
*Evading the model sign problem in the PNJL model
with repulsive vector-type interaction via path optimization*

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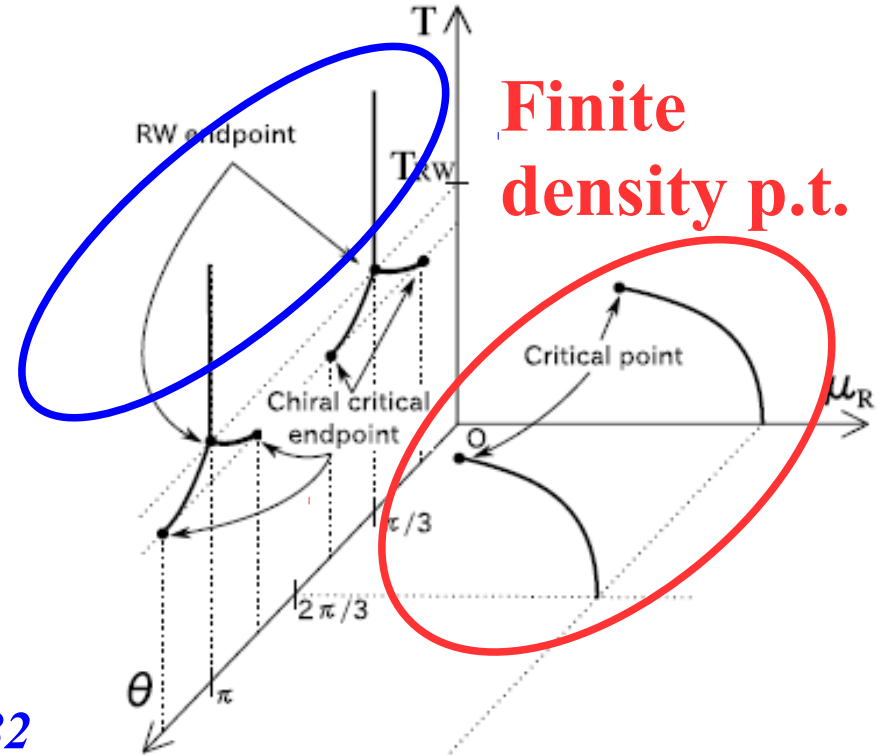
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What is the nature of finite density QCD phase transition ?

- First order phase transition boundary may exist at finite $\text{Re } \mu_B$
- First order p.t. boundaries EXIST at $\theta = \text{Im } \mu_q / T = \pi/3, \pi, 5\pi/3, \dots$
 - Roberge-Weiss (RW) phase transition
[c.f. Philipsen (Tue, plenary)]

Z_3 origin
→ Deconfinement

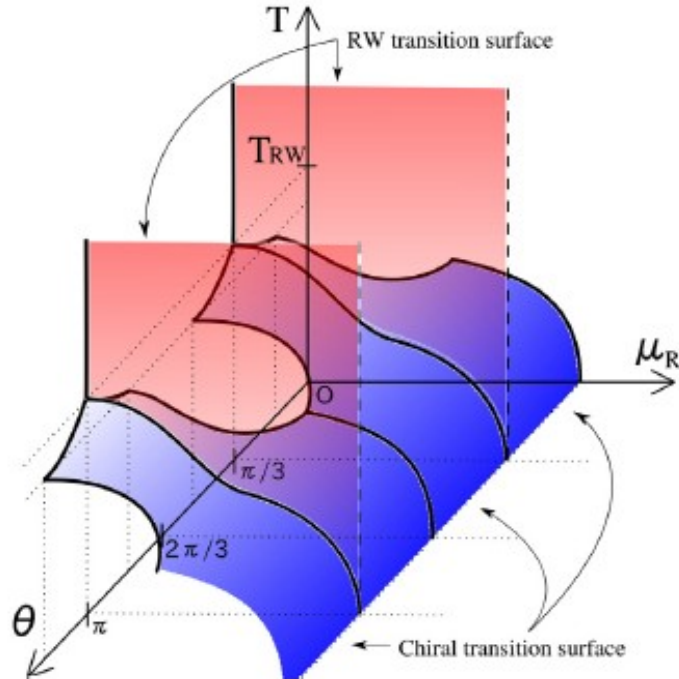


Kashiwa, AO, PLB750 ('15) 282

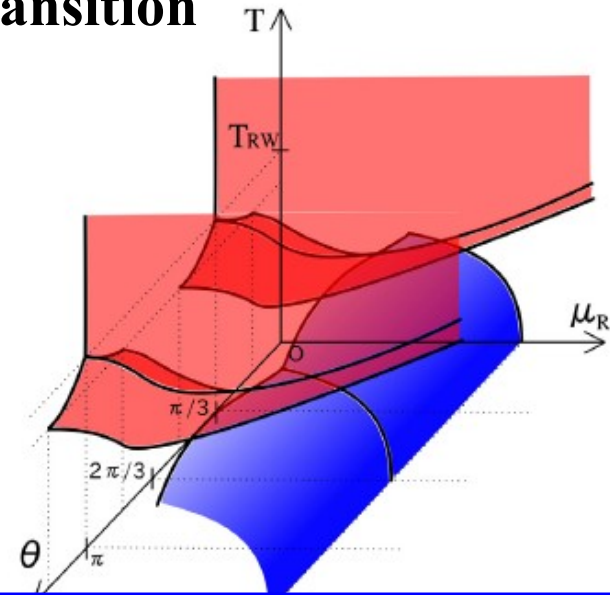
Conjectured 3D phase diagram in $(T, \text{Re } \mu_B, \theta)$ space

