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# Towards the ultimate precision in $V_{cb}$ and $V_{ub}$

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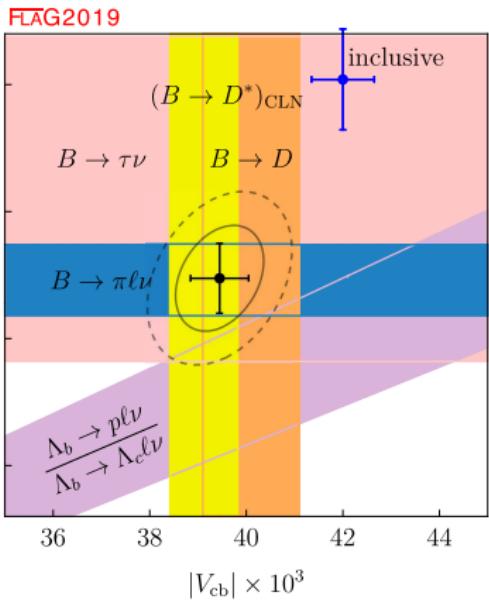
Keri Vos



**DFG** FOR 1873



# Inclusive versus Exclusive decays



$|V_{cb}|$

- Exclusive  $B \rightarrow D^{(*)} \ell \bar{\nu}$
- Inclusive  $B \rightarrow X_c \ell \nu$

$|V_{ub}|$

- Exclusive  $B \rightarrow \pi \ell \nu (B \rightarrow \tau \nu)$
- Inclusive  $B \rightarrow X_u \ell \nu$

$|V_{ub}|/|V_{cb}|$

- First determination in baryons

$$\frac{\mathcal{B}(\Lambda_b \rightarrow p \ell \nu)}{\mathcal{B}(\Lambda_b \rightarrow \Lambda_c \ell \nu)} = (1.5 \pm 0.1) \left| \frac{V_{ub}}{V_{cb}} \right|^2$$

LHCb'18; Detmold, Lehner, Meinel'15

# Exclusive $V_{ub}$

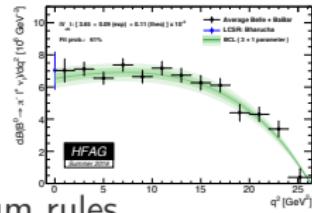
## Exclusive $B \rightarrow \pi \ell \nu$

Bourrely, Caprini, Lellouch, PRD79, 013008 (2009); Bharucha, JHEP 1205 (2012)

$$\frac{d\mathcal{B}(B \rightarrow \pi \ell \nu)}{dq^2} = \frac{G_F^2 \tau_B}{24\pi^3} |V_{ub}|^2 p_\pi^3 |f_+^{B\pi}(q^2)|^2$$

- Only one form factor required
- Combined inputs from Lattice QCD (BCL) and QCD sum rules

$$|V_{ub}|_{\text{excl}} = (3.70 \pm 0.16) \times 10^{-3} \quad \text{PDG'18}$$



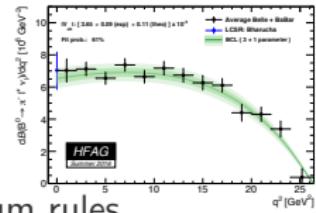
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## Other probes:

- $B_s \rightarrow K \ell \nu$ 
  - Form factors available in QCD sum rules Khodjamirian, Rusov, JHEP 08 (2017) 112
  - on the Lattice Fermilab/MILC [1901.02561]
- Pure leptonic  $B \rightarrow \tau \nu$

$B \rightarrow D^* \ell \nu$

Caprini, Lellouch, Neubert (1998); Boyd, Grinstein, Lebed (1997)

$$\frac{d\Gamma}{dw} = \frac{G_F^2 m_B^5}{48\pi^3} |V_{cb}|^2 (w^2 - 1)^{1/2} P(w) (\eta_{ew} F(w))^2 \text{ with } w = v_B \cdot v_D$$

- Form factor  $F(w=1)$  computed on the lattice
- Extrapolation to zero-recoil point necessary
- Different parameterization; BGL and CLN
- CLN relies on HQET relations between form factors to reduce parameters
- CLN has limited flexibility of the form factor slope
- Recent preliminary data from Belle allows for analysis using BGL Belle [1702.01521]

Grinstein, Kobach, PLB 771 359 (2017)

Bigi, Gambino, Schacht, PLB 769 441 (2017).

