



Beam blowup due to synchro-beta resonance with/without beam-beam effects

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Many thanks to M. Benedikt, K. Ohmi, D. Shatilov, D. Zhou, F. Zimmermann, and the entire FCC-ee Collaboration Team

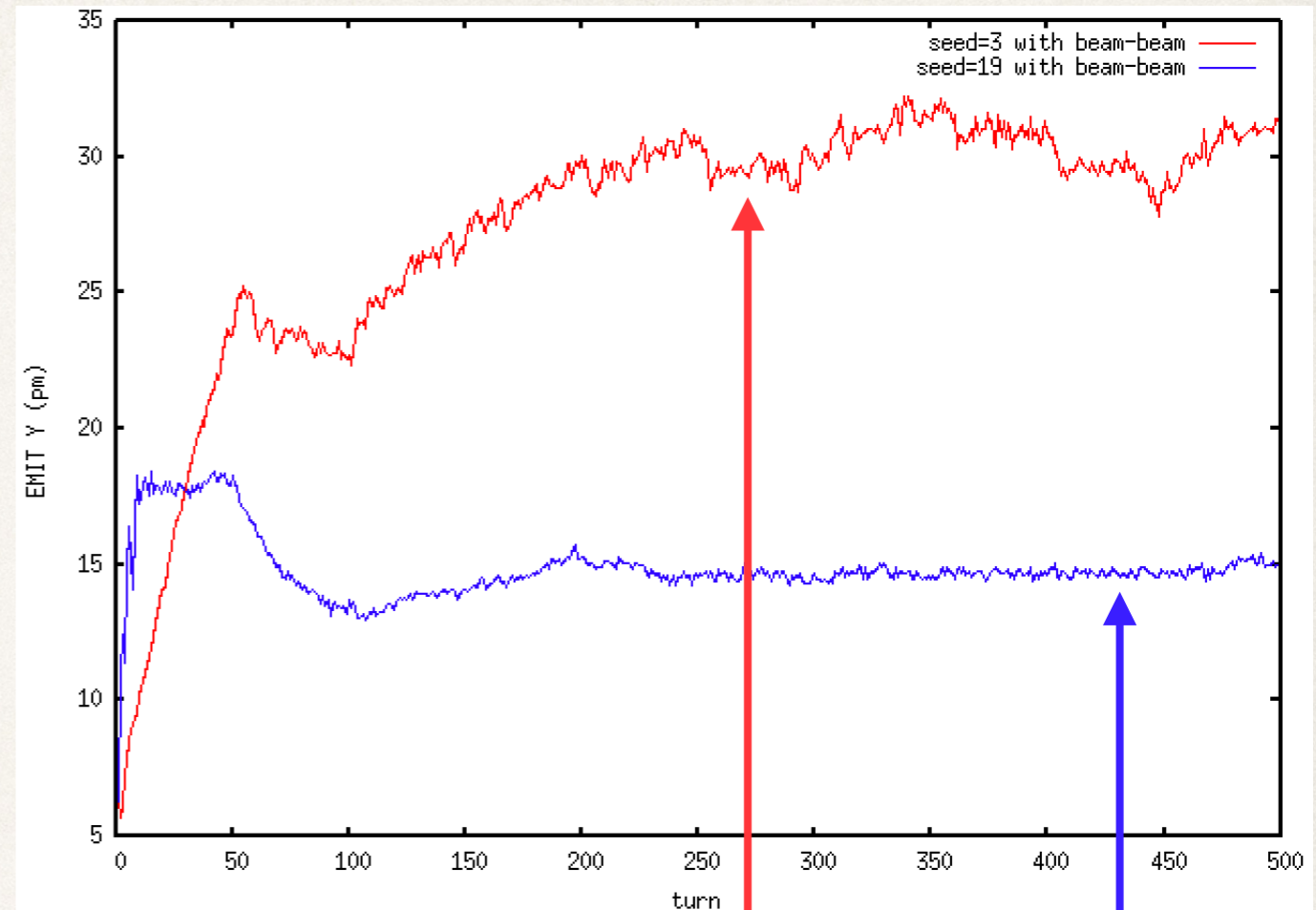
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Unexpected beam blowup



- ❖ D. El Khechen has observed an unexpected vertical beam blowup in tracking simulations with beam-beam and lattice for FCC-ee ttbar by SAD.
- ❖ The vertical (on closed orbit) emittance of the lattice is generated by random misalignments of sextpoles and set to the design (2.9 pm = 0.2%).
- ❖ In early simulations with beam beam and lattice without misalignment did not show such blowups (D. Zhou).
- ❖ The blowup strongly depends on the random number for strength of skew quads or misalignments of sextupoles to produce the vertical emittance.



Coupling (%)	0.2	0.2
RMS of sext. Offset (°)	11	15
Seed	3	19
η_y @ (IP.1, IP.2) (°)	(-5.3, 4.24)	(-8.9, 8)
$\eta_{py} \times \beta_y^*$ @ (IP.1, IP.2) (°)	(6.8, 1.04)	(35.4, 23)
R2 parameter	(1.8×10^{-3} , 1.8×10^{-3})	(-5.1×10^{-5} , -1.8×10^{-4})

lattice emittance on closed orbit = 2.9 pm

Why unexpected?

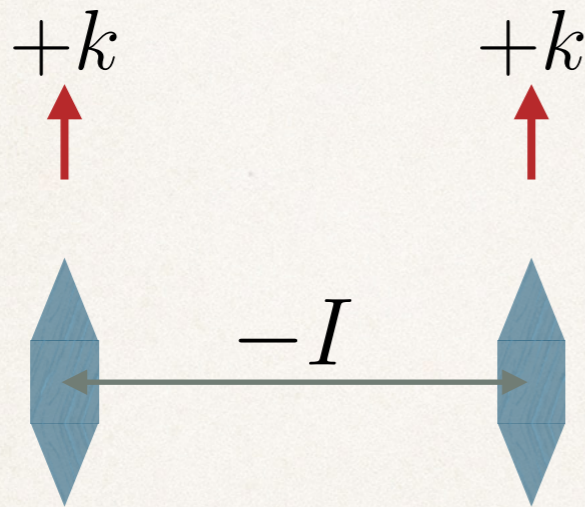


- ❖ This unexpected blowup occurs even when the residual dispersion at the IP is below the criteria given by D. Shatilov with beam-beam simulation with beamstrahlung but without the lattice.

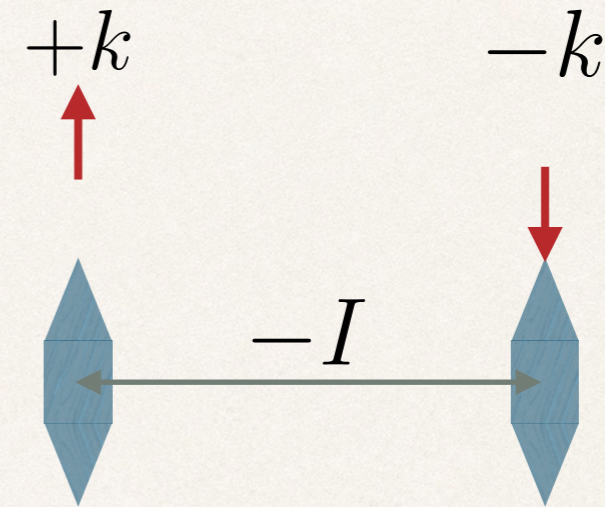
	Energy [GeV]	45.6	80	120	175
	Vertical beam size (nominal) [μ]	0.028	0.041	0.035	0.066
	Energy spread (with BS)	$1.3 \cdot 10^{-3}$	$1.3 \cdot 10^{-3}$	$1.65 \cdot 10^{-3}$	$1.85 \cdot 10^{-3}$
w/o BS	Dispersion for +5% in σ_y [μ]	7	10	7	11
with BS	Actual σ_y / σ_{y0} with such a dispersion	2.7	1.18	1.16	1.17
with BS	Actual dispersion for +5% in σ_y [μ]	1	5	4	6

D. Shatilov

- Lattice: FCCee_t_217_nosol_2.sad, 182.5 GeV, half ring.
- The vertical emittance is given by randomly excited skew quadrupole placed on each sextupole in the arc:



Symmetric: vertical dispersion is confined within the pair, x-y coupling leaks outside.



Antisymmetric: x-y coupling is confined within the pair, vertical dispersion leaks outside.

- The vertical invariant emittance is always set to 2.9 μm ($\varepsilon_y / \varepsilon_x = 0.2\%$).
- Synchrotron radiation in all magnets.
- Tapering.
- Optionally, simplified beam-beam effects and beamstrahlung can be applied.
- 1000 particles up to 300 half-turns.

Optics by different excitations of skew quads



Symmetric Skew Quads

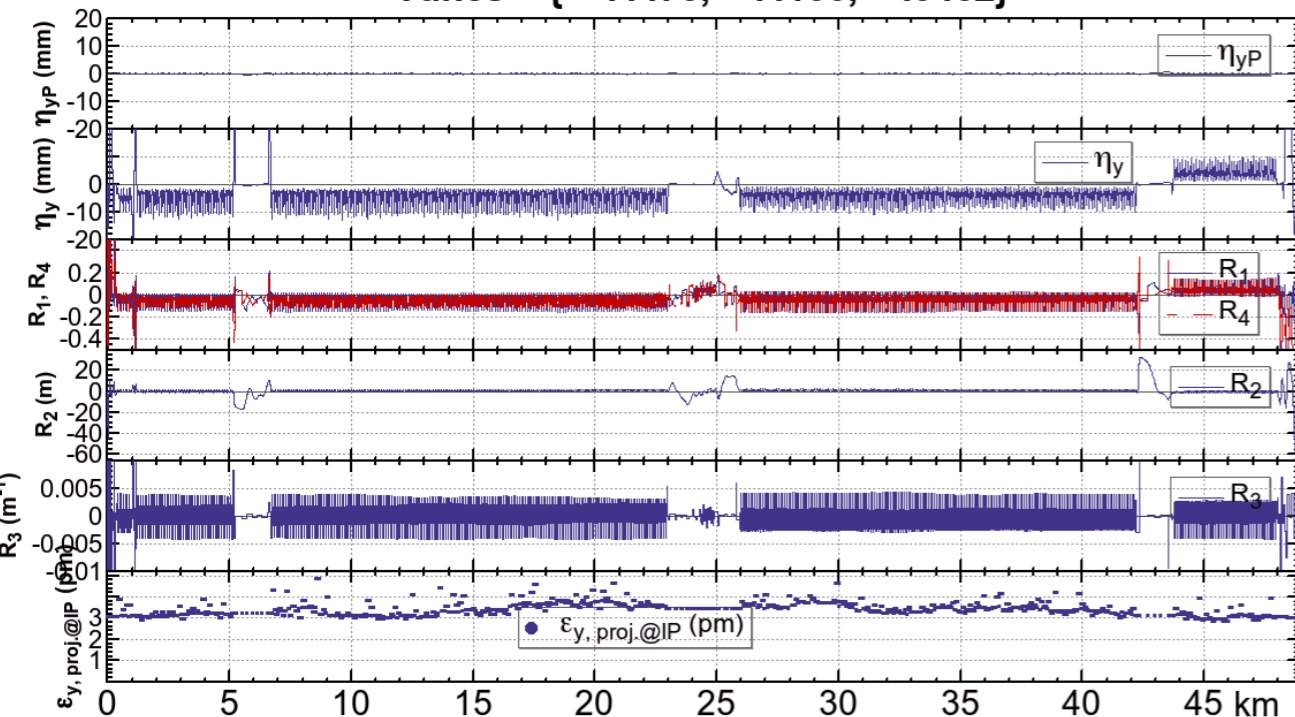
Vertical dispersion is confined within the pair, x-y coupling leaks outside.

Antisymmetric Skew Quads

X-y coupling is confined within the pair, vertical dispersion leaks outside.

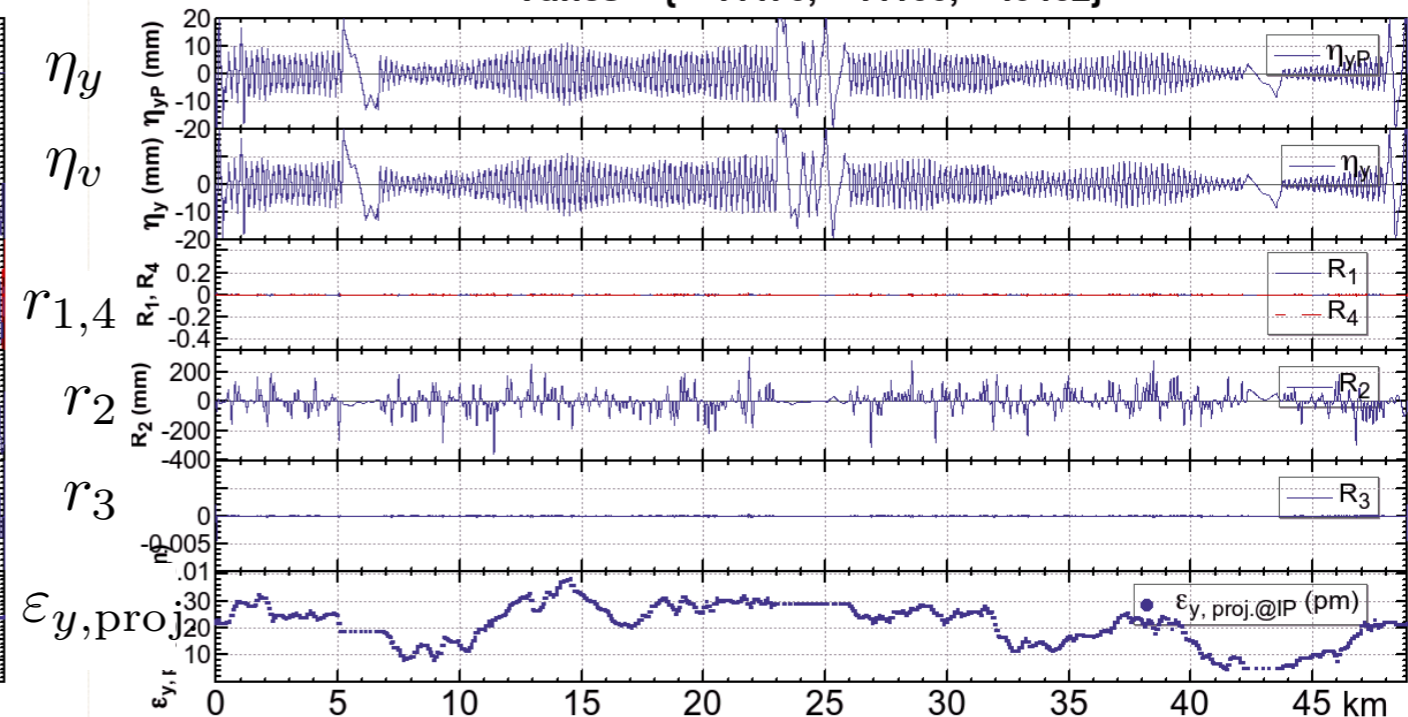
FCCEe_t_217_nosol_2.sad

$\epsilon_y / \epsilon_x = .2\%$, Skew Q mode: Symmetric, $\Delta\sigma_{\epsilon_{IP}} = .0385\%$, $\Delta\epsilon_{IP} = -.0073\%$
Seed = 7, GCUT = 3.5, particles = 1000,
Tunes = { -.4470, -.4100, -.0462}



