

# Properties of Nuclear Matter

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1. Equation of state (EoS) of nuclear matter: from Walecka model to thermodynamically self-consistent models (1.5 hours).
2. Induced surface tension EoS for nuclear and hadronic matter and quantum virial coefficients (1.5 hours).
3. Statistical multifragmentation model (SMM) of atomic nuclei, its exact analytical solution and nuclear liquid-gas phase transition (1 hour).
4. Critical exponents of classical and statistical EoS (1 hour).

Truskavets, October 11, 2018

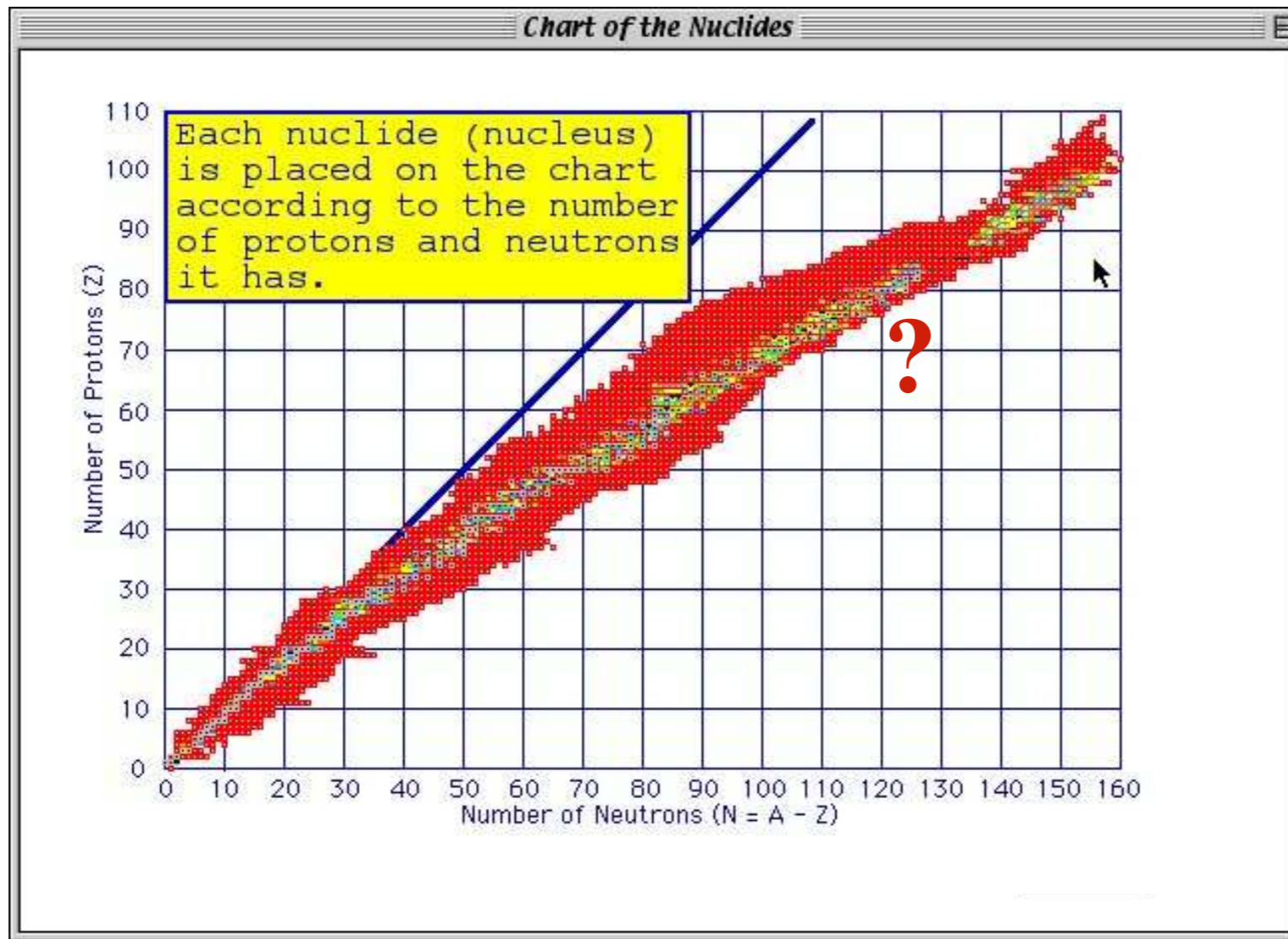
# Why Nuclear Matter?

1. Since it is sufficiently simple object and can be studied at low and intermediate energies of nuclear reactions
2. Nuclear Matter has a liquid-gas phase transition and hence it can be used as a realistic test site to verify the ideas on phase transition signals in finite systems
3. Still it is located at the frontier:
  - superheavy elements;
  - vacuum e.-m. instability against  $e^+e^-$  production, if total electric charge in reaction  $> 137$ ;
  - reactions with radioactive nuclei;
  - neutron stars equation of state (EoS)
4. It has plenty of various applications in our life!

# NM Frontiers

## N-Z diagrams of the atomic nuclei

superheavy  
elements



~ 400  
stable isotops

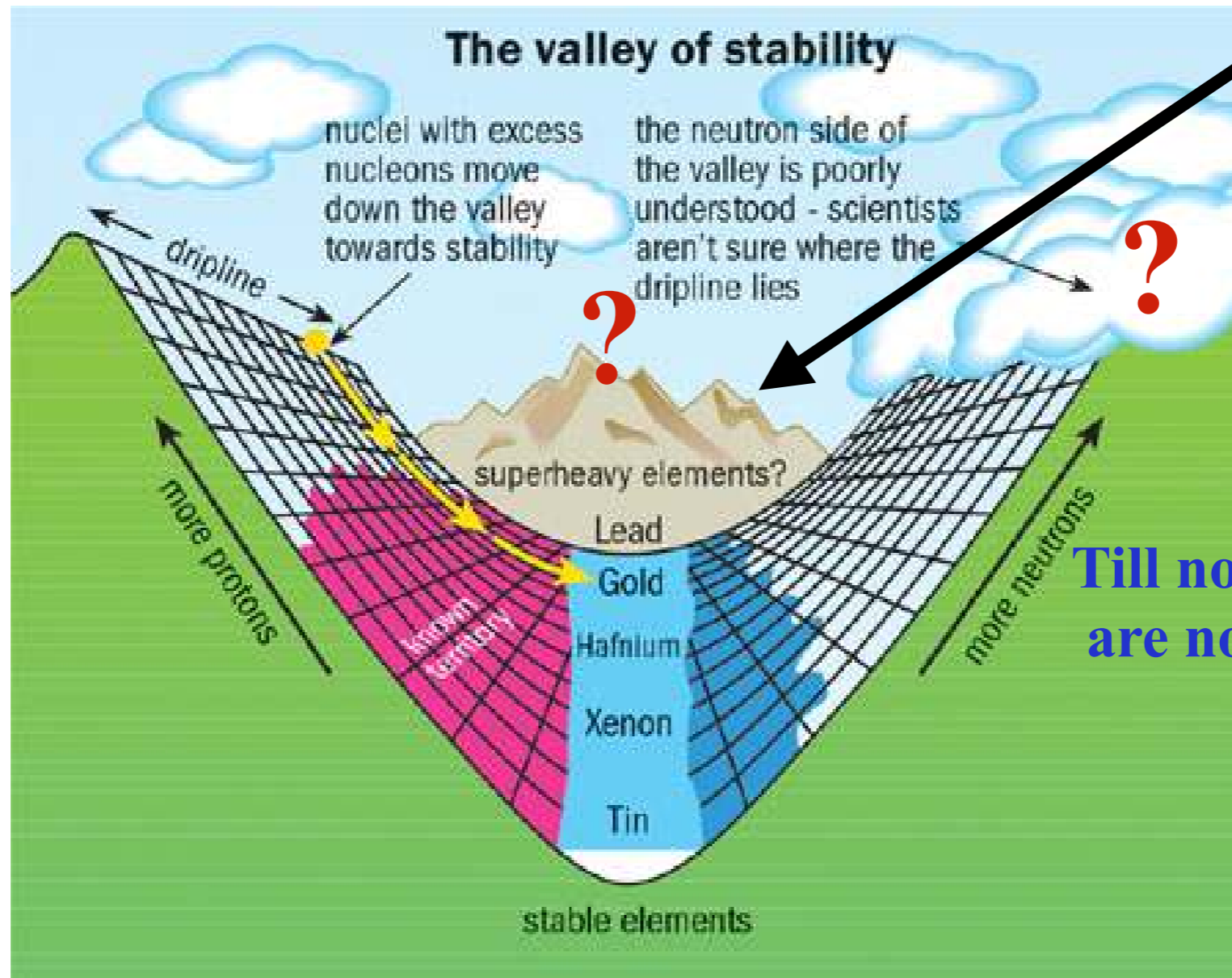
The valley of stability

$$Z = \frac{A}{1.98 + 0.015A^{2/3}}$$

# NM Frontiers

Till now we do not know whether stability island exists there!

$\beta^+$   
decay

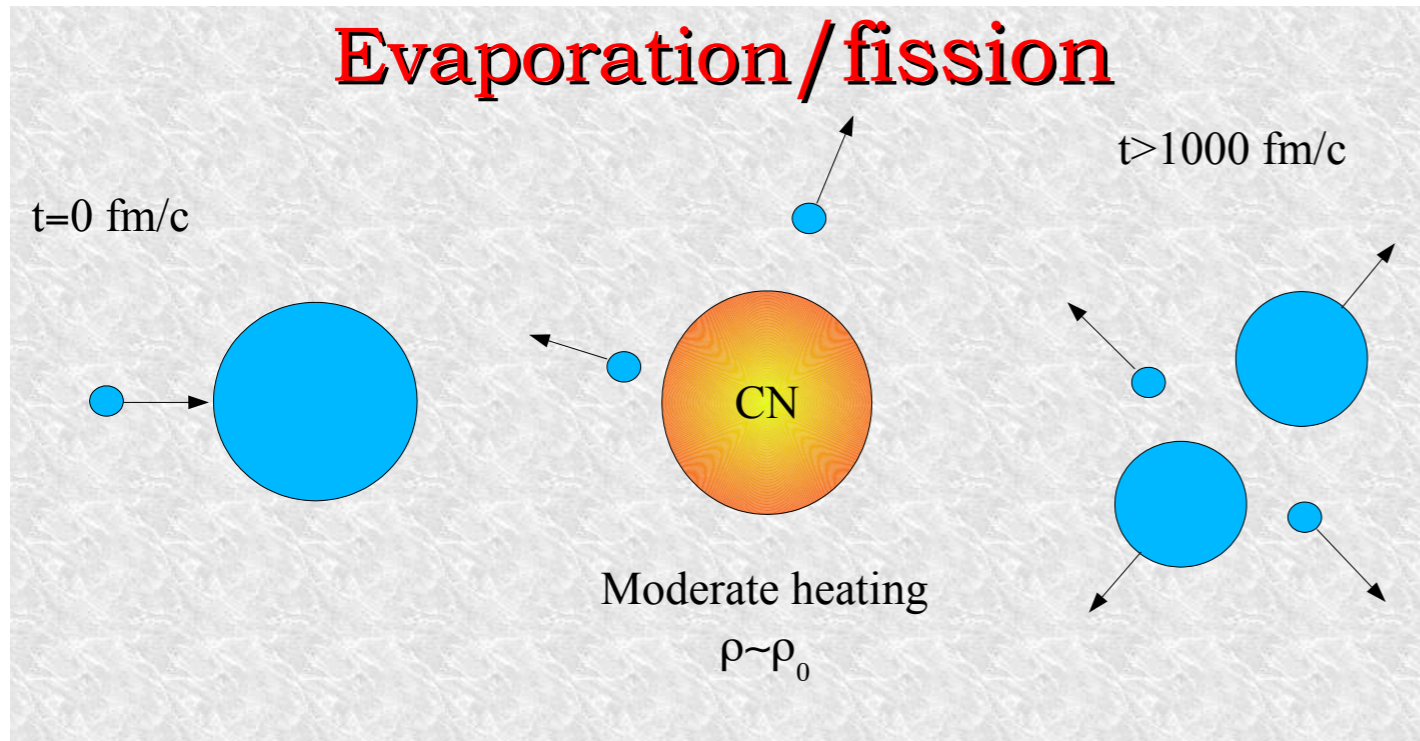


$\beta^-$   
decay

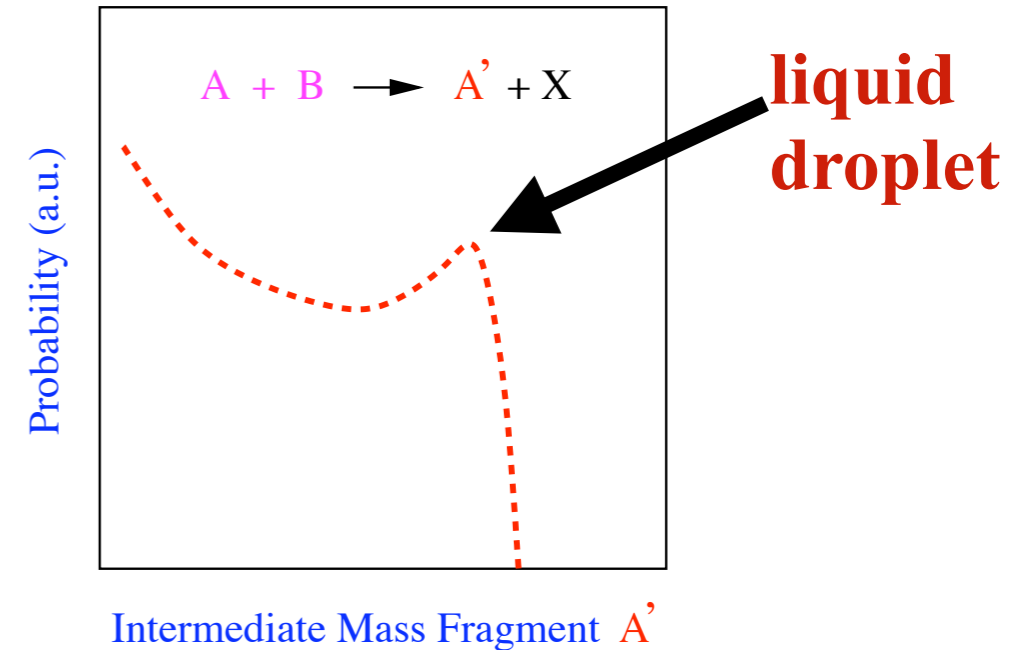
Till now these reactions are not well understood!

