

# LECTURE II

- The standard 3v scenario and its unknowns: status and prospects
- Neutrinos and beyond the Standard Model physics

# Standard 3v scenario

$$\begin{pmatrix} \nu_{e} \\ \nu_{\mu} \\ \nu_{\tau} \end{pmatrix} = U_{PMNS}(\theta_{12}, \theta_{23}, \theta_{13}, \delta, ...) \begin{pmatrix} \nu_{1} \\ \nu_{2} \\ \nu_{3} \end{pmatrix} \qquad \begin{pmatrix} \theta_{12} \sim 34^{\circ} \\ \theta_{23} \sim 42^{\circ} \text{ o } 48^{\circ} \\ \theta_{13} \sim 8.5^{\circ} \\ \delta \sim ?$$

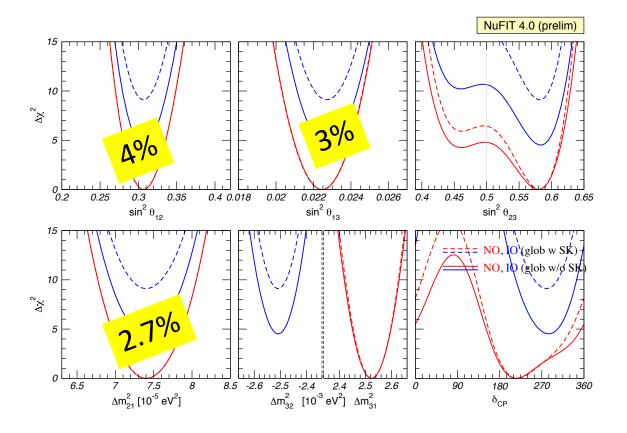
# 

inverted hierarchy

normal hierarchy

#### SM+3 massive neutrinos: Global Fits

+T2K '18 +NoVA '18+ Daya Bay '18 + RENO '18 (+ SK '18 χ² table)



Esteban, González-García, Hernández-Gabezudo, Maltoni, Schwetz '18

(see also Cappozzi et al '18, de Salas et al '18)

# The big open questions

What is the neutrino ordering normal or inverted?

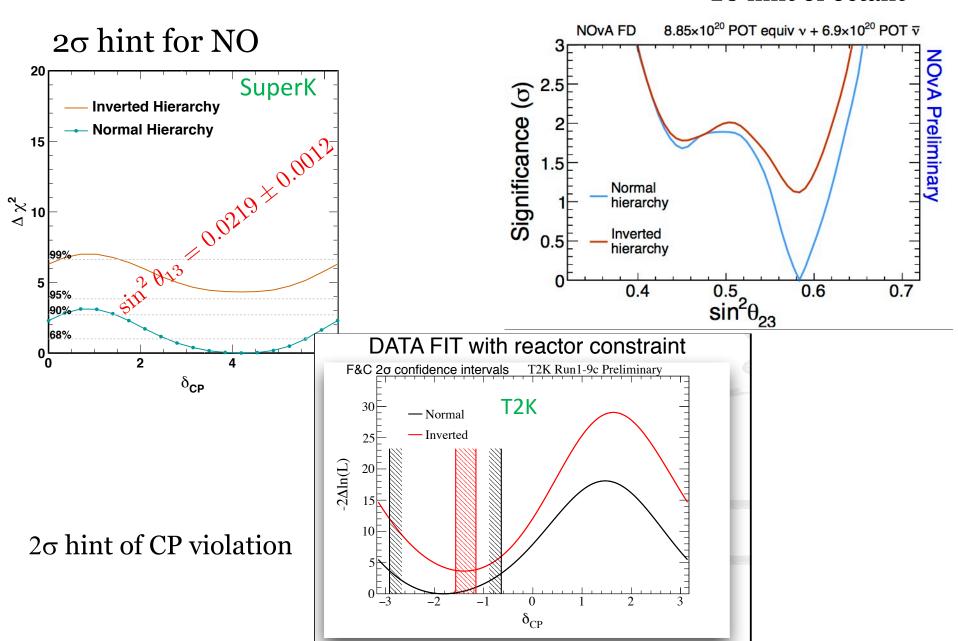
Is there leptonic CP violation?

Absolute mass scale: minimum m<sub>v</sub>

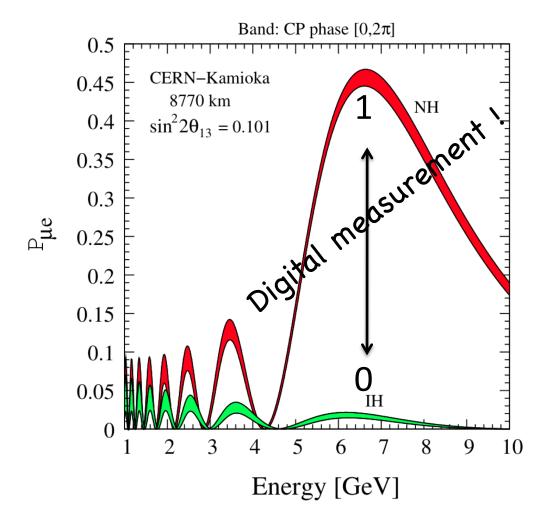
Are neutrinos Majorana and if so, what new physics lies behind this fact?

### 2018 2σ-vews

2σ hint of octant



# Hierarchy through MSW @Earth



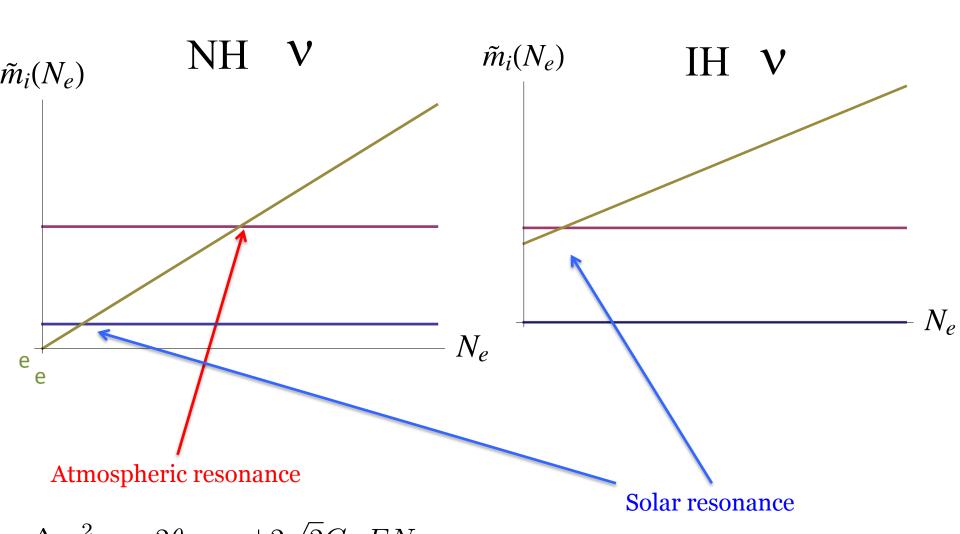
$$E_{\rm res} \equiv \frac{\Delta m_{31}^2 \cos 2\theta_{13}}{2\sqrt{2}G_F n_e},$$

$$n_e(L)L|_{L_{\text{max}}} = \frac{\pi}{\sqrt{2}G_F \tan 2\theta_{13}}$$

Spectacular MSW effect at O(6GeV) and very long baselines: no need for spectral info nor two channels

Mikheev, Smirnov; Wolfenstein

# Neutrino ordering from MSW

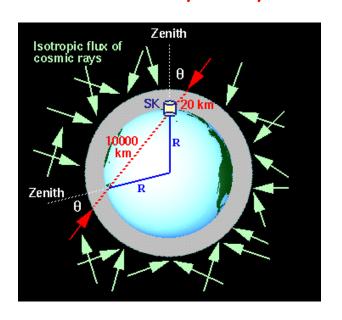


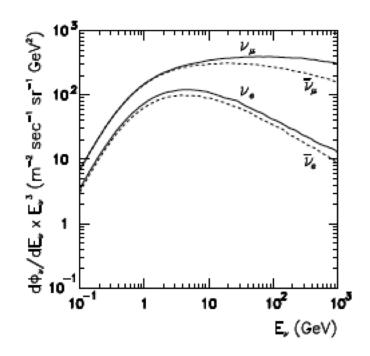
 $\Delta m^2_{23}\cos 2\theta_{13} = \pm 2\sqrt{2}G_F E N_e$ Earth density,  $E_{\rm res} \sim {\rm few~GeV}$ !

