## Single spin asymmetries for π<sup>0</sup>s and neutrons in pp and pA

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27 Nov. 2018 LHCf-RHICf Joint meeting (Villa Ruspoli, Firenze)





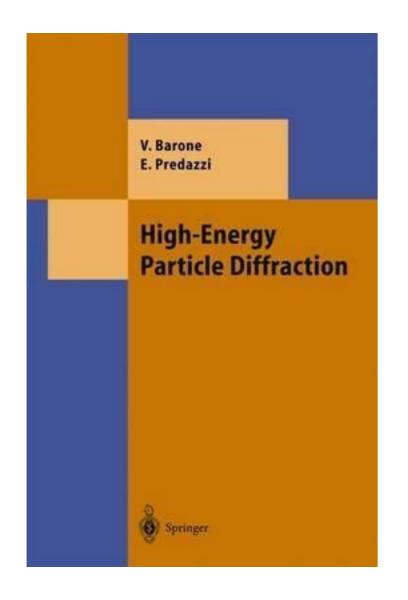
### The SuperKEKB e+e- accelerator

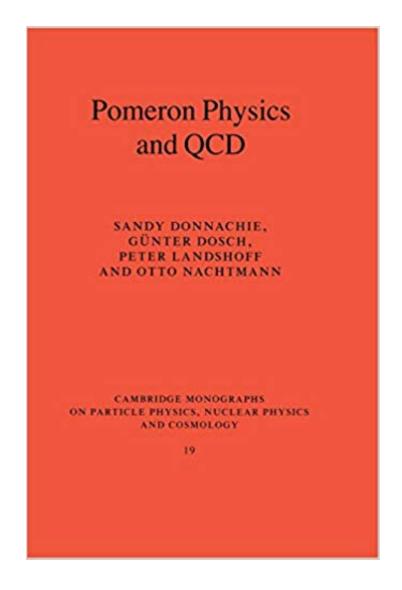


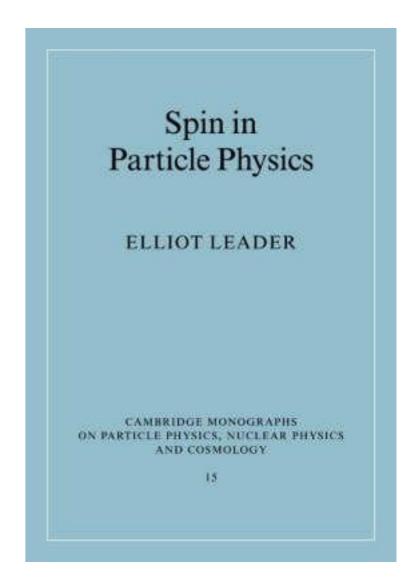
#### **Outline**

- Single  $\pi^0$  asymmetry in pp collisions at  $\sqrt{s} = 510$  GeV
  - Trial and error...
- Single neutron asymmetry in pA collisions at  $\sqrt{s} = 200 \text{ GeV}$ 
  - Ultraperipheral collisions at the LHC and RHIC
  - Photon + polarized proton scatterings
  - UPC with polarized protons
- My thoughts on future RHICf

#### References







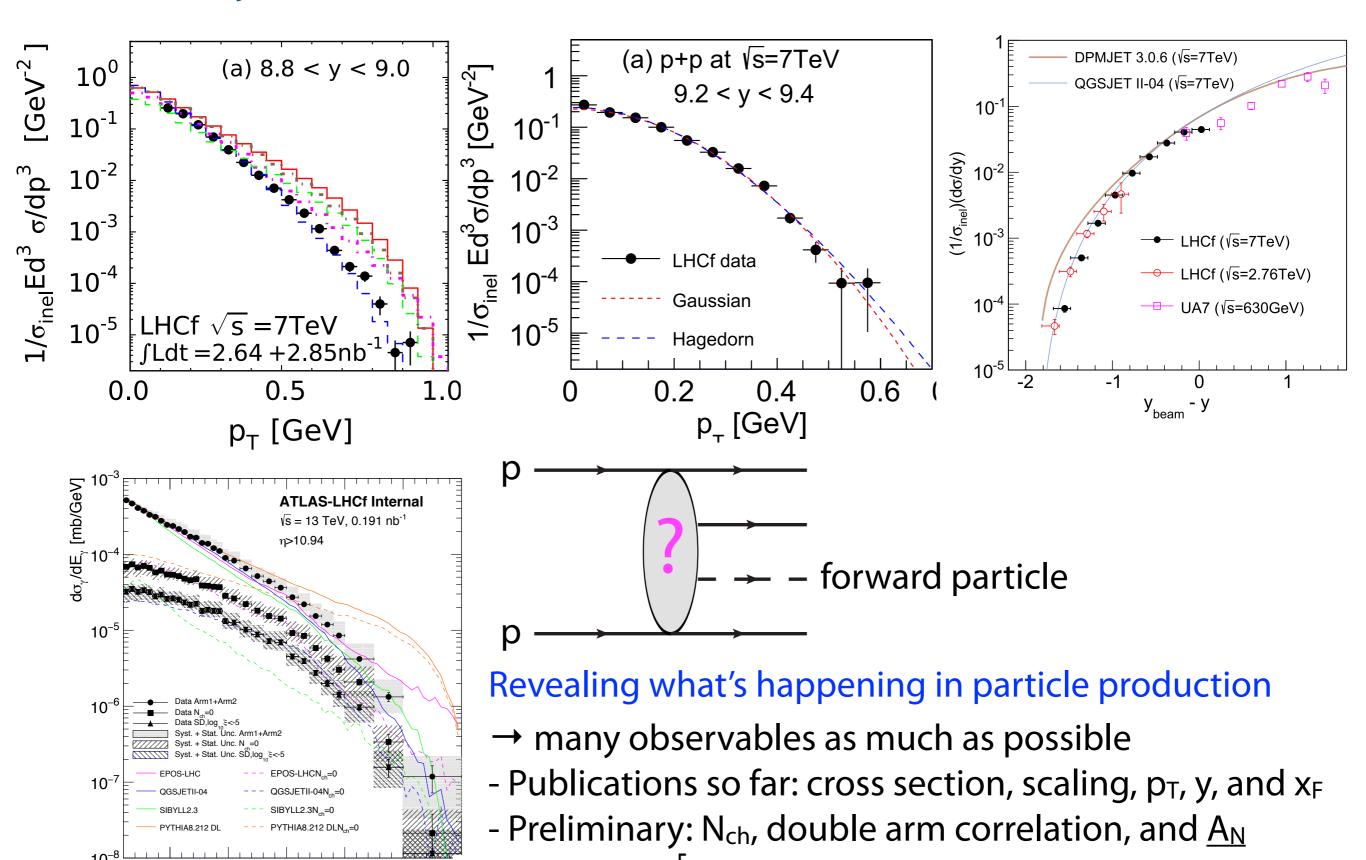
**Rigorous** 

**Variety of topics** 

**Rigorous** 

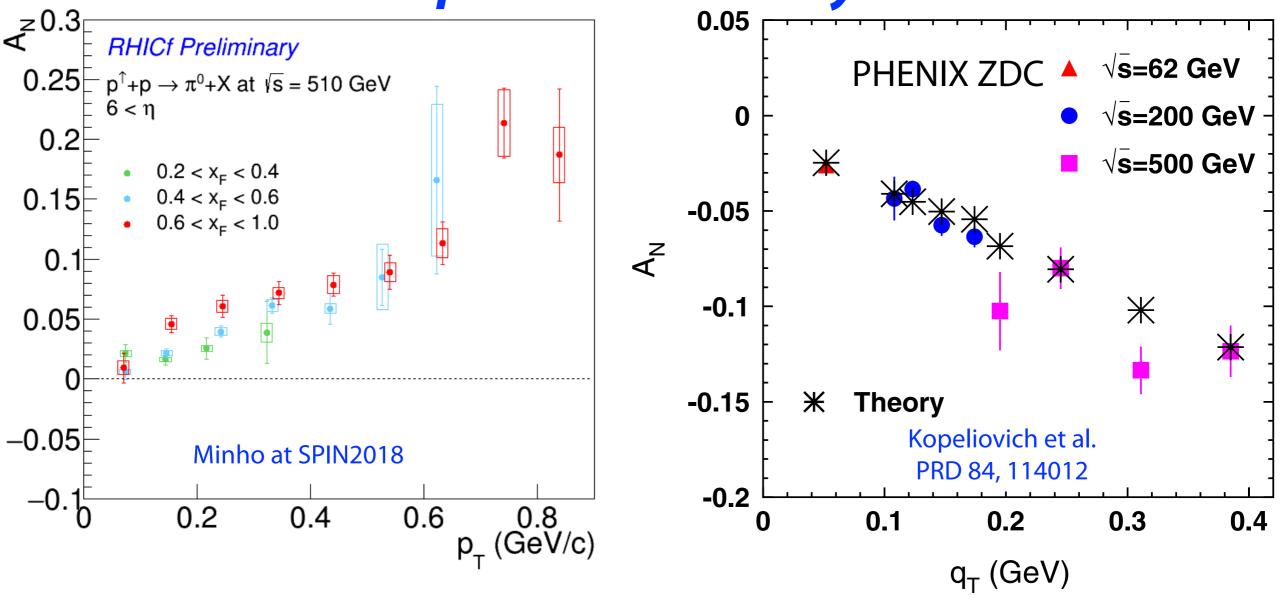
#### Why is spin important?

5000



#### Neutron and $\pi^0$ asymmetries in pp

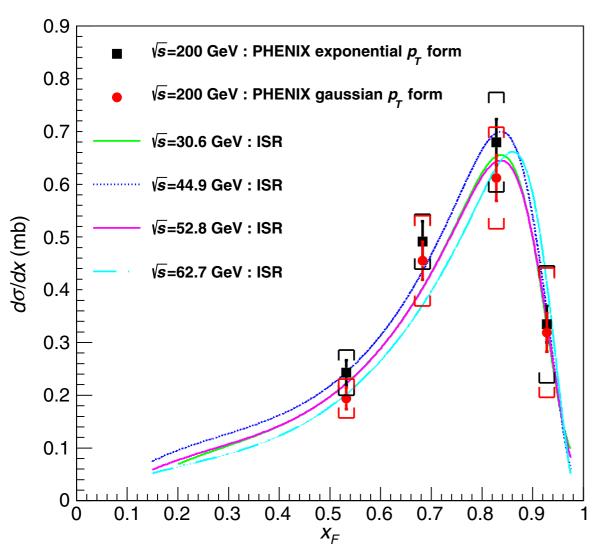
Motivation of this talk is to find a mechanism that can explain forward asymmetries.



- $\pi^0$  asymmetry increases ~ 16%/GeV, instead of neutron asymmetry ~ -32%/GeV.
- $\pi^0$ /neutron is ~ -1/2. Could  $\pi^0$ s be understood by a similar manner as neutrons?

#### Looking at only A<sub>N</sub> is insufficient

$$A_N^{incl} = \frac{A_N^{SD} \sigma^{SD} + A_N^{DD} \sigma^{DD} + A_N^{ND} \sigma^{ND} + A_N^{pQCD} \sigma^{pQCD}}{\sigma^{SD} + \sigma^{DD} + \sigma^{ND} + \sigma^{pQCD}}$$
$$\sigma^{incl} = \sigma^{SD} + \sigma^{DD} + \sigma^{ND} + \sigma^{pQCD}$$

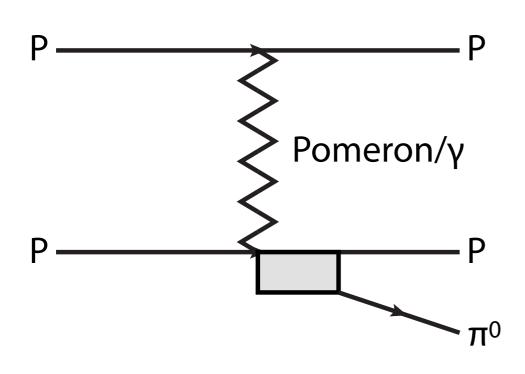


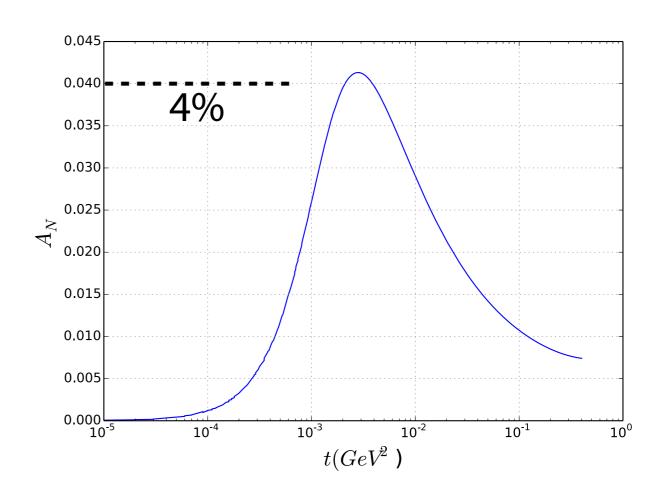
Very forward neutrons are exceptionally lucky; we can focus on only one- $\pi$  exchange.

