

# Dual-Readout Calorimetry

## Simulation studies report



Lorenzo Pezzotti

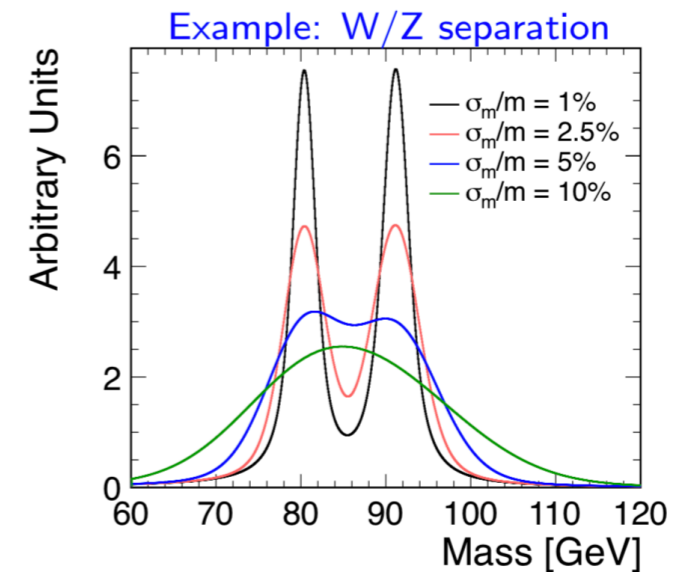
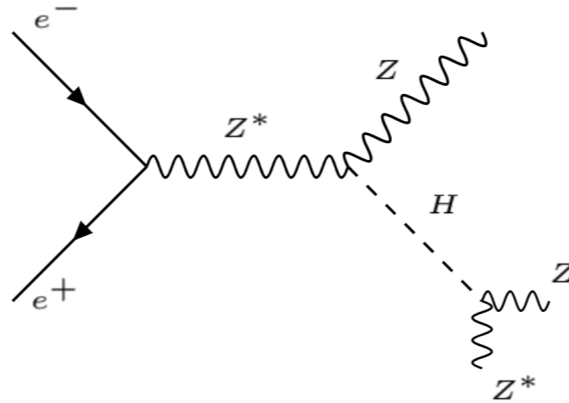
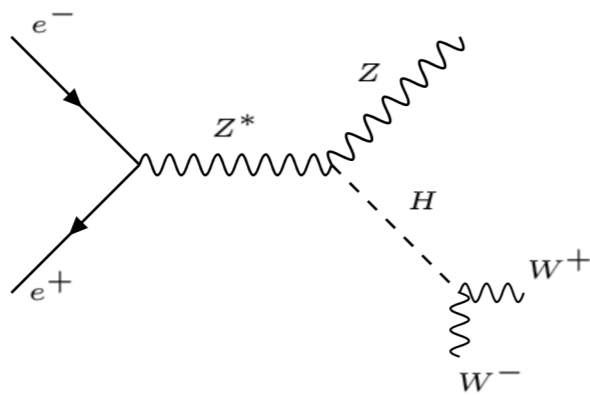
University of Pavia, INFN Pavia

11<sup>th</sup> FCC-ee workshop: Theory and Experiments

CERN - 10/01/2019



# Calorimetry requirements

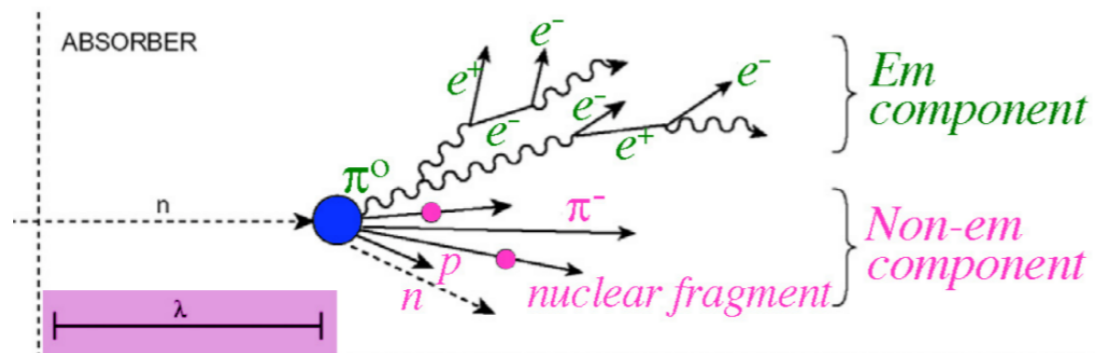


To statistically separate these two Higgs decay modes it is needed to reconstruct the Z and W invariant masses from jet decays with a resolution of  $\approx 3$  GeV.

$$\frac{\sigma}{E} \approx \frac{30\%}{\sqrt{E}}$$

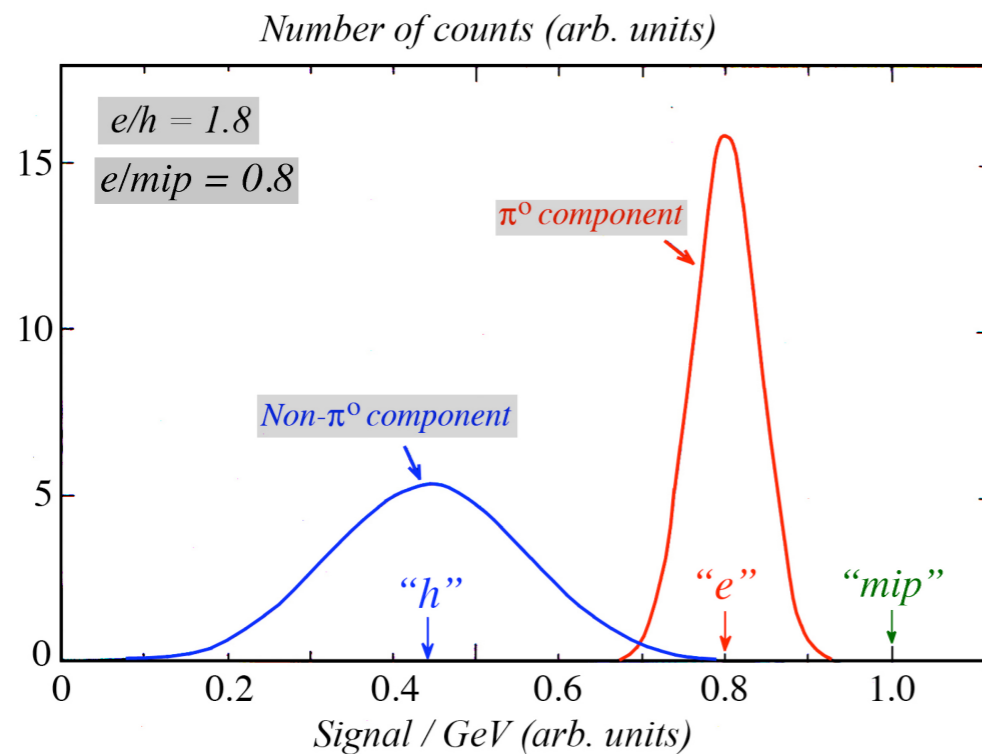
Such an energy resolution has been achieved for hadrons by calorimeters compensating by neutron boosting (e.g. SPACAL, ZEUS Calorimeter). But in future we could do better...

# Non compensation



Electromagnetic component:  
electrons, positrons and photons

Non-electromagnetic component:  
charged hadrons, nuclear fragments,  
neutrons, *invisible energy*

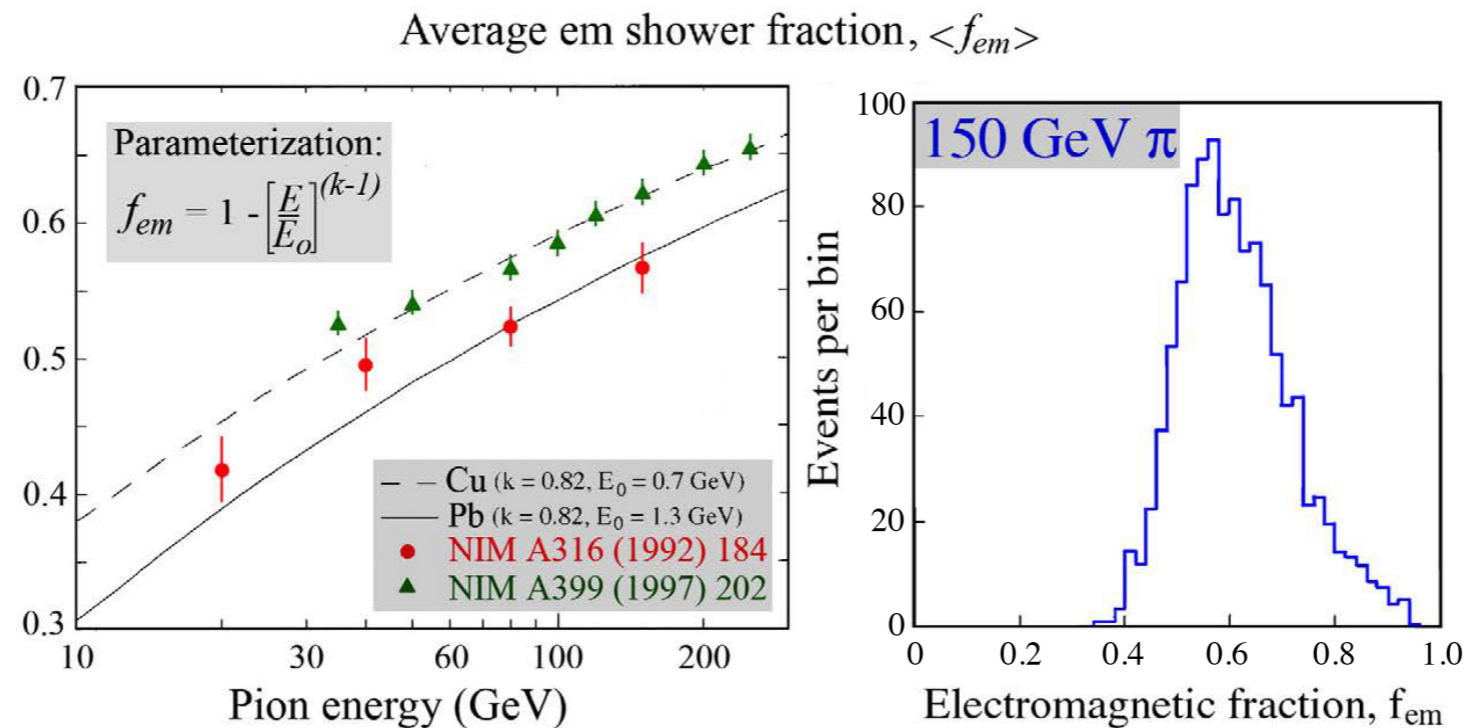


The calorimeter **response** is different  
for the two components:

$$\frac{h}{e} \neq 1$$

# Non compensation problems

Event-by-event fluctuations of the electromagnetic component are **non symmetrical**, with an average value **increasing with the energy**.



All non compensating calorimeters, in hadron detection, exhibit:

- A **non symmetrical** reconstructed energy
- A **non linear** reconstructed energy
- An energy resolution much **broader** than  $30\%/\sqrt{E}$

D. Acosta, et al., Nucl. Instrum. Methods A316 (1992) 184.  
N. Akchurin, et al., Nucl. Instrum. Methods A399 (1997) 202.

# Dual-readout method

The only way to overcome the *non compensation* limits is to measure the electromagnetic fraction event-by-event and correcting for its value.

**Scintillation signal** from scintillating fibers: every **ionizing particle** passing through them release a light signal.

$$S = E[fem + \left(\frac{h}{e}\right)_s (1 - fem)]$$

**Cherenkov signal** from clear-plastic fibers: every **relativistic charged particle** (almost exclusively electrons) passing through them release a light signal.

$$C = E[fem + \left(\frac{h}{e}\right)_c (1 - fem)]$$

$$\frac{S}{C} = \frac{fem + \left(\frac{h}{e}\right)_s (1 - fem)}{fem + \left(\frac{h}{e}\right)_c (1 - fem)}$$

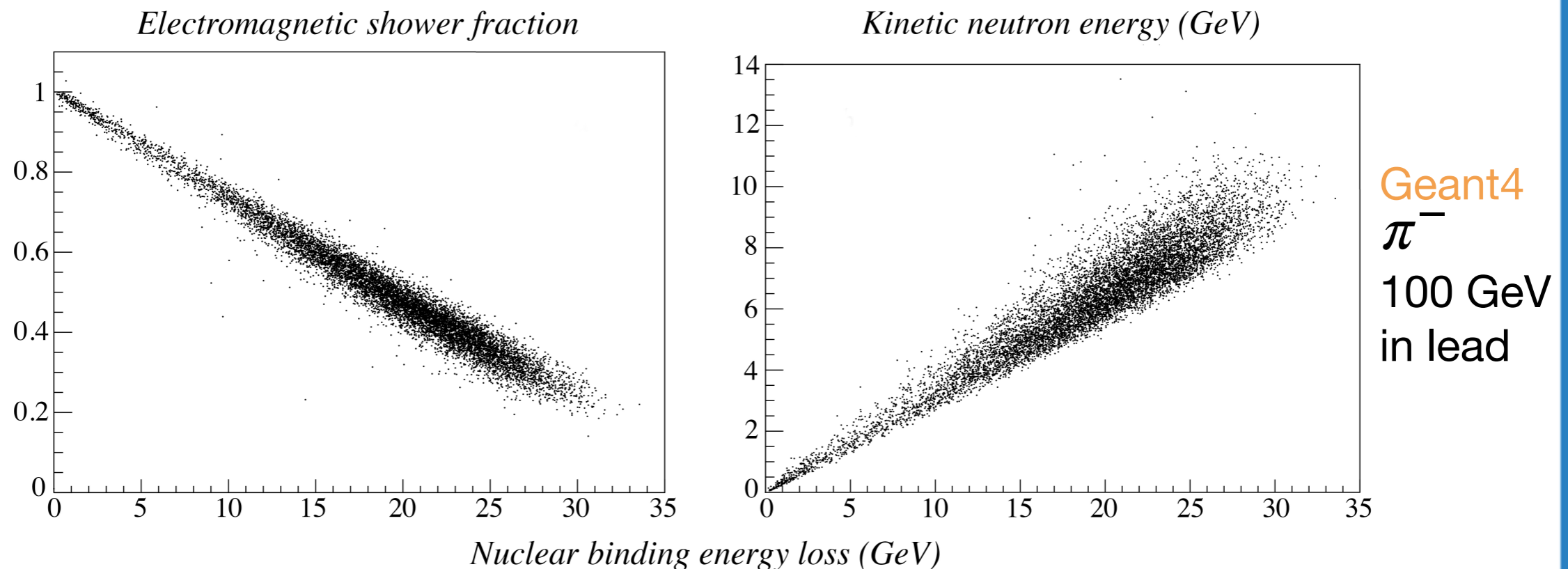
It is possible to estimate *fem* by measuring the ratio of the two signals event-by-event!

