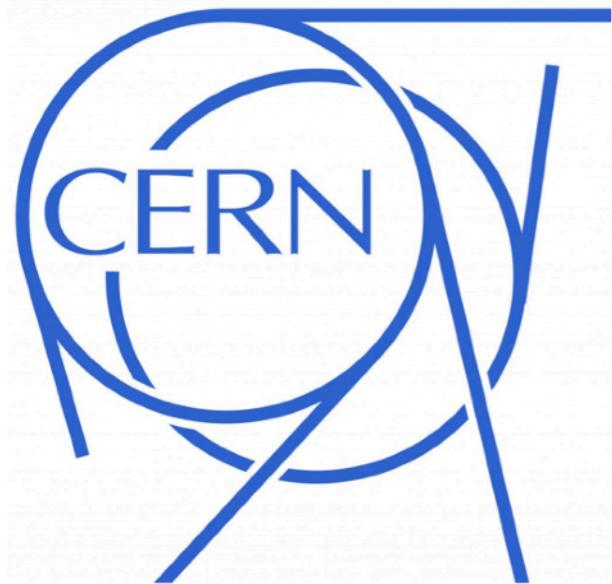


# Prospects for D decays on the lattice

Maxwell T. Hansen

April 2nd, 2019

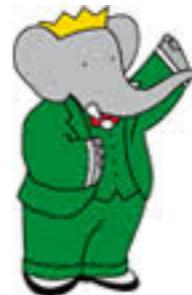


# Flavor anomalies

- ☐ Flavor anomalies give an excellent opportunity for BSM physics



- ☐ Improving QCD predictions is crucial to confirming the significance and interpreting the anomalies



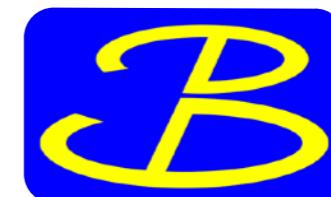
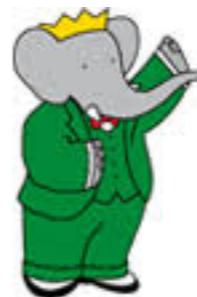
experiment = SM × perturbative QCD × **(non-perturbative QCD)**  
+ BSM × perturbative QCD × **(non-perturbative QCD)**

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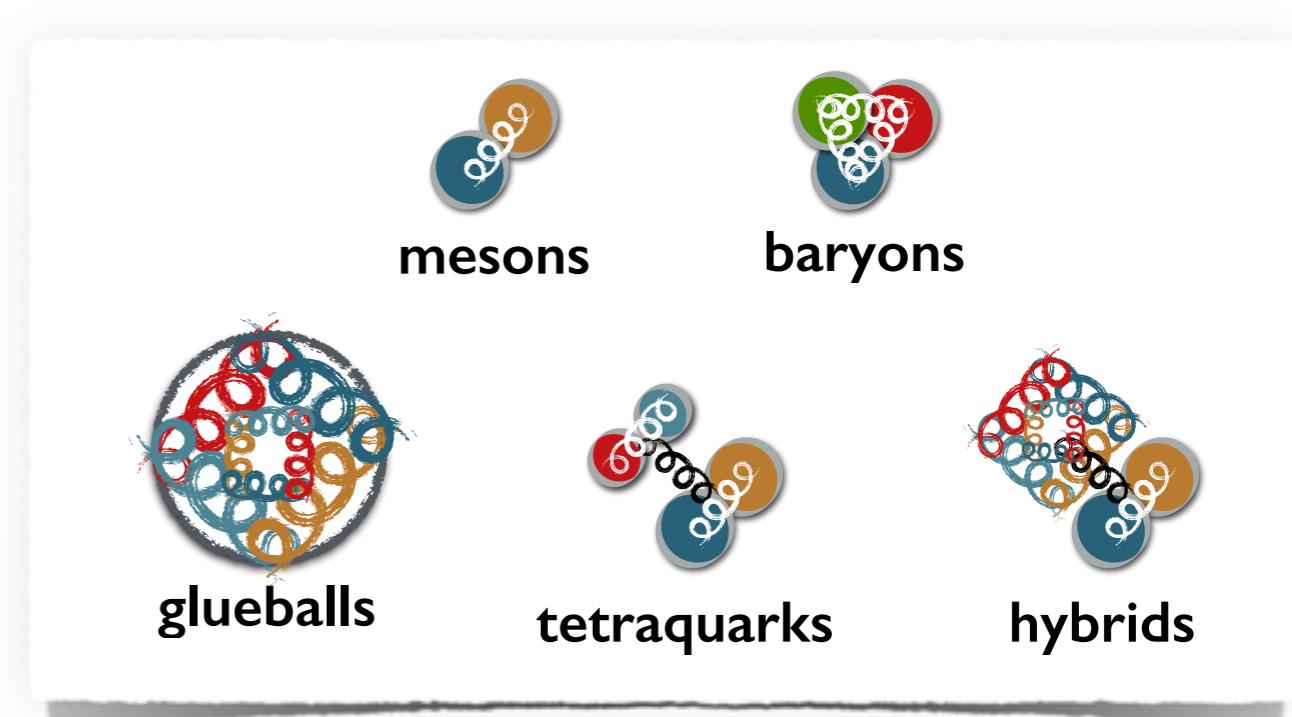


- ☐ Improving QCD predictions is crucial to confirming the significance and interpreting the anomalies



experiment = SM × perturbative QCD × (non-perturbative QCD)  
+ BSM × perturbative QCD × (non-perturbative QCD)

- ☐ QCD is complicated
- ☐ Difficult to extract rigorous and systematic predictions from the fundamental theory



Lattice QCD is a powerful tool for extracting QCD predictions

LQCD = evaluating a difficult integral numerically

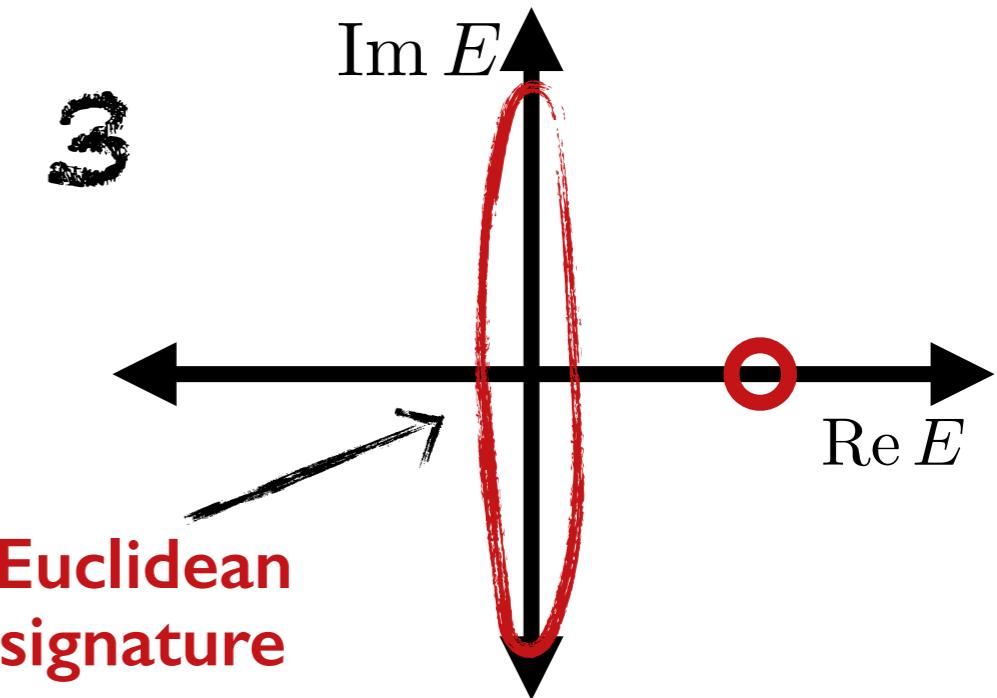
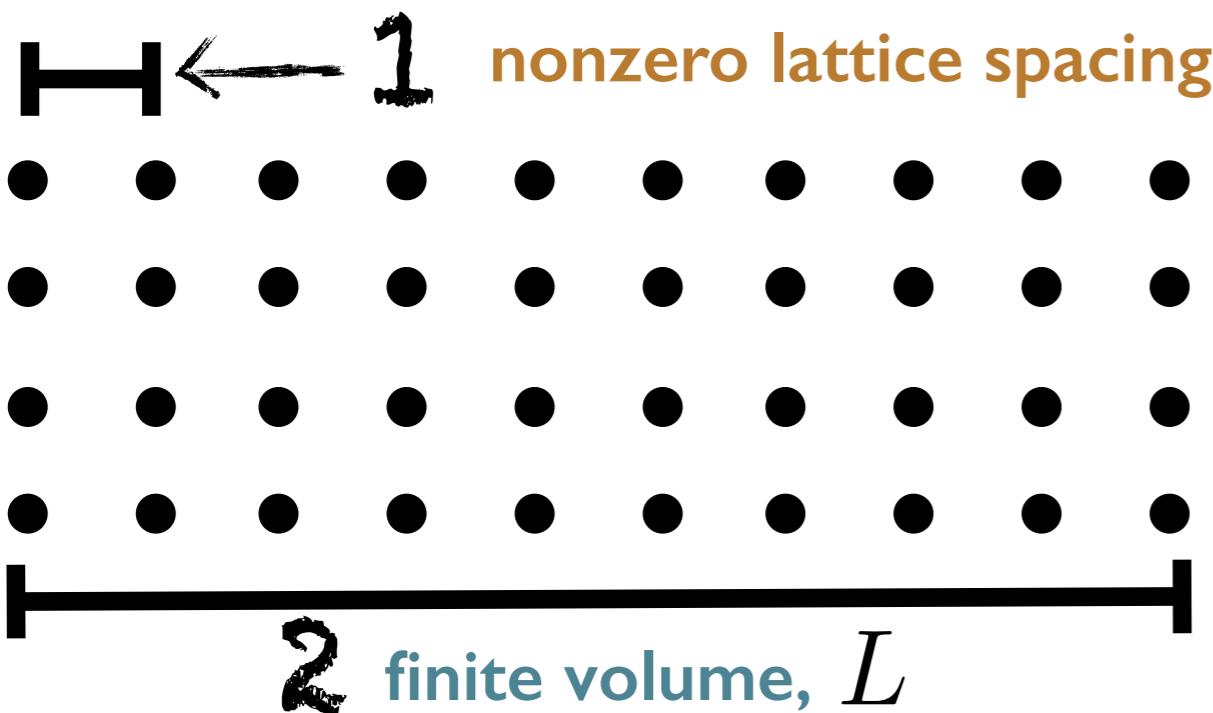
$$\text{observable} = \int \mathcal{D}\phi \ e^{iS} \left[ \begin{array}{c} \text{interpolator} \\ \text{for observable} \end{array} \right]$$

# Lattice QCD is a powerful tool for extracting QCD predictions

**LQCD = evaluating a difficult integral numerically**

$$\left( \begin{array}{l} \text{observable?} \\ \text{discretized, finite volume,} \\ \text{Euclidean, heavy pions} \end{array} \right) = \int \prod_i^N d\phi_i e^{-S} \left[ \begin{array}{l} \text{interpolator} \\ \text{for observable} \end{array} \right]$$

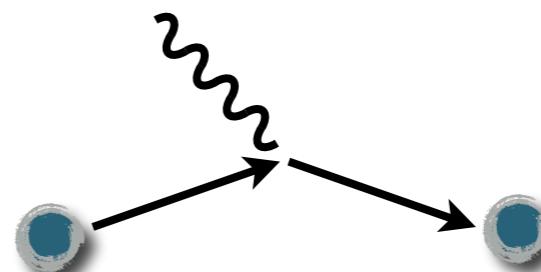
To do so we have to make three compromises



Also... **Unphysical pion masses**  $M_{\pi, \text{lattice}} > M_{\pi, \text{our universe}}$   
**But calculations at the physical pion are becoming common**

# Multi-hadron transitions and LQCD

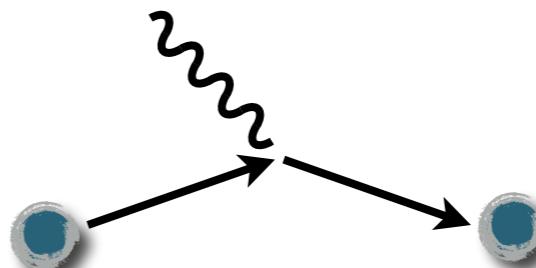
- Single-hadron initial and final states
  - Conceptually straightforward
  - Calculated directly in **LQCD**
    - Euclidean time poses no problem / lattice  $\rightarrow 0$  / volume  $\rightarrow \infty$
  - See FLAG averages



# Multi-hadron transitions and LQCD

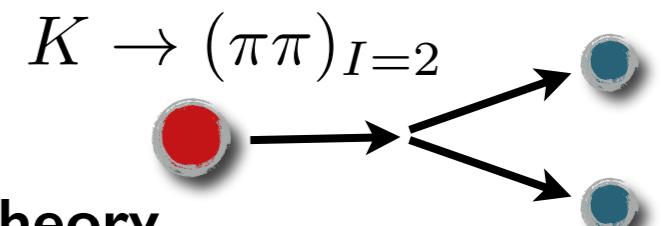
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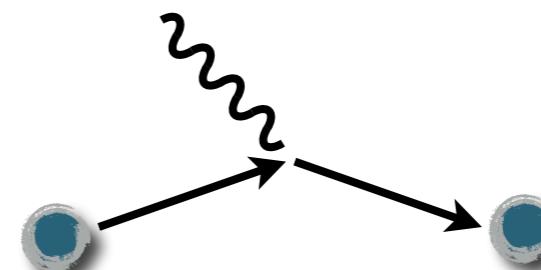
- Significantly more challenging **LQCD** observables
- Need to access multi-hadron states from a finite-volume theory
- Alternative, (lattice supplemented) effective theory



# Multi-hadron transitions and LQCD

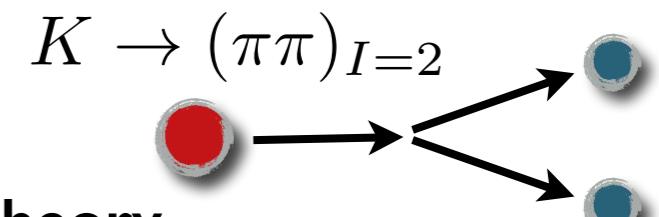
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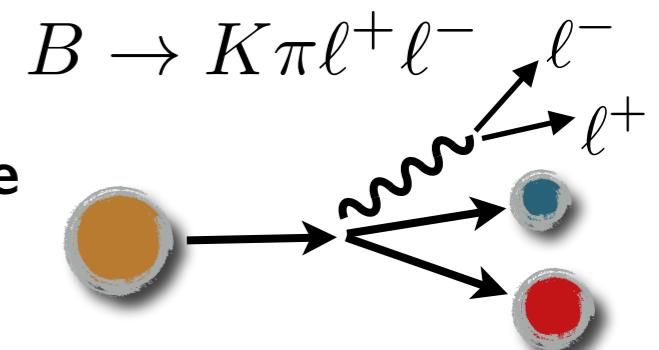
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## A resonant final state

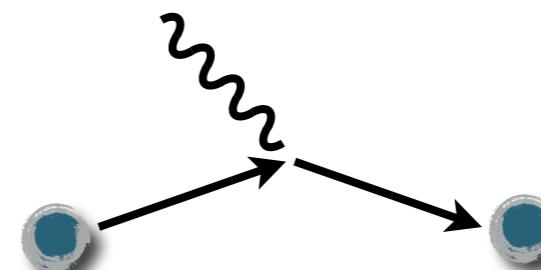
- All the above issues still hold!
- No rigorous way to treat the resonance like a stable particle
  - ... unless it is stabilized via heavy pions



# Multi-hadron transitions and LQCD

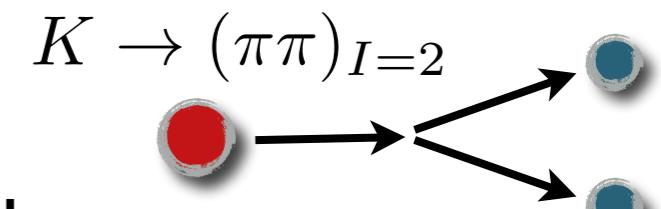
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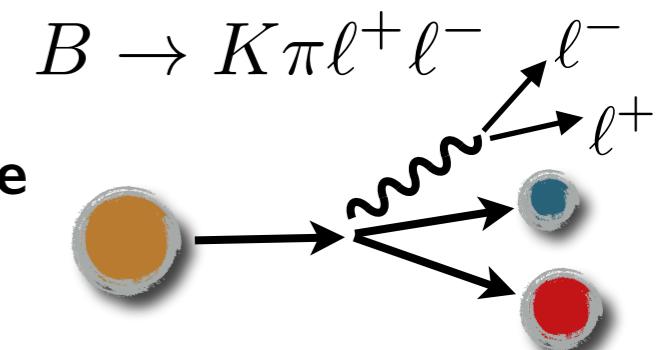
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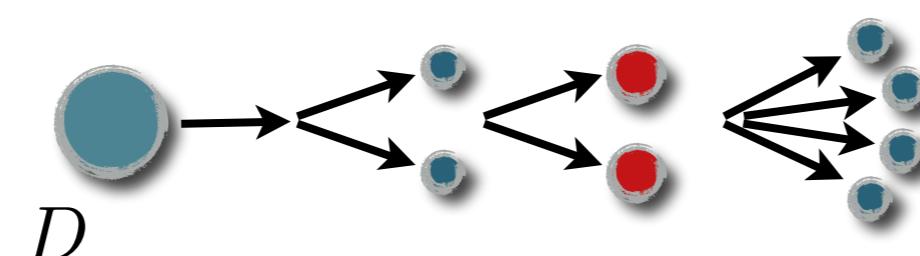
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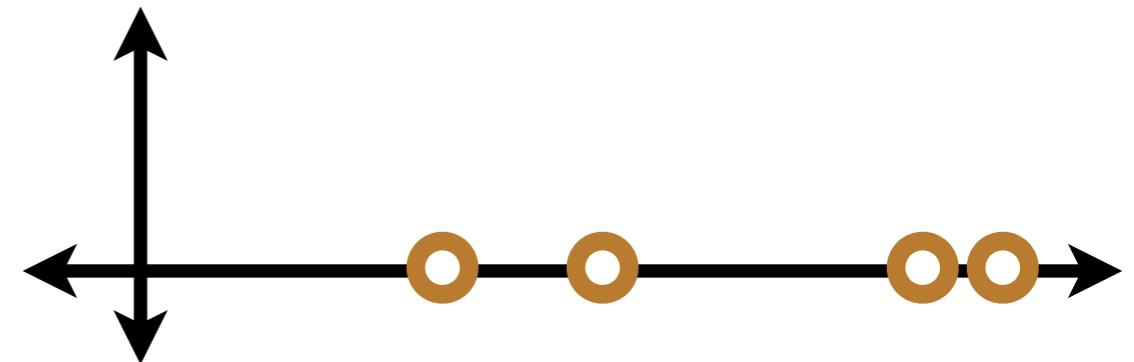
## Multi-hadron states for $\sqrt{s} > 4M_\pi$

- Volume mixes the two-, four-, six-particle contributions
- All or nothing (must constrain the entire S-matrix for a prediction)



# Lattice observables

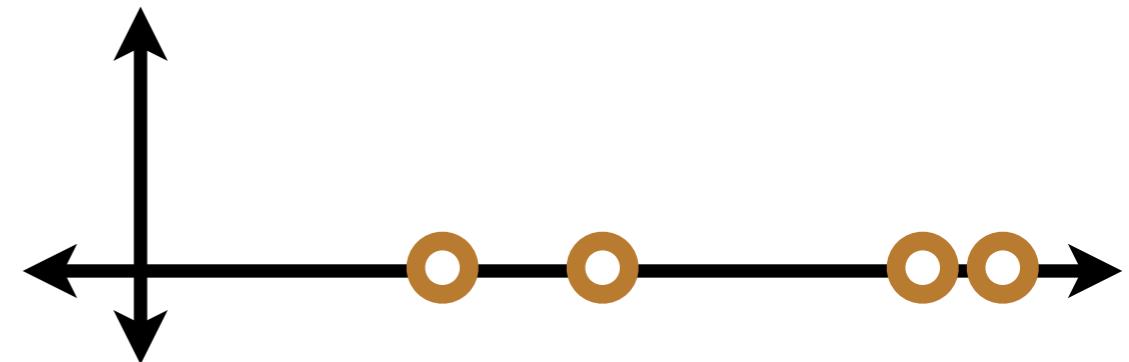
**‘On the lattice’ one can calculate finite-volume **energies** and **matrix elements****



$$\langle \mathcal{O}_j(\tau) \mathcal{O}_i^\dagger(0) \rangle = \sum_n \langle 0 | \mathcal{O}_j(\tau) | E_n \rangle \langle E_n | \mathcal{O}_i^\dagger(0) | 0 \rangle = \sum_n e^{-E_n(L)\tau} Z_{n,j} Z_{n,i}^*$$

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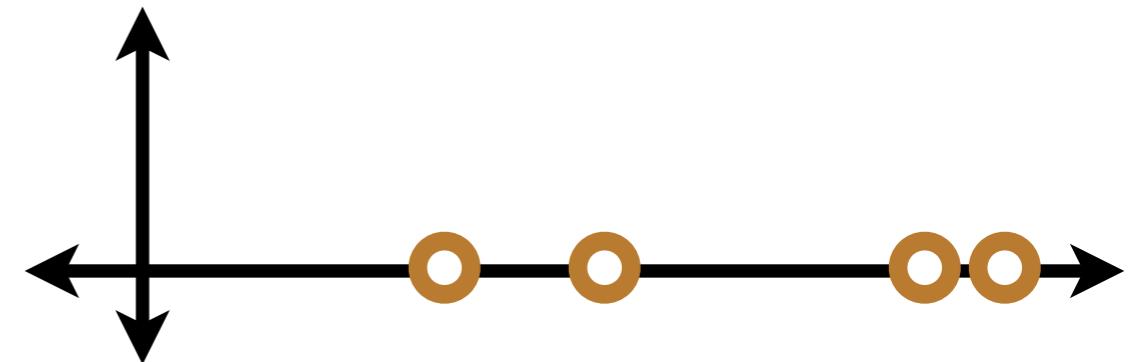
**Can determine optimized operators by ‘diagonalizing’ the correlator matrix (GEVP)**

$$\langle \Omega_m(\tau) \Omega_m^\dagger(0) \rangle \sim e^{-E_m(L)\tau} + \dots$$

$$\langle \Omega_{m'}(\tau) \mathcal{J}(0) \Omega_m^\dagger(-\tau) \rangle \sim e^{-E_{m'}\tau} e^{-E_m\tau} \langle E_{m'} | \mathcal{J}(0) | E_m \rangle + \dots$$