FCCEe_t_217_nosol_2.sad

$\epsilon_y / \epsilon_x = .2\%$, Skew Q mode: Antisymmetric, $\Delta\sigma_{\epsilon_{IP}} = .0385\%$, $\Delta\epsilon_{IP} = -.0073\%$
Seed = 7, GCUT = 3.5, particles = 1000,
Tunes = { -.4470, -.4100, -.0462}



definition of x-y coupling parameter:

$$\begin{pmatrix} u \\ p_u \\ v \\ p_v \end{pmatrix} = R \begin{pmatrix} x \\ p_x \\ y \\ p_y \end{pmatrix} = \begin{pmatrix} \mu & \cdot & -r_4 & r_2 \\ \cdot & \mu & r_3 & -r_1 \\ r_1 & r_2 & \mu & \cdot \\ r_3 & r_4 & \cdot & \mu \end{pmatrix} \begin{pmatrix} x \\ p_x \\ y \\ p_y \end{pmatrix},$$

↑
betatron coordinate

↑
physical coordinate

The skew quads on a sextupole pair can be represented by two random numbers $k_{1,2}$ and a parameter $-1 \leq s \leq 1$ as $(k_1 + sk_2, k_2 + sk_1)$. Then

$s = 1$: perfect symmetric

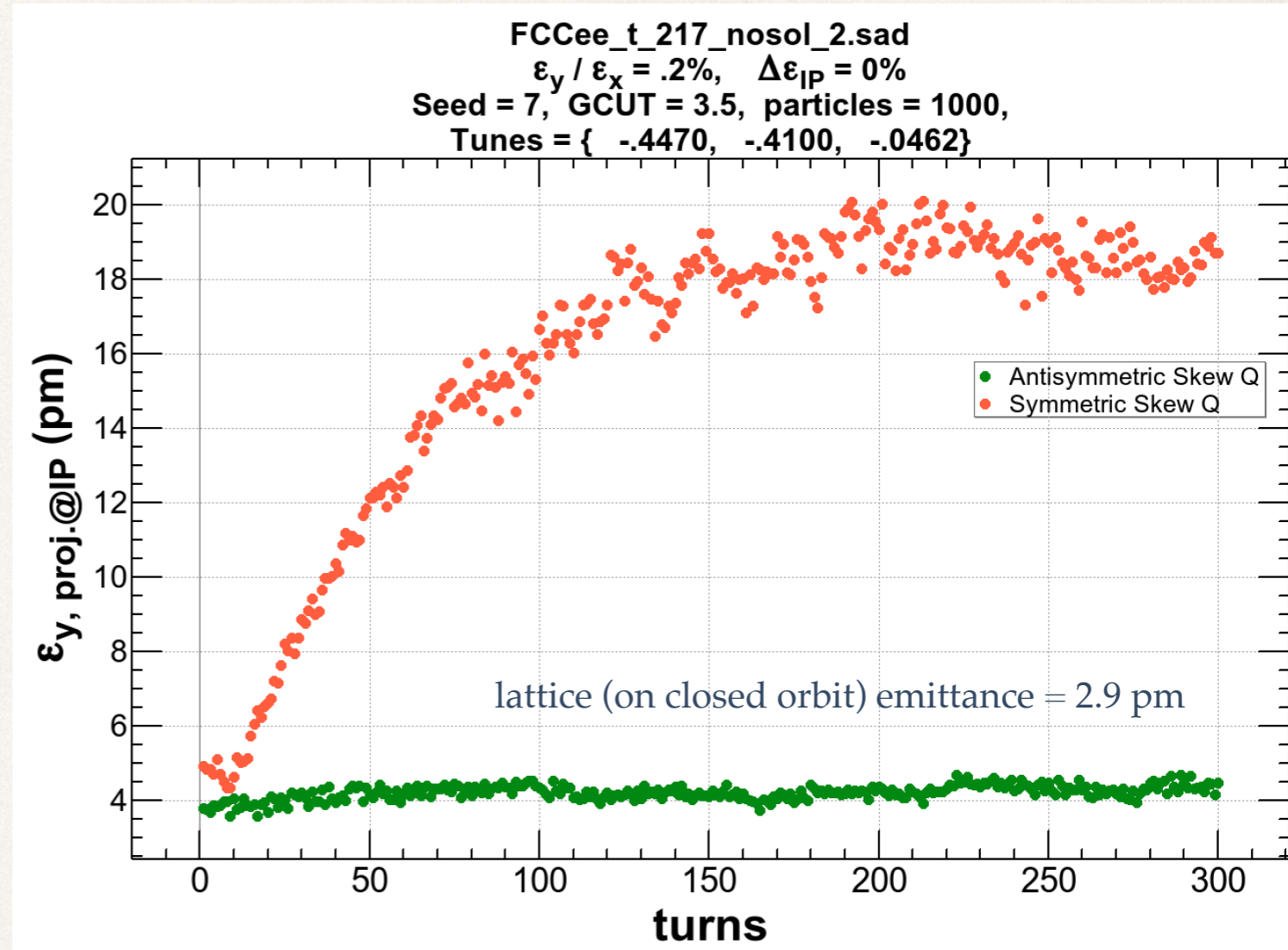
$s = -1$: perfect antisymmetric

$s = 0$: simply random

Unexpected beam blowup



- ❖ Then it was found that such a blowup could occur even *without beam-beam*.
- ❖ The blowup depends on how the vertical emittance is generated (between symmetric skew = x - y coupling dominated and antisymmetric skew = vertical dispersion dominated).
- ❖ The blowup is explained by a Vlasov model for “anomalous emittance” in Ref. [2]. .

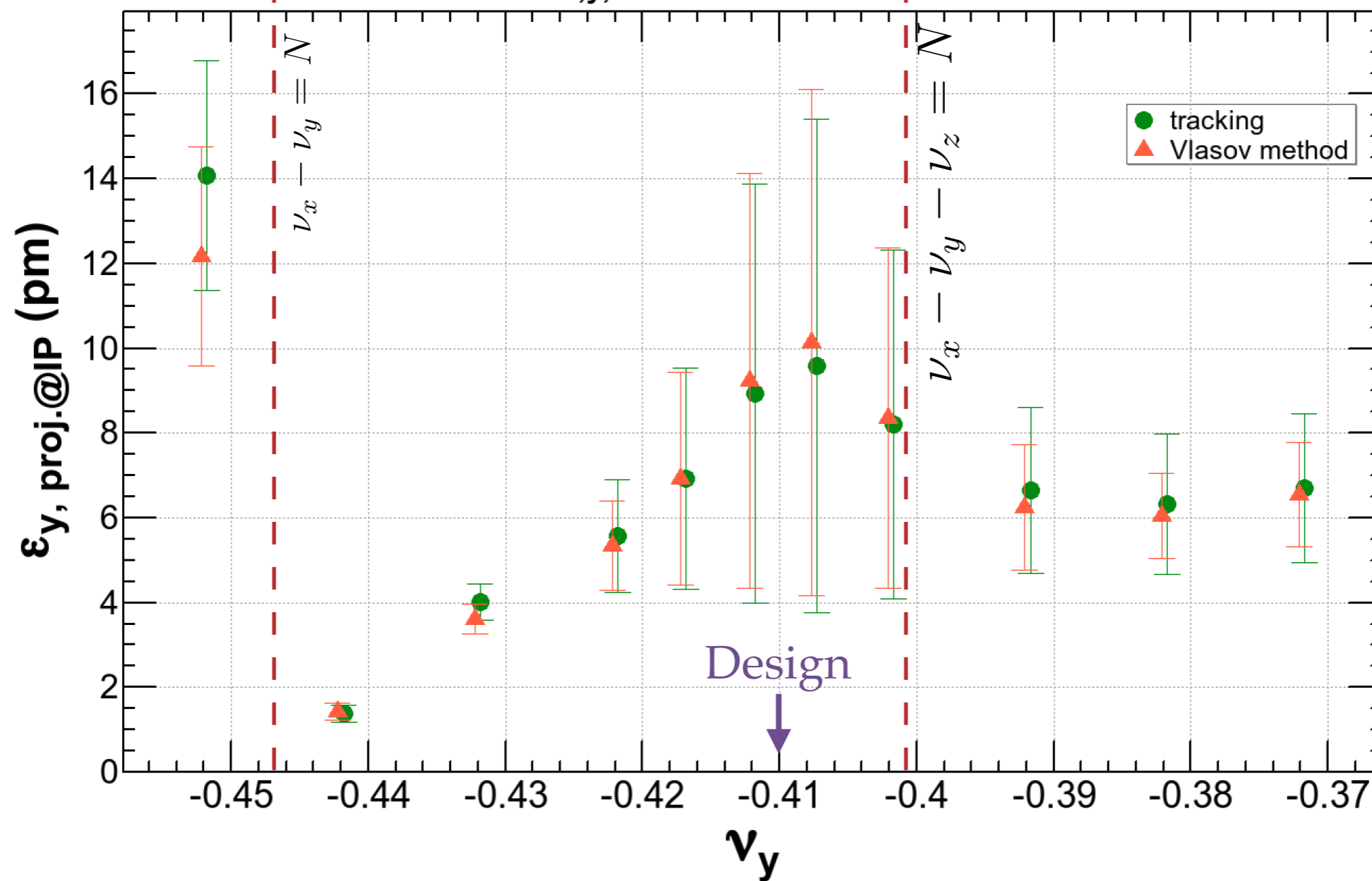


The Vlasov model agrees with tracking



FCCEe_t_217_nosol_2.sad
 $\epsilon_y / \epsilon_x = .2\%$, Skew mode: Symmetric $\Delta\epsilon_{IP} = 0\%$
 Seed = 7 (+2n), GCUT = 3.5, particles = 1000, samples = 12,
 Design $\nu_{x,y,z} = \{ -0.4470, -0.4100, -0.0462 \}$

No beam-beam
 Symmetric Skew Q



- The error bars show the variation for 12 samples of skew excitations.
- The most significant resonance is $\nu_x - \nu_y - \nu_z = N$, according to the tune dependence.

The Vlasov model (in Ref. [2])



We define the mean value \mathbf{h} of the orbit deviation from the transverse part of \mathbf{x}_e and the transverse variance matrix W around \mathbf{h} as

$$\mathbf{h}(J_z, \phi_z) = \int (\mathbf{x}_t - \mathbf{x}_{te}) f(\mathbf{x}_t, J_z, \phi_z) d\mathbf{x}_t / \rho(J_z), \quad (3)$$

$$W(J_z, \phi_z) = \int (\mathbf{x}_t - \mathbf{x}_{te})(\mathbf{x}_t^T - \mathbf{x}_{te}^T) \times f(\mathbf{x}_t, J_z, \phi_z) d\mathbf{x}_t / \rho(J_z),$$

where f is the six-dimensional distribution function at s , and the integration is performed over the transverse phase space. The subscript t indicates the transverse part. The longitudinal distribution $\rho(J_z)$ is Gaussian, i.e.,

$$\int f(\mathbf{x}_t, J_z, \phi_z) d\mathbf{x}_t = \rho(J_z) = \exp(-J_z / \sigma_\delta^2) / \sigma_\delta^2, \quad (4)$$

where σ_δ is the momentum spread. Since we have assumed that the synchrotron motion is sinusoidal, which advances the phase ϕ_z by μ_z in one revolution of the ring as Eq. (2), the equilibrium distribution satisfies these equations:

$$\mathbf{h}(J_z, \phi_z + \mu_z) = U\mathbf{h}(J_z, \phi_z) + \mathbf{d} + \Delta\mathbf{h},$$

$$W(J_z, \phi_z + \mu_z) = UW(J_z, \phi_z)U^T + \mathbf{d}\mathbf{h}^T U^T + U\mathbf{h}\mathbf{d}^T + \mathbf{d}\mathbf{d}^T + D + \Delta W, \quad (5)$$

Closed orbit (J_z, ϕ_z)

Transverse second moment (J_z, ϕ_z)

The longitudinal distribution is Gaussian

$U=U(\delta)$: momentum dependent 1-turn xfer matrix

Equilibrium after one revolution of the ring

Diffusion is also taken into account.

