

# Scaling and higher twist in the nucleon Compton amplitude

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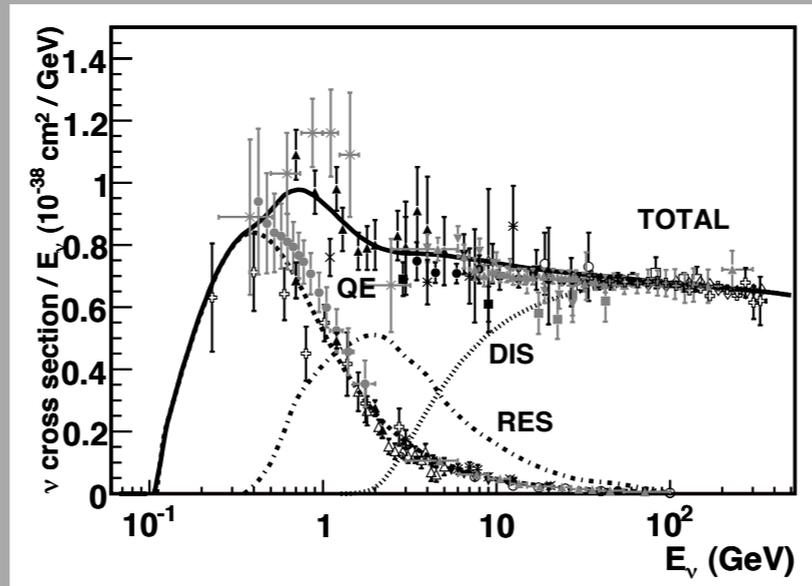
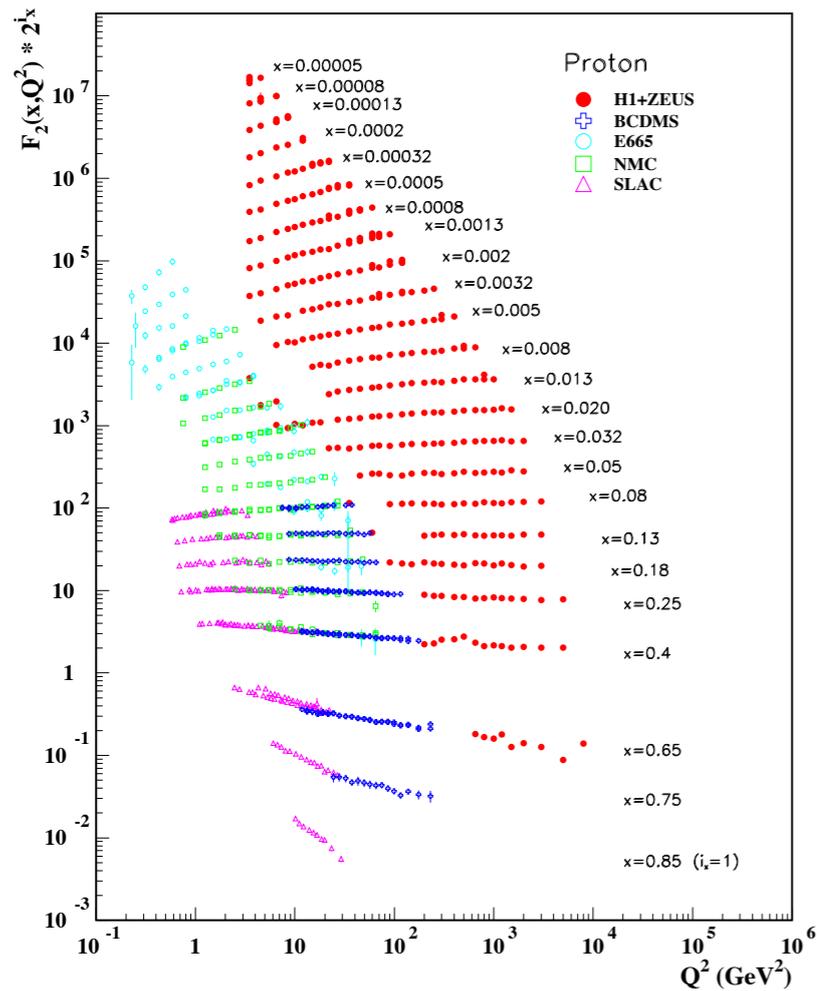
Wuhan, China



# Motivation: Beyond leading twist

## Twist-4 operators

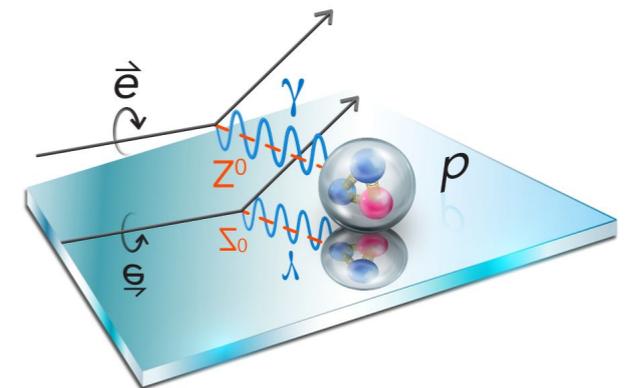
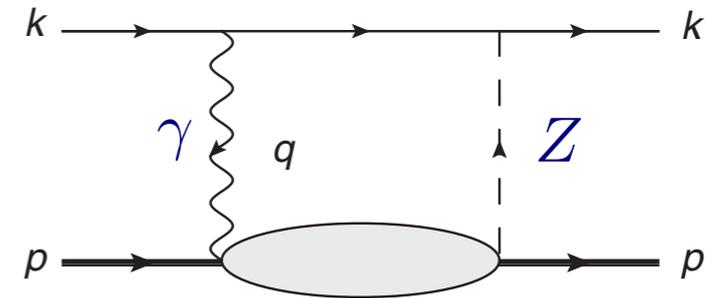
Theoretical foundations to inform  $Q^2$  cuts of empirical parton fits.



## Neutrino-nucleus cross sections

Precise theoretical input required for next-generation neutrino oscillation program

**Radiative corrections**  
 Searches for new physics in the proton weak charge.  
 Require knowledge of gamma-Z interference structure functions.



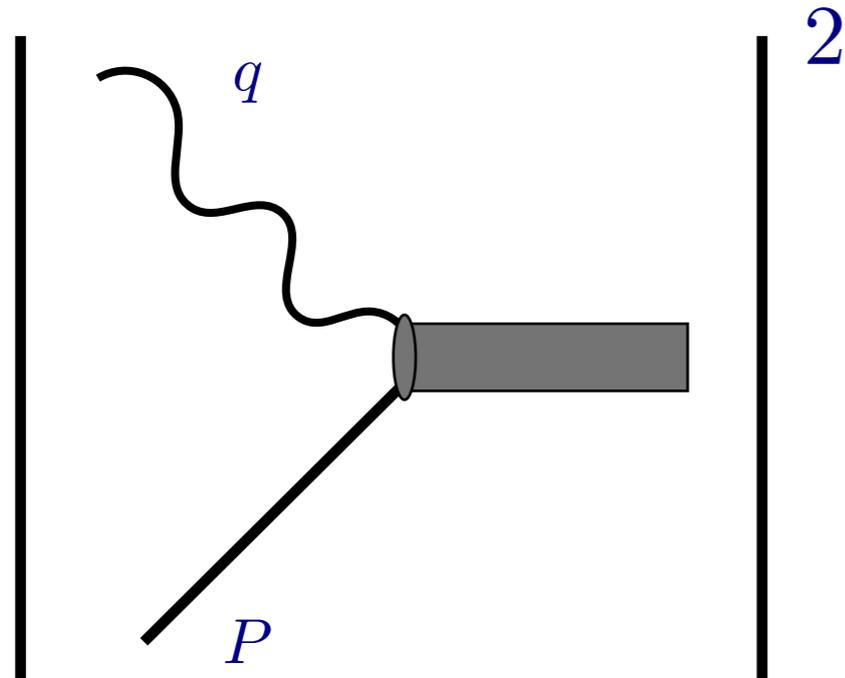
# Outline

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- Inelastic structure functions and the forward Compton scattering tensor
- Empirical Compton amplitude
- Compton amplitude as an energy shift: Feynman-Hellmann
- Numerical results

Inelastic structure functions and the forward  
Compton scattering tensor

# Inelastic scattering



Cross section  $\sim$  Hadron tensor

$$W_{\mu\nu} \sim \int d^4x e^{iq \cdot x} \langle p | [J_\mu(x), J_\nu(0)] | p \rangle$$

Structure functions  $F_{1,2}(P \cdot q, Q^2)$



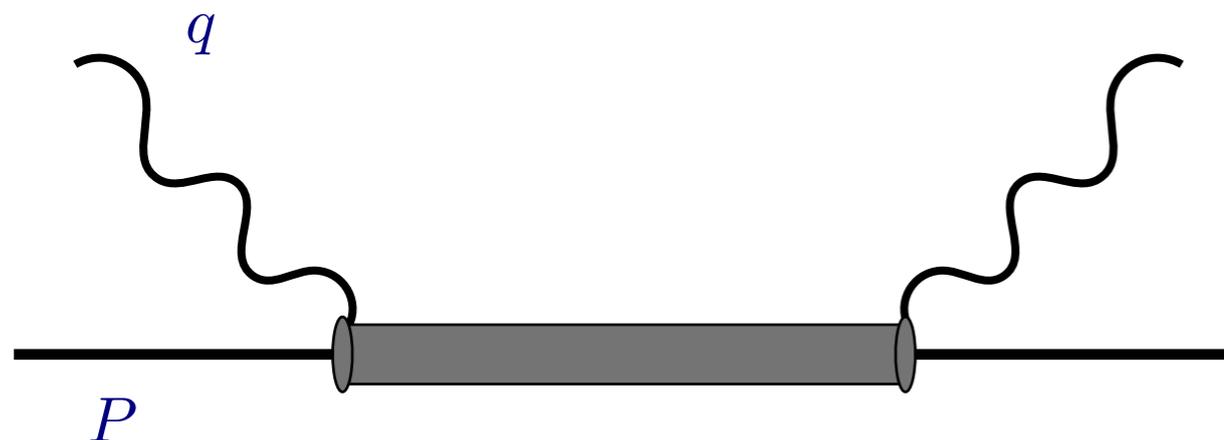
$$F_i = \frac{1}{2\pi} \text{Im } T_i$$



Forward Compton amplitude

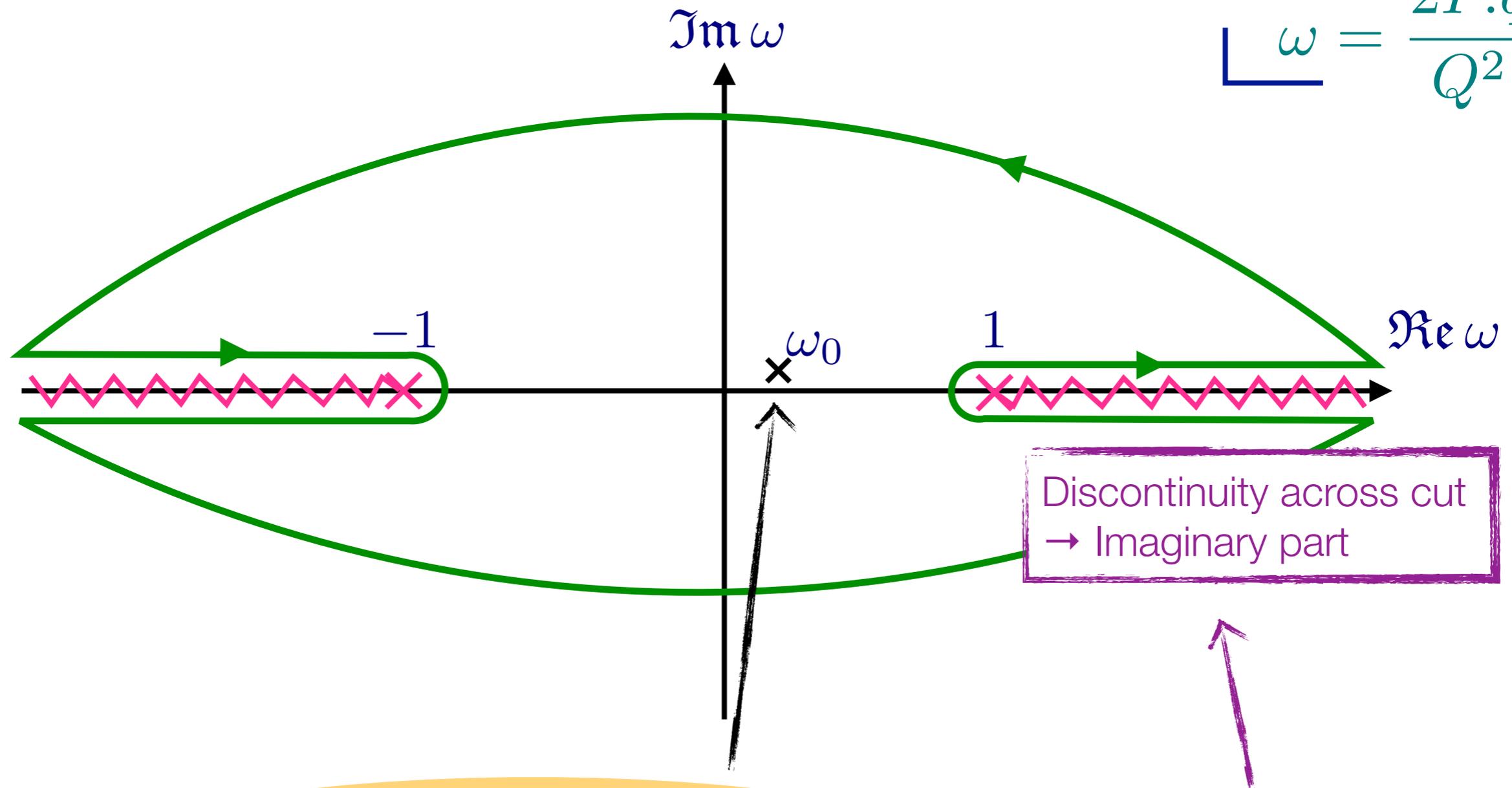
$$T_{\mu\nu} \sim \int d^4x e^{iq \cdot x} \langle p | T J_\mu(x) J_\nu(0) | p \rangle$$