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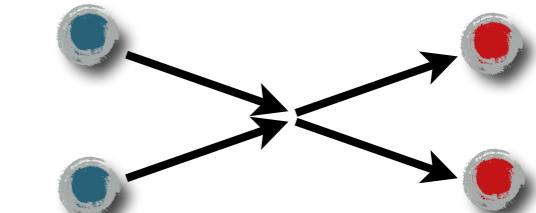
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- Our task is relate  $E_n(L)$  and  $\langle E_{m'} | \mathcal{J}(0) | E_m \rangle$  to experimental observables
- In this talk: less concerned with discretization effects  
*(Take lattice effects to be small/included in systematic uncertainty)*

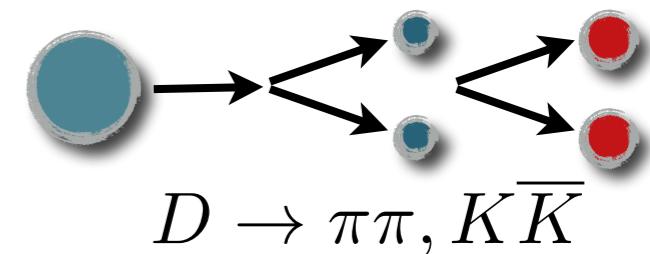
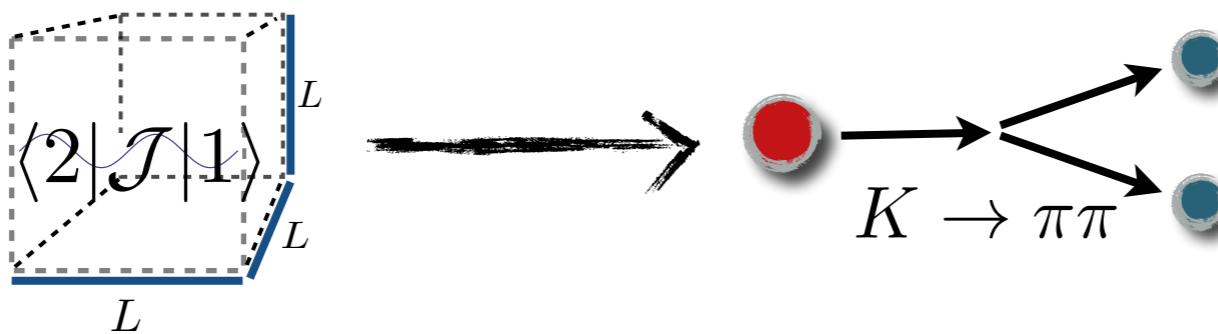
# Multi-hadron processes from LQCD

**KEY IDEA:** We can use the finite volume as a **tool** to extract multi-hadron observables

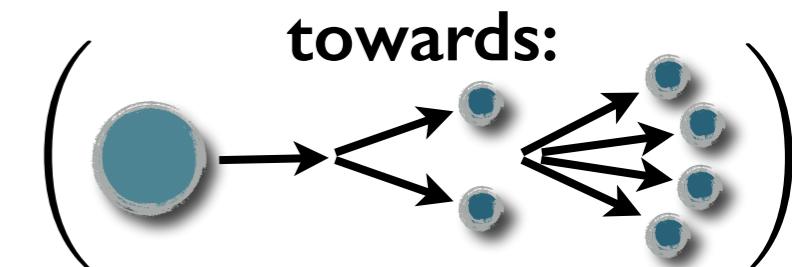
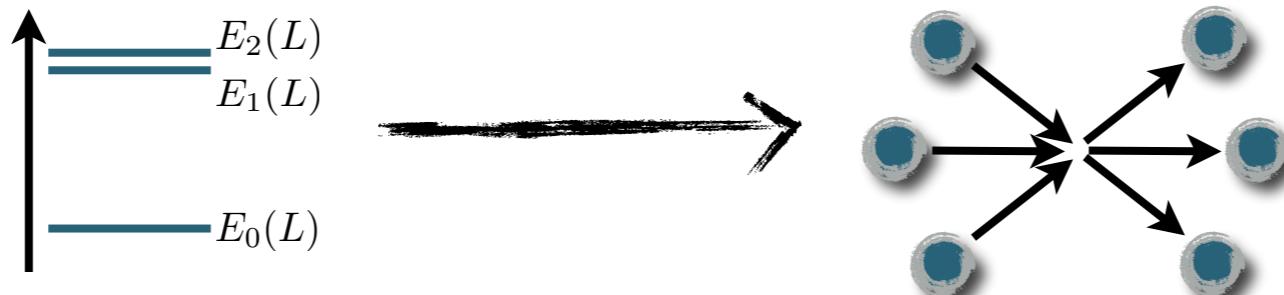
## □ Two-to-two scattering



## □ One-to-two transitions

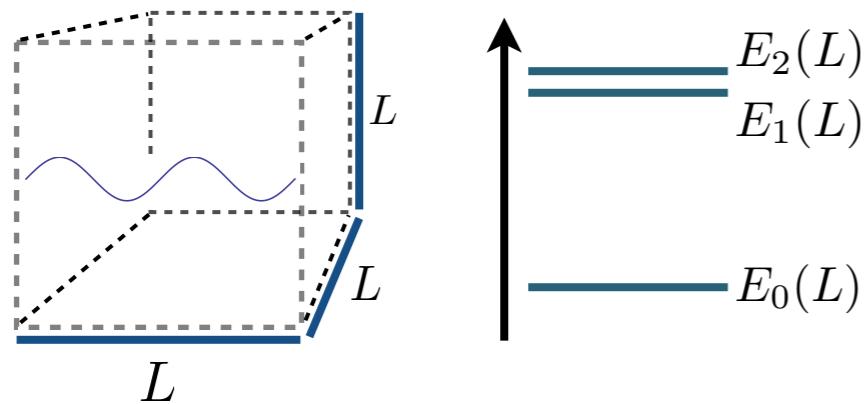


## □ Two-to-three and three-to-three scattering



# The finite-volume as a tool

## □ Finite-volume set-up

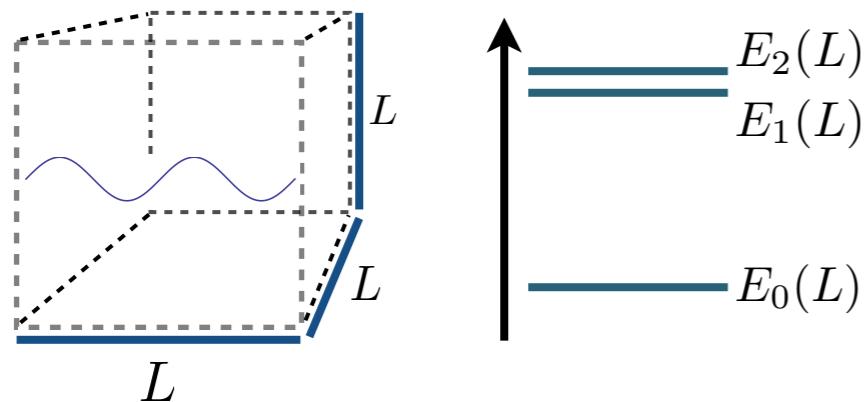


- **cubic, spatial volume (extent  $L$ )**
- **periodic boundary conditions**  
$$\vec{p} = \frac{2\pi}{L} \vec{n}, \quad \vec{n} \in \mathbb{Z}^3$$
- **$L$  is large enough to neglect  $e^{-M_\pi L}$**



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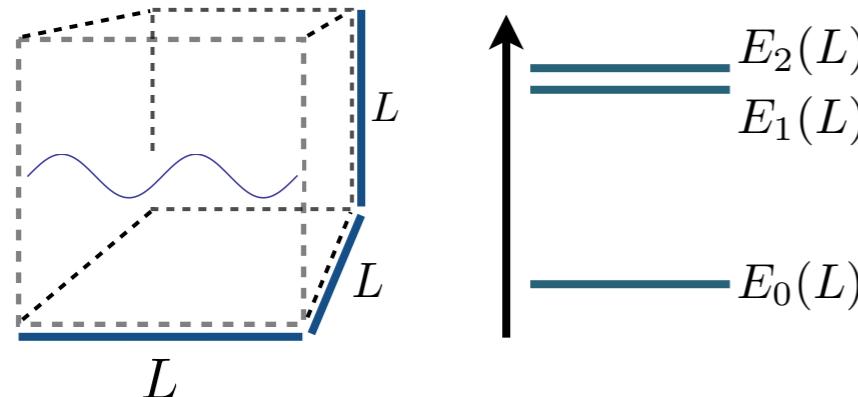


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## □ Scattering observables leave an *imprint* on finite-volume quantities

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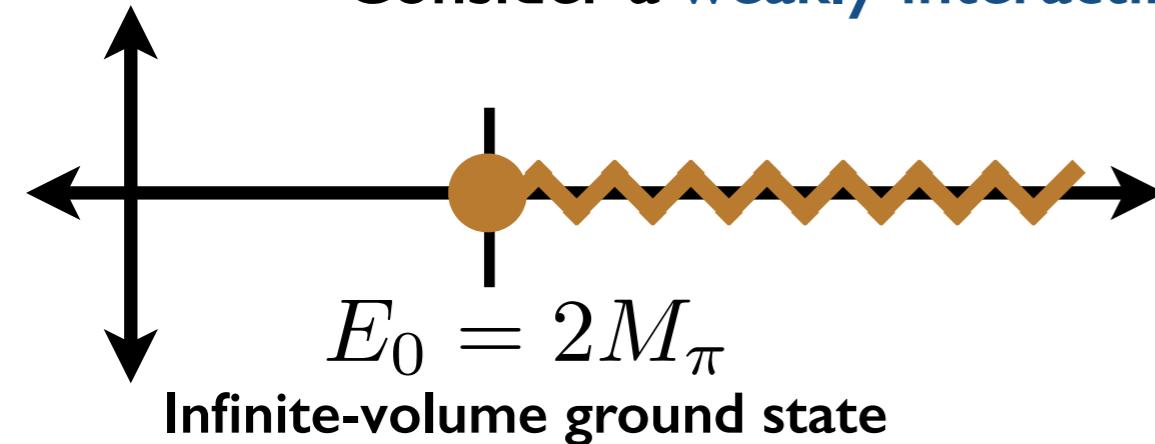
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## □ Scattering observables leave an *imprint* on finite-volume quantities

Consider a **weakly-interacting, two-body system** with no bound states

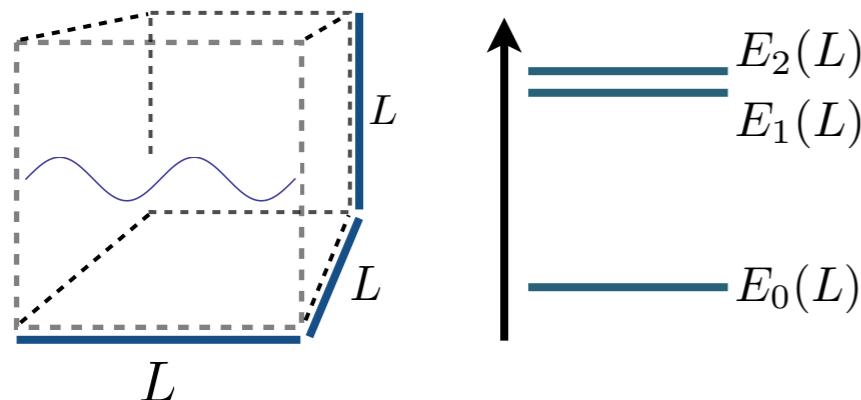


$$\mathcal{M}_{\ell=0}(2M_\pi) = -32\pi M_\pi a$$

Information is in the scattering amplitude

# The finite-volume as a tool

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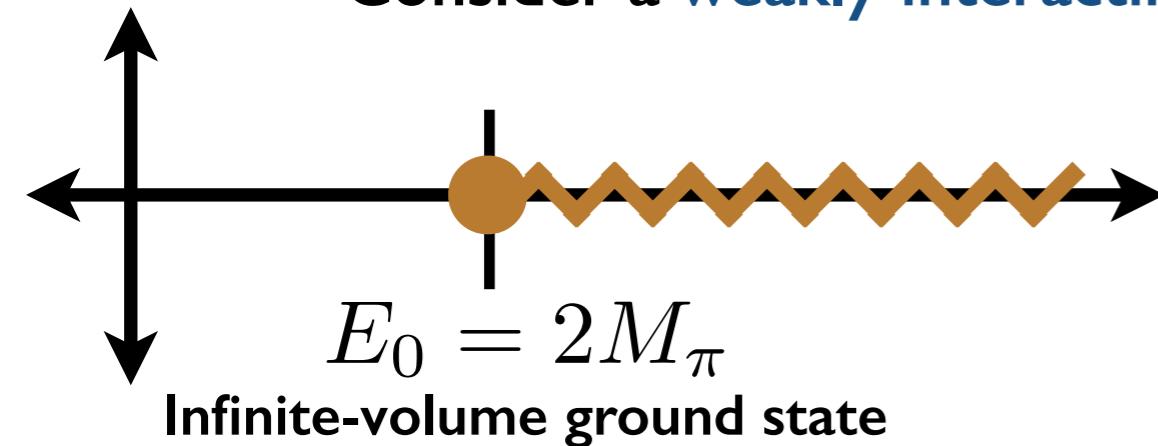
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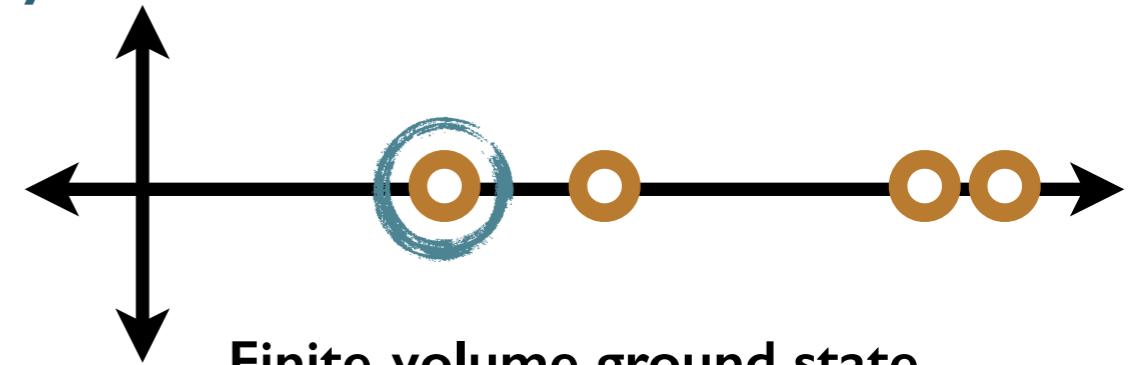
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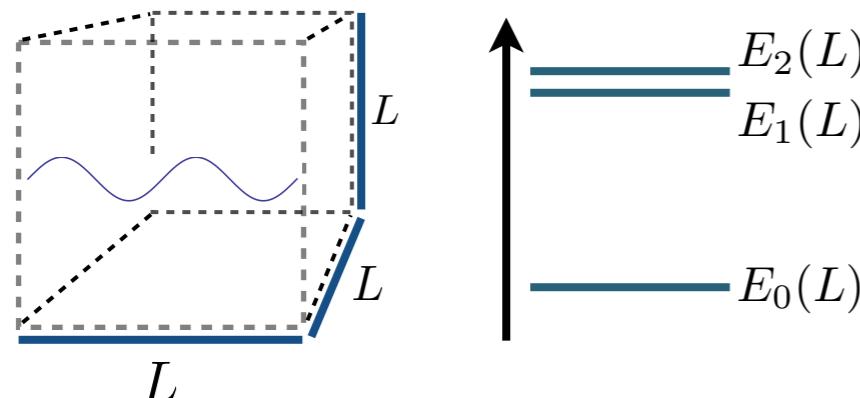


$$E_0(L) = 2M_\pi + \frac{4\pi a}{M_\pi L^3} + \mathcal{O}(1/L^4)$$

Huang, Yang (1958)

# The finite-volume as a tool

## □ Finite-volume set-up



□ **cubic, spatial volume (extent  $L$ )**

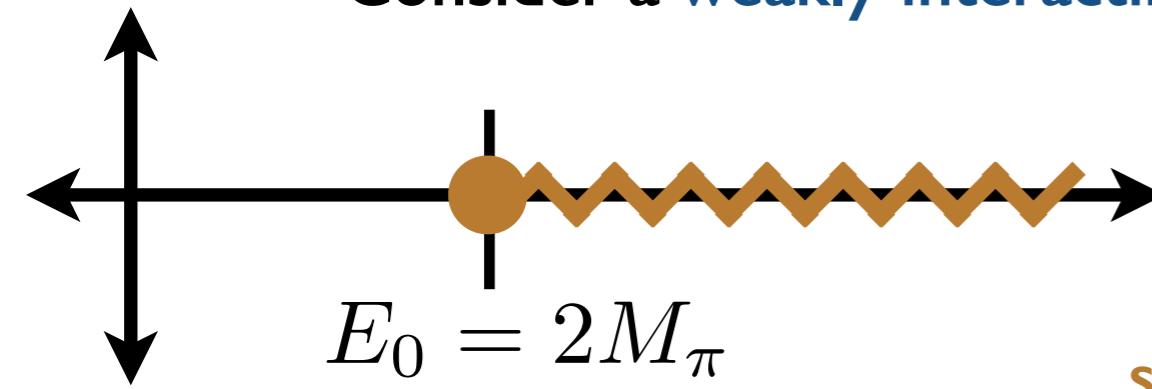
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Consider a **weakly-interacting, two-body system** with no bound states



Infinite-volume ground state

$$\mathcal{M}_{\ell=0}(2M_\pi) = -32\pi M_\pi a$$

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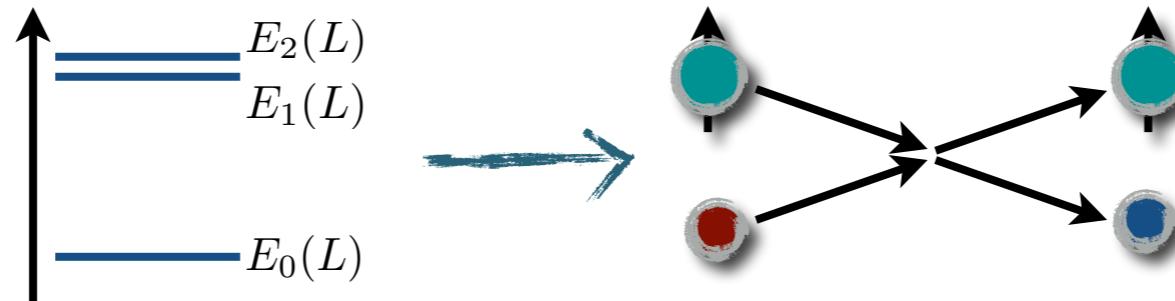
scattering length

$$E_0(L) = 2M_\pi + \frac{4\pi a}{M_\pi L^3} + \mathcal{O}(1/L^4)$$

Huang, Yang (1958)

# General two-to-two scattering

- Lüscher's formalism + extensions give a general mapping



- All results are contained in a generalized quantization condition

$$\det \left[ \mathcal{M}_2^{-1}(E_n^*) + F(E_n, \vec{P}, L) \right] = 0$$

scattering amplitude      known geometric function

Matrices in angular momentum, spin and channel space

- Huang, Yang (1958) ○ Lüscher (1986, 1991) ○ Rummukainen, Gottlieb (1995)
- Kim, Sachrajda, Sharpe (2005) ○ Christ, Kim, Yamazaki (2005) ○ He, Feng, Liu (2005)
- Beane, Detmold, Savage (2007) ○ Tan (2008) ○ Leskovec, Prelovsek (2012) ○ Bernard *et. al.* (2012)
- MTH, Sharpe (2012) ○ Briceño, Davoudi (2012) ○ Li, Liu (2013) ○ Briceño (2014)

