



# PPS Acceptance Studies for Tagging Protons at HL-LHC

LHC Working Group on Forward Physics and Diffraction  
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on behalf of  
The CMS Collaboration

Many thanks for valuable discussions and material to  
Riccardo De Maria, Stéphane Fartoukh, Paolo Fessia, Daniele Mirarchi,  
many PPS colleagues



# CMS PPS at HL-LHC ?

- **Flagship channels, i.e. central exclusive production of WW, dileptons and dijets are statistics limited: factor 10 more luminosity welcome.**

Suppression of pileup (200) requires timing in the few ps range: not impossible extrapolating the current technology

- **Only interested in standard high-lumi running (no special runs)**
- **Would need access to central diffractive masses**
  - **from O(100 GeV):** Standard Model processes for alignment/calibration
  - **to a few TeV:** new physics.

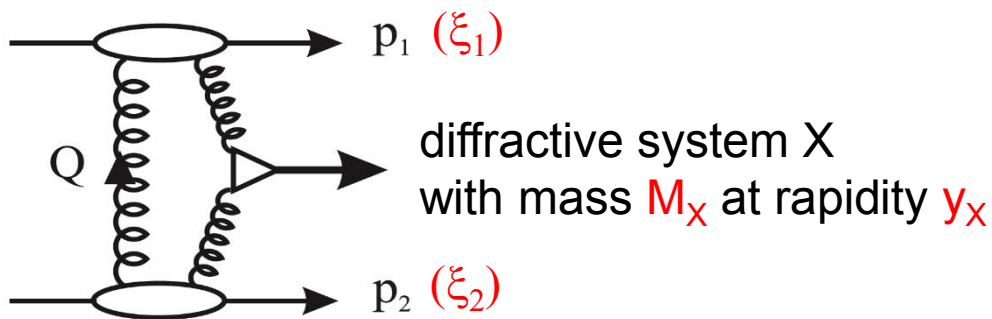
- **Focus of this presentation:**

Calculation of mass reach at 4 promising forward detector locations using:

- **preview optics** as presently available  
(simulations with MAD-X)
- **luminosity levelling trajectories** (crossing-angle,  $\beta^*$ ) as presently foreseen  
for **horizontal and vertical crossing** at IP5
- **collimation scheme** as presently foreseen
- **rules for near-beam detector insertions** as presently foreseen

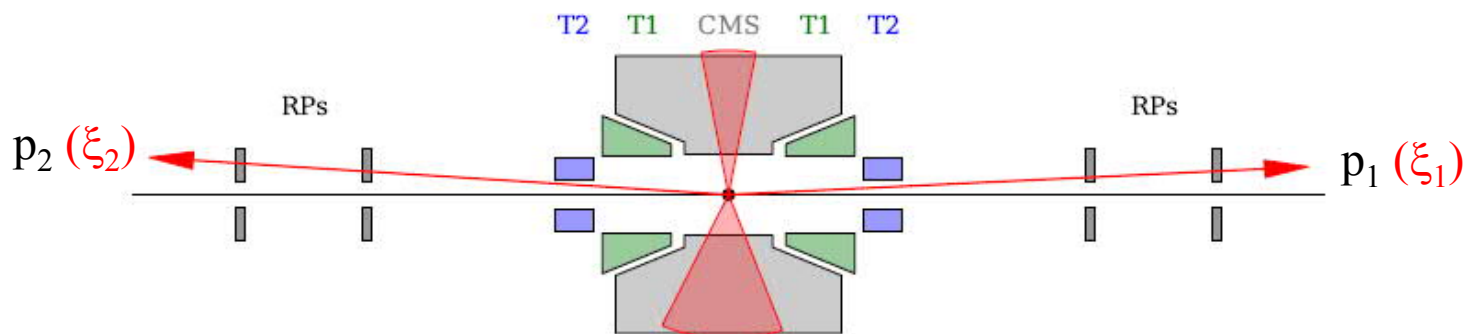


# Central Diffractive Production: Kinematics



$$M_X^2 = \xi_1 \xi_2 s$$

$$y_X = \frac{1}{2} \ln \frac{\xi_1}{\xi_2}$$



X = all products except the 2 leading protons

$$\xi_{1/2} = \frac{\Delta p_{1/2}}{p} = \text{fractional momentum loss of surviving proton 1 / 2}$$

The acceptance for diffractive mass M is determined by acceptance for  $\xi_1$  and  $\xi_2$  in the 2 spectrometer arms.

$$M_{\min}^2 = \xi_{1,\min} \xi_{2,\min} s$$

The rapidity y quantifies how central ( $y = 0$ ) or forward (large  $|y|$ ) the centre-of-mass of X is:

Under certain conditions:  $y \approx$  pseudo-rapidity  $\eta = -\ln \tan (\theta/2)$



# HL-LHC Optics 1.3 up to 500 m

- for crossing angle  $(\alpha/2, \beta^*) = (250 \mu\text{rad}, 15 \text{ cm})$
- XRPs @  $(12.9 + 3) \sigma + 0.3 \text{ mm}$

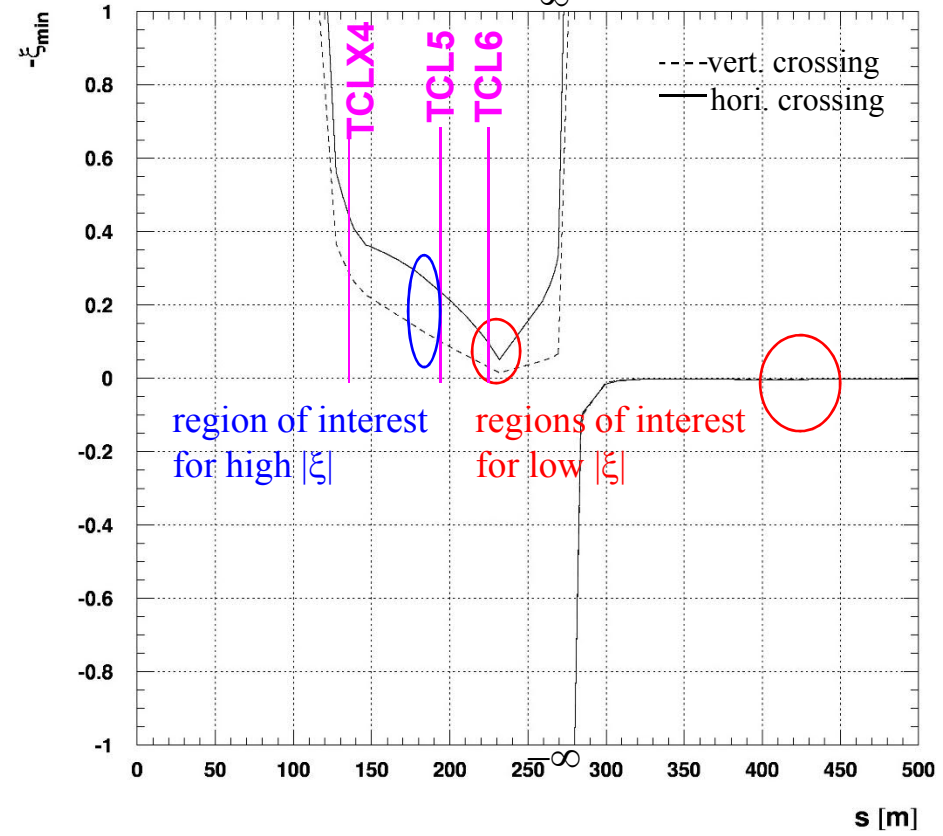
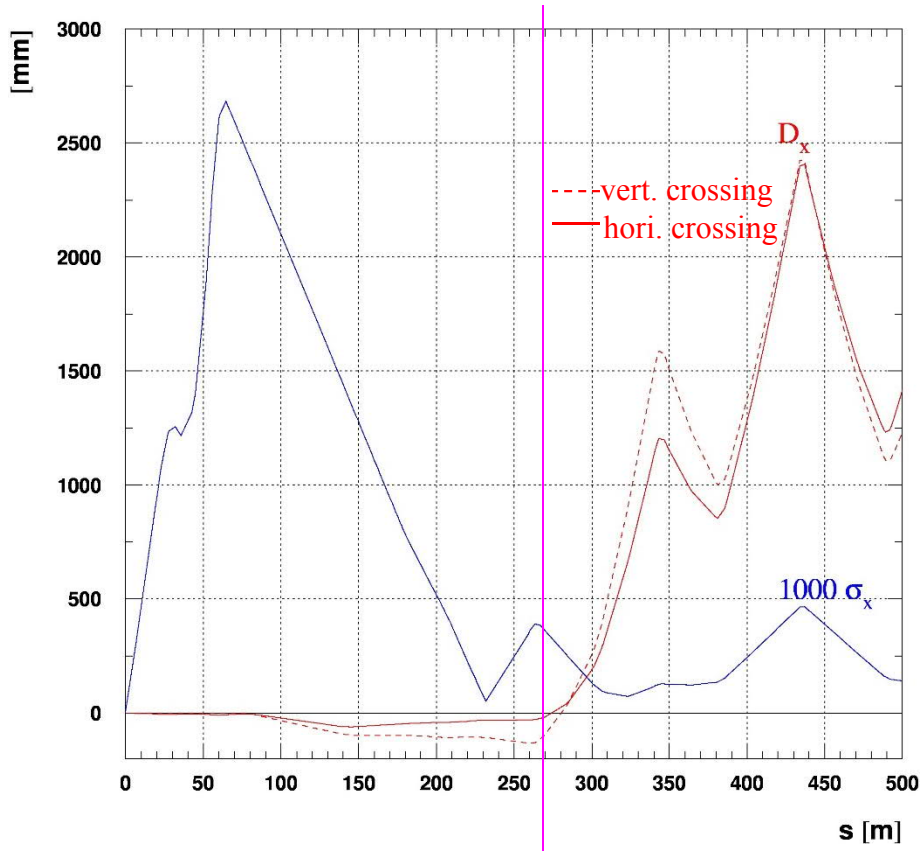
HL-LHC:

new standard emittance  $\epsilon_n = 2.5 \mu\text{m rad}$  (instead of 3.5)

$$\xi \equiv \frac{\Delta p_{\text{proton}}}{p_{\text{proton}}} = \frac{x_{\text{track}}}{D_x}$$

horizontal dispersion

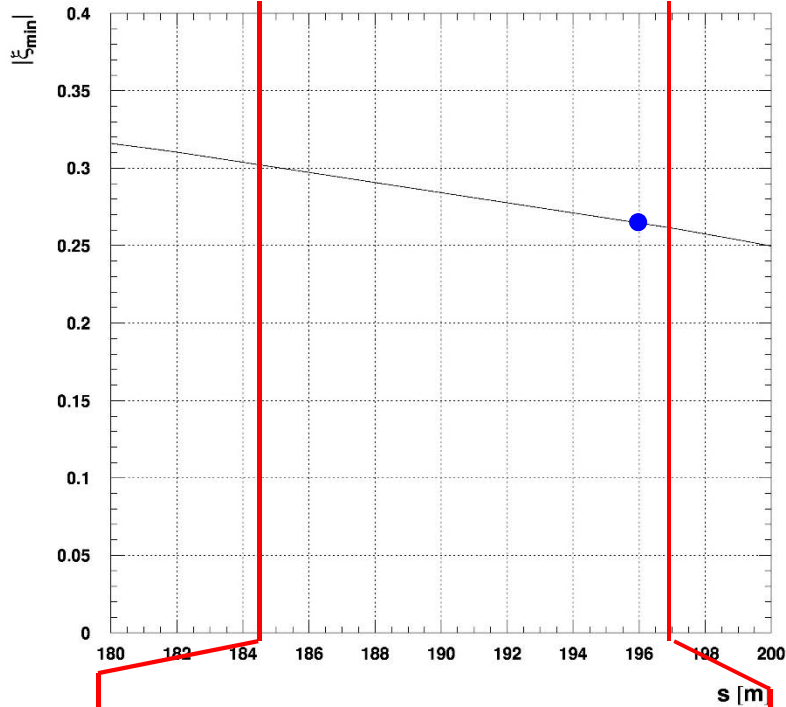
$$\xi_{\text{min}} = (15.9 \sigma_x + 0.3 \text{ mm}) / D_x$$



for  $s > \sim 270 \text{ m} : D_x > 0$   
 $\rightarrow$  diffractive protons between the beam pipes  
 $\rightarrow$  no standard Roman Pot possible  $\rightarrow$  needs new technology  
**Free only around 420 m.**

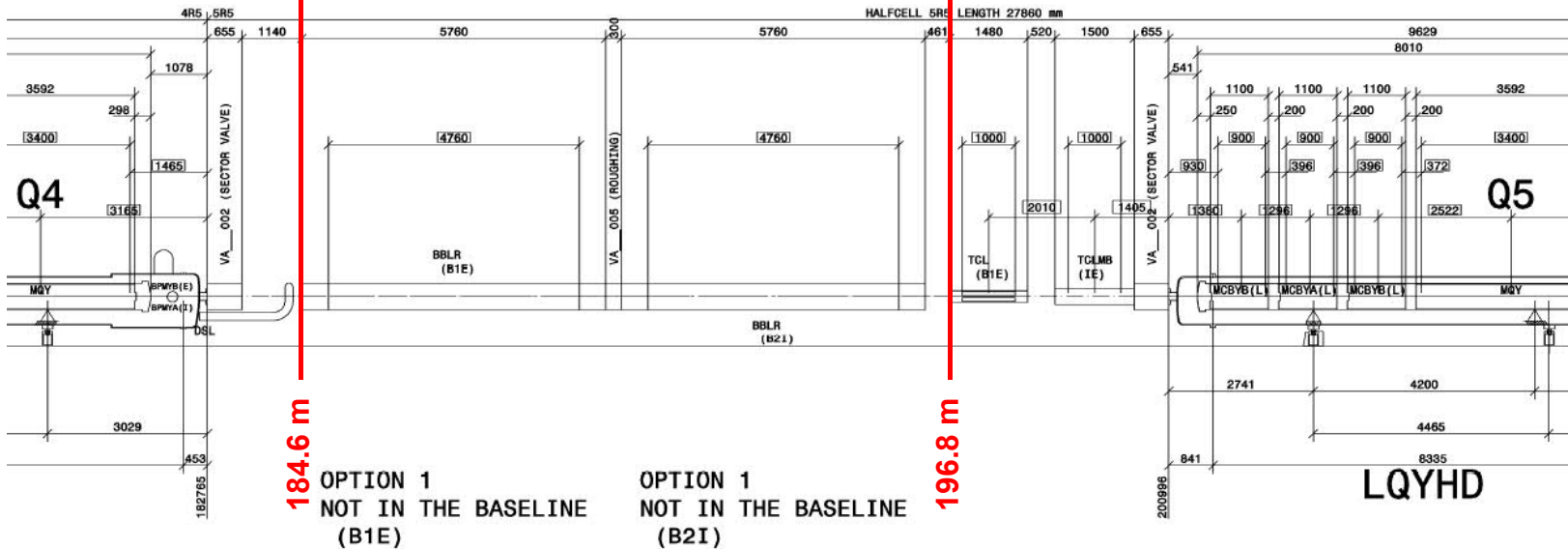


# Region of Interest: 180 – 200 m (for Classic Roman Pot Technology)



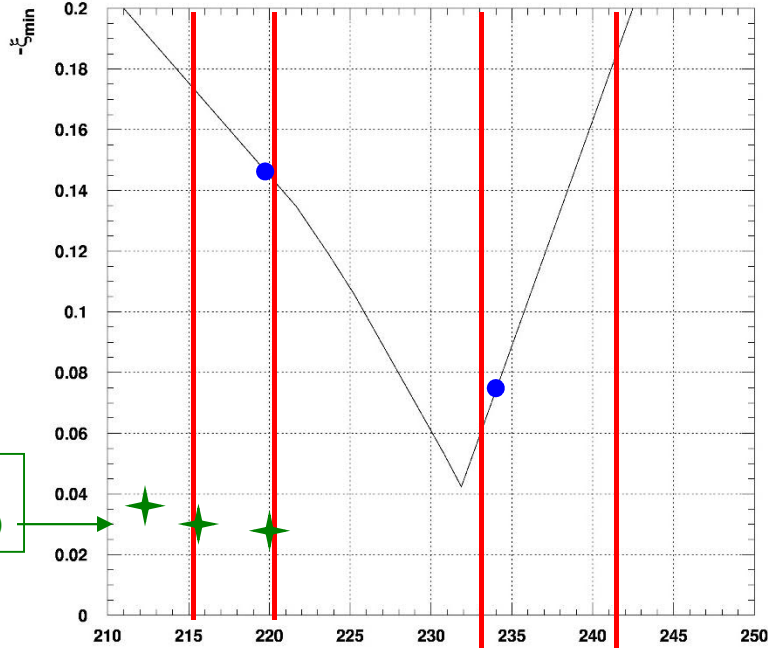
Region just before TCL.5  
→ interesting for high  $|\xi|$

