

# Lectures on High Energy QCD Scattering

*from concepts to applications*

Roman Pasechnik

- ❖ The way: conceptual approach
- ❖ The ultimate goal: to initiate an interest

*“The greatest adventure my generation will ever have  
- the confined field theory of QCD”*

*Bo Andersson*

# Contents

**Lecture 1:**  
**High energy scattering  
in QCD**

**Quark model;**  
**Color charge;**  
**Hard and soft QCD;**  
**Feynman rules of QCD;**  
**Kinematics  $2 \rightarrow 3$   
scattering;**  
**Lorentz-invariant phase  
space  
and the cross section;**  
**Analyticity and unitarity;**  
**Infrared safety;**  
**QCD factorisation**

**Lecture 2:**  
**Deep Inelastic Scattering  
and QCD factorization**

**DIS and Parton Model;**  
**Bjorken scaling;**  
**scaling violations;**  
**Infinite momentum  
frame versus rest frame;**  
**DIS in the Dipole Model;**  
**DGLAP and BFKL  
evolution; PDFs ,  
collinear and  $kt$ -  
factorization;**  
**light cone coordinates;**  
**virtual photon wave  
function;**  
**Inclusive Drell-Yan**

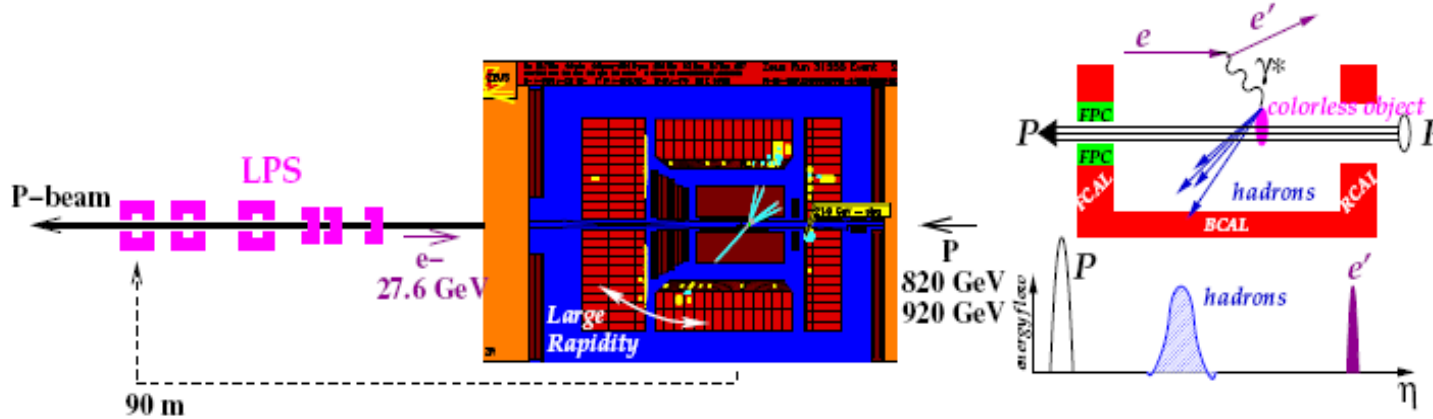
**Lecture 3:**  
**Diffractive scattering and  
soft QCD**

**The QCD pomeron;**  
**ladder diagrams;**  
**Soft diffraction;**  
**rapidity gaps;**  
**diffractive vs non-  
diffractive;**  
**Good-Walker formulation  
of diffraction;**  
**diffractive DIS and DY;**  
**soft gap survival and  
color screening effects.**

# Diffractive rapidity-gap events in $ep$ at HERA

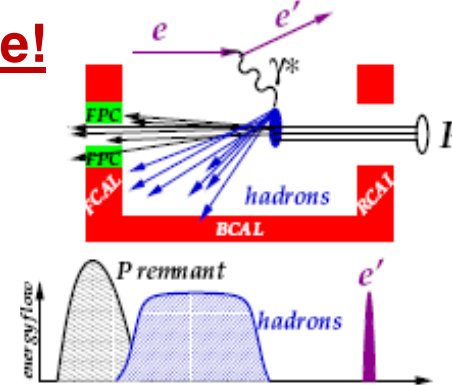
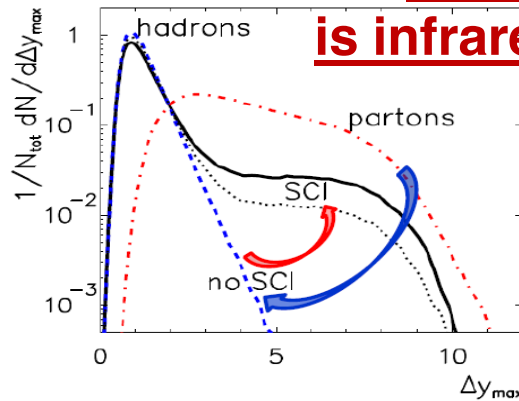
~ 10 % of gap events!!!

## 1. Diffractive scattering



## 2. Non-diffractive scattering

The gap size is infrared-sensitive!



Diffractive is a good probe for soft physics!

ZEUS Collab., Phys. Lett. **B315** (1993) 481; **B332** (1994) 228; Z. Phys. **C68** (1995) 569; Eur. Phys. J. **C1** (1998) 81; **C6** (1999) 43;  
 H1 Collab., Nucl. Phys. **B429** (1994) 477; Phys. Lett. **B348** (1995) 681; Nucl. Phys. **B472** (1996) 3; Z. Phys. **C69** (1995) 27; **C75** (1997) 607; **C76** (1997) 613.

# Definition: What is the hadronic diffraction?

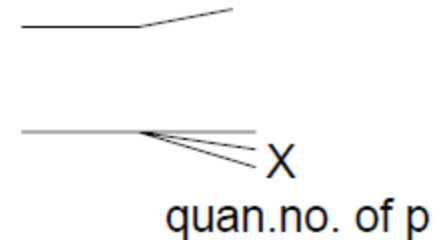
Two alternative definitions:

1. Diffraction is elastic or quasi-elastic scattering caused, via **s-channel** unitarity, by the absorption of components of the wave functions of the incoming particles

e.g.  $pp \rightarrow pp$ ,

$pp \rightarrow pX$  (single proton dissociation, SD),

$pp \rightarrow XX$  (both protons dissociate, DD)



2. A diffractive process is characterized by a large rapidity gap (LRG), which is caused by **t-channel** Pomeron exch. (or, to be more precise, by the exchange corresponding to the rightmost singularity in the complex angular momentum plane with vacuum quantum numbers).

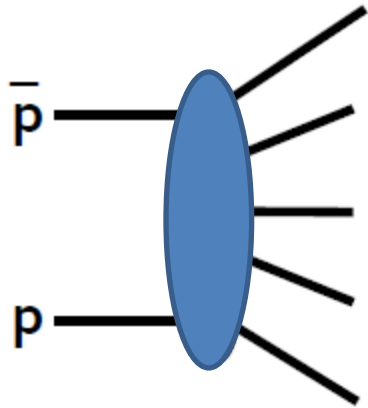
...according to A. Martin

# Definition: diffractive reactions

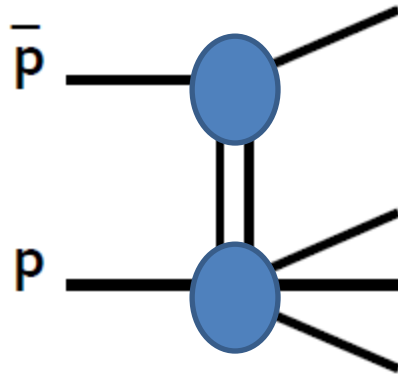
Diffractive reactions – in which:

- no quantum numbers / significant momenta are exchanged
- a new diffractive state is produced

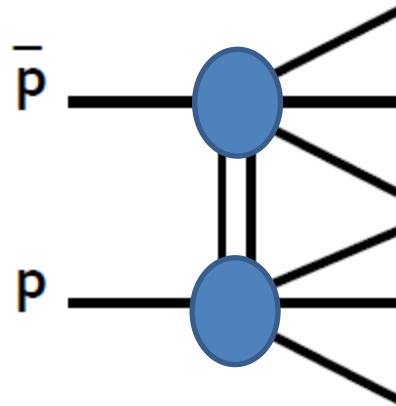
Non-diffractive



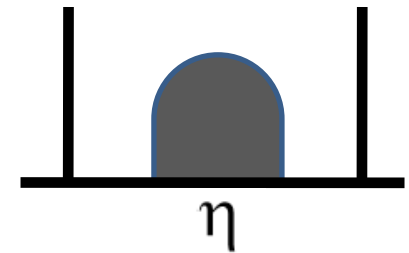
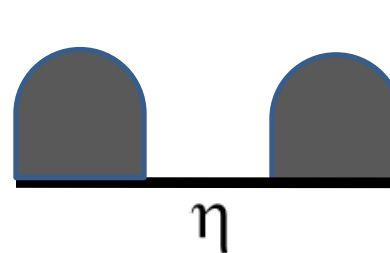
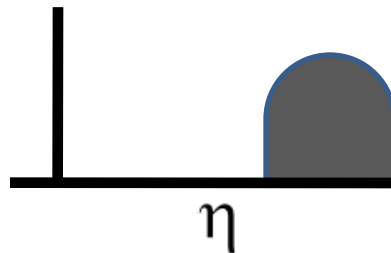
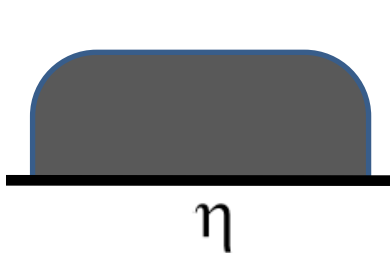
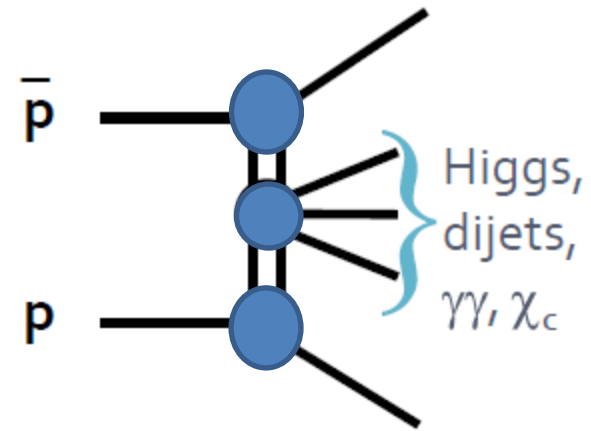
Single-diffractive



Double-diffractive



Double-Pomeron exchange



# Soft vs Hard: Soft QCD and diffraction

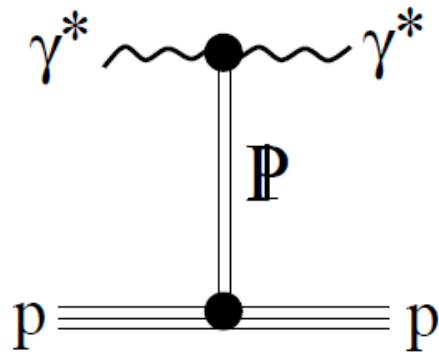
Soft processes are characterized by the soft hadronic scale:  $R \sim 1 \text{ fm}$

**Hadronic diffraction**

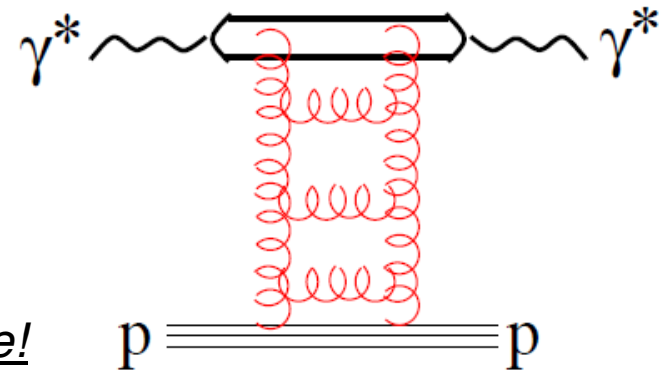


**predominantly  
soft phenomenon**

Regge theory approach



Perturbative QCD approach



???



Continuous matching  
between soft and hard  
regimes is a big challenge!

A. Donnachie, P.V. Landshoff,  
Nucl. Phys. B231 (1984) 189.

*Pomeron structure  
is still a mystery in QCD!*

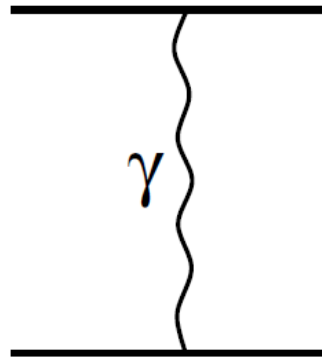
**pQCD motivated models:**

- Durham QCD mechanism
- **Color Dipole Approach**
- Soft Color Interactions model

# QCD: Non-Abelian and role of the color

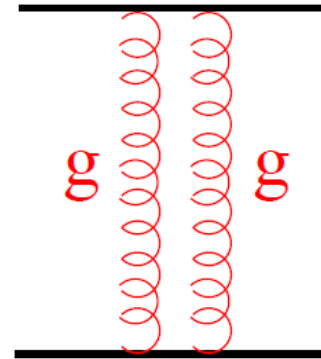
Born approximation for elastic scattering

Abelian



$$\text{Im } f_{\text{el}} = 0$$

Non-Abelian



Color singlet exchange!

$$\text{Re } f_{\text{el}} = 0$$

*at asymptotically high energies!*

**Elastic amplitude is dominated by imaginary part → direct probe for non-Abelianity of the underlined theory!**

# Central exclusive Higgs production: Durham model

$2g\tau_{ij}^a q_{1,2}\delta_{\lambda\lambda'}$

$$\text{Im}A_{jl}^{ik} = \frac{1}{2} \times 2 \int d(P_S)_2 \delta((q_1 - Q)^2) \delta((q_2 + Q)^2) \frac{2gq_1^\alpha 2gq_{2\alpha}}{Q^2} \frac{2gq_1^\mu}{k_1^2} \frac{2gq_2^\nu}{k_2^2} V_{\mu\nu}^{ab} \tau_{im}^c \tau_{jn}^c \tau_{mk}^a \tau_{nl}^b$$

$$Q = \alpha q_1 + \beta q_2 + Q_t \quad \int d(P_S)_2 = \frac{s}{2} \int \frac{d^2 Q_t}{(2\pi)^2} d\alpha d\beta \quad \alpha \approx -\beta \approx Q_t^2/s \ll 1$$

$$k_i = x_i q_i + k_{it} \quad x_i \sim m_H/\sqrt{s}$$

$$V_{\mu\nu}^{ab} = V \delta^{ab} \left( g_{\mu\nu} - \frac{k_1^\mu k_2^\nu}{(k_1 k_2)} \right), \quad V = \frac{m_H^2 \alpha_s}{4\pi v} F(m_H^2/m_t^2) \quad F \approx 2/3$$

$$\tau_{im}^c \tau_{jn}^c \tau_{mk}^a \tau_{nl}^b \rightarrow \frac{\delta^{ab}}{4N_c^2} \quad q_1^\mu V_{\mu\nu}^{ab} q_2^\nu \approx \frac{k_{1t} k_{2t}}{x_1 x_2} V_{\mu\nu}^{ab} \approx \frac{s}{m_H^2} k_{1t}^\mu k_{2t}^\nu V_{\mu\nu}^{ab}, \quad 2(k_1 k_2) \approx x_1 x_2 s \approx m_H^2$$

In the forward limit

$$\epsilon_i \sim k_{it} \quad Q_t = -k_{1t} = k_{2t}$$

Jz=0 state is produced only!

Amplitude

$$\frac{\text{Im}A}{s} \approx \frac{N_c^2 - 1}{N_c^2} \times 4\alpha_s^2 V \int \frac{d^2 Q_t}{Q_t^2 k_{1t}^2 k_{2t}^2} \frac{-(k_{1t} k_{2t})}{m_H^2}$$

Partonic cross section

$$\frac{d\sigma}{d^2 q'_{1t} d^2 q'_{2t} dy} \approx \left( \frac{N_c^2 - 1}{N_c^2} \right)^2 \frac{\alpha_s^6}{(2\pi)^5} \frac{G_F}{\sqrt{2}} \left[ \frac{2}{3} \int \frac{d^2 Q_t}{2\pi} \frac{(k_{1t} k_{2t})}{Q_t^2 k_{1t}^2 k_{2t}^2} \right]^2$$



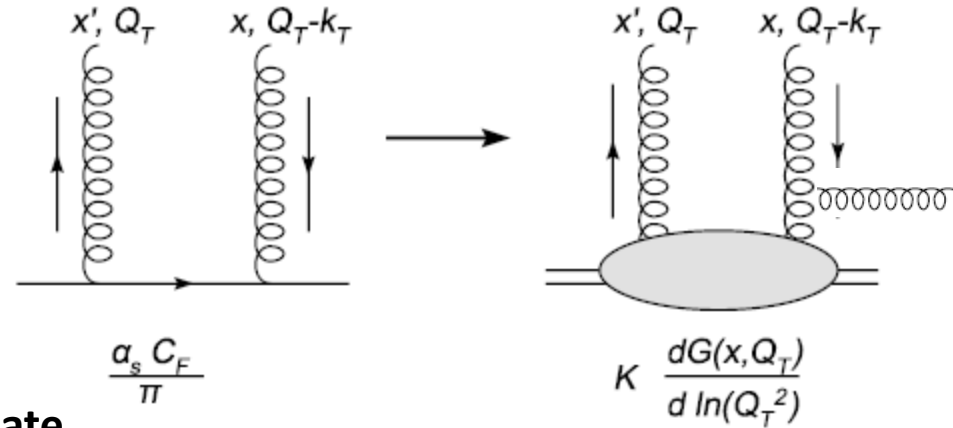
# Gluon unintegrated densities and the Sudakov suppression

Standard gluon PDF (DGLAP evolution)

$$xG(x, Q_t^2)$$

UGDF (in the LLA; BFKL-like evolution)

$$f(x, Q_t^2) \sim \partial xG(x, Q_t^2)/\partial \ln Q_t^2$$



Probability to emit one gluon into the final state

$$\frac{C_A \alpha_s}{\pi} \int_{Q_t^2}^{m_H^2/4} \frac{dp_t^2}{p_t^2} \int_{p_t}^{m_H/2} \frac{dE}{E} \sim \frac{C_A \alpha_s}{4\pi} \ln^2 \left( \frac{m_H^2}{Q_t^2} \right)$$

**The sudakov suppression (for uneven longitudinal momentum sharing):**

The first gluon completely screens the color charge of the second gluon, if the wavelength of soft radiation is sufficiently large, i.e. at  $p_t < Q_t$

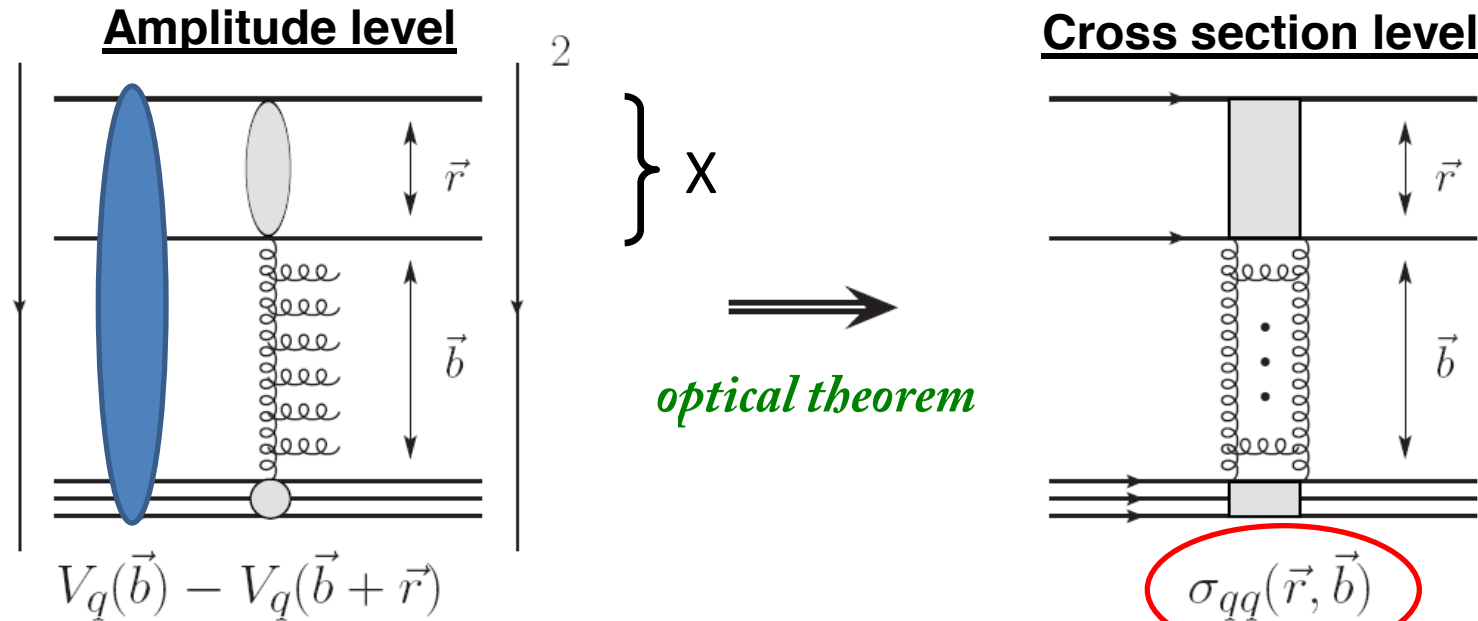
$$Q_t \rightarrow 0$$

*second t-channel "screening" gluon does not screen anymore and number of real gluons emitting to the final state blows up → resummation of soft emission!*

$$e^{-S} = \exp \left( - \frac{C_A \alpha_s}{\pi} \int_{Q_t^2}^{m_H^2/4} \frac{dp_t^2}{p_t^2} \int_{p_t}^{m_H/2} \frac{dE}{E} \right)$$

$$M \sim \int \frac{dQ_t^2}{Q_t^4} f(x_1, Q_t^2) f(x_2, Q_t^2) e^{-S}$$

# Dipoles: Sudakov suppression and elastic scattering



Soft Color neutralization is required for diffraction

Hard gluon Bremsstrahlung contributes at larger  $M_x$

soft gluons – a part of UGDF!