- RW & finite density transition are **CONNECTED**

→ Deconfinement assisted chiral phase transition



- or These two are **DISCONNECTED**
→ Independent of RW (deconf.) transition



*Which is true ?
PNJL (Polyakov loop extended NJL)
model should give answer,
but has the sign problem at complex μ_B !*

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■ Introduction

**Nature of finite density phase transition
and the phase diagram in $(T, \text{Re } \mu_B, \theta)$ space**

■ Path Optimization Method

- Variational method & Euler-Lagrange equation for the path
- Example of repulsive vector-type interaction: One-site Hubbard model

■ Application to Polyakov loop extended NJL (PNJL) model with repulsive vector-type interaction at real μ

- Average phase factor and Observables
- Configurations on Optimized Path

■ Summary and Outlook

Path Optimization Method

Complexified variable methods for the sign problem

- Lefchetz thimble method

Witten ('10), Cristoforetti et al. (Aurora)('12), Fujii et al. ('13), Alexandru et al. ('16)

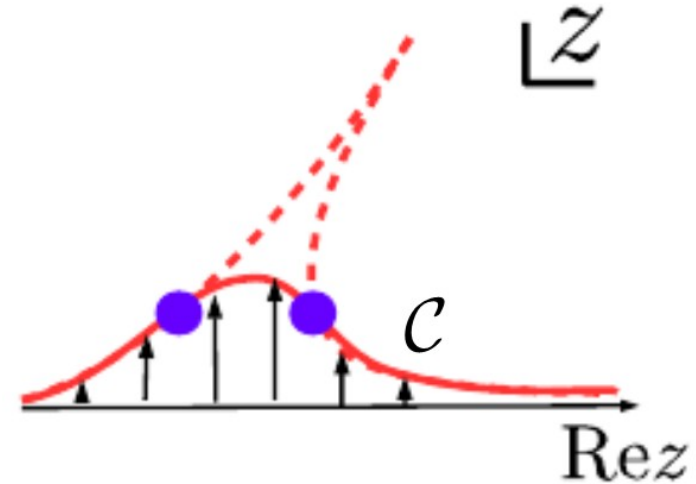
- Complex Langevin method

Parisi ('83), Klauder ('83), Aarts et al. ('11), Nagata et al. ('16).

- Path Optimization method

Mori+('17,'18,'19), Kashiwa+('19,'19), AO+('17,'18), Alexandru+('17, '18, '18), Bursa, Kroyter ('18)

- Integration path is variationally optimized to enhance the average phase factor.



$$\text{APF} = \langle e^{i\theta} \rangle_{\text{pq}} = \int_C dx J e^{-S} / \int_C dx |J e^{-S}| = Z / Z_{\text{pq}}$$

Jacobian $\det(\partial z_i / \partial x_j)$

Complex Action

path $z = x + iy(x)$

Euler-Lagrange equation for the integral path

- **Maximizing APF = Minimizing phase quenched partition fn.**

$$\begin{aligned} \mathcal{Z}_{\text{pq}} &= \int d^N \varphi_R \left| \det \left(\delta_{ij} + i \frac{\partial \varphi_{j,I}}{\partial \varphi_{i,R}} \right) \exp[-S(\varphi_R + i\varphi_I)] \right| \\ &= \int d^N x |W(x_i + iy_i, \partial_i y_j)| \quad (\varphi = x + iy(x)) \end{aligned}$$

- **Stationary condition of \mathcal{Z}_{pq} w.r.t. $y(x) \rightarrow$ Euler-Lagrange eq.**

$$\frac{\delta}{\delta y_j} \mathcal{Z}_{\text{pq}} = 0 \rightarrow \left[\frac{\partial}{\partial x_i} \frac{\partial}{\partial (\partial_i y_j)} - \frac{\partial}{\partial y_j} \right] |W(x + iy, \partial y)| = 0$$

- **One variable Euler-Lagrange equation**

$$\ddot{y} = (1 + \dot{y}^2)^2 \left[\frac{\partial(\text{Im}S)}{\partial x} + \frac{\dot{y}}{1 + \dot{y}^2} \frac{\partial(\text{Re}S)}{\partial x} \right] \quad (\dot{y} = dy/dx, \ddot{y} = d^2y/dx^2)$$

Example of repulsive vector-type interaction

- One-Site Hubbard model (strong coupling limit \rightarrow Hopping term=0)

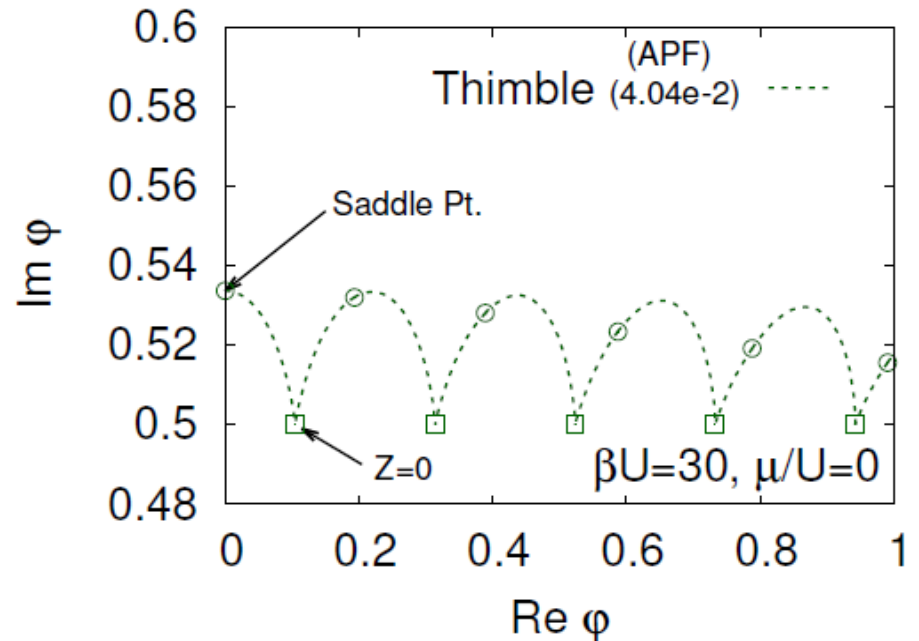
$$S = U n_{\uparrow} n_{\downarrow} - \mu (n_{\uparrow} + n_{\downarrow}) \quad (n_i = \psi_i^{\dagger} \psi_i)$$