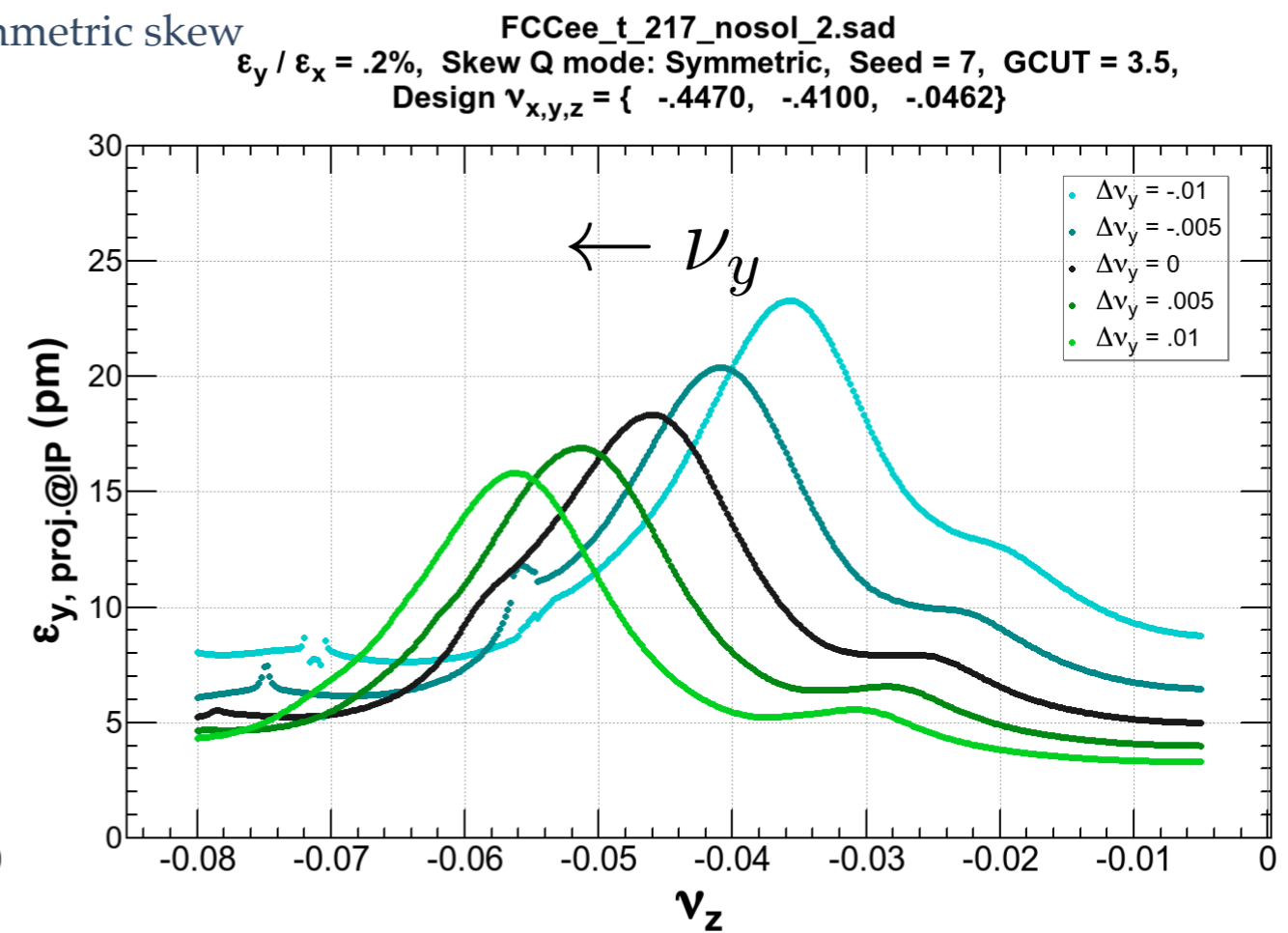
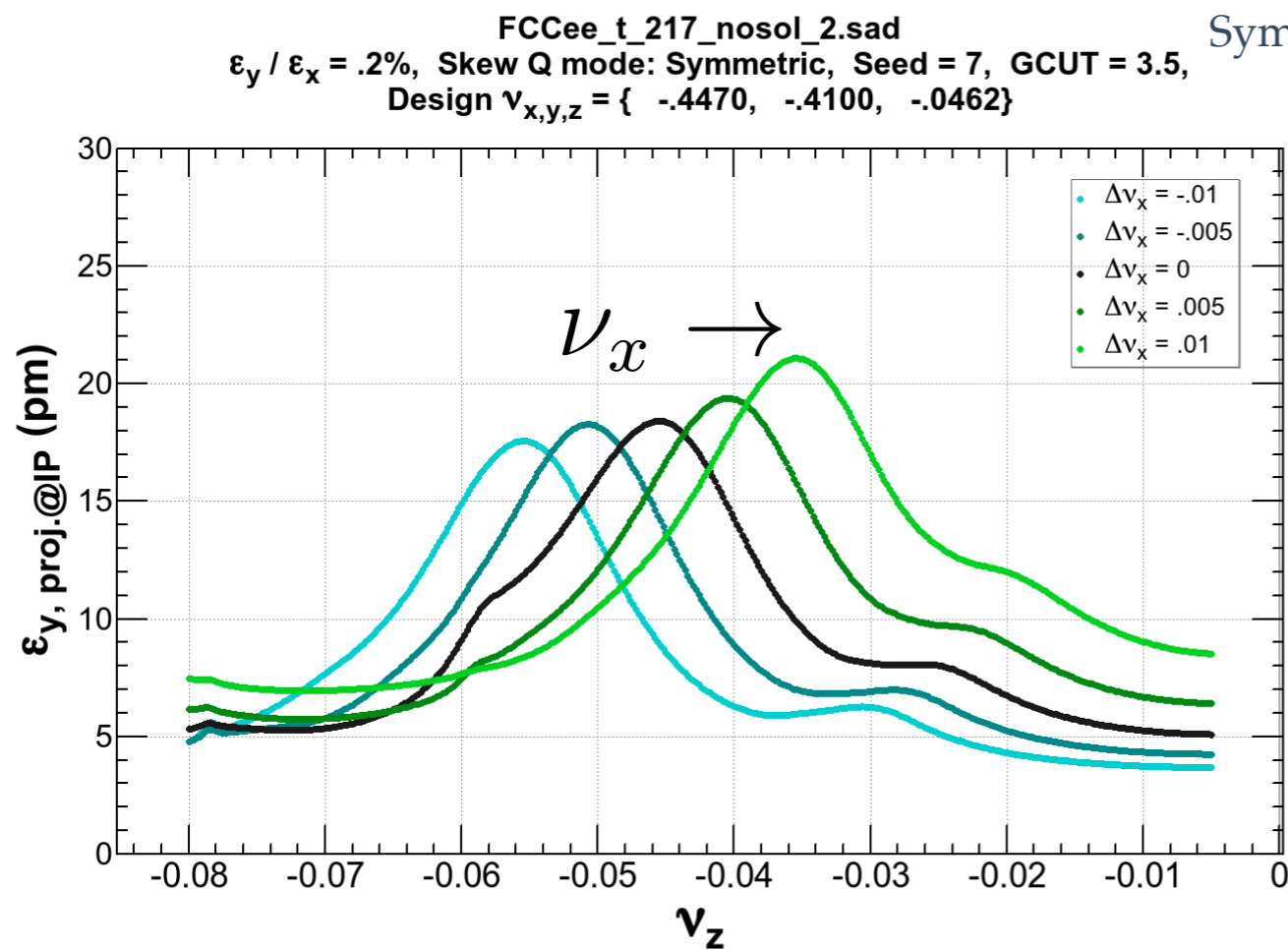
Tune dependence by Vlasov model



- ❖ As the agreement with tracking looks excellent, let us use the Vlasov model hereafter, since it is many orders faster than tracking.
- ❖ Scanning the synchrotron tune is just easy in the model, since it is just a parameter and no change in the lattice is necessary.

No beam-beam

Symmetric skew

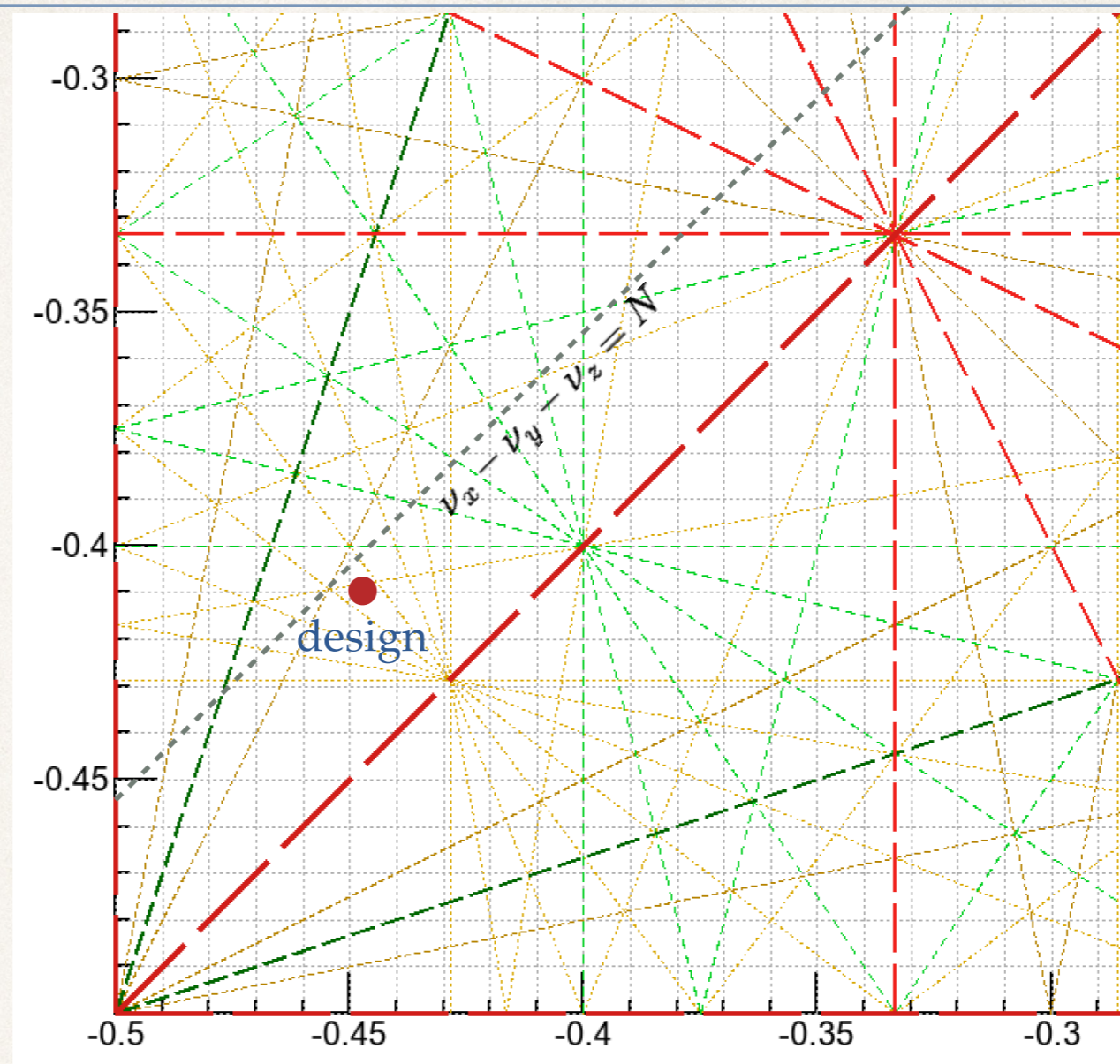


- ❖ The width of resonance \sim damping rate = $1 / (40 \text{ half turns})$

Skew Q is fixed at the design ν_z in these figures above.

- According to the tune dependence above, the resonance $\nu_x - \nu_y - \nu_z = N$ is identified as the most relevant one.

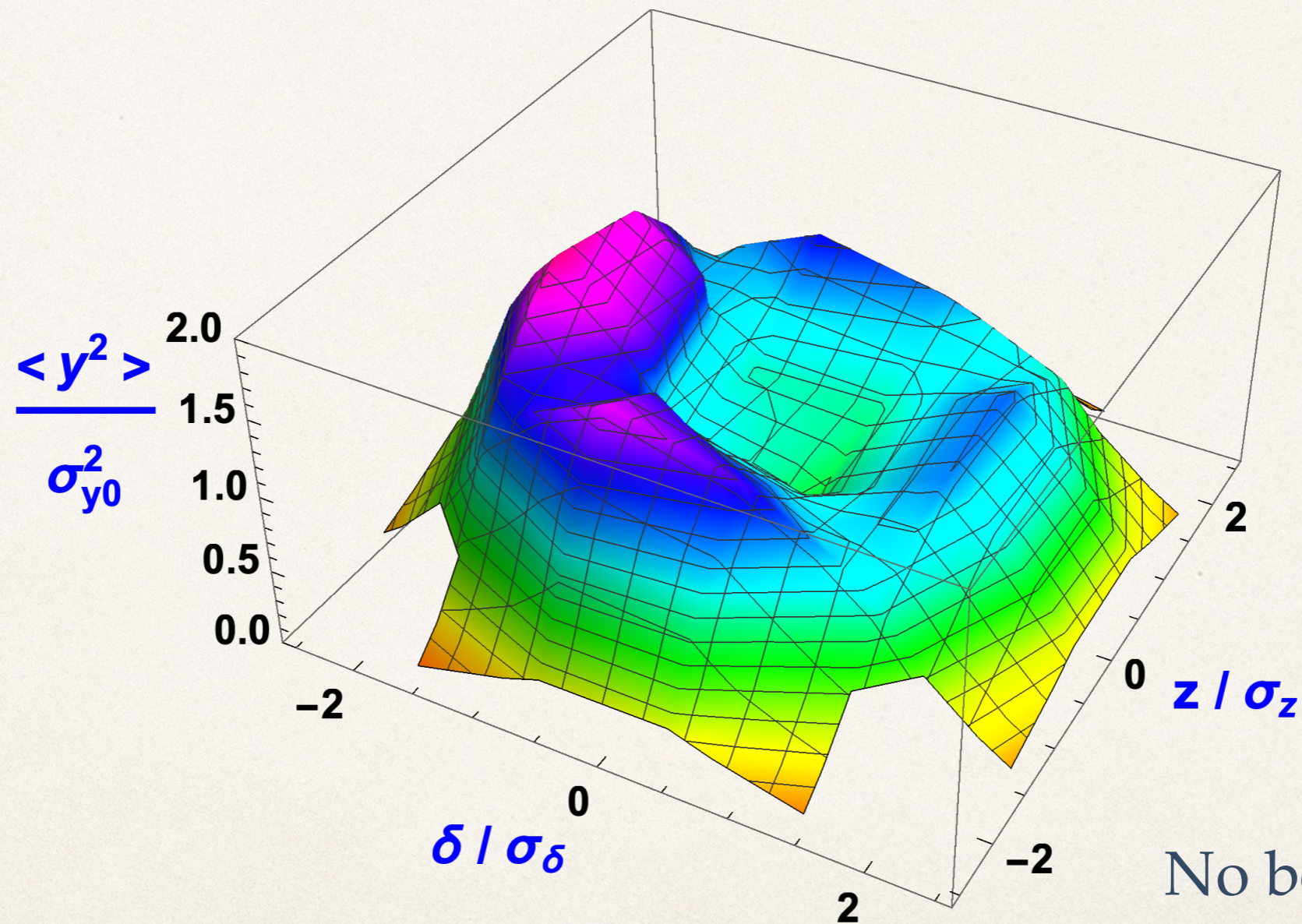
The resonance line



- ❖ The design tune point is a little bit off the resonance line — but it has a meaning: the blowup can be larger than on a tune exact at the resonance.

