



# Modeling of Radiation Induced Damage in FLUKA

Radiation effects in the LHC experiments  
and impact on operation and performance  
CERN, Feb. 12, 2019

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# Different kind of damage

## Precious materials (healthy/tragic damage)

energy (dose) deposition radioisotope production and decay & positron annihilation and photon pair detection

## Oxidation

by generation of chemically active radicals (e.g. PVC dehydrochlorination by X and g-rays, radiolysis,...)

## Accidents

energy (power) deposition

## Degradation

energy (dose) deposition, particle fluence, DPA

## Gas production

residual nuclei production

## Electronics

high energy hadron fluence, neutron fluence, energy (dose) deposition

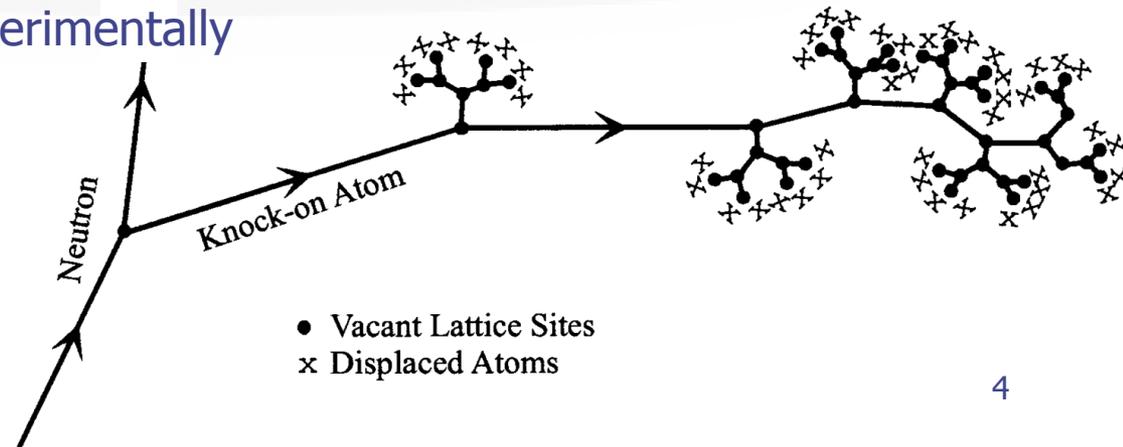
## Activation

residual activity and dose rate

# DPA

- The unit that is commonly used to link the “*radiation damage effects*” with “*macroscopic structural damage*” is the **displacement per atoms**
- It is a “measure” of the amount of radiation damage in irradiated materials

*3 dpa means each atom in the material has been displaced from its site within the structural lattice an average of 3 times*
- a quantity directly linked to the Non Ionizing Energy Losses (**NIEL**) but restricted in energy
- dpa is a strong function of **projectile type, energy and charge** as well as material properties and can be induced by all particles in the cascade
- However dpa for the moment is a “**mathematical**” quantity that cannot be directly measured experimentally



# Frenkel pairs

- Frenkel pairs  $N_F$  (defect or disorder), is a compound crystallographic defect in which an **interstitial** lies near the **vacancy**. A Frenkel defect forms when an atom or ion leaves its place in the lattice (leaving a vacancy), and lodges nearby in the crystal (becoming an interstitial)

$$N_{NRT} \equiv N_F = \kappa \frac{\xi(T)T}{2E_{th}}$$

$N_{NRT}$   
 $\kappa=0.8$   
 $T$

Defects by Norgert, Robinson and Torrens  
 is the displacement efficiency  
 kinetic energy of the primary  
 knock-on atom (PKA)

$\xi(T)$

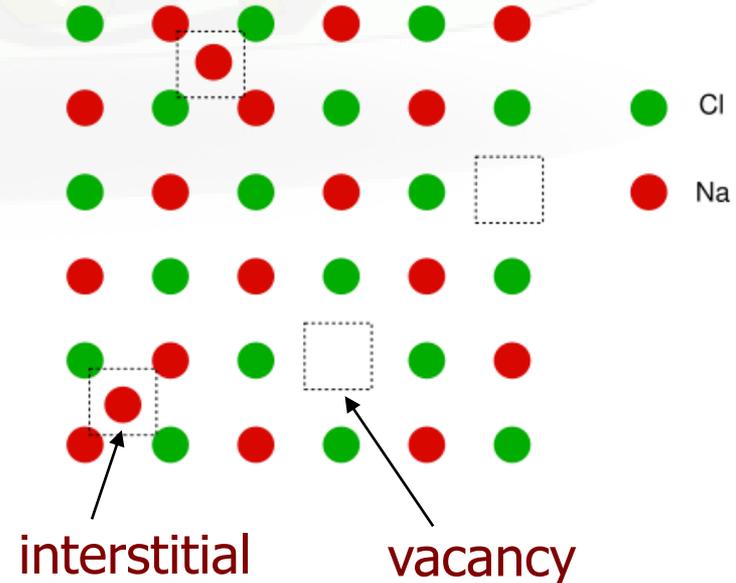
partition function (LSS theory)

$\xi(T) T$

directly related to the **NIEL**  
 (non ionizing energy loss)

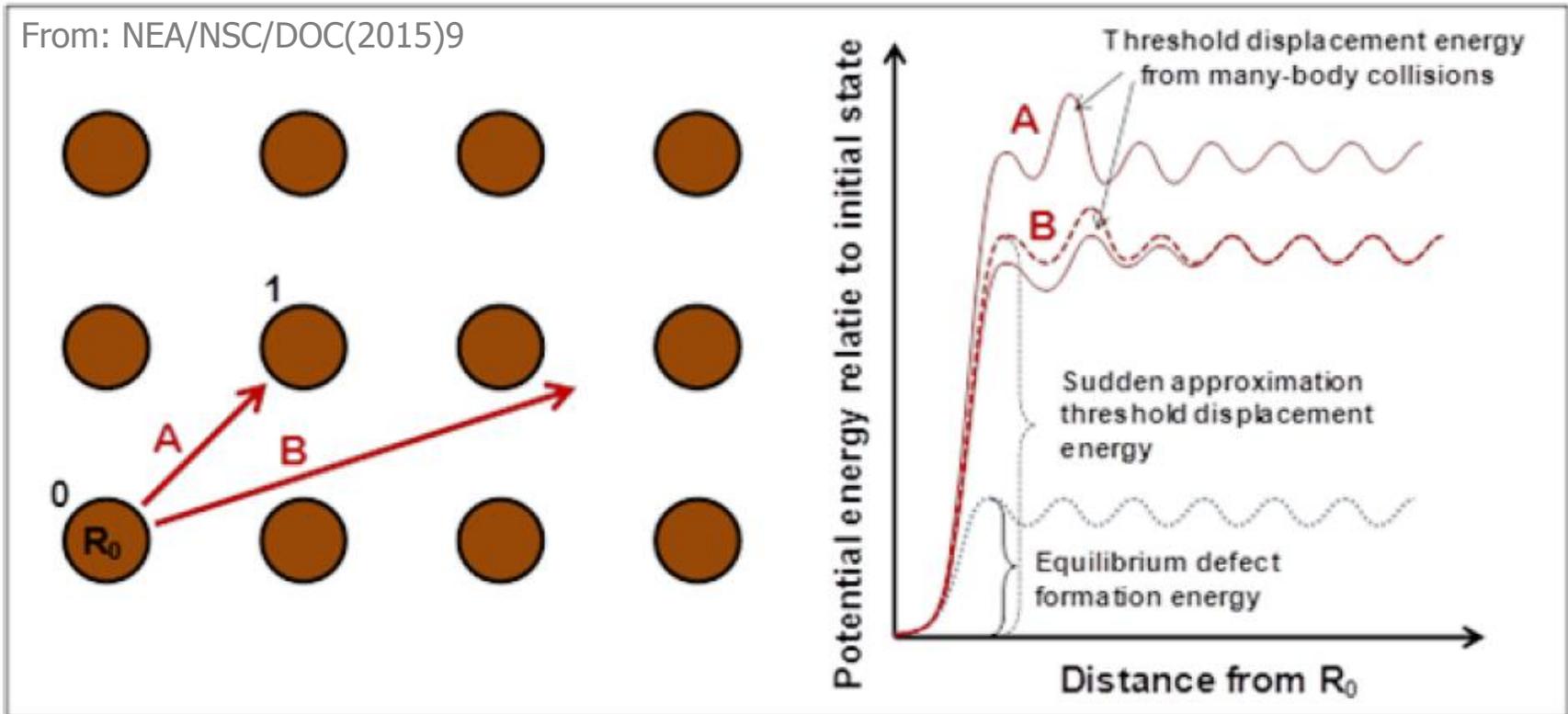
$E_{th}$

damage threshold energy



# Damage Threshold

From: NEA/NSC/DOC(2015)9



- Damage threshold depends on the direction of the recoil in the crystal lattice.
- FLUKA Use: the “average” threshold over all crystallographic directions (user defined)
- Typically of the order of **10-50 eV**

# Damage Threshold in Compounds

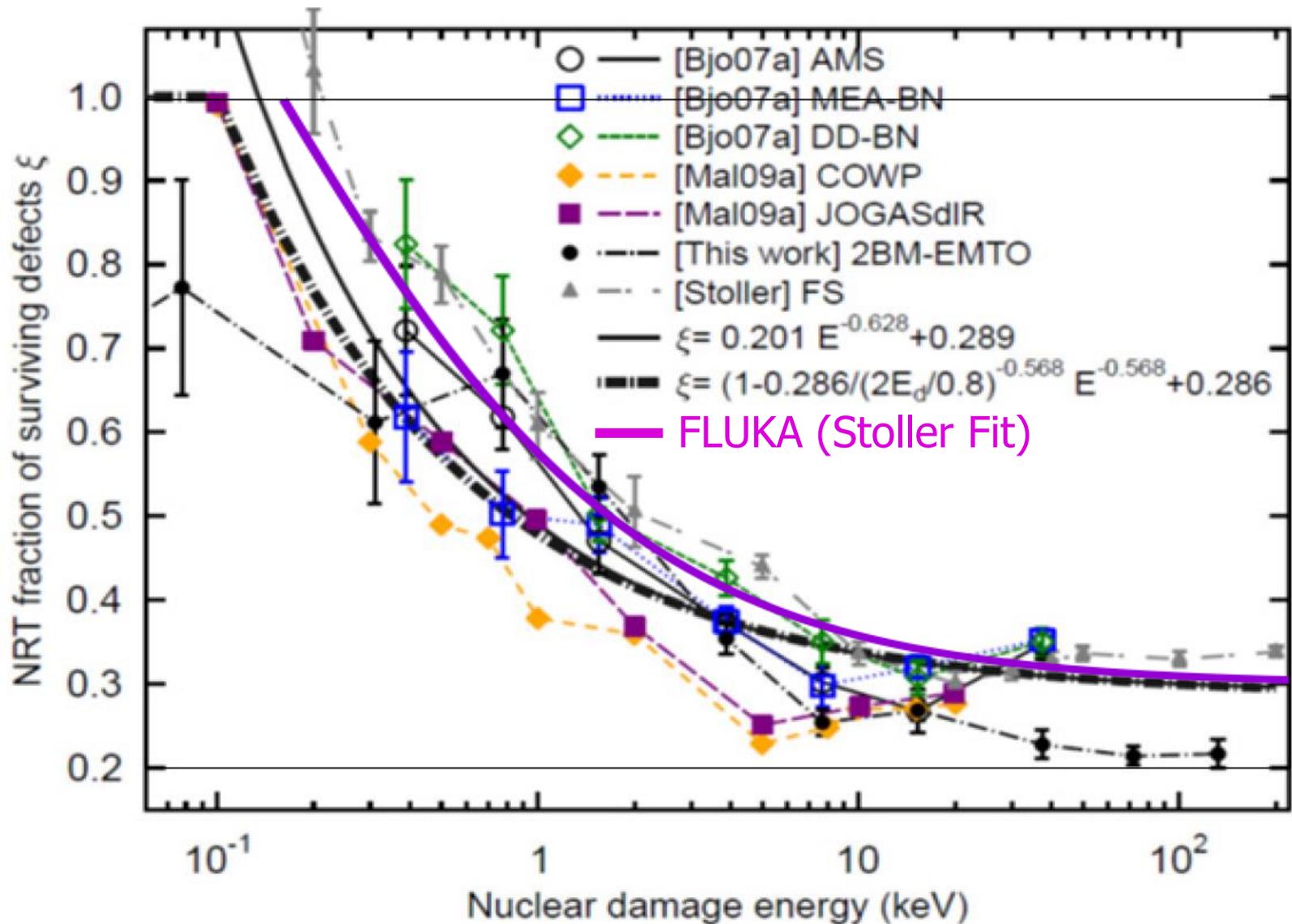
- NJOY (MT=444) sums up the cross section multiplied by the damage energies, which is the damage production cross section representing the effective kinetic energy of recoiled atom for reaction types  $i$  at neutron energy  $E_n$