 $\Delta m_{12}^2 \cos 2\theta_{12} = 2\sqrt{2}G_F E N_e$ Solar density,  $E_{\rm res} \sim {\rm few~MeV}$ !

### Hierarchy from atmospherics? the hard way...

$$u_e, \bar{\nu}_e, \nu_\mu, \bar{\nu}_\mu$$

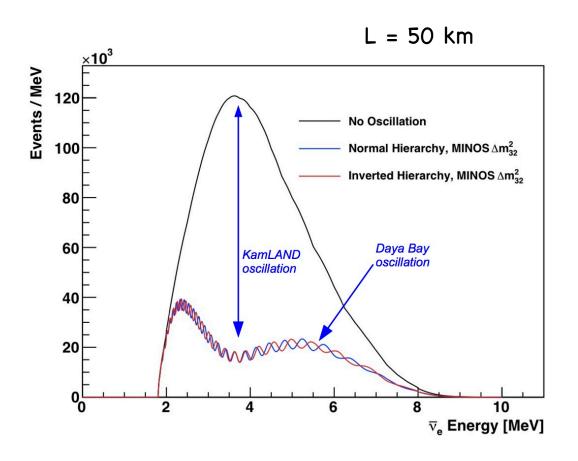




Atmospheric data contain the golden signal but hard to dig... neutrino telescopes (PINGU, ORCA) or improved atmospheric detectors (HyperK, INO)

# Hierarchy from reactor v's

Petcov, Piai; Choubey et al; Learned et al



JUNO experiment is planning to do this measurement

### Leptonic CP violation

CP violation shows up in a difference between

$$P(\nu_{\alpha} \to \nu_{\beta}) \neq P(\bar{\nu}_{\alpha} \to \bar{\nu}_{\beta}) \quad \alpha \neq \beta$$

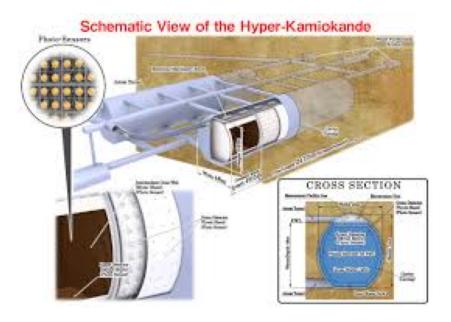
#### Golden channel:

$$\begin{split} P_{\nu_e\nu_\mu(\bar{\nu}_e\bar{\nu}_\mu)} &= s_{23}^2 \sin^2 2\theta_{13} \sin^2 \left(\frac{\Delta_{23} L}{2}\right) &\equiv P^{atmos} \\ &+ c_{23}^2 \sin^2 2\theta_{12} \sin^2 \left(\frac{\Delta_{12} L}{2}\right) &\equiv P^{solar} \\ &+ \tilde{J} &\cos \left(\pm \delta - \frac{\Delta_{23} L}{2}\right) \frac{\Delta_{12} L}{2} \sin \left(\frac{\Delta_{23} L}{2}\right) &\equiv P^{inter} \\ &\tilde{J} \equiv c_{13} \sin 2\theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \end{split}$$

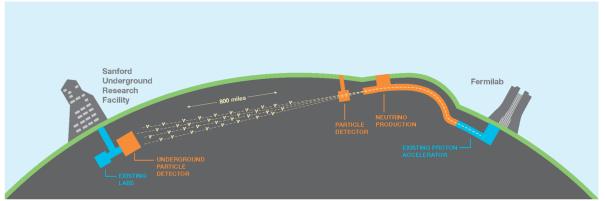
simultaneous sensitivity to both splittings is needed

# Hierarchy + CP in one go... superbeams+superdectectors

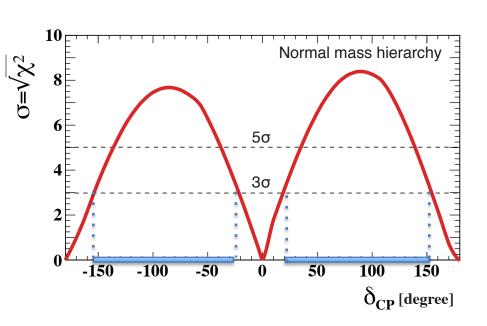
Japan Hyper-Kamiokande: 230km



USA DUNE: 1300km



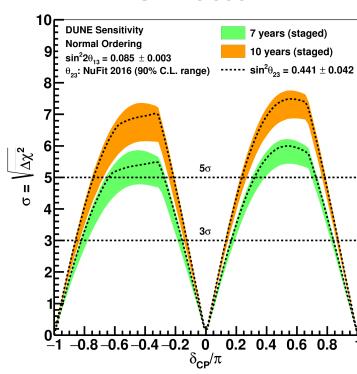
# Hierarchy + CP in one go... superbeams+superdectectors



Hyper Kamiokande (10y)

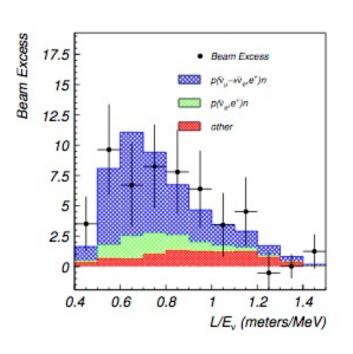
#### **DUNE CDR:**



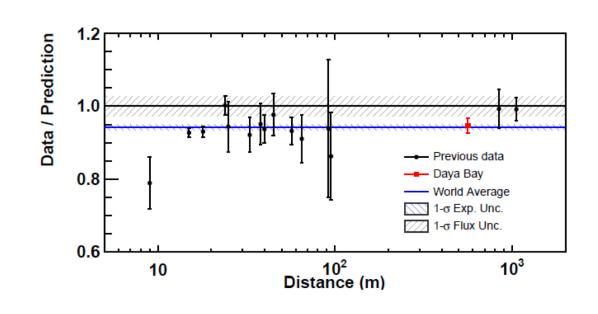


#### Outliers: SBL anomalies

#### LSND

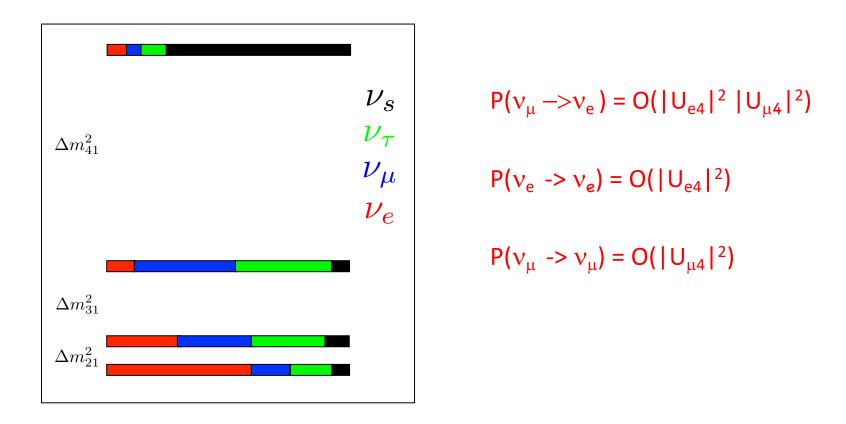


#### Reactors



+Gallium anomaly...

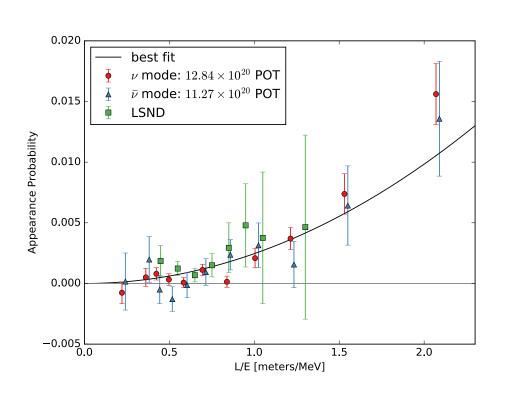
# SBL anomalies: 4<sup>th</sup> neutrino?

