

# The shower-cut dependence of the top quark mass

Daniel Samitz  
(University of Vienna)

in collaboration with André Hoang and Simon Plätzer

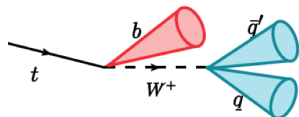
*JHEP* **10** (2018) 200, [arXiv:1807.06617]

11th FCC-ee workshop, CERN  
10 Jan 2019



# Motivation

- Parton showers as part of Monte Carlo (MC) event generators widely used in collider phenomenology



- Most precise top mass measurements based on reconstruction rely heavily on MCs

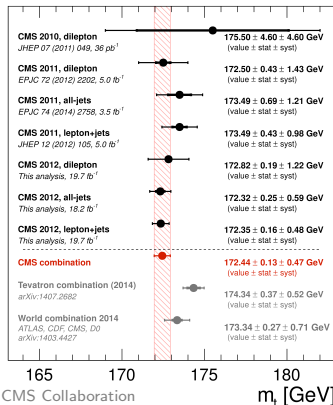
$$m_t^{\text{MC}} = 172.44 \pm 0.49 \text{ GeV (CMS)}$$

$$m_t^{\text{MC}} = 172.84 \pm 0.70 \text{ GeV (ATLAS)}$$

$$m_t^{\text{MC}} = 172.44 \pm 0.64 \text{ GeV (Tevatron)}$$

- Which mass scheme is determined in these measurements is still unsettled

- Shower cut is expected to have impact on the IR behavior of the parton shower and the MC  $\rightarrow$  mass scheme?

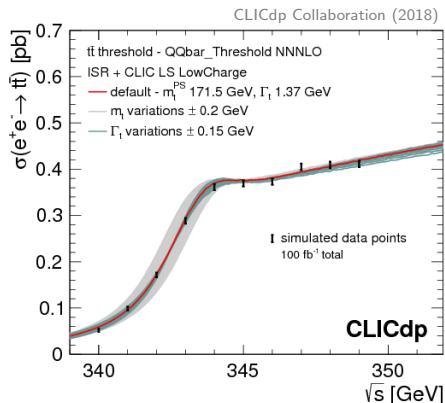


# Future Measurements

Projections of precision of top mass measurements:

- at the LHC (reconstruction):  $\delta m \sim 200$  MeV
- at future lepton collider:
  - ▶  $t\bar{t}$ -threshold:  $\delta m \lesssim 50$  MeV  
mass scheme well defined!
  - ▶ reconstruction:  $\delta m \lesssim 200$  MeV

⇒  $t\bar{t}$ -threshold: major measurement  
reconstruction: cross check



agreement means excellent control of QCD + EW theory in the top quark sector!

# MC Top Quark Mass Parameter

## Why is there a non-trivial issue in the interpretation of $m_t^{\text{MC}}$ ?

- picture of “top quark particle” does not apply (non-zero color charge)
- $m_t$  is a scheme-dependent parameter of a perturbative computation  
→ in which scheme do MC event generators calculate?
- relation of  $m_t^{\text{MC}}$  to any field theory mass definition can be affected by different contributions (let's consider pole mass just for convention)

$$m_t^{\text{MC}} = m_t^{\text{pole}} + \Delta_m^{\text{pert}} + \Delta_m^{\text{non-pert}} + \Delta_m^{\text{MC}}$$

### pQCD contribution:

- perturbative corrections
- depends on MC parton shower setup

### non-perturbative contribution:

- effects of hadronization model
- may depend on parton shower setup

### Monte Carlo shift:

- contribution arising from systematic MC uncertainties
- e.g. color reconnection, b-jet modelling, finite width,...
- should be covered by “MC uncertainty” or better negligible

# MC Top Quark Mass Parameter

## Why is there a non-trivial issue in the interpretation of $m_t^{\text{MC}}$ ?

- picture of “top quark particle” does not apply (non-zero color charge)
- $m_t$  is a scheme-dependent parameter of a perturbative computation  
→ in which scheme do MC event generators calculate?
- relation of  $m_t^{\text{MC}}$  to any field theory mass definition can be affected by different contributions (let's consider pole mass just for convention)

$$m_t^{\text{MC}} = m_t^{\text{pole}} + \Delta_m^{\text{pert}} + \Delta_m^{\text{non-pert}} + \Delta_m^{\text{MC}}$$

