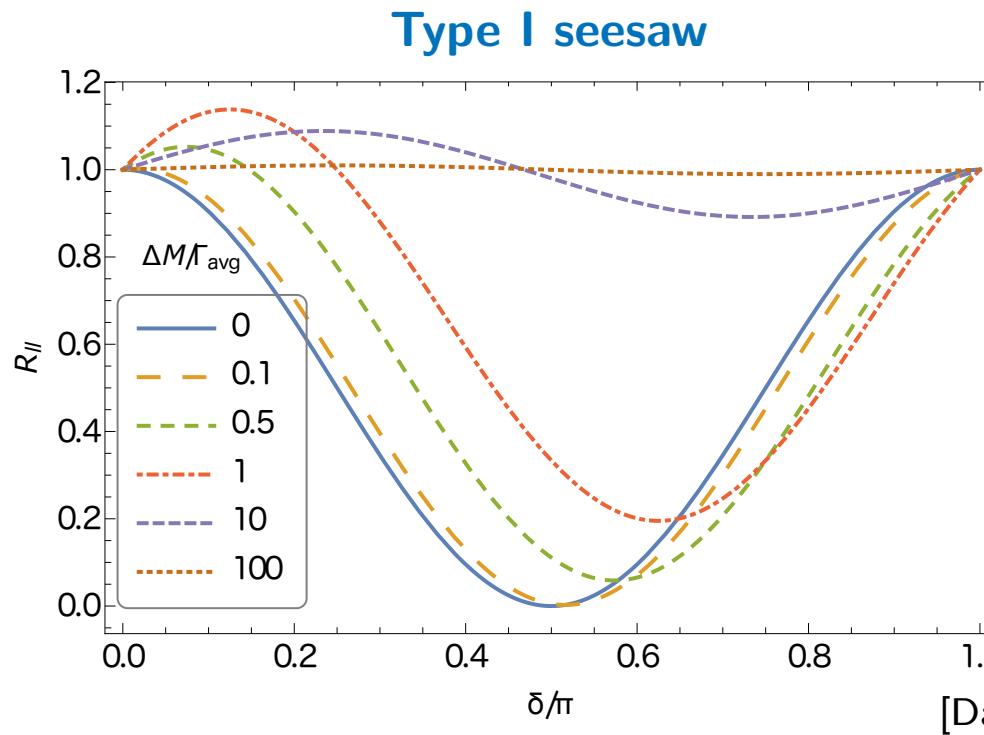
- $\psi_\alpha = \psi_\beta$: interference effects **only** in LNV

- $\alpha \neq \beta$, $R_{\ell_\alpha \ell_\beta} \neq 1 \Rightarrow$ **constructive & destructive interference**; important **cancellations!**
- In agreement with collider studies [Gluza et al '15, Das et al '17]

Interference effects: same-sign vs opposite-sign dileptons @LHC

- At **colliders**, compare **number of SS** vs. **number of OS dileptons**: $R_{\ell_\alpha \ell_\beta} \equiv \frac{N_{\ell_\alpha \ell_\beta}^{\text{SS}}}{N_{\ell_\alpha \ell_\beta}^{\text{OS}}}$
- **TeV scale seesaw realisations**, embedded in generic **Left-Right symmetric models**

Sterile states from W_R decays: $W_R^\pm \rightarrow N_R \ell^\pm$

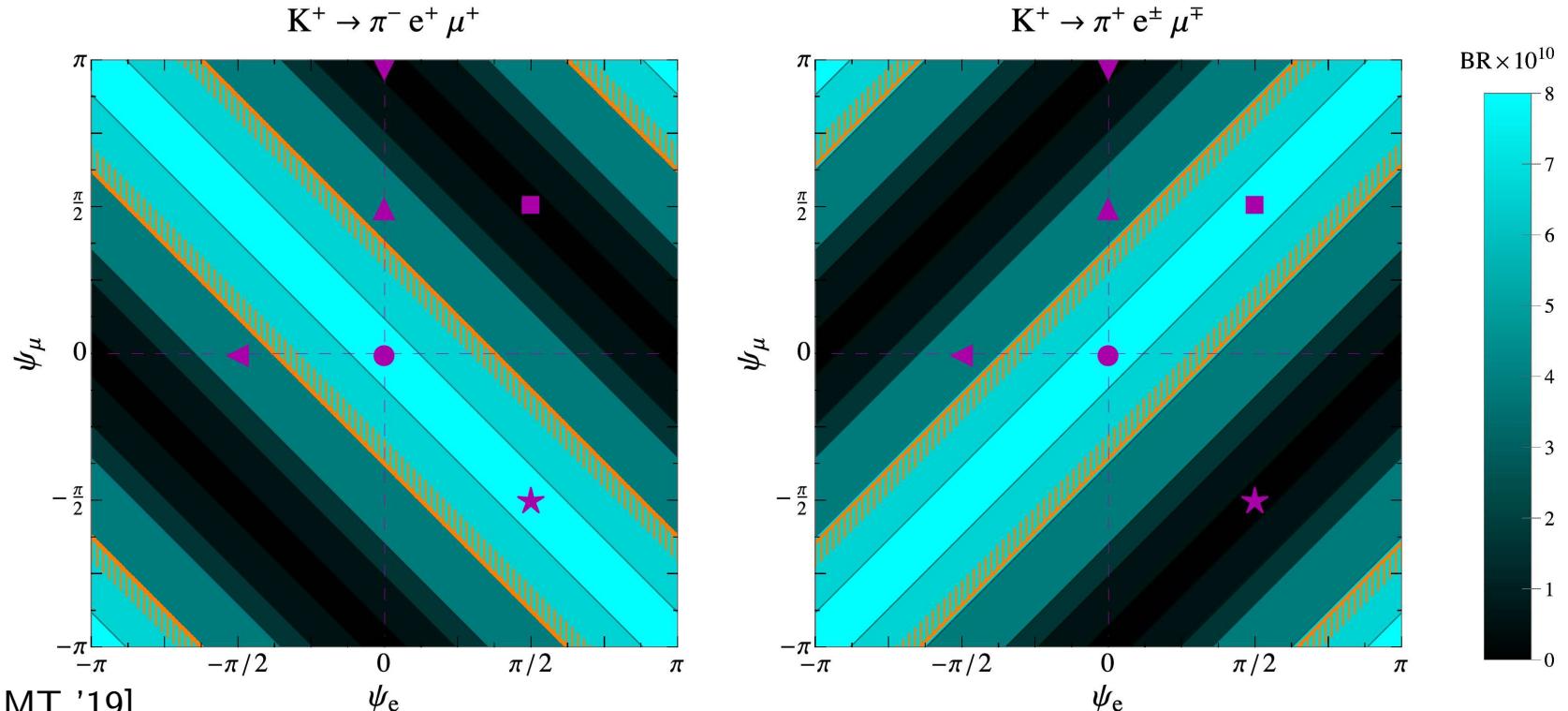


- High degree of **degeneracy** for $N_{Ri\dots}$ (determined by the size of Yukawa couplings)
- **Type I**: $R_{\ell\ell}$ determined by **CP phases**;
- **ISS**: $R_{\ell\ell}$ governed by **LNV parameter μ_R**