more detailed studies for  
 $s = 196$  m





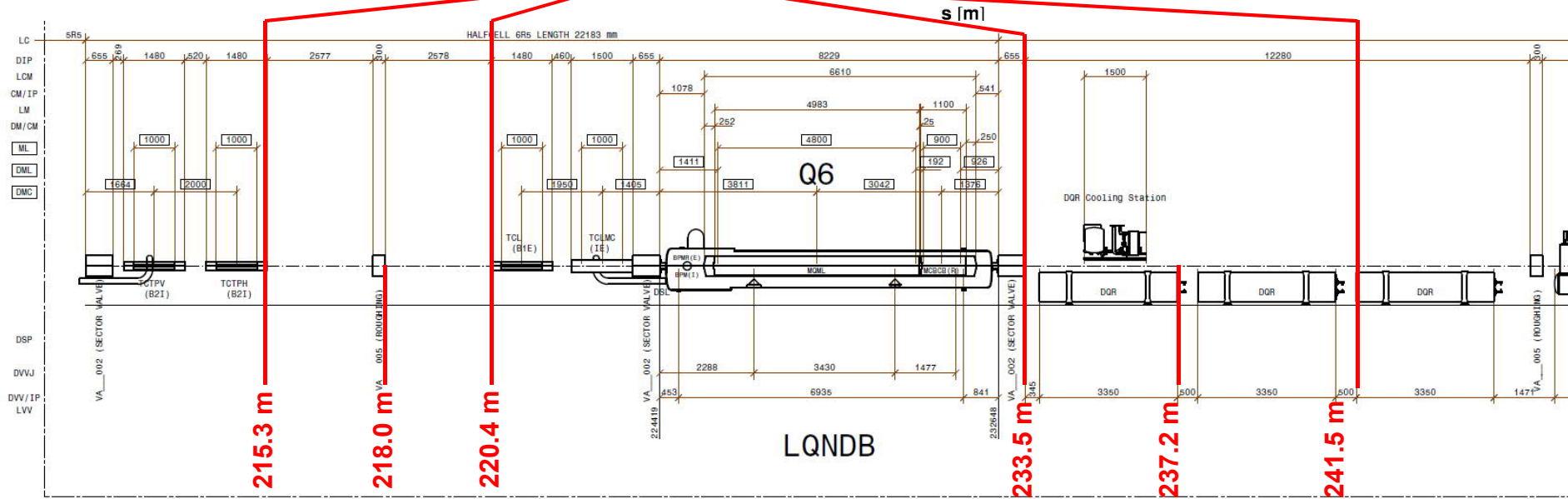
# Region of Interest: 210 – 250 m (for Classic Roman Pot Technology)



more detailed studies for

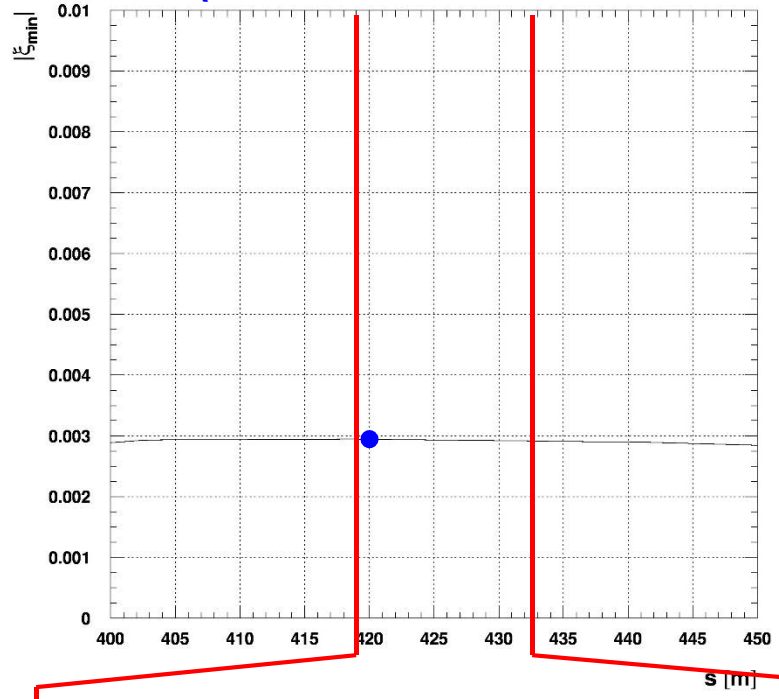
- $s = 220$  m
- $s = 234$  m

Comparison 2018  
( $\alpha/2, \beta^*$ ) = (130  $\mu$ rad, 30 cm)

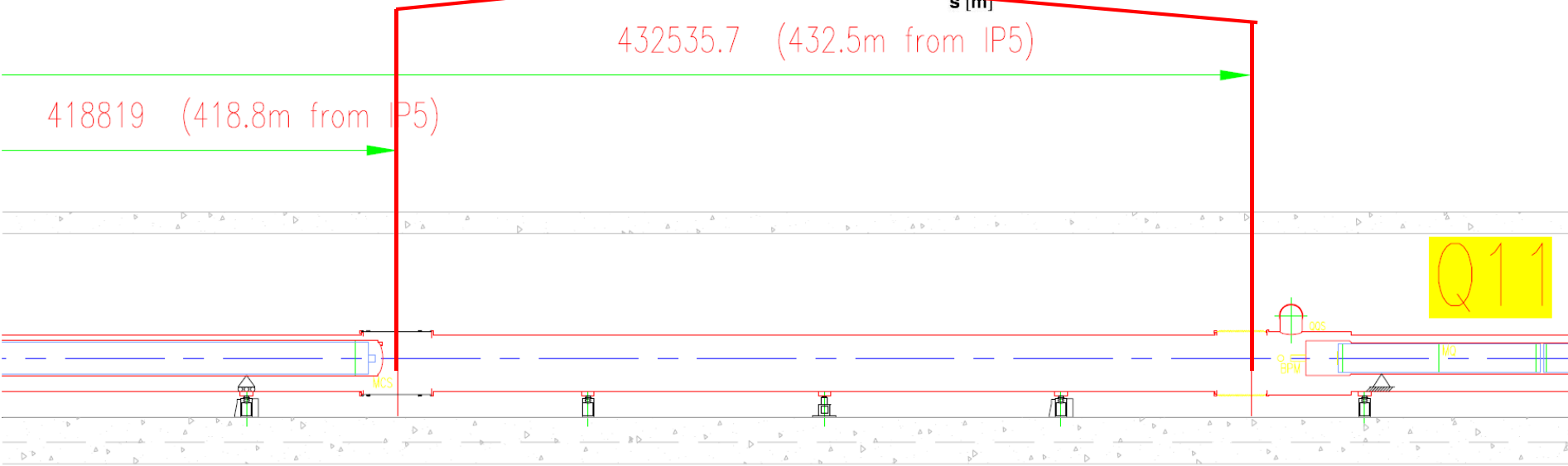




# Region of Interest: 400 – 450 m (for Future “Roman Pot” Technology)

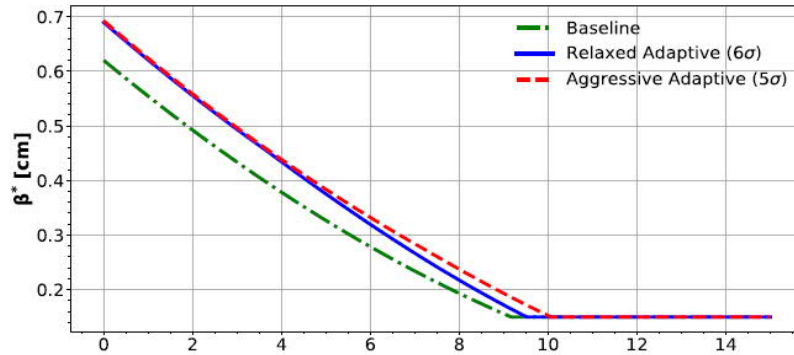


more detailed studies for  
 $s = 420$  m

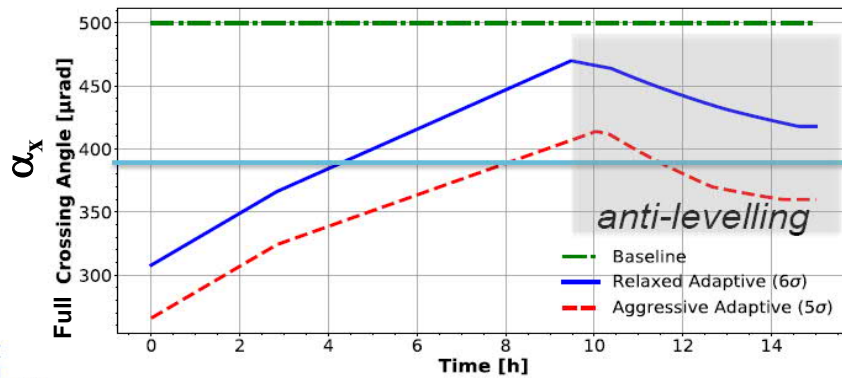


# Evolution of Parameters

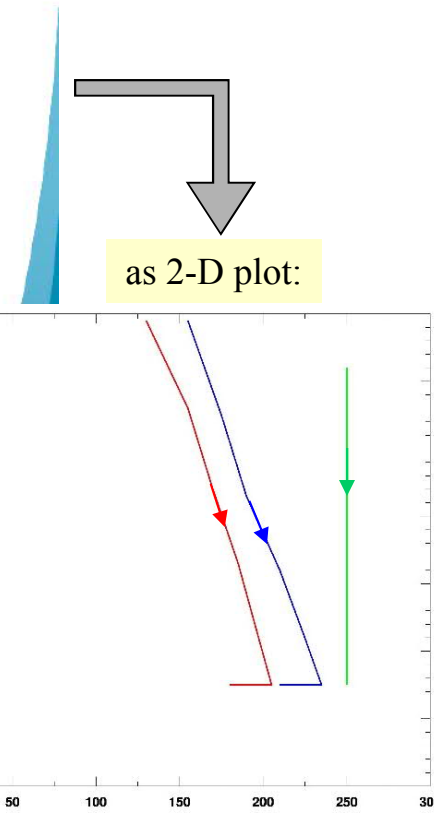
- For the adaptive scenarios, include **crossing angle** “**anti-levelling**” à la LHC after the end of levelling



*Slightly delay the end of levelling*



*max crabbing angle: 380 $\mu$ rad*



N. Karstathis, D. Pellegrini et al., HL-LHC collaboration meeting 2017





# Mass Acceptance Calculation

Calculate mass limits:  $M_{\min/\max} = \xi_{\min/\max} \sqrt{s}$  in  $(\alpha/2, \beta^*)$  plane  
(for symmetric optics in Beam 1 / Beam 2 with  $\xi_{1 \min/\max} = \xi_{2 \min/\max}$ )

Crossing-angle can be horizontal or vertical  $\rightarrow$  distinguish  $\alpha_x/2$ ,  $\alpha_y/2$

Cannot simulate every  $(\alpha/2, \beta^*)$  point  $\rightarrow$  analytical approach:

gap + insensitive XRP detector margin

$$M_{\min} = \xi_{\min} \sqrt{s} \text{ with } \xi_{\min} = \frac{d_{\text{XRP}}(\beta^*) + \delta}{D_{x,\text{XRP}}(\frac{\alpha_x}{2}, \xi_{\min})} \text{ resolved for } \xi_{\min}$$

$$M_{\max} = \xi_{\max} \sqrt{s} = \frac{d_A}{D_A(\frac{\alpha}{2}, \xi_{\max})} \sqrt{s}$$

$d_{\text{XRP}}$ : detector distance from beam centre:  
analytical expression depending on  
TCT collimator settings  
and optics properties

$D_{x,\text{XRP}}$ : horizontal dispersion @ detector location,  
parametrisation in  $(\alpha/2, \xi)$  from MAD-X

Based on full aperture study

$d_A$ : aperture limitation (hori. or vert.) upstream,  
in most cases: TCLs

$D_A$ : dispersion (hori. or vert.) @ aperture limit.,  
parametrisation in  $(\alpha/2, \xi)$  from MAD-X



# XRP Insertion Distance vs. $\beta^*$

Assume insertion rule:  $d_{\text{XRP}} = (n_{\text{TCT}} + 3)\sigma_{\text{XRP}} + 0.3 \text{ mm}$

Collimation scheme presently foreseen:

$$d_{\text{TCT}} = \text{const.} \rightarrow n_{\text{TCT}}(\beta^*) = n_{\text{TCT}}(\beta_0) \sqrt{\frac{\beta^*}{\beta_0}}$$

$$\sigma_{\text{XRP}} = \sqrt{\frac{\epsilon_n \beta_{\text{XRP}}}{\gamma}}$$

We need  $\beta_{\text{XRP}}(\beta^*)$  !

