



**ALICE**

# Study of open heavy-flavour hadron production in pp and p-Pb collisions with ALICE

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on behalf of ALICE collaboration**

***18th International conference on  
Strangeness in Quark Matter***

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# Open heavy flavour in pp and p-Pb collisions



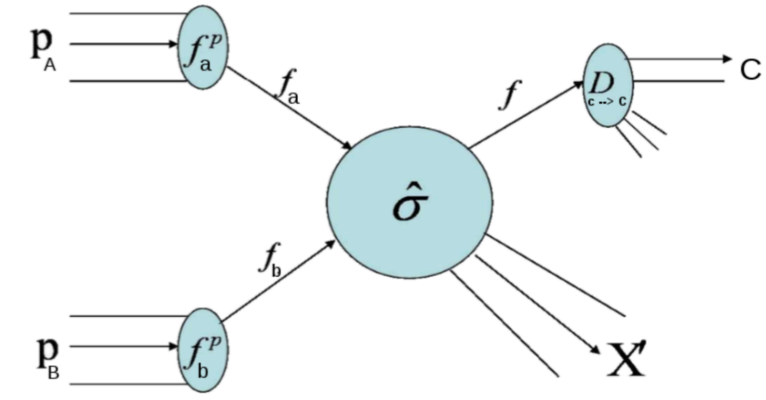
Heavy quarks (**charm and beauty**), have a large mass:  $m_c \sim 1.3 \text{ GeV}/c^2$ ,  $m_b \sim 4.2 \text{ GeV}/c^2$ .

→ Produced via initial hard scatterings at the early stages of the collision.

→ Production cross-section calculable perturbatively down to low  $p_T$ .

$$d\sigma_{AB \rightarrow C}^{\text{hard}} = \sum_{a,b} f_{a/A}(x_a, Q^2) \otimes f_{b/B}(x_b, Q^2) \otimes d\sigma_{ab \rightarrow c}^{\text{hard}}(x_a, x_b, q^2) \otimes D_{c \rightarrow C}(z, Q^2)$$

Parton Distribution Function (PDF)
Partonic hard scattering cross-section
Fragmentation function

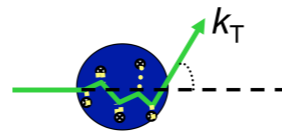


## In pp collisions:

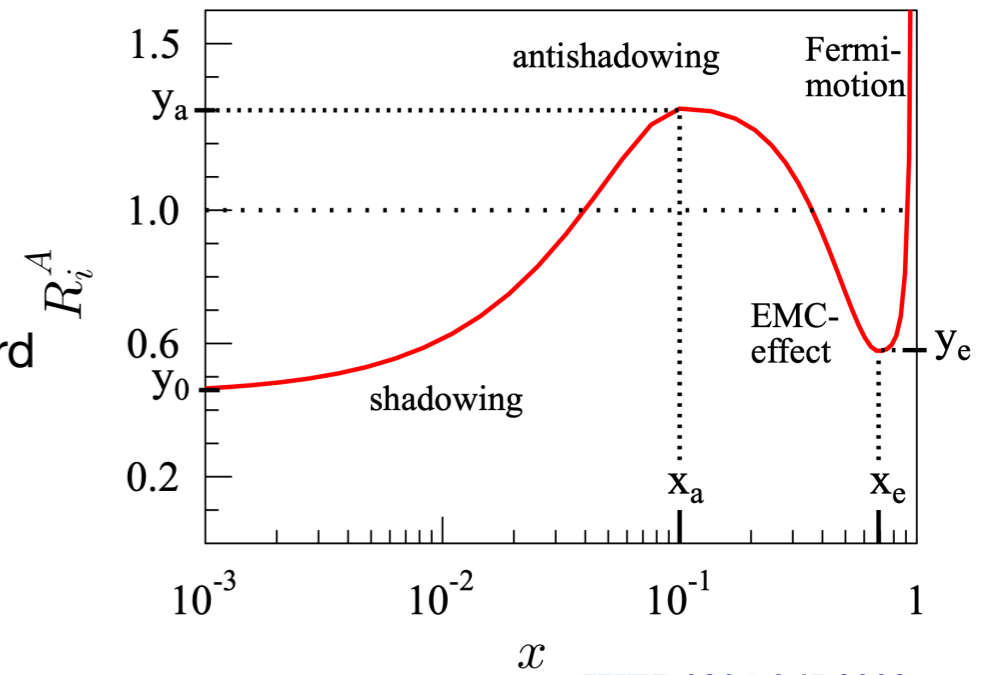
- Important test of perturbative QCD calculations
- Reference for nuclear effects in pA, AA collisions.

## In p-Pb collisions:

- Studies provide access to **cold nuclear matter (CNM)** effects. HF yield can be modified by



- **Nuclear modification of the PDFs**
- **$k_T$  broadening**: Multiple elastic scattering of the parton before the hard scattering. Modifies the  $p_T$  distribution.
- **Energy loss in cold nuclear matter** (in the initial or final state)
- Address possible collective effects and effects related to the (possible) formation of a QGP in p-Pb collisions



JHEP 0904:065,2009

# Open heavy flavour in pp and p-Pb collisions



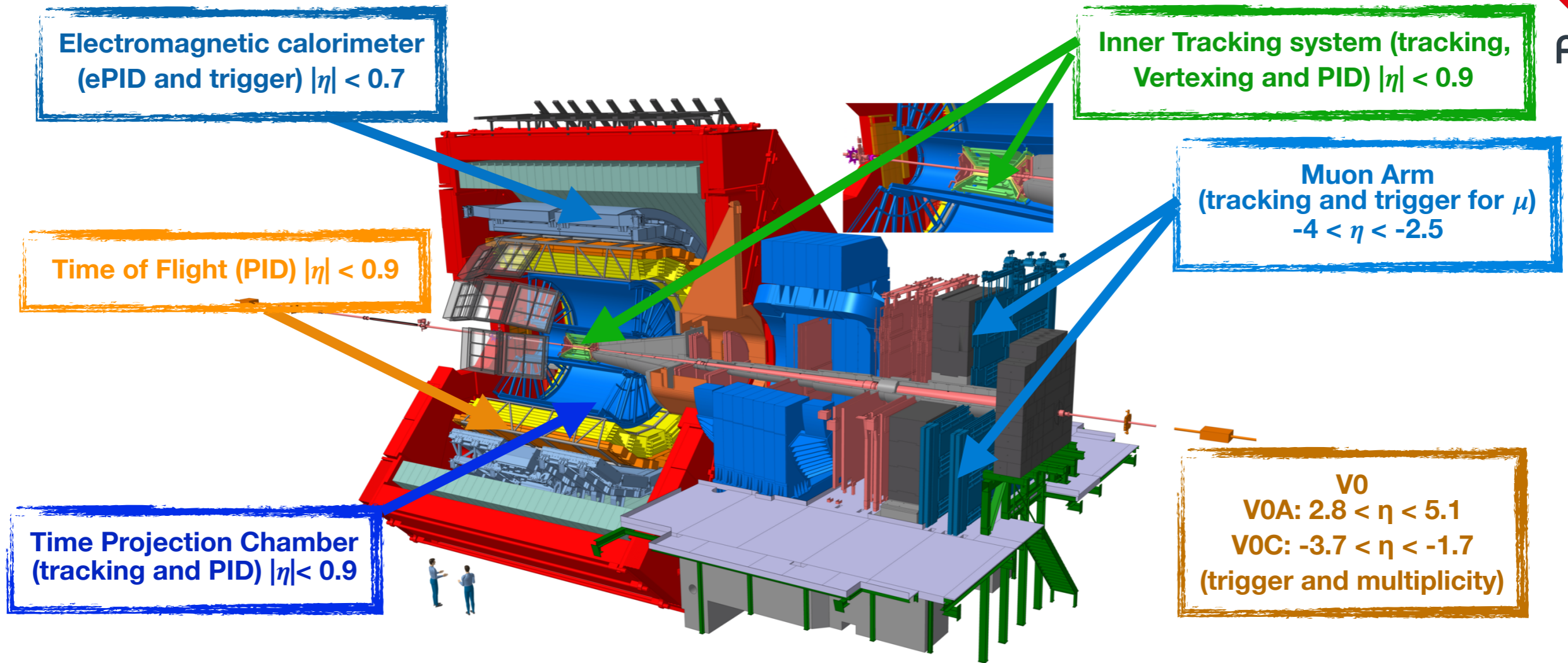
Particle production as a function of multiplicity → More differential measurement  
Multiplicity is defined as the number of charged particles per event.

High multiplicity pp and p-Pb collisions have shown similar features reminiscent of those observed in Pb-Pb  
Azimuthal correlations (ridge formation) and mass dependent hardening of  $p_T$  distributions.

High multiplicity pp collisions reach similar number of charged particles as in peripheral Pb-Pb collisions

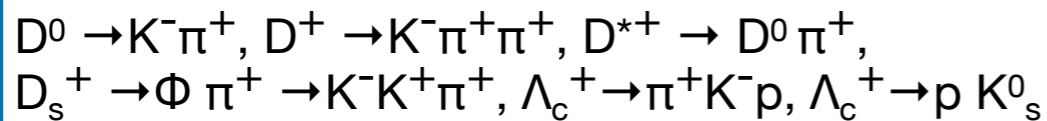
- Investigate the possible influence of **multiple partonic interactions (MPI)** to the particle production.
- Study the interplay between soft and hard process.
- Understand the collective behaviour in small system.

# Open heavy-flavour reconstruction in ALICE



Fully reconstructed **D meson** and  $\Lambda_c$  hadronic decays:

**ITS, TPC, TOF**

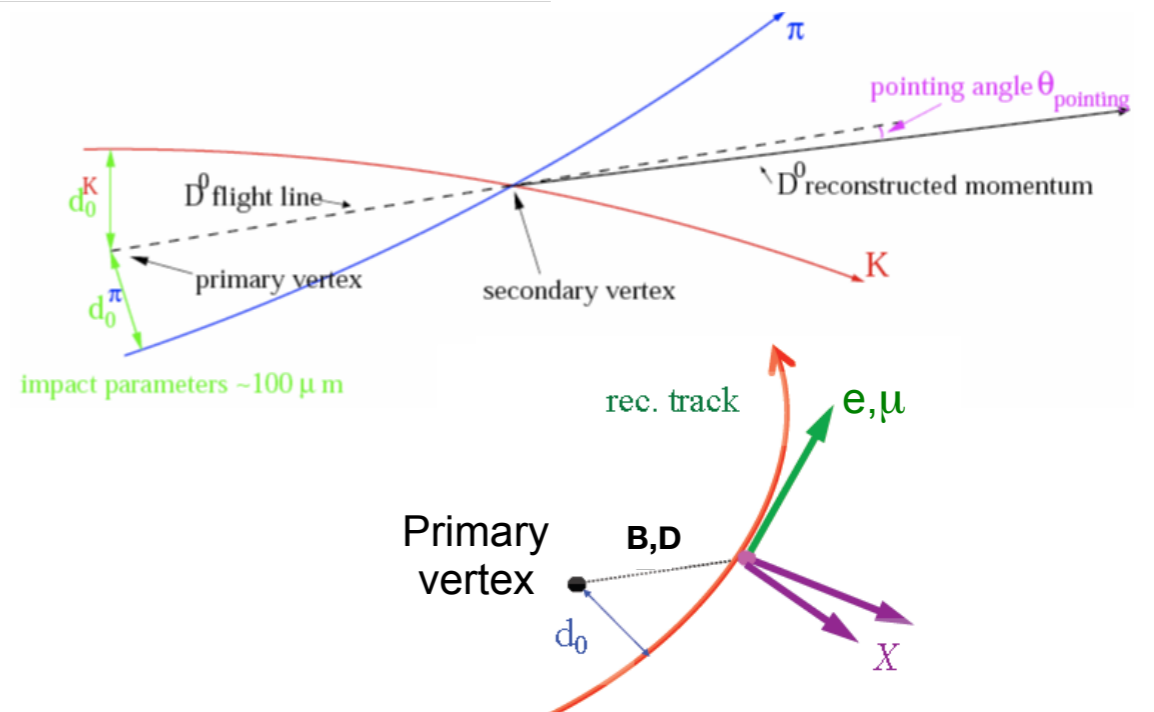


Partially reconstructed **semi-leptonic** decays:

Electrons: **ITS, TPC, TOF, EMCal**.  $D, B \rightarrow e^\pm + X$

Muons: **Forward Muon Spectrometer**.  $D, B \rightarrow \mu^\pm + X$

$\Lambda_c$  and  $\Xi_c$ : **ITS, TPC, TOF**.  $\Lambda_c^+ \rightarrow e^+ \Lambda \nu_e$ ,  $\Xi_c^0 \rightarrow e^+ \Xi^- \nu_e$

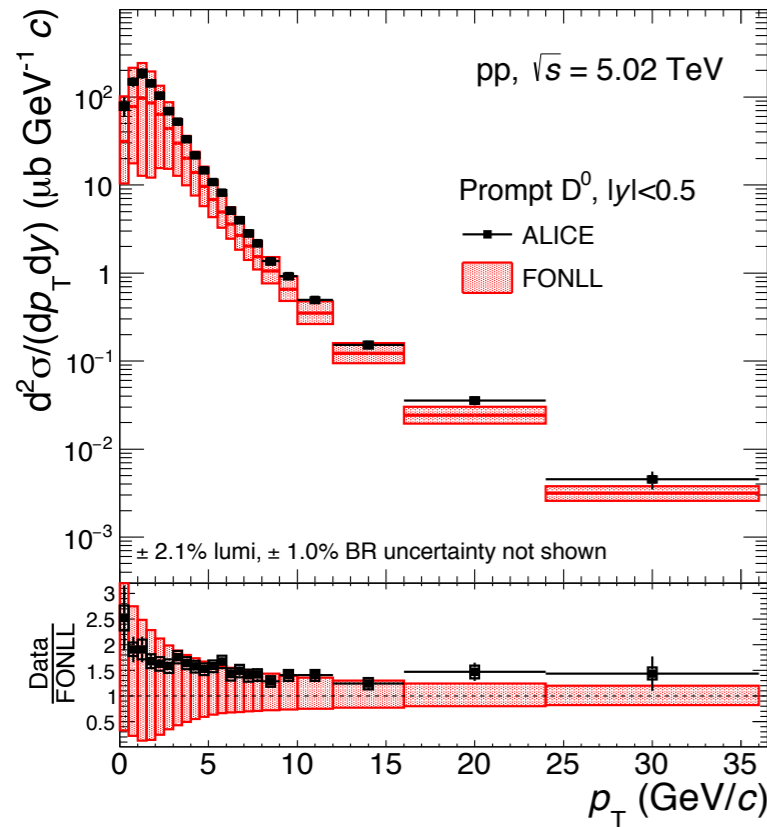


## Results in pp collisions

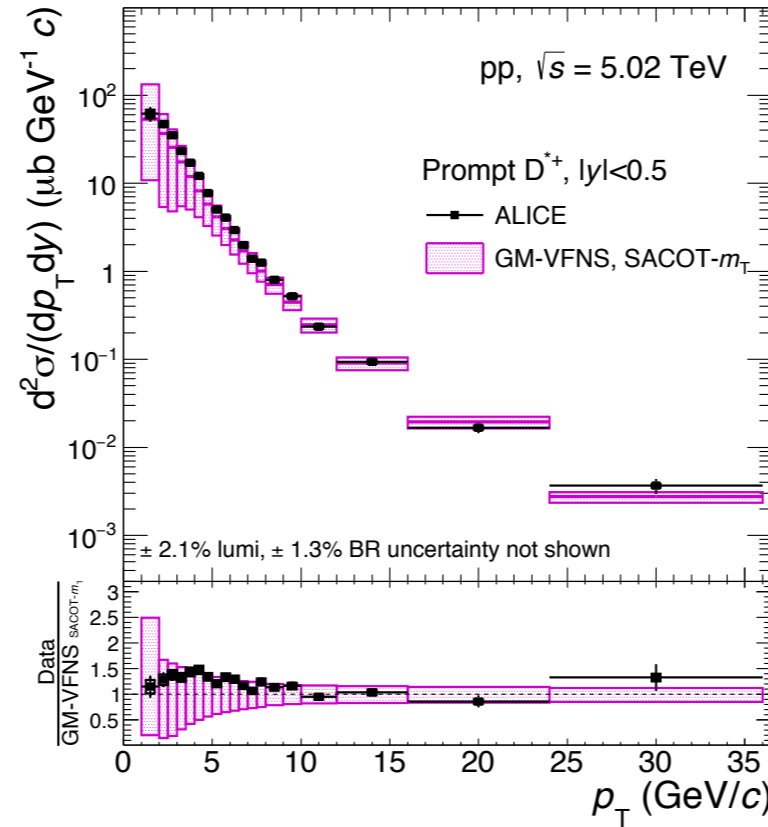
# Heavy flavour production cross-sections in pp



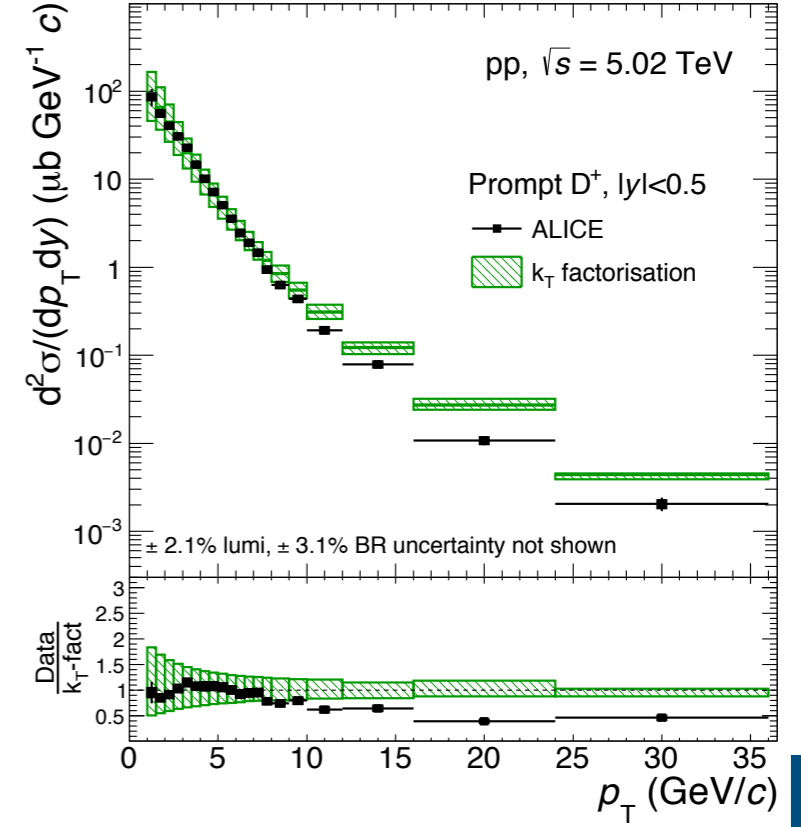
Eur.Phys.J. C79 (2019) no.5, 388



ALI-PUB-314115



ALI-PUB-317971



ALI-PUB-314099

New

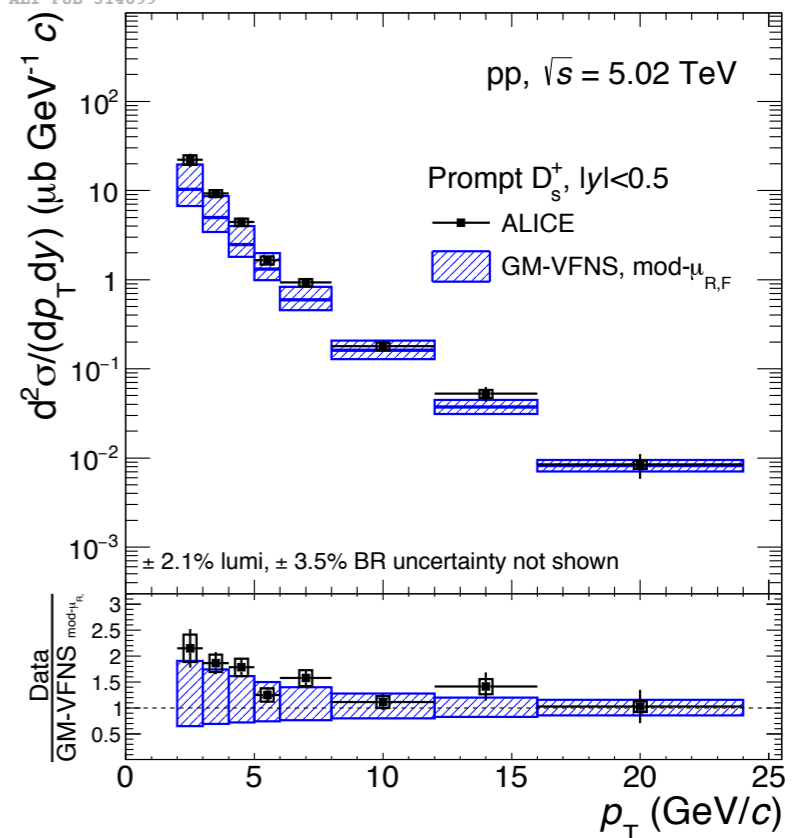
## D meson ( $D^0$ , $D^{*+}$ , $D^+$ , $D_s^+$ ) production cross section in pp at $\sqrt{s} = 5$ TeV at mid-rapidity.

- Precise pp reference measurement down to low  $p_T$  ( $\sim 0$  with  $D^0$ ).

### Comparison with models:

Data described well by pQCD model

- **FONLL (Fixed order with Next-to-Leading Log resummation)**: Data lies within uncertainty, central value of predictions lies below the data (JHEP 10 (2012) 137)
- **GM-VFNS (General- Mass Variable Flavour Number Scheme)**: Data lies within uncertainty (Eur. Phys. J. C72 (2012) 2082)
- **GM-VFNS SACOT- $m_T$**  good agreement with data (JHEP05(2018)196)
- **$k_T$ -factorisation** describes the data at low and intermediate  $p_T$ , but overestimates for  $p_T > 7$  GeV/c. (PRD 87 (2013) 094022).



