

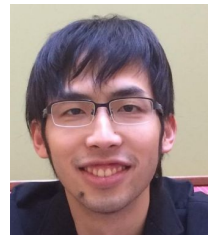
# Testing New Physics Explanations Of The MiniBooNE Anomaly At Neutrino Scattering Experiments

Based on arXiv:1812.08768



**Carlos A. Argüelles**

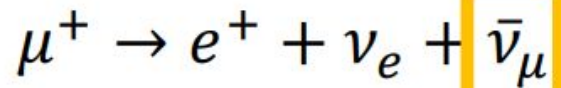
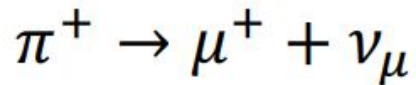
also starring



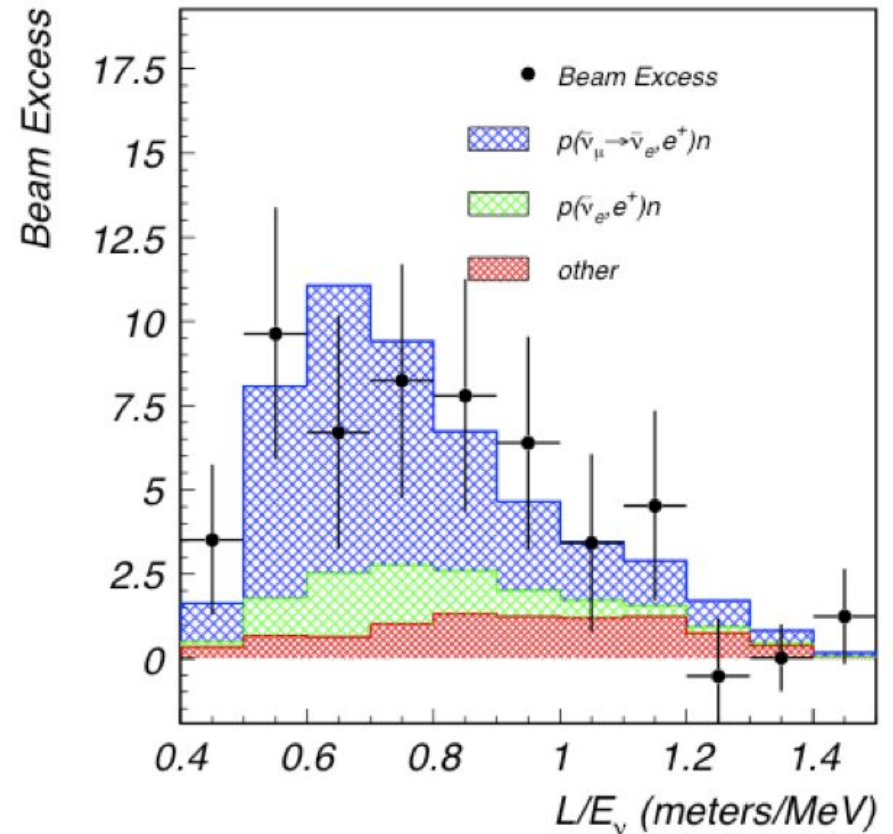
Matheus Hostert (Durham) and Yu-Dai Tsai (FNAL)

# The back story: LSND

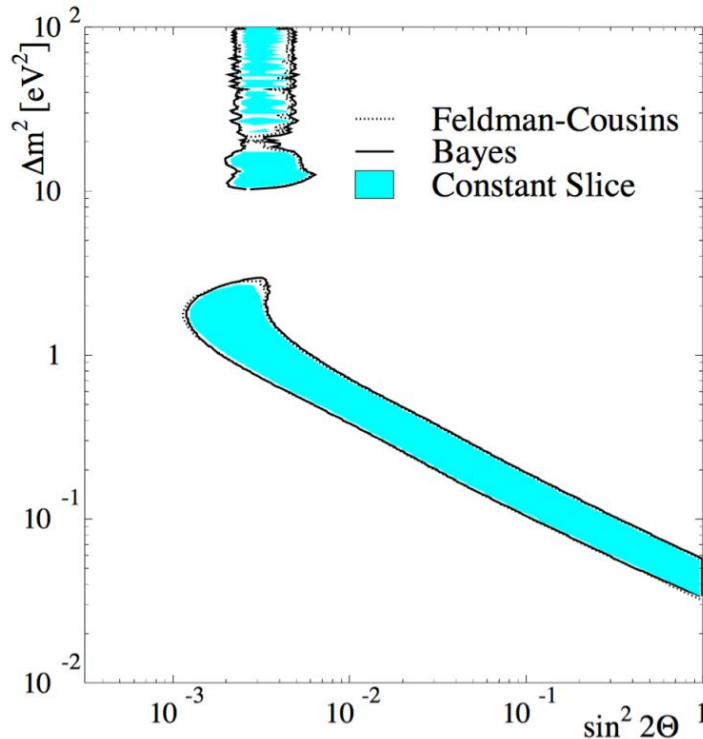
- LSND used an 800 MeV proton beam to produce pions which decay at rest.



- Search for antineutrino-electron appearance
- Observed 3.8 sigma excess of events



# If interpreted as an appearance probability ...



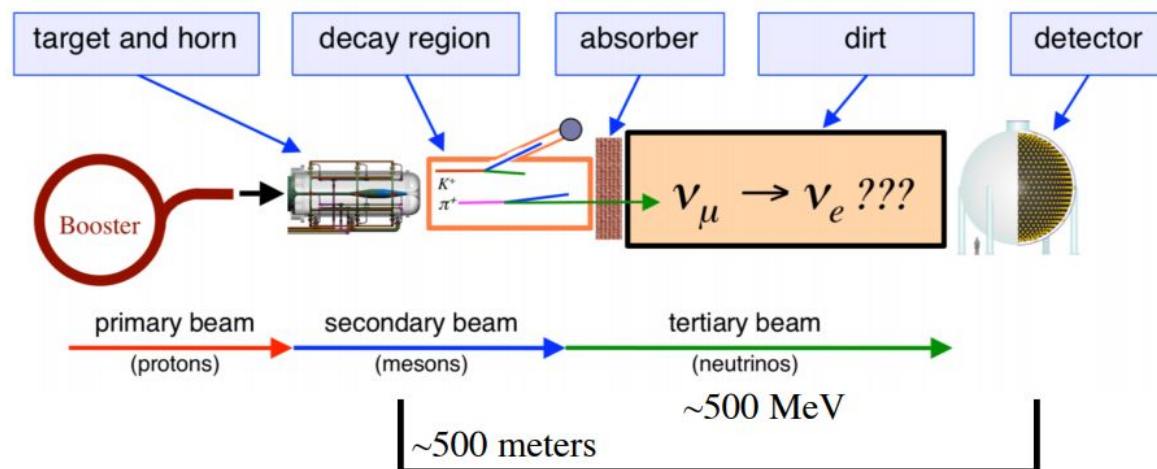
$$P(\nu_\mu \rightarrow \nu_e) = \sin^2(2\theta) \sin^2\left(1.27 \frac{\Delta m^2 L}{E_\nu}\right)$$

- The preferred parameter space of the LSND anomaly was not compatible with other known mass differences.
- If this is due to a new neutrino mass state, then we should observe a similar signal at different E and L, but same L/E!

A. Aguilar-Arevalo et al. [LSND Collaboration]  
Phys. Rev. D 64, 112007 (2001) [hep-ex/0104049].

# MiniBooNE@FNAL: proposed to test the LSND anomaly

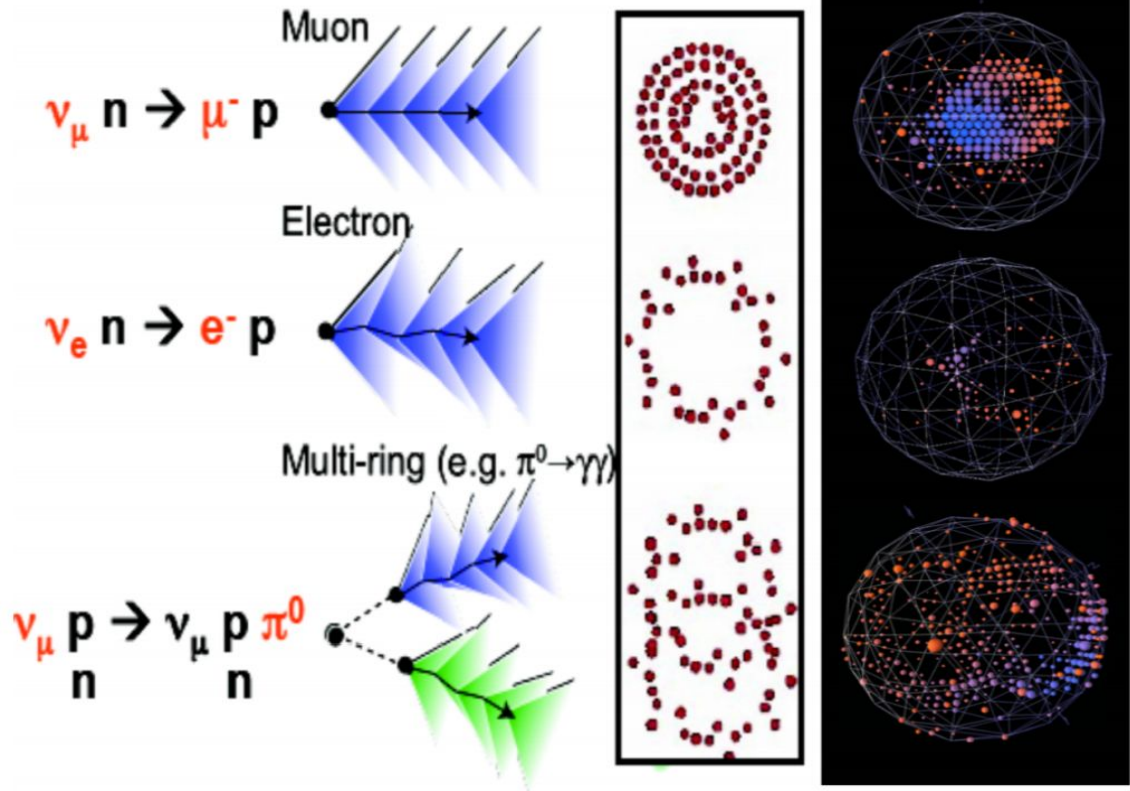
- Approximately same L/E, but  $\sim 15$  x larger energy and baseline.
- Decay-in-flight pion source.
- Higher backgrounds than LSND, but more statistics!
- Neutrino and antineutrino mode available.



# MiniBooNE experimental signatures

Three typical event signatures:

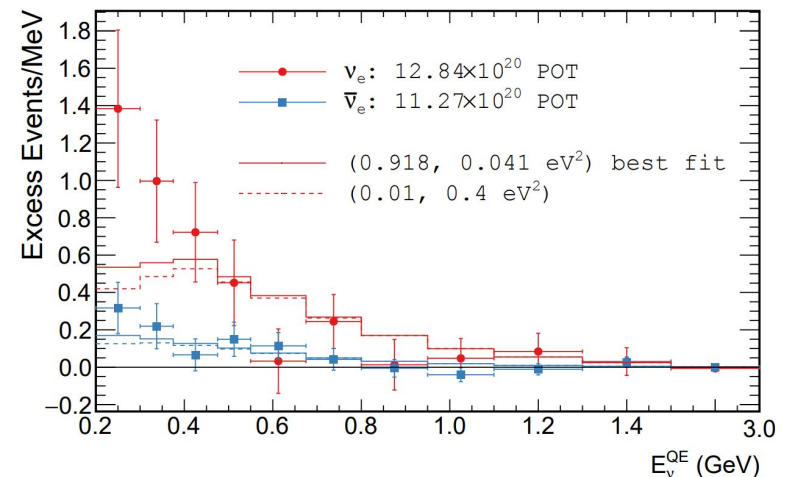
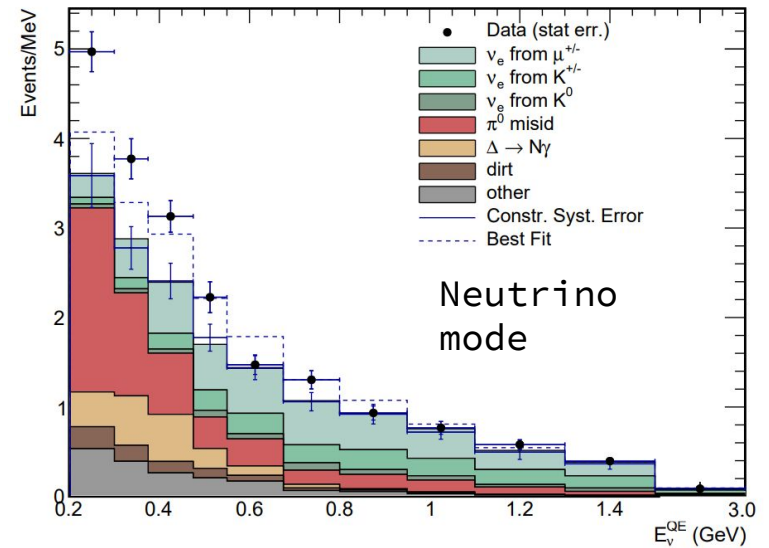
- Muon-neutrino CCQE produces sharp photon ring on PMTS,
- Electron-neutrino CCQE events produces fuzzy ring,
- Muon-neutrino NC can produce  $\pi^0$ : two gammas  $\rightarrow$  two fuzzy rings.