ATS invariance of optical functions:  $v_{\text{XRP}} = \sqrt{\frac{\beta_{\text{XRP}}(\beta^*)}{\beta^*}} \cos \mu_{\text{XRP}}(\beta^*)$  : magnification independent of  $\beta^*$

$L_{\text{XRP}} = \sqrt{\beta_{\text{XRP}}(\beta^*) \beta^*} \sin \mu_{\text{XRP}}(\beta^*)$  : eff. length independent of  $\beta^*$

$$\Rightarrow \left\{ \begin{array}{l} \tan \mu_{\text{XRP}}(\beta^*) = \frac{L_{\text{XRP}}}{v_{\text{XRP}}} \frac{1}{\beta^*} \\ \beta_{\text{XRP}}(\beta^*) = \frac{L_{\text{XRP}} v_{\text{XRP}}}{\sin \mu_{\text{XRP}}(\beta^*) \cos \mu_{\text{XRP}}(\beta^*)} \end{array} \right\} \Rightarrow \beta_{\text{XRP}}(\beta^*) = v_{\text{XRP}}^2 \beta^* + \frac{L_{\text{XRP}}^2}{\beta^*}$$

$$\sigma_{\text{XRP}} = \sqrt{\frac{\epsilon_n}{\gamma} \left( v_{\text{XRP}}^2 \beta^* + \frac{L_{\text{XRP}}^2}{\beta^*} \right)}$$

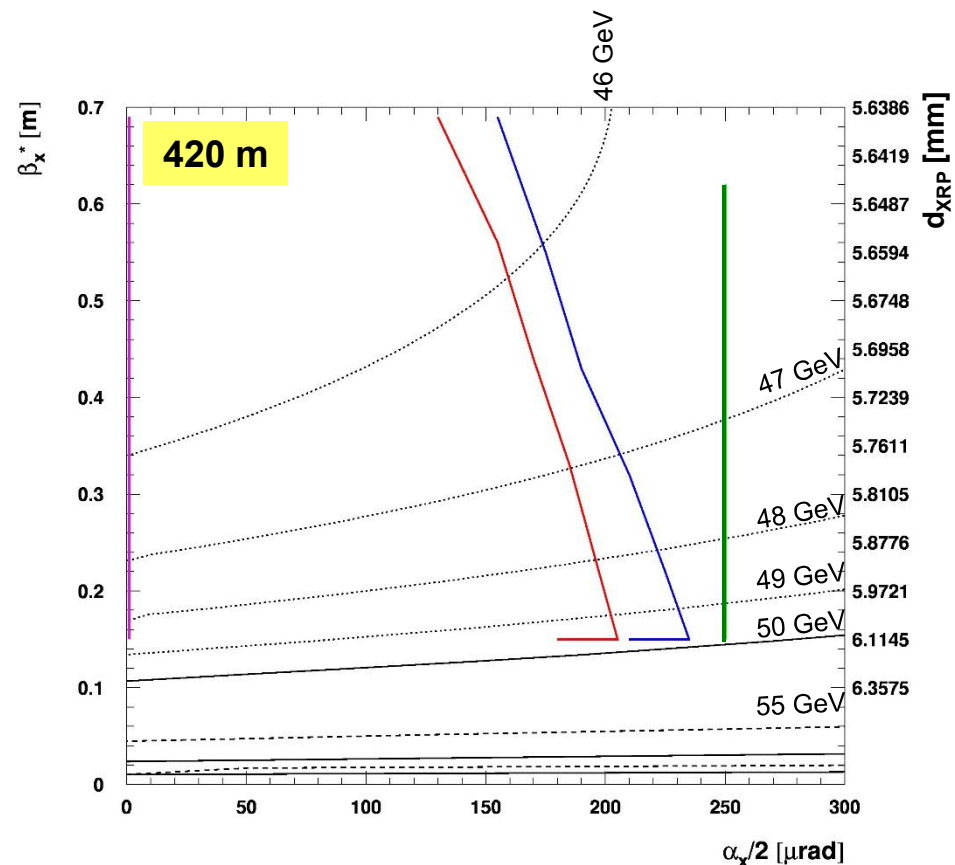
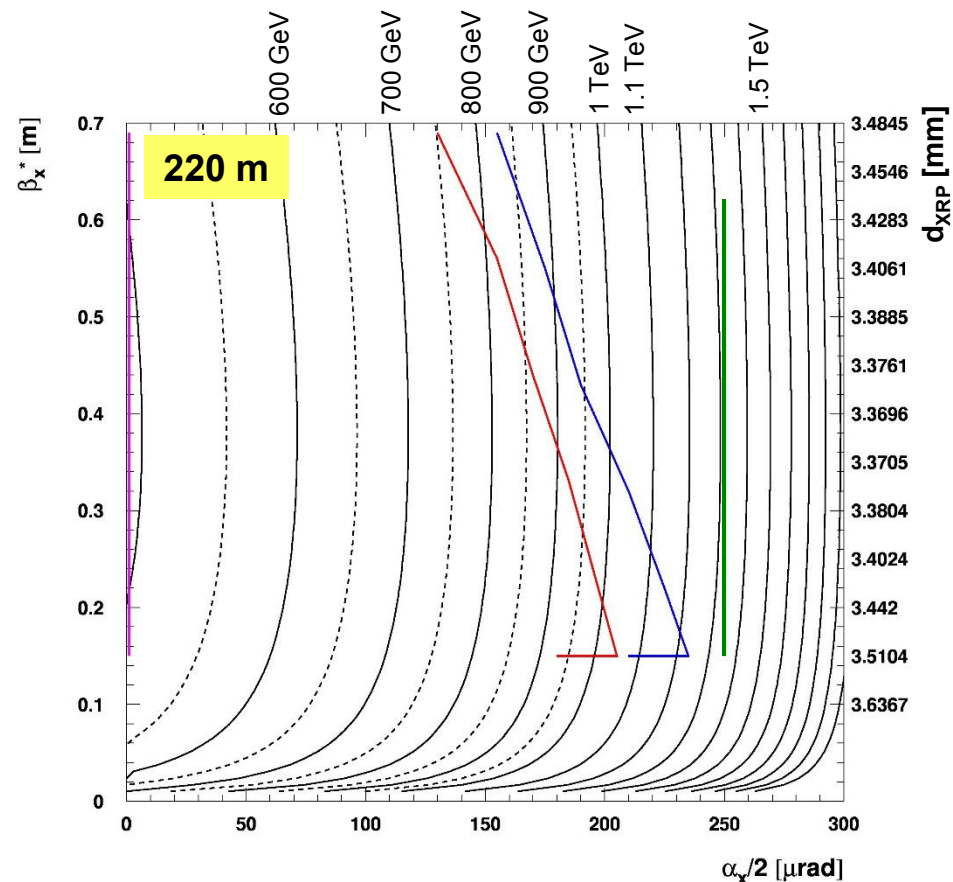
$$d_{\text{XRP}} = \left( n_{\text{TCT}}(\beta_0) \sqrt{\frac{\beta^*}{\beta_0}} + 3 \right) \sqrt{\frac{\epsilon_n}{\gamma} \left( v_{\text{XRP}}^2 \beta^* + \frac{L_{\text{XRP}}^2}{\beta^*} \right)} + 0.3 \text{ mm}$$



# Examples: Minimum Mass @ 220m and 420m

Contour lines for  $M_{\min} = \xi_{\min} \sqrt{s}$

TCT settings:  $d_{\text{TCT}} = \text{const.}$  ( $12.9 \sigma$  @  $\beta^* = 15 \text{ cm}$ )



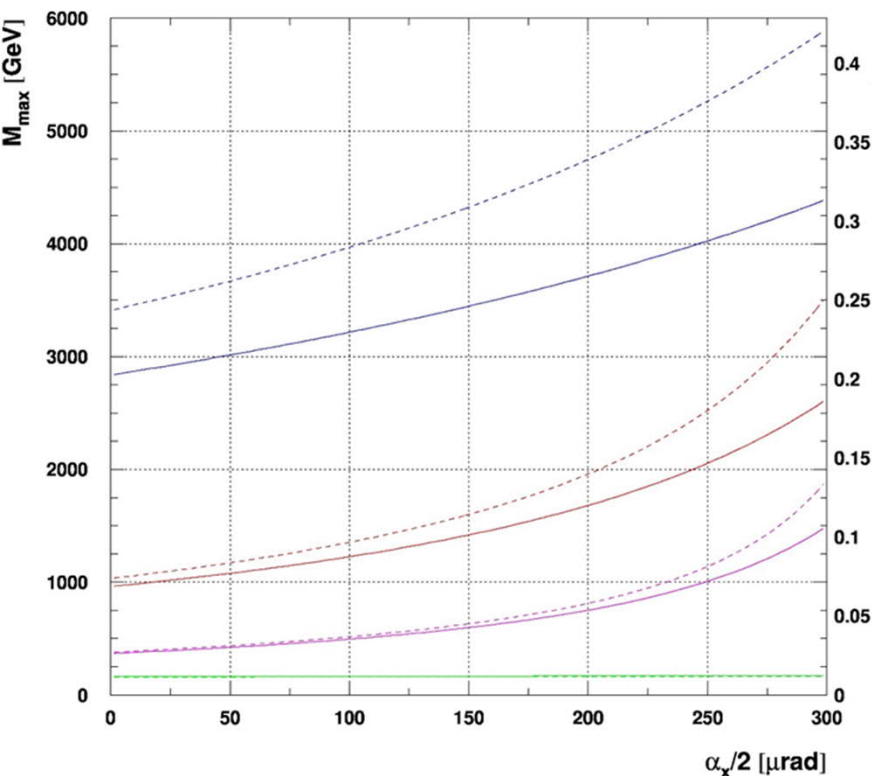
Levelling trajectories:

- Baseline
- Relaxed adaptive
- Aggressive adaptive
- Vertical crossing (any trajectory)

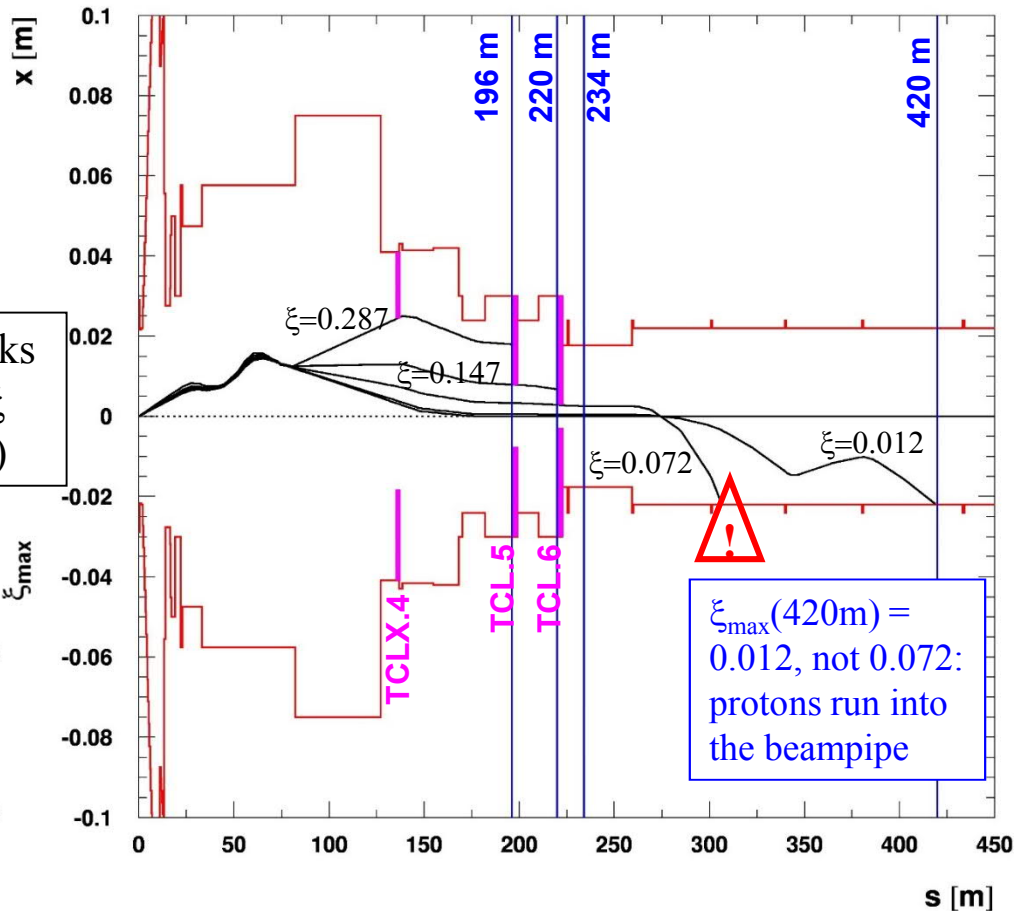


# Aperture Study: Maximum Mass for Horizontal Crossing

## Baseline Levelling Trajectory ( $\alpha/2 = 250 \mu\text{rad}$ )



Horizontal tracks with different  $\xi$  (from MAD-X)



TCLX.4 determines  $M_{\text{max}}$  at 196 m

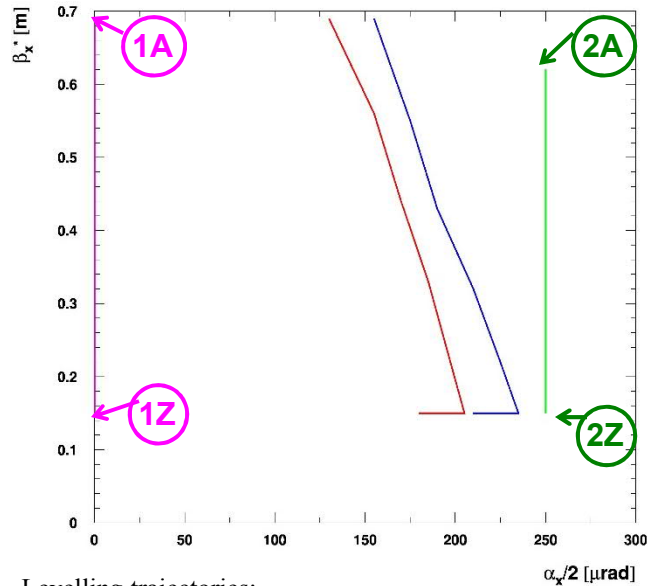
TCL.5 determines  $M_{\text{max}}$  at 220 m

TCL.6 determines  $M_{\text{max}}$  at 234 m

Beam pipe aperture determines  $M_{\text{max}}$  at 420 m

(dashed: naive calculation with  $\xi$ -independent  $D$ )

# Acceptance in the Mass – Rapidity Plane



Levelling trajectories:

- Baseline
- Relaxed adaptive
- Aggressive adaptive
- Vertical crossing (any trajectory)

For each point ( $\alpha/2, \beta_x^*$ ):

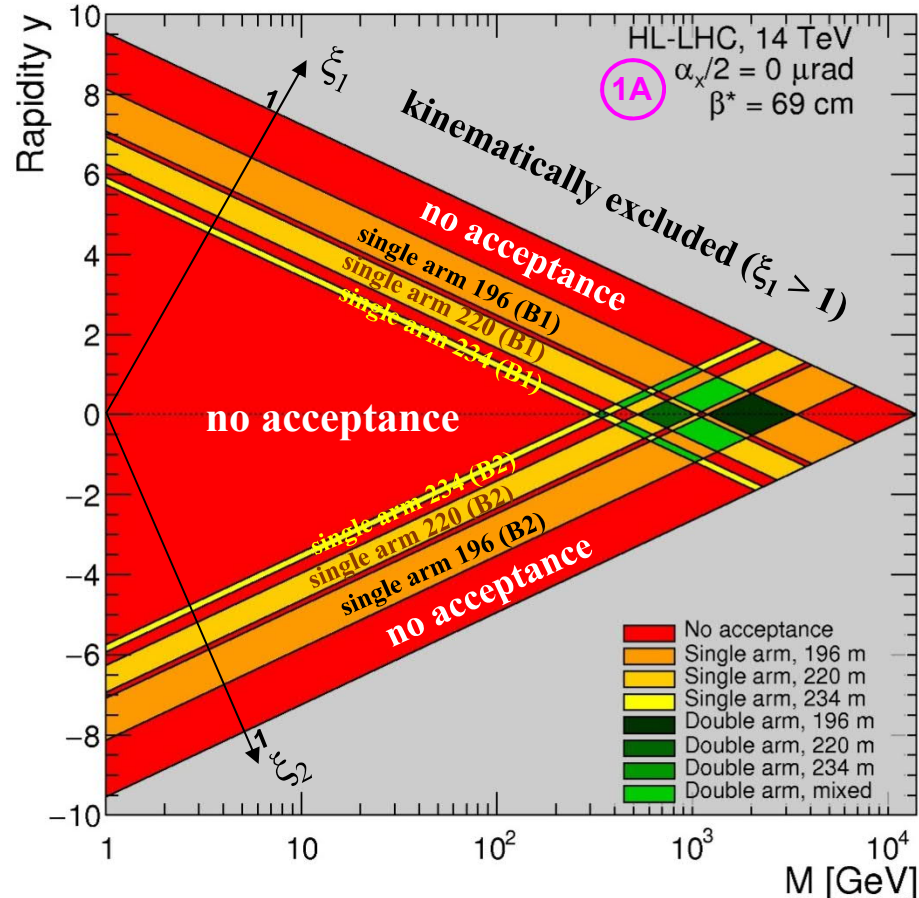
Acceptance for central diffractive events is defined in 2-dim space ( $\xi_1, \xi_2$ ) or equivalently – after basis rotation – in ( $M, y$ ):

$$M^2 = \xi_1 \xi_2 s$$

$$\ln \frac{M}{\sqrt{s}} = \frac{1}{2} (\ln \xi_1 + \ln \xi_2)$$

$$y = \frac{1}{2} \ln \frac{\xi_1}{\xi_2}$$

$$y = \frac{1}{2} (\ln \xi_1 - \ln \xi_2)$$



## Note on $t$ or $p_T$ :

The M-y plot is for  $t_1 = t_2 = 0$

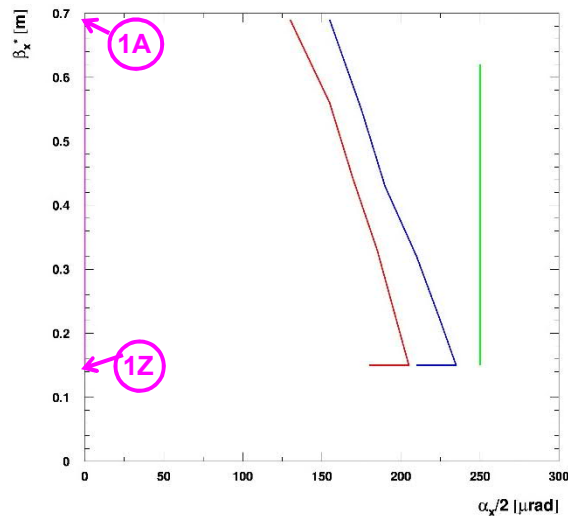
- Fixed non-zero  $t_{1/2}$  would shift the contours:

$$\Delta \xi_{\min} = -\frac{L_x \theta_x^*}{D_x} \quad (\text{dominated by angular vertex spread})$$

