

# Higgs portal with an ALP

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- SM extension with an ALP
- Higgs portal
  - I. Electroweak hierarchy
  - II. Electroweak baryogenesis
  - III. Freeze-in dark matter
- Summary

# Axion-like Particle

- Standard Model

- Successful up to energy scales around TeV

But need a more fundamental theory to explain

- Baryon asymmetry, dark matter, neutrino oscillations, ...
- Natural EWSB, strong CP problem, flavor structure, unification, cosmic inflation, quantum gravity, ...

- Extension of the SM with an ALP
  - Pseudo Nambu-Goldstone boson
  - Perturbative shift symmetry  $U(1)_\phi: \phi \rightarrow \phi + (\text{constant})$

$$L = L_{\text{SM}} + \frac{1}{2}(\partial\phi)^2 + \frac{\partial_\mu\phi}{f}J_{\text{SM}}^\mu + \frac{1}{32\pi^2}\frac{\phi}{f}F\tilde{F} - V_{\text{NP}}(\phi/f)$$

where  $f = U(1)_\phi$  breaking scale if linearly realized

- Light and weakly coupled in the limit of large  $f$

- Extension of the SM with an ALP

- May address the puzzles of the SM

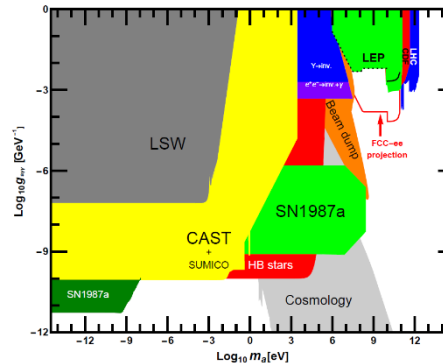
Talk by Jihn E. Kim

- Example: QCD axion anomalously coupled to gluons
    - Natural solution to the strong CP problem
    - Candidate for dark matter (misalignment, topological defects)

Talk by Giovanni Villadoro

- Potential to be probed by cosmological, astrophysical and laboratory observations

Talks by  
 Andreas Ringwald  
 Asimina Arvanitaki  
 David J. E. Marsh  
 Maria Baryakhtar  
 Pierre Sikivie



Jaeckel, Spannowski 2015

# Higgs portal

- Higgs portal

- ALP interacts with the SM via the Higgs field

$$V = \lambda |H|^4 + \mu_H^2(\phi/f) |H|^2 + V_0(\phi/f)$$



portal to a hidden sector

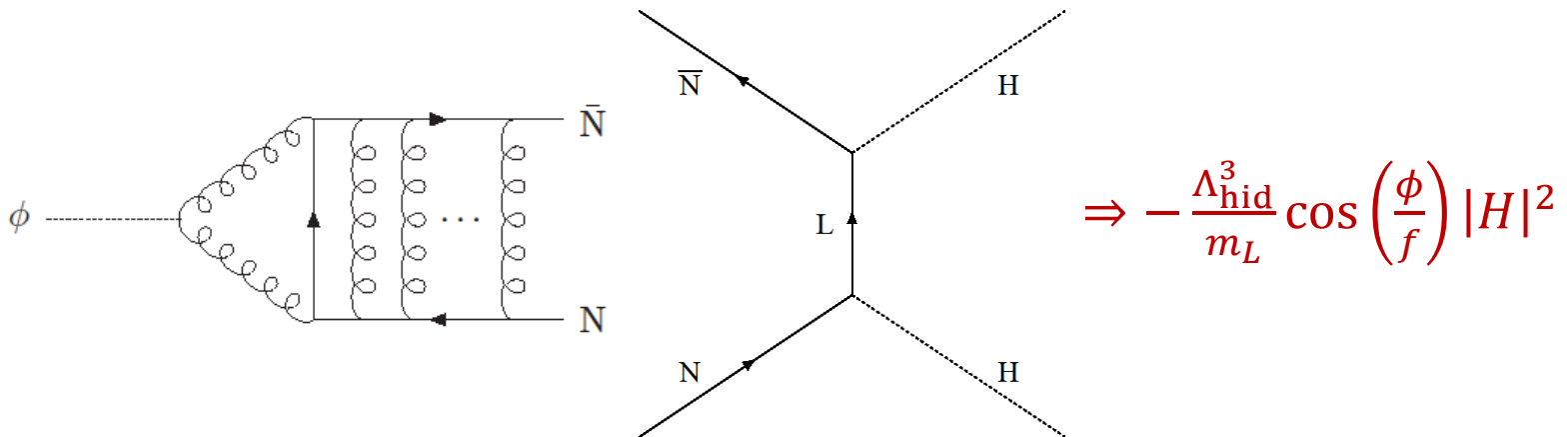
- Interactions: constrained by the periodicity

- Example:  $-M^2 \cos\left(\frac{\phi}{f}\right) |H|^2$



- Higgs portal

- UV completion: hidden QCD under which  $U(1)_\phi$  is anomalous  
 → Controllable ALP interactions



- Higgs portal

- Difference from the conventional Higgs portal

- Globally,  $\mu_H^2$  is bounded both from above and below

- Locally,  $\sum_n c_n M^2 \left(\frac{\phi}{f}\right)^n |H|^2$  with  $|c_n| \leq 1$