HFLAV'17

$$|V_{cb}|_{\text{excl, CLN}} = (39.2 \pm 0.7) \times 10^{-3} \quad |V_{cb}|_{\text{excl, BGL, } D^*} = (41.7 \pm 2.0) \times 10^{-3}$$

Healey, Turczyk, Gambino PLB 763 (2016) 60

$$|V_{cb}|_{\text{incl}} = (42.0 \pm 0.6) \times 10^{-3}$$

## $B \rightarrow D \ell \nu$

FNAL/MILC, HPQCD

- Lattice form factors available at different kinematical points
- Latest Belle analysis uses both BGL and CLN [Belle \[1510.03657\]](#)
- BGL results closer to inclusive than CLN

[Bigi, Gambino, Phys. Rev. D94 \(2016\) 094008](#)

$$|V_{cb}|_{\text{excl, Global Fit}, D} = (40.5 \pm 1.0) \times 10^{-3}$$

## Towards the Ultimate Precision:

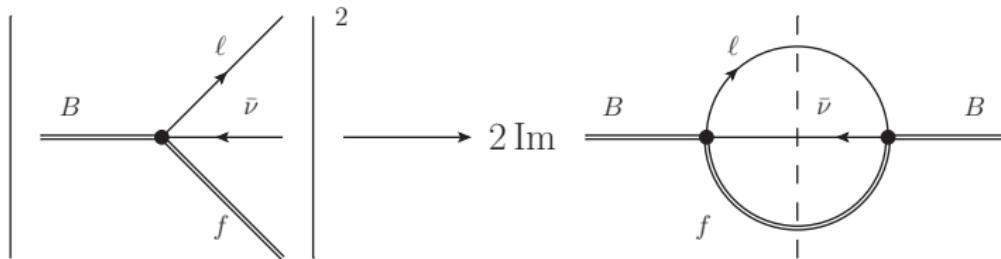
- Both BGL and CLN parameterization require more studies  
see e.g. Bernlochner, Ligeti, Robinson [\[1902.09553\]](#); Straub, Jung, JHEP 1901 (2019) 009
  - Crucial to understand difference between CLN/BGL better
  - Requires higher-order corrections in CLN
- Lattice  $B \rightarrow D^*$  away from zero recoil in progress  
[Aviles-Casco, DeTar, Du, El-Khadra, Kronfeld, Laiho, Van de Water \[1710.09817\]](#)
- More data necessary

Stay Tuned

## Inclusive $B$ decays

# Inclusive $B$ decays: Setting up the OPE

Chay, Georgi, Bigi, Shifman, Uraltsev, Vainstain, Manohar, Wise, Neubert, Mannel, . . .

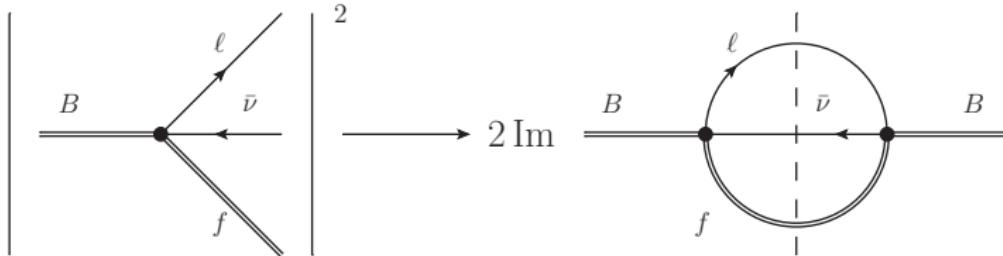


## Optical Theorem

$$\sum_X |\langle X | \mathcal{H}_{\text{eff}} | B(v) \rangle|^2 = 2 \operatorname{Im} \int d^4x e^{-iq \cdot x} \langle B(v) | T \left\{ \mathcal{H}_{\text{eff}}(x), \mathcal{H}_{\text{eff}}^\dagger(0) \right\} | B(v) \rangle$$

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## Heavy Quark Expansion

- Field-redefinition of the heavy field  $Q(x) = \exp(-im(v \cdot x)) Q_v(x)$
- Split the momentum  $p_b = m_b v + k$ , expand in  $k \sim iD Q_v$

# Setting up the OPE

Chay, Georgi, Bigi, Shifman, Uraltsev, Vainstain, Manohar, Wise, Neubert, Mannel, . . .