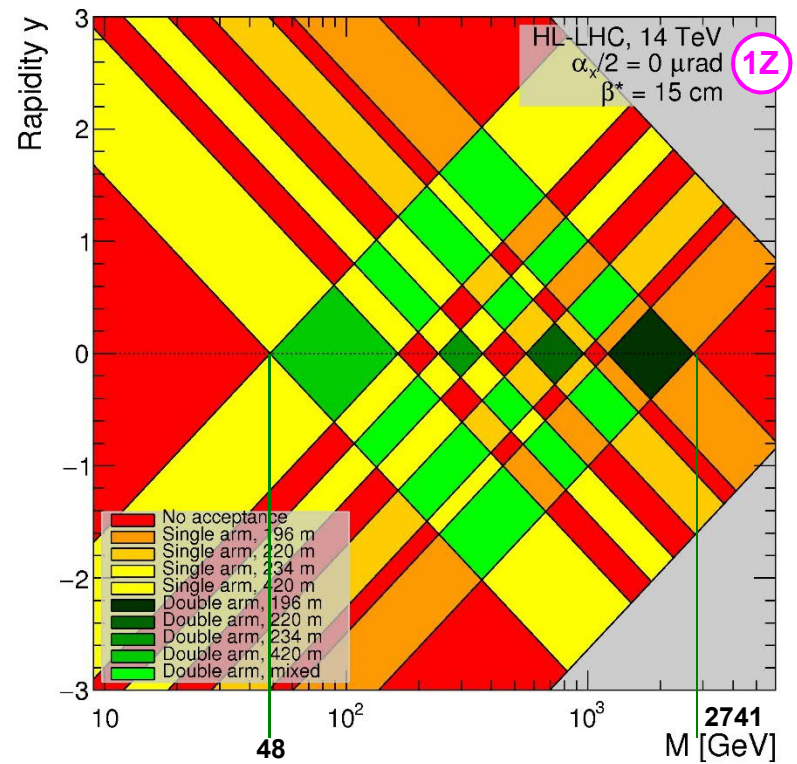
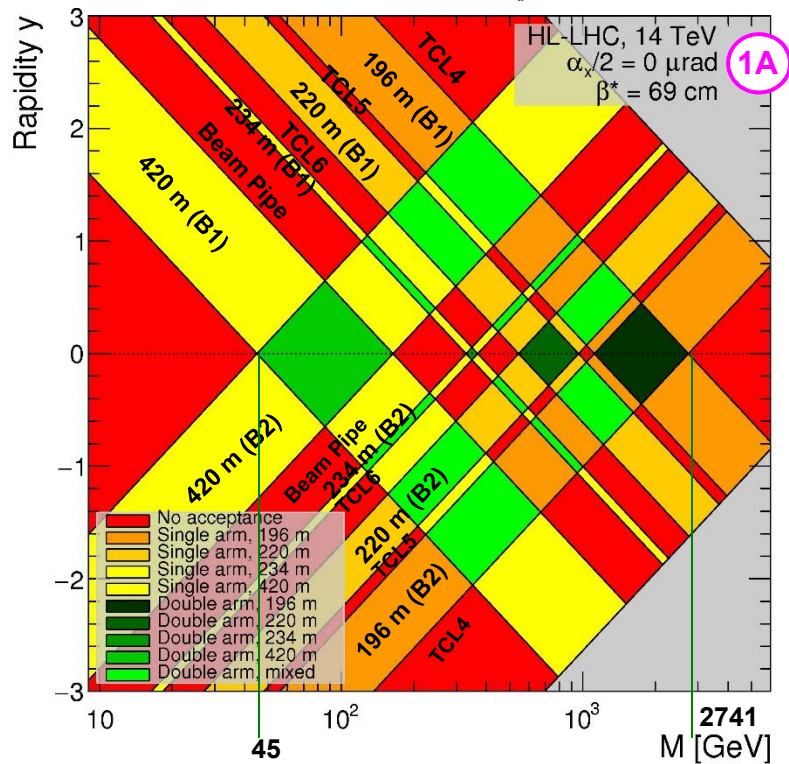
- Integration over process-dependent  $t$ -distribution would smear  $M_{\min}$  by 2 – 3 GeV



# Acceptance in the Mass – Rapidity Plane: Vertical Crossing

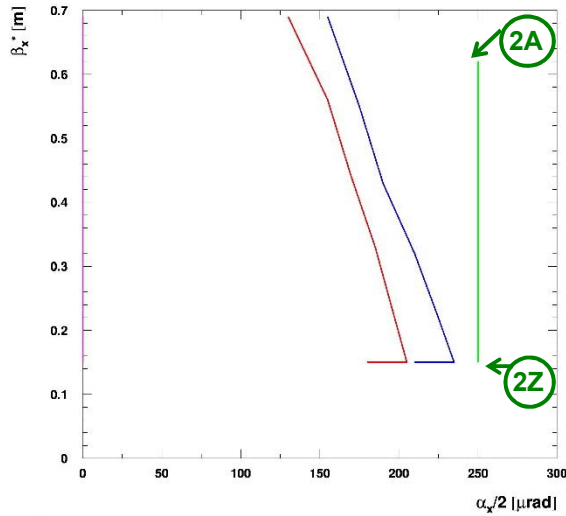


XRPs @ 196 m, 220 m, 234 m, 420 m



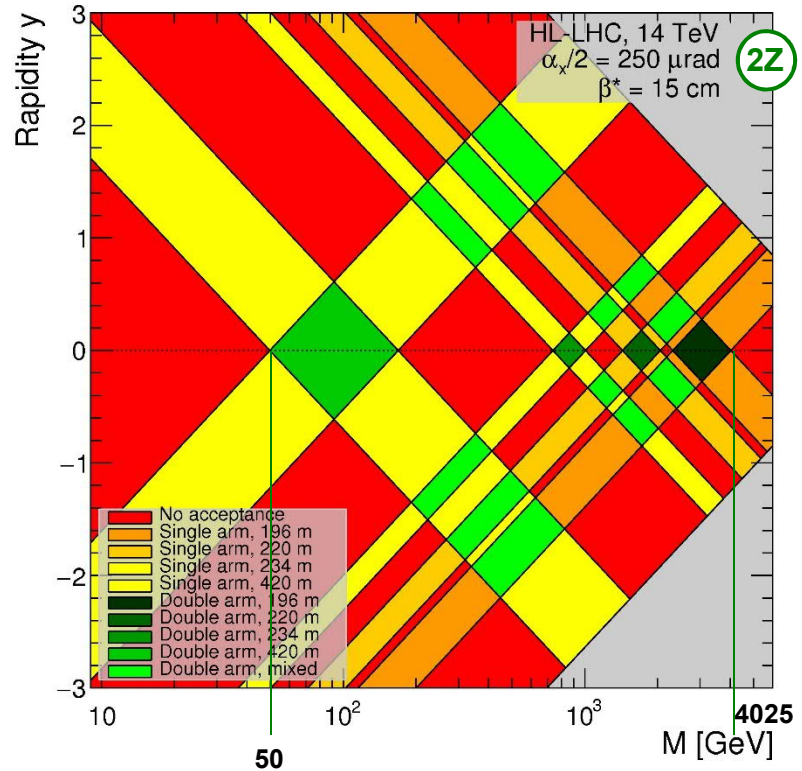
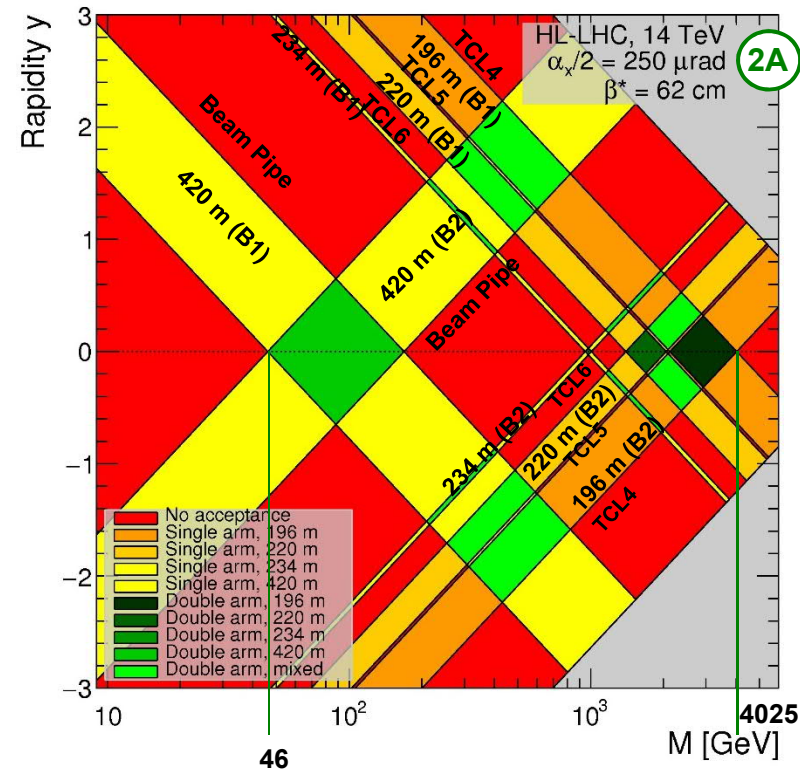


# Acceptance in the Mass – Rapidity Plane: Horizontal Crossing, Baseline Trajectory

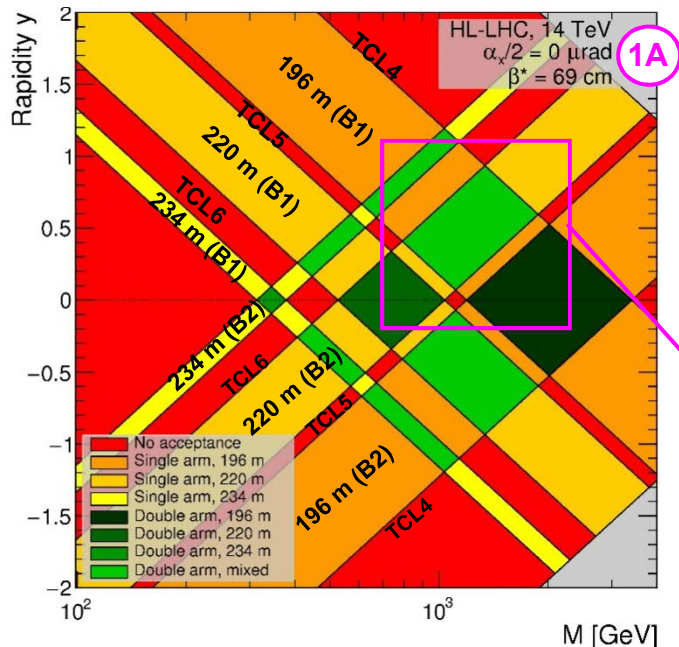


- Levelling trajectories:
- Baseline
  - Relaxed adaptive
  - Aggressive adaptive
  - Vertical crossing (any trajectory)

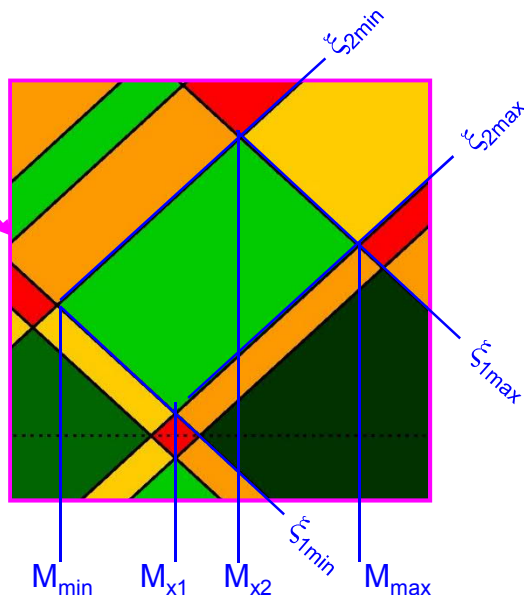
XRPs @ 196 m, 220 m, 234 m, 420 m



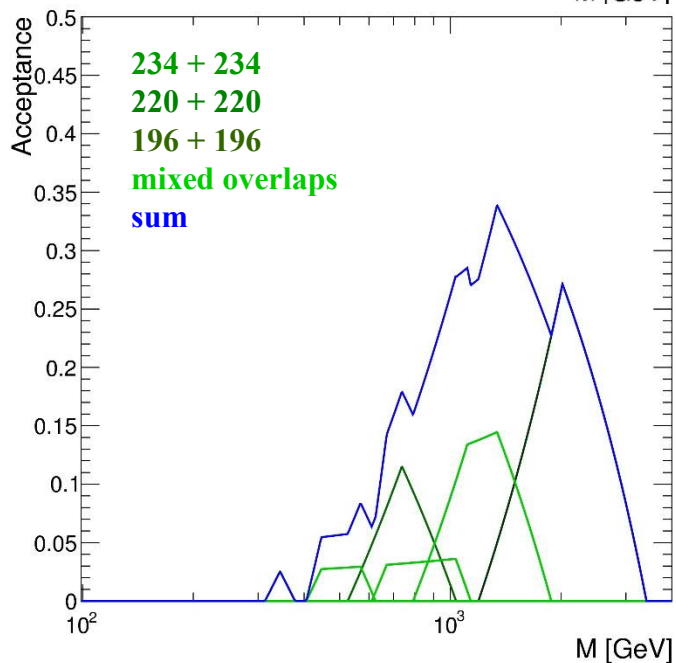
# Mass Acceptance Integrated over $y$ : Principle