- Certain relations between couplings due to periodicity

- Electroweak symmetry breaking

- Mixing between the ALP and Higgs boson

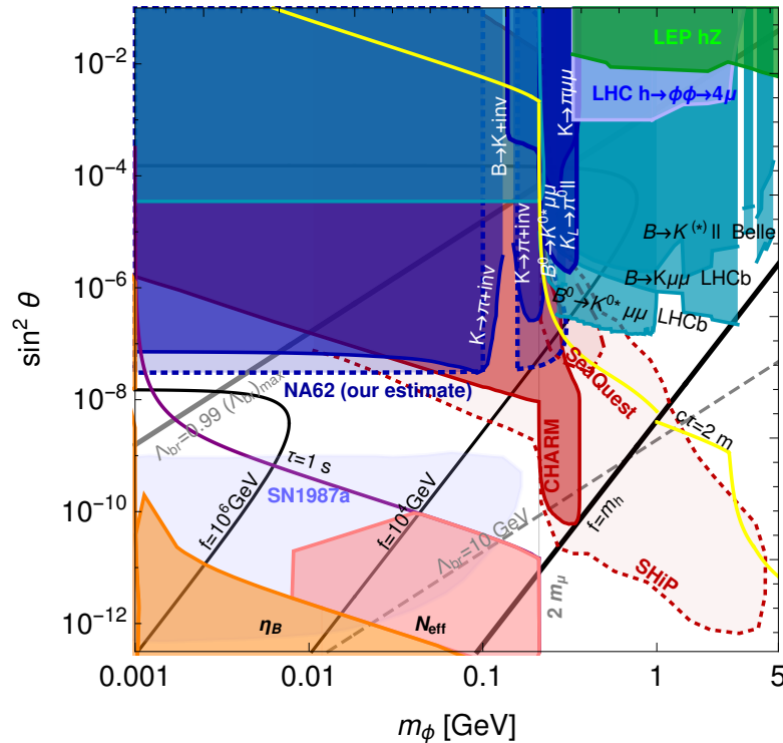
- Higgs decay  $h \rightarrow \phi\phi$  if the ALP is light

- Experimental constraints

- ALP-Higgs mixing

Flacke, Frugiuiele, Fuchs, Gupta, Perez 2016

Choi, Im 2016



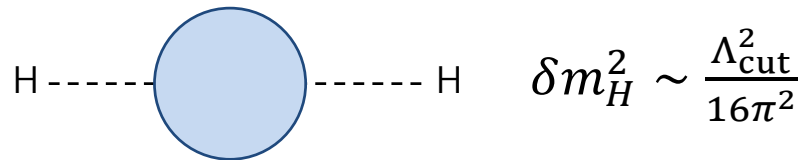
- Further constrained if anomalously coupled to gauge bosons

- Why an ALP with Higgs portal?
  - $\phi$  can play an important role in electroweak phase transition!
  - Graham, Kaplan, Rajendran 2015
  - New approach to the electroweak hierarchy problem
    - Cosmological relaxation of the Higgs boson mass
  - Other roles?
    - First order phase transition?
    - Dark matter?

# I. Electroweak hierarchy

- Electroweak hierarchy problem

- Higgs mass: sensitive to unknown UV physics

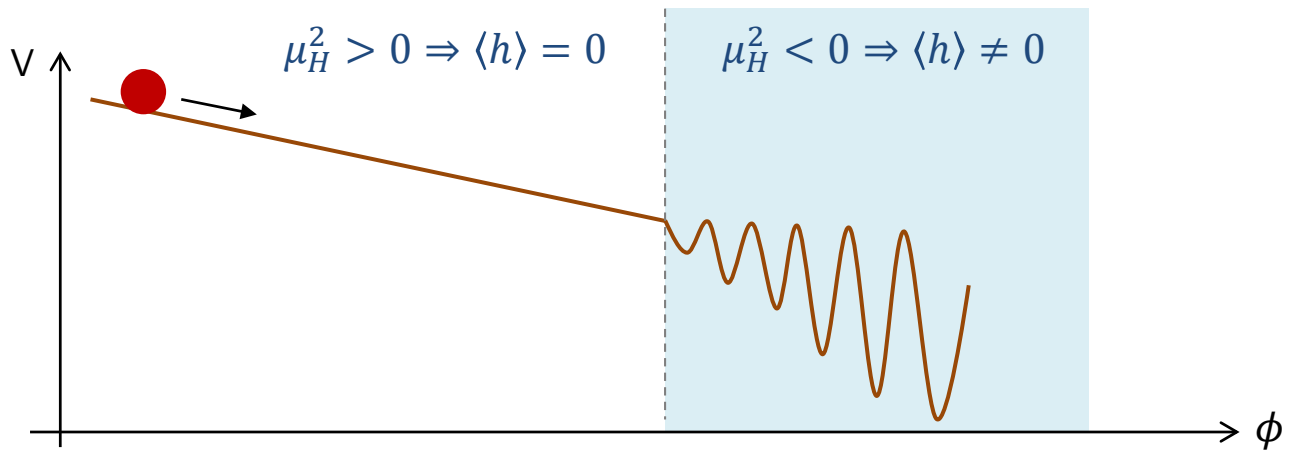


$H \text{---} \text{---} \text{---} \text{---} H \quad \delta m_H^2 \sim \frac{\Lambda_{\text{cut}}^2}{16\pi^2}$

- Unnatural without new physics around TeV
  - Supersymmetry, extra dimensions, strong dynamics, ...
- LHC experiments
  - No signals for new physics around TeV

■ Relaxation mechanism Graham, Kaplan, Rajendran 2015

- ALP with a Higgs portal:  $\mu_H^2(\phi)|H|^2$
- Cosmological ALP evolution to select the Higgs mass



- $\phi$  slow-rolls while scanning  $\mu_H^2$  from  $\Lambda_{\text{cut}}^2$  to negative, and stops by barriers formed by EWSB

- Relaxation mechanism

- Potential

$$V = V_{\text{sliding}}(\phi/f_{\text{eff}}) + \mu_H^2(\phi/f_{\text{eff}})h^2 + V_{\text{barrier}}(\phi/f, h) + \dots$$

- Sliding:  $V_{\text{sliding}} = \Lambda_{\text{cut}}^4 \left( -c_1 \frac{\phi}{f_{\text{eff}}} + c_2 \frac{\phi^2}{f_{\text{eff}}^2} + \dots \right)$