Interference effects in cLFV & LNV Kaon decays

- $\psi_\alpha = 0$
- $\psi_e = \psi_\mu = \pi/2$
- ★ $\psi_e = -\psi_\mu = \pi/2$
- ▲ $\psi_e = 0, \psi_\mu = \pi/2$
- ▼ $\psi_e = 0, \psi_\mu = 0$
- ◀ $\psi_e = \pi/2, \psi_\mu = 0$
- = exp. bounds

[Abada, Hati, Marcano, AMT '19]



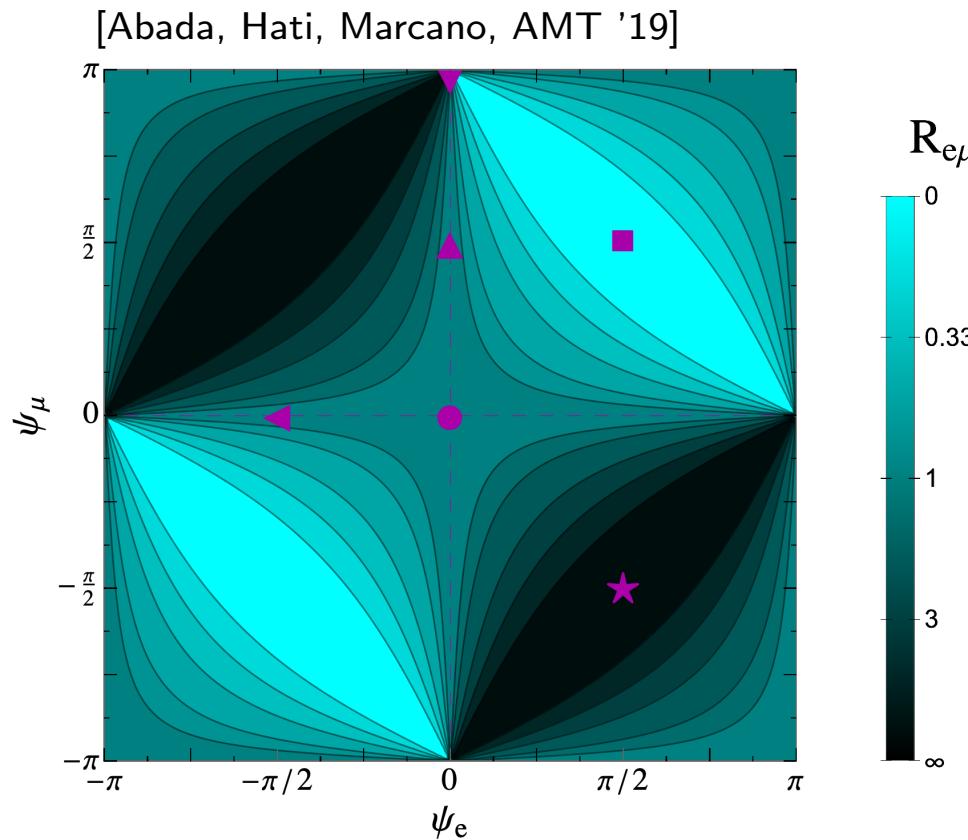
- ▶ $\psi_e = \psi_\mu$: from **LNV** BRs in **conflict with data** [●] to **$\text{BR}(K^+ \rightarrow \pi^- e^+ \mu^+) \approx 0$** [■]
- $\psi_e = -\psi_\mu$: from **LNC/LFV** BRs in **conflict with data** [●] to **$\text{BR}(K^+ \rightarrow \pi^- e^\pm \mu^\mp) \approx 0$** [★]
- ▶ Understanding experimental searches (and learning about nature of mediators)
 - ⇒ **thorough analyses of $R_{e\mu}$** - take into account **all 4 (non-SM) decay modes!**
 - $K^+ \rightarrow \pi^- e^+ \mu^+, K^+ \rightarrow \pi^- e^+ e^+, K^+ \rightarrow \pi^- \mu^+ \mu^+$ and $K^+ \rightarrow \pi^+ e^\pm \mu^\mp$

Interference effects in cLFV & LNV Kaon decays

- Hints on sterile Majorana states: $\#_{\nu_s}$ and CP phases

$$R_{\ell_\alpha \ell_\beta} \equiv \frac{\Gamma_{M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\pm}^{\text{LNV}}}{\Gamma_{M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\mp}^{\text{LNC}}}$$

Illustrative (“extreme”) cases in Kaon decays: $R_{e\mu} = 0, \infty$ and 1



► $R_{e\mu} = 0$ [■]: $\text{BR}(K^+ \rightarrow \pi^- e^+ \mu^+) \approx 0$

No LNV modes observed, possibly LNC

$$K^+ \rightarrow \pi^+ e^\pm \mu^\mp$$

⇒ mediated by a Dirac neutrino... OR

⇒ mediated by 2 interfering Majorana states

maximal destructive interference in LNV mode

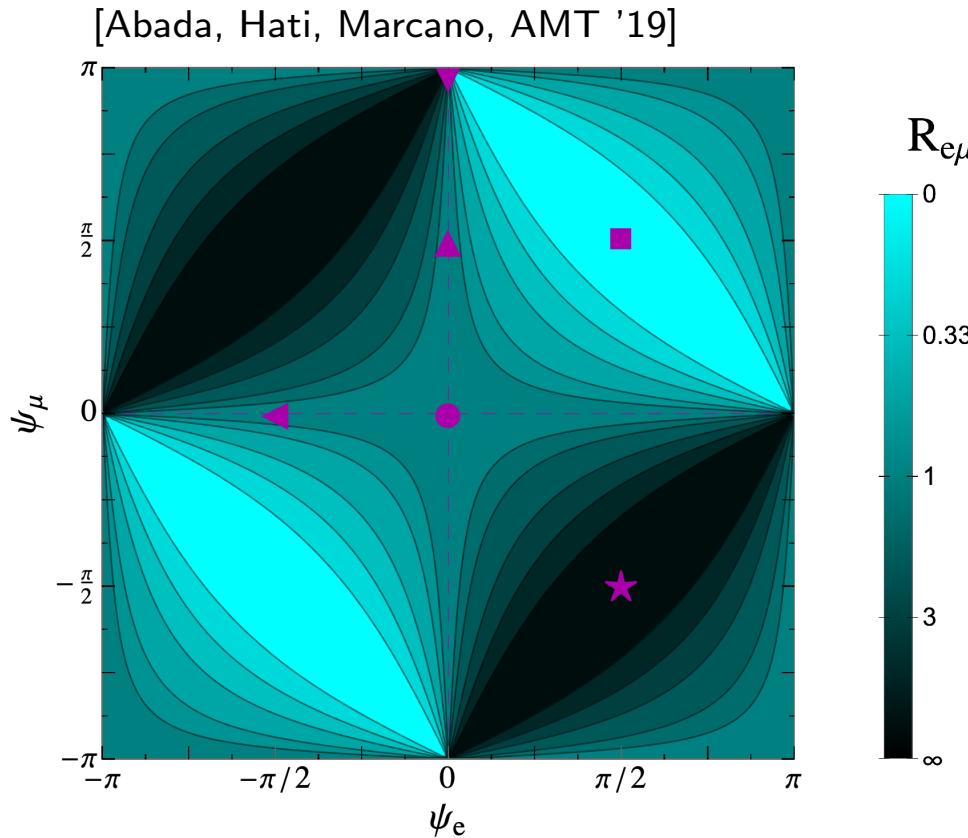
(E.g. low-scale seesaws with
approximate lepton number conservation)