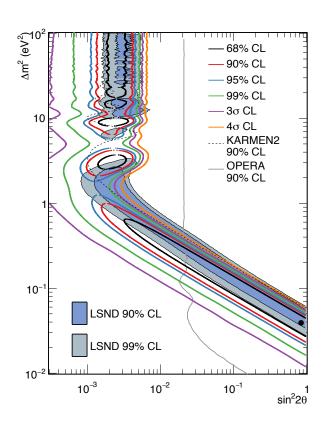


Oscillations at @meters for MeV neutrinos

# 2018 SBL Anomaly Vews

#### MiniBOONE +LSND excess

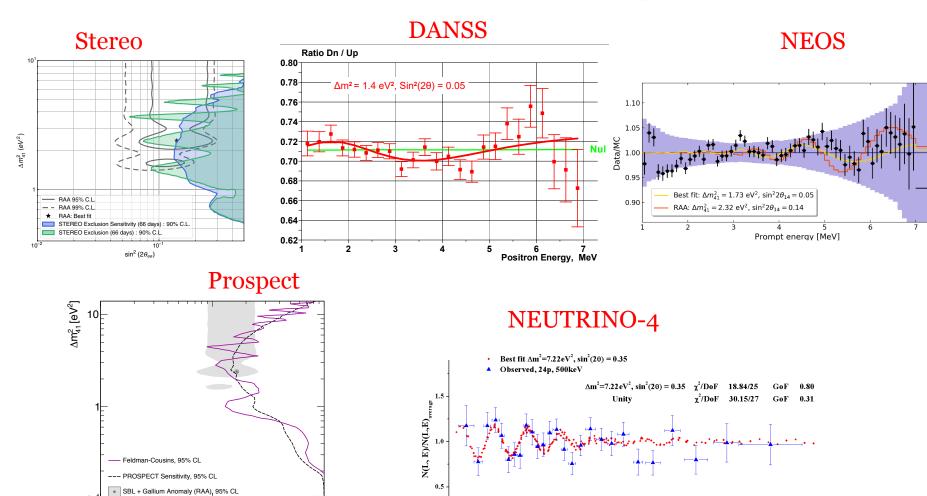




 $6\sigma$  discrepancy with SM!

# 2018 SBL Anomaly Vews

#### New SBL reactor strategies: L-dep of signal



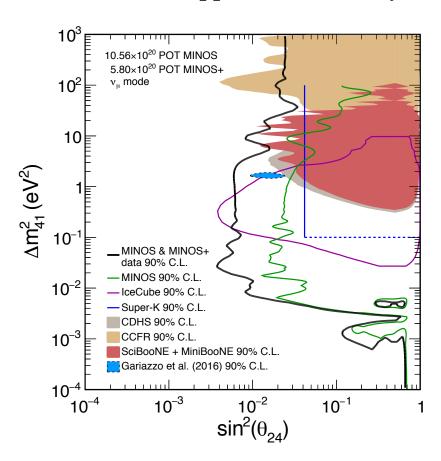
L/E

 $10^{-1}$ 

# O(eV) sterile neutrinos?

No evidence for the involvement of muons:

1) Neutrino muons must disappear also but they don't Minos, Minos+

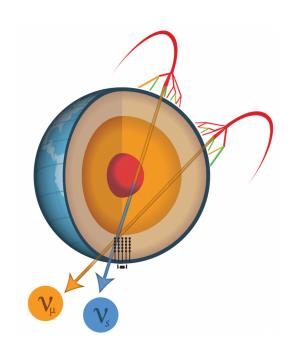


# O(eV) sterile neutrinos?

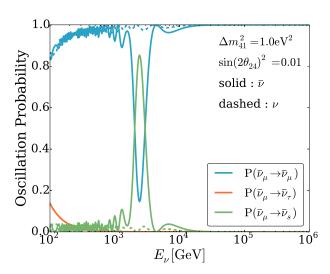
No evidence for the involvement of muons:

2) Atmospheric neutrinos must resonate into steriles when crossing the nucleus of the Earth

Icecube

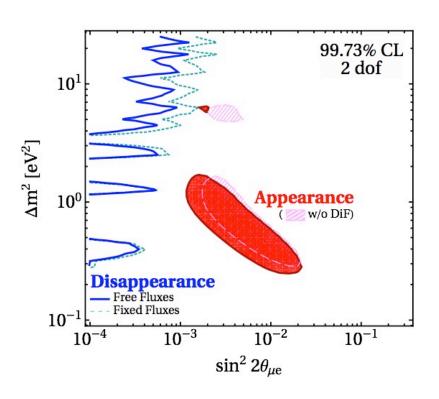


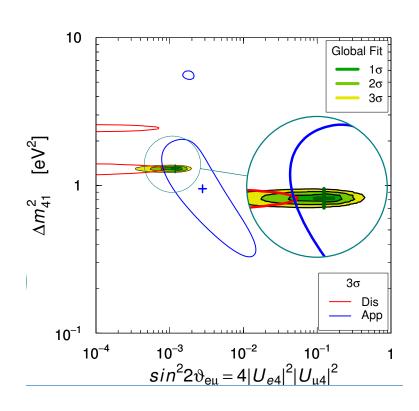
$$E_{\nu}^{\text{res}} \equiv \frac{\Delta m^2 \cos 2\theta}{2\sqrt{2}G_F N_e} \sim \mathcal{O}(TeV)$$



Chizhov, Petcov; Nunokawa et al; Barger et al; Esmaili et al;

# O(eV) 4<sup>th</sup> neutrino is not a good fit (all things considered...)



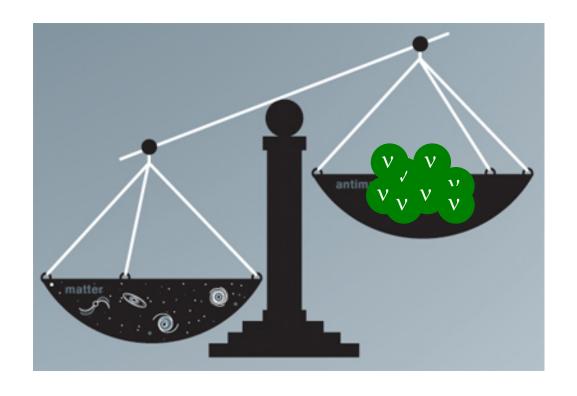


Dentler et al, 1803.10661

More exotic BSM posibilities...

#### Absolute v mass scale

Best constraints at present from cosmology

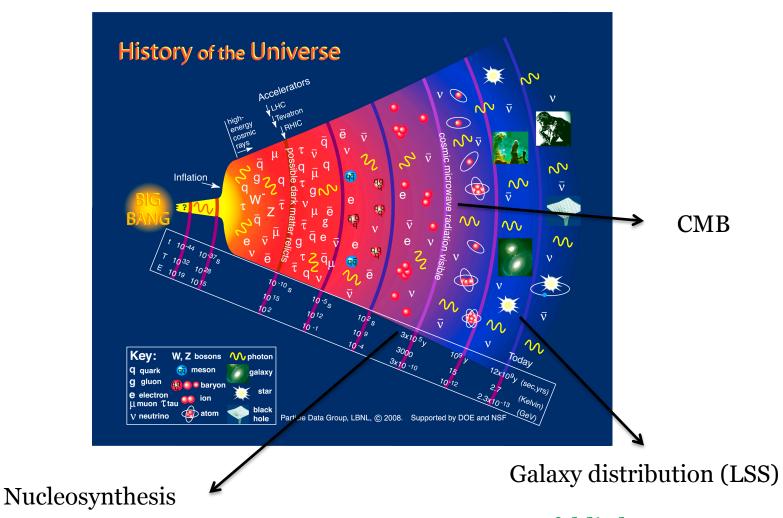


Planck '18  $\sum m_{\nu} < 0.12 \text{ eV}$ 

(95 %, *Planck* TT,TE,EE+lowE +lensing+BAO).