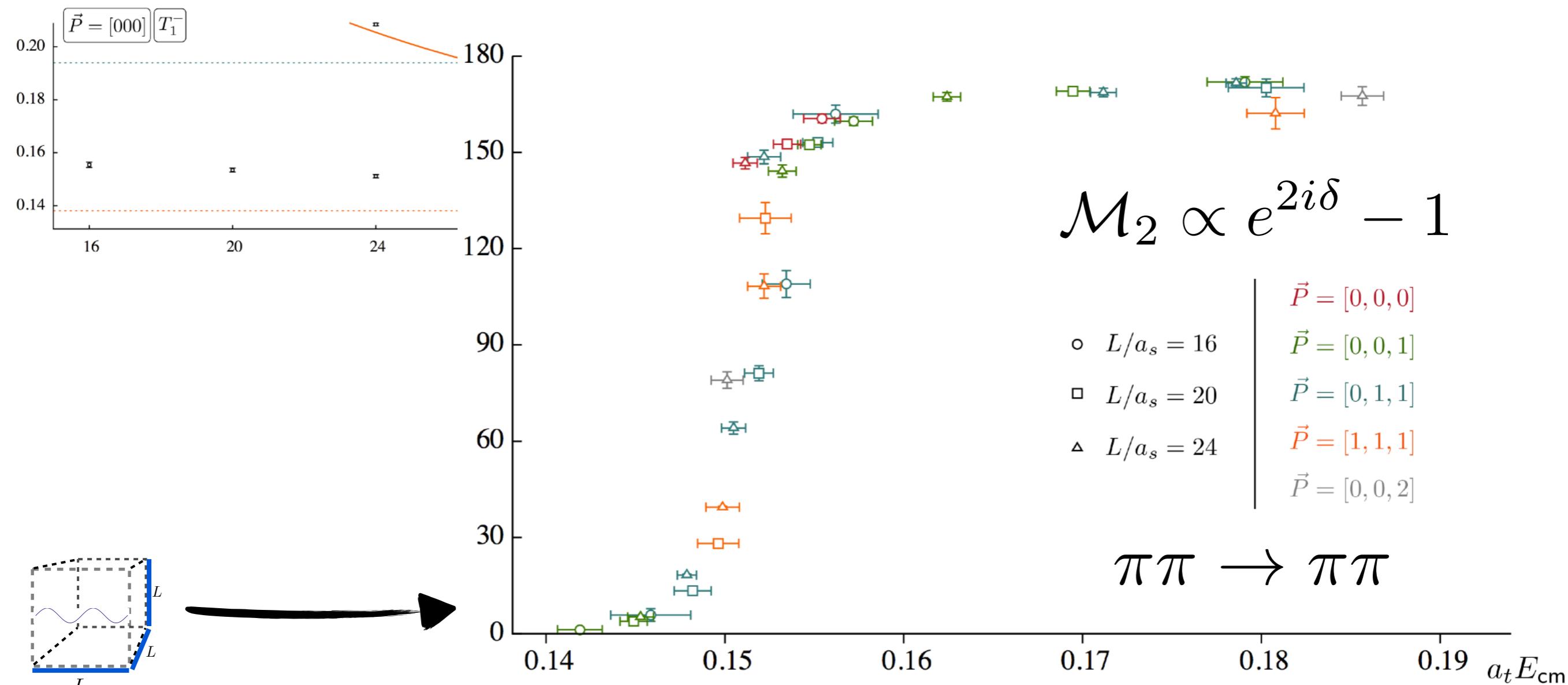
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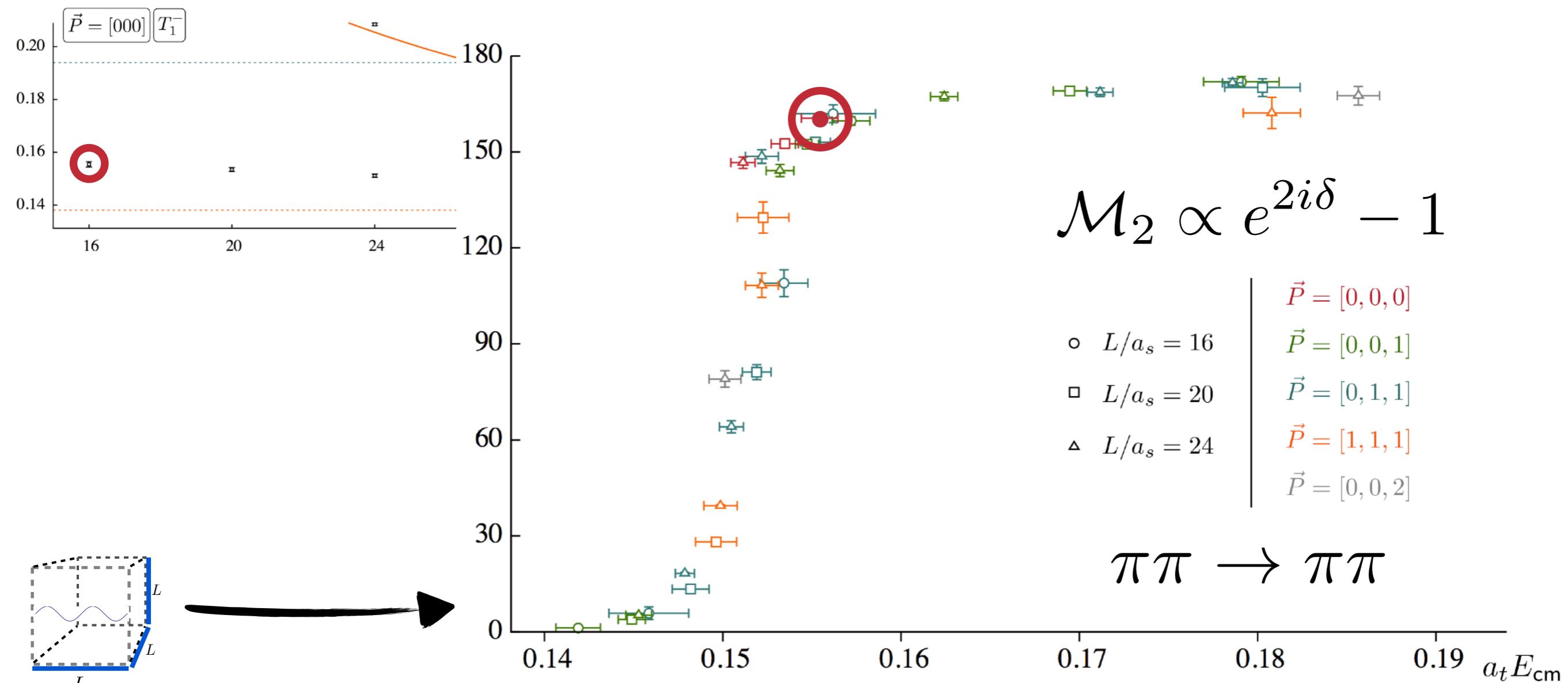
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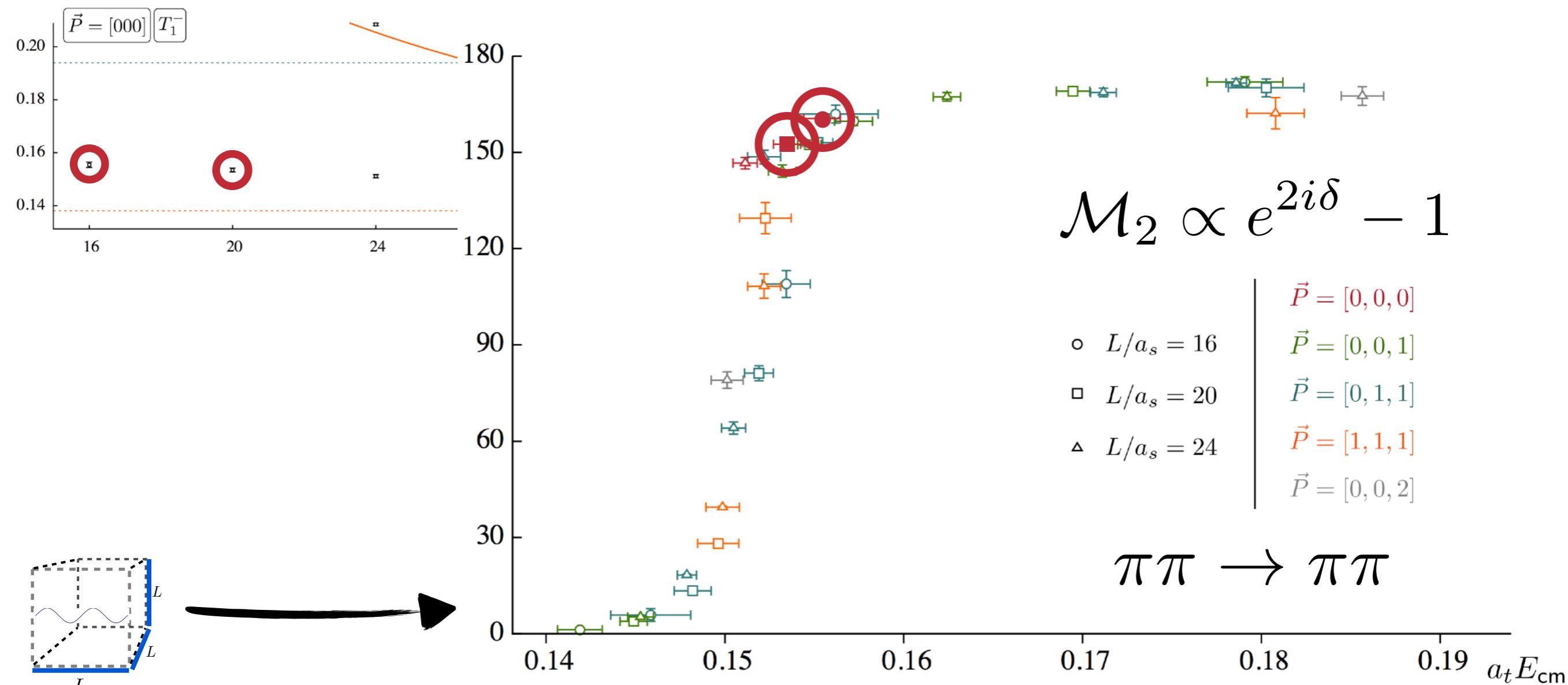
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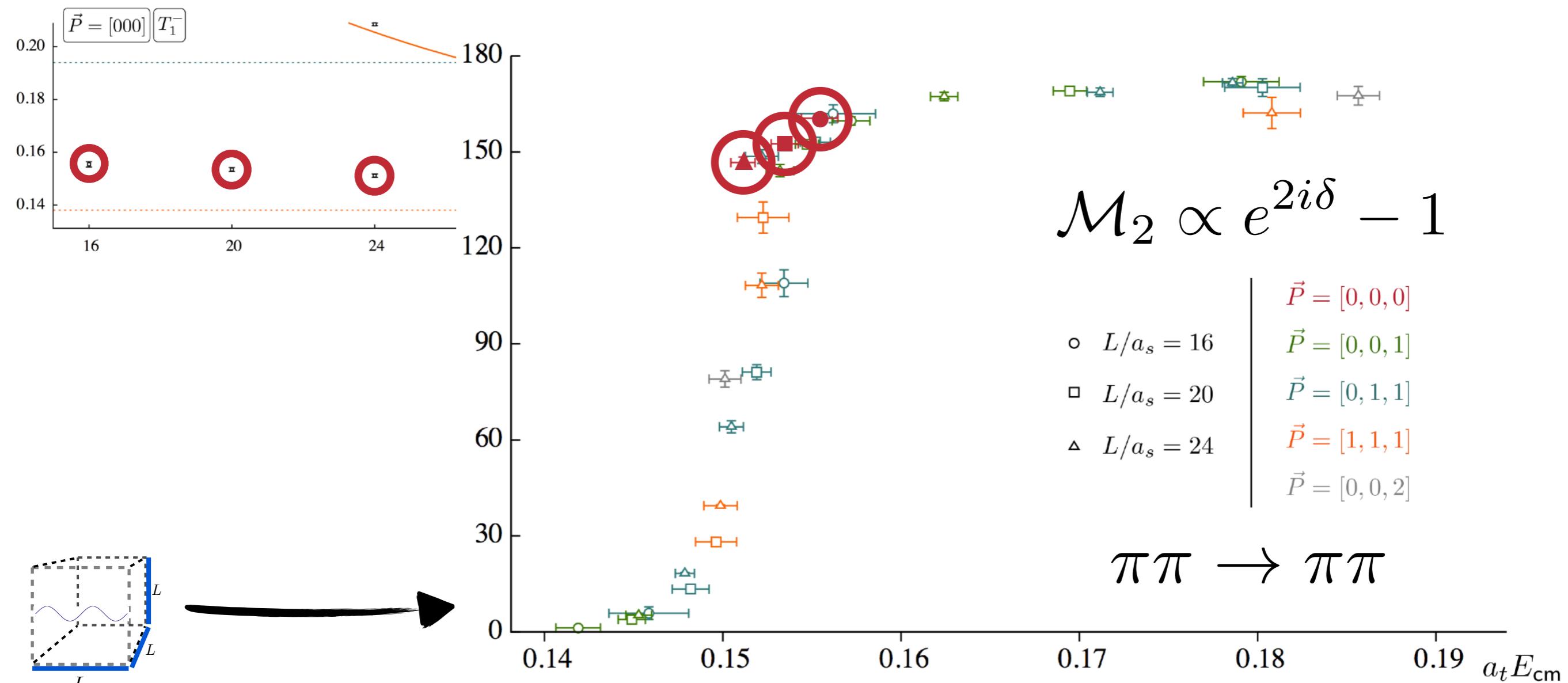


from Dudek, Edwards, Thomas in *Phys.Rev. D87* (2013) 034505

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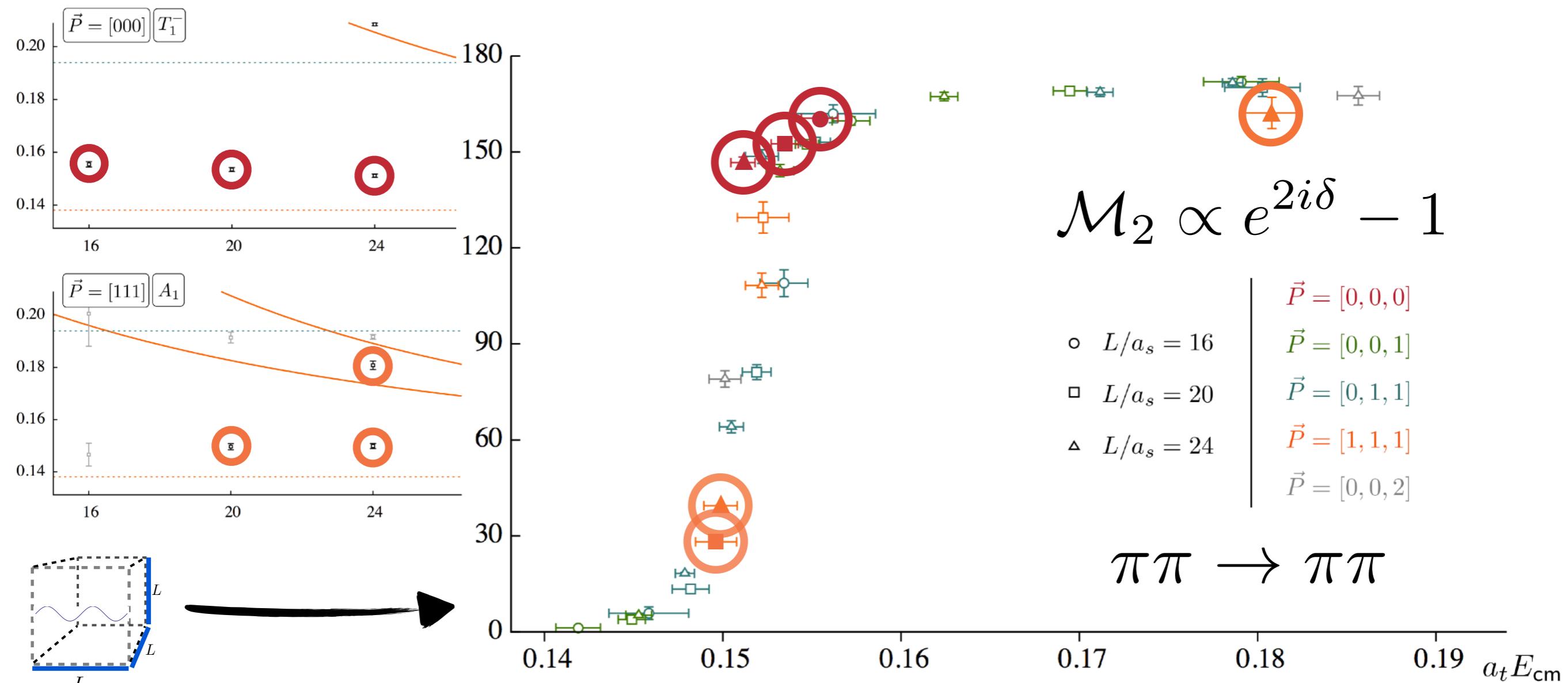


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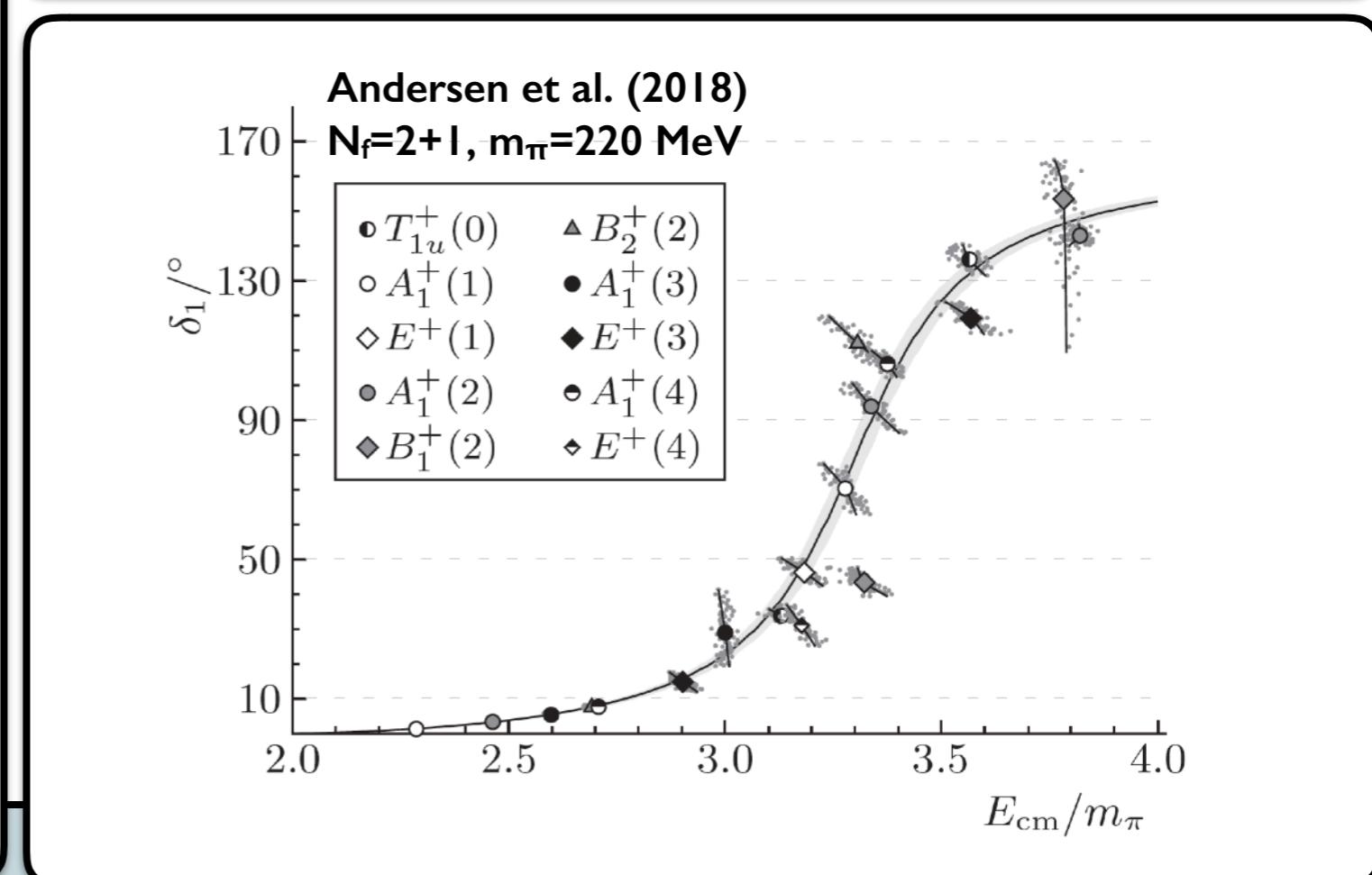
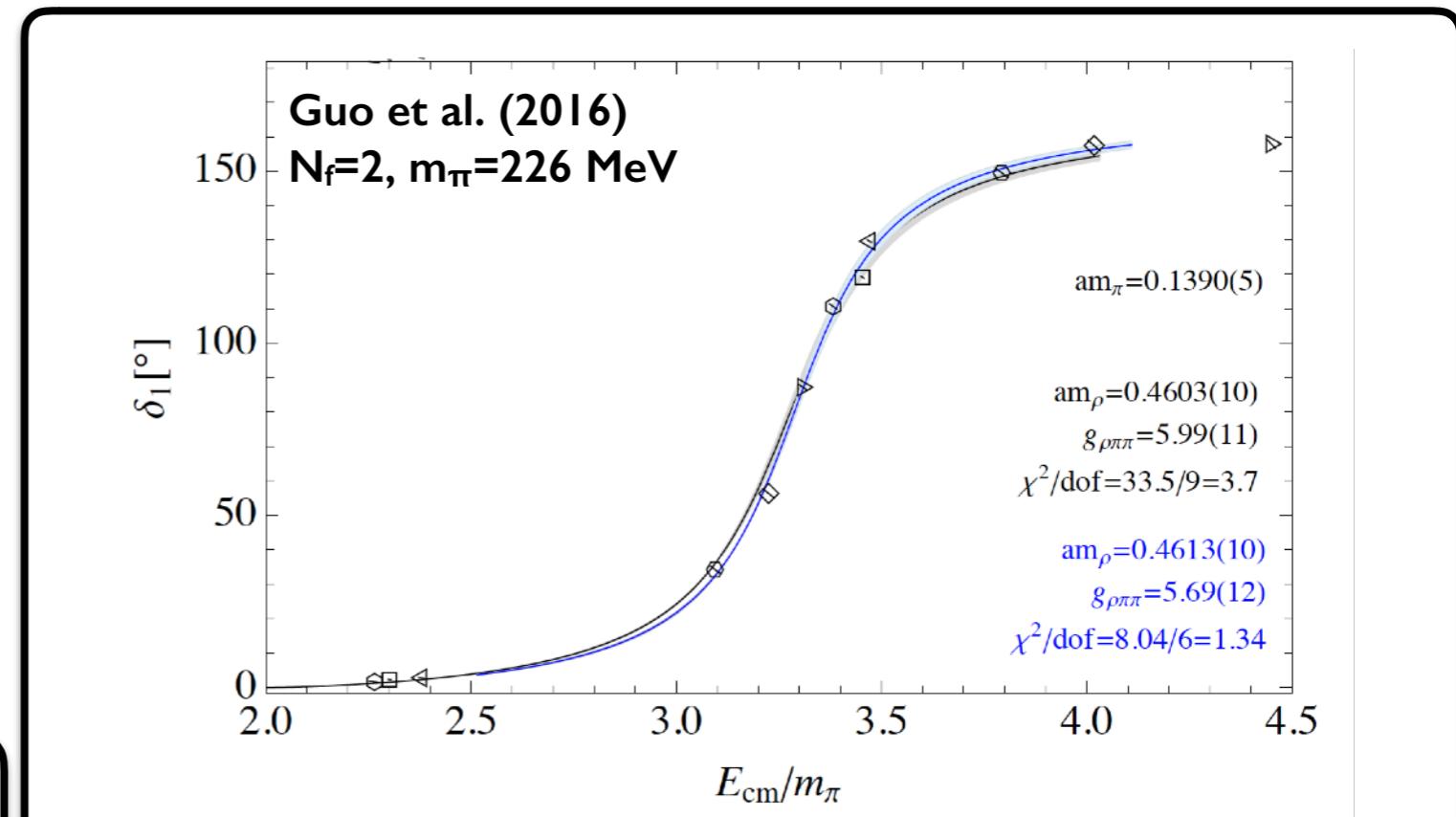
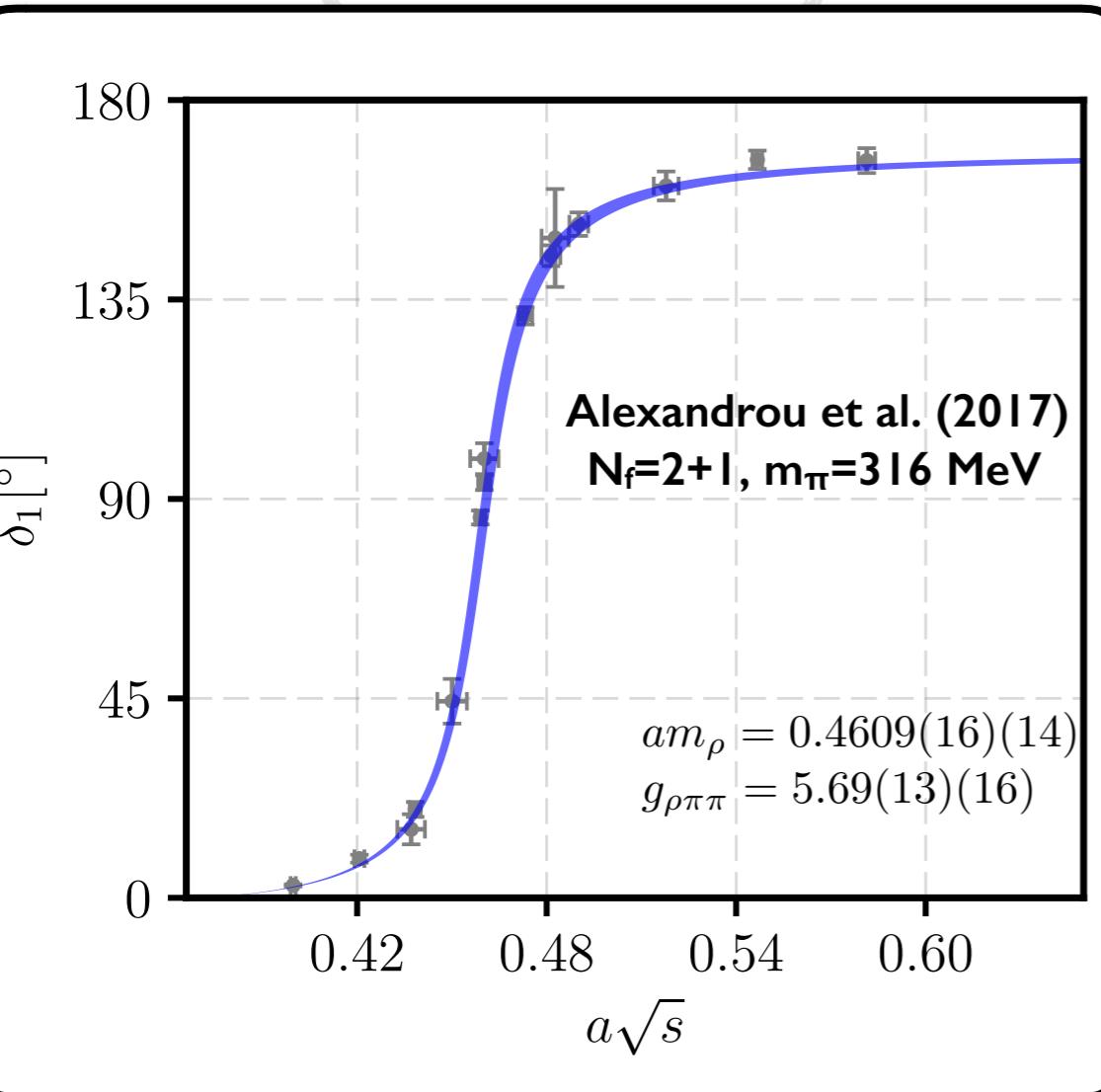
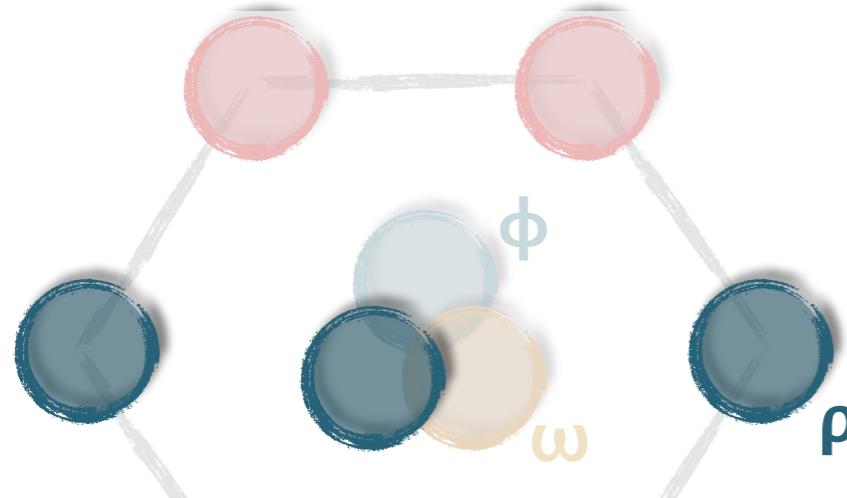
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$$\rho \rightarrow \pi\pi$$