$$(E\sigma)_{DPA} = \sum_i E_{th,i} \sigma_i(E_n)$$

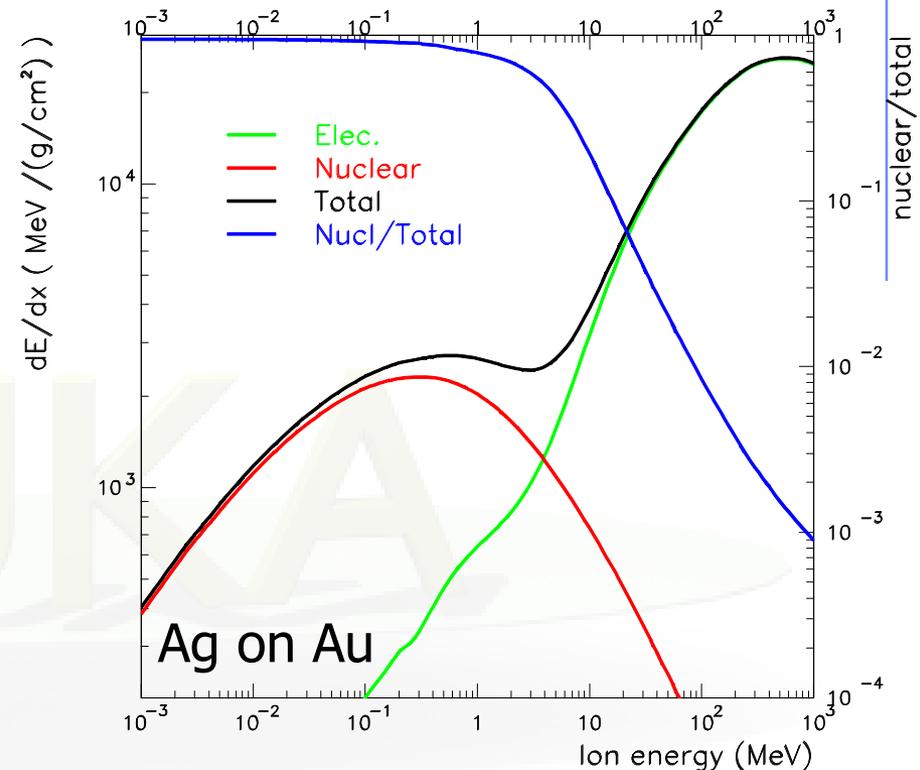
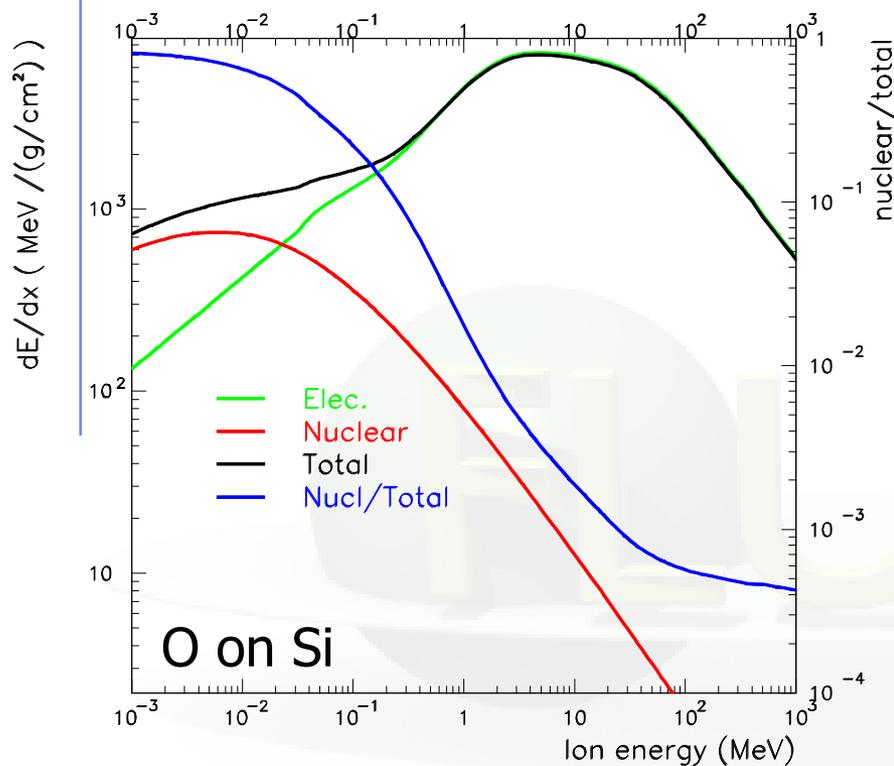
## Problematic:

- Damage threshold depends on the lattice structure.
- Damage threshold can be quite different for each combination for **the specific compound**  
e.g. NaCl:  $E_{th}(\text{Na-Na})$ ,  $E_{th}(\text{Na-Cl})$ ,  $E_{th}(\text{Cl-Na})$ ,  $E_{th}(\text{Cl-Cl})$
- Simple weighting with the atom/mass fraction doesn't work
- FLUKA's approximation is using a unique average damage threshold  $E_{th}$  for the compounds as well

# $\kappa$ Stoller vs Nordlund



# Nuclear Stopping Power



The total ( $S$ ), nuclear ( $S_n$ ) and electronic ( $S_e$ ) stopping power.

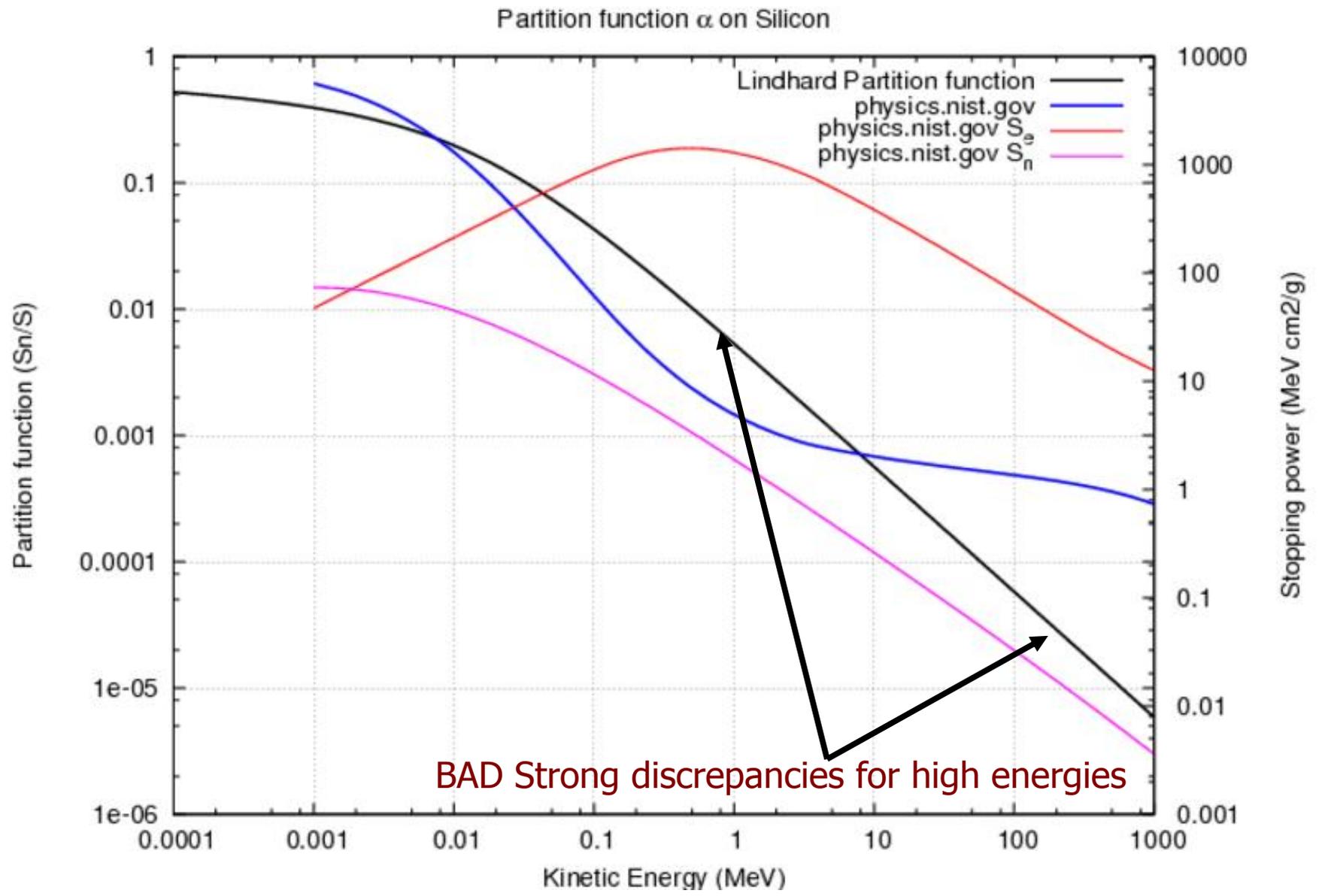
The abscissa is the ion total kinetic energy.

The **partition function**  $S_n/(S_n+S_e)$  is also plotted.

**$S_n/S$  is going down with energy (and up with charge)  
 → NIEL/DPA are dominated by low energy (heavy) recoils!!**

$$N_F = \kappa \frac{\xi(T)T}{2E_{th}}$$

# Lindhard partition function $\xi$



# Restricted Nuclear Stopping Power

- Lindhard approximation uses the **unrestricted NIEL**. Including all the energy losses also those below the threshold  $E_{th}$
- FLUKA is using a more accurate way by employing the **restricted nuclear losses**

$$S(E, E_{th}) = N \int_{E_{th}}^{\gamma E} T \left( \frac{d\sigma}{dT} \right) dT$$

where:

$S(E, E_{th})$  is the restricted energy loss  
 $N$  atomic density  
 $T$  energy transfer during ion-solid interaction  
 $d\sigma/dT$  differential scattering cross section

$$\gamma = \frac{2E(2m + E)}{M + \frac{m^2}{M} + 2(m + E)}$$

maximum fraction of energy transfer during collision

# FLUKA Implementation

## Charged particles and heavy ions

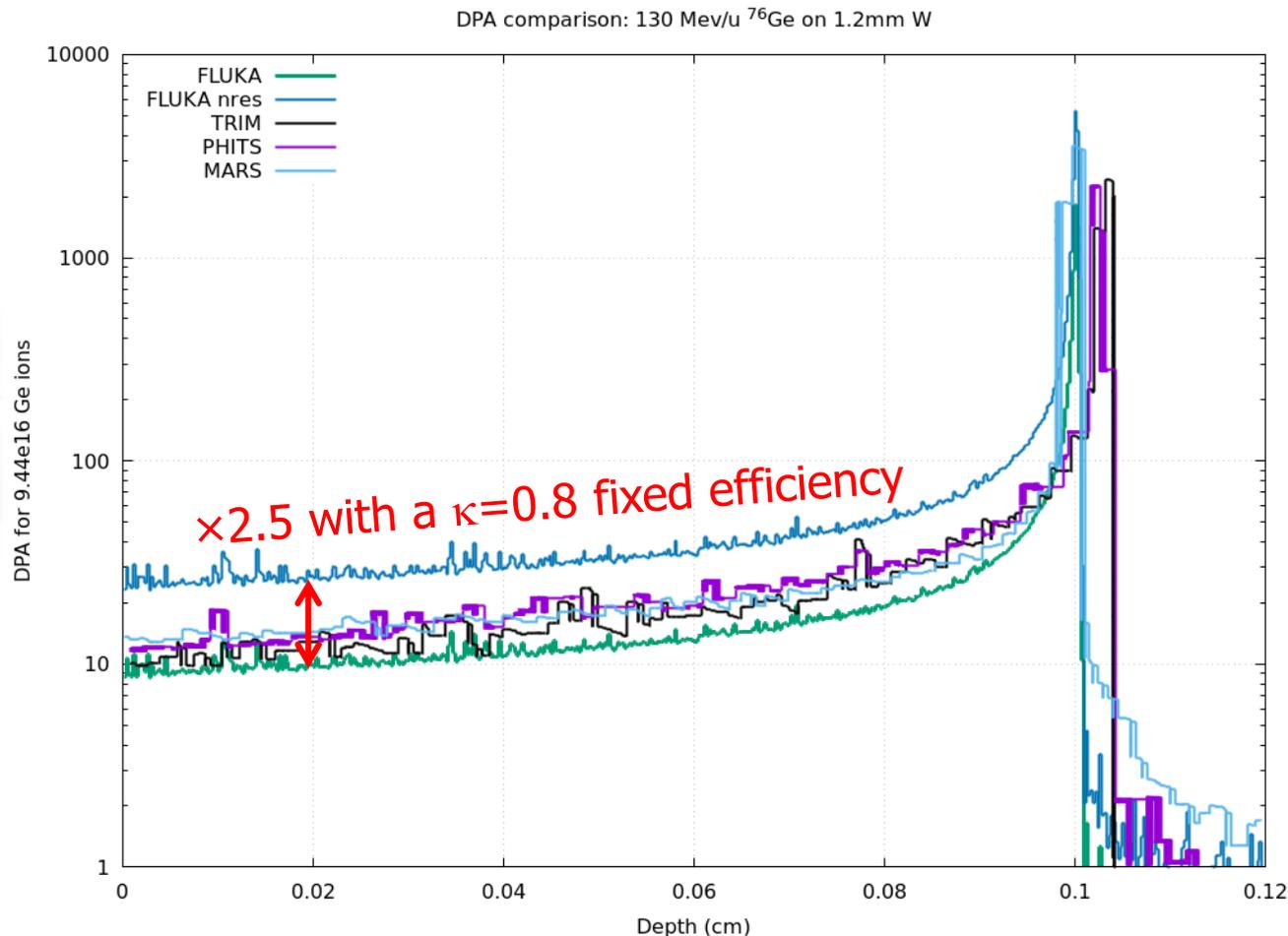
- **During transport**  
Calculate the restricted non ionizing energy loss
- **Below threshold**  
Calculate the integrated nuclear stopping power with the Lindhard partition function
- **At (elastic and inelastic) interactions**  
Calculate the recoil, to be transported or treated as below threshold