It is not true for forward  $\pi^0$ s.

Let's see what's happening in  $\pi^0$  production

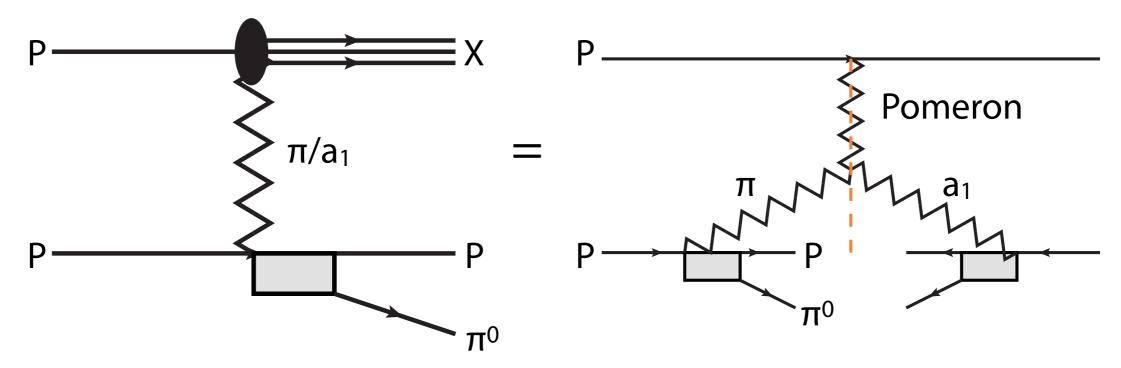
#### Elastic-like $\pi^0$ asymmetry (P and $\gamma$ )





- Well known Coulomb-nuclear interference (CNI) gives a few % asymmetry.
- In fact, the RHIC polarimeter ( $p^{\uparrow}+C$ ) is based on this mechanism.
- Calculated asymmetry of an intermediate state is far smaller than the RHICf data.
  - $A_N$  < 5% and rapidly decreases as  $|t| > 10^{-2}$  GeV<sup>2</sup>.

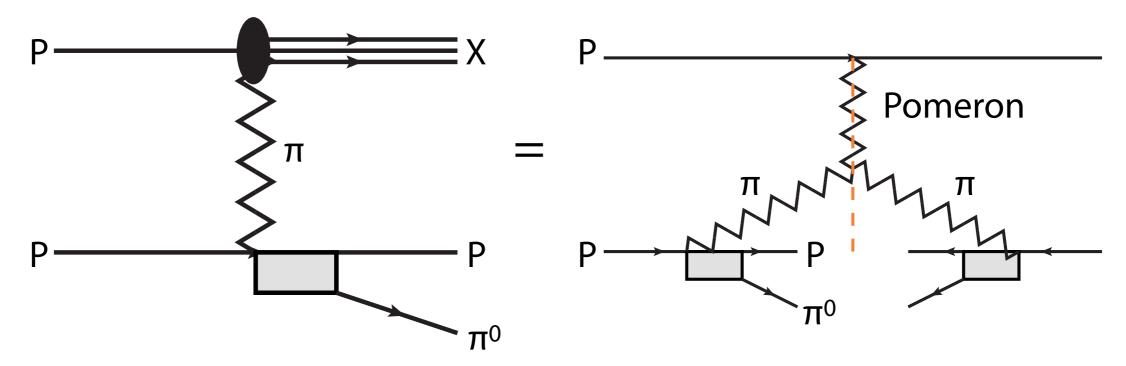
#### Diffractive-like $\pi^0$ asymmetry ( $\pi$ and $a_1$ )



- High energy single diffraction is represented by a triple-reggeon diagram.
- Interference between  $\pi$  (spin-flip) and  $a_1$  (nonflip) gives nonzero asymmetry.
  - Kopeliovich et al reproduced the PHENIX forward neutron asymmetry  $\sim$  -5%.
- I tried to apply Kopeliovich's idea to  $\pi^0$  asymmetry;
  - so sensitive to the a<sub>1</sub> parameters (some parameter choices seem biased.)
  - turned out few % asymmetry for  $\pi^0$ s, as expected by neutron asymmetry
- But few % asymmetry only from a single diffraction should be insufficient to explain the RHICf inclusive measurements.  $A_N = \frac{A_N^{diff} \sigma^{diff} + A_N^{non-diff} \sigma^{non-diff}}{\sigma^{diff} + \sigma^{non-diff}}$

$$A_N^{non\text{-}diff} \sim 0?$$

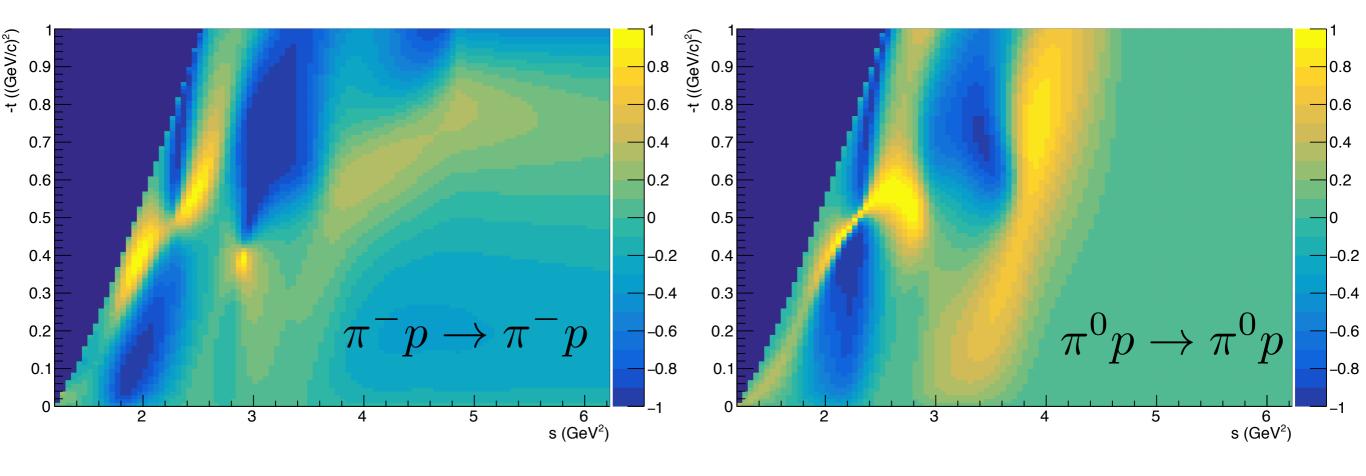
#### Diffractive-like $\pi^0$ asymmetry ( $\pi N$ )

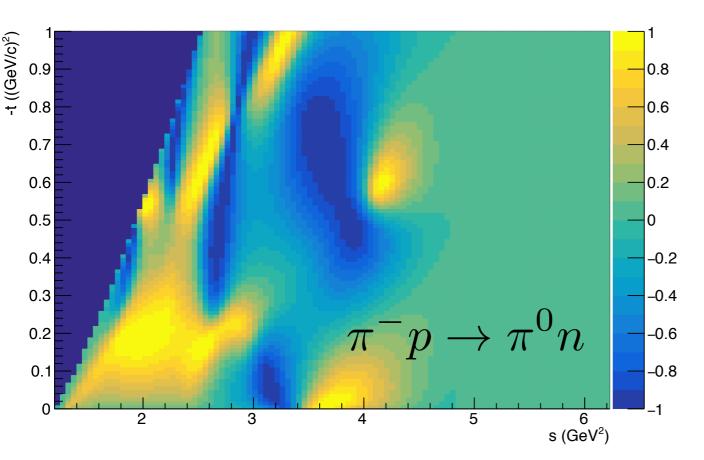


- Amplitude of  $\pi$ -exchange dominates other mesons/reggeons.
- $\pi+p^{\uparrow}$  is known to give sizable (+ and -) asymmetries for outgoing particles.

$$\text{Large A}_{\text{N}}^{\text{diff may compensate small } \sigma^{\text{diff}} \rightarrow A_N = \frac{A_N^{diff} \sigma^{diff} + A_N^{non\text{-}diff} \sigma^{non\text{-}diff}}{\sigma^{diff} + \sigma^{non\text{-}diff}}$$

- Low energy  $\pi+p^{\uparrow}$  scatterings are parametrized by partial wave amplitudes:
  - Kamano et al, Ronchen et al, SAID, etc...





- Exchanged  $\pi s$  have small momenta, so the invariant  $\pi p^{\uparrow}$  mass W (=  $\sqrt{s}$ ) can be down to the  $\Delta(1232)$  mass.
- Present asymmetries for outgoing  $\pi s$  are predicted by SAID.
- SAID papers say similar results can be obtained by other models as well.
- Large  $\pi^0$  asymmetries either in positive and negative

#### Fraction of diffraction among inelastic of

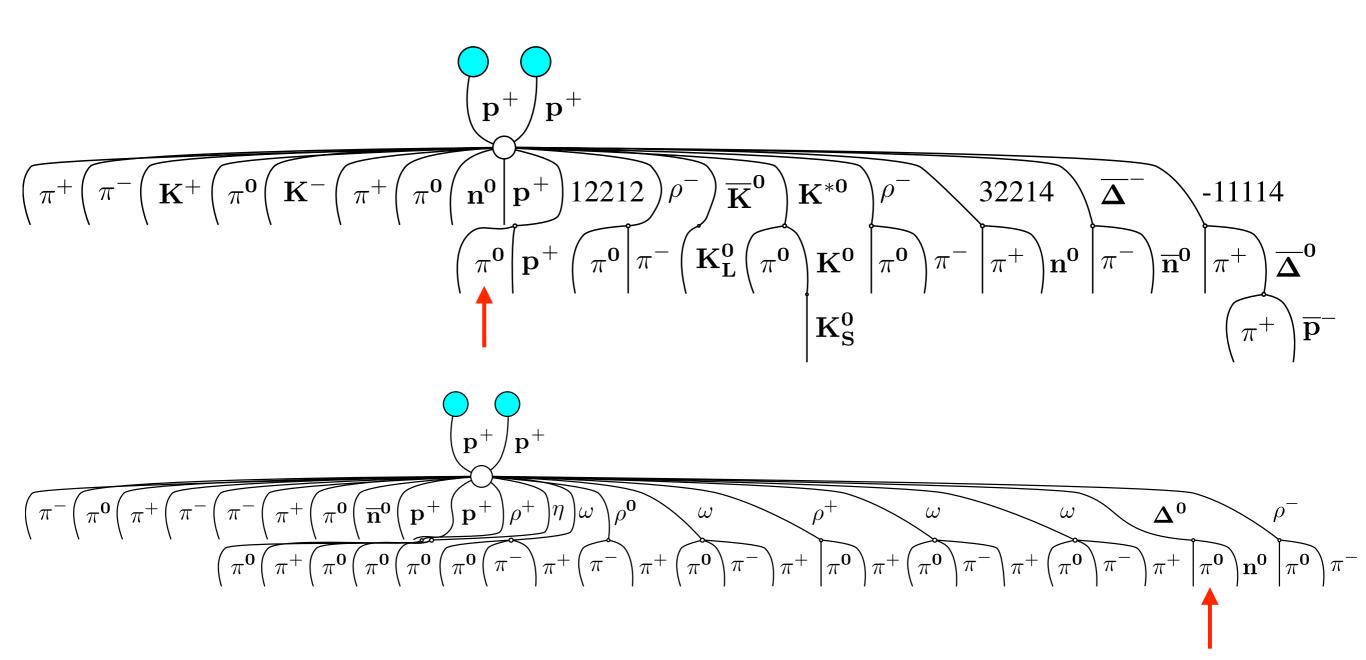
$$A_{N} = \frac{A_{N}^{diff}\sigma^{diff} + A_{N}^{non-diff}\sigma^{non-diff}}{\sigma^{diff} + \sigma^{non-diff}}$$

- We see large  $\pi^0$  asymmetries emerge in low energy  $\pi + p^{\uparrow}$  scatterings.
- Next step is an estimation of  $\pi^0$  production cross sections  $\sigma^{diff}$  and  $\sigma^{non-diff}$ .
- Diffractive cross section is calculated using the discontinuity in  $M_{\rm x}^2$ . (I learned it from text books. Please forgive unintentional misunderstandings.)

$$E_{p}E_{\pi^{0}}\frac{d^{6}\sigma^{diff}}{d^{3}p_{p}d^{3}p_{\pi^{0}}} = \frac{1}{s}\operatorname{disc}_{M_{X}^{2}}A_{pp\to Xp\pi^{0}}$$

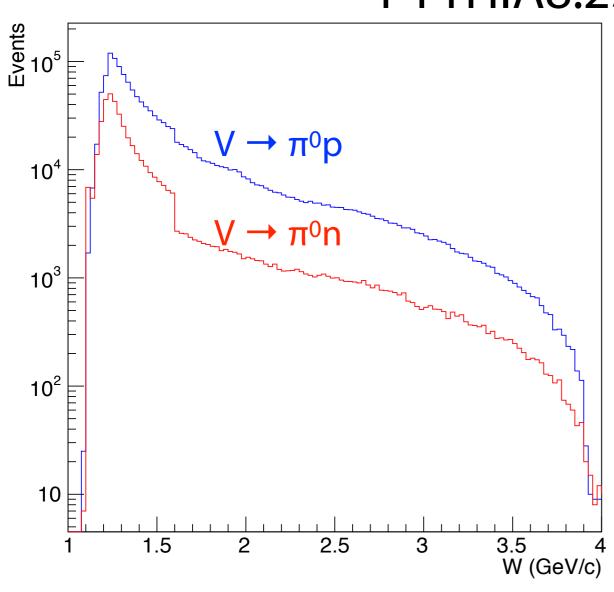
- I did such cumbersome calculations for  $x_F$ ,  $p_T$ , and  $\phi$  distributions.
- But at this time, I used a shortcut to use Monte Carlo simulations, PYTHIA8 and EPOS, to get overall <u>normalization</u> of diffraction relative to inelastic events.
- Only in PYTHIA8 and EPOS (via HEPMC), we can trace given particles' parents and children.