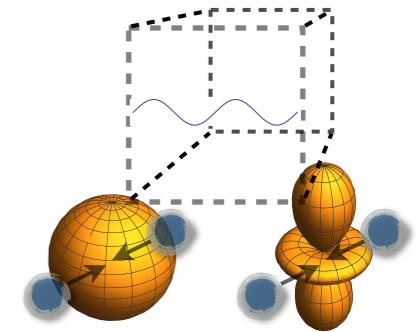
$$I^G(J^{PC}) = 1^+(1^{--})$$



# Coupled channels

□ The cubic volume mixes different partial waves...

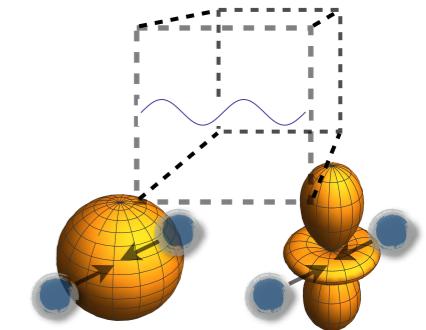
e.g.  $K\pi \rightarrow K\pi$   $\vec{P} \neq 0$   $\longrightarrow \det \left[ \begin{pmatrix} \mathcal{M}_s^{-1} & 0 \\ 0 & \mathcal{M}_p^{-1} \end{pmatrix} + \begin{pmatrix} F_{ss} & F_{sp} \\ F_{ps} & F_{pp} \end{pmatrix} \right] = 0$



# Coupled channels

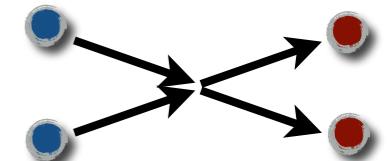
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...as well as different flavor channels...

e.g.  $a = \pi\pi$   $b = K\bar{K}$   $\longrightarrow \det \left[ \begin{pmatrix} \mathcal{M}_{a \rightarrow a} & \mathcal{M}_{a \rightarrow b} \\ \mathcal{M}_{b \rightarrow a} & \mathcal{M}_{b \rightarrow b} \end{pmatrix}^{-1} + \begin{pmatrix} F_a & 0 \\ 0 & F_b \end{pmatrix} \right] = 0$



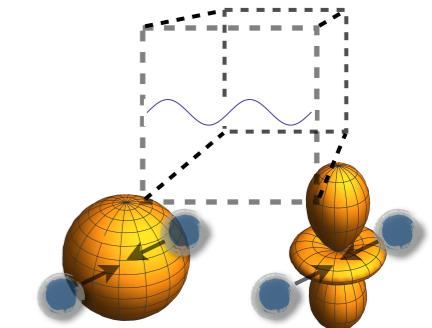
MTH, Sharpe (2012)

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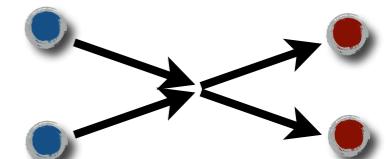
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 $b = K\bar{K}$   $\longrightarrow \det \left[ \begin{pmatrix} \mathcal{M}_{a \rightarrow a} & \mathcal{M}_{a \rightarrow b} \\ \mathcal{M}_{b \rightarrow a} & \mathcal{M}_{b \rightarrow b} \end{pmatrix}^{-1} + \begin{pmatrix} F_a & 0 \\ 0 & F_b \end{pmatrix} \right] = 0$



□ The road to physics...

MTH, Sharpe (2012)

○ Briceño, Davoudi (2012)

Calculate a matrix of correlators with a large & varied operator basis

$$\langle \mathcal{O}_a(\tau) \mathcal{O}_b^\dagger(0) \rangle$$

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$$\langle \Omega_m(\tau) \Omega_m^\dagger(0) \rangle \sim e^{-E_m(L)\tau}$$

Vary  $L$  and  $P$  to recover a dense set of energies

[000],  $\mathbb{A}_1$

○ ○ ○ ○ ○ ○

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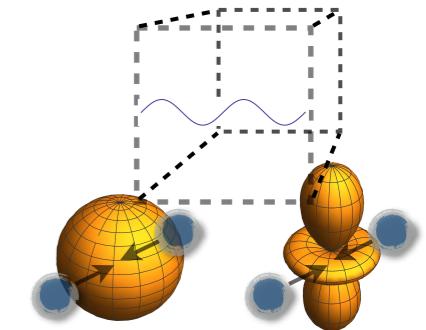
○ ○ ○ ○ ○ ○

→  $E_n(L)$

# Coupled channels

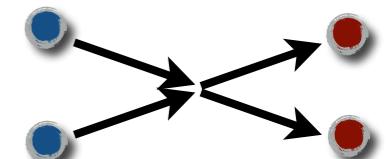
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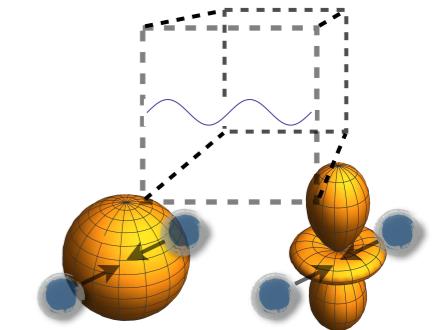
→  $E_n(L)$

Identify a broad list of K-matrix parametrizations  
 polynomials and poles  
 EFT based  
 dispersion theory based

# Coupled channels

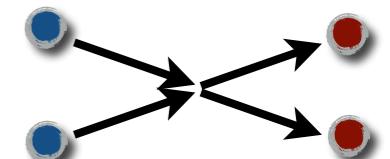
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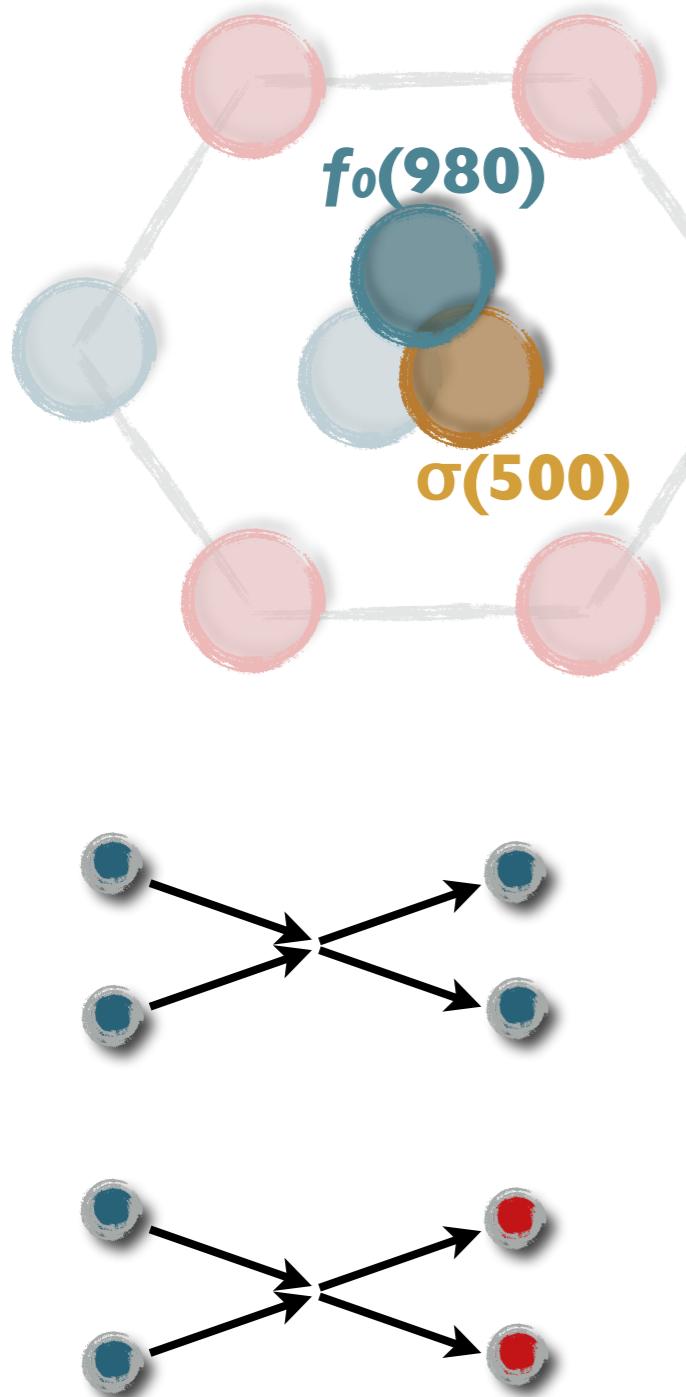
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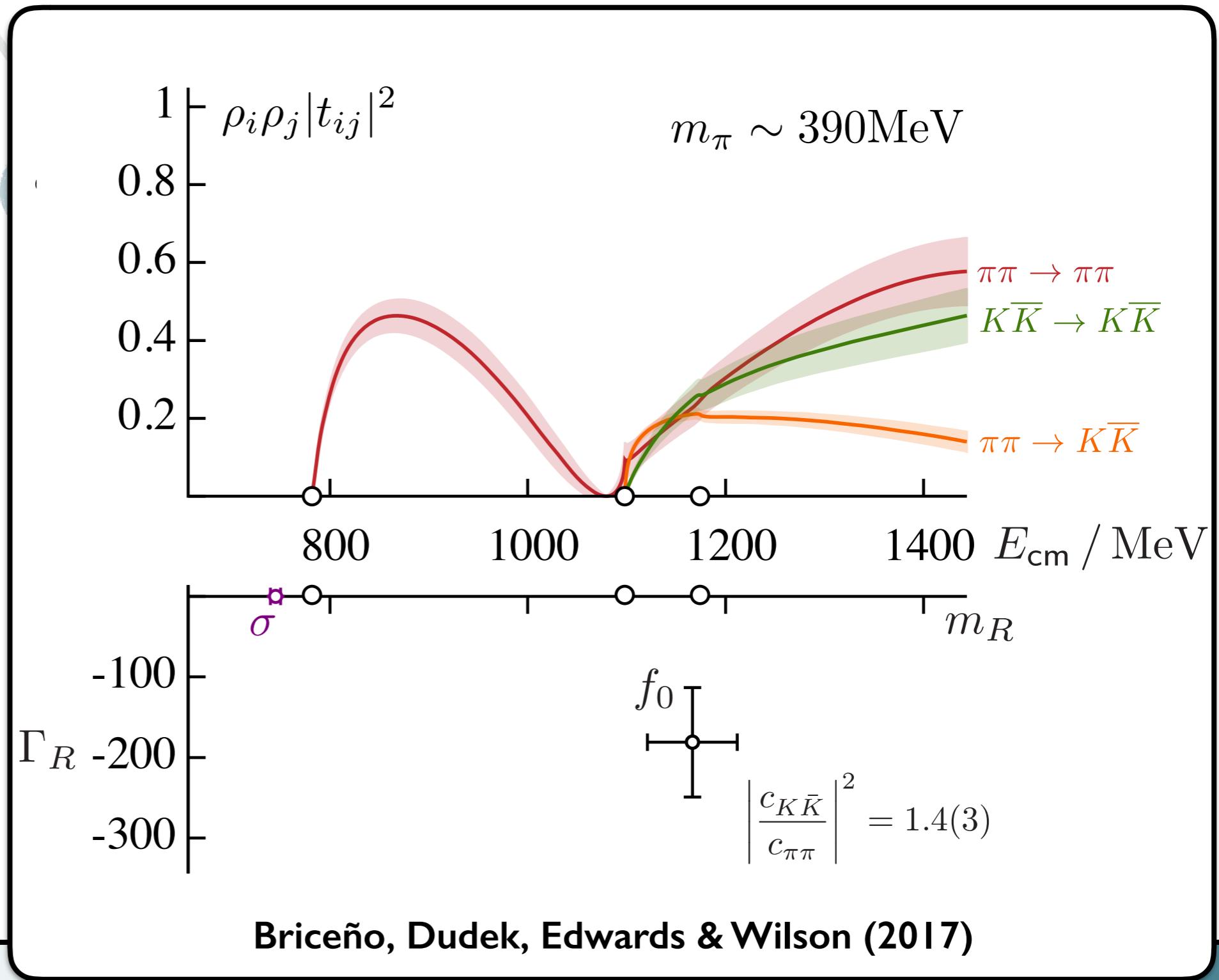
dispersion theory based

Perform global fits to the finite-volume spectrum

$$I^G(J^{PC}) = 0^+(0^{++})$$



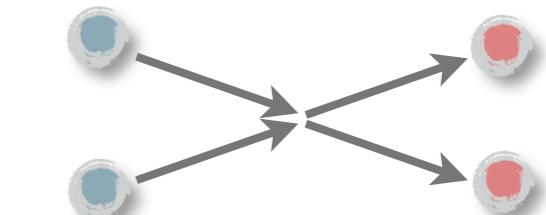
## Coupled-channel scattering



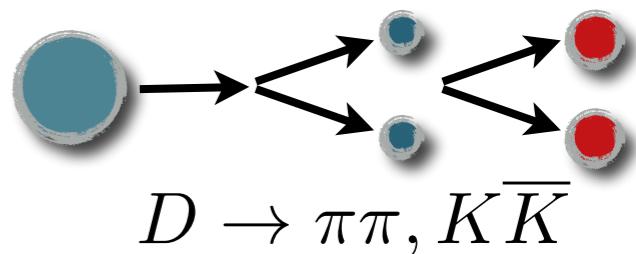
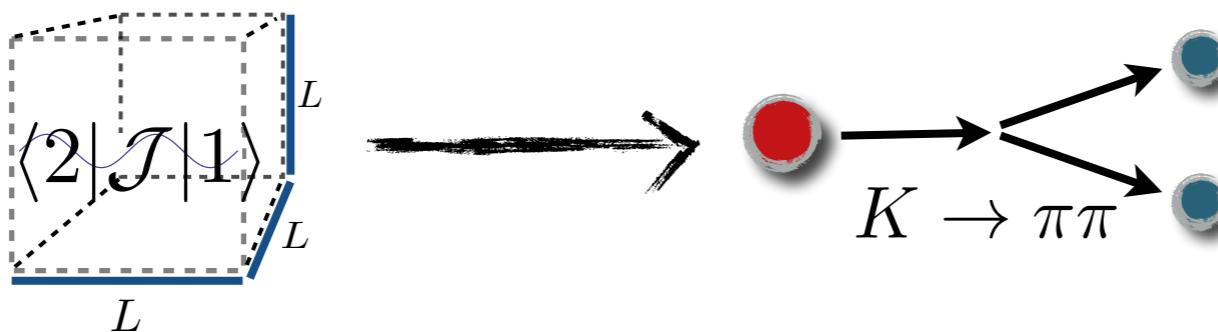
# Multi-hadron processes from LQCD

**KEY IDEA:** We can use the finite volume as a **tool** to extract multi-hadron observables

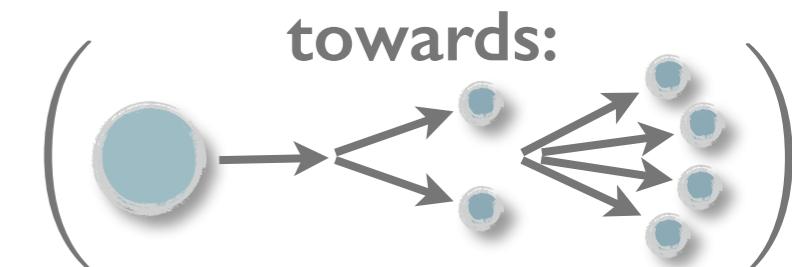
Two-to-two scattering



One-to-two transitions



Two-to-three and three-to-three scattering



## Weak decays...

- So far: QCD scattering from finite-volume energies
- Now: Weak decays from finite-volume matrix elements

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get this from the lattice

$$|\langle n, L | \mathcal{H}_W | K \rangle|^2 = \mathcal{R}(E_n, L) |\langle \pi\pi, \text{out} | \mathcal{H}_W | K \rangle|^2$$

depends on scattering phase shift

experimental observable

Lellouch, Lüscher (2001)

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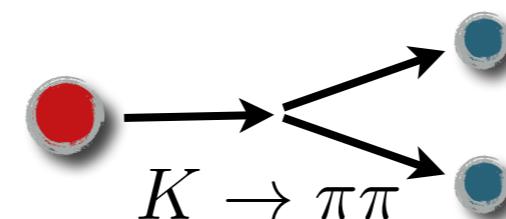
depends on scattering phase shift

experimental observable

Lellouch, Lüscher (2001)

- Three steps to lattice weak decay

- Calculate finite-volume energies →  $\pi\pi$  scattering phase →  $R(E_n, L)$
- Calculate renormalized finite-volume matrix elements
- Combine  $R(E_n, L)$  with f.v. matrix elements → **decay amplitudes**



Complete numerical calculation by RBC/UKQCD

RBC/UKQCD, e.g. PRL 2015, (1505.07863)

$D$  decays...

get this from the lattice

$$\langle n, L | \mathcal{H}_W | D \rangle = (\mathcal{C}_{\pi\pi} \quad \mathcal{C}_{K\bar{K}} \quad \mathcal{C}_{\eta\eta})$$