For diffractive  $M_x$  production Sudakov suppression is needed!

**Includes all higher-order QCD and non-perturbative corrections!**

Color neutralization is automatic, no radiation into the gap, small  $M_x$  is produced, no need in Sudakov, gap survival effects are there!

# Theory: Quantum mechanics of diffractive excitation

**A hadron can be excited – not an eigenstate of interaction!**

R. J. Glauber, Phys. Rev. 100, 242 (1955).

E. Feinberg and I. Ya. Pomeranchuk, Nuovo. Cimento. Suppl. 3 (1956) 652.

M. L. Good and W. D. Walker, Phys. Rev. 120 (1960) 1857.

$$|h\rangle = \sum_{\alpha=1} C_{\alpha}^h |\alpha\rangle$$

$$\hat{f}_{el} |\alpha\rangle = f_{\alpha} |\alpha\rangle$$

**Elastic and single diffractive amplitudes**

$$f_{el}^{h \rightarrow h} = \sum_{\alpha=1} |C_{\alpha}^h|^2 f_{\alpha}$$

$$f_{sd}^{h \rightarrow h'} = \sum_{\alpha=1} (C_{\alpha}^{h'})^* C_{\alpha}^h f_{\alpha}$$

**Completeness and orthogonality relations**

$$\langle h' | h \rangle = \sum_{\alpha=1} (C_{\alpha}^{h'})^* C_{\alpha}^h = \delta_{hh'}$$

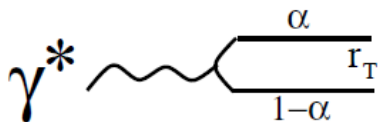
$$\langle \beta | \alpha \rangle = \sum_{h'} (C_{\beta}^{h'})^* C_{\alpha}^{h'} = \delta_{\alpha\beta}$$

**Single diffractive cross section**

$$\begin{aligned} \sum_{h' \neq h} \left. \frac{d\sigma_{sd}^{h \rightarrow h'}}{dt} \right|_{t=0} &= \frac{1}{4\pi} \left[ \sum_{h'} |f_{sd}^{hh'}|^2 - |f_{el}^{hh}|^2 \right] \\ &= \frac{1}{4\pi} \left[ \sum_{\alpha} |C_{\alpha}^h|^2 |f_{\alpha}|^2 - \left( \sum_{\alpha} |C_{\alpha}^h| f_{\alpha} \right)^2 \right] \\ &= \frac{\langle f_{\alpha}^2 \rangle - \langle f_{\alpha} \rangle^2}{4\pi} \end{aligned}$$

**Dispersion of the eigenvalues distribution!**

**Eigenstates of interaction in QCD: color dipoles**



**Dipole:**

- cannot be excited
- experience only elastic scattering
- have no definite mass, but only separation
- universal – elastic amplitude can be extracted in one process and used in another

# Theory: Dipole cross section and color transparency

**Eigenvalue of the total cross section is the universal dipole cross section**

B.Z. Kopeliovich, L.I. Lapidus and A.B. Zamolodchikov, Sov. Phys. JETP Lett. **33** (1981) 595; Pisma v Zh. Exper. Teor. Fiz. **33** (1981) 612.

Single diffractive cross section

$$\sum_{h'} \left. \frac{d\sigma_{sd}^{h \rightarrow h'}}{dt} \right|_{t=0} = \sum_{\alpha=1} |C_{\alpha}^h|^2 \frac{\sigma_{\alpha}^2}{16\pi} = \int d^2 r_T |\Psi_h(r_T)|^2 \frac{\sigma^2(r_T)}{16\pi} = \frac{\langle \sigma^2(r_T) \rangle}{16\pi}$$

DIS cross section

$$\sigma_{tot}^{\gamma^* P}(Q^2, x_{Bj}) = \int d^2 r_T \int_0^1 dx |\Psi_{\gamma^*}(r_T, Q^2)|^2 \sigma_{q\bar{q}}(r_T, x_{Bj})$$

Theoretical calculation of the dipole CS is a challenge

**GBW parameterization of HERA data**

$$\sigma_{q\bar{q}}(r_T, x) = \sigma_0 \left[ 1 - e^{-\frac{1}{4} r_T^2 Q_s^2(x)} \right]$$

saturates at large separations  $r_T^2 \gg 1/Q_s^2$

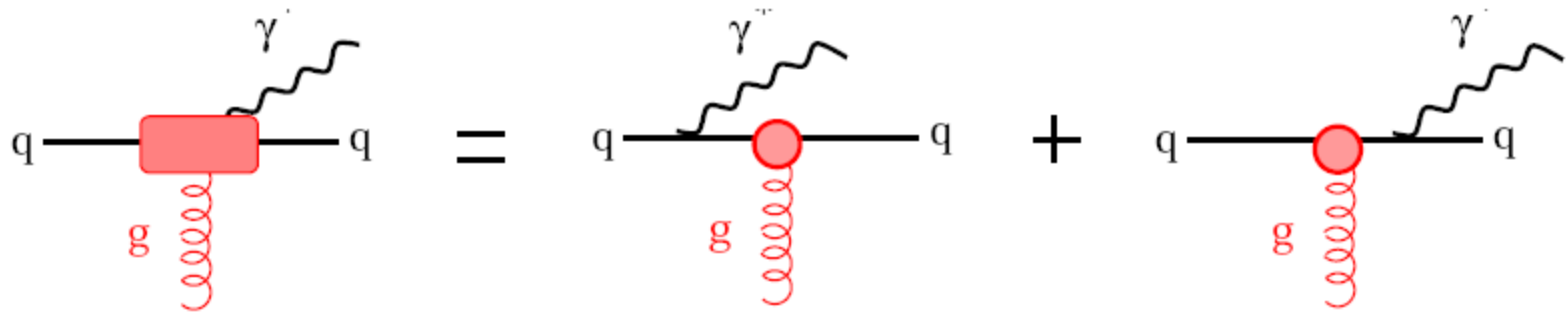
Color transparency:  $\sigma_{q\bar{q}}(r_T) \propto r_T^2$   $r_T \rightarrow 0$

**A point-like colorless object cannot interact with external color field!**

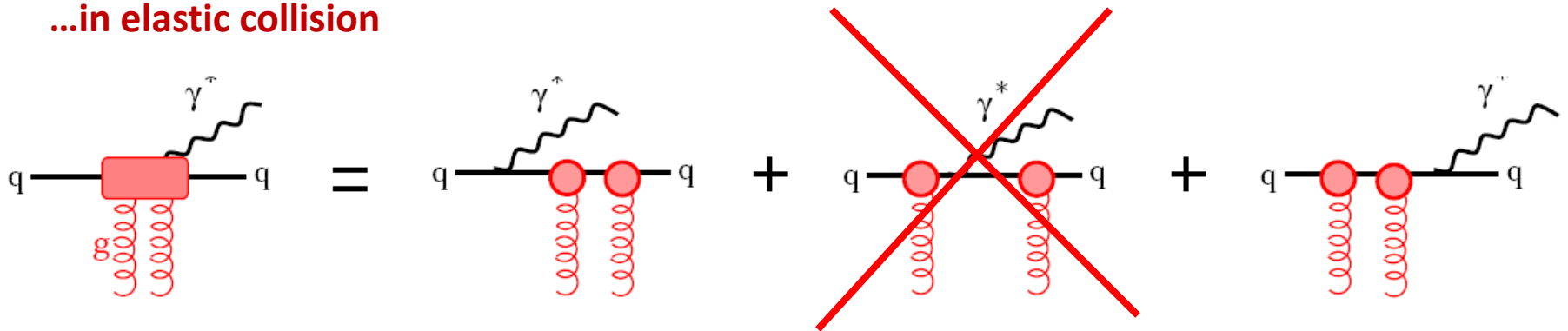
**General property of any dipole cross section in QCD!**

# Drell-Yan off a quark: Forward Abelian radiation

...in inelastic collision



...in elastic collision



Landau-Pomeranchuk principle:

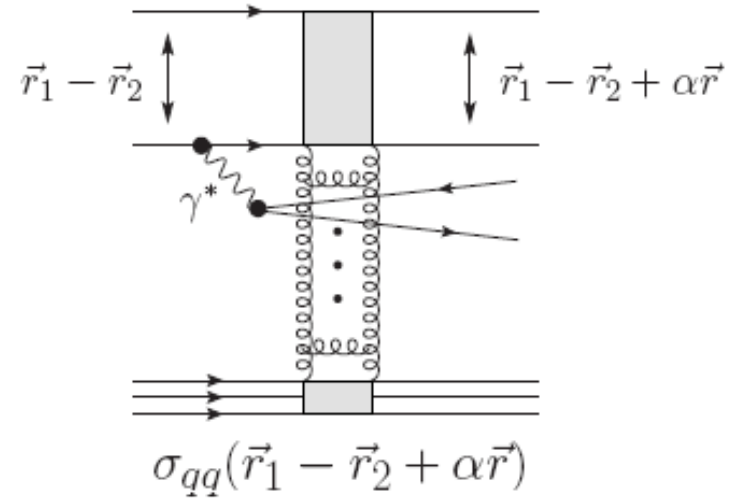
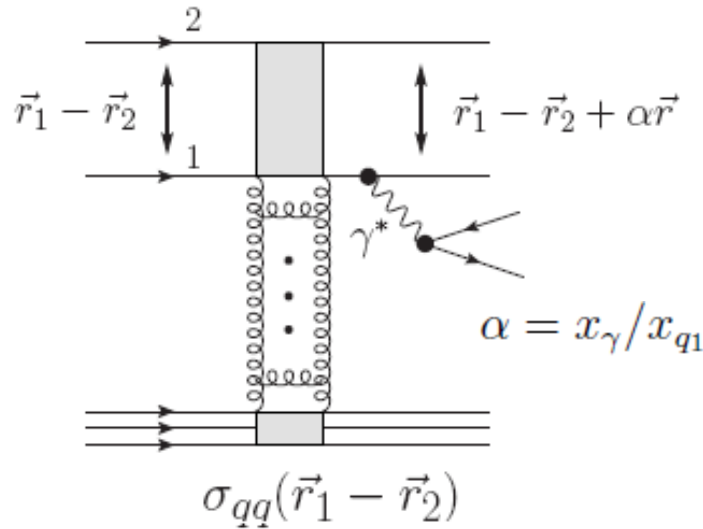
non-accelerated charge does not radiate!

Radiation depends on **the whole strength** of the kick rather on its structure



**No radiation from a quark at  $P_t=0$ !**

# Drell-Yan off a dipole: basics of forward diffraction



By optical theorem

$$2i \operatorname{Im} f_{el}(\vec{b}, \vec{r}_p) = \frac{i}{N_c} \sum_X \sum_{c_f c_i} |V_q(\vec{b}) - V_q(\vec{b} + \vec{r}_p)|^2$$

$$\sigma_{\bar{q}q}(r_p) = \int d^2b 2 \operatorname{Im} f_{el}(\vec{b}, \vec{r}_p)$$

**dipoles with different sizes interact differently!**

Amplitude of DDY in the dipole-target scattering

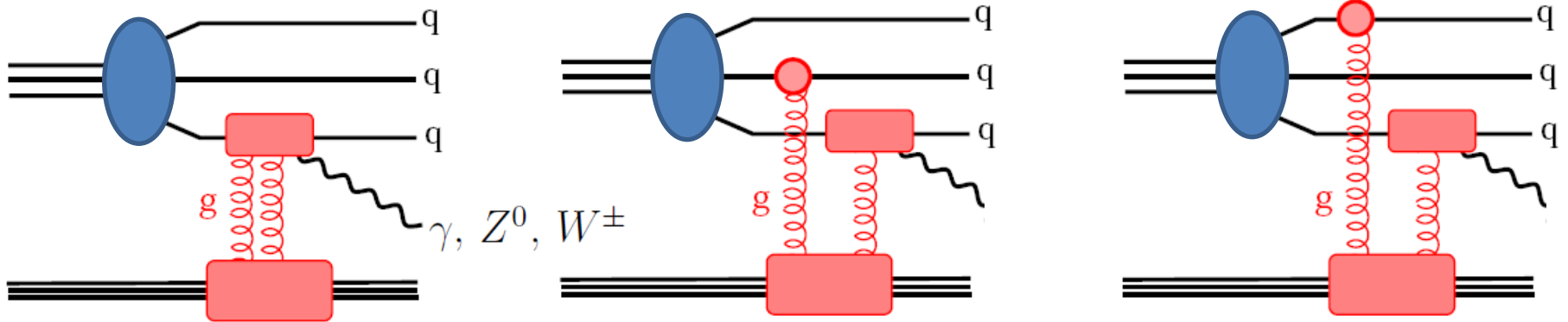
$$M_{qq}^{(1)}(\vec{b}, \vec{r}_p, \vec{r}, \alpha) = -2ip_i^0 \sqrt{4\pi} \frac{\sqrt{1-\alpha}}{\alpha^2} \Psi_{\gamma^*q}^\mu(\alpha, \vec{r}) \left[ 2\operatorname{Im} f_{el}(\vec{b}, \vec{r}_p) - 2\operatorname{Im} f_{el}(\vec{b}, \vec{r}_p + \alpha\vec{r}) \right]$$

# Model: Diffractive gauge bosons production in pp

R. Pasechnik, B. Kopeliovich, *Eur. Phys. J. C71 (2011) 1827*

B. Kopeliovich, I. Potashnikova, I. Schmidt and A. Tarasov, *Phys. Rev. D74, (2006) 114024*

..probing large distances in the proton



$$\frac{d^3\sigma_{\lambda_G}(pp \rightarrow pG^*X)}{d \ln \alpha d\delta_{\perp}^2} \Big|_{\delta_{\perp}=0} = \frac{1}{64\pi} \sum_q \int d^2r_1 d^2r_2 d^2r_3 d^2r dx_{q_1} dx_{q_2} dx_{q_3}$$

$$\times |\Psi_{V+A}^{\lambda_G}(\vec{r}, \alpha, M)|^2 |\Psi_i(\vec{r}_1, \vec{r}_2, \vec{r}_3; x_{q_1}, x_{q_2}, x_{q_3})|^2 \left[ \int d^2b \Delta(\vec{r}_1, \vec{r}_2, \vec{r}_3; \vec{b}; \vec{r}, \alpha) \right]^2$$

$$\Delta \sim \text{Im } f_{el}(\vec{b}, \vec{r}_1 - \vec{r}_2) - \text{Im } f_{el}(\vec{b}, \vec{r}_1 - \vec{r}_2 + \alpha\vec{r}) +$$

$$\text{Im } f_{el}(\vec{b}, \vec{r}_1 - \vec{r}_3) - \text{Im } f_{el}(\vec{b}, \vec{r}_1 - \vec{r}_3 + \alpha\vec{r})$$

# Consequences: QCD factorization breaking in DDY

Golec-Biernat-Wuesthoff (GBW)  
dipole cross section

$$\sigma(r) = \sigma_0 \left(1 - e^{-r^2/R_0^2}\right)$$

Difference between two Fock states



Diffractive DY amplitude

$$\propto \sigma(\vec{R}) - \sigma(\vec{R} - \alpha\vec{r}) = \frac{2\alpha\sigma_0}{R_0^2(x_2)} e^{-R^2/R_0^2(x_2)} \underbrace{(\vec{r} \cdot \vec{R})}_{\text{Interplay between hard and soft scales}} + O(r^2)$$

$$r \sim 1/M \ll R_0(x_2)$$

Interplay between  
hard and soft scales

Diffractive DIS  $\propto r^4 \propto 1/M^4$

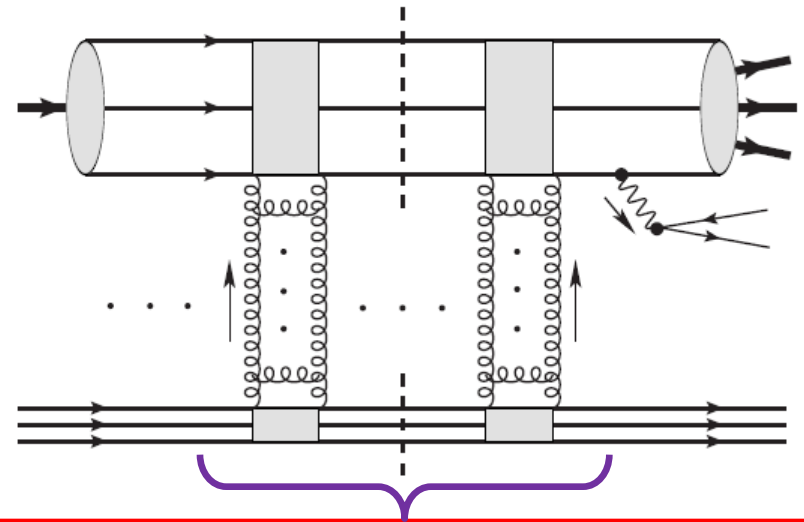
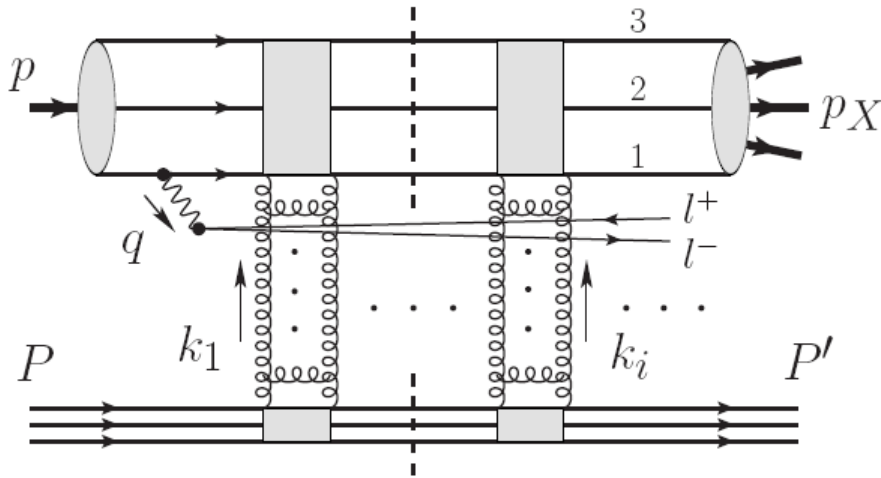
Diffractive DY  $\propto r^2 \propto 1/M^2$

The QCD factorization holds!

Dramatic breakdown  
of the QCD factorization!