Interference effects in cLFV & LNV Kaon decays

- Hints on sterile Majorana states: $\#_{\nu_s}$ and CP phases

$$R_{\ell_\alpha \ell_\beta} \equiv \frac{\Gamma_{M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\pm}^{\text{LNV}}}{\Gamma_{M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\mp}^{\text{LNC}}}$$

Illustrative (“extreme”) cases in Kaon decays: $R_{e\mu} = 0, \infty$ and 1



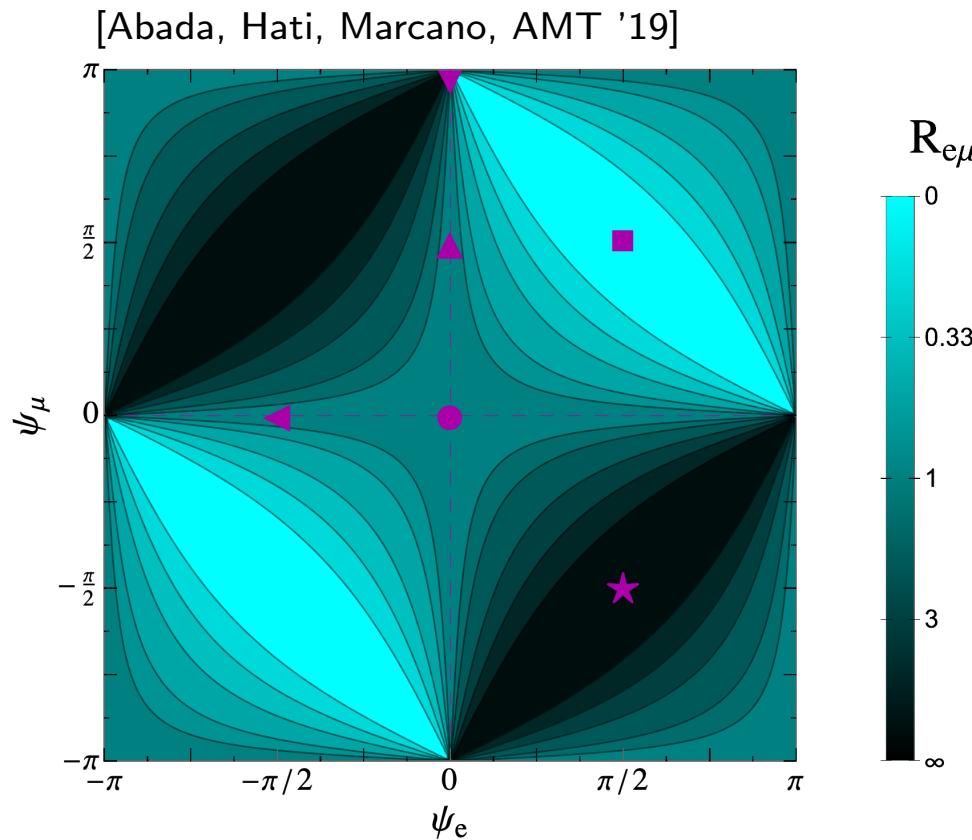
- $R_{e\mu} \gg 1$ [★]: $\text{BR}(K^+ \rightarrow \pi^+ e^\pm \mu^\mp) \approx 0$
Observation of LNV modes ($\alpha \neq \beta$)
⇒ incompatible with Dirac states...
⇒ possibly mediated by 2 Majorana states:
(maximal) constructive interference for
 $K^+ \rightarrow \pi^- e^+ \mu^+$
(maximal) destructive interference in LNC mode
and same-flavour LNV modes
 $K^+ \rightarrow \pi^- e^+ e^+, K^+ \rightarrow \pi^- \mu^+ \mu^+$

Interference effects in cLFV & LNV Kaon decays

- Hints on sterile Majorana states: $\#_{\nu_s}$ and CP phases

$$R_{\ell_\alpha \ell_\beta} \equiv \frac{\Gamma_{M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\pm}^{\text{LNV}}}{\Gamma_{M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\mp}^{\text{LNC}}}$$

Illustrative (“extreme”) cases in Kaon decays: $R_{e\mu} = 0, \infty$ and 1



- $R_{e\mu} \approx 1$ [●]:

$$\text{BR}(K^+ \rightarrow \pi^- e^+ \mu^+) \sim \text{BR}(K^+ \rightarrow \pi^+ e^\pm \mu^\mp)$$

Possible observation of *all* LNV & cLFV modes

⇒ LNV incompatible with Dirac state!

⇒ Cannot disentangle between:

2 Majorana states

(constructive interferences)

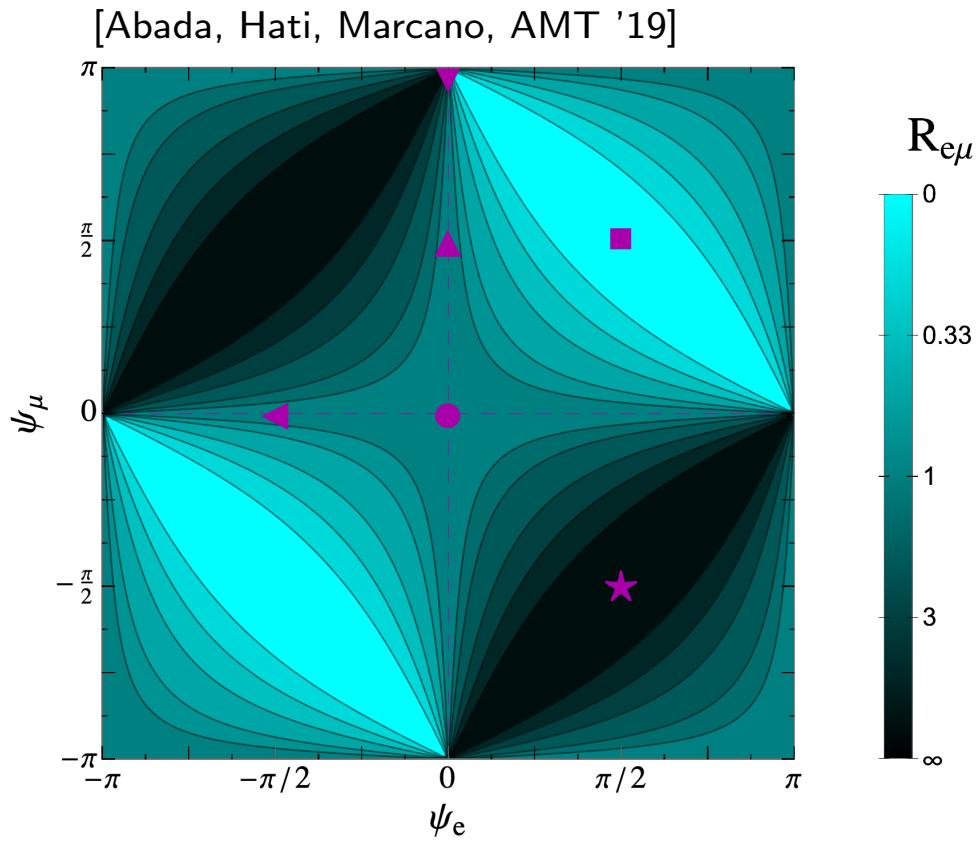
OR 1 Majorana state (“larger” $|U_{\alpha 4}|$)