Lorentz-scalar functions  $T_{1,2}(P \cdot q, Q^2)$



# Dispersion relation for Compton amplitude

$$\omega = \frac{2P \cdot q}{Q^2}$$



Compton amplitude in unphysical region as integral over inelastic structure function

# Moments of structure functions

- Compton amplitude as integral over inelastic cut:

$$\omega = \frac{2P \cdot q}{Q^2}$$

$$T_1(\omega, Q^2) = \frac{2\omega^2}{\pi} \int_1^\infty d\omega' \frac{\text{Im } T_1(\omega', Q^2)}{\omega'(\omega^2 - \omega'^2)} = 4\omega^2 \int_0^1 dx x \frac{F_1(x, Q^2)}{1 - (\omega x)^2}$$

subtracted dispersion relation

$$x = 1/\omega'$$

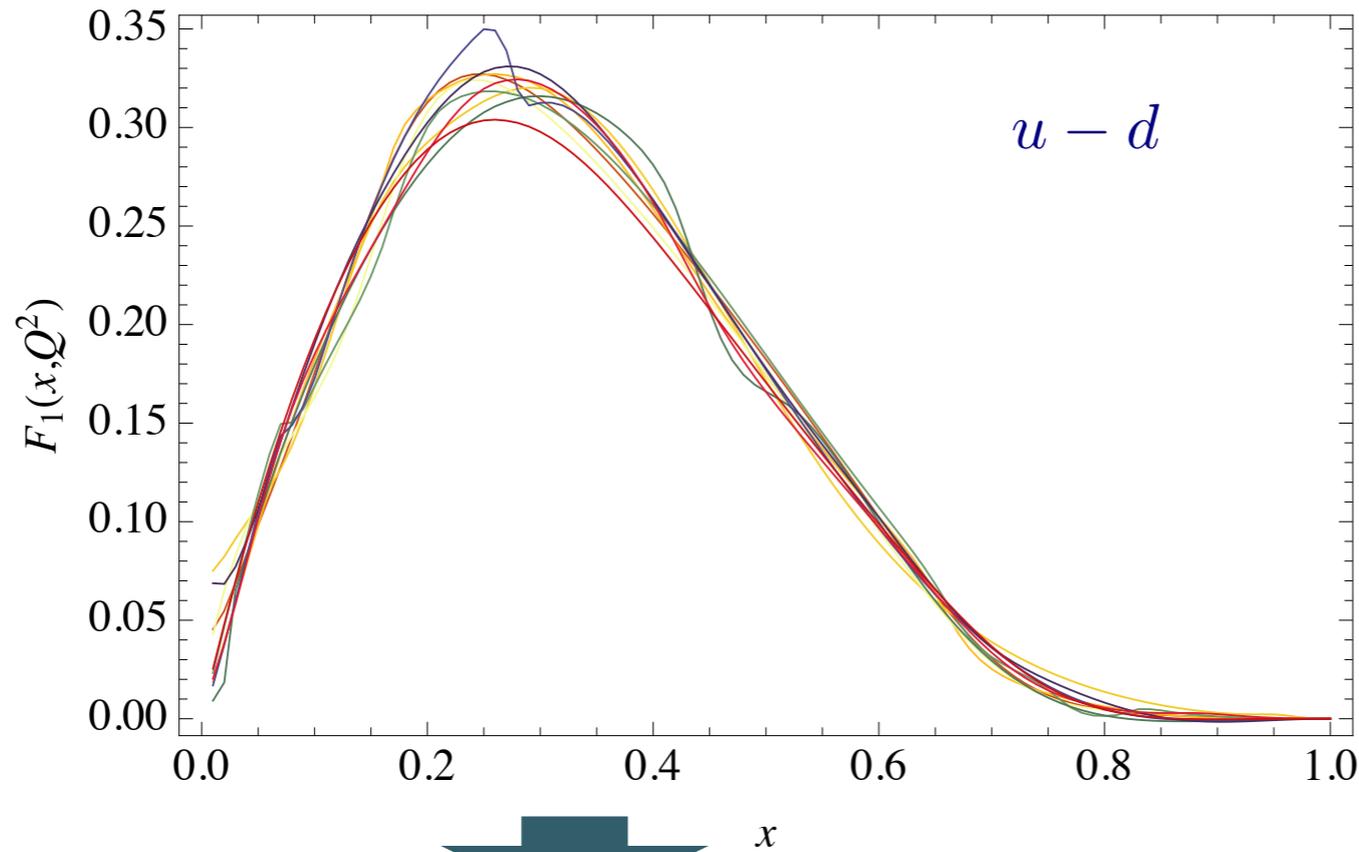
**Taylor  
expansion**

- Moments of structure functions**

$$T_1(\omega, Q^2) = \sum_{j=1}^{\infty} 4\omega^{2j} \int_0^1 dx x^{2j-1} F_1(x, Q^2) \equiv \sum_{j=1}^{\infty} 4\omega^{2j} f_{1,2j-1}(Q^2)$$

# Empirical Compton amplitude

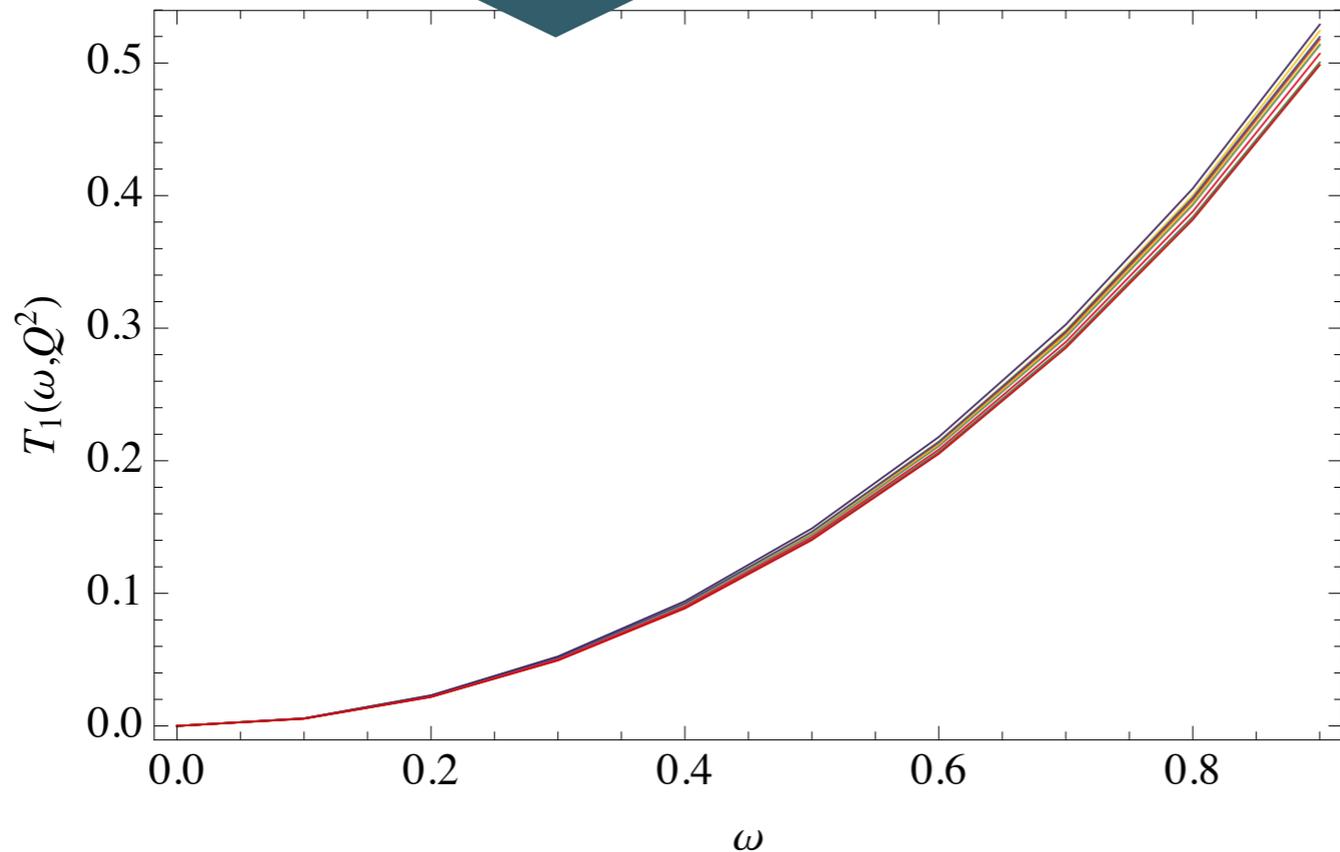
Structure function



NNPDF3.1 NNLO  
10 replicas

$$Q^2 = 9 \text{ GeV}^2$$

Compton amplitude



$$T_1(\omega, Q^2) = \sum_{j=1}^{\infty} 4\omega^{2j} f_{1,2j-1}(Q^2)$$

Coefficients of Taylor  
expansion are moments of  
structure function

Compton amplitude as an energy shift:  
Feynman-Hellmann

$$T_1(\omega, Q^2) - T_1(\omega, 0) = 4\omega^2 \int_0^1 dx x \frac{F_1(x, Q^2)}{1 - (\omega x)^2}$$

# Feynman–Hellmann (2nd order)

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- Quantum mechanics: 2nd order perturbation theory

$$E = E_0 + \lambda \langle N|V|N \rangle + \lambda^2 \sum_{X \neq N} \frac{\langle N|V|X \rangle \langle X|V|N \rangle}{E_0 - E_X} + \dots$$

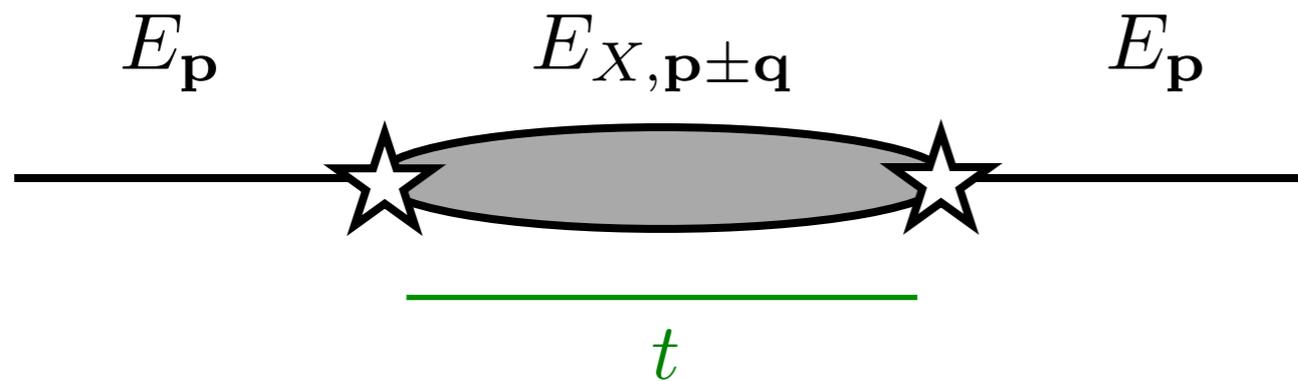
- Only get a linear term for elastic case  $\omega=1$  [Breit frame]
- Insert a weak spatially-varying vector current, e.g.