# Nuclear Liquid = Compound Nucleus

## Evaporation/fission



## mass distribution of fragments

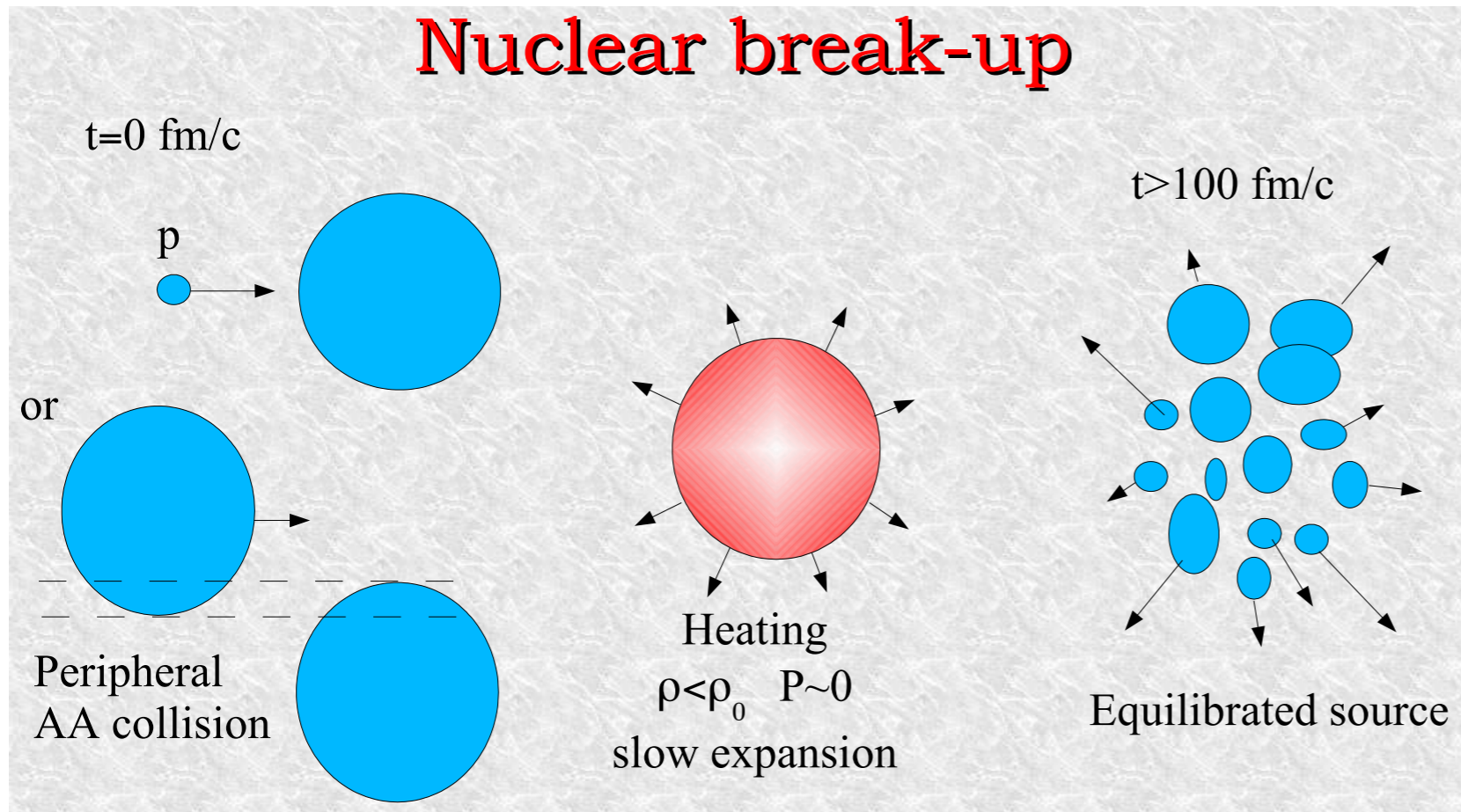


$\rho_0$  is normal nuclear density

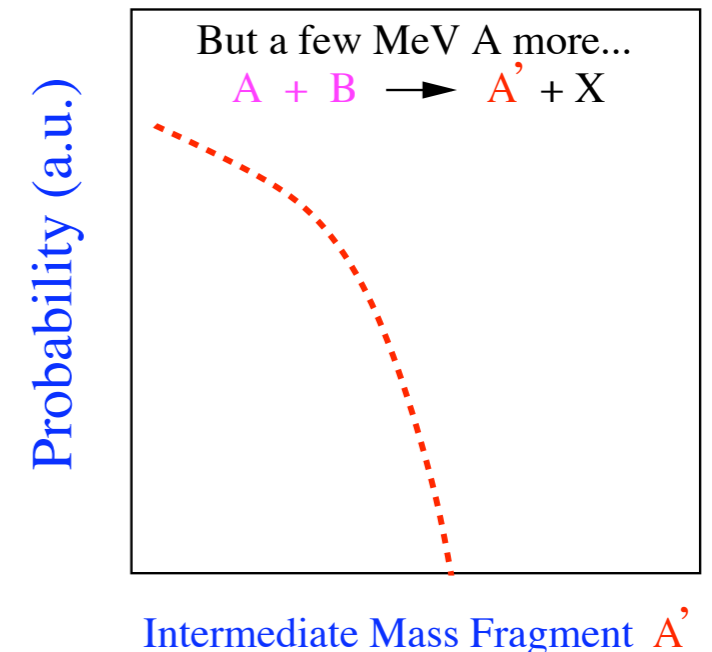
Compound Nucleus (CN) is an equilibrated hot nucleus whose excitation energy is distributed over many microscopic d.o.f. (introduced by Niels Bohr in 1936-39)  
Sequential evaporation model—Weiskopf 1937,  
Statistical fission model—Bohr-Wheeler 1939, Frenkel 1939

# Nuclear Multifragmentation = No Liquid!

## Nuclear break-up



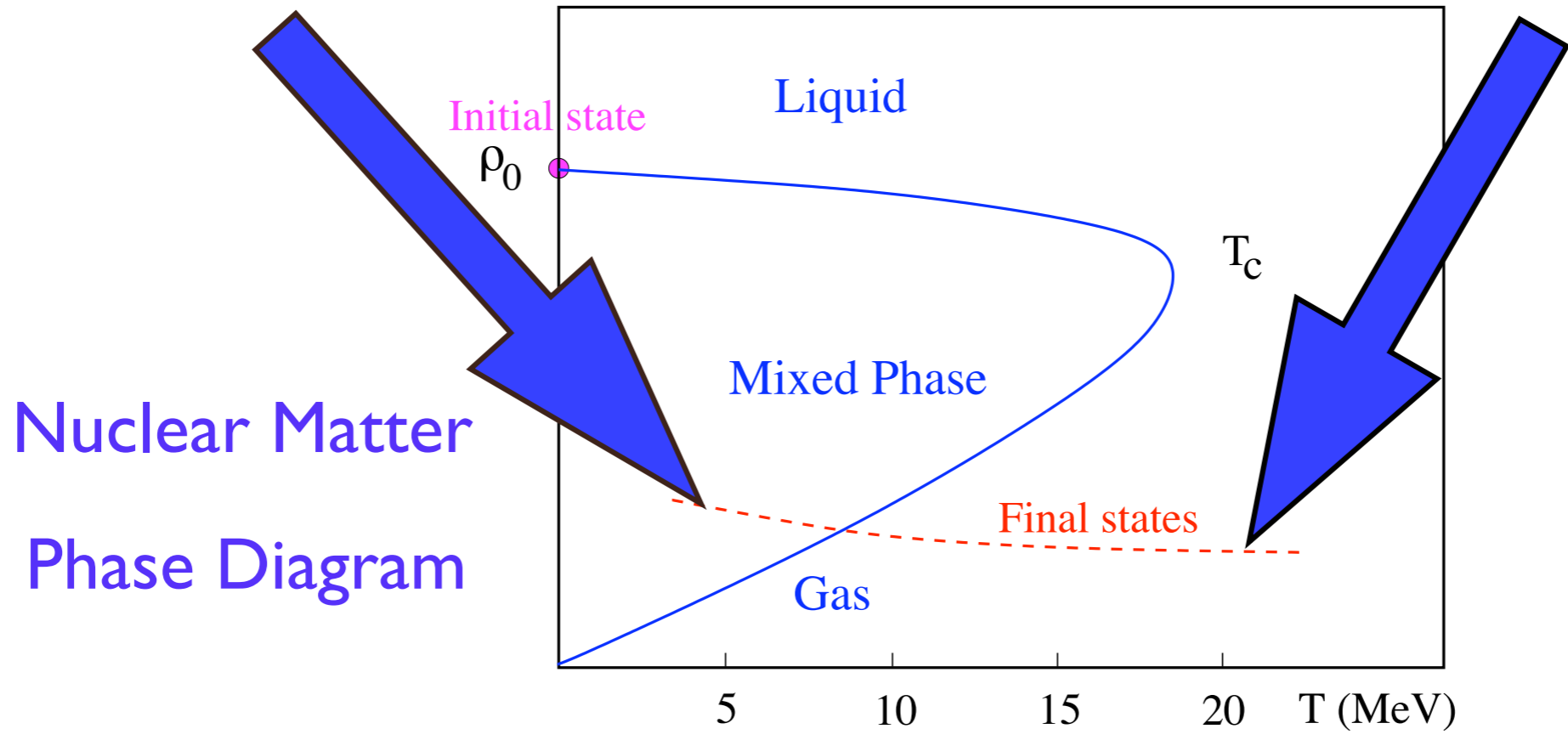
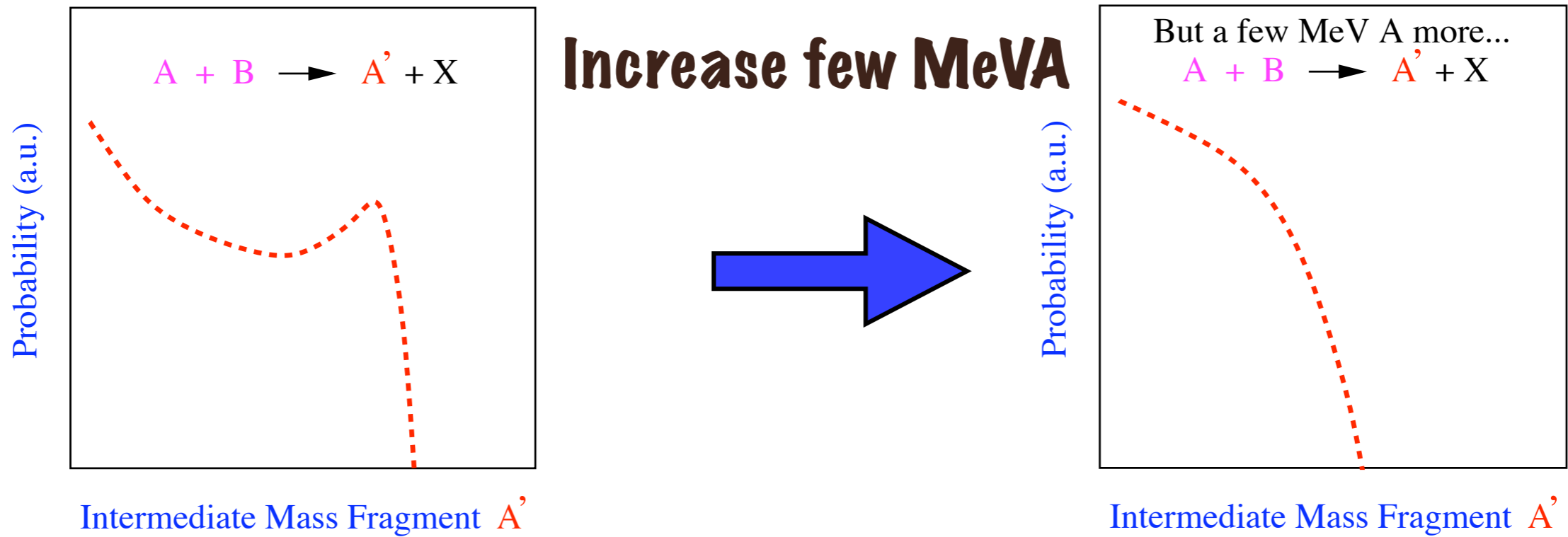
**different mass distribution of fragments**



Power-law fragment mass distribution around critical point,  $Y(A) \sim A^{-\tau}$   
Can be well understood within an equilibrium statistical approach

(Randrup&Koonin, D.H.E. Gross et al, Bondorf-Mishustin-Botvina, Hahn&Stoecker,...)