The Vlasov model

- ❖ Near a resonance line, the transfer matrix over one synchrotron period can be on resonance at a certain amplitude of the synchrotron motion. This leads to the anomalous beam blowup.



No beam-beam
Symmetric skew

- The beam-beam tune shift and beamstrahlung can be implemented in the Vlasov model, by introducing a thin kick

$$\Delta p_{x,y} = -k \frac{\partial U}{\partial(x,y)}, \quad (1)$$

get carried away!

where U is a potential by a gaussian charge distribution.

- The associated transfer matrix is

$$M_{\text{BB}} = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ -k \frac{\partial^2 U}{\partial x^2} & 1 & -k \frac{\partial^2 U}{\partial x \partial y} & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ -k \frac{\partial^2 U}{\partial x \partial y} & 0 & -k \frac{\partial^2 U}{\partial y^2} & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}, \quad (2)$$

where k and U are chosen to the matrix be consistent with beam-beam parameters $\xi_{x,y}$.

- Beamstrahlung is simplified by an excitation matrix

$$\Delta \Sigma_{\text{BB}} = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \Delta \sigma_\varepsilon^2 \end{pmatrix}, \quad (3)$$

The damping due to BS is also implemented in a similar way.

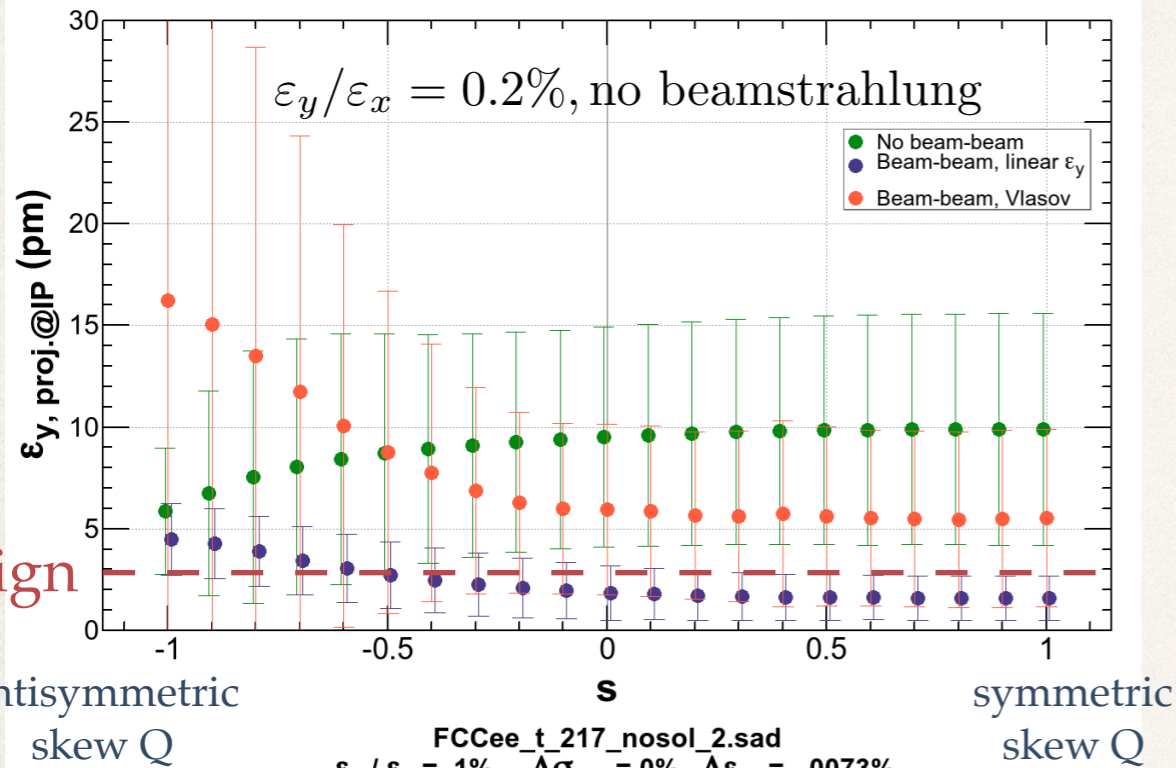
where σ_ε is the single-pass energy spread due to beamstrahlung.

- In the case of FCC-ee@182.5 GeV, $\xi_{x,y} = (0.0984, 0.1414)$ and $\sigma_\varepsilon = 3.85 \times 10^{-4}$.

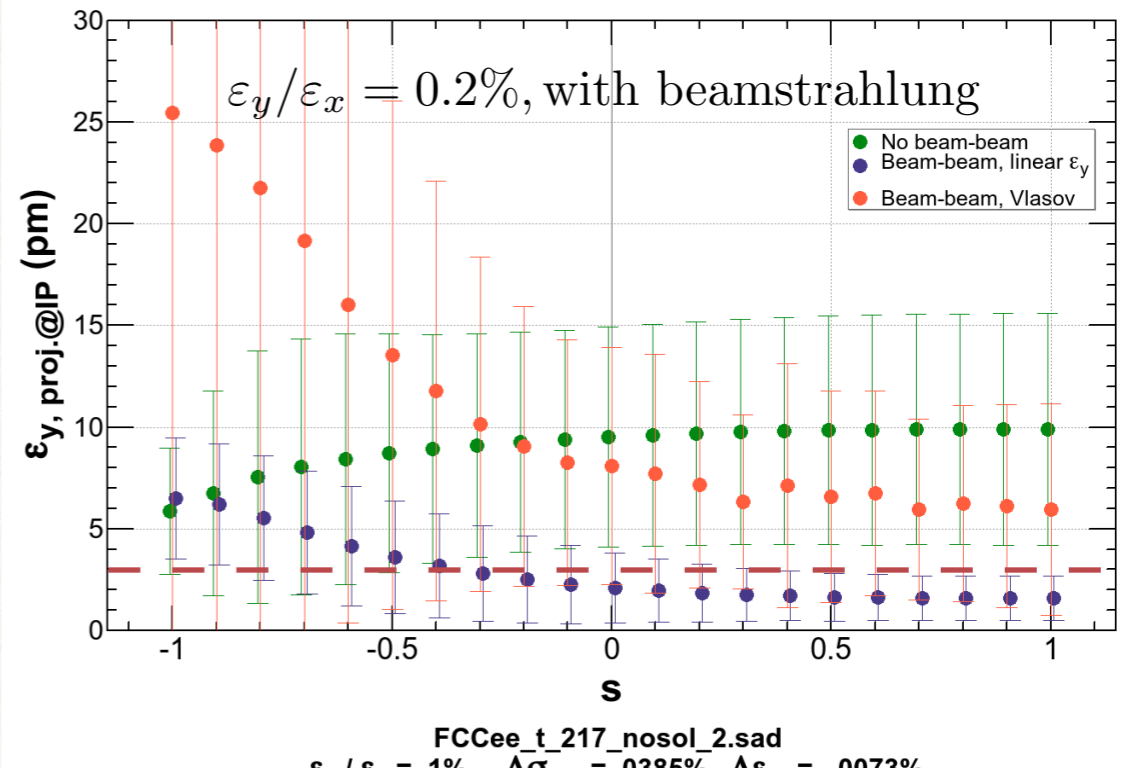
Blowup with/without beam-beam



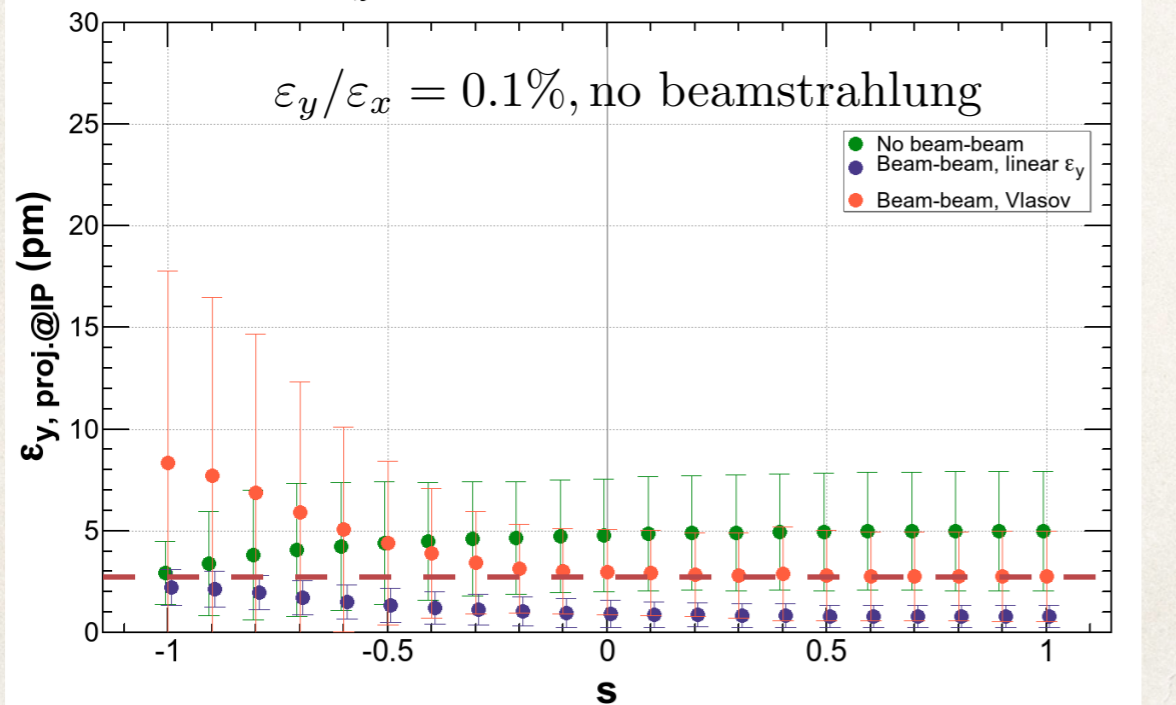
FCCee_t_217_nosol_2.sad
 $\epsilon_y / \epsilon_x = .2\%$, $\Delta\sigma_{\epsilon_{IP}} = 0\%$, $\Delta\epsilon_{IP} = -.0073\%$
 Seed = 7 (+2n), GCUT = 3.5, samples = 12,
 Design $\nu_{x,y,z} = \{ -.4470, -.4100, -.0462 \}$
 $\xi_{x,y} = \{ .0984, .1414 \}$, IP Correction: OFF



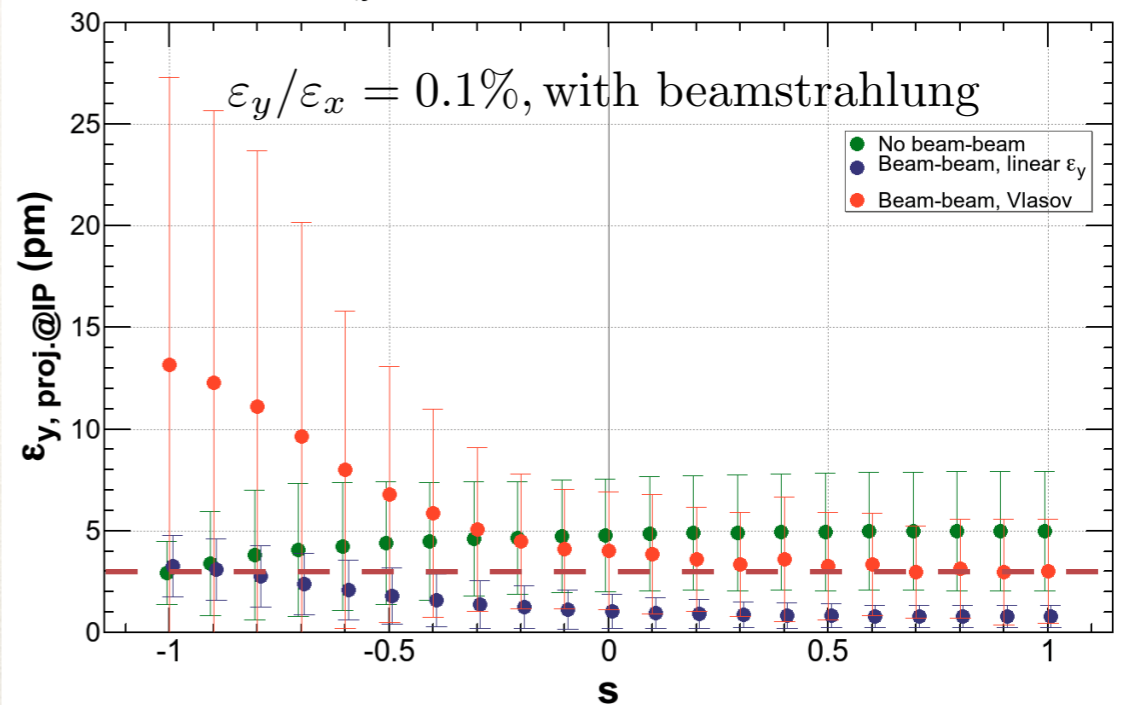
FCCee_t_217_nosol_2.sad
 $\epsilon_y / \epsilon_x = .2\%$, $\Delta\sigma_{\epsilon_{IP}} = .0385\%$, $\Delta\epsilon_{IP} = -.0073\%$
 Seed = 7 (+2n), GCUT = 3.5, samples = 12,
 Design $\nu_{x,y,z} = \{ -.4470, -.4100, -.0462 \}$
 $\xi_{x,y} = \{ .0984, .1414 \}$, IP Correction: OFF