What are Geant4 predictions on its performance?

# Why is it better than the past?

Usually,  $h/e < 1$ :  
the main source of that is the *invisible energy* affecting only the non-electromagnetic component.

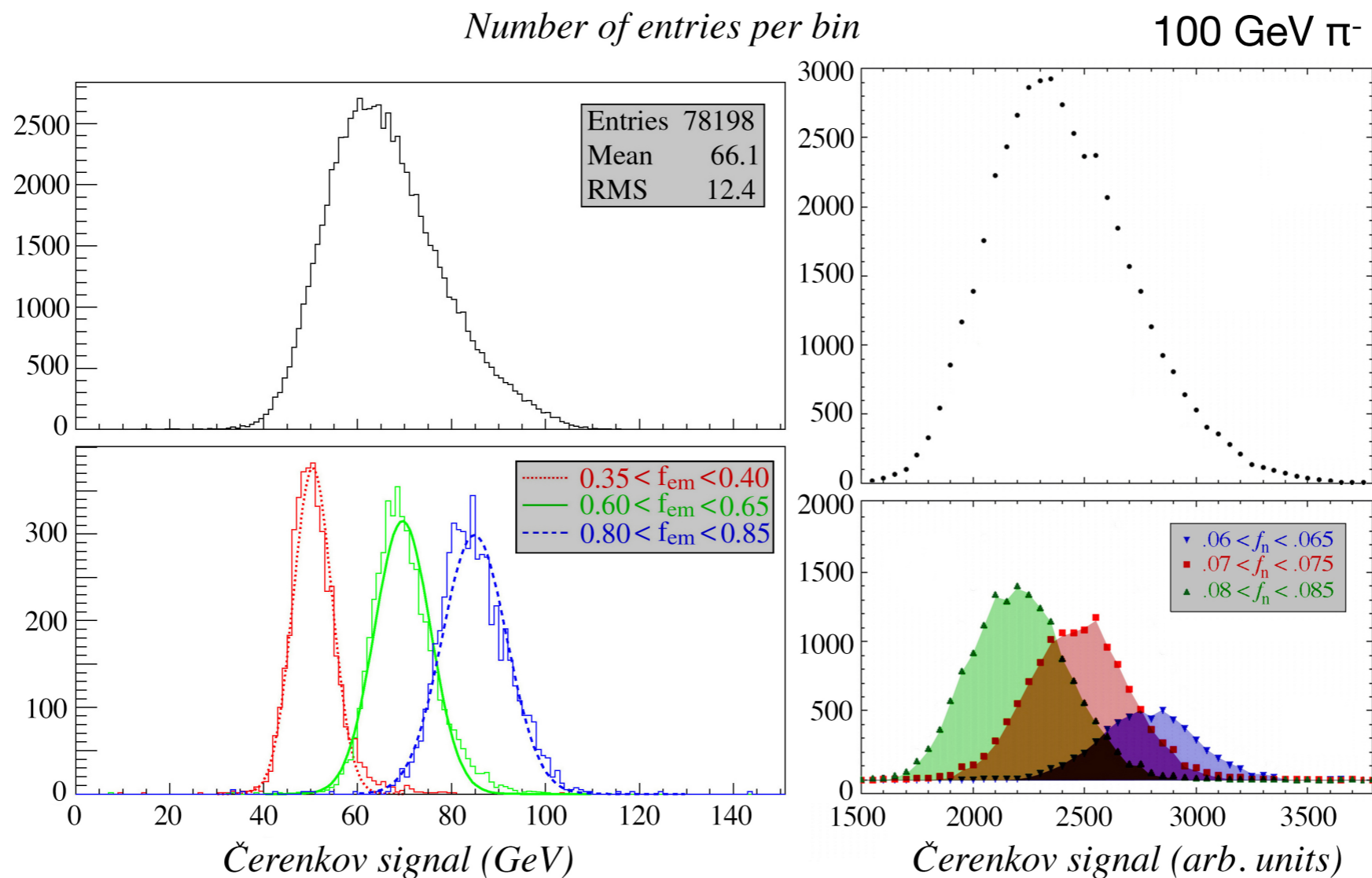
The **most precise calorimeter** is likely the one that exploits the quantity **better correlated** to the invisible energy.



S. Lee, M. Livan, R. Wigmans, Nucl. Instr. and Meth. in Phys. Res. A 882 (2018) 148.

# Why is it better than the past?

Hints of this better correlation were already present in **data!**



S. Lee, M. Livan, R. Wigmans, Nucl. Instr. and Meth. in Phys. Res. A 882 (2018) 148.

# How to apply it?

After a **calibration with electrons**, the S and C reconstructed energy must be combined with:

$$E = \frac{S - \chi C}{1 - \chi} \quad \chi = \frac{1 - (h/e)_s}{1 - (h/e)_c}$$

This equation correctly reproduces both the electron and the hadron energies:  
*everything is calibrated at the electromagnetic scale, i.e. with electrons.*

The  $\chi$  factor is universal: it does not depend on energy or particle type!  
It does only depend on the materials and geometry.

Does Geant4 reproduce the universality of the  $\chi$  factor?



# Universality of the $\chi$ factor

No dependence of the  $\chi$  factor on the particle energy and type is observed with simulations.

$\pi^-$  in brass

GeV	$h/e_s$	$h/e_c$	$\chi$
20	0.77	0.37	0.37
40	0.77	0.37	0.37
60	0.77	0.38	0.37
80	0.77	0.38	0.37

Geant4  
Test beam  
prototypes  
simulations  
-  
Preliminary

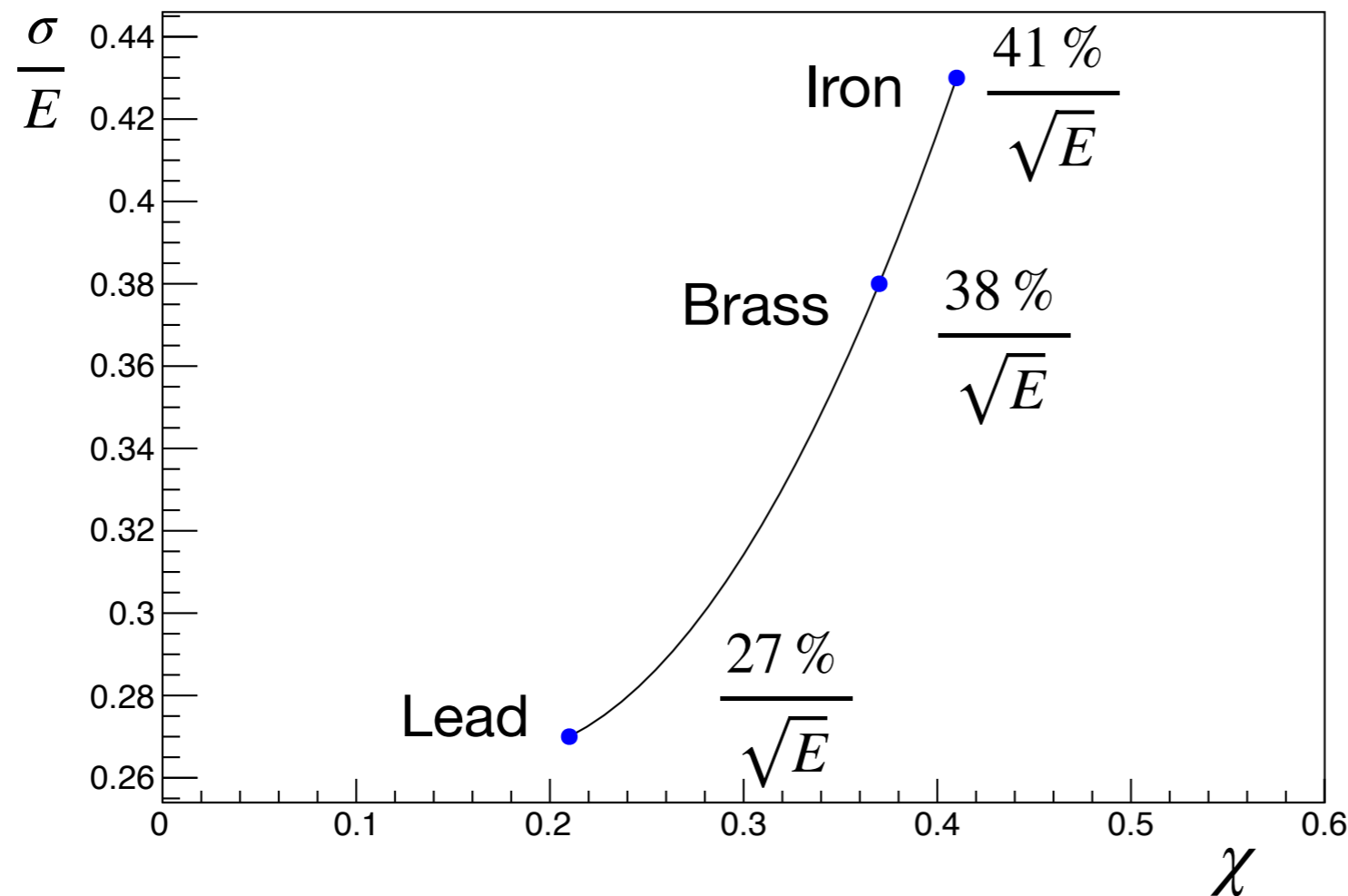
# Absorber materials

The material with the smaller  $\chi$  factor will result in the better **hadronic** resolution.

$$\chi = \frac{1 - (h/e)_s}{1 - (h/e)_c}$$

→ Keep it high (for  $(h/e)_s$ )  
→ Keep it low (for  $(h/e)_c$ )

Hadronic resolution at 1 GeV vs.  $\chi$

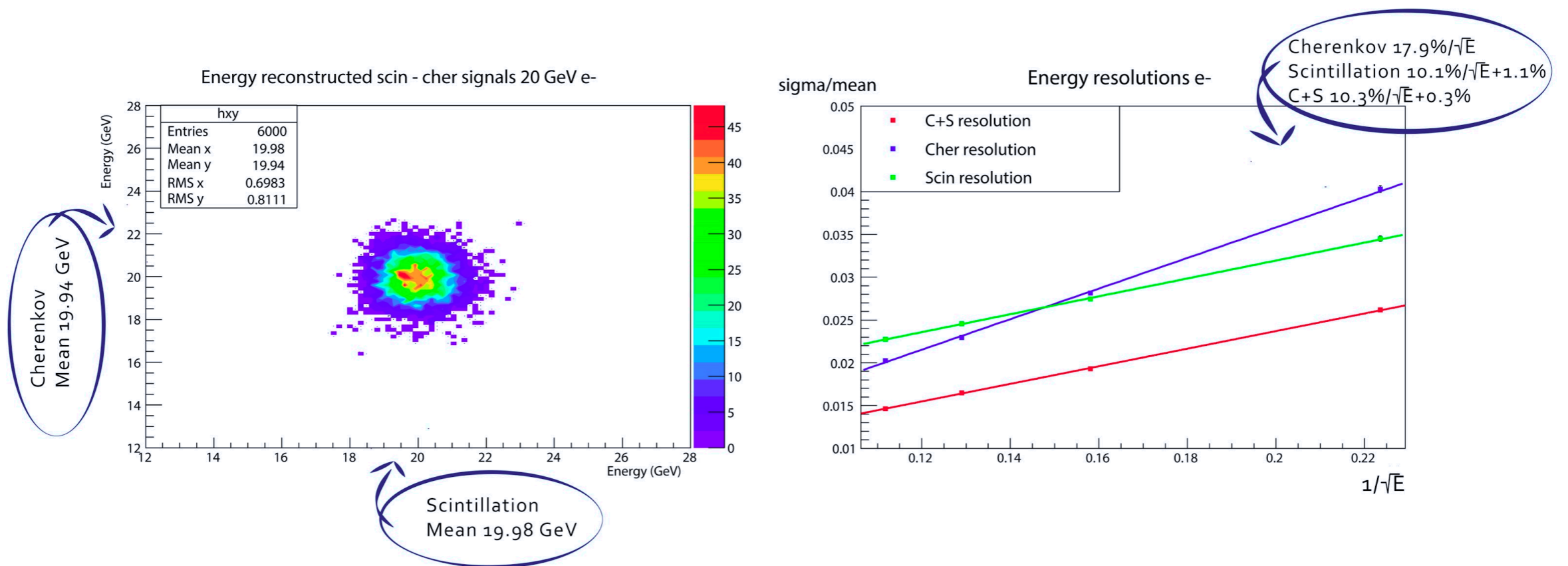


Geant4 - Preliminary  
Test beam  
prototypes  
simulations

# Em performance

The sampling fraction can be raised up as much as possible (not possible with calorimeters compensating by neutron boosting).