ALI-PUB-317954



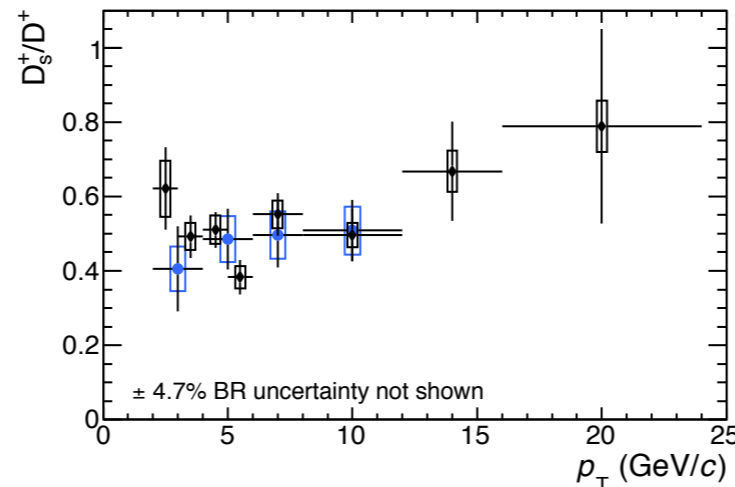
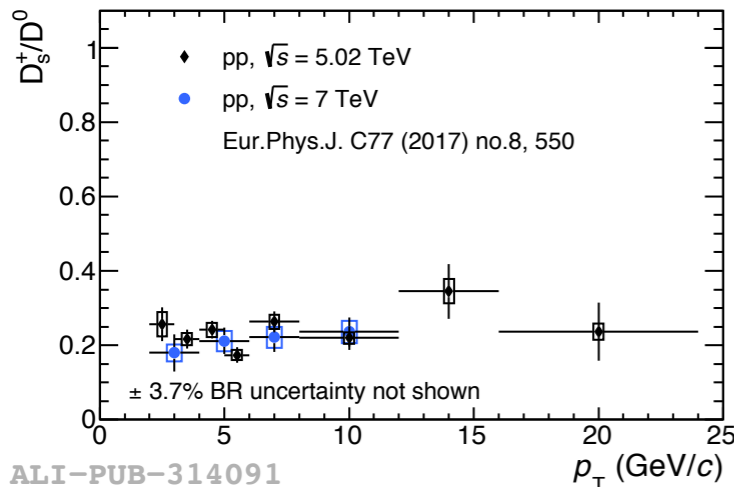
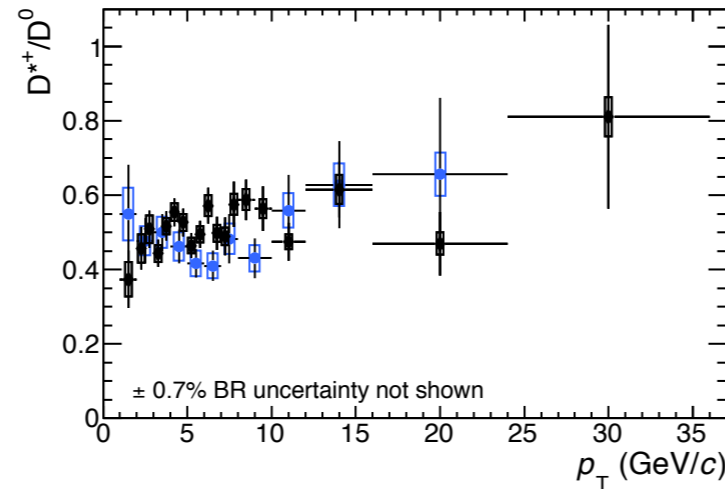
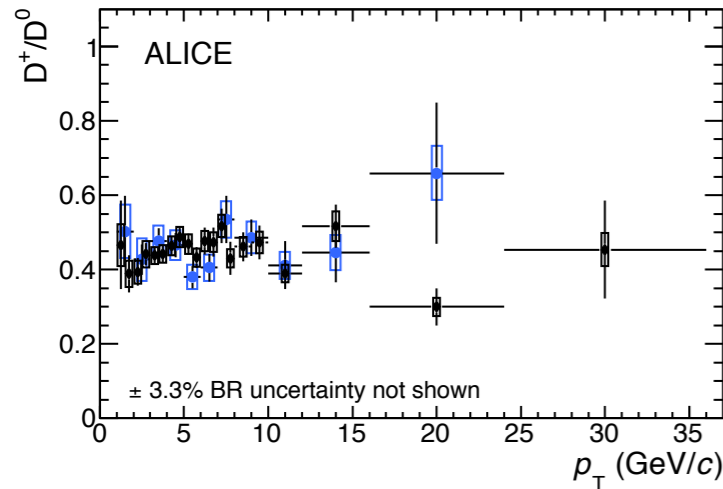


# Heavy flavour production cross sections in pp



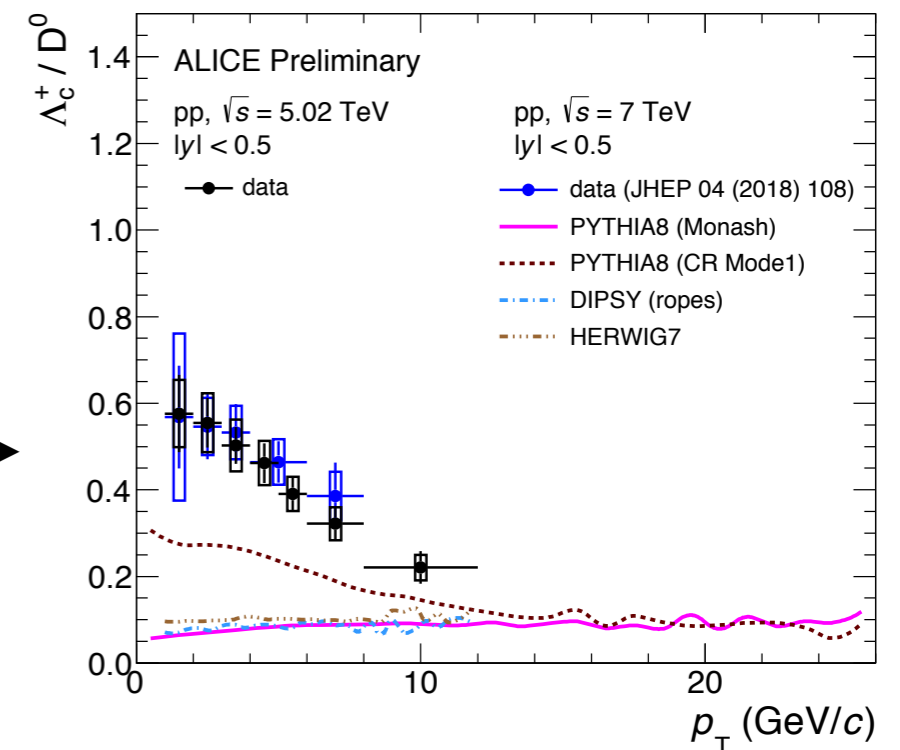
Ratios of the  $p_T$ -differential cross sections of  $D^0$ ,  $D^{*+}$ ,  $D^+$ ,  $D_s^+$  mesons and  $\Lambda_c^+$  at  $\sqrt{s} = 5.02$  TeV and  $\sqrt{s} = 7$  TeV.

New



ALI-PUB-314091

- No significant  $p_T$  dependence within the experimental uncertainties.
- Small difference between the fragmentation functions of the different species.
- compatible within uncertainties with the ratios measured in pp collisions at  $\sqrt{s} = 7$  TeV



- $\Lambda_c^+/D^0$  production is underestimated by theoretical predictions.
- Tensions in  $\Lambda_c^+$  sector. More details in C. Zampoli's talk.



Lucas Anne Vermunt's  
Poster

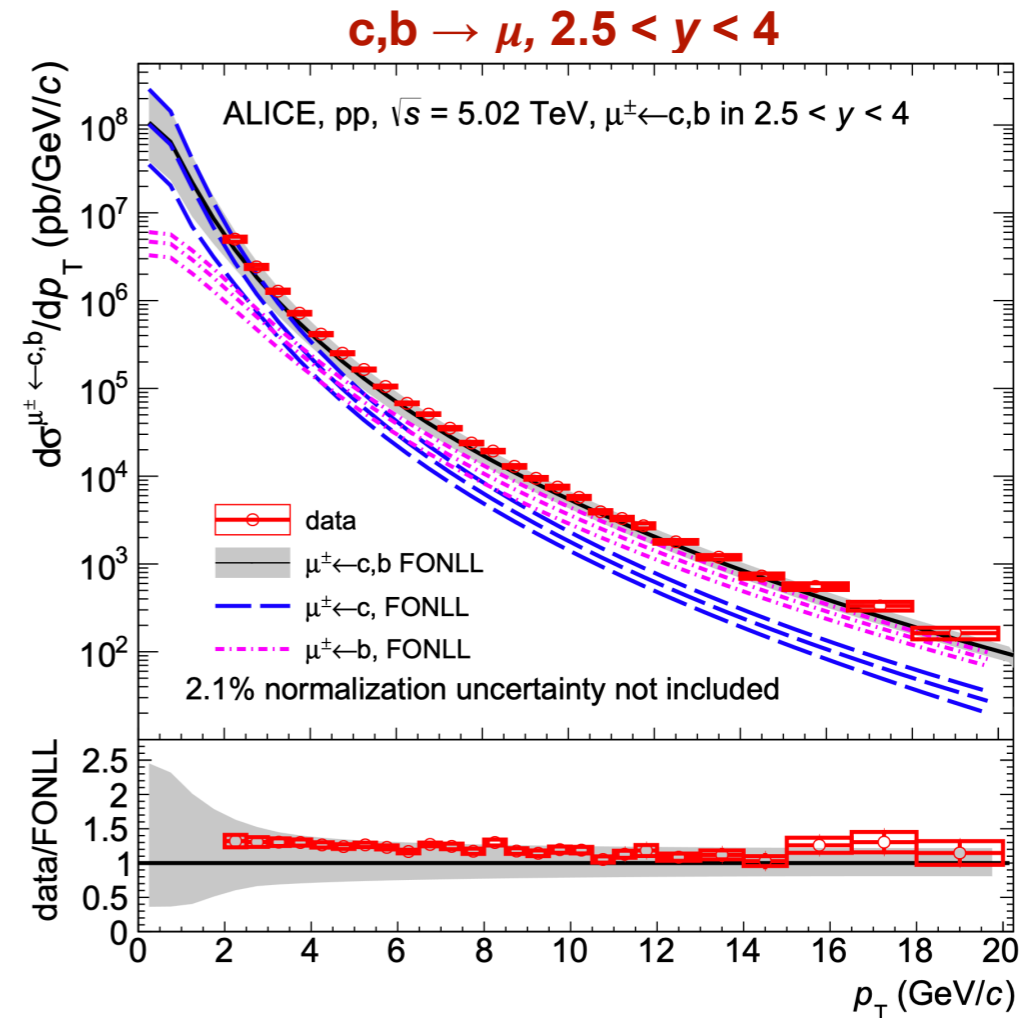
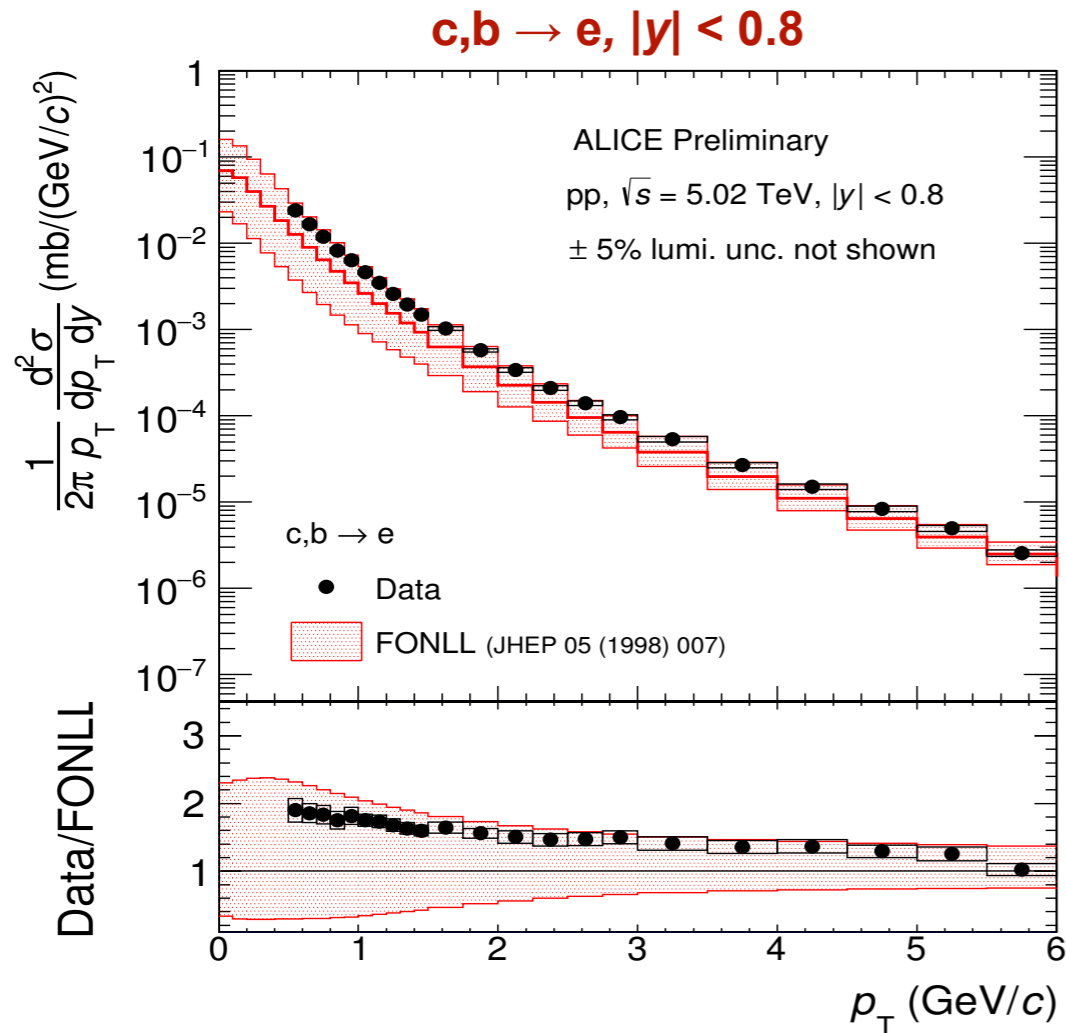
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# Heavy flavour production cross sections in pp



