



The Knowns and Unknowns of Neutrinos



RINP2

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February 03, 2019

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Neutrino properties

The dog that did not bark



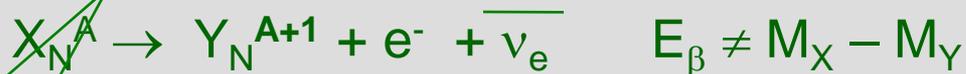
Radioactive decays

Types: α , β , and γ decays

- α -decay: the parent nucleus, X, becomes a different nucleus, Y, by the emission of an α -particle.



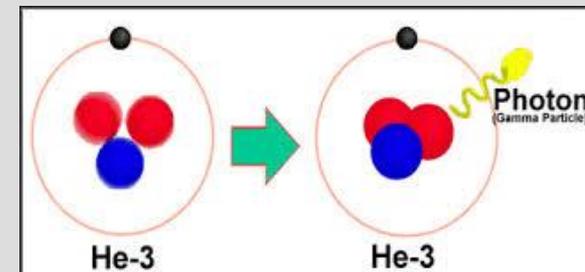
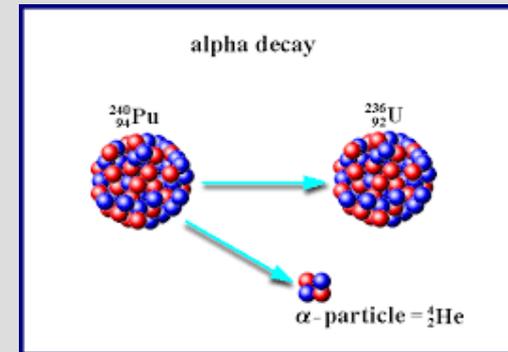
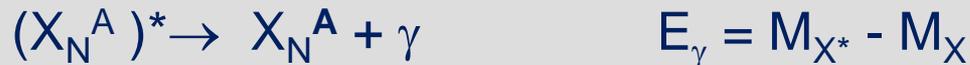
- β -decay: Inside the nucleus $n \rightarrow p + e^- + \bar{\nu}_e$



Our focus

(No neutrino would give equality!)

- γ -decay: Nucleus de-excites by emitting high-energy γ -ray





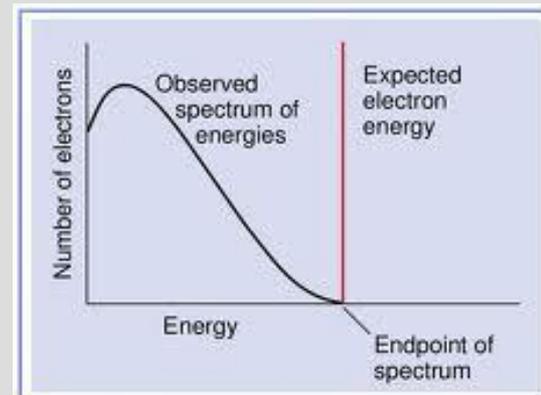
Units

- Velocity of light. Choose units such that $c = 1$
- $E = m c^2 \Rightarrow$ Mass and energy in same units
- High energy \sim GeV (Giga-electron-volts) = 10^9 eV
- Nuclear binding energy \sim MeV
- Mass of proton \sim 1GeV
- Quantum mechanics: Angular momentum $\sim \hbar$ units
- Spin of electron $\hbar/2$
- We often choose units such that $\hbar = 1$



Neutrino properties

- Very light
- Uncharged
- Hardly interact
- Produced e.g., in beta decay
Ensures conservation of energy
Another important example $\pi^+ \rightarrow \mu^+ + \nu_\mu$
- Can pass from one end of the earth to another without interaction
- Harmless, Very difficult to detect



Single beta decay energy spectrum. The observed spectrum is continuous and not at a constant energy as was initially expected. [D. Stewart]



Wolfgang Pauli

Neutrino properties

- **Neutrino interactions:**

No strong interaction, no electromagnetic interaction.

Only weak interactions (2 types: CC and NC)

Cross section $\Rightarrow \sigma (\nu_e + e \rightarrow \nu_e + e) \sim 10^{-43} \text{ cm}^2$

c.f. $\sigma \sim 10^{-27} \text{ cm}^2$ (em), $\sim 10^{-23} \text{ cm}^2$ (strong)

- **Sterile ν : No weak interactions**



Types of Neutrinos



Leon Lederman,
Melvin Schwartz,
Jack Steinberger

$\nu_\mu \neq \nu_e$
Nobel 1988

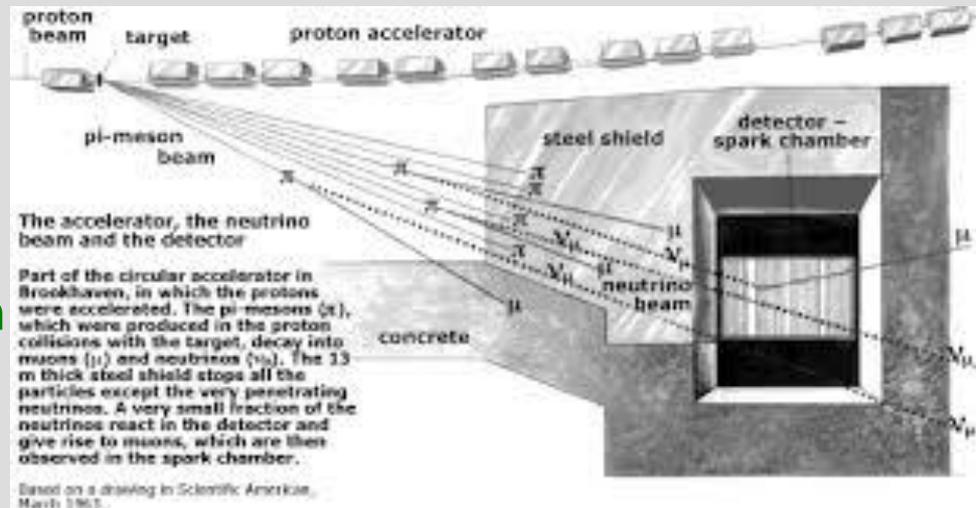
Brookhaven Accelerator: 15 GeV energy proton beam
 $p + \text{target material} \rightarrow \text{many pi mesons} + \text{other stuff}$
 π^\pm are unstable particles. They decay!