### pQCD contribution:

- perturbative corrections
- depends on MC parton shower setup

this talk

### non-perturbative contribution:

- effects of hadronization model
- may depend on parton shower setup

### Monte Carlo shift:

- contribution arising from systematic MC uncertainties
- e.g. color reconnection, b-jet modelling, finite width,...
- should be covered by “MC uncertainty” or better negligible

## Previous Quantitative Examinations of $m_t^{\text{MC}}$

- Butenschoen, Dehnadi, Hoang, Mateu, Preisser, Stewart (2017), arxiv:1608.01318

- ▶ **numerical** relation between Pythia MC top mass and MSR mass using 2-jettiness in  $e^+e^-$  in the resonance region from calibration fits

- ▶ “MC top mass calibration”

- ▶  $m_t^{\text{MC}} = m_t^{\text{MSR}}(1 \text{ GeV}) + (0.18 \pm 0.22) \text{ GeV}$

$$m_t^{\text{MC}} = m_t^{\text{pole}} + (0.57 \pm 0.28) \text{ GeV}$$

- ▶ universality conjectured but not proven

$$m_t^{\text{MC}} = m_t^{\text{pole}} + \underbrace{\Delta_m^{\text{pert}} + \Delta_m^{\text{non-pert}} + \Delta_m^{\text{MC}}}_{\text{numerical calibration cannot distinguish the three contributions}}$$

## Recent work on related issues (selection)

- Ravasio, Jezo, Nason, Oleari (2018), arxiv:1801.03944
  - ▶ POWHEG study: NLO corrections in various approximations
  
- Corcella, Franceschini, Kim (2017), arxiv:1712.05801
  - ▶ Dependence of  $m_t^{\text{MC}}$  from kinematic decay distributions on fragmentation parameters
  
- Heinrich, Maier, Nisius, Schlenk, Winter (2017), arxiv:1709.08615
  - ▶ Effects of off-shell top production compared to narrow width approximation

## Aim of our work

- want to examine theoretical properties of **parton showers** ( $\Delta_m^{\text{pert}}$ ) with respect to dependence of shower cut  $Q_0$   
to avoid infrared singularities every parton shower has to terminate at infrared cutoff here: cutoff on transverse momentum in splitting  $q_{\perp} > Q_0$
- want to understand mass of the top quark state (= top + gluons around) that is produced in the hard interaction by the parton shower  
do not address issues related to **decay** (1. restriction)
- adopt narrow width approximation as used in state of the art MCs  
we do not address **finite lifetime** issues (2. restriction)  
(factorization of production and decay)
- parton showers for top quarks only conceptually valid in the quasi-coll. limit  
consider only **boosted** tops (3. restriction)

What is the effect of the shower cutoff on the generator mass scheme?



## Overview of our work

- study coherent branching (CB) - basis of the Herwig 7 angular ordered parton shower
- shower cut on the transverse momentum in the splitting  $q_{\perp} > Q_0$
- study 2-jettiness distribution in the peak region for  $e^+e^-$  for boosted tops
- can be calculated analytically in QCD factorization (SCET+bHQET) and CB
- model hadronization by convolution with non-perturbative shape function

$$\frac{d\sigma}{d\tau}(\tau, Q, m) = \int_0^{Q\tau} d\ell \frac{d\hat{\sigma}}{d\tau}\left(\tau - \frac{\ell}{Q}, Q, m\right) S_{\text{mod}}(\ell - \Delta)$$

$Q_0 = 0$  (strict perturbative expansion in  $\alpha_s$ )

- SCET+bHQET: in the resonance region the partonic cross section is factorized into hard, (bHQET-) jet and soft functions

$$\frac{1}{\sigma_0} \frac{d\hat{\sigma}}{d\tau}(\tau, Q, m) = H_Q(Q, \mu_H) \times U_H(\mu_H, \mu_m) U_m(\mu_m, \mu_H) H_m(\mu_m) \\ \times \left[ U_{J_B}(\mu_H, \mu_{J_B}) \otimes J_B(\mu_{J_B}) \otimes U_S(\mu_H, \mu_S) \otimes S(\mu_S) \right](\tau)$$

ultra-collinear radiation      wide angle soft radiation  
[Fleming, Hoang, Mantry, Stewart (2008)]