- Path integral representation *Tanizaki, Hidaka, Hayata ('16)*

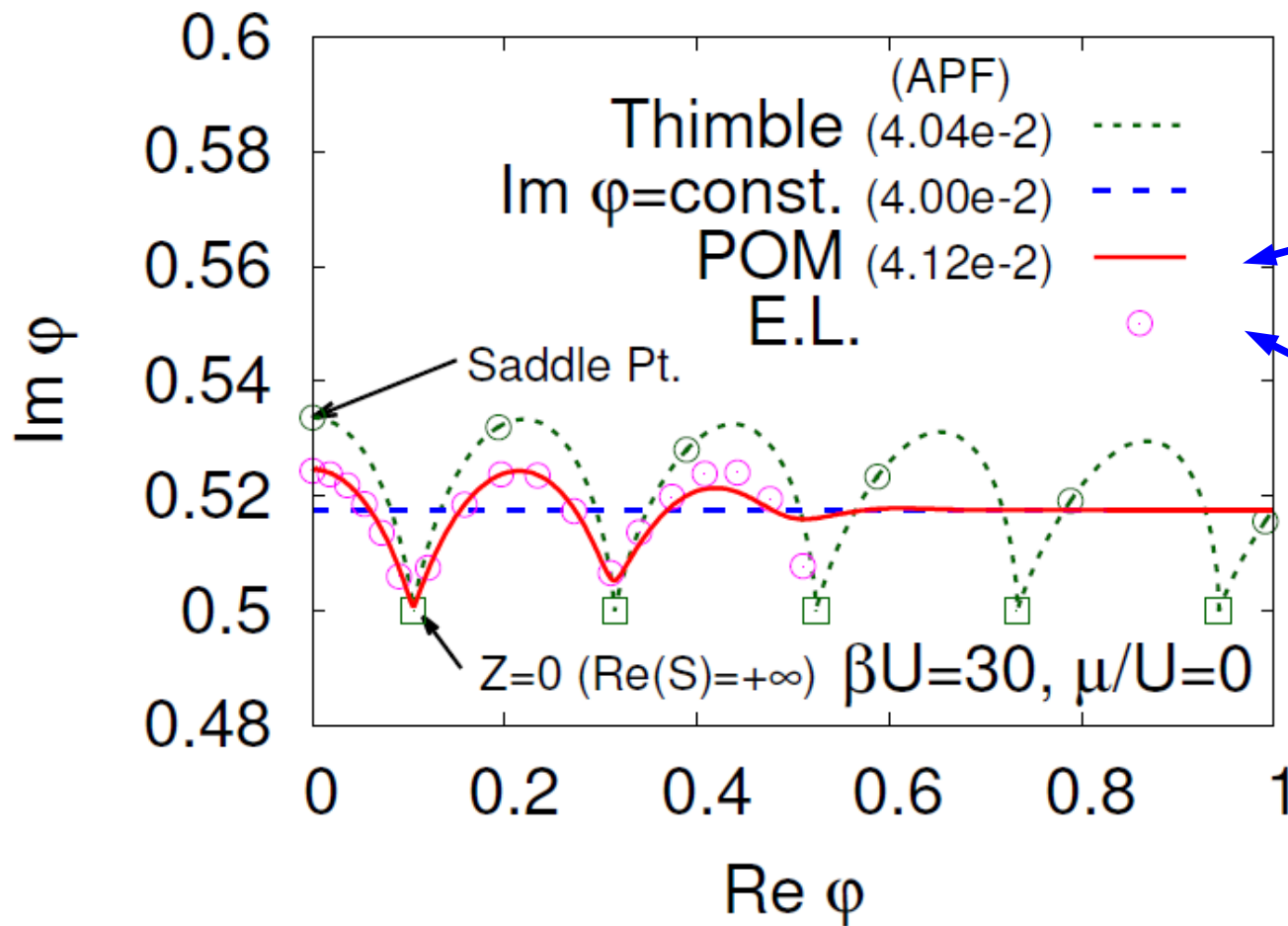
$$\mathcal{Z} = \sqrt{\frac{\beta U}{2\pi}} \int d\varphi [1 + \exp(\beta U (i\varphi + \mu/U + 1/2))]^2 \exp[-\beta U \varphi^2 / 2]$$

Complex !

- Cancellation among multi-thimbles, and # of thimbles increases with $\beta = 1/T$



Example of repulsive vector-type interaction



Variational POM

Solution of EL eq.

POM works also in multi-thimble prb.

AO, Mori, Kashiwa, in prep.

Application to PNJL

PNJL model

■ Polyakov-loop extended Nambu–Jona-Lasinio model

$$\mathcal{L}_E = \bar{q}(\not{D}(\Phi, \bar{\Phi}) + m_0)q - G [(\bar{q}q)^2 + (\bar{q}i\gamma_5\tau q)^2] + G_v(\bar{q}\gamma_\mu q)^2 + \mathcal{V}_g(\Phi, \bar{\Phi})$$

■ Hubbard-Stratonovich transformation

$$\mathcal{L}_{\text{eff}} = \bar{q}(\not{D} + m_0)q - 2G [\bar{q}\sigma q + \bar{q}i\gamma_5\pi \cdot \tau q] + \mathcal{V}_g(\Phi, \bar{\Phi}) + G(\sigma^2 + \pi^2) + 2iG_v\omega_4\bar{q}\gamma_4 q + G_v\omega_4^2$$

■ Model sign problem arises from Polyakov loop & Vector field → Ansatz !