$$S \rightarrow S_0 + \lambda \int d^4y (e^{i\mathbf{q}\cdot\mathbf{y}} + e^{-i\mathbf{q}\cdot\mathbf{y}}) \bar{q}(y) \gamma_3 q(y)$$

- Second-order energy shifts isolate forward Compton amplitude ( $\mathbf{q}^2 > |2\mathbf{p}\cdot\mathbf{q}|$ )

$$\frac{\partial^2 E_{\mathbf{p}}}{\partial \lambda_{\mathbf{q}}^2} = -\frac{1}{E_{\mathbf{p}}} \int d^4x e^{i\mathbf{q}\cdot\mathbf{x}} \langle \mathbf{p} | T J(x) J(0) | \mathbf{p} \rangle$$

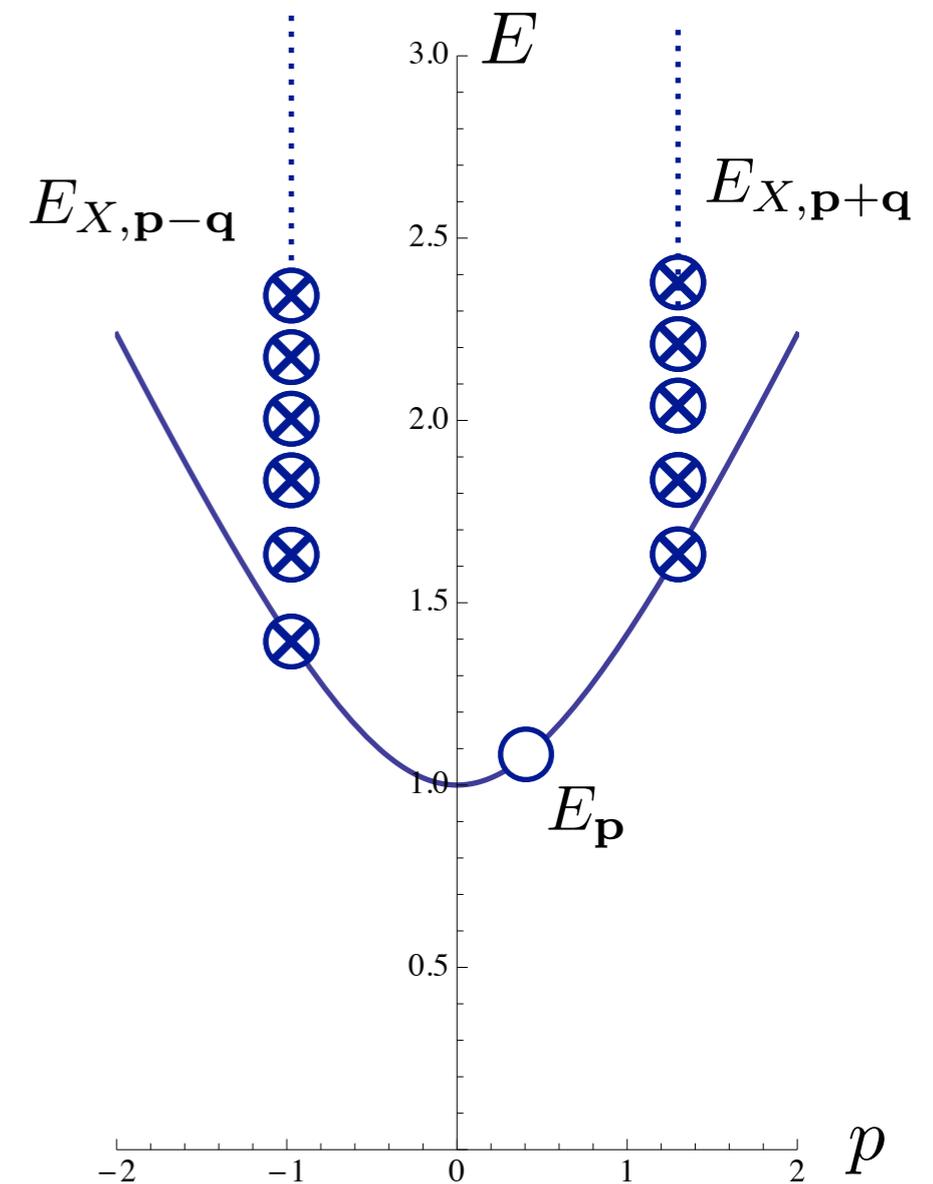
## Two current insertions



Euclidean decay of intermediate state  
 FH: integrate over all times

$$\int_0^T dt e^{-t(E_{X,p+q} - E_p)} = \frac{1 - e^{-T(E_{X,p+q} - E_p)}}{E_{X,p+q} - E_p}$$

$$\frac{\partial^2 E_p}{\partial \lambda^2} \sim \sum_X \frac{\langle p | J | X, \mathbf{p} + \mathbf{q} \rangle \langle X, \mathbf{p} + \mathbf{q} | J | p \rangle}{E_{X,p+q} - E_p} + (\mathbf{q} \rightarrow -\mathbf{q})$$



$E_p < E_X$

Intermediate states cannot go on-shell for  $\omega < 1$

# Numerical results

## **Lattice specs:**

NP-improved clover

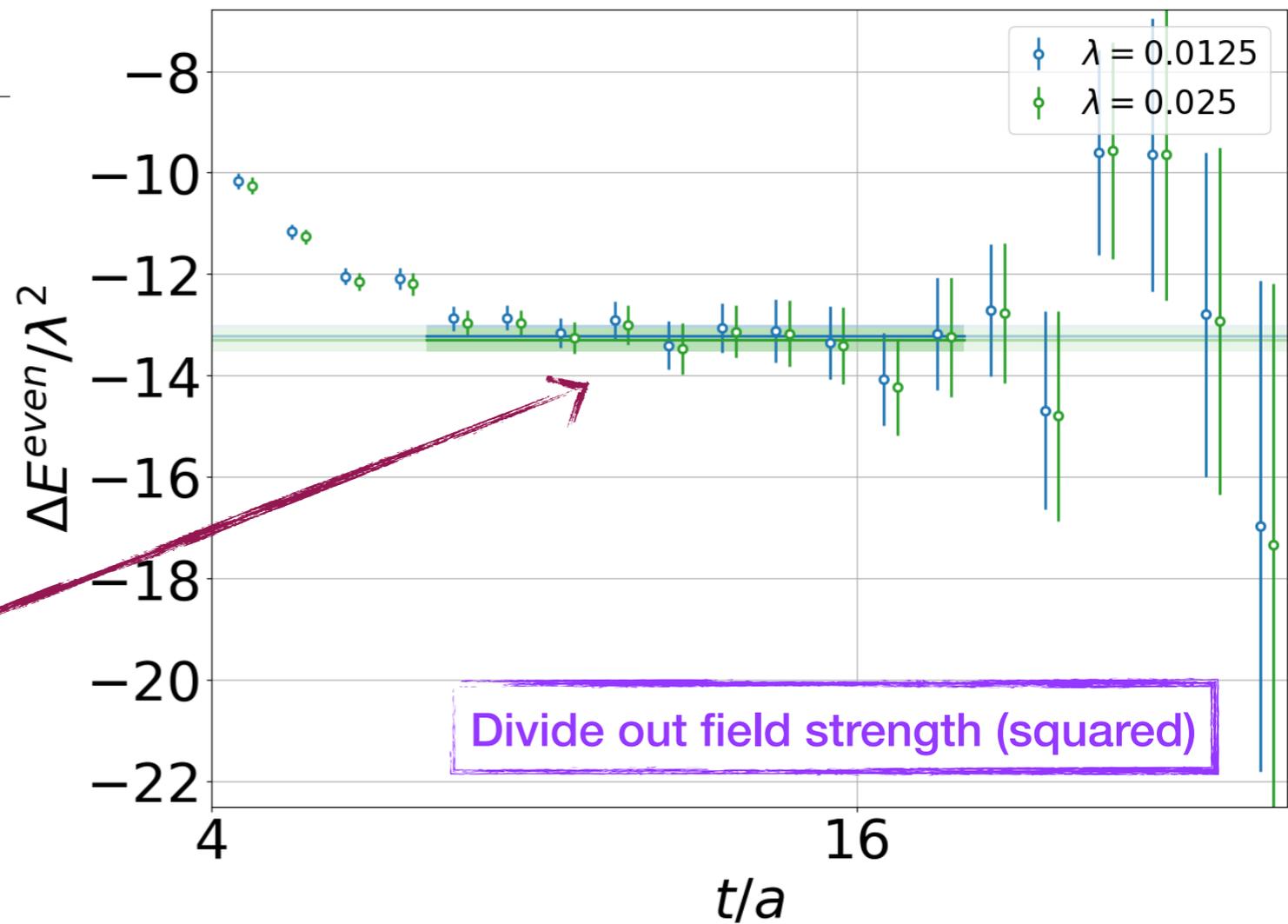
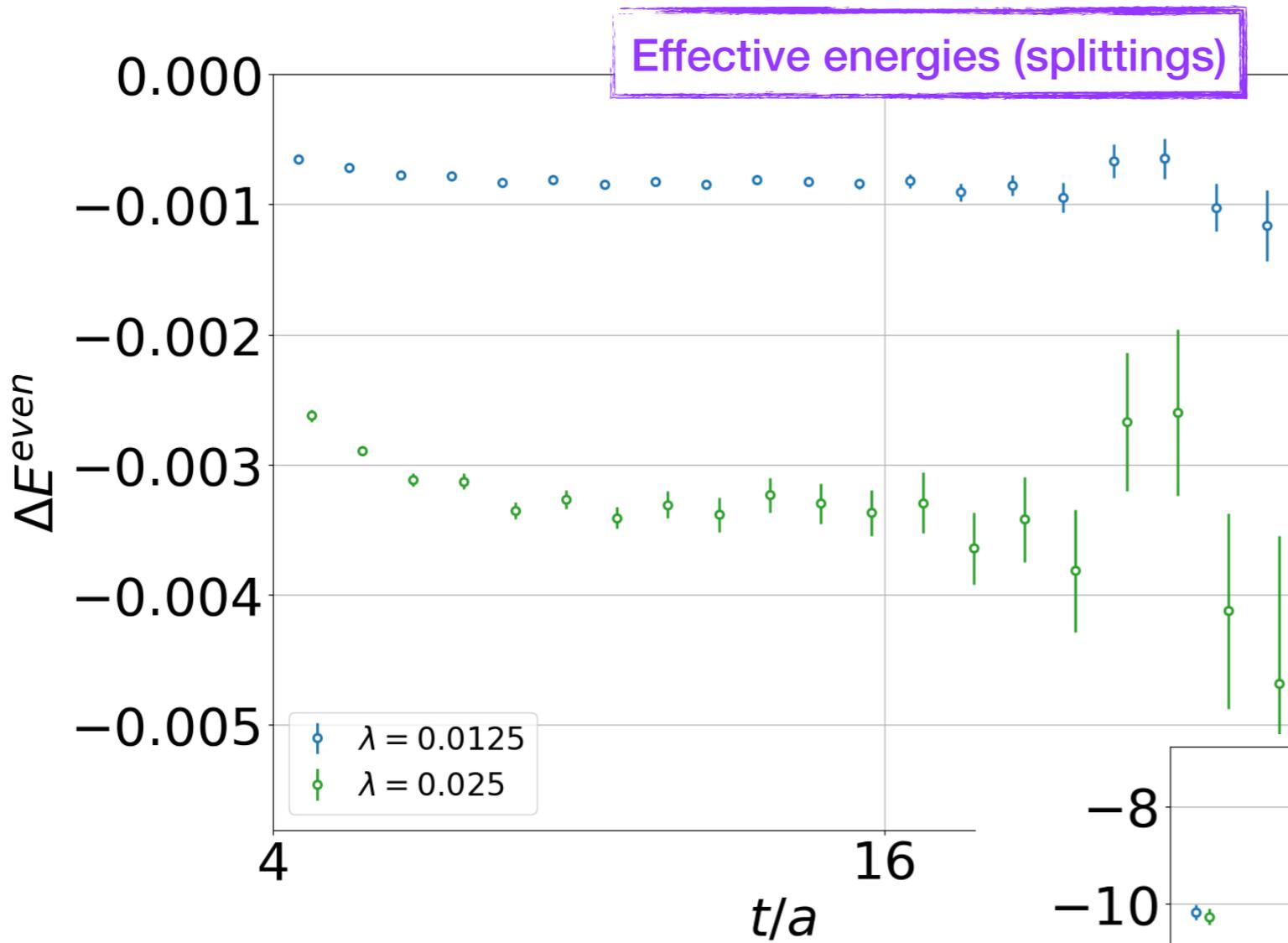
$m_\pi \sim 470$  MeV