Pion decay: $\pi^+ \rightarrow \mu^+ + \nu$ (?)

- All the particles are made to hit a 13.5m steel wall
- π^\pm μ^\pm are absorbed in the wall.
- Only neutrinos remain.

- 5ton spark chamber detector
- 1" Aluminium $\frac{3}{8}$ " gap (Ne gas)
 $\nu_\mu \rightarrow \mu^-$ $\nu_e \rightarrow e^-$: No e^\pm seen

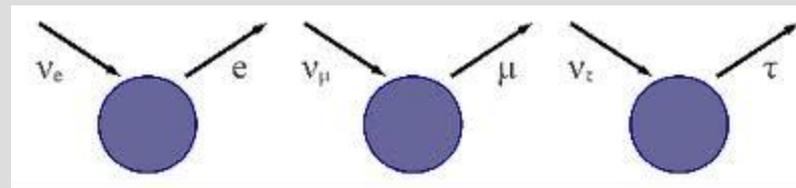
- $\pi^+ \rightarrow \mu^+ + \nu_\mu$
 $\Rightarrow \mu^+ + \nu_e$ (Not allowed)



Concept of man-made neutrino beams (1962)



Neutrino properties (contd.)



Three types: ν_e , ν_μ , ν_τ are known.

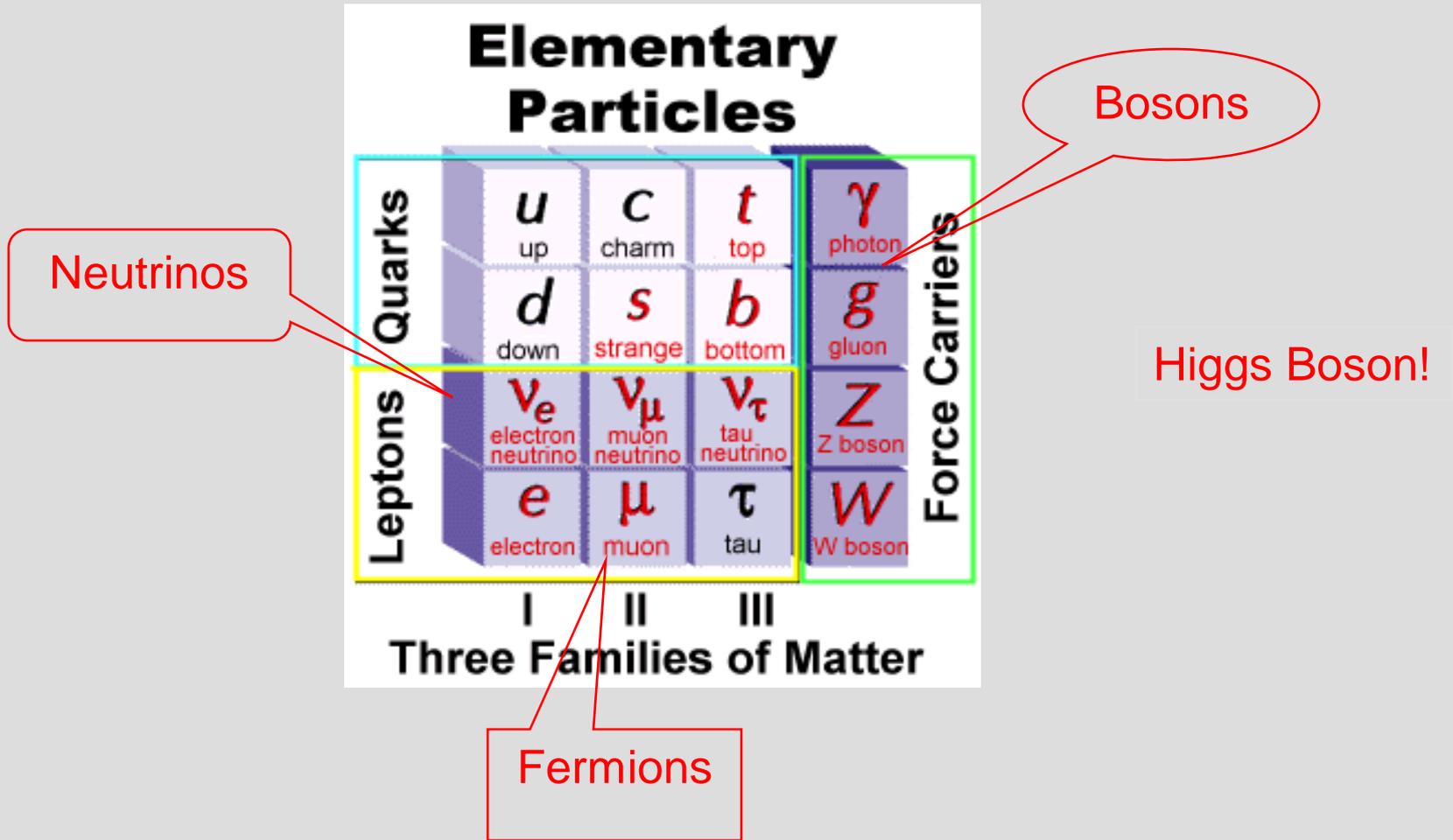
A ν_e is produced from an initial electron (e). Similarly, ν_μ , ν_τ are associated with μ , τ leptons.

Many properties discovered
in the past two decades

e^- electron	μ^- muon	τ^- tau	$Q = -e$
ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino	$Q = 0$
1 st gen.	2 nd gen.	3 rd gen.	