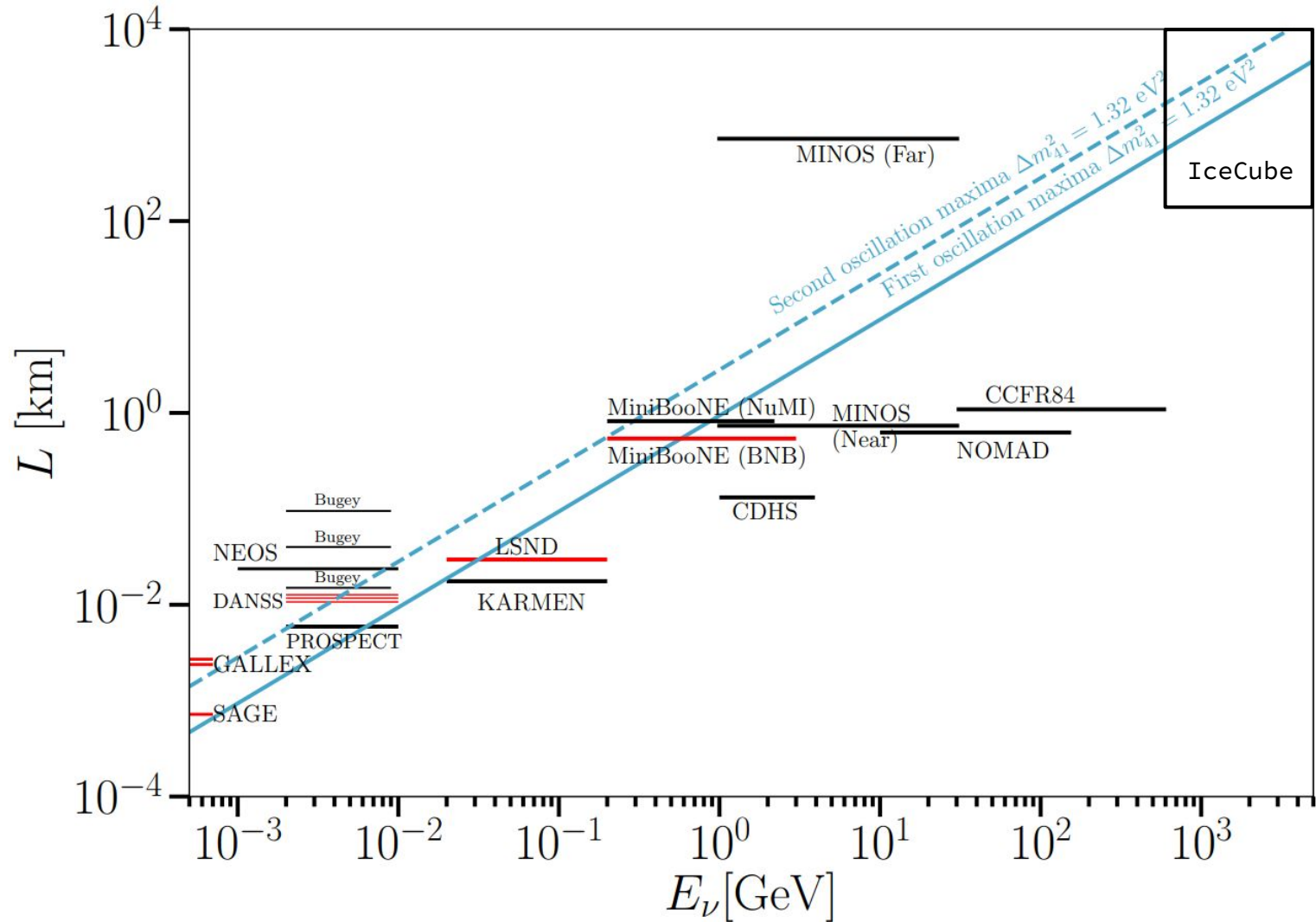
Cannot distinguish between electrons and photons

# Recent MiniBooNE excess of neutrino-electron-like events

- MB has reported an excess in neutrino and antineutrino channels.
- They claim that this excess is compatible with LSND. (Previous results show tension with LSND in neutrino mode)
- Excess has remained after doubling the data: not statistical in nature.
- It has a significance of 4.7 sigma.



# Sterile neutrino panorama



So ... We have discover a new particle?!

---





So ... We have discover a new particle!?

---



\* R.I.P. grumpy cat.

# If it's a “vanilla” eV-scale sterile neutrino

---

$$P_{\nu_e \rightarrow \nu_e} = 1 - 4(1 - |U_{e4}|^2)|U_{e4}|^2 \sin^2(1.27\Delta m_{41}^2 L/E)$$

$$P_{\nu_\mu \rightarrow \nu_e} = 4|U_{e4}|^2|U_{\mu4}|^2 \sin^2(1.27\Delta m_{41}^2 L/E)$$

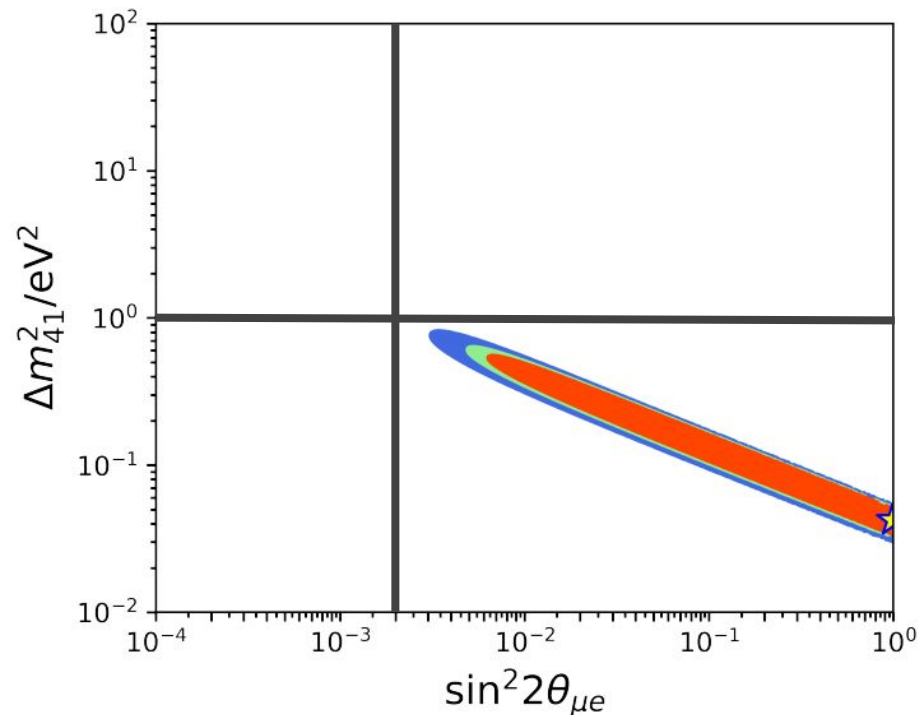
$$P_{\nu_\mu \rightarrow \nu_\mu} = 1 - 4(1 - |U_{\mu4}|^2)|U_{\mu4}|^2 \sin^2(1.27\Delta m_{41}^2 L/E)$$

Oscillation probabilities among appearance and disappearance channels are related.

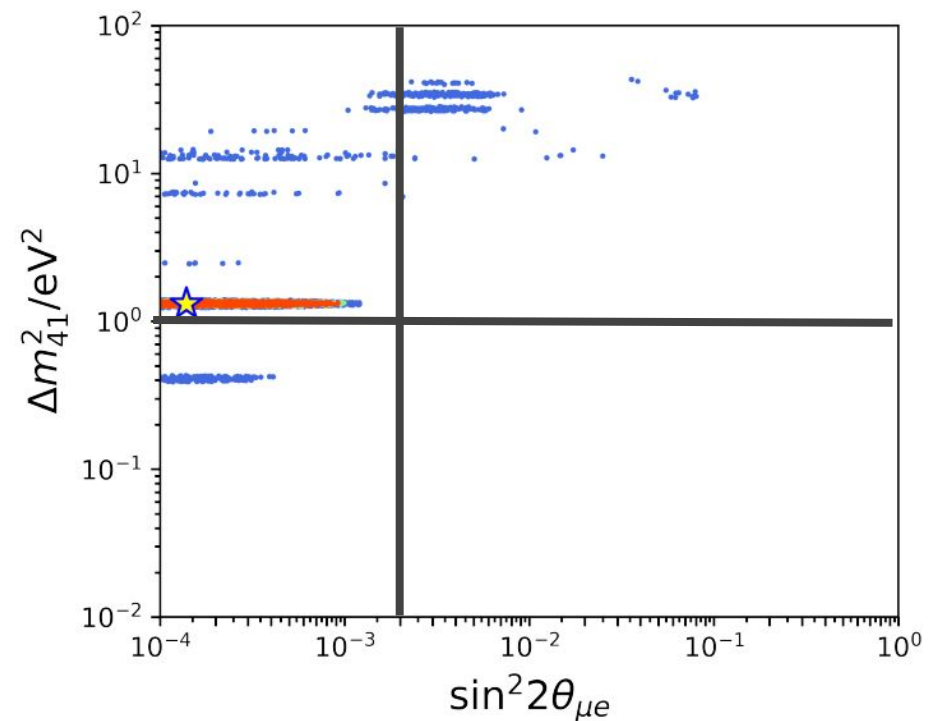
**Need to look in other channels for further confirmation!**

# App and Dis preference regions don't match!

$$\nu_\mu \rightarrow \nu_e$$



$$\begin{aligned} \nu_e &\nrightarrow \nu_e \\ \nu_\mu &\nrightarrow \nu_\mu \end{aligned}$$



A. Diaz, CA, G.Collin, JM. Conrad, M. Shaevitz arXiv:1902.00517

See also Collin et al 1602.00671, similar conclusions from other groups see Gariazzo et al. 1703.00860, and Dentler et al JHEP 1808 (2018)

# Tension in the global data!!!

PG: parameter goodness-of-fit.  
Larger is better. Small is bad.  
Very small is very bad.

Analysis	$\chi^2_{\min,\text{global}}$	$\chi^2_{\min,\text{app}}$	$\Delta\chi^2_{\text{app}}$	$\chi^2_{\min,\text{disapp}}$	$\Delta\chi^2_{\text{disapp}}$	$\chi^2_{\text{PG}}/\text{dof}$	PG
Global	1120.9	79.1	11.9	1012.2	17.7	29.6/2	$3.71 \times 10^{-7}$
<b>Removing anomalous data sets</b>							
w/o LSND	1099.2	86.8	12.8	1012.2	0.1	12.9/2	$1.6 \times 10^{-3}$
w/o MiniBooNE	1012.2	40.7	8.3	947.2	16.1	24.4/2	$5.2 \times 10^{-6}$
w/o reactors	925.1	79.1	12.2	833.8	8.1	20.3/2	$3.8 \times 10^{-5}$
w/o gallium	1116.0	79.1	13.8	1003.1	20.1	33.9/2	$4.4 \times 10^{-8}$
<b>Removing constraints</b>							
w/o IceCube	920.8	79.1	11.9	812.4	17.5	29.4/2	$4.2 \times 10^{-7}$
w/o MINOS(+)	1052.1	79.1	15.6	948.6	8.94	24.5/2	$4.7 \times 10^{-6}$
w/o MB disapp	1054.9	79.1	14.7	947.2	13.9	28.7/2	$6.0 \times 10^{-7}$
w/o CDHS	1104.8	79.1	11.9	997.5	16.3	28.2/2	$7.5 \times 10^{-7}$
<b>Removing classes of data</b>							
$\bar{\nu}_e$ dis vs app	628.6	79.1	0.8	542.9	5.8	6.6/2	$3.6 \times 10^{-2}$
$\bar{\nu}_\mu$ dis vs app	564.7	79.1	12.0	468.9	4.7	16.7/2	$2.3 \times 10^{-4}$
$\bar{\nu}_\mu$ dis + solar vs app	884.4	79.1	13.9	781.7	9.7	23.6/2	$7.4 \times 10^{-6}$

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w/o reactors	925.1	79.1	12.2	833.8	8.1	20.3/2	$3.8 \times 10^{-5}$
<b>A vanilla 3+1 sterile neutrino fails to explain all the data!</b>							
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# This raises some more questions...

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Do we understand all SM background/process well enough?

Are all the anomalies related? Or only some of them?

E.g., are LSND and MiniBooNE observing the same physics?

Since null results are not scrutinized as carefully as anomalous ones. Are all null results reliable?

Is there a significant signal of electron-neutrino disappearance (e.g. reactors)?

If the anomalies are confirmed as new physics, in what theories are they embedded?

# Novel alternative explanations of the MiniBooNE anomaly

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Assume that the SM gauge group is extended by a new  $U(1)'$ , which mixes kinetically with the SM hypercharge

$$\mathcal{L}_{\text{kin}} \supset \frac{1}{4} \hat{Z}'_{\mu\nu} \hat{Z}'^{\mu\nu} + \frac{\sin \chi}{2} \hat{Z}'_{\mu\nu} \hat{B}^{\mu\nu} + \frac{m_{\hat{Z}'}^2}{2} \hat{Z}'^\mu \hat{Z}'_\mu$$

Also introduce a new SM-gauge singlet, charged under the new  $U(1)'$ , which is allowed to mix with SM active neutrinos.

Bertuzzo et al. Phys. Rev. Lett. 121, 241801 (2018)

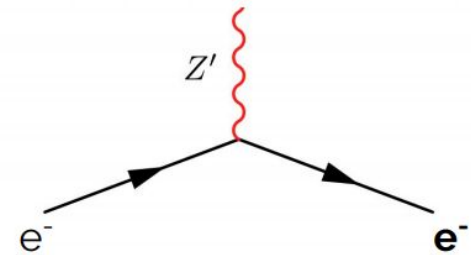
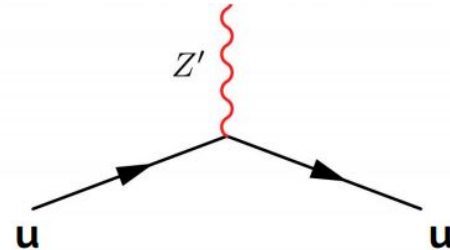
Ballett et al. arXiv:1808.02915



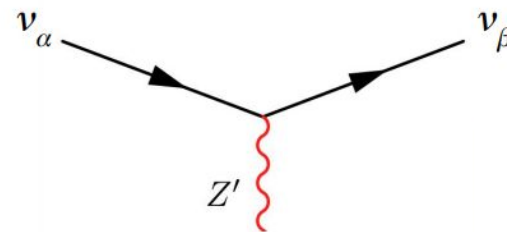
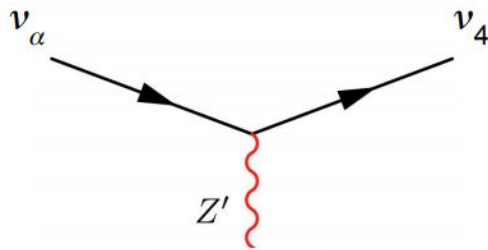
# Interaction Lagrangian

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$$\mathcal{L} \supset -eq_f c_W \chi \bar{f} \gamma^\mu f Z'_\mu$$



$$U_{\alpha 4}^* g' \bar{\nu}_\alpha \gamma^\mu P_L \nu_4 Z'_\mu + U_{\alpha 4}^* U_{\beta 4} g' \bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta Z'_\mu$$