M-acceptance of an overlap area relative to the total kinematically allowed  $y$ -interval, assuming a flat rapidity distribution:



$$A(M) = \begin{cases} \frac{\ln \frac{M}{M_{\min}}}{\ln \frac{\sqrt{s}}{M}} & \text{for } M < M_{x1} \\ \frac{\ln \frac{M_{x1}}{M_{\min}}}{\ln \frac{\sqrt{s}}{M}} & \text{for } M_{x1} < M < M_{x2} \\ \frac{\ln \frac{M_{\max}}{M}}{\ln \frac{\sqrt{s}}{M}} & \text{for } M > M_{x2} \end{cases}$$



where

$$M_{\min} = \sqrt{\xi_{1\min} \xi_{2\min} s}$$

$$M_{\max} = \sqrt{\xi_{1\max} \xi_{2\max} s}$$

$$M_{x1} = \min(\sqrt{\xi_{1\min} \xi_{2\max} s}, \sqrt{\xi_{2\min} \xi_{1\max} s})$$

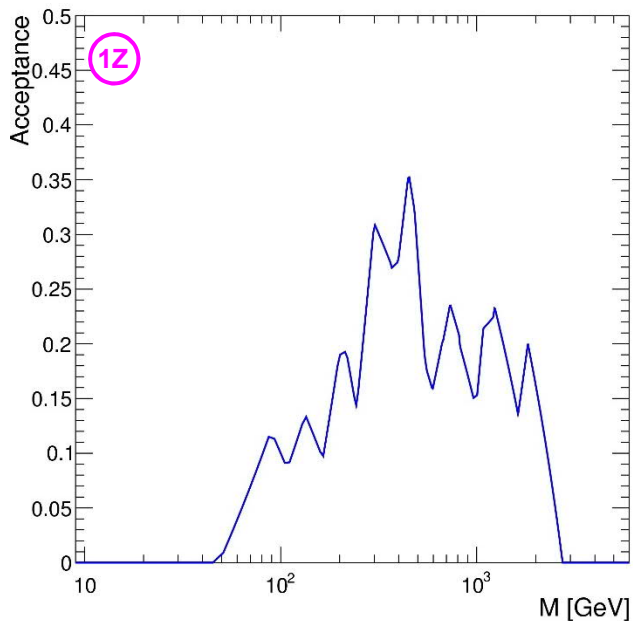
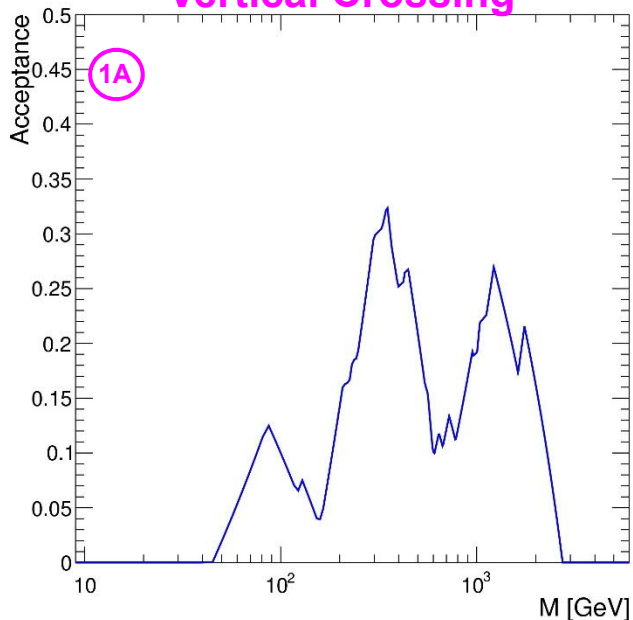
$$M_{x2} = \max(\sqrt{\xi_{1\min} \xi_{2\max} s}, \sqrt{\xi_{2\min} \xi_{1\max} s})$$

Reminder: this is for  $t_1 = t_2 = 0$  !

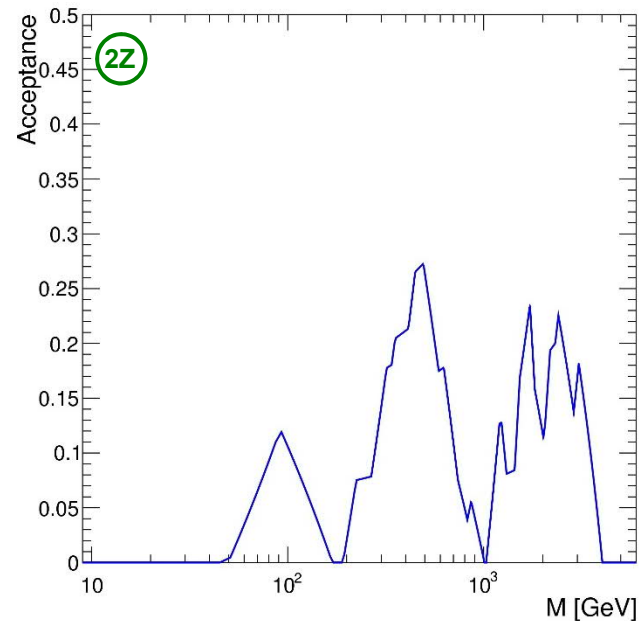
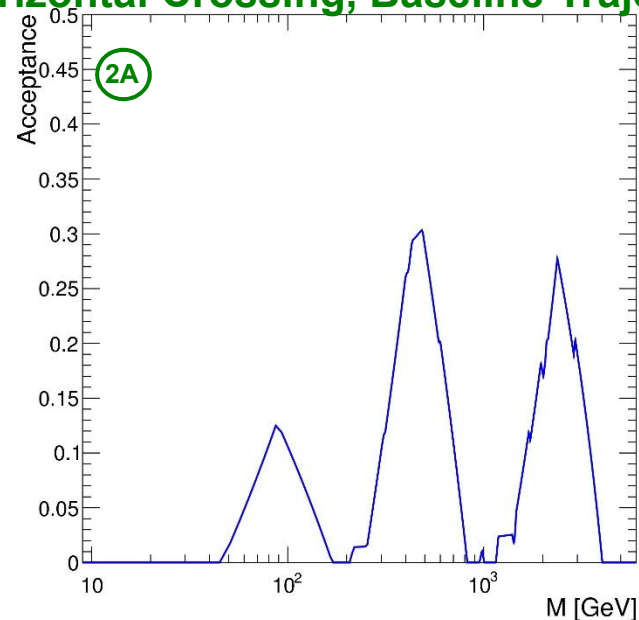
Including  $t$  would introduce process-dependent smearing.

# Mass Acceptance Integrated over $y$

## Vertical Crossing



## Horizontal Crossing, Baseline Trajectory





# Conclusions

- 4 relevant locations:
  - just before TCL5 ( $\sim 196$  m) (high masses)
  - just before TCL6 ( $\sim 220$  m) (intermediate masses)
  - just after Q6 ( $\sim 234$  m) (lower masses)
  - 420 m:  $D_x > 0 \rightarrow$  diffractive p between beam pipes  $\rightarrow$  needs new technology (lowest masses)
- Main driving factor for acceptance: dispersion !
- Advantages of vertical and horizontal crossing:
  - Vertical:
    - if 420 m unit is **not** present: better low-mass limit (210 GeV instead of 660 GeV)
    - if 420 m unit is present: same low-mass limit (50 GeV)
    - smaller acceptance gaps in the 100 – 200 GeV region
  - Horizontal:
    - access to higher masses (4 TeV instead of 2.7 TeV)
- $\rightarrow$  strong preference for vertical crossing
- Mass acceptance gaps in  $\sim 1$  TeV region could be closed if TCLs were slightly more open:
  - $d_{\text{TCL5}} = 18 \sigma_{15\text{cm}}$  instead of  $14.2 \sigma_{15\text{cm}}$
  - $d_{\text{TCL6}} = 20 \sigma_{15\text{cm}}$  instead of  $14.2 \sigma_{15\text{cm}}$
- All technical issues still to be addressed !

The End.

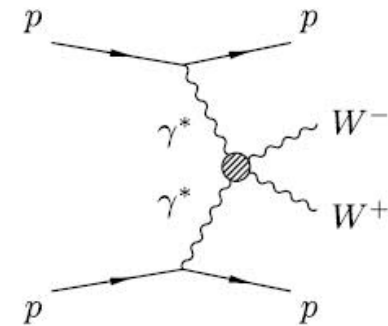
# Appendix

# Physics motivations: central exclusive production

## 1) LHC as tagged photon-photon collider

EWK

- Measure  $\gamma\gamma \rightarrow W^+W^-, e^+e^-, \mu^+\mu^-, \tau^+\tau^-$
- Search for AQGC with high sensitivity
- Search for SM forbidden  $ZZ\gamma\gamma, \gamma\gamma\gamma\gamma$  couplings



## 2) LHC as tagged gluon-gluon collider

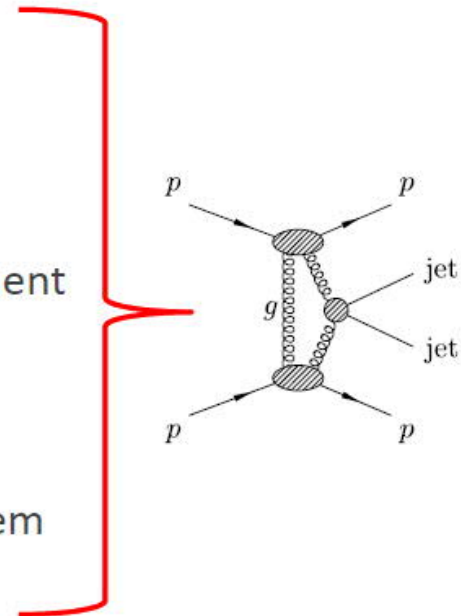
QCD

- Exclusive two and three jet events,  $M$  up to  $\sim 700-800$  GeV.
- Test of pQCD mechanisms of exclusive production.
- Gluon jet samples with small quark jet component
- Proton structure (GPDs)

BSM

### Search for new resonances in CEP

- Clean events (no underlying pp event)
- Independent mass measurement from pp system
- $J^{PC}$  quantum numbers  $0^{++}, 2^{++}$



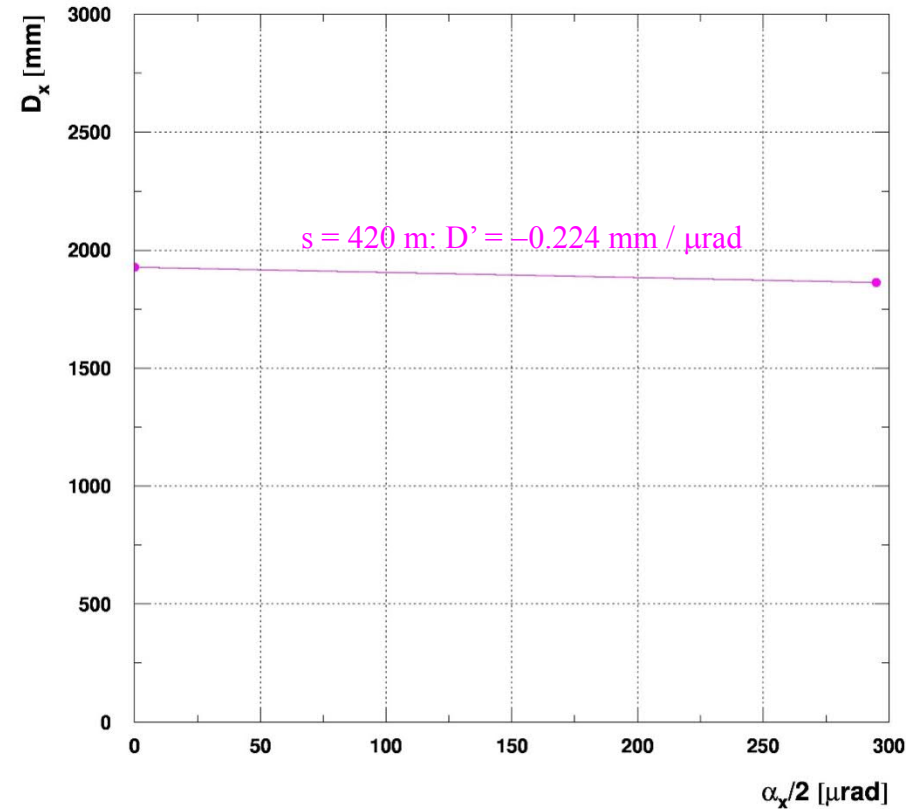
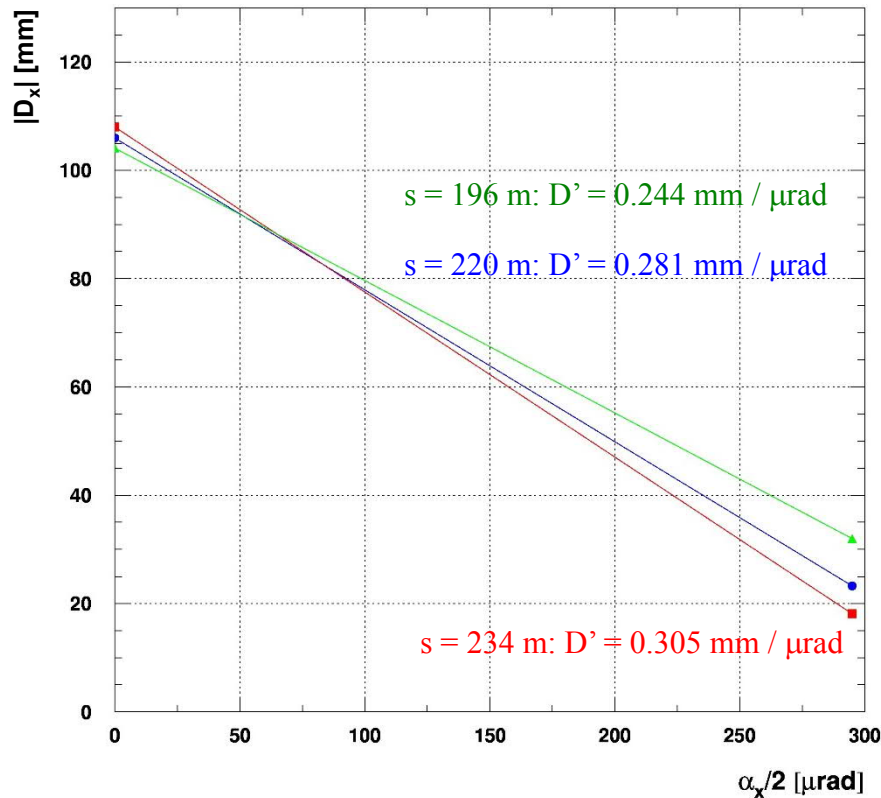
**NB mass of centrally produced system measured from scattered protons momenta**

From CT-PPS TDR: CERN-LHCC-2014-021

# Dispersion vs. Crossing-Angle

## MAD-X simulations:

- $(\alpha_x/2, \alpha_y/2, \beta_x^*, \beta_y^*) = (295 \mu\text{rad}, 0, 15 \text{ cm}, 15 \text{ cm})$ :  
 $D_x(196\text{m}) = -32.0 \text{ mm}$ ,  $D_x(220\text{m}) = -23.3 \text{ mm}$ ,  $D_x(234\text{m}) = -18.1 \text{ mm}$ ,  $D_x(420\text{m}) = +1862 \text{ mm}$
- $(\alpha_x/2, \alpha_y/2, \beta_x^*, \beta_y^*) = (0, 295 \mu\text{rad}, 15 \text{ cm}, 15 \text{ cm})$ :  
 $D_x(196\text{m}) = -104 \text{ mm}$ ,  $D_x(220\text{m}) = -106 \text{ mm}$ ,  $D_x(234\text{m}) = -108 \text{ mm}$ ,  $D_x(420\text{m}) = +1928 \text{ mm}$



Assume linearity:

$$D\left(\frac{\alpha}{2}\right) = D(0) + D' \frac{\alpha}{2}$$

(confirmed by 2017 data).