## Neutrons:

- **High energy  $E_n > 20$  MeV**
  - Calculate the recoils after interaction  
Treat recoil as a “normal” charged particle/ion
- **Low energy  $E_n \leq 20$  MeV (group-wise)**
  - Calculate the NIEL from NJOY
- **Low energy  $E_n \leq 20$  MeV (point-wise)**
  - Calculate the recoil if possible  
Treat recoil as a “normal” charged particle/ion

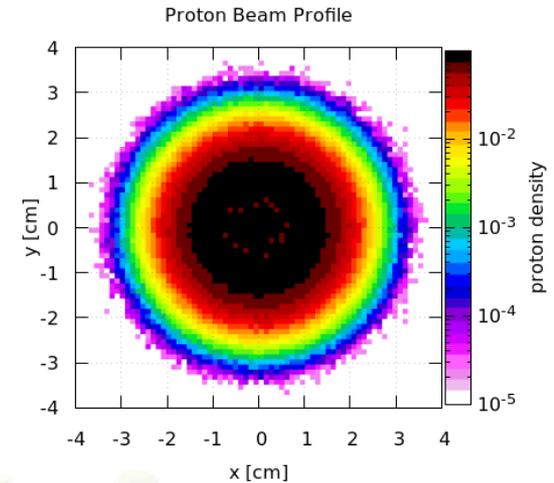
# $^{76}\text{Ge}$ ion pencil beam of 130 MeV/A on W

- $^{76}\text{Ge}$  ion pencil beam of 130 MeV/A uniform in W target a disc of  $R=0.3568$  mm, 1.2 mm thickness

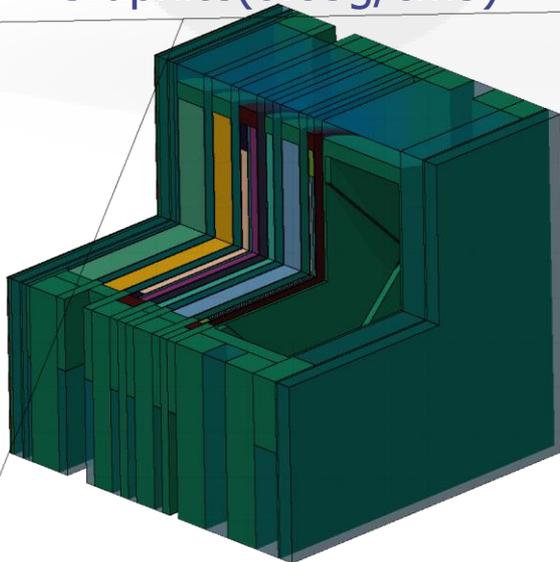
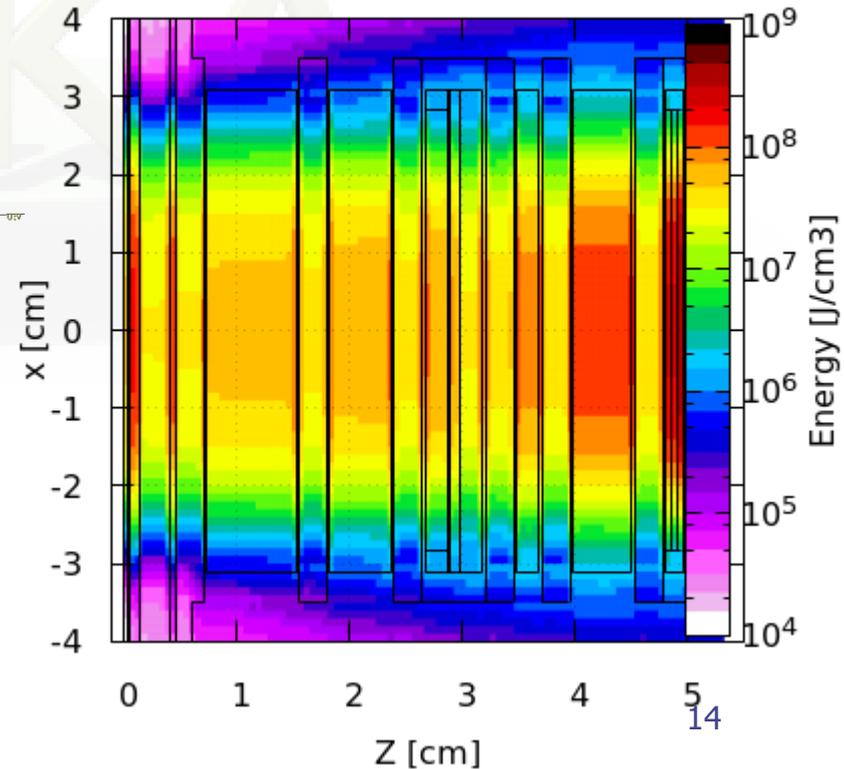


# BLIP capsule

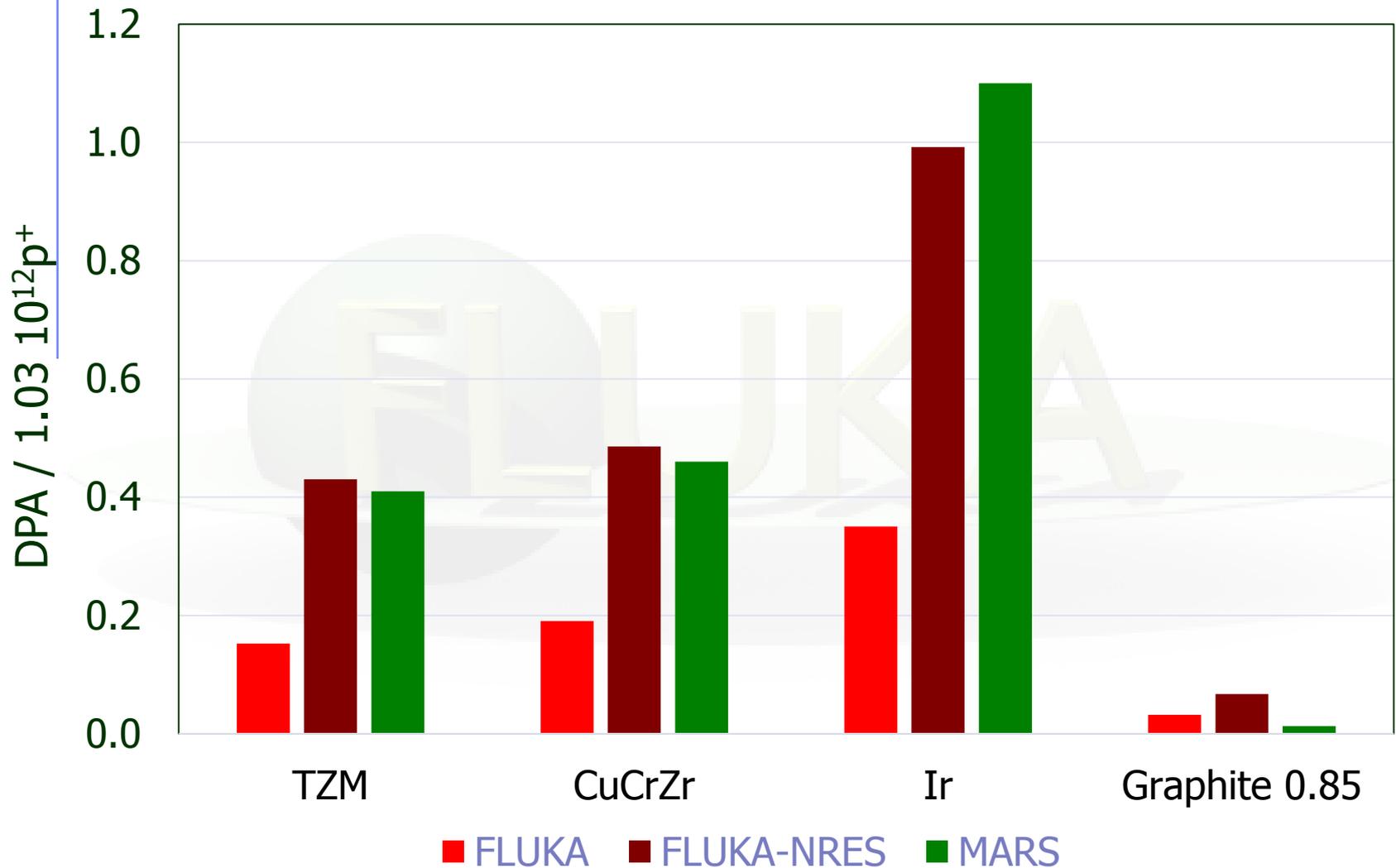
- Beam
  - Proton E=181 MeV
  - $\sigma_{x,y} = 5.1$  mm
- Geometry: Layers of
  - Window SS304L 0.3mm
  - TZM 0.5mm
  - CuCrZr 0.5mm
  - Ir 0.5mm
  - Graphite(0.85g/cm<sup>3</sup>) 0.1mm