The scintillation and Cherenkov signals represents for electrons two independent signals.

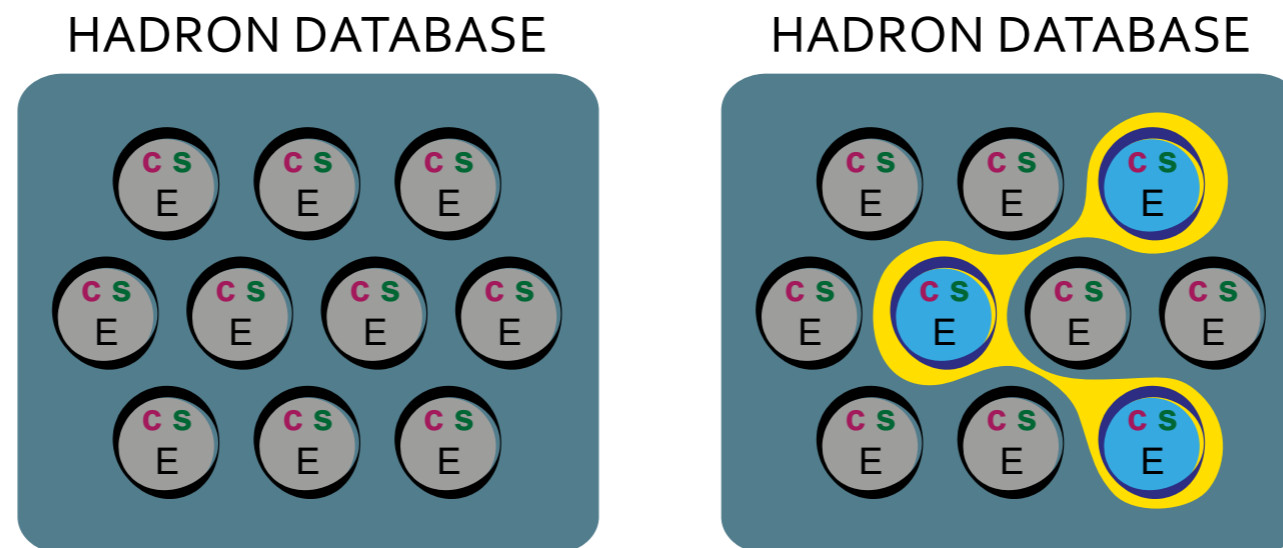


Geant4 - Preliminary

# Machine Learning

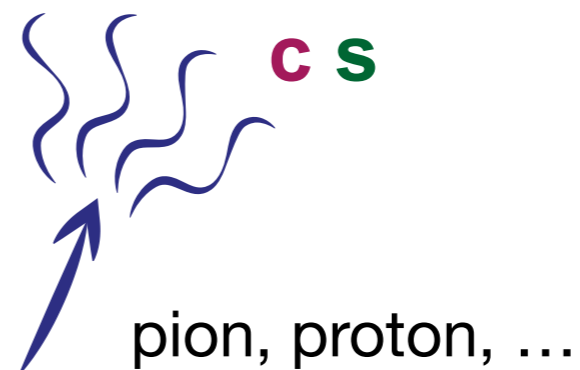
A new **machine learning** inspired technique is a promising solution to also exploit **calibrations with hadrons**.

The single event under reconstruction is compared to only pre stored events with approximately the same electromagnetic fraction.



The correct hadron energy is then given by

$$E = \frac{1}{2n} \sum_i^n \frac{E_i}{s_i} \times s + \frac{1}{2n} \sum_i^n \frac{E_i}{c_i} \times c$$

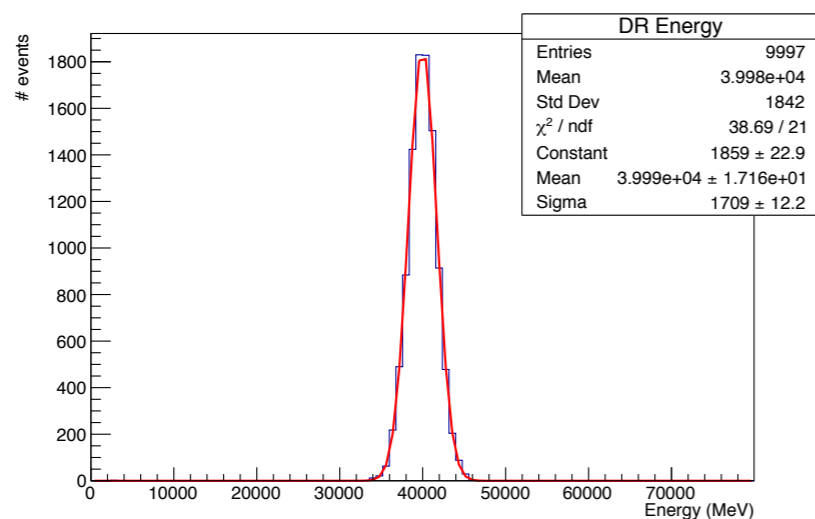


# DR

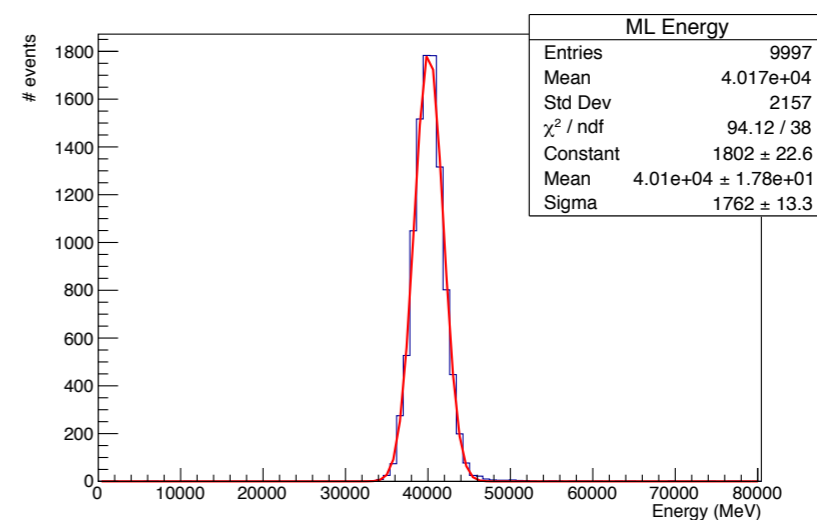
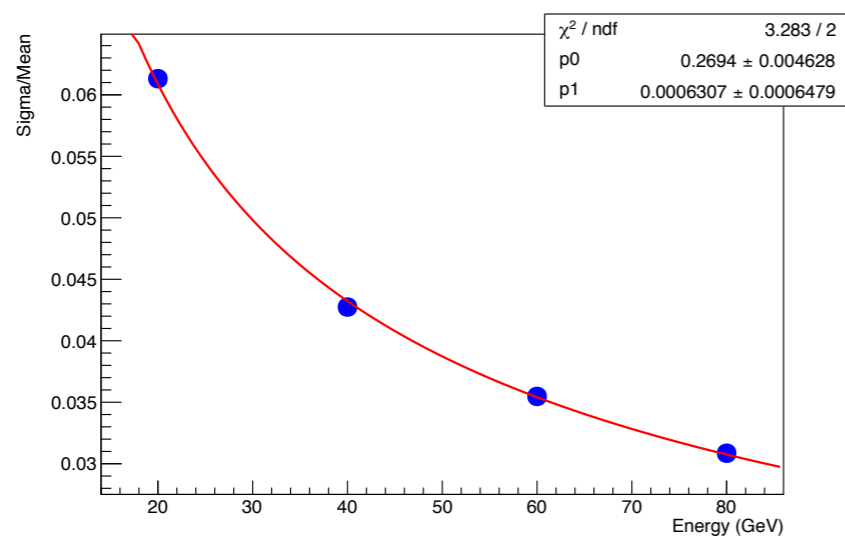
# vs.

# ML

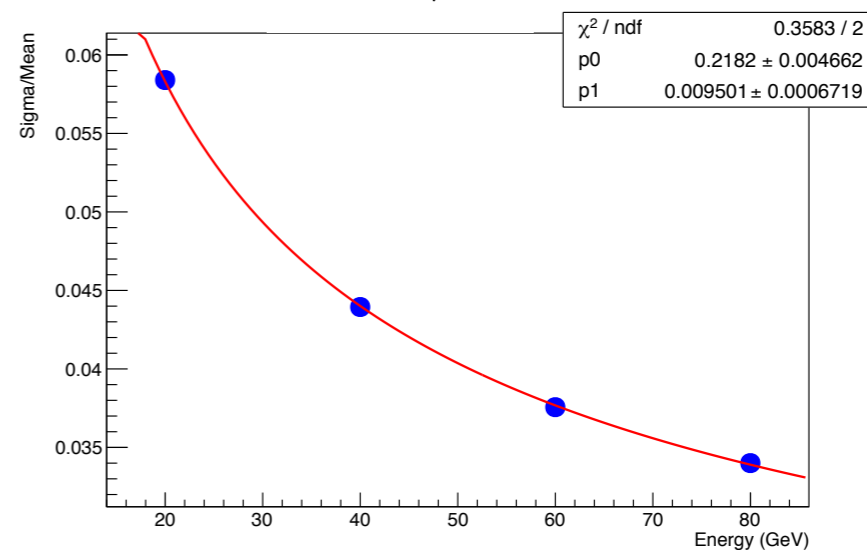
## Lead



$$\frac{\sigma}{E} = \frac{27\%}{\sqrt{E}}$$



$$\frac{\sigma}{E} = \frac{22\%}{\sqrt{E}} \pm 0.9\%$$

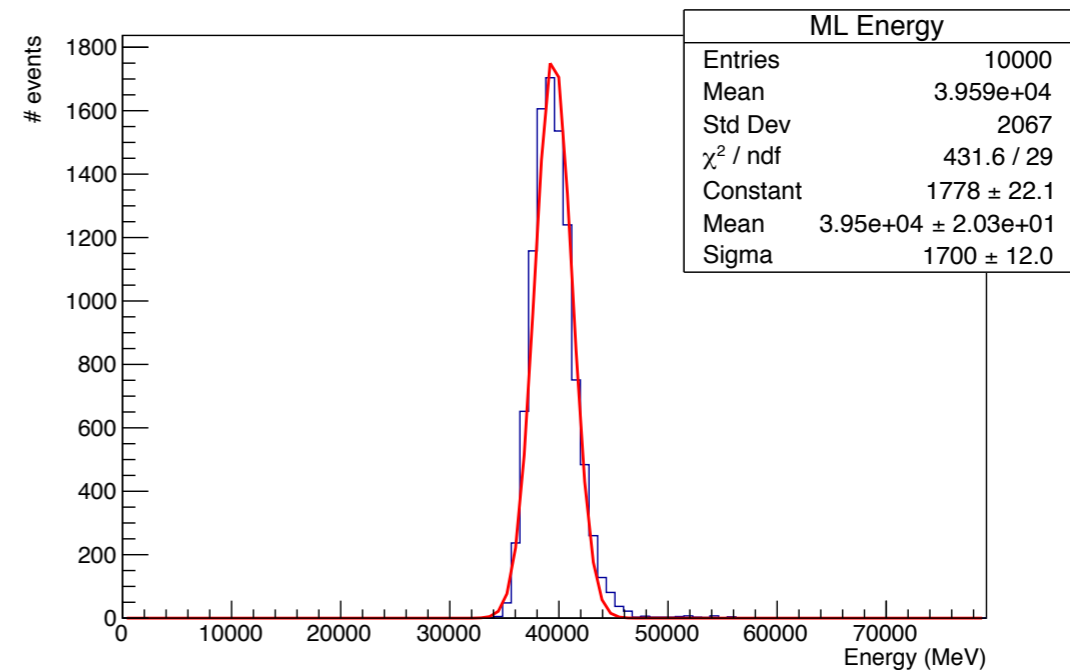
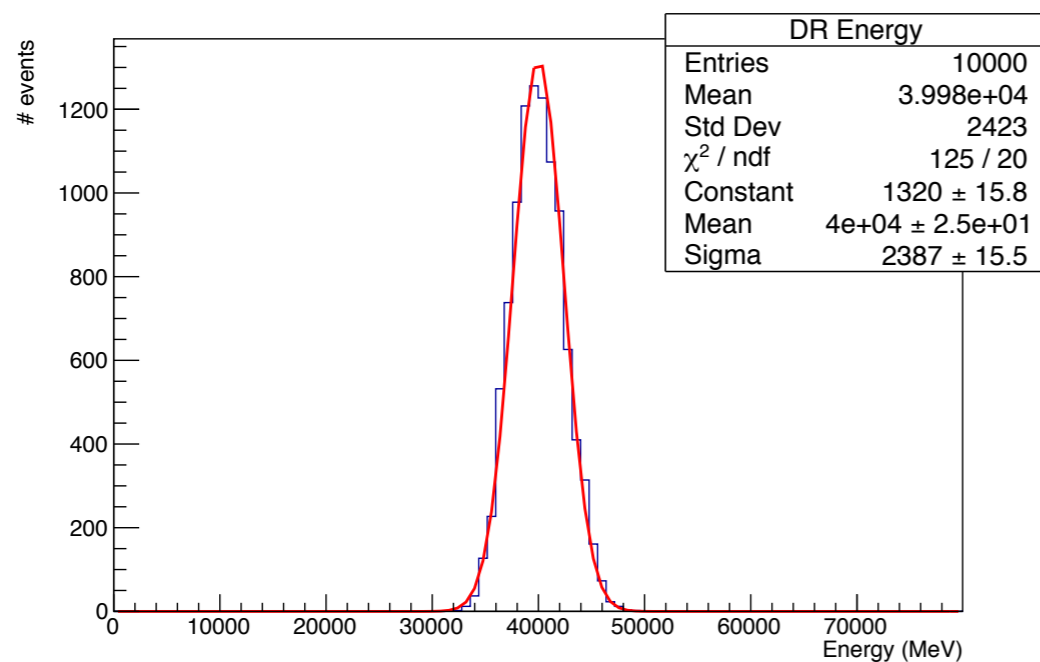


Geant4 - Preliminary

# Two is better than one

It turns out that with this calibration with hadrons it is possible to reconstruct also the energy of electrons.