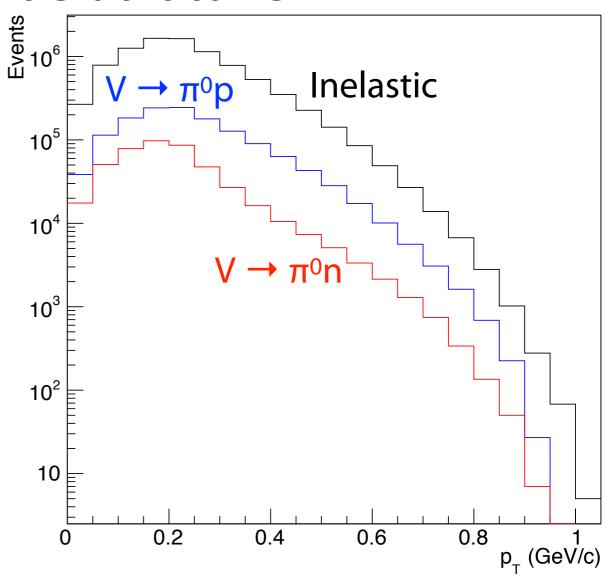
#### Highest energy $\pi^0$ (EPOS LHC via HEPMC)



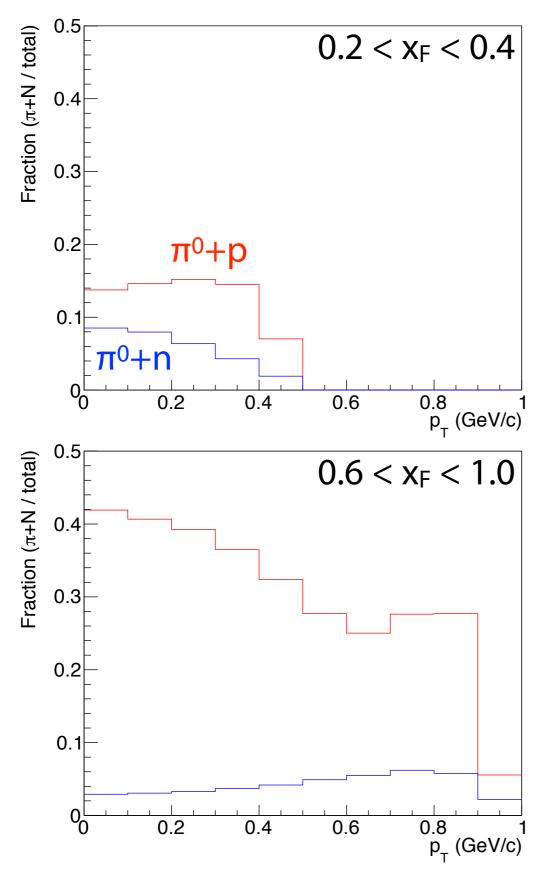
#### Fraction of diffraction among inelastic o

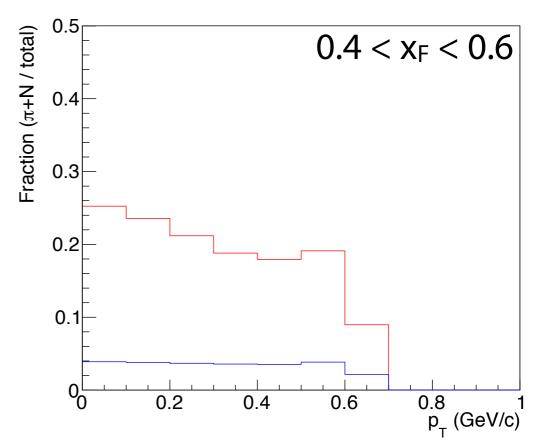
#### PYTHIA8.235 default tune





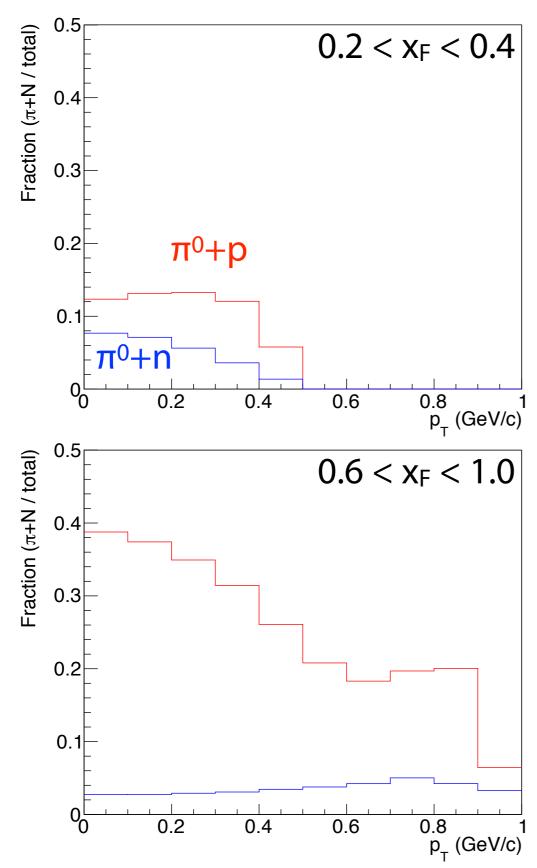
#### πN/total fraction by PYTHIA8 default

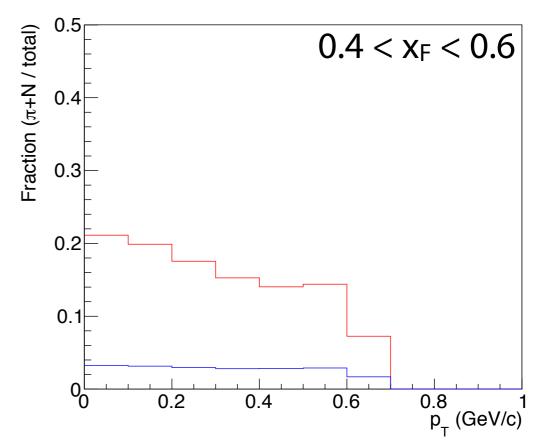




Consistent with the well known PYTHIA8's tendency: large fraction of diffraction at high x<sub>F</sub>

#### **πN/total fraction by PYTHIA8 Tune4C**

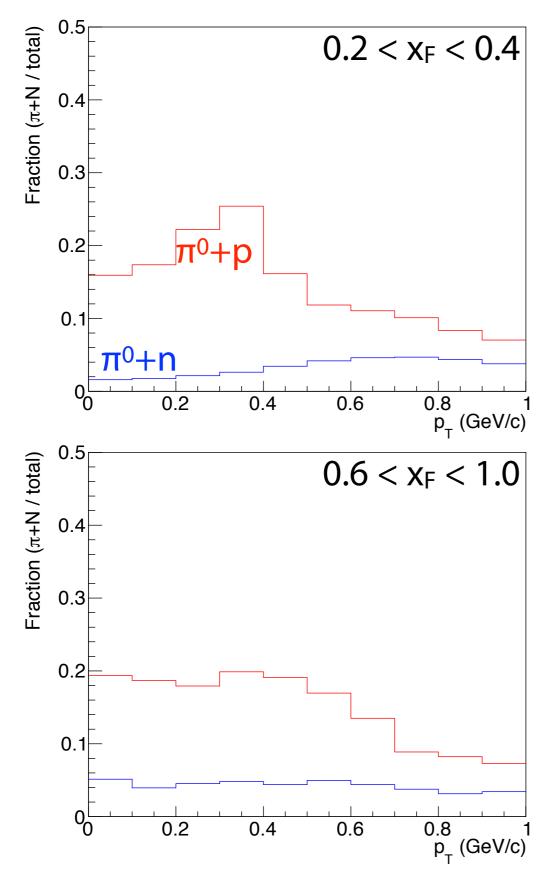


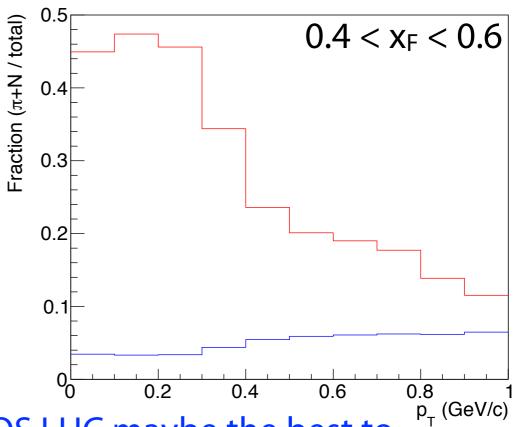


Tune4C is tuned by the Tevatron diffraction data.

Consistent with the well known PYTHIA8's tendency: large fraction of diffraction at high x<sub>F</sub>