Energy Deposition, for 1.03e21 POT

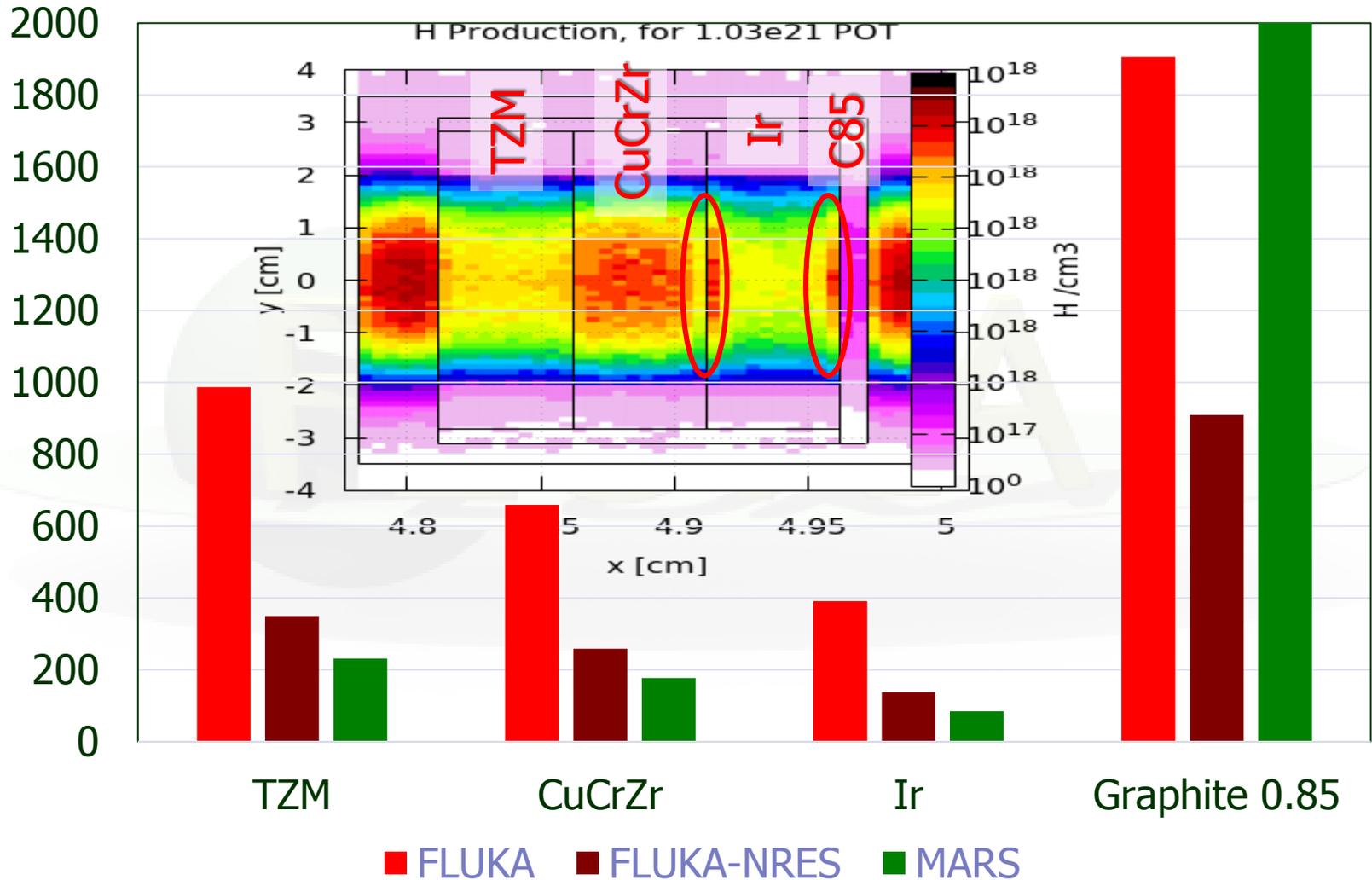


# DPA High-Z BLIP [FLUKA vs MARS]



Note: NRT model of MARS

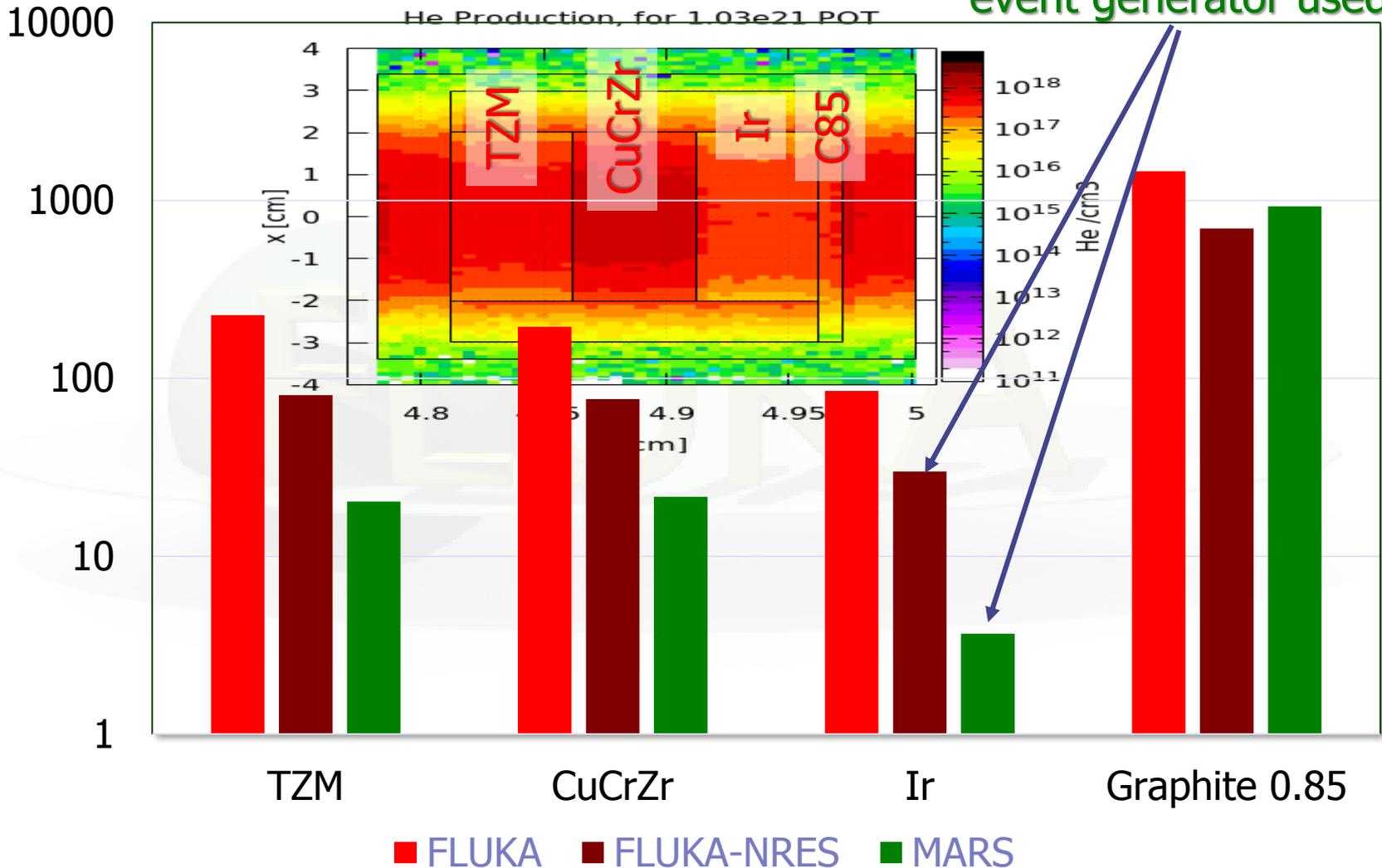
# H appm/DPA High-Z BLIP



# He appm/DPA High-Z BLIP

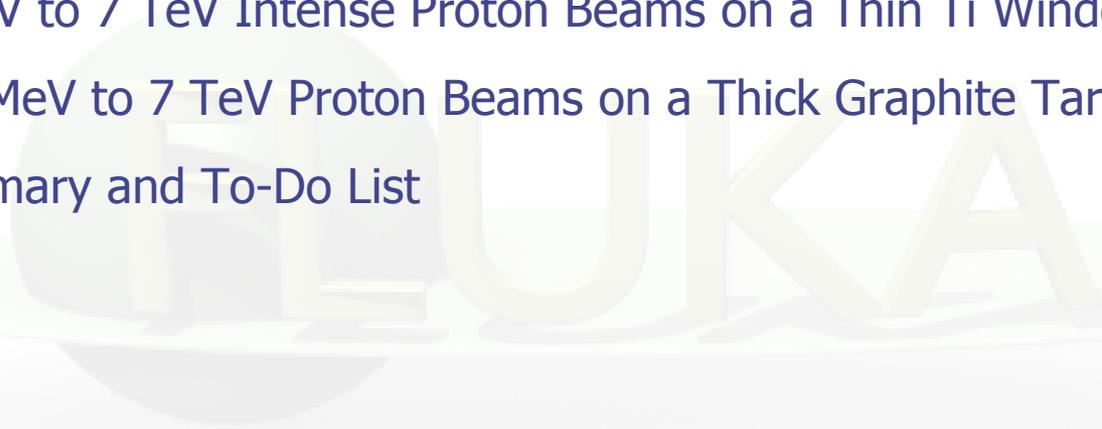
Probably due to "Old" MARS event generator used

Warning:  
Log-scale

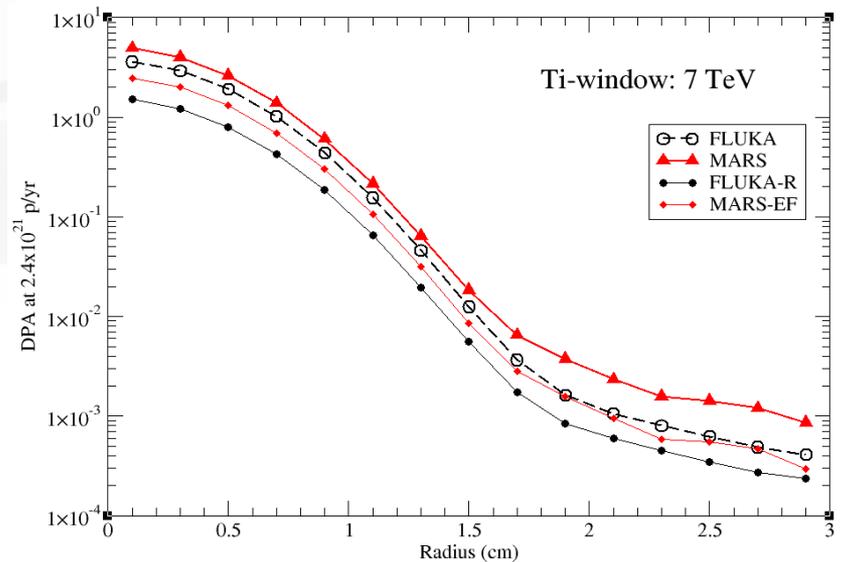
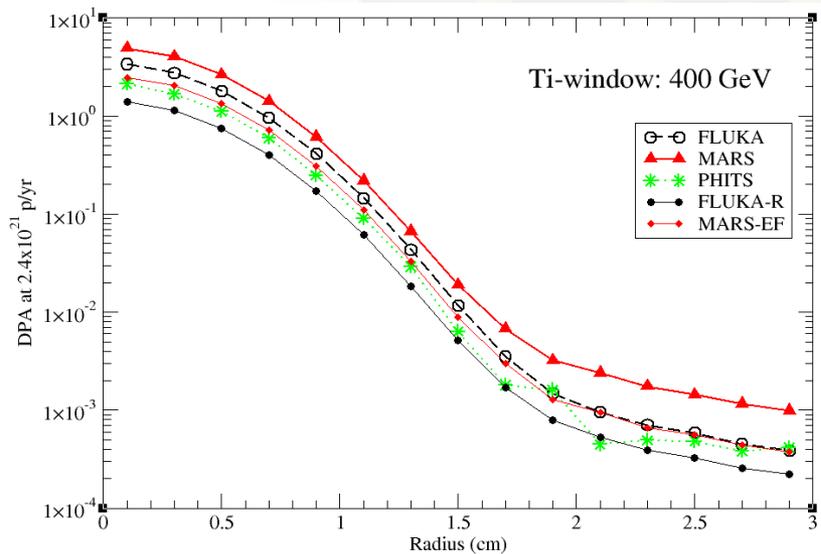
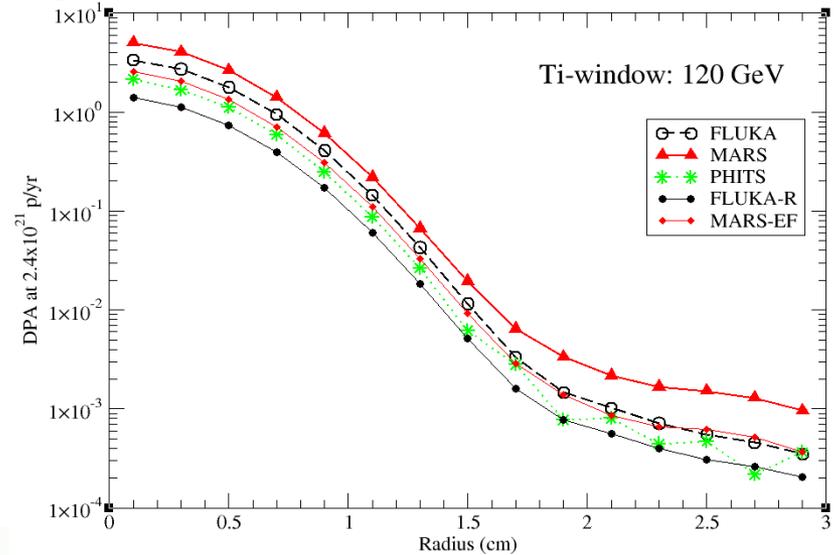
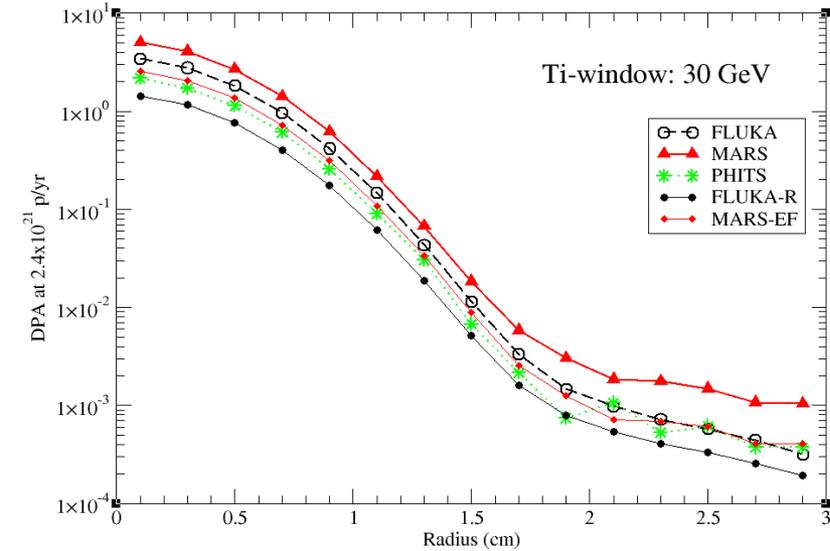