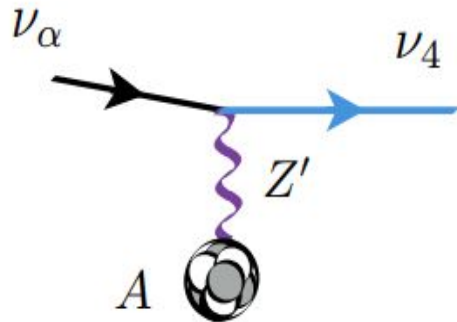




# Producing the MiniBooNE signature

---

$$\alpha_D \alpha_{\text{QED}} \varepsilon^2 |U_{\alpha 4}|^2$$



Small  $Mz'$ :

- Larger cross section
- Small  $Q^2$ : more forward  $\nu_4$ , larger coherent to diffractive contributions

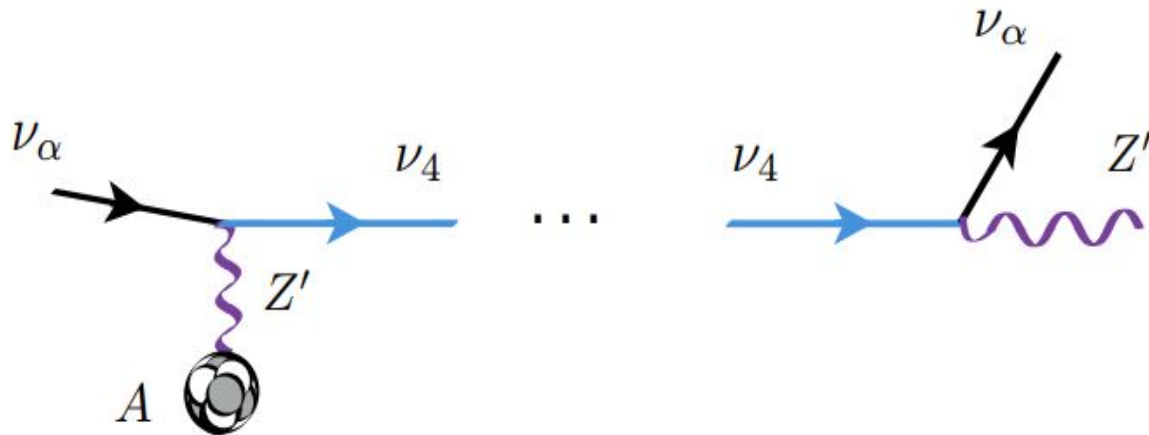
Large  $Mz'$ :

- Smaller cross section
- Larger  $Q^2$ : more isotropic  $\nu_4$  production, more diffractive contribution

# Producing the MiniBooNE signature

---

**Model from Bertuzzo et al.  
Phys. Rev. Lett. 121, 241801 (2018)**



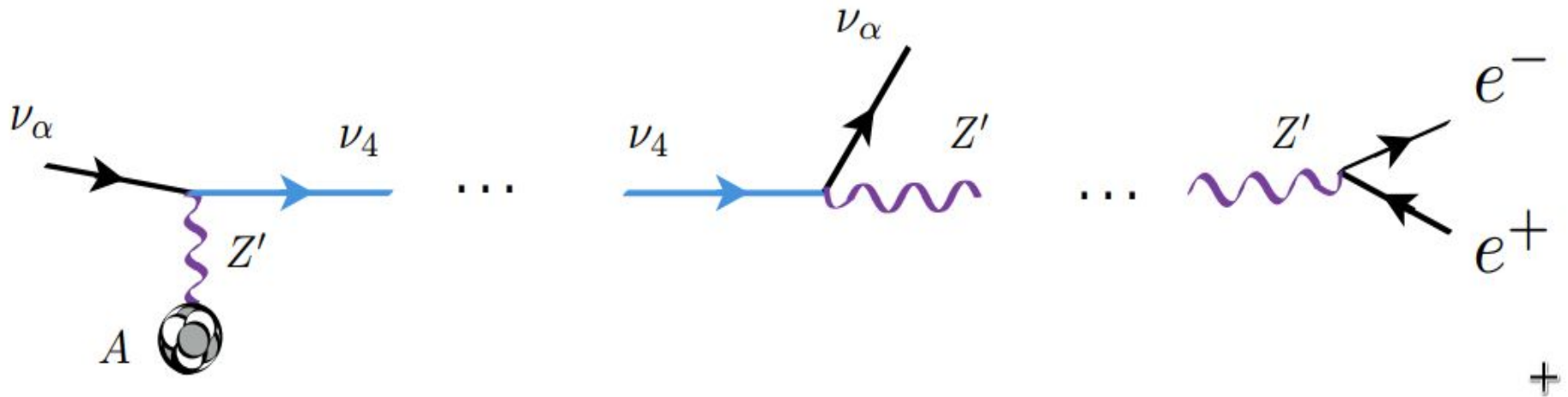
If  $M_4 > M_{Z'}$ , two body decay is the dominant decay channel.  
 $M_4 \gtrsim 100$  MeV so the decay products are not so boosted in order to reproduce angular distribution.

# Producing the MiniBooNE signature

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**Model from Bertuzzo et al.**  
**Phys. Rev. Lett. 121, 241801 (2018)**

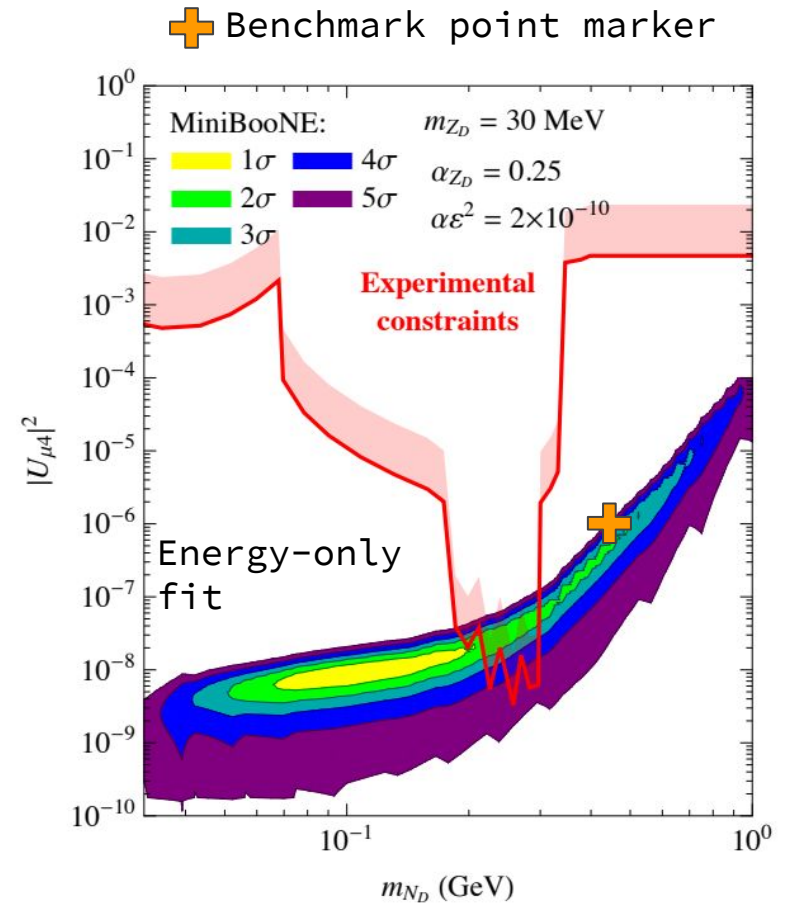
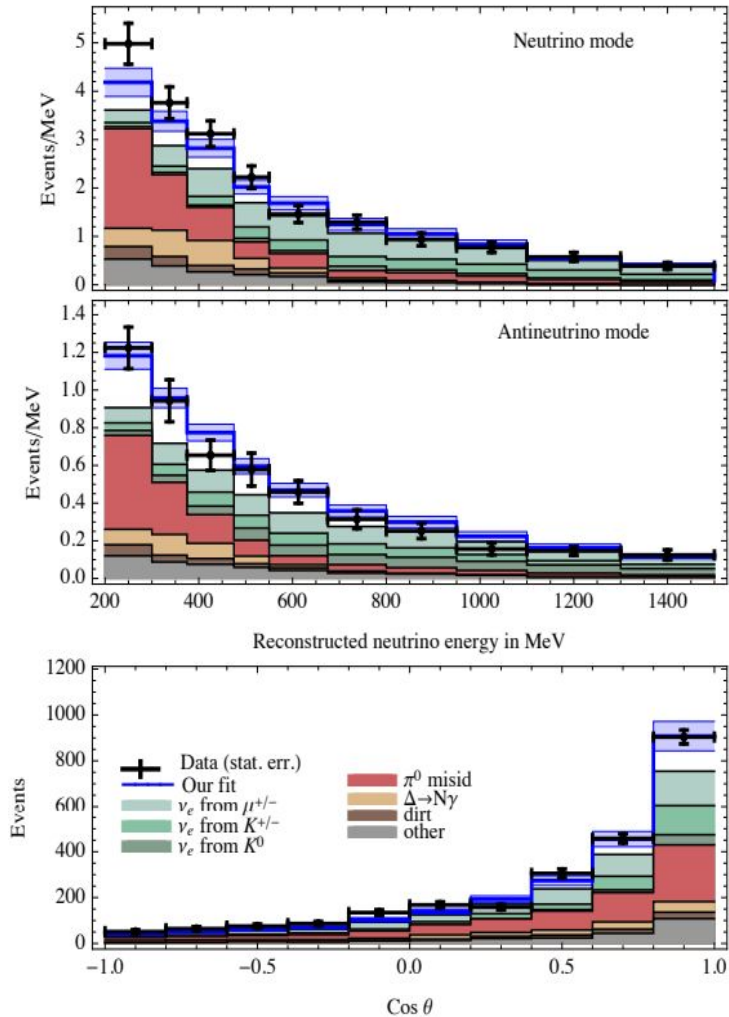
**Model from Ballett et al.**  
**arXiv:1808.02915; see also 1903.07589.**



$M_{Z'}$  should be light ( $< 60$  MeV) so that the electron pair is collimated and can “fake” an electron-neutrino ring

# Model by Bertuzzo et al. parameter space

Distributions at benchmark point



# Let's focus on the realization by Bertuzzo et al.

---

- Production cross section is dominated by coherent processes; i.e. little/no hadronic activity at vertex.
- Products angular distribution is broad at Booster beam energies, but less so at higher energy beams.
- Electron pair produced by  $Z'$  decay is very collimated.

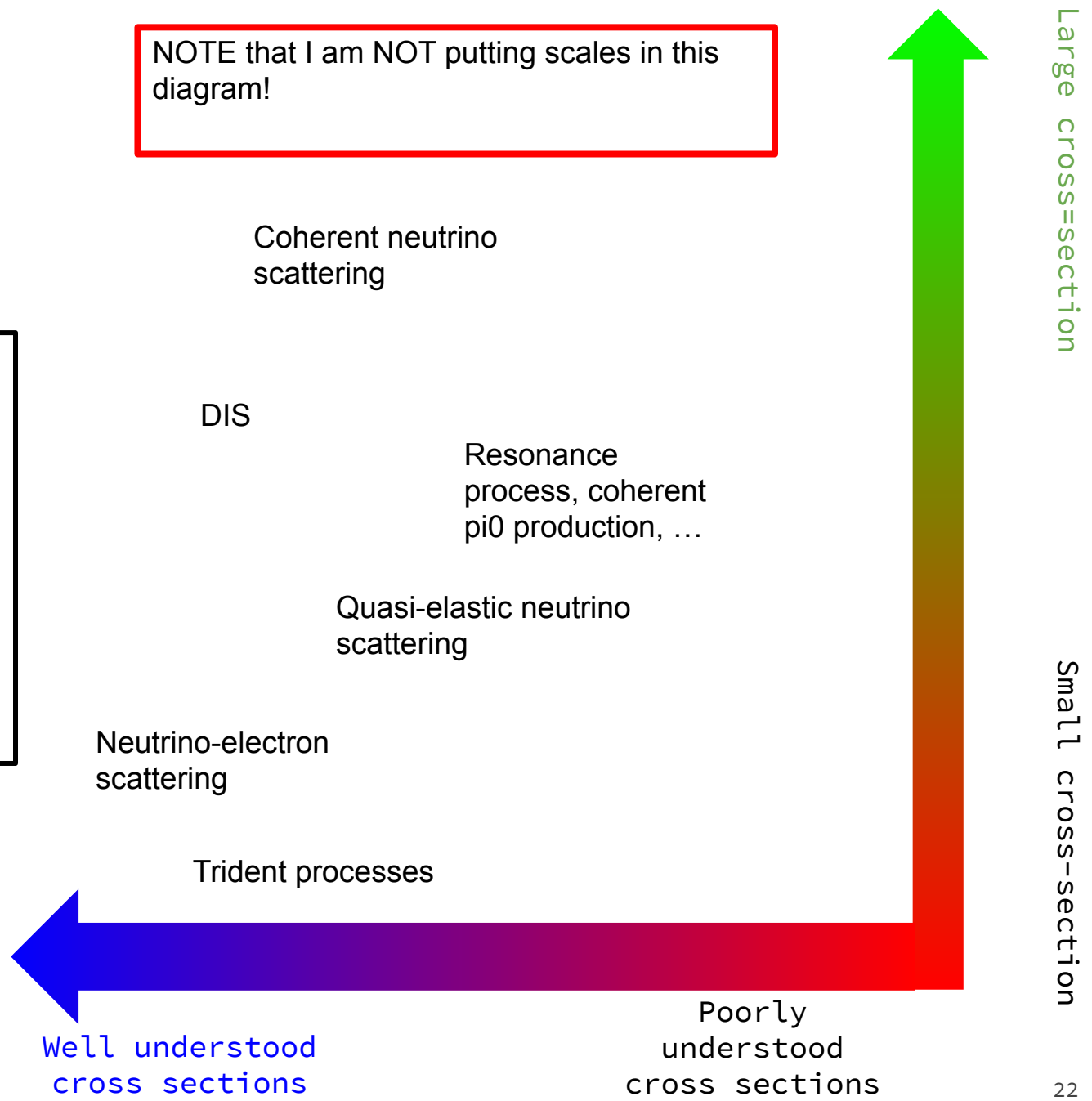
**How can we confirm or rule out a model like this?**

**We need an strategy as in the eV-sterile one**

# Strategy

NOTE that I am NOT putting scales in this diagram!