- Higgs mass-squared:  $\mu_H^2(\phi) = \Lambda_{\text{cut}}^2 \left( k_0 - k_1 \frac{\phi}{f_{\text{eff}}} + \dots \right)$

- Barriers:  $V_{\text{barrier}}(\phi, h) = -\mu_{\text{br}}^4(h) \cos\left(\frac{\phi}{f}\right)$

with  $f_{\text{eff}} \gg f$



- Conditions

- High enough barriers to stop the ALP

$$\partial_\phi V_0 \sim \partial_\phi V_{\text{barrier}} \text{ at time when } \langle h \rangle \sim v = 174 \text{ GeV}$$

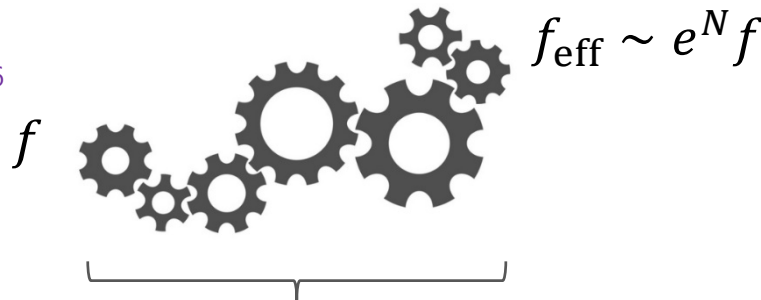
⇒ Large hierarchy between  $F$  and  $f$

$$\frac{f_{\text{eff}}}{f} \sim \left( \frac{\Lambda_{\text{cut}}}{\mu_{\text{br}}(h = v)} \right)^4 \gg 1$$

- Clockwork mechanism

Choi, Im 2016

Kaplan, Rattazzi, 2016



collective rotations of  $N$  axions

- Conditions

- Evolution dominated by classical rolling

$$H_{\text{inf}} < \left( \partial_{\phi} V_{\text{sliding}} \right)^{1/3} \Rightarrow \text{Hubble scale} \leq \text{GeV during inflation}$$

- Scanning of  $\mu_H^2$  from  $\Lambda_{\text{cut}}^2$  to negative

$$N_e > \frac{H_{\text{inf}}^2}{\partial_{\phi} V_{\text{sliding}}} f_{\text{eff}} \Rightarrow \text{Large number of } e\text{-folds}$$

- Need progress to construct a viable inflation model and clarify issues related with low reheating temperature

See e.g. Choi, Kim, Sekiguchi 2016,  
Evans, Gherghetta, Nagata, Peloso 2017  
Son, Ye, You 2018

- Higgs-dependent barrier potential
  - QCD anomaly

$$V_{\text{barrier}}(\phi, h) = -y_u \Lambda_{\text{QCD}}^3 h \cos\left(\frac{\phi}{f}\right)$$

- Too large strong CP phase due to  $V_{\text{sliding}}$
- Possible solutions
  - Slope of  $V_{\text{sliding}}$  decreases after inflation
  - Slope of  $V_{\text{barrier}}$  increases after inflation

Graham, Kaplan, Rajendran 2015

Nelson, Prescod-Weinstein 2017

- Higgs-dependent barrier potential

- Hidden QCD anomaly

- $\phi$  couples to gauge-invariant operator  $|H|^2$

$$V_{\text{barrier}}(\phi, h) = -\Lambda_{\text{hid}}^2 h^2 \cos\left(\frac{\phi}{f}\right)$$

- Coincidence problem

- Higgs-independent barriers from closing Higgs loops

- Possible solutions

Espinosa, Grojean, Panico, Pomarol, Pujols, Servant 2015

- Multiple ALPs for double scanning

- Peccei-Quinn relaxation KSJ, Shin 1709.10025
  - Scheme to avoid the coincidence and strong CP problems
  - Two ALPs anomalously coupled to QCD

$$V = -y_u \Lambda_{\text{QCD}}^3 h \cos\left(\frac{\phi}{f} + \frac{a}{f_a}\right) + V_{\text{sw}}(a, \phi) + \dots$$

- **Switching potential**
  - Large mass to  $a$  during inflation, but to  $\phi$  after inflation
  - ⇒ During inflation:  $\phi$  selects the Higgs mass
  - After inflation:  $a$  selects the strong CP phase

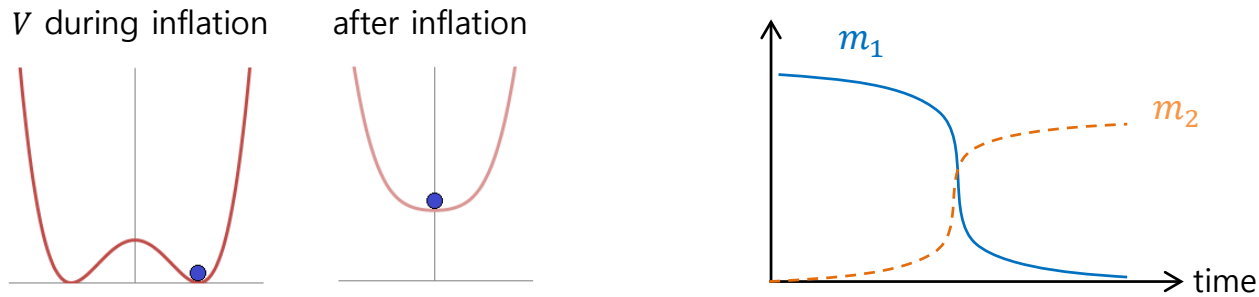
- Peccei-Quinn relaxation

- Switching potential from two hidden QCDs

$$V_{\text{switch}} = m_1 \Lambda_1^3 \cos\left(\frac{a}{f_a}\right) + m_2 \Lambda_2^3 \cos\left(\frac{\phi}{f}\right)$$