# Nuclear Multifragmentation as a Phase Transition



# Our Major Aims

- 1. Study the nuclear liquid-gas PT using different approaches**
- 2. Become familiar with mean-field approximation and statistical models of cluster type etc**



# Outline of lecture I

**1. Historical introduction**

**2. Formal definition of grand canonical ensemble (GCE)  
and L. van Hove axioms of statistical mechanics**

**3. Properties of heavy nuclei and nuclear matter**

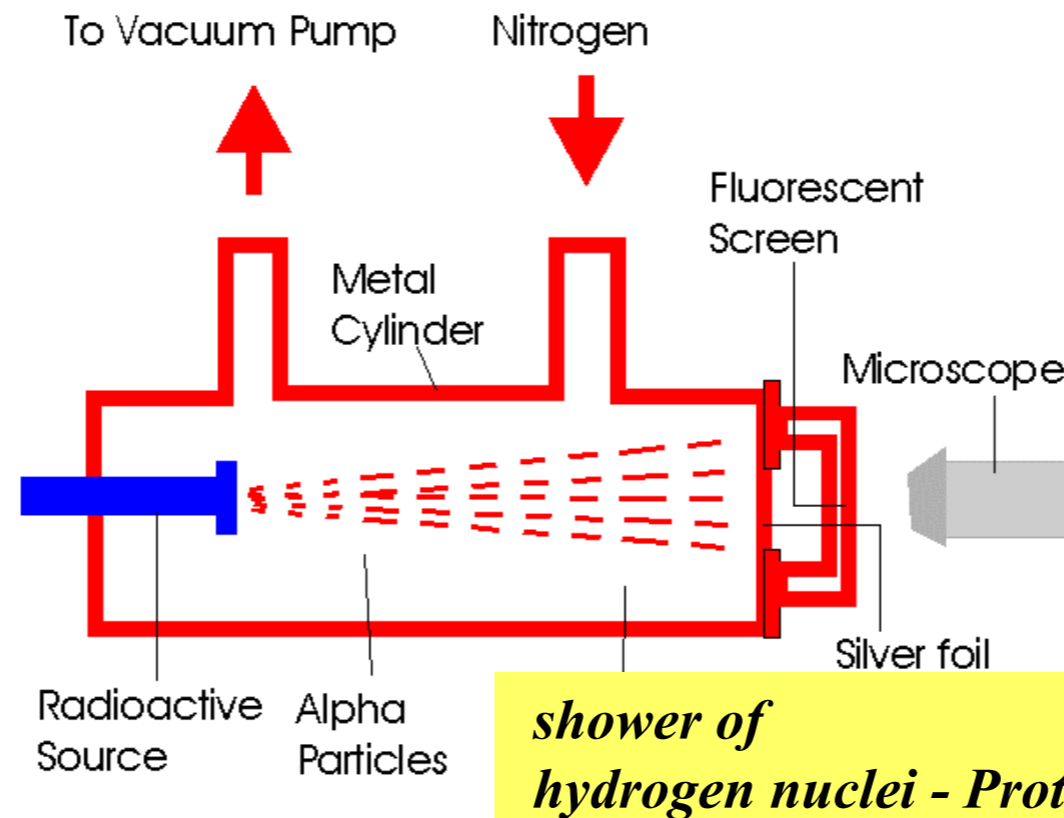
**4. Walecka model and nuclear matter EoS**

**5. Phenomenological generalization of Walecka model**

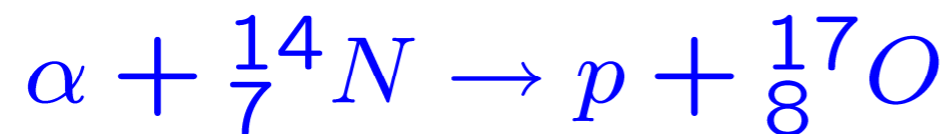
**6. Summary**

# Strong Interaction Discovery

## Discovery of the proton ( Rutherford 1918 )



*atomic number of hydrogen is 1*



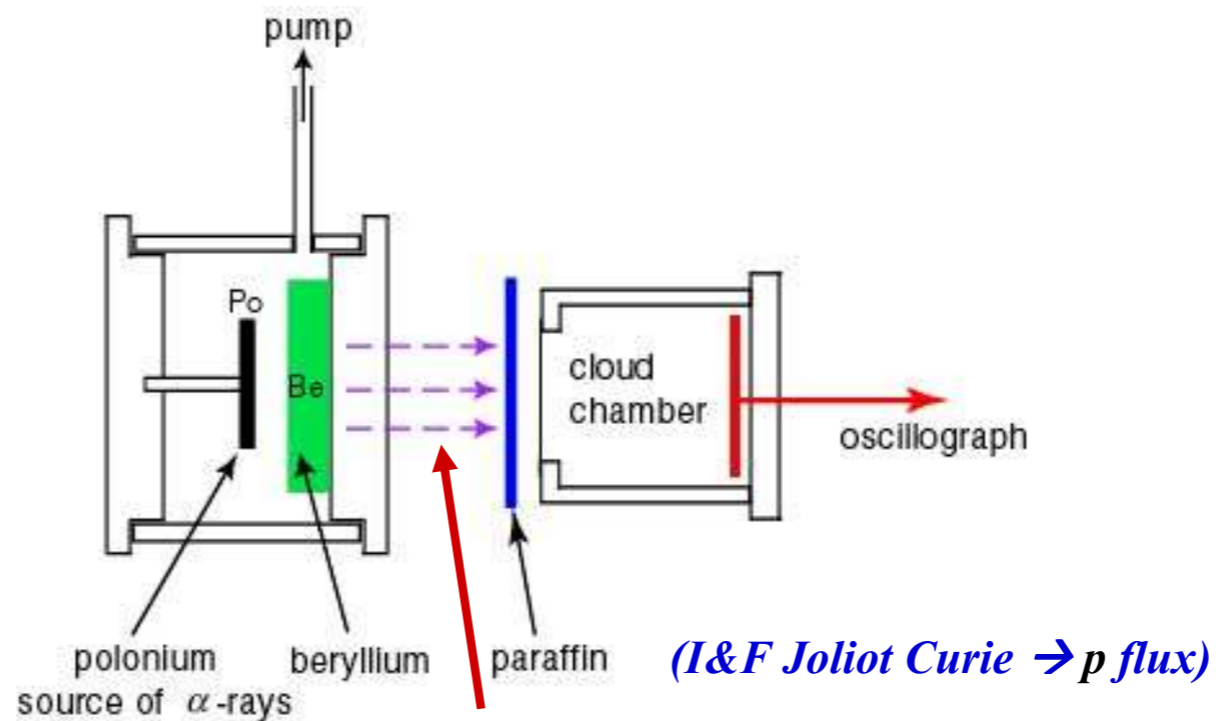
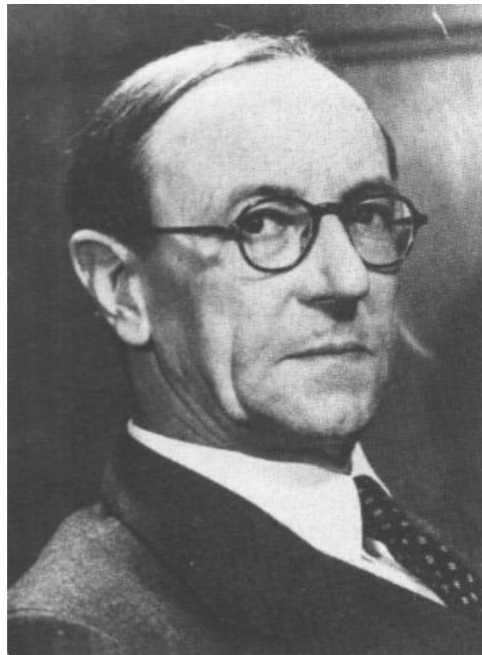
$$M_p \simeq 2000M_e, \quad e_p = -e_e$$

**Conclusion: proton is a *constituent* of a nucleus**

***First nuclear reaction performed in a laboratory!***

# Strong Interaction Discovery

## Discovery of the neutron ( Chadwick 1932 )



**James Chadwick**  
(1891-1974)

**unknown high penetrating radiation (neutral particle)**

$$x + p \rightarrow x' + p'$$

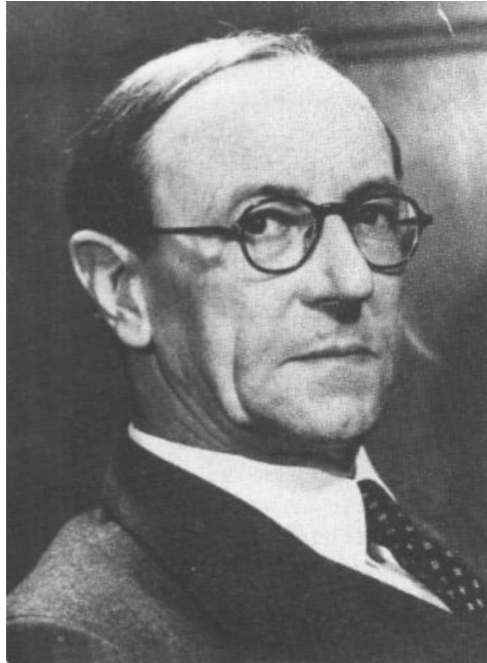
$$p_{p'} \simeq \frac{2p_x M_p \cos \theta}{E_x + M_p}$$

$\rightarrow 20 \cos \theta \text{ MeV}/c \text{ (} x = \gamma \text{)}$   
 with  $M_x = 0$

$\rightarrow 280 \cos \theta \text{ MeV}/c \text{ (} x = n \text{)}$   
 with  $M_x \simeq M_p$