FCCee_t_217_nosol_2.sad
 $\epsilon_y / \epsilon_x = .1\%$, $\Delta\sigma_{\epsilon_{IP}} = 0\%$, $\Delta\epsilon_{IP} = -.0073\%$
 Seed = 7 (+2n), GCUT = 3.5, samples = 12,
 Design $\nu_{x,y,z} = \{ -.4470, -.4100, -.0462 \}$
 $\xi_{x,y} = \{ .0984, .1414 \}$, IP Correction: OFF



FCCee_t_217_nosol_2.sad
 $\epsilon_y / \epsilon_x = .1\%$, $\Delta\sigma_{\epsilon_{IP}} = .0385\%$, $\Delta\epsilon_{IP} = -.0073\%$
 Seed = 7 (+2n), GCUT = 3.5, samples = 12,
 Design $\nu_{x,y,z} = \{ -.4470, -.4100, -.0462 \}$
 $\xi_{x,y} = \{ .0984, .1414 \}$, IP Correction: OFF

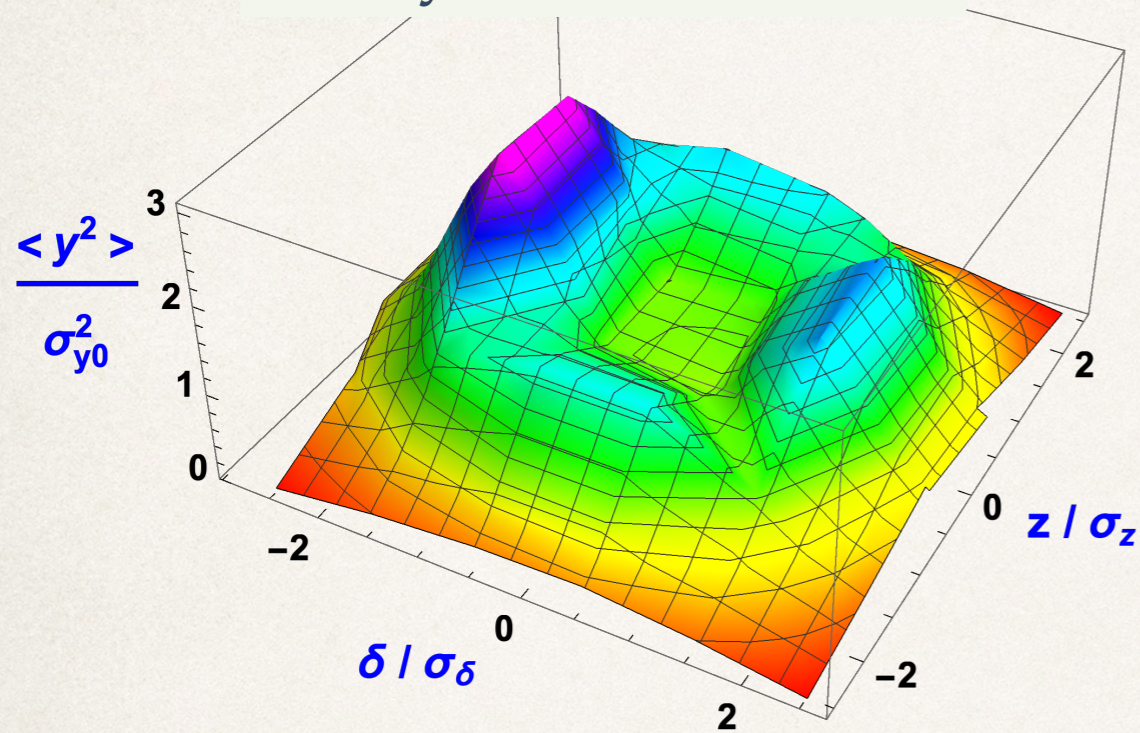


design

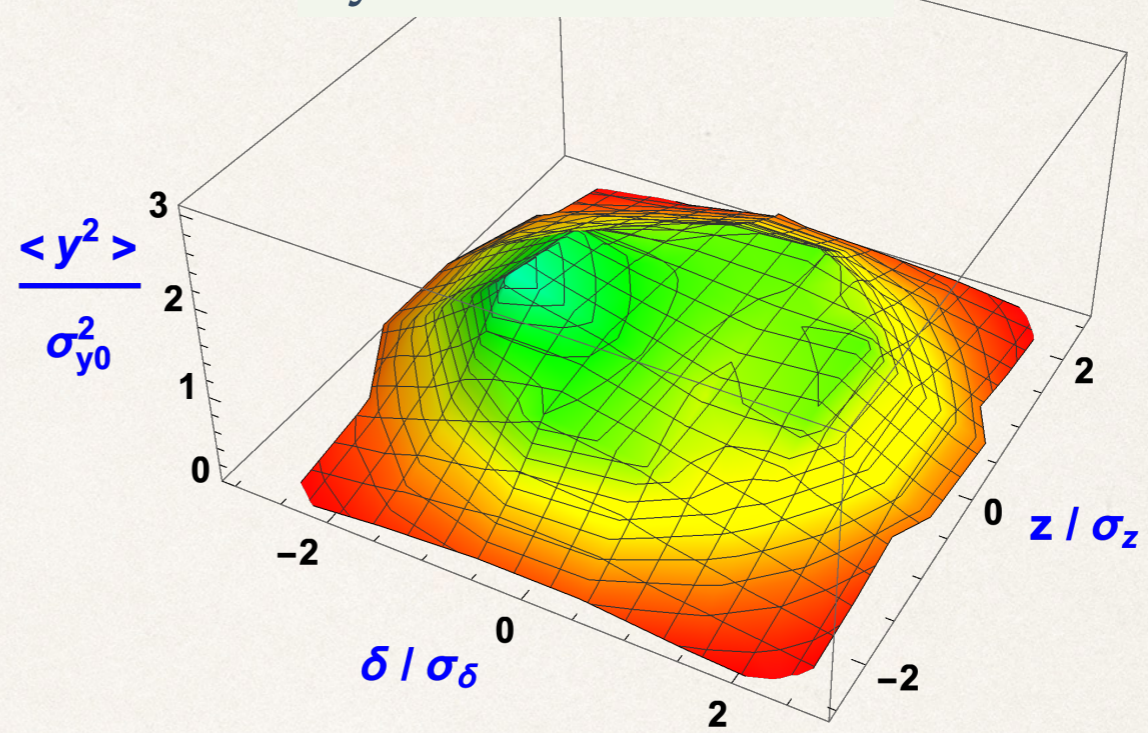
Comparison of the blowups

in the synchrotron phase space

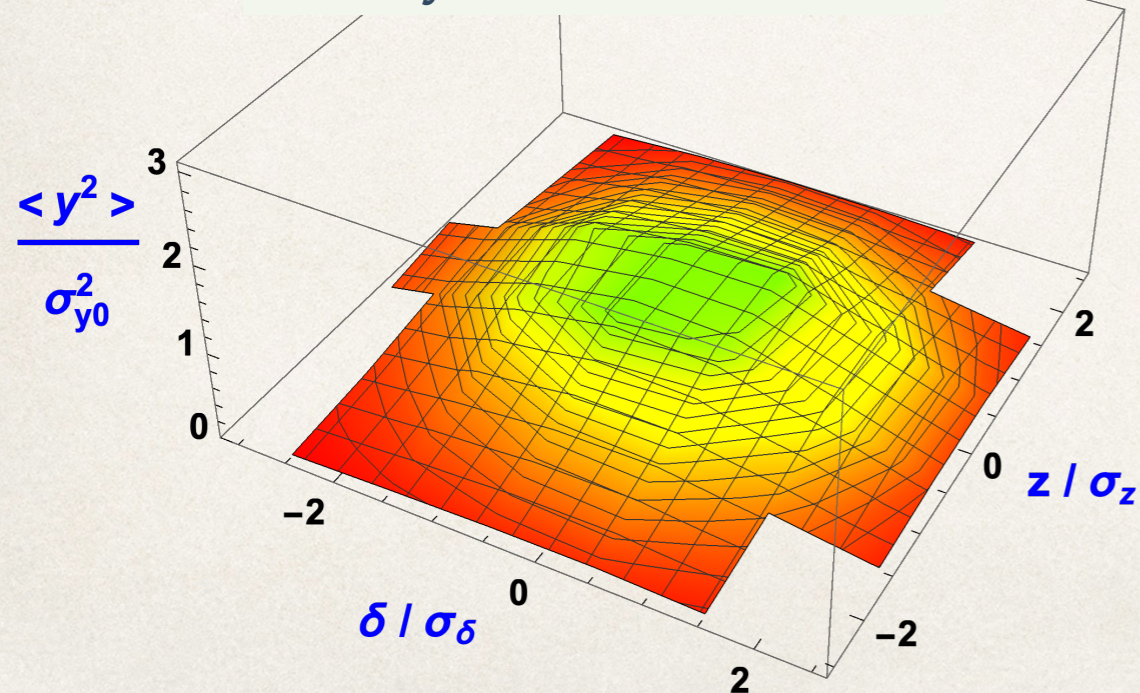
Antisymmetric, BB+BS



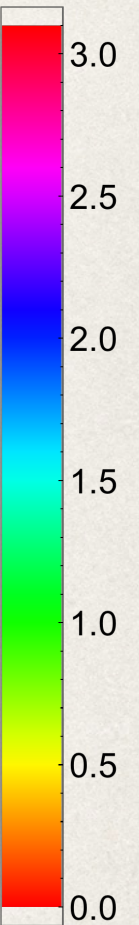
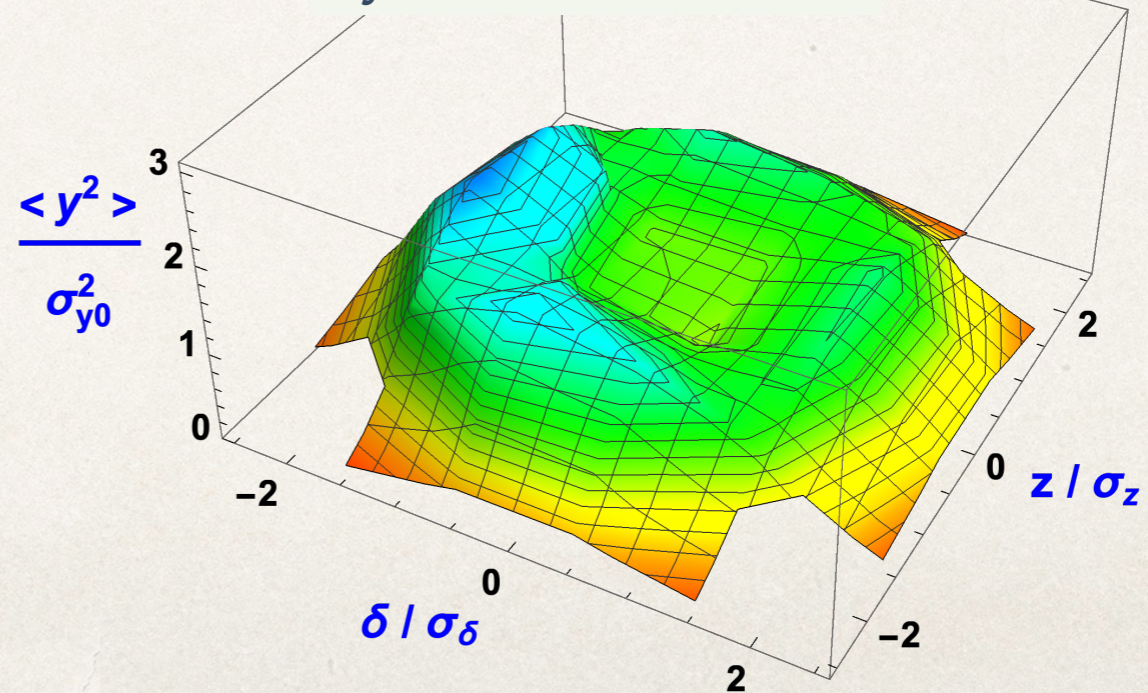
Symmetric, BB+BS