# RADIATE17: Outline

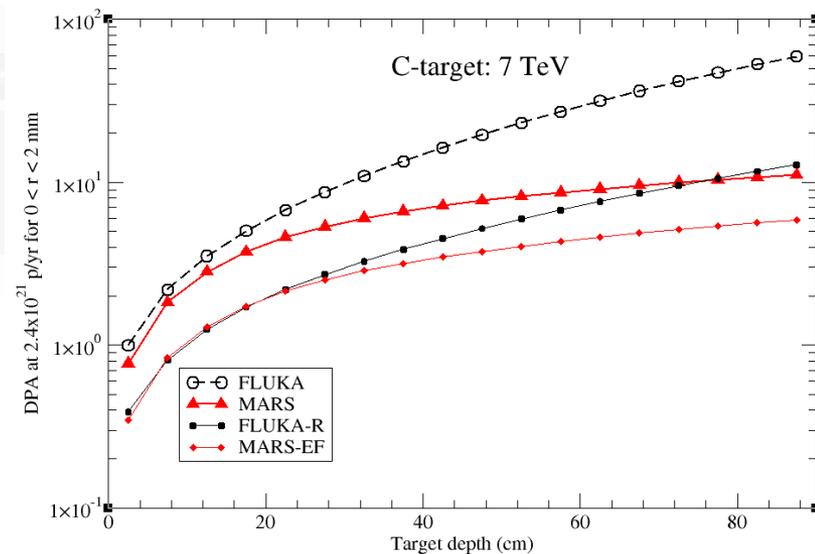
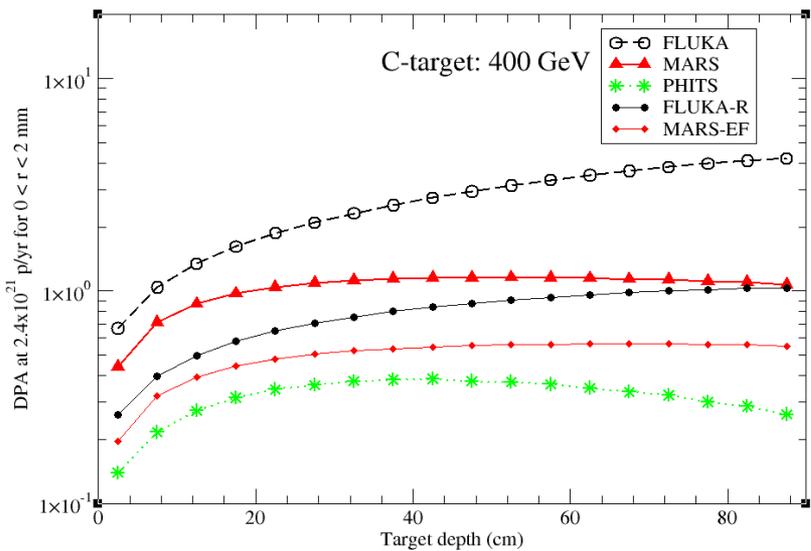
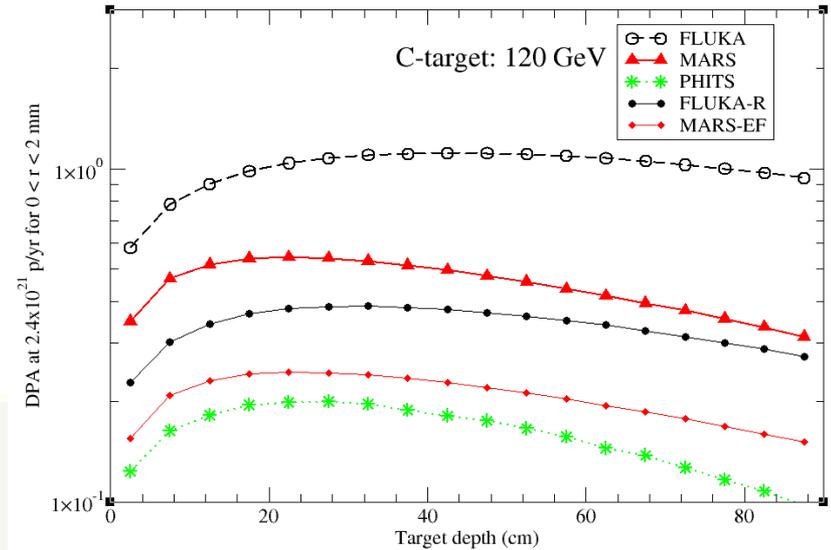
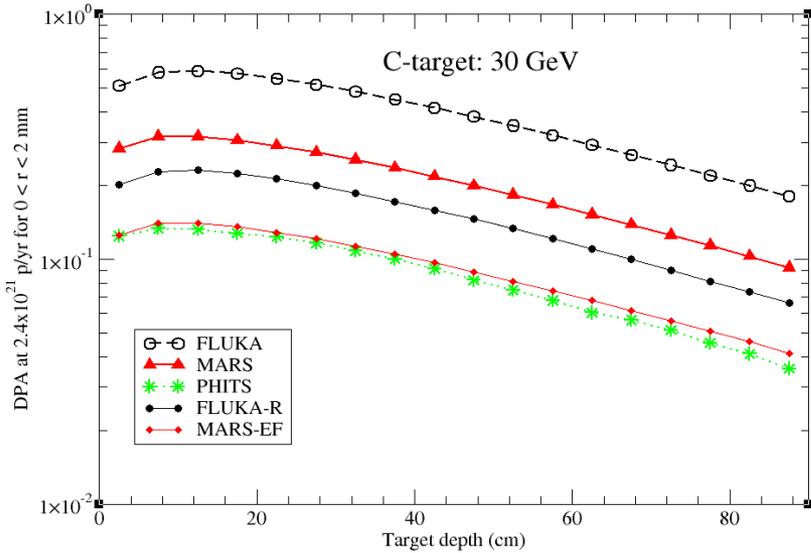
- 2013 Inter-Comparison of FLUKA, MARS and PHITS Predictions for the Neutron-Dominated Case (Mu2e & COMET Superconducting Coils)
- New Inter-Comparison with FLUKA, MARS, PHITS, SRIM and MCNP, Primarily for Neutrino Facilities
- 2 MeV to 7 TeV Intense Proton Beams on a Thin Ti Window
- 180 MeV to 7 TeV Proton Beams on a Thick Graphite Target
- Summary and To-Do List



# Ti-Window: DPA @ 30, 120, 400 and 7000 GeV



# C-Target: DPA @ 30, 120, 400 and 7000 GeV



# Summary

- FLUKA dpa model uses a restricted NIEL computed during initialization and run time.
- Not based on Lindhard but reworked all formulas
- The **only free parameter** for the user is the **damage threshold**
  - Handy for performing sensitivity studies on the damage threshold
- Uniform treatment from the transport threshold up to the highest energies
- Use of Stoller displacement efficiency instead of a fixed 0.8 as NRT suggest
- H/He production cross sections “in agreement” with available data

## Possible Future improvements:

- Implementation of the Nordlund arc-dpa
- More accurate recoil momentum cross section for **pair production** and **Bremsstrahlung**
- **Point wise** treatment of **low energy neutrons** will provide correct recoil information
- Multiple damage thresholds for compounds

# RADIATE17: Intercomparison Summary-1

- FLUKA, MARS, PHITS, SRIM and MCNP code inter-comparison exercise has been successfully undertaken for this meeting for proton beam energies from 2 MeV to 7 TeV.
- As results show, SRIM performs well at  $E < 180$  MeV, with serious issues at higher energies, large radii and thicknesses. One needs to understand these results and SRIM applicability before the final analysis. MCNP results were available at the very last moment and only up to 3 GeV.
- **Beam window:**
  - **EDEP:** all the codes are in a very good agreement over 6 orders of magnitude
  - **Fast neutron fluence:** FLUKA values were not provided since there was no a request for that in the original specs. MARS and PHITS agree at all energies.
  - **DPA:** FLUKA and MARS agree within 20% in the majority of the parameter space, with somewhat larger discrepancy at its peripheries; that is including the basic and modified DPA models. PHITS is typically lower than F&M by a factor of 1.5 to 2. MCNP (at  $< 3$  GeV) is rather close to PHITS.
  - **Happm/DPA:** FLUKA and MARS agree within 50%; PHITS is 30-times lower
  - **Heappm/DPA:** FLUKA and MARS agree within a factor of 2.5; PHITS is typically lower than F&M by a factor of 50.

# RADIATE17: Intercomparison Summary-2

- **Graphite target**

- **EDEP:** FLUKA, MARS, MCNP (at  $E < 3$  GeV) and PHITS agree within 30%
- **Fast neutron fluence:** FLUKA, MARS and MCNP (at  $E < 3$  GeV) are in a very good agreement; PHITS at large thicknesses is up to 30% higher.
- **DPA:** FLUKA and MARS agree within 20% in the majority of the parameter space, with somewhat larger discrepancy at its peripheries; that is included the basic and modified DPA models. PHITS is typically lower than F&M by a factor of 1.5 to 2. MCNP (at  $< 3$  GeV) is rather close to PHITS.
- **Happm/DPA:** FLUKA and MARS agree within 50%; PHITS is typically lower than F&M by a factor of 30.
- **Heappm/DPA:** FLUKA and MARS agree within a factor of 2.5; PHITS is typically lower than F&M by a factor of 50.

# Beam Dump Facility (BDF)

## Beam:

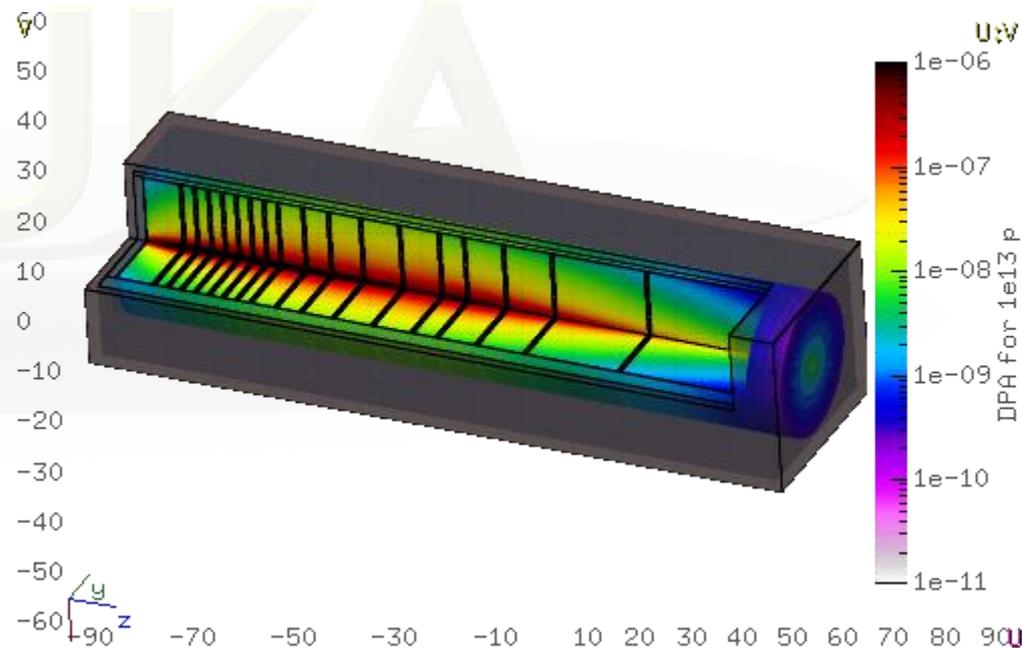
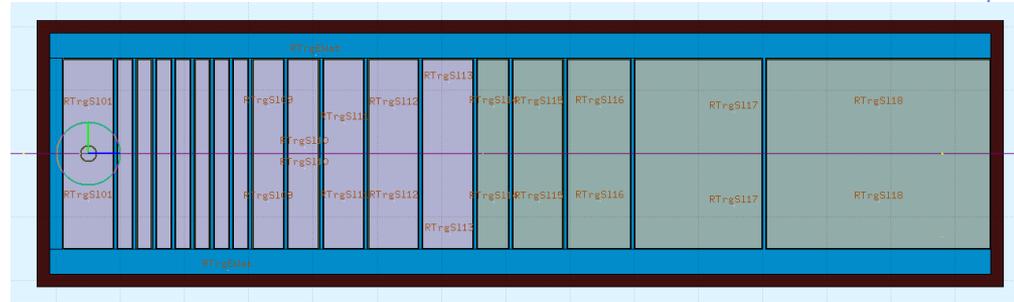
- Protons: 400 GeV/c
- Sweep pattern:
  - radius 3cm
  - $1\sigma$  0.6cm