Elementary particles



Source: <http://electron9.phys.utk.edu/phys250/modules/module6/images/simplemodel2.gif>



Standard Model

- The Standard Model describes strong and electroweak interactions.
- Mediated by gluons, W-boson, Z-boson, and photon.
- Fermions: Left- and right-handed quarks, left- and right-handed charged leptons, left-handed neutrino. **No ν_R !**

Parity violation!



- Masses of W, Z, quarks and leptons via Higgs mechanism.
- **No ν_R in SM \Rightarrow Neutrino is massless. Chosen for consistency with information of that era.**
- **(B-L) is a symmetry of the Standard Model**



Neutrino interactions

CC: Charged current



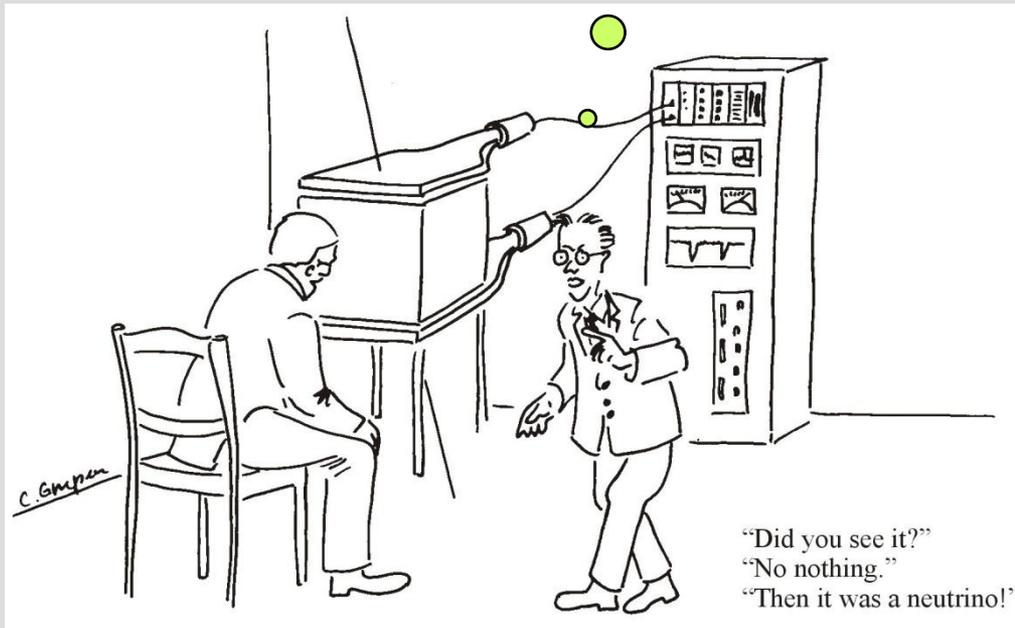
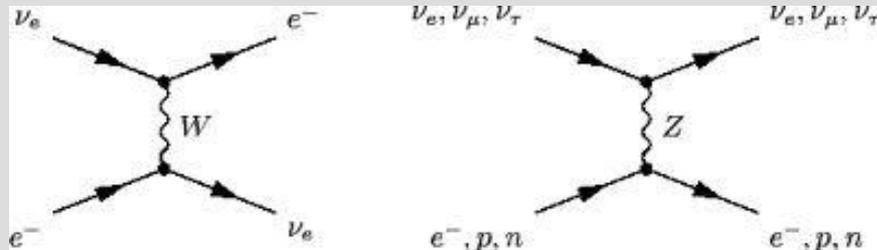
W[±] exchange

NC: Neutral current



Z exchange

Did you see it?
No, Nothing!
Then it was a
neutrino





Neutrino Sources

50 billion neutrinos/sec from the natural radioactivity of the earth

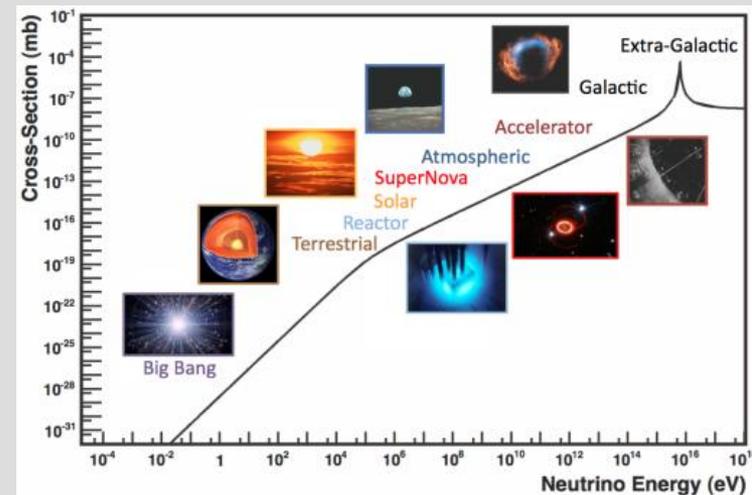
Experimentally observed:

- Solar neutrinos (Fusion reactions)
- Atmospheric neutrinos (pion decay)
- Accelerator neutrinos (pion decay)
- Nuclear Reactor antineutrinos (Fission reactions)