# Gap survival: Eikonalization of the diffractive DY amplitude



Diffractive DY (off dipole) [eikonalized amplitude](#)

Accounts for soft and hard components on the same footing!

$$M_{\bar{q}q}^{\mu}(\mathbf{b}, \mathbf{r}_p, \mathbf{r}, \alpha) \propto \left[ e^{-2\text{Im} f_{el}(\mathbf{b}, \mathbf{r}_p)} - e^{-2\text{Im} f_{el}(\mathbf{b}, \mathbf{r}_p + \alpha \mathbf{r})} \right]$$

...reproduces the standard **Regge-based gap survival** suppression

$$\sigma_{DDY} = K \cdot \sigma_{DDY}^{bare}$$

$$K = 1 - \frac{1}{\pi} \frac{\sigma_{tot}^{pp}(s)}{B_{sd}^{DY}(s) + 2B_{el}^{pp}(s)} + \frac{1}{(4\pi)^2} \frac{[\sigma_{tot}^{pp}(s)]^2}{B_{el}^{pp}(s)[B_{sd}^{DY}(s) + B_{el}^{pp}(s)]}$$

# Absorption: Elastic amplitude and gap survival

Complete dipole elastic amplitude has **eikonal form**:

$$\text{Im } f_{el}(\vec{b}, \vec{r}_1 - \vec{r}_2) = 1 - \exp[i\chi(\vec{r}_1) - i\chi(\vec{r}_2)],$$

$$\chi(b) = - \int_{-\infty}^{\infty} dz V(\vec{b}, z), \quad \textit{nearly imaginary at high energies!}$$

**Diffractive amplitude** is proportional to

$$\text{Im } f_{el}(\vec{b}, \vec{r}_1 - \vec{r}_2 + \alpha\vec{r}) - \text{Im } f_{el}(\vec{b}, \vec{r}_1 - \vec{r}_2) = \underbrace{\exp[i\chi(\vec{r}_1) - i\chi(\vec{r}_2)]}_{\text{Exactly the soft survival probability amplitude}} \exp[i\alpha\vec{r} \cdot \vec{\nabla}\chi(\vec{r}_1)]$$

**Exactly the soft survival probability amplitude**

vanishes in the black disc limit!

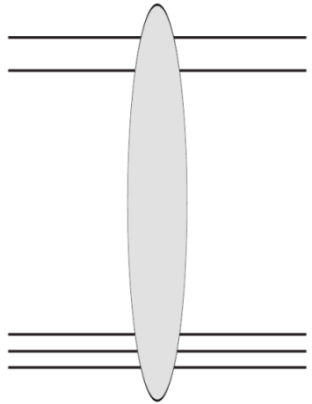
*Absorption effect should be included into elastic amplitude parameterization (at the amplitude level)*

# Hard vs soft: Small and large dipoles

small  $x$  (large  $Q^2$ )

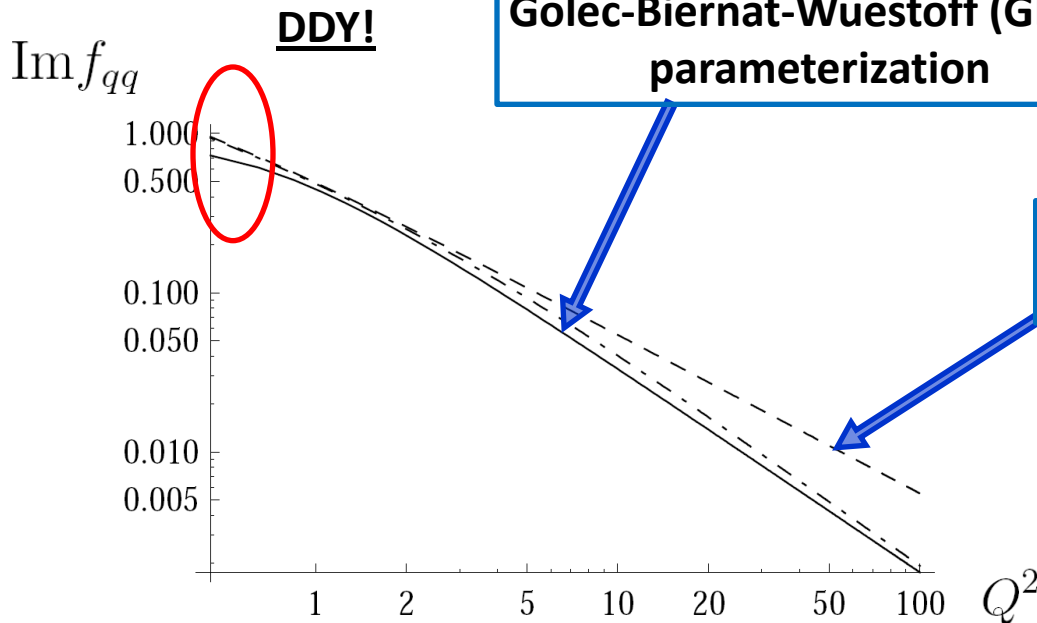
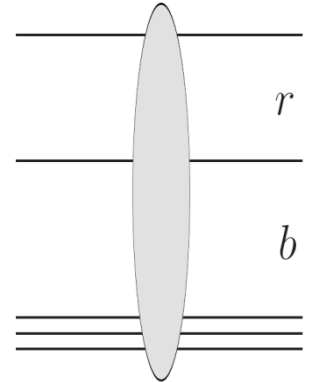
moderate and small  $Q^2$

Fitted to DIS data



$$\text{Im} f_{qq}(\vec{b}, \vec{r}) = \frac{\sigma_0}{8\pi\mathcal{B}} \left\{ \exp \left[ -\frac{[\vec{b} + \vec{r}(1-x_q)]^2}{2\mathcal{B}} \right] + \exp \left[ -\frac{[\vec{b} + \vec{r}x_q]^2}{2\mathcal{B}} \right] - 2 \exp \left[ -\frac{r^2}{R_0^2} - \frac{[\vec{b} + \vec{r}(1/2 - x_q)]^2}{2\mathcal{B}} \right] \right\}$$

Fitted to soft data



Phys. Rev. D 62 (2000) , 054022

fit to soft (pion-proton etc) data  
absorption is there already!

# Hard bremsstrahlung: bosons radiation wave functions

Vector WF:

$$\Psi_V^\mu(\vec{r}, \alpha, M) = \mathcal{C}_q^G g_{v,q}^G \alpha^3 \sqrt{1-\alpha} \int \frac{d^2 l_\perp}{(2\pi)^2} e^{-i\vec{l}_\perp \cdot \alpha \vec{r}} \frac{\bar{u}_{\sigma_2}(p_f) \gamma^\mu u_\sigma(p_2 + q)}{\alpha^2 l_\perp^2 + \eta^2},$$

Axial WF:

$$\Psi_A^\mu(\vec{r}, \alpha, M) = \mathcal{C}_q^G g_{a,q}^G \alpha^3 \sqrt{1-\alpha} \int \frac{d^2 l_\perp}{(2\pi)^2} e^{-i\vec{l}_\perp \cdot \alpha \vec{r}} \frac{\bar{u}_{\sigma_2}(p_2) \gamma^\mu \gamma_5 u_\sigma(p_2 + q)}{\alpha^2 l_\perp^2 + \eta^2}$$

$$\eta^2 = (1-\alpha)M^2 + \alpha^2 m_q^2$$

**wave functions products:**

$$\begin{aligned} \Psi_V^T(\alpha, \vec{\rho}_1) \Psi_V^{T*}(\alpha, \vec{\rho}_2) &= \sum_{\lambda=\pm 1} \frac{1}{2} \sum_{\sigma_1, \sigma_2} \epsilon_\mu^*(\lambda) \Psi_V^\mu(\alpha, \vec{\rho}_1) \epsilon_\nu(\lambda) \Psi_V^{\nu*}(\alpha, \vec{\rho}_2) \\ &= \frac{\mathcal{C}_q^2 (g_{v,q}^G)^2}{2\pi^2} \left\{ m_q^2 \alpha^4 K_0(\eta\rho_1) K_0(\eta\rho_2) + [1 + (1-\alpha)^2] \eta^2 \frac{\vec{\rho}_1 \cdot \vec{\rho}_2}{\rho_1 \rho_2} K_1(\eta\rho_1) K_1(\eta\rho_2) \right\}, \end{aligned}$$

$$\begin{aligned} \Psi_V^L(\alpha, \vec{\rho}_1) \Psi_V^{L*}(\alpha, \vec{\rho}_2) &= \frac{1}{2} \sum_{\sigma_1, \sigma_2} \epsilon_\mu^*(\lambda=0) \Psi_V^\mu(\alpha, \vec{\rho}_1) \epsilon_\nu(\lambda=0) \Psi_V^{\nu*}(\alpha, \vec{\rho}_2) \\ &= \frac{\mathcal{C}_q^2 (g_{v,q}^G)^2}{\pi^2} M^2 (1-\alpha)^2 K_0(\eta\rho_1) K_0(\eta\rho_2). \end{aligned}$$

$$\begin{aligned} \Psi_A^T(\alpha, \vec{\rho}_1) \Psi_A^{T*}(\alpha, \vec{\rho}_2) &= \\ &= \frac{\mathcal{C}_q^2 (g_{a,q}^G)^2}{2\pi^2} \left\{ m_q^2 \alpha^2 (2-\alpha)^2 K_0(\eta\rho_1) K_0(\eta\rho_2) + [1 + (1-\alpha)^2] \eta^2 \frac{\vec{\rho}_1 \cdot \vec{\rho}_2}{\rho_1 \rho_2} K_1(\eta\rho_1) K_1(\eta\rho_2) \right\}, \end{aligned}$$

$$\Psi_A^L(\alpha, \vec{\rho}_1) \Psi_A^{L*}(\alpha, \vec{\rho}_2) = \frac{\mathcal{C}_q^2 (g_{a,q}^G)^2}{\pi^2} \frac{\eta^2}{M^2} \left\{ \eta^2 K_0(\eta\rho_1) K_0(\eta\rho_2) + \alpha^2 m_q^2 \frac{\vec{\rho}_1 \cdot \vec{\rho}_2}{\rho_1 \rho_2} K_1(\eta\rho_1) K_1(\eta\rho_2) \right\}.$$

# Signal: dilepton production cross section

Diffractive Drell-Yan pair production cross section

$$\frac{d^6\sigma_{L,T}(pp \rightarrow pl\bar{l}X)}{d^2q_{\perp}d\ln\alpha dM^2 d^2\delta_{\perp}} = \frac{\alpha_{em}}{3\pi M^2} \frac{d^5\sigma_{L,T}(pp \rightarrow p\gamma^*X)}{d^2q_{\perp}d\ln\alpha d^2\delta_{\perp}}$$

Diffractive W,Z production cross section in the leptonic channel

$$\frac{d^4\sigma_{L,T}(pp \rightarrow p(G^* \rightarrow l\bar{l}, l\nu_l)X)}{d^2q_{\perp}d\ln\alpha dM^2 d^2\delta_{\perp}} = \text{Br}(G \rightarrow l\bar{l}, l\nu_l) \rho_G(M) \frac{d^3\sigma_{L,T}(pp \rightarrow pG^*X)}{d^2q_{\perp}d\ln\alpha d^2\delta_{\perp}}$$

where resonant invariant mass distribution

$$\rho_G(M) = \frac{1}{\pi} \frac{M \Gamma_G(M)}{(M^2 - m_G^2)^2 + [M \Gamma_G(M)]^2}, \quad \Gamma_G(M)/M \ll 1$$

the narrow-width approximation

**GB total decay widths**

$$\Gamma_W(M) \simeq \frac{3\alpha_{em} M}{4 \sin^2 \theta_W}, \quad \Gamma_Z(M) \simeq \frac{\alpha_{em} M}{6 \sin^2 2\theta_W} \left[ \frac{160}{3} \sin^4 \theta_W - 40 \sin^2 \theta_W + 21 \right]$$

# Results: Diffractive GB production cross sections

The general result:

$$\frac{d^5\sigma_{\lambda_G}(pp \rightarrow pG^*X)}{d^2q_{\perp}d\ln\alpha d^2\delta_{\perp}} = \frac{1}{(2\pi)^2} \frac{1}{64\pi^2} \sum_q \int d^2r_1 d^2r_2 d^2r_3 d^2r d^2r' d^2b d^2b' dx_{q_1} dx_{q_2} dx_{q_3}$$

$$\times \Psi_{V-A}^{\lambda_G}(\vec{r}, \alpha, M) \Psi_{V-A}^{\lambda_{G^*}}(\vec{r}', \alpha, M) |\Psi_i(\vec{r}_1, \vec{r}_2, \vec{r}_3; x_{q_1}, x_{q_2}, x_{q_3})|^2$$

$$\times \Delta(\vec{r}_1, \vec{r}_2, \vec{r}_3; \vec{b}; \vec{r}, \alpha) \Delta(\vec{r}_1, \vec{r}_2, \vec{r}_3; \vec{b}'; \vec{r}', \alpha) e^{i\vec{\delta}_{\perp} \cdot (\vec{b} - \vec{b}')} e^{i\vec{l}_{\perp} \cdot \alpha(\vec{r} - \vec{r}')}$$

$$\Delta = -2\text{Im} f_{el}(\vec{b}, \vec{r}_1 - \vec{r}_2) + 2\text{Im} f_{el}(\vec{b}, \vec{r}_1 - \vec{r}_2 + \alpha\vec{r})$$

$$-2\text{Im} f_{el}(\vec{b}, \vec{r}_1 - \vec{r}_3) + 2\text{Im} f_{el}(\vec{b}, \vec{r}_1 - \vec{r}_3 + \alpha\vec{r})$$

Proton wave function

$$|\Psi_i(\vec{r}_1, \vec{r}_2, \vec{r}_3; x_q, x_{q_2}, x_{q_3})|^2 = \frac{3a^2}{\pi^2} e^{-a(r_1^2 + r_2^2 + r_3^2)} \rho(x_q, x_{q_2}, x_{q_3}) \quad a = \langle r_{ch}^2 \rangle^{-1}$$

$$\times \delta(\vec{r}_1 + \vec{r}_2 + \vec{r}_3) \delta(1 - x_q - x_{q_2} - x_{q_3})$$

Valence quark distribution

+ antiquarks!

$$\int dx_{q_2} dx_{q_3} \delta(1 - x_q - x_{q_2} - x_{q_3}) \rho(x_q, x_{q_2}, x_{q_3}) = \rho_q(x_q) \quad \Rightarrow \quad \sum_a Z_q^2 [\rho_q(x_q) + \rho_{\bar{q}}(x_q)] = \frac{1}{x_q} F_2(x_q)$$

In DDY we get an immediate access to the proton structure function at large  $x$ !

# Results: Diffractive GB production cross sections

In the hard limit  $r \ll R$ : 
$$\text{Im } f_{el}(\vec{b}, \vec{R}_{ij} + \alpha \vec{r}) - \text{Im } f_{el}(\vec{b}, \vec{R}_{ij}) \simeq \frac{\partial \text{Im } f_{el}(\vec{b}, \vec{R}_{ij})}{\partial \vec{R}_{ij}} \alpha \vec{r}$$

Forward diffractive CS:

$$\frac{d^4 \sigma_{\lambda_G}(pp \rightarrow p G^* X)}{d^2 q_{\perp} dx_{bos1} d\delta_{\perp}^2} \Big|_{\delta_{\perp}=0} = \frac{a^2}{24\pi^3} \frac{\sigma_0^2(s)}{R_0^4(s)} \frac{1}{A_2} \left[ \frac{2}{(A_2 - 4A_1)^2} + \frac{A_2^2}{(A_2^2 - 4A_3^2)^2} \right] \times$$

$$\sum_q \int_{x_{bos1}}^1 d\alpha \left[ \rho_q\left(\frac{x_{bos1}}{\alpha}\right) + \rho_{\bar{q}}\left(\frac{x_{bos1}}{\alpha}\right) \right] \int d^2 r d^2 r' (\vec{r} \cdot \vec{r}') \Psi_{V-A}^{\lambda_G}(\vec{r}, \alpha, M) \Psi_{V-A}^{\lambda_{G^*}}(\vec{r}', \alpha, M) e^{i\vec{q}_{\perp} \cdot (\vec{r} - \vec{r}')}$$

$$A_1 = \frac{2a}{3} + \frac{2}{R_0^2(s)}, \quad A_2 = \frac{2a}{3}, \quad A_3 = \frac{2a}{3} + \frac{1}{R_0^2(s)}$$

Soft **KST** parametrization

Due to exponential t-dependence

$$\frac{d\sigma(pp \rightarrow p G^* X)}{d^2 q_{\perp} dx_{bos1}} = \frac{1}{B_{sd}(s)} \frac{d^3 \sigma(pp \rightarrow p G^* X)}{d^2 q_{\perp} dx_{bos1} d\delta_{\perp}^2} \Big|_{\delta_{\perp}=0}$$

$$R_0(s) = 0.88 \text{ fm } (s_0/s)^{0.14}$$

$$\sigma_0(s) = \sigma_{tot}^{\pi p}(s) \left( 1 + \frac{3R_0^2(s)}{8\langle r_{ch}^2 \rangle_{\pi}} \right)$$

$$\sigma_{tot}^{\pi p}(s) = 23.6 (s/s_0)^{0.08} \text{ mb}$$

$$\langle r_{ch}^2 \rangle_{\pi} = 0.44 \text{ fm}^2$$

with diffractive (Regge) slope

$$B_{sd}(s) \simeq \langle r_{ch}^2 \rangle / 3 + 2\alpha'_{\mathbb{P}} \ln(s/s_0)$$

# Results: diffractive vs inclusive GB production

In the hard limit: 
$$\frac{1}{2} \left\{ \sigma(\alpha r) + \sigma(\alpha r') - \sigma(\alpha |\vec{r} - \vec{r}'|) \right\} \simeq \frac{\alpha^2 \bar{\sigma}_0}{\bar{R}_0^2(x)} (\vec{r} \cdot \vec{r}')$$

Inclusive production CS:

$$\frac{d^4 \sigma_{\lambda_G}(pp \rightarrow G^* X)}{d^2 q_{\perp} dx_{bos1}} = \frac{1}{(2\pi)^2} \frac{\bar{\sigma}_0}{\bar{R}_0^2(x)} \sum_q \int_{x_{bos1}}^1 d\alpha \left[ \rho_q \left( \frac{x_{bos1}}{\alpha} \right) + \rho_{\bar{q}} \left( \frac{x_{bos1}}{\alpha} \right) \right] \times \int d^2 r d^2 r' (\vec{r} \cdot \vec{r}') \Psi_{V-A}^{\lambda_G}(\vec{r}, \alpha, M) \Psi_{V-A}^{\lambda_{G^*}}(\vec{r}', \alpha, M) e^{i\vec{q}_{\perp} \cdot (\vec{r} - \vec{r}')}.$$

with GBW parametrization:

$$\bar{\sigma}_0 = 23.03 \text{ mb}, \quad R_0 \equiv \bar{R}_0(x) = 0.4 \text{ fm} \times (x/x_0)^{0.144}, \quad x_0 = 3.04 \times 10^{-4},$$

So, the diffraction-to-inclusive ratio:

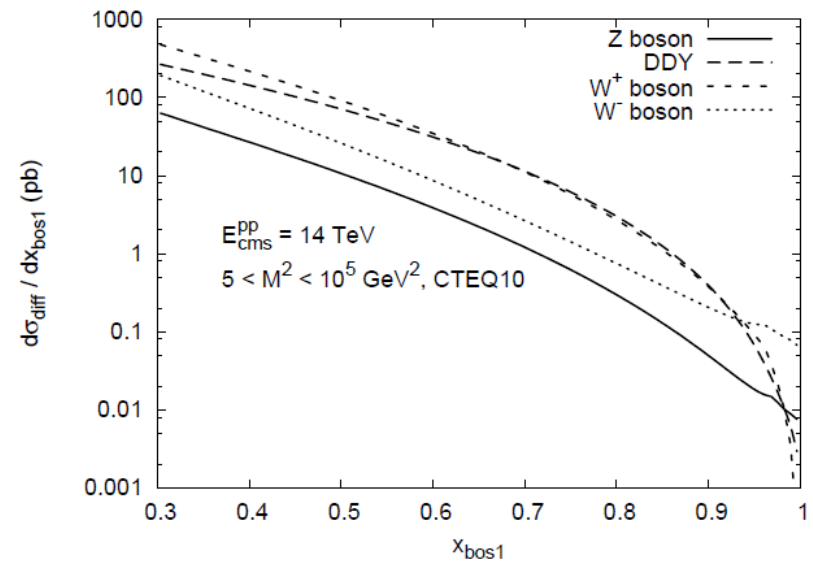
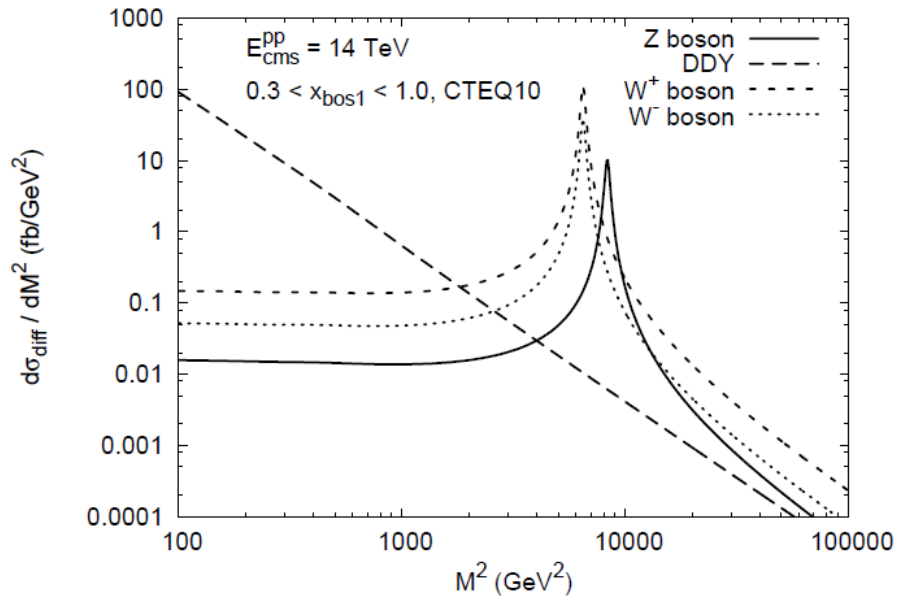
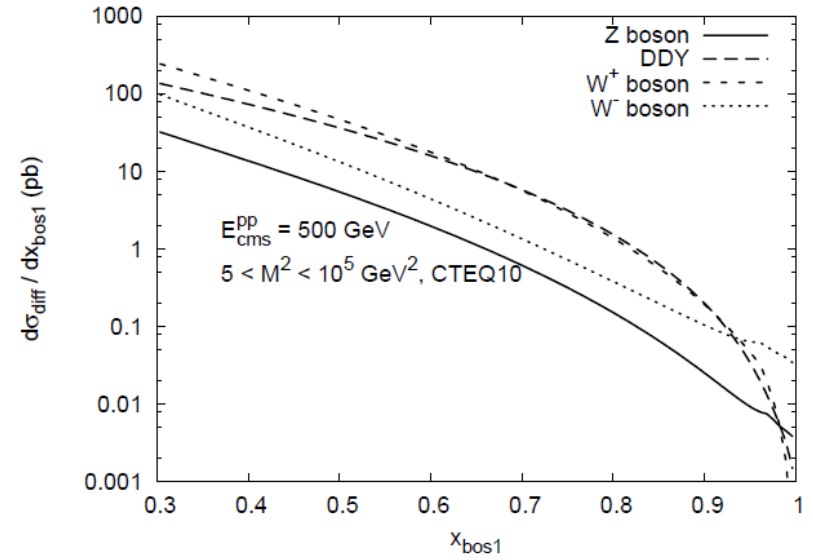
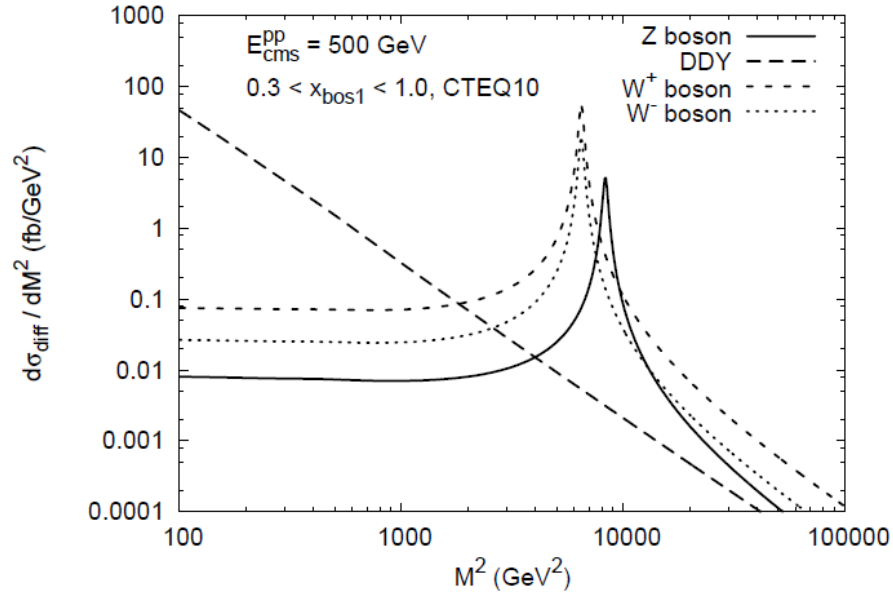
$$\frac{d\sigma_{\lambda_G}^{sd} / d^2 q_{\perp} dx_{bos1} dM^2}{d\sigma_{\lambda_G}^{incl} / d^2 q_{\perp} dx_{bos1} dM^2} = \frac{\alpha^2 \bar{R}_0^2(M_{\perp}^2 / x_{bos1} s) \sigma_0^2(s)}{6\pi B_{sd}(s) \bar{\sigma}_0 R_0^4(s)} \frac{1}{A_2} \left[ \frac{2}{(A_2 - 4A_1)^2} + \frac{A_2^2}{(A_2^2 - 4A_3^2)^2} \right]$$

$$M_{\perp}^2 \equiv M^2 + |\vec{q}_{\perp}|^2 = x_{bos1} x s$$

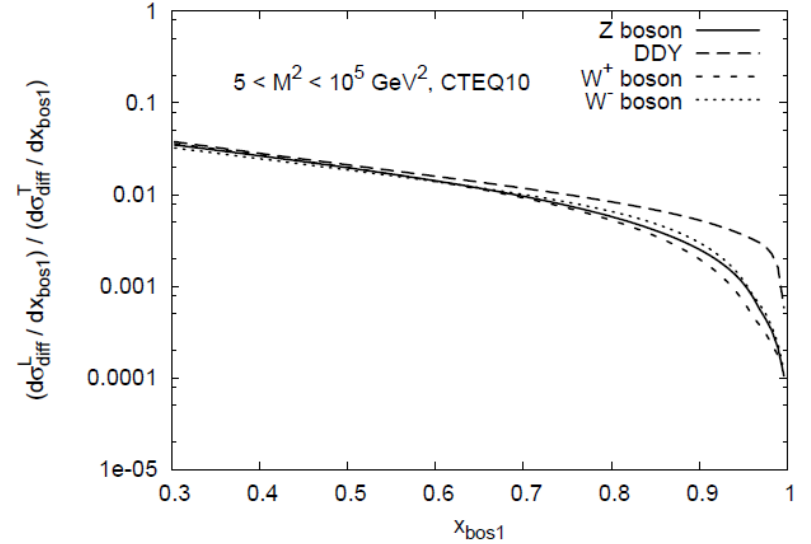
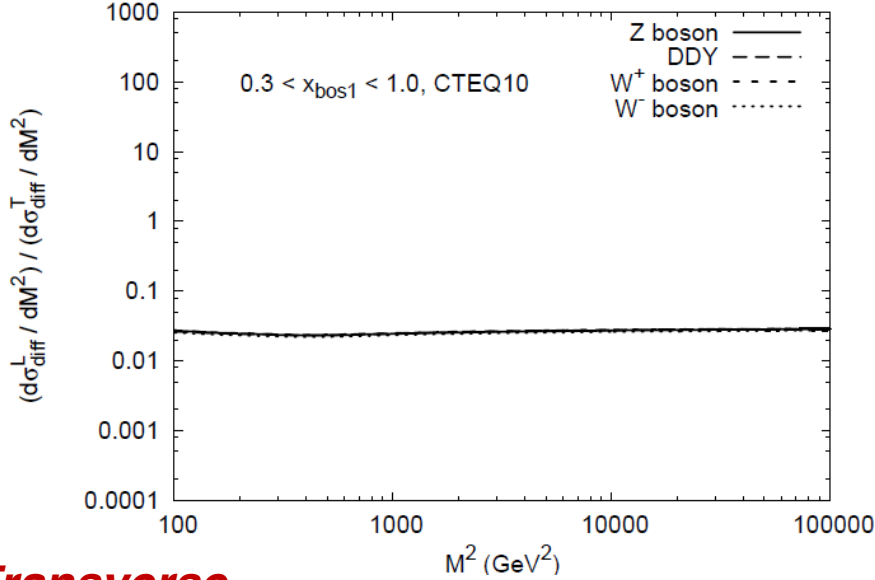
**ratio does not depend on type of the boson!**



# Results: diffractive GB production cross sections



# Results: longitudinal vs transverse polarisation



## Transverse

$$\frac{d^4\sigma_T(pp \rightarrow p G^* X)}{d^2q_\perp dx_{bos1}} = \frac{1}{B_{sd}(s)} \frac{a^2}{24\pi^3} \frac{\sigma_0^2(s)}{R_0^4(s)} \frac{1}{A_2} \left[ \frac{2}{(A_2 - 4A_1)^2} + \frac{A_2^2}{(A_2^2 - 4A_3^2)^2} \right] \times$$

$$\sum_q \frac{(\mathcal{C}_q^G)^2}{2\pi^2} \int_{x_{bos1}}^1 d\alpha \left[ \rho_q\left(\frac{x_{bos1}}{\alpha}\right) + \rho_{\bar{q}}\left(\frac{x_{bos1}}{\alpha}\right) \right] \left\{ m_q^2 \alpha^2 \left[ (g_{v,q}^G)^2 \alpha^2 + (g_{a,q}^G)^2 (2 - \alpha)^2 \right] J_1 + \right.$$

$$\left. \left[ (g_{v,q}^G)^2 + (g_{a,q}^G)^2 \right] \left[ 1 + (1 - \alpha)^2 \right] \eta^2 J_2 \right\},$$

$$J_1(q_\perp, \eta) \equiv 16\pi^2 \frac{q_\perp^2}{(\eta^2 + q_\perp^2)^4},$$

$$J_2(q_\perp, \eta) \equiv 8\pi^2 \frac{\eta^4 + q_\perp^4}{\eta^2(\eta^2 + q_\perp^2)^4}$$

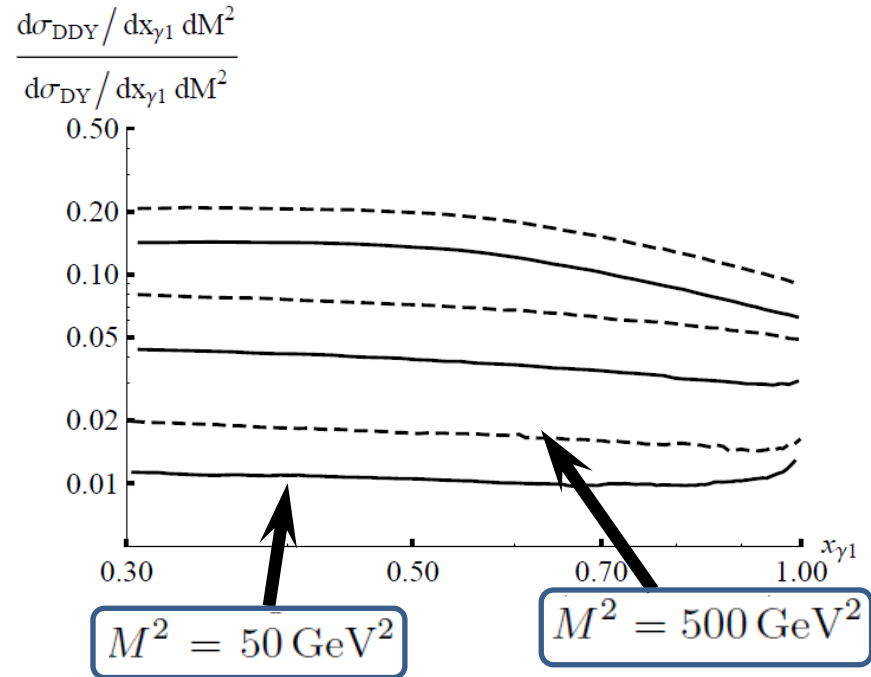
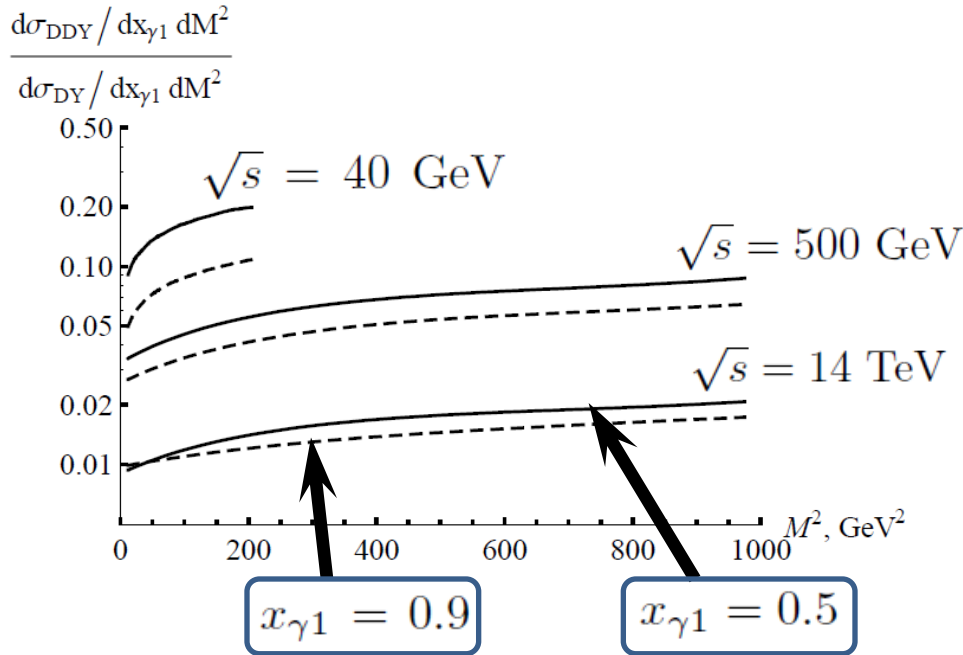
## Longitudinal

$$\frac{d^4\sigma_L(pp \rightarrow p G^* X)}{d^2q_\perp dx_{bos1}} = \frac{1}{B_{sd}(s)} \frac{a^2}{24\pi^3} \frac{\sigma_0^2(s)}{R_0^4(s)} \frac{1}{A_2} \left[ \frac{2}{(A_2 - 4A_1)^2} + \frac{A_2^2}{(A_2^2 - 4A_3^2)^2} \right] \times$$

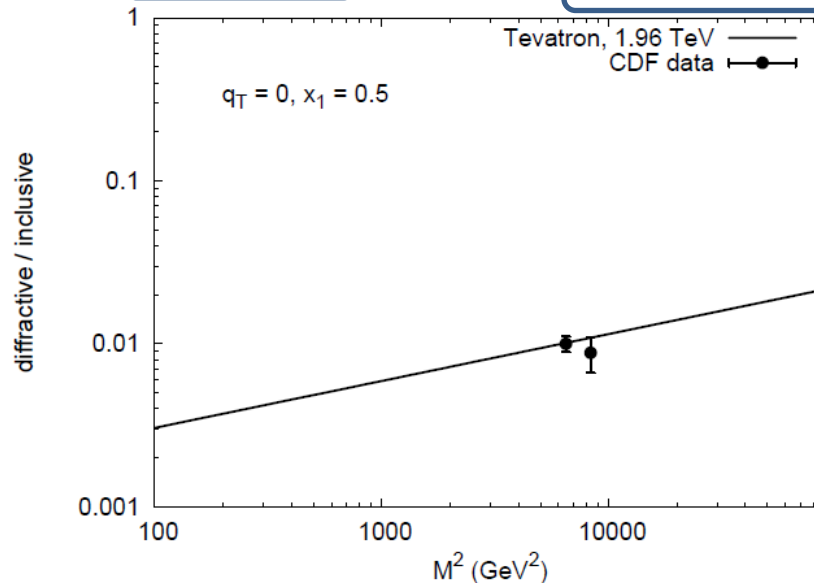
$$\sum_q \frac{(\mathcal{C}_q^G)^2}{\pi^2} \int_{x_{bos1}}^1 d\alpha \left[ \rho_q\left(\frac{x_{bos1}}{\alpha}\right) + \rho_{\bar{q}}\left(\frac{x_{bos1}}{\alpha}\right) \right] \left\{ \left[ (g_{v,q}^G)^2 M^2 (1 - \alpha)^2 + (g_{a,q}^G)^2 \frac{\eta^4}{M^2} \right] J_1 + \right.$$

$$\left. (g_{a,q}^G)^2 \alpha^2 m_q^2 \frac{\eta^2}{M^2} J_2 \right\}.$$

# Results: diffractive vs inclusive



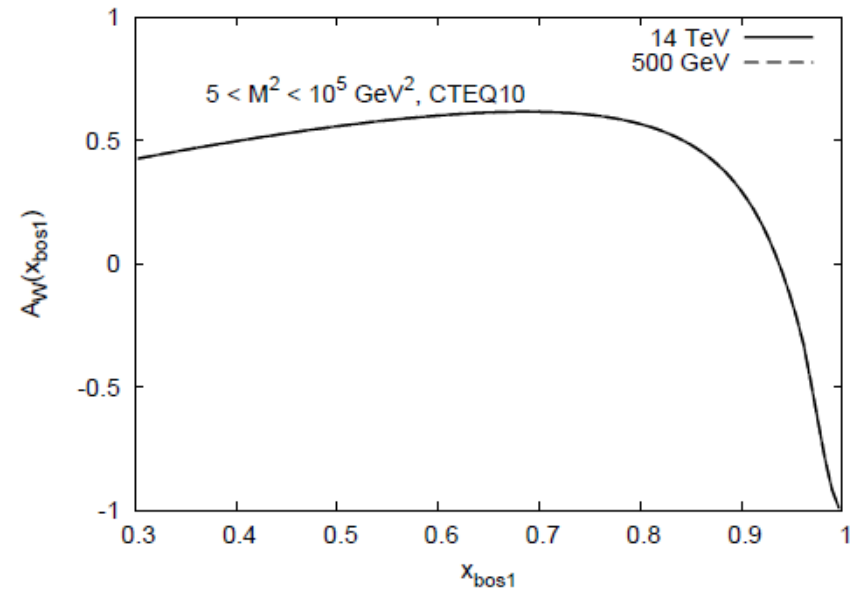
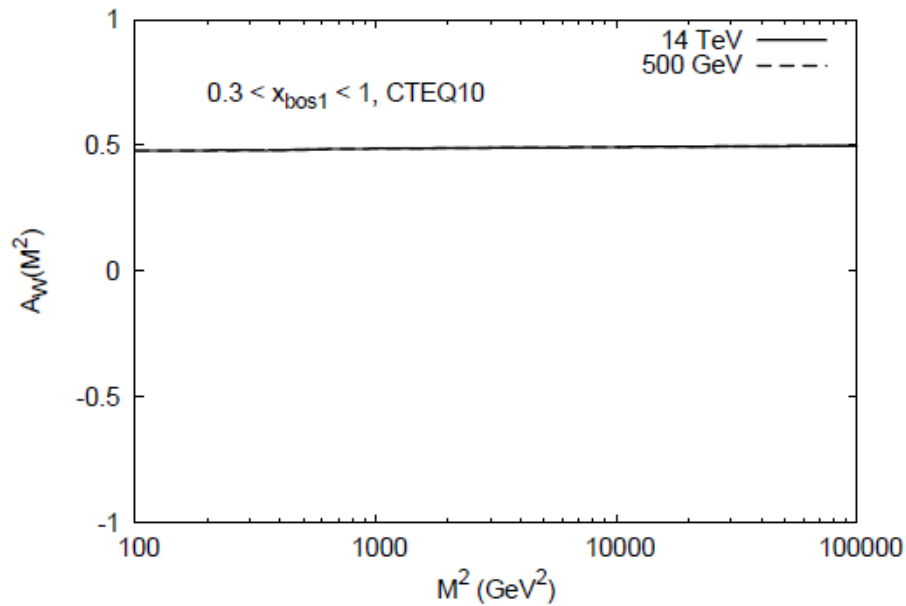
Energy/scale behavior  
 is opposite from predicted  
 in diffractive factorisation-  
 based approaches