#### Cosmological neutrinos

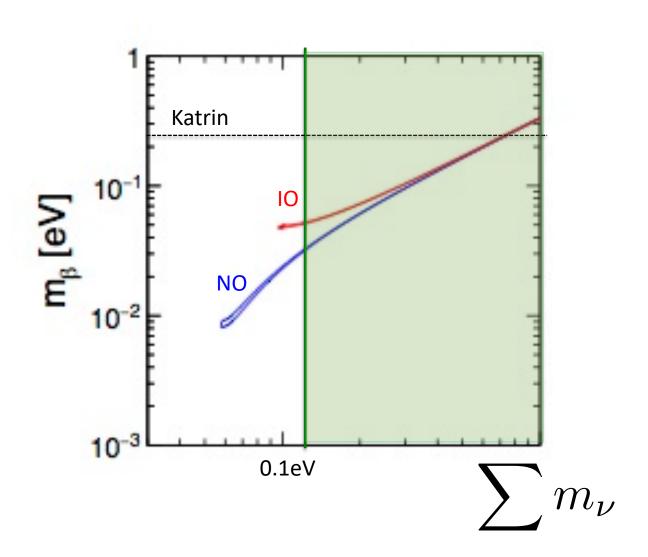
Neutrinos have left many traces in the history of the Universe



Rosenfeld's lecture

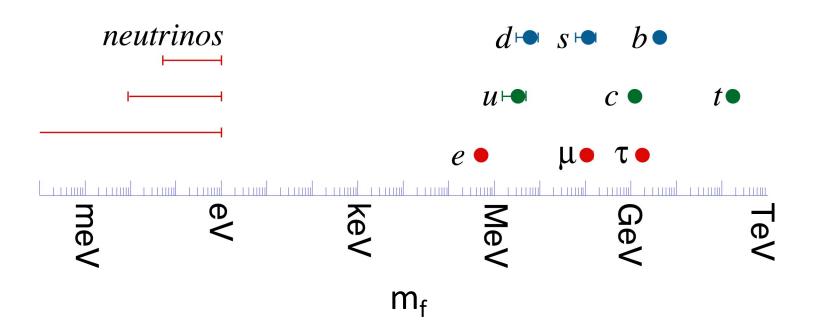
#### Absolute v mass scale

Neutrinos as light as 0.1-1eV modify the large scale structure and CMB



# Why are neutrinos so much lighter?

Neutral vs charged hierarchy?



# Why so different mixing?

#### **CKM**

$$|V|_{\text{CKM}} = \begin{pmatrix} 0.97427 \pm 0.00015 & 0.22534 \pm 0.0065 & (3.51 \pm 0.15) \times 10^{-3} \\ 0.2252 \pm 0.00065 & 0.97344 \pm 0.00016 & (41.2^{+1.1}_{-5}) \times 10^{-3} \\ (8.67^{+0.29}_{-0.31}) \times 10^{-3} & (40.4^{+1.1}_{-0.5}) \times 10^{-3} & 0.999146^{+0.000021}_{-0.000046} \end{pmatrix}$$

**PDG** 

#### **PMNS**

$$|U|_{3\sigma}^{\text{LID}} = \begin{pmatrix} 0.798 \to 0.843 & 0.517 \to 0.584 & 0.137 \to 0.158 \\ 0.232 \to 0.520 & 0.445 \to 0.697 & 0.617 \to 0.789 \\ 0.249 \to 0.529 & 0.462 \to 0.708 & 0.597 \to 0.773 \end{pmatrix}$$

**NuFIT 2016** 

# Why so different mixing?

#### **CKM**

$$V_{CKM} \simeq \left( egin{array}{ccc} 1 & 0 & 0 \ 0 & 1 & 0 \ 0 & 0 & 1 \end{array} 
ight)$$

#### **PMNS**

$$|V_{PMNS}| \simeq \left( \begin{array}{ccc} \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} & 0 \\ \sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & \sqrt{\frac{1}{2}} \\ \sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & \sqrt{\frac{1}{2}} \end{array} \right)$$

# Where the large mixing comes from?

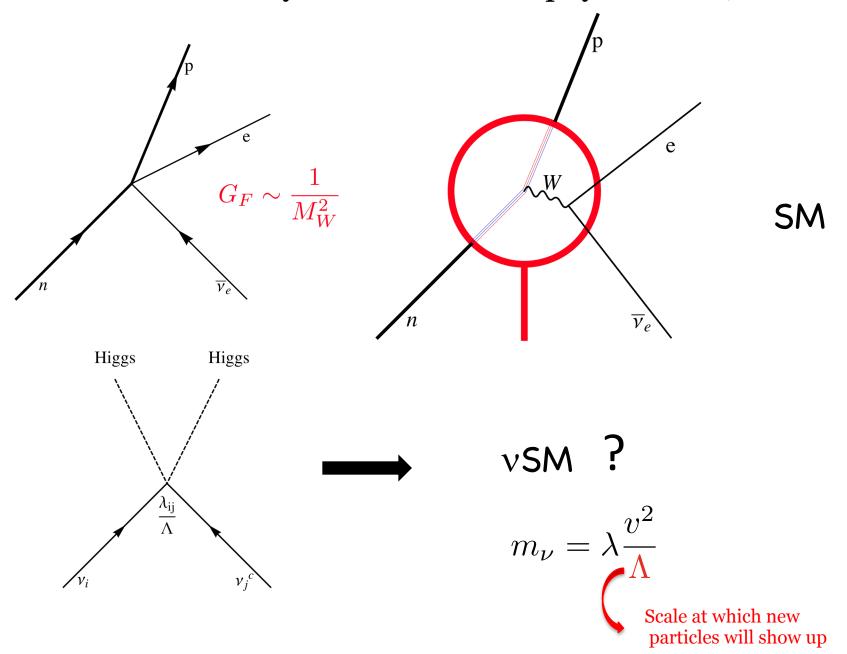


Anarchy for leptons

Discrete or continuous symmetries

Lepton-quark flavour connection in GUTs?

Neutrinos have tiny masses -> a new physics scale, what?



# What originates the neutrino mass?

Could be  $\Lambda >> v...$  the standard lore (theoretical prejudice?)

$$\Lambda = M_{
m GUT} \ \lambda \sim {\cal O}(1)$$
  $m_{m 
u}$   $\sqrt{\phantom{a}}$ 

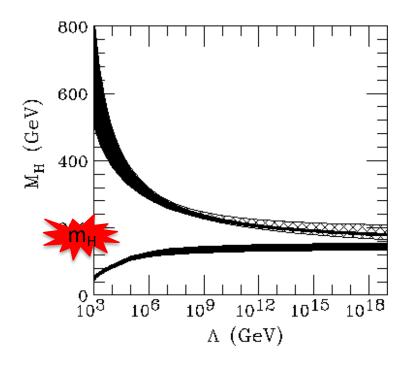
Hierarchy problem

$$m_H^2 \propto \Lambda^2$$

Vissani

not natural in the absence of SUSY/other solution to the hierarchy problem

The Standard Model is healthy as far as we can see...

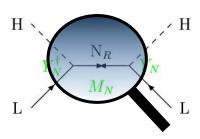


#### Could be naturally $\Lambda \sim v$ ?

Yes!  $\lambda$  in front of neutrino mass operator must be small...

#### Resolving the neutrino mass operator at tree level

#### Type I see-saw: a heavy singlet scalar

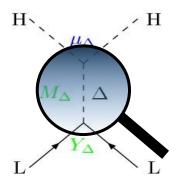


$$m_
u = rac{\lambda v^2}{\Lambda} \equiv Y_N^T rac{v^2}{M_N} Y_N$$

Minkowski; Yanagida; Glashow; Gell-Mann, Ramond Slansky; Mohapatra, Senjanovic...

$$\lambda \sim O(Y^2)$$

#### Type II see-saw: a heavy triplet scalar



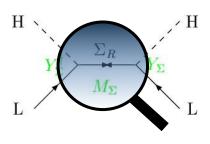
$$m_
u = rac{\partial \!\!\!/ v^2}{\Lambda} \equiv Y_\Delta rac{\mu_\Delta}{M_\Delta^2} v^2$$

Konetschny, Kummer; Cheng, Li; Lazarides, Shafi, Wetterich ...