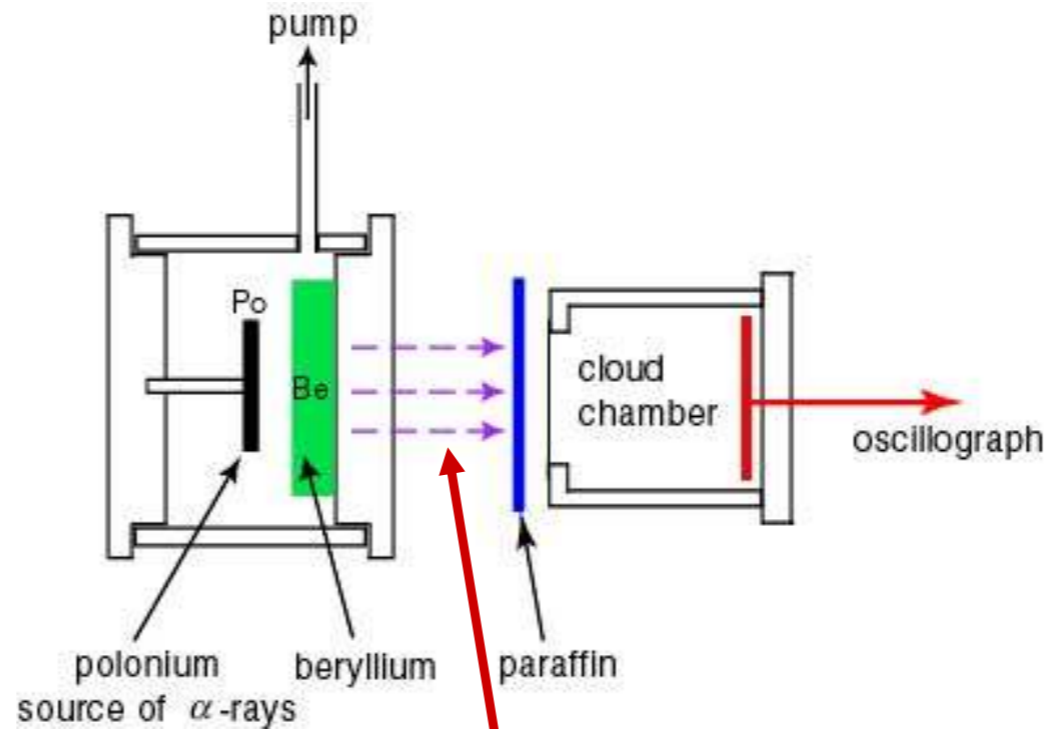
# Strong Interaction Discovery

## Discovery of the neutron ( Chadwick 1932 )



**James Chadwick**  
(1891-1974)

The Nobel Prize  
in Physics 1935



unknown neutral particle = *neutron*



$$M_n \simeq M_p$$

neutron is a *constituent* of a nucleus

# Strong Interaction Discovery



**Hideki Yukawa**  
(1907-1981)

**Nobel Prize 1949**

In fact, Yukawa predicted a *new particle* – quanta of strong interaction **meson**, with mass

## Prediction of pion ( $\pi$ )

In 1935 H. Yukawa introduced interaction between nucleons (proton and neutron) in nucleus. *“Nucleons (protons and neutrons) are held together by force stronger than electrostatic repulsion of protons”*

$$U = U_0 \frac{\exp(-m_\pi r / \hbar c)}{r}$$



$$m_\pi \simeq \frac{\hbar c}{R} \simeq \frac{200 \text{ MeV} \cdot \text{fm}}{1 \div 2 (\text{fm})} = 100 \div 200 \text{ MeV}$$

# Discovery of muons came first...

## Discovery of muon: J. Street and E. Stevenson 1937

They found (in cloud chamber) penetrating cosmic ray tracks with unit charge but mass in between electron and proton (Yukawa particle?).

Muons were proven not to have any nuclear interactions and to be just heavier versions of electrons.

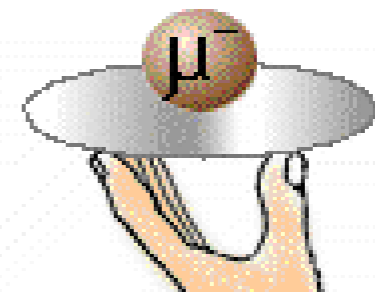
H. Bethe and R. Marshak suggested that the muon might be the decay product of the particle needed in the Yukawa theory, so the search of Yukawa particle was continued.

Later was known that  $\mu$  meson decays to electron and two invisible neutrinos via weak interactions ( $\beta$  decay):  $\mu \rightarrow 2\nu + e$ .

Particle	Electric charge ( $\times 1.6 \cdot 10^{-19}$ C)	Mass (GeV= $\times 1.86 \cdot 10^{-27}$ kg)
$e$	-1	0.0005
$\mu$	-1	0.106
$p$	+1	0.938
$n$	0	0.940
$\gamma$	0	0

elementary particles by 1938

Who ordered  
THAT?!?!



Why does the Nature need muons?

# Discovery of pion



**Cecil F. Powell**  
(1903-1969)

**Nobel Prize 1950**

## Discovery of pion (1947)

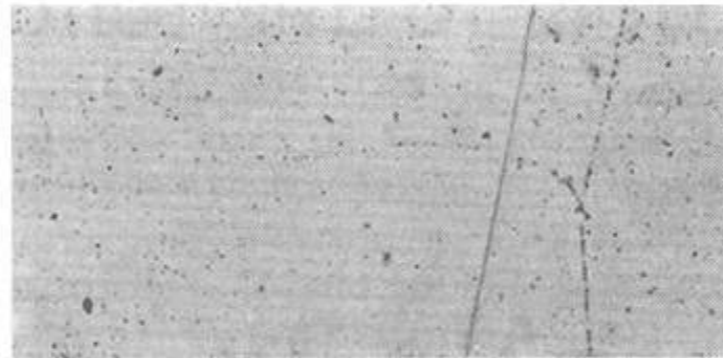
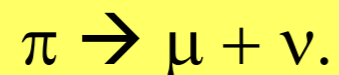


Fig. 1 a. PHOTOMICROGRAPH OF CENTRE OF STAR, SHOWING TRACK OF MESON PRODUCING DISINTEGRATION. (LEITZ 2 MM. OIL-IMMERSION OBJECTIVE.  $\times 500$ )

details on the next slide

- Detected in cosmic rays captured in photographic emulsion.
- Unlike muons, they do interact with nuclei.
- Charged pions eventually decay to muons:



$$\tau_{\pi^{\pm}} \simeq 2.6 \times 10^{-8} \text{ s}$$

$$\tau_{\pi^0} \simeq 8.4 \times 10^{-17} \text{ s}$$

# Discovery of pion

## First Pion

Nuclear capture of pion

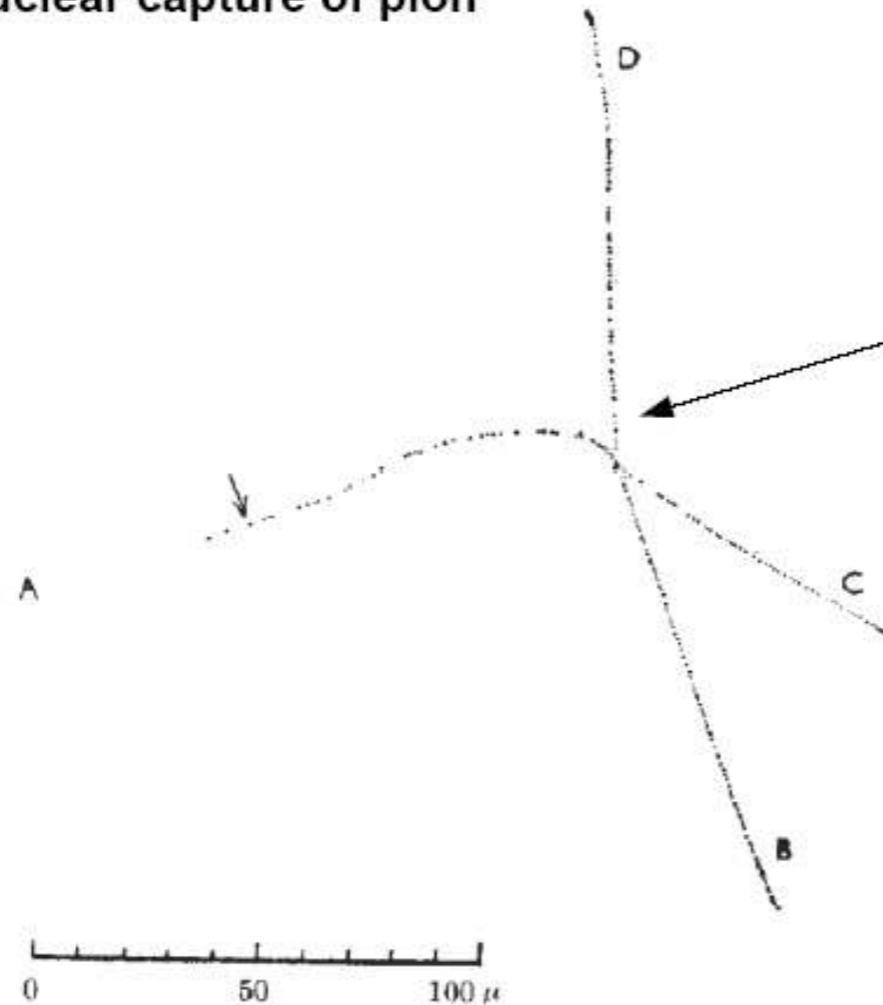


Fig. 1 *b*. TRACE OF COMPLETE STAR ON SCREEN OF PROJECTION MICROSCOPE, SHOWING PROJECTION OF THE TRACKS IN THE PLANE OF THE EMULSION. TRACK A CANNOT BE TRACED WITH CERTAINTY BEYOND THE ARROW

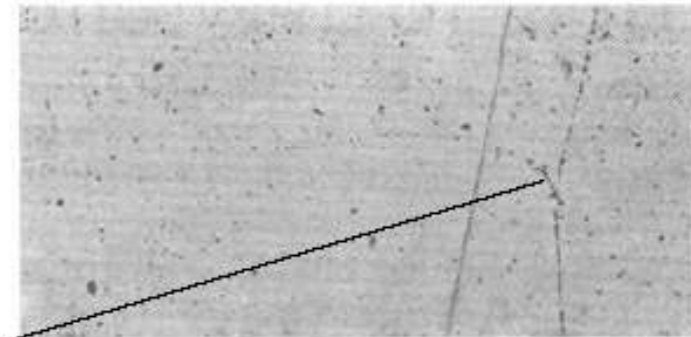


Fig. 1 *a*. PHOTOMICROGRAPH OF CENTRE OF STAR, SHOWING TRACK OF PION PRODUCING DISINTEGRATION. (LEITZ 2 MM. OIL-IMMERSION OBJECTIVE.  $\times 500$ )

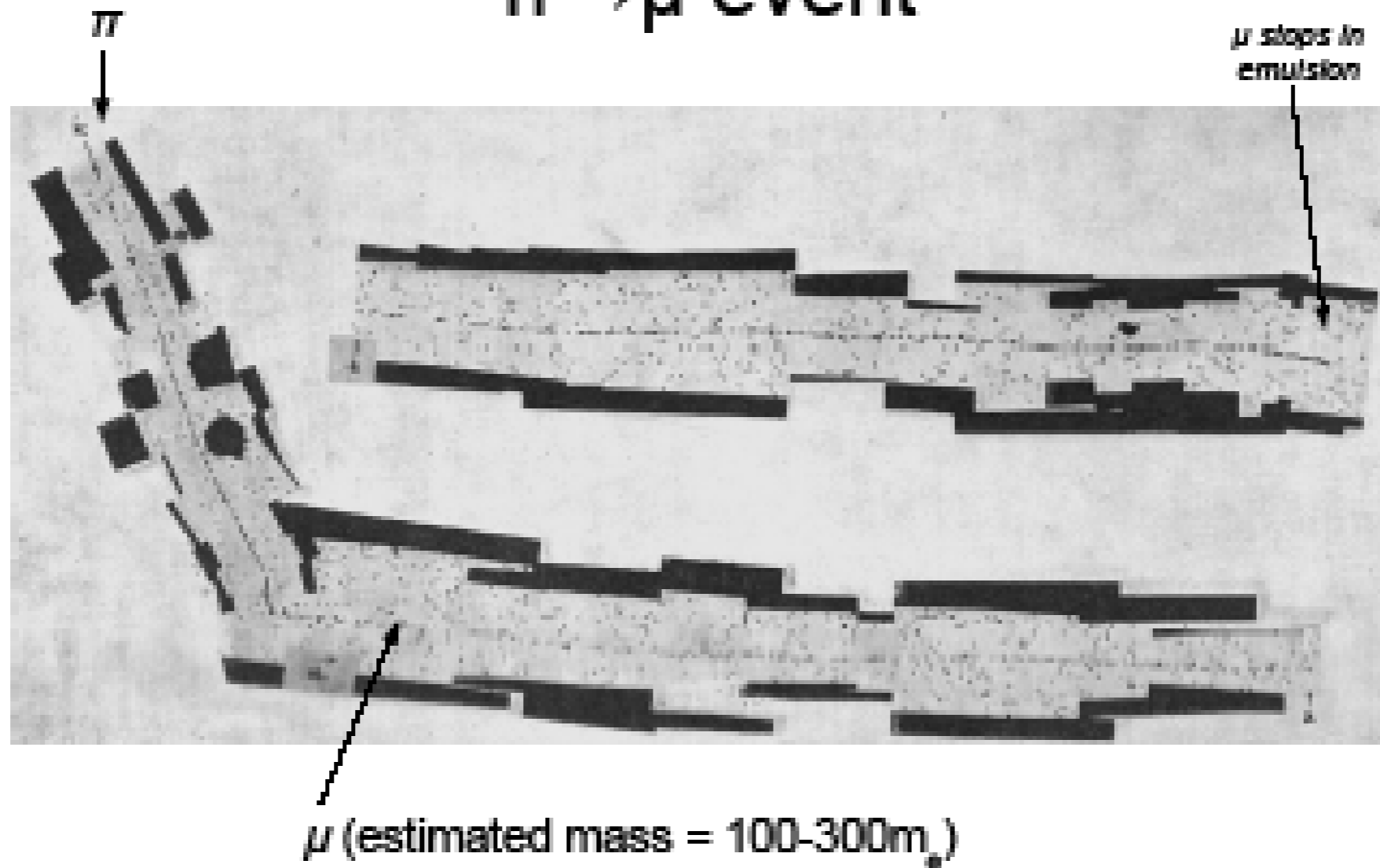
- A is the new meson
- B,D,C are likely protons
- Track C goes into the page