## Operator Product Expansion (OPE)

$$2 \operatorname{Im} \text{---} \begin{array}{c} \text{---} \\ | \\ \ell \\ | \\ \text{---} \\ \bar{\nu} \\ | \\ \text{---} \\ f \\ | \end{array} \text{---} = \sum_{n,i} \frac{C_i^{(n)}(\mu, \alpha_s)}{m_b^i} \langle B | \mathcal{O}_i^{(n)} | B \rangle_\mu$$

- $\mathcal{C}_i(\mu)$ : short distance, perturbative coefficients
- $\mathcal{O}_i$ : operators of dimension  $n + 3$ , contain chains of covariant derivatives
- $\langle B | \mathcal{O}_i | B \rangle_\mu$ : non-perturbative forward matrix elements of local operators

# Non-perturbative matrix elements

$$\Gamma = \Gamma_0 + \frac{1}{m_b} \Gamma_1 + \frac{1}{m_b^2} \Gamma_2 + \frac{1}{m_b^3} \Gamma_3 \dots$$

$\Gamma_i$  are power series in  $\mathcal{O}(\alpha_s)$

- $\Gamma_0$ : decay of the free quark (partonic contributions),  $\Gamma_1 = 0$
- $\Gamma_2$ :  $\mu_\pi^2$  kinetic term and the  $\mu_G^2$  chromomagnetic moment

$$2M_B\mu_\pi^2 = -\langle B|\bar{b}_v iD_\mu iD^\mu b_v|B\rangle$$

$$2M_B\mu_G^2 = \langle B|\bar{b}_v (-i\sigma^{\mu\nu}) iD_\mu iD_\nu b_v|B\rangle$$

- $\Gamma_3$ :  $\rho_D^3$  Darwin term and  $\rho_{LS}^3$  spin-orbit term

$$2M_B\rho_D^3 = \frac{1}{2} \langle B|\bar{b}_v [iD_\mu, [ivD, iD^\mu]] b_v|B\rangle$$

$$2M_B\rho_{LS}^3 = \frac{1}{2} \langle B|\bar{b}_v \{iD_\mu, [ivD, iD_\nu]\} (-i\sigma^{\mu\nu}) b_v|B\rangle$$

- $\Gamma_4$ : 9 parameters Mannel, Turczyk, Uraltsev, JHEP 1010 (2011) 109
- $\Gamma_5$ : 18 parameters Mannel, Turczyk, Uraltsev, JHEP 1010 (2011) 109

Gambino, Schwanda, PRD 89 (2014) 014022; Alberti, Gambino et al, PRL 114 (2015) 061802

$$\Gamma \propto |V_{cb}|^2 m_b^5 \left[ \Gamma_0 + \Gamma_0^{(1)} \frac{\alpha_s}{\pi} + \Gamma_0^{(2)} \left( \frac{\alpha_s}{\pi} \right)^2 + \frac{\mu_\pi^2}{m_b^2} \left( \Gamma^{(\pi,0)} + \frac{\alpha_s}{\pi} \Gamma^{(\pi,1)} \right) \right. \\ \left. + \frac{\mu_G^2}{m_b^2} \left( \Gamma^{(G,0)} + \frac{\alpha_s}{\pi} \Gamma^{(G,1)} \right) + \frac{\rho_D^3}{m_b^3} \Gamma^{(D,0)} + \mathcal{O} \left( \frac{1}{m_b^4} \right) \dots \right]$$

- Both **hadronic matrix elements** and  $|V_{cb}|$  extracted from moments of differential rates and total rate
- Proliferation of non-perturbative matrix elements
  - 4 up to  $1/m_b^3$
  - 13 up to  $1/m_b^4$  Dassinger, Mannel, Turczyk, JHEP 0703 (2007) 087
  - 31 up to  $1/m_b^5$  Mannel, Turczyk, Uraltsev, JHEP 1011 (2010) 109
- How to include power corrections?