**Agrees well with  
 the Tevatron data!**

# Results: W charge asymmetry

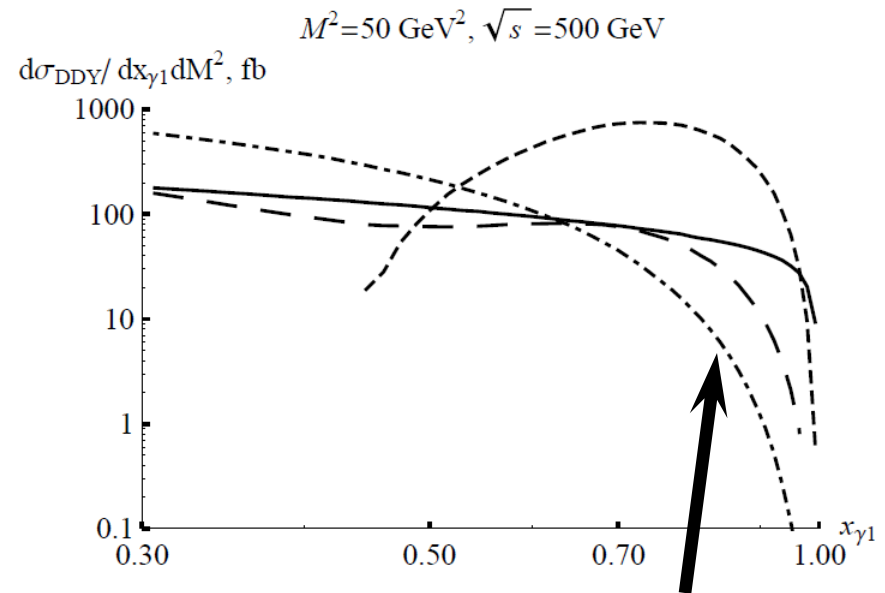
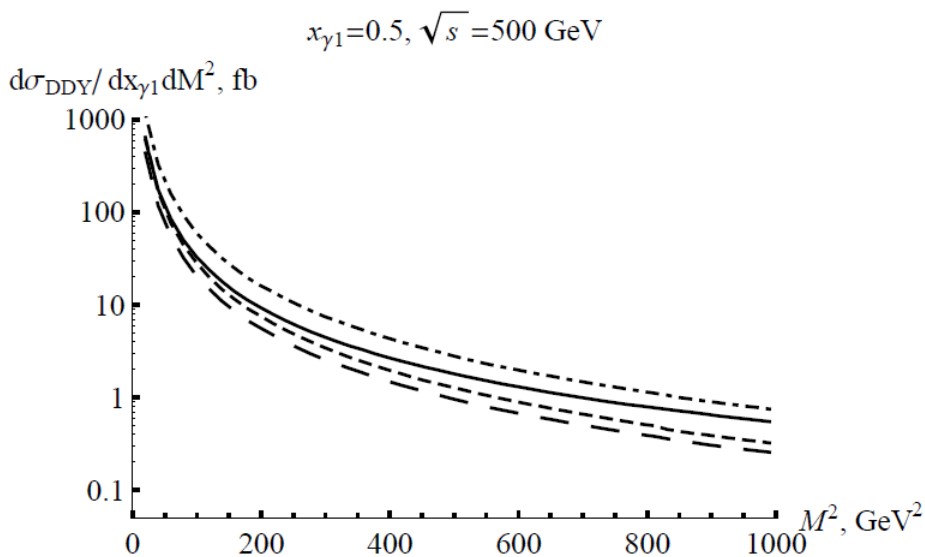
Does not depend on energy and invariant mass!



**A good probe for QCD diffractive mechanism  
and soft interactions!**

# Results: theory uncertainties

*Curves are given for different parametrizations of the proton structure function  $F_2$*



Huge sensitivity to  $F_2$  parameterizations at small  $Q_0$  and large  $x$ !



*DDY measurement can improve our understanding of the proton structure in the non-perturbative region*

# Other models: QCD factorisation approach to diffractive DY

by G. Kubasiak and A. Szczurek, Phys.Rev.D84:014005,2011

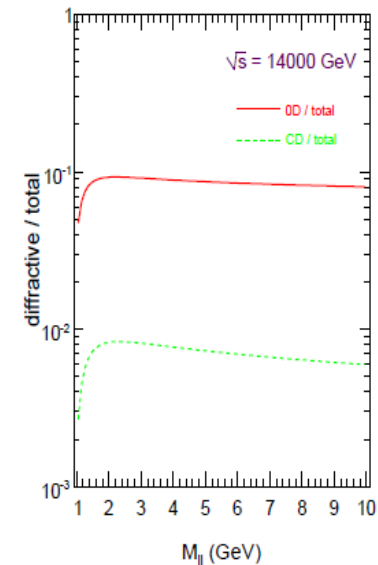
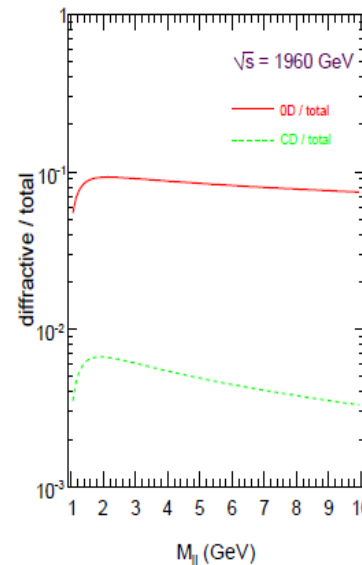
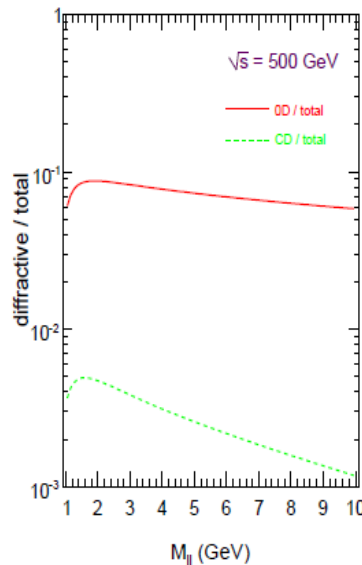
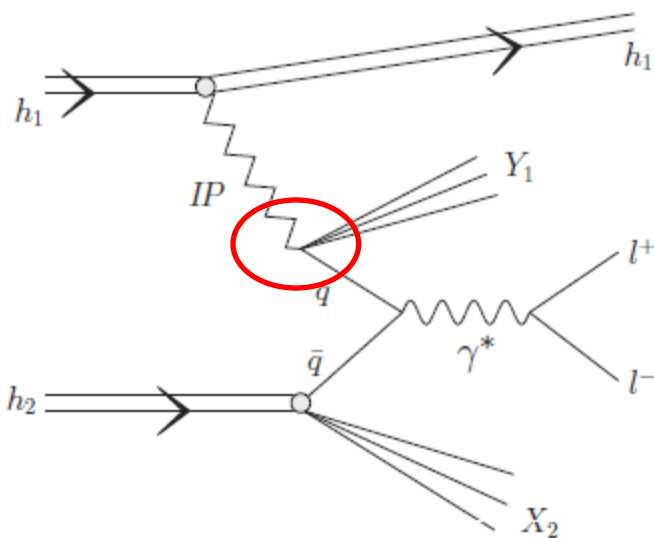
Ingelman-Schein mechanism



QCD/Regge factorisation

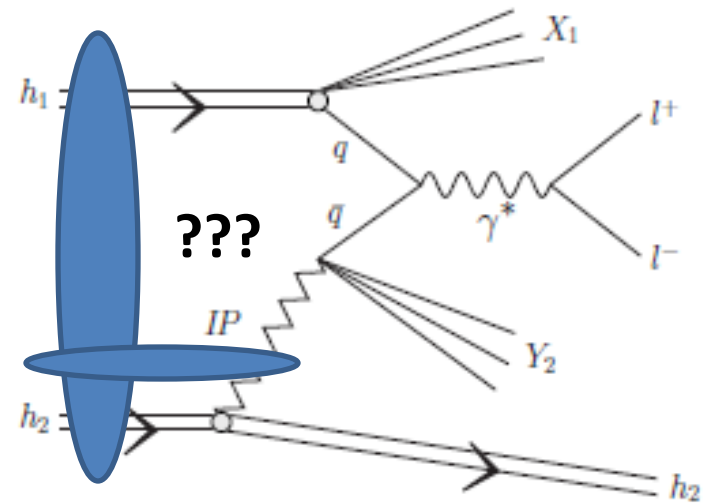
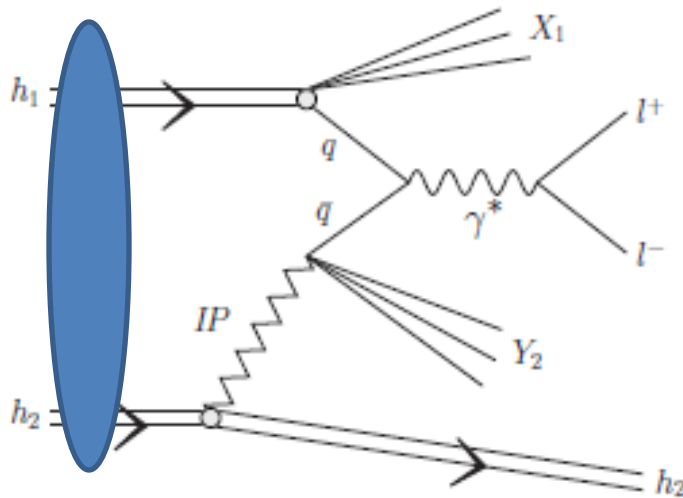
Diffractive quark density

$$q_f^D(x, \mu^2) = \int_x^1 \frac{dx_{\mathbf{IP}}}{x_{\mathbf{IP}}} f_{\mathbf{IP}}(x_{\mathbf{IP}}) q_{f/\mathbf{IP}}\left(\frac{x}{x_{\mathbf{IP}}}, \mu^2\right)$$



# Regge factorization breaking and “enhanced” corrections

*Absorptive effects destroy diffractive factorization in hadron-hadron scattering!*



without the IS factorisation breaking:

Diffractive Z,W / Inclusive Z,W  $\sim 30\%$

with the IS factorisation breaking:

Diffractive Z,W / Inclusive Z,W  $\sim 1\%$

Gay-Ducati et al Phys. Rev. D75, 114013 (2007)

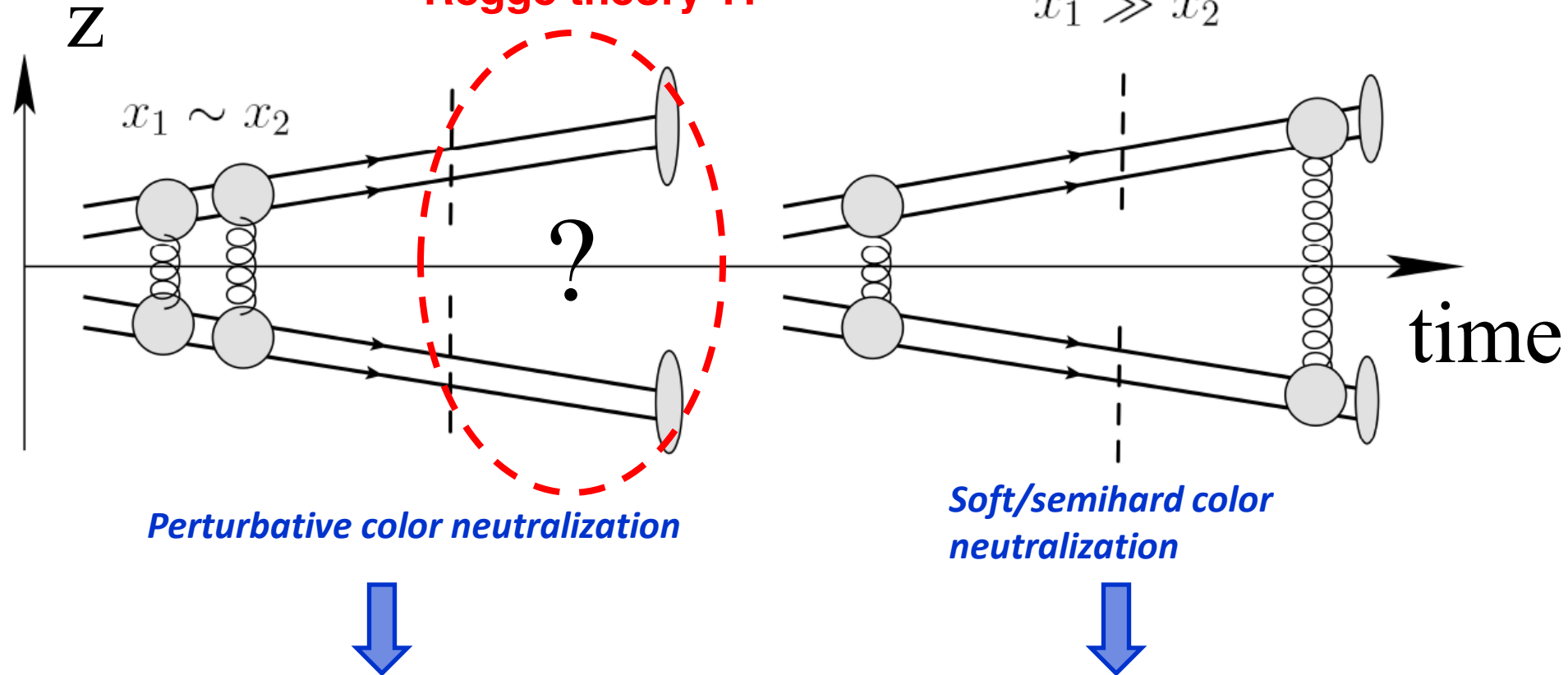
**Theoretical calculation of the dipole CS  
is still a challenge**

**Different models are applied!**



# Color screening

Regge theory ?!



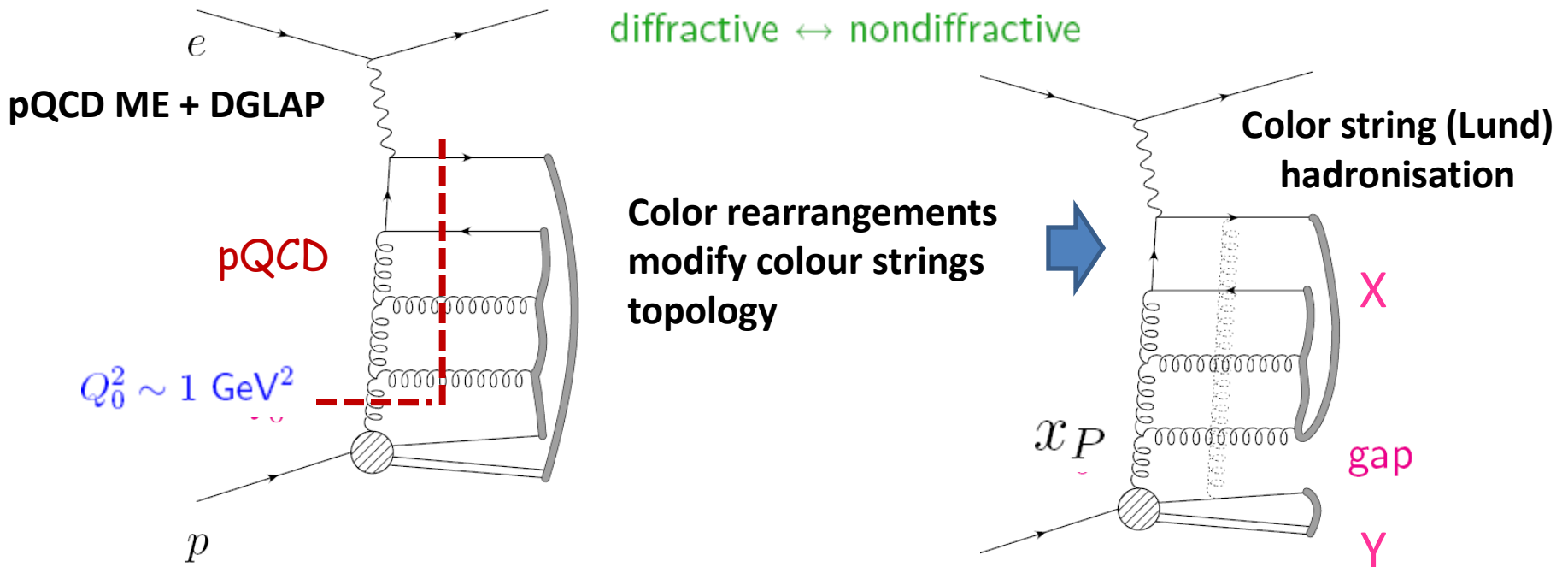
*Lack of absorptive effects!*

- *Both include unitarity corrections and color neutralisation*
- *Both diffractive – nondiffractive processes*

# Diffractive Deep Inelastic Scattering: the NP color screening

G. Ingelman, A. Edin, J. Rathsman, Comput. Phys. Commun. 101, 108-134 (1997).  
 A. Edin, G. Ingelman, J. Rathsman, Z. Phys. C75, 57-70 (1997).

✓ The success of **Soft Color Interaction (SCI) model**



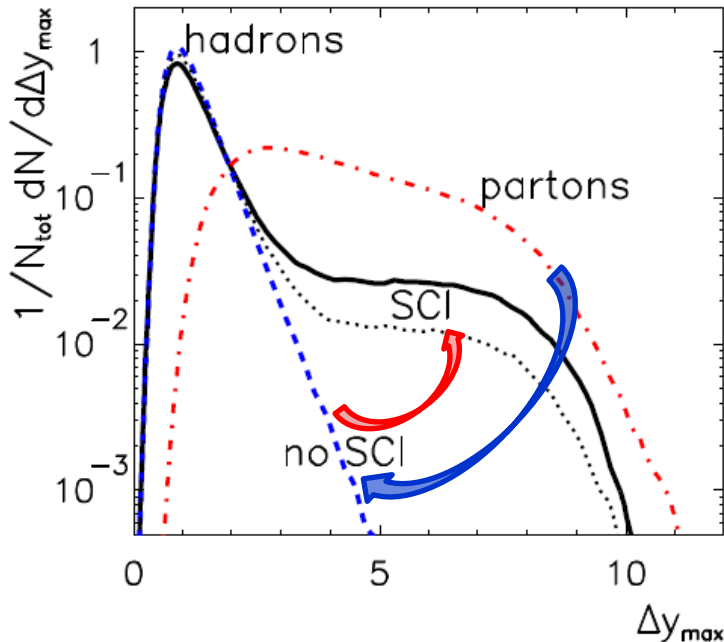
- **Soft interactions among the final state partons and proton remnants** ( $\Rightarrow$  proton color field) at **small momentum transfers**  $< 1 \text{ GeV}$
- **Hard pQCD part (small distances) is not affected** by soft interactions (large distances)
- **Single parameter** - probability for soft colour-anticolour (gluon) exchange
- **Single model describing all final states: both diffractive and nondiffractive**

# Soft Colour Interaction model (SCI)

Add-on to Lund Monte Carlo's LEPTO ( $ep$ ) and PYTHIA ( $p\bar{p}$ )

ME + DGLAP PS  $> Q_0^2$  → SCI model → String hadronisation  $\sim \Lambda$   
colour ordered parton state → rearranged colour order → modified final state

Size  $\Delta y_{max}$  of largest gap in DIS events



SCI  $\Rightarrow$  plateau in  $\Delta y_{max}$   
characteristic for diffraction

Small parameter sensitivity

—  $P = 0.5$

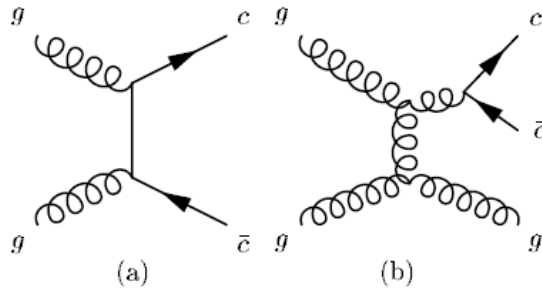
...  $P = 0.1$

**Gap-size is infrared sensitive observable !**

Large gaps at parton level  
normally string across  $\rightarrow$  hadrons fill up  
SCI  $\rightarrow$  new string topologies, some with gaps