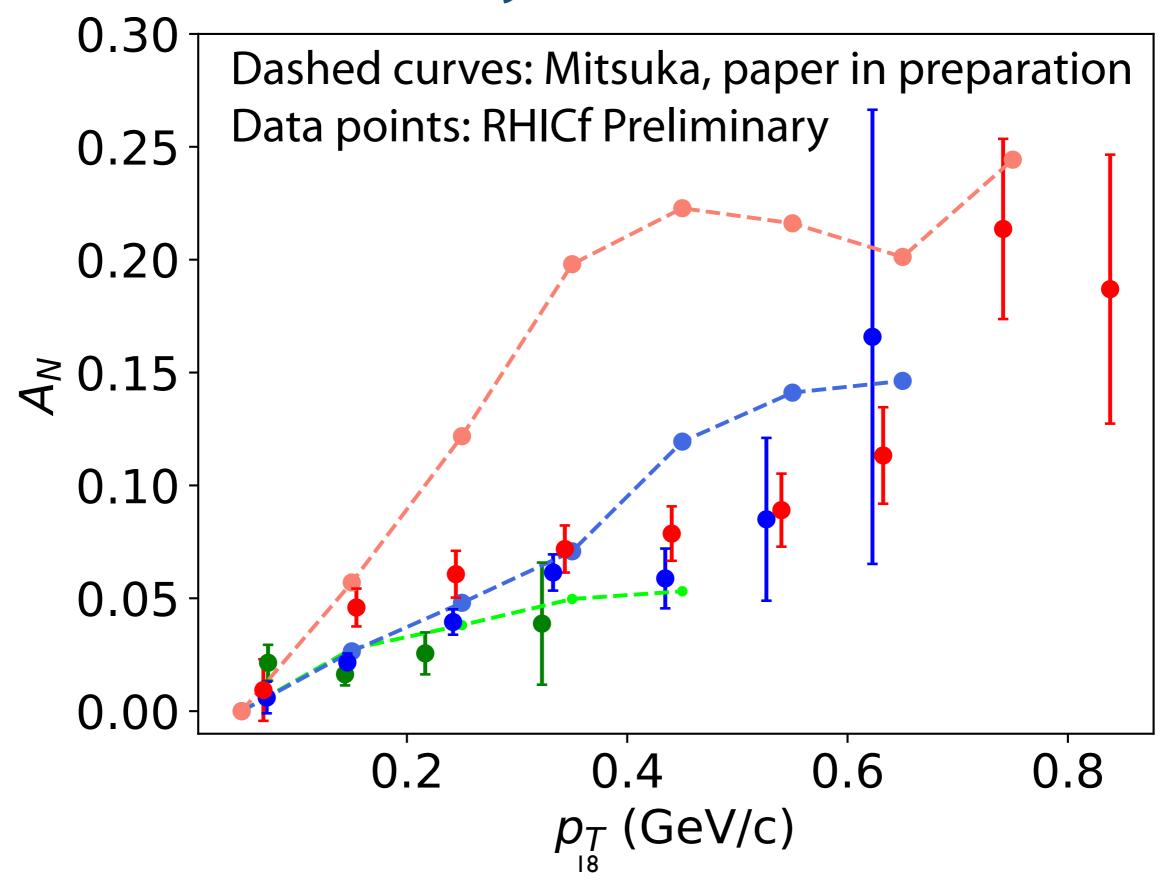
#### **πN/total fraction by EPOS LHC**



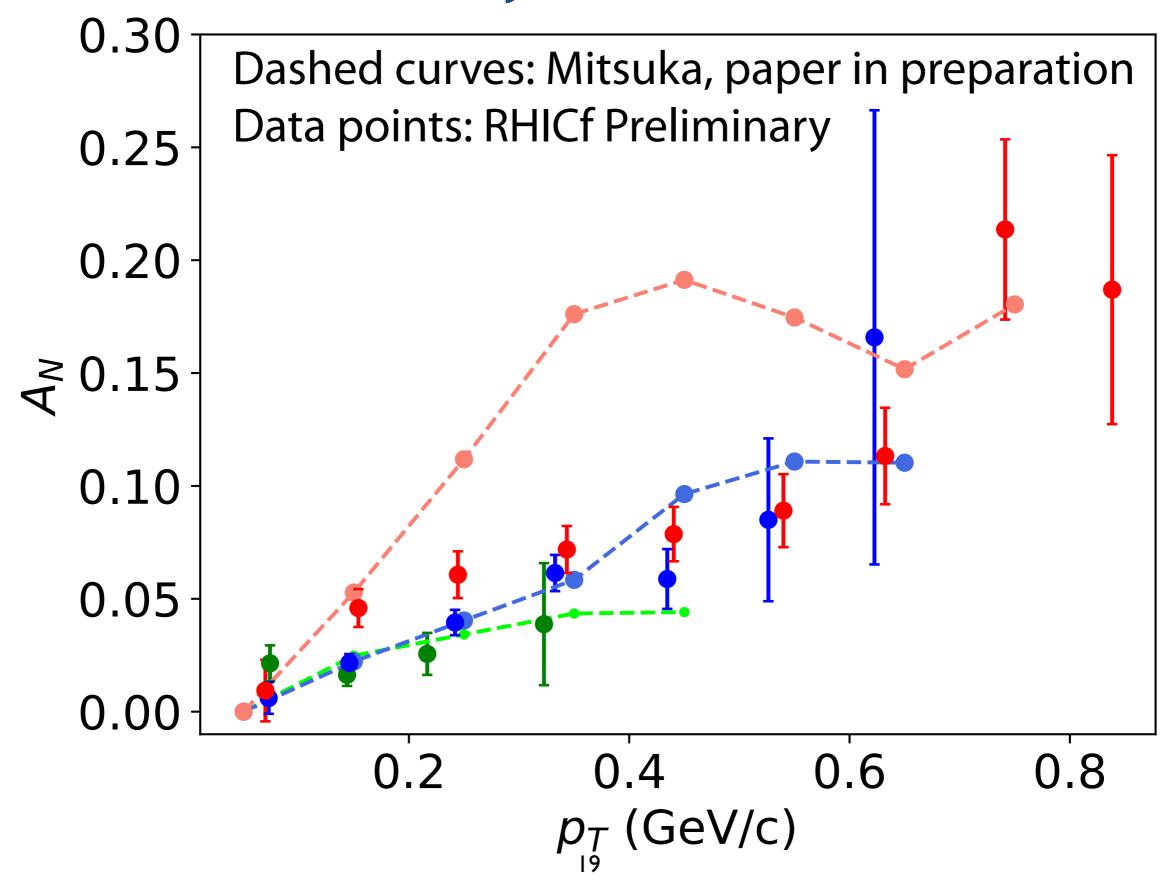


EPOS LHC maybe the best to reproduce the ATLAS-LHCf data.

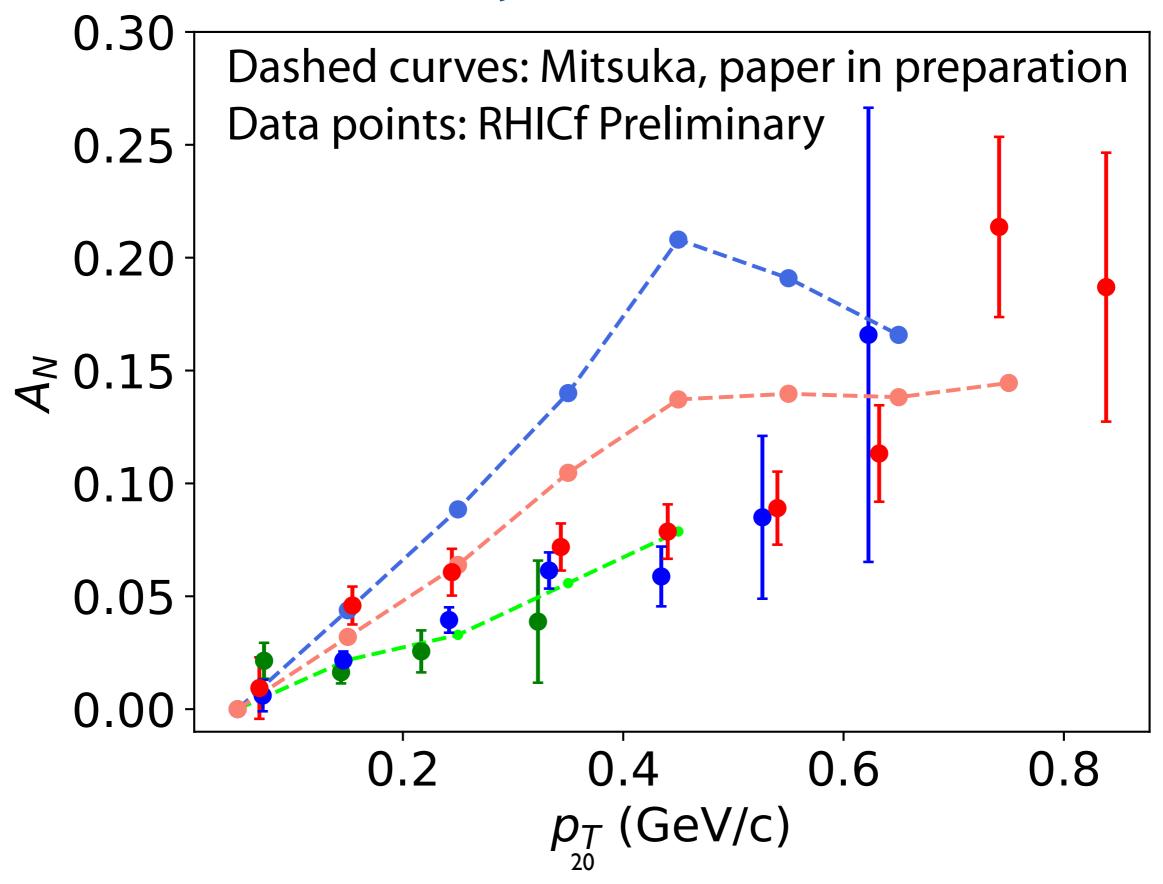
#### π<sup>0</sup> A<sub>N</sub> (fraction by PYTHIA8 default)



#### π<sup>0</sup> A<sub>N</sub> (fraction by PYTHIA8 Tune4C)

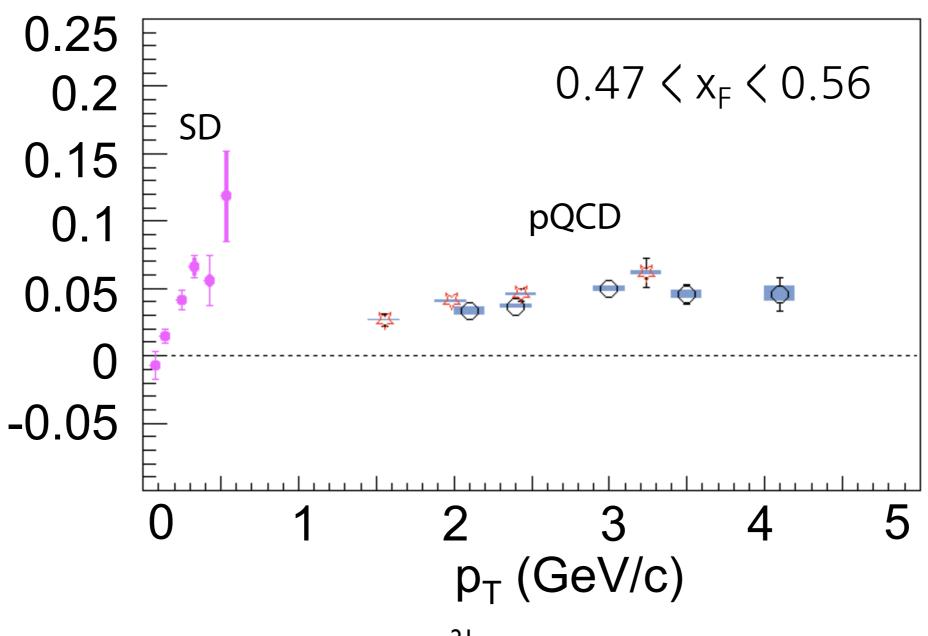


#### π<sup>0</sup> A<sub>N</sub> (fraction by EPOS LHC)



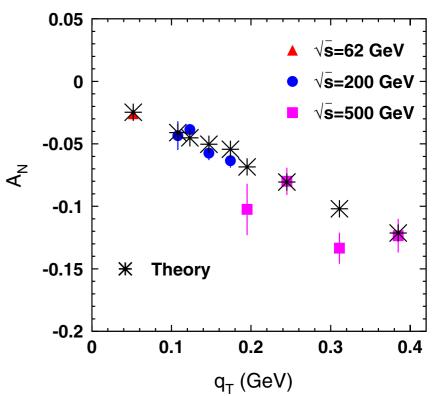
#### On Minho's plot

$$A_N^{incl} = \frac{A_N^{SD}\sigma^{SD} + A_N^{DD}\sigma^{DD} + A_N^{ND}\sigma^{ND} + A_N^{pQCD}\sigma^{pQCD}}{\sigma^{SD} + \sigma^{DD} + \sigma^{ND} + \sigma^{pQCD}}$$