- CK symmetry ansatz $\text{Im}A_4^3 = 0, \text{Re}A_4^8 = 0$ *Nishimura, Ogilvie, Pangen ('14, '15)*
- Vector field (MF) $\omega_4 = -i\rho_q$

Are these ansatz justified ?

Path Optimization in PNJL

- Truncation of aux. field only with $k=0$ (Homogeneous field ansatz)

$$\mathcal{Z} = \int \prod_{\mathbf{k}} dz_{\mathbf{k}} e^{-\Gamma(z)} \simeq \int dz_0 e^{-\Gamma(z_0)}, \quad \Gamma = \beta V \mathcal{V}_{\text{eff}} = \frac{k}{T^4} \mathcal{V}_{\text{eff}}$$

\mathbf{z} =auxiliary fields & gauge field

Cristoforetti, Hell, Klein, Weise ('10)

- Variables (7 dyn. + 3 dep.)

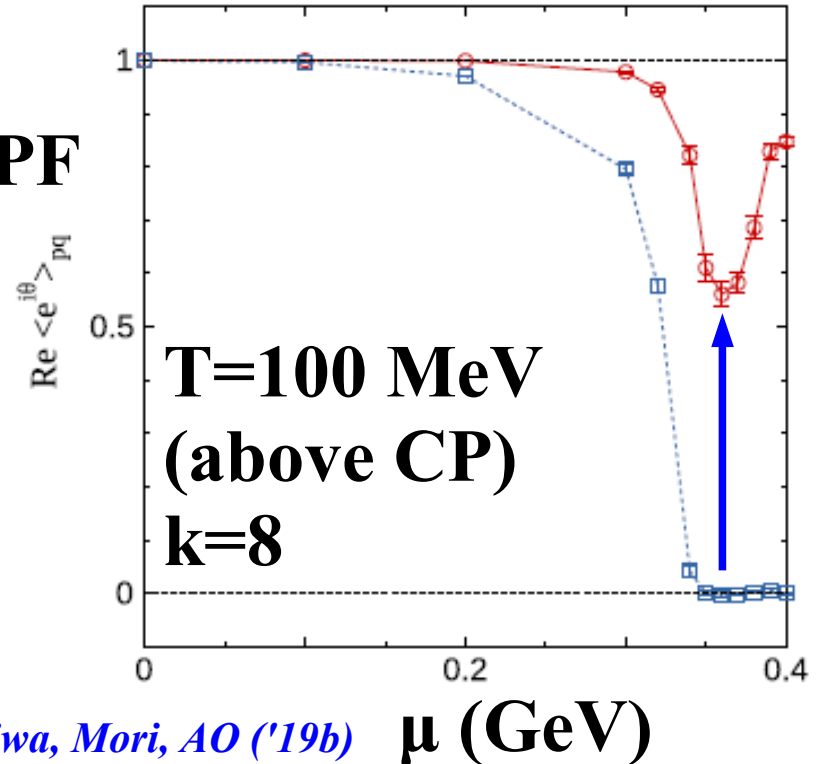
$$x = (\sigma, \pi^{0,+,-}, \text{Re}A_3, \text{Re}A_8, \text{Re}\omega_4)$$

$$y = (\text{Im}A_3, \text{Im}A_8, \text{Im}\omega_4) \text{ (Complexified)}$$

- Path Optimization

- HMC for \mathbf{x} ($H=\text{Re } S$) \rightarrow 80k configs.
- Mono hidden layer neural network

APF

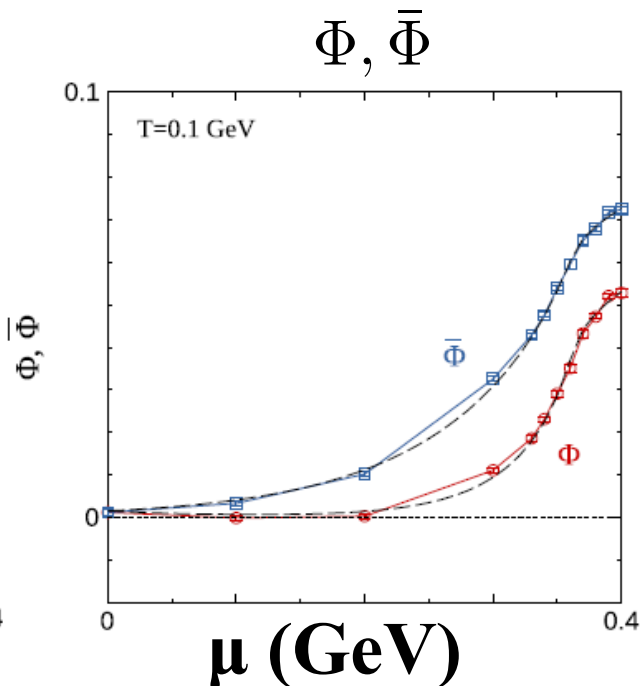
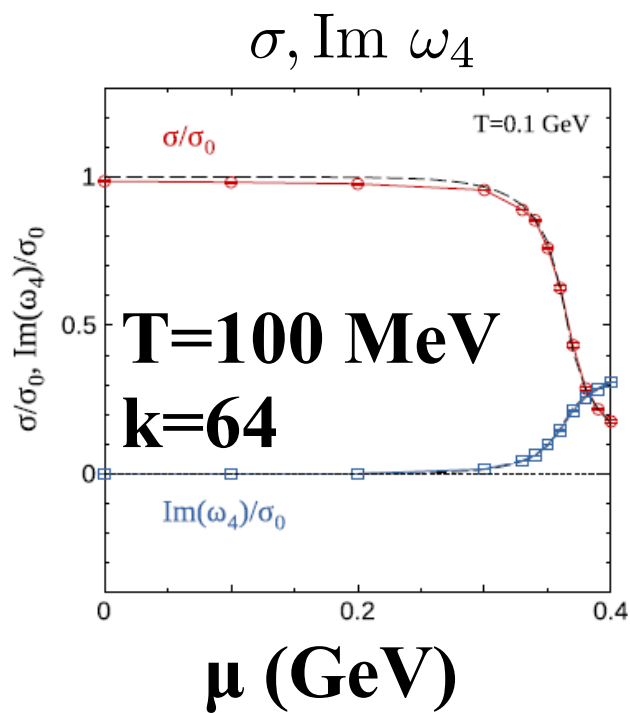