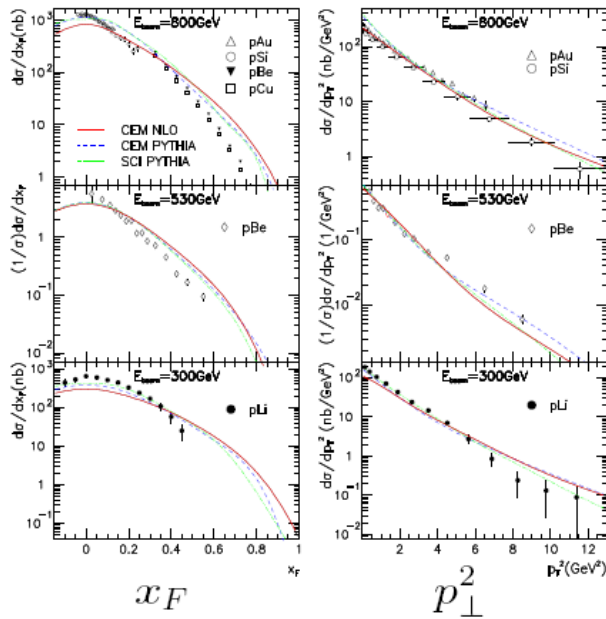
Gap events not 'special', but  
fluctuation in colour/hadronisation

# Soft Colour Interaction model $\rightarrow$ prompt charmonium

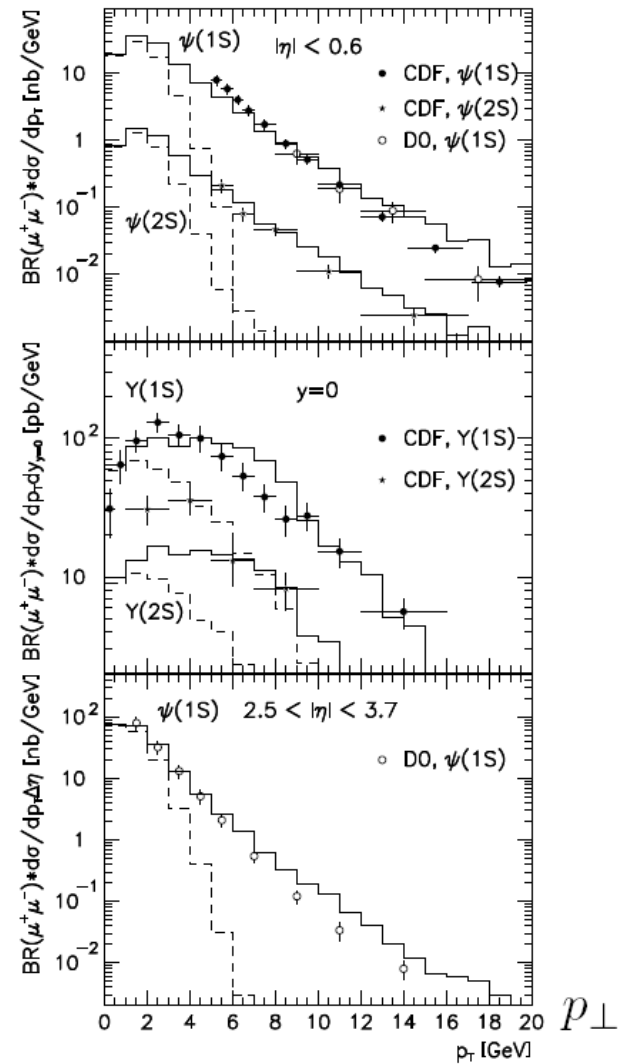


pert. QCD  
 $\downarrow$   
 $c\bar{c}$  pair

Colour octet  $c\bar{c}$  turned into singlet  $c\bar{c}$   
 $m_{c\bar{c}} < 2m_D$  mapped on charmonium states  
 with spin statistics (+ soft smearing)



$pA$  @  
 800 GeV  
 530 GeV  
 300 GeV



$J/\psi, \psi'$  in fixed target  $\pi A, pA$  is OK      High- $p_{\perp} J/\psi, \psi', \Upsilon$  at Tevatron is OK

A. Edin, G. Ingelman, J. Rathsman, Phys. Rev. D56, 7317-7320 (1997)

# Jets, $W$ , $Z$ , $b\bar{b}$ , $J/\psi$ in diffractive gap events at the Tevatron

$$R_{\text{hard}} = \frac{1}{\sigma_{\text{hard}}^{\text{tot}}} \int_{x_{F\text{min}}}^1 dx_F \frac{d\sigma_{\text{hard}}}{dx_F}$$

$R_{\text{hard}}[\%]$	Exp.	observed	SCI
dijets	CDF	$0.75 \pm 0.10$	0.7
W	CDF	$1.15 \pm 0.55$	1.2
W	DØ	$1.08^{+0.21}_{-0.19}$	1.2
$b\bar{b}$	CDF	$0.62 \pm 0.25$	0.7
Z	DØ	$1.44^{+0.62}_{-0.54}$	1.0
$J/\psi$	CDF	$1.45 \pm 0.25$	1.4

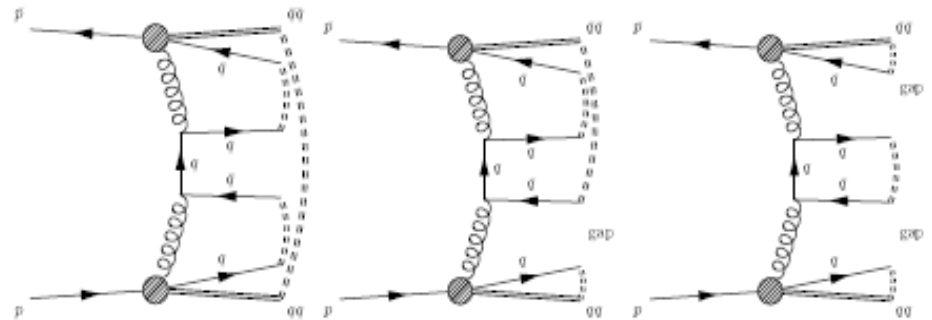


predictions

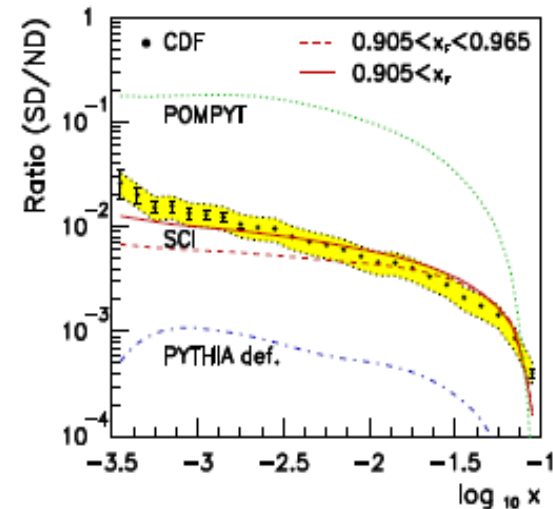
SCI  $\rightarrow$  gap &  $c\bar{c}$  colour octet  $\rightarrow$  singlet  $\rightarrow J/\psi$

SCI model OK, also for two-gap (DPE) events

Pomeron model too high, PYTHIA too low



$R_{\text{dijets}}$  vs  $x$  of parton in  $\bar{p}$

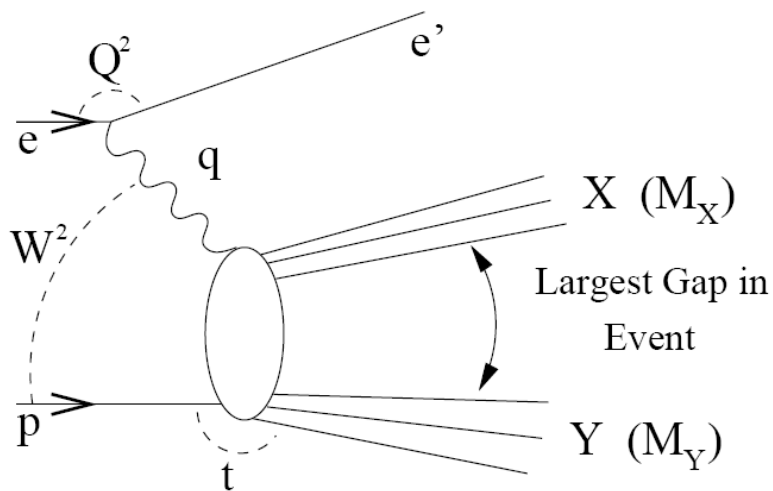


SCI model phenomenologically successful — Why ?

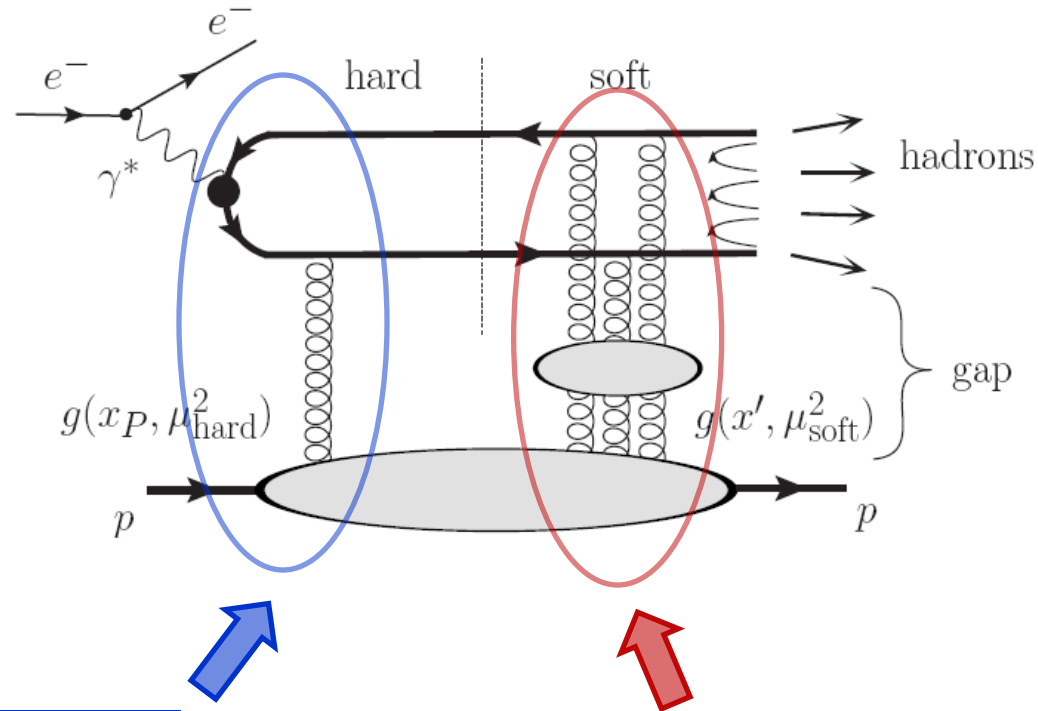
Captures most essential QCD dynamics  $\Rightarrow$  theory emerging . . .

# QCD rescattering theory: the dipole model

## Diffractive DIS at HERA



## QCD rescattering model



Hard part  
conventional  
(small distance)

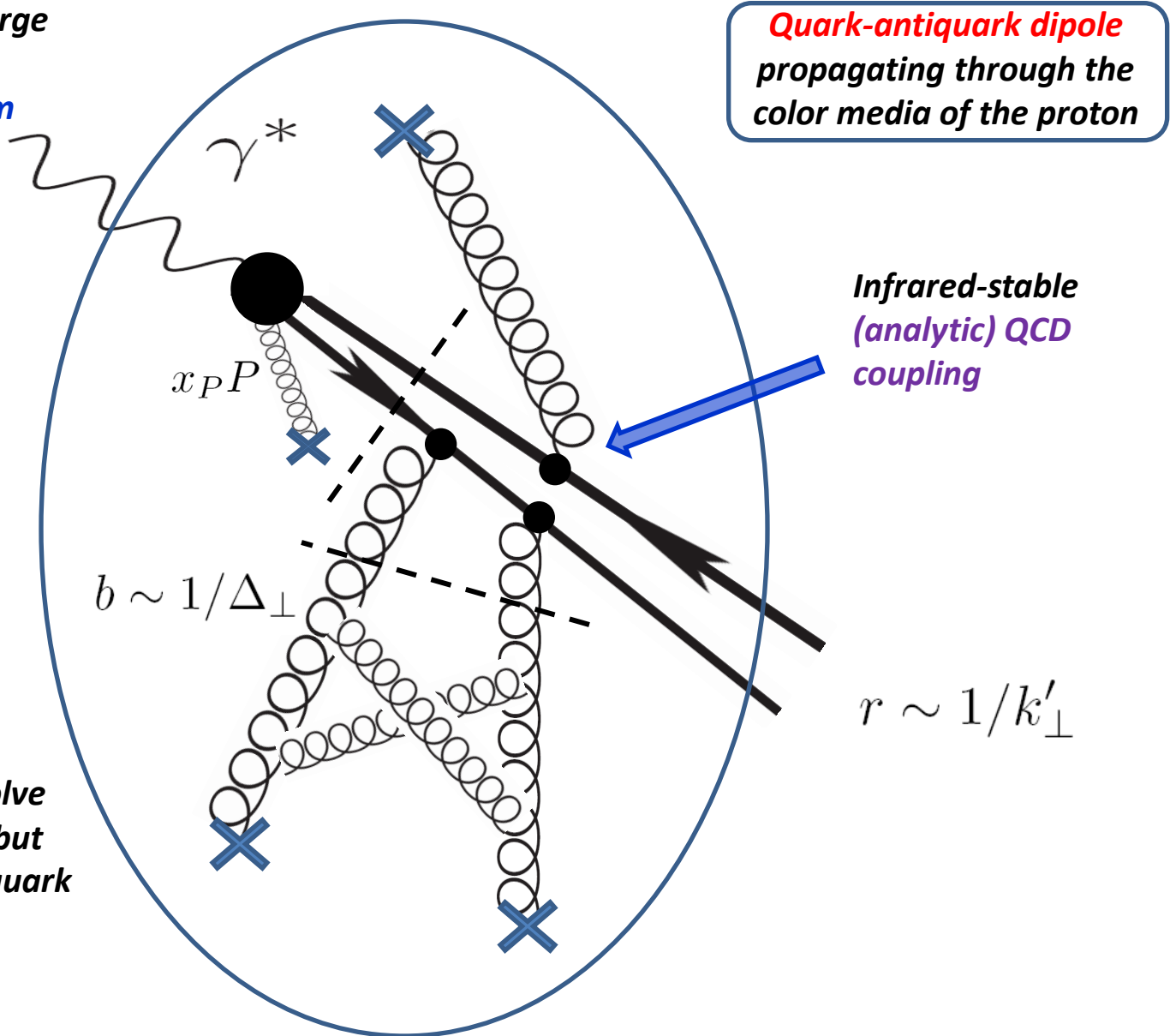
Soft part:  
color-screening (octet)  
multigluon exchange  
(large distance)

# Diffractive DIS in the dipole picture

Compensation of the large photon virtuality by **longitudinal momentum transfer** in the single hard interaction

**t- and s-channel factorisation**

Soft gluons cannot resolve quarks **dynamically**  $\rightarrow$  but they always couple to quark current!



**Quark-antiquark dipole** propagating through the color media of the proton

**Infrared-stable (analytic) QCD coupling**

$$r \sim 1/k'_\perp$$

# Diffractive DIS at HERA: kinematics

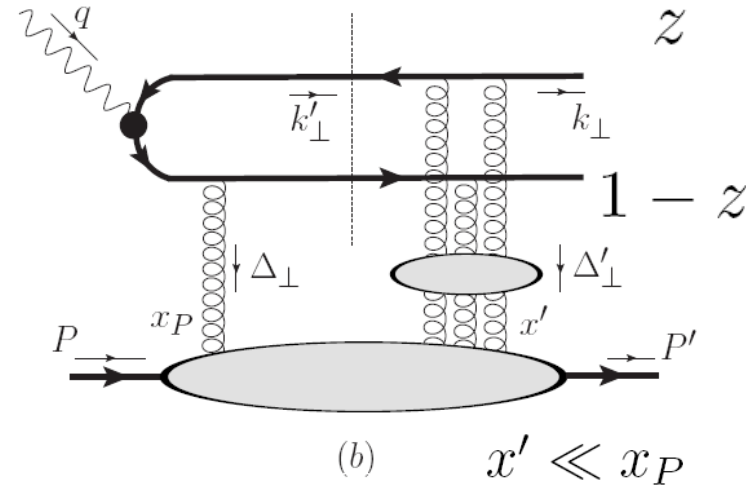
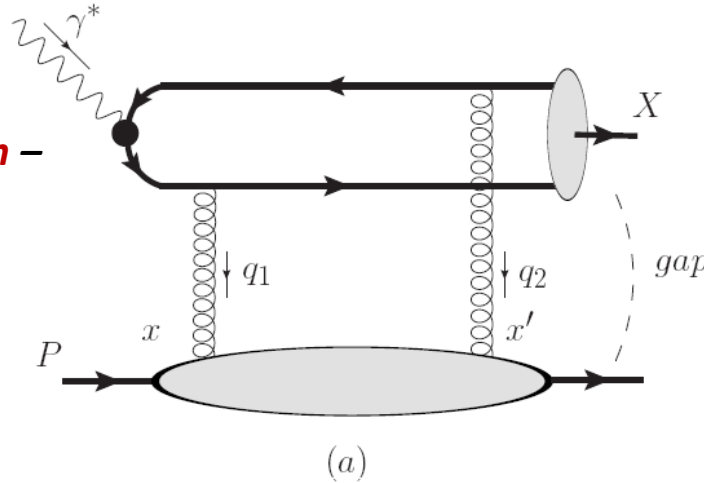
**Basic variables:**

$$x \equiv \frac{Q^2}{2Pq} = \frac{Q^2}{Q^2 + W^2}, \quad \beta = \frac{Q^2}{Q^2 + M_X^2}, \quad x_P = \frac{x}{\beta}, \quad t = (P' - P)^2$$

$$Q^2 = -q^2$$

**Leading contribution – by quark dipole**

$$\beta = x/x_P \rightarrow 1$$



**Invariant mass of X system and c.m.s energy**

$$M_X^2 = \frac{1 - \beta}{\beta} Q^2, \quad W^2 \simeq \frac{Q^2}{x_P \beta},$$

$$\varepsilon^2 = z(1 - z)Q^2 + m_q^2, \quad k_\perp^2 = z(1 - z)M_X^2 - m_q^2$$

**The hard QCD factorization scale = quark virtuality!**

**Working domain of interest:**

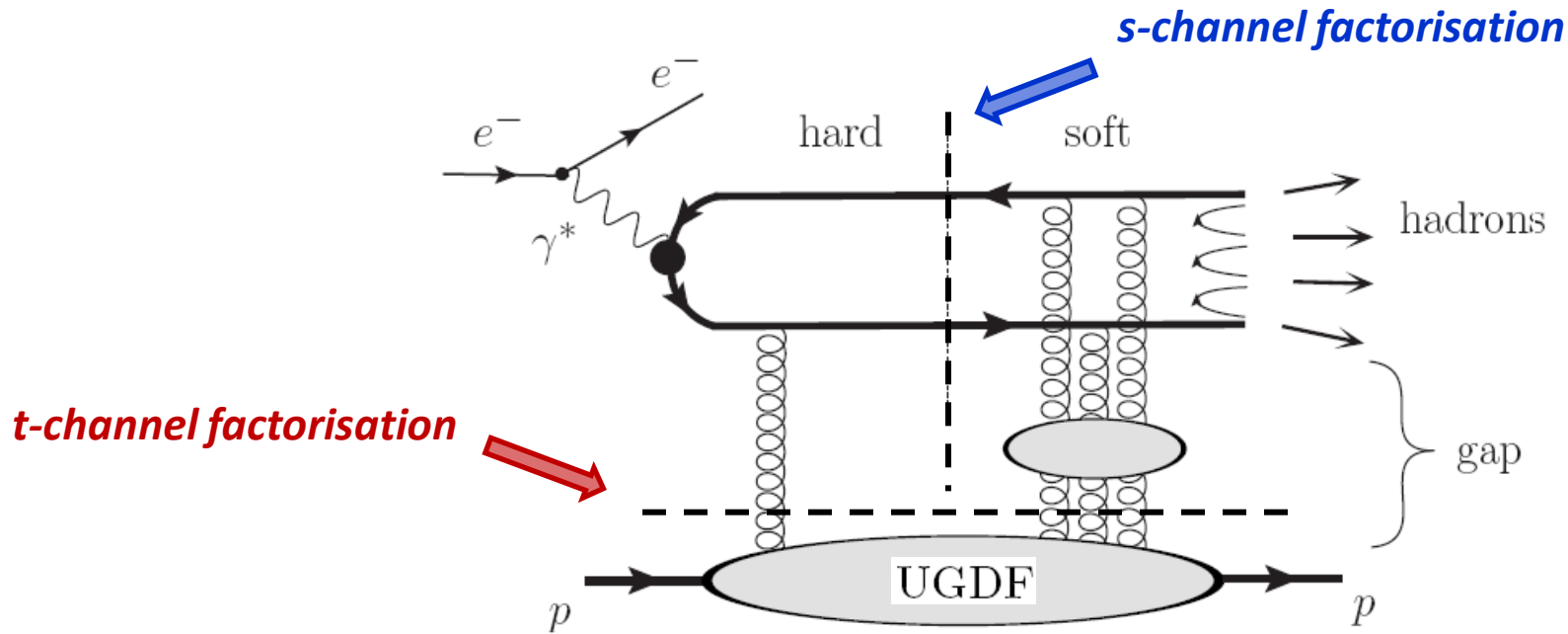
$$x_P \ll 1, \quad M_X \ll W$$

$$|t| \ll Q^2, M_X^2$$

$$\mu_F^2 = k_\perp^2 + \varepsilon^2 = z(1 - z) \frac{Q^2}{\beta}$$



# Hard-soft factorization scheme



✓ The total **amplitude**

loop integration + cutting rules

$$\delta \equiv \sqrt{-t} = |\Delta_{\perp} + \Delta'_{\perp}|$$

$$M(\delta) \sim \int \frac{d^2 \Delta_{\perp}}{(2\pi)^2} \cdot M^{hard}(\Delta_{\perp}) \cdot M^{soft}(\delta - \Delta_{\perp}) \mathcal{F}_g^{off}$$