## Geometry:

- 1.4m long cylinder discs of
- TZM enclosed in Ta
- W enclosed in Ta

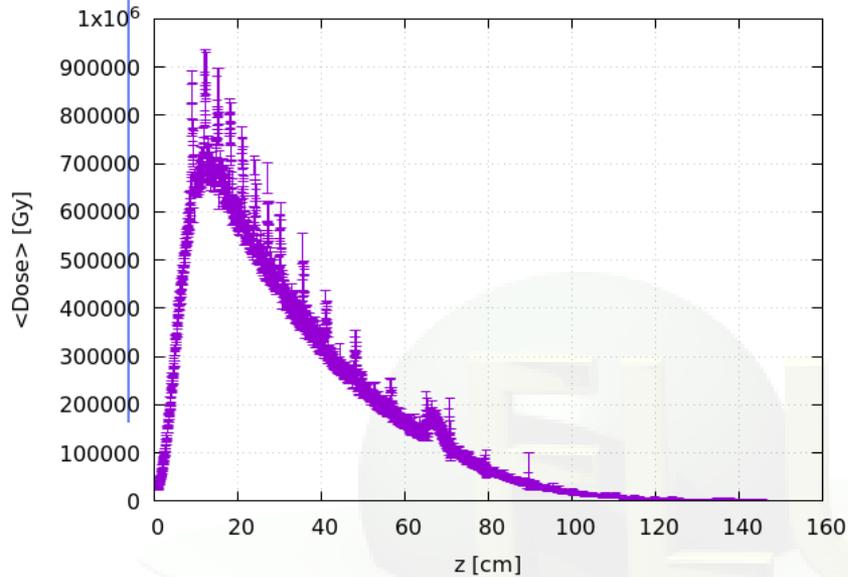
## Materials:

- Tungsten  $E_d=90$  eV
- SS 316N  $E_d=40$  eV
- Tantalum  $E_d=53$  eV
- TZM(Mo,Zr,Ti...)  $E_d=60$  eV

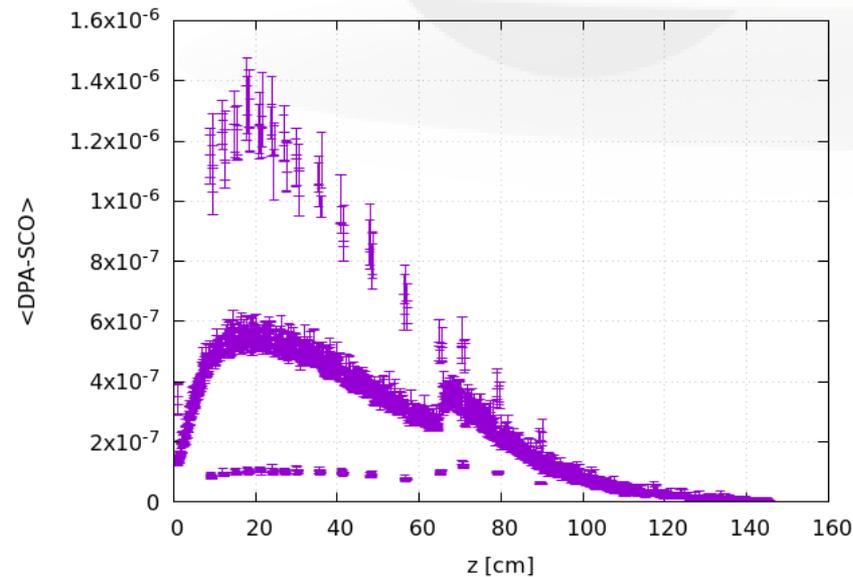


# BDF Results: H/He[appm] vs DPA

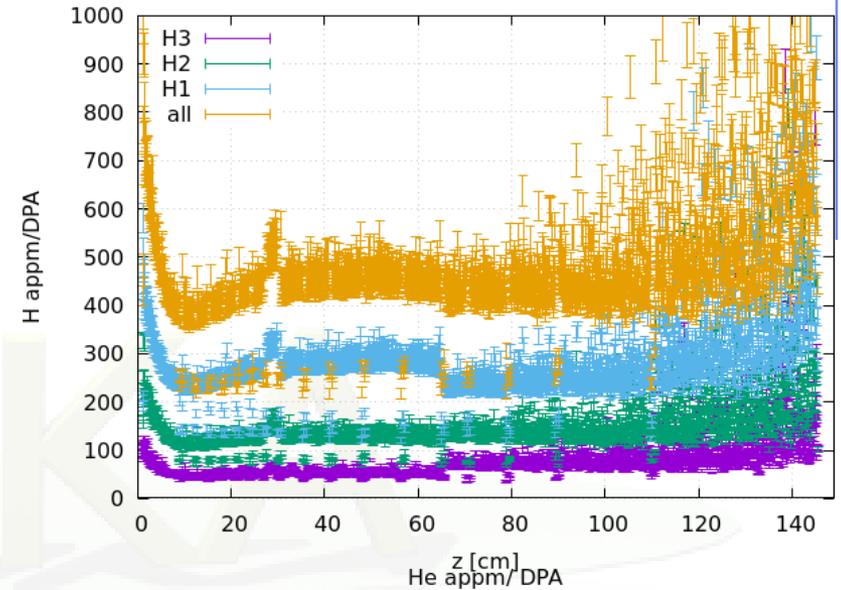
Dose (energy deposited per unit mass),  $R < 0.25\text{cm}$ , for  $3e13$  POT



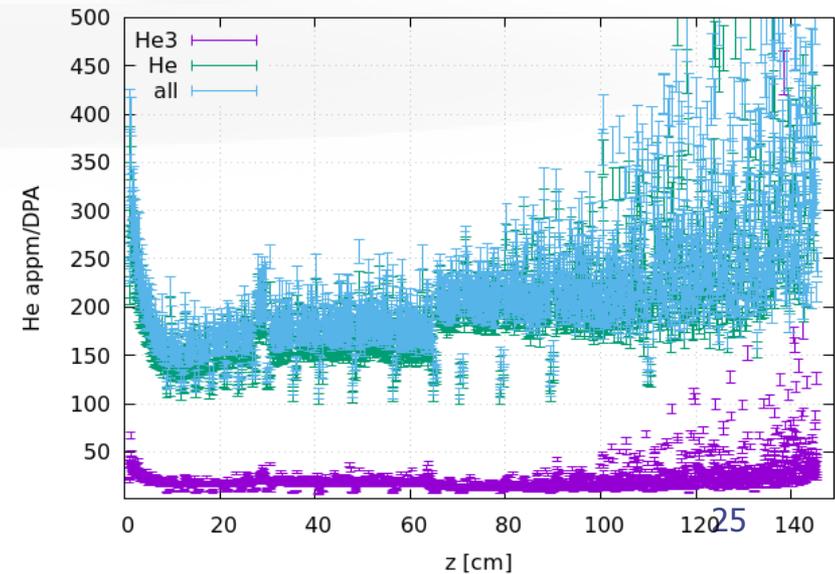
Displacements per atoms,  $R < 0.25\text{cm}$ , for  $3e13$  POT