Interference effects in cLFV & LNV Kaon decays

► Hints on sterile Majorana states: $\#_{\nu_s}$ and CP phases

$$R_{\ell_\alpha \ell_\beta} \equiv \frac{\Gamma_{M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\pm}^{\text{LNV}}}{\Gamma_{M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\mp}^{\text{LNC}}}$$

Illustrative (“extreme”) cases in Kaon decays: $R_{e\mu} = 0, \infty$ and 1



► $R_{e\mu} \approx 1$ [▲, ▷]:

Partial cancellation in distinct-flavour modes

$\text{BR}(K^+ \rightarrow \pi^- e^+ \mu^+) \sim \text{BR}(K^+ \rightarrow \pi^+ e^\pm \mu^\mp)$

⇒ Study same-flavour LNV modes

Substantiate 2 ν_s hypothesis and

hint on CP phases ψ_e and ψ_μ

E.g. [▷] observable $R_{e\mu} \approx 1$

potentially observable $K^+ \rightarrow \pi^- \mu^+ \mu^+$

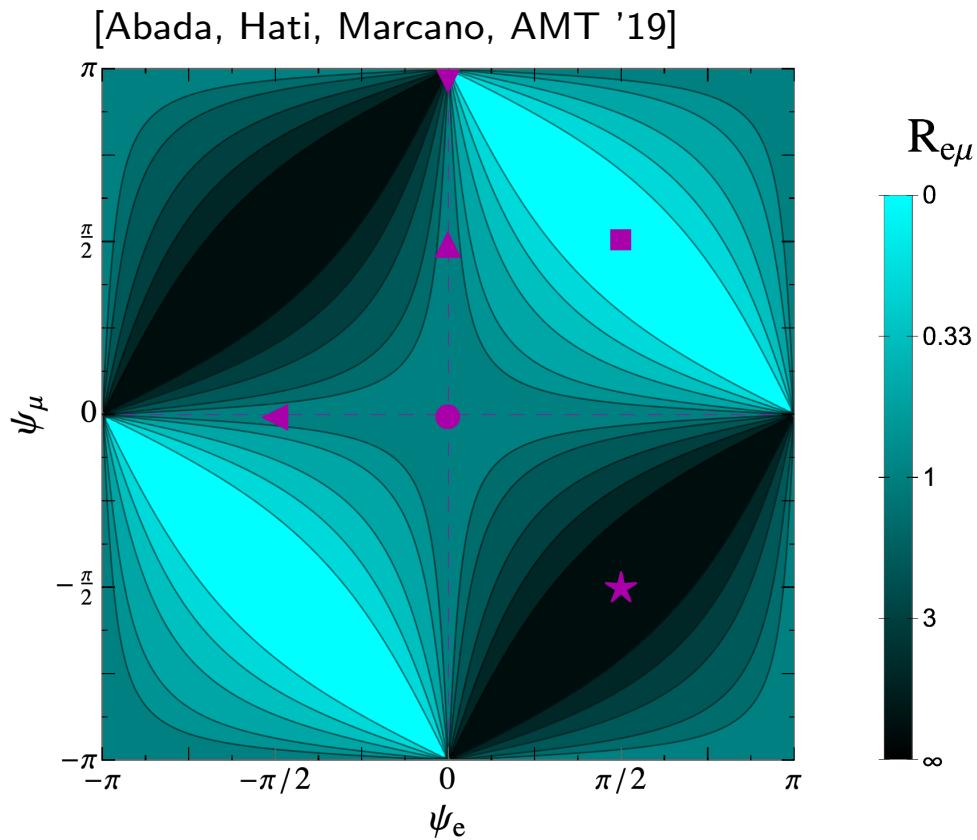
(maximal) destructive interference $K^+ \rightarrow \pi^- e^+ e^+$

Interference effects in cLFV & LNV Kaon decays

- Hints on sterile Majorana states: $\#_{\nu_s}$ and CP phases

$$R_{\ell_\alpha \ell_\beta} \equiv \frac{\Gamma_{M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\pm}^{\text{LNV}}}{\Gamma_{M \rightarrow M' \ell_\alpha^\pm \ell_\beta^\mp}^{\text{LNC}}}$$

Illustrative (“extreme”) cases in Kaon decays: $R_{e\mu} = 0, \infty$ and 1



- If **neither mode observed** [▼]

$K^+ \rightarrow \pi^- e^+ \mu^+$ and $K^+ \rightarrow \pi^+ e^\pm \mu^\mp$

(maximal destructive interference)

⇒ Crucial role of same-flavour LNV modes:

potentially **observable** $K^+ \rightarrow \pi^- \mu^+ \mu^+$
and $K^+ \rightarrow \pi^- e^+ e^+$

Interference effects in cLFV & LNV meson decays

- ▶ **Generic analysis**, applicable to *all* semileptonic LNV meson decays
- ▶ **Interpretation** of **LNV searches** under **hypothesis of SM + Majorana ν_s** :
 - ⇒ allow for **multiple sterile states**, and possible **interference effects**
 $(\Delta M \ll \Delta\Gamma, \text{ non-vanishing Dirac \& Majorana CP phases})$
- ▶ **Experimental searches [NA62]**: **negative LNV/LNC results**
do not necessarily imply increasingly stringent bounds on $|U_{\alpha 4}|$!
- ▶ **Observation of LNC *only*: Majorana nature not ruled out!**
- ▶ Other observables sensitive to the **Majorana nature of sterile neutrinos...**

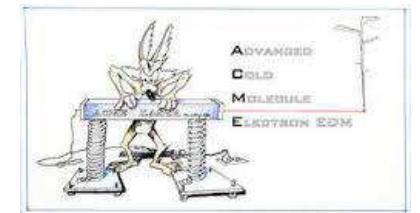
CP asymmetries in LNV decays: $\mathcal{A}_{CP}^{\alpha\beta} \equiv \frac{\Gamma(M^- \rightarrow M'^+ \ell_\alpha^- \ell_\beta^-) - \Gamma(M^+ \rightarrow M'^- \ell_\alpha^+ \ell_\beta^+)}{\Gamma(M^- \rightarrow M'^+ \ell_\alpha^- \ell_\beta^-) + \Gamma(M^+ \rightarrow M'^- \ell_\alpha^+ \ell_\beta^+)}$

⇒ In certain regimes, $\mathcal{A}_{CP}^{\alpha\beta} \approx 1$ [Cvetic et al, '14 & '15]
- ▶ And in other (unexpected) sectors...