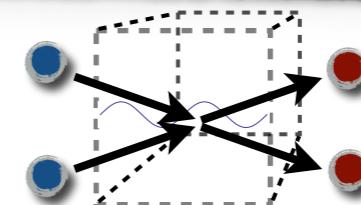
experimental observables

$$\begin{pmatrix} \langle \pi\pi, \text{out} | \mathcal{H}_W | D \rangle \\ \langle K\bar{K}, \text{out} | \mathcal{H}_W | D \rangle \\ \langle \eta\eta, \text{out} | \mathcal{H}_W | D \rangle \end{pmatrix}$$

depends on scattering matrix

MTH, Sharpe (2012)

□ Coupled channels mix in the finite volume



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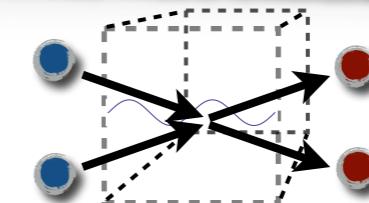
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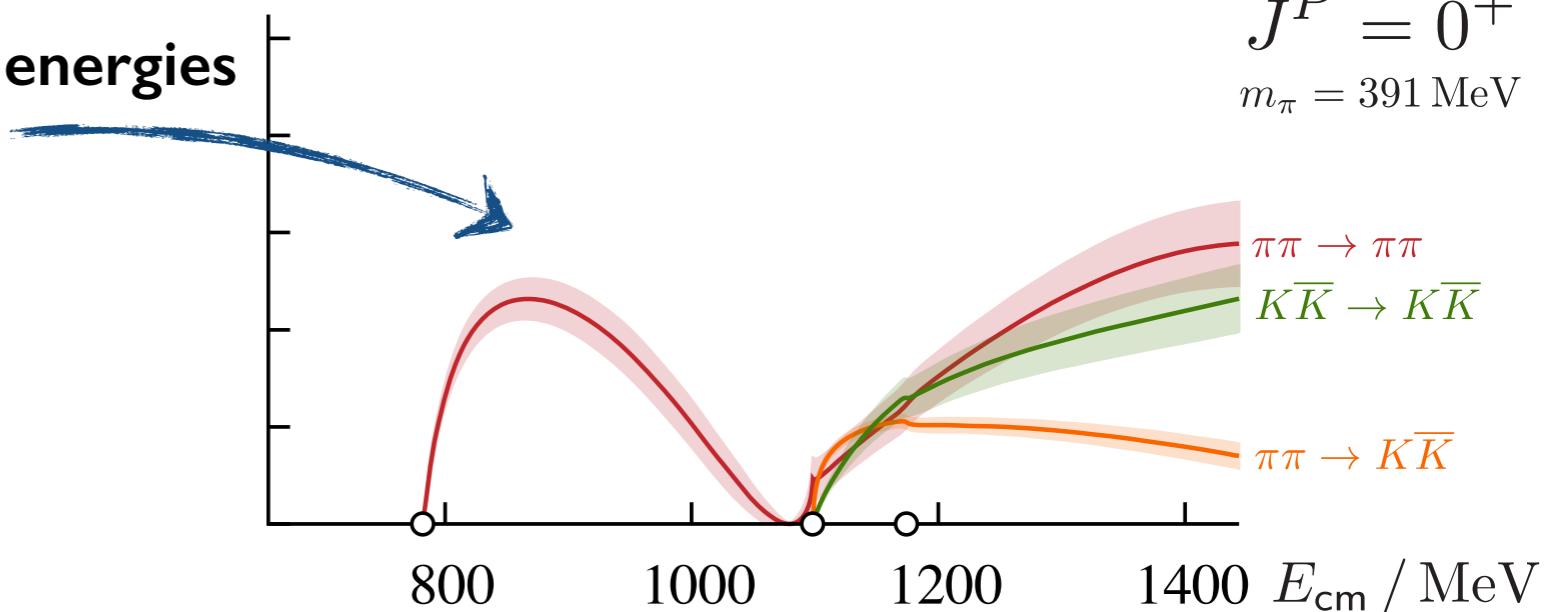
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□ Coupled channels mix in the finite volume



□ Three steps to D decays

□ Calculate **many** finite-volume energies  
→ coupled scattering →  $C_{xy}$



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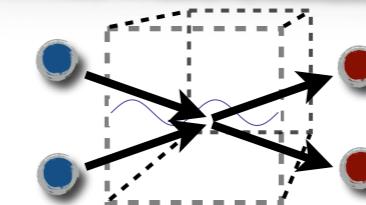
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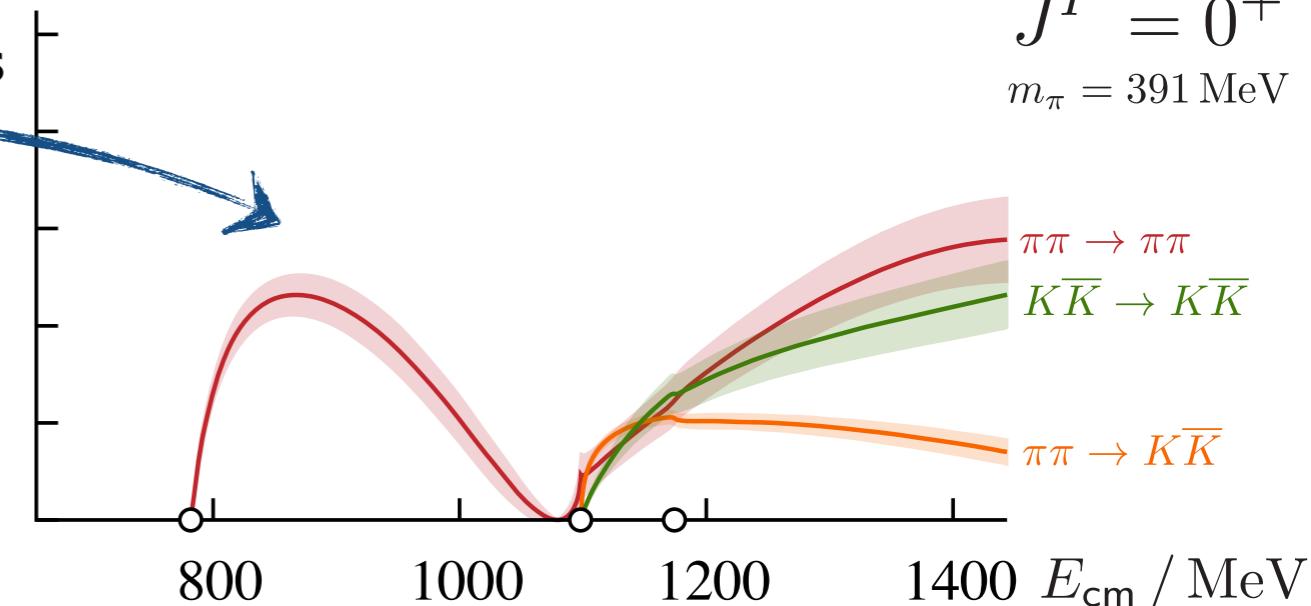


Three steps to D decays

Calculate **many** finite-volume energies  
→ coupled scattering →  $C_{xy}$

$J^P = 0^+$   
 $m_\pi = 391 \text{ MeV}$

Calculate **many** renormalized finite-volume matrix elements

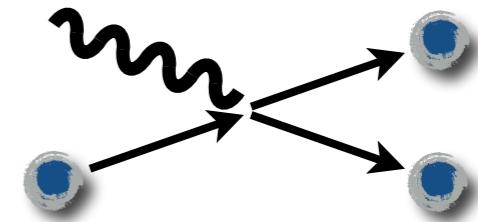


Extract amplitudes in a global fit

Important caveat: The relation ignores  $\pi\pi\pi\pi$  states

# Pion photo-production

$$\langle \pi\pi, \text{out} | \mathcal{J}_\mu | \pi \rangle \equiv$$



□ Method also applies when current injects energy and momentum

get this from the lattice

experimental observable

$$|\langle n, L | \mathcal{J}_\mu | \pi \rangle|^2 = \langle \pi | \mathcal{J}_\mu | \pi\pi, \text{in} \rangle \mathcal{R}(E_n, L) \langle \pi\pi, \text{out} | \mathcal{J}_\mu | \pi \rangle$$

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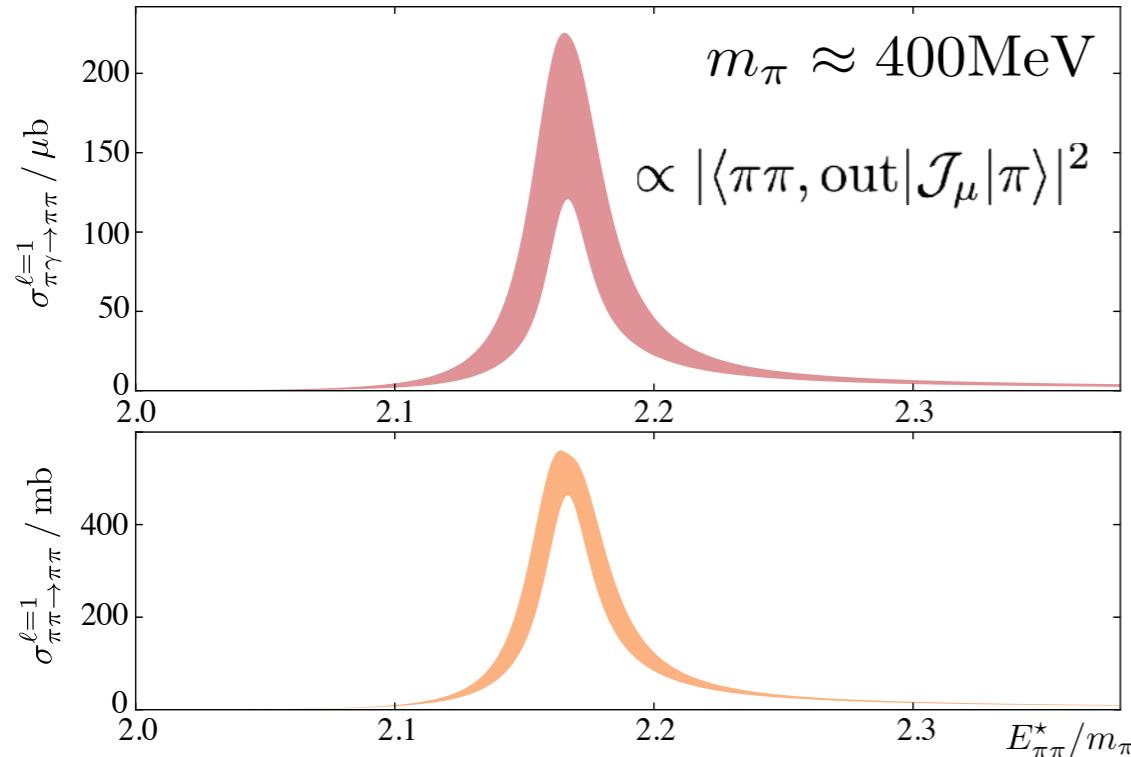
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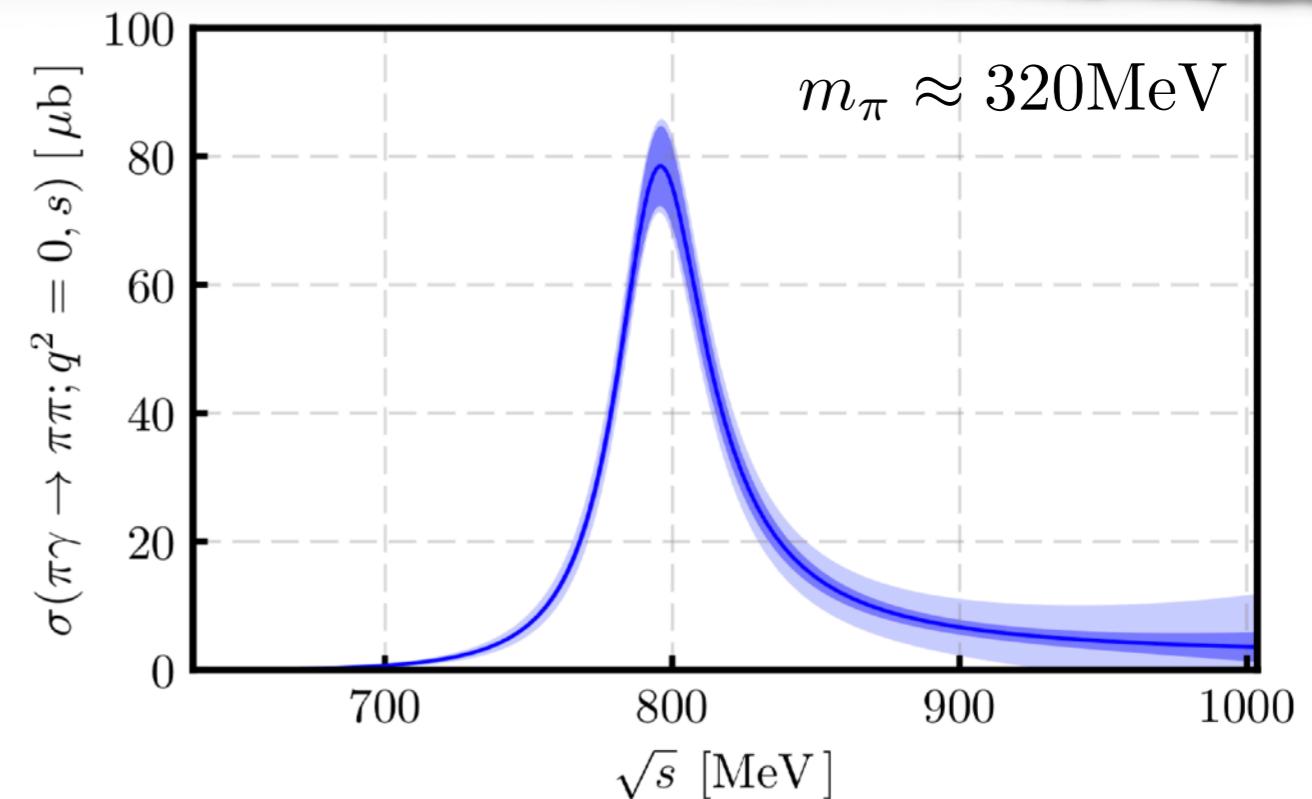
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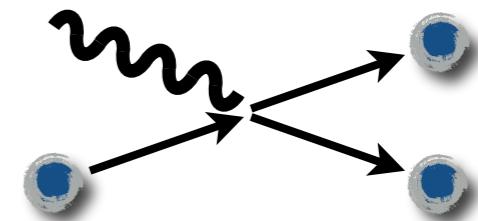
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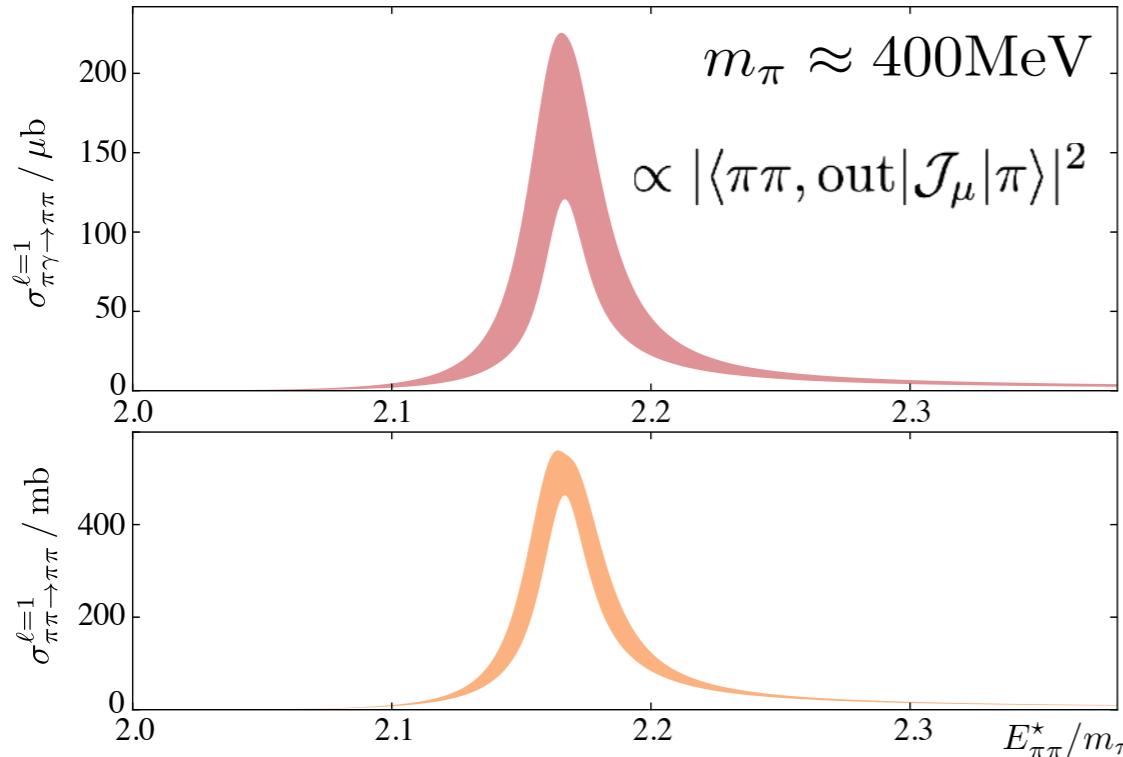
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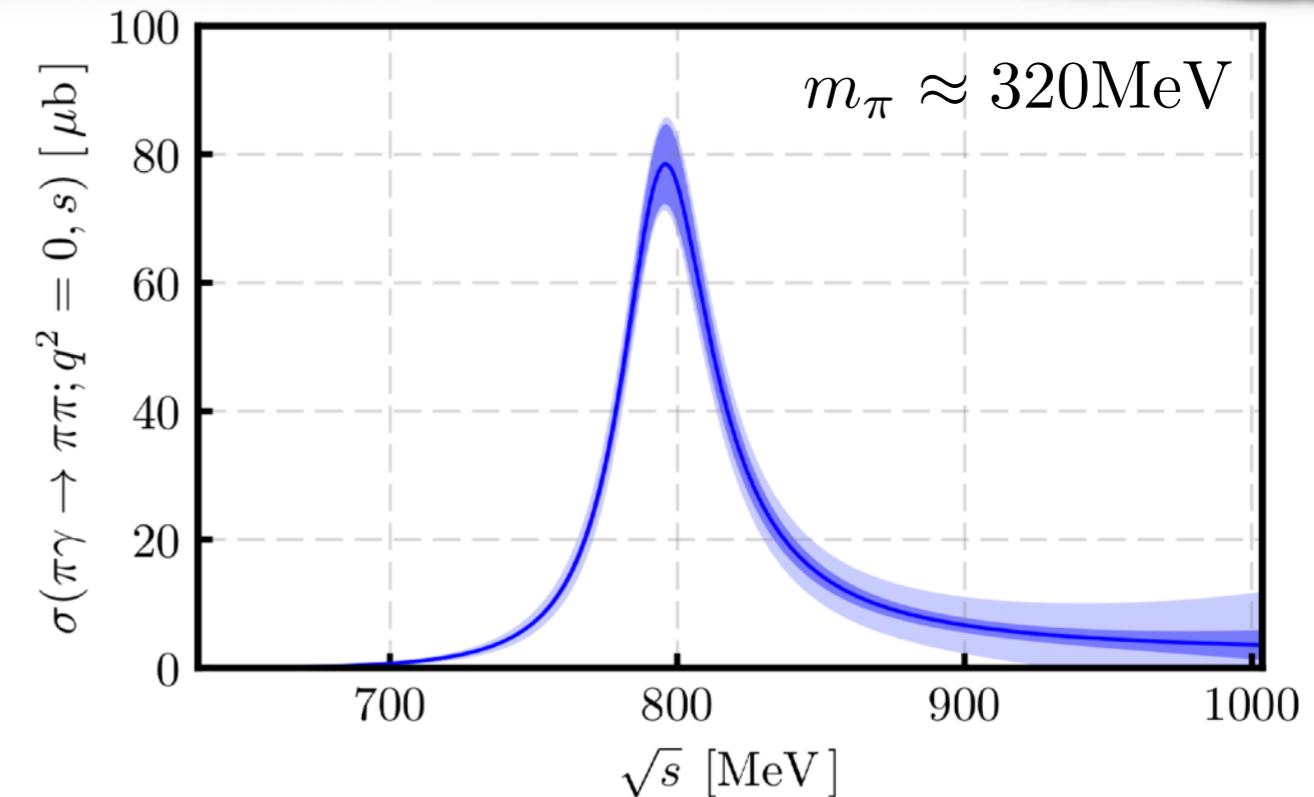
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Could be used to extract weak decays at unphysical kinematics  
(explore resonance enhancements, fine tuning, etc.)