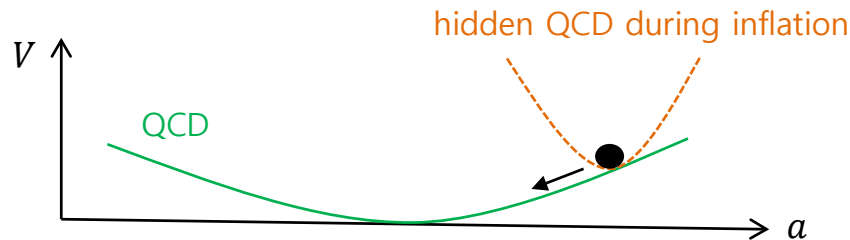
↑ light hidden quark mass  
↑ confinement scale of hidden QCD

- Hidden quark mass from the VEV of a scalar field with an inflaton-dependent potential



- Peccei-Quinn relaxation

- Cutoff scale of the Higgs mass  $\leq 10^7 \text{ GeV} \left( \frac{f}{10^6 \text{ GeV}} \right)^{-1/6}$
- Properties of  $\phi$ 
  - Heavy as stabilized by hidden QCD after inflation  
→ Reheating temperature above the weak scale
  - No mixing with the Higgs boson as  $\theta_{\text{QCD}} = \left\langle \frac{a}{f_a} + \frac{\phi}{f} \right\rangle = 0$
- Dark matter from  $a$



# II. Electroweak baryogenesis



- Matter-antimatter asymmetry

- EWPT: Last period affecting baryon asymmetry

Rapid EW sphaleron transition in symmetric phase

→ **B+L violation** (but B-L invariant)

- Baryogenesis

- Nonzero B-L above EW scale

e.g. Thermal leptogenesis, Affleck-Dine, ...

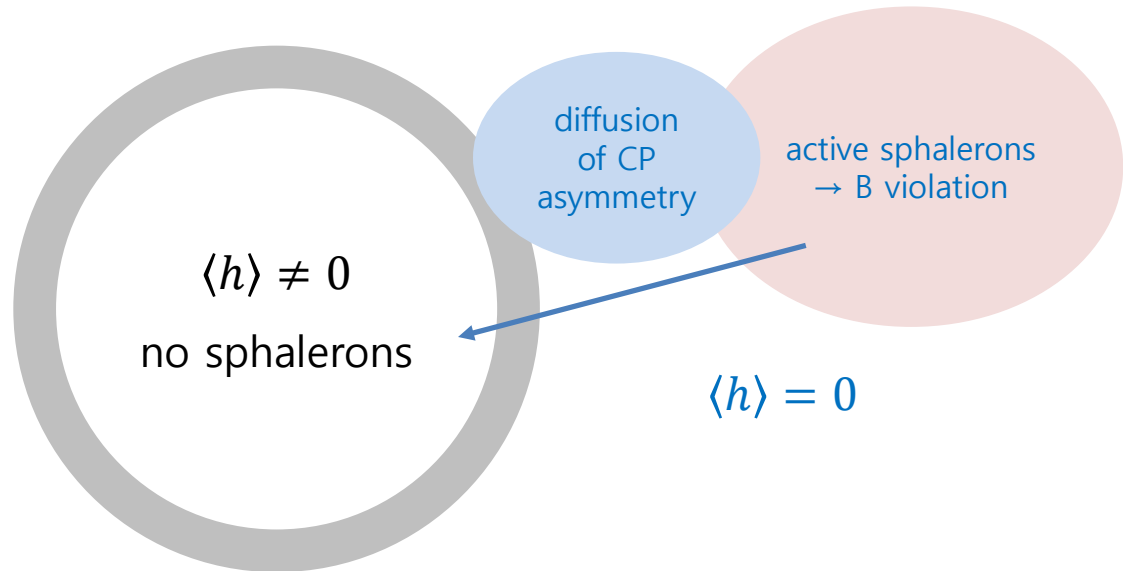
- B+L generation at EW scale and sphaleron decoupling

→ **EWBG**

Lots of works since 1985

- EWBG

- EW bubbles



- Requirements

- Strong first-order phase transition to avoid washout

SM: crossover if  $m_h > 80$  GeV

- Sufficient CP violation

SM: CKM and strong CP phases

→ Need BSM

- Conventional scenarios

- Strong first-order PT

- e.g. thermal or effective Higgs cubic term, log potential

- higher dim operator with low cutoff

- New particles coupled to  $H$  or sizable modification of Higgs sector

- CP violation

- Large during baryogenesis but small in present

- Non-local baryogenesis

- CP violation in front of wall, B violation away from wall

- LHC (direct searches) and EDM: Probe of EWBG

- c.f. ACME II constraint on electron EDM

- Higgs portal

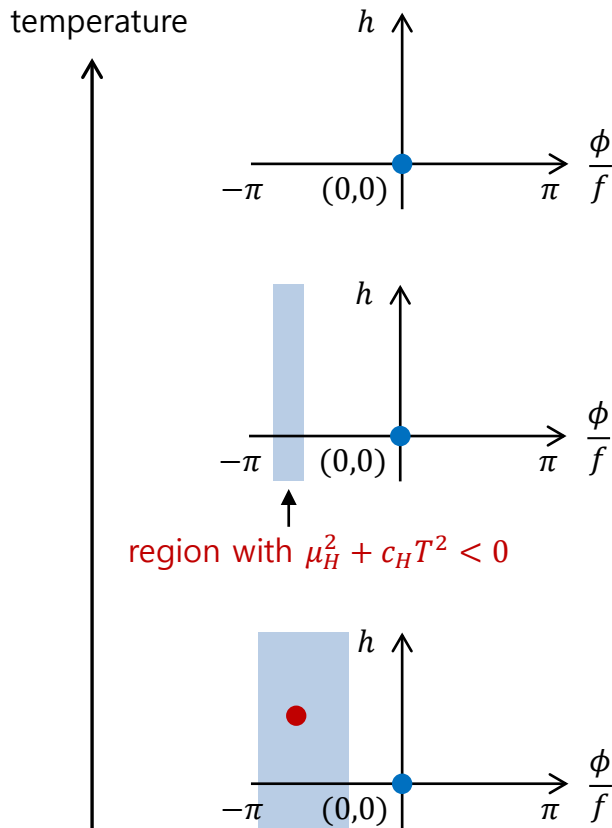
- Potential:  $V = \lambda|H|^4 + \mu_H^2(\phi/f)|H|^2 + V_0(\phi/f)$