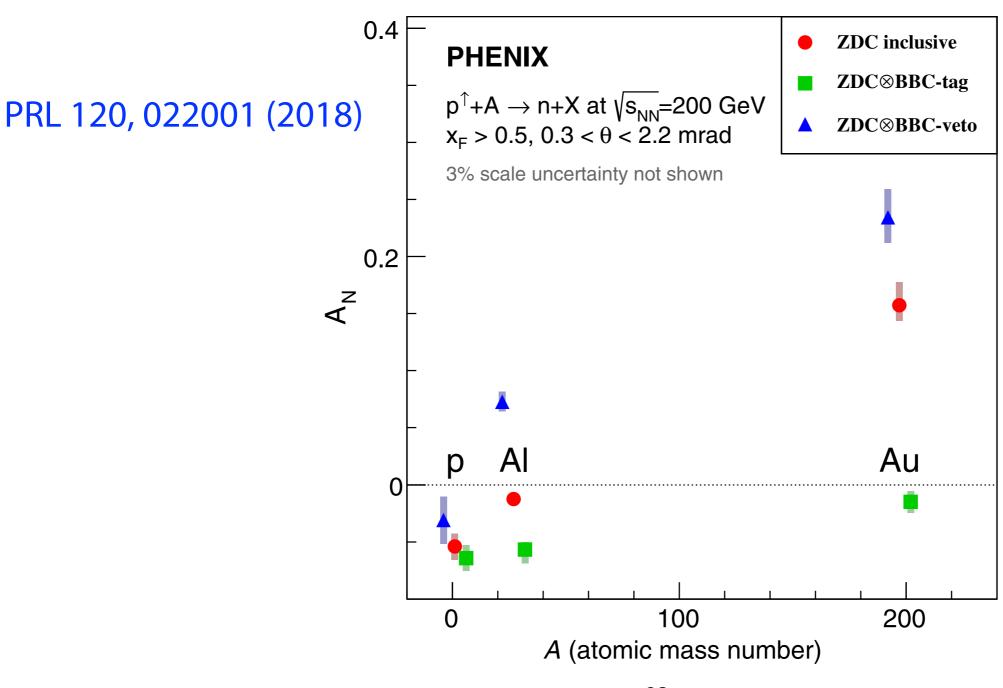
#### Summary of asymmetries in pp

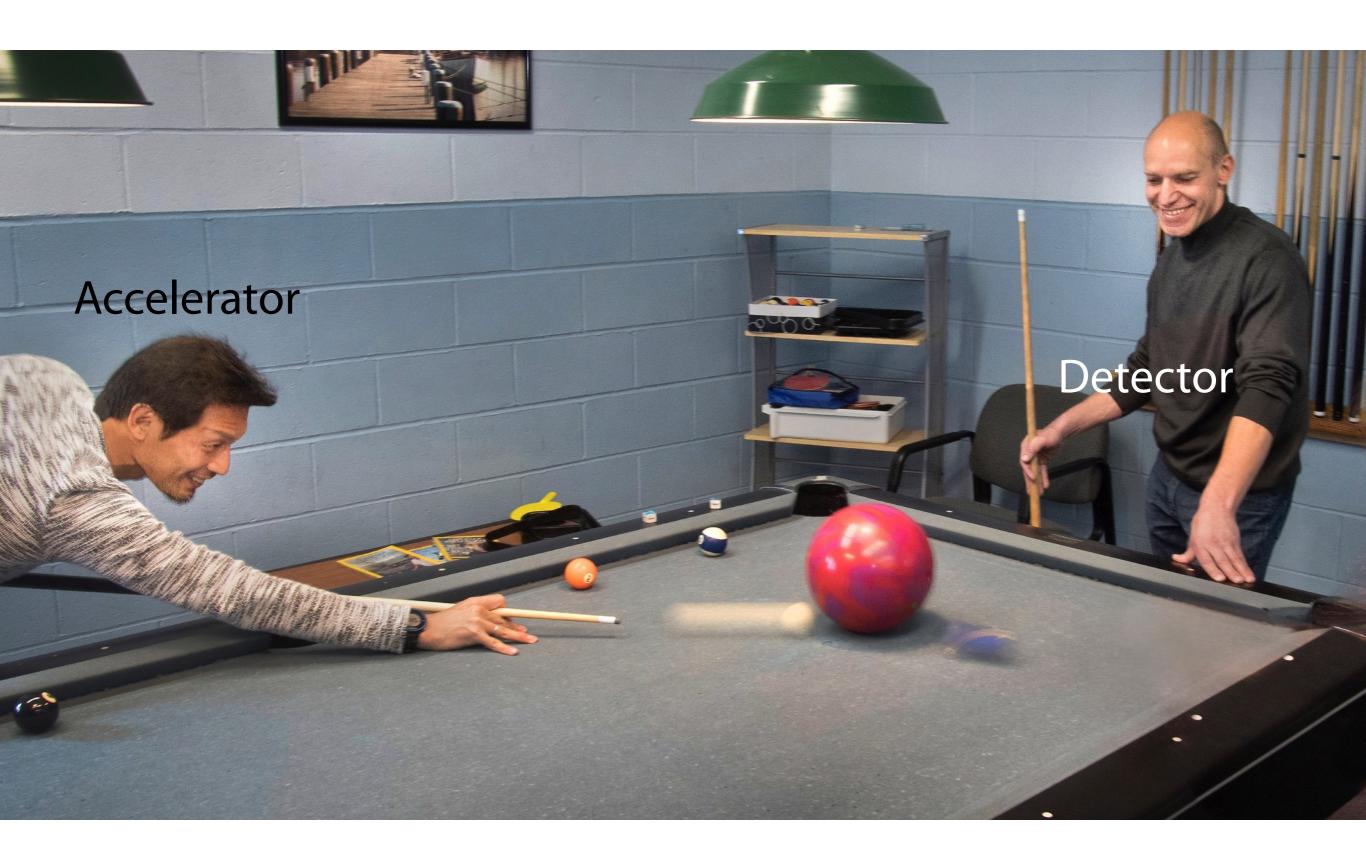
- As presented by Minho, the RHICf preliminary data indicated large and positive asymmetries for forward  $\pi^0$ s.
- I calculated  $\pi^0$  asymmetries assuming three scenarios: elastic,  $\pi/a_1$  interference, and low energy  $\pi N$  scatterings.
- Large asymmetries induced by  $\pi N$  scatterings can reproduce the RHICf data in some  $x_F$  regions.
- But, if this scenario is true, how can we understand neutron asymmetries that were successfully reproduced by  $\pi/a_1$  interference??



#### Neutron asymmetries in pAl and pAu

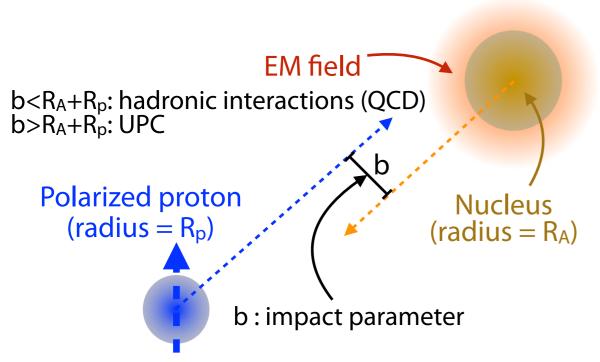
- Large A<sub>N</sub> of ZDC inclusive in pAu may indicate
  - 1) substantial nuclear effects in nuclear targets
  - 2) effects of electromagnetic (EM) field produced by relativistic A targets



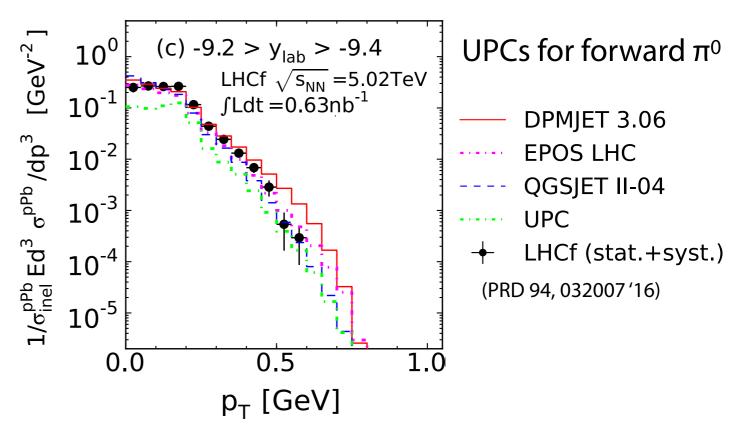


#### Ultra-peripheral collisions (UPCs)

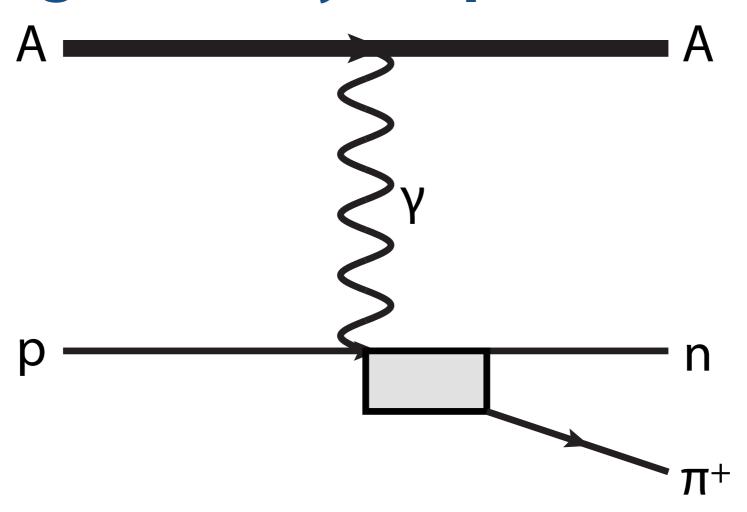
- In order to test the EM field scenario, I developed the MC simulation framework that took into account the both *hadronic interactions* and *ultra-peripheral collisions*.
- Ultra-peripheral collisions (aka Primakoff effects); a collision of a proton with the EM field made by a relativistic nucleus when the impact parameter b is larger than  $R_A+R_p$ .



Please see my papers for details: GM, EPJ C **75**, 614 (2015) and GM, PRC **95**, 044908 (2017).



#### **UPC diagram (very simplified)**



$$\frac{d\sigma_{\text{UPC}(p^{\uparrow}A\to\pi^{+}n)}^{4}}{dWdb^{2}d\Omega_{n}} = \frac{d^{3}N_{\gamma^{*}}}{dWdb^{2}} \frac{d\sigma_{\gamma^{*}p^{\uparrow}\to\pi^{+}n}(W)}{d\Omega_{n}} \overline{P_{\text{had}}}(b)$$

photon flux (N): virtual photons produced by a relativistic nucleus

 $\sigma_{\gamma+p\to\chi}$ : inclusive cross sections of  $\gamma+p$  interactions

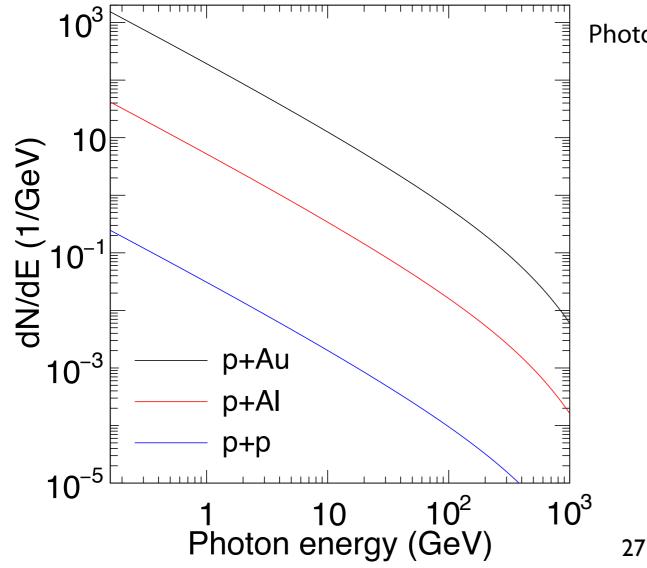
 $\overline{P_{had}}$ : a probability not having a p+A hadronic interaction

#### Virtual photon flux

The number of virtual photons per energy and b is formulated by the Weizsacker-Williams approximation or QED (Phys. Rep 364 359 '02, NPA 442 739 '85, etc...):

$$\frac{d^3N_{\gamma^*}}{d\omega_{\gamma^*}^{rest}db^2} = \frac{Z^2\alpha}{\pi^2}\frac{x^2}{\omega_{\gamma^*}^{rest}b^2}\left(K_1^2(x) + \frac{1}{\gamma^2}K_0^2(x)\right) \qquad \text{Proportional to Z}^2$$

where  $x = \omega_{\gamma^*}^{rest} b/\gamma$  and  $\omega^{rest}_{\gamma}$  is the virtual photon energy in the proton rest frame. Note that the virtual photon flux depends on the charge of photon source as Z<sup>2</sup>.



Photon virtuality is limited by  $Q^2 < \frac{1}{R^2}$  . So,  $Q^2 < 10^{-3} \, {\rm GeV}^2$ 

#### γ+p interactions

$$\frac{d\sigma_{\mathrm{UPC}(p^\uparrow\mathrm{A}\to\pi^+n)}^4}{dWdb^2d\Omega_n} = \frac{d^3N_{\gamma^*}}{dWdb^2} \frac{d\sigma_{\gamma^*p^\uparrow\to\pi^+n}(W)}{d\Omega_n} \overline{P_{\mathrm{had}}}(b)$$
Resonance region

Experimental data are available at PDG (mainly in 50s and 60s.)