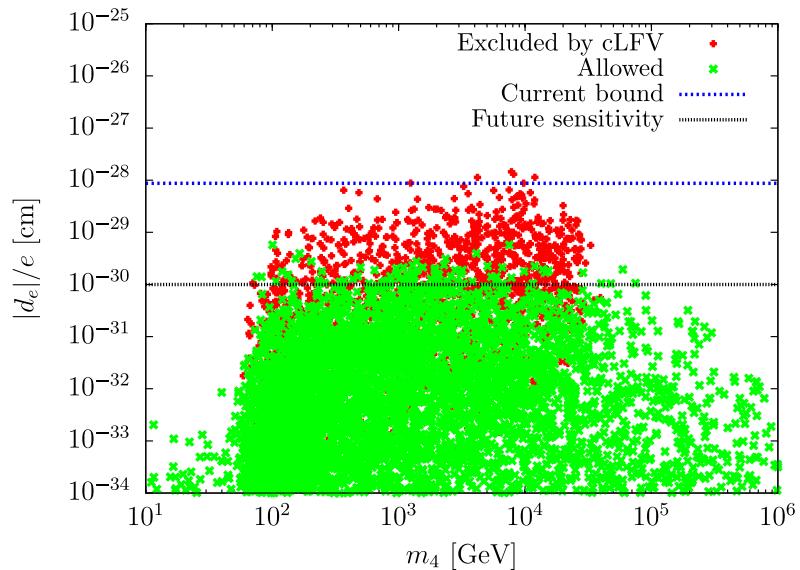
► Leptonic EDMs, sterile neutrinos and cLFV

Sterile neutrinos: impact for leptonic EDMs

- **Electron EDM:** increasingly **stronger bounds** from **paramagnetic atoms** (e.g. **Tl, Cs**) and **molecules** (**HfF⁺, ThO, ...**)



- New ACME result '18: $|d_e|/e \lesssim 1.1 \times 10^{-29} \text{ cm}$
mid-term increase of **10-20 in sensitivity** (developments of the ACME technique)



- Majorana (and Dirac) phases \Rightarrow lepton EDMs
- Non-vanishing contributions: at least **two sterile ν**
- $|d_e|/e \geq 10^{-30} \text{ cm}$ for $m_{\nu_{4,5}} \sim [100 \text{ GeV}, 100 \text{ TeV}]$

[Abada and Toma, '15]

- Independent of active-sterile mixings **Majorana contribution** is dominant!
- **EDM observation:** suggest new sources of CPV \Rightarrow **Majorana ν s?** \rightsquigarrow **Leptogenesis??**

Sterile neutrinos: ... and for cLFV

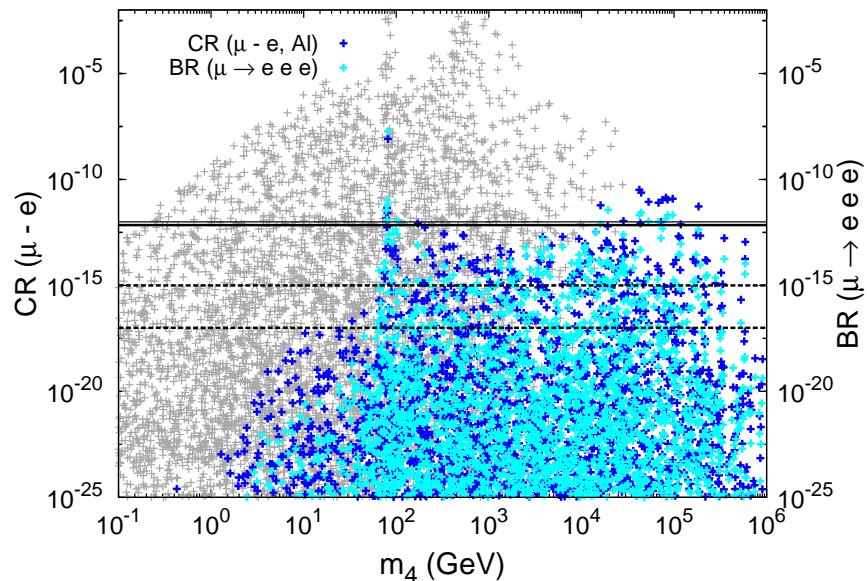
- Hints on Majorana nature from (flavour conserving) EDMs \rightsquigarrow sizeable contributions

States too heavy for “on-shell” production in meson decays...

- Expect important impact for cLFV observables (high-intensity)!

[experimental review by L. Galli]

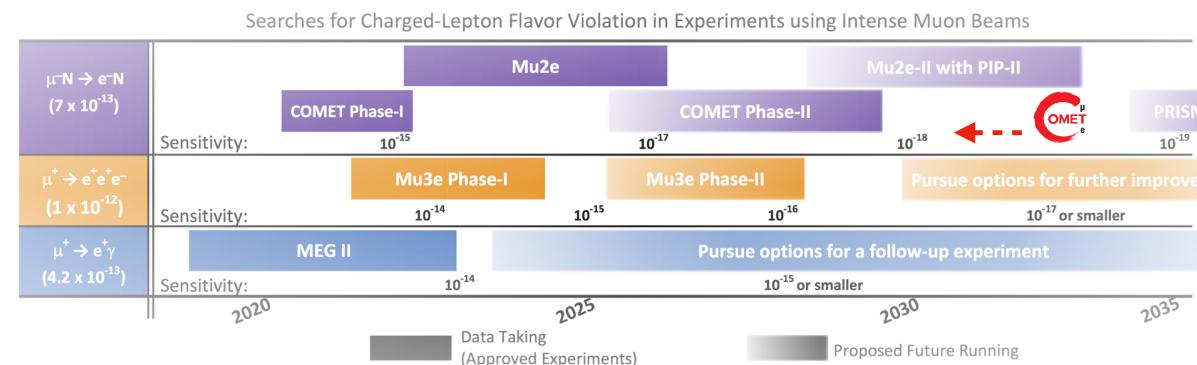
- Example: three-body decays $\ell_i \rightarrow 3\ell_j$ (■) and conversion in Nuclei $\mu - e$ (■)



[Abada, De Romeri and AMT, '16]

- For sterile states above EW scale, sizeable contributions, well **within experimental reach**

Mu3e, COMET, Mu2e, ...