Why A is a new meson:  
electron: range too large  
proton: scattering too large  
muon: frequent nuclear interaction



# Discovery of pion

$\pi \rightarrow \mu$  event



*Observed by Powell, Oct. 1947*

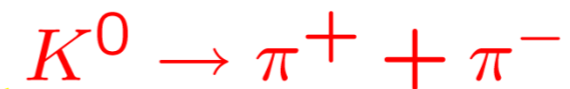
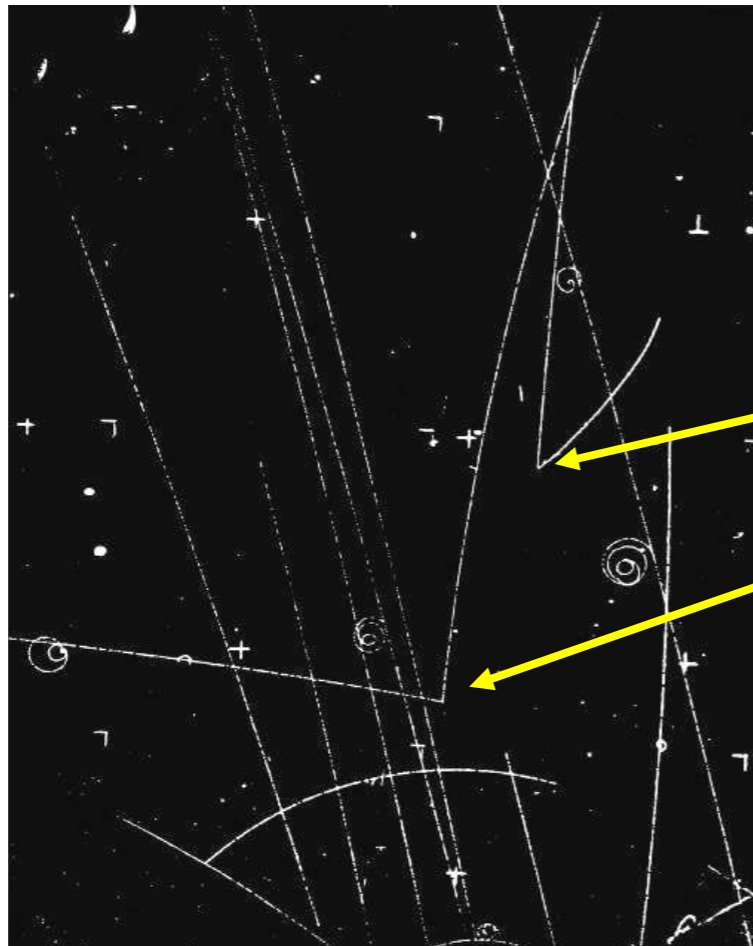
# After the discovery of pion...

## Elementary particles (discovered) at end of 40th

<i>Particle</i>	<i>Electric charge</i> ( $\times 1.6 \cdot 10^{-19} \text{ C}$ )	<i>Mass</i> ( $\text{GeV} = \times 1.86 \cdot 10^{-27} \text{ kg}$ )
<i>e</i>	-1	0.0005
$\mu$	-1	0.106
$\gamma$	0	0
<i>p</i>	+1	0.938
<i>n</i>	0	0.940
$\pi$	+1, -1, (0)	0.14

After the discovery of pion more hadrons were found!

## Strange particles 1947



“V-particles”

$$M_K \simeq 498 \text{ MeV}$$

$$M_\Lambda \simeq 1116 \text{ MeV}$$

since they come in pairs with V-like tracks

# After the discovery of pion more hadrons and hyper nuclei were found!

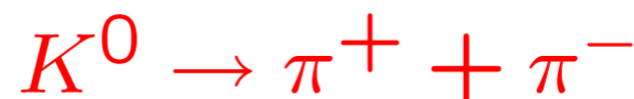
## Why they are “strange”

1. They are produced in pairs

2. The probability of a production is much greater, than probability of their decay

$$\tau_{\text{prod}} \sim 10^{-23} \text{ s}$$

$$\tau_{\text{dec}} \sim 10^{-10} \text{ s}$$



**Murray Gell-Mann** and **Kazuhiko Nishijima** introduced new quantum number: “*Strangeness*” ... and concluded that “Strangeness

$$S_{\Lambda} = -1$$

$$S_{K^0} = +1$$

is conserved in strong interactions (production) and violated in weak interactions (decay)

$$\Sigma^{\pm}, \Sigma^0, \Xi^{-}, \Xi^0, \Omega, \dots \quad \Lambda A, \Lambda \Lambda A$$

**hypernuclei!**

# NB: Hagedorn Spectrum Follows from

Stat. Bootstrap Model,  
S. Frautschi, 1971

Hadrons are built from hadrons

Veneziano Model,  
K. Huang, S. Weinberg,  
1970

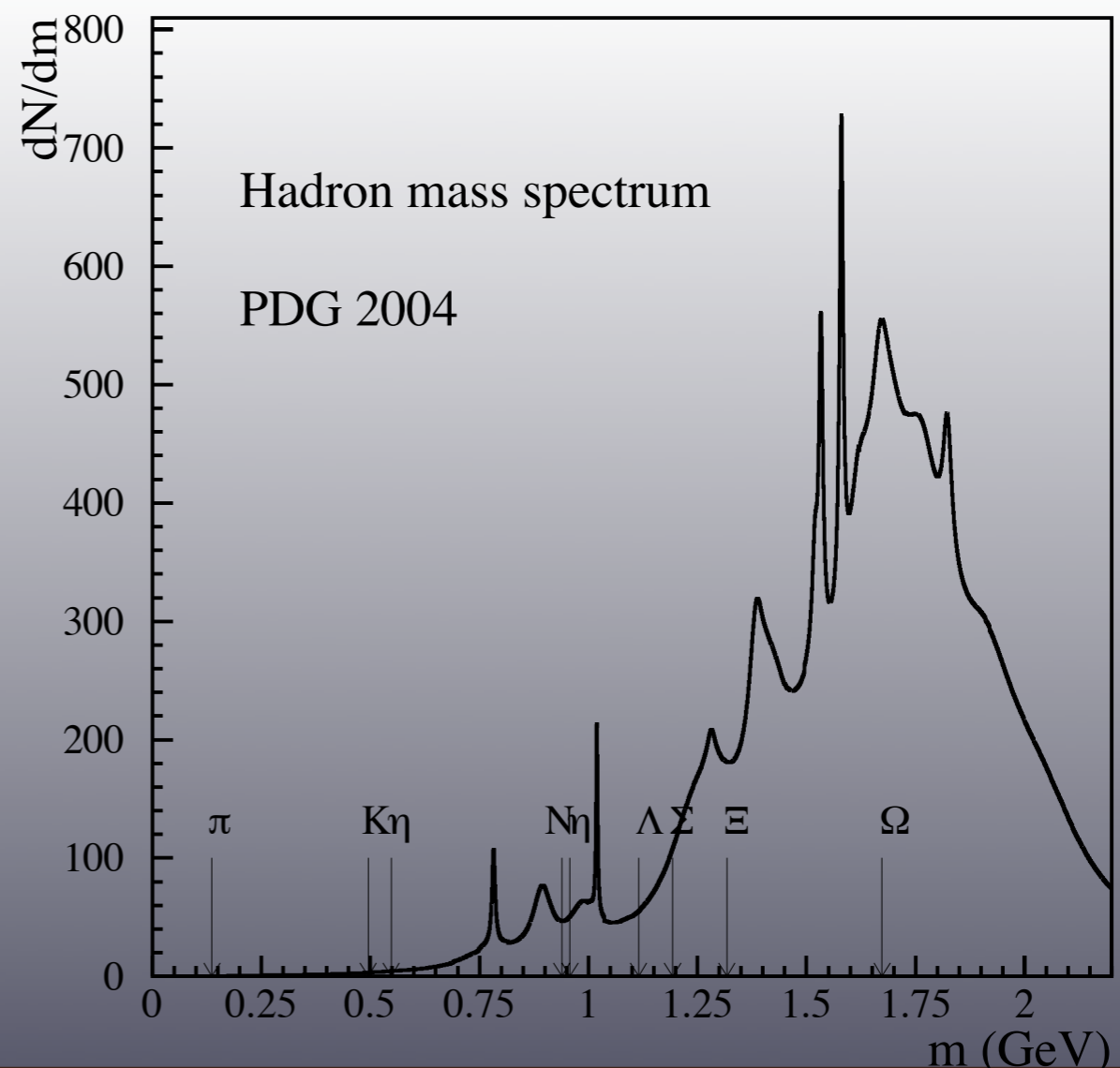
Used in string models

M.I.T. Bag Model,  
J. Kapusta, 1981

Hadrons are quark-gluon bags

Large  $N_c$  limit of  $3+1$   
QCD  
T. Cohen, 2009

◆ But experimentally  
it is not seen...



# ...Hagedorn Spectrum is seen, but not where it is supposed to be!

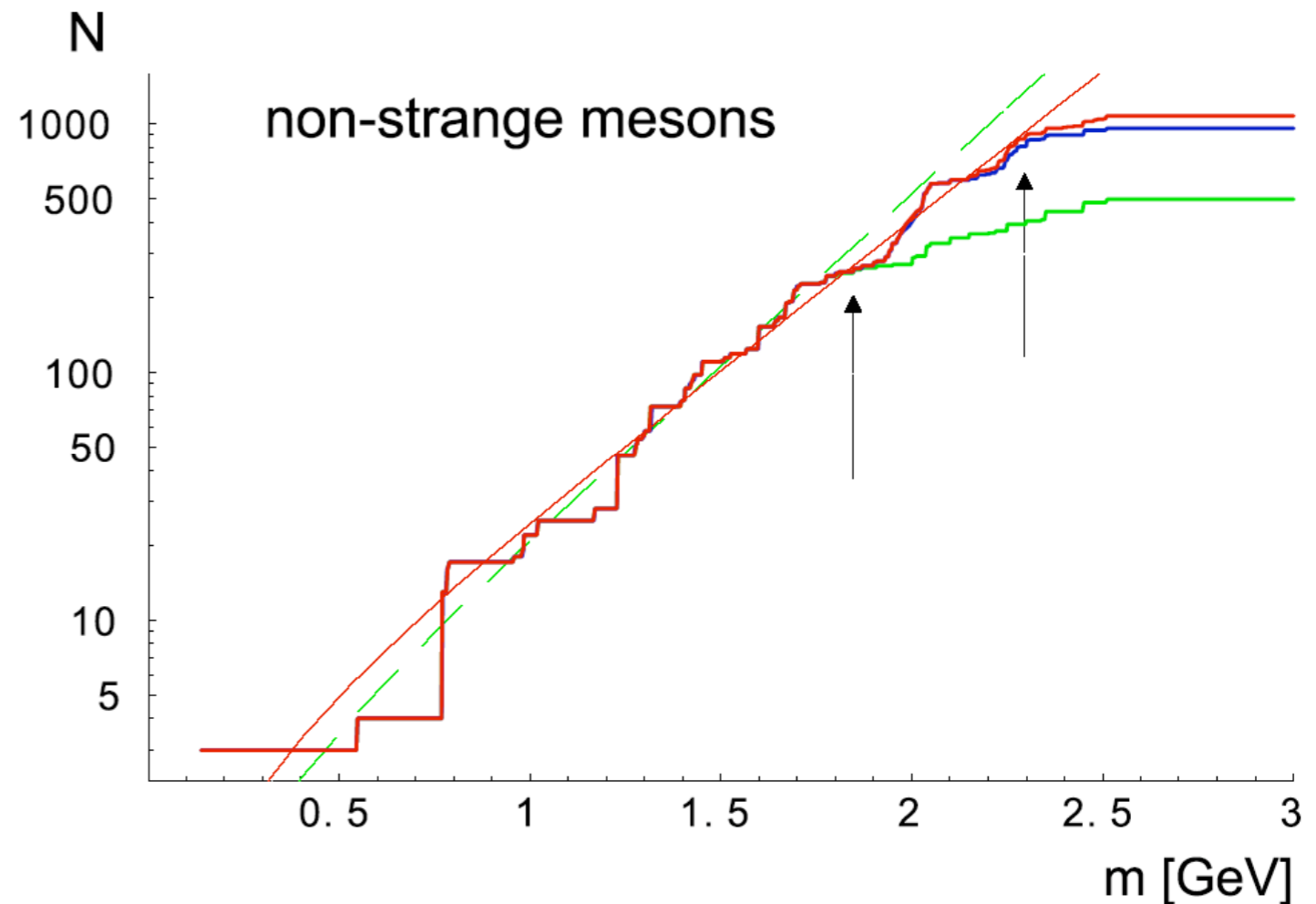
Consider the integral of experimental density of hadronic states:

$$N_{\text{exp}}(m) = \sum_i g_i \Theta(m - m_i),$$

- It is exponential for  $1 \text{ GeV} < m < 2.5 \text{ GeV}$ !