$$\mu_H^2 \sim Q^2 \quad \mu_m^2 \sim m^2 \quad \mu_{J_B}^2 \sim \frac{Q^4 \tau^2}{m^2} \quad \mu_S^2 \sim Q^2 \tau^2$$

mass scheme fixed in the jet function

- coherent branching: analytic solution in the resonance region in Laplace space

$$\mathcal{L} \left[ \frac{1}{\sigma_0} \frac{d\hat{\sigma}}{d\tau}(\tau, Q, m) \right](\nu) = \exp \left[ 2 \int_{m^2}^{Q^2} \frac{d\tilde{q}^2}{\tilde{q}^2} \int_{\frac{m}{\tilde{q}}}^1 dz P_{qq}[\alpha_s((1-z)\tilde{q}), z, \frac{m^2}{\tilde{q}^2}] \left( e^{-\frac{\nu(1-z)\tilde{q}^2}{Q^2}} - 1 \right) \right] \\ \underset{\text{NLL}}{\approx} \mathcal{L} \left[ U_H(\mu_H, \mu_m) \times U_m(\mu_m, \mu_H) \times U_{J_B}(\mu_H, \mu_{J_B}) \otimes U_S(\mu_H, \mu_S) \right](\nu)$$

- coherent branching with  $Q_0 = 0$  and SCET+bHQET with  $m = m^{\text{pole}}$  equivalent at NLL (known for the massless case, new for the massive case)

## NLO precision in the peak region

- partonic cross section in SCET at NLO (massless)

$$\frac{d\hat{\sigma}}{d\tau} = \delta(\tau) + \frac{\alpha_s C_F}{4\pi} \left\{ \underbrace{-8 \left[ \frac{\ln \tau}{\tau} \right]_+}_{\text{LL}} - \underbrace{6 \left[ \frac{1}{\tau} \right]_+}_{\text{NLL}} + \underbrace{\delta(\tau) \left( \frac{2\pi^2}{3} - 2 \right)}_{\text{N}^2\text{LL}} \right\} + \mathcal{O}(\alpha_s^2)$$

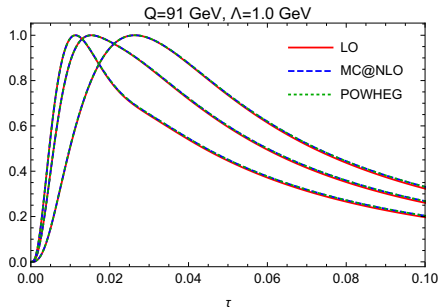
this piece is not correctly reproduced by CB

- $\text{N}^2\text{LL}$  term at NLO is proportional to LO order cross section
- contributes only at higher orders to the position of the resonance peak  $\tau_{\text{peak}}$

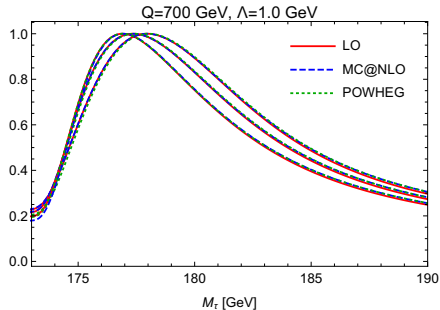
$$\left. \frac{d^2 \sigma^{\text{NLO}}(\tau)}{d\tau^2} \right|_{\tau=\tau_{\text{peak}}^{\text{NLO}}} = 0$$

- $\tau_{\text{peak}}^{\text{NLO}}$  fully determined by **NLL** terms
- NLL sufficient for full NLO information in the peak
- mass scheme of coherent branching without shower cut is pole mass**

# NLO matched shower



massless



massive

$Q_0 = 1.0$  GeV right bunch of curves

$Q_0 = 1.5$  GeV middle bunch of curves

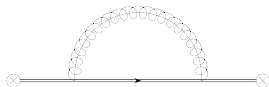
$Q_0 = 2.0$  GeV left bunch of curves

NLO matching does not increase precision for simulations in the resonance region

## Effects of a cutoff $Q_0 > 0$

- pole of the top quark propagator =  $m_t^{\text{CB}}(Q_0) \neq m_t^{\text{pole}}$  (**coherent branching mass**)

$$m_t^{\text{CB}}(Q_0) = m_t^{\text{pole}} - \frac{2}{3} Q_0 \alpha_s(Q_0) + \mathcal{O}(\alpha_s^2)$$