Concluding remarks

New Physics and lepton observables

- ▶ Confirmed observations suggest the need to go beyond the SM
Other than ν -masses, many experimental “tensions” nested in lepton-related observables
- ▶ Lepton physics might offer valuable hints in constructing and probing NP models
- ▶ Lepton number violation: signal Majorana states, hints on nature of neutrinos, necessary ingredient to a leptogenesis explanation of the BAU...

▶ Majorana sterile neutrinos - appealing (minimal) SM extension

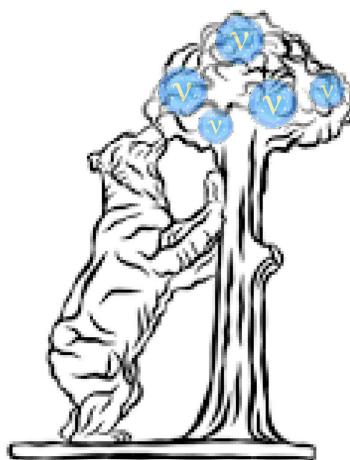
Depending on the regime, important contributions to “leptonic” NP observables

Hypothesis that can motivate a “re-interpretation” of experimental data:

light ν spectrum ordering from $0\nu2\beta$,

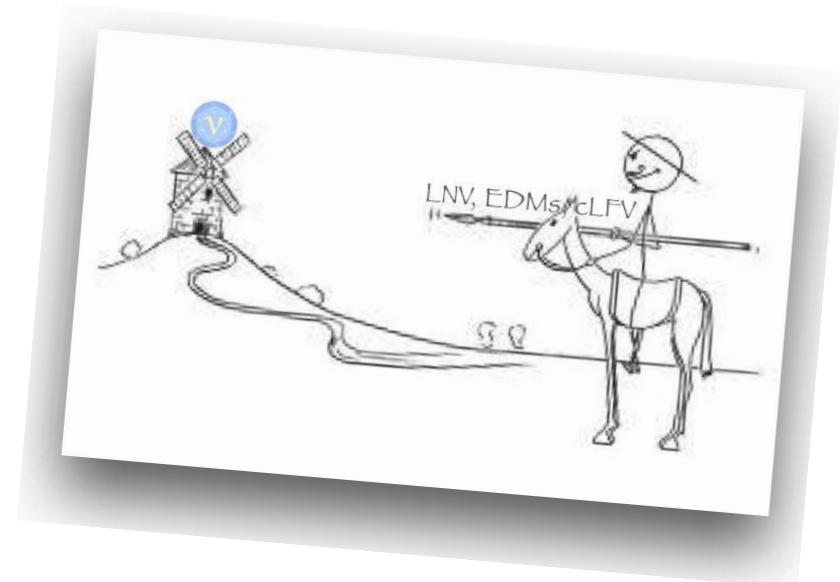
constraints on active-sterile mixings from meson decays

Dirac vs Majorana nature from non-observation of LNV modes



New Physics and lepton observables

- ▶ Confirmed observations suggest the need to go beyond the SM
Other than ν -masses, many experimental “tensions” nested in lepton-related observables
- ▶ Lepton physics might offer valuable hints in constructing and probing NP models
- ▶ Lepton number violation: signal Majorana states, hints on nature of neutrinos, necessary ingredient to a leptogenesis explanation of the BAU...
- ▶ Majorana sterile neutrinos - appealing (minimal) SM extension
Depending on the regime, important contributions to “leptonic” NP observables
- ▶ Exciting near-future @ “experimental” front!
Active searches (and analyses)
to unveil New (leptonic) Physics