# Theory guidance to include power corrections

## Lowest State Saturation Approximation (LSSA)

$$\langle B|O_1 O_2|B\rangle = \sum_n \langle B|O_1|n\rangle\langle n|O_2|B\rangle$$

$$\rho_D^3 = \varepsilon \mu_\pi^2, \quad \rho_{LS}^3 = -\varepsilon \mu_G^2, \quad \varepsilon \sim 0.4 \text{ GeV}$$

Mannel, Turczyk, Uraltsev JHEP 1011 (2010) 109; Heinonen, Mannel, NPB 889 (2014) 46

- LSSA estimated as priors (60% gaussian uncertainty)
- $\mathcal{O}(1/m_b^4, 1/m_b^5)$  can then be included in fit Healey, Turczyk, Gambino, PLB 763 (2016) 60

$$|V_{cb}|_{\text{incl}} = (42.00 \pm 0.64) \times 10^{-3}$$

- $-0.25\%$  shift due to power corrections

# Theory guidance to include power corrections

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$$|V_{cb}|_{\text{incl}} = (42.00 \pm 0.64) \times 10^{-3}$$

## Towards the Ultimate Precision in $|V_{cb}|$

- Include  $\alpha_s$  corrections to for  $\rho_D^3$  Mannel, Pivovarov [in progress]
- Full determination up to  $1/m_b^4$  from data possible?

## Alternative $|V_{cb}|$ determination

# Reparametrization invariance

Dugan, Golden, Grinstein, Chen, Luke, Manohar, Hill, Solon, Heinonen, Mannel, KKV

- Choice of  $v$  not unique, result independent of  $v$  (Lorentz invariance)
- Reparameterization Invariant (RPI) under an infinitesimal change

$$v_\mu \rightarrow v_\mu + \delta v_\mu : \quad \delta_{RP} v_\mu = \delta v_\mu \quad \delta_{RP} iD_\mu = -m_b \delta v_\mu$$

- Reparametrization invariance links different orders in  $1/m_b$ 
  - Gives exact relations between different orders
  - Resums towers of operators
  - Reduces the number of independent parameters

## The RPI relation:

$$\delta_{RP} C_{\mu_1 \dots \mu_n}^{(n)} = m_b \delta v^\alpha \left[ C_{\alpha \mu_1 \dots \mu_n}^{(n+1)} + C_{\mu_1 \alpha \mu_2 \dots \mu_n}^{(n+1)} + \dots + C_{\mu_1 \dots \mu_n \alpha}^{(n+1)} \right]$$

Mannel, KKV, JHEP 1806 (2018) 115

# Non-perturbative matrix elements

- 1:

- $2M_B\mu_3 = \langle B | \bar{b}_v b_v | B \rangle = 2M_B \left( 1 - \frac{\mu_\pi^2 - \mu_G^2}{2m_b} \right)$

Mannel, KKV, JHEP 1806 (2018) 115

- $1/m_b^2$ :

- $2M_B\mu_G^2 = \langle B | \bar{b}_v (-i\sigma^{\mu\nu}) iD_\mu iD_\nu b_v | B \rangle$

- $1/m_b^3$ :

- $2M_B\tilde{\rho}_D^3 = \frac{1}{2} \left\langle B | \bar{b}_v \left[ iD_\mu, \left[ \left( ivD + \frac{(iD)^2}{2m_b} \right), iD^\mu \right] \right] b_v | B \right\rangle$

- $1/m_b^4$ :

- $2M_B r_G^4 \equiv \langle B | \bar{b}_v [iD_\mu, iD_\nu] [iD^\mu, iD^\nu] b_v | B \rangle \propto \langle \vec{E}^2 - \vec{B}^2 \rangle$

- $2M_B r_E^4 \equiv \langle B | \bar{b}_v [ivD, iD_\mu] [ivD, iD^\mu] b_v | B \rangle \propto \langle \vec{E}^2 \rangle$

- $2M_B s_B^4 \equiv \langle B | \bar{b}_v [iD_\mu, iD_\alpha] [iD^\mu, iD_\beta] (-i\sigma^{\alpha\beta}) b_v | B \rangle \propto \langle \vec{\sigma} \cdot \vec{B} \times \vec{B} \rangle$