$$\text{with } \mu_H^2 = \mu^2 - M^2 \cos\left(\frac{\phi}{f} + \alpha\right) \text{ and } V_0 = -\Lambda^4 \cos\left(\frac{\phi}{f}\right)$$

- For  $f$  much above the weak scale
  - Thermal corrections:  $\Delta V_{\text{TH}} \simeq c_H T^2 |H|^2$
  - $V$  is a function of  $\theta \equiv \frac{\phi}{f}$ , and is insensitive to  $f$

EWPT driven by the ALP KSJ, Jung, Shin 1806.02591, 1811.03294

Phase transition



- only a symmetric minimum at  $(\phi, h) = (0,0)$  due to  $V_0$  and thermal Higgs mass
- $\mu_H^2 + c_H T^2 > 0$  in the whole range of  $\phi$  because  $\mu_H^2$  is bounded from above and below

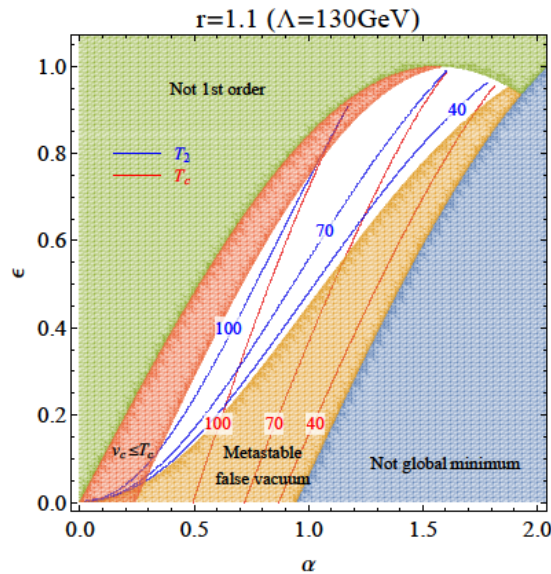
- minimum at  $(\phi, h) = (0,0)$
- $\mu_H^2 + c_H T^2 < 0$  in a finite range of  $\phi$

- another minimum at  $\phi \neq 0$  and  $h \neq 0$
- $\mu_H^2 + c_H T^2 < 0$  in a finite but wider range of  $\phi$
- phase transition when EW minimum gets deeper

- EWPT driven by the ALP
  - Tunneling mainly along the ALP direction
  - Approximate scaling behaviors
    - Euclidean action of O(3) symmetric critical bubble:  $S_3 \propto f^3$
    - Radius of critical bubble:  $R_c \propto f$
  - Phase transition
    - Two degenerate minima at  $T_c$ : lower than in the SM
    - Bubble nucleation at  $T_n$
    - Barrier disappears at  $T_2$ : **close to  $T_n$**

- EWPT driven by the ALP

- Strong first order phase transition: insensitive to  $f$



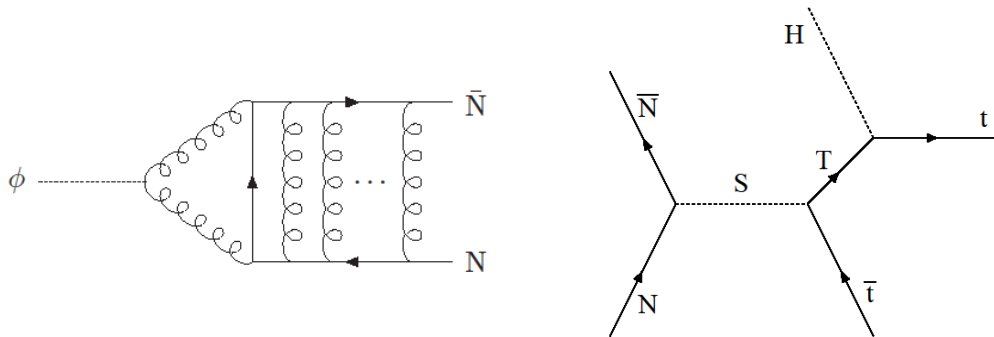
$$\epsilon \equiv \frac{\sqrt{2\lambda}\Lambda^2}{M^2}$$

- Weak coupling limit with  $f \gg$  the weak scale
  - Free from EDM and LHC constraints
  - Instead, probable in ALP searches