Kashiwa, Mori, AO ('19b)

μ (GeV)

Observables

- μ dependence of order parameters $\sigma, \text{Im } \omega_4, \Phi, \bar{\Phi}$
 - Rapid change around $\mu = 370$ MeV (transition region)
 - Results agree with MF results under ansatz in the large space-time volume region
→ Supports these ansatz



Kashiwa, Mori, AO ('19b)

Configurations

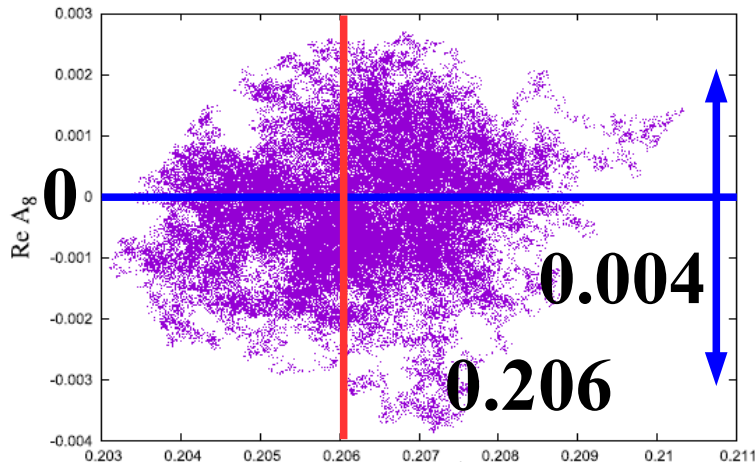
■ Obtained configurations after training the neural network

- **Configs. are well localized at around $\text{Re } A_8 = 0, \text{Re } \omega_4 = 0, \text{Re } A_3 \neq 0$**
→ **Confirms CK symmetry and standard MF ansatz**

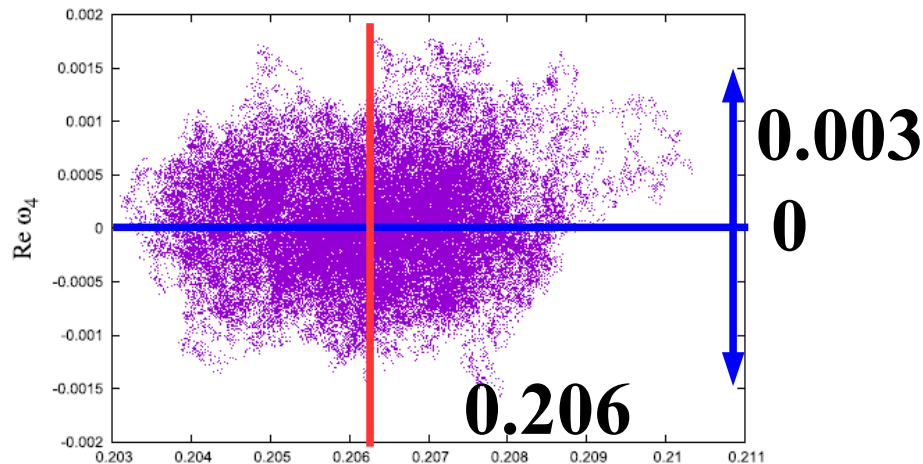
CK symmetry ansatz: $(\theta_1, \theta_2, \theta_3) = (\theta - i\psi, -\theta - i\psi, 2i\psi)$

MF ansatz: $\omega_4 = i\rho_q, \text{Re } \omega_4 = 0$

$\text{Re } A_8$



$\text{Re } \omega_4$



$\text{Re } A_3$

$\text{Re } A_3$

Kashiwa, Mori, AO ('19b)

Summary

- **QCD phase diagram at complex μ should be useful to understand the nature of QCD phase transition. Partition fn. is an holomorphic fn. of μ with some cuts, with cuts being the 1st order p.t. boundary.**
- **Path optimization method is a kind of Jacobian-phase improved Lefshetz thimble method, and is flexible enough to cover the multi-thimble manifold.**
 - **Do not care too much about # of thimbles. Care more about integrating wide enough range in the complexified field variables.**
 - **Euler-Lagrange eq. for the path is derived, and the variational path is confirmed to agree with the solution of EL equation in the one-site Hubbard model.**
- **Polyakov-loop extended Nambu–Jona-Lasino model with repulsive vector-type interaction is studied in the path optimization method.**
 - **CK sym. ansatz (gluons) and mean field (vector field) ansatzs' have been confirmed in the path integral formulation. The latter is done for the first time.**
 - **Ready to study QCD phase diagram in PNJL at complex μ .**

Thank you for your attention !