SU(3) symmetric

$a \sim 0.074$  fm

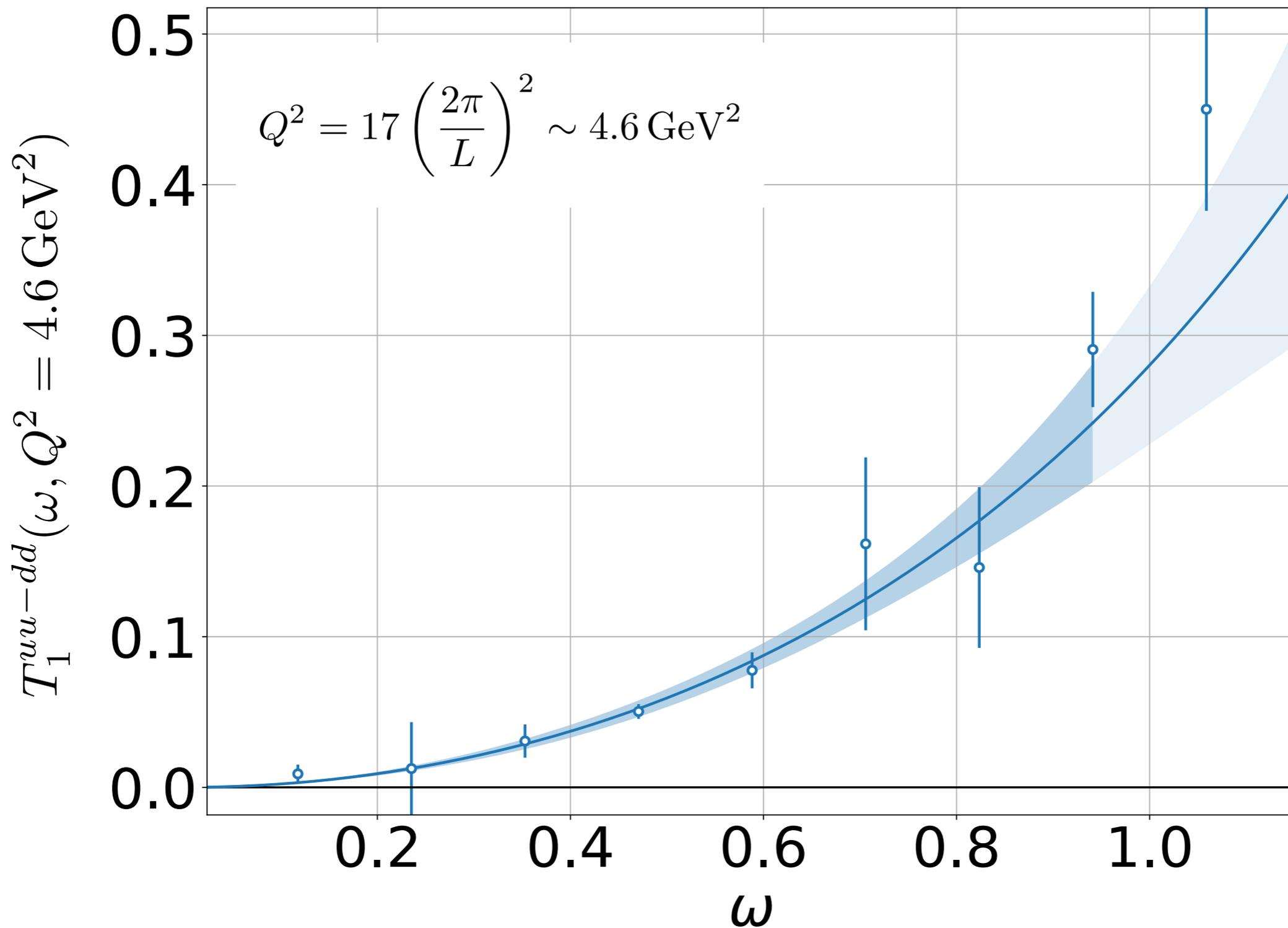
$32^3 \times 64$

(+ *a couple extras*)



Quadratic energy shift realised (almost) exactly point-by-point in effective mass

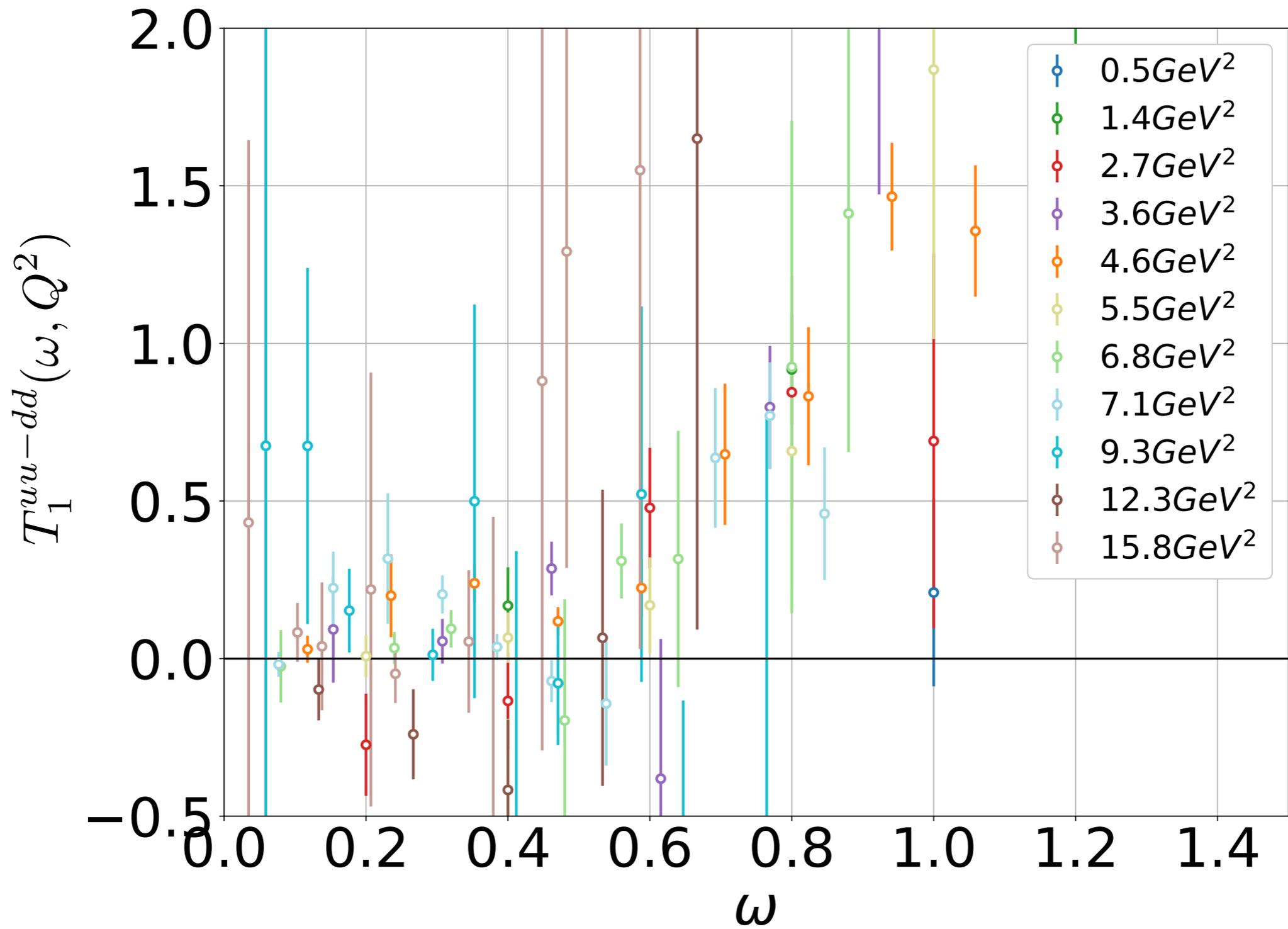
Divide out field strength (squared)