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- $2M_B s_{qB}^4 \equiv \langle B | \bar{b}_v [iD_\mu, [iD^\mu, [iD_\alpha, iD_\beta]]] (-i\sigma^{\alpha\beta}) b_v | B \rangle \propto \langle \square \vec{\sigma} \cdot \vec{B} \rangle .$

Up to  $1/m_b^4$ : 8 parameters (previously 13)

# Alternative $V_{cb}$ Determination

- Directly fit RPI reduced set of MEs using RPI observables

$$O = \int w(v, p_e, p_\nu) \langle \text{Im } T(S) \rangle L(p_e, p_\nu) d\Phi_3$$

- The observable  $O$  is RPI if  $\delta_{\text{RP}} w(v, p_e, p_\nu) = 0$

| $O$                             | $w(v, p_e, p_\nu)$ | RPI |
|---------------------------------|--------------------|-----|
| Total Rate                      | 1                  | ✓   |
| Moments charged lepton energy   | $(v \cdot p_e)^n$  | ✗   |
| Moments hadronic invariant mass | $(M_B v - q)^{2n}$ | ✗   |
| Moments leptonic invariant mass | $(q^2)^n$          | ✓   |

Fael, Mannel, KKV, JHEP 02 (2019) 177

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## New Method:

- $|V_{cb}|$  from  $\Gamma_{\text{tot}}$ ,  $\Delta Br(q_{\text{cut}}^2)$  and  $\langle (q^2)^n \rangle_{\text{cut}}$  up to  $1/m_b^4$
- Complementary and completely data driven  $V_{cb}$  determination
- $q^2$  moments not (yet) available

- Experimental cuts necessary to remove charm background
- Local OPE as in  $b \rightarrow c$  cannot work
- Switch to different set-up using light-cone OPE
- Introduce shape functions ( $\sim$  parton DAs in DIS)
- Information on leading shape function from  $B \rightarrow X_s \gamma$
- Different parameterizations of subleading shape functions BLNP, GGOU, ...

$$|V_{ub}|_{\text{incl}} = (4.5 \pm 0.3) \times 10^{-3} \quad \text{PDG'18}$$

- Inclusive determinations need to be scrutinized

## Towards the Ultimate Precision:

- Extract shape functions from global fit (SIMBA and NNVub)  
Gambino, Healey, Mondino [2016]; F.Tackmann, K.Tackmann, Ligeti, Bernlochner, Stewart
- Implementing higher-order corrections in parameterizations

# Weak Annihilation

Uraltsev, Bigi, Voloshin, Mannel, TurczykLigeti, Luke, Manohar, Phys. Rev.D82 (2010) 033003  
Gambino, Kamenik, Nucl.Phys.B840 (2010) 424

$$\mathcal{O}_V = (\bar{c}\gamma^\mu q)(\bar{q}\gamma_\mu c) \quad \mathcal{O}_S = (\bar{c}q)(\bar{q}c)$$

- Starting at  $\mathcal{O}(1/m_b^3)$
- Mainly contribute at end-point region
- Challenging to study non-perturbatively
- Can be obtained from  $D$  and  $D_s$  semileptonics using HQET
- Effect is  $(m_b/m_c)^3$  enhanced compared to  $B$  decays
- Studies using lepton energy spectrum from CLEO-c suggests [0912.4232]  
 $\sim$  few % contribution to  $B \rightarrow X_u \ell \nu$
- Related by RGE to  $\log(m_c/m_b)$  terms in  $B \rightarrow X_c \ell \nu$  Fael, Mannel, KKV [in progress]

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## Towards the Ultimate Precision:

- Very important to achieve precise  $B \rightarrow X_{d,s} \ell \ell$  predictions  
Hurth, Huber, Lunghi, Jenkins, Qin, KKV [in progress]

Interesting to further explore at BESIII

Inclusive  $|V_{cb}|$  and exclusive  $|V_{ub}|$  least disputed

## Towards the Ultimate Precision:

- New modes
- Perturbative corrections [in progress]
- Proliferation of non-perturbative matrix elements:  $q^2$  moments