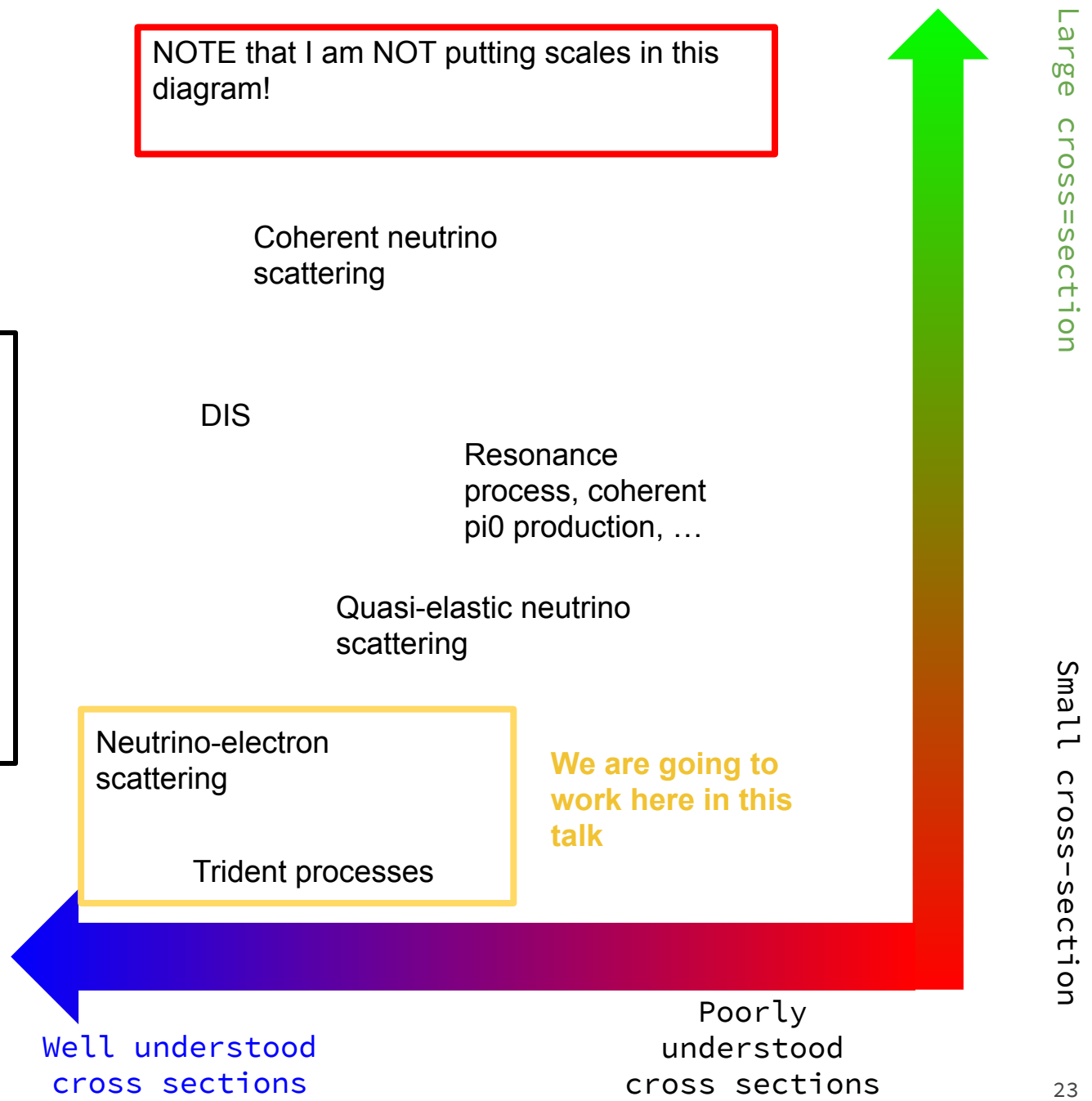
Looking for novel neutrino interactions requires understanding of our SM neutrino interactions. This is tough!



# Strategy

NOTE that I am NOT putting scales in this diagram!

Looking for novel neutrino interactions requires understanding of our SM neutrino interactions. This is tough!



Well understood cross sections

Poorly understood cross sections

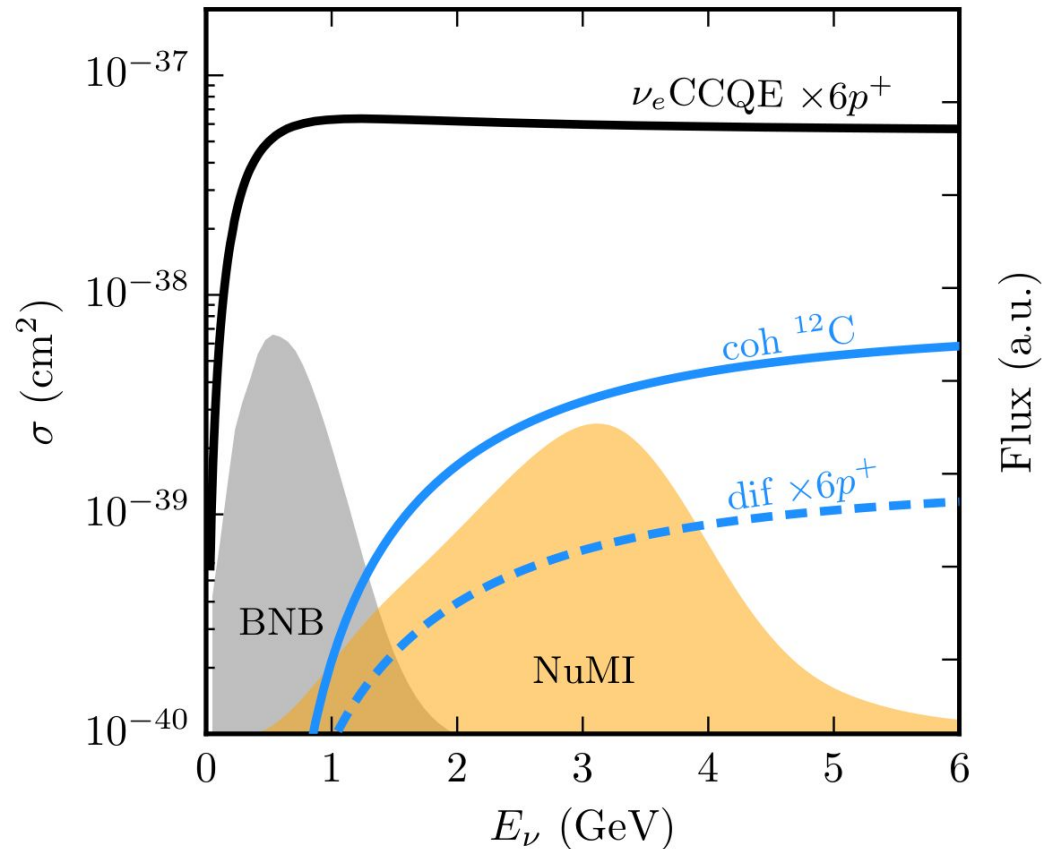
Large cross-section

Small cross-section



# How big of a cross section are we talking about?

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Here we use benchmark (BP) point parameters reported by Bertuzzo et al. 1807.09877.



# Neutrino-electron scattering measurements

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We have measured neutrino-electron scattering @:

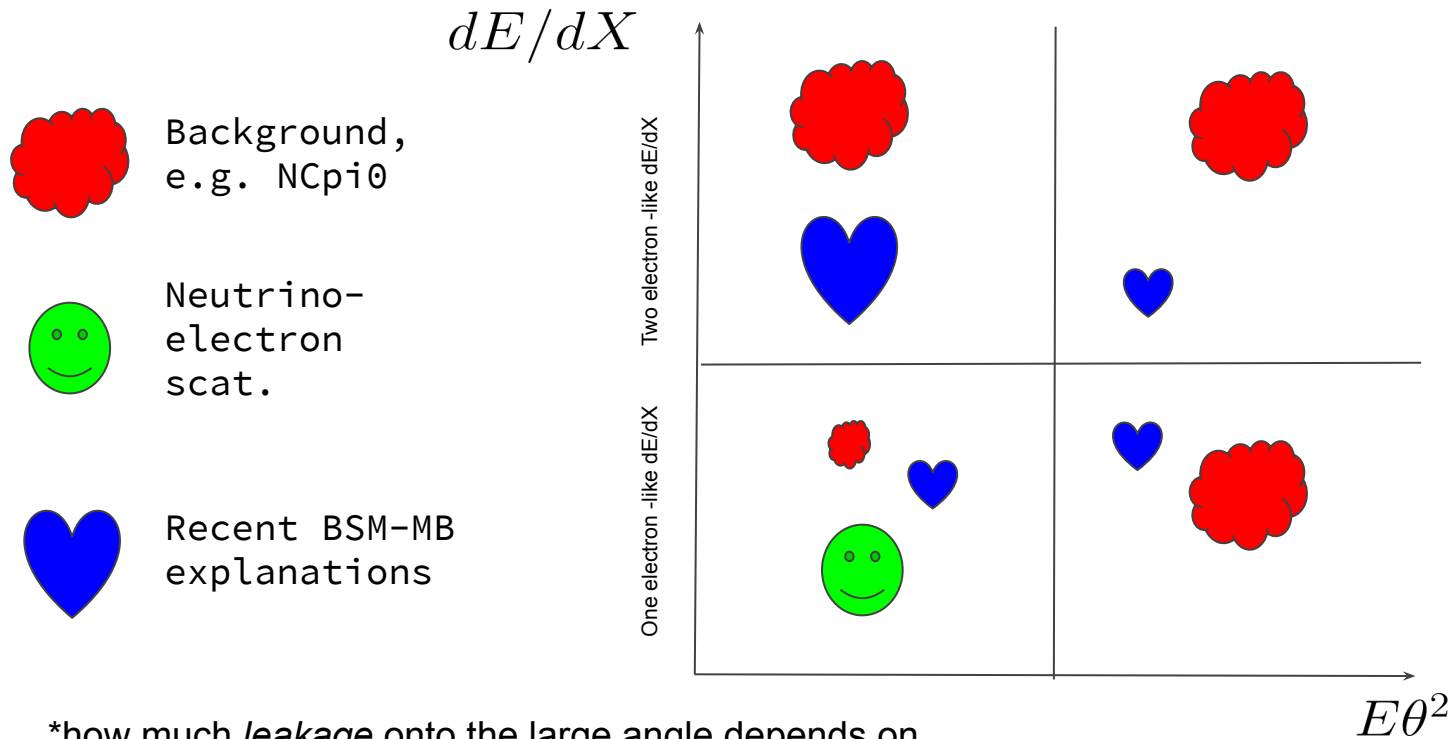
- **LSND**
  - **TEXONO**
  - **Borexino**
  - **SuperK**
  - **MINERvA Low-Energy**
  - **CHARM-II**
- Too low energies for BSM case of interest
- We will focus on these experiments.

Will measure it very soon @ **MINERvA Medium-Energy, NOvA,**  
and later @ **DUNE**.

# Analysis strategy

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## Electron-neutrino-like scattering search



\*how much *leakage* onto the large angle depends on model parameter and neutrino energy.

# Working around limited information!

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By design at final cut level CHARM-II and Minerva measurements have small backgrounds: also means small amount of BSM-signal leaking in. We cannot use the final event samples to constrain the new models :(

Would be great if we had access to the reconstructed electron energy and angular distributions at different cut levels.



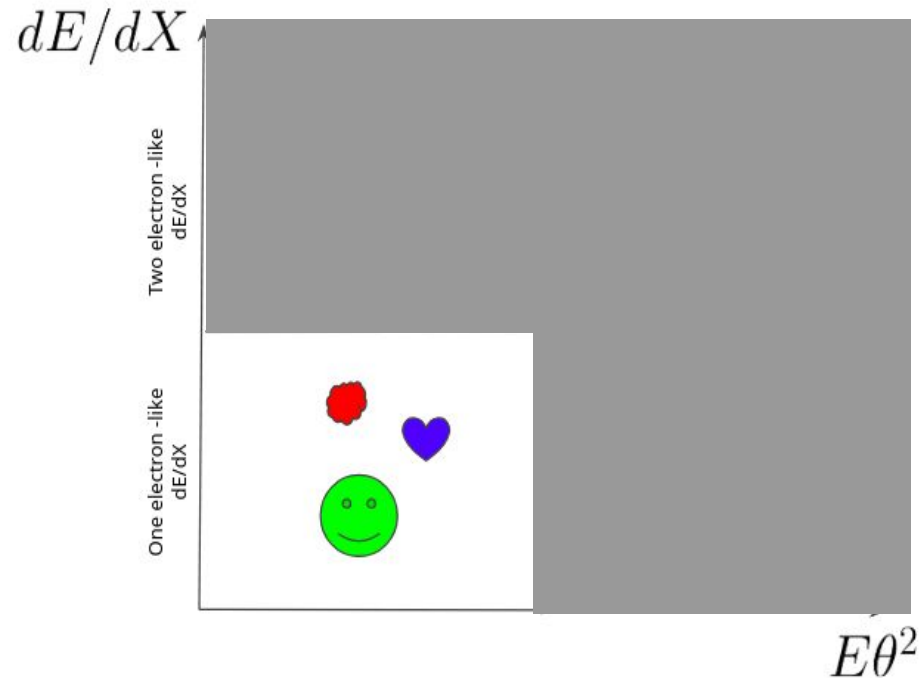
Background,  
e.g. NC,  $\pi^0$



Neutrino-  
electron  
scat.