- In the presence of the shower cut the **ultra-collinear radiation** generated by CB produces exactly the mass scheme change correction that is required so that the generator mass is exactly the coherent branching mass  $m_t^{\text{CB}}(Q_0)$

$$\sigma(m_1, Q, \dots) = \sigma(m_2, Q, \dots) + \delta m \times \left. \frac{d}{dm} \sigma(m, Q, \dots) \right|_{m=m_1} + \dots$$

$$\delta m = m_2 - m_1$$

- The shower cut also affects **large-angle soft radiation**. The corresponding effects are directly tied to the amount of hadronization effects that are supposed to be fixed by tuning.
- All conclusions explicitly cross checked by correspondence between analytic QCD factorization calculations and analytic solutions of the CB algorithm
- All results checked directly by comparing with Herwig 7 event generator.

## $Q_0 > 0$ : coherent branching (angular ordered parton shower)

- we can now work out the leading effects of introducing a shower cut  $q_\perp > Q_0$
- keep only terms linear in  $Q_0$  and  $m$ , only NLO in  $\alpha_s$
- with these expansions the difference of the distributions with and without cutoff can be calculated analytically
- leading effect of  $Q_0$  is a shift in the partonic cross section with contributions coming from the **soft** and **ultra-coll.** regions

$$\frac{d\sigma^{\text{cb}}}{d\tau}(\tau, Q, m, Q_0) = \frac{d\sigma^{\text{cb}}}{d\tau} \left( \tau + \frac{\alpha_s(Q_0)}{4\pi} \left[ 16C_F \frac{Q_0}{Q} - 8\pi C_F \frac{Q_0 m}{Q^2} \right], Q, m, Q_0 = 0 \right)$$

## $Q_0 > 0$ : QCD factorization theorem

- introduce the cutoff  $q_\perp > Q_0$  in the one-loop diagrams for the soft and jet functions in the QCD factorization theorem
- keep only terms linear in  $Q_0$  and  $m$ , multipole expansion for real radiation terms
- SCET soft function at one-loop with  $q_\perp$  cut:

$$S(\ell, Q_0) = S(\ell) + \frac{\alpha_s(Q_0)}{4\pi} 16C_F Q_0 S'(\ell) + \mathcal{O}(\alpha_s^2)$$

extra term needs to be absorbed into a change in the non-pert. shape function

- bHQET jet function at one-loop with  $q_\perp$  cut: **off-shell**

$$J_B^{\text{off}}(\hat{s}, m^{\text{pole}}, Q_0) = J_B^{\text{off}}(\hat{s}, m^{\text{pole}}) - \frac{\alpha_s(Q_0)}{4\pi} 8\pi C_F m Q_0 J_B^{\text{off}'}(\hat{s}, m^{\text{pole}}) + \mathcal{O}(\alpha_s^2)$$

extra term gets absorbed by change of mass scheme

- bHQET jet function at one-loop with  $q_\perp$  cut: **on-shell self energy**

$$\begin{aligned} J_B^{\text{os}}(\hat{s}, m^{\text{pole}}, Q_0) &= J_B^{\text{os}}(\hat{s}, m^{\text{pole}}) + \frac{\alpha_s(Q_0)}{4\pi} 8\pi C_F m Q_0 J_B^{\text{os}'}(\hat{s}, m^{\text{pole}}) + \mathcal{O}(\alpha_s^2) \\ &= J_B^{\text{os}}(\hat{s}, m^{\text{pole}} - \frac{2}{3} Q_0 \alpha_s(Q_0)) + \mathcal{O}(\alpha_s^2) \end{aligned}$$

**change of mass scheme:**  $m^{\text{pole}} \rightarrow m^{\text{pole}} - \frac{2}{3} Q_0 \alpha_s(Q_0)$

## Comparison with CB

- if NOT compensated by **retuning of hadronization model** and **redefinition of mass scheme**, change of cutoff leads to shift that gets contributions from **soft** and **ultra-collinear** radiation

$$\tau_{\text{peak}}(Q_0) = \tau_{\text{peak}}(Q'_0) - \frac{1}{Q} \left( 16C_F - 8\pi C_F \frac{m}{Q} \right) \int_{Q'_0}^{Q_0} dR \frac{\alpha_s(R)}{4\pi}$$