Compton amplitude

Single external momentum

$$\frac{\vec{q}L}{2\pi} = (4, 1, 0)$$



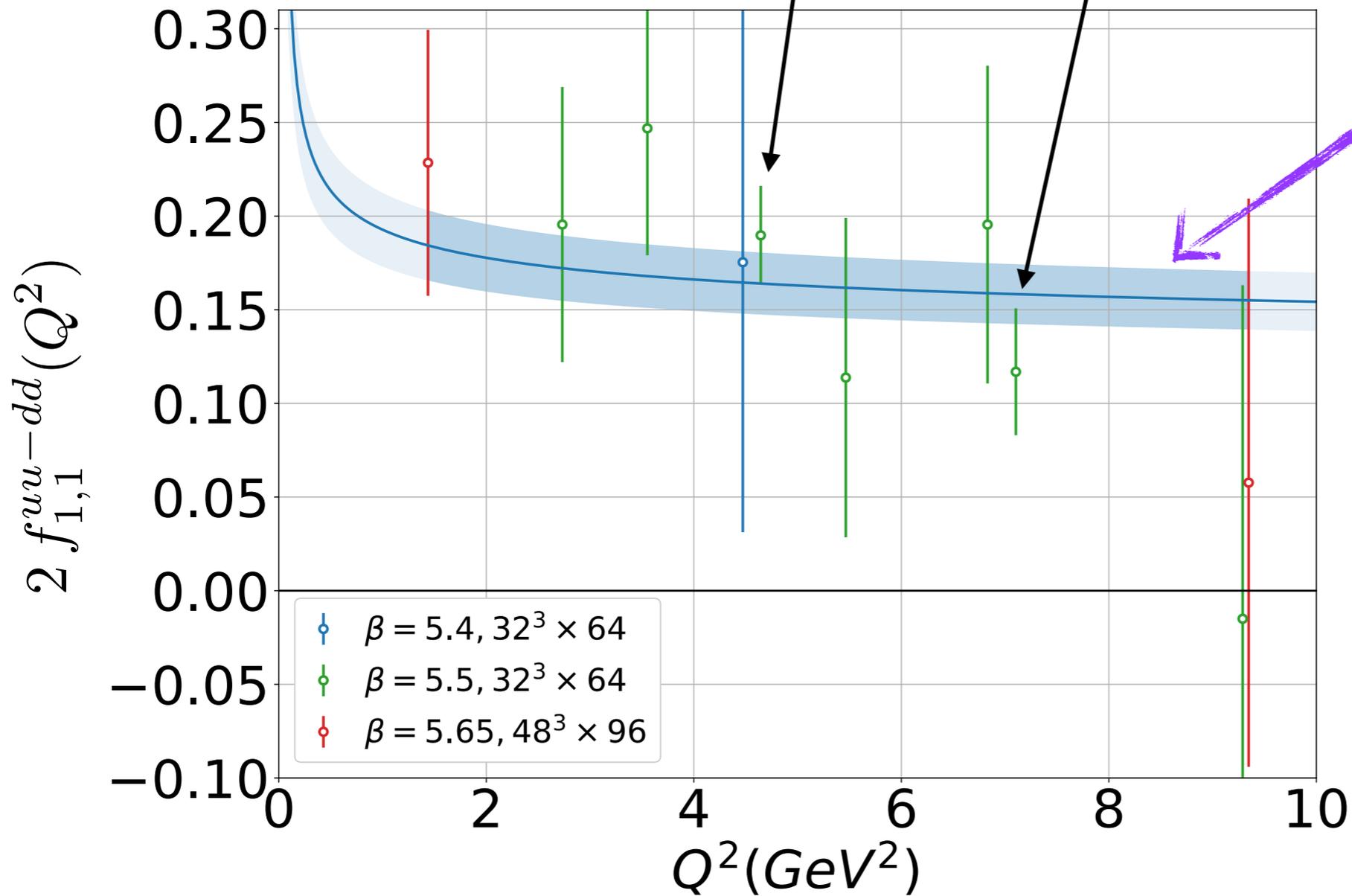
Compton amplitude

Lots of external momenta

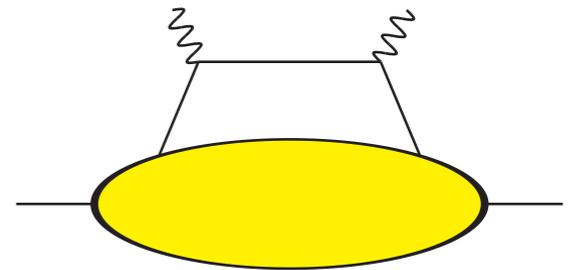
~ 1,700 configs

6 sources

4 sources



LO QCD evolution



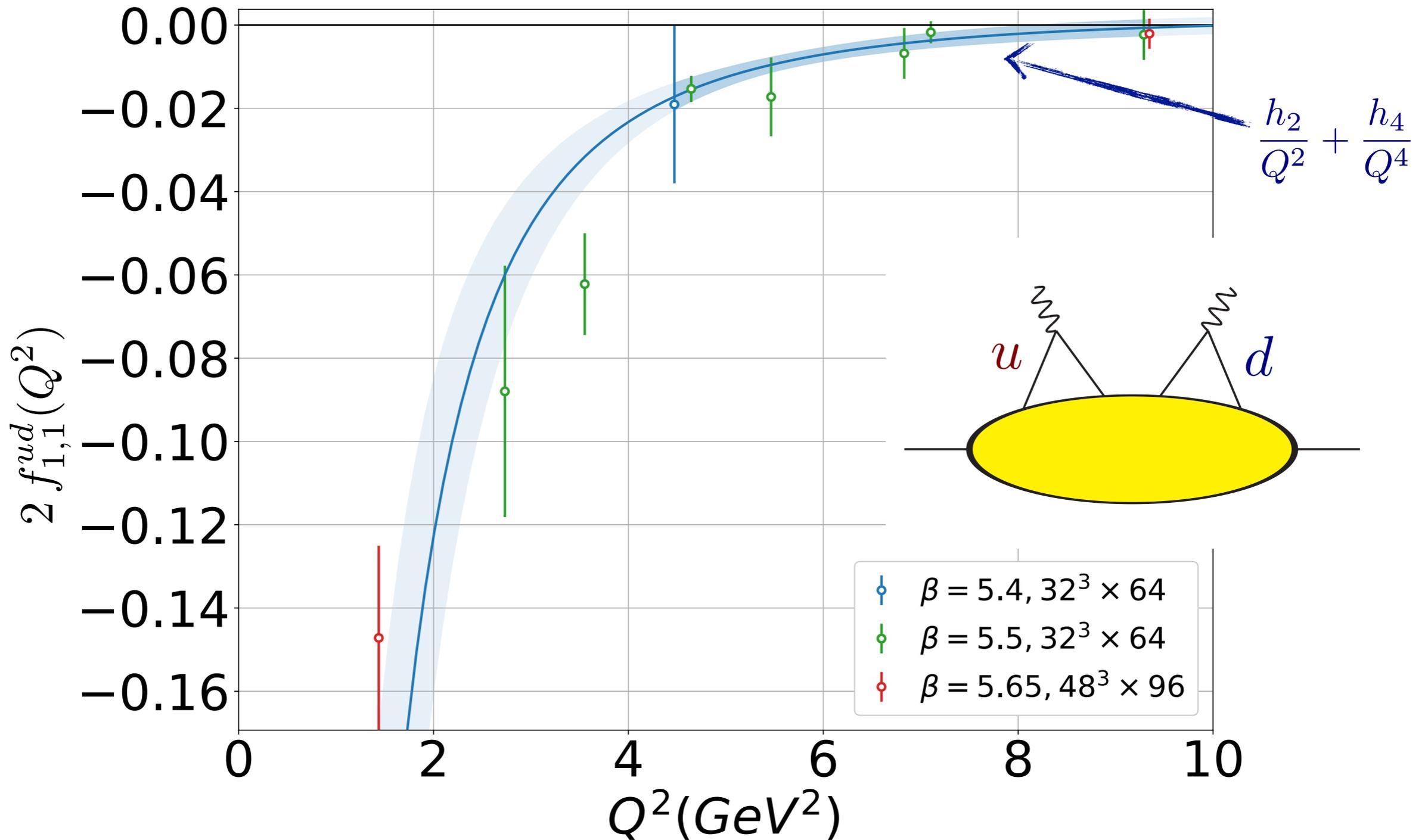
$$f_{1,1}(Q^2) \sim \frac{1}{2} \langle x \rangle (1 + \log)$$

$$T_1(\omega, Q^2) = \sum_{j=1}^{\infty} 4\omega^{2j} f_{1,2j-1}(Q^2)$$

Scaling: Lowest moment

- Compatible with scaling
- Trend *not inconsistent* with pQCD
- too early to assess higher twist...

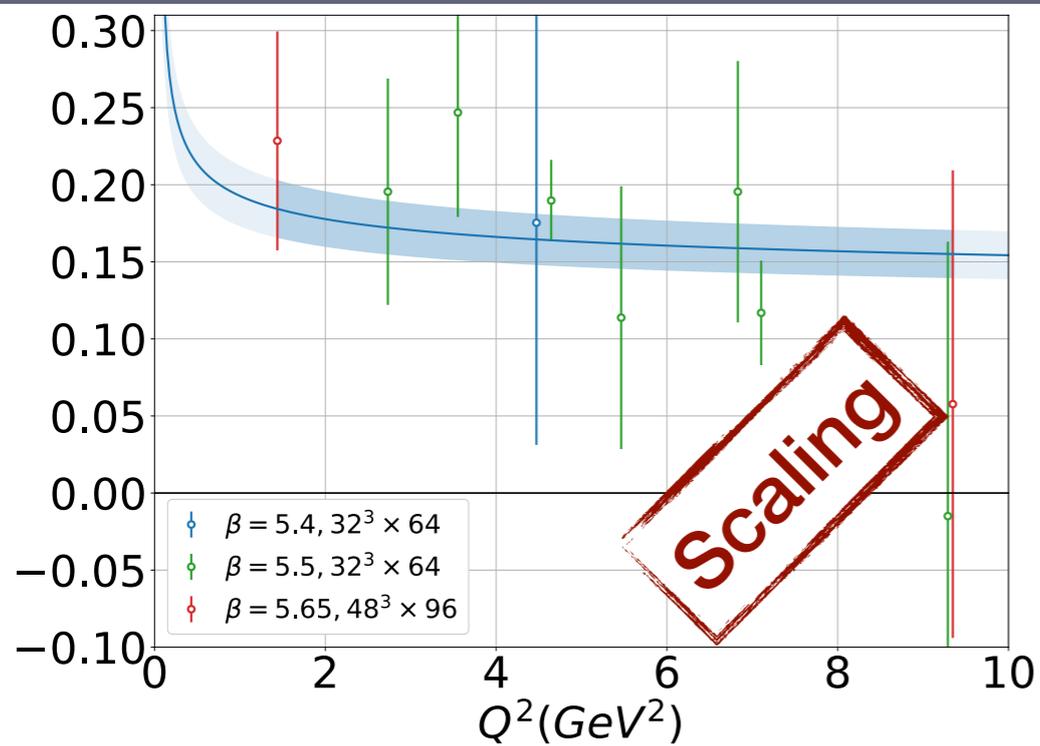
Lowest moment of interference  $T_1$



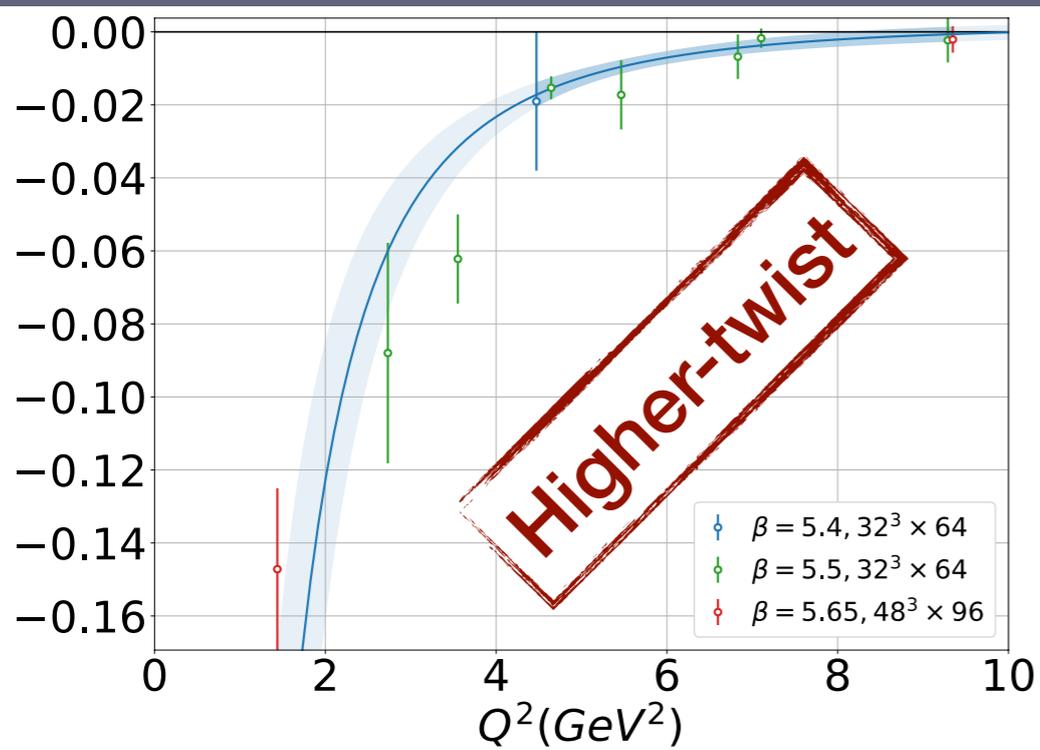
$ud$  Interference:  
Higher twist

Pure higher-twist effect  
Vanishes asymptotically, as expected

# Concluding remarks



Feynman-Hellmann reduces computation to analysis of 2-point functions



Still some work to do:

- Inversion problem [see poster H. Perlt]
- Subtraction term not yet understood
- Understanding continuum limit
- Better statistics
- New insight into twist expansion

...