Heavy-flavour hadron decay electron (mid-rapidity,  $|y| < 0.8$ ) and muon (forward rapidity,  $2.5 < y < 4$ ) cross section at  $\sqrt{s} = 5.02$  TeV.



ALI-PREL-146808

arXiv:1905.07207

- Data lies on the upper edge of the theoretical (FONLL) uncertainty band for both electrons and muons
- Insight about relative abundance of beauty and charm quarks from the muon cross section
  - At low  $p_T$  charm decay is dominant.
  - Beauty is the main component for  $p_T > \sim 5$  GeV/c.

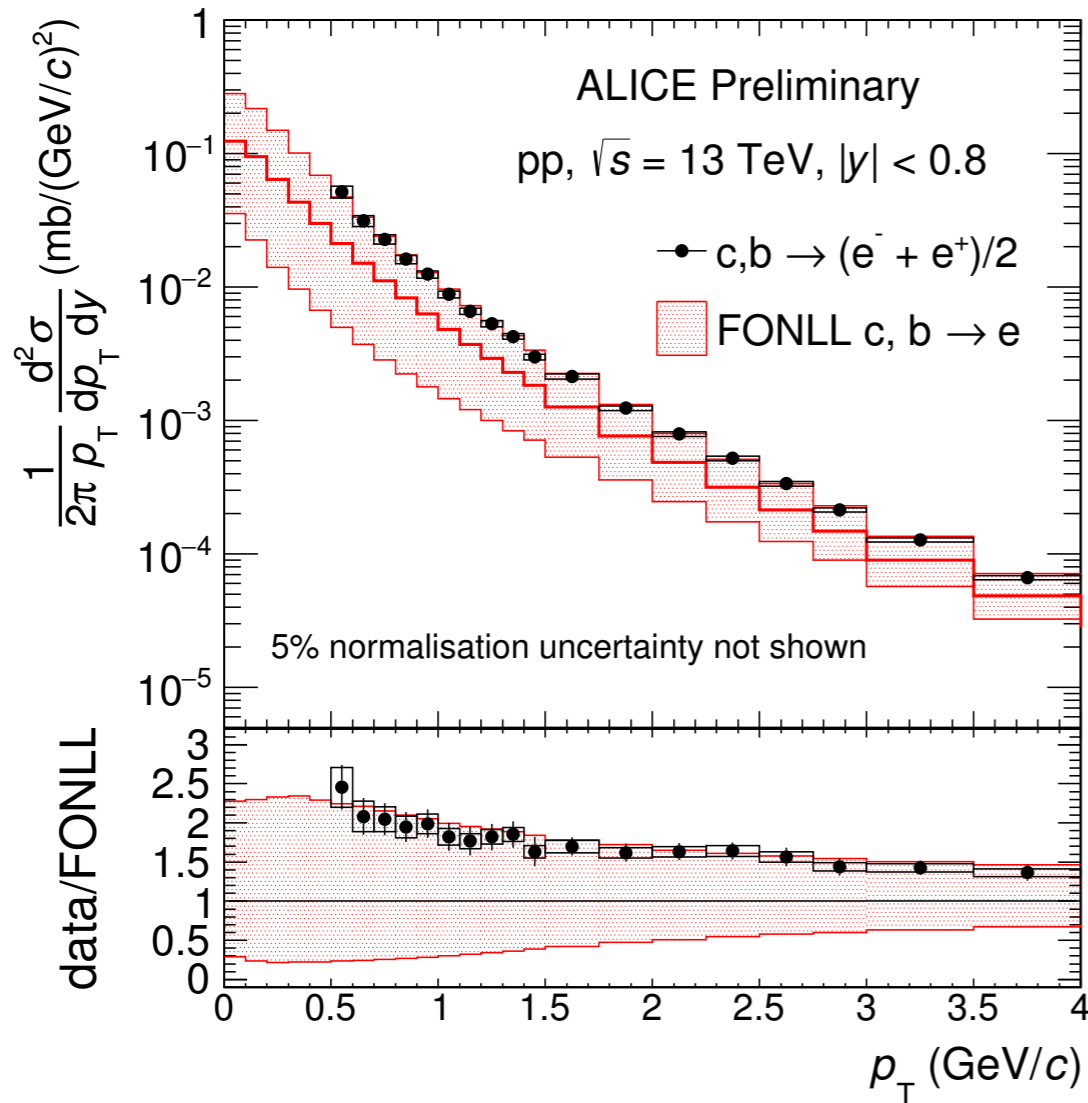




# Heavy flavour production cross sections in pp

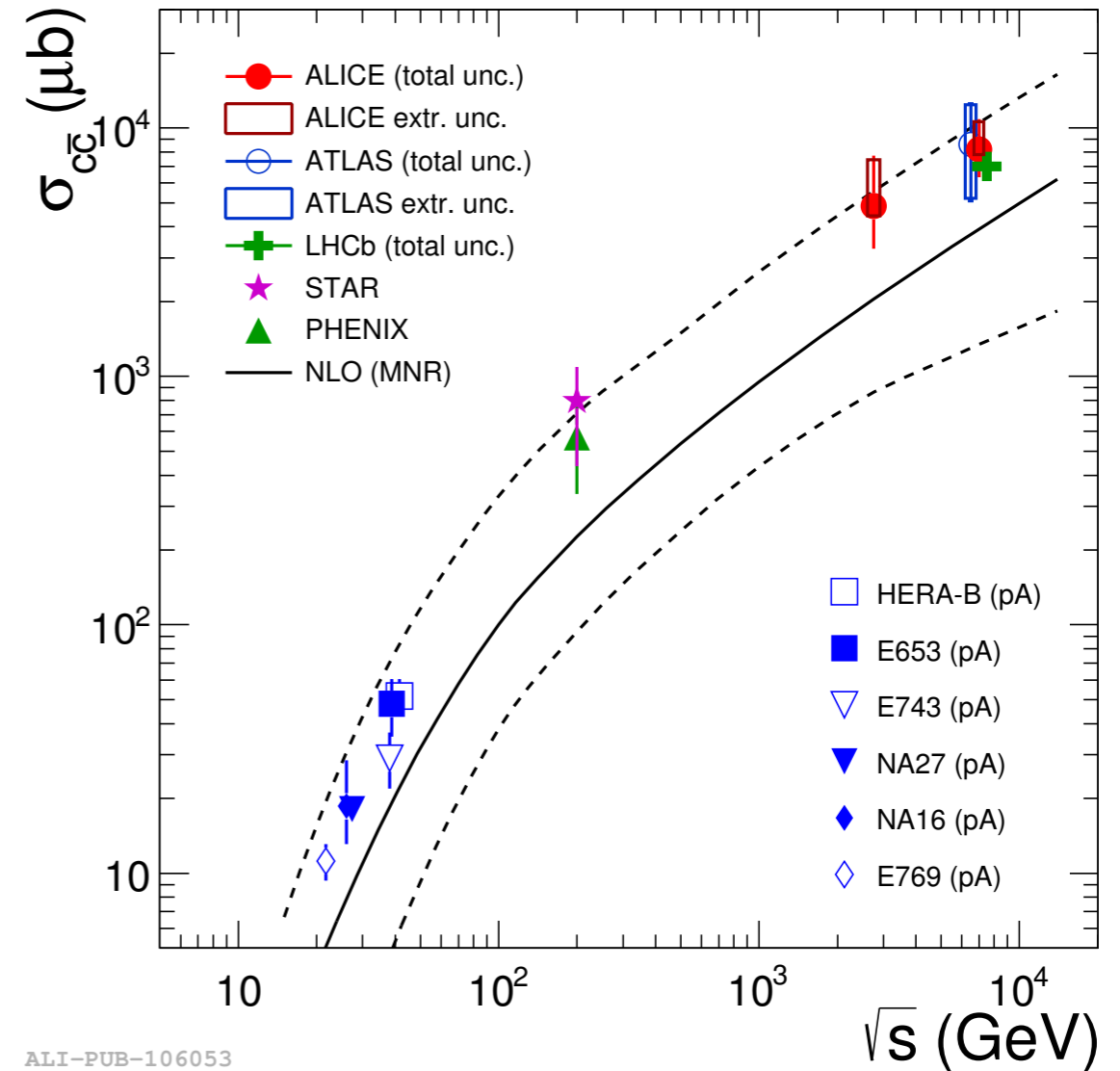


**c,b → e, |y| < 0.8 at 13 TeV**



ALI-PREL-133178

**total charm cross-section**



ALI-PUB-106053

Phys. Rev. C 94, 054908 (2016)

- HFE at 13 TeV: Data lies on the upper edge of the theoretical (FONLL) uncertainty band.
- Contributing more precise charm measurements in pp collisions at different energies.