■ EWBG: non-local baryogenesis KSJ, Jung, Shin 1806.02591

- CP violation from ALP-dependent top quark mass

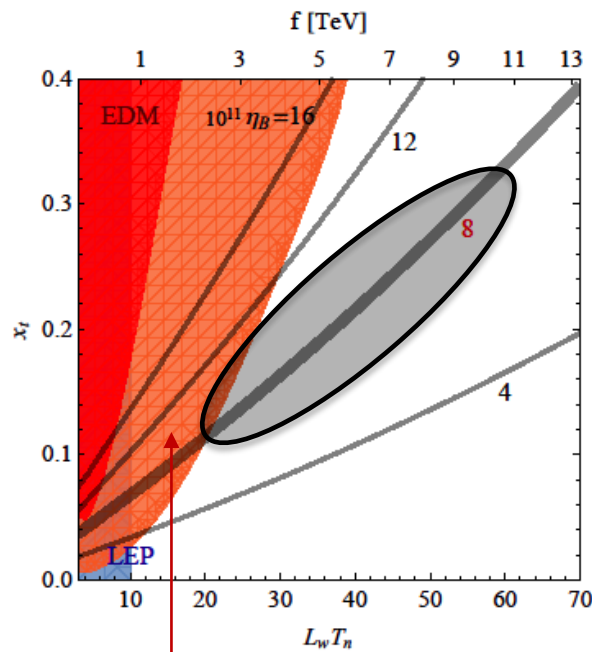
$$Y_t = y_t + x_t e^{i\phi/f}$$



- Baryon asymmetry
  - depends on CP violation  $x_t$ , wall width  $L_w$ , wall velocity  $v_w$
  - diffusion effect: sizable for  $L_w T_n \leq 100 \rightarrow$  upper bound on  $f$



- EWBG: non-local baryogenesis
  - Correct baryon asymmetry for  $3\text{TeV} \leq f \leq 10\text{TeV}$



GeV to 20 GeV ALP

ACME II: about 10 times stronger than ACME I

■ EWBG: local baryogenesis KSJ, Jung, Shin 1811.03294

- CP violation from ALP-dependent EW  $\Theta$ -term

$$\frac{\phi}{f} W \tilde{W} \rightarrow \frac{d\phi}{dt} = \text{chemical potential for Chern-Simons number}$$

- Simultaneous B and CP violations across walls (thick for large  $f$ )

→ B generation through EW anomaly

$$\frac{dn_B}{dt} \approx \boxed{\frac{3}{2} \frac{\Gamma_{\text{sph}}}{T} \frac{d\phi}{dt} \frac{1}{f}} - \frac{39}{4} \frac{\Gamma_{\text{sph}}}{T} n_B$$

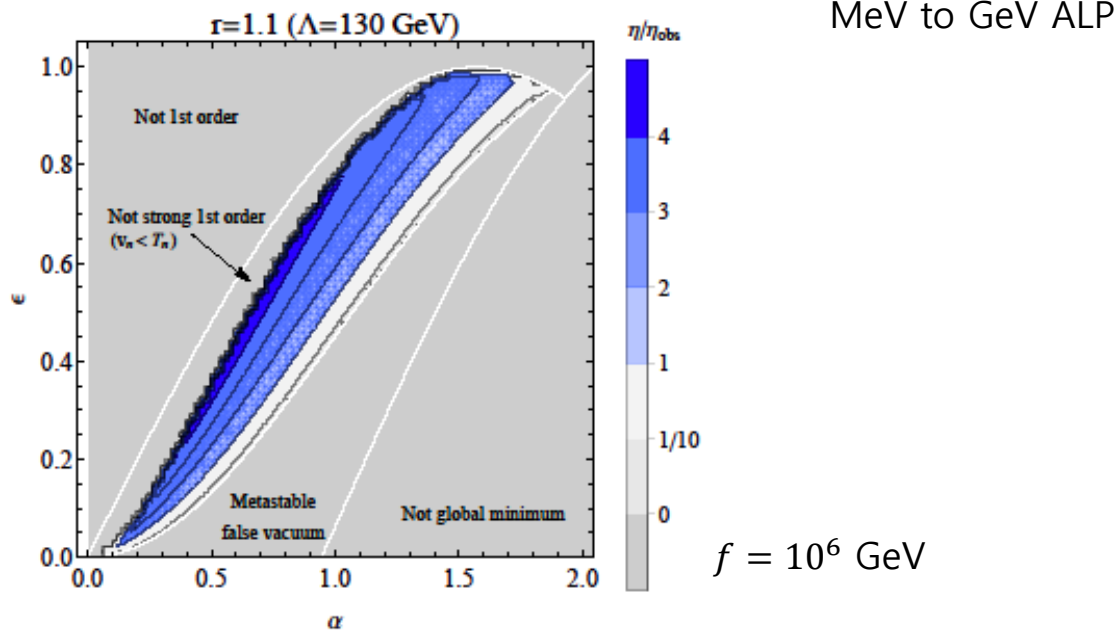
↑  
sphaleron-induced washout

- EWBG: local baryogenesis

- ALP evolution after tunneling

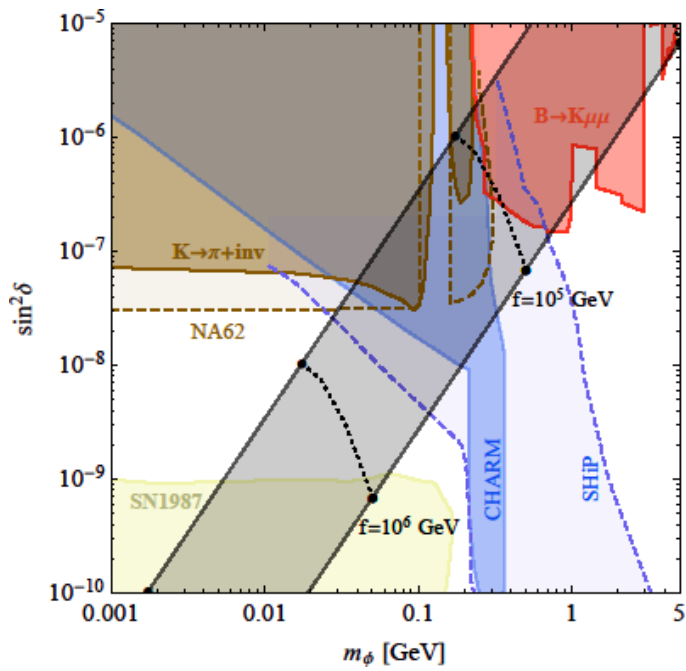
- Thermal dissipation due to  $\phi$ - $h$  mixing: upper bound on  $f$