40 GeV  $e^-$  reconstructed with the DR method and the ML method



**Calibration: electrons**



**Reconstruct: electrons and hadrons**

**Calibration: hadrons**



**Reconstruct: electrons and hadrons**

Geant4 - Preliminary

# Simplified jet study

Simplified jet model assuming:

fragmentation function

$$D(z) = (\alpha + 1) \frac{(1 - z)^\alpha}{z}$$

$$\alpha = 3$$

$z =$  jet energy fraction

jet composition

90 % pion    10 % kaon

30 % neutral    70 % charged

$$E = \frac{S - \chi C}{1 - \chi}$$

Electrons and gammas

Yes

Hard hadrons  
(undergoing nuclear interactions)

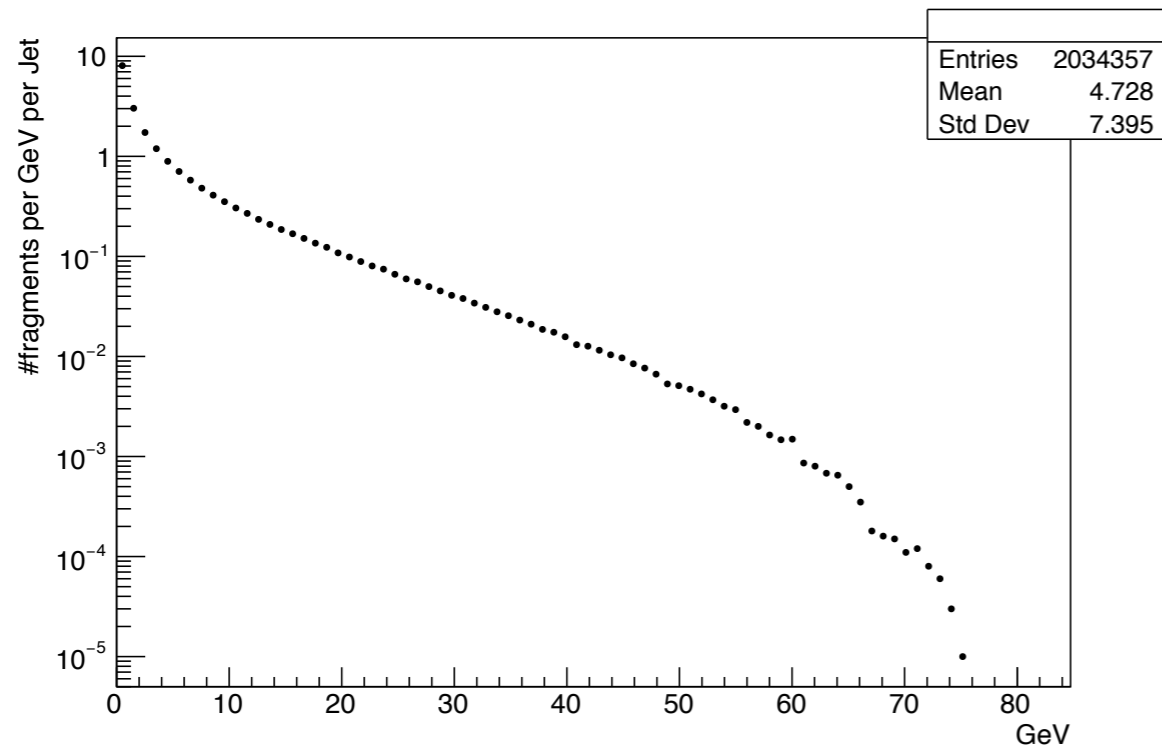
Yes

Soft hadrons  
(behaving like *mips*)

? usually  $\frac{e}{mip} \neq 1$

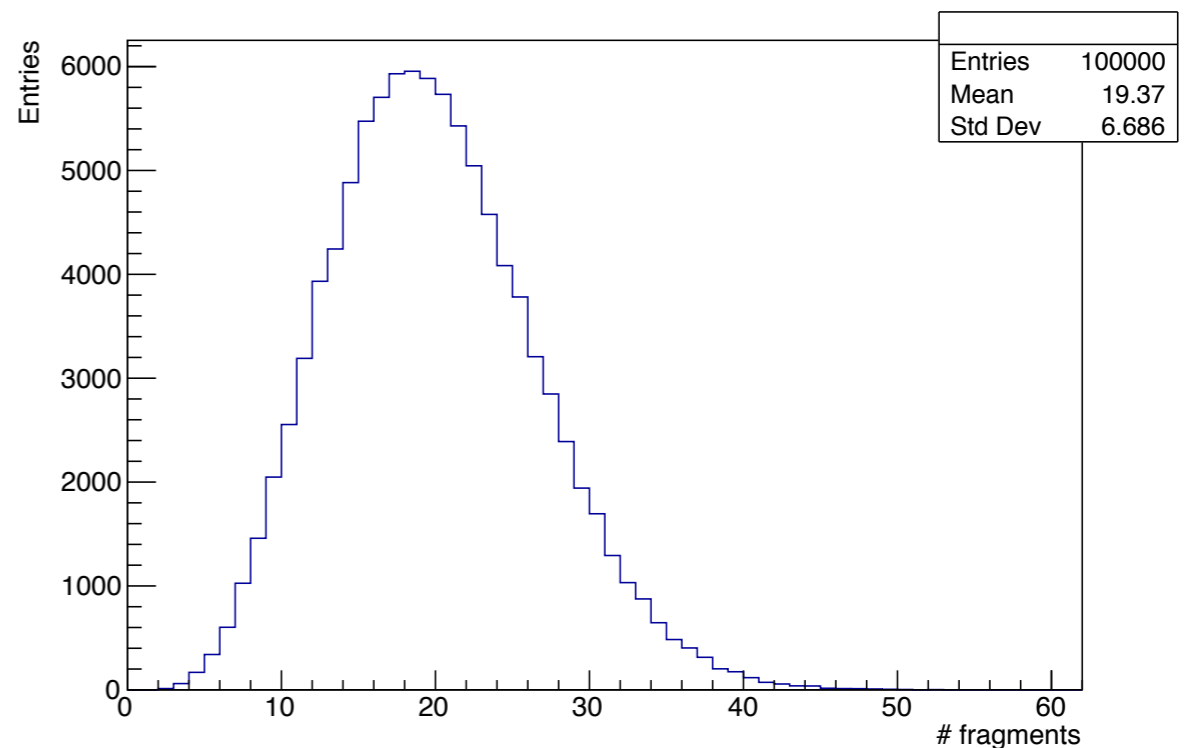
Does it reconstruct the correct energy for all the particles?

# Simplified jet structure



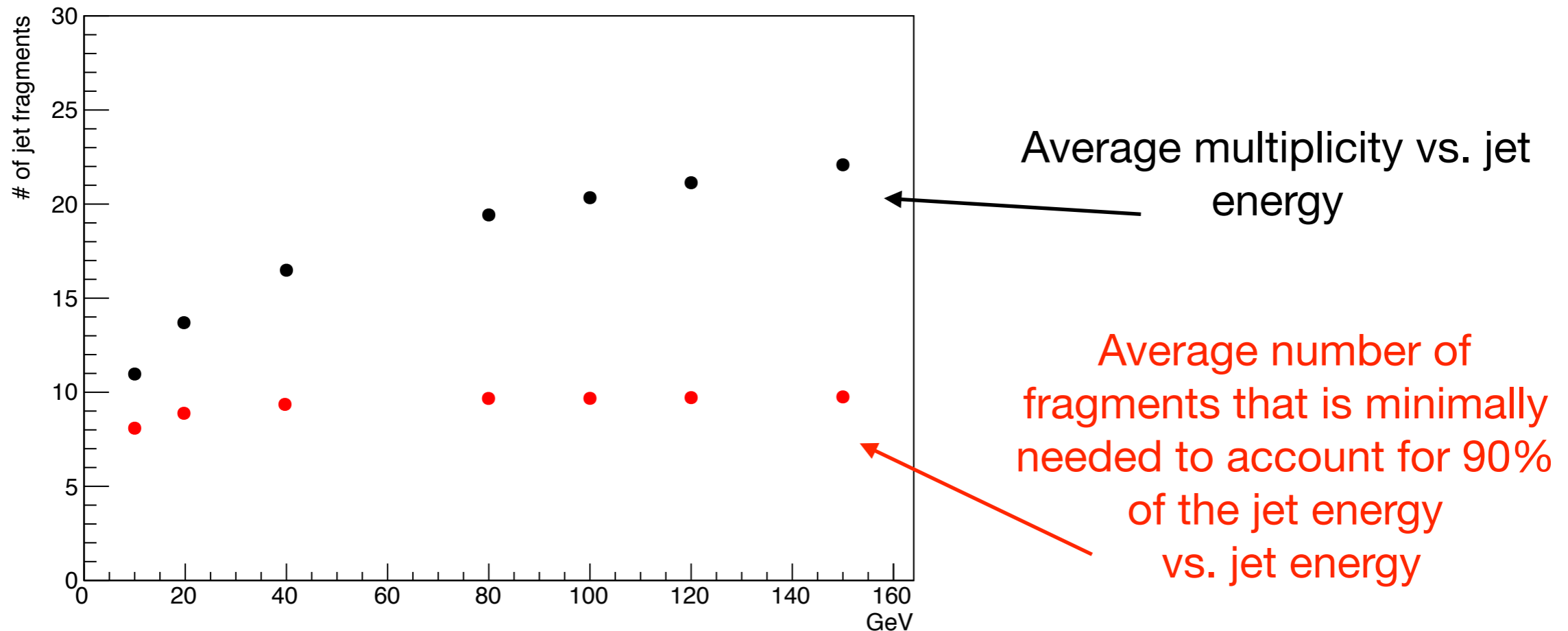
80 GeV jets  
-  
average jet multiplicity:  
~20 jet fragments

100 GeV jet  
-  
Average number of fragments  
per GeV per jet





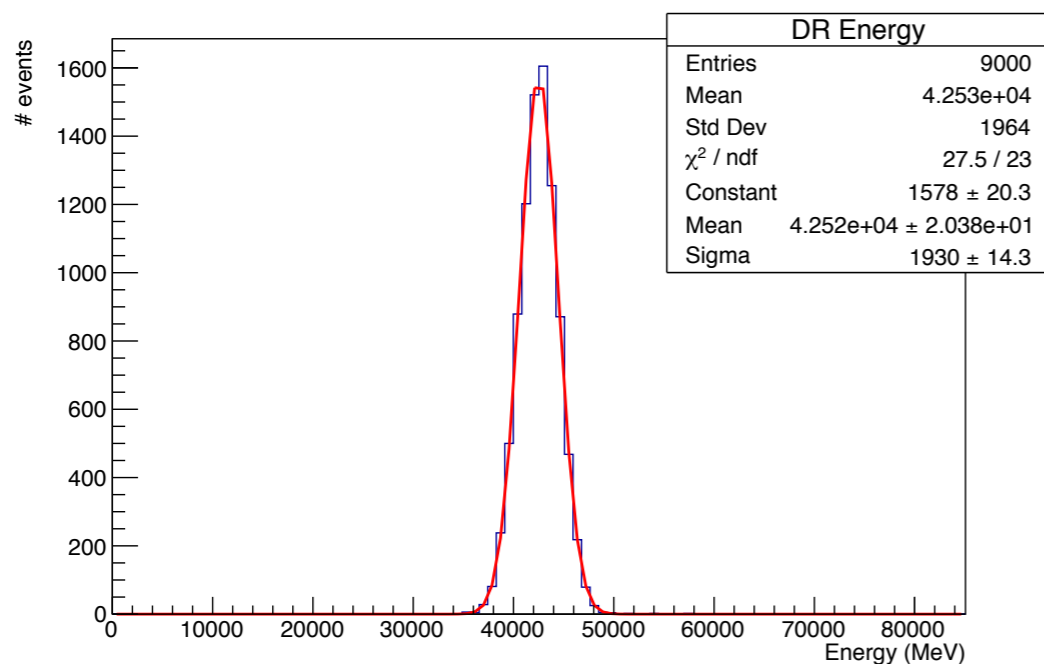
# Simplified jet structure



The calorimeter has to deal with:  
constant number of hard hadrons + increasing number of soft hadrons