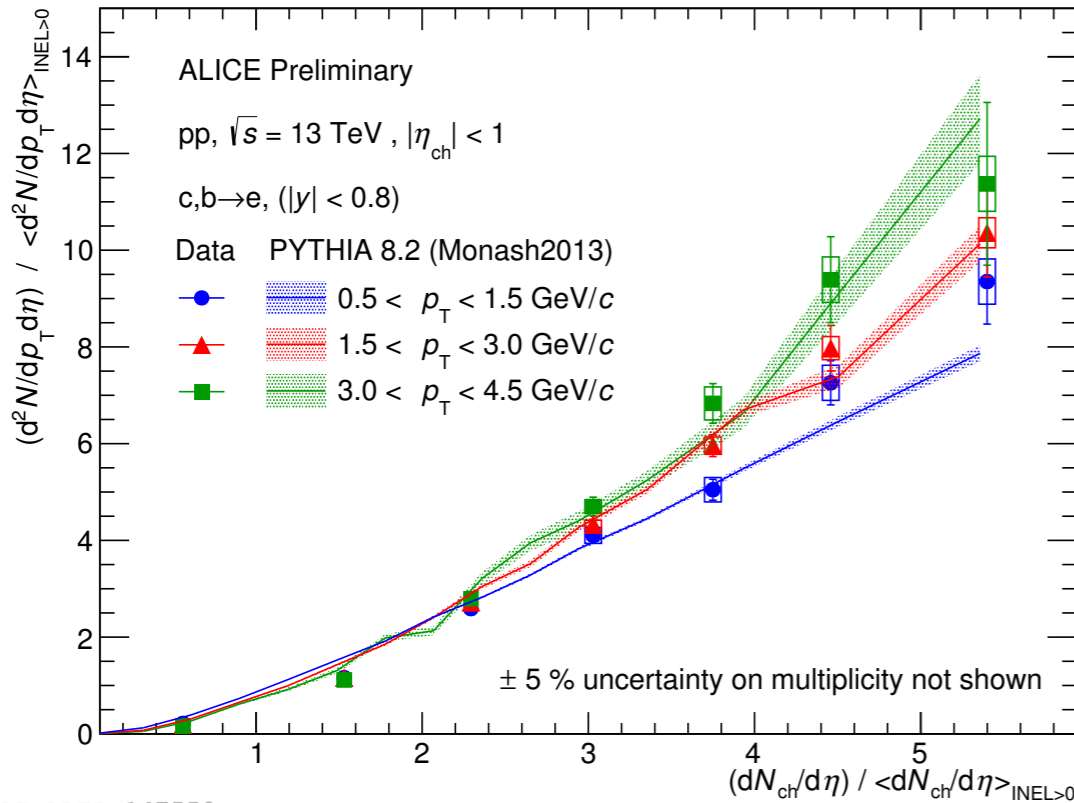


# Heavy flavour production vs multiplicity in pp



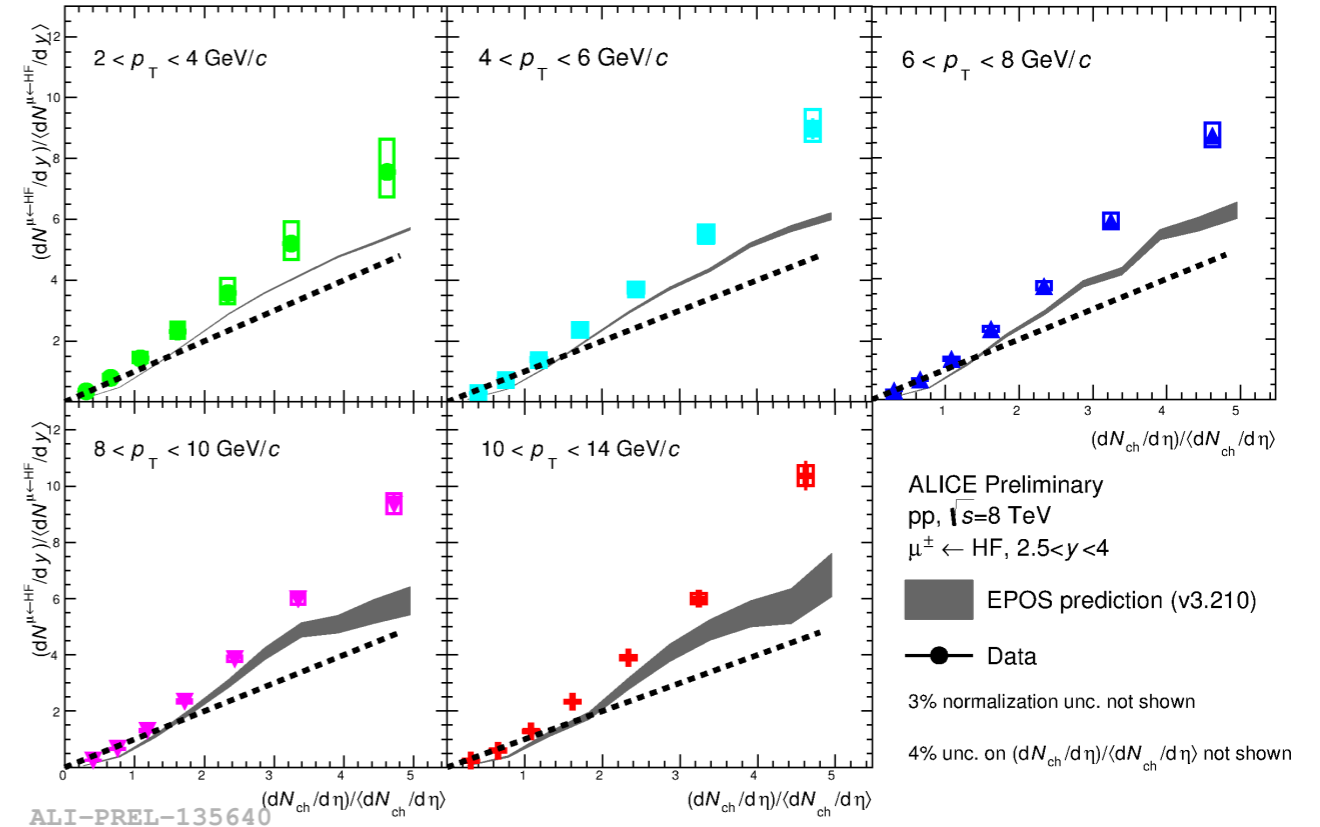
Self-normalized yield of heavy-flavour decay electrons ( $\sqrt{s} = 13$  TeV, mid-rapidity) and muons ( $\sqrt{s} = 8$  TeV, forward rapidity) versus multiplicity compared with model predictions with  $p_T$  dependence:

**pp,  $\sqrt{s} = 13$  TeV,  
c,b  $\rightarrow$  e,  $|y| < 0.8$**



ALI-PREL-147550

**pp,  $\sqrt{s} = 8$  TeV,  
c,b  $\rightarrow$   $\mu$ ,  $2.5 < y < 4$**



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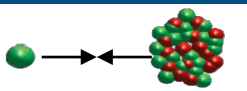
- The self-normalized yields show a **faster than linearly increasing trend**.
- **In the high  $p_T$  range, hint for steeper increase**.

## Comparison with model prediction:

- ✓ **c,b  $\rightarrow$   $\mu$  data compared to EPOS3.210 prediction without hydrodynamics (Phys. Rev. C 89 (2014) 064903). EPOS3.210 underestimates data at higher multiplicities for all  $p_T$  ranges**
- ✓ **c,b  $\rightarrow$  e data compared to PYTHIA8.2 prediction (Comput. Phys. Commun. 191 (2015) 159).  $p_T$  dependence results qualitatively described by PYTHIA8.2 predictions.**



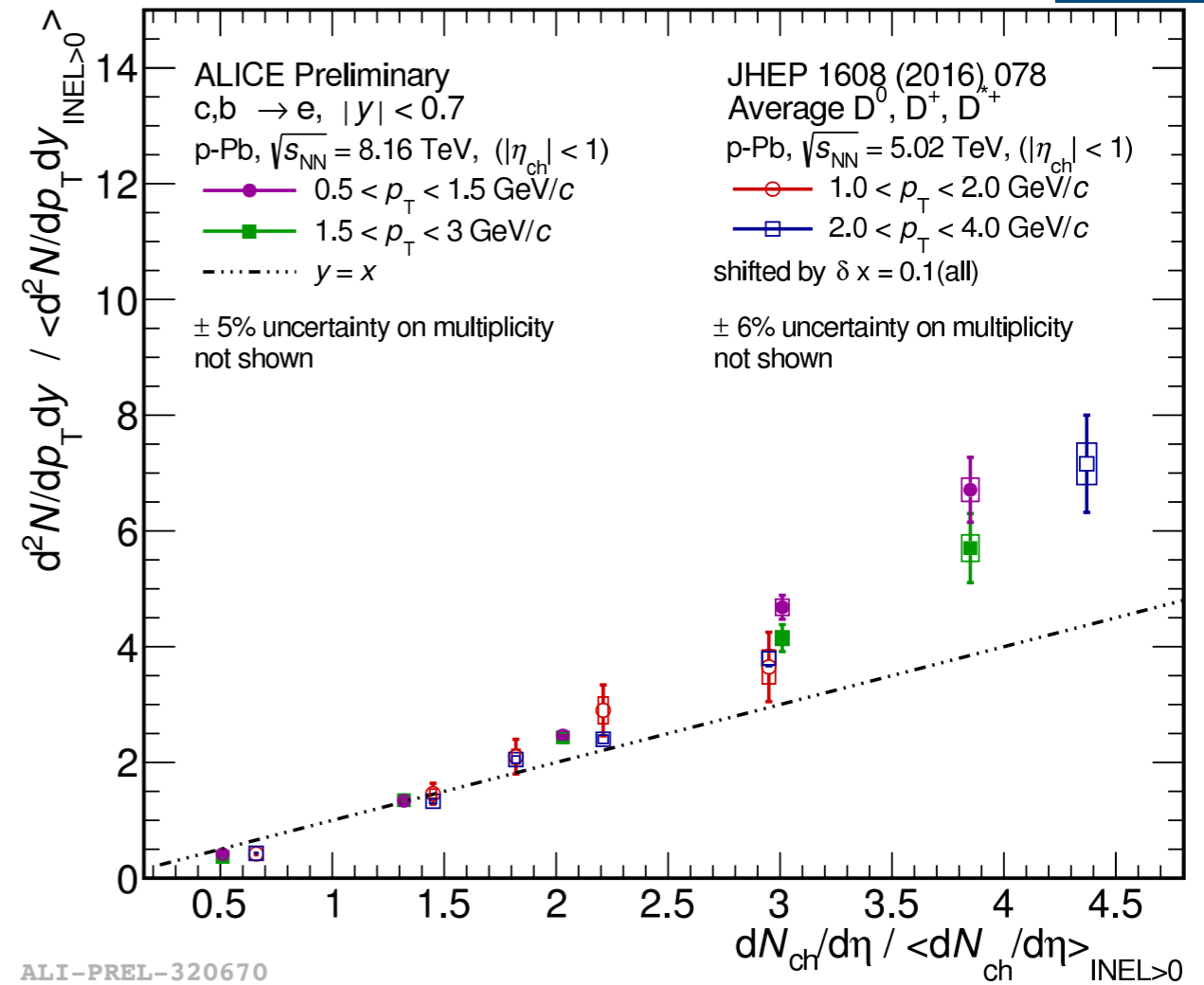
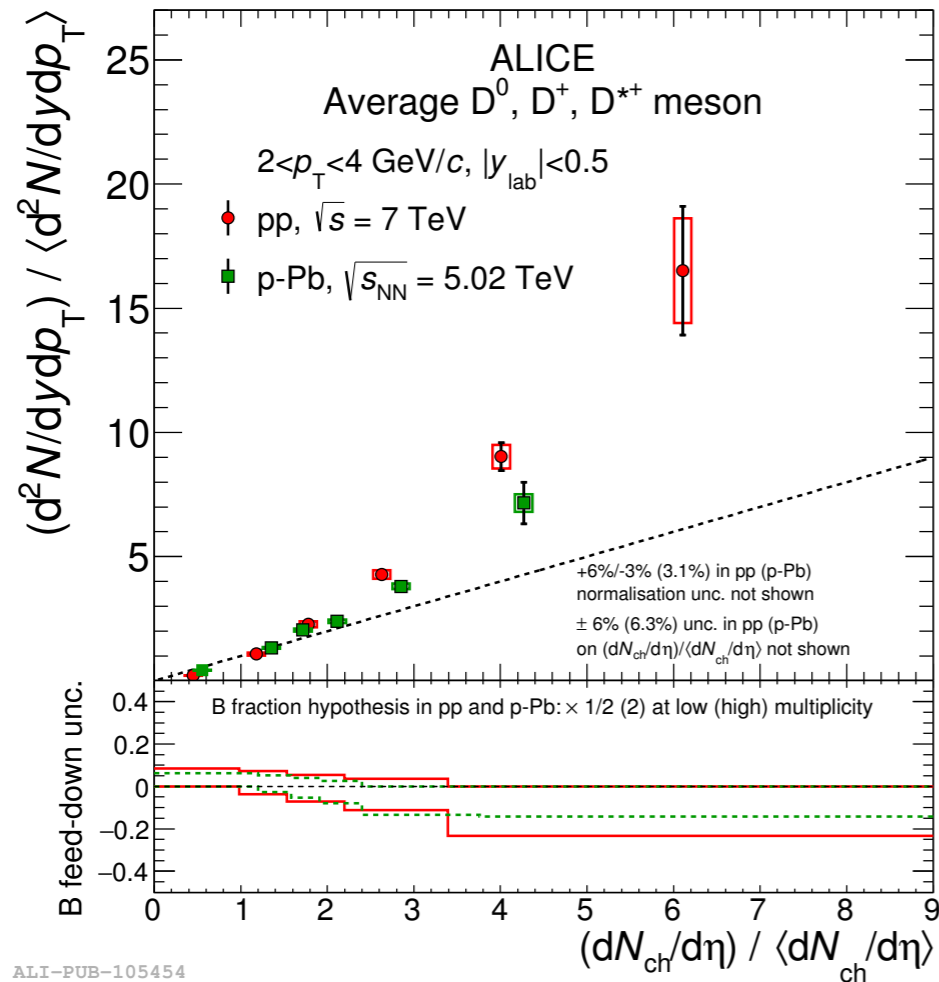
## Results in p-Pb collisions