# Same basic idea in many different contexts...

## Weak decay

$$\langle \pi\pi, \text{out} | \mathcal{H} | K \rangle \equiv \text{red circle} \rightarrow \text{two blue circles}$$

- Lellouch, Lüscher (2001) ○ Kim, Sachrajda, Sharpe (2005) ○ Christ, Kim, Yamazaki (2005)  
○ MTH, Sharpe (2012) ○

## Time-like form factors

$$\langle \pi\pi, \text{out} | \mathcal{J}_\mu | 0 \rangle \equiv \text{wavy line} \rightarrow \text{two blue circles}$$

- Meyer (2011) ○

## Resonance transition amplitudes

$$\langle K\pi, \text{out} | \mathcal{J}_{\alpha\beta} | B \rangle \equiv \text{orange circle} \rightarrow \text{two blue circles, one red circle}$$

## Particles with spin

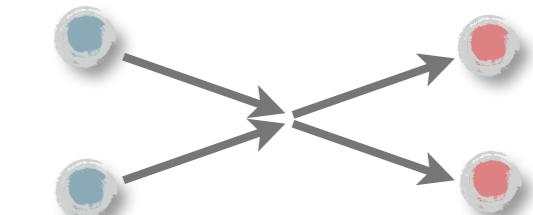
$$\langle N\pi, \text{out} | \mathcal{J}_\mu | N \rangle \equiv \text{green circle} \rightarrow \text{two green circles}$$

- Agadjanov *et al.* (2014) ○ Briceño, MTH, Walker-Loud (2015) ○ Briceño, MTH (2016)

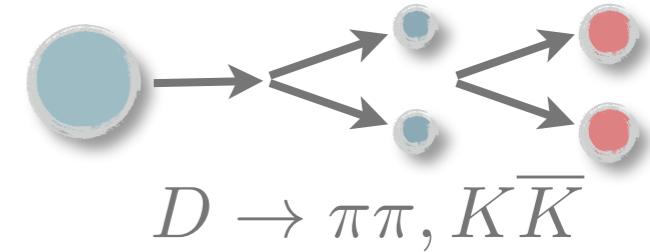
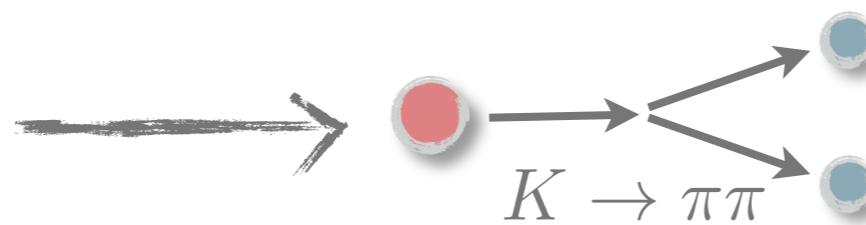
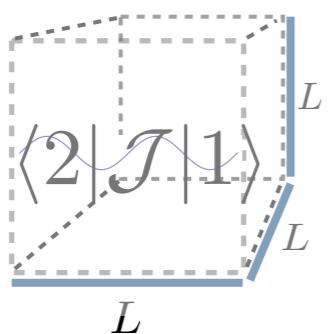
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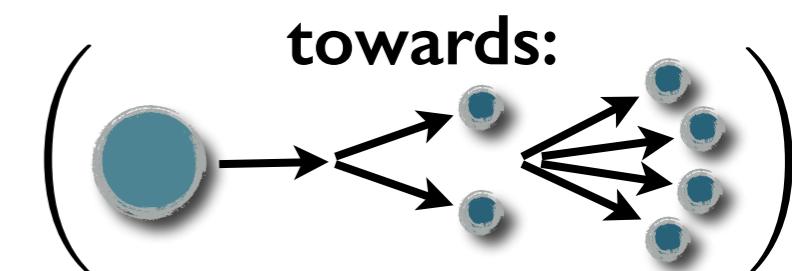
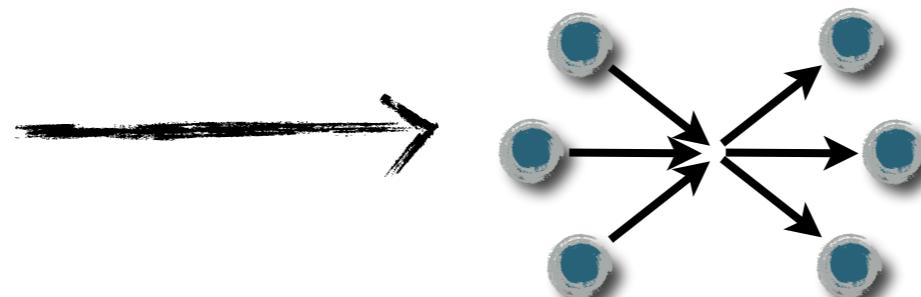
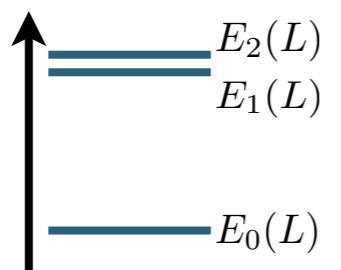
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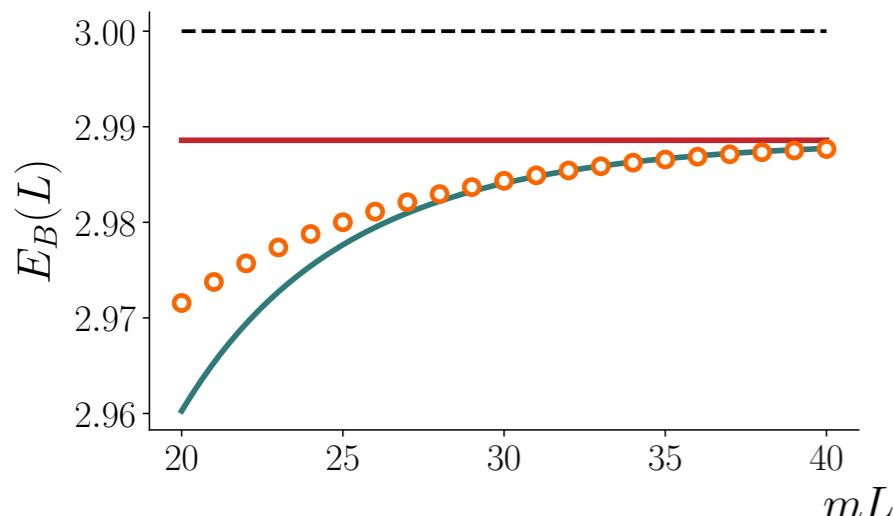
# Three-hadron scattering

- Formalism is complete for two and three (identical) scalars

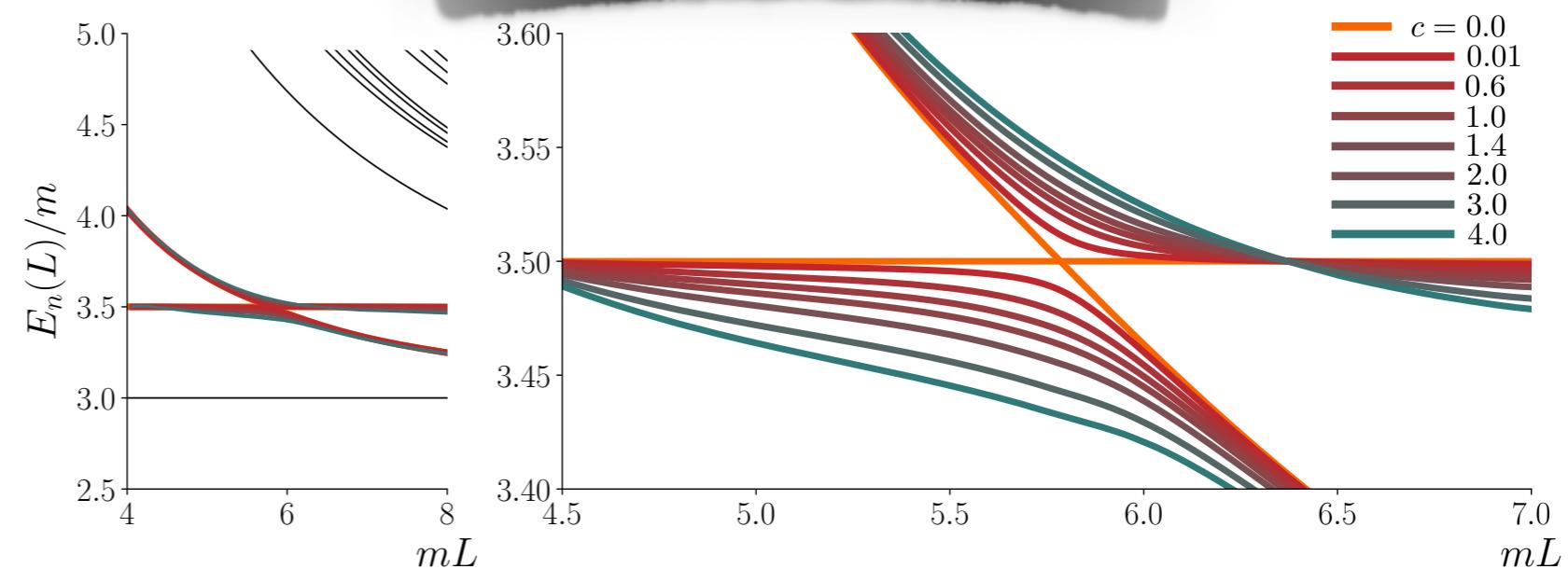
MTH, Sharpe (2014-2016) ○ Briceño, MTH, Sharpe (2017, 2018)

- Currently exploring utility through numerical toy examples

*Volume effects on an Efimov state*



*Model of a 3-particle resonance*



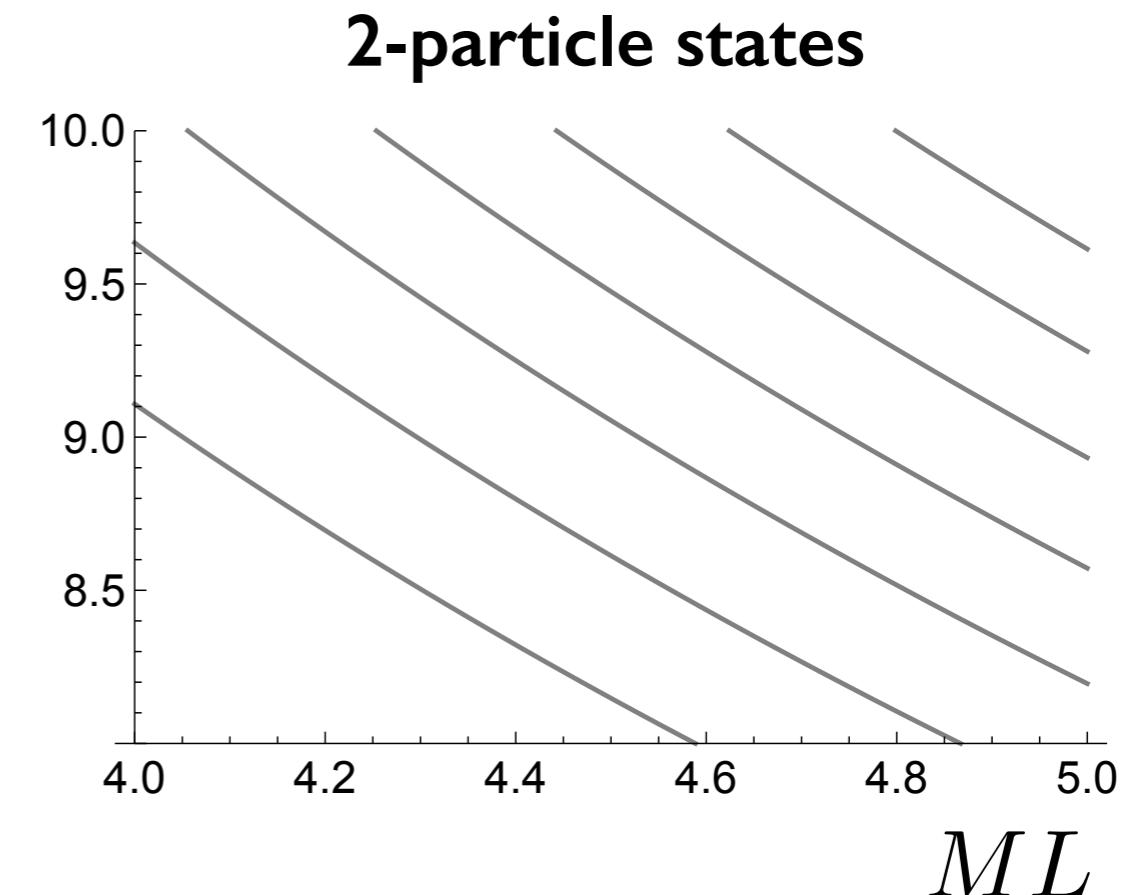
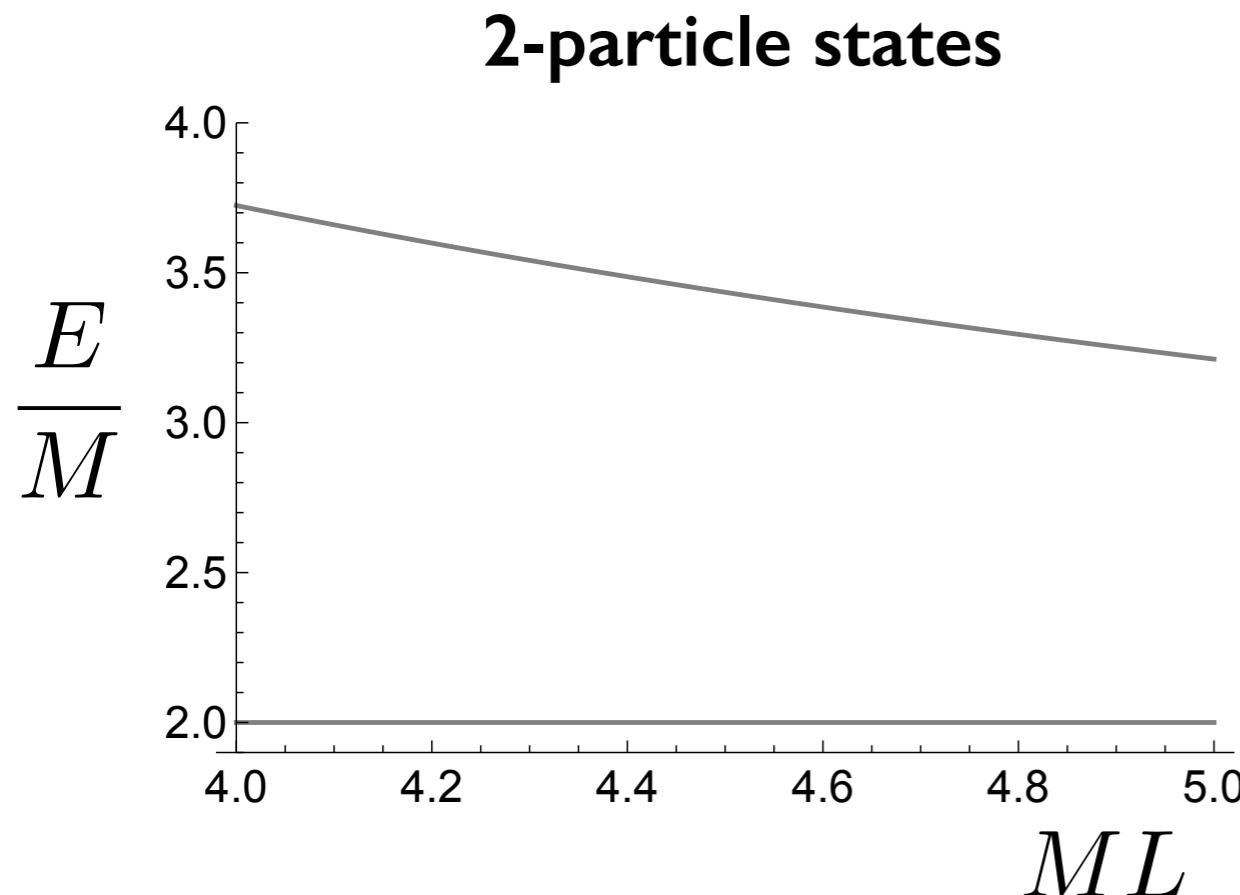
Briceño, MTH, Sharpe (2017)

- Recent review for *Annual Review of Nuclear and Particle Physics*

MTH, Sharpe (2019) [1901.00483]

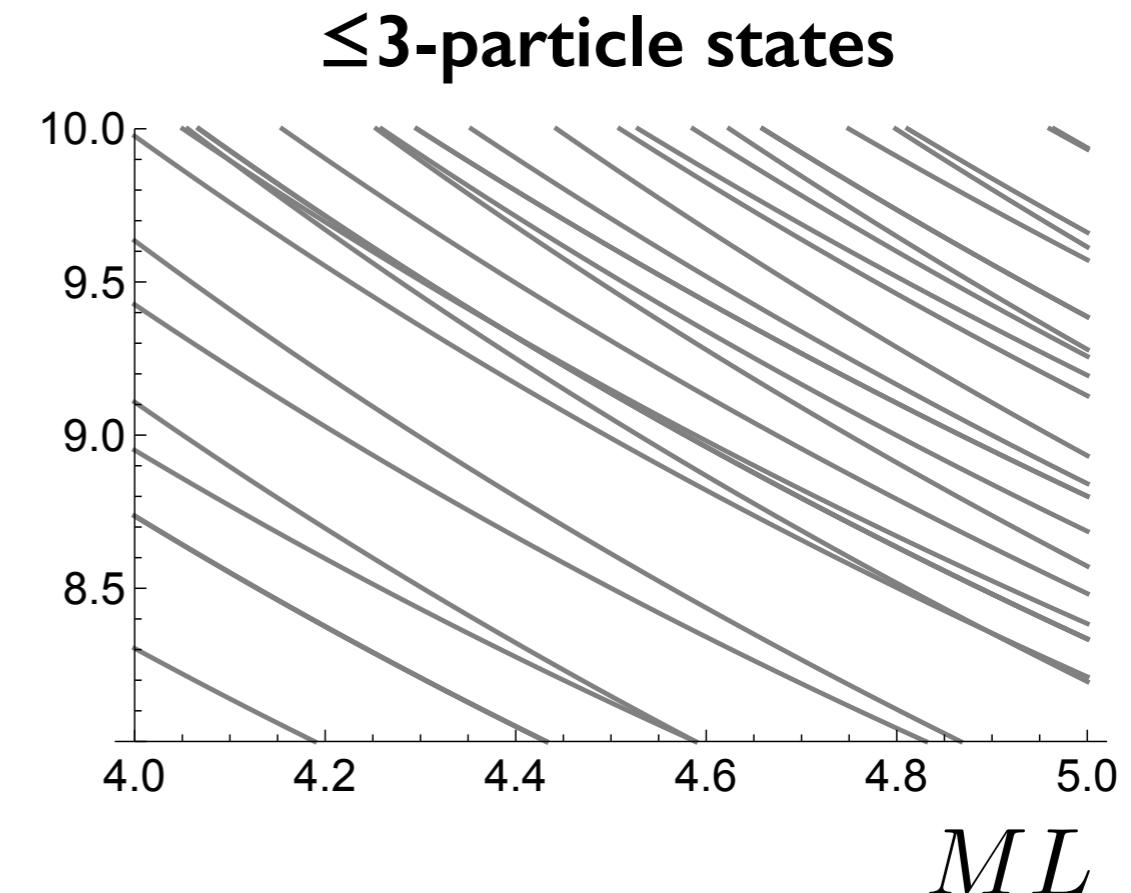
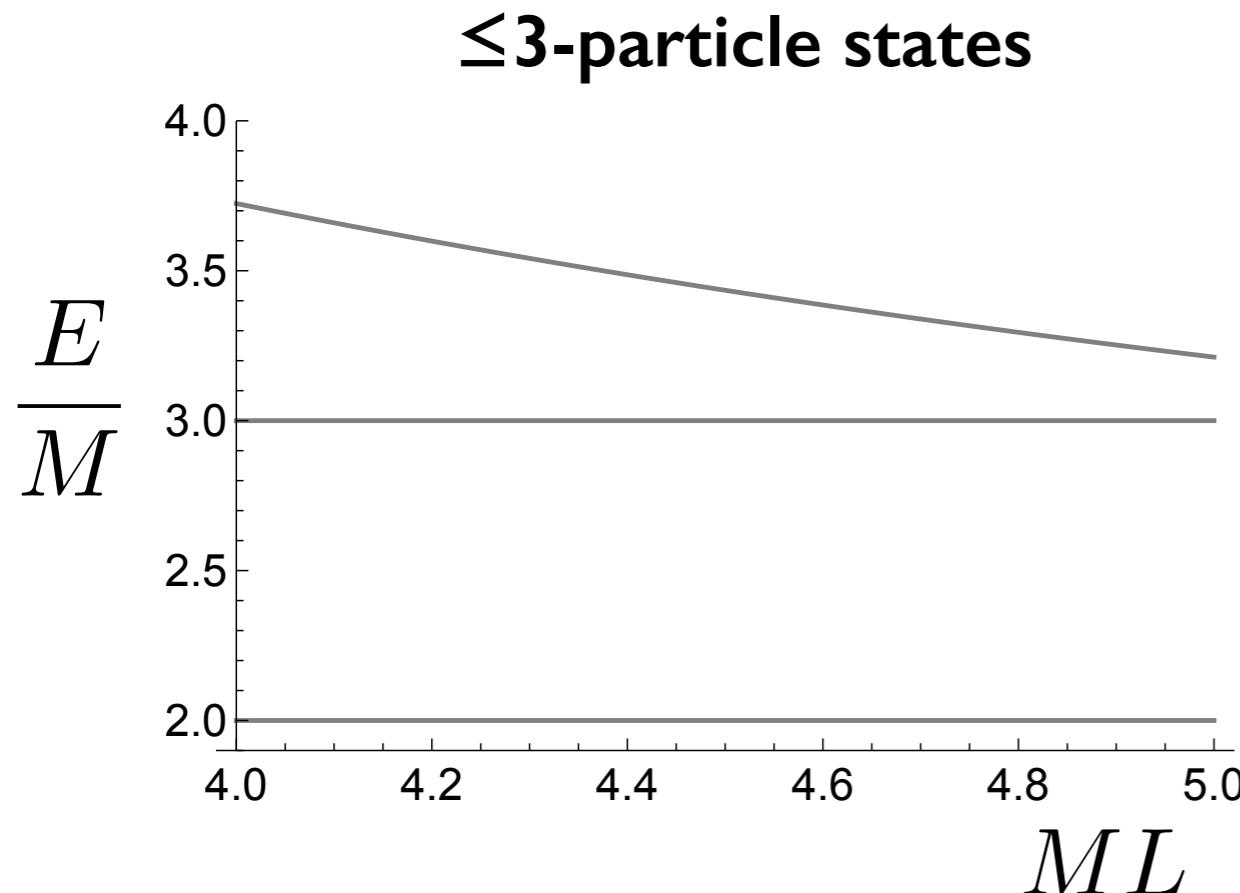
Towards a new paradigm...