Recent BSM-MB  
explanations

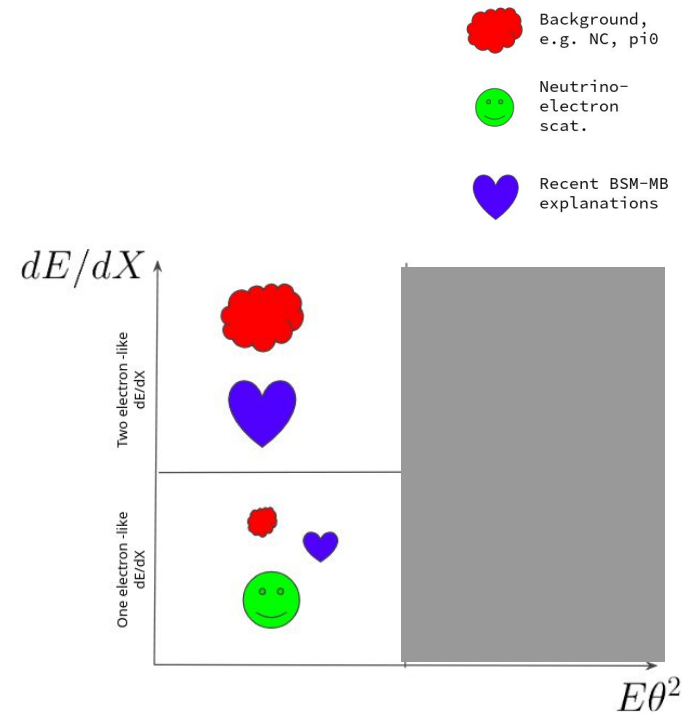
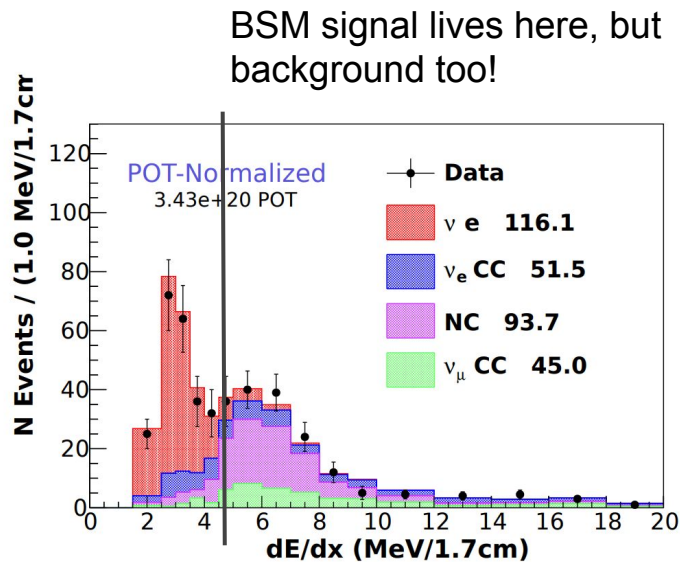


# MINERvA analysis strategy

For MINERvA we are going to use the  $dE/dX$  distribution of candidate electron-neutrino scattering events.

All MINERvA cuts applied, except for the final  $dE/dX$  cut!

Note that backgrounds have been tuned here!



# MINERvA analysis strategy

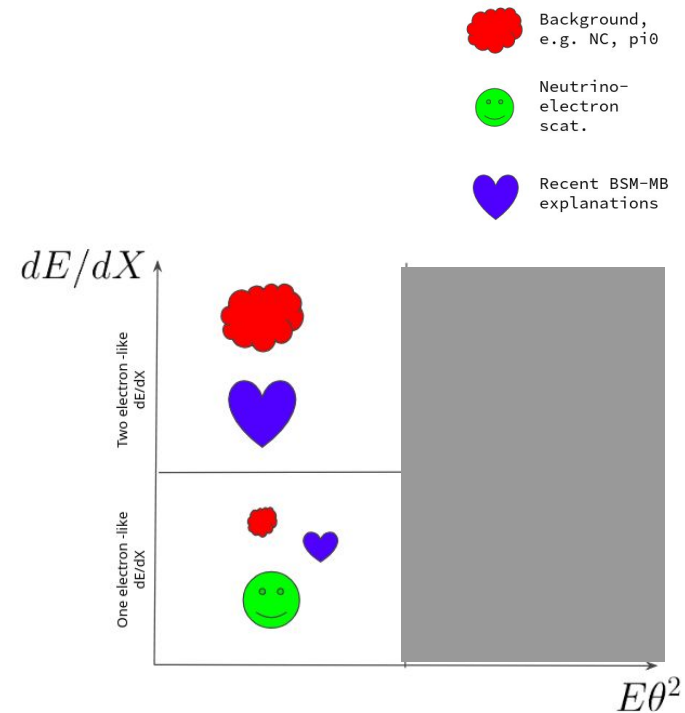
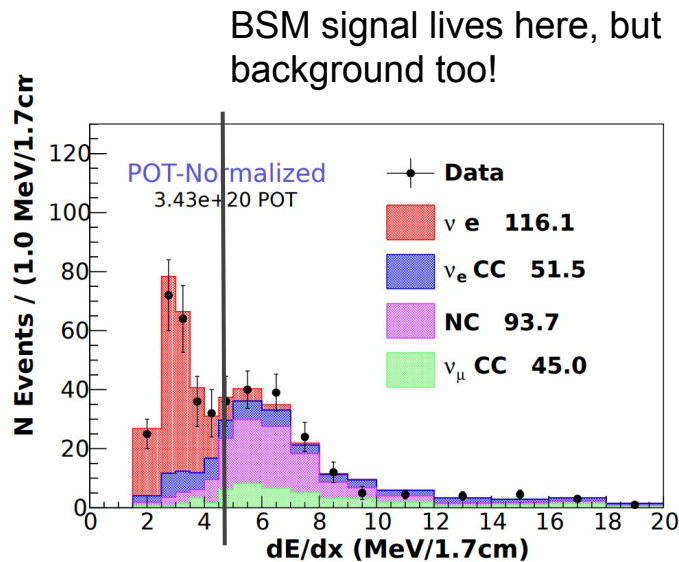
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Parameter	Tuned value
$\nu_e$	$0.76 \pm 0.03$
$\nu_\mu$ NC	$0.64 \pm 0.03$
$\nu_\mu$ CC	$1.00 \pm 0.02$

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All MINERvA cuts applied, except for the final  $dE/dX$  cut!

Note that backgrounds have been tuned here!

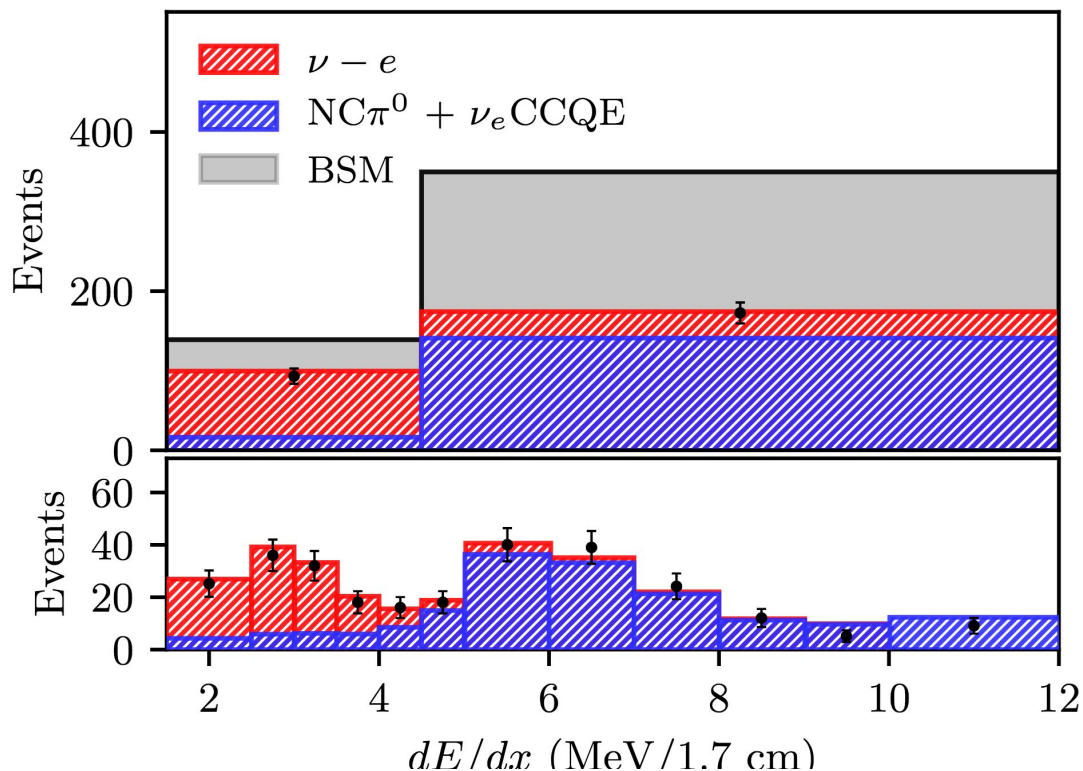


# MINERvA: Our Analysis setup

- We do a rate-only analysis on the single bin with

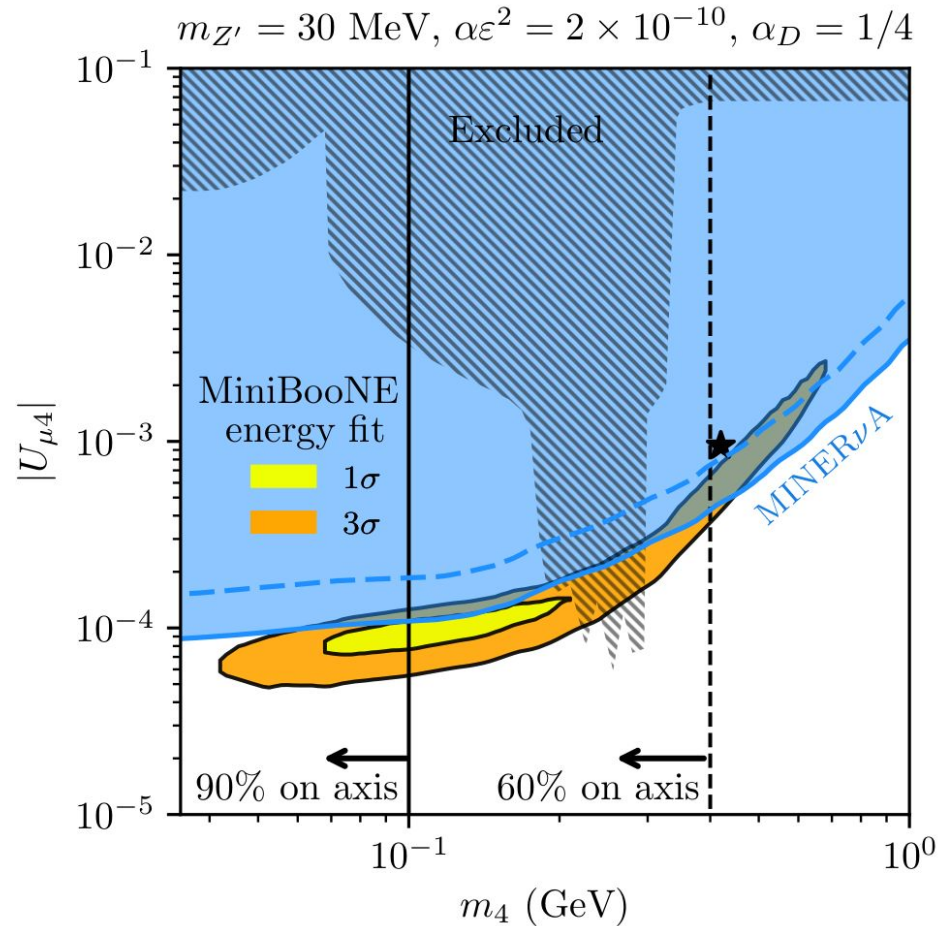
$dE/dx > 4.5 \text{ MeV}/(1.7 \text{ cm})$

- We use  $3.43 \times 10^{20}$  POTs, Assume fiducial mass of 6.10 tons.

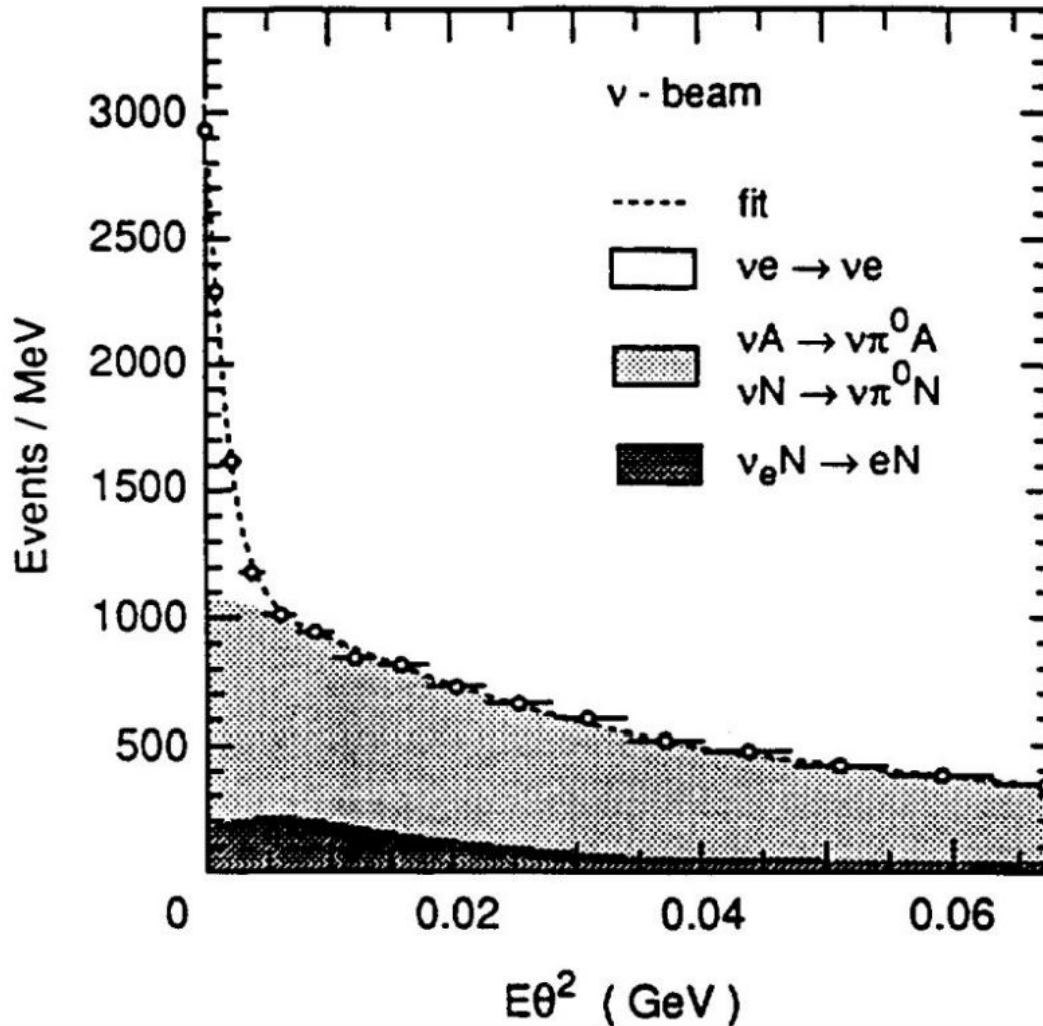


# MINERvA result

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We checked that changing the background uncertainty from 30% to 100% changes the result by no more than a factor of two. The constraint power is coming from the BSM signal overshooting the data.