# Heavy flavour production vs multiplicity in p-Pb

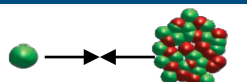


Self-normalized yield of average D mesons ( $\sqrt{s_{NN}} = 5.02$  TeV) and electrons ( $\sqrt{s_{NN}} = 8.16$  TeV) versus multiplicity **ALICE** at mid-rapidity:



- Similar trend in both pp and p-Pb collisions.
- High-multiplicity p-Pb collisions: MPI (like pp) but also have higher number of binary nucleon-nucleon collisions.
- The trend of HF-decay electrons is compatible with the average D mesons.

New

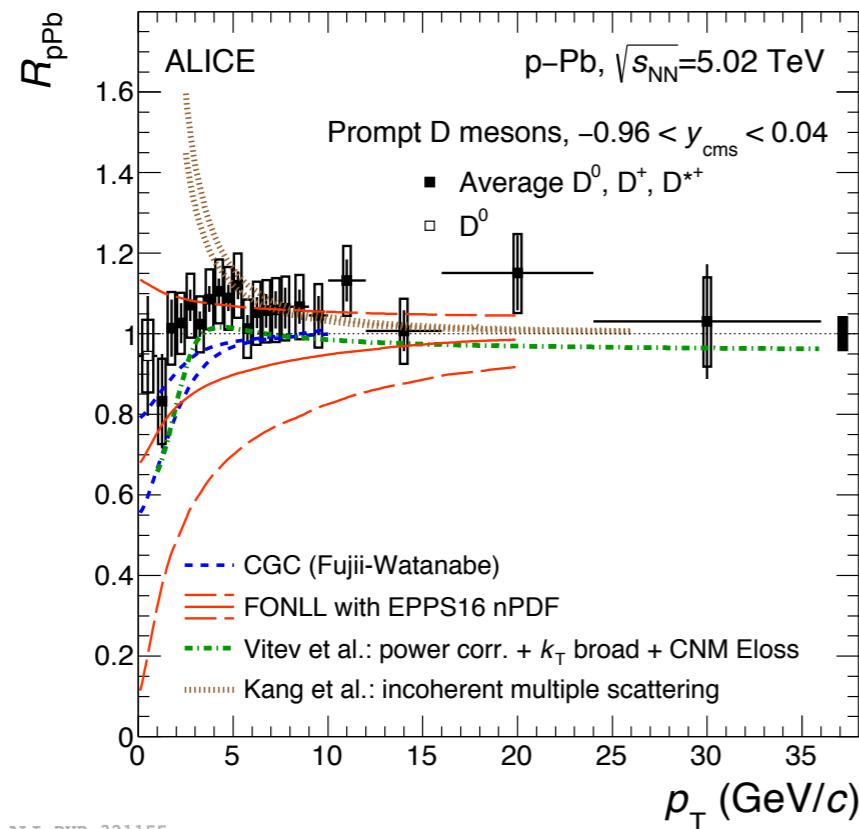


# D-meson nuclear modification factor in p-Pb

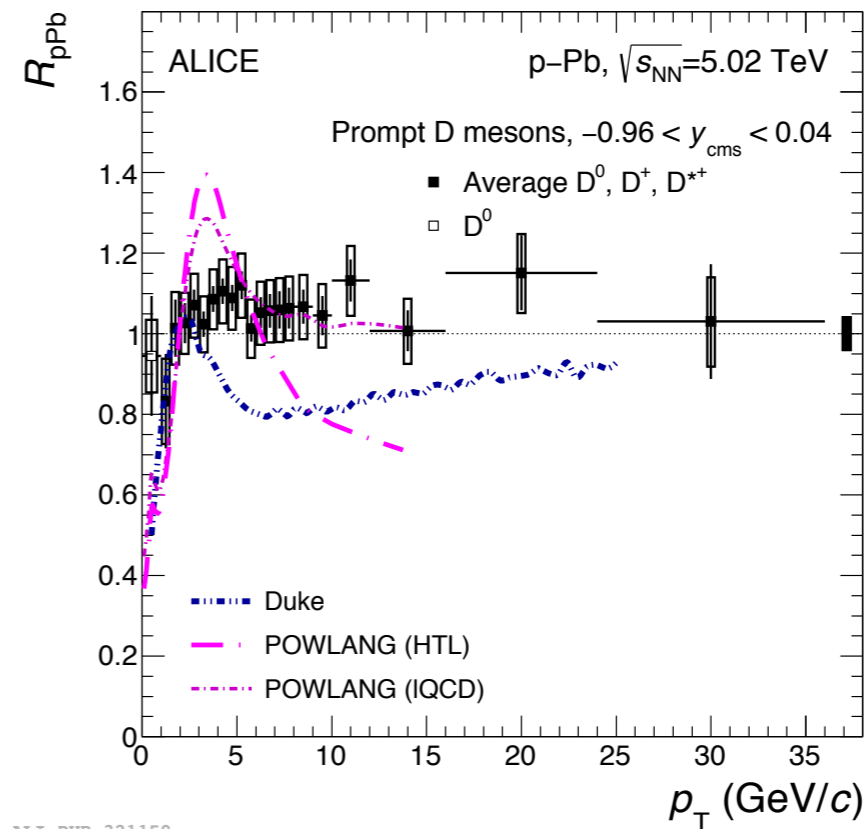
- Nuclear modification factor provides access to **cold nuclear matter (CNM)** effects and the effects related to the (possible) formation of a QGP in p-Pb collisions

$$R_{pPb} = \frac{1}{A} \frac{d\sigma_{pA}/dp_T}{d\sigma_{pp}/dp_T}$$

arXiv:1906.03425



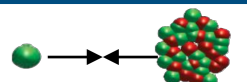
ALI-PUB-321155



ALI-PUB-321159

New

- Left: Data **described well** by the models including the **CNM effects**.
- Right: Data compared with the model which predicts the production of a QGP in p-Pb.
  - Tend to predict a suppression on the D mesons  $R_{pPb}$  at high  $p_T$ . At present results **tend to disfavour** suppressions larger than 10-20%.



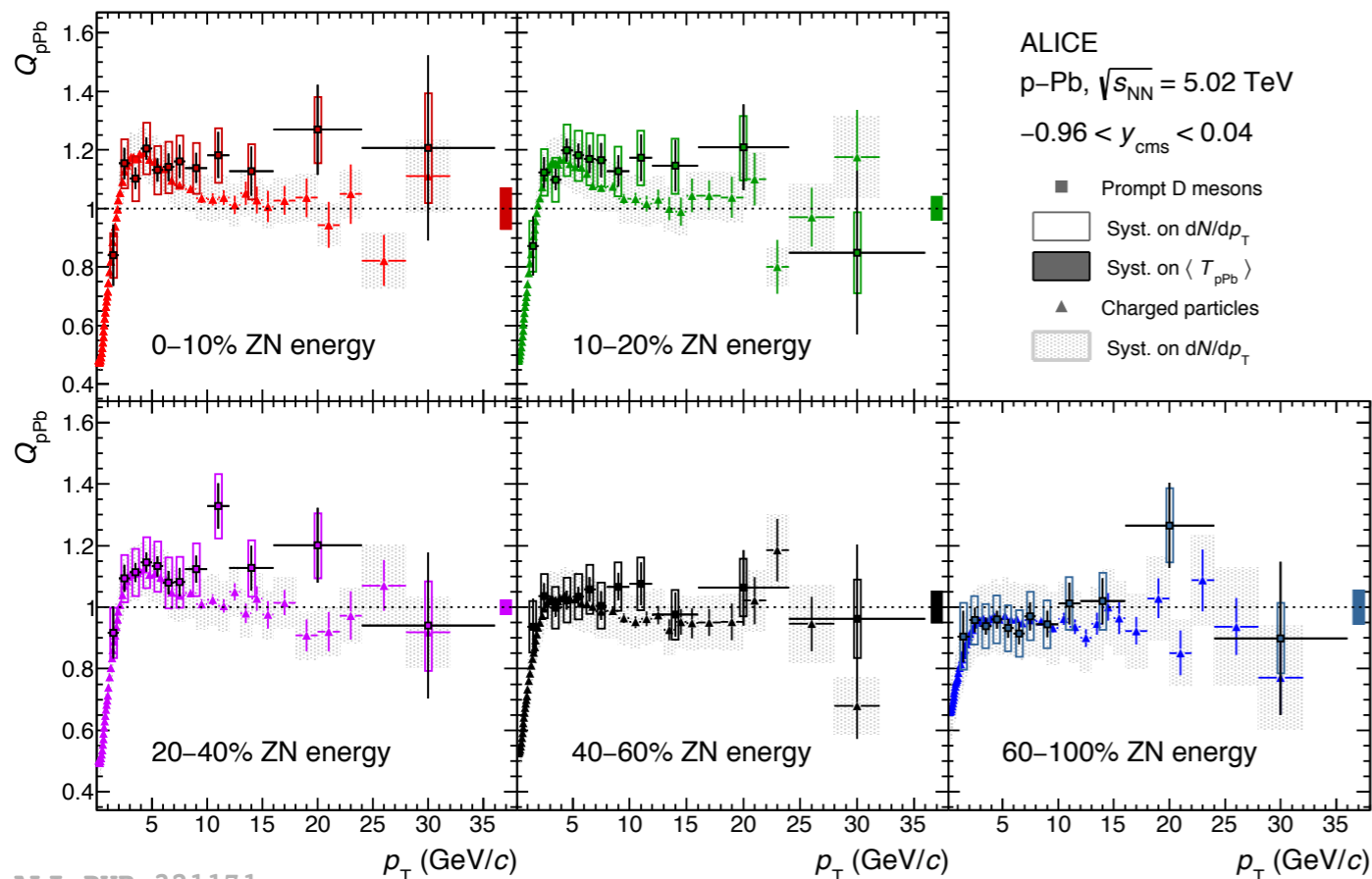
# D-meson nuclear modification factor in p-Pb