- Assume we work out general finite-volume relations:  
Application will be challenging due to growing **density of states**



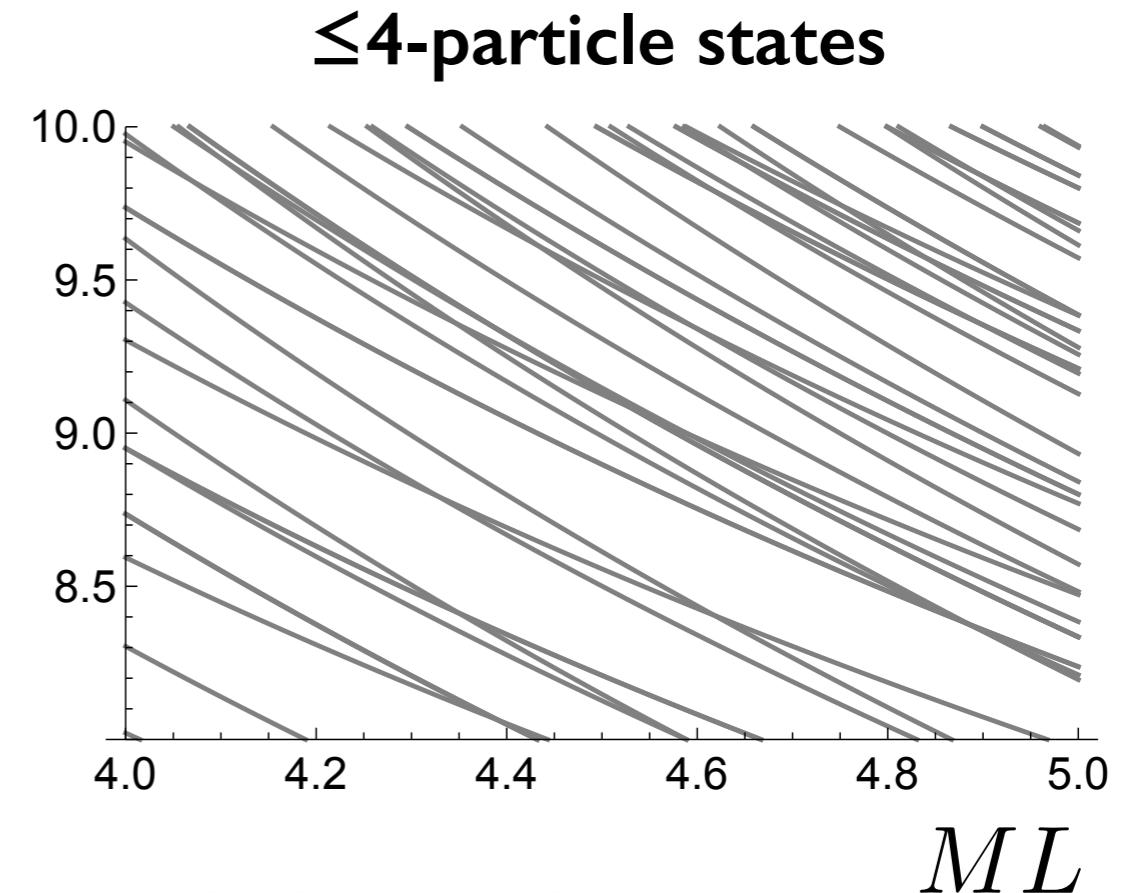
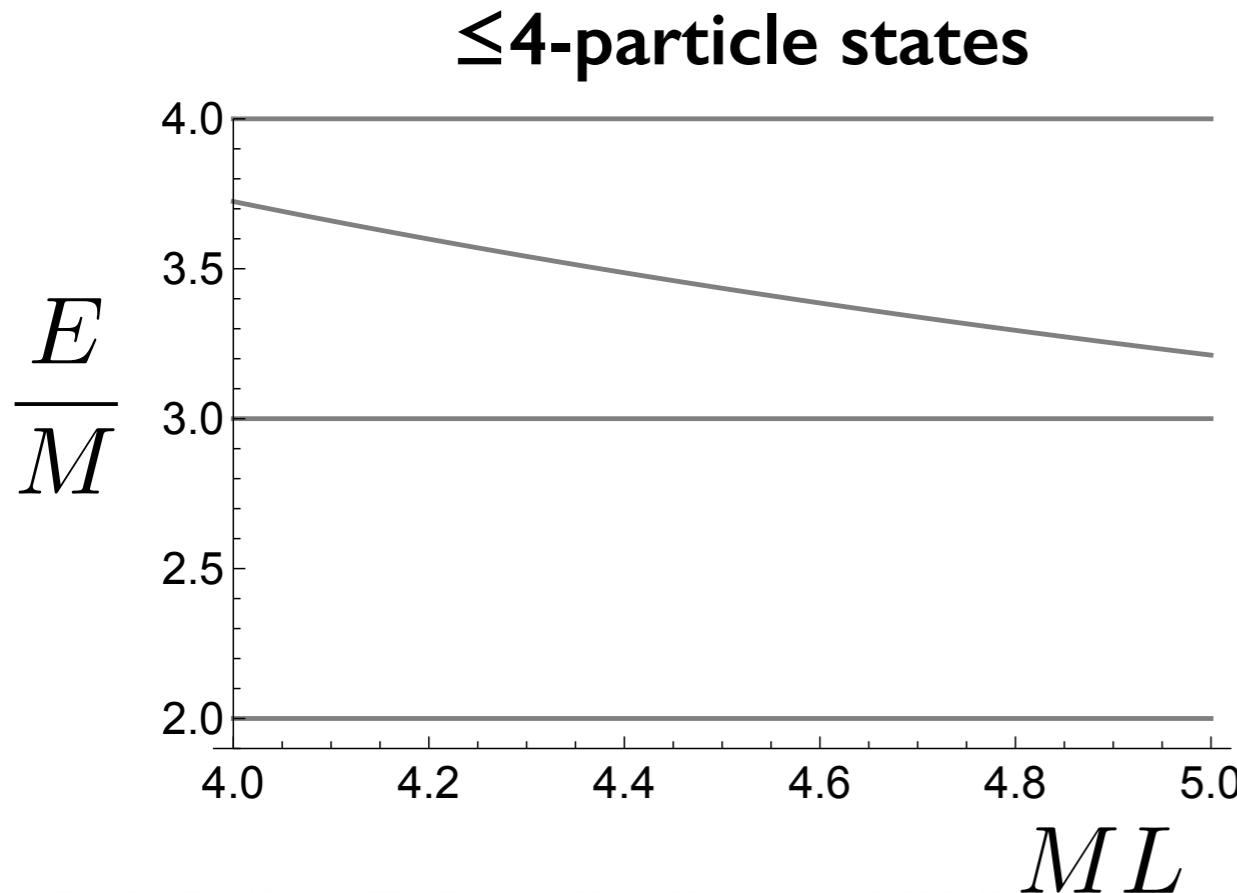
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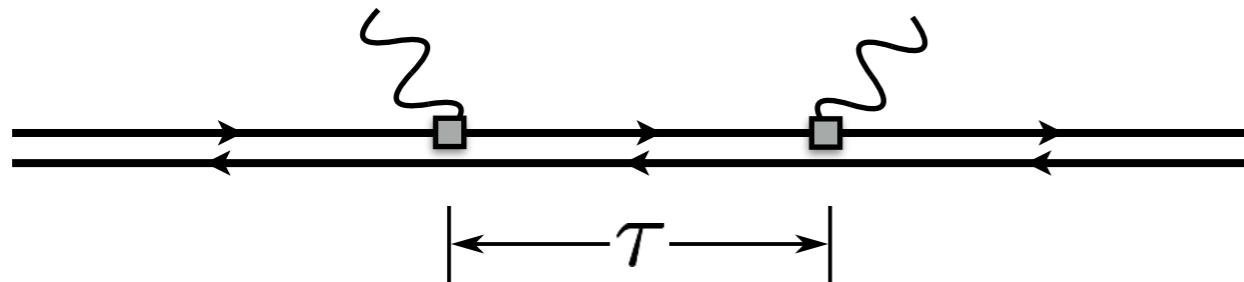


$$E_n(L) \longleftarrow$$

Contains information about all  
open channels with given QCD       $\pi\pi, \pi\pi\pi\pi, K\bar{K}, \dots$   
quantum numbers

## Scattering via spectral functions

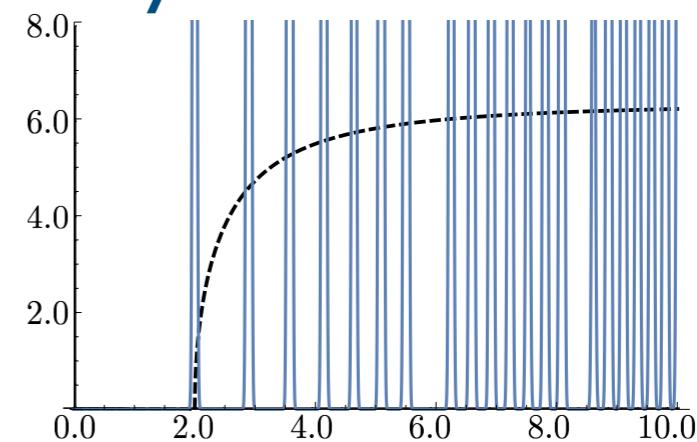
- Recently proposed new methods of extracting scattering via ‘smeared’ finite-volume spectral functions



$$G_L(\tau) = \int d\omega e^{-\omega\tau} \rho_L(\omega)$$

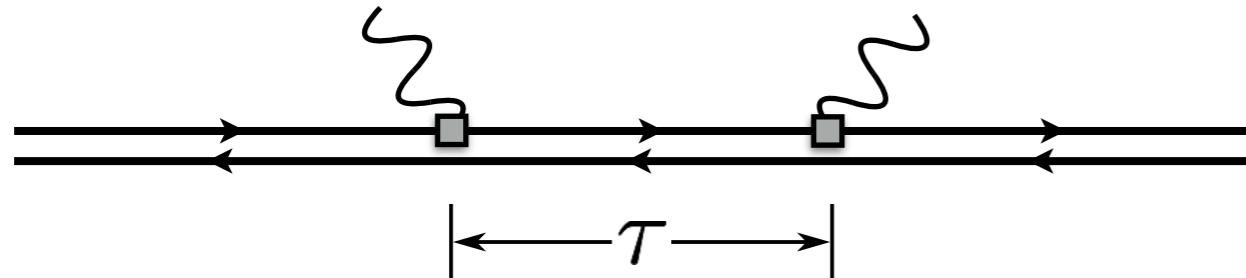
spectral function appears in an ill-posed inverse problem

spectral function is dominated by volume effects



## Scattering via spectral functions

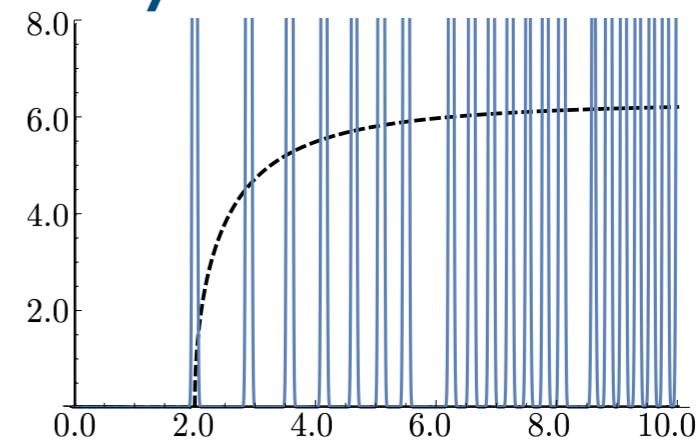
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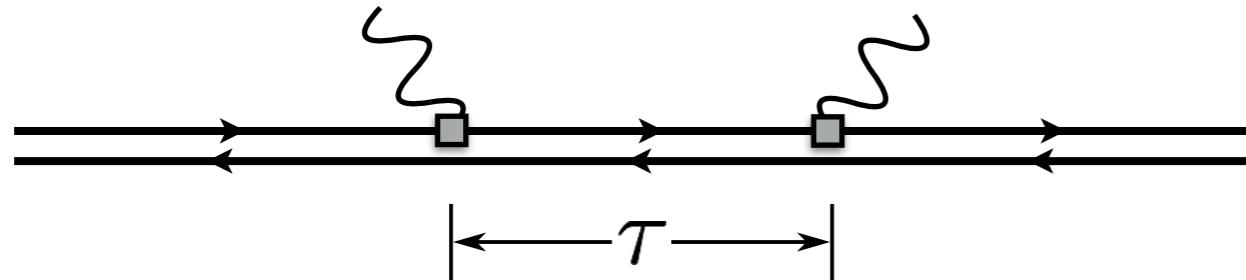


- Both issues regulated by reducing resolution  
(e.g. Backus-Gilbert algorithm)

$$\hat{\rho}_{L,\Delta}(\omega) \equiv \int d\omega' \delta_{\Delta}(\omega', \omega) \rho_L(\omega)$$

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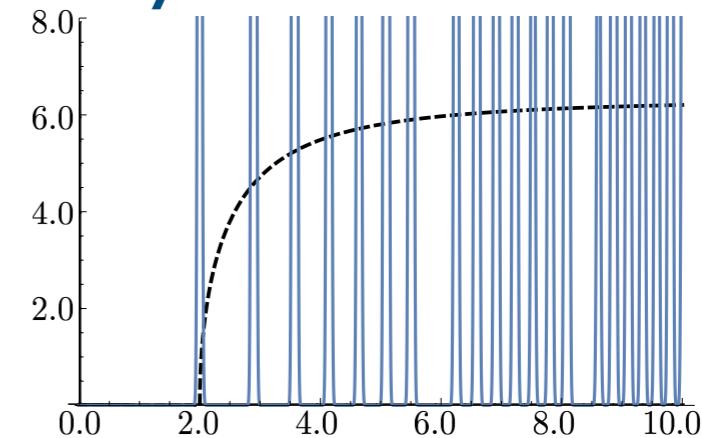
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$$\hat{\rho}_{L,\Delta}(\omega) \equiv \int d\omega' \delta_{\Delta}(\omega', \omega) \rho_L(\omega)$$

- Observable is recovered by:

Saturating  $L \rightarrow \infty$  at fixed  $\Delta$ , then extrapolating  $\Delta \rightarrow 0$

Narrow resolution function:

Total production rates, particle lifetimes

MTH, Robaina, Meyer (2017)

Complex pole resolution function:

Scattering + transition amplitudes

Bulava, MTH, (2019)

## Perturbative exploration

- **Complex-pole kernel implements the standard  $i\epsilon$  prescription (LSZ)**

$$\hat{\rho}_{L,\epsilon}(E_{\text{cm}}) \equiv \int d\omega \, \hat{\delta}_\epsilon(E_{\text{cm}}, \omega) \, \rho_L(\omega)$$

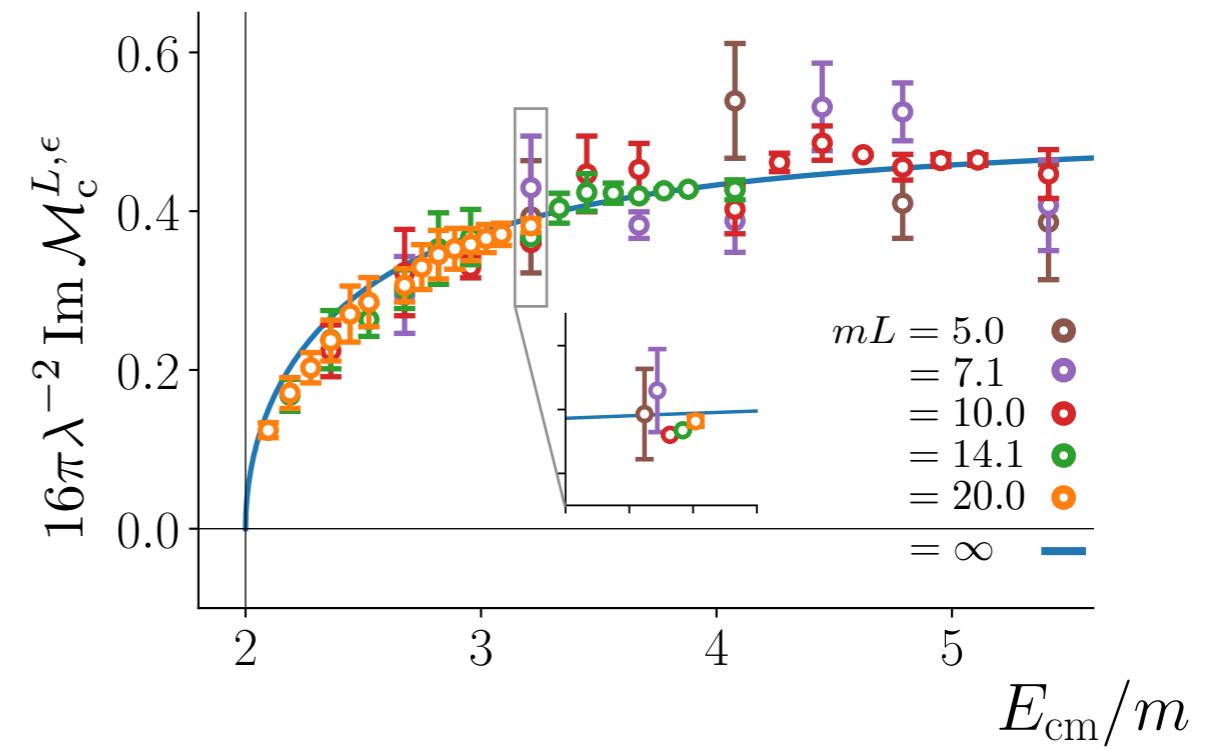
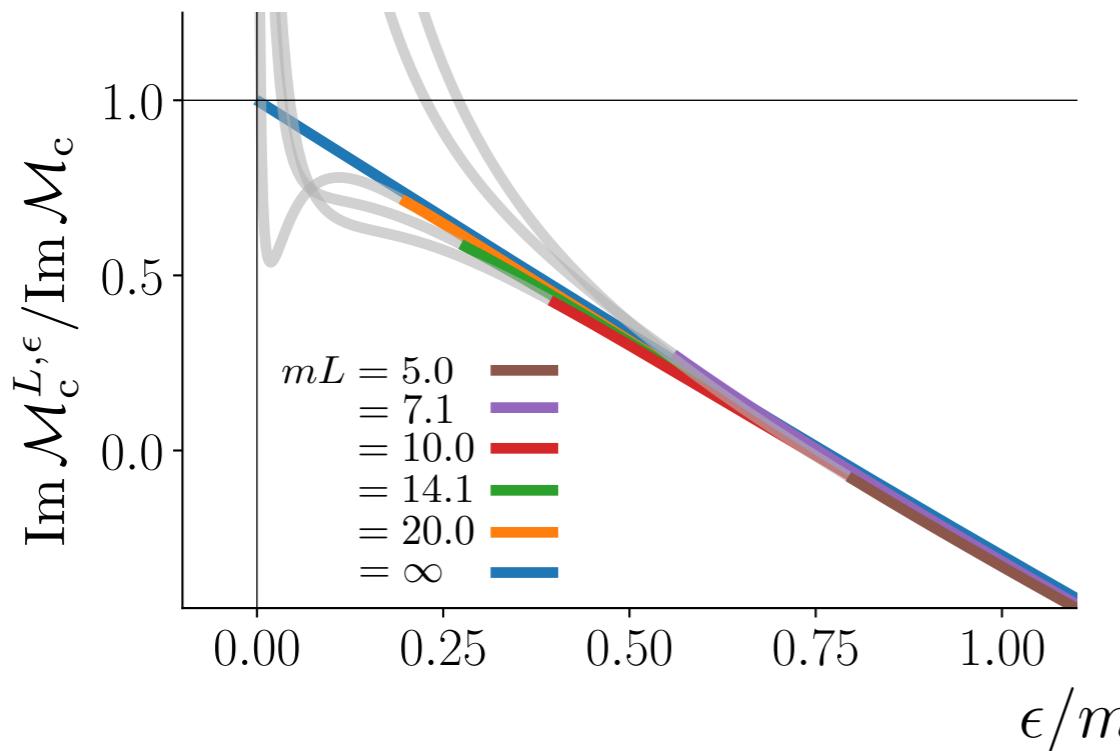
- **Formally viable for any multi-particle scattering, at any energy**

# Perturbative exploration

- Complex-pole kernel implements the standard  $i\epsilon$  prescription (LSZ)

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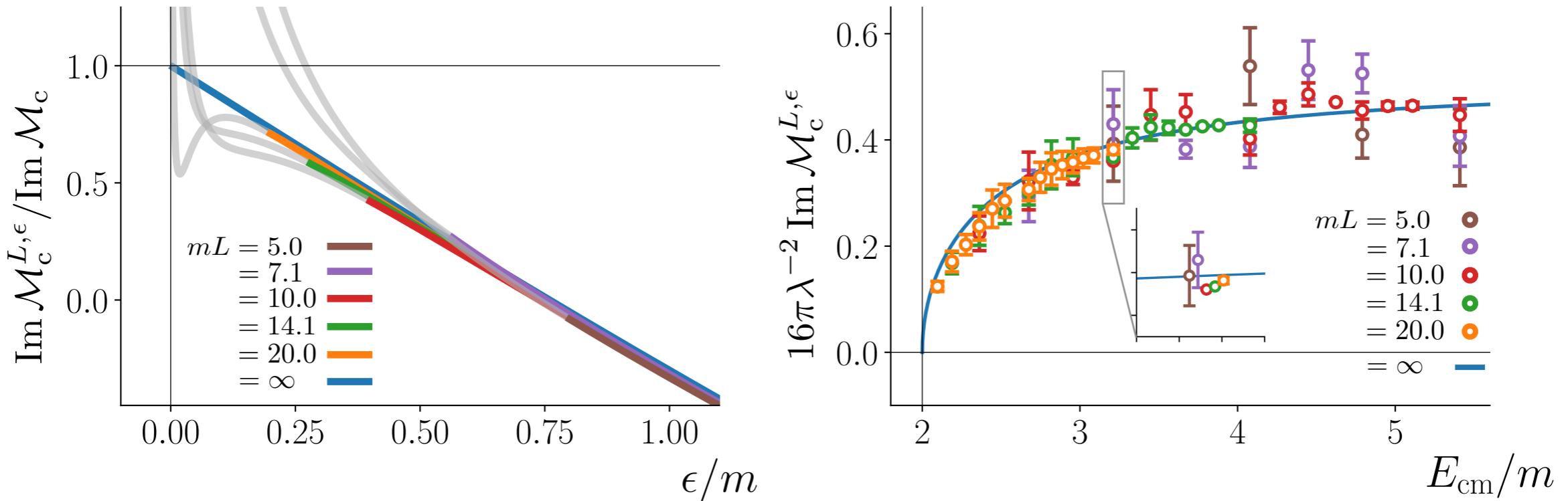
Bulava, MTH, (2019) [1903.11735]