- What is above masses of  $2.5 \text{ GeV}$  ?

Can QCD models explain this?



K. A. Bugaev, V. K. Petrov and G. M. Zinovjev,  
Europhys. Lett. 85, (2009) 22002; and PRC 79 (2009)

# Strong Interaction

**Yukawa interaction is not a fundamental one**

**The fundamental interaction of strongly interacting particles is due to colored gluons, the source of color interaction are quarks**

**Yukawa interaction is not sufficient to construct nuclei, we need strong repulsion at very short range and moderate range attraction**

# Necessary Apparatus

Microcanonical Ensemble (MCE) of  $N$  Boltzmann particles

$$Z_{mc}(E, N, V) = \frac{1}{N!} \int \prod_{k=1}^N \frac{d^3 x_k d^3 p_k}{(2\pi\hbar)^3} \delta \left( E - \sum_j \sqrt{m^2 + p_k^2} - \underbrace{\sum_{l,j} U(x_l, x_j)}_{\text{potential energy}} \right)$$

EXACTLY conserves energy  $E$  and number of particles  $N$  (or charge)

**$x_k$  and  $p_k$  are, respectively, coordinate and momentum of particle  $k$**

Canonical Ensemble (CE) of  $N$  Boltzmann particles

$$\begin{aligned} Z_{ce}(T, N, V) &= \int_0^\infty dE e^{-\frac{E}{T}} Z_{mc}(E, N, V) = \\ &= \frac{1}{N!} \int \prod_{k=1}^N \frac{d^3 x_k d^3 p_k}{(2\pi\hbar)^3} \exp \left[ -\frac{\sum_j \sqrt{m^2 + p_k^2} - \sum_{l,j} U(x_l, x_j)}{T} \right] \end{aligned}$$

conserves  $E$  on average, but number of particles  $N$  (or charge) EXACTLY



# Grand Canonical Ensemble

Grandcanonical Ensemble (GCE) of Boltzmann particles

$$\begin{aligned} Z_{gc}(T, \mu, V) &= \sum_{N=0}^{\infty} e^{\frac{\mu N}{T}} Z_{ce}(E, N, V) = \\ &= \sum_{N=0}^{\infty} \frac{e^{\frac{\mu N}{T}}}{N!} \int \prod_{k=1}^N \frac{d^3 x_k d^3 p_k}{(2\pi\hbar)^3} \exp \left[ -\frac{\sum_j \sqrt{m^2 + p_k^2} - \sum_{l,j} U(x_l, x_j)}{T} \right] \end{aligned}$$

conserves  $E$  and  $N$  on average only!

Pressure is defined as

$$\begin{aligned} \text{thermal } p &= T \lim_{V \rightarrow \infty} \frac{\ln [Z_{ce}(T, N, V)]}{V} \\ \text{mechanical } p &= T \frac{\partial \ln [Z_{ce}(T, N, V)]}{\partial V} \end{aligned}$$

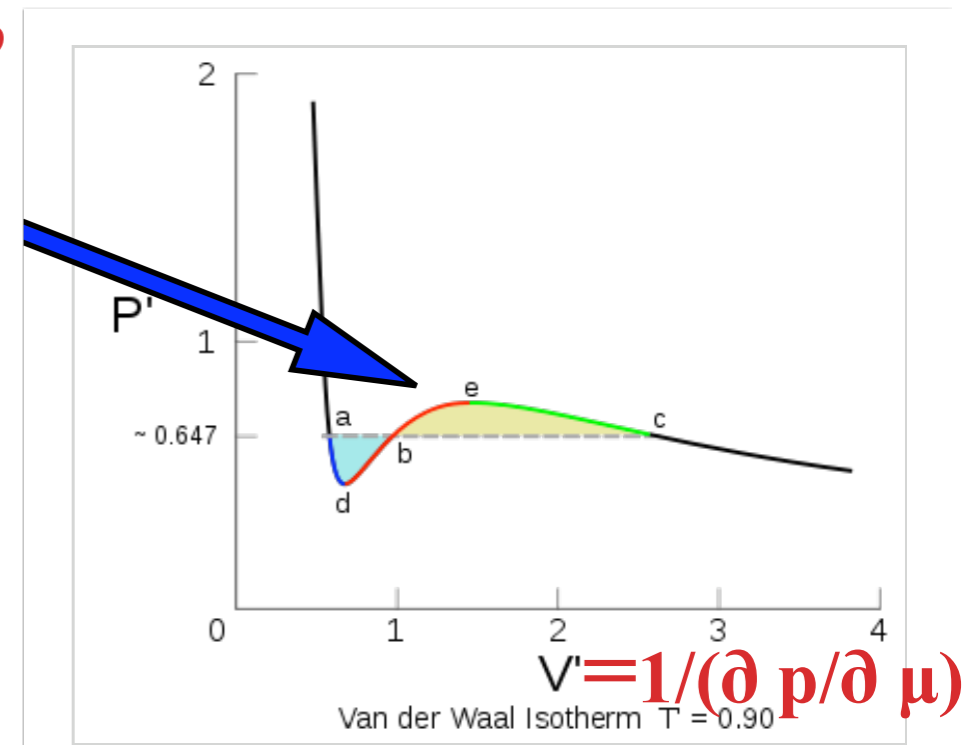
Apparently, in thermodynamic limit they should coincide

# L. van Hove Axioms of Statistical Mechanics

**A1:** in the Grand Canonical Ensemble the pressure  $p \geq 0$  can be only the function of  $V$ ,  $T$  and  $\mu$

**A2:** in thermodynamic limit along the curve  $T=\text{const}$  the pressure  $p$  can be only a monotonically decreasing function of inverse density  $1/(\partial p/\partial \mu)$ .  
Exception is a phase transition region, where  $p=\text{const}$  for  $T=\text{const}$

**A3:** kinks of pressure  $p$  can exist in thermodynamic limit only! For finite  $V$  they are transformed into regular behavior of isotherms.



Maxwell's rule eliminates the oscillating behavior of the isotherm in the phase transition zone by defining it as a certain isobar in that zone.

**Hence the Van der Waals EoS does not considers phase transitions correctly!**

# Thermodynamics in Grand Canonical Ensemble

Other thermodynamic quantities can be found from identities:

$$\text{I Law} \quad p + \epsilon = Ts + \mu n$$

$$\text{II Law} \quad s = \frac{\partial p}{\partial T}; \quad n = \frac{\partial p}{\partial \mu}$$

$$\text{III Law} \quad s \rightarrow 0, \text{ if } T \rightarrow 0$$

$\epsilon$  is energy density ( $E/V$ ),  $s$  is entropy density,  $n$  is baryonic charge density

# Nuclear Matter Properties

**Bethe—Weizsaecker formula for binding energy of nucleus of  $Z$  protons and  $(A-Z)$  neutrons**

$$E_W = (m_N + W_0)A + a_2 A^{\frac{2}{3}} + a_3 \frac{(A/2 - Z)^2}{A} + a_4 \frac{Z^2}{A^{\frac{1}{3}}} + \text{corrections due to shell effects}$$

Binding energy of nucleons at  $T = 0$      $W_0 = -16$  MeV

Surface energy of spherical fragments     $a_2 = 16 \div 18.5$   
MeV

Symmetry energy     $a_3 = 100$  MeV

Coulomb energy     $a_4 = 0.72$  MeV

**small!**

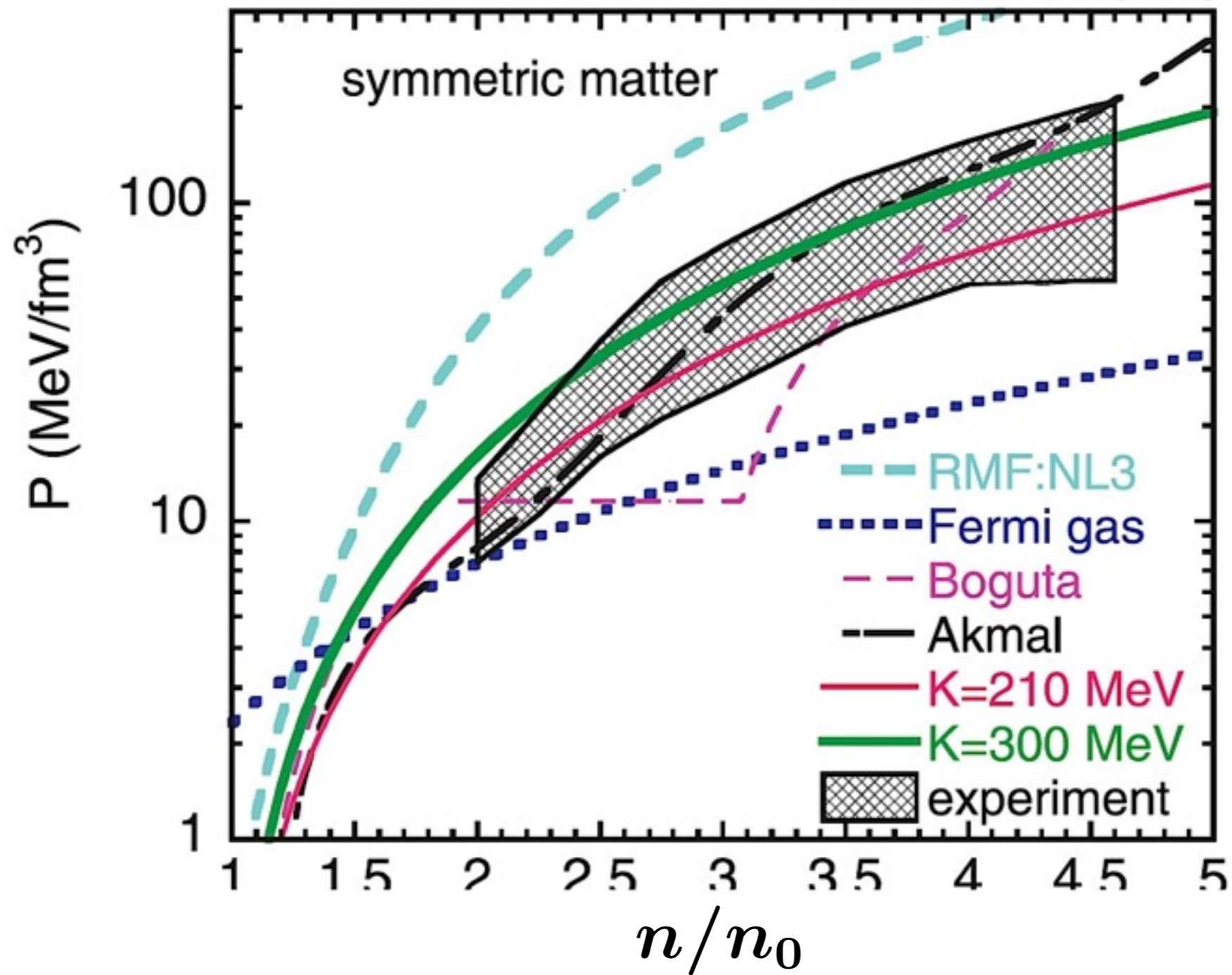
**Imagine a matter with 50% of protons and 50% of neutrons, but protons have no electric charge = symmetric nuclear matter**

# Nuclear Matter Properties

1. Normal nuclear density  $n_0 \simeq 0.16 \text{ fm}^{-3}$  is density at the center of heavy nuclei
2. At temperature  $T = 0$  and normal nuclear density the system pressure  $p$  is zero.  
 $p = 0$  is mechanical stability condition
3. Binding energy/nucleon at  $T = 0$  and  $n = n_0$  is  $W_0 = -16 \text{ MeV}$  (see prev. slide)
4. Incompressibility constant of normal nuclear matter is  
$$K_0 \equiv 9 \frac{\partial p}{\partial n} \Big|_{T=0, n=n_0} \in [200; 315] \text{ MeV}$$
5. Proton flow constraint ( $p(n)$  dependence at high  $n$  values)
6. Hard-core radius of nucleons  $R_n \in [0.3; 0.35] \text{ fm}$  (see later)

# Proton flow constraint

P. Danielewicz et al.  
Science 298, 1592 (2002)



As you can see from these examples, some EoS do not obey this constraint!