H appm/ DPA

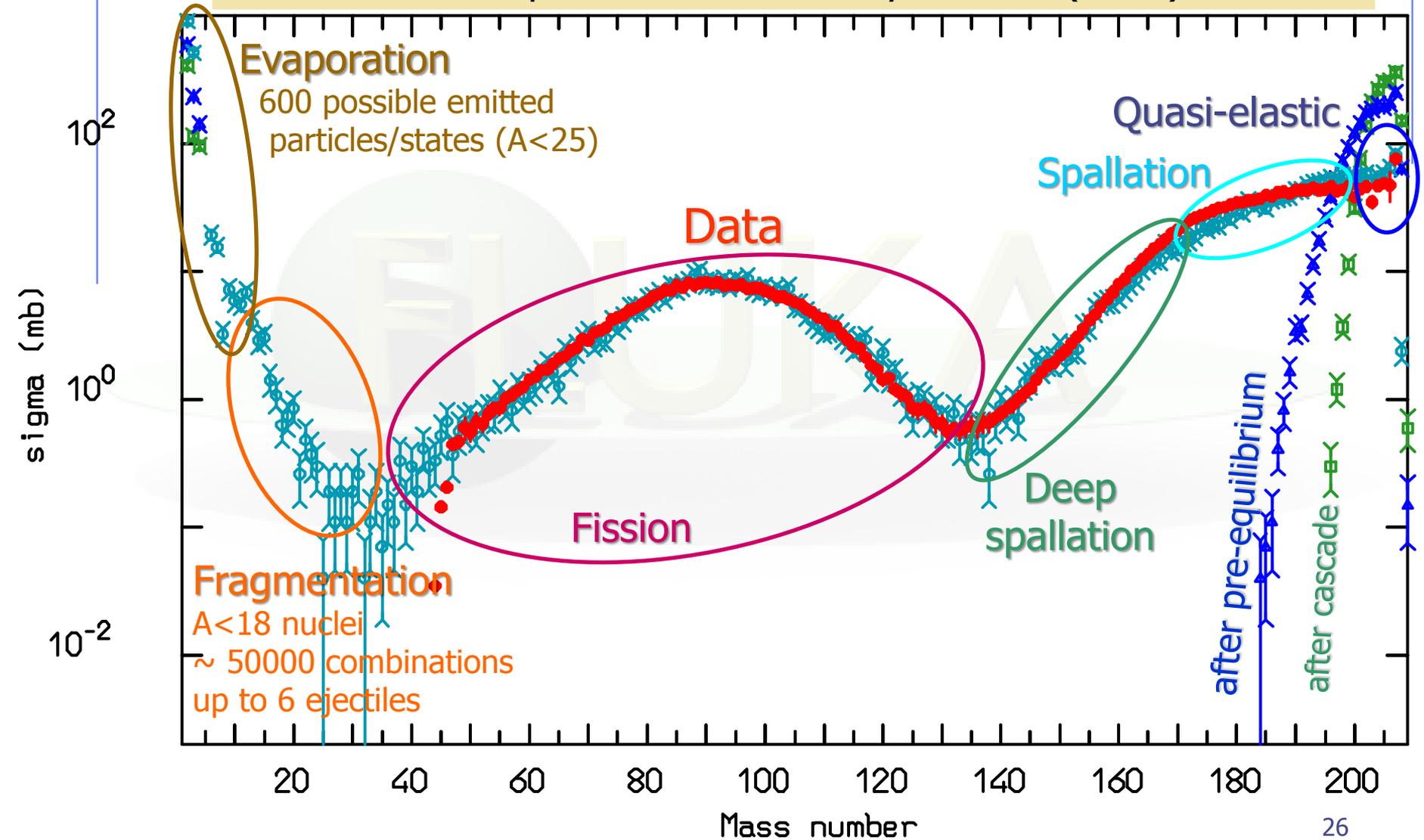


He appm/ DPA



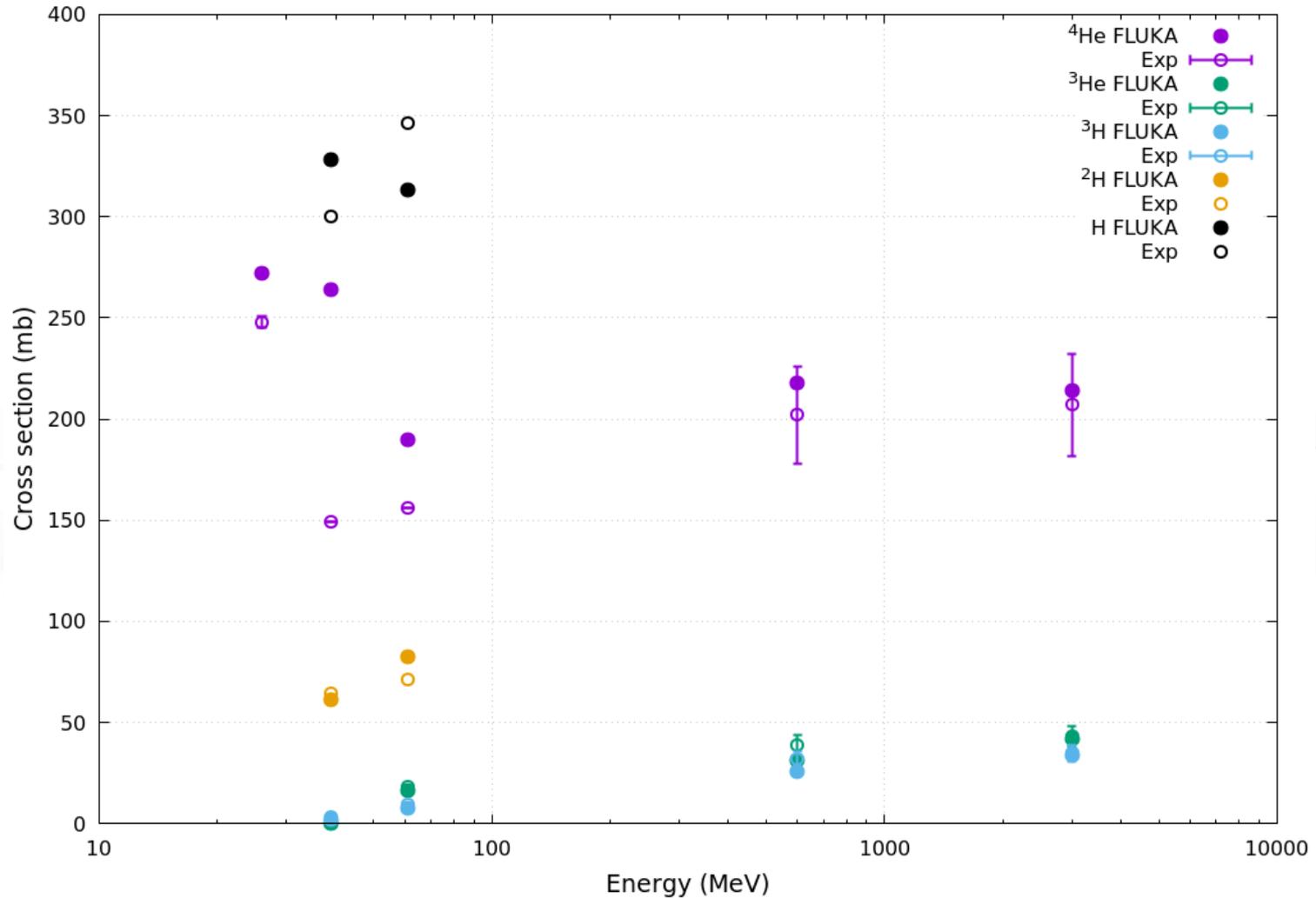
# Example of fission/evaporation

1 A GeV  $^{208}\text{Pb} + \text{p}$  reactions Nucl. Phys. A 686 (2001) 481-524



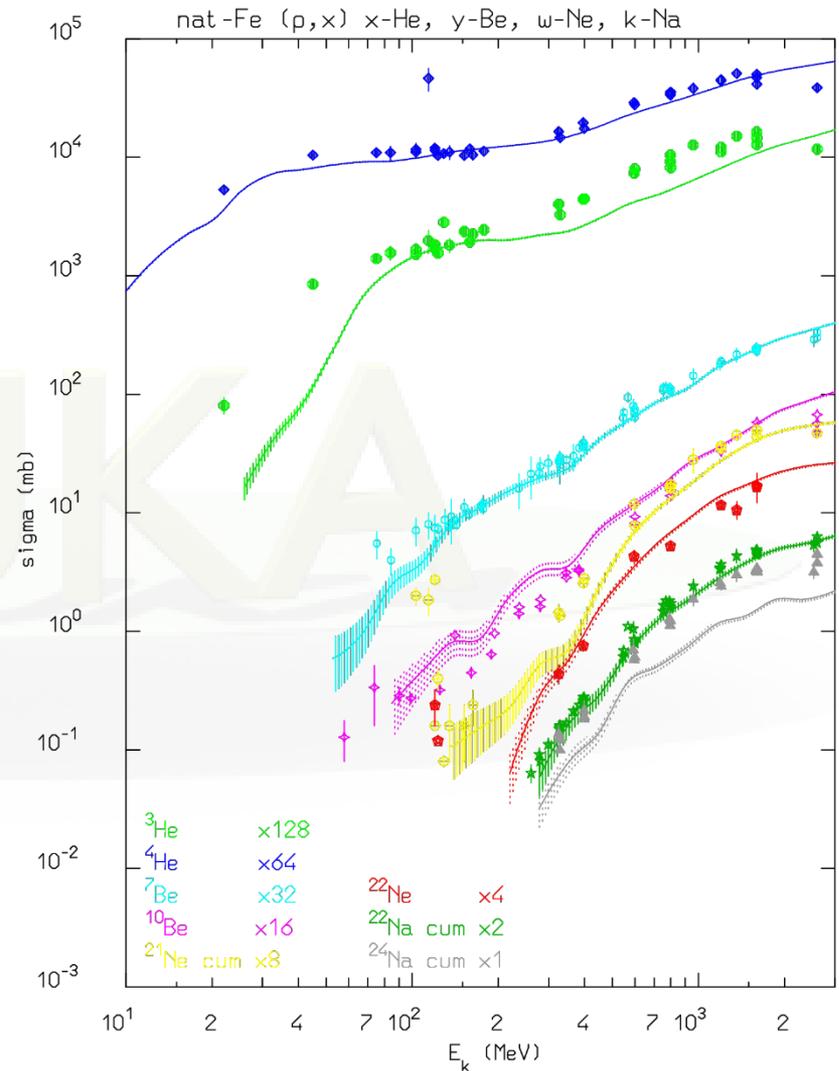
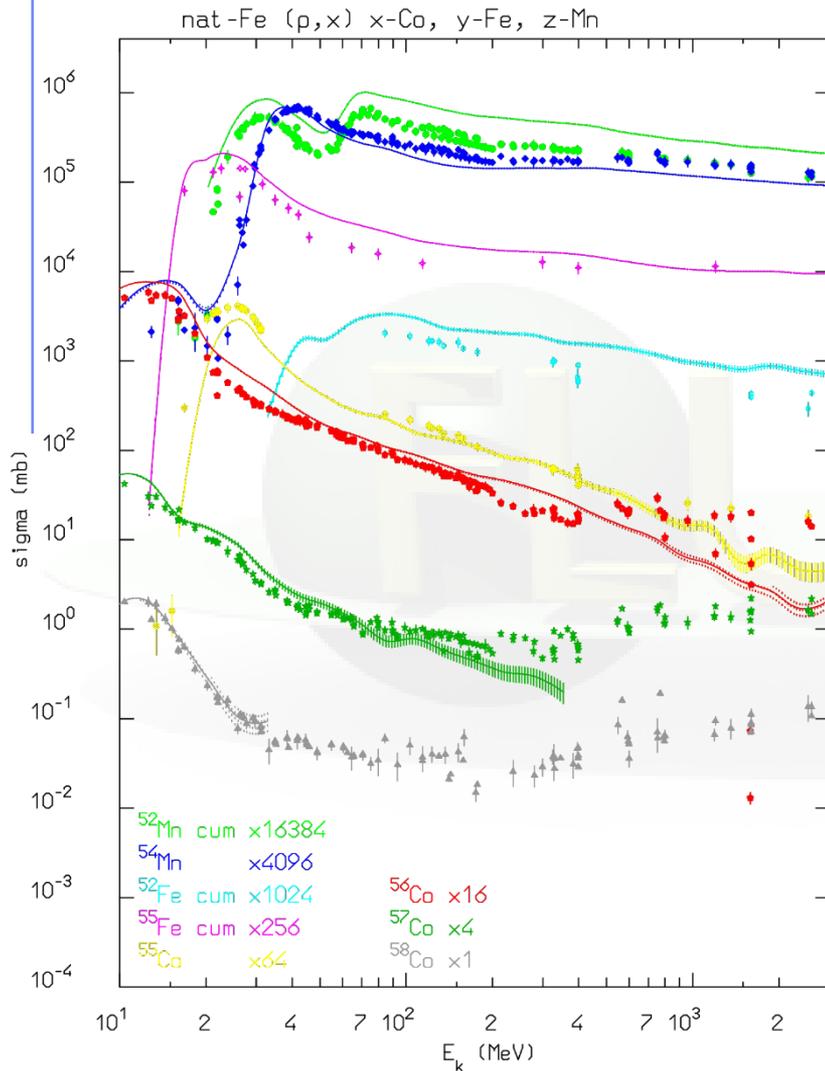
# Particle production $C(p,x)$

H,He production from p on C



Data: JNST36 313 1999, PRC7 2179 1973

# Isotope production for $^{nat}\text{Fe}(p,x)$ :



Data: Michel et al. 1996 and 2002

# RADIATE17: Code Intercomparison

- Some slides for the intercomparison of the various codes on DPA, Happm and Heappm
- Just couple of examples and the summary



# Codes and Participants

- FLUKA 2017.0 (dev version),  $E < 20$  PeV, Vasilis Vlachoudis (CERN)
- MARS15(2016) v. Aug2017,  $E < 100$  TeV, Nikolai Mokhov (Fermilab)
- PHITS version2.96,  $E < 1$  TeV, Yosuke Iwamoto (JAEA)
- SRIM/TRIM 2013, Kinchin-Pease “quick calculation” mode,  $E < 10$  GeV, K. Ammigan (Fermilab)
- MCNP (see next page),  $E < 3$  GeV, David Wootan (PNNL)

## DPA Models

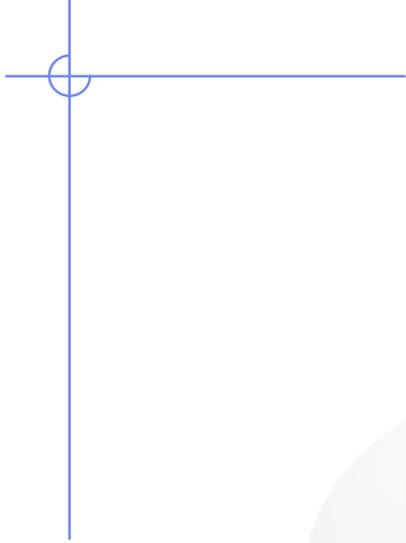
FLUKA: Non-restricted nuclear losses converted to dpa

FLUKA-R: Restricted losses above the damage threshold converted to dpa

MARS, PHITS, SRIM, MCNP: NRT

MARS-EF: NRT with Nordlund efficiency function

**Calculated quantities:** EDEP ( $\text{GeV}/\text{cm}^3$  per 1 pot), DPA (1/yr),  ${}_1\text{H}^1$  and  ${}_2\text{He}^4$  (appm/DPA) (H-1 and He-4 stopped in the window), neutron fluence ( $\text{cm}^{-2} \text{yr}^{-1}$ ) total and  $> 0.1$  MeV. DPA and appm/DPA for the intercomparison are to be calculated with the standard NRT model; additional results with various efficiency functions are welcome.



$$N_F = \kappa \frac{\xi(T)T}{2E_{th}}$$

# $E_{th}$ Damage Threshold Energy

- $E_{th}$  is the value of the threshold displacement energy averaged over all crystallographic directions or a minimum energy to produce a defect

Element	$E_{th}$ (eV)	Element	$E_{th}$ (eV)
Lithium	10	Co	40
C in SiC	20	Ni	40
Graphite	30..35	Cu	40
Al	27	Nb	40
Si	25	Mo	60
Mn	40	W	90
Fe	40	Pb	25

Typical values used in NJOY99 code

- The only variable requested for FLUKA
  - MAT-PROP**  $WHAT(1)$  =  $E_{th}$  (eV)
  - $WHAT(4,5,6)$  = Material range
  - $SDUM$  = **DPA-ENER**

$$N_F = \kappa \frac{\xi(T)T}{2E_{th}}$$

# $\kappa$ displacement efficiency

- $\kappa=0.8$  value deviates from the **hard sphere model** (K&P), and compensates for the forward scattering in the displacement cascade
- The displacement efficiency  $\kappa$  can be considered as independent of  $T$  only in the range of  $T \leq 1-2 \text{ keV}$ . At higher energies, the development of collision cascades results in **defect migration** and **recombination of Frenkel pairs** due to overlapping of different branches of a cascade which translates into decay of  $\kappa(T)$ .
- From molecular dynamics (MD\*) simulations of the primary cascade the number of surviving displacements,  $N_{MD}$ , normalized to the number of those from NRT model,  $N_{NRT}$ , decreases down to the values about 0.2–0.3 at  $T \approx 20-100 \text{ keV}$ . The efficiency in question only slightly depends on atomic number  $Z$  and the temperature.

$$N_{MD}/N_{NRT} = 0.3-1.3$$

$$N_{MD} / N_{NRT} = 0.3 - 1.3 \left( -\frac{9.57}{X} + \frac{17.1}{X^{4/3}} - \frac{8.81}{X^{5/3}} \right)$$

where  $X \equiv 20 T$  (in keV).