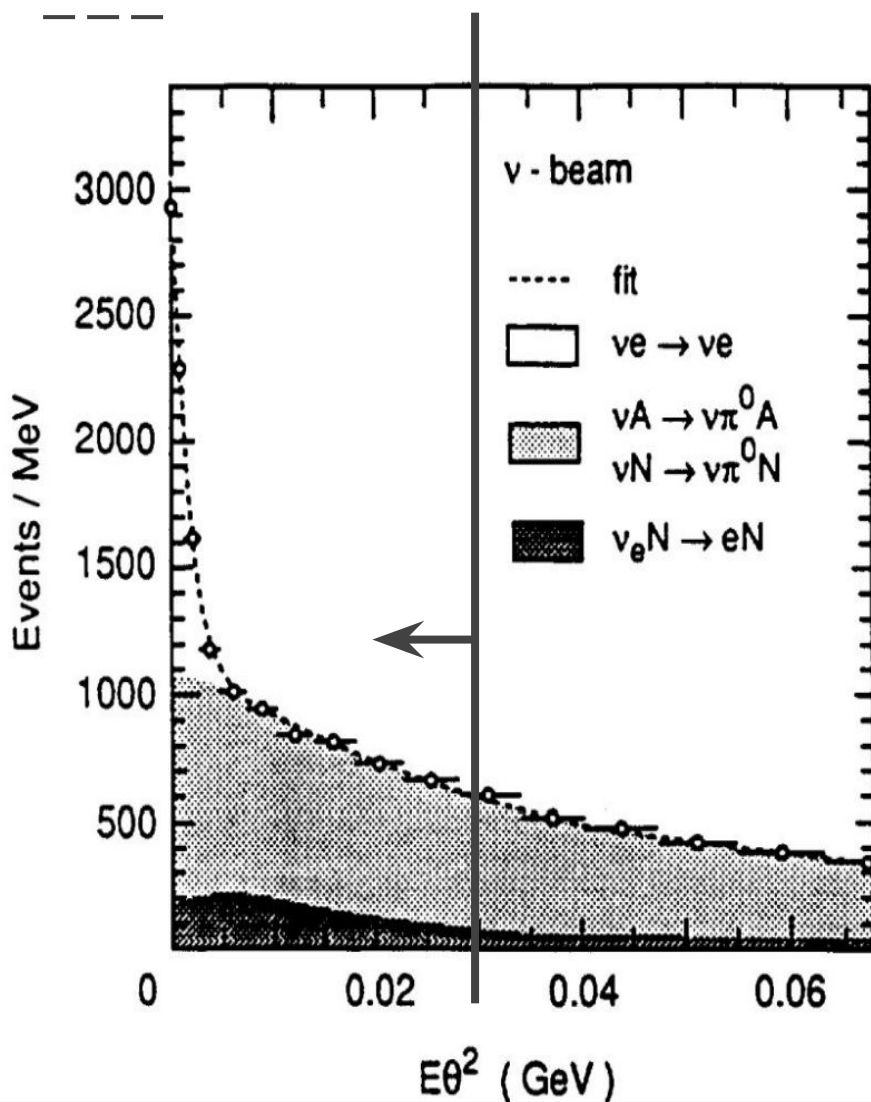
# CHARM-II: complementary measurement



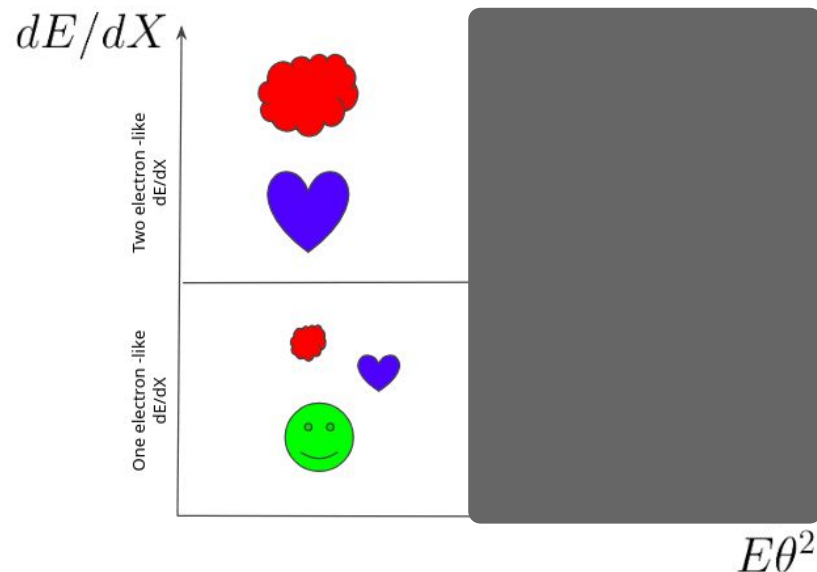
For CHARM-II we are going to use the  $E\theta^2$  distribution before the final  $dE/dX$  cut is applied.



# CHARM-II: complementary measurement



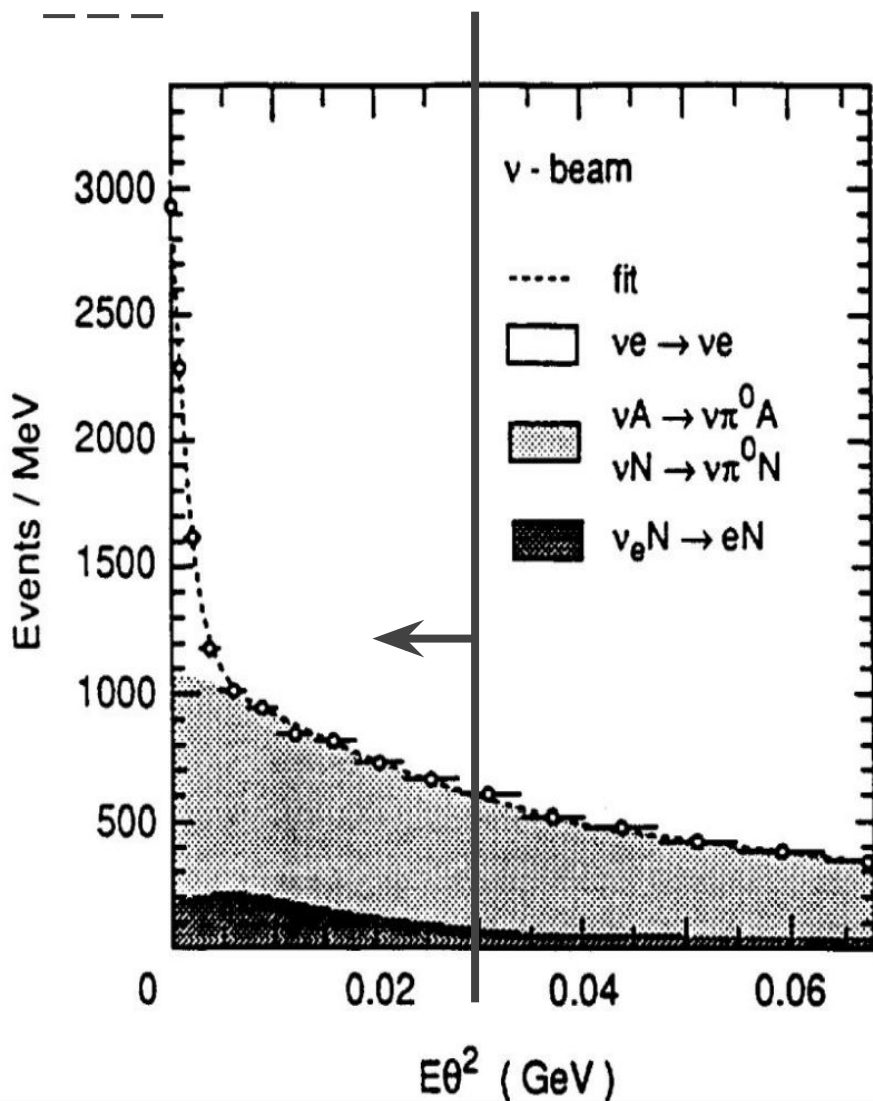
For CHARM-II we use the distribution before the angular cut and  $dE/dX$  were applied



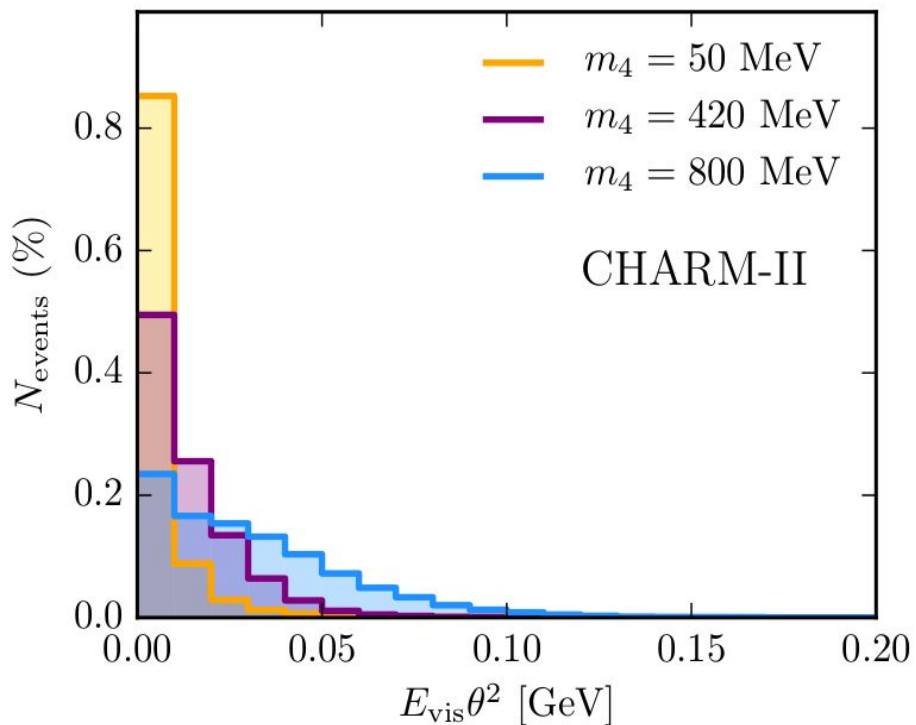
Use the region with  $E\theta^2 > 0.03$  to obtain the background uncertainty.

Allow for rate/slope to change; with this we estimate its rate to be constrain to be  $\sim 3\%$ .

# Finding “BSM-safe” sideband to measure background

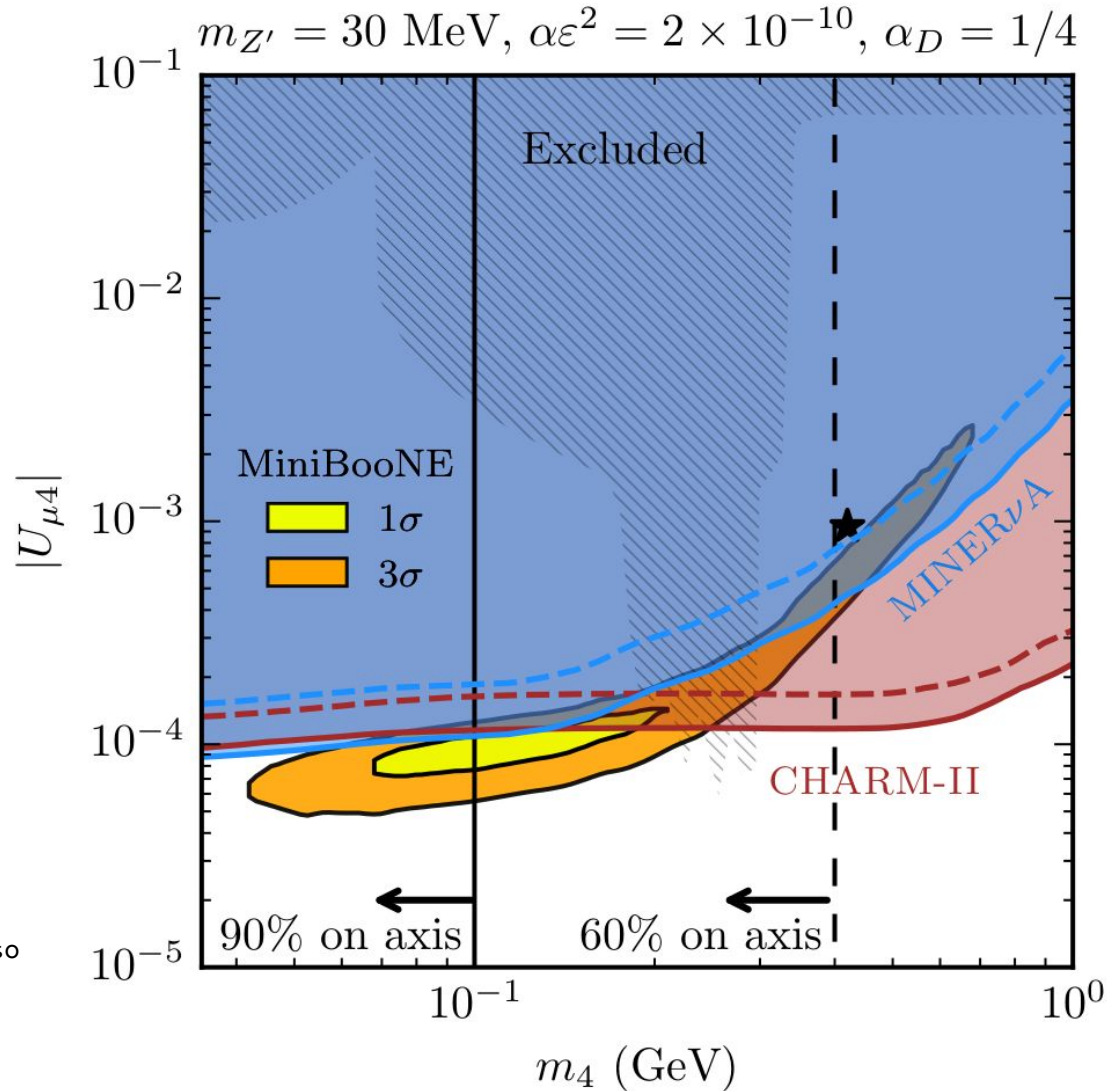


Angular distribution of BSM-signal



# Putting it all together: the money plot

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Here for CHARM-II we also consider 3 times larger background uncertainty (dashed)