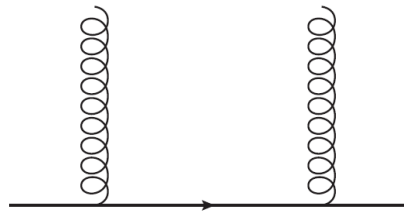
**factorisation**  
of the **b-dependence**

to the **impact parameter representation** →

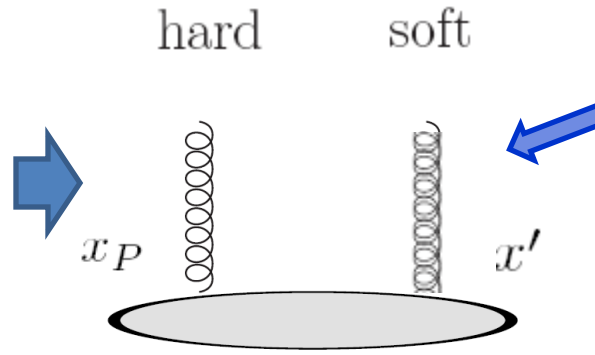
$$M(\delta, \mathbf{k}_{\perp}) \sim \int d^2 r d^2 b e^{-i\mathbf{k}_{\perp} \cdot \mathbf{r}} e^{-i\mathbf{k} \cdot \mathbf{b}} \hat{M}^{hard}(\mathbf{b}, \mathbf{r}) \hat{M}^{soft}(\mathbf{b}, \mathbf{r}) \mathcal{V}(\mathbf{b}, \mathbf{r})$$

# Generalized (skewed) unintegrated gluon density

Partonic (skeleton) amplitude:



$$C_F \alpha_s / \pi$$



$$f_g^{\text{off}}(x_P, x', \Delta_{\perp}^2, \Delta'_{\perp}{}^2, \mu_F^2)$$

*“fat” soft gluon – effectively carries color octet charge in the limit of small  $r \sim 1/k'_{\perp}$*

Notion of “hardness” is different w.r.t. the standard one:

- \* **“hard” gluon** in our case – the gluon which takes the largest **longitudinal** momentum, compensating the quark virtuality
- \* **“hard” scale** is related **with longitudinal momentum transfer** given by  $x_P$  (similarity with Durham model for CEP of Higgs)

**Off-diagonal UGDF** currently unknown → different models are applied!

# UGDF model and impact parameter representation

B. Pire, J. Soffer and O. Teryaev, Eur. Phys. J. C 8, 103 (1999)

**The skewedness effect in UGDF using positivity constraints:**

$$\mathcal{F}_g^{\text{off}} \simeq \sqrt{\mathcal{F}_g(x_P, \Delta_{\perp}^2, \mu_F^2) \mathcal{F}_g(x', \Delta_{\perp}'^2, \mu_{\text{soft}}^2)},$$

**Infrared behavior:**

$$\frac{f_g(x, \Delta_{\perp}^2)}{\Delta_{\perp}^2} \equiv \mathcal{F}(x, \Delta_{\perp}^2) \rightarrow \text{const}, \quad \Delta_{\perp}^2 \rightarrow 0$$

**Impact parameter representation of UGDF:**

$$\mathcal{V}(\mathbf{b}, \mathbf{r}) = \frac{1}{\alpha_s(\mu_{\text{soft}}^2)} \int \frac{d^2 \Delta_{\perp}}{(2\pi)^2} \sqrt{x_P} \mathcal{F}_g^{\text{off}} \times \{e^{-i\mathbf{r}\Delta_{\perp}} - e^{i\mathbf{r}\Delta_{\perp}}\} e^{i\mathbf{b}\Delta_{\perp}}.$$

Gluon at very small- $x'$  unknown, fit



**Gaussian Ansatz:**

$$\sqrt{x_P} \mathcal{F}_g^{\text{off}} \simeq \sqrt{x_P g(x_P, \mu_F^2) x' g(x', \mu_{\text{soft}}^2)} f_G(\Delta_{\perp}^2),$$

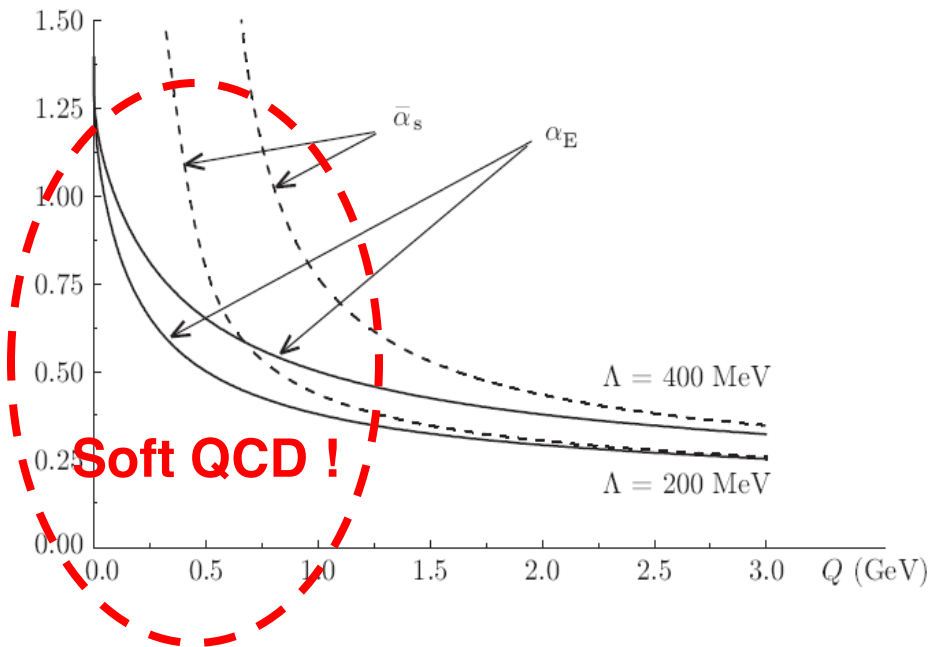
$$f_G(\Delta_{\perp}^2) = 1/(2\pi\rho_0^2) \exp(-\Delta_{\perp}^2/2\rho_0^2),$$

**Soft hadronic scale – transverse proton radius**  $r_p \sim 1/\rho_0$ .

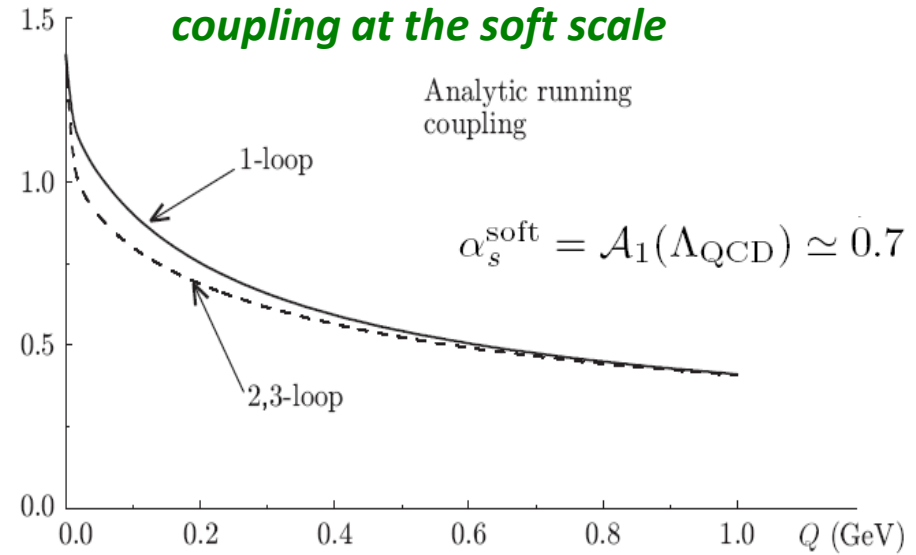
**Diffractive slope (ZEUS) – given by a soft scale (proton radius)**

$$\sim \exp(B_D t) \quad B_D = 1/\rho_0^2 \simeq 6.9 \pm 0.2 \text{ GeV}^2 \quad \rho_0 \simeq 380 \text{ MeV}$$

# QCD coupling at low scales



## Analytic (Shirkov-Solovtsev) coupling at the soft scale



### One-loop analytic coupling

$$\alpha_E(Q^2) = \frac{1}{\pi} \int_0^\infty d\sigma \frac{\rho(\sigma)}{\sigma + Q^2},$$

$$\alpha_E^{(1)}(Q^2) = \frac{1}{\beta_0} \left[ \frac{1}{\ln(Q^2/\Lambda^2)} + \frac{\Lambda^2}{\Lambda^2 - Q^2} \right].$$

$$\rho_k(\sigma) = \text{Im } \bar{\alpha}_s^k(-\sigma - i\epsilon)$$

D.V. Shirkov, I.L. Solovtsov, JINR Rapid Comm. No.2 76-96 (1996) 5; Phys. Rev. Lett. 79 (1997) 1209, arXiv:hep-th/9704333;  
 K.A. Milton, I.L. Solovtsov, Phys. Rev. D 55 (1997) 5295, arXiv:hep-ph/9611438.

# The hard scattering amplitude

✓ **Hard part**

$M_{L,T}^{hard}(\Delta_{\perp}, k'_{\perp}) =$

$$= \int d^2\mathbf{r} d^2\mathbf{b} \hat{M}_{L,T}^{hard}(\mathbf{b}, \mathbf{r}) e^{-i\mathbf{r}\mathbf{k}'_{\perp}} e^{-i\mathbf{b}\Delta_{\perp}}$$

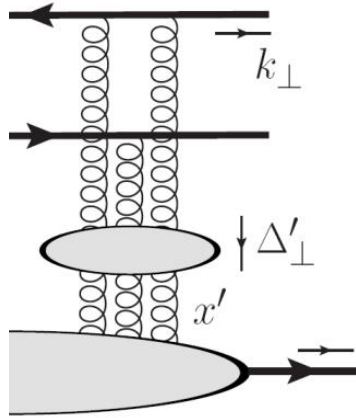
$r \sim 1/k'_{\perp}$   
 $b \sim 1/\Delta_{\perp}$

$$\hat{M}_L^{hard} = i\mathcal{C} \alpha_s(\mu_F^2) \sqrt{\beta} W^3 z^{3/2} (1-z)^{3/2} K_0(\varepsilon r)$$

$$\hat{M}_{T,\pm}^{hard} = i\mathcal{C} \alpha_s(\mu_F^2) \sqrt{\frac{2\beta}{1-\beta}} \frac{1}{\sqrt{x_P}} W^2 z^{1/2} (1-z)^{3/2} \varepsilon K_1(\varepsilon r) \frac{r_x \pm ir_y}{r}$$

# Soft gluon scattering and “exponentiation”

- ✓ Soft gluon exchanges generate only **the phase shifts** – to be **resummed to all orders!**



the large  $N_c$  limit – planar diagrams only!

$$e^{-ir\mathbf{k}'_{\perp}} M_1^{soft} = \mathcal{A} e^{-ir\mathbf{k}_{\perp}} \frac{1}{\Delta'_{\perp 2}} \left[ e^{-ir\Delta'_{\perp}} - 1 \right],$$

$$e^{-ir\mathbf{k}'_{\perp}} M_2^{soft} = \frac{\mathcal{A}^2}{2!} e^{-ir\mathbf{k}_{\perp}} \times$$

$$\int \frac{d^2\Delta'_{2\perp}}{(2\pi)^2} \frac{1}{\Delta'_{1\perp} \Delta'_{2\perp}} \left[ e^{-ir\Delta'_{\perp}} - e^{-ir\Delta'_{2\perp}} - e^{-ir\Delta'_{1\perp}} + 1 \right]$$

**etc ...**

**Fourier transform** →

$$e^{-ir\mathbf{k}'_{\perp}} \hat{M}_1^{soft} = e^{-ir\mathbf{k}_{\perp}} \mathcal{A} \cdot \mathcal{W}(\mathbf{b}, \mathbf{r}),$$

$$e^{-ir\mathbf{k}'_{\perp}} \hat{M}_2^{soft} = e^{-ir\mathbf{k}_{\perp}} \frac{\mathcal{A}^2 \cdot \mathcal{W}(\mathbf{b}, \mathbf{r})^2}{2!}, \quad \dots$$

**Soft gluon rescattering amplitude** →

$$e^{-ir\mathbf{k}'_{\perp}} \hat{M}^{soft}(\mathbf{b}, \mathbf{r}) = -e^{-ir\mathbf{k}_{\perp}} \left( 1 - e^{\mathcal{A} \cdot \mathcal{W}(\mathbf{b}, \mathbf{r})} \right)$$

$$\mathcal{A} = ig_s^2 C_F / 2 \quad \mathcal{W}(\mathbf{b}, \mathbf{r}) = \frac{1}{2\pi} \ln \frac{|\mathbf{b} - \mathbf{r}|}{|\mathbf{b}|}$$

**Summing series**



**rescattering amplitude**

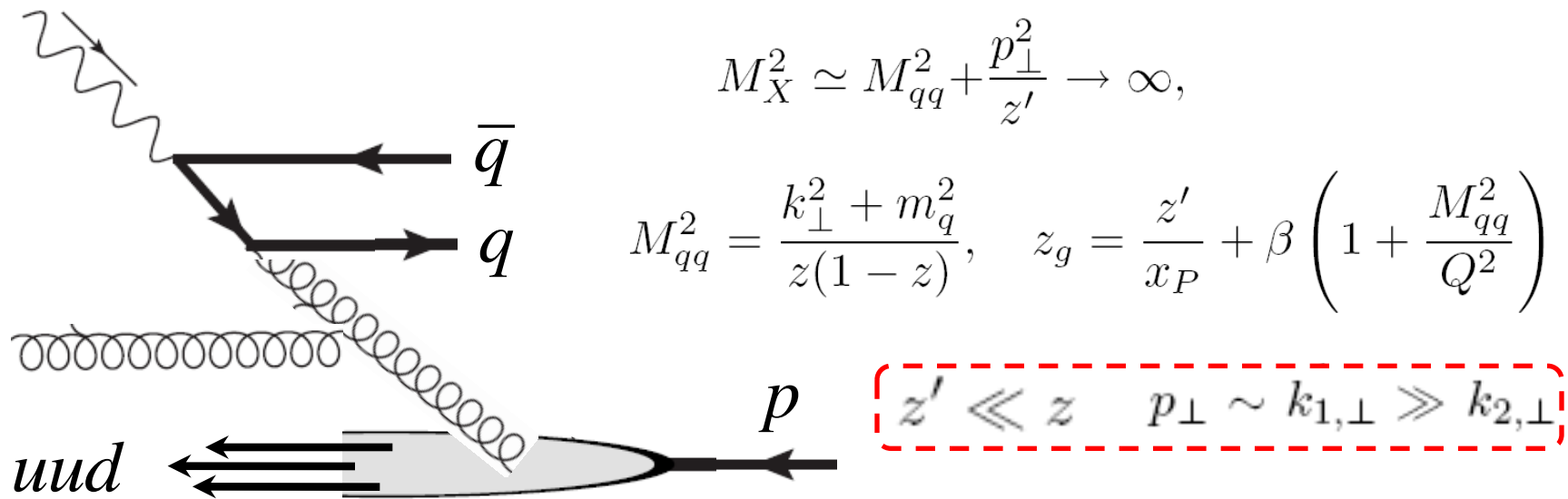
Analytic perturbation theory → coupling at soft scale

*Inspired by Brodsky et al, PRD65, 114025 (2002)*

$$\alpha_s^{soft} = \mathcal{A}_1(\Lambda_{\text{QCD}}) \simeq 0.7$$

## Gluonic contribution @ large $M_X$

Gluon radiated from “hard” gluon is far away in  $p$ -space from  $q\bar{q}$   
 → leading contribution to large  $M_X$



→ Altarelli-Parisi splitting  $\otimes$   $q\bar{q}$ -dipole  $\otimes$  multiple gluon exchange

$$x_P F_{q\bar{q}g}^{D(4)} \simeq \frac{1}{N_c^2} \int \frac{dt_g dz_g}{t_g + m_g^2} P_{gg}(z_g) \frac{\alpha_s(t_g)}{2\pi} x_P F_{q\bar{q}}^{D(4)}$$

# Diffractive DIS cross section

$$x_P \sigma_r^{D(3)} = x_P F_{q\bar{q},T}^{D(3)} + \frac{2-2y}{2-2y+y^2} x_P F_{q\bar{q},L}^{D(3)} + x_P F_{q\bar{q}g}^{D(3)} \quad y = Q^2/(sx_B) \leq 1$$

q $\bar{q}$  dipole contribution:

$$x_P F_L^{D(4)} = \mathcal{S} Q^4 M_X^2 \int_{z_{min}}^{\frac{1}{2}} dz (1-2z) z^2 (1-z)^2 |J_L|^2$$

$$x_P F_T^{D(4)} = 2\mathcal{S} Q^4 \int_{z_{min}}^{\frac{1}{2}} dz (1-2z) \{(1-z)^2 + z^2\} |J_T|^2$$

with  $\mathcal{S} = \sum_q e_q^2 / (2\pi^2 N_c^3)$  and amplitudes:

$$J_L = i\alpha_s (\mu_F^2) \int d^2\mathbf{r} d^2\mathbf{b} e^{-i\delta\mathbf{b}} e^{-i\mathbf{r}\mathbf{k}_\perp} K_0(\varepsilon r) \mathcal{V}(\mathbf{b}, \mathbf{r}) \left[ 1 - e^{\mathcal{A}\mathcal{W}} \right]$$

$$J_T = i\alpha_s (\mu_F^2) \int d^2\mathbf{r} d^2\mathbf{b} e^{-i\delta\mathbf{b}} e^{-i\mathbf{r}\mathbf{k}_\perp} \varepsilon K_1(\varepsilon r) \frac{r_x \pm ir_y}{r} \mathcal{V}(\mathbf{b}, \mathbf{r}) \left[ 1 - e^{\mathcal{A}\mathcal{W}} \right]$$

where

$$\mathcal{V}(\mathbf{b}, \mathbf{r}) = \frac{1}{\alpha_s (\mu_{\text{soft}}^2)} \frac{\bar{R}_g(x')}{(2\pi)^2} \sqrt{x_P g(x_P, \mu_F^2)} \left[ e^{-\frac{\rho_0^2}{2} |\mathbf{b}-\mathbf{r}|^2} - e^{-\frac{\rho_0^2}{2} |\mathbf{b}+\mathbf{r}|^2} \right]$$