$E \sim 0.1 \sim 20 \text{ MeV}$; Flux
 $\sim 10^{12} / \text{cm}^2/\text{s}$

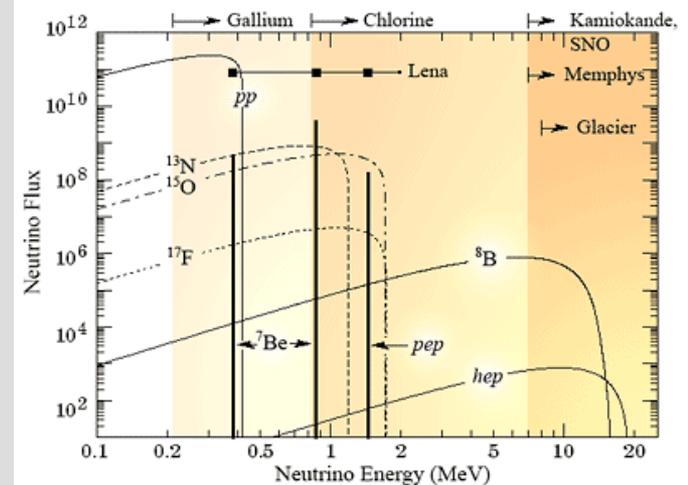
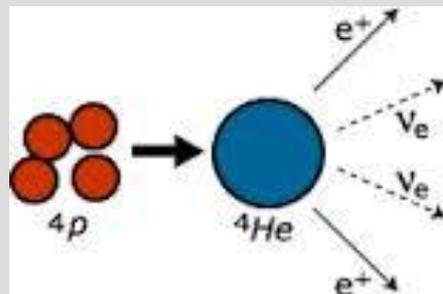
Future:

- Long baseline expts such as DUNE, H2K





Solar neutrinos



- Sun generates heat and light through fusion reactions
 $4p \rightarrow ^4He + 2 e^+ + 2 \nu_e + 27 \text{ MeV}$ (i)
- Just like sunlight, solar neutrinos are reaching us (day & night!)

- Reaction (i) does not take place in one go. Rather, it is the consequence of a cycle of reactions, e.g.



The ν_e energy spectra from these reactions are well-known.

- Robust prediction of the number of solar neutrinos reaching the earth as a function of energy is possible. These have been detected by several expts. But ...



Reactor neutrinos

- Nuclear power stations run on fission reactions.
- A heavy nucleus, such as Uranium or Plutonium, breaks into lighter nuclei and neutrons and other particles.
- A large flux of electron antineutrinos are produced.
- Energy in the few MeV range.

Daya Bay, China ⇒

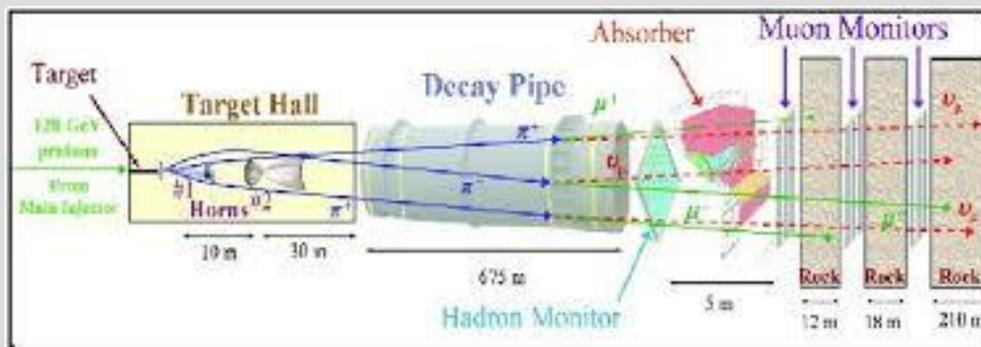
- Excellent source for neutrino experiments.
France, China, Korea and other countries





Neutrino beams

- High energy (few 1000 MeV) neutrinos are produced at accelerators. A high energy proton beam hits a target and produces many particles (among them π^\pm)
- Pions decay to produce neutrinos ($\pi^+ \rightarrow \mu^+ + \nu_\mu$)
- Neutrinos from CERN or Fermilab (USA)





Atmospheric neutrinos

Neutrinos are produced in the atmosphere from cosmic ray pion and kaon decays e.g. $(\pi^- \rightarrow \mu^- + \bar{\nu}_\mu)$, $(\mu^- \rightarrow e^- + \bar{\nu}_e + \nu_\mu)$ and the charge conjugate processes

Typical energy ~ 1 GeV

Expectation: $R = (\# \nu_\mu + \bar{\nu}_\mu) / (\# \nu_e + \bar{\nu}_e) \approx 2$

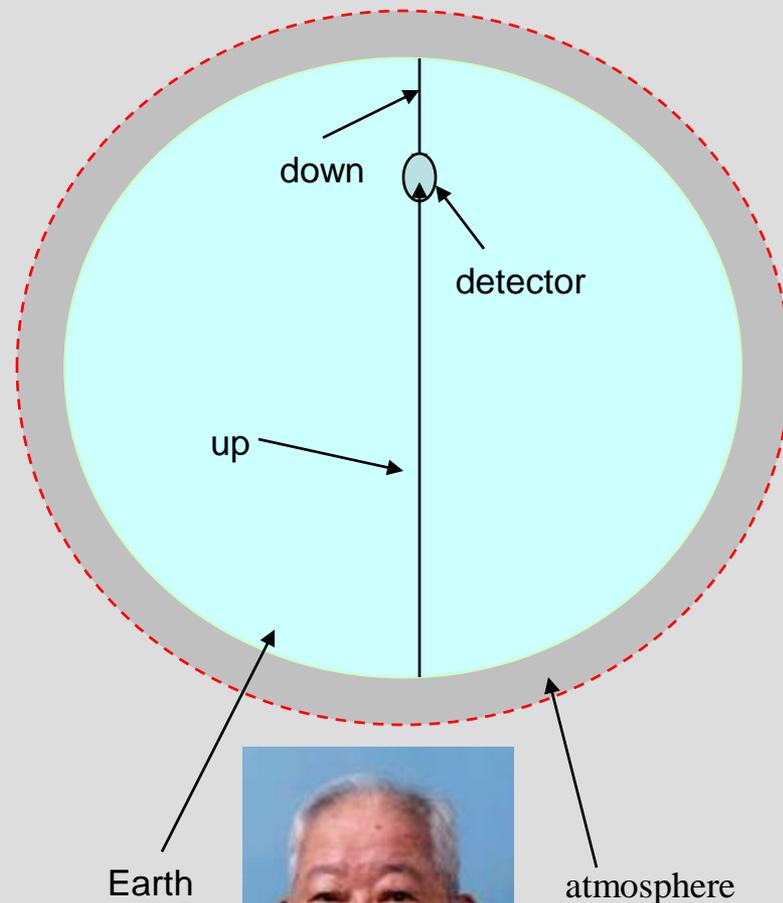
SuperK: $R_{\text{obs}}/R_{\text{mc}} = 0.635 \pm 0.035 \pm 0.083$
(sub-GeV)
 $= 0.604 \pm 0.065 \pm 0.065$
(multi-GeV)