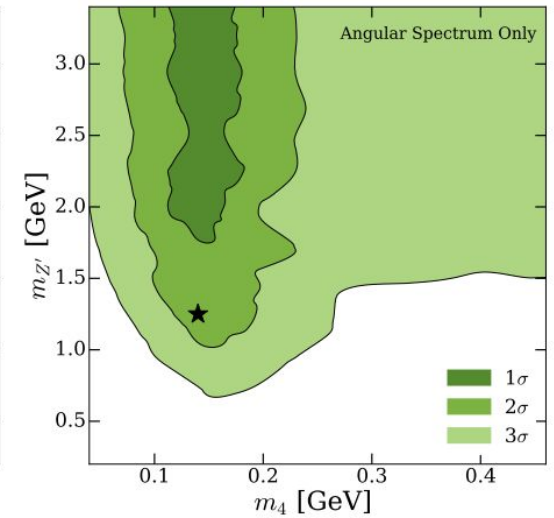
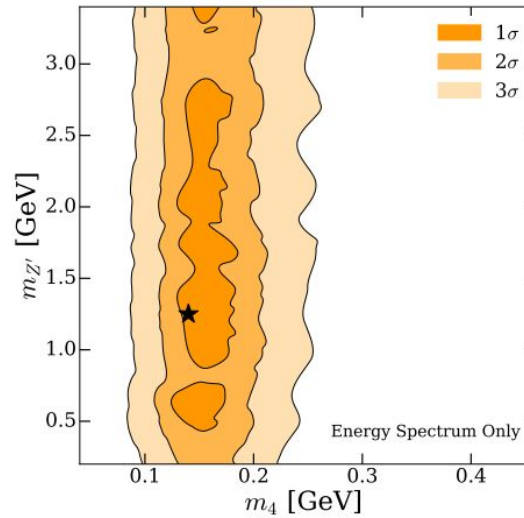
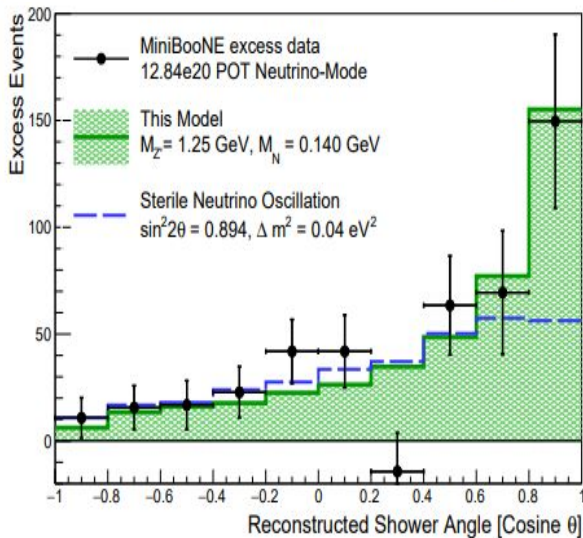
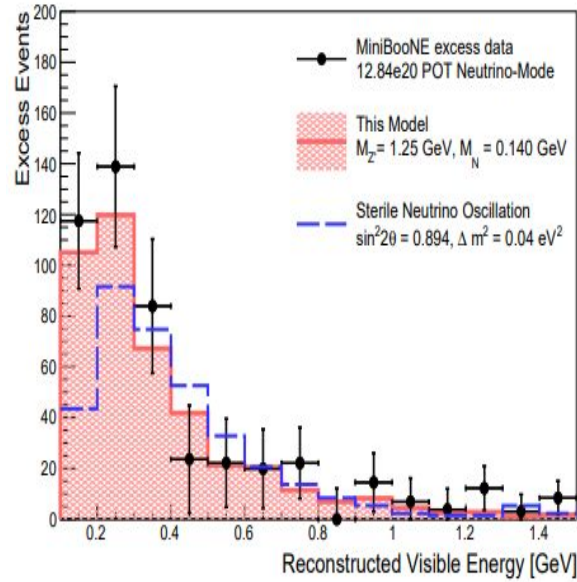
# Take home message: lessons learned

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- We are really excited to see upcoming neutrino-electron scattering analyses by Minerva-ME and NOvA!
- We have used two different experiments to constrain recent MiniBooNE explanations. Tensions are large with the proposed models.
- If the MiniBooNE anomaly is due to some new neutrino-dominant interaction: how do we confirm it? We need a new strategy that allow us to overcome neutrino experimental uncertainties.
- Neutrino electron scattering is a powerful tool to constraint new physics interactions. Our constraints can also be adapted to other MB explanations, such as the dipole portal.

Bonus  
slides!

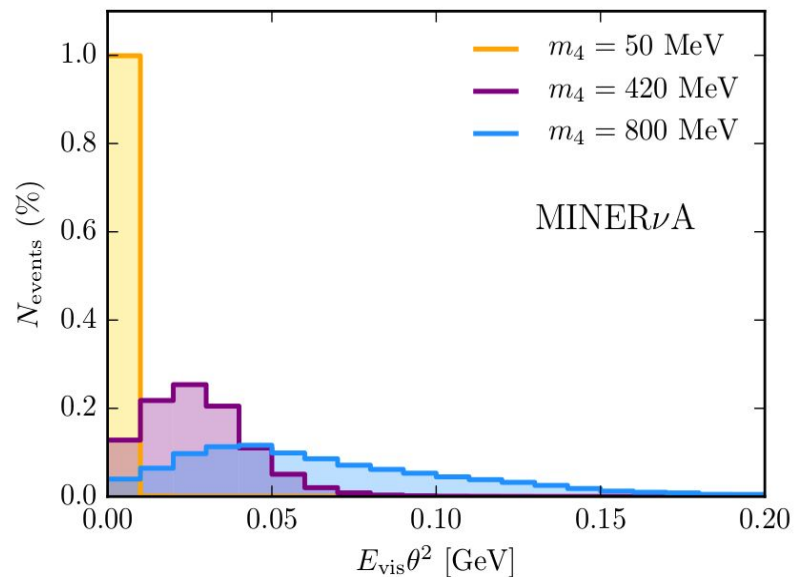
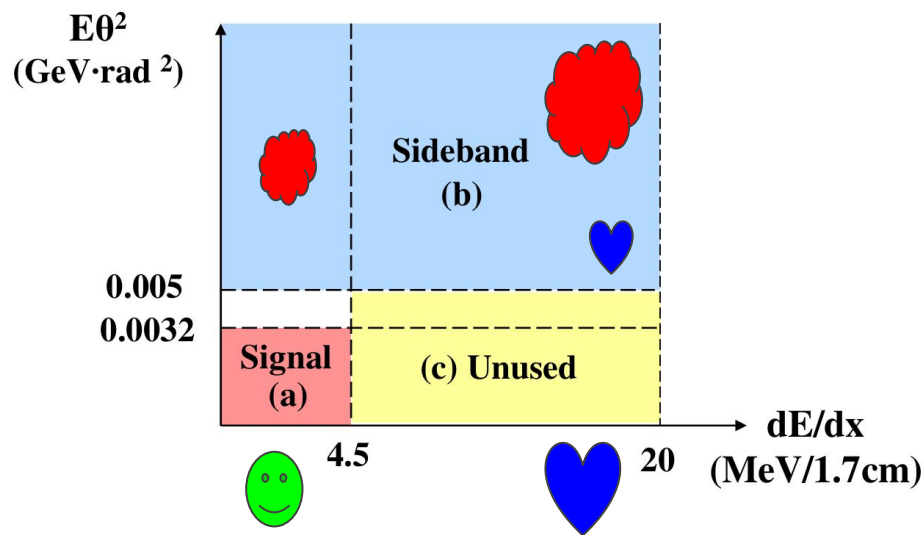
# Model by Ballett et al. parameter space



# Sidebands used for tuning background on MINERvA

Tuning parameters diagram from J. Park thesis:

<http://lss.fnal.gov/archive/thesis/2000/fermilab-thesis-2013-36.pdf>

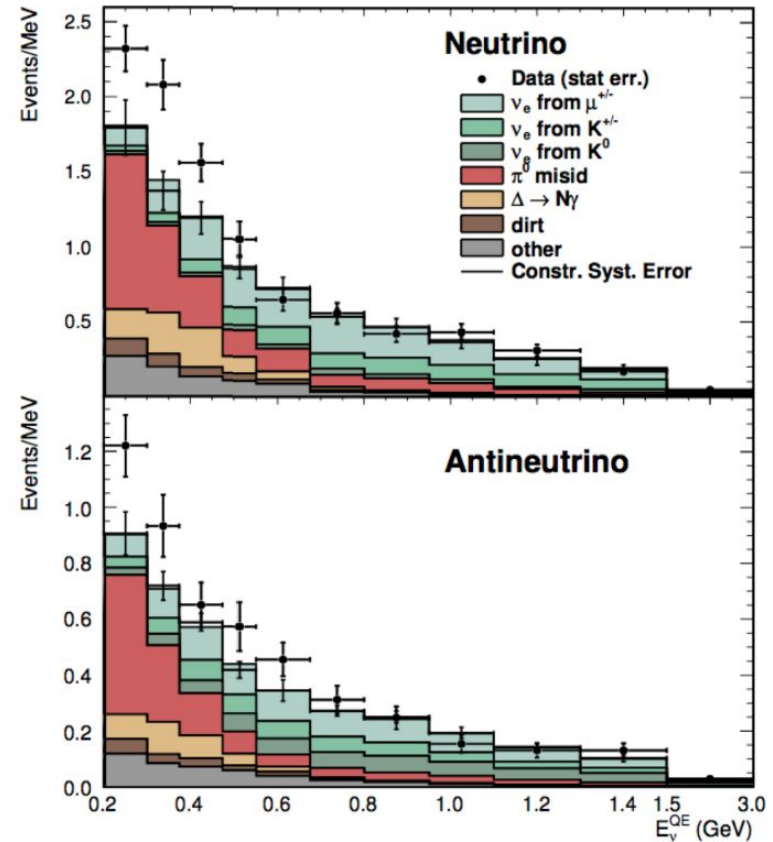


For large heavy neutrino masses the BSM contribution leaks the sideband used to constrain the background on the neutrino electron scattering region.



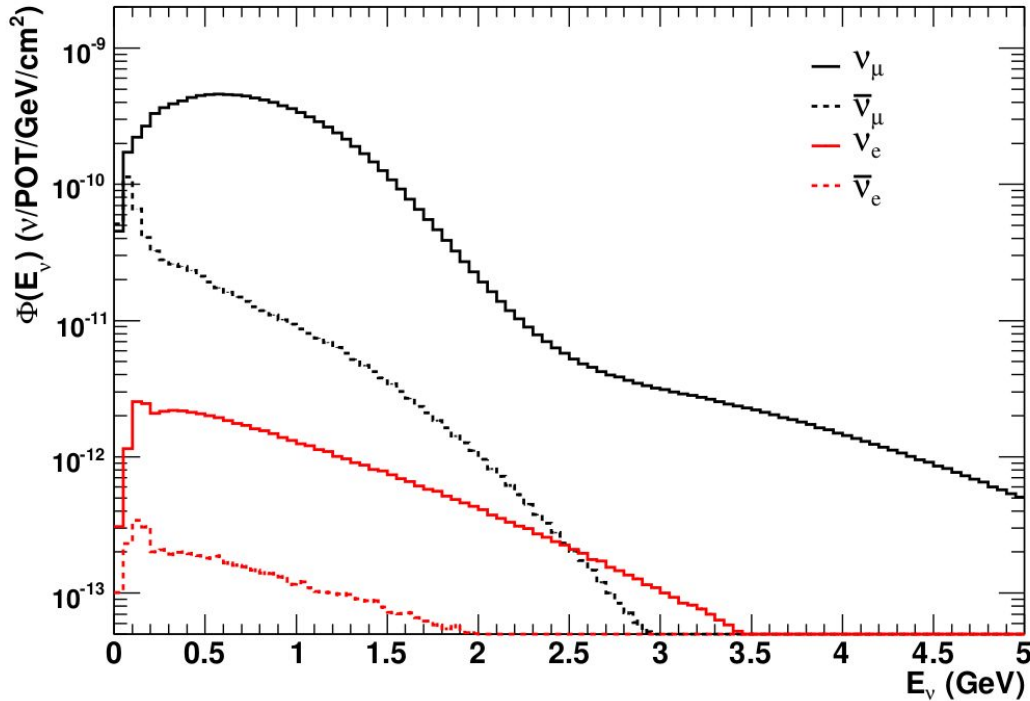
# MiniBooNE previous results

- Neutrino mode excess above the null primarily under 475 MeV. This is not expected given the LSND signal.
- Antineutrino mode saw excess above and below 475 MeV.
- Antineutrino mode was consistent with LSND, neutrino mode had tension.