- agrees with result for shift of peak position obtained from coherent branching
- mass reduces leading coefficient of R-evolution
- dependence of the peak position on shower cut  $Q_0$  can be compared to actual angular ordered parton shower in MC



## Comparison with Herwig

- compare our predictions for peak shift with real parton shower
- used Herwig 7 with angular ordered shower for  $e^+e^- \rightarrow t\bar{t}$
- modifications:
  - ▶ set all constituent masses of light quarks and gluon to zero
  - ▶ unrestricted kinematics in evolution of CB
  - ▶ on-shell top production
  - ▶ only leptonic  $W$ -decays
  - ▶ switched off: QED radiation, hadronization
- only partonic distribution from Herwig

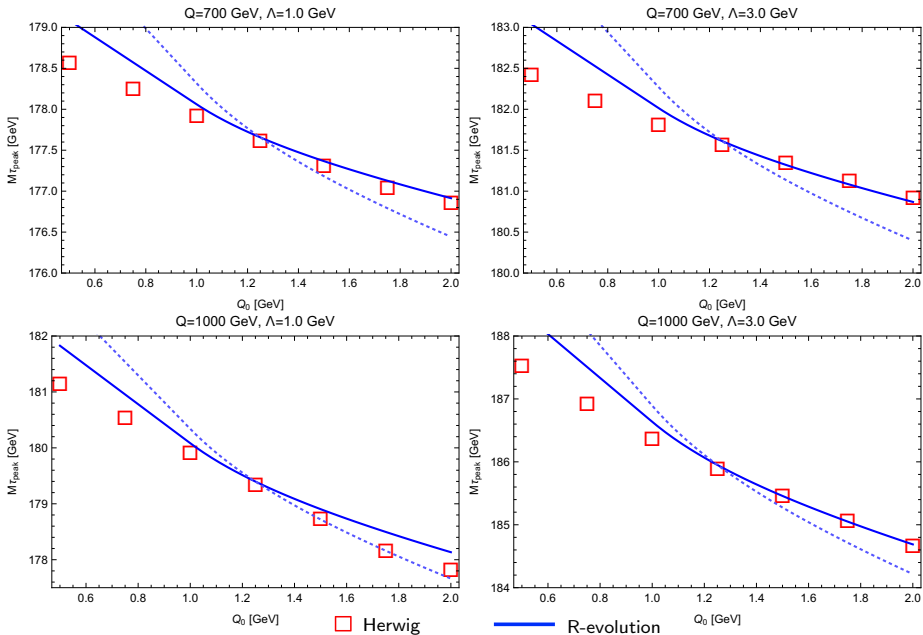
“hadronization”: convolution with model function  $\rightarrow$  disentangle parton shower and hadronization model

$$S_{\text{mod}}(k, \lambda) = \frac{128 k^3 e^{-\frac{4k}{\lambda}}}{3\tilde{\lambda}^4} \quad \tilde{\lambda} = \lambda + \frac{4m_t\Gamma_t}{Q}$$

- $m_t = 173 \text{ GeV}$        $\Gamma_t = 1.5 \text{ GeV}$
- run for different values of  $Q_0$ ,  $Q$  and  $\lambda$ .
- use rescaled  $\tau$  variable  $M_\tau = \frac{Q^2\tau}{m_t}$  (partonic threshold at  $m_t$ )
- compare cutoff dependence of peak position with R-evolution

$$M_{\tau, \text{peak}}(Q_0) = M_{\tau, \text{peak}}(Q'_0) - \left(8C_F \frac{Q}{m_t} - 4\pi C_F\right) \int_{Q'_0}^{Q_0} dR \frac{\alpha_s(R)}{4\pi}$$

# Comparison with Herwig



## Relation of $m_t^{\text{CB}}$ to other Masses

$$\text{Herwig 7: } Q_0 = 1.25 \text{ GeV} \quad \rightarrow \quad m_t^{\text{Herwig}} = m_t^{\text{CB}}(1.25 \text{ GeV})$$