T. Kajita

No. of ν_μ depends on zenith angle (up-down asymmetry)

No such effect for ν_e (1997)

Masatoshi Koshiba
Nobel: 2002





Solar neutrino results



Ray Davis
Nobel: 2002

Expt	Obsvd/Predn	E_{th} (MeV)	Type
Homestake (from 1968)	0.335 ± 0.029	0.8	Radiochemical $\nu_e + {}^{37}\text{Cl} \rightarrow {}^{37}\text{Ar} + e^-$ (CC)
GNO, SAGE, Gallex	0.584 ± 0.039	0.233	Radiochemical $\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$ (CC)
K, SuperK (1989)	0.459 ± 0.017	5.0	Water Cerenkov $\nu_e + e \rightarrow \nu_e + e$ (CC + NC)
SNO CC	0.347 ± 0.027	6.75	Cerenkov $\nu_e + d \rightarrow p + p + e^-$ (CC)



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A.B. McDonald			
SNO NC	1.008 ± 0.123	2.2	$\nu + d \rightarrow n + p + \nu$ (NC)



Neutrino oscillations

February 03, 2019

RINP2 (Visva Bharati)



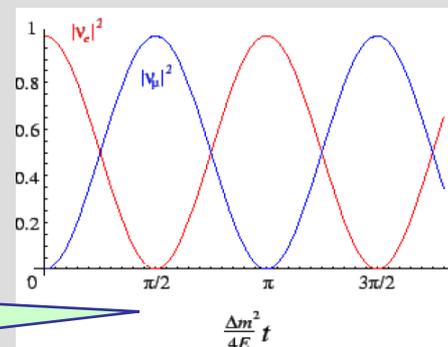
Neutrino oscillations

- A quantum mechanical phenomenon relying on the superposition principle.
- In the oscillation of a pendulum, the bob alternately reaches the left and right end-points of the trajectory.
- During travel, a ν_e becomes a ν_μ and then back again to a ν_e . This oscillation process continues.

$$\text{Prob}(\nu_e \rightarrow \nu_\mu, L) = 4 c^2 s^2 \sin^2(\pi L / \lambda)$$

$$c = \cos\theta$$
$$s = \sin\theta$$

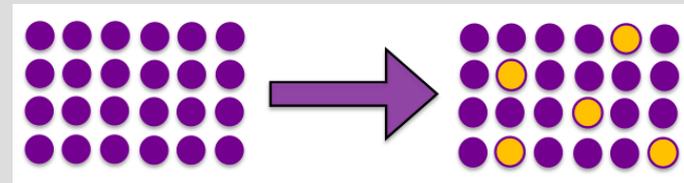
Maximal mixing
 $\theta = \pi/4$



The oscillation wavelength (and hence probability!) depends on the neutrino energy.



Neutrino oscillations (contd.)



How does this help?

- Solar neutrino detectors look for ν_e . Some (Cl, Ga and SNO CC) are totally insensitive to ν_μ , ν_τ . SK has a smaller sensitivity (about 1/6) to other types. Only SNO NC is equally sensitive to all.

If some ν_e have oscillated to a ν_μ when they reach the detector then they will not be seen (except in SNO NC). Count will be less.

- Atmospheric ν_e , ν_μ are detected through the e^- , μ^- they produce. At their higher energies, the ν_e hardly oscillates, while the ν_μ oscillates to ν_τ , which do not produce μ^- . This reduces the measured ratio R. Also, the zenith angle dependence seen for ν_μ is explained.
- Other experiments (at nuclear reactors and using neutrino beams) have seen clear signals for neutrino oscillation.



Some Quantum Mechanics

Stationary states: $H |\Psi_n\rangle = E_n |\Psi_n\rangle$

Time evolution: $|\Psi_n(t)\rangle = \exp(-iE_n t) |\Psi_n(0)\rangle$ (only a phase)

General state (t=0): $|\Psi(0)\rangle = \sum a_n |\Psi_n(0)\rangle$

General state (any t): $|\Psi(t)\rangle = \sum a_n \exp(-iE_n t) |\Psi_n(0)\rangle$

Phase differences $\sim (E_i - E_j)t \rightarrow$ physics consequences

Neutrino stationary states: $|\nu_1\rangle, |\nu_2\rangle$

(mass eigenstates)

Neutrino flavour eigenstates: $|\nu_e\rangle, |\nu_\mu\rangle$

Mass \leftrightarrow Flavour states:

$$|\nu_e\rangle = |\nu_1\rangle \cos\theta + |\nu_2\rangle \sin\theta$$
$$|\nu_\mu\rangle = -|\nu_1\rangle \sin\theta + |\nu_2\rangle \cos\theta$$

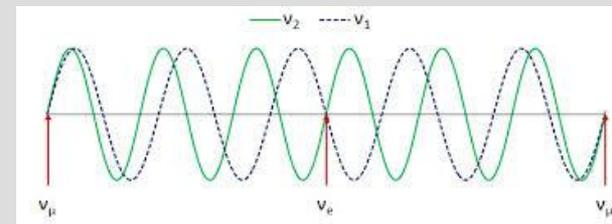


Quantum Mechanics of neutrino oscillations (contd.)