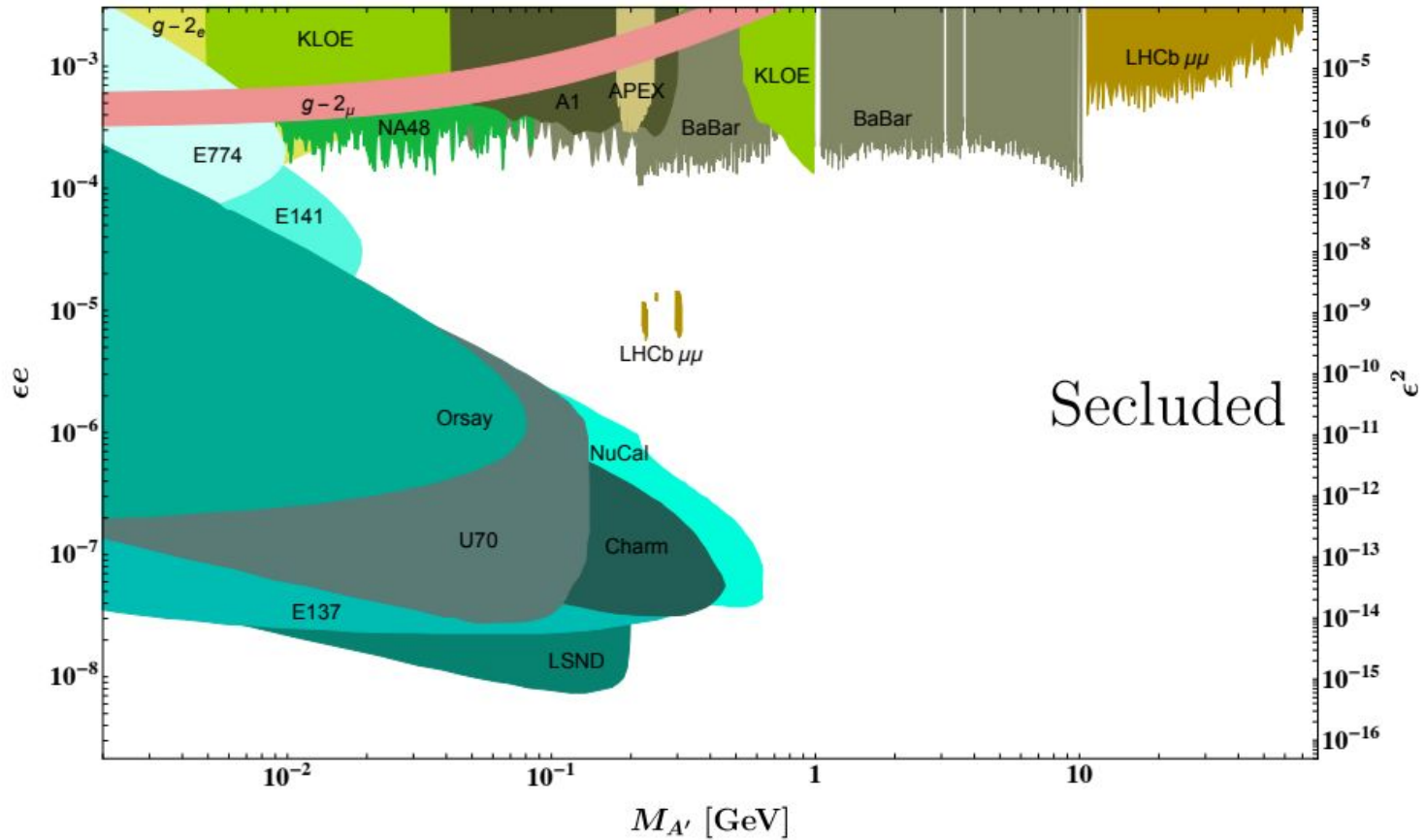
# Booster beam



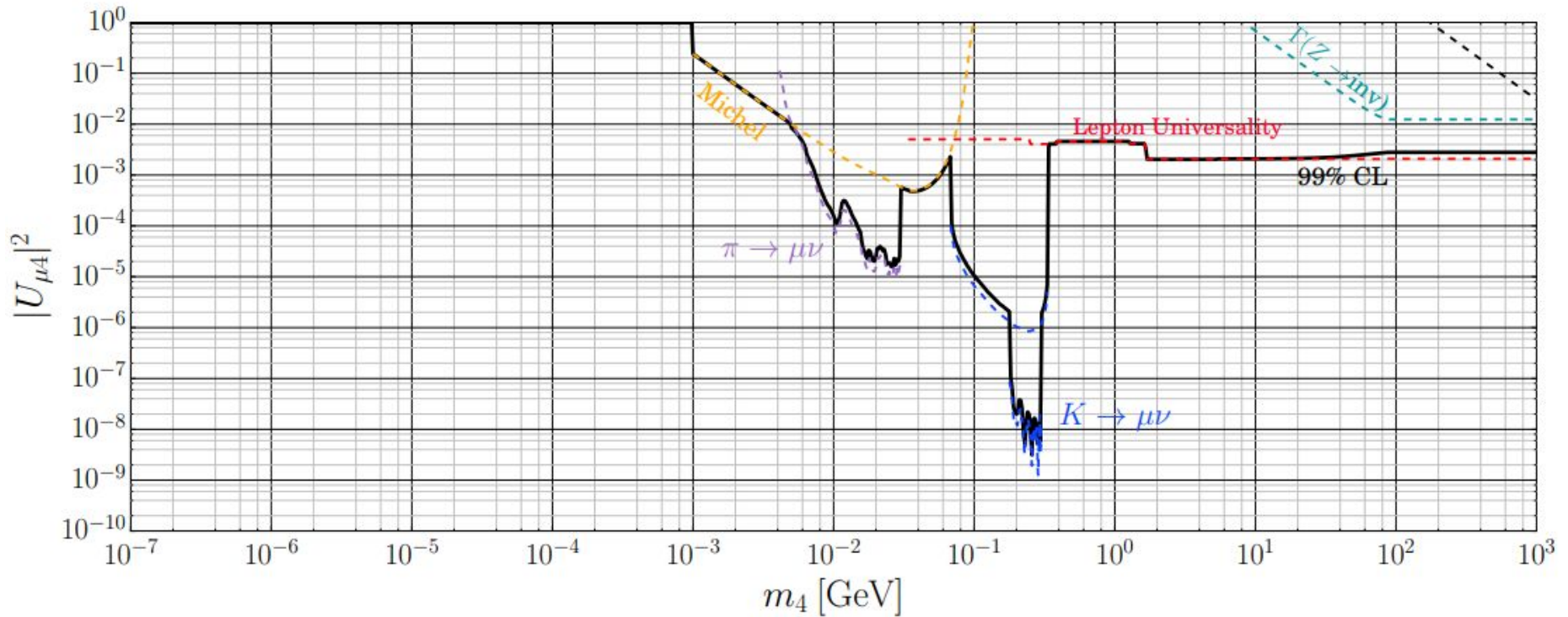
- Maxima  $< 1$  GeV neutrino energy
- Production of heavy states via neutrino interaction: hard.
- Heavier BSM physics look like “effective”-interactions; then angular distribution of excess wont work.

Recent proposals:  
Light new physics  $\sim < \text{GeV}$ .

# Dark Photon Searches



# Heavy Neutrino Limits



See arXiv:1511.00683

# MINERvA: Our Analysis setup

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We use the following  $\chi^2$  definition:

$$\chi_{\alpha\beta}^2 = \frac{(N_{\text{data}} - (1 + \alpha + \beta)\mu_{\text{MC}}^{\text{BKG}} - (1 + \alpha)\mu_{\text{MC}}^{nu-e} - (1 + \alpha)\mu_{\text{BSM}})^2}{N_{\text{data}}} + \left(\frac{\alpha}{\sigma_{\alpha}}\right)^2 + \left(\frac{\beta}{\sigma_{\beta}}\right)^2$$

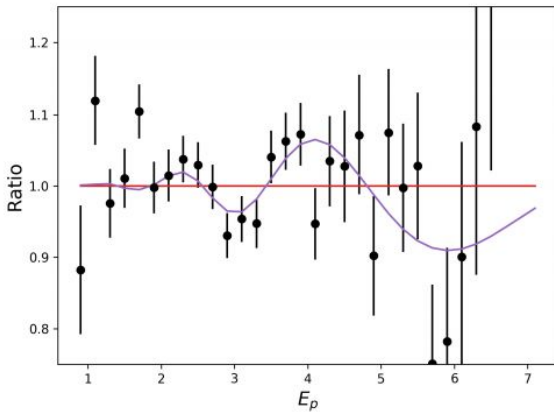
- We set  $\sigma_{\alpha} = 10\%$  account for beam uncertainties.
- We set  $\sigma_{\beta} = 30\%$  motivated by the amount of tuning; conservative with respect to tune normalization uncertainty.
- We include only coherent contribution to the BSM signal to avoid hadronic activity cuts.

# Our CHARM-II analysis setup details

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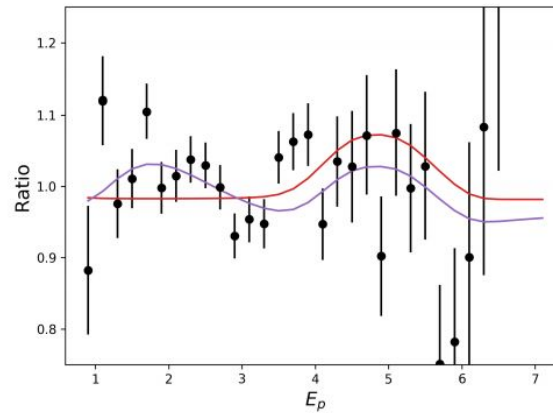
- Rate-only analysis on a single bin with  $E \cos^2 \theta < 0.03$  GeV.
- Same  $\chi^2$  definition as in MINERvA, but updated uncertainties.
- Background norm. from sideband  $\sim 3\%$ ; flux uncertainty  $\sim 4\%$ .
- We assume a fiducial mass of 547tons,  $\langle A \rangle \sim 20.7$ , and  $2.5e19$  POT.

# Reactor bump and eV-sterile neutrinos



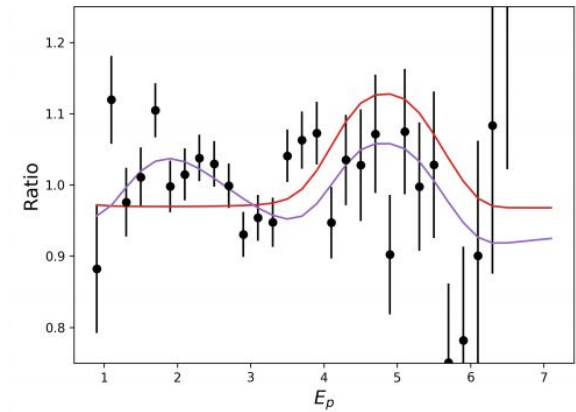
(a) No 5 MeV excess flux model.

$\chi^2: 62 \rightarrow 50$



(b) An equal 5 MeV excess for all fuel components.

$\chi^2: 69 \rightarrow 58$



(c) A 5 MeV excess for  $^{235}\text{U}$  only.

$\chi^2: 84 \rightarrow 61$

Experiment	Average $L$	Observation	Core Type	Detector Size	n-capture	Ref.
PROSPECT	8 m	No Excess	HEU	< 10 t	Li	[81]
ILL	9 m	No Excess	HEU	< 1t	He	[82]
STEREO	10 m	No Excess	HEU	< 10 t	Gd	[86]
Bugey	15, 40, and 90 m	No Excess at any position	HEU	< 10 t	Li	[56]
ROVNO	18 m	Excess	LEU	< 10 t	Gd	[84]
Savannah River	18 and 24 m	No Excess and Unclear	LEU	< 1 t	Gd	[83]
NEOS	24 m	Excess	LEU	< 1 t	Gd	[57]
Goesgen	38, 46 and 65 m	Excess	LEU	< 1 t	He	[85]
RENO	294 m	Excess	LEU	> 10 t	Gd	[40]
Daya Bay	512 and 561 m	Excess	LEU	> 10 t	Gd	[87]
Double Chooz	1050 m	Excess	LEU	> 10 t	Gd	[88]

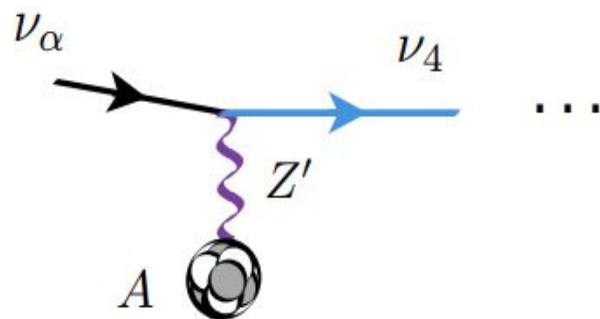
# Best-fits

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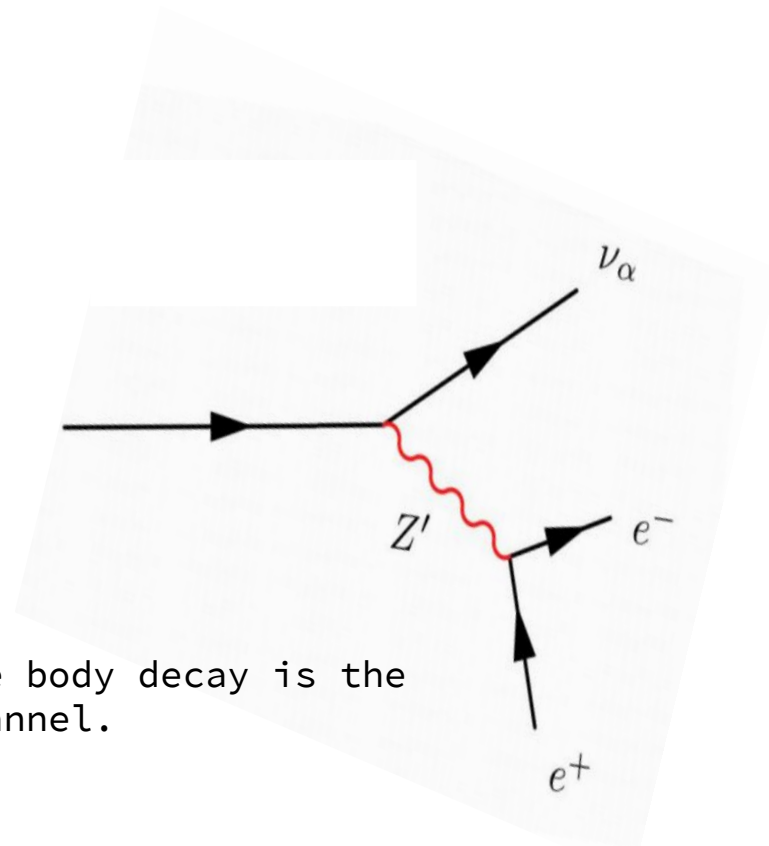
Fit type:	$3\nu$ (null)	3+1	3+2	3+1+decay
Best Fits				
$\chi^2$	493	458	449	450
$dof$	509	506	502	505
$p$ -value	0.687	0.938	0.957	0.962
(Null vs Sterile)				
$\Delta\chi^2$		35	44	43
$\Delta dof$		3	7	4
$p$ -value		1.2E-07	2.1E-07	1.0E-08
$N\sigma$		5.2	5.1	5.6
(3+1 vs Other)				
$\Delta\chi^2$			9	8
$\Delta dof$			4	1
$p$ -value			0.0611	0.0047
$N\sigma$			1.5	2.6
(PG Test)				
$\chi_{app}^2$		77	69	77
$N_{app}$		2	5	3
$\chi_{dis}^2$		356	350	356
$N_{dis}$		3	6	4
$\chi_{glob}^2$		458	449	450
$N_{glob}$		3	7	4
$\chi_{PG}^2$		25	30	17
$N_{PG}$		2	4	3
$p$ -value		3.7E-06	4.9E-06	7.1E-04
$N\sigma$		4.5	4.4	3.2

# Producing the MiniBooNE signature

Model from Ballett et al.  
arXiv:1808.02915; see also 1903.07589.



If  $M_4 < M_{Z'}$ , three body decay is the dominant decay channel.



$M_4 \sim > 300$  MeV: large too many high-energy events  
 $M_4 \sim < 50$  MeV most events in lowest energy bin.

$M_{Z'} \sim < 1$  GeV: spectrum is too forward.