Physical Compton amplitude, can vary kinematics directly

Back-up slides

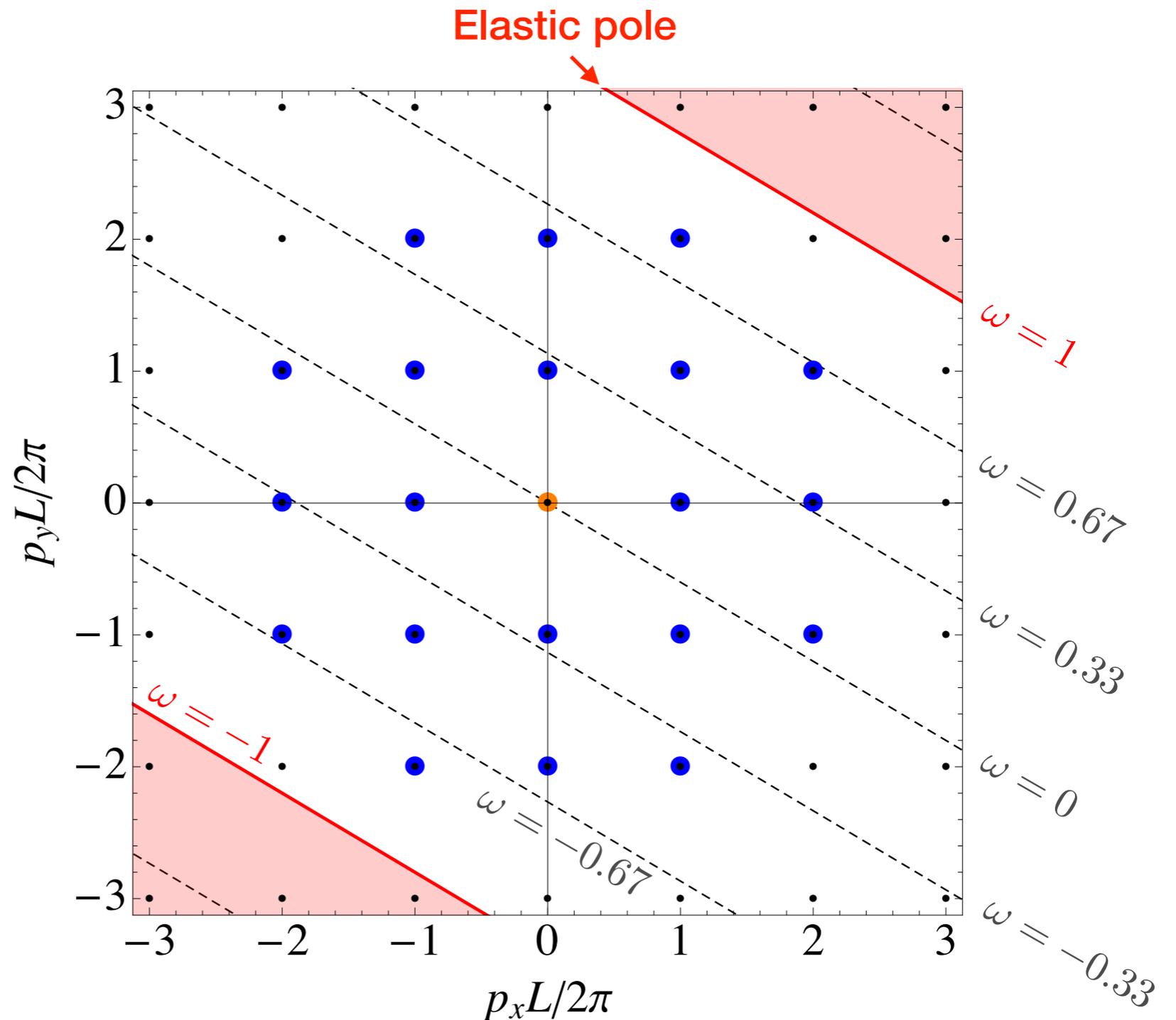
## Numerical example

Single external momenta

$$\vec{q} = (3, 5, 0) \frac{2\pi}{L}$$

$$\omega = \frac{2P \cdot q}{Q^2} = \frac{2\vec{P} \cdot \vec{q}}{q^2}$$

$q_4 = 0$



**Blue dots:** different nucleon Fourier momenta

Lattice kinematics

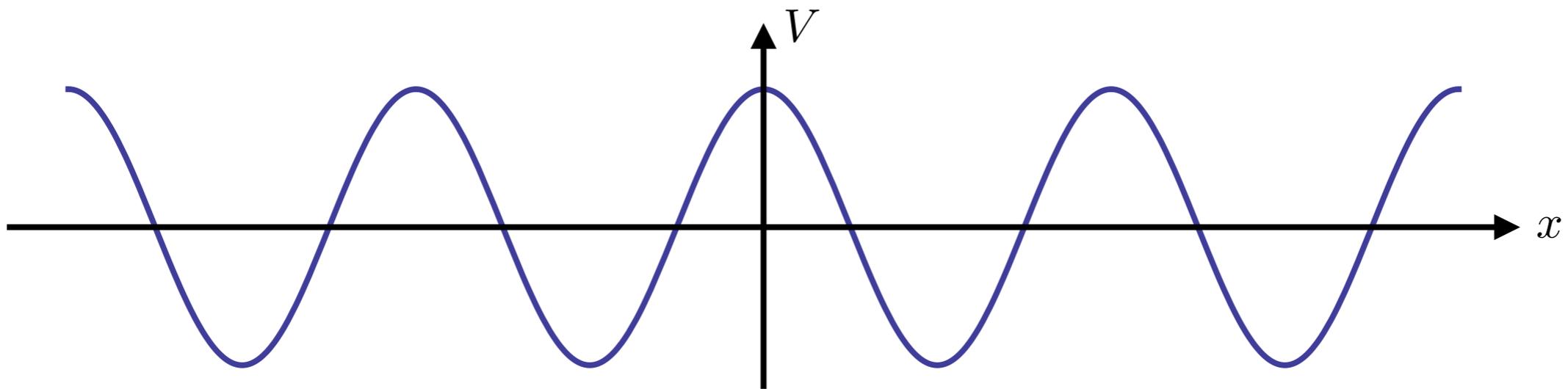
Broad coverage of  $\omega$  from single calculation (computationally "cheap")

Feynman–Hellman with momentum transfer

# Warm up: Periodic potential, 1-D QM

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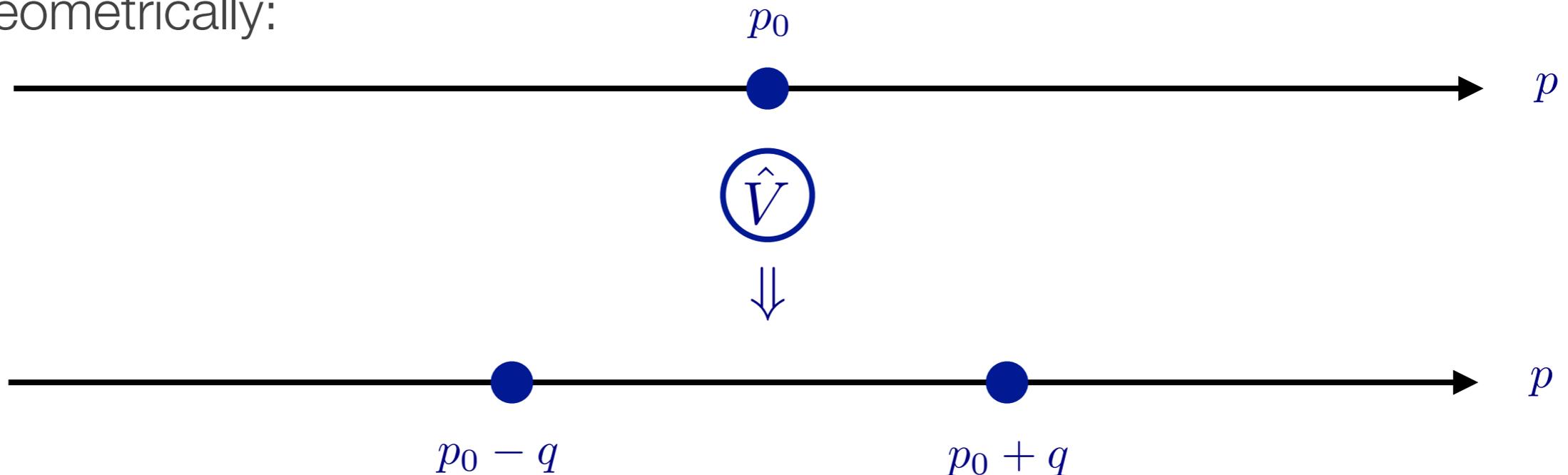
- Almost free particle  $H_0|p\rangle = \frac{p^2}{2m}|p\rangle$
- Subject to weak external periodic potential  $V(x) = 2\lambda V_0 \cos(qx)$



$$\hat{V}|p\rangle = \lambda V_0|p + q\rangle + \lambda V_0|p - q\rangle$$

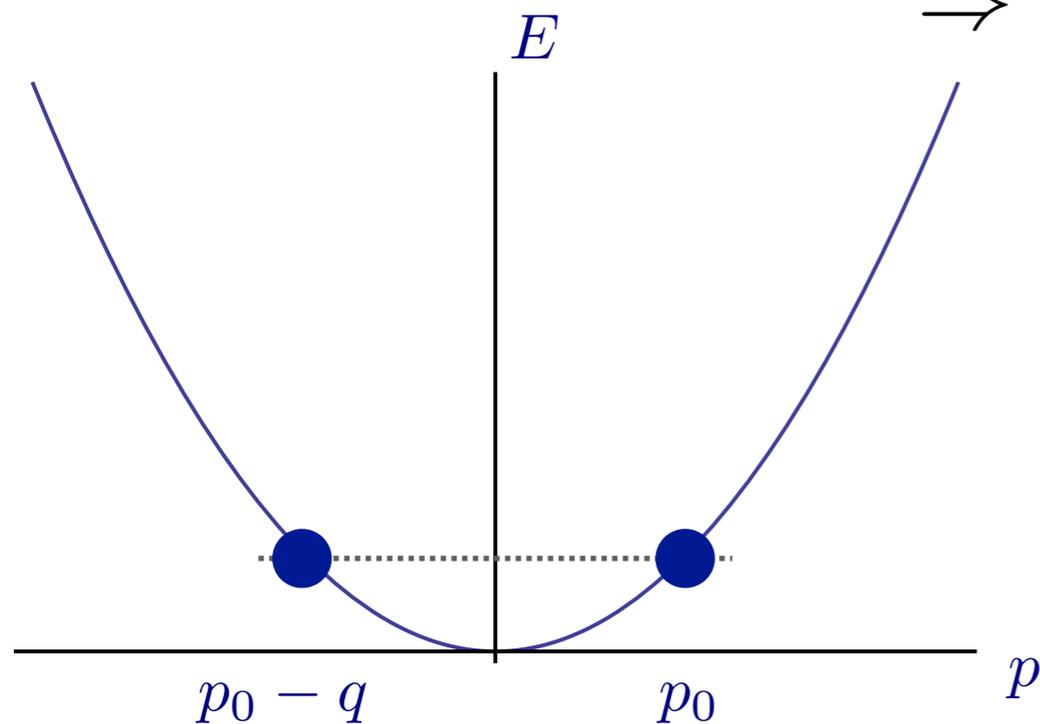
# Warm up: Periodic potential, 1-D QM

- Geometrically:



$$\Rightarrow \langle p | \hat{V} | p \rangle = 0$$

No first order energy shifts?



If  $p_0 = \pm q/2$   
 $\Rightarrow$  transition between degenerate states

# Degenerate perturbation theory

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- Exact degeneracy:  $p = q/2$

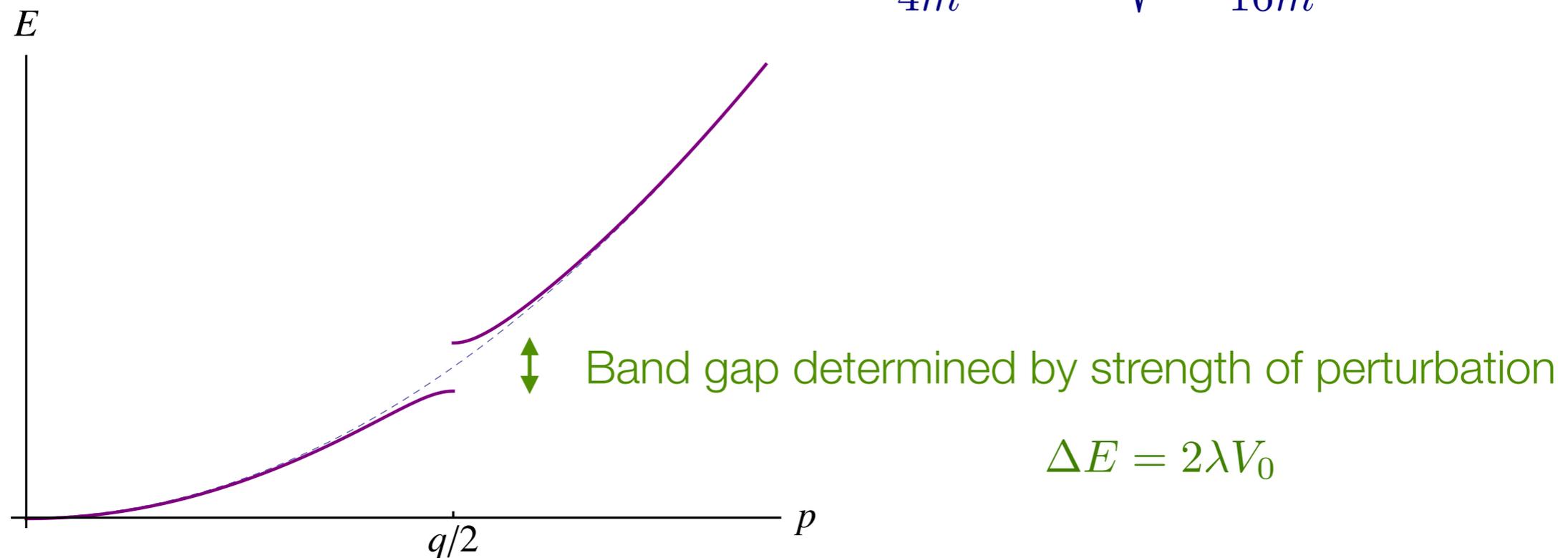
$$H = \begin{pmatrix} \frac{p^2}{2m} & \lambda V_0 \\ \lambda V_0 & \frac{p^2}{2m} \end{pmatrix} \quad H \{ |q/2\rangle \pm |-q/2\rangle \} = (E_{q/2} \pm \lambda V_0) \{ |q/2\rangle \pm |-q/2\rangle \}$$