# Nuclear Matter Properties at $T=0$

EoS at  $T = 0$ :  $p(n) = \mu n - \epsilon \equiv n [\mu - (m + W(n))]$

$\epsilon$  is energy density,  $W(n)$  is binding energy per nucleon.

From the stability condition  $p = 0$  at  $T = 0$  and  $n = n_0 \Rightarrow$

$$\mu_0 \equiv \mu(T = 0, n = n_0) = m + W(n = n_0) = 923 \text{ MeV}$$

# Nuclear Matter Properties at $T=0$

EoS at  $T = 0$ :  $p(n) = \mu n - \epsilon \equiv n [\mu - (m + W(n))]$

$\epsilon$  is energy density,  $W(n)$  is binding energy per nucleon.

From the stability condition  $p = 0$  at  $T = 0$  and  $n = n_0 \Rightarrow$

$$\mu_0 \equiv \mu(T = 0, n = n_0) = m + W(n = n_0) = 923 \text{ MeV}$$

$$\underbrace{\frac{\partial p}{\partial n}}_{=} = [\mu - (m + W(n))] + n \frac{\partial \mu}{\partial n} - n \frac{dW(n)}{dn}$$
$$\frac{\partial p}{\partial \mu} \frac{\partial \mu}{\partial n} = n \frac{\partial \mu}{\partial n} \Rightarrow \frac{dW(n)}{dn} = 0, \quad \text{for } n = n_0,$$

since  $[\mu - (m + W(n))] = 0$  for  $n = n_0$

**At normal nuclear matter  $W(n)$  has an extremum!**



# Nuclear Matter Properties at T=0

From expression for  $\frac{\partial p}{\partial n}$  find  $\mu$  and get

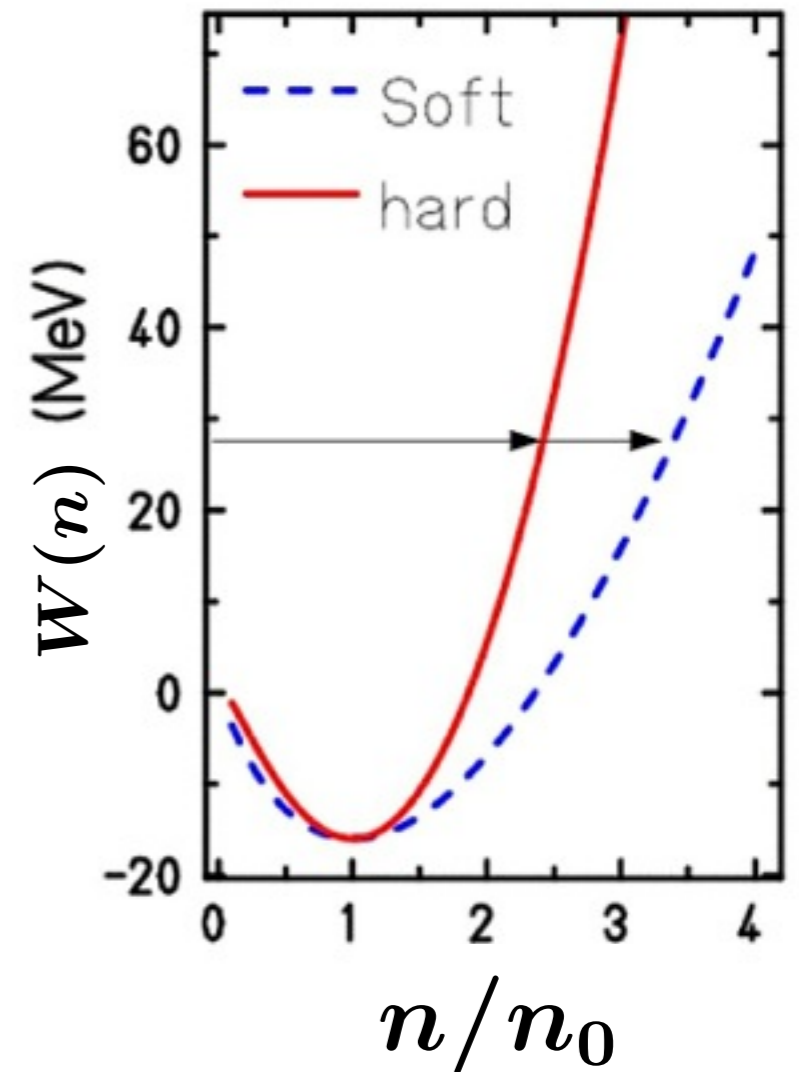
$$\mu = m + W(n) + n \frac{dW(n)}{dn}, \text{ differentiating it } \Rightarrow$$

$$\frac{\partial \mu}{\partial n} = 2 \frac{dW(n)}{dn} + n \frac{d^2 W(n)}{dn^2}, \Rightarrow$$

$$\frac{\partial \mu}{\partial n} = n_0 \frac{d^2 W(n = n_0)}{dn^2} \text{ for } n = n_0$$

$$K_0 \equiv 9 \frac{\partial p}{\partial n} = 9 n_0 \frac{\partial \mu}{\partial n} \Big|_{T=0, n=n_0} \Rightarrow K_0 \equiv 9 n_0^2 \frac{d^2 W(n)}{dn^2} \Big|_{T=0, n=n_0}$$

equation of state



**At normal nuclear matter  $W(n)$  has a minimum!**

# Nuclear Matter within Walecka ( $\sigma$ - $\omega$ ) model

J. D. Walecka, Annals Phys. 83, (1974) 491

Also Jonson & Teller, Duerr contributed:

constituents are nucleons and static  $\sigma$ - $\omega$  mesons

Lagrangian is a Lorentz scalar, then interaction one is ( $x = t, x, y, z$ )

$$\mathcal{L}_{\text{int}} = g_{\sigma} \sigma(x) \bar{\psi}(x) \psi(x) - g_{\omega} \omega_{\mu}(x) \bar{\psi}(x) \gamma^{\mu} \psi(x),$$

scalar

vector

Full Lagrangian is

$$\mathcal{L} = \bar{\psi} \left[ i \gamma_{\mu} (\partial^{\mu} + i g_{\omega} \omega^{\mu}) - (m - g_{\sigma} \sigma) \right] \psi \\ + \frac{1}{2} (\partial_{\mu} \sigma \partial^{\mu} \sigma - m_{\sigma}^2 \sigma^2) - \frac{1}{4} \omega_{\mu\nu} \omega^{\mu\nu} + \frac{1}{2} m_{\omega}^2 \omega_{\mu} \omega^{\mu}.$$

Euler-Lagrangian equations of motion are

Coupling constants are  $g_{\sigma}$  and  $g_{\omega}$

$\sigma$  meson

$$(\square + m_{\sigma}^2) \sigma(x) = g_{\sigma} \bar{\psi}(x) \psi(x),$$

For point-like nucleons  
 $\Rightarrow$  Yukawa potential!

$\omega$  meson

$$(\square + m_{\omega}^2) \omega_{\mu}(x) - \partial_{\mu} \partial^{\nu} \omega_{\nu}(x) = g_{\omega} \bar{\psi}(x) \gamma_{\mu} \psi(x)$$

Coupled system is  
still complicated!

nucleons

$$\left[ \gamma_{\mu} (i \partial^{\mu} - g_{\omega} \omega^{\mu}(x)) - (m - g_{\sigma} \sigma(x)) \right] \psi(x) = 0.$$

$m$  is nucleon mass,  $m_{\sigma}$  is  $\sigma$ -meson mass,  $m_{\omega}$  is  $\omega$ -meson mass

# Mean-field approximation to Walecka ( $\sigma$ - $\omega$ ) model

We are interested in a static uniform and isotropic matter being in the ground state

$\Rightarrow$  all spatial points and all directions are equivalent!

$\Rightarrow \sigma = \langle \sigma \rangle$  averaged value,

$$\omega_1 = \omega_2 = \omega_3 = 0,$$

$$\omega_0 = \langle \omega_0 \rangle \text{ averaged value}$$

$$m_\sigma^2 \langle \sigma \rangle = g_\sigma \langle \bar{\psi} \psi \rangle,$$

$$m_\omega^2 \langle \omega_0 \rangle = g_\omega \langle \psi^\dagger \psi \rangle,$$

$\Rightarrow$  for nucleons

$$\left[ \gamma_\mu (i \partial^\mu - g_\omega \omega_\mu \delta^{0\mu}) - (m - g_\sigma \sigma) \right] \psi(x) = 0,$$

There is no explicit  $x$ -dependence  
in Euler-Lagrange equation!

Effective nucleon mass is  $m^*(\sigma) = m - g_\sigma \sigma$

$$\psi(x) = \psi(k) e^{-ik \cdot x}$$

With nucleon 4-momentum

Formal solution for nucleons

$$k \cdot x \equiv k_\mu x^\mu = k_0 t - \mathbf{k} \cdot \mathbf{r}.$$

$$K^\mu = k^\mu - g_\omega \omega_\mu \delta^{0\mu}$$

# Walecka ( $\sigma$ - $\omega$ ) model: equation for nucleons



Paul Dirac  
(1902-1984)

**Dirac equation:**

$$(\not{K} - m^*)\psi(K) = 0. \quad \text{where} \quad \not{K} = \gamma_\mu K^\mu$$

**Effective nucleon mass is**

$$m^*(\sigma) = m - g_\sigma \sigma$$

**With nucleon 4-momentum is**

$$K^\mu = k^\mu - g_\omega \omega_\mu \delta^{0\mu}$$

**Using properties of  $\gamma$ -matrices one can find the eigenvalues of Dirac operator**

$$\begin{aligned} (\not{K} + m^*)(\not{K} - m^*) &= \not{K}\not{K} - m^{*2} = \gamma_\mu K^\mu \gamma_\nu K^\nu - m^{*2} \\ &= K^\mu K^\nu \frac{\gamma_\mu \gamma_\nu + \gamma_\nu \gamma_\mu}{2} - m^{*2} = K_\mu K^\mu - m^{*2}. \end{aligned}$$

$$\Rightarrow (K_\mu K^\mu - m^{*2})\psi(K) = 0.$$

**The standard procedure gives the eigen values for energy of**

$$\text{for nucleons } E^+ = \sqrt{k^2 + (m^*)^2} + g_\omega \omega_\mu,$$

$$\text{for antinucleons } E^- = \sqrt{k^2 + (m^*)^2} - g_\omega \omega_\mu.$$

# Walecka ( $\sigma$ - $\omega$ ) model: pressure

## pressure

$$p(T, \mu) = \frac{\gamma_N}{3} \int \frac{d^3k}{(2\pi)^3} \frac{k^2}{\sqrt{k^2 + m^{*2}}} (f_+ + f_-) \left[ + \frac{m_\omega^2}{2} \omega_0^2 + \frac{m_\sigma^2}{2} \sigma^2 \right]$$

**mean-field contributions**

**ideal gas with  $m^*$  mass**

Degeneracy factor  $\gamma_N = (2S_N + 1)(2I_N + 1) = 4$ ,

nucleon spin  $S_N = \frac{1}{2}$  and isospin  $I_N = \frac{1}{2}$

## distribution function

$$f_\pm \equiv \left[ \exp \left( \frac{\sqrt{k^2 + m^{*2}} \mp \mu \pm g_\omega \omega_0}{T} \right) + 1 \right]^{-1}$$

**$\mu$  baryonic chemical potential**

**Formally, pressure is known, but how to find the values of  $\sigma$  and  $\omega_0$  fields?**

# Walecka ( $\sigma$ - $\omega$ ) model: pressure

**pressure**

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**mean-field  
contributions**

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**$\mu$  baryonic chemical  
potential**

**Formally, pressure is known, but how to find the values of  $\sigma$  and  $\omega_0$  fields?**

**Thermodynamics requires extremum values of corresponding potential for a given set of variables (ensemble)!**

**For the GC ensemble one has to require a maximum of pressure!**

# Walecka ( $\sigma$ - $\omega$ ) model: maximum of pressure

$$\frac{\delta p}{\delta \omega_0} = 0$$

$$\Rightarrow g_\omega \gamma_N \int \frac{dk^3}{(2\pi)^3} [f_+ - f_-] - m_\omega^2 \omega_0 = 0$$

instead of field  $\sigma$  it is more convenient to use  $m^* \Rightarrow$

$$\frac{\delta p}{\delta \phi} = 0$$

$$\left( \frac{\delta p(T, \mu)}{\delta m^*} \right)_{T, \mu} \equiv \frac{m_\sigma^2}{g_\sigma^2} (m - m^*) - \gamma_N \int \frac{d^3k}{(2\pi)^3} \underbrace{\frac{m^*}{\sqrt{k^2 + m^{*2}}}}_{\text{scalar density}} (f_+ + f_-) = 0.$$

scalar density

These conditions provide maximum of pressure and allow one to recover the standard thermodynamics identities!