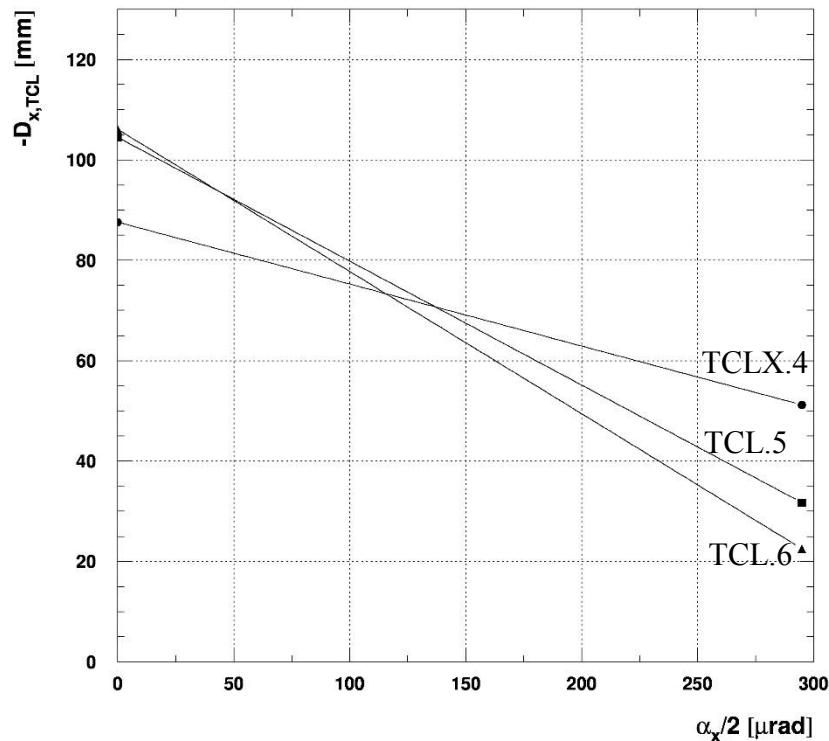
# Maximum Mass: General Principle

$M_{\max}$  is given by the tightest aperture cut of all TCL collimators upstream of the detector.

$$\tilde{M}_{\max} = \frac{d_{\text{TCL}}}{D_{\text{TCL}}(\frac{\alpha_x}{2})} \sqrt{s}$$

Dispersion at TCLX.4, TCL.5, TCL.6 vs. crossing-angle

$M_{\max}$  depends only on  $\alpha/2$ , not on  $\beta^*$  !



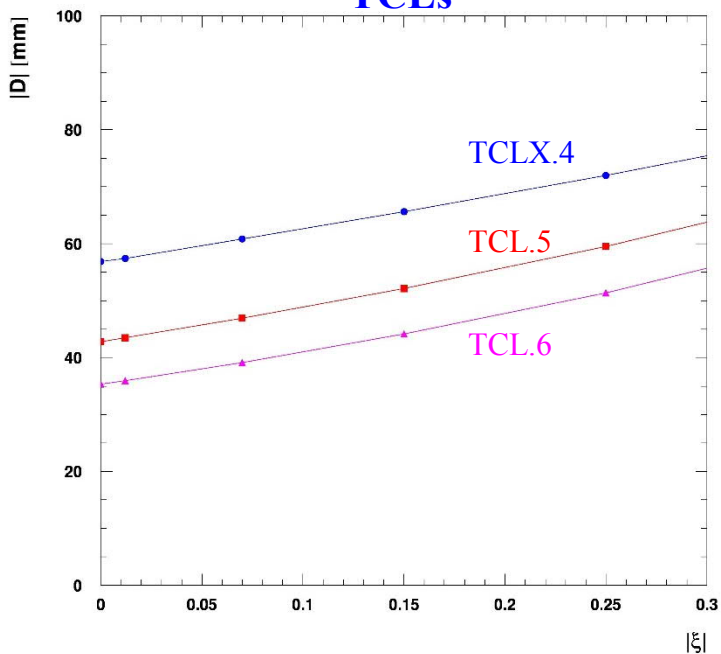
**Collimation strategy for TCLs presently foreseen:**

$d_{\text{TCL}} = 14.2 \sigma(\beta^*=15\text{cm})$  constant in absolute distance

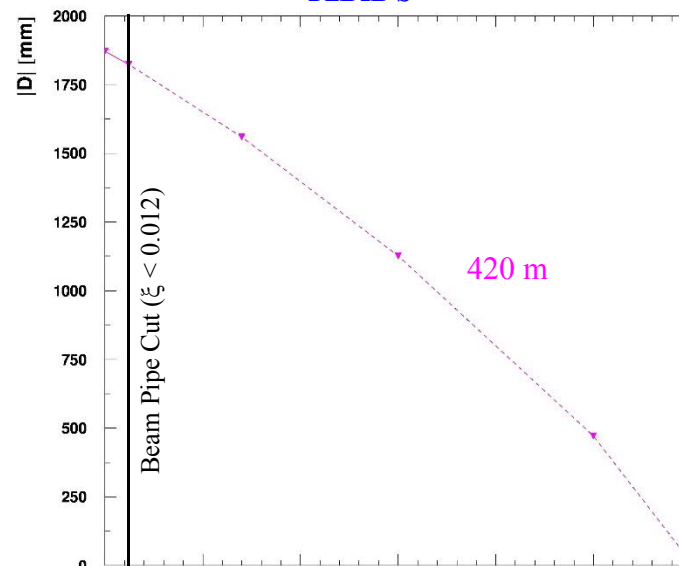
# $\xi$ -Dependence of the Dispersion: Horizontal Crossing

Baseline Trajectory ( $\alpha_x/2 = 250 \mu\text{rad}$ )

TCLs



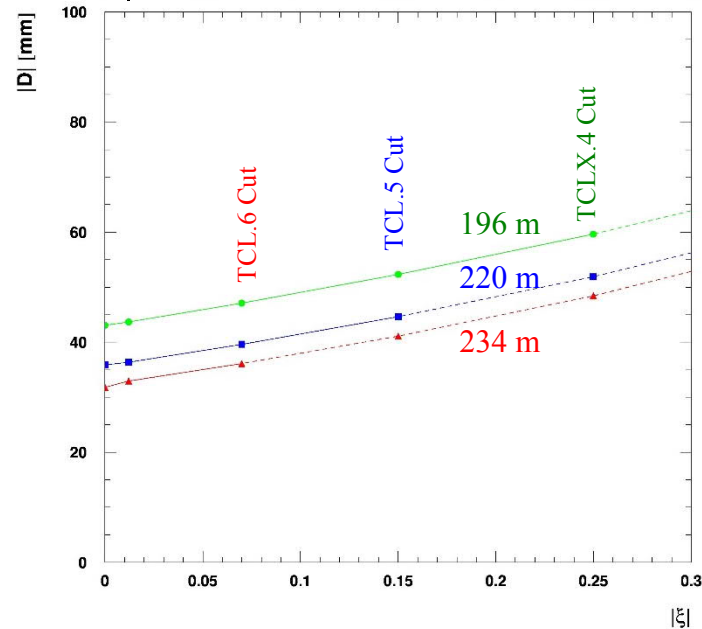
XRPs



$D$  @ TCLs increases with  $\xi$   
 $\rightarrow$  max. mass cut tighter than anticipated  
 using  $D(\xi=0)$

For small  $\xi$  (within acceptance): approximately linear  
 $\rightarrow$  extended dispersion model:

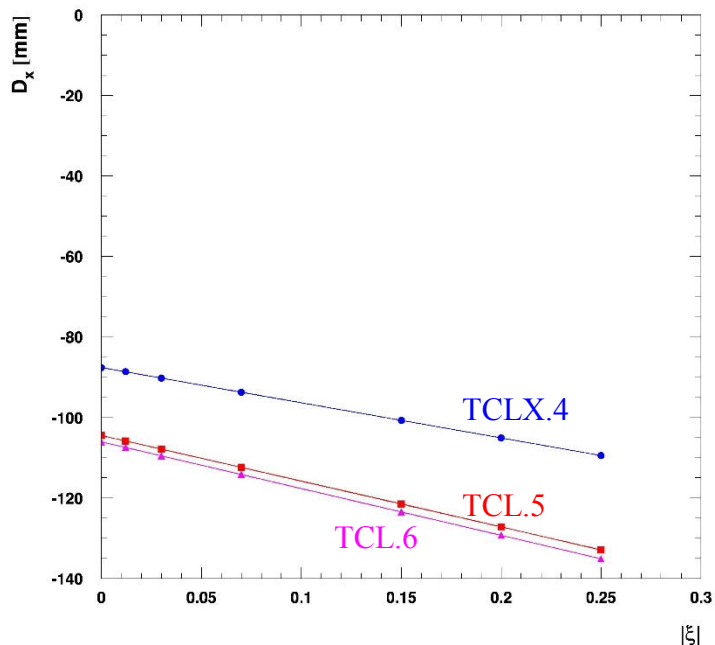
$$D\left(\frac{\alpha}{2}, \xi\right) = D_0 + d_\alpha \frac{\alpha}{2} + d_\xi \xi + d_{\alpha\xi} \frac{\alpha}{2} \xi$$



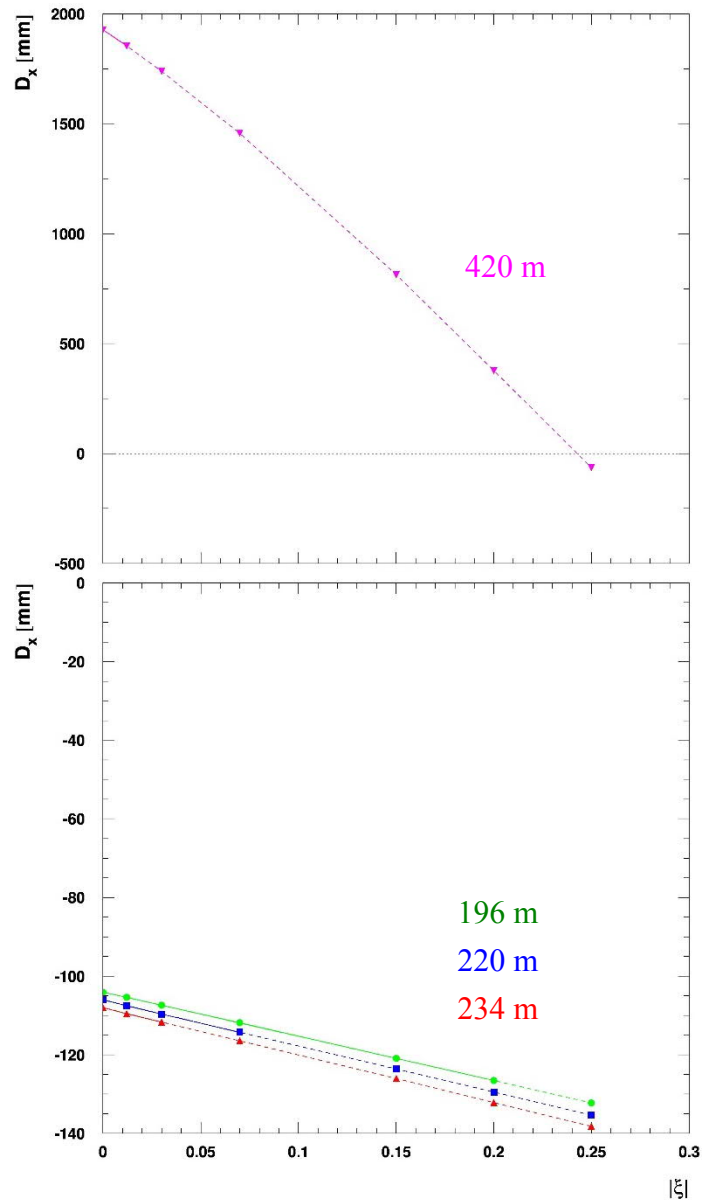
# $\xi$ -Dependence of the Dispersion: Vertical Crossing

Baseline Trajectory ( $\alpha_y/2 = 250 \mu\text{rad}$ ) Horizontal Dispersion

### TCLs

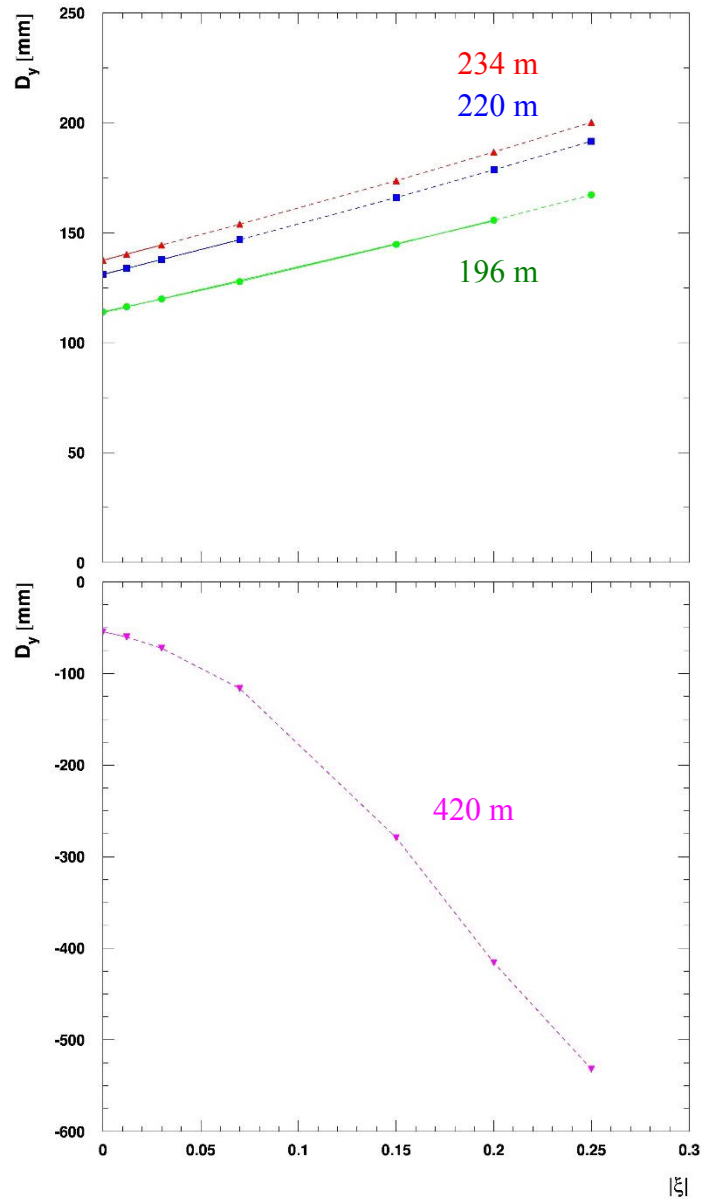


### XRPs



# $\xi$ -Dependence of the Dispersion: Vertical Crossing

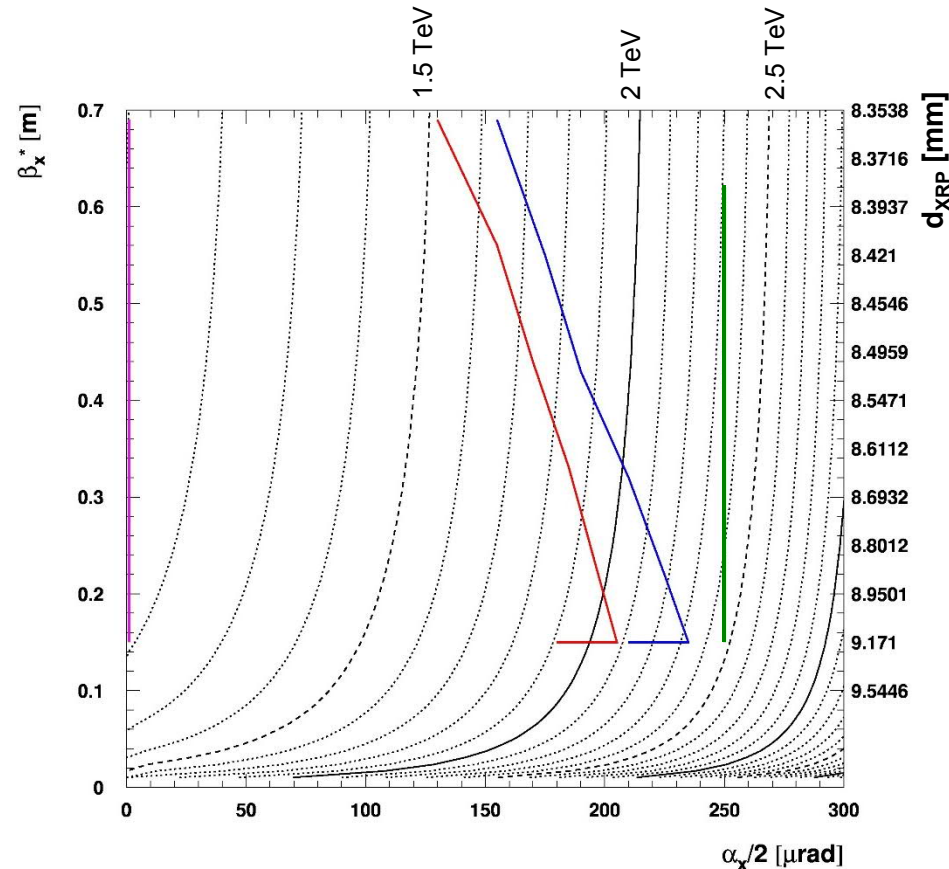
Baseline Trajectory ( $\alpha_y/2 = 250 \mu\text{rad}$ ) Vertical Dispersion  
XRP