Inclusive  $|V_{ub}|$  and exclusive  $|V_{cb}|$  require more studies

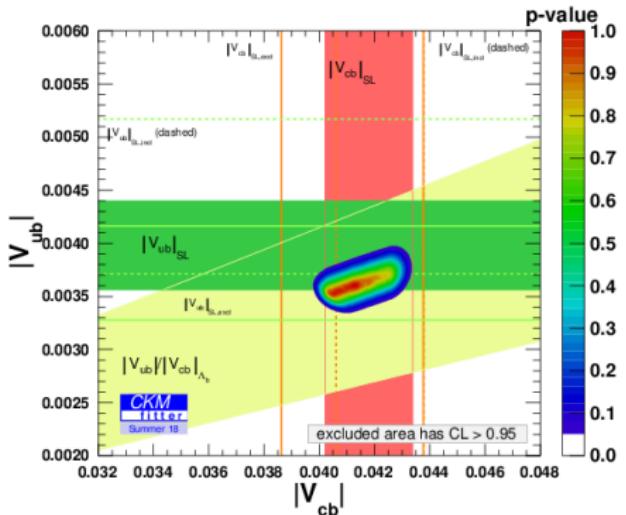
## Towards the Ultimate Precision:

- Lattice form factors for  $B \rightarrow D^*$  at different kinematic points
- Studies of Weak Annihilation in  $D$  and  $D_s$  semileptonics
- Implementing higher-order corrections in parameterizations

Thank you for your attention

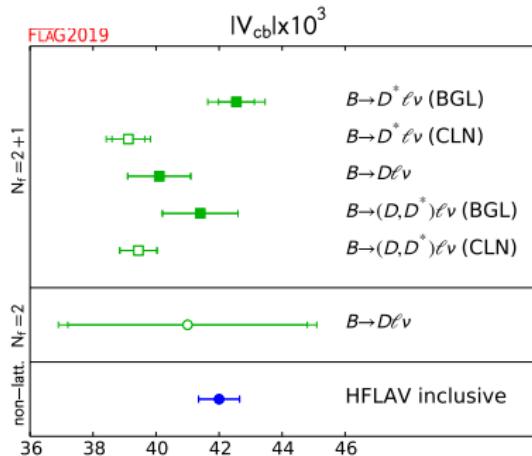
# Backup

# Inclusive versus Exclusive $V_{cb}$



- Both make use of Heavy Quark Expansion (HQE)
- Different parametrizations for exclusive (BGL and CLN)

# Inclusive versus Exclusive $V_{cb}$



- Both make use of Heavy Quark Expansion (HQE)
- Different parametrizations for exclusive (BGL and CLN)

$$\begin{aligned}\Gamma = & \frac{G_F^2 m_b^5}{192\pi^3} |V_{cb}|^2 \left[ \mu_3 - 2 \frac{\mu_G^2}{m_b^2} + \left( \frac{34}{3} + 8 \log \rho \right) \frac{\tilde{\rho}_D^3}{m_b^2} \right. \\ & + \frac{16}{9} (4 + 3 \log \rho) \frac{r_G^4}{m_b^4} - \frac{16}{9} (1 + 3 \log \rho) \frac{r_E^4}{m_b^4} - \frac{2}{3} \frac{s_B^4}{m_b^4} \\ & \left. + \left( \frac{50}{9} + \frac{8}{3} \log \rho \right) \frac{s_E^4}{m_b^4} - \left( \frac{25}{36} + \frac{1}{3} \log \rho \right) \frac{s_{qb}^4}{m_b^4} + O\left(\rho, \frac{1}{m_b^5}\right) \right]\end{aligned}$$