- Consider mixing on almost-degenerate states  $p \sim q/2$

$$H = \begin{pmatrix} \frac{p^2}{2m} & \lambda V_0 \\ \lambda V_0 & \frac{(p-q)^2}{2m} \end{pmatrix}$$

Eigenvalues

$$\frac{p^2 + (p-q)^2}{4m} \pm \sqrt{\frac{q^2(q-2p)^2}{16m^2} + \lambda^2 V_0^2}$$



# External momentum field on the lattice

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- Modify Lagrangian with external field containing a spatial Fourier transform [constant in time]

$$\mathcal{L}(y) \rightarrow \mathcal{L}_0(y) + \lambda 2 \cos(\vec{q} \cdot \vec{y}) \bar{q}(y) \gamma_\mu q(y)$$

- Project onto “back-to-back” momentum state:  $|\vec{q}/2\rangle + |-\vec{q}/2\rangle$

**“Breit frame” kinematics**

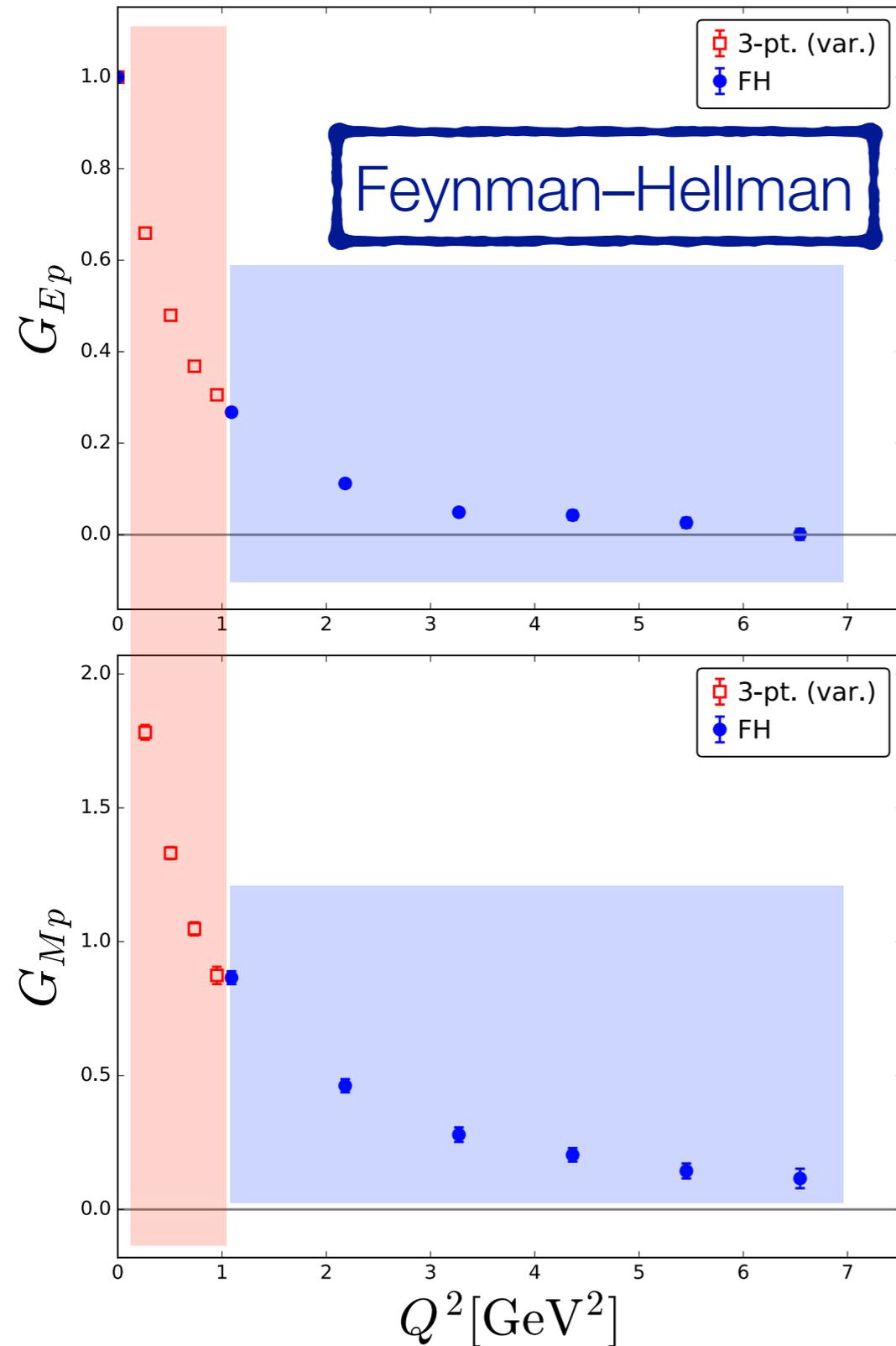
- E.g. pion form factor

$$\langle \pi(\vec{p}') | \bar{q}(0) \gamma_\mu q(0) | \pi(\vec{p}) \rangle = (p + p')_\mu F_\pi(q^2)$$

- “Feynman-Hellmann”

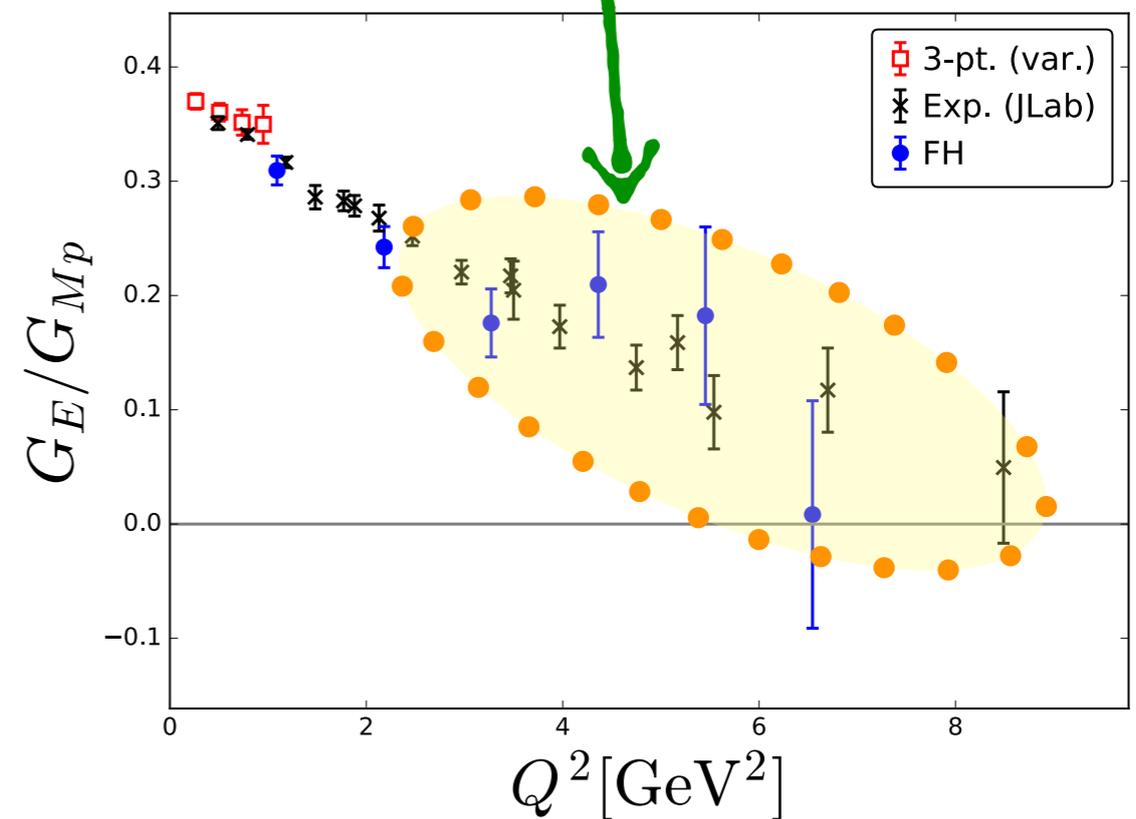
$$\left. \frac{\partial E}{\partial \lambda} \right|_{\lambda=0} = \frac{(p + p')_\mu}{2E} F_\pi(q^2) \quad \xrightarrow{\mu=4} \quad \left. \frac{\partial E}{\partial \lambda} \right|_{\lambda=0} = F_\pi(q^2)$$

# 3-pt functions



# Proton Form Factors

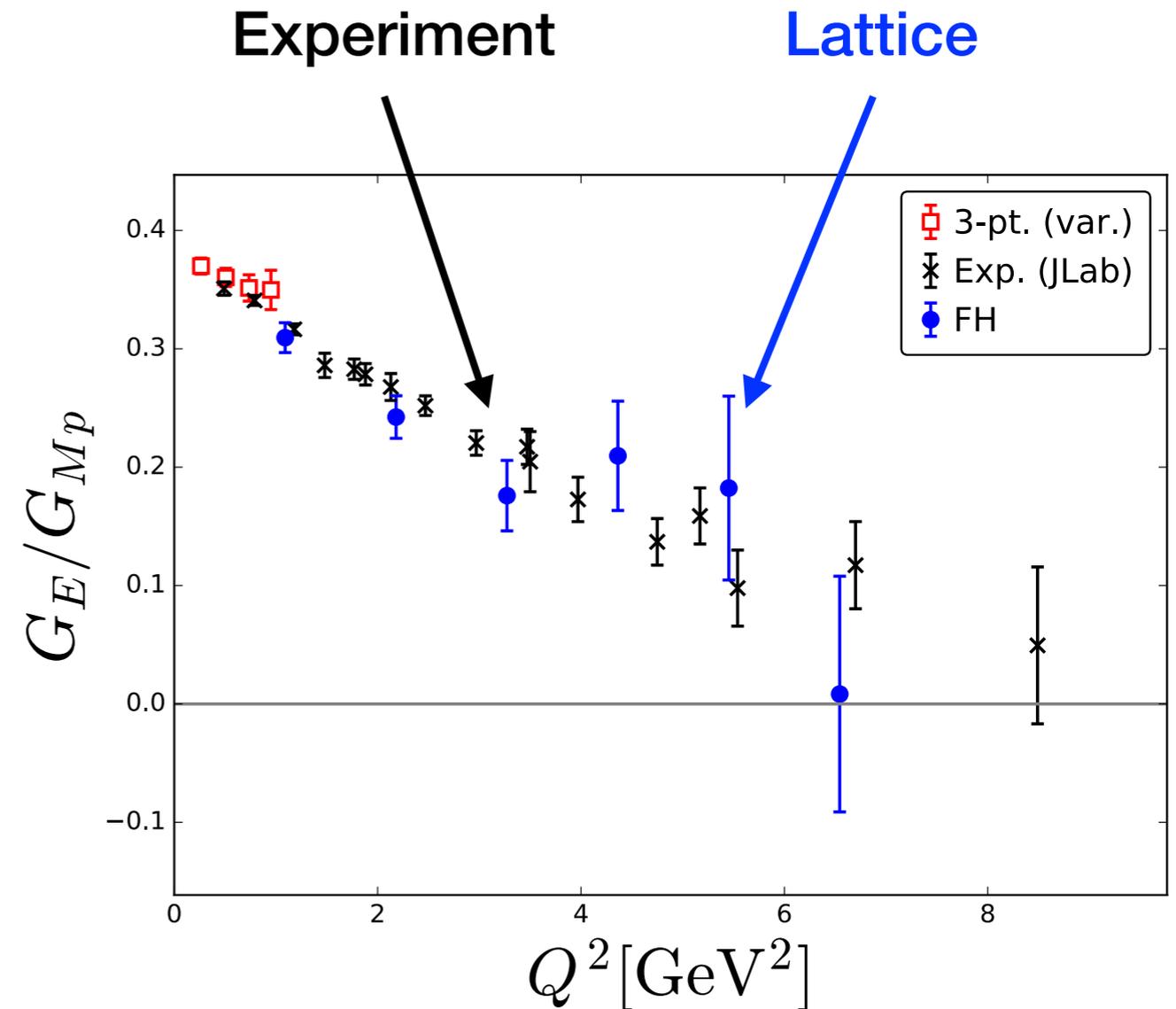
Phenomenologically-interesting region.  
Domain dominated by model calculations...  
previously prohibitive to study in lattice QCD.