# Jet reconstructed energy

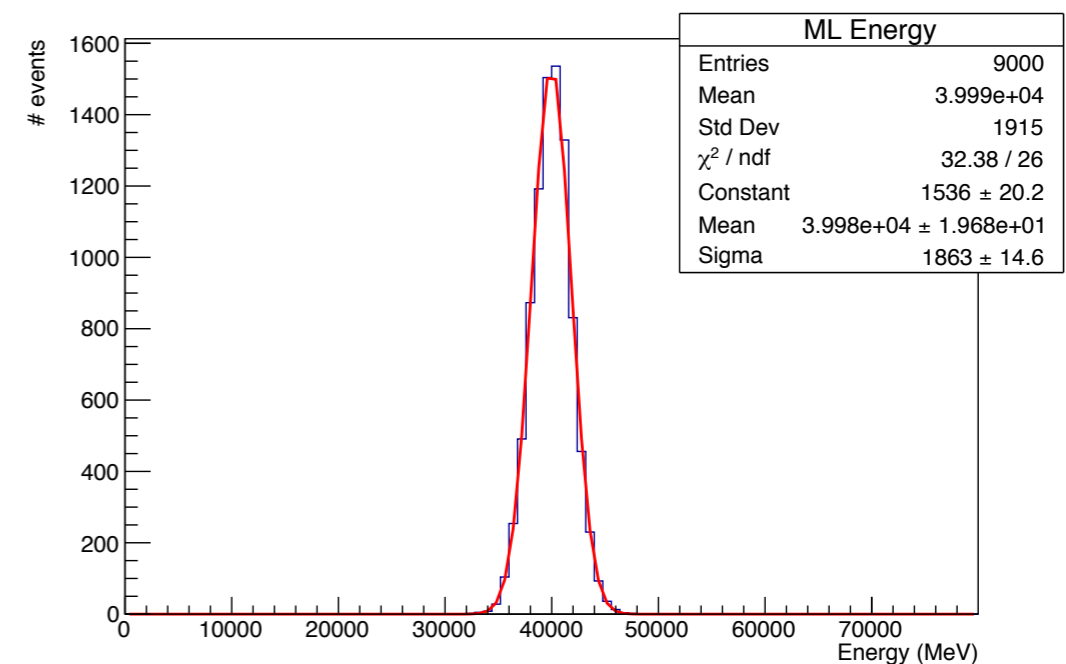
## DR method



With the classical approach the average reconstructed energy is slightly overestimated:

$$\frac{e}{mip} < 1$$

## Machine Learning



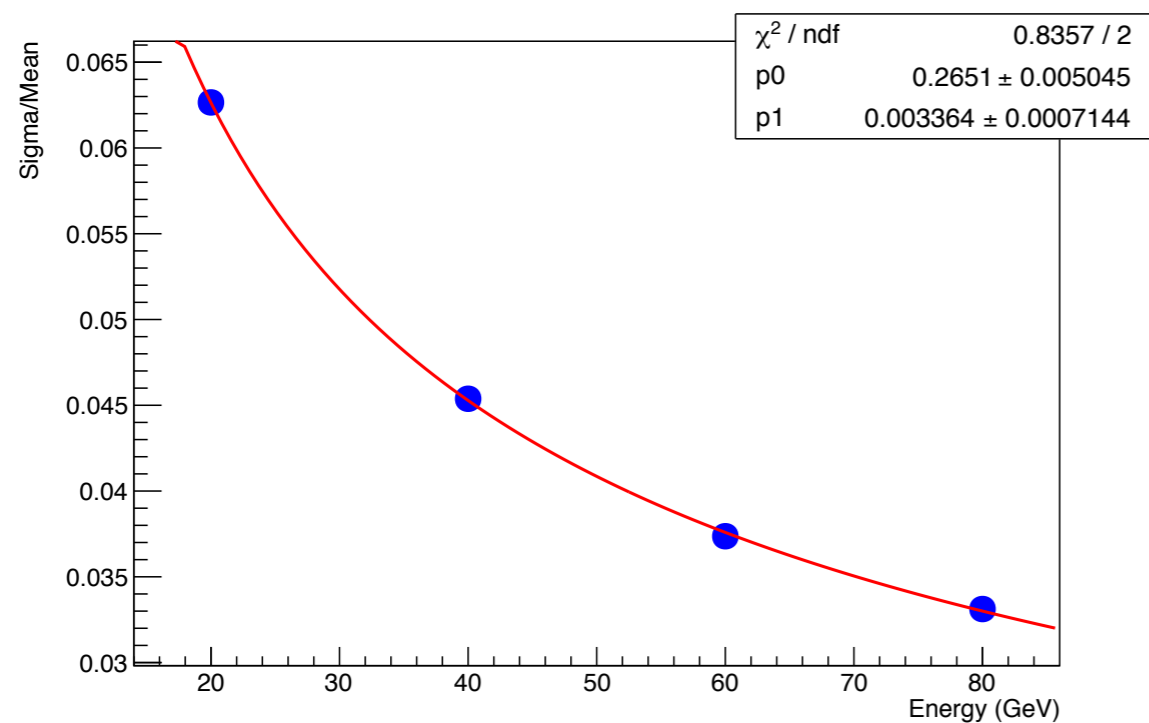
With machine learning  
The energy is on average correctly reproduced:

Soft hadrons are present also in the trained database

Geant4 - Preliminary

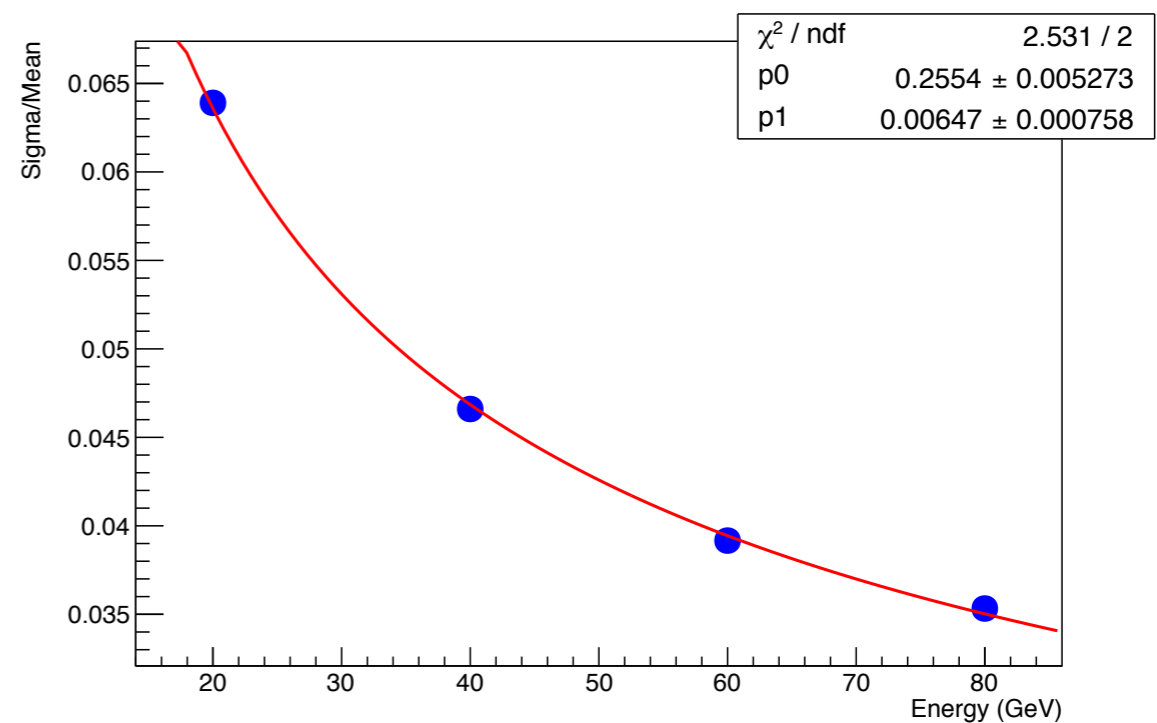
# Jet energy resolution

## DR method



$$\frac{\sigma}{E} = \frac{26.5\%}{\sqrt{E}} + 0.3\%$$

## Machine Learning



$$\frac{\sigma}{E} = \frac{25.5\%}{\sqrt{E}} + 0.6\%$$

Geant4 - Preliminary

# Particle Identification

Four different **particle identification** techniques have been studied with **data** reaching a **99.8%** electron/hadron identification efficiency.

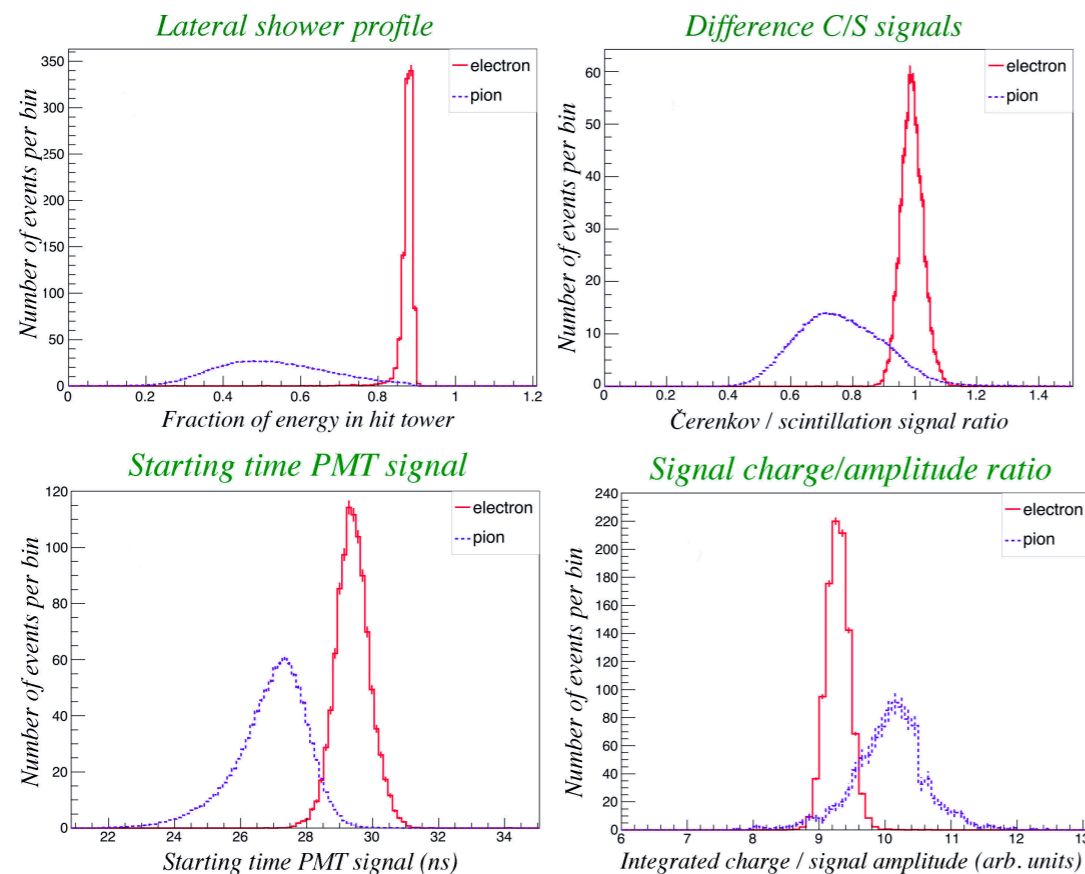
Several **particle identification** techniques under implementation in **simulations**.

Tools:

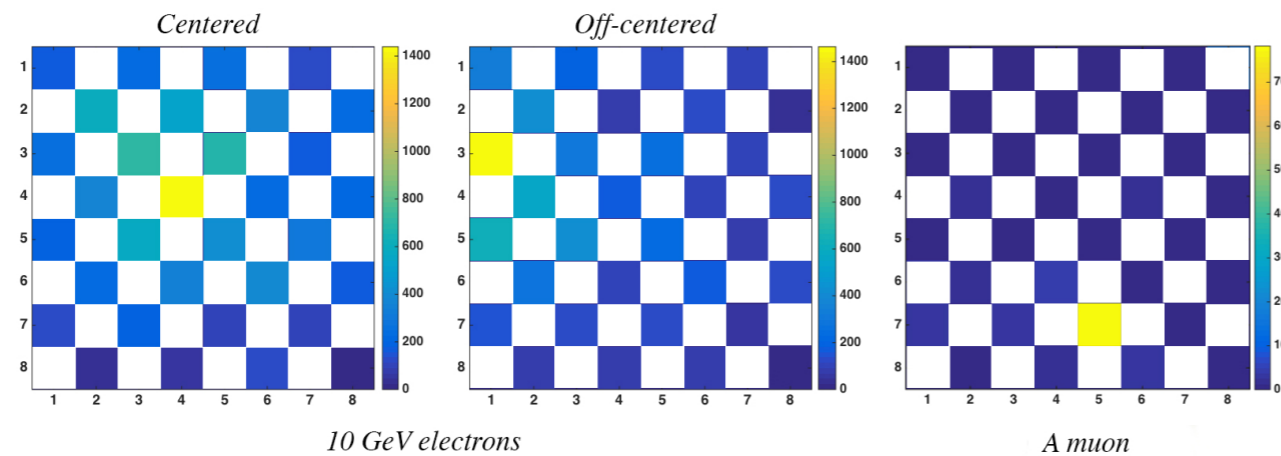
C/S always available

Different time structure  
(under development)

Granularity and clustering  
algorithms

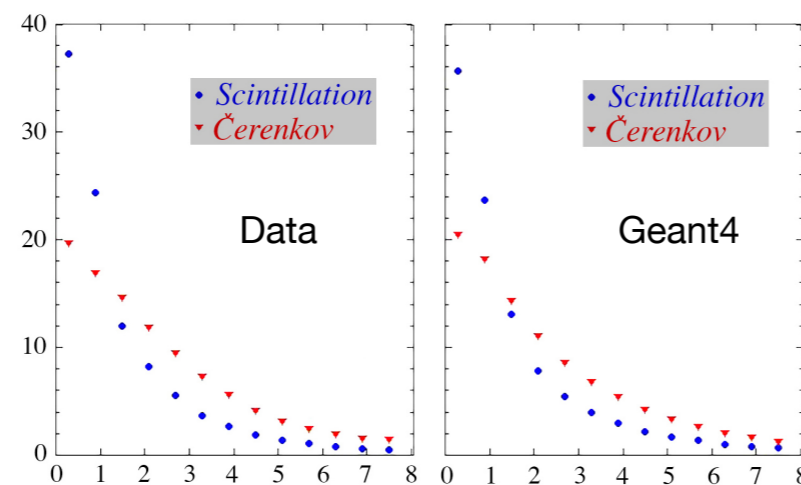


# SiPM based readout

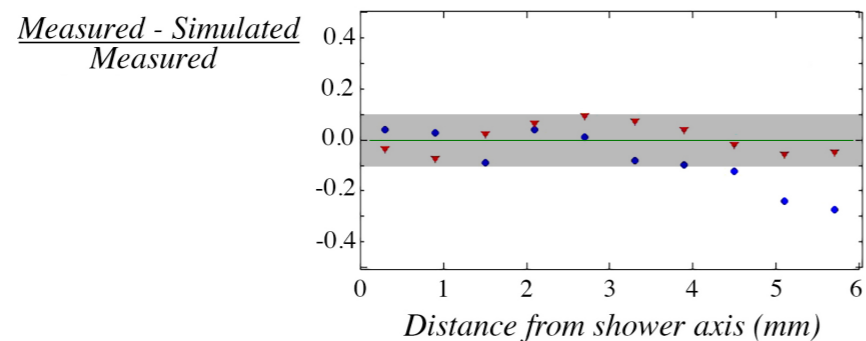


Event displays in a  
 $1.2 \times 1.2 \text{ cm}^2$   
brass module.