New

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arXiv:1906.03425



- $Q_{pPb}$ : centrality-dependent nuclear modification factor. → centrality-dependent **CNM effects**.

$$Q_{pPb}^{cent} = \frac{1}{\langle T_{pPb}^{cent} \rangle} \frac{dN_{pPb}^{cent}/dp_T}{d\sigma_{pp}/dp_T}$$

- Central and peripheral results are **compatible** with each other and with unity for **D mesons**.
- D-meson  $Q_{pPb}$  **similar to charged particles** within uncertainties.

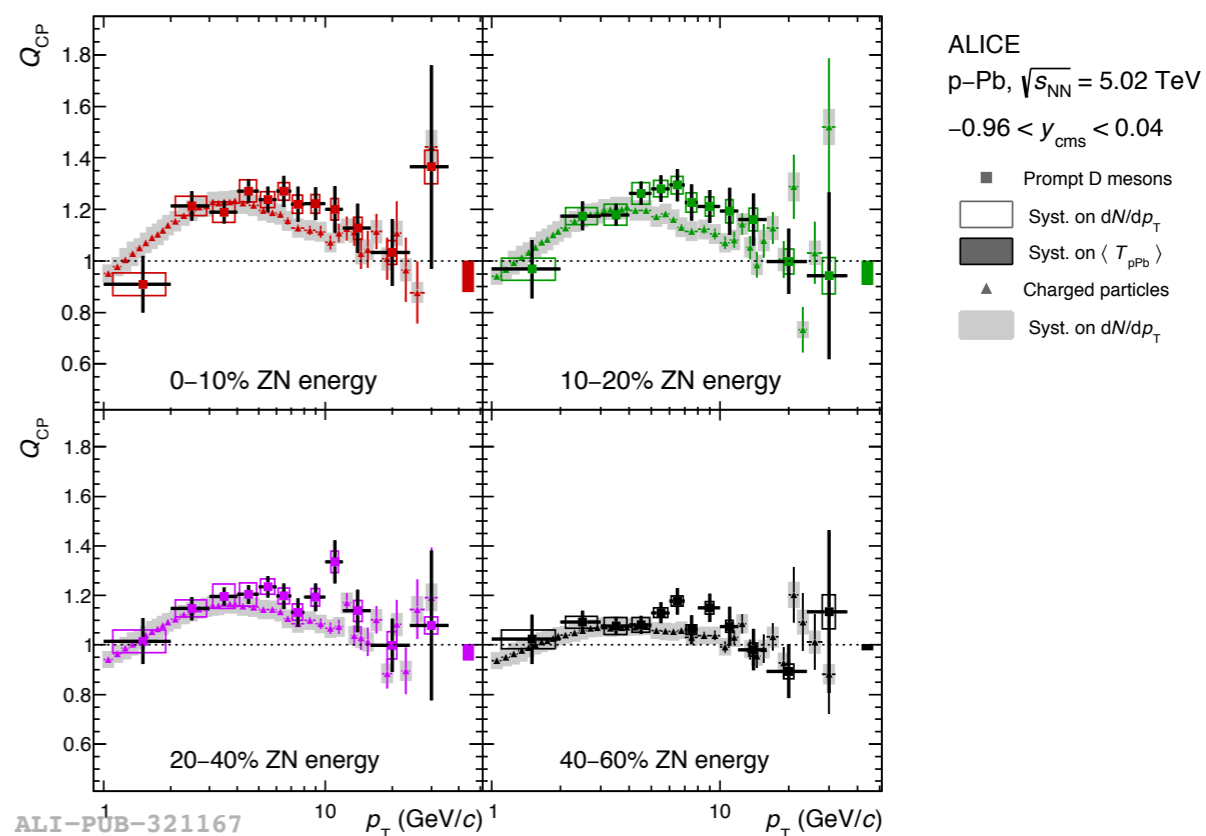
ALI-PUB-321171

- $Q_{cp}$ : Ratio of most central collisions to the most peripheral collisions spectra.

Central : 0-10%    Peripheral : 60 - 100%

- $Q_{cp} > 1$  by  $\sim 3\sigma$  in  $3 < p_T < 7$  GeV/c in 20-40%.  
→ similar trend as charged particles.

Origin: CGC like Initial or QGP for final state effects? Need models for interpretation.



ALI-PUB-321167



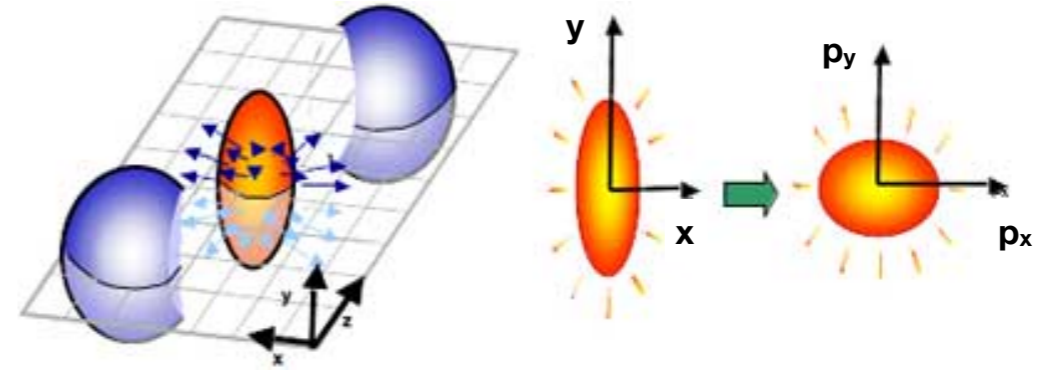


# Collectivity in small systems?

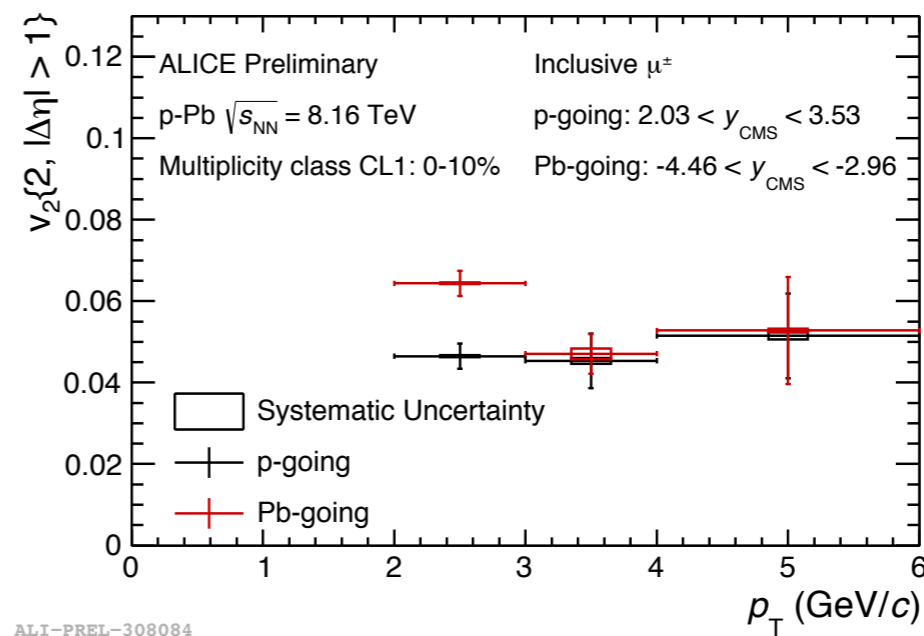
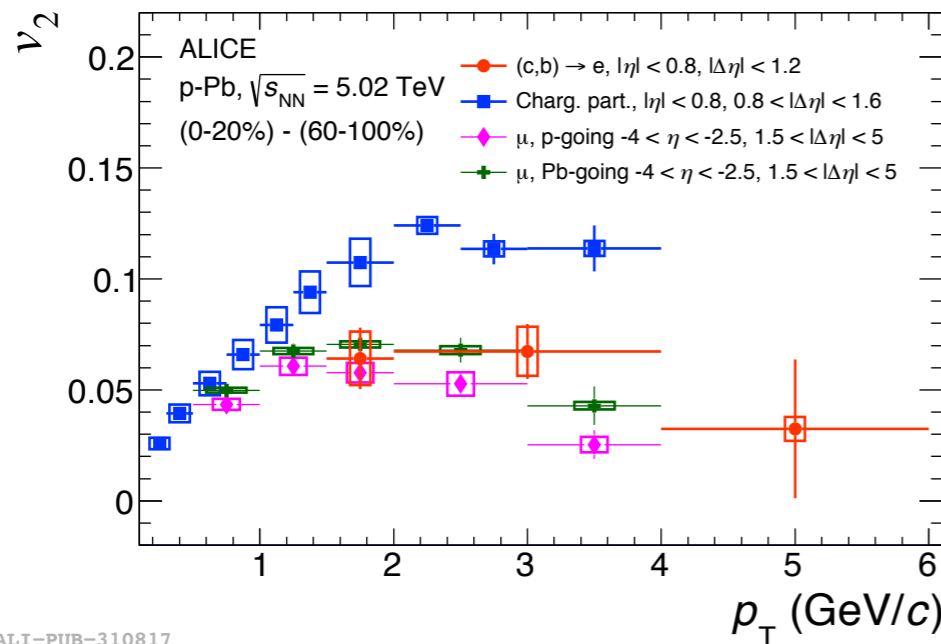
- **Anisotropic flow:** Initial spatial anisotropy translates into final momentum anisotropy (pressure gradients).

$$E \frac{d^3N}{dp^3} = \frac{1}{2\pi} \frac{d^2N}{p_T dp_T d\eta} \left[ 1 + 2 \sum_{n=1}^{\infty} v_n \cos[n(\phi - \psi_n)] \right]$$

$$v_n = \langle \cos[n(\phi - \psi_n)] \rangle, n = 1, 2, 3, \dots$$

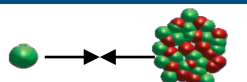


- Also observed in the small systems.
- Study to understand better the collective behaviour in small system in the HF sector.