$$\lambda \sim O(Y \mu/M_{\Delta})$$

#### E. Ma

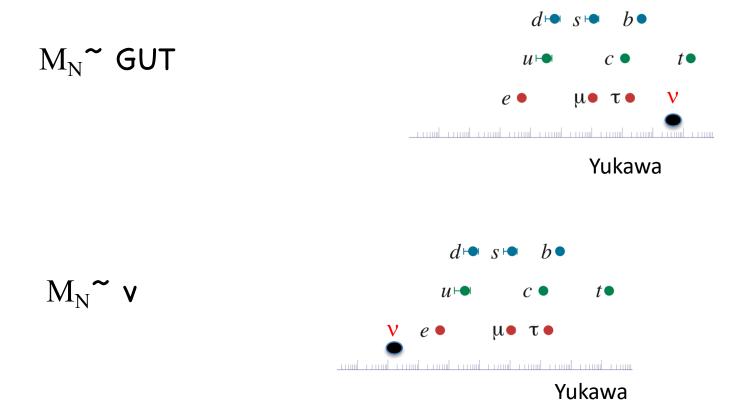
Type III see-saw: a heavy triplet fermion



$$m_
u = rac{\lambda v^2}{\Lambda} \equiv Y_\Sigma^T rac{v^2}{M_\Sigma} Y_\Sigma$$

Foot et al; Ma; Bajc, Senjanovic...

$$\lambda \sim O(Y^2)$$



#### Where is the new scale?



#### Generic predictions

 $\succ$  there is neutrinoless double beta decay at some level ( $\Lambda > 100 \text{MeV}$ )

model independent contribution from the neutrino mass

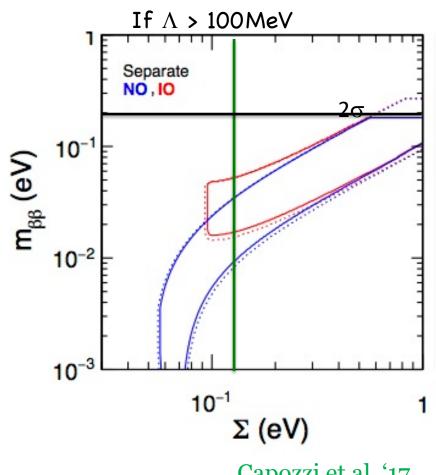


# Majorana nature: ββ**0**ν

Plethora of experiments with different techniques/systematics: EXO, KAMLAND-ZEN, GERDA, CUORE, NEXT ...

$$m_{\beta\beta} = \underbrace{\sum_{i=1}^{3} \left[ (U_{PMNS})_{ei} \right]^{2} m_{i}}_{\text{Light states}}$$

$$m_{etaeta}\equiv |m_{ee}|$$
  $\Sigma\equiv\sum_i m_i$ 



Capozzi et al '17

#### Where is the new scale?



#### Generic predictions:

> a matter-antimatter asymmetry if there is CP violation in the lepton sector via leptogenesis

model dependent...



#### Where is the new scale?



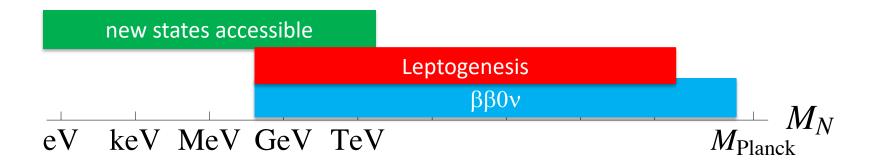
#### Generic predictions:

 $\triangleright$  there are other states out there at scale  $\Lambda$ : new physics beyond neutrino masses

potential impact in cosmology, EW precision tests, collider, rare searches,  $\beta\beta0\nu$ , ...



#### Where is the new scale?



The EW scale is an interesting region: new physics underlying the matter-antimatter asymmetry could be predicted & tested!

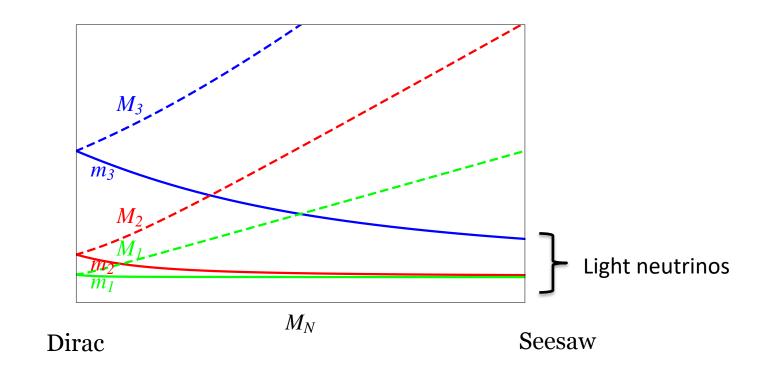
#### Minimal model of neutrino masses:

Type I seesaw: SM+right-handed neutrinos

Minkowski; Yanagida; Glashow; Gell-Mann, Ramond Slansky; Mohapatra, Senjanovic...

#### Type I seesaw models

 $n_R = 3$ : 18 free parameters (6 masses+6 angles+6 phases) out of which we have measured 2 masses and 3 angles...

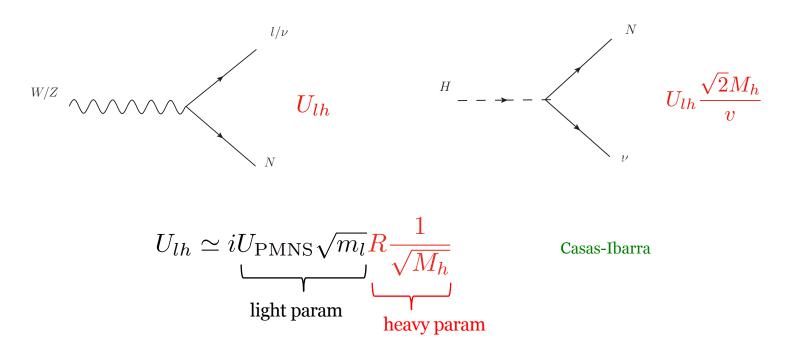


#### Type I seesaw models

Phenomenology (beyond neutrino masses) of these models depends on the heavy spectrum and the size of active-heavy mixing:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{ll} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} + U_{lh} \begin{pmatrix} N_1 \\ N_2 \\ N_3 \end{pmatrix}$$

#### Type I seesaw models



R: general orthogonal complex matrix (contains all the parameters we cannot measure in neutrino experiments)

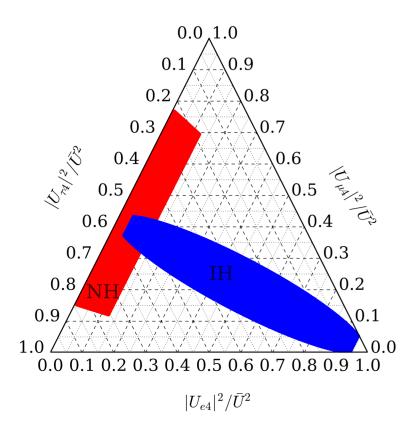
Strong correlation between active-heavy mixing and neutrino masses:

$$|U_{lh}|^2 \sim \frac{m_l}{M_N}$$
 (but naive scaling too naive for  $n_R > 1...$ )

#### Seesaw correlations:

flavour ratios of heavy lepton mixings strongly correlated with ordering,  $U_{PMNS}$  matrix:  $\delta$ ,  $\phi_1$ 