$|v_e\rangle$ produced at $t = 0 \rightarrow |\Psi(0)\rangle = |v_e\rangle = |v_1\rangle \cos \theta + |v_2\rangle \sin \theta$

At a later time: $|\Psi(t)\rangle = |v_1\rangle \cos \theta e^{-iE_1 t} + |v_2\rangle \sin \theta e^{-iE_2 t}$

$$\text{Prob}(v_e \rightarrow v_\mu, L) = |\langle v_\mu | \Psi(t) \rangle|^2 = 4 c^2 s^2 |e^{-iE_1 t} - e^{-iE_2 t}|^2$$



Neutrinos are ultra-relativistic: $p \gg m \Rightarrow E_i = (p^2 + m_i^2)^{1/2} \approx p + m_i^2/2p$

$$(E_1 - E_2)t = (m_1^2 - m_2^2)t / 2p \equiv (\Delta/2p)t = \Delta L/2E$$

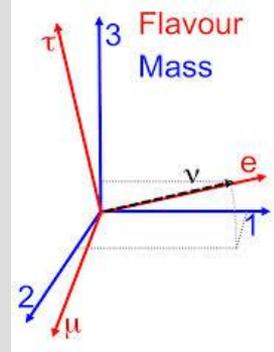
$$\text{Prob}(v_e \rightarrow v_\mu, L) = 4 c^2 s^2 \sin^2(\pi L / \lambda) \quad \text{where}$$

$$\lambda = 4\pi E / \Delta$$

$$\text{Survival Prob.} = \text{Prob}(v_e \rightarrow v_e, L) = 1 - \text{Prob}(v_e \rightarrow v_\mu, L)$$



More on ν oscillations



- Essential ingredients: (i) $\Delta = m_1^2 - m_2^2 \neq 0$, (ii) $\sin \theta \neq 0$.
- Matter effect: Mass is a measure of inertia.
In a medium inertia (and hence mass) changes.
Neutrino mass and mixing affected by medium (MSW effect)
- Solar neutrino problem: $\Delta = 6.07 \times 10^{-5} \text{ eV}^2$ (ii) $\tan^2 \theta = 0.41$
(Best fit -- MSW LMA)
 ν_e oscillates to another 'active' neutrino (SNO NC ≈ 1)
- Atmospheric neutrino anomaly: $\Delta = 3 \times 10^{-3} \text{ eV}^2$ (ii) $\sin^2 2\theta = 1$
(Best fit)

ν_μ oscillates to ν_τ

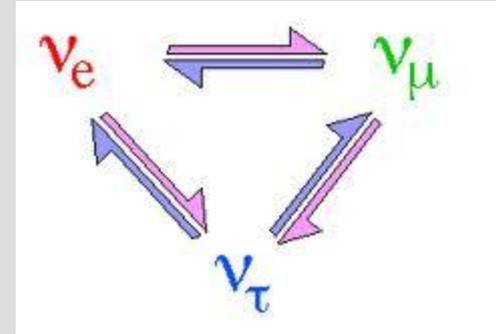
$$m_e = 5,00,000 \text{ eV}$$



Three neutrino mixing matrix

Two flavour mixing:

$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$



In reality there are three flavours (3 angles, one phase):

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}.$$

The phase δ signals CP non-conservation
All three mixing angles must be non-zero for CP-violation
 $\theta_{13} \sim 9^\circ$ (2012) Daya Bay and RENO experiments

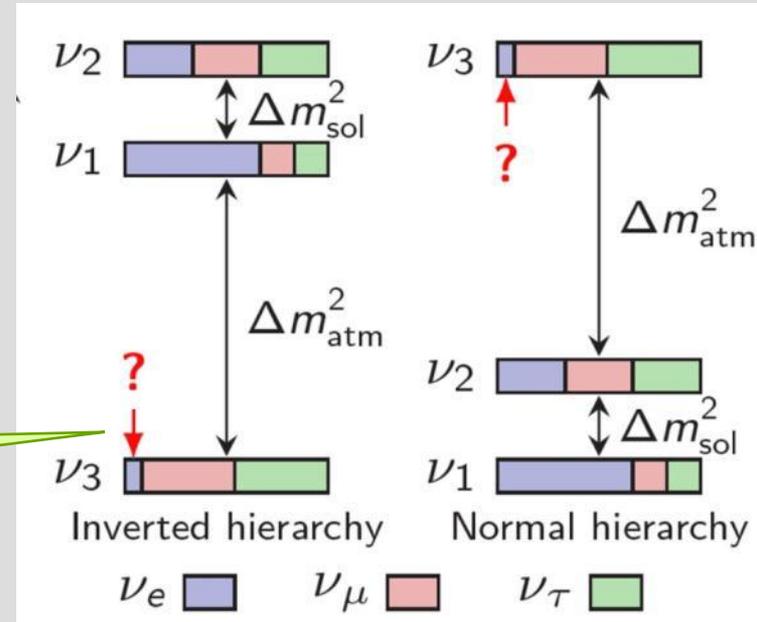


Three neutrino mass ordering

Solar neutrinos: $m_2^2 - m_1^2 > 0$:

From atmospheric neutrinos,
only $|m_3^2 - m_1^2|$ is known

$\theta_{13} \neq 0?$



Normal mass ordering?
or **Not known!**
Inverted mass ordering?