Percentage of total signal in fiber

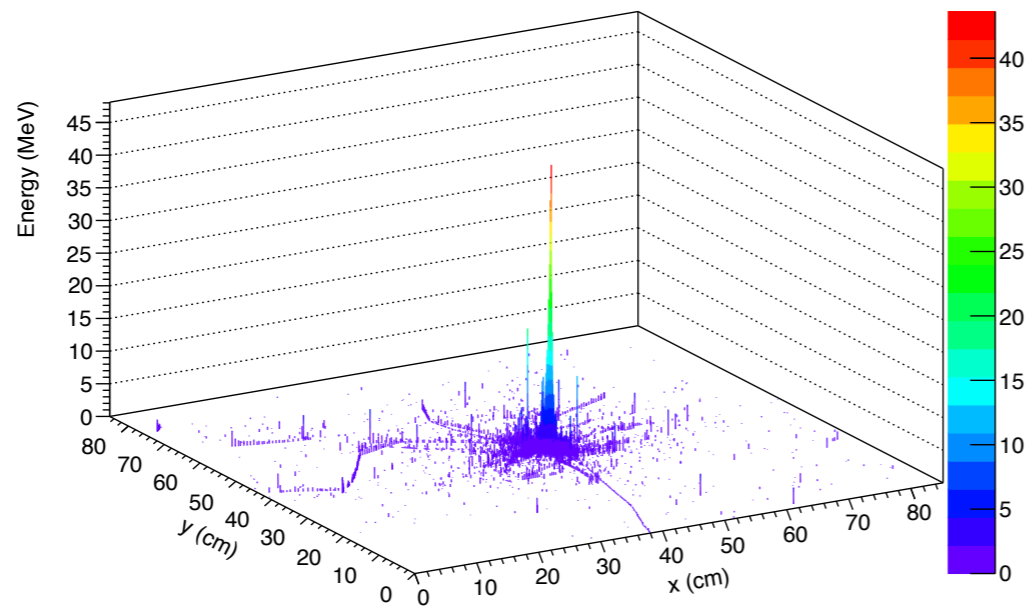


Most precise measurement  
of the electromagnetic  
radial profile  
close to the shower axis.



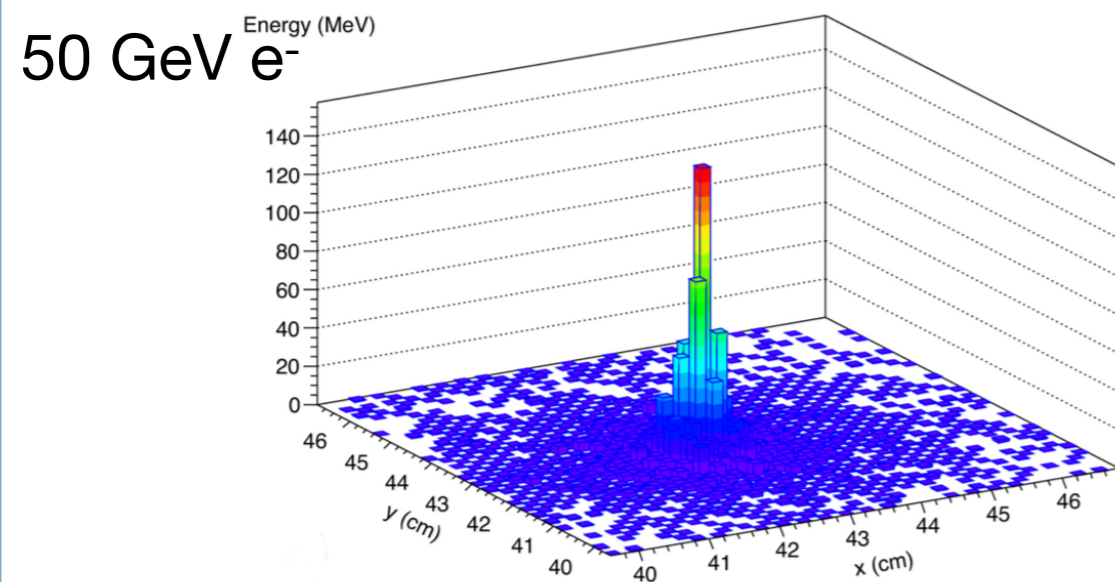
M. Antonello, et al, Nucl. Instr. and Meth. in Phys. Res. A 899 (2018) 52.

# 2D Granularity-SiPM Readout



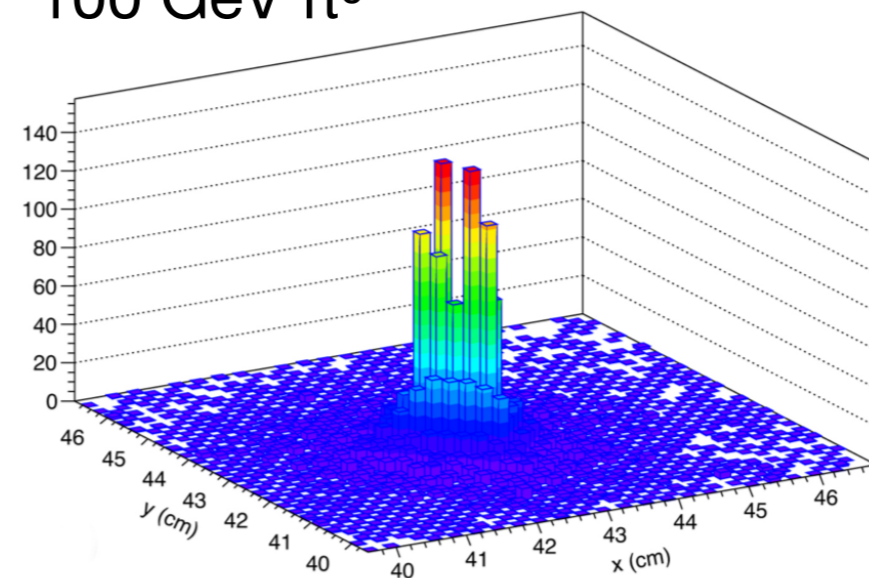
80 GeV  $\pi^-$

Geant4



50 GeV  $e^-$

100 GeV  $\pi^0$



A 100 GeV  $\pi^0$  decaying 2 m before the calorimeter is identified as two electromagnetic showers.

# Multiple particles in DR - preliminary work

## Separation using clustering algorithm in development

*Molly Jensen, supervised by Mogens Dam, Niels Bohr Institute*

- Study tau physics:

$$\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau \quad (17.39\%)$$

$$\tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau \quad (17.82\%)$$

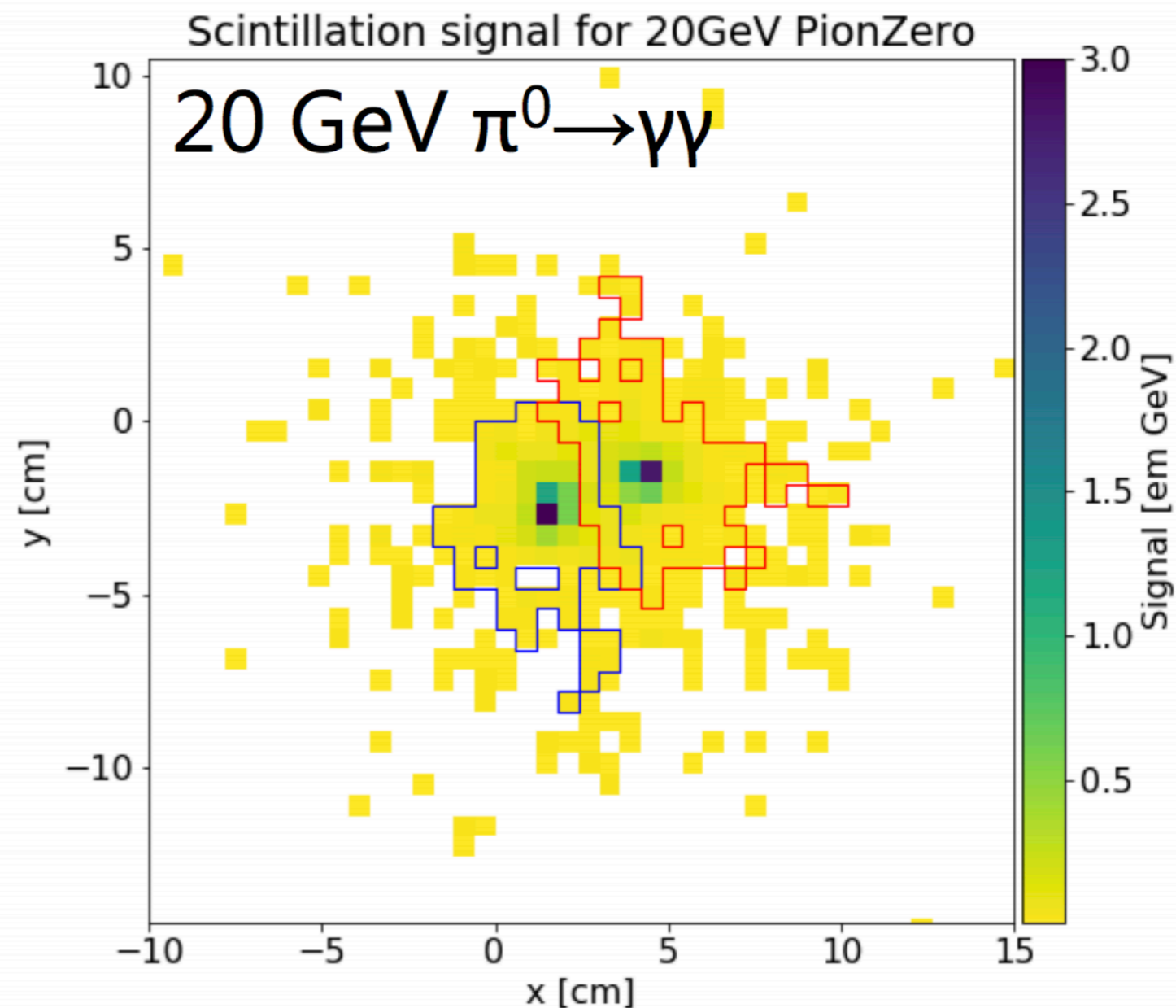
$$\tau^- \rightarrow \pi^- \nu_\tau \quad (10.82\%)$$

$$\tau^- \rightarrow \pi^- \pi^0 \nu_\tau \quad (25.49\%)$$

The goal is to separate decay channels and measure the energy of each decay product, to reconstruct the energy of the mother particle

- Easy to cluster EM showers in both scintillation and Cherenkov signal, e.g.