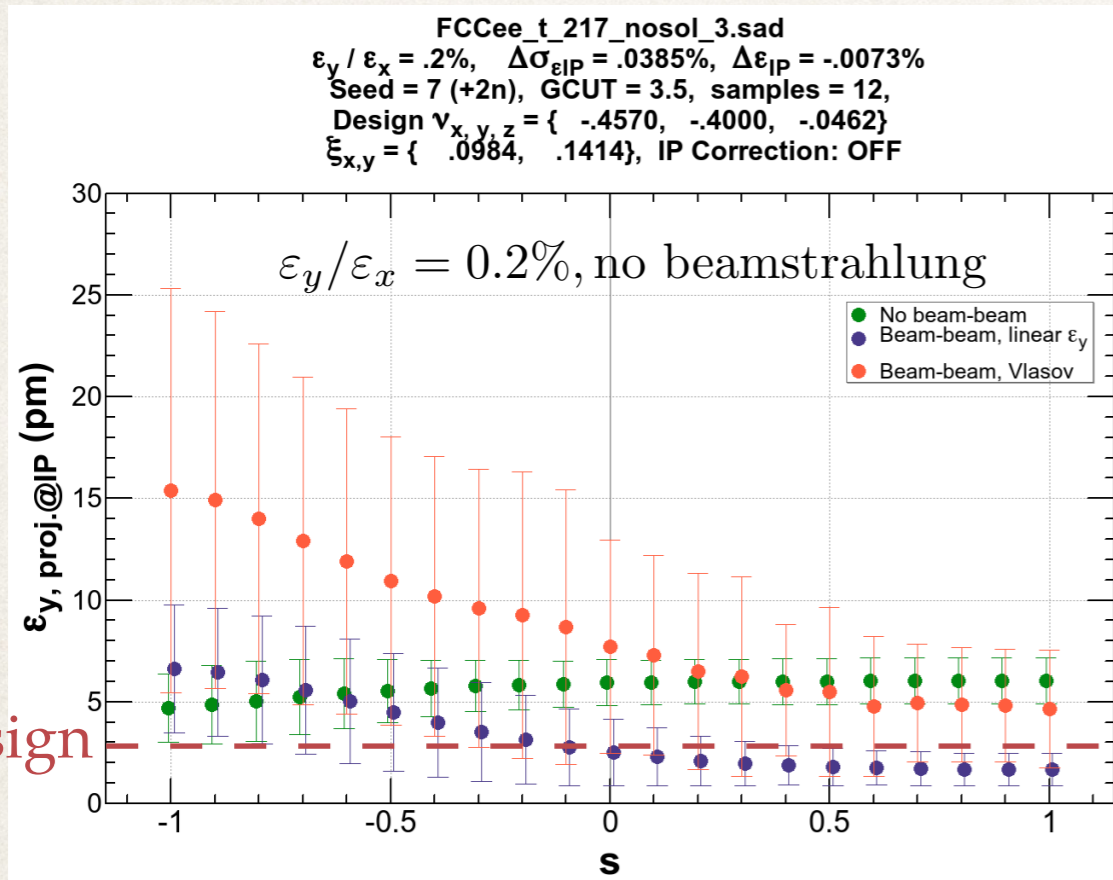
Antisymmetric, no BB



Symmetric, no BB

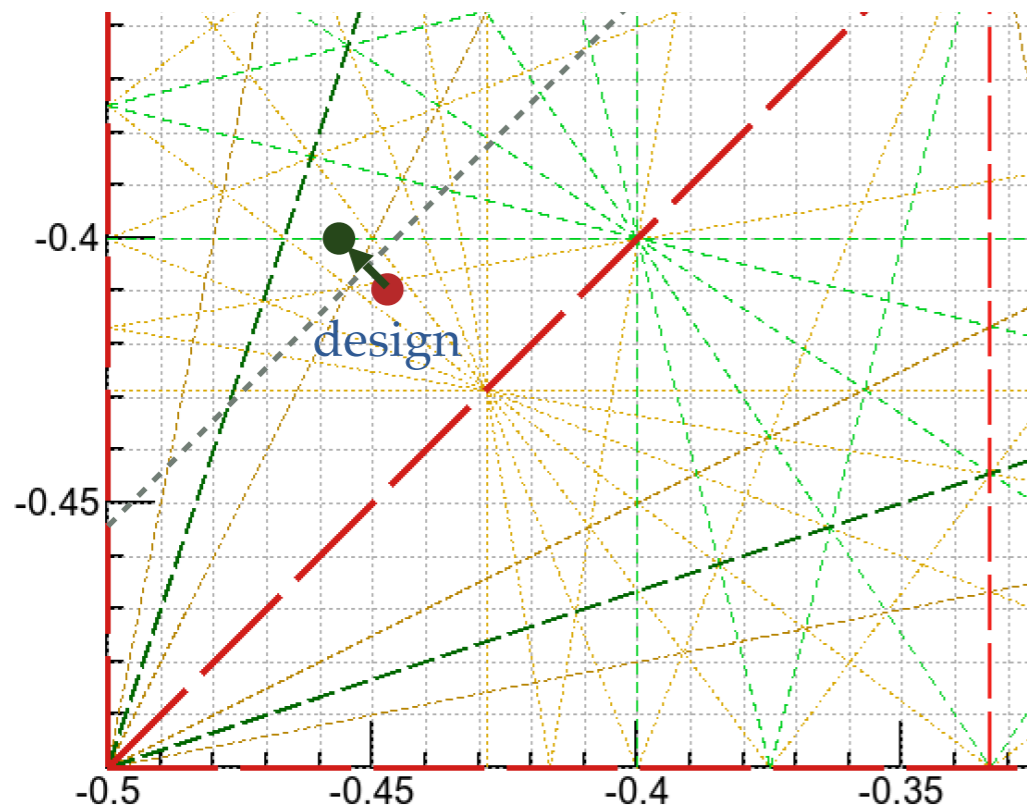
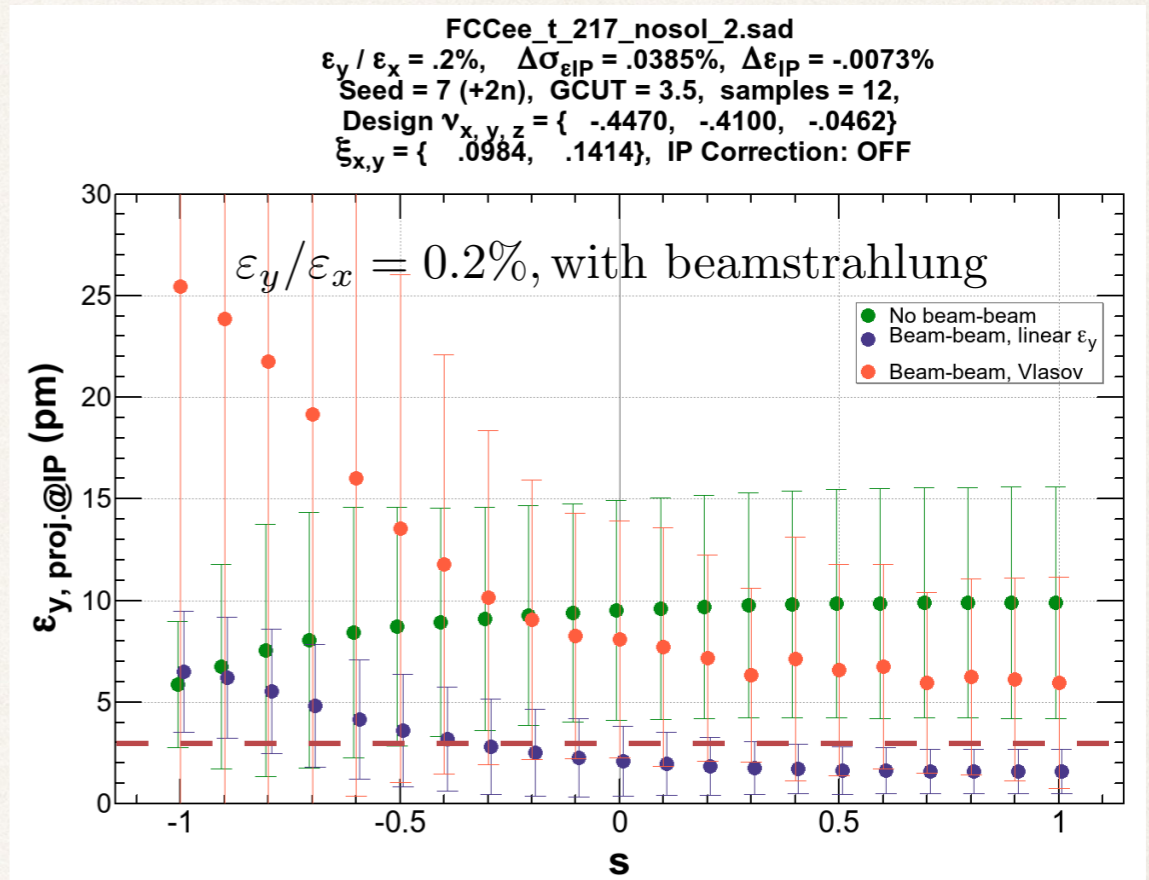


An alternative tune



design

Design tune

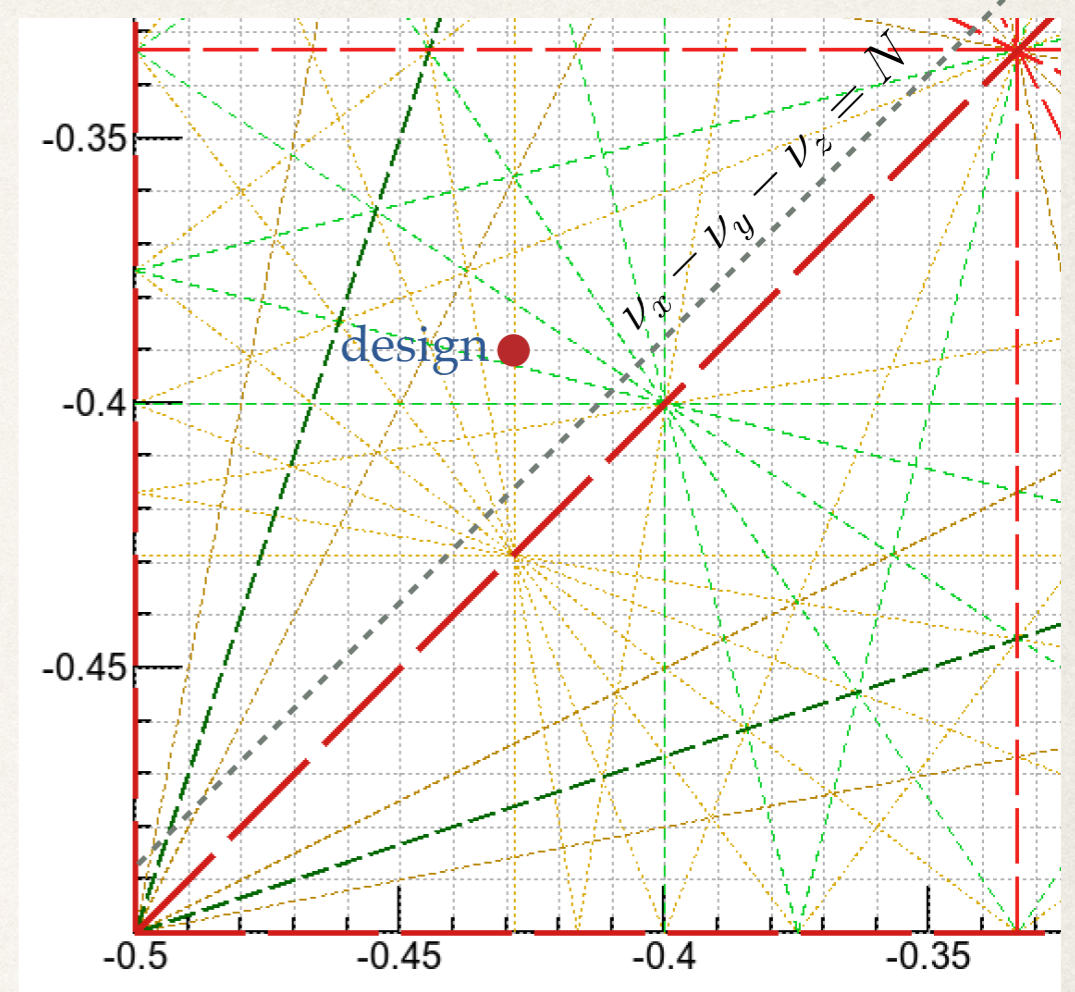
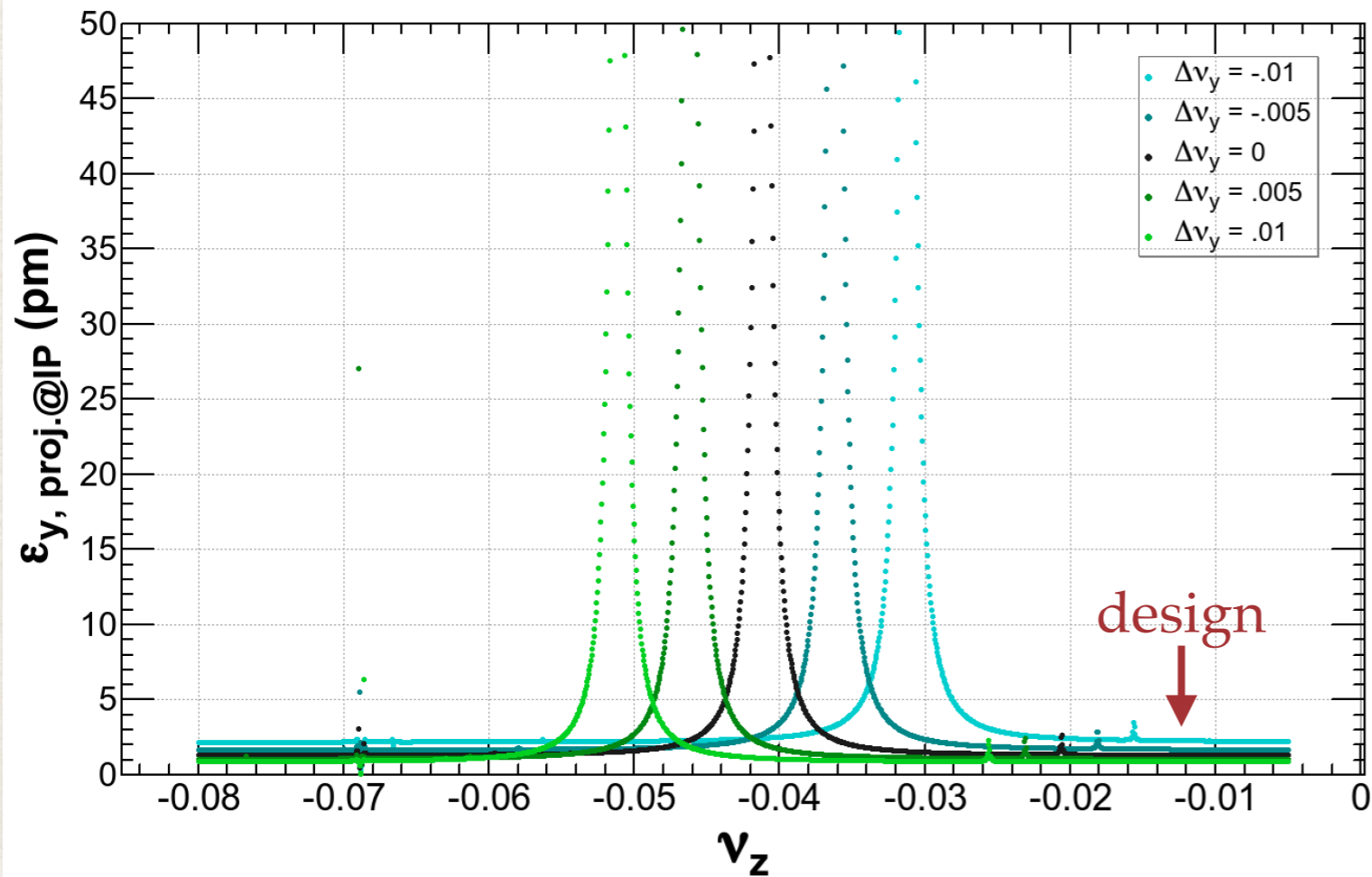


Shifting the tune by $\Delta\nu_{x,y} = (-0.01, 0.01)$ relaxes the blowup. Combining with a lower emittance may reduce the blowup within the design emittance.