# Minimum Mass @ 196 m with $\xi$ -Dependent D

Contour lines for  $M_{\min} = \xi_{\min} \sqrt{s}$  with  $\xi_{\min} = \frac{d_{\text{XRP}}(\beta^*) + \delta}{D_x(\frac{\alpha}{2}, \xi_{\min})}$  resolved for  $\xi_{\min}$

TCT settings:  $d_{\text{TCT}} = \text{const.}$  ( $12.9 \sigma$  @  $\beta^* = 15 \text{ cm}$ )



Insertion distances very moderate !

Levelling trajectories:

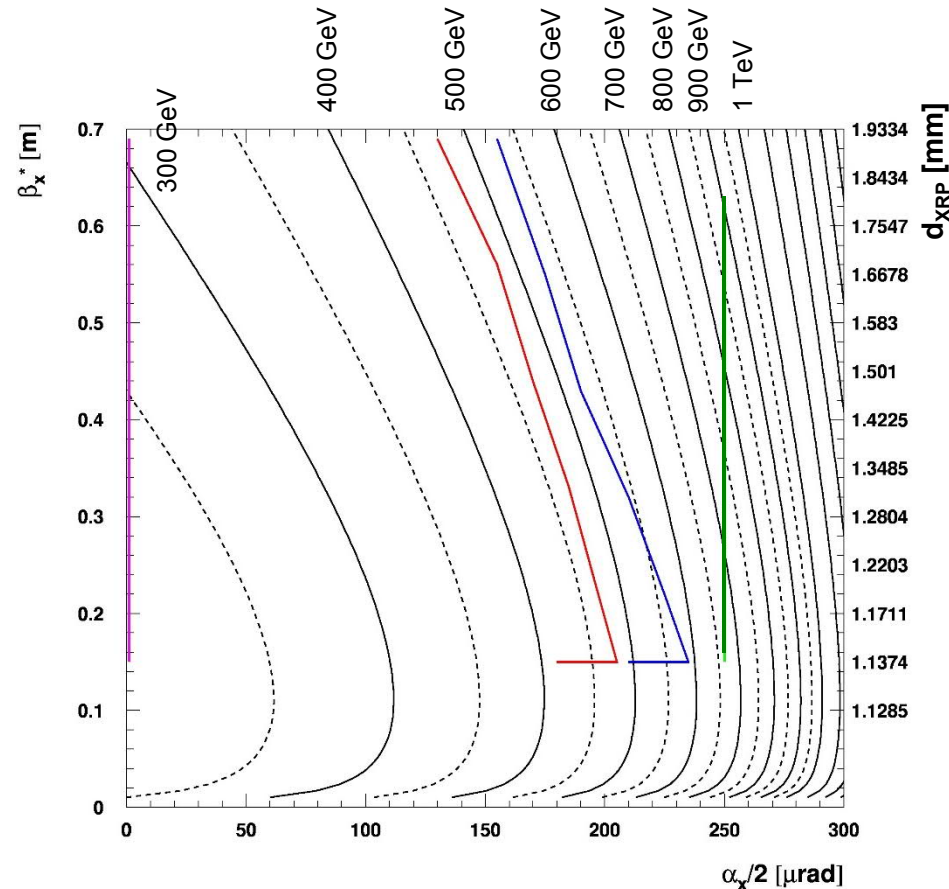
- Baseline
- Relaxed adaptive
- Aggressive adaptive
- Vertical crossing (any trajectory)

Inclusion of  $\xi$ -dependence improved  $M_{\min}$  by:  
 Horiz., baseline:  $\sim 580 \text{ GeV}$  (20%)  
 Vert.:  $\sim 100 \text{ GeV}$  (10%)

# Minimum Mass @ 234 with $\xi$ -Dependent D

Contour lines for  $M_{\min} = \xi_{\min} \sqrt{s}$  with  $\xi_{\min} = \frac{d_{\text{XRP}}(\beta^*) + \delta}{D_x(\frac{\alpha}{2}, \xi_{\min})}$  resolved for  $\xi_{\min}$

TCT settings:  $d_{\text{TCT}} = \text{const.}$  ( $12.9 \sigma$  @  $\beta^* = 15 \text{ cm}$ )



Levelling trajectories:

- Baseline
- Relaxed adaptive
- Aggressive adaptive
- Vertical crossing (any trajectory)

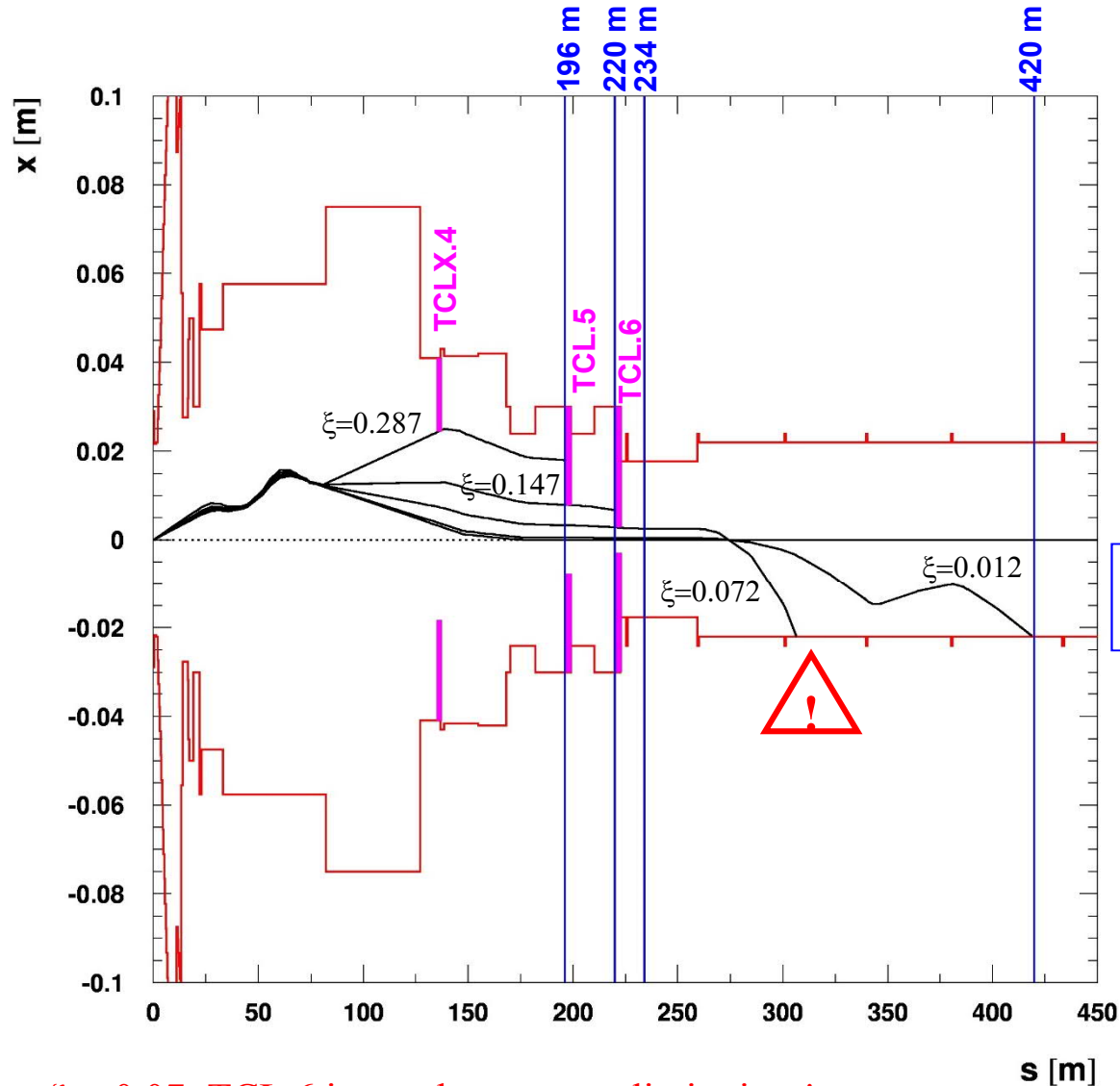
← assuming that the pots move during the fill to adapt to  $\beta^*$  !

Insertion distances more aggressive ( $< 1.5 \text{ mm}$ )

Inclusion of  $\xi$ -dependence improved  $M_{\min}$  by:  
 Horiz., baseline:  $\sim 100 \text{ GeV}$  (10%)  
 Vert.:  $\sim 5 \text{ GeV}$  (2%)

# Aperture Study: Horizontal Crossing

## Baseline Levelling Trajectory ( $\alpha/2 = 250 \mu\text{rad}$ )

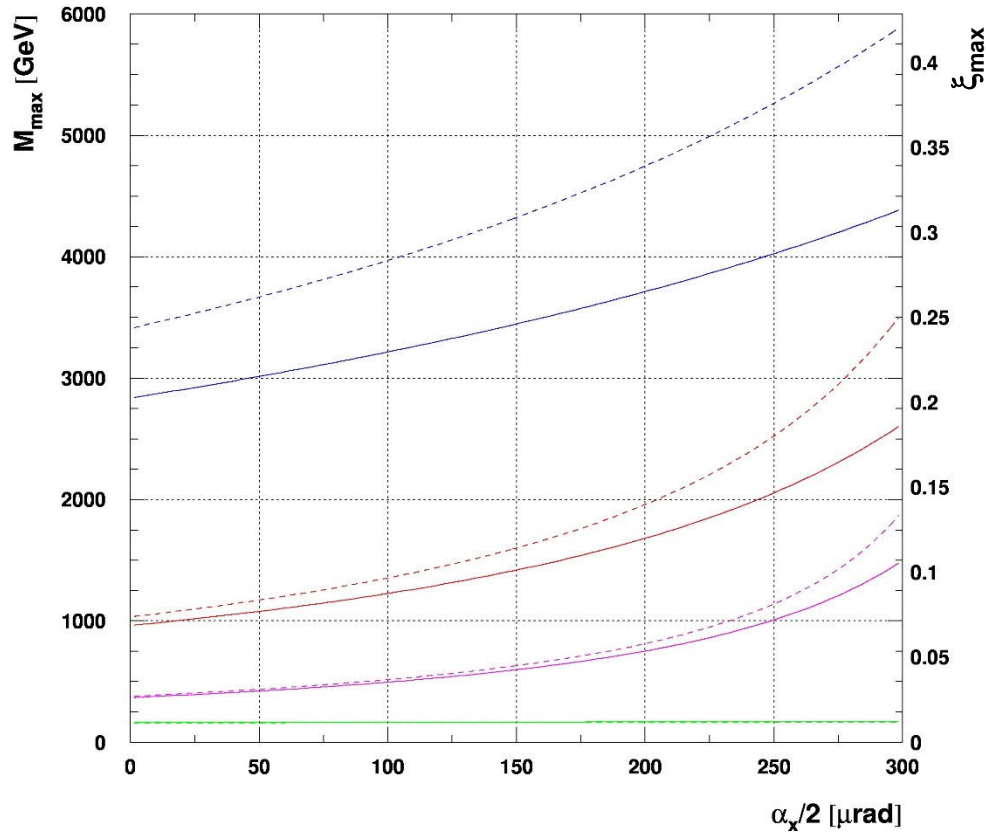


Horizontal tracks  
with different  $\xi$   
(from MAD-X)

For  $s > 306$  m or  $\xi < 0.07$ : TCL.6 is not the aperture limitation !  
Protons run into the beampipe.