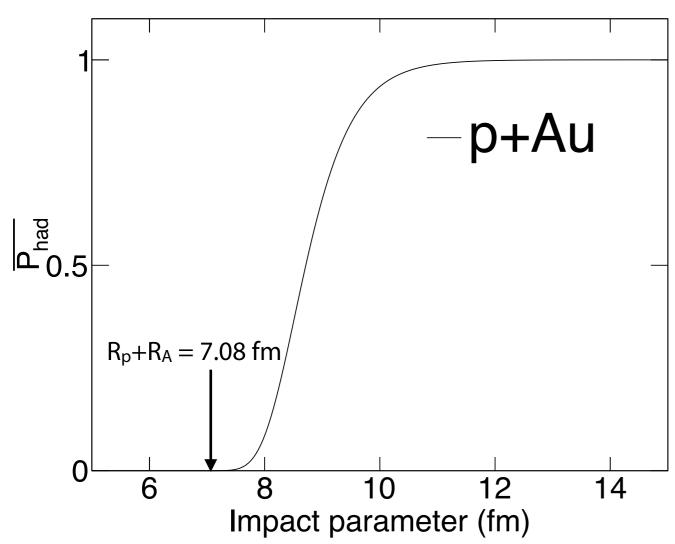
Multiplion production

Multiplion production

- Recalling the virtual photon flux and dominance of low-energy photons, most UPCs occur at the baryon resonance region.
- Namely, low-energy  $\gamma$ +p interactions ( $\omega^{rest}_{\gamma}$  < 1.5 GeV) play major role in UPCs.

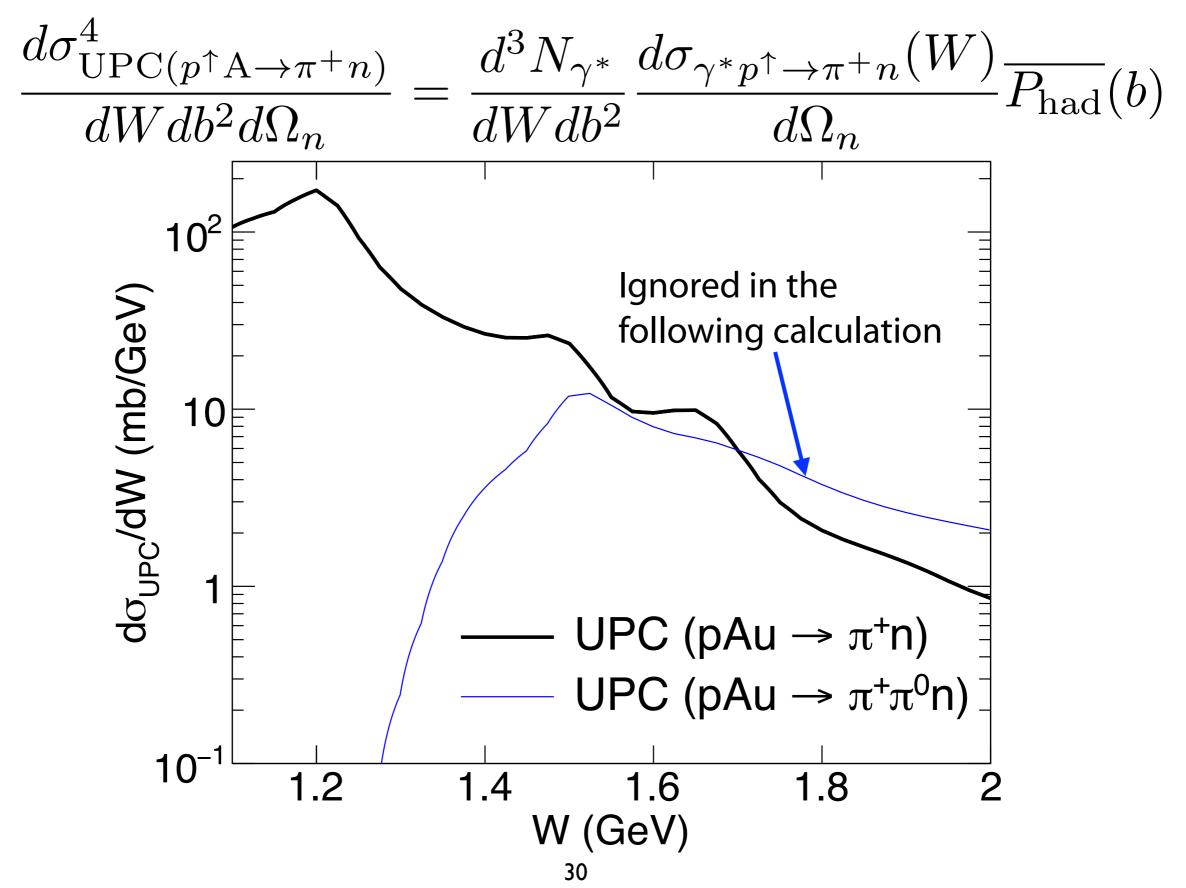
#### Impact parameter (~A) dependence

$$\frac{d\sigma_{\text{UPC}(p^{\uparrow}A\to\pi^{+}n)}^{4}}{dWdb^{2}d\Omega_{n}} = \frac{d^{3}N_{\gamma^{*}}}{dWdb^{2}} \frac{d\sigma_{\gamma^{*}p^{\uparrow}\to\pi^{+}n}(W)}{d\Omega_{n}} \overline{P_{\text{had}}(b)}$$



- P<sub>had</sub> is calculated by using a Glauber MC simulation.
- UPCs occur only if the impact parameter b is larger than the sum of radii R<sub>p</sub> and R<sub>A</sub>.
- P<sub>had</sub>(b) distribution is important not only for the cross section but also for the energy distribution.

#### **UPC cross sections as a function of W**

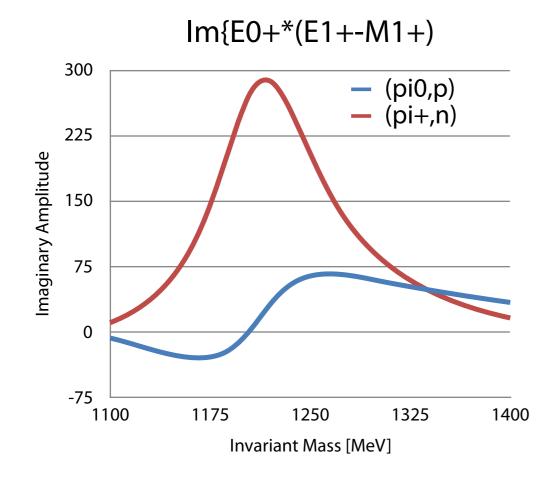


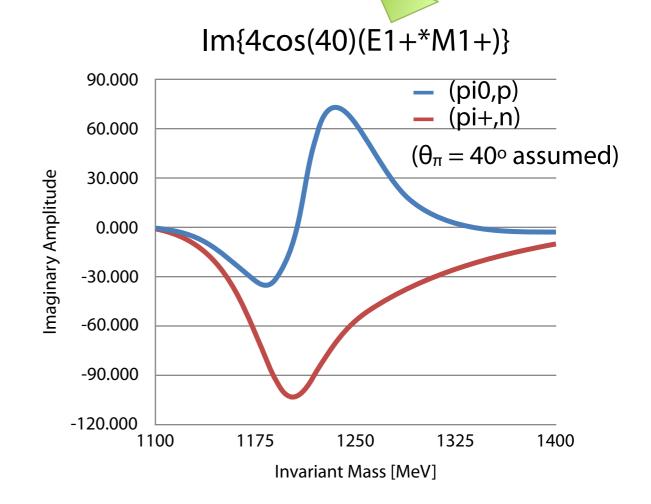
#### Origin of asymmetries in UPCs



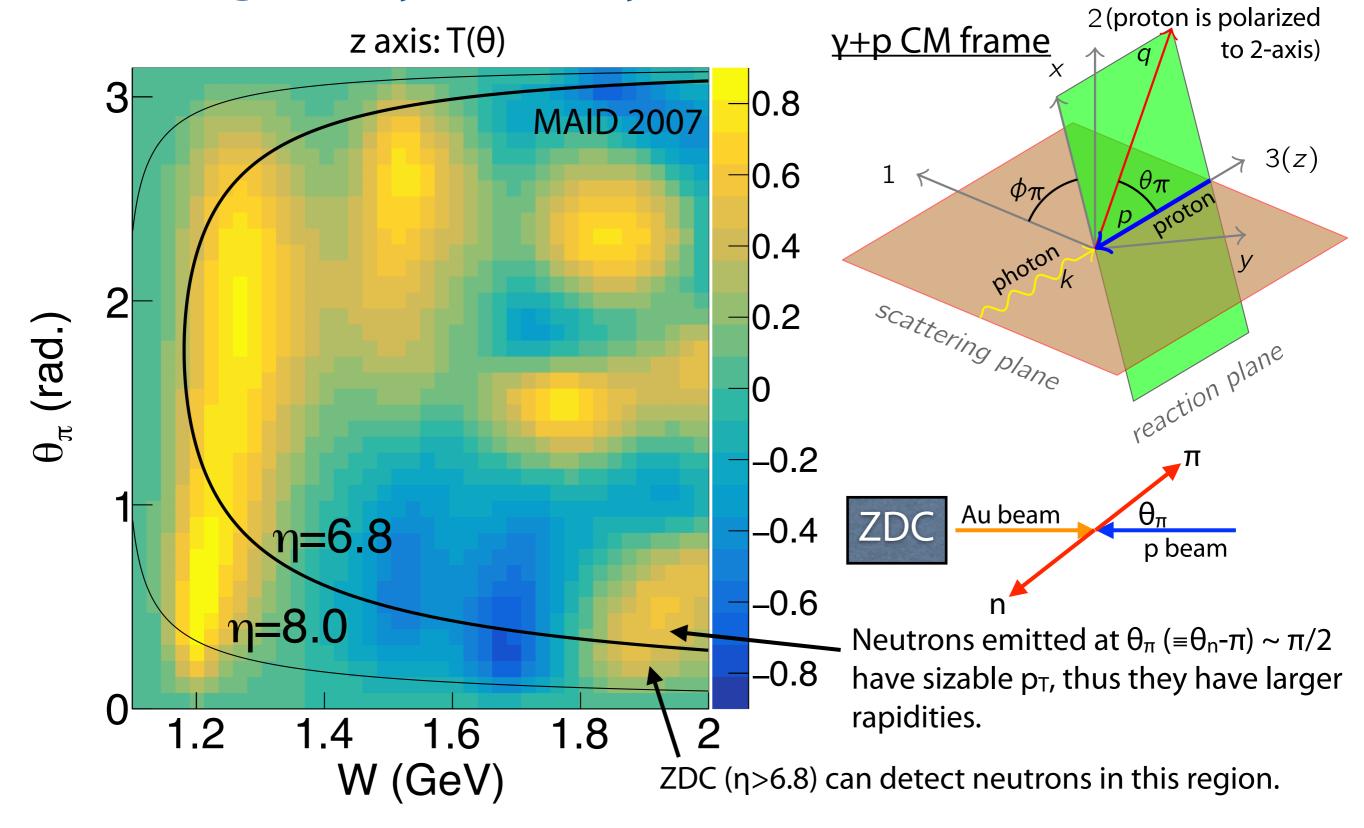
(courtesy of I. Nakagawa)

$$A_N^{\text{UPC}} \sim T(\theta_\pi) \equiv \frac{R_T^{0y}}{R_T^{00}} \propto \text{Im}\{E_{0+}^*(E_{1+} - M_{1+}) - 4\cos\theta_\pi(E_{1+}^*M_{1+})...\}$$

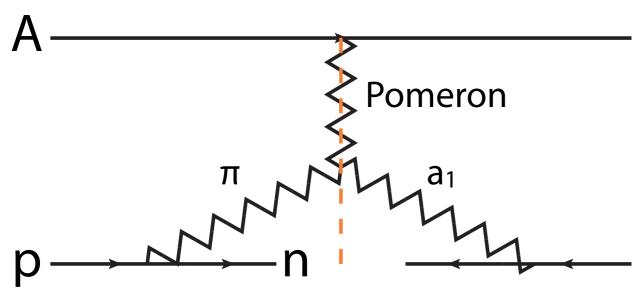




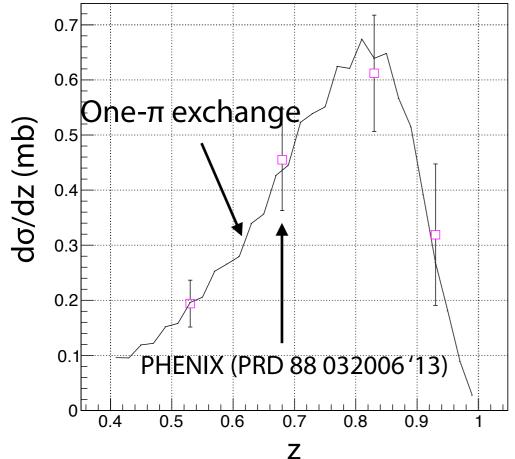
#### Target asymmetry $T(\theta)$ as a function of W



#### Hadronic interactions (one-π exchange)



- I follow Kopeliovich's idea ( $\pi/a_1$  interference) for hadronic interactions.
- Calculation of pomeron-nuclear interactions is far beyond my skill!!
   So, I simply multiply pp cross sections with the A-dependent factors.