$$\pi^0 \rightarrow \gamma\gamma$$

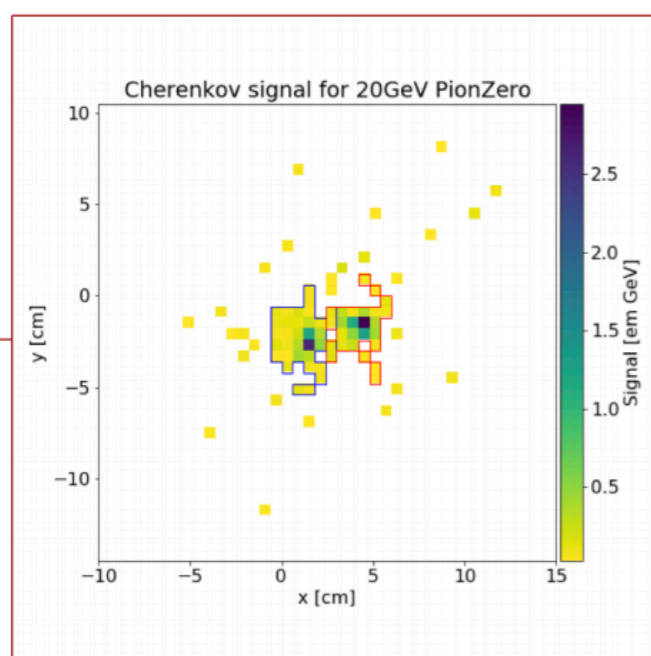
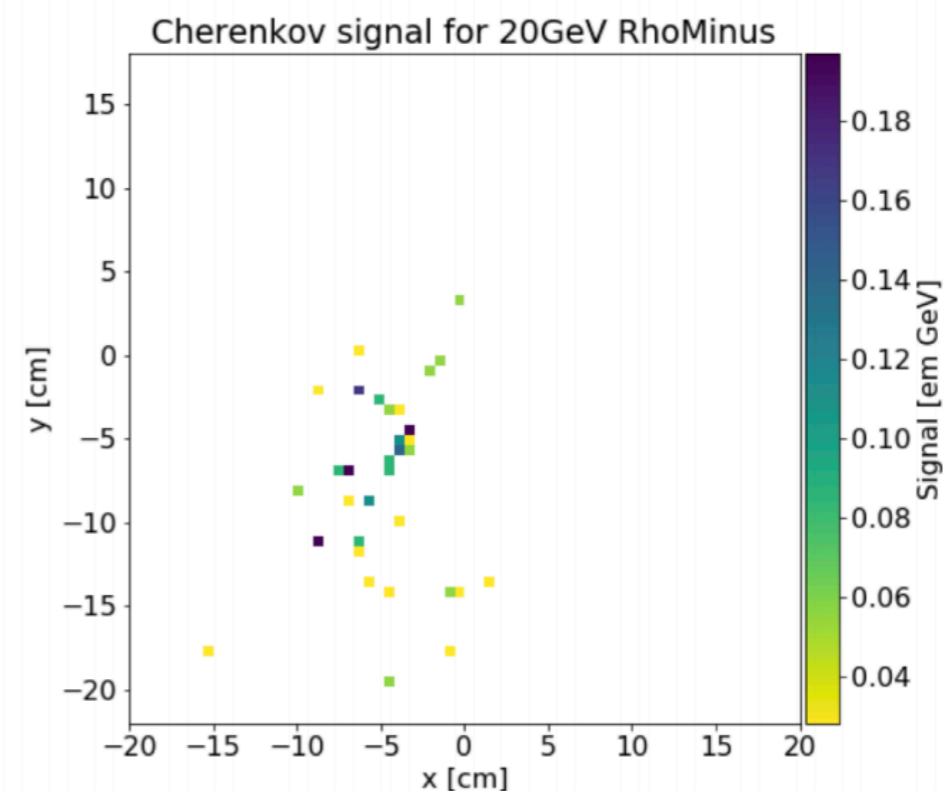
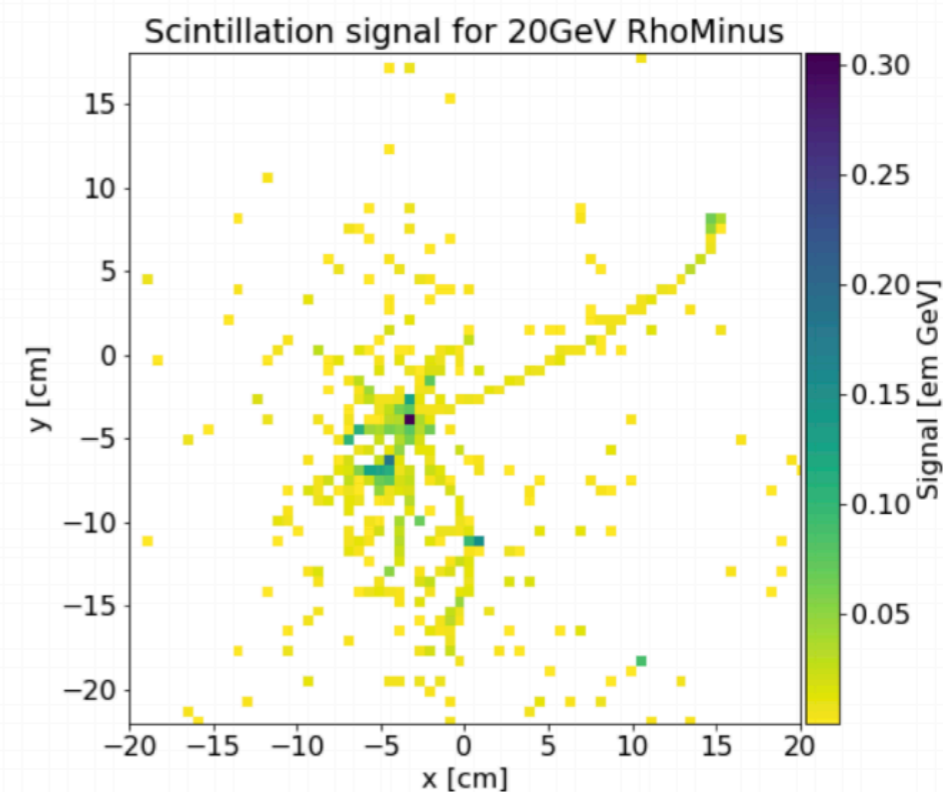


Geant4 simulation: <https://github.com/lopezzot/DREAM.git>  
 Plot shows two reconstructed clusters outlined by red and blue. Particle gun 2 m from calorimeter surface.  
 Towers of 4x4 fibers: 8 Scintillating, 8 Cherenkov.

# Continued

- Hadronic showers pose a bigger challenge
- Here study of superimposed hadronic and EM showers:  
E.g. it is more difficult to measure the  $\pi^-$  and  $\pi^0$  energy, to reconstruct the  $\rho^-$  energy from  $\rho^- \rightarrow \pi^- \pi^0$

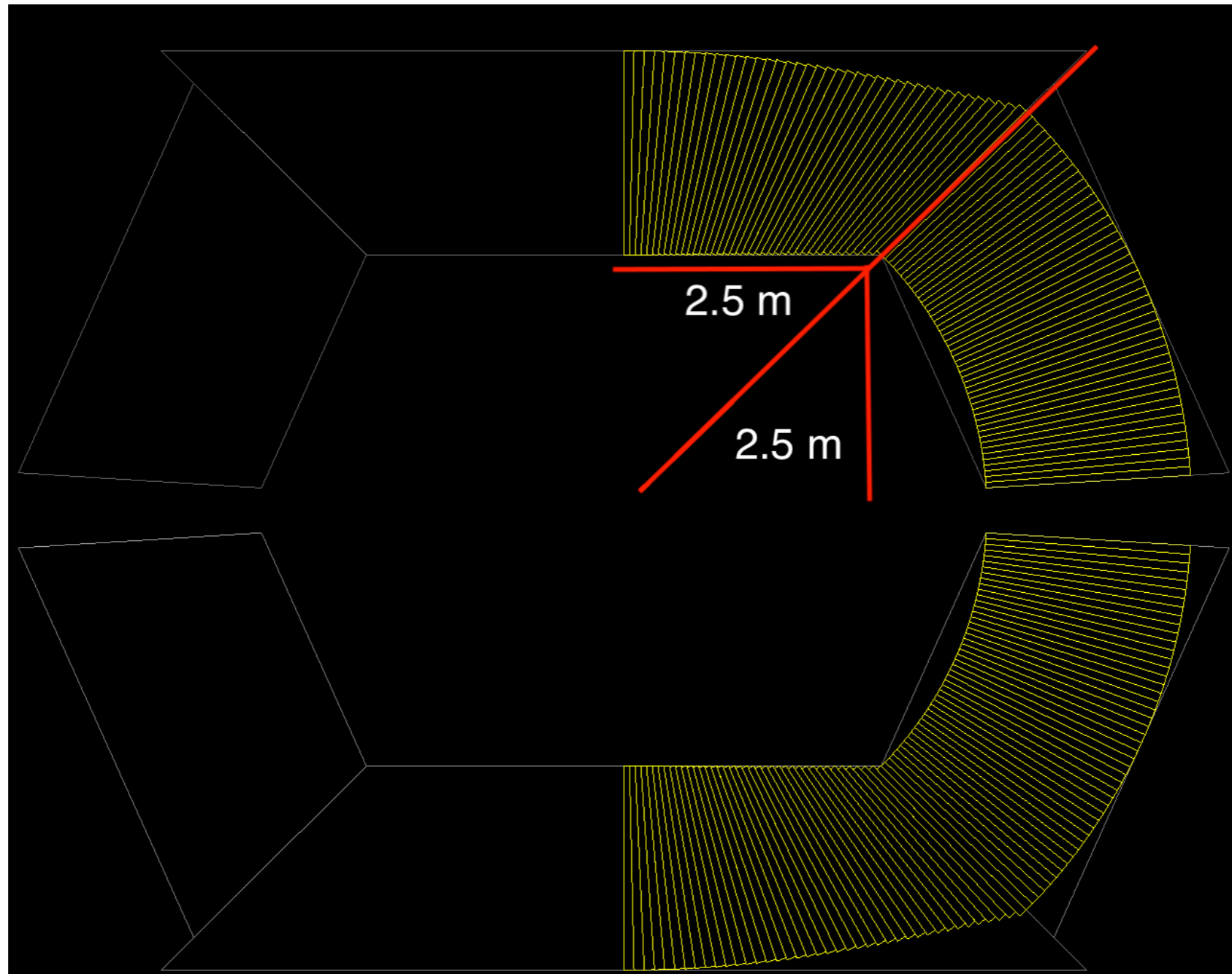
## 20 GeV $\rho^- \rightarrow \pi^- \pi^0$