with  $\rho = m_c^2/m_b^2$

- Ratio between the rate with and without a cut

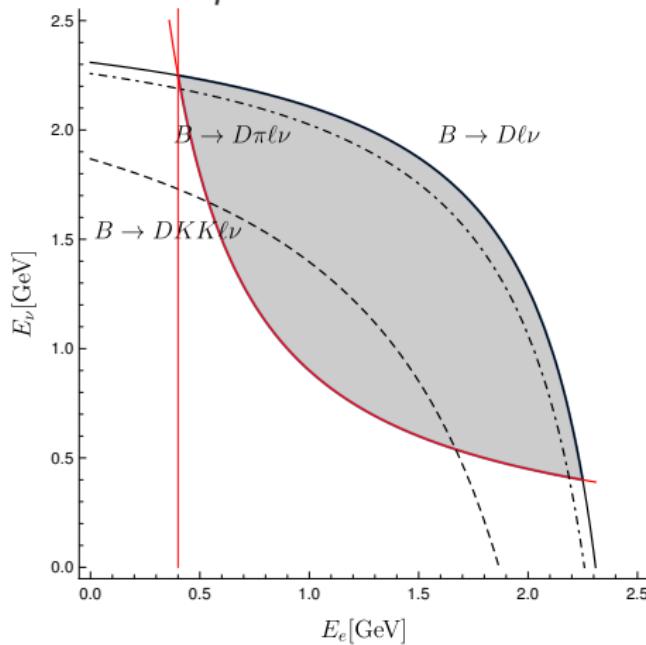
$$R^*(q_{\text{cut}}^2) = \left. \int_{q^2 > q_{\text{cut}}^2} dq^2 \frac{d\Gamma}{dq^2} \right/ \int_0 dq^2 \frac{d\Gamma}{dq^2}$$

- $q^2$  moments

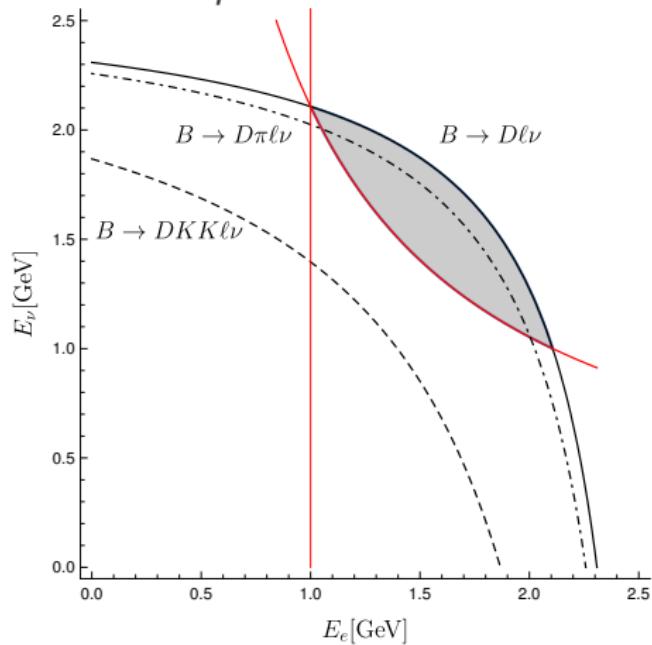
$$\langle (q^2)^n \rangle_{\text{cut}} = \left. \int_{q^2 > q_{\text{cut}}^2} dq^2 (q^2)^n \frac{d\Gamma}{dq^2} \right/ \int_{q^2 > q_{\text{cut}}^2} dq^2 \frac{d\Gamma}{dq^2}$$

Fael, Mannel, KKV, JHEP 02 (2019) 177

$q^2 > 3.6 \text{ GeV}^2$



$q^2 > 8.4 \text{ GeV}^2$



# Reparametrization invariance

Total rate at tree level

Mannel, KKV, JHEP 1806 (2018) 115

$$R = \sum_{n=0}^{\infty} C_{\mu_1 \dots \mu_n}^{(n)}(\nu) \otimes \bar{Q}_\nu(iD_{\mu_1} \dots iD_{\mu_n}) Q_\nu$$

$$\begin{aligned} \delta_{\text{RP}} R = 0 &= \sum_{n=0}^{\infty} \left[ \delta_{\text{RP}} C_{\mu_1 \dots \mu_n}^{(n)} \right] \bar{Q}_\nu(iD^{\mu_1} \dots iD^{\mu_n}) Q_\nu \\ &\quad + \sum_{n=0}^{\infty} C_{\mu_1 \dots \mu_n}^{(n)} \left[ \delta_{\text{RP}} \bar{Q}_\nu(iD^{\mu_1} \dots iD^{\mu_n}) Q_\nu \right] \end{aligned}$$

The RPI relation:

$$\delta_{\text{RP}} C_{\mu_1 \dots \mu_n}^{(n)} = m_b \delta \nu^\alpha \left[ C_{\alpha \mu_1 \dots \mu_n}^{(n+1)} + C_{\mu_1 \alpha \mu_2 \dots \mu_n}^{(n+1)} + \dots + C_{\mu_1 \dots \mu_n \alpha}^{(n+1)} \right]$$