### MSR Mass:

$$m_t^{\text{MSR}}(Q_0) = m_t^{\text{CB}}(Q_0) + 0.24 Q_0 \alpha_s(Q_0) + \mathcal{O}(\alpha_s^2)$$

$$\Rightarrow m_t^{\text{MSR}}(Q_0) = m_t^{\text{CB}}(Q_0) + (0.190 \pm 0.070) \text{ GeV}$$

- CB and MSR masses do not suffer from  $\mathcal{O}(\Lambda_{\text{QCD}})$  renormalon (due to IR cut)  
→ good convergence
- uncertainty estimated from difference between  $\alpha_s$  in  $\overline{\text{MS}}$  and MC schemes
- precision sufficient for all possible applications at the LHC!  
(recall restriction 1-3)
- more precision may be needed for a future  $e^+e^-$  collider

## Relation of $m_t^{\text{CB}}$ to other Masses

$$\text{Herwig 7: } Q_0 = 1.25 \text{ GeV} \quad \rightarrow \quad m_t^{\text{Herwig}} = m_t^{\text{CB}}(1.25 \text{ GeV})$$

### Pole Mass:

$$m_t^{\text{pole}} = m_t^{\text{MSR}}(Q_0) + (0.350 \pm 0.250) \text{ GeV}$$

[Hoang, Lepenik, Preisser (2017)]

[ $\pm 110 \text{ MeV}$ : Beneke, Marquard, Nason, Steinhauser (2017)]

$$\Rightarrow m_t^{\text{pole}} = m_t^{\text{CB}}(Q_0) + (0.540 \pm 0.260) \text{ GeV}$$

- pole mass suffers from  $\mathcal{O}(\Lambda_{\text{QCD}})$  renormalon  $\rightarrow$  irreducible ambiguity of 250 MeV
- difference between  $m_t^{\text{pole}}$  and  $m_t^{\text{Herwig}} \sim 500 \text{ MeV} >$  ambiguity!  
 $\Rightarrow$  important to study  $\Delta_m^{\text{pert}}$  beyond current set up (lift restrictions 1-3)
- shift as large as current experimental uncertainty from direct methods

## Conclusions/Outlook

- for angular ordered parton showers (Herwig) one can derive the **perturbative contributions** between generator mass and pole mass ( $\Delta_m^{\text{pert}}$ )

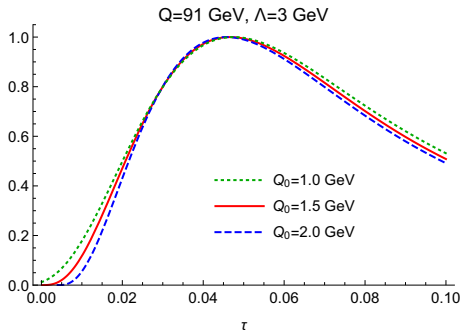
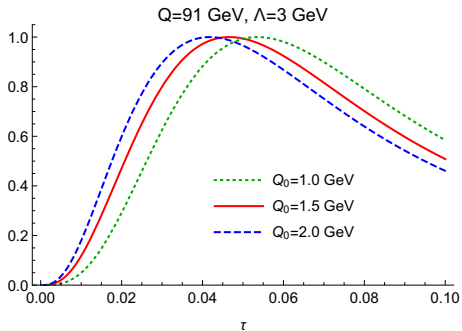
$$m^{\text{CB}}(Q_0) = m^{\text{pole}} - \frac{2}{3}\alpha_s(Q_0)Q_0 + \mathcal{O}(\alpha_s^2)$$

this corresponds the **pole of the quark propagator** in presence of a shower cut

- current restrictions:
  - ▶ boosted top quarks
  - ▶ narrow width approximation
  - ▶ top production (2-jettiness)
- needed to remove restriction:
  - parton shower algorithm for slow tops
  - parton shower for unstable tops
  - factorized predictions including top decay
- for all three new conceptual developments are required (w.i.p.)
- study of non-perturbative contributions to the relation between generator mass and pole mass ( $\Delta_m^{\text{non-pert}}$ ) can be carried out by dedicated MC simulations (w.i.p.)
- numerical calibration still important tool for consistency checks