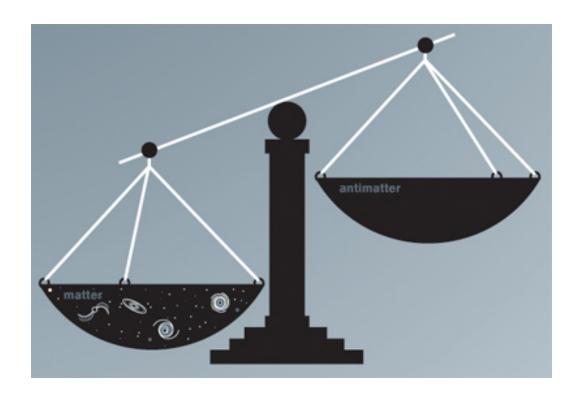




Caputo, PH, Lopez-Pavon, Salvado arxiv:1704.08721

## Baryon asymmetry

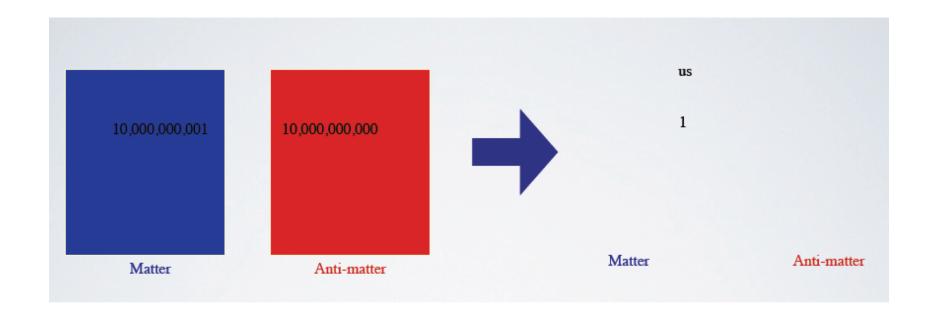
The Universe seems to be made of matter



$$\eta \equiv \frac{n_B - n_{\bar{B}}}{n_{\gamma}} = 6.21(16) \times 10^{-10}$$

## Baryon asymmetry

#### In the early Universe this implies



WMAP 
$$\eta \equiv \frac{n_B - n_{\bar{B}}}{n_{\gamma}} = 6.21(16) \times 10^{-10}$$

## Baryon asymmetry

Can it arise from a symmetric initial condition with same matter & antimatter ?

Sakharov's necessary conditions for baryogenesis

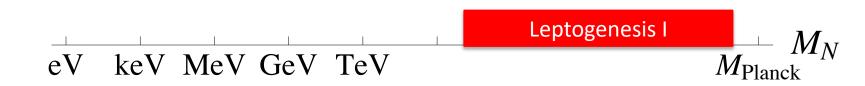
- ✓ Baryon number violation (B+L violated in the Standard Model)
- ✓ C and CP violation (both violated in the SM)
- ✓ Deviation from thermal equilibrium (at least once: electroweak phase transition)

It does not seem to work in the SM with massless neutrinos ...

CP violation in quark sector far to small, EW phase transition too weak...

## Leptogenesis

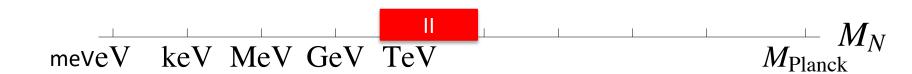
Models with massive neutrinos generically lead togeneration of lepton and therefore baryon asymmetries



Standard leptogenesis in out-of-equilibrium decay  $M_N > 10^7 GeV$ 

Fukuyita, Yanagida

## Leptogenesis



Resonant leptogenesis M>100 GeV

Pilaftsis...

## Leptogenesis



Leptogenesis from neutrino oscillations 0.1GeV <M < 100GeV

Akhmedov, Rubakov, Smirnov; Asaka, Shaposhnikov,...

#### Sakharov conditions

CP violation (up to 6 new CP phases in the lepton sector)

$$Y = U_{\rm PMNS}^* \sqrt{m_{\nu}} R \sqrt{M_h} \frac{\sqrt{2}}{v}$$

(R: 3 complex angles + U<sub>PMNS</sub>: 3 phases)

B+L violation from sphalerons  $T > T_{EW}$ 

- + L (high-scales)
- +  $L\alpha$  (high and low scales)

Out of equilibrium: different for low and high scales

## High-scale leptogenesis

New sources of CP violation and L violation in the neutrino sector can induce CP asymmetries in decays of heavy Majorana v

Fukuyita, Yanagida

$$N_{j} = \frac{H_{2}}{l} + N_{j} = \frac{H_{2}}{H_{2}} + N_{j} = \frac{H_{2}}{l} + N_{j} = \frac{N_{2}}{l} + N_{j} = \frac{N_{2}}$$

$$Y_B = 4 \times 10^{-3}$$
 CP-asym eff. factor  $\kappa$ 

Generic and robust feature of see-saw models for large enough scales  $M_N > 10^7$ -10<sup>9</sup> GeV (unless an extreme degeneracy exits)

#### Low-scale Leptogenesis

Akhmedov, Rubakov, Smirnov

CP asymmetries arise in production of sterile states via the interference of CP-odd phases and CP-even phases from oscillations

$$Y_B \propto \sum_{lpha} \Delta_{CP}^{lpha} \eta_{lpha}$$

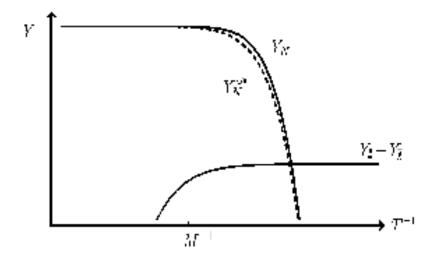
$$\sum_{\alpha} \Delta_{CP}^{lpha} \eta_{lpha}$$

$$\sum_{\alpha} \Delta_{CP}^{lpha} = 0$$

$$L_{lpha} o L_{eta} 
eq \bar{L}_{lpha} o \bar{L}_{eta}$$

Different flavours different efficiency in transfering it to the baryons

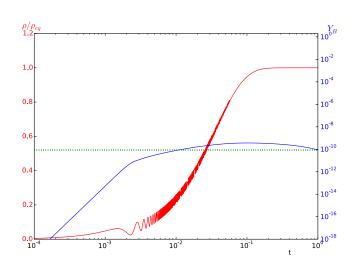
High-scale leptogenesis (larger Y)



$$\Gamma_N \leq H(M_N)$$

(decay rate < hubble expansion)

# Low-scale leptogenesis (smaller Y)



 $T_{EW}$ 

$$\Gamma_s(T_{EW}) \le H(T_{EW})$$

(scattering rate < hubble expansion)

# Testability/predictivity?

• Y<sub>B</sub> cannot be determined from neutrino masses and mixings only

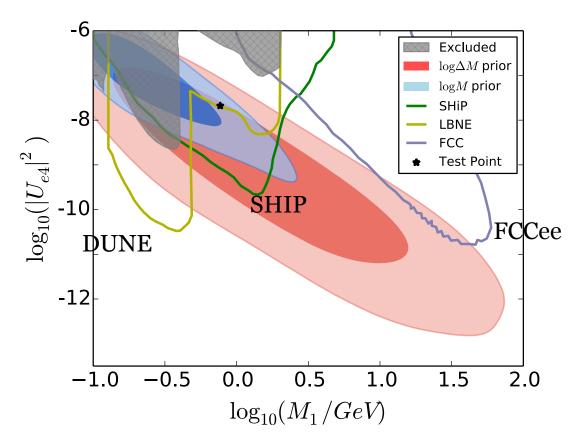
More information from the heavy sector is needed:

High-scale scenarios: very difficult for  $M_N > 10^7 \,\text{GeV}$ 

Low-scale scenarios: N's can be produced in the lab

and could be in principle detectable!

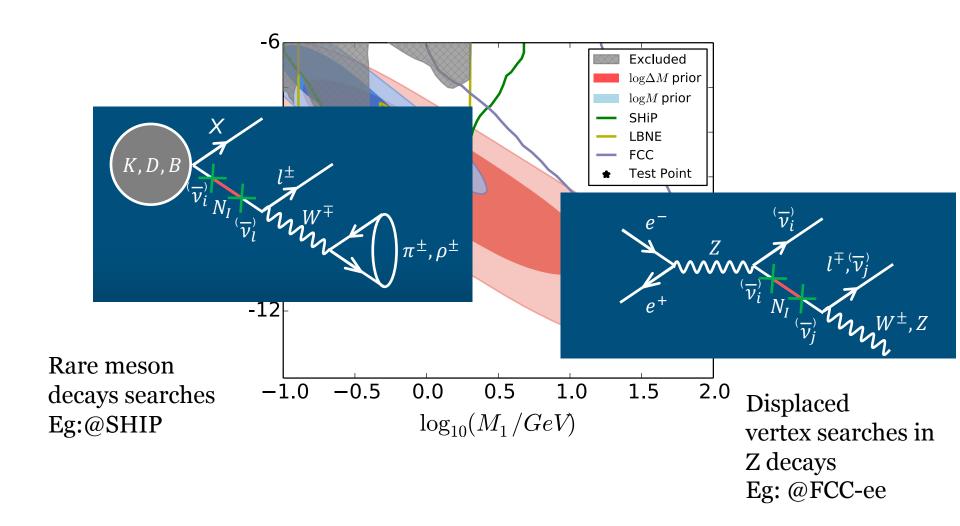
In the minimal model with just  $n_R=2$  neutrinos (IH)