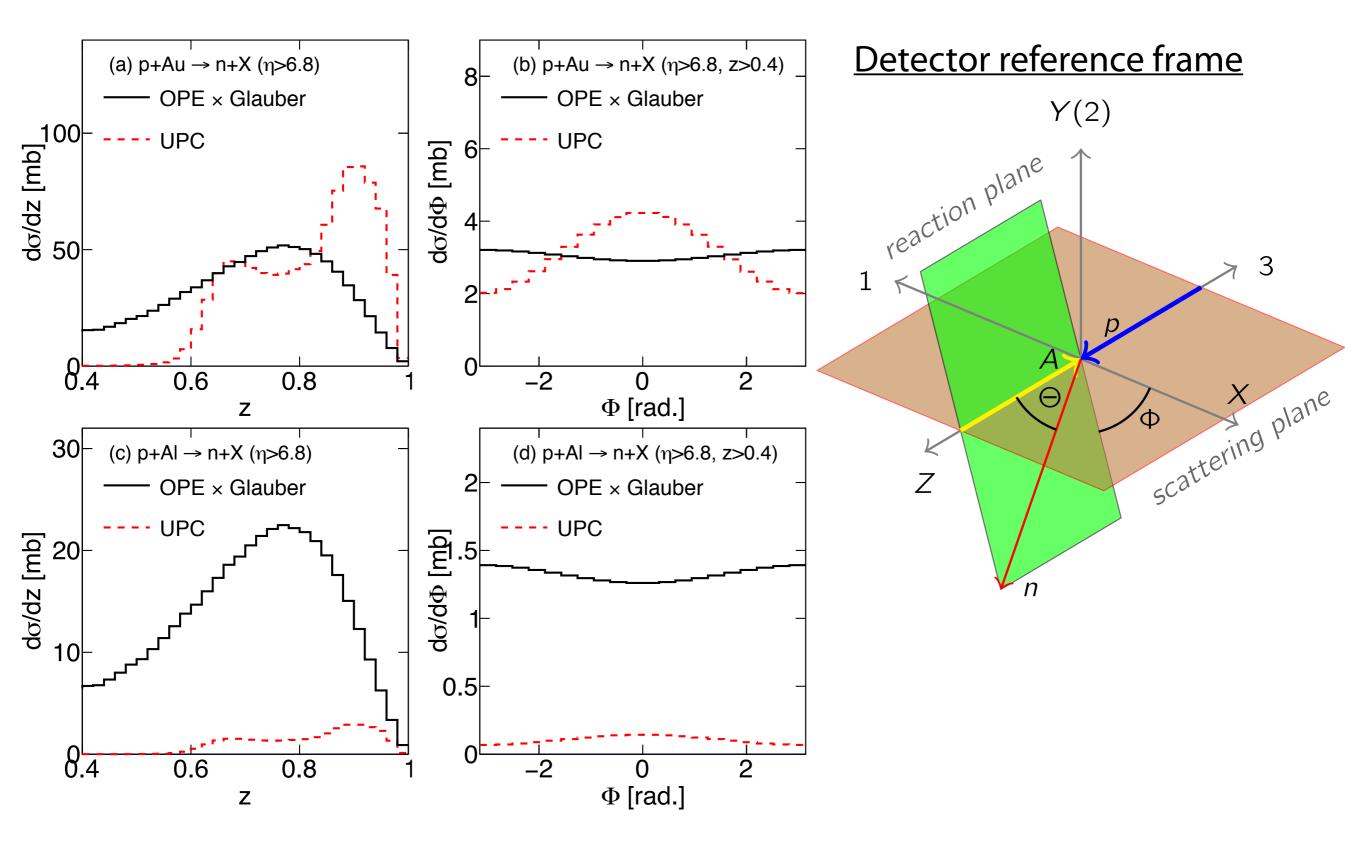
$$z \frac{d\sigma_{pp \to nX}}{dz dp_{\mathrm{T}}^{2}} = S^{2} \left(\frac{\alpha_{\pi}'}{8}\right)^{2} |t| G_{\pi^{+}pn}^{2}(t) |\eta_{\pi}(t)|^{2}$$

$$\times (1-z)^{1-2\alpha_{\pi}(t)} \sigma_{\pi^{+}+p}^{\mathrm{tot}}(M_{X}^{2}),$$

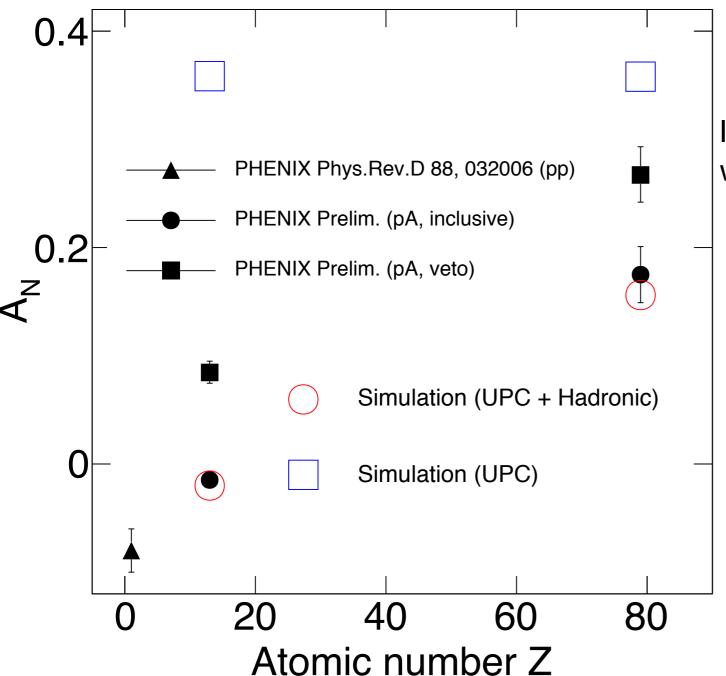
$$z \frac{d\sigma_{p^{\uparrow}A \to nX}}{dz dp_{\mathrm{T}}^{2}} = z \frac{d\sigma_{pA \to nX}}{dz dp_{\mathrm{T}}^{2}} (1 + \cos \Phi A_{\mathrm{N}}^{\mathrm{HAD}(pA)})$$

$$= z \frac{d\sigma_{pp \to nX}}{dz dp_{\mathrm{T}}^{2}} A^{0.42} (1 + \cos \Phi A_{\mathrm{N}}^{\mathrm{HAD}(pA)})$$

#### **UPCs and OPE at the ZDC acceptance**



#### Neutron A<sub>N</sub> in pA: data vs. UPC+OPE



Inclusive  $A_N$  of the MC simulations can be written as

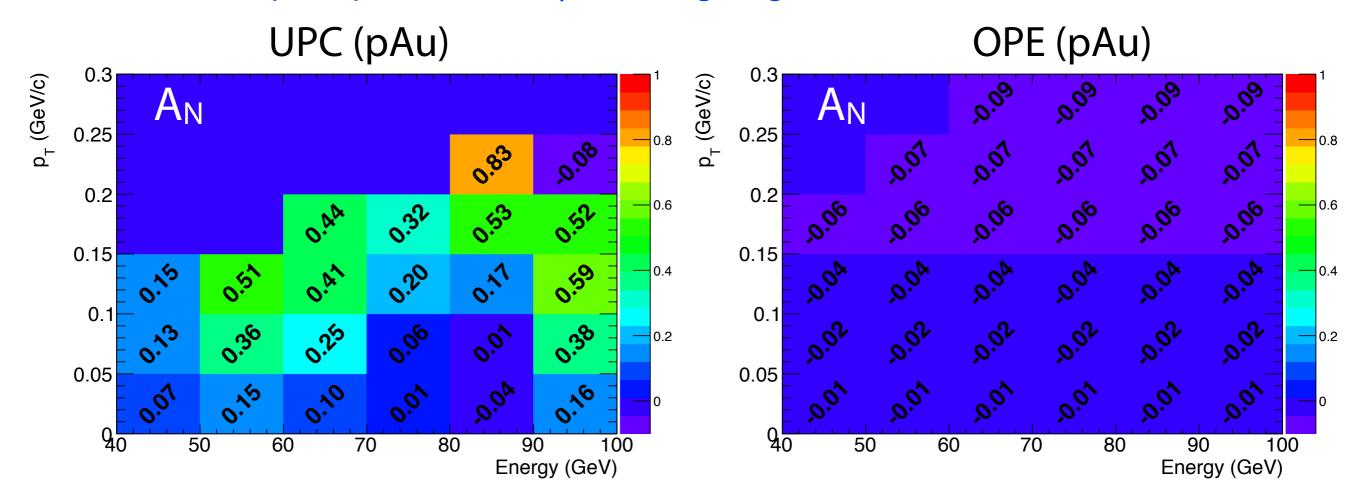
$$A_{\mathrm{N}}^{\mathrm{UPC+OPE}} = \frac{\sigma_{\mathrm{UPC}} A_{\mathrm{N}}^{\mathrm{UPC}} + \sigma_{\mathrm{OPE}} A_{\mathrm{N}}^{\mathrm{OPE}}}{\sigma_{\mathrm{UPC}} + \sigma_{\mathrm{OPE}}}$$

TABLE I. Cross sections for neutron production in ultraperipheral collisions and hadronic interactions at  $\sqrt{s_{\rm NN}}=200\,{\rm GeV}$ . Cross sections in parentheses are calculated without  $\eta$  and z limits.

UPCs Ha	dronic interactions
$p^{\uparrow} \text{Al}$ $p^{\uparrow} \text{Au}$ $p^{\uparrow} \text{Au}$ $p^{\uparrow} \text{Au}$ 0.7 mb (2.2 mb) 19.6 mb (41.7 mb) 8.3	1

#### Summary of asymmetries in pA

- UPCs and hadronic interactions explain the PHENIX-ZDC data.
  - $\gamma p$  interactions produce large  $\pi^0$  asymmetries.
  - Photon flux depending on Z<sup>2</sup> enhances asymmetries for heavy nuclei.
  - $\pi$ -a<sub>1</sub> interference well reproduced the asymmetries in pp.
- x<sub>F</sub> and p<sub>T</sub> dependent analysis is ongoing at PHENIX.



#### Comments on $\pi^0$ asymmetries in pA

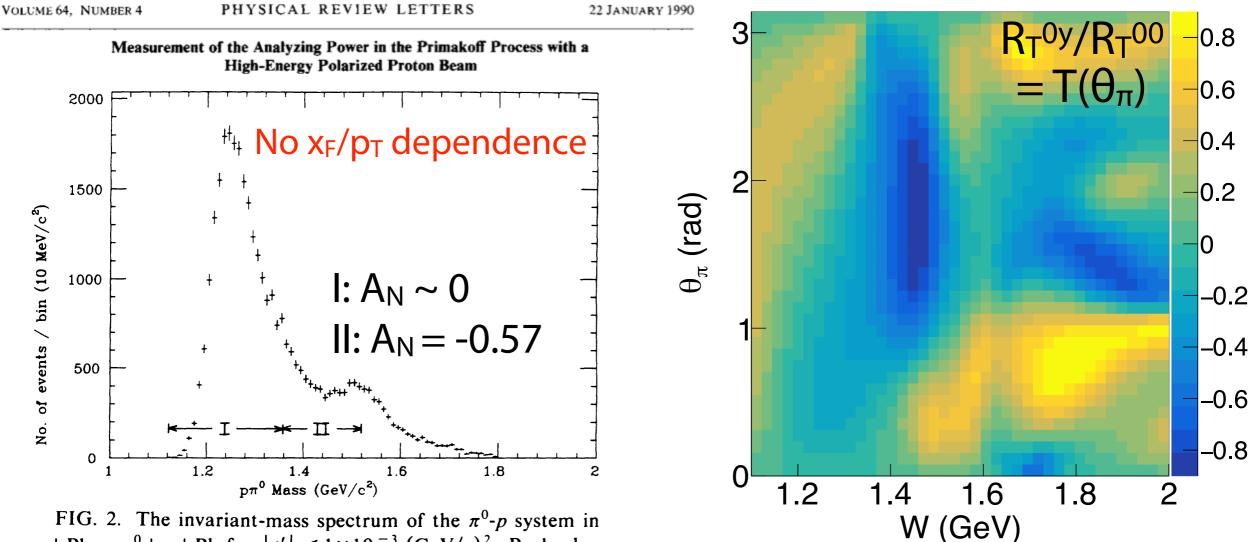


FIG. 2. The invariant-mass spectrum of the  $\pi^0$ -p system in  $p+\mathrm{Pb} \to \pi^0+p+\mathrm{Pb}$  for  $|t'|<1\times10^{-3}$  (GeV/c)<sup>2</sup>. Peaks due to the  $\Delta^+(1232)$  and  $N^*(1520)$  resonances are shown. Regions I and II are defined in the text.