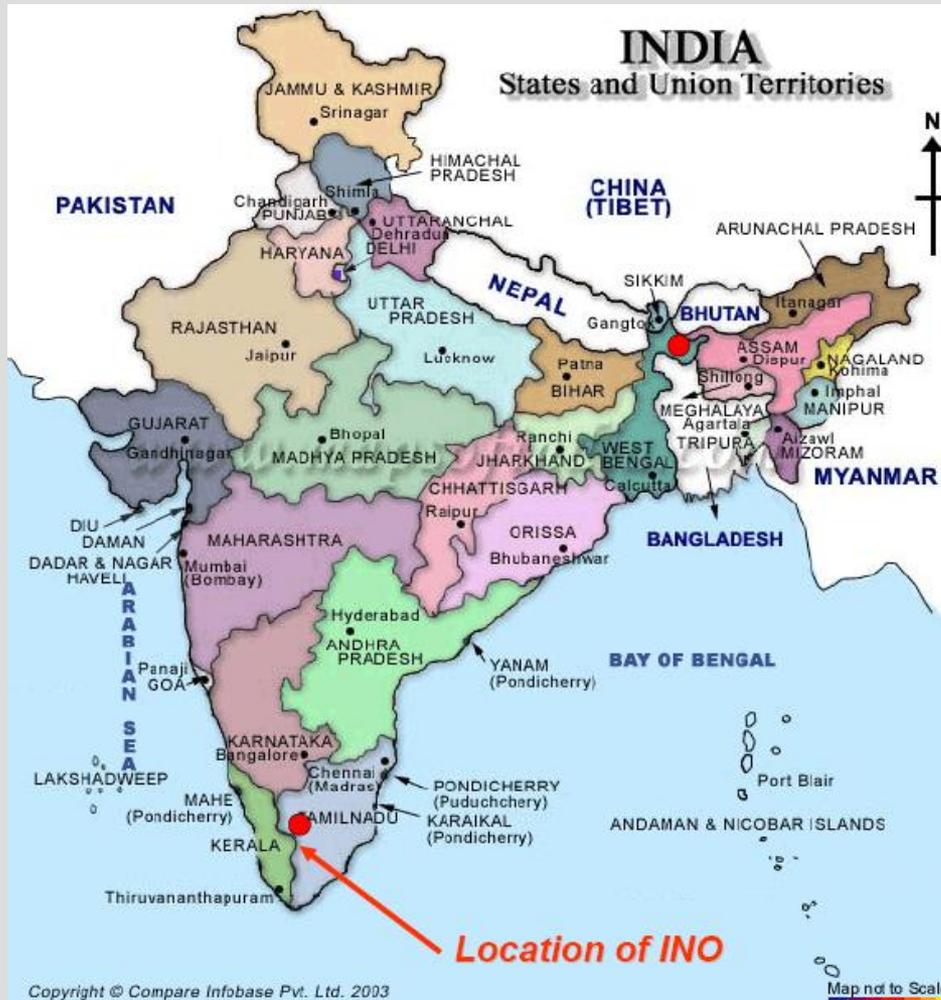


Open issues

- **Standard model (SM) of particle physics has massless neutrinos.**
- **Oscillations signal mass difference. What is the neutrino mass?**
- **What is the mass ordering? Is there CP-violation?**
- **Is the neutrino its own anti-particle \Rightarrow Majorana neutrino!**
- **New physics is needed if $m_\nu \neq 0$?. Many new ideas.**



India-based Neutrino Observatory



Pottipuram: $9^{\circ}57'N$, $77^{\circ}16'E$
(Bodi Hills)

Near TamilNadu-Kerala
border

1km rock coverage

V.M. Datar



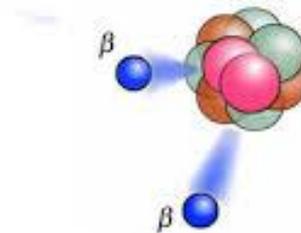
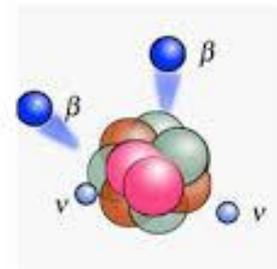
Majorana Neutrino?



- Can the neutrino be its own anti-particle? ($\nu \equiv \nu^c$)
The photon is its own anti-particle. (Also π^0)
- In such an event, lepton number is not conserved!
- A consequence \Rightarrow Neutrino-less double beta decay ($0\nu 2\beta$ process)

P.B. Pal, V. Nana, A. Shrivastava

- Normal double beta decay ($2\nu 2\beta$) : $X \rightarrow Y + 2 e^- + 2\nu_e$
- Neutrino-less double beta decay ($0\nu 2\beta$) : $X \rightarrow Y + 2 e^-$ ($\propto \langle m_{\nu} \rangle^2$)
- Look for peak in $2e^-$ total energy
- Current limit $\langle m_{\nu} \rangle < 0.2$ eV.

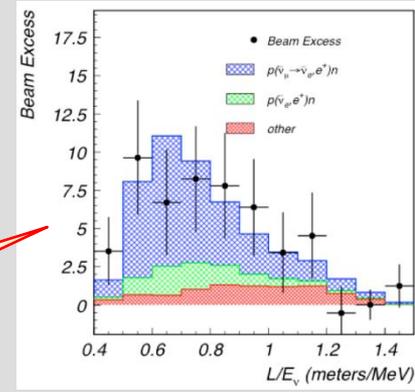




Sterile neutrino?

$\text{Prob}(\nu_\mu \rightarrow \nu_e, L) = 4 c^2 s^2 \sin^2(\Delta L / 4E)$
 $(\Delta L / 4E)$ should not be too small nor too large

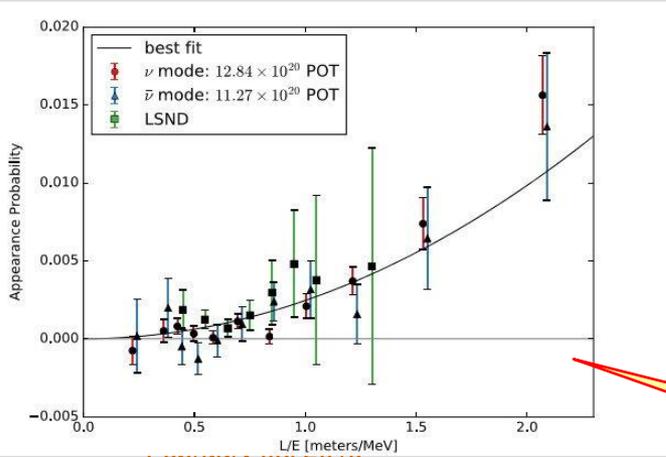
LSND



$\Delta = m_2^2 - m_1^2$ is fixed by the neutrino masses \Rightarrow This fixes the region of (L/E) to which experiment should be sensitive.