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AO (11 yrs ago)



Y. Mori (grad. stu.)



K. Kashiwa (main contributor in PNJL)

1D integral: Y. Mori, K. Kashiwa, AO, PRD 96 ('17), 111501(R) [arXiv:1705.05605]

ϕ 4 w/ NN: Y. Mori, K. Kashiwa, AO, PTEP 2018 ('18), 023B04 [arXiv:1709.03208]

Lat 2017: AO, Y. Mori, K. Kashiwa, EPJ Web Conf. 175 ('18), 07043 [arXiv:1712.01088]

NJL thimble: Y. Mori, K. Kashiwa, AO, PLB 781('18),698 [arXiv:1705.03646]

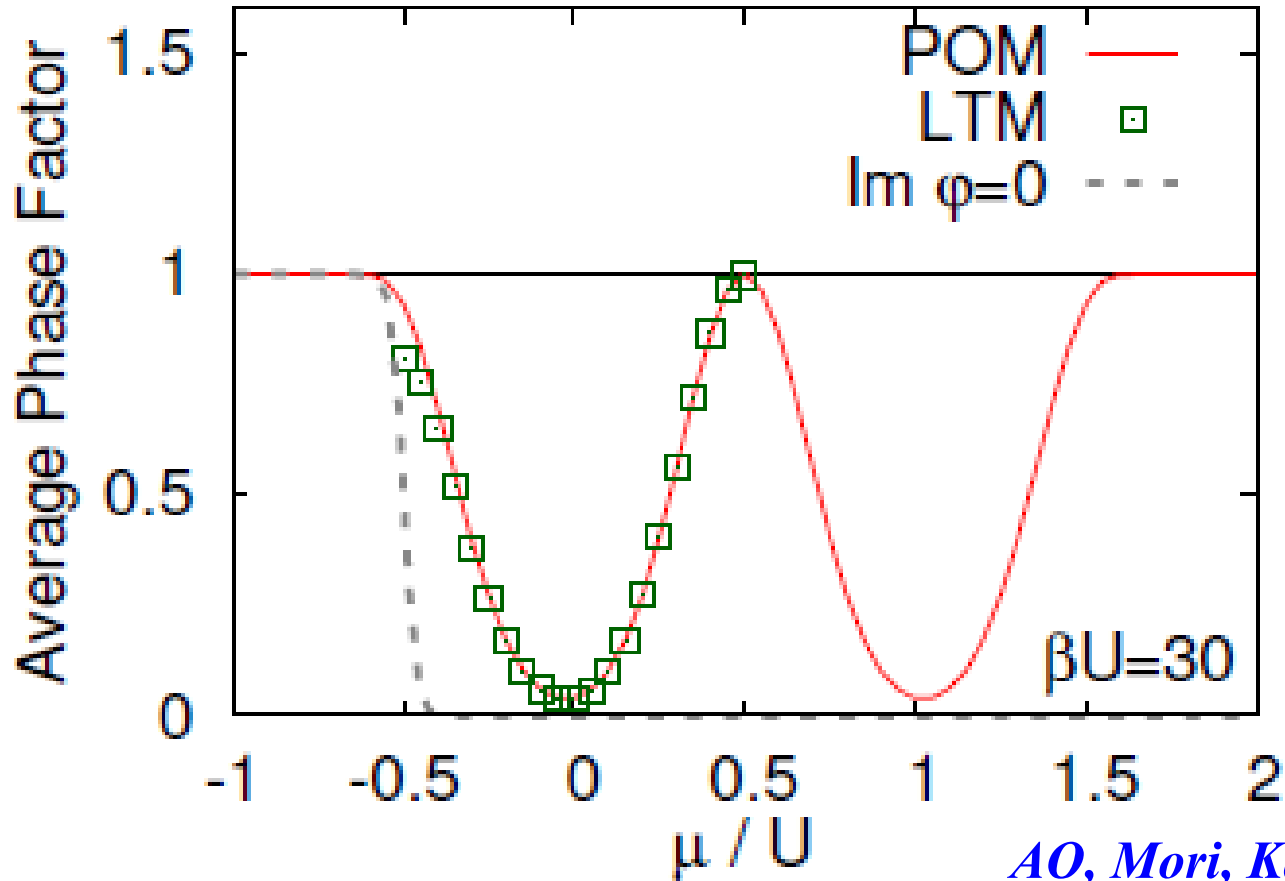
PNJL w/ NN: K. Kashiwa, Y. Mori, AO, PRD 99 ('19), 014033 [arXiv:1805.08940]

PNJL w/ Vector + NN: K. Kashiwa, Y. Mori, AO, PRD 99 ('19) 114005 [arXiv:1903.03679]