# Maximum Mass: Horizontal Crossing

$$\tilde{M}_{\max} = \xi_{\max} \sqrt{S} = \frac{d_{\text{TCL}}}{D_{\text{TCL}}(\frac{\alpha_x}{2}, \xi_{\max})} \sqrt{S} \quad \rightarrow \text{quadratic equation for } \xi_{\max}$$



dashed: naive calculation with  $\xi$ -independent D

TCLX.4 determines  $M_{\max}$  at 196 m

TCL.5 determines  $M_{\max}$  at 220 m

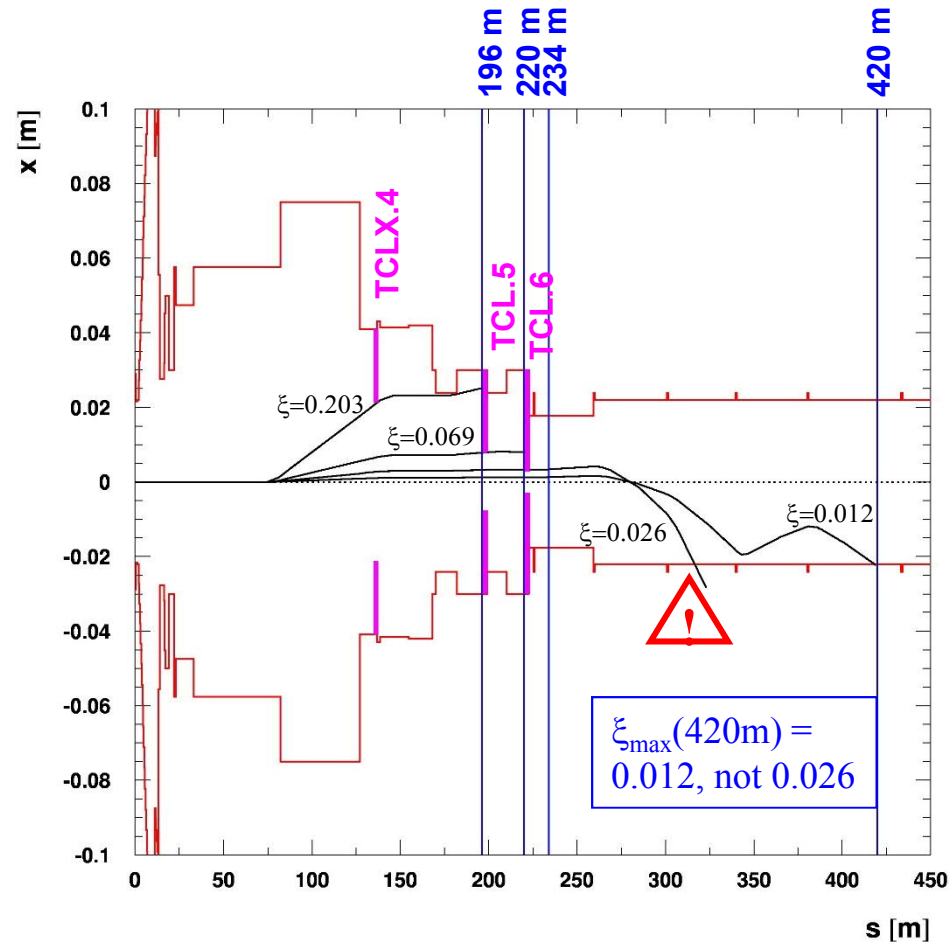
TCL.6 determines  $M_{\max}$  at 234 m

Beam pipe aperture determines  $M_{\max}$  at 420 m

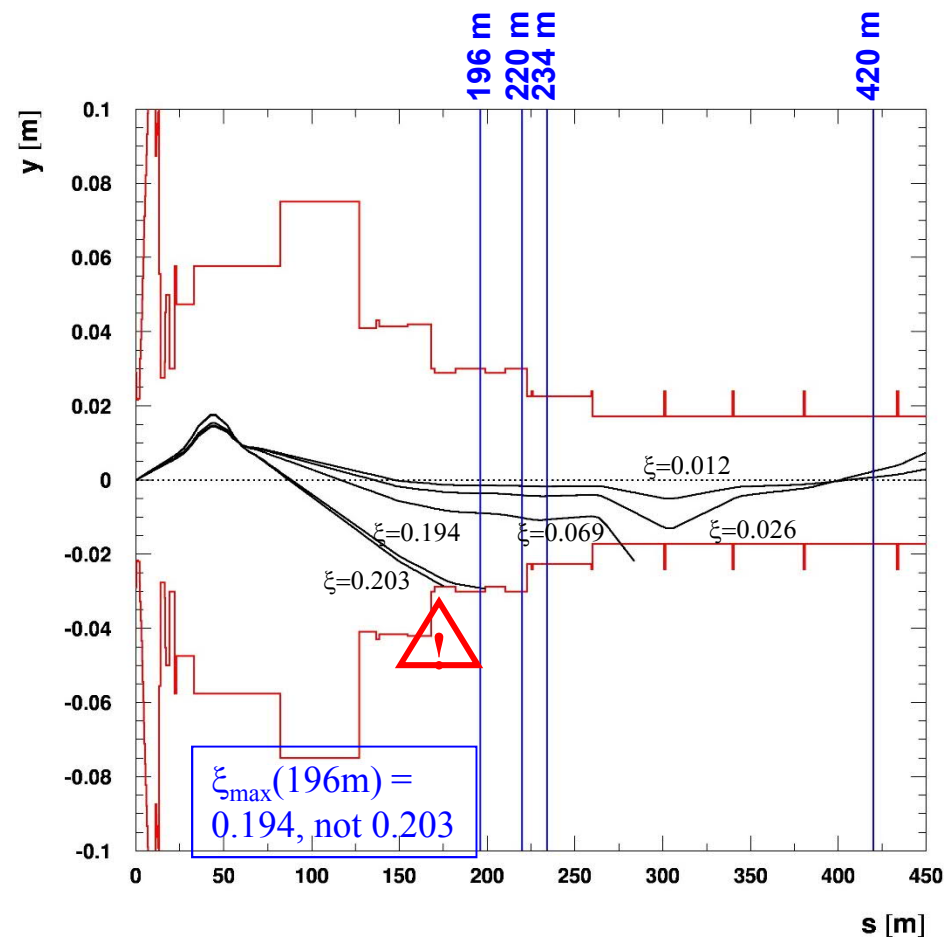
# Aperture Study: Vertical Crossing

Baseline Levelling Trajectory ( $\alpha_y/2 = 250 \mu\text{rad}$ )

## Horizontal Aperture



## Vertical Aperture



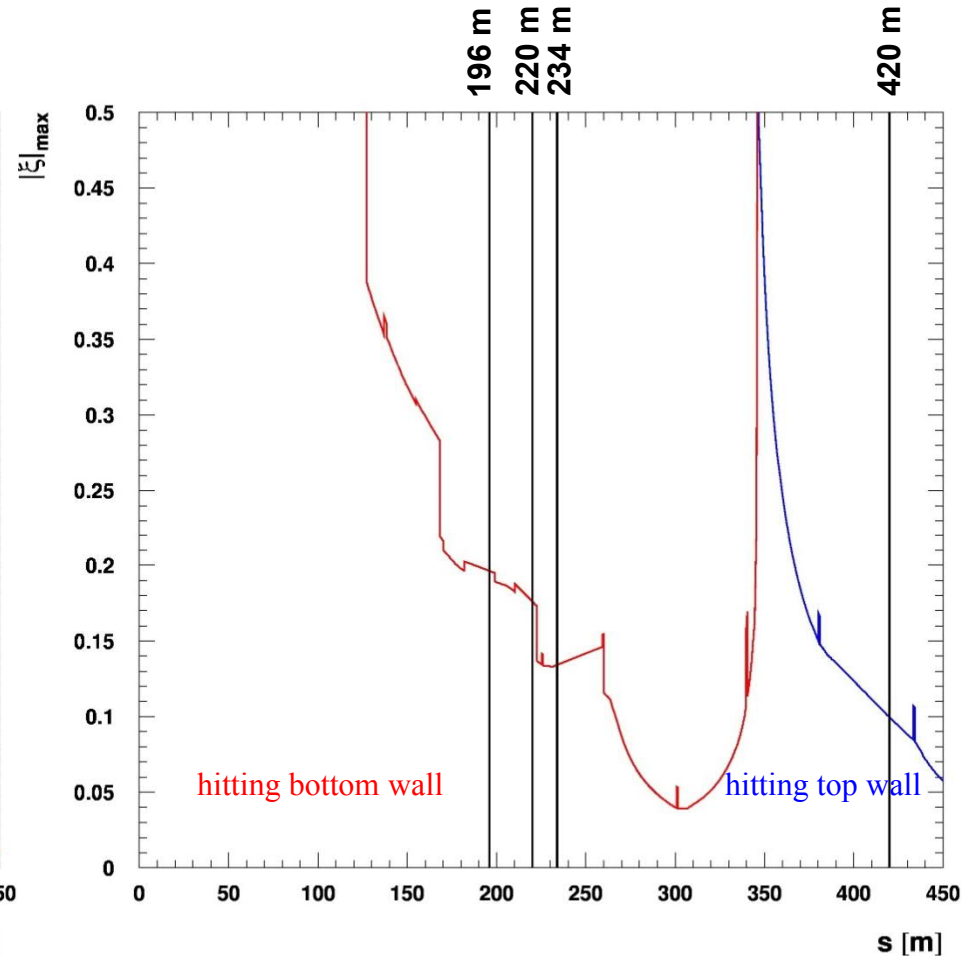
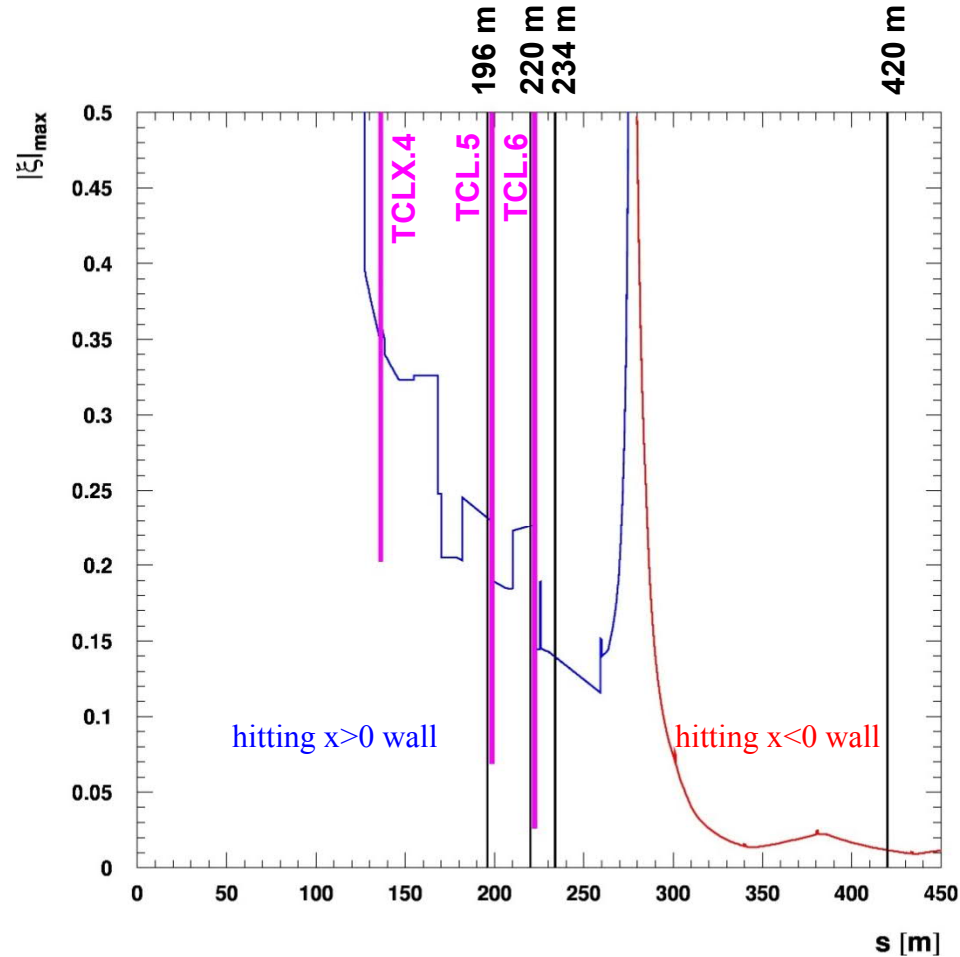
For  $s > 315$  m or  $\xi < 0.026$ : TCL.6 is not the aperture limitation !  
Protons run into the beampipe.

# Maximum $\xi$ from Aperture: Vertical Crossing

Baseline Levelling Trajectory ( $\alpha_y/2 = 250 \mu\text{rad}$ )

Horizontal Aperture

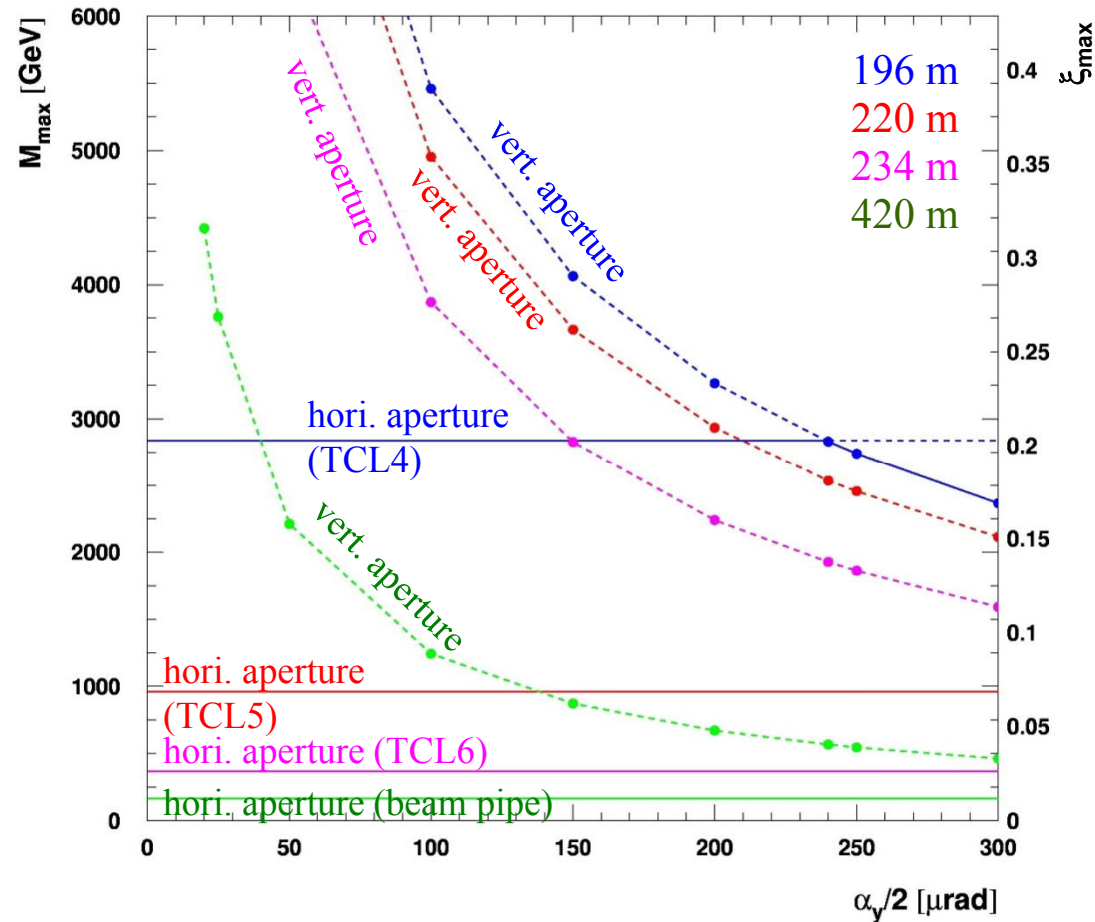
Vertical Aperture



Repeat this as a function of  $\alpha_y/2$ ,  
at each  $\alpha_y/2$  look for the horizontal and vertical bottleneck upstream of each detector location.  
→  $|\xi|_{\max}(\alpha_y/2)$  for each detector location

# Maximum Mass from Aperture: Vertical Crossing

Take minimum of horizontal and vertical aperture limitations.



horizontal and vertical aperture determine  $M_{\max}$  at 196 m

horizontal aperture determines  $M_{\max}$  at 220 m

horizontal aperture determines  $M_{\max}$  at 234 m

horizontal aperture determines  $M_{\max}$  at 420 m

For vertical crossing the maximum mass is independent of the crossing-angle (except at 196 m location for  $\alpha/2 > 240 \mu\text{rad}$ ).

# Outlook: Other Issues to be Studied

- Debris showers → BLM rates:

max. lumi 2018:  $2 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$

max. lumi HL-LHC:  $20 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$

→ factor 10

At  $2 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ : BLM of cylindrical pot is below threshold by factor 15 → should be ok

But all designs will change → to be watched

- Impedance:

- max protons / beam 2018:  $3.2 \times 10^{14}$

HL-LHC:  $6 \times 10^{14}$

→ factor 2 in current → factor 4 in heating

- bunch length ? → impact on power spectrum

- RP distance: already studied down to 1 mm

- impedance budget of the machine might become tighter

- Influence from crab cavities on scattered p trajectories should be negligible (H. Burkhardt)

- For detector instrumentation: the pileup:

$\mu \leq 200$  (w/o levelling, w/o crab cav.)

$\mu \leq 140$  (w/ levelling, w/ crab cav.)

- Radiation issues