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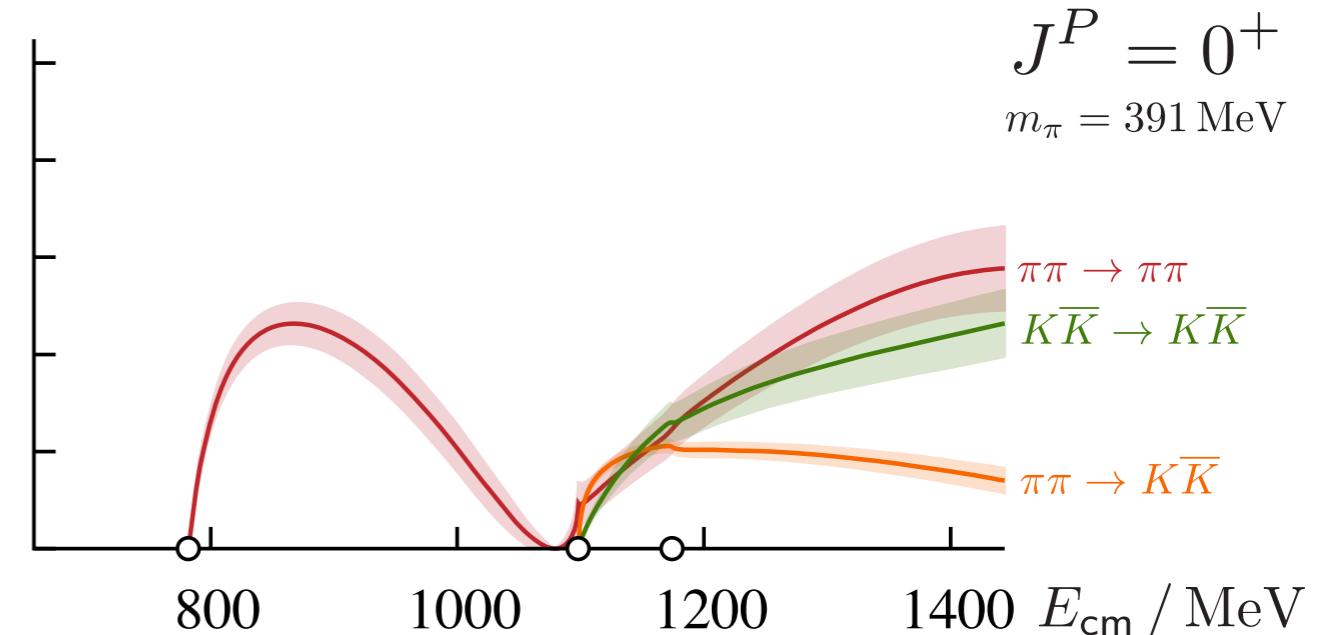
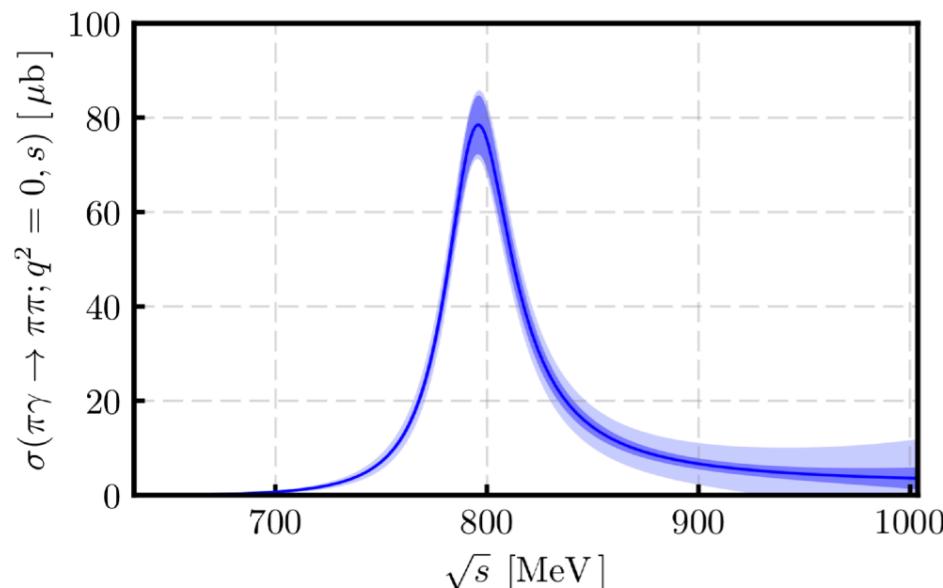


Bulava, MTH, (2019) [1903.11735]

- Directly applicable to  $D \rightarrow \pi\pi, KK$
- But significant challenges remain:  
n-point functions, extracting  $\rho$ , saturating limits

# Take home messages...

- The finite volume is an asset (interactions leave imprint on  $E(L)$ )



- At high energies, many multi-particle channels open up

- Challenging finite-volume formalism, but seems achievable
- Finite-volume energies feel the entire S-matrix... difficult to disentangle
- Many channels = central issue for lattice D decays

- Spectral-function-based methods are complimentary
- High precision Euclidean 4-pt functions  $\Rightarrow D \rightarrow \pi\pi, K\bar{K}$



# **Backup Slides**

# Inverse problem

- The task is thus to identify an optimal linear combination...

$$q_1(E)e^{-E'a_\tau} + q_2(E)e^{-E'2a_\tau} + q_3(E)e^{-E'3a_\tau} + \dots = \hat{\delta}_\Delta(E', E)$$

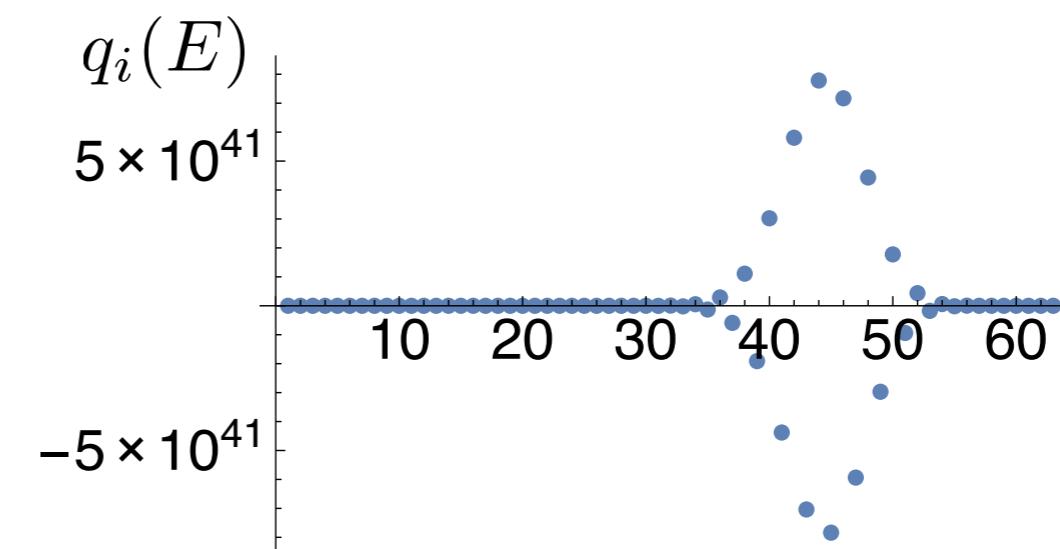
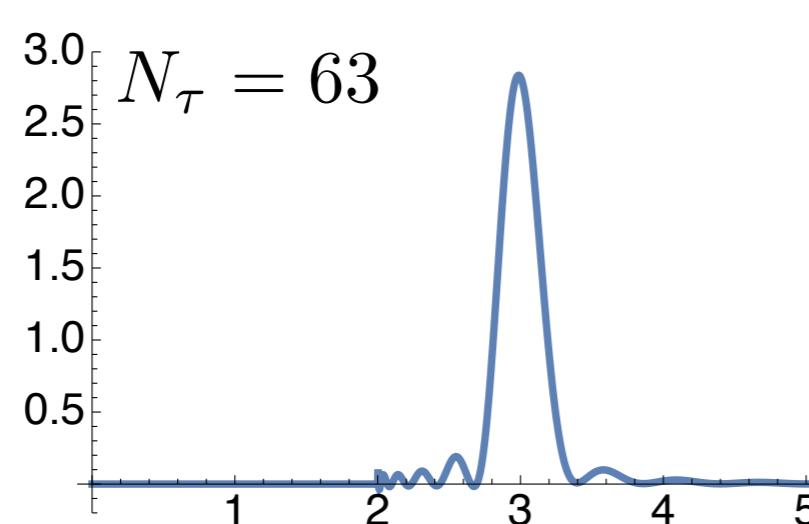
- leading to a smeared out version of the spectral function

$$2\pi \sum_n q_n(E) G(na_\tau, L) = \int dE' \hat{\delta}_\Delta(E', E) \rho(E', L) \equiv \hat{\rho}_\Delta(E, L)$$

Optimal choice depends on target precision and competition of scales

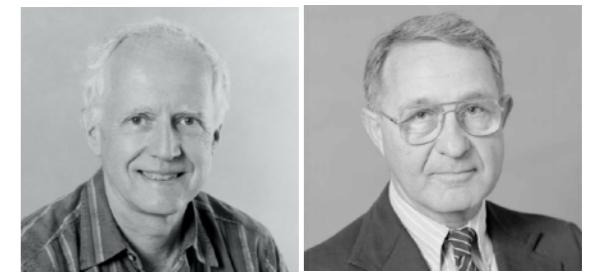
$$1/L \ll \Delta \ll M_{\text{QCD}}$$

- An example of what *not* to do...



# Backus-Gilbert method

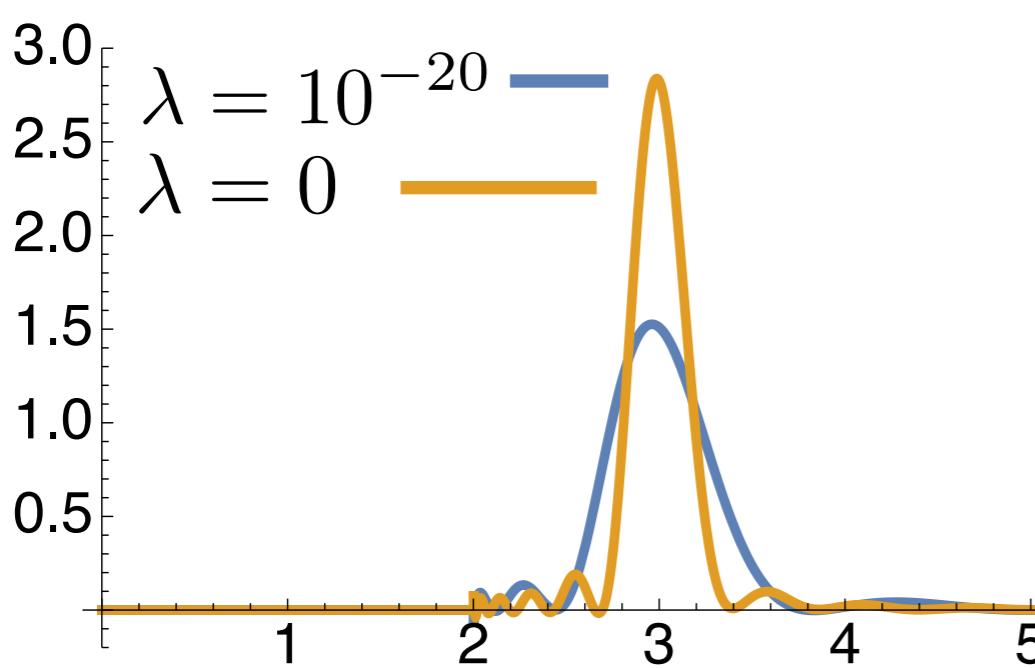
- Developed by geophysicists in 1967
- Linear, model-independent approach
- Spectral function smeared with a known resolution function
- The covariance matrix is used to stabilize the inverse



optimal coefficients

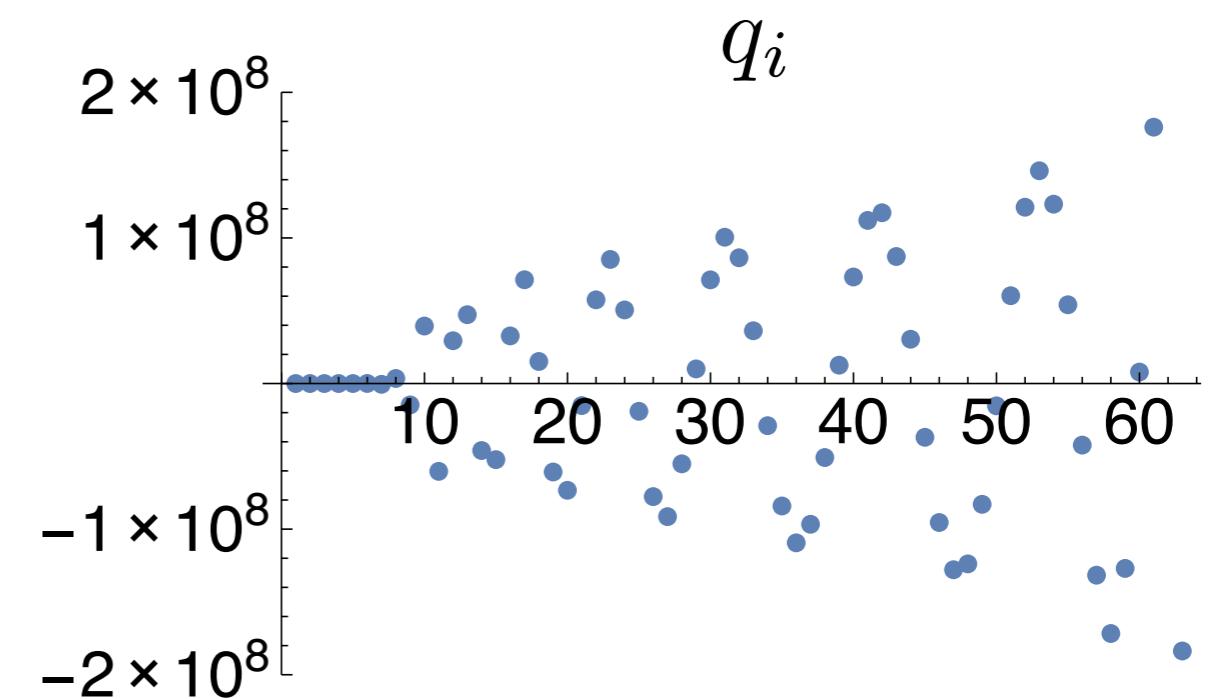
$$q_i(E) = \frac{[W(E) + \lambda S]^{-1} \cdot R}{R \cdot [W(E) + \lambda S]^{-1} \cdot R}$$

covariance

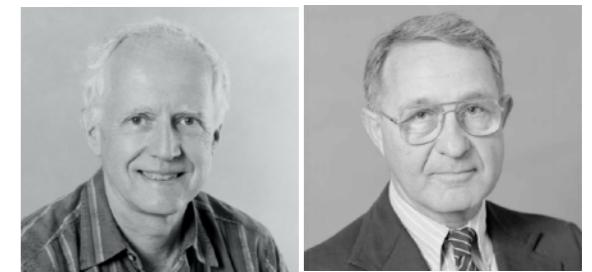


resolution function

$$\sum_i e^{-E\tau_i} q_i(E') = \hat{\delta}_\Delta(E - E')$$



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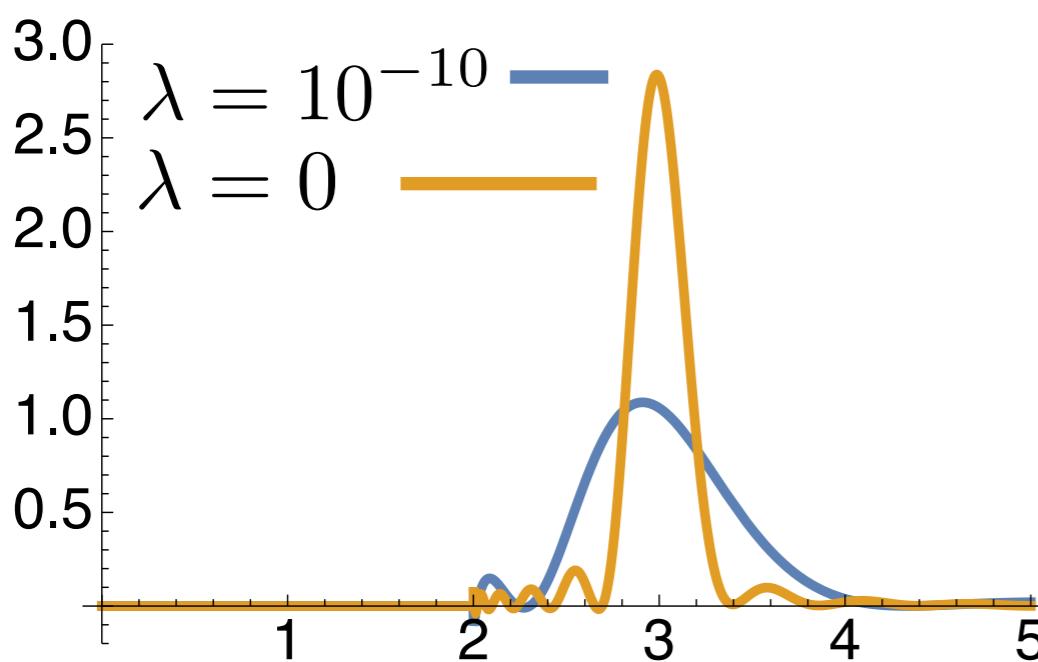


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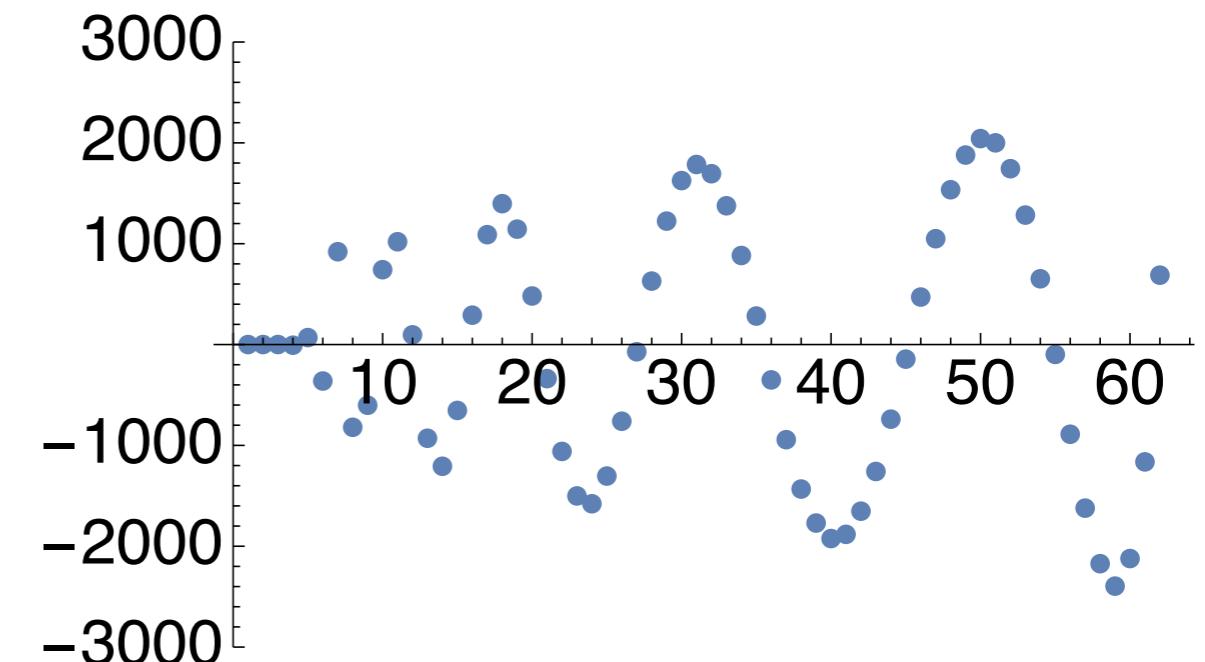
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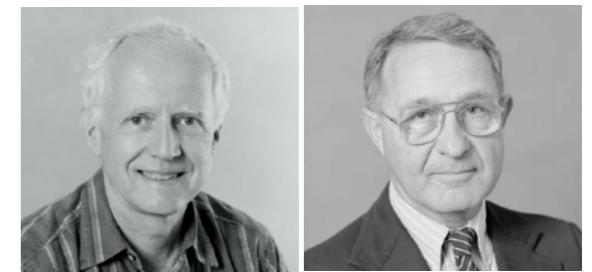
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$q_i$



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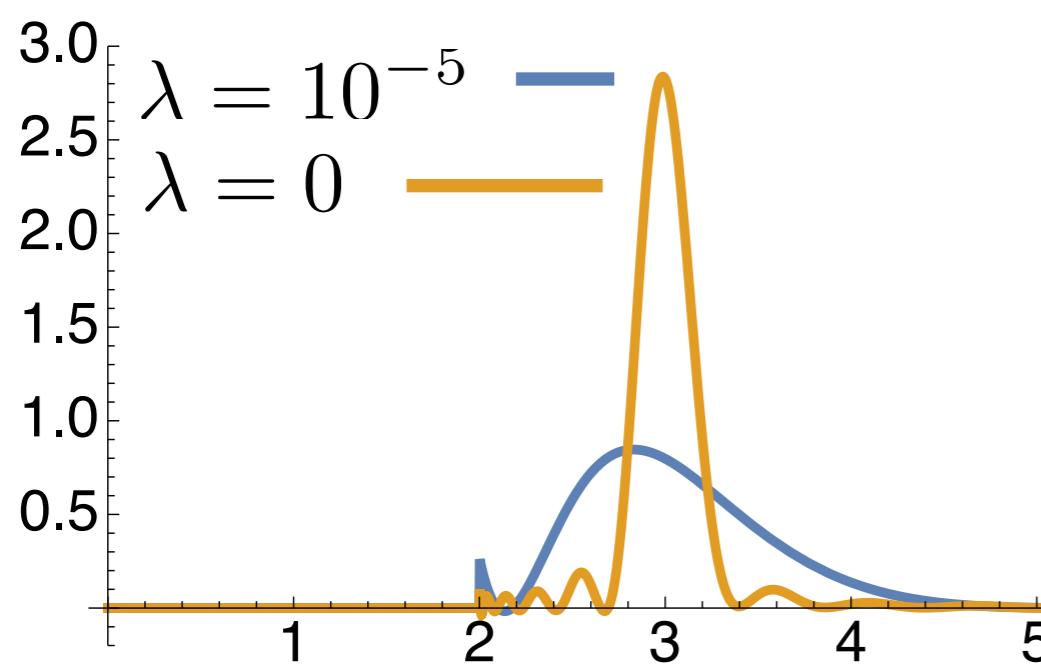
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