Recall 1-st L. van Hove axiom!

$$s = \frac{\partial p}{\partial T}; \quad n = \frac{\partial p}{\partial \mu}$$

from 1-st Eq. above

$$\Rightarrow \omega_0 = \frac{g_\omega}{m_\omega^2} n$$

$$\begin{aligned} \varepsilon(T, \mu) &\equiv T \left( \frac{\partial p}{\partial T} \right)_\mu + \mu \left( \frac{\partial p}{\partial \mu} \right)_T - p = \gamma_N \int \frac{dk^3}{(2\pi)^3} \left[ \left( \sqrt{k^2 + (m^*)^2} + g_\omega \omega_0 \right) f_+ + \left( \sqrt{k^2 + (m^*)^2} - g_\omega \omega_0 \right) f_- \right] - \\ &\quad - \frac{m_\omega^2}{2} \omega_0^2 - \frac{m_\sigma^2}{2} \phi^2 = \gamma_N \int \frac{dk^3}{(2\pi)^3} \sqrt{k^2 + (m^*)^2} [f_+ + f_-] + \frac{m_\omega^2}{2} \omega_0^2 - \frac{m_\sigma^2}{2} \phi^2 \end{aligned}$$

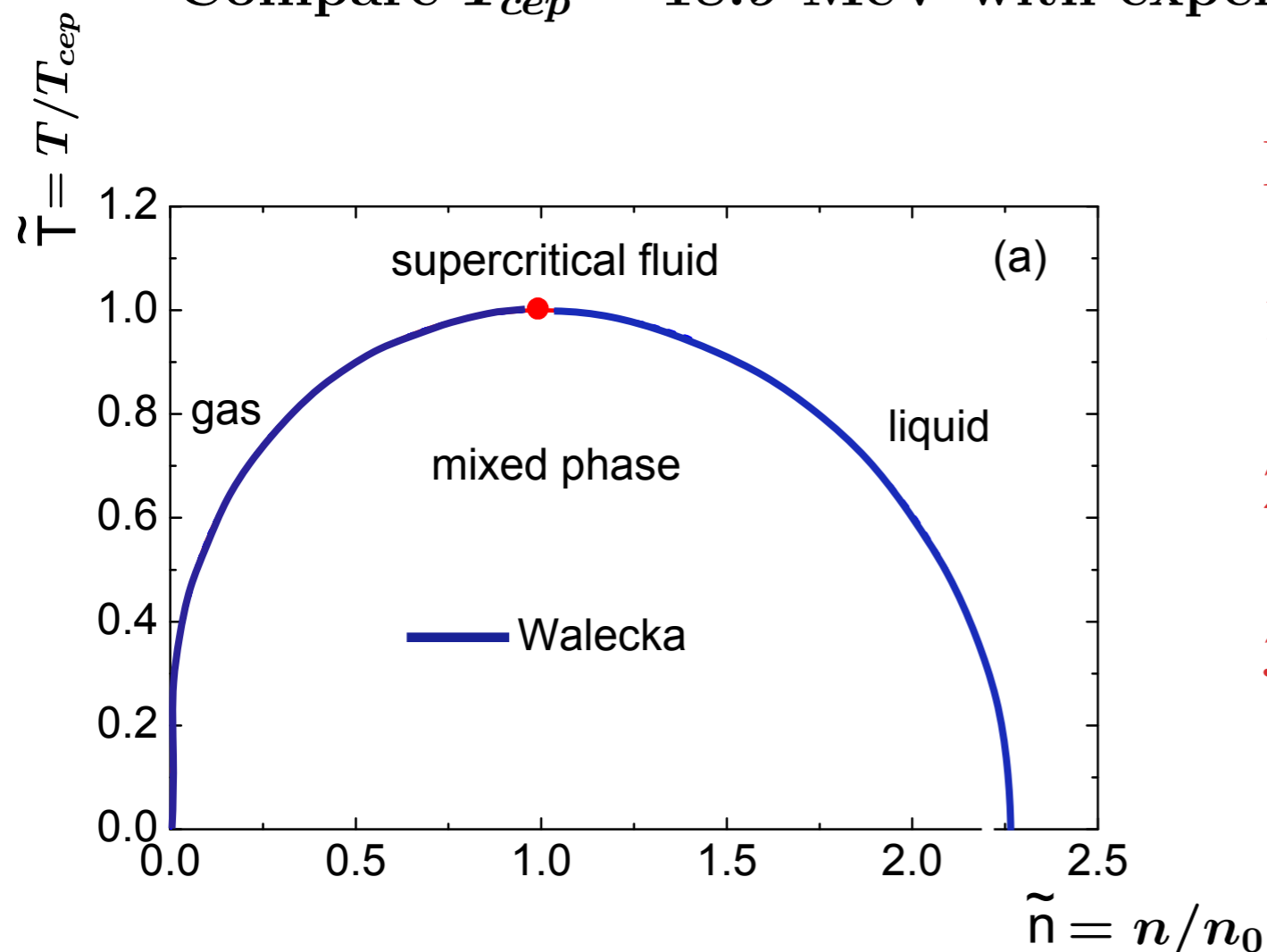
# Walecka ( $\sigma$ - $\omega$ ) model: normal nuclear matter

Having 2 parameters  $C_v = \frac{g_\omega^2}{m_\omega^2} \simeq 285.9 \text{ GeV}^{-2}$ ,  $C_s = \frac{g_\sigma^2}{m_\sigma^2} \simeq 377.6 \text{ GeV}^{-2}$

one can describe the properties of nuclear matter:  
 $p=0$  at  $T=0$  and  $n=n_0$  and  $W(n_0) = -16 \text{ MeV}$

Provides a reasonable value for the critical endpoint temperature

Compare  $T_{cep} = 18.9 \text{ MeV}$  with experimental value  $T_{cep} = 17 \pm 1 \text{ MeV}$



**But there are three problems:**

- 1.  $K_0 = 553 \text{ MeV}$  is too huge!**
- 2. cannot reproduce flow constraint!**
- 3.  $m^*/m = 0.55$  is too small!**

**should be  $m^*/m = [0.6; 0.8]$**

**How can we improve this model?**



# Improving Walecka ( $\sigma$ - $\omega$ ) model

**1. One can add more meson fields, add nonlinear interaction for  $\sigma$  field  
=> relativistic mean-field approach**

**=> difficulties with the flow constraint even having 10-15 parameters!**

**2. One can add a phenomenological repulsion a la Van der Waals  
to weaken the vector meson repulsion**

**D. H. Rischke, M. I. Gorenstein, H. Stoecker and W. Greiner, Z. Phys. C 51, (1991) 485**

**=> same difficulties remain up to huge value for nucleon hard-core radius  
 $R_N = 0.7$  fm!**

**3. One can add another phenomenological attraction which depends on  
baryonic (vector, not a scalar!) density**

**M. I. Gorenstein, D. H. Rischke, H. Stoecker, W. Greiner and K. A. Bugaev, J. Phys. G 19, (1993) 69**

**However, the problem is how to recover the 1-st L. Van Hove axiom?**

**In Walecka model this occurred automatically, since the rule  
to calculate pressure from Lagrangian is known!**

# Thermodynamically Self-consistent EoS for Nuclear Matter

## generalized pressure

$$p(T, \mu) = \frac{\gamma_N}{3} \int \frac{d^3k}{(2\pi)^3} \frac{k^2}{\sqrt{k^2 + M^{*2}}} (f_+ + f_-) + \boxed{n U(n) - \int_0^n d\rho U(\rho) + P(M^*)}$$

ideal gas with  $M^*$  mass

mean-field contributions

## generalized distribution function

$$f_{\pm} \equiv \left[ \exp \left( \frac{\sqrt{k^2 + M^{*2}} \mp \mu \pm U(n)}{T} \right) + 1 \right]^{-1} \quad \mu \text{ baryonic chemical potential}$$

n-dependent interaction pressure

$$P_{int}(n) = \int_0^n d\rho U(\rho) - n U(n)$$

Should obey a self-consistency condition

$$\boxed{\frac{dP_{int}(n)}{dn} = n \frac{dU(n)}{dn}}$$

Maximum pressure with respect to effective mass

$$\boxed{\left( \frac{\delta p(T, \mu)}{\delta M^*} \right)_{T, \mu} \equiv \frac{d P(M^*)}{d M^*} - \gamma_N \int \frac{d^3k}{(2\pi)^3} \frac{M^*}{\sqrt{k^2 + M^{*2}}} (f_+ + f_-) = 0.}$$

# Thermodynamically Self-consistent EoS for Nuclear Matter

Similarly to Walecka model, the self-consistency condition provides fulfillment of thermodynamic identities

$$\mathbf{n}(T, \mu) \equiv \left( \frac{\partial p}{\partial \mu} \right)_T = \gamma_N \int \frac{d^3 k}{(2\pi)^3} (f_+ - f_-) \quad \text{baryonic charge density}$$

$$\begin{aligned} \boldsymbol{\varepsilon}(T, \mu) &\equiv T \left( \frac{\partial p}{\partial T} \right)_\mu + \mu \left( \frac{\partial p}{\partial \mu} \right)_T - \mathbf{p} \quad \text{energy density} \\ &= \gamma_N \int \frac{d^3 k}{(2\pi)^3} \sqrt{k^2 + M^{*2}} (f_+ - f_-) + \int_0^n d\rho U(\rho) - P(M^*) \end{aligned}$$

**Home work: derive Eq. for  $\varepsilon$  from expression for pressure; use thermodynamic identities for ideal gas with chemical potential  $v=\mu-U(n)$**

# Requirements to Mean-field Potentials

In contrast to Walecka model, our functions  $P_{\text{int}}(n)$  and  $P(M^*)$  are not restricted by some Lagrangian!

But we have to pay for this freedom and have to formulate some general conditions on these functions:

$$U(-n) = -U(n) \quad \text{odd function of baryonic charge density}$$

$$\text{for } n \rightarrow \infty \Rightarrow U(n) \rightarrow n^a, \quad a \leq 1 \quad \text{to obey causality condition, i.e. speed of sound} < \text{speed of light}$$

$$\text{for } n \rightarrow 0 \Rightarrow U(n) \rightarrow n^b, \quad 0 \leq b \quad \text{i.e. interaction must vanish at vanishing density}$$

$$P(M^*) = \sum_{k \geq 2} a_k (M - M^*)^k \quad \text{with } a_2 < 0 \quad \text{higher powers than one can get from Lagrangians}$$

# Simplest Realization of the Model

$$P(M^*) = -\frac{1}{2} C_s^2 (M - M^*)^2 \quad , \quad U(n) = C_v^2 n - C_d^2 n^{\frac{1}{3}}$$

Compared to Walecka model there is **additional attraction and one additional parameter**

**This attraction is generated by a peculiar Lagrangian**  $\frac{3}{4} C_d^2 (\bar{\psi} \gamma^\mu \psi \bar{\psi} \gamma_\mu \psi)^{\frac{2}{3}}$

$$\varepsilon(T, \mu) = \gamma_N \int \frac{d^3 k}{(2\pi)^3} \sqrt{k^2 + M^{*2}} (f_+ - f_-) + \frac{1}{2} C_v^2 n^2 - \frac{3}{4} C_d^2 n^{\frac{4}{3}} - \frac{1}{2} C_s^2 (M - M^*)^2$$

**These parameters are normalized on properties of nuclear matter**

$M^*/M$	$C_v^2$ (GeV <sup>-2</sup> )	$C_s^2$ (GeV <sup>-2</sup> )	$C_d^2$	$K_0$ (MeV)
0.543	285.90	377.56	0	553
0.600	257.40	326.40	0.124	380
0.635	238.08	296.05	0.183	300
0.688	206.79	251.14	0.254	210
0.720	186.94	244.52	0.288	170

**allowed  
range of  
values**



**allowed  
range of  
values**



**Model with  $K_0 = 220-300$  MeV obeys the proton flow constraint**

# Summary

- 1. We discussed the necessary apparatus to describe the nuclear matter EoS**
- 2. The properties of normal nuclear matter are used to normalize the phenomenological EoS**
- 3. The Walecka model is presented and its mean-field approximation is applied to normal nuclear matter**
- 4. A phenomenological generalization of Walecka model is discussed and the self-consistency condition is obtained**