# Proton form factors

[my comments]

- One volume
  - Not worried (yet)
- One quark mass
  - Surprised that we see a similar trend as experiment
- One lattice spacing
  - We should investigate further



[Chambers *et al.* arXiv:1702.01513]

Second-order “Feynman-Hellmann”  
(with external momentum)

# Feynman–Hellmann (2nd order)

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- Two-point correlator

$$\int d^3x e^{-i\mathbf{p}\cdot\mathbf{x}} \frac{1}{\mathcal{Z}(\lambda)} \int \mathcal{D}\phi \chi(x) \chi^\dagger(0) e^{-S(\lambda)} = \sum_N \frac{|\lambda \langle \Omega | \chi | N, \mathbf{p} \rangle_\lambda|^2}{2E_{N,\mathbf{p}}(\lambda)} e^{-E_{N,\mathbf{p}}(\lambda)x_0}$$

Integral over all fields

only interested in perturbative shift of ground-state energy

$$\simeq A_{\mathbf{p}}(\lambda) e^{-E_{\mathbf{p}}(\lambda)x_0}$$

“Momentum” quantum# at finite field

$$|N, \mathbf{p}\rangle_\lambda$$

$$\mathbf{p} \equiv \mathbf{p} + n\mathbf{q}, \quad n \in \mathbb{Z}$$

# Feynman–Hellmann (2nd order)

- Differentiate spectral sum

$$\frac{\partial}{\partial \lambda} \sum_N \frac{|\lambda \langle \Omega | \chi | N, \mathbf{p} \rangle_\lambda|^2}{2E_N(\mathbf{p}, \lambda)} e^{-E_{N,\mathbf{p}}(\lambda)x_4} = \sum_N \left[ \frac{\partial A_{N,\mathbf{p}}(\lambda)}{\partial \lambda} - A_{N,\mathbf{p}}(\lambda)x_4 \frac{\partial E_{N,\mathbf{p}}}{\partial \lambda} \right] e^{-E_{N,\mathbf{p}}(\lambda)x_4}$$

$$\rightarrow \left[ \frac{\partial A_{\mathbf{p}}(\lambda)}{\partial \lambda} - A_{\mathbf{p}}(\lambda)x_4 \frac{\partial E_{\mathbf{p}}}{\partial \lambda} \right] e^{-E_{\mathbf{p}}(\lambda)x_4}$$

- And again

**Not Breit frame,  $\omega < 1 \Rightarrow 0$**

$$\frac{\partial^2}{\partial \lambda^2} [\dots] = \sum_N \left[ \frac{\partial^2 A_{N,\mathbf{p}}(\lambda)}{\partial \lambda^2} - 2 \frac{\partial A_{N,\mathbf{p}}(\lambda)}{\partial \lambda} x_4 \frac{\partial E_{N,\mathbf{p}}(\lambda)}{\partial \lambda} - A_{N,\mathbf{p}}(\lambda)x_4 \frac{\partial^2 E_{N,\mathbf{p}}(\lambda)}{\partial \lambda^2} + A_{N,\mathbf{p}}(\lambda)x_4^2 \left( \frac{\partial E_{N,\mathbf{p}}(\lambda)}{\partial \lambda} \right)^2 \right]$$

$$\rightarrow \left[ \frac{\partial^2 A_{\mathbf{p}}(\lambda)}{\partial \lambda^2} - A_{\mathbf{p}}(\lambda)x_4 \frac{\partial^2 E_{\mathbf{p}}}{\partial \lambda^2} \right] e^{-E_{\mathbf{p}}(\lambda)x_4}$$

**Quadratic energy shift**

Watch for temporal enhancement  $\sim x_4 e^{-E_{\mathbf{p}}x_4}$

# Feynman–Hellmann (2nd order)

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- **Differentiate path integral**

$$\begin{aligned} & \frac{\partial}{\partial \lambda} \int d^3x e^{-i\mathbf{p}\cdot\mathbf{x}} \frac{1}{\mathcal{Z}(\lambda)} \int \mathcal{D}\phi \chi(x) \chi^\dagger(0) e^{-S(\lambda)} \\ &= \int d^3x e^{-i\mathbf{p}\cdot\mathbf{x}} \frac{1}{\mathcal{Z}(\lambda)} \int \mathcal{D}\phi \chi(x) \chi^\dagger(0) \left[ -\frac{\partial S}{\partial \lambda} - \frac{1}{\mathcal{Z}(\lambda)} \frac{\partial \mathcal{Z}}{\partial \lambda} \right] e^{-S(\lambda)}, \end{aligned}$$

“Disconnected” operator insertions;  
drop for simplicity

- Differentiate again, take zero-field limit and note:  $\frac{\partial^2 S}{\partial \lambda^2} = 0$

$$\frac{\partial^2}{\partial \lambda^2} [\dots] \Big|_{\lambda=0} = \int d^3x e^{-i\mathbf{p}\cdot\mathbf{x}} \frac{1}{\mathcal{Z}_0} \int \mathcal{D}\phi \chi(x) \chi^\dagger(0) \left( \frac{\partial S}{\partial \lambda} \right)^2 e^{-S_0}$$

Current insertions integrated  
over 4-volume

$$\frac{\partial S}{\partial \lambda} = \int d^4y 2 \cos(\mathbf{q}\cdot\mathbf{y}) \bar{q}(y) \gamma_\mu q(y)$$

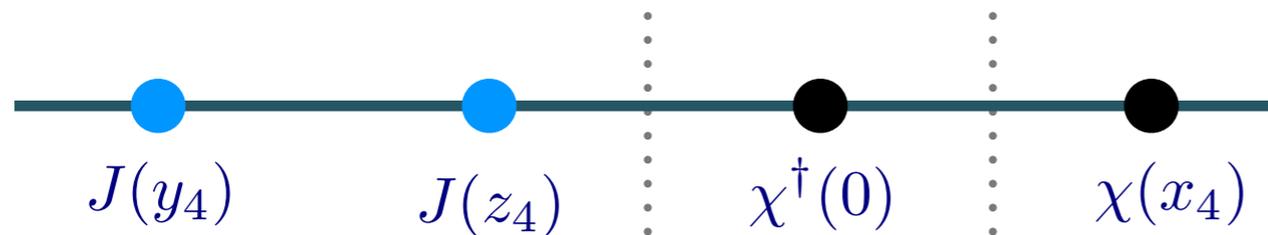
# Field time orderings

ignore finite T

- Current insertion possibilities



- Both currents "outside" (together)



$$\langle \chi(x) \chi^\dagger(0) \mathbb{T}(J(y) J(z)) \rangle, \quad y_4, z_4 < 0 < x_4$$

$$\sim e^{-E_X x_4}, \quad E_X \gtrsim E_P$$

- Both currents "outside" (opposite)

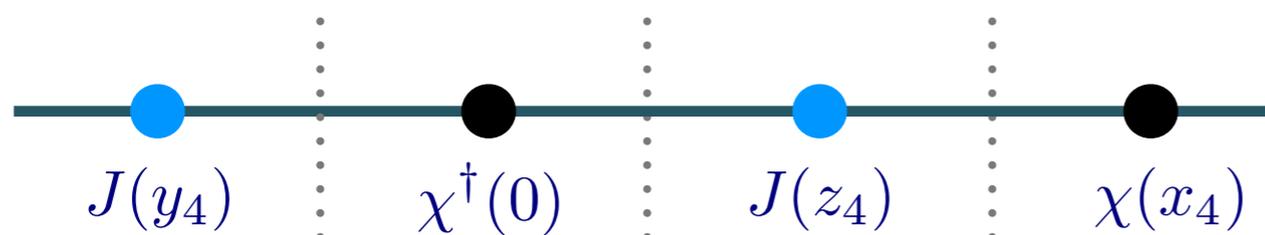


$$\langle J(z) \chi(x) \chi^\dagger(0) J(y) \rangle, \quad y_4 < 0 < x_4 < z_4$$

$$\sim e^{-E_X x_4}, \quad E_X \gtrsim E_P$$

$E_X = E_P \Rightarrow$  changes amplitudes

- One current "inside"



$$\langle \chi(x) J(z) \chi^\dagger(0) J(y) \rangle, \quad y_4 < 0 < z_4 < x_4$$

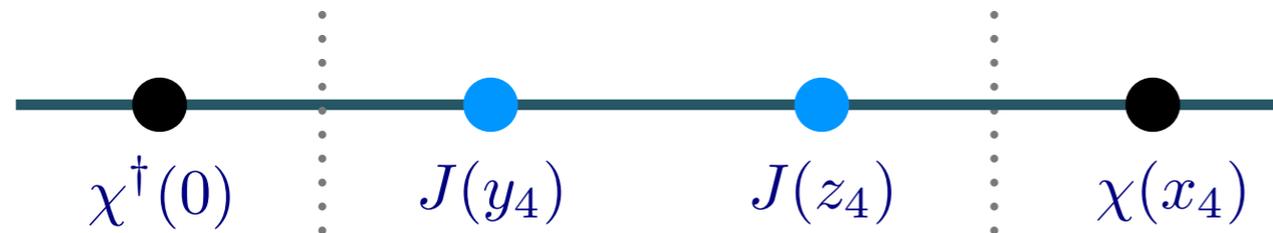
$$\sim \frac{\partial E_P}{\partial \lambda} x_4 e^{-E_P x_4} \rightarrow 0$$

linear energy shift  
(and changed amplitude)

# Field time orderings

---

- Both currents between creation/annihilation



$$\begin{aligned}
 & \int d^3x e^{-i\mathbf{p}\cdot\mathbf{x}} \frac{1}{Z_0} \int \mathcal{D}\phi \chi(x) \chi^\dagger(0) \left( \frac{\partial S}{\partial \lambda} \right)^2 e^{-S_0} \\
 &= \sum_{N, N'} \int \frac{d^3k}{(2\pi)^3} \frac{1}{2E_{N, \mathbf{k}}} \int \frac{d^3k'}{(2\pi)^3} \frac{1}{2E_{N', \mathbf{k}'}} \int d^3x \int d^4z \int d^4y e^{-i\mathbf{p}\cdot\mathbf{x}} (e^{i\mathbf{q}\cdot\mathbf{z}} + e^{-i\mathbf{q}\cdot\mathbf{z}}) (e^{i\mathbf{q}\cdot\mathbf{y}} + e^{-i\mathbf{q}\cdot\mathbf{y}}) \\
 &\quad \times \langle \Omega | \chi(x) | N, \mathbf{k} \rangle \langle \mathbf{k} | T J(z) J(y) | \mathbf{k}' \rangle \langle N', \mathbf{k}' | \chi^\dagger(0) | \Omega \rangle, \\
 &\vdots \\
 &\rightarrow \frac{A_{\mathbf{p}}}{2E_{\mathbf{p}}} x_4 e^{-E_{\mathbf{p}} x_4} \int d^4\xi (e^{i\mathbf{q}\cdot\xi} + e^{-i\mathbf{q}\cdot\xi}) \langle \mathbf{p} | T J(\xi) J(0) | \mathbf{p} \rangle
 \end{aligned}$$

Note  $q_4 = 0 \Rightarrow \mathbf{q}\cdot\xi = q\cdot\xi$

# Final steps

---

- Equate spectral sum and path integral representation
  - Asymptotically, we have

$$-A_{\mathbf{p}} \frac{\partial^2 E_{\mathbf{p}}}{\partial \lambda^2} x_4 e^{-E_{\mathbf{p}} x_4} = \frac{A_{\mathbf{p}}}{2E_{\mathbf{p}}} x_4 e^{-E_{\mathbf{p}} x_4} \int d^4 \xi (e^{iq \cdot \xi} + e^{-iq \cdot \xi}) \langle \mathbf{p} | T J(\xi) J(0) | \mathbf{p} \rangle$$

$$\frac{\partial^2 E_{\mathbf{p}}}{\partial \lambda^2} = -\frac{1}{2E_{\mathbf{p}}} \int d^4 \xi (e^{iq \cdot \xi} + e^{-iq \cdot \xi}) \langle \mathbf{p} | T J(\xi) J(0) | \mathbf{p} \rangle$$