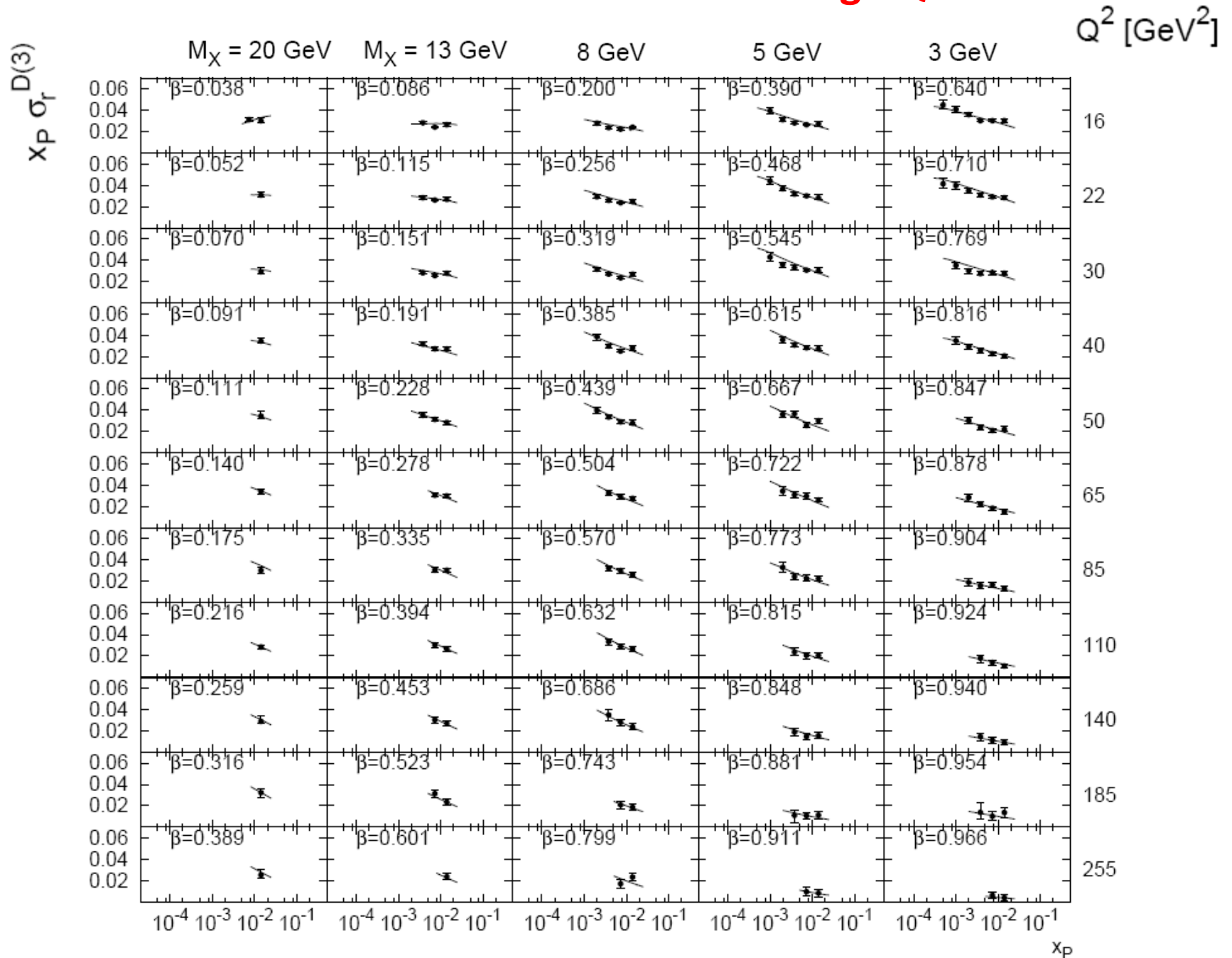
$$\mathcal{A} = ig_s^2 C_F / 2 \quad \mathcal{W}(\mathbf{b}, \mathbf{r}) = \frac{1}{2\pi} \ln \frac{|\mathbf{b}-\mathbf{r}|}{|\mathbf{b}|}$$

gluonic dipole contribution:

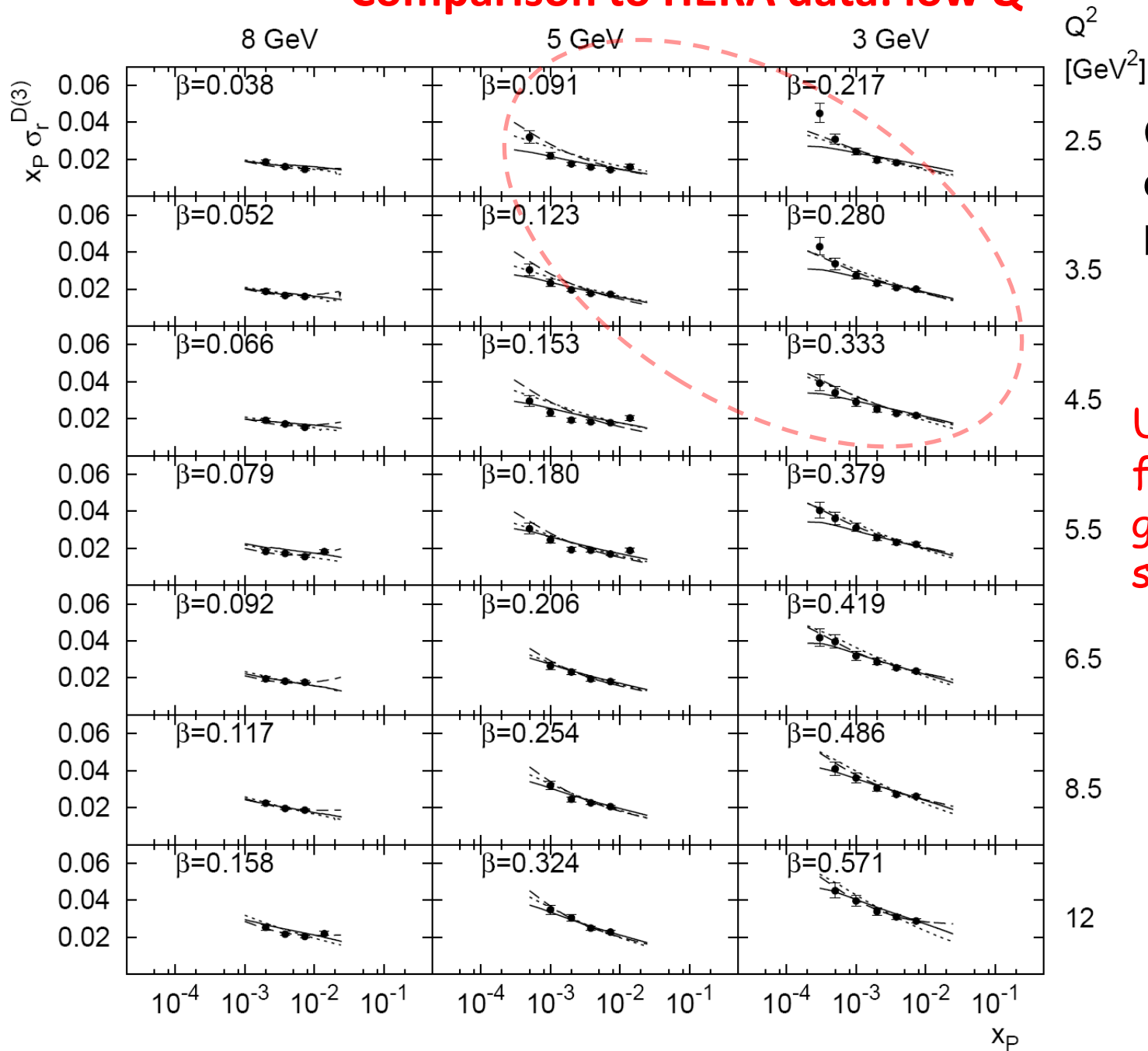
$$x_P F_{q\bar{q}g}^{D(4)} \simeq \frac{1}{N_c^2} \int \frac{dt_g dz_g}{t_g + m_g^2} P_{gg}(z_g) \frac{\alpha_s(t_g)}{2\pi} x_P F_{q\bar{q}}^{D(4)}$$



# Diffractive structure function: large $Q^2$



# Comparison to HERA data: low $Q^2$



$Q^2$   
[GeV<sup>2</sup>]

Curves for different  $xg(x,\mu)$  parametrizations

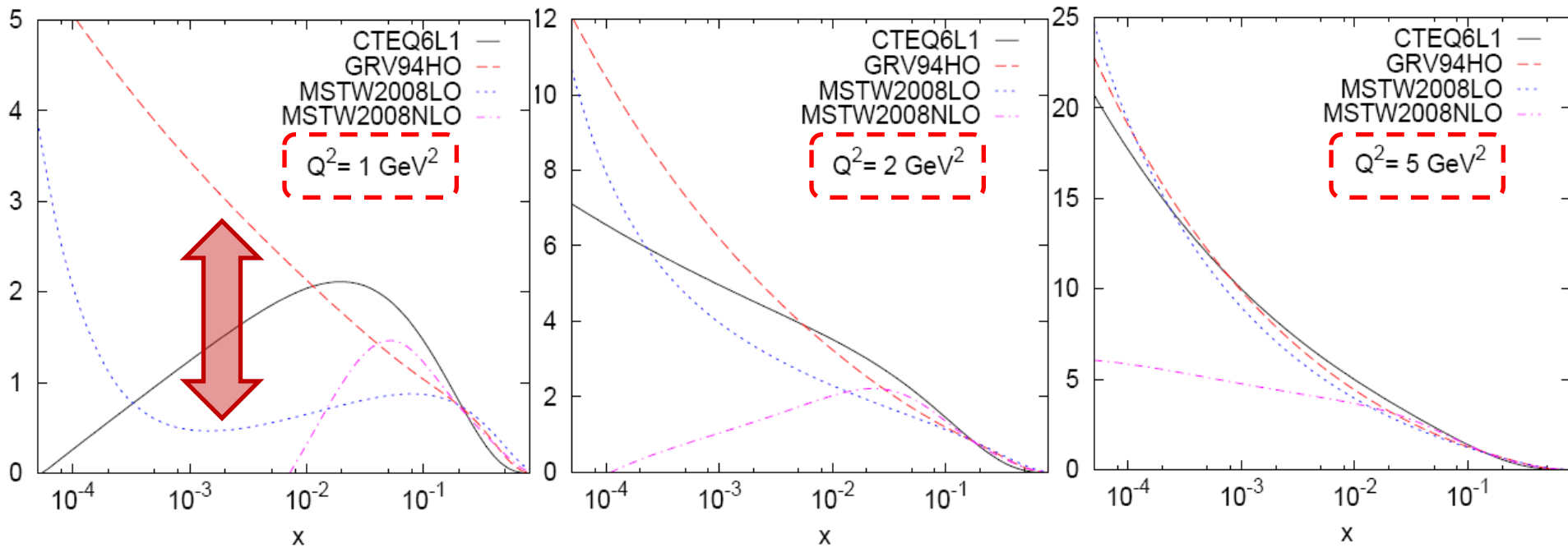


Uncertainty from unknown gluon density at small  $x$  & scale



Possibility to extract  $g(x,\mu_F)$  at  $x \sim 10^{-4}$   
 $\mu_F < \sim 1$  GeV

# Glucn density parametrizations at low- $x$ and low $Q^2$

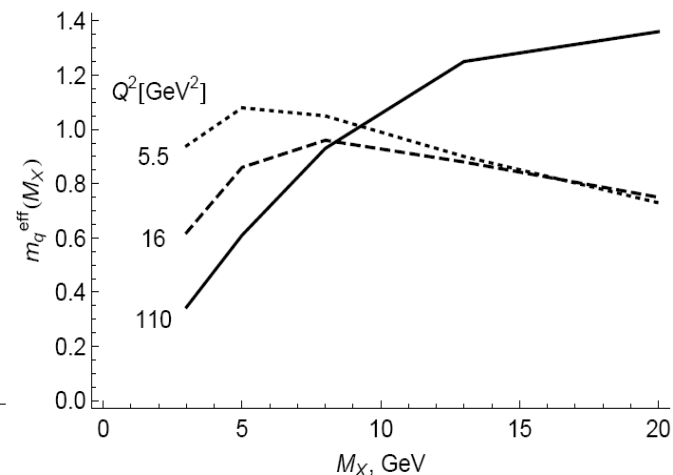
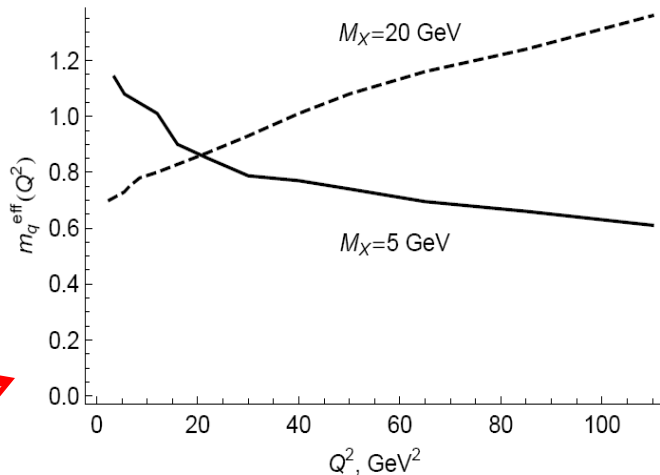


Large differences at  $x < \sim 10^{-2}$  and  $Q^2 < \sim 2 \text{ GeV}^2$  !!

→ Unknown gluon density in this region !!!

# Model parameters

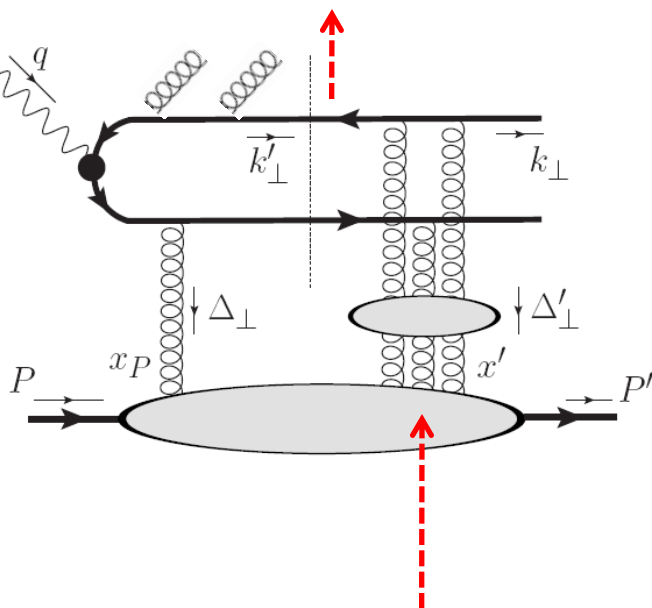
Effective mass  $m_{eff}$  of quark in dipole from dressing-up with gluon radiation



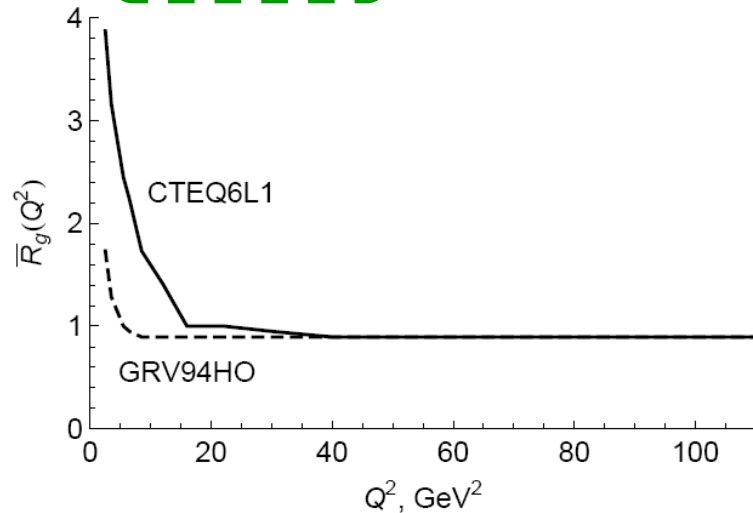
$m_q \rightarrow m_{eff}$

We fit unknown gluon at very small- $x'$

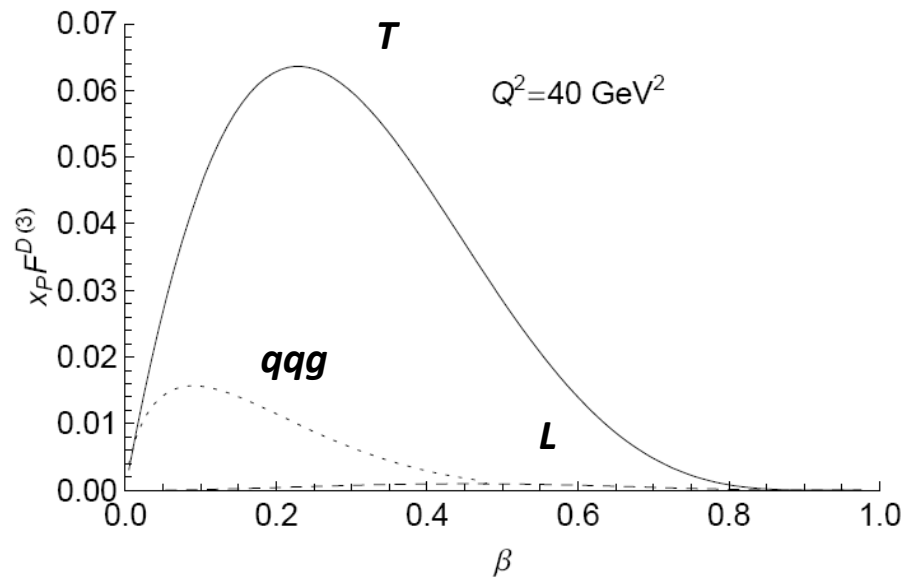
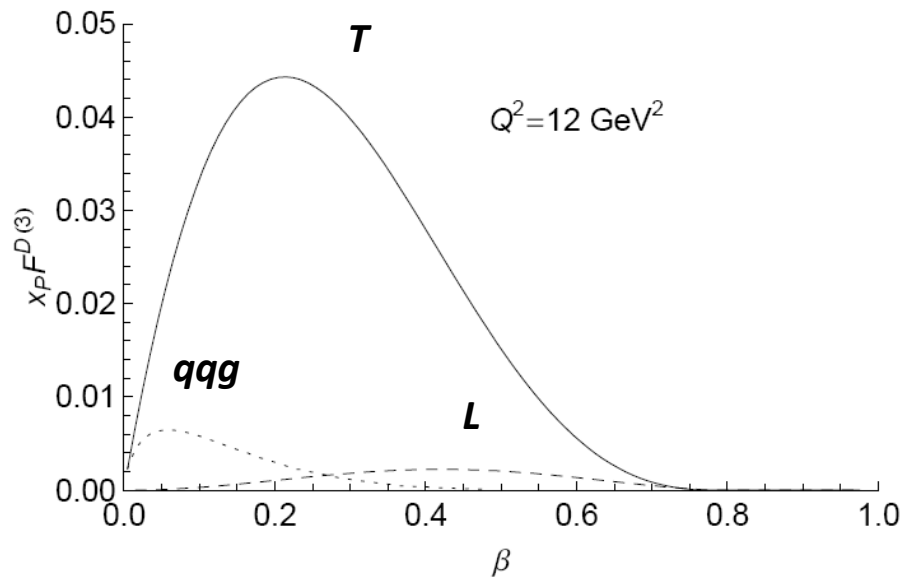
$$\sqrt{x_P} \mathcal{F}_g^{off} \simeq \boxed{\bar{R}_g(x', \mu_{soft}^2)} \sqrt{x_P g(x_P, \mu_F^2) f_G(\Delta_{\perp}^2)}$$



Soft gluon density function  $R_g$  = constant  $\approx 1$ , except at small  $Q^2$



# Photon polarization contributions and mass spectrum



Gluonic contribution increases at high  $M_x$  and  $Q^2$  !

## The main points we touched upon today...

- Definition of diffractive scattering
- The QCD Pomeron
- Good-Walker formulation of diffraction
- Gap survival
- The Sudakov form factor
- The Durham Model: Higgs CEP
- Soft Color Interactions model: DDIS
- Diffractive Drell-Yan
- Breakdown of diffractive factorisation