Conversely observation of oscillations at a certain $(L/E) \Rightarrow$ Indicative of a certain $(m_2^2 - m_1^2)$

LSND Experiment (1995) used a ν_μ beam from π^+ decay and observed oscillations.
 $L = 30 \text{ m}, 36 \text{ MeV} \leq E \leq 52.8 \text{ MeV}$ For this $(L/E) \Rightarrow \Delta \cong 1 - 10 \text{ eV}^2$



Is this result correct?

MiniBooNE (2018) with $L \sim 0.5 \text{ km}$ and $200 \text{ MeV} \leq E \leq 1250 \text{ MeV}$ get similar results

Note (L/E) is similar. 4th sterile neutrino?

M.R. Janani

MiniBooNE + LSND

Does not match with solar and atmospheric neutrino!
4th neutrino? Sterile?



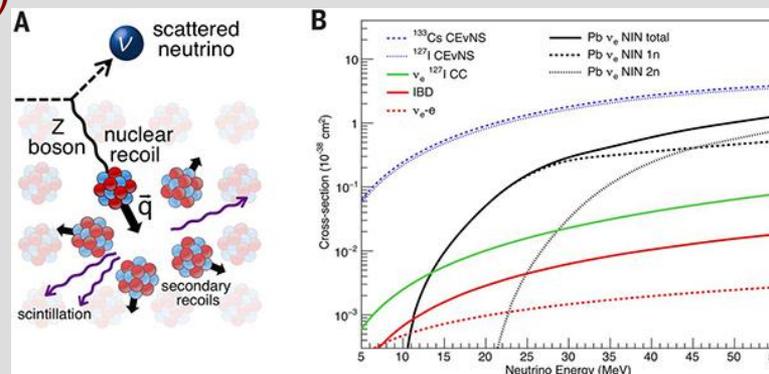
Neutrino-Nucleus scattering (COHERENT Expt)

- This is **not** an oscillation phenomenon.
- Normally we consider neutrinos scattering off electrons or perhaps quarks.
- Neutrino-Nucleus elastic scattering (much like Dark Matter detection)
- Here, neutrinos scattering *coherently* off nuclei. Scattering mediated by Z-boson exchange. Energy must be low (< 50 MeV) so that the wavelength is comparable to the nuclear size.

B. Mukhopadhyaya

The cross section $\propto N^2$, where N is the no. of neutrons in the nucleus

Pulsed beam (helps background estimation)
 Small-sized detector (14.6 kg)
 CsI scintillator,
 6.7σ signal $E \sim 16 - 53$ MeV



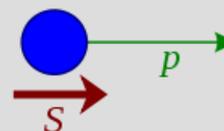


Fermion mass

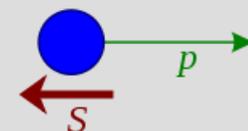
- Fermions have spin! Left- and right-handed fermions:

$$\Psi = \Psi_L + \Psi_R$$

Right-handed:



Left-handed:



- Fermion mass couples left to right:

$$m \bar{\Psi} \Psi = m(\bar{\Psi}_R \Psi_L + \bar{\Psi}_L \Psi_R)$$

- Standard Model: There is no right-handed neutrino.
- If there is only left-handed (or right-handed) component then $m=0$.

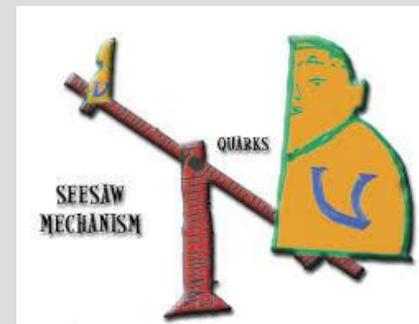


How to get $m_\nu \neq 0$?

- The mundane way is to add a ν_R to the SM.
- This solves the problem but has no explanation of the smallness of m_ν .
- This is the major hurdle in neutrino model building. To explain the smallness, one always needs new physics associated with some heavy scale, M (See-saw!). For Majorana mass, lepton number violation is also needed.

- Generic form of see-saw: $m_\nu = (\text{const})/M$

N Khan





Simplest new physics

- Left-right symmetric model $\Rightarrow \nu_R$ required by symmetry.
- Nature is parity violating. Left-right symmetry is broken at a high energy scale, M_R .
- Neutrino mass matrix:
$$\begin{bmatrix} 0 & m \\ m & M_R \end{bmatrix} \quad M_R \gg m$$
- Two Majorana neutrinos: ν_L (mass m_ν), ν_R (mass M_R)
- See-saw mass formula: $m_\nu = (m^2)/M_R$.
- Pati-Salam model and other grand unified theories contain left-right symmetry.
- How to test for M_R ?



Physics Nobel Prize 2015



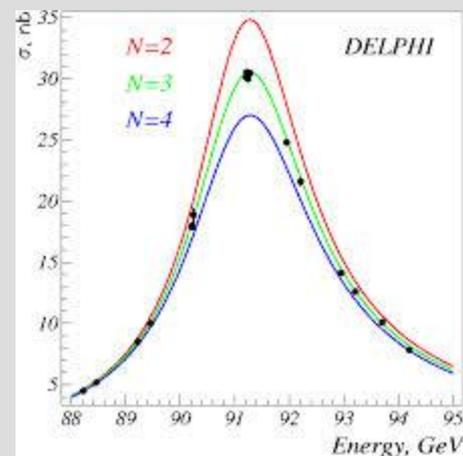
Takaaki Kajita (Japan)
&
Arthur B. McDonald (Canada)

For the discovery of neutrino oscillations which shows that neutrinos have mass



Looking Ahead

- Mixing between three neutrinos
- CP-violation in lepton sector
- Majorana neutrinos
- Sterile neutrinos
- Long baseline experiments
- INO
- Neutrino mass matrix
- New physics: new interactions, symmetries, etc.
- Astroparticle physics: e.g. Supernova, Nucleosynthesis



A. Dighe



Thank
You!