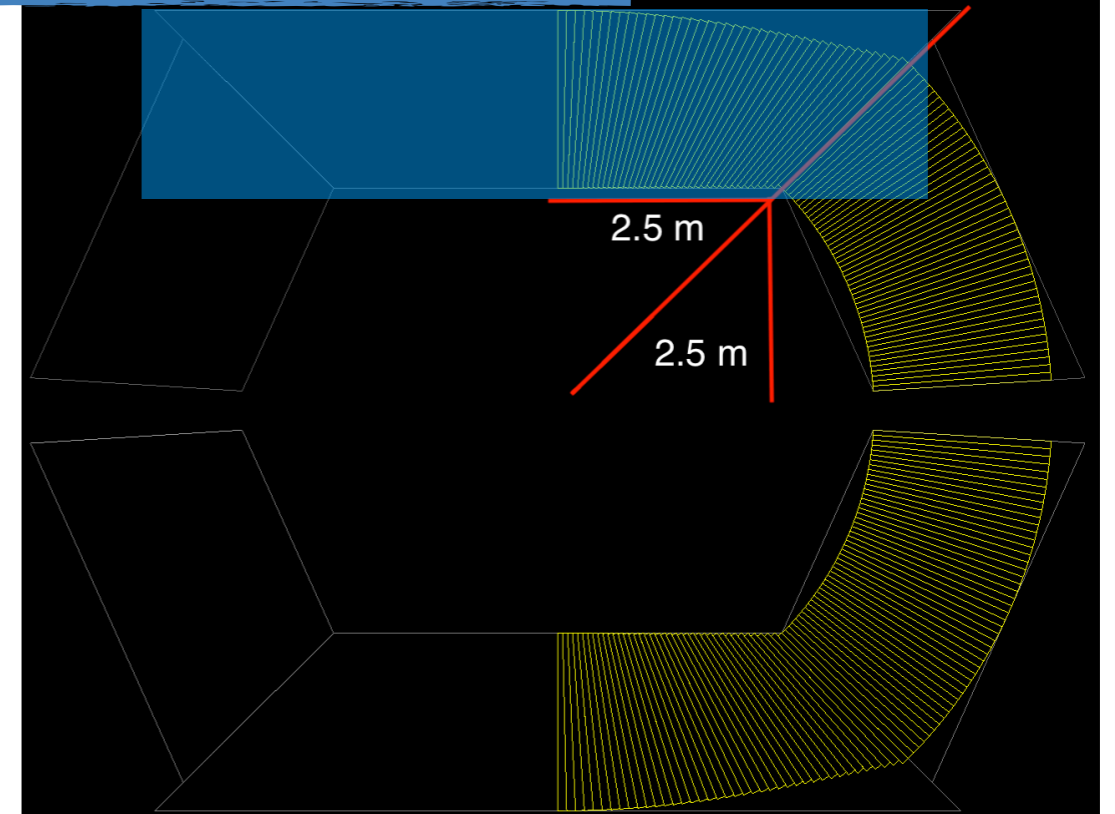


# 4th Concept like calorimeter

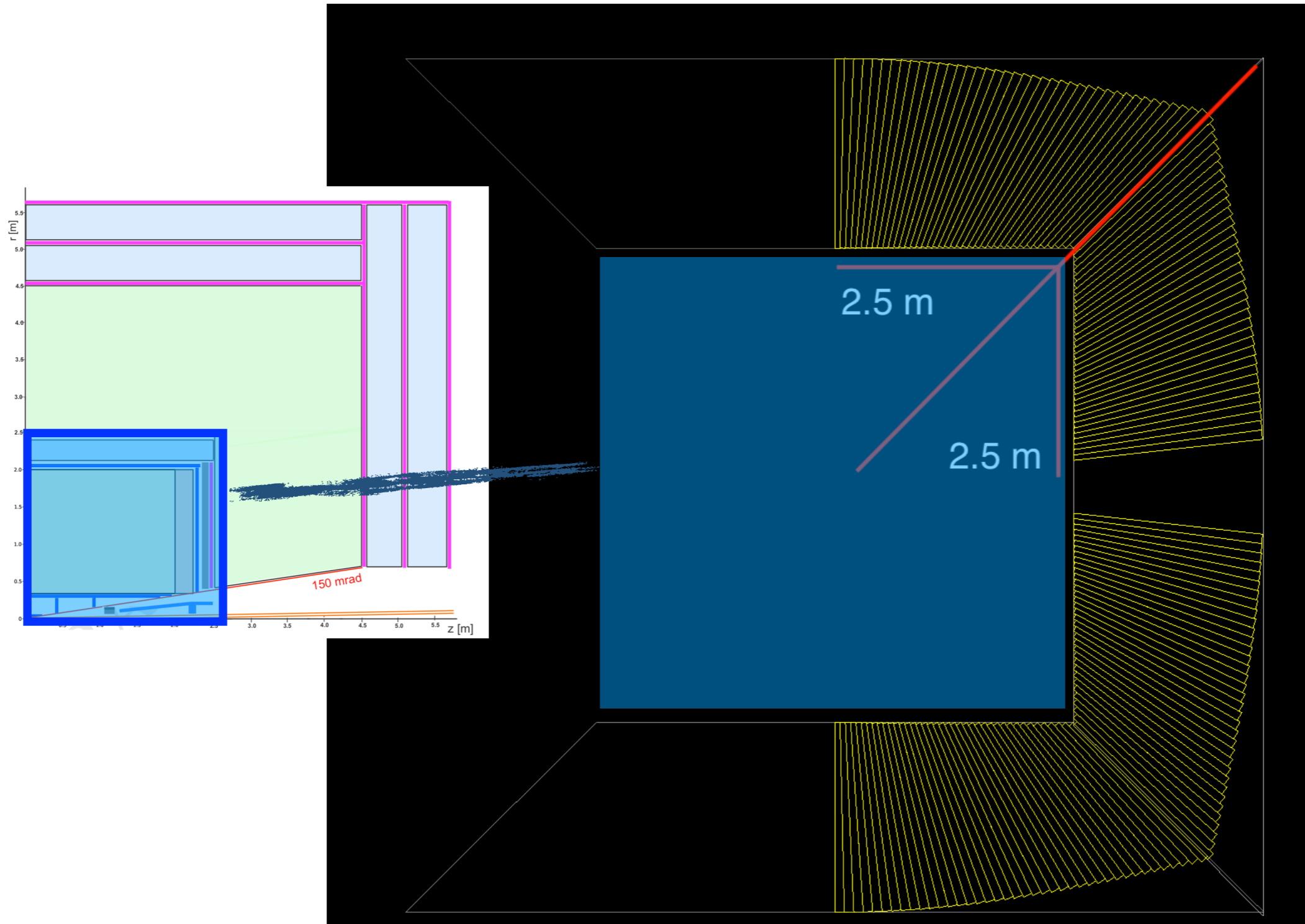
$4\pi$  fully projective geometry



# The barrel



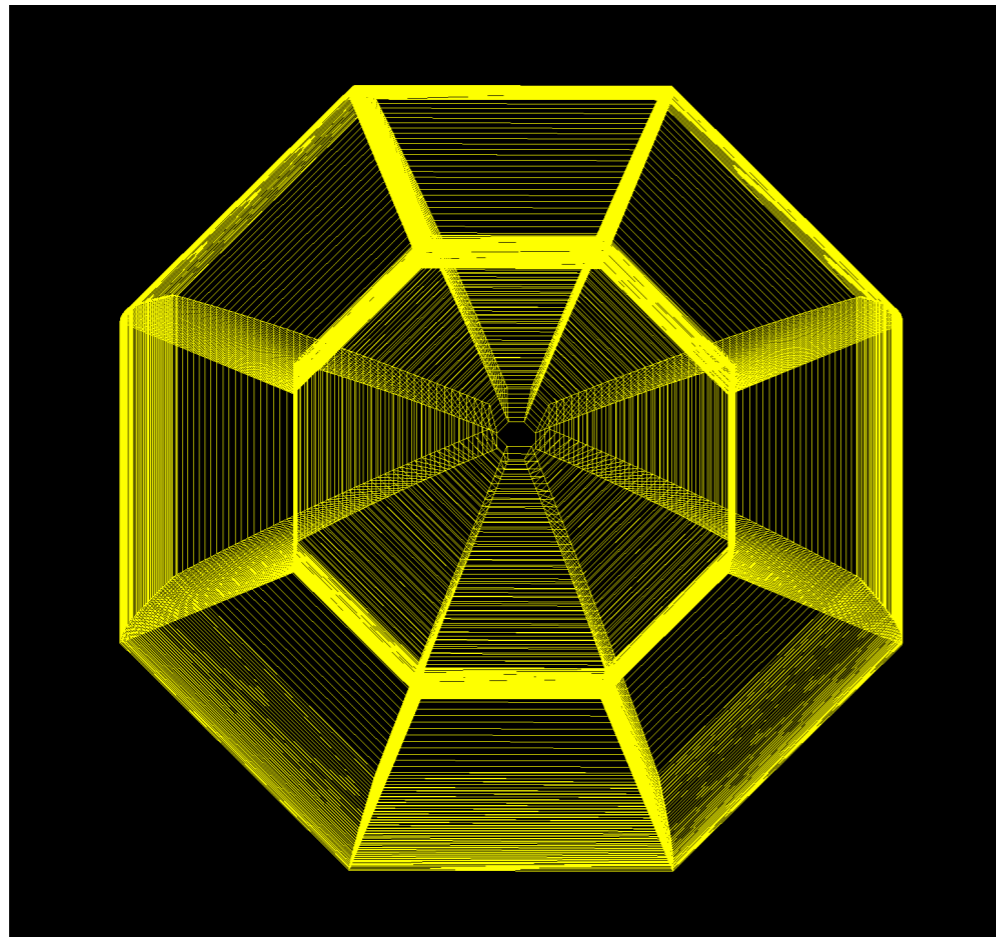
# IDEA Calorimeter



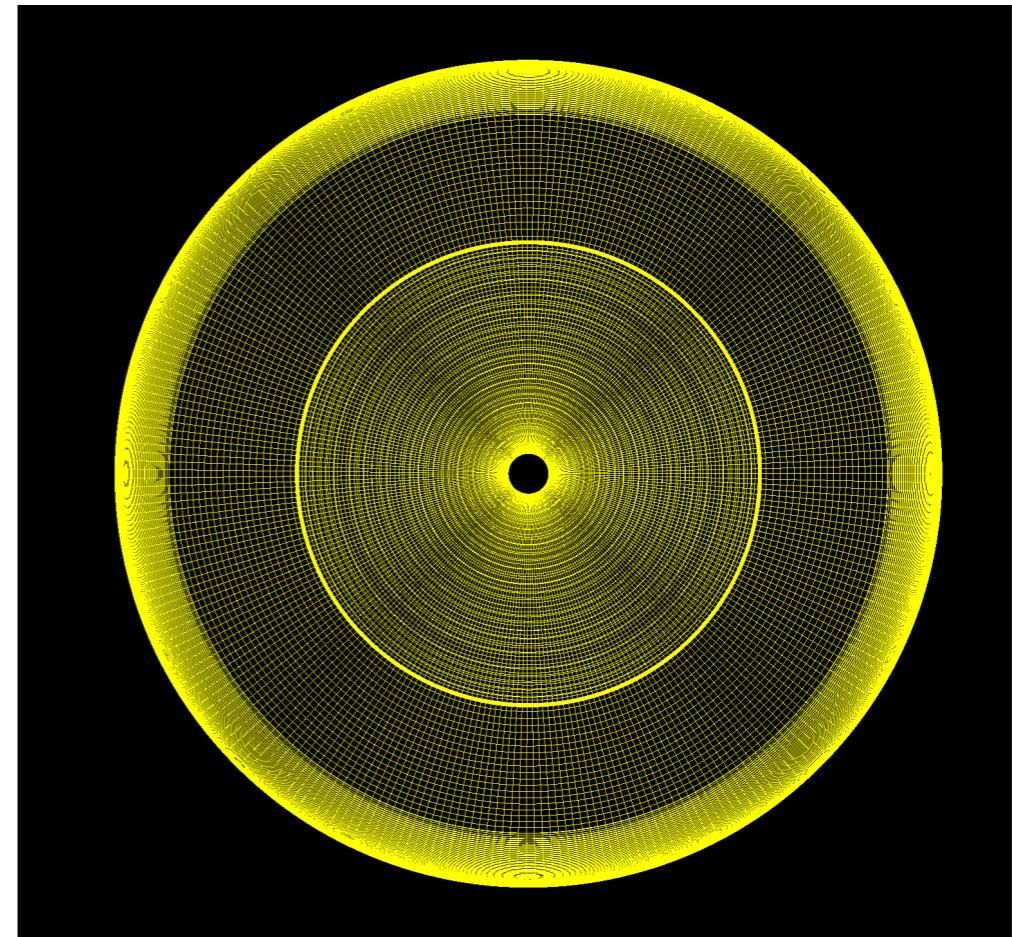
# Wedge Geometry

The final number of wedges will be a balance between the mechanical limitations and the expected performance.

**8 wedges**



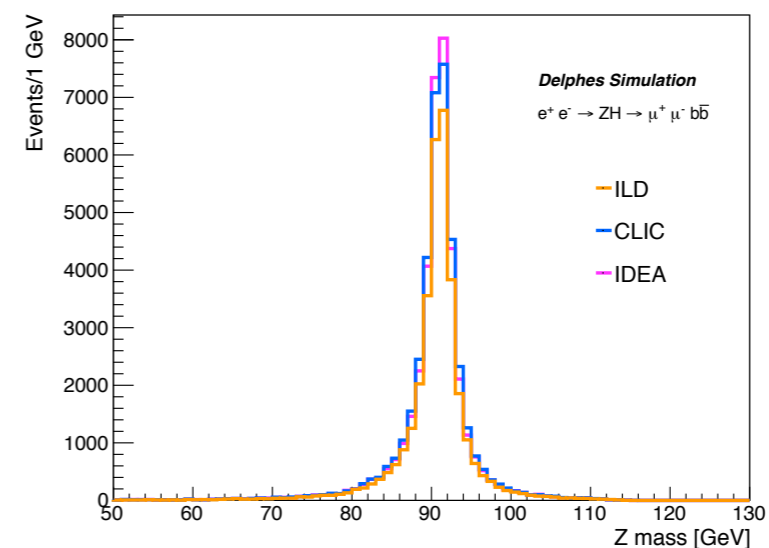
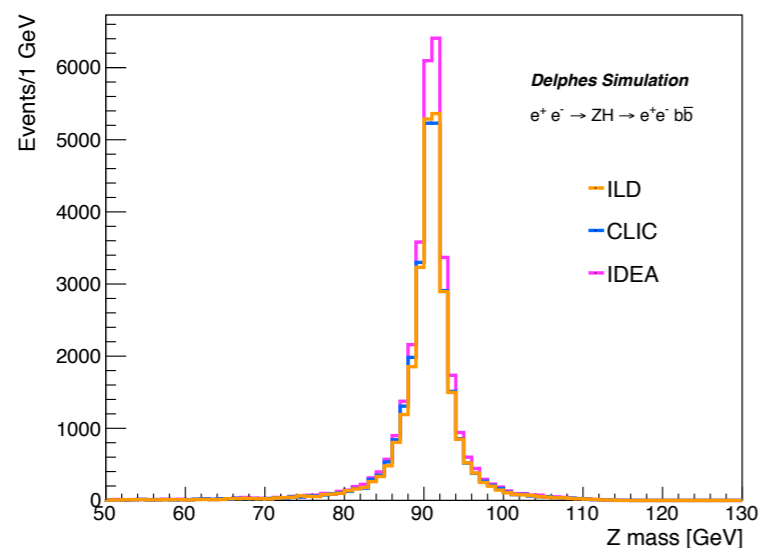
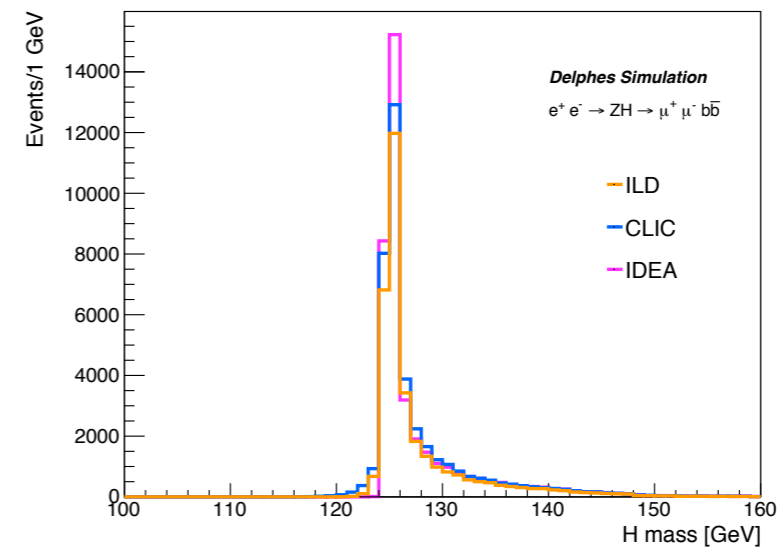
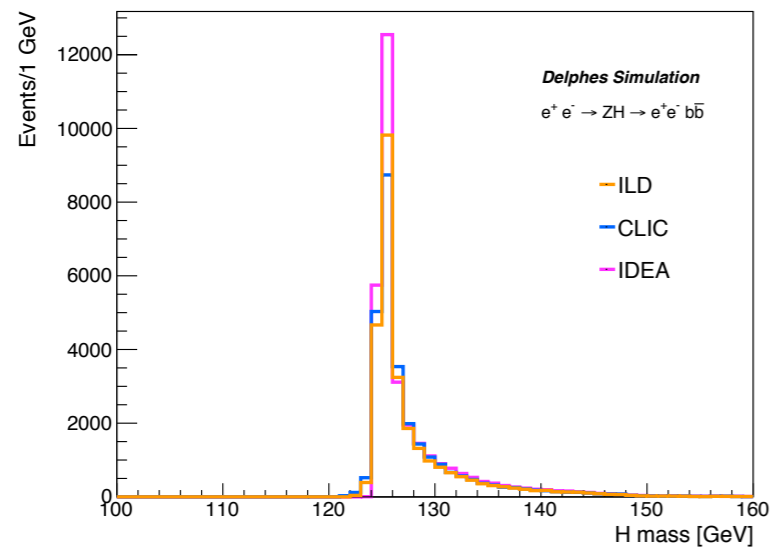
**283 wedges**



Currently under implementation within the FCCSW

# Delphes IDEA Fast Sim

A first implementation of a fast simulation card with **Delphes** is based on single detector performances. See Elisa Fontanesi's talk.



Delphes  
Preliminary

# Conclusion

There are indications to believe a dual-readout calorimeter to be the fundamentally most precise calorimeter for hadron detection ever.

A significant effort on the software is certainly needed,  
to complete the assessment

we hope a strong collaboration will cluster around it.