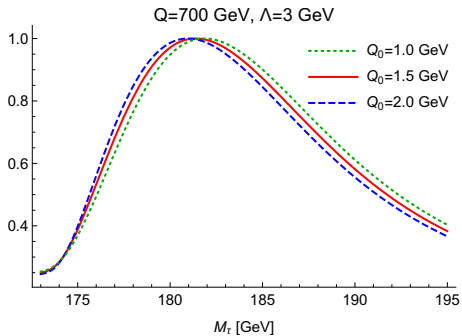
# Backup

# Massless $\tau$ Distributions



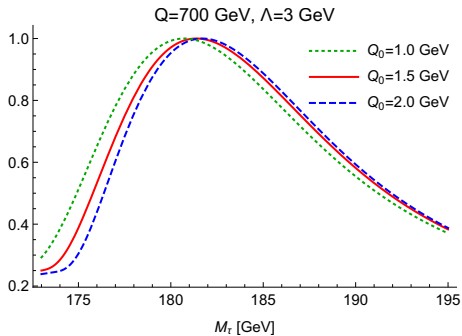
$$\Delta(Q_0) = \frac{16}{3\pi} \int_{1.5\text{GeV}}^{Q_0} dR \alpha_s(R)$$

# Massive $M_\tau$ Distributions



no gap

$m = 173$  GeV

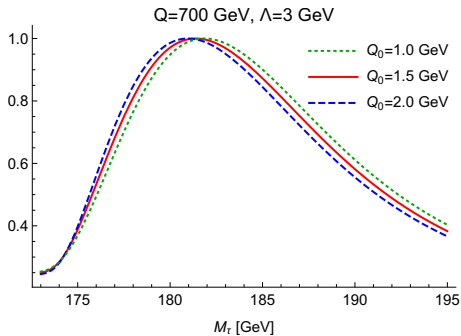


$$\Delta(Q_0) = \frac{16}{3\pi} \int_{1.5\text{GeV}}^{Q_0} dR \alpha_s(R)$$

$m = 173$  GeV

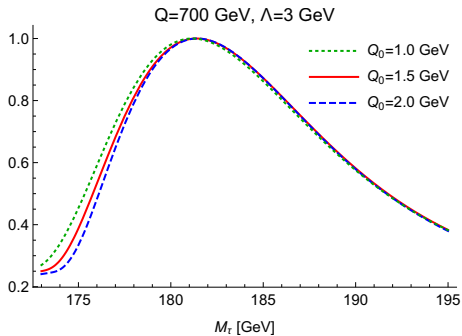


# Massive $M_\tau$ Distributions



no gap

$$m = 173 \text{ GeV}$$



$$\Delta(Q_0) = \frac{16}{3\pi} \int_{1.5 \text{ GeV}}^{Q_0} dR \alpha_s(R)$$

$$m(Q_0) = 173 \text{ GeV} - \frac{2}{3} \int_{1.5 \text{ GeV}}^{Q_0} dR \alpha_s(R)$$

$$m(1.0 \text{ GeV}) = 173.22 \text{ GeV}$$

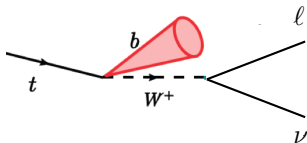
$$m(2.0 \text{ GeV}) = 172.86 \text{ GeV}$$

## Reconstructed Observables: $m_{b_j l}$ and $m_{b_j W}$

- studied two different observables (for boosted tops)

$$m_{b_j l} = \sqrt{(p_{b_j} + p_\ell)^2}$$

$$m_{b_j W} = \sqrt{(p_{b_j} + p_\ell + p_\nu)^2}$$



- jet distance measures

$$d_{ij} = \min(E_i^{2p}, E_j^{2p}) \frac{1 - \cos \theta_{ij}}{1 - \cos R} \quad d_{iB} = E_i^{2p} \quad p = \{-1, 0, 1\}$$

- for  $R = \pi/2$ : recover full hemisphere mass

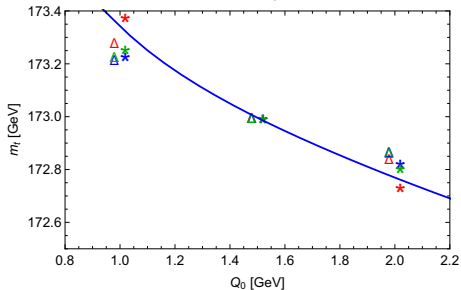
$$m_{t,\text{fit}}^{R=\pi/2}(Q_0) = m_{t,\text{fit}}^{R=\pi/2}(Q'_0) - \left[ 4C_F \frac{Q}{m_t} - 2\pi C_F \right] \int_{Q'_0}^{Q_0} dR \frac{\alpha_s(R)}{4\pi}$$