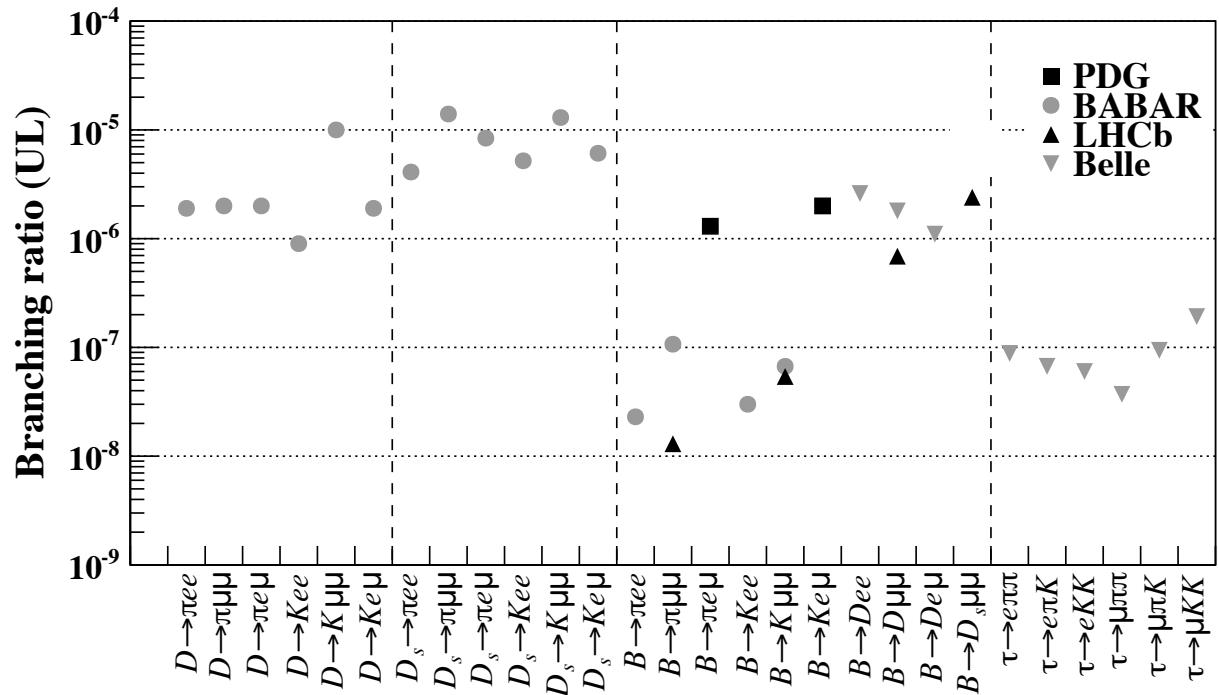
Backup

LNV in semileptonic decays: current bounds

LNV decay	Current bound		
	$\ell_\alpha = e, \ell_\beta = e$	$\ell_\alpha = e, \ell_\beta = \mu$	$\ell_\alpha = \mu, \ell_\beta = \mu$
$K^- \rightarrow \ell_\alpha^- \ell_\beta^- \pi^+$	6.4×10^{-10}	5.0×10^{-10}	1.1×10^{-9}
$D^- \rightarrow \ell_\alpha^- \ell_\beta^- \pi^+$	1.1×10^{-6}	2.0×10^{-6}	2.2×10^{-8}
$D^- \rightarrow \ell_\alpha^- \ell_\beta^- K^+$	9.0×10^{-7}	1.9×10^{-6}	1.0×10^{-5}
$D^- \rightarrow \ell_\alpha^- \ell_\beta^- \rho^+$	—	—	5.6×10^{-4}
$D^- \rightarrow \ell_\alpha^- \ell_\beta^- K^{*+}$	—	—	8.5×10^{-4}
$D_s^- \rightarrow \ell_\alpha^- \ell_\beta^- \pi^+$	4.1×10^{-6}	8.4×10^{-6}	1.2×10^{-7}
$D_s^- \rightarrow \ell_\alpha^- \ell_\beta^- K^+$	5.2×10^{-6}	6.1×10^{-6}	1.3×10^{-5}
$D_s^- \rightarrow \ell_\alpha^- \ell_\beta^- K^{*+}$	—	—	1.4×10^{-3}
$B^- \rightarrow \ell_\alpha^- \ell_\beta^- \pi^+$	2.3×10^{-8}	1.5×10^{-7}	4.0×10^{-9}
$B^- \rightarrow \ell_\alpha^- \ell_\beta^- K^+$	3.0×10^{-8}	1.6×10^{-7}	4.1×10^{-8}
$B^- \rightarrow \ell_\alpha^- \ell_\beta^- \rho^+$	1.7×10^{-7}	4.7×10^{-7}	4.2×10^{-7}
$B^- \rightarrow \ell_\alpha^- \ell_\beta^- D^+$	2.6×10^{-6}	1.8×10^{-6}	6.9×10^{-7}
$B^- \rightarrow \ell_\alpha^- \ell_\beta^- D_s^{*+}$	—	—	2.4×10^{-6}
$B^- \rightarrow \ell_\alpha^- \ell_\beta^- D_s^+$	—	—	5.8×10^{-7}
$B^- \rightarrow \ell_\alpha^- \ell_\beta^- K^{*+}$	4.0×10^{-7}	3.0×10^{-7}	5.9×10^{-7}
LNV matrix m_ν	m_ν^{ee}	$m_\nu^{e\mu}$	$m_\nu^{\mu\mu}$

LNV in semileptonic decays: current bounds

cLFV decay	Current bound		
	$\ell_\alpha = e, \ell_\beta = \mu$	$\ell_\alpha = e, \ell_\beta = \tau$	$\ell_\alpha = \mu, \ell_\beta = \tau$
$K^+ \rightarrow \ell_\alpha^\pm \ell_\beta^\mp \pi^+$	$5.2 \times 10^{-10} (1.3 \times 10^{-11})$	—	—
$D^+ \rightarrow \ell_\alpha^\pm \ell_\beta^\mp \pi^+$	$2.9(3.6) \times 10^{-6}$	—	—
$D^+ \rightarrow \ell_\alpha^\pm \ell_\beta^\mp K^+$	$1.2(2.8) \times 10^{-6}$	—	—
$D_s^+ \rightarrow \ell_\alpha^\pm \ell_\beta^\mp \pi^+$	$1.2(2.0) \times 10^{-5}$	—	—
$D_s^+ \rightarrow \ell_\alpha^\pm \ell_\beta^\mp K^+$	$14(9.7) \times 10^{-6}$	—	—
$B^+ \rightarrow \ell_\alpha^\pm \ell_\beta^\mp \pi^+$	0.17×10^{-6}	75×10^{-6}	72×10^{-6}
$B^+ \rightarrow \ell_\alpha^\pm \ell_\beta^\mp K^+$	91×10^{-6}	30×10^{-6}	48×10^{-6}
$B^+ \rightarrow \ell_\alpha^\pm \ell_\beta^\mp K^{*+}$	1.4×10^{-6}		
$B^0 \rightarrow \ell_\alpha^\pm \ell_\beta^\mp \pi^0$	0.14×10^{-6}		
$B^0 \rightarrow \ell_\alpha^\pm \ell_\beta^\mp K^0$	0.27×10^{-6}		
$B^0 \rightarrow \ell_\alpha^\pm \ell_\beta^\mp K^{*0}$	0.53×10^{-6}		



LNV in “muonic” atoms: $\mu^- - e^+$ conversion

- LNV ($\Delta L = 2$) $\mu^- - e^+$ conversion: $\mu^- + (A, Z) \rightarrow e^+ + (A, Z - 2)^*$

$\mu^- - e^-$: coherent, single nucleon, nuclear ground state

$\mu^- - e^+$: 2 nucleons ($\Delta Q = 2$), possibly **excited final states**

- Event signature: single positron - but *complex E*-spectrum

$$E_{\mu^- e^+}^{N^*} = m_\mu - E_B(A, Z) - E_R(A, Z) - \Delta_{Z-2(*)}$$

$$E_{\mu^- e^+}^{\text{AI,GDR}} \approx \mathcal{O}(83.9 \text{ MeV}) \quad [< \text{GDR}_{\text{AI}} > \sim 21.1 \text{ MeV} \text{ (6.7 MeV)}]$$

[Geib et al, '16]

- Experimental status - present bounds:

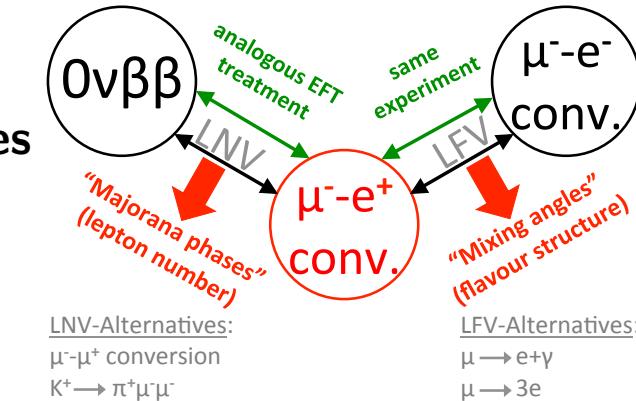
Collaboration	year	Process	Bound
PSI/SINDRUM	1998	$\mu^- + \text{Ti} \rightarrow e^+ + \text{Ca}^*$	3.6×10^{-11}
PSI/SINDRUM	1998	$\mu^- + \text{Ti} \rightarrow e^+ + \text{Ca}$	1.7×10^{-12}

- Experimental status - future prospects:

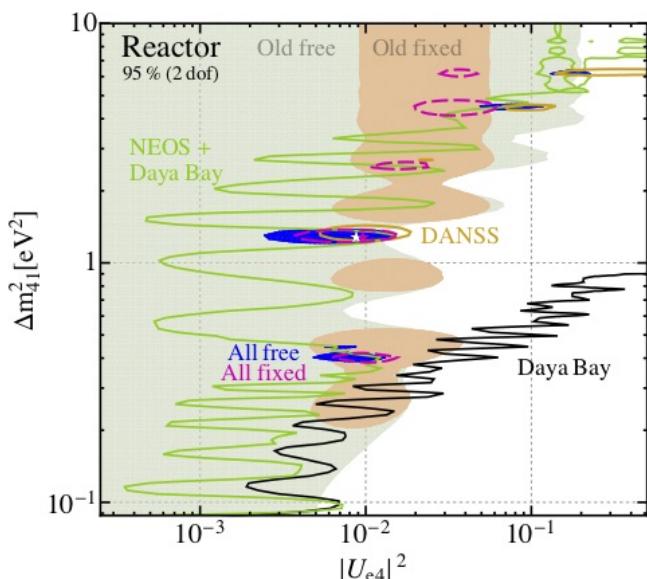
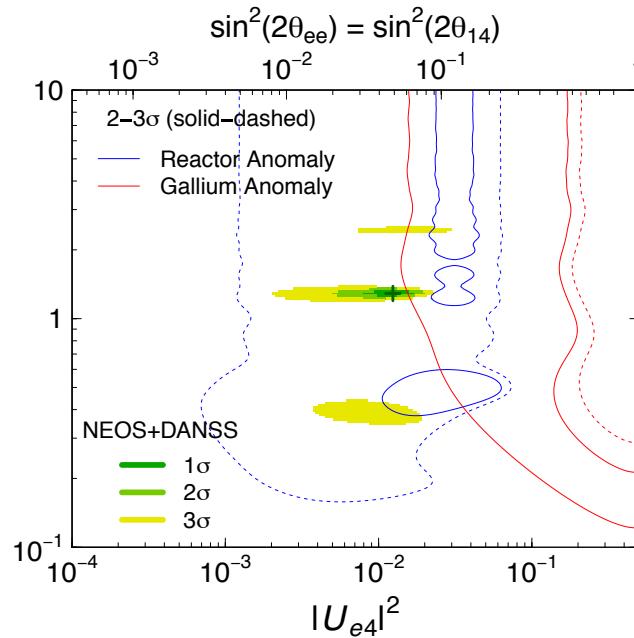
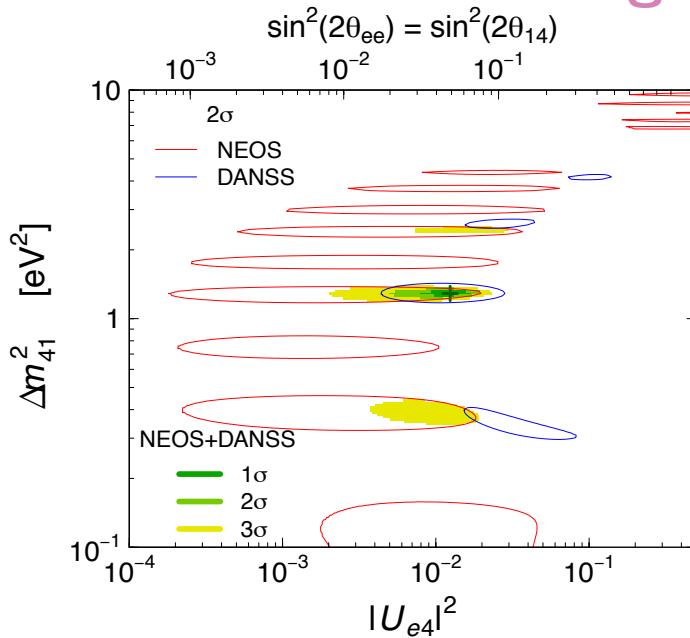
Recent studies: **best sensitivity** associated with **Calcium**, **Sulphur** and **Titanium** targets

$\text{CR}(\mu^- - e^+) < \mathcal{O}(\text{few} \times 10^{-15})$ for ${}^{48}\text{Ti}$ (both LNC and LNV searches) [Yeo et al, '17]

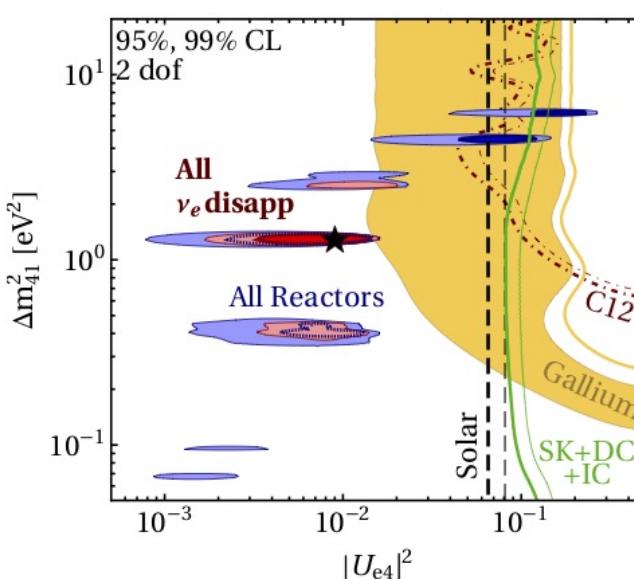
For Aluminium targets improvement of current sensitivity maybe very hard (even factor 10)...



Light sterile neutrinos



(a)



(b)

[Gariazzo et al, '18]

[Dentler et al, '18]

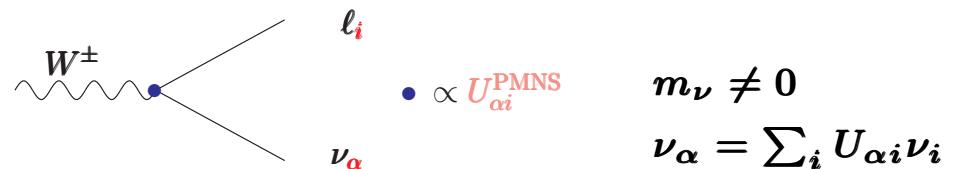
Minimal models of m_ν : signs of New Physics?

- In the **Standard Model**: (strictly) **massless neutrinos**
conservation of total lepton number & lepton flavours
tiny leptonic EDMs (at 4-loop level.. $d_e^{\text{CKM}} \leq 10^{-38} e \text{ cm}$)

- Extend the SM to accommodate $\nu_\alpha \leftrightarrow \nu_\beta$

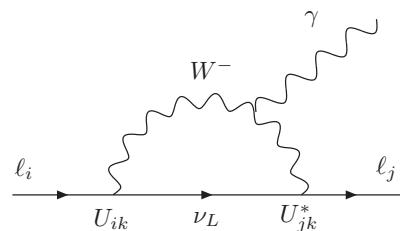
Assume **most minimal** extension **SM _{m_ν}**

[SM_{m_ν} = “ad-hoc” m_ν (Dirac), U_{PMNS}]



- In the **SM _{m_ν}** : (**total**) **Lepton number conserved**; what about lepton flavours? And CP?

- **SM _{m_ν} - cLFV possible??**



$$\text{BR}(\mu \rightarrow e\gamma) \propto \left| \sum U_{\mu i}^* U_{ei} \frac{m_{\nu_i}^2}{M_W^2} \right|^2 \sim 10^{-54}$$

[Petcov, '77]

Possible - yes... but not observable!!

- **SM _{m_ν} - observable EDMs?** Contributions from δ_{CP} (2-loop)... still $d_e^{\text{lep}} \leq 10^{-35} e \text{ cm}$