Blowup at Z



FCCee_z_217_nosol_bstr.sad
 $\epsilon_y / \epsilon_x = .24\%$, Skew Q mode: Symmetric, Seed = 7, GCUT = 3.5,
Design $\nu_{x,y,z} = \{ -0.4310, -0.3900, -0.0123 \}$

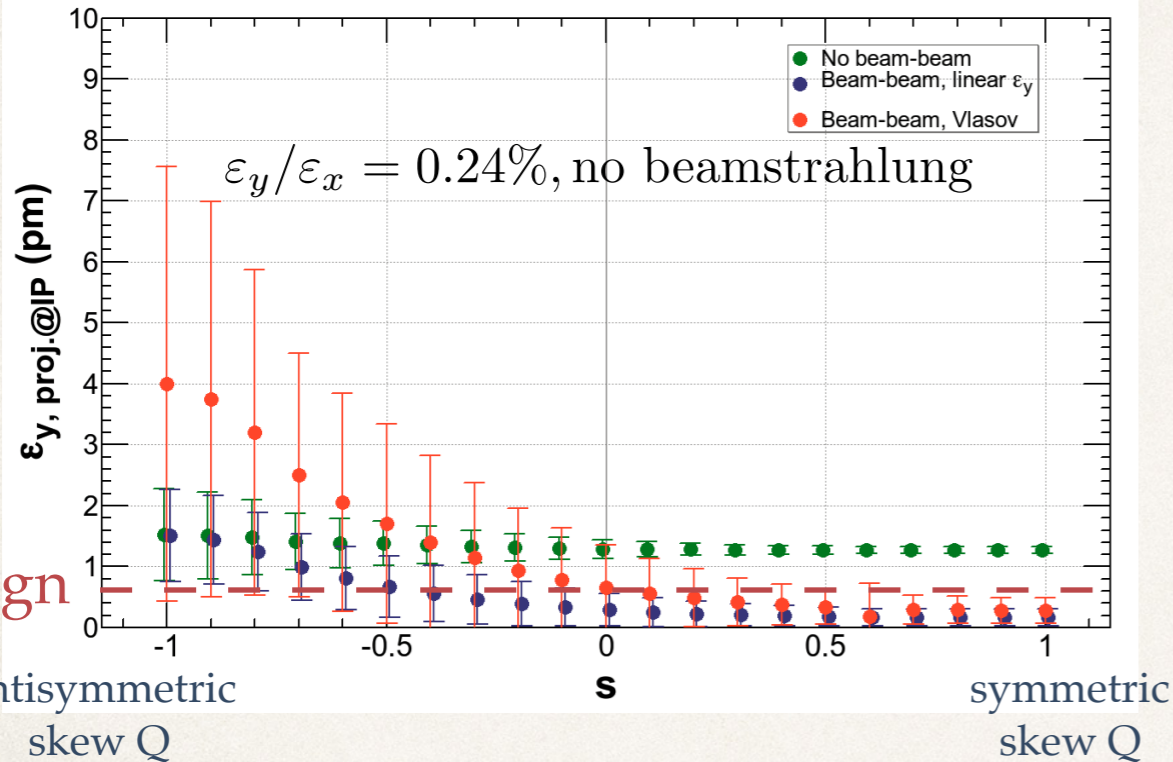


For Z, similar resonances are seen at $\nu_x - \nu_y - \nu_z = N$ with higher but narrower peaks. Even the next order weaker peaks are there at $\nu_x - \nu_y - 2\nu_z = N$.

Blowup at Z (2)



FCCee_z_217_nosol_bstr.sad
 $\epsilon_y / \epsilon_x = .24\%$, $\Delta\sigma_{\epsilon_{IP}} = 0\%$, $\Delta\epsilon_{IP} = -4.2 \times 10^{-6}$,
 Seed = 7 (+2n), GCUT = 3.5, samples = 12,
 Design $\nu_{x,y,z} = \{ -.4310, -.3900, -.0123 \}$
 $\xi_{x,y} = \{ .0044, .1475 \}$, IP Correction: OFF, AzimuthalModes: 24



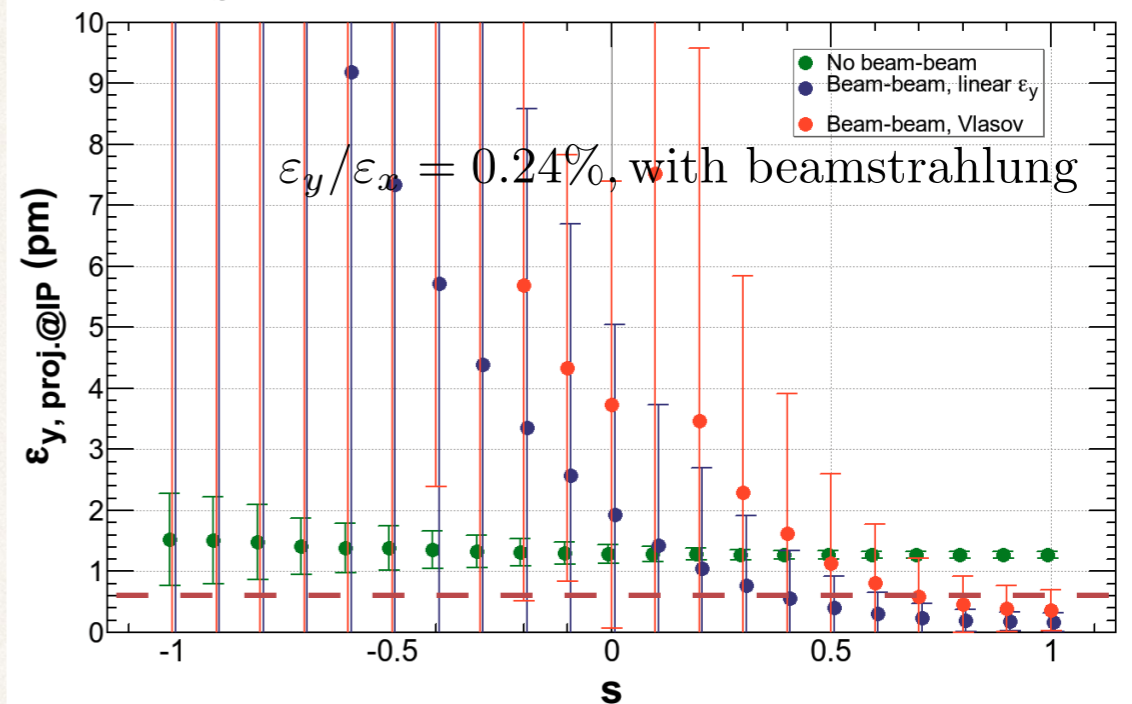
design

antisymmetric skew Q

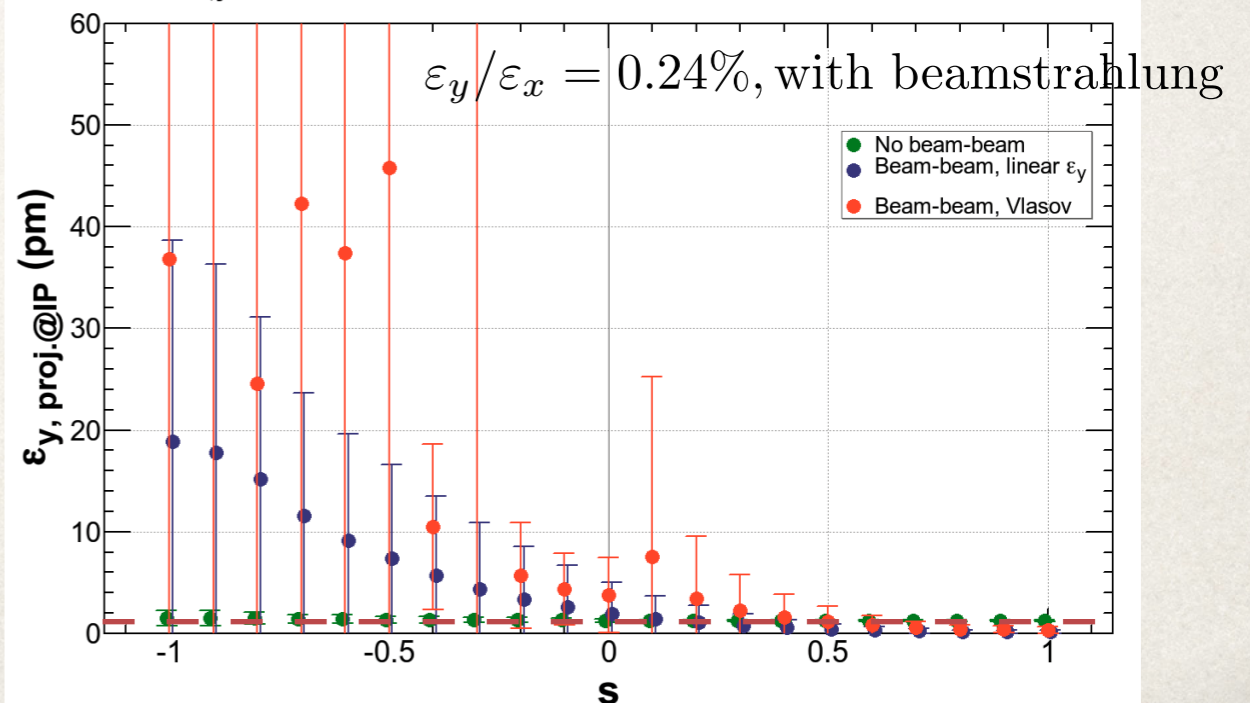
symmetric skew Q

- ❖ The blowup may be OK without beamstrahlung for symmetric skew quads.
- ❖ However blowup with beamstrahlung seems serious.

FCCee_z_217_nosol_bstr.sad
 $\epsilon_y / \epsilon_x = .24\%$, $\Delta\sigma_{\epsilon_{IP}} = .00465\%$, $\Delta\epsilon_{IP} = -4.2 \times 10^{-6}$,
 Seed = 7 (+2n), GCUT = 3.5, samples = 12,
 Design $\nu_{x,y,z} = \{ -.4310, -.3900, -.0123 \}$
 $\xi_{x,y} = \{ .0044, .1475 \}$, IP Correction: OFF, AzimuthalModes: 24



FCCee_z_217_nosol_bstr.sad
 $\epsilon_y / \epsilon_x = .24\%$, $\Delta\sigma_{\epsilon_{IP}} = .00465\%$, $\Delta\epsilon_{IP} = -4.2 \times 10^{-6}$,
 Seed = 7 (+2n), GCUT = 3.5, samples = 12,
 Design $\nu_{x,y,z} = \{ -.4310, -.3900, -.0123 \}$
 $\xi_{x,y} = \{ .0044, .1475 \}$, IP Correction: OFF, AzimuthalModes: 24



How can we solve the unexpected beam blowup?