PH, Kekic, Lopez-Pavon, Racker, Salvado

Colored regions: posterior probabilities of successful Y<sub>B</sub>

In the minimal model with just  $n_R=2$  neutrinos (IH)



#### Predicting $Y_B$ in the minimal model $n_R=2$ ?

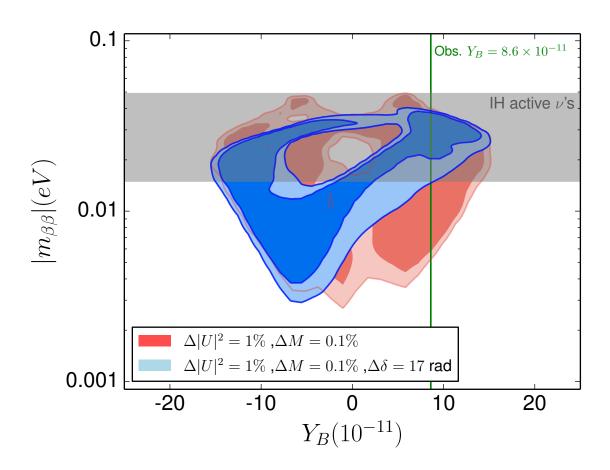
Assume a point within SHIP reach that gives the right baryon asymmetry

SHIP measurement could provide (if states not too degenerate)

$$M_1$$
,  $M_2$ ,  $|U_{e1}|^2$ ,  $|U_{\mu 1}|^2$ ,  $|U_{e2}|^2$ ,  $|U_{\mu 2}|^2$ 

• Future neutrino oscillations:  $\delta$  phase in the  $U_{PMNS}$ 

### Predicting $Y_B$ in the minimal model $n_R=2$ (IH)



PH, Kekic, Lopez-Pavon, Racker, Salvado

#### Predicting $Y_B$ in the minimal model $n_R=2$

Heavy states also contribute to the  $\beta\beta$ ov amplitude...

$$m_{\beta\beta} = \underbrace{\sum_{i=1}^{3} \left[ (U_{PMNS})_{ei} \right]^2 m_i}_{\text{Light states}} + \underbrace{\sum_{i=j}^{3} U_{ej}^2 M_j \frac{\mathcal{M}^{0\nu\beta\beta}(M_j)}{\mathcal{M}^{0\nu\beta\beta}(0)}}_{\text{Heavy states}}$$

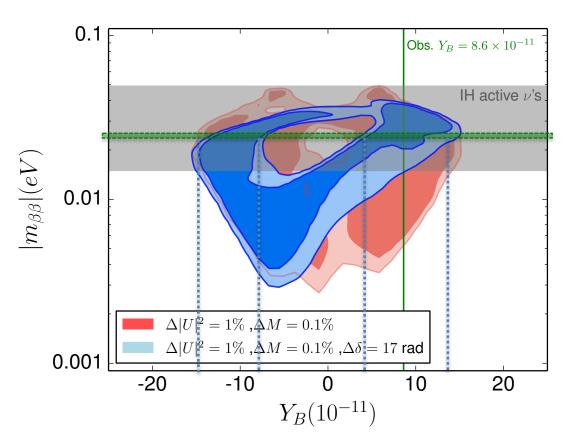
$$M_j \to \infty \qquad \frac{\mathcal{M}^{0\nu\beta\beta}(M_j)}{\mathcal{M}^{0\nu\beta\beta}(0)} \propto \left( \frac{100 \text{ MeV}}{M_j} \right)^2$$

the heavy contribution is sizeable for  $M_i$  of O(GeV)

Blennow, Fernandez-Martinez, Lopez-Pavon, Menendez; Lopez-Pavon, Pascoli, Wong; Lopez-Pavon, Molinaro, Petcov

The non standard contributions bring essential information of some CP phases and other unknown parameters

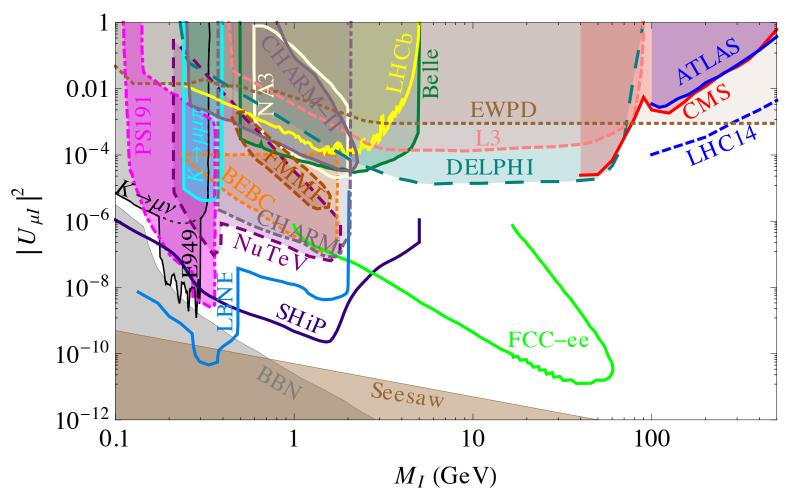
#### Predicting Y<sub>B</sub> in the minimal seesaw model M~GeV



PH, Kekic, Lopez-Pavon, Racker, Salvado arxiv:1606.06719

The GeV-miracle: the measurement of the mixing to  $e/\mu$  of the sterile states, neutrinoless double-beta decay and  $\delta$  in neutrino oscillations have a chance to give a prediction for  $Y_B$ 

# Exploring the EW region



Reviews Atre, Han, Pascoli, Zhang; Gorbunov, Shaposhnikov; Ruchayskiy, Ivashko; Deppisch, Dev, Pilaftsis

Bounds only interesting if

$$|U_{\alpha i}|^2 \gg \frac{m_{\nu}}{M_i} \leftrightarrow R \gg 1$$

• In some cases unnatural:

eg: cancellation between tree level and 1 loop contribution to neutrino masses

Lopez-Pavon, Pascoli, Wang

But also technically natural textures:

protected by an approximate global U(1)<sub>L</sub>

Example 
$$n_R=2$$
:  $L(N_1)=+1$ ,  $L(N_2)=-1$   $\begin{pmatrix} 0 & Yv & 0 \\ Yv & 0 & M_N \\ 0 & M_N & 0 \end{pmatrix}$ 

$$-\mathcal{L}_{\nu} \supset \bar{N}_1 M N_2^c + Y \bar{L} \tilde{\Phi} N_1 + h.c.$$

Does not induce neutrino masses: Y unbounded by them

## Seesaw models + approx Lepton number

Wyler, Wolfenstein; Mohapatra, Valle; Branco, Grimus, Lavoura, Malinsky, Romao; Kersten, Smirnov; Abada et al; Gavela et al; Dev, Pilaftsis....many others

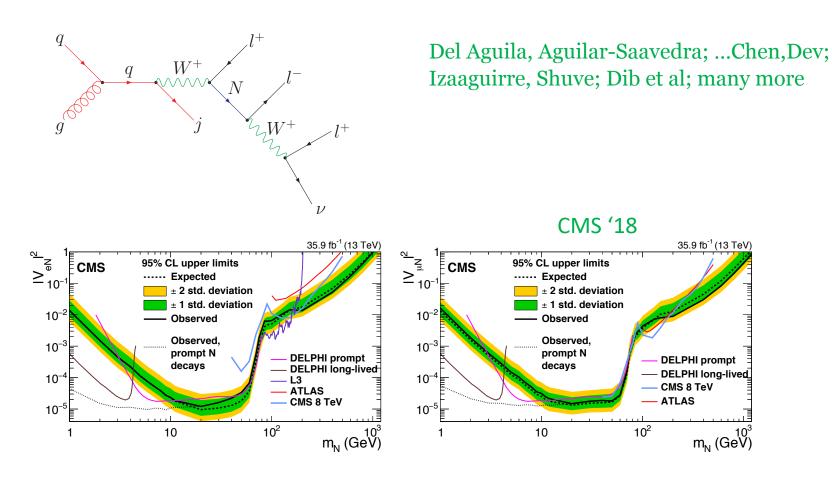
$$\left(egin{array}{cccc} Y_1v & \epsilon Y_2v \ Y_1v & \mu' & M_N \ \epsilon Y_2v & M_N & \mu \end{array}
ight)$$
 direct seesaw inverse seesaw extended seesaw

They are all a subclass of type I seesaw models with the generic features:

- quasi-Dirac heavy states
- LNV (neutrino masses, same-sign W decays, etc) ~  $O(\mu, \mu', \epsilon)$
- Yukawa hierarchies

Look for LNC processes! Can we test their Majorana nature?