0+1D QCD: Y. Mori, K. Kashiwa, AO, arXiv:1904.11140

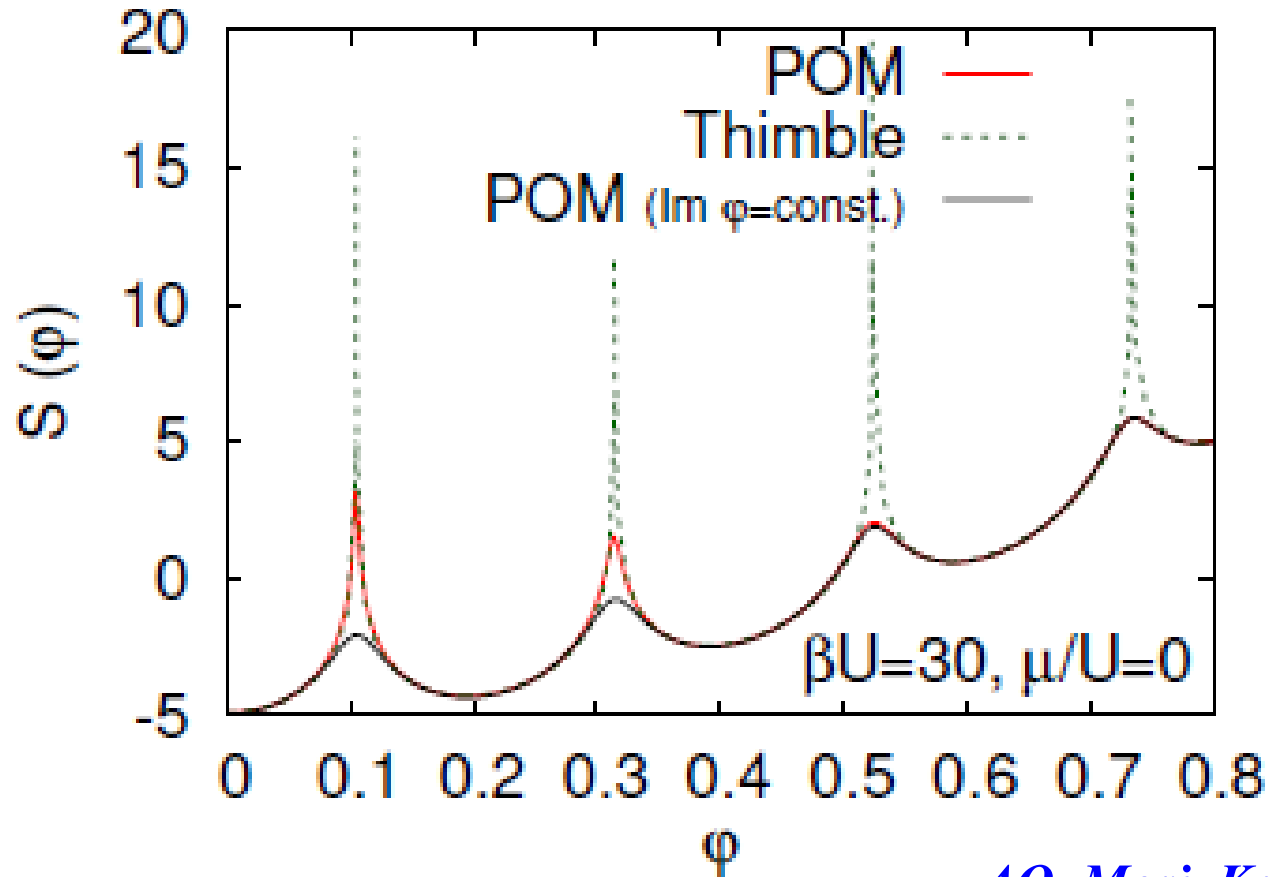
1+1D QCD: Y. Mori, K. Kashiwa, AO, in prep., 0+1D Hubbard: AO, Mori, Kashiwa, in prep.

One-site Hubbard model



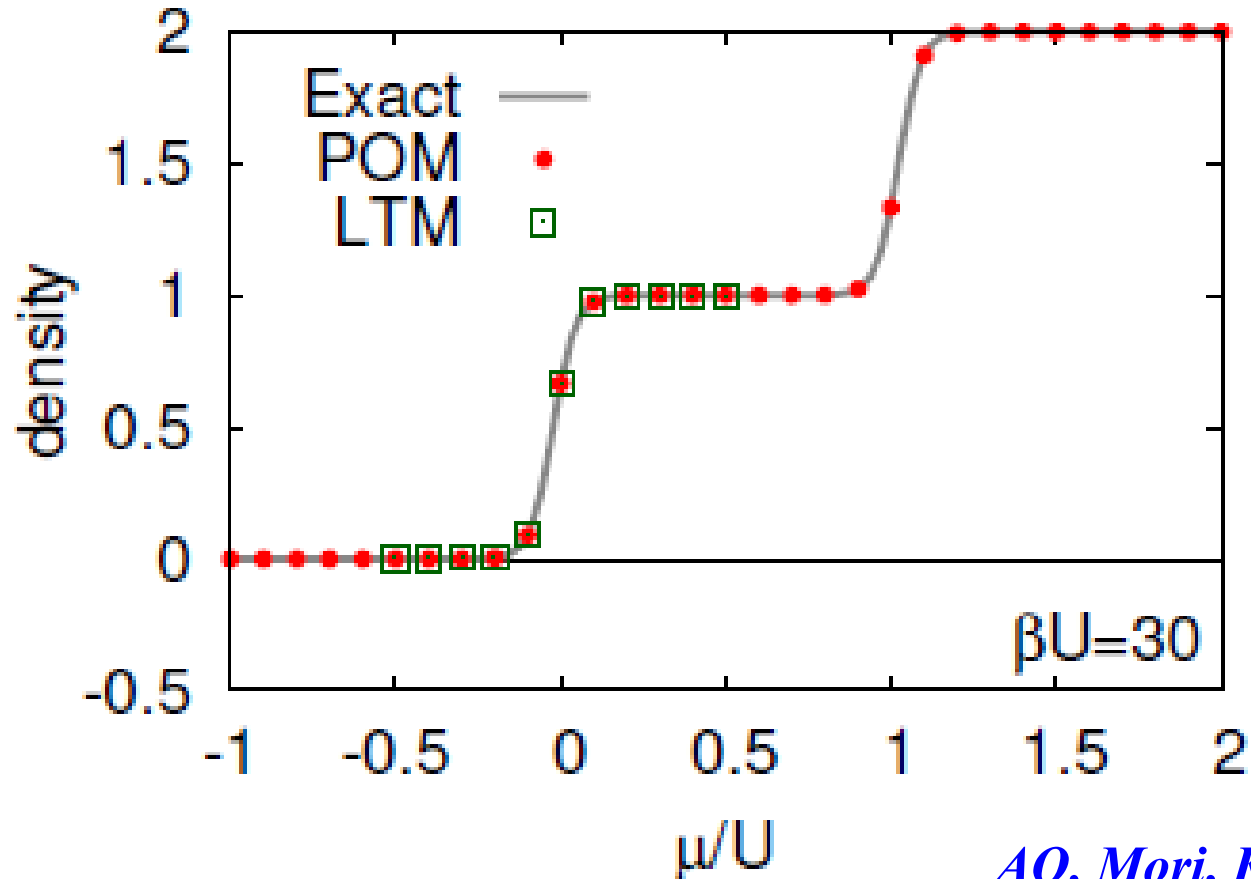
AO, Mori, Kashiwa, in prep.