**Positive  $v_2$  observed for HF-decay electrons and inclusive muons.**

- Heavy flavour decay **electron** (mid-rapidity).
  - ✓ effect observed is qualitatively similar to inclusive muons.
  - ✓ Significance:  $> 5\sigma$  for  $1.5 < p_T < 4$  GeV/c.
  - ✓ Origin: CGC like Initial or QGP for final state effects.
- Heavy flavour decay **muon** (forward rapidity).
  - ✓ effect observed is qualitatively similar to HFE



# Conclusions



- D mesons and heavy-flavour decay lepton production cross-section is measured with good precision. Compared with pQCD calculation. Described well within uncertainty.
- Heavy flavour production vs multiplicity.
  - **Self-normalised yield**
    - ☑ Results show **stronger than linear enhancement** as a function charged-particle multiplicity.
    - ☑ pp collisions: **Higher  $p_T$  ranges** hint of **steeper increase**.
    - ☑ Particle yield and multiplicity measured at mid-rapidity (possible autocorrelations).
  - **Nuclear modification factor:  $Q_{pPb}$** 
    - ☑  **$Q_{pPb}$  compatible with unity** and with charged-particle for D-mesons.
    - ☑ **Hints of  $Q_{cp} > 1$** , possible modification of the production. From initial- or final-state effects?
  - **Elliptic flow at high multiplicity.**
    - ☑ **Positive  $v_2$**  measured for heavy-flavour decay electrons at mid-rapidity and for inclusive muons at forward rapidity.
    - ☑ **Positive  $v_2$**  is also observed for heavy-flavour decay muons at forward rapidity

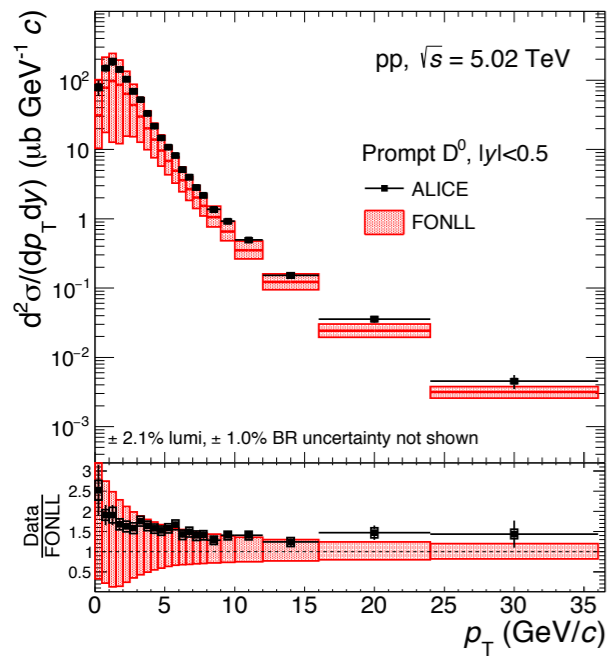
**Thank you for your attention!**

# Backup Slides

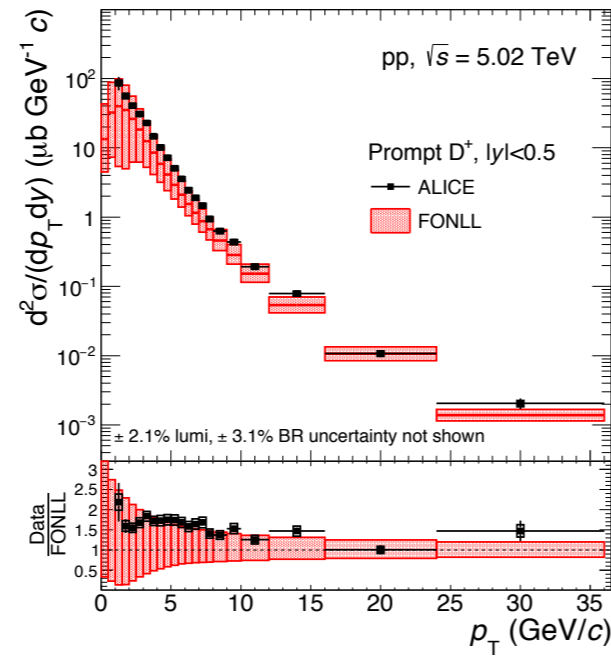
# Heavy flavour production cross-sections in pp



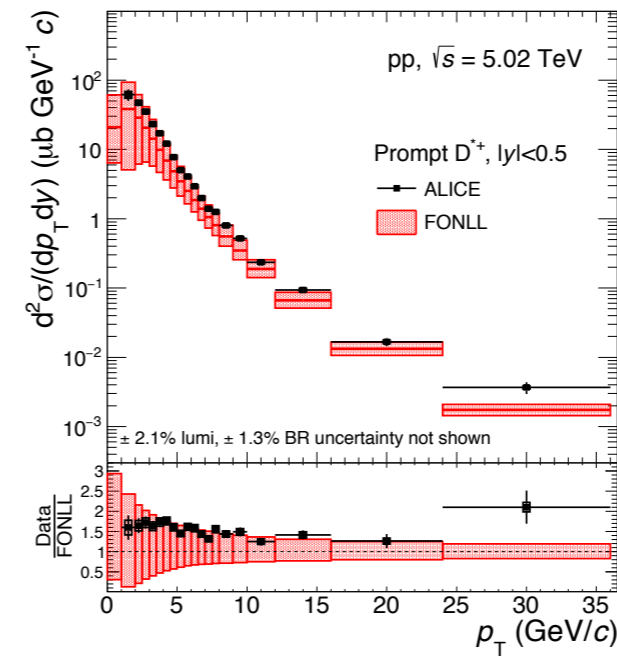
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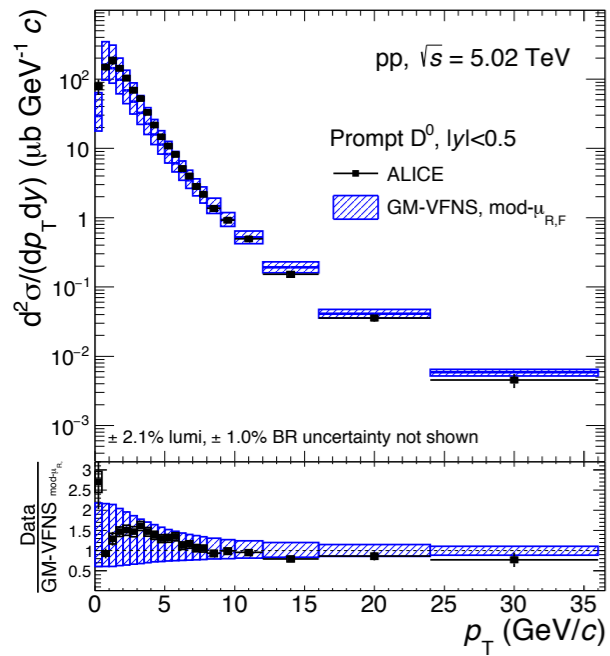
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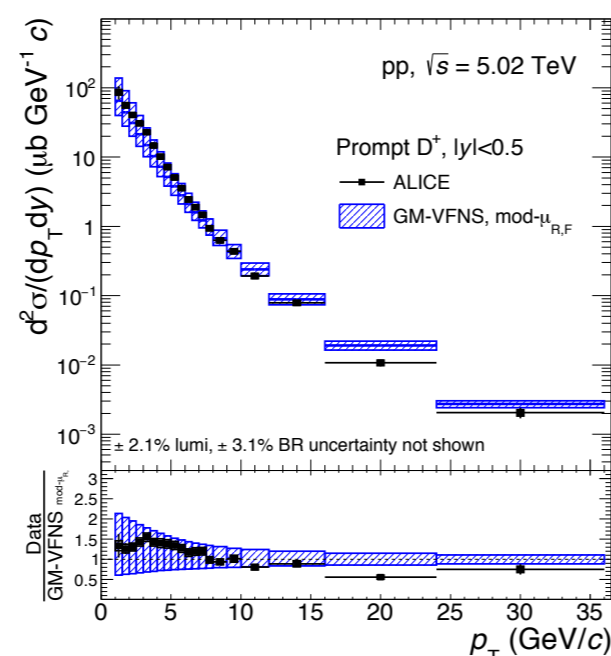
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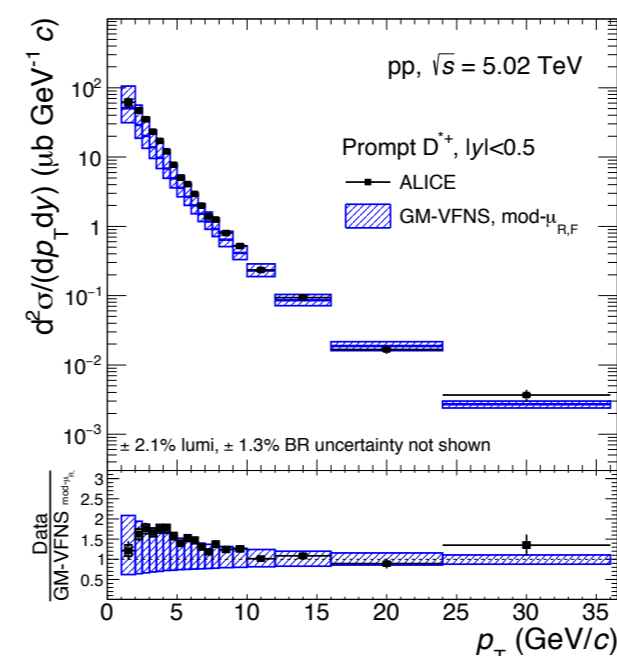
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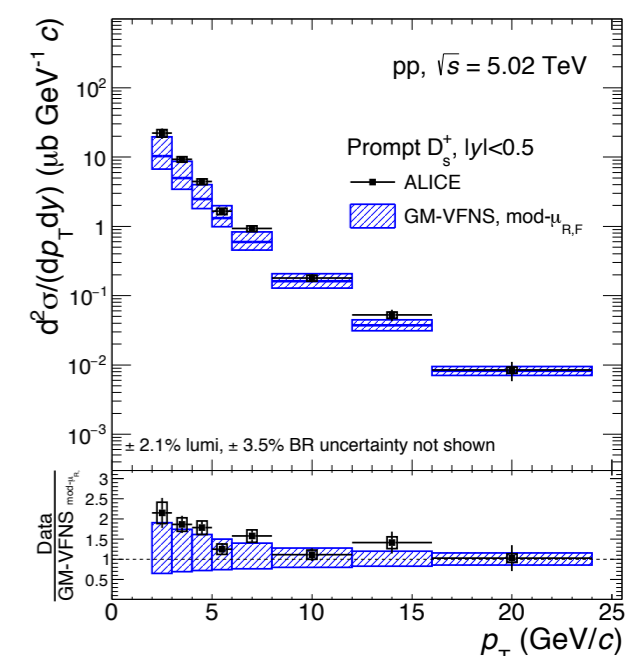
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ALI-PUB-317963



ALI-PUB-317975



ALI-PUB-317954



# Heavy flavour production cross-sections in pp



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Eur.Phys.J. C79 (2019) no.5, 388