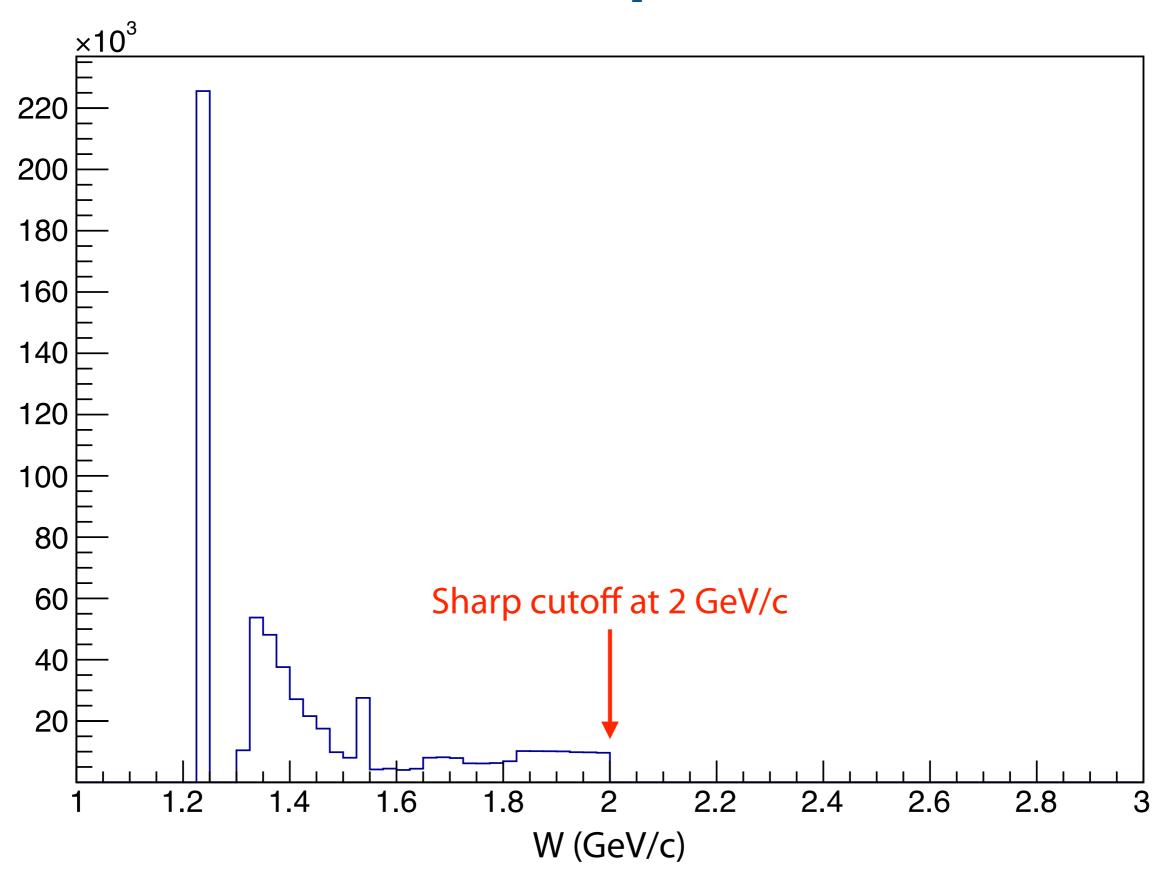
•  $x_F$  and  $p_T$  dependent  $\pi^0$  asymmetries in pAl and pAu provide crucial data to disentangle not only single spin but also particle production mechanisms. -  $\pi/a_1+UPCs$  or  $\pi N+UPCs$  or  $\pi/a_1+\pi N+UPCs$ ?

#### A good motivation of the RHICf (hopefully with Si) at sPHENIX

# Thank you for attention and invitation!!

## Backup

#### Invariant mass of π<sup>0</sup>p of EPOS LHC



#### Photopion production formalism

$$\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega} = \frac{q}{k} \left| \langle \chi_{\mathbf{f}} | \mathcal{F} | \chi_{\mathbf{i}} \rangle \right|^{2}, \tag{A.1}$$

where

$$\mathcal{F} = i\boldsymbol{\sigma}\cdot\boldsymbol{\varepsilon} \,\,\mathcal{F}_1 + \boldsymbol{\sigma}\cdot\,\hat{q}\,\boldsymbol{\sigma}\cdot(\hat{k}\times\boldsymbol{\varepsilon})\,\,\mathcal{F}_2 + i\boldsymbol{\sigma}\cdot\,\hat{k}\,\hat{q}\cdot\boldsymbol{\varepsilon}\,\,\mathcal{F}_3 + i\boldsymbol{\sigma}\cdot\,\hat{q}\,\hat{q}\cdot\boldsymbol{\varepsilon}\,\,\mathcal{F}_4. \quad (A.2)$$

$$\sum_{f} \langle \mathbf{x_f} | \mathcal{F} | \mathbf{x_i} \rangle^{\dagger} \langle \mathbf{x_f} | \mathcal{F} | \mathbf{x_i} \rangle = \langle \mathbf{x_i} | \mathcal{F}^{\dagger} \mathcal{F} | \mathbf{x_i} \rangle$$

$$\langle \chi_{i} | \mathcal{F}_{\pm}^{\dagger} \mathcal{F}_{\pm} | \chi_{i} \rangle = (1 \mp \hat{k} \cdot P) \alpha + \beta \pm \sin \theta \, \hat{e}_{1} \cdot P_{\gamma} + \sin \theta \, \hat{e}_{2} \cdot P_{\delta}, \quad (A.7)$$

where

$$\alpha = |\mathcal{F}_1|^2 + |\mathcal{F}|^2 - 2\cos\theta \operatorname{Re}(\mathcal{F}_1^*\mathcal{F}_2) + \sin^2\theta \operatorname{Re}\{\mathcal{F}_1^*\mathcal{F}_4 + \mathcal{F}_2^*\mathcal{F}_3\}, \quad (A.8)$$

$$\beta = \frac{1}{2} \sin^2 \theta \left\{ \left| \mathcal{F}_3 \right|^2 + \left| \mathcal{F}_4 \right|^2 + 2 \cos \theta \operatorname{Re} \left( \mathcal{F}_3^* \mathcal{F}_4 \right) \right\}, \tag{A.9}$$

$$\gamma = \operatorname{Re}\left\{\mathcal{F}_{1}^{*} \mathcal{F}_{3} - \mathcal{F}_{2}^{*} \mathcal{F}_{4}\right\} + \cos \theta \operatorname{Re}\left\{\mathcal{F}_{1}^{*} \mathcal{F}_{4} - \mathcal{F}_{2}^{*} \mathcal{F}_{3}\right\}, \tag{A.10}$$

$$\delta = \operatorname{Im}\left\{\mathcal{F}_{1}^{*} \mathcal{F}_{3} - \mathcal{F}_{2}^{*} \mathcal{F}_{4}\right\} + \cos \theta \operatorname{Im}\left\{\mathcal{F}_{1}^{*} \mathcal{F}_{4} - \mathcal{F}_{2}^{*} \mathcal{F}_{3}\right\}$$

$$-\sin^2\theta \operatorname{Im}(\mathcal{F}_3^*\mathcal{F}_4). \tag{A.11}$$

Polarized nucleon, unpolarized photon

$$\frac{d\sigma(\mathbf{P})}{d\Omega} = \frac{1}{2} \left\{ \frac{d\sigma_{+}(\mathbf{P})}{d\Omega} + \frac{d\sigma_{-}(\mathbf{P})}{d\Omega} \right\}$$

$$=\frac{q}{k}\left\{\alpha+\beta+\sin\theta\;\hat{\boldsymbol{e}}_{2}\cdot\boldsymbol{P}\delta\right\} \rightarrow \frac{d\sigma_{0}}{d\Omega}=\frac{q}{k}(\alpha+\beta), A_{N}=\frac{\sin\theta\,\delta}{\alpha+\beta}$$

#### Photopion production

(Berends et al. NPB 4, 1'67)

Berends et al. NPB 4, 1'67)

Eq. (A.2)
$$\widetilde{\mathcal{F}}(s,t) = \sum_{l=0}^{\infty} \begin{bmatrix} G_l(x) & 0 \\ 0 & H_l(x) \end{bmatrix} \widetilde{M}_l(s), \ \widetilde{M}_l = \begin{bmatrix} E_{l+} \\ E_{l-} \\ M_{l+} \\ M_{l-} \\ S_{l-} \end{bmatrix}$$

Gaund  $H_l$  are Legendre polynomials, and  $\widetilde{M}_l$  are multipoles.

(Drechsel and Tiator, JphysG 18, 449 '92)

Multipole decomposition:

Several models provide their predicted multipoles. I use MAID 2007 available at https://maid.kph.uni-mainz.de.

$$R_{\rm T} = |E_{0+}|^2 + \frac{1}{2} |2M_{1+} + M_{1-}|^2 + \frac{1}{2} |3E_{1+} - M_{1+} + M_{1-}|^2$$

$$+ 2\cos\Theta \operatorname{Re} \{ E_{0+}^* (3E_{1+} + M_{1+} - M_{1-}) \}$$

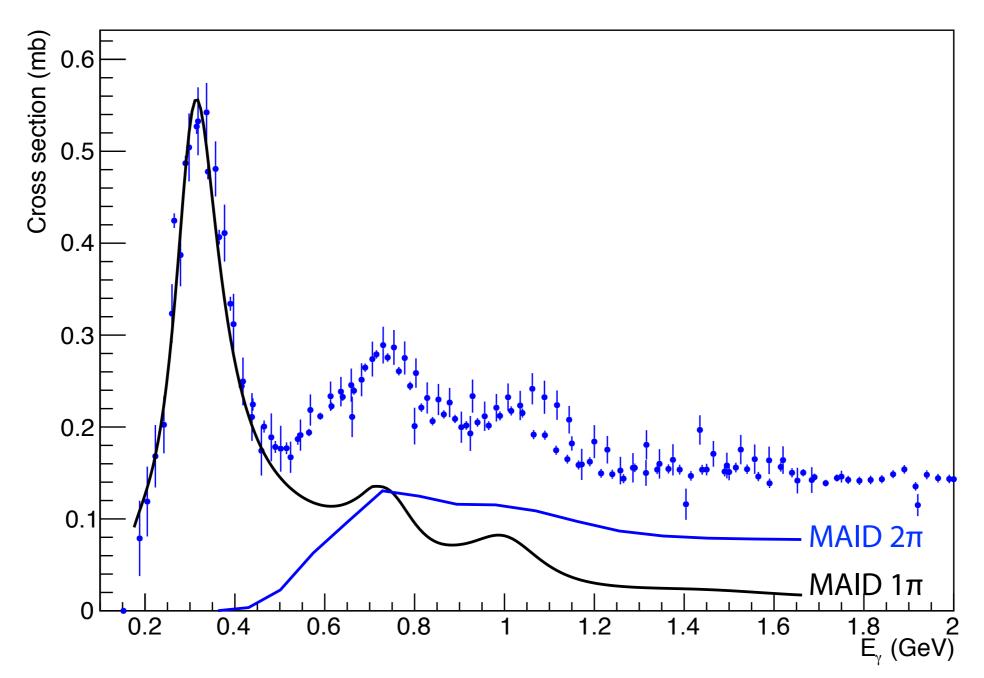
$$+ \cos^2\Theta (|3E_{1+} + M_{1+} - M_{1-}|^2 - \frac{1}{2} |2M_{1+} + M_{1-}|^2$$

$$- \frac{1}{2} |3E_{1+} - M_{1+} + M_{1-}|^2 )$$

$$R_{\rm T}(n_i) = 3\sin\Theta\,\operatorname{Im}\{E_{0+}^*(E_{1+} - M_{1+}) - \cos\Theta(E_{1+}^*(4M_{1+} - M_{1-}) + M_{1+}^*M_{1-})\}$$

$$R_{\mathrm{T}}^{00} \equiv R_{\mathrm{T}} \text{ and } R_{\mathrm{T}}^{0y} \equiv R_{\mathrm{T}}(n_i) \quad \frac{d\sigma_{\gamma^*p^\uparrow \to \pi^+n}}{d\Omega_{\pi}} = \frac{|q|}{\omega_{\gamma^*}} (R_T^{00} + P_y R_T^{0y})$$
 pion and neutron production in UPCs 
$$= \frac{|q|}{\omega_{\gamma^*}} R_T^{00} (1 + P_2 \cos \phi_{\pi} T(\theta_{\pi}))$$

## Inclusive cross sections of y+p interactions



Only  $1\pi$  channel is simulated in this study. It is hard to simulate neutron momenta in  $2\pi$  channels (future study?)