- for  $R \sim m_t/Q$ : **wide angle soft radiation** is cut away, only **ultra-collinear (boosted with top quark) radiation** inside the cone

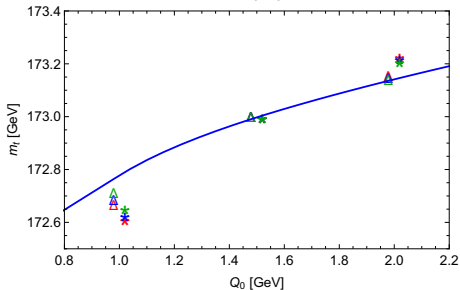
$$m_{t,\text{fit}}^{R \sim m_t/Q}(Q_0) = m_{t,\text{fit}}^{R \sim m_t/Q}(Q'_0) + 2\pi C_F \int_{Q'_0}^{Q_0} dR \frac{\alpha_s(R)}{4\pi}$$

# Reconstructed Observables: $m_{b_j l}$ and $m_{b_j W}$ : comparison with Herwig

R=1.5



R=0.25



generate distributions for given  $Q_0$  for  $m_t = 173$  GeV

fit top mass with distribution for reference cutoff  $Q_0 = 1.5$  GeV

$Q = 700$  GeV  $\Rightarrow m_t/Q \sim 0.25$

$m_{b_j W}$

$\triangle p = -1$

$\triangle p = 0$

$\triangle p = +1$

$m_{b_j l}$

$* p = -1$

$* p = 0$

$* p = +1$

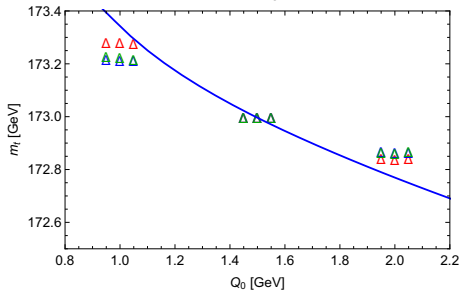
anti- $k_t$  type

Cambridge-Aachen type

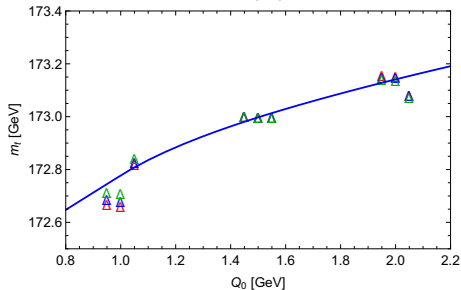
$k_t$  type

# Reconstructed Observables: $m_{b_j l}$ and $m_{b_j W}$ : NLO matched shower

R=1.5



R=0.25



for each cutoff:

left: LO

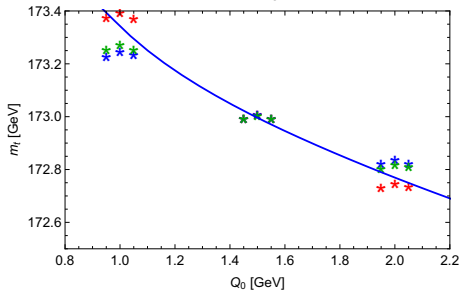
middle: MC@NLO type

right: POWHEG type

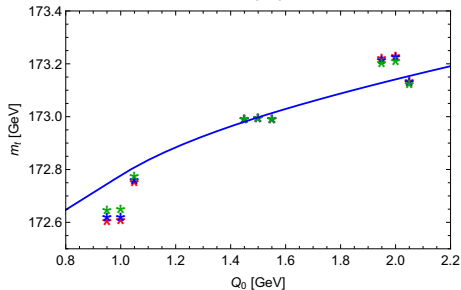
symbols and colors as in previous slide

# Reconstructed Observables: $m_{b_j l}$ and $m_{b_j W}$ : NLO matched shower

R=1.5



R=0.25



for each cutoff:

left: LO

middle: MC@NLO type

right: POWHEG type

symbols and colors as in previous slide