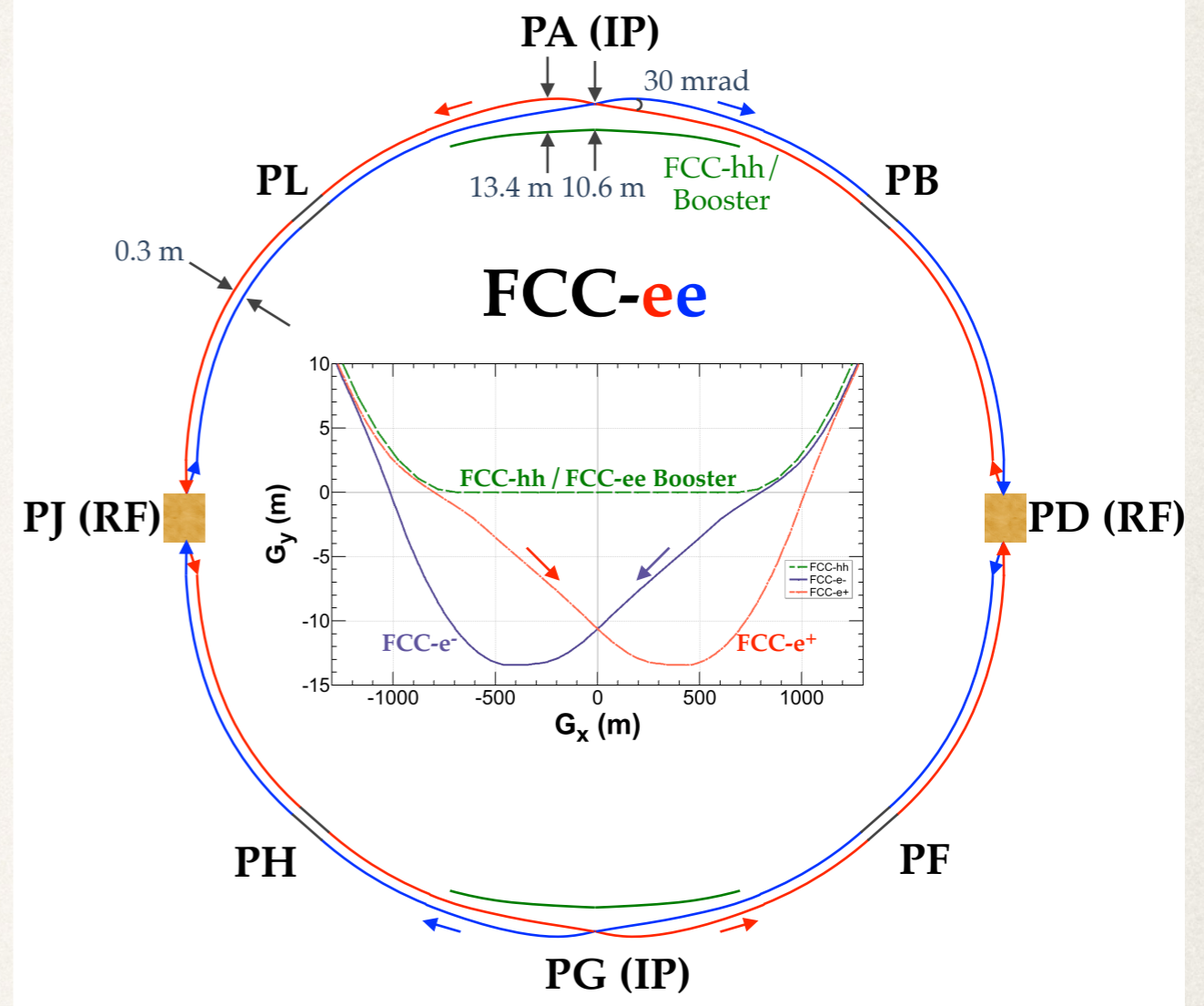
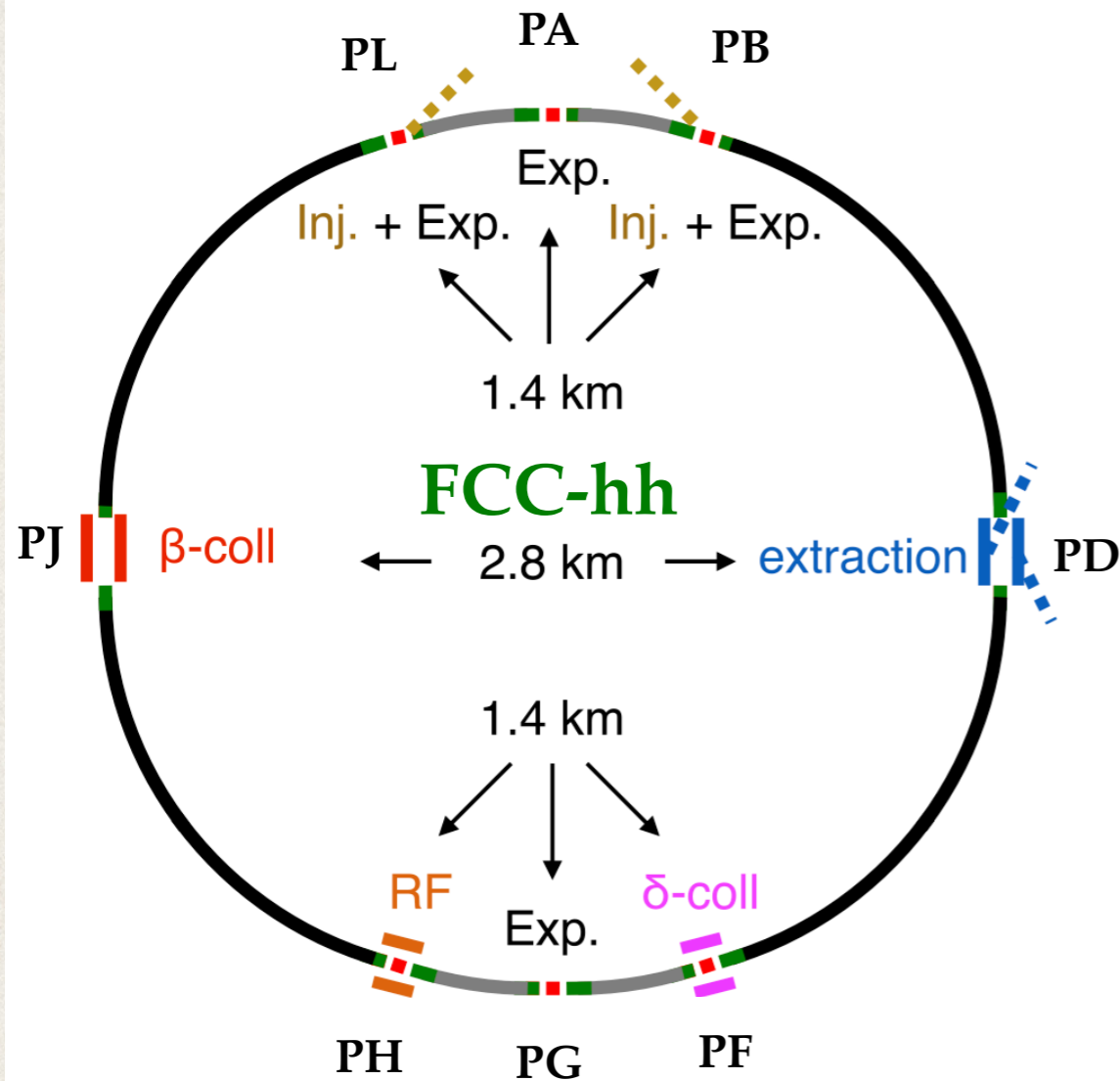
- ❖ The unexpected (anomalous) emittance blowup sets an additional condition for the machine.
- ❖ Not only the luminosity, but beam losses, detector background, quenches of superconducting magnets will be affected.
- ❖ Probably the most straight-forward solution is to reduce the lattice (on closed orbit) emittance well below the design. For instance it should be less than 0.1% in the case of FCC-ee ttbar.
- ❖ The blowup appears at FCC-ee Z as well, esp. with beamstrahlung. The lattice (on closed orbit) emittance should be less than 0.1% also, together with vertical dispersion correction.
- ❖ Such a very small emittance is still reachable by the emittance tuning method simulated so far.
- ❖ Once such a very small vertical emittance is achieved, a question is how to re-increase it to the design value. For that purpose an emittance control knob, which does not affect the anomalous emittance, must be developed.

- ❖ Unexpected blowups of the vertical emittance have been seen in tracking in lattices for FCC-ee with skew quads or vertical misalignments of sextupoles, with or without beam-beam.
- ❖ This effect is well explained by a Vlasov model of the transverse distribution in the longitudinal phase space.
- ❖ The effect is a synchrotron-betatron resonance depending on the synchrotron oscillation amplitude.
- ❖ This effect sets another criteria on the machine tuning and alignment tolerances.
- ❖ Even smaller vertical emittance of the lattice will be necessary to achieve the desired emittance.
- ❖ This effect can be also serious at SuperKEKB, which has lattices with bare x-y coupling around the IP unlike FCC-ee.

Thank you for paying attention!

Backups

FCC-ee Overview



- ❖ 100 km Circumference sharing the same tunnel with FCC-hh.
- ❖ Covers the energy range between Z-pole (91.2 GeV CM) to $t\bar{t}$ (365 GeV CM).
- ❖ Highest luminosity ever, under SR power less than 100 MW at all energies.
- ❖ Double ring, 2 IPs, 30 mrad crossing angle, crab-waist, top-up injection, etc.
- ❖ Based on existing technologies verified in e^+e^- colliders in the world, including VEPP-IV, LEP, PEP-II, KEKB, DAΦNE, CEPC II, SuperKEKB.

Luminosity performance



Table 2.1: Machine parameters of the FCC-ee for different beam energies.

		Z	WW	ZH	tt	
Circumference	[km]	97.756				
Bending radius	[km]	10.760				
Free length to IP ℓ^*	[m]	2.2				
Solenoid field at IP	[T]	2.0				
Full crossing angle at IP	[mrad]	30				
SR power / beam	[MW]	50				
Beam energy	[GeV]	45.6	80	120	175	182.5
Beam current	[mA]	1390	147	29	6.4	5.4
Bunches / beam		16640	2000	328	59	48
Average bunch spacing	[ns]	19.6	163	994	2763 ¹	3396 ^{??}
Bunch population	[10 ¹¹]	1.7	1.5	1.8	2.2	2.3
Horizontal emittance ϵ_x	[nm]	0.27	0.84	0.63	1.34	1.46
Vertical emittance ϵ_y	[pm]	1.0	1.7	1.3	2.7	2.9
Arc cell phase advances	[deg]	60/60			90/90	
Momentum compaction α_p	[10 ⁻⁶]	14.8			7.3	
Arc sextupole families		208			292	
Horizontal β_x^*	[m]	0.15	0.2	0.3	1.0	
Vertical β_y^*	[mm]	0.8	1.0	1.0	1.6	
Horizontal size at IP σ_x^*	[μ m]	6.4	13.0	13.7	36.7	38.2
Vertical size at IP σ_y^*	[nm]	28	41	36	66	68
Energy spread (SR/BS) σ_δ	[%]	0.038/0.132	0.066/0.131	0.099/0.165	0.144/0.186	0.150/0.192
Bunch length (SR/BS) σ_z	[mm]	3.5/12.1	3.0/6.0	3.15/5.3	2.01/2.62	1.97/2.54
Piwinski angle (SR/BS)		8.2/28.5	3.5/7.0	3.4/5.8	0.8/1.1	0.8/1.0
Length of interaction area L_i	[mm]	0.42	0.85	0.90	1.8	1.8
Hourglass factor R_{HG}						
Crab sextupole strength	[%]	97	87	80	40	40
Energy loss / turn	[GeV]	0.036	0.34	1.72	7.8	9.2
RF frequency	[MHz]	400			400 / 800	
RF voltage	[GV]	0.1	0.75	2.0	4.0 / 5.4	4.0 / 6.9
Synchrotron tune Q_s		0.0250	0.0506	0.0358	0.0818	0.0872
Long. damping time	[turns]	1273	236	70.3	23.1	20.4
RF acceptance	[%]	1.9	3.5	2.3	3.36	3.36
Energy acceptance (DA)	[%]	± 1.3	± 1.3	± 1.7	-2.8 +2.4	
Polarisation time t_p	[min]	15000	900	120	18.0	14.6
Luminosity / IP	[10 ³⁴ /cm ² s]	230	28	8.5	1.8	1.55
Horizontal tune Q_x		269.139	269.124	389.129	389.108	
Vertical tune Q_y		269.219	269.199	389.199	389.175	
Beam-beam ξ_x/ξ_y		0.004/0.133	0.010/0.113	0.016/0.118	0.097/0.128	0.099/0.126
Allowable e^+e^- charge asymmetry	[%]	± 3				
Lifetime by rad. Bhabha	[min]	68	59	38	40	39
Actual lifetime by BS	[min]	> 200	> 200	18	24	18

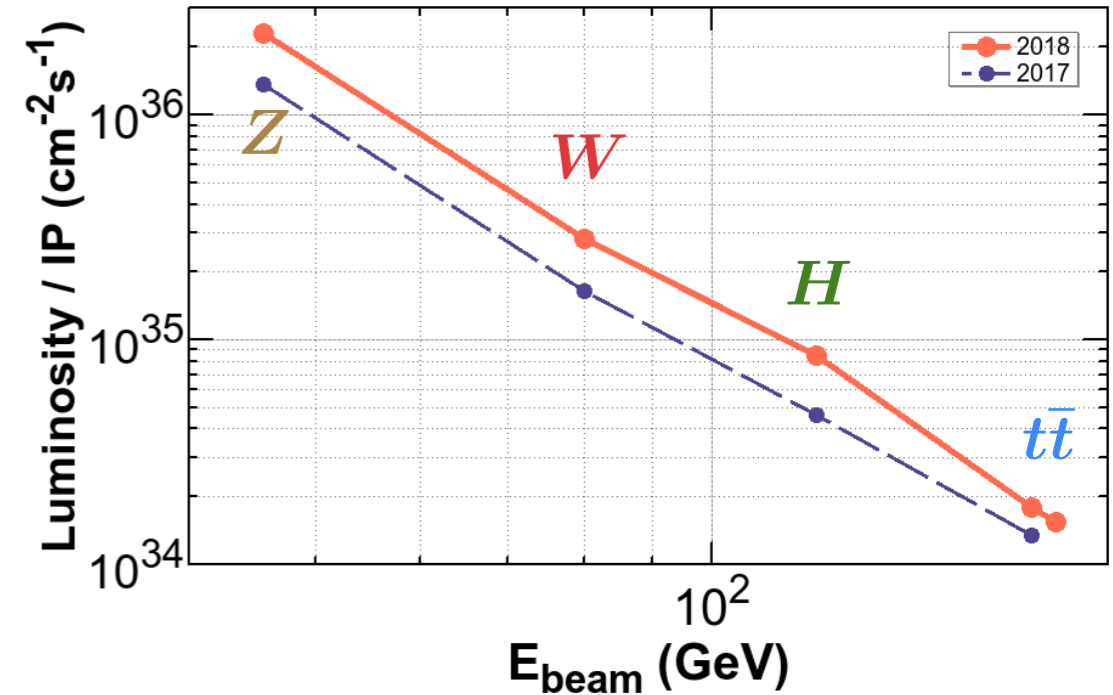


Table 2.10: Peak luminosity per IP, total luminosity per year (two IPs), luminosity target, and run time for each FCC-ee working point.

Working Point	Luminosity/IP [10 ³⁴ cm ⁻² s ⁻¹]	Tot. lum./year [ab ⁻¹ / year]	Goal [ab ⁻¹]	Run Time [years]
Z (first two years)	100	24	150	4
Z (other years)	200	48		
W	25	6	10	2
H	7.0	1.7	5	3
RF reconfiguration				1
tt 350 GeV (first year)	0.8	0.19	0.2	1
tt 365 GeV	1.5	0.34	1.5	4