- Correct baryon asymmetry for  $10^5 \text{ GeV} \leq f \leq 10^7 \text{ GeV}$

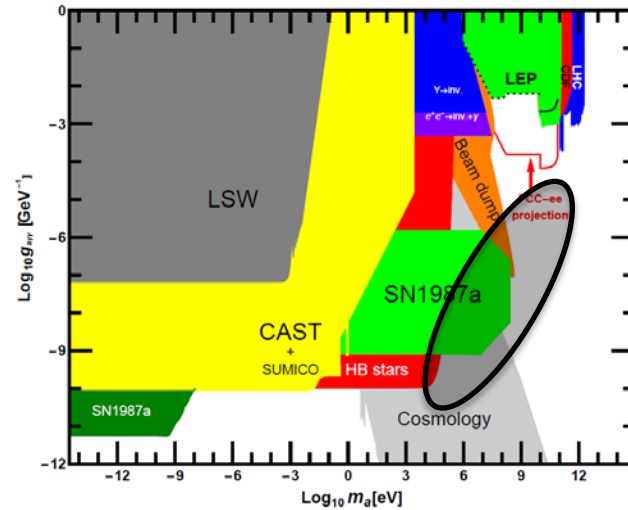


- How to probe the ALP driving EWBG?
  - ALP at MeV-GeV (local) or GeV-20GeV (non-local)
    - ALP window without strong theoretical interests so far

ALP-Higgs mixing: rare B-meson decays, beam dump



Jaeckel, Spannowski 2015



anomalous ALP couplings to gauge bosons: optional

# III. Freeze-in dark matter

- WIMP dark matter

- Relic abundance from freeze-out

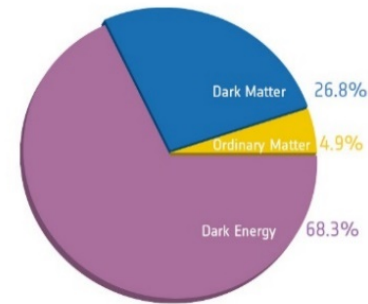
$$\Omega_\chi \propto \frac{m_\chi}{T_f} \frac{1}{\langle\sigma v\rangle} \text{ with } \langle\sigma v\rangle \sim \frac{\lambda^2}{m_\chi^2}$$

⇒ Observed DM density if  $\lambda \sim 0.1$  and  $m_\chi \sim 100\text{GeV}$

- Well-motivated, natural, experimentally testable, ...
- No signals for new physics at LHC

Null results from direct & indirect DM detection searches

- May need to go beyond WIMP

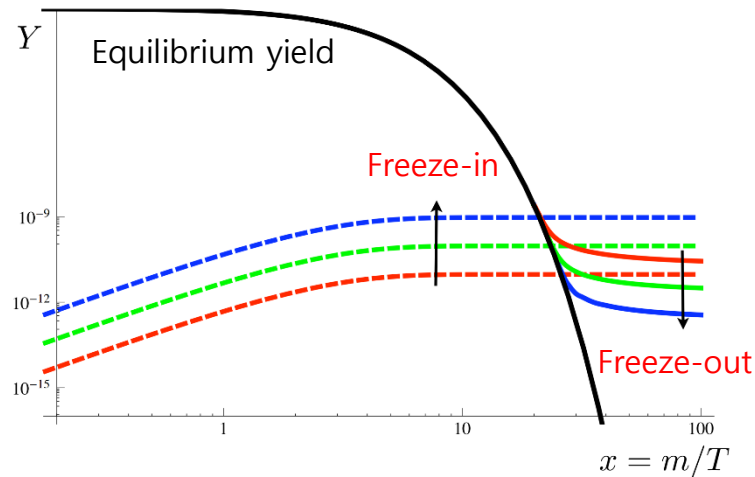


McDonald 2001, Choi, Roszkowski 2005, Petraki, Kusenko 2007

## Freeze-in DM

Hall, Jedamzik, March-Russell, West 2009

- Alternative to freeze-out
- Never in thermal equilibrium: feeble coupled to SM
- Produced via thermal freeze-in



2-2 scattering, decay of thermal particles

- Freeze-in DM

- Relic abundance assuming negligible initial density

$$\Omega_\chi \propto m_\chi \frac{\lambda^2}{m}$$

$m$ : mass of thermal particle responsible for production

⇒ Observed DM abundance if  $\lambda \sim 10^{-12}$  and  $m_\chi \sim 100\text{GeV}$

- Need an explanation for  $\lambda \ll 1$ !

Gravitino, axino in SUSY (many works)

Clockwork FIMP, Mohan and Sengupta 2018



■ Higgs portal KSJ, Im 1907.xxxxx

- ALP interacting with the SM ONLY via Higgs portal

$$V = \lambda |H|^4 + \left( \mu^2 - M^2 \cos\left(\frac{\phi}{f}\right) \right) |H|^2 - \frac{1}{16\pi^2} M^2 \Lambda^2 \cos\left(\frac{\phi}{f}\right)$$

↑  
closing Higgs loops

- CP conserving minimum  $\phi = 0$  (no ALP-Higgs mixing)

Stable due to  $Z_2$  symmetry  $\phi \rightarrow -\phi$

Feebly coupled to SM thermal bath for large  $f$

→ Natural framework for freeze-in DM!