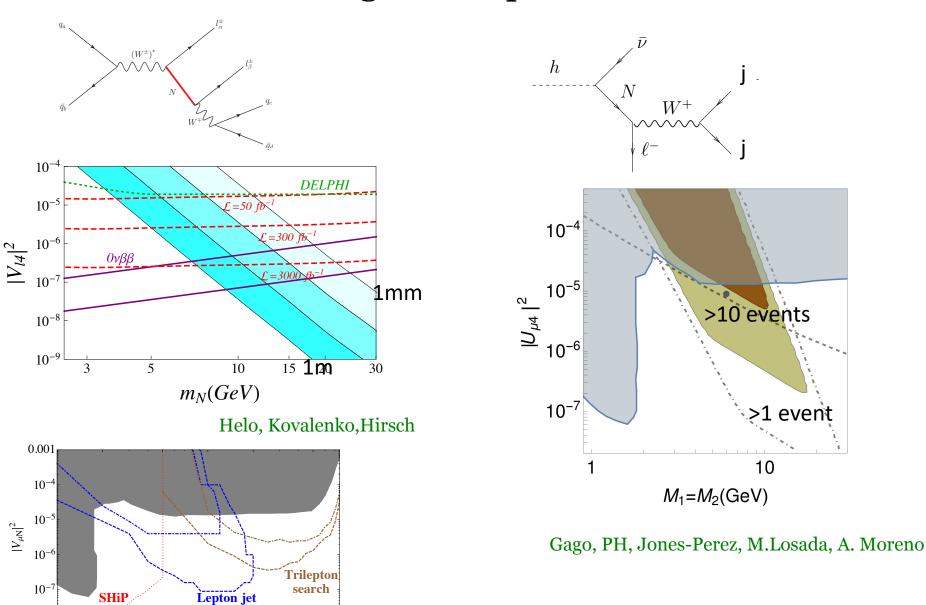
## LNC @LHC: trilepton + missing energy



Reaching significantly lower mixings (& lower masses) via displaced decays

Helo, Kovalenko, Hirsch; Gago, PH, Jonez-Perez, Losada, Moreno; Blondel, Graverini, Serra, Shaposhnikov; Antush, Cazzato, Ficher;...

## Golden signal: Displaced Vertices



Izaguirre, Shuve

proposal

 $10^{-8}$ 

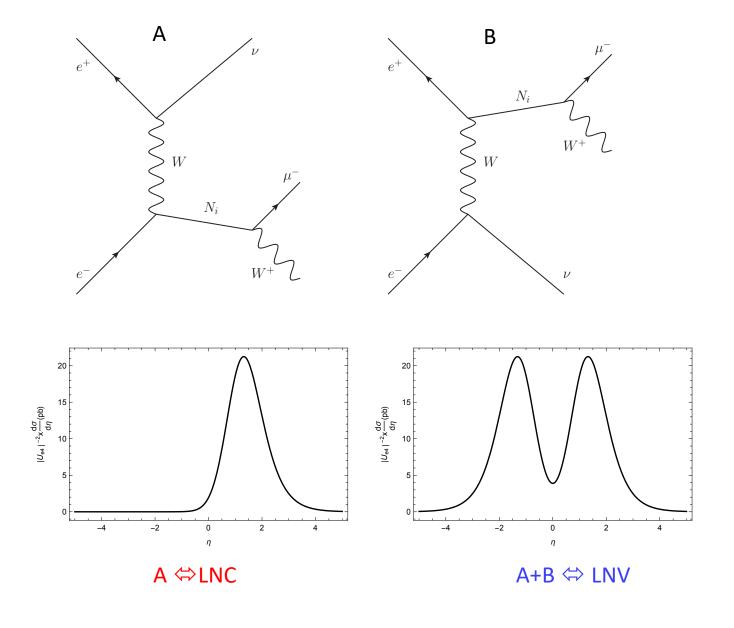
search

 $M_N$  (GeV)

20

50

## Majorana vs pseudo-Dirac @ e+e-



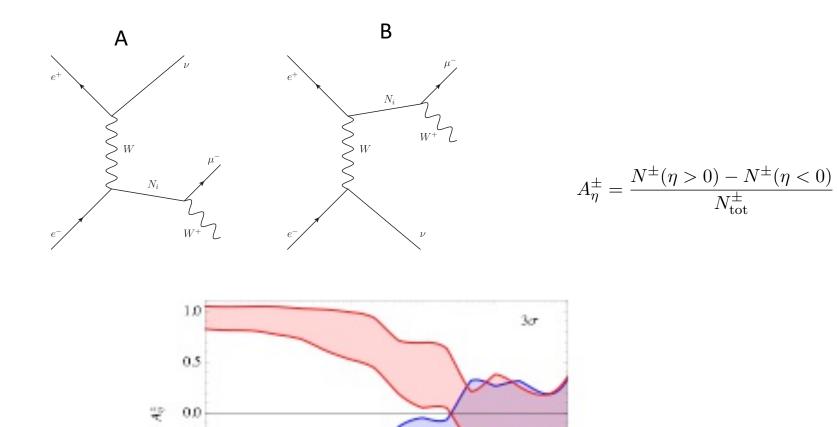
## Majorana vs pseudo-Dirac @ e+e-

-0.5

-1.0

0.01

0.10



10

 $(\delta M/\Gamma)^2$ 

100

1000

# Beyond the minimal model

#### Many possibilities:

```
Examples: type I + extra Z',
```

type II, III

left-right symmetric models

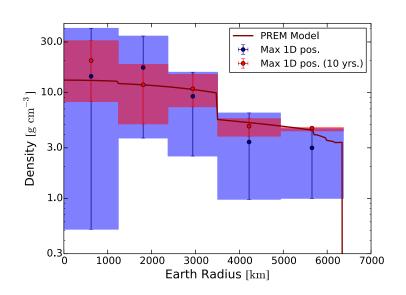
GUTs, etc

Keung, Senjanovic; Pati, Salam, Mohapatra, Pati; Mohapatra, Senjanovic; Ferrari et al + many recent refs...

- ➤ Generically new gauge interactions can enhance the production in colliders: richer phenomenology
- ➤ But also make leptogenesis more challenging (out-of-equilibrium condition harder to meet)

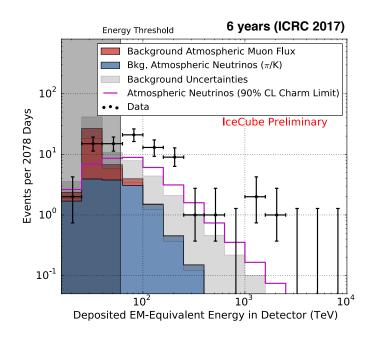
# New era of v phyiscs: neutrino astronomy, geology,...

#### Understand the Earth



Donini, Palomares-Ruiz, Salvado, 1803.05901

#### **Understand Astrophysical sources**



Icecube '17

Whole new lecture!

### Conclusions

- The results of many beautiful experiments have demonstrated that  $\nu$  are (for the time-being) the less standard of the SM particles
- Many fundamental questions remain to be answered however: Majorana nature of neutrinos and scale of new physics? CP violation in the lepton sector? Source of the matter-antimatter asymmetry? Lepton vs quark flavour?
- $\bullet$  A new scale  $\Lambda$  could explain the smallness of neutrino and other mysteries such as the matter-antimatter asymmetry, DM, etc
- Complementarity of different experimental approaches:  $\beta\beta$ ov, CP violation in neutrino oscillations, direct searches in meson decays, collider searches of displaced vertices, etc...holds in well motivated models with a low scale  $\Lambda$  (GeV scale very interesting)

### The vSM?