One-site Hubbard model



AO, Mori, Kashiwa, in prep.

One-site Hubbard model



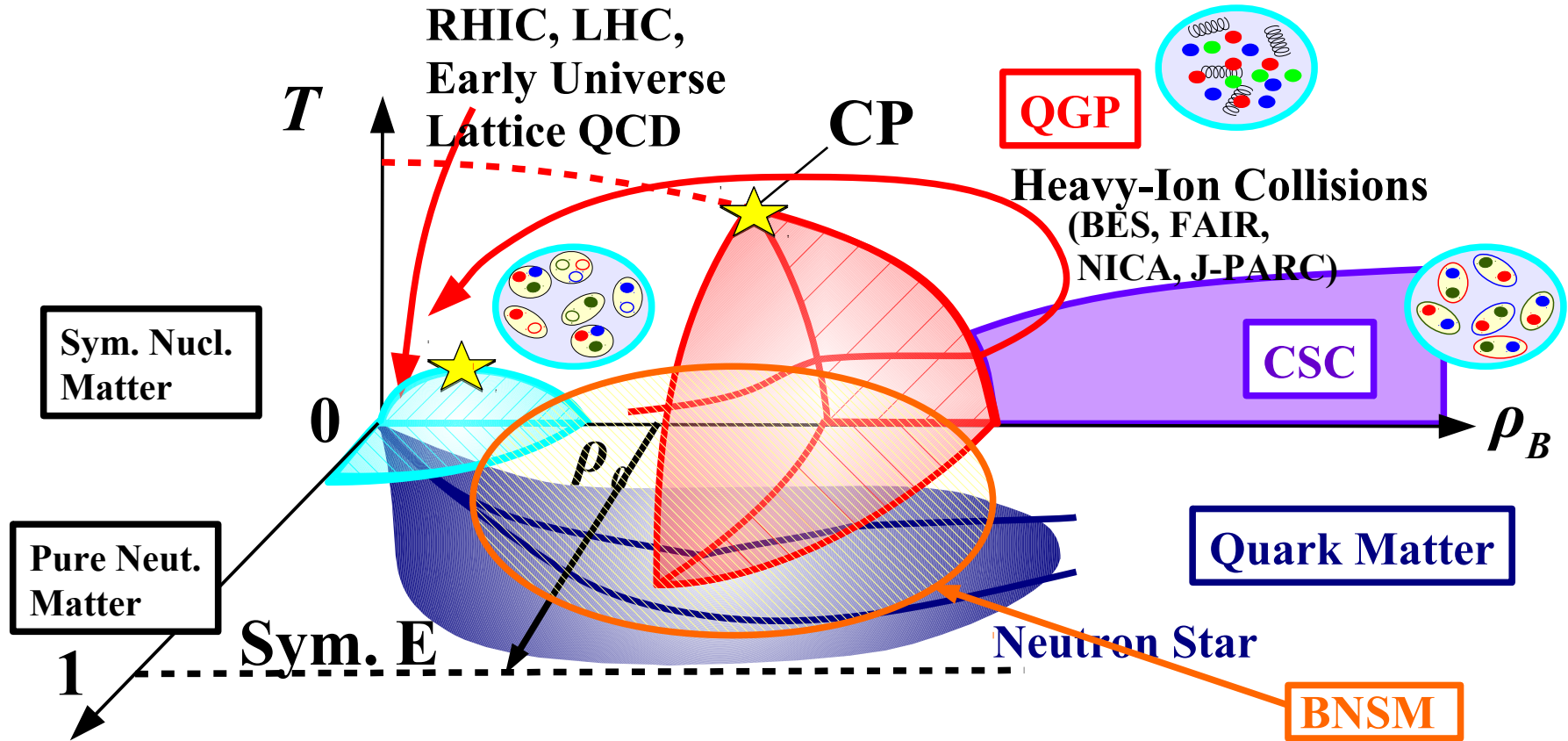
AO, Mori, Kashiwa, in prep.

Extended Hubbard-Stratonovich Transformation

$$\begin{aligned} e^{\alpha AB} &= \int d\varphi d\phi e^{-\alpha\{(\varphi-(A+B)/2)^2+(\phi-i(A-B)/2)^2\}+\alpha AB} \\ &= \int d\varphi d\phi e^{-\alpha\{\varphi^2-(A+B)\varphi+\phi^2-i(A-B)\phi\}}. \end{aligned} \quad (44)$$

Miura, Nakano, AO, Kawamoto, PRD80 (2009) 074034

QCD phase diagram



$$\delta = (N-Z)/A \quad (\text{or } Y_Q(\text{hadron}) = Q_h/B \sim (1-\delta)/2)$$

AO, JPS Conf. Proc. 20 (2018), 011035