- ALP DM

- If thermalized, it overcloses the universe in most of parameter space satisfying the bound on DM scattering with nuclei

- Never in thermal equilibrium for  $\lambda_{h\phi} < 10^{-7}$

- Mass mainly from closing Higgs loops:  $m_\phi \simeq \frac{1}{4\pi} \frac{M}{f} \Lambda$

- Portal coupling:  $\frac{\lambda_{h\phi}}{4} h^2 \phi^2 + \frac{\lambda_{h\phi} v}{2} h \phi^2$  with  $\lambda_{h\phi} = \left(\frac{M}{f}\right)^2$

freeze-in production by  $hh \rightarrow \phi\phi$

by  $h \rightarrow \phi\phi$  (dominant if open)

- ALP DM

- Correct DM density

- Higgs decay

$$\lambda_{h\phi} \simeq 10^{-10} \times \sqrt{\frac{3\text{MeV}}{m_\phi}} \quad \text{and} \quad m_\phi \simeq 1\text{MeV} \times \left(\frac{\Lambda}{10^3\text{GeV}}\right)^{\frac{4}{5}}$$

- Higgs annihilation

$$\lambda_{h\phi} \simeq 10^{-11} \quad \text{and} \quad m_\phi \simeq 380\text{GeV} \times \left(\frac{\Lambda}{10^9\text{GeV}}\right)$$

- ALP heavier than MeV for  $\Lambda$  above TeV

- Coherent oscillations: negligible if  $T_{\text{osc}} \gg 10^6 \times m_\phi$

- UV completion: **non-perturbative portal**
  - Vector-like lepton doublets  $L + L^c$  and singlets  $N + N^c$  charged under hidden QCD confining at  $\Lambda_{\text{hid}}$

$$\boxed{yHLN^c + y'H^+ L^c N + m_L LL^c} + \mu_N e^{i\alpha} NN^c + \frac{1}{32\pi^2} \frac{\phi}{f} G_H \tilde{G}_H$$

↓

effective portal coupling

↑

not forbidden by ALP shift symmetry

↓

anomalous coupling

in the basis where all the parameters are real

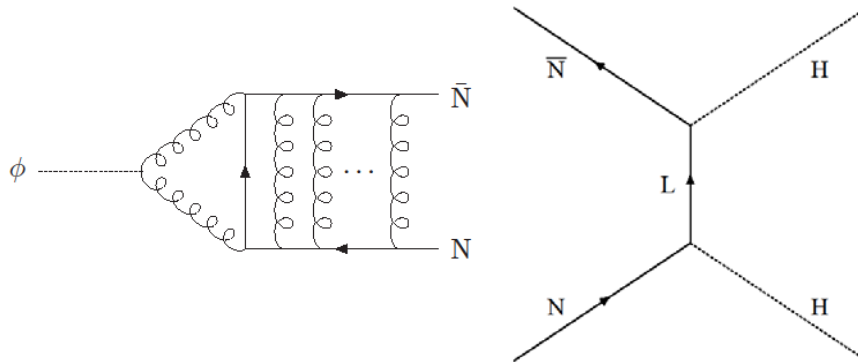
- UV completion: non-perturbative portal
  - Lepton singlet lighter than the confinement scale

$$V_{\text{eff}} \ni -M^2 \cos\left(\frac{\phi}{f}\right) |H|^2 - \frac{1}{16\pi^2} M^2 \Lambda^2 \cos\left(\frac{\phi}{f}\right) - \mu_N \Lambda_{\text{hid}}^3 \cos\left(\frac{\phi}{f} + \alpha\right)$$

with  $M^2 = yy' \frac{\Lambda_{\text{hid}}^3}{m_L}$  and  $\Lambda \sim m_L$



should be suppressed:  
ALP-Higgs mixing for  $\alpha \neq 0$



- UV completion: non-perturbative portal
  - Viable model
    - supersymmetry + spontaneously broken  $U(1)_X$
    - $m_L$  from superpotential, while  $\mu_N$  from Kaehler potential

$$\mu_N = \frac{m_{\text{susy}}}{M_{Pl}} m_L$$

- ALP-Higgs mixing: ALP is a decaying DM
  - Upper bound on  $m_{\text{susy}}$  to make ALP cosmologically stable

- UV completion: radiative portal

Gupta, Komargodski, Perez, Ubaldi 2015

- Vector-like lepton doublets  $L + L^c$  and singlet  $N$

$$m_L LL^c + ye^{i\phi/f} HLN + y'H^+ L^c N + \frac{1}{2} \mu_s e^{i(\phi/f+\alpha)} NN$$

effective portal coupling

not forbidden by ALP shift symmetry

with small  $U(1)_\phi$  breaking:  $\frac{1}{2} \mu_{sb} NN$

in the basis where all the parameters are real

- UV completion: radiative portal

- ALP potential at loop and proportional to  $\mu_{sb}$

$$V_{\text{eff}} \ni -M^2 \cos\left(\frac{\phi}{f}\right) |H|^2 - \frac{M^2 \Lambda^2}{16\pi^2} \cos\left(\frac{\phi}{f}\right) - \frac{\mu_{sb} \mu_s \Lambda^2}{16\pi^2} \cos\left(\frac{\phi}{f} + \alpha\right)$$

$$\text{with } M^2 = \frac{yy'}{16\pi^2} \mu_{sb} m_L \ln\left(\frac{\Lambda^2}{m_L^2}\right)$$

↑  
should be suppressed if  $\alpha \neq 0$

- Higgs-independent ALP potentials: UV sensitive



- UV completion: radiative portal

- Viable model

- supersymmetry + spontaneously broken  $U(1)_X$

- $m_L$  from superpotential, while  $\mu_s$  from Kaehler potential

$$\mu_s = y \frac{m_{\text{susy}}}{M_{Pl}} m_L$$

- ALP decays due to ALP-Higgs mixing

- Longevity condition is insensitive to  $m_{\text{susy}}$

- but constrains  $M$  and  $m_\phi$

# Summary

- ❖ An ALP coupled to the SM via a Higgs portal
  - May give information on the origin of EWSB
  - May solve the SM puzzles
    - electroweak hierarchy: cosmological relaxation
    - matter-antimatter asymmetry: EW baryogenesis
    - dark matter: freeze-in

Thank you!