•Roger E. Stoller, *J. Nucl. Mat.*, 276 (2000) 22

•D.J. Bacon, F. Gao and Yu.N. Osetsky, *J. Comp.-Aided Mat. Design*, 6 (1999) 225.

$$N_F = \kappa \frac{\xi(T)T}{2E_{th}}$$

# Factor of 2 (Kinchin & Pease)

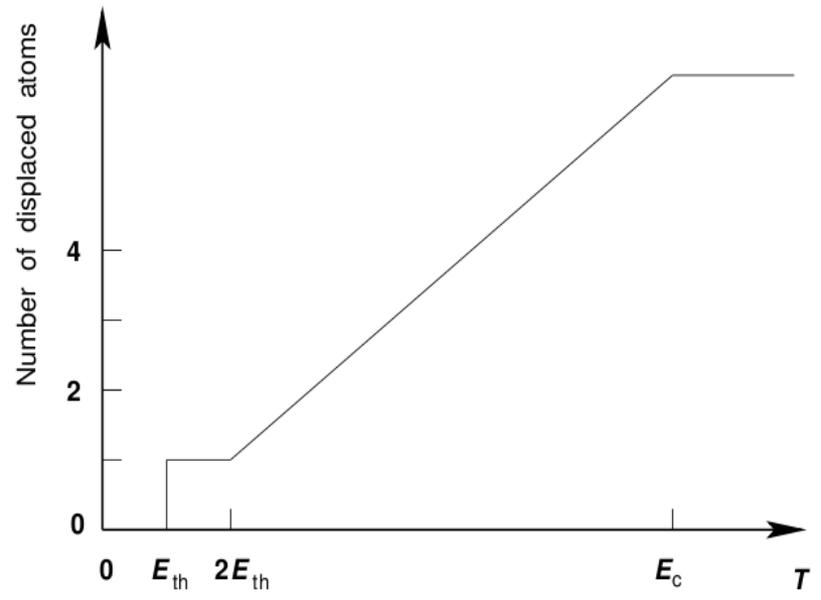
- The cascade is created by a sequence of two-body elastic collisions between atoms
- In the collision process, the energy transferred to the lattice is zero
- For all energies  $T < E_c$  electronic stopping is ignored and only atomic collisions take place. No additional displacement occur above the cut-off energy  $E_c$
- The energy transfer cross section is given by the **hard-sphere** model.

$$v(T)=0 \quad \text{for } 0 < T < E_{th} \text{ (phonons)}$$

$$v(T)=1 \quad \text{for } E_{th} < T < 2E_{th}$$

$$v(T)=T/2E_{th} \quad \text{for } 2E_{th} < T < E_c$$

$$v(T)=E_c/2E_{th} \quad \text{for } T > E_c$$



Schematic relation between the number of displaced atoms in the cascade and the kinetic energy T of the primary knock-on atom

Energy is equally shared between two atoms after the first collision  
 Compensates for the energy lost to sub threshold reactions

$$N_F = \kappa \frac{\xi(T)T}{2E_{th}}$$

# Lindhard partition function $\xi$ [1/2]

- The partition function gives the fraction of **stopping power S** that goes to NIEL
- Approximations used: Electrons do not produce recoil nuclei with appreciable energy, lattice binding energy is neglected, etc...

$$(S_n + S_e)E'_n(E) = \int E_n(T) \frac{d\sigma_n}{dT} dT$$

where

$$S_{n\epsilon}(E) = \int T_{n\epsilon} d\sigma_{n\epsilon}$$

- approximated to

$$\xi(T) = \frac{1}{1 + F_L \cdot (3.4008 \cdot \epsilon(T)^{1/6} + 0.40244 \cdot \epsilon(T)^{3/4} + \epsilon(T))}$$

$$F_L = 30.724 \cdot Z_1 \cdot Z_2 \sqrt{Z_1^{2/3} + Z_2^{2/3}}$$

$$\epsilon(T) = \frac{T}{0.0793 \frac{Z_1^{2/3} \cdot \sqrt{Z_2}}{(Z_1^{2/3} + Z_2^{2/3})^{3/4}} \cdot \frac{(A_1 + A_2)^{3/2}}{A_1^{3/2} \sqrt{A_2}}}$$

Z,A	charge and mass
1	projectile
2	medium
T	recoil energy (eV)

Nice feature: It can handle any projectile  $Z_1, A_1$  whichever charged particle

# Nuclear Stopping power

- Nuclear stopping power (unrestricted)

$$\frac{1}{\rho} S_n(E, E_{th}) = -2\pi N \int_0^{b_{\max}} b \frac{db}{d\theta} W(\theta, E) d\theta$$

- Energy transferred to recoil atom

$$W(\theta, T) = \gamma T \sin^2(\theta/2)$$

- Deflection angle, by integrating over all impact parameters  $b$

$$\theta = \pi - 2 \int \frac{b dr}{r^2 \sqrt{1 - \frac{V(r)}{E_{cms}} - \frac{b^2}{r^2}}}$$

- Universal potential

$$V(r) = \frac{Z_1 Z_2 e^2}{r} F_s \left( \frac{r}{r_s} \right)$$

where:

$$F_s(x) = \sum a_j \exp(-c_j x)$$

$$r_s = 0.88534 r_B / (Z_1^{0.23} + Z_2^{0.23})$$

$$r_s = 0.88534 r_B Z_1^{-1/3}$$

screening function  
screening length  
in case of particle

# Ziegler approximation

- Reduced kinetic energy  $\varepsilon$  ( $T$  in keV)

$$\varepsilon = \frac{32.536 T}{(Z_1^{0.23} + Z_2^{0.23}) \left(1 + \frac{M_1}{M_2}\right) Z_1 Z_2}$$

- Reduced stopping power

$$\text{if } \varepsilon < 30 \quad \hat{S}_n(\varepsilon) = \frac{0.5 \ln(1 + 1.1383 \varepsilon)}{\varepsilon + 0.01321 \varepsilon^{0.21226} + 0.19593 \sqrt{\varepsilon}}$$

$$\text{if } \varepsilon \geq 30 \quad \hat{S}_n(\varepsilon) = \frac{\ln(\varepsilon)}{2\varepsilon}$$

## Important features of Reduced Stopping Power

- Independent from the **projectile** and **target** combination
- Accurate within **1%** for  $\varepsilon < 1$  and to within **5%** or better for  $\varepsilon > 3$
- Stopping power (MeV/g/cm<sup>2</sup>)

$$\frac{1}{\rho} S_n(T) = \frac{5105.3 Z_1 Z_2 \hat{S}_n(\varepsilon)}{(Z_1^{0.23} + Z_2^{0.23}) \left(1 + \frac{M_2}{M_1}\right) A}$$

# Restricted Stopping Power

- The restricted nuclear stopping power is calculated the same way only integrating from 0 impact parameter up to a maximum  $b_{max}$  which corresponds to a transfer of energy equal to the

$$E_{th} = W_{min}(\theta_{min}, T)$$

$$\frac{1}{\rho} S_n(E, E_{th}) = -2\pi N \int_0^{b_{max}} b \frac{db}{d\theta} W(\theta, E) d\theta$$

- To find  $b_{max}$  we have to approximately solve the previous  $\theta$  integral using an iterative approach for

$$\theta_{min} = 2 \arcsin \left( \sqrt{\frac{E_{th}}{\gamma T}} \right)$$

This can be done either by integrating numerically for  $\theta$  or using the magic scattering formula from Biersack-Haggmark that gives a fitting to  $\sin^2(\theta/2)$

# Implementation: Charged Particles

- During the transport of all charged particles and heavy ions the dpa estimation is based on the restricted nuclear stopping power while for NIEL on the unrestricted one.
- For every charged particle above the transport threshold and for every Monte Carlo step, the number of defects is calculated based on a modified multiple integral
- Taking into account also the **second level of sub-cascades initiated by the projectile**

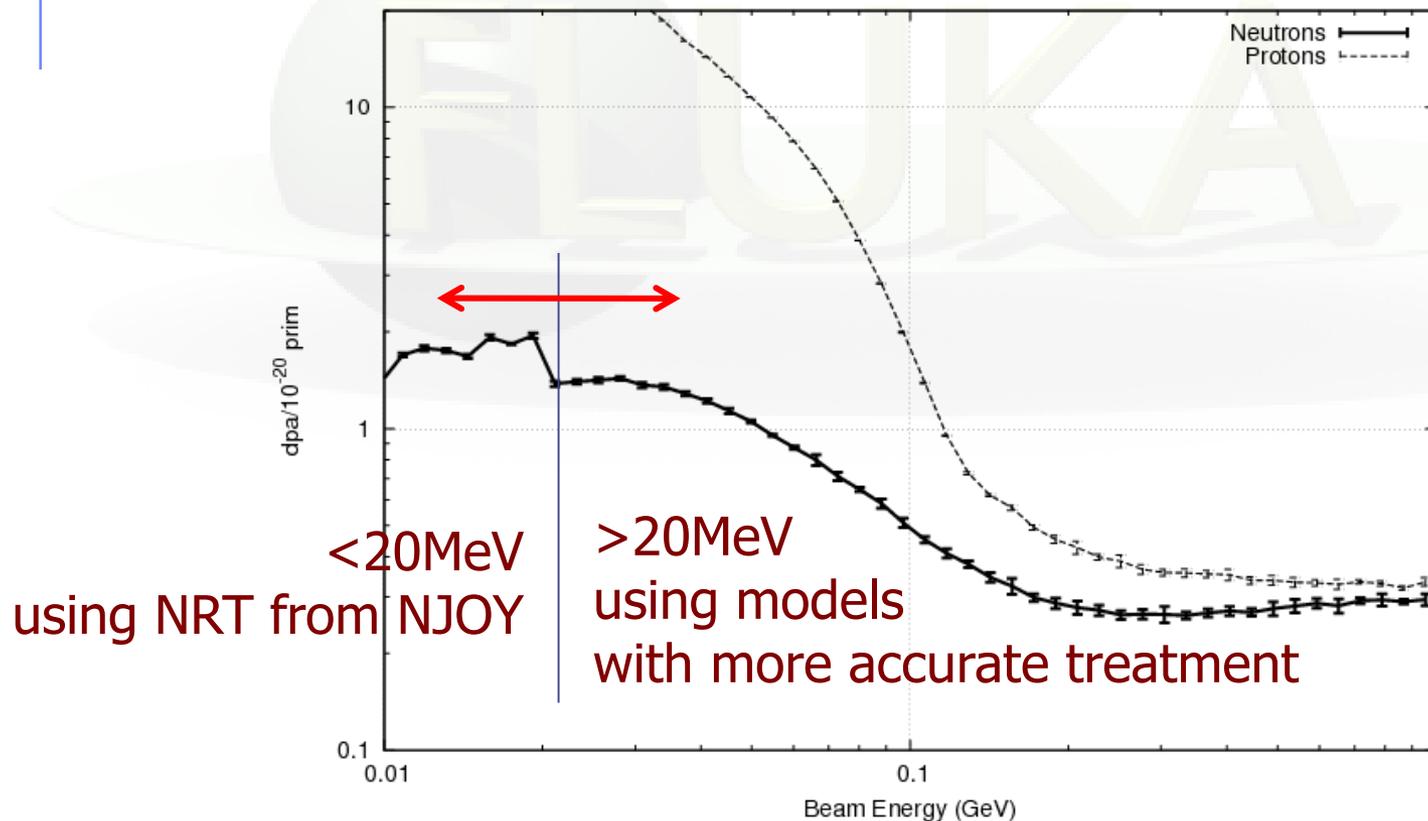
$$N(E) = \int_{E_{th}}^{\gamma E} \left[ \xi_r(T, E_{th}) \left( \frac{d\sigma}{dT} \right)_E \int_{E_{th}}^{\gamma T} \kappa(T') \xi(T') T' \left( \frac{d\sigma}{dT'} \right)_T dT' \right] dT$$

restricted partition function
Lindhard partition function

- Below the transport threshold (1 keV) it employs the Lindhard approximation

# Group Wise Neutron Artifacts

- Due to the group treatment of low-energy neutrons, there is no direct way to calculate properly the recoils.
- Therefore the evaluation is based on the KERMA factors calculated by NJOY, which in turn is based on the Unrestricted Nuclear losses from using the NRT model.



# Implementation: others

For Bremsstrahlung and pair production the recoil is sampled randomly from an approximation of the recoil momentum cross section

## Bremsstrahlung

$$\frac{d\sigma}{dp_{\perp}} = \frac{32a(Za)^2}{kp_{\perp}^3} \left[ 1 - \frac{k}{E} + \frac{1}{2} \left( \frac{k}{E} \right)^2 \right] \ln \left( \frac{p_{\perp}}{m_e} \right)$$

## Pair production

$$\frac{d\sigma}{dp} = \frac{0.183 \cdot 10^{-2} Z^2}{p^3} (\ln(p) + 0.5)$$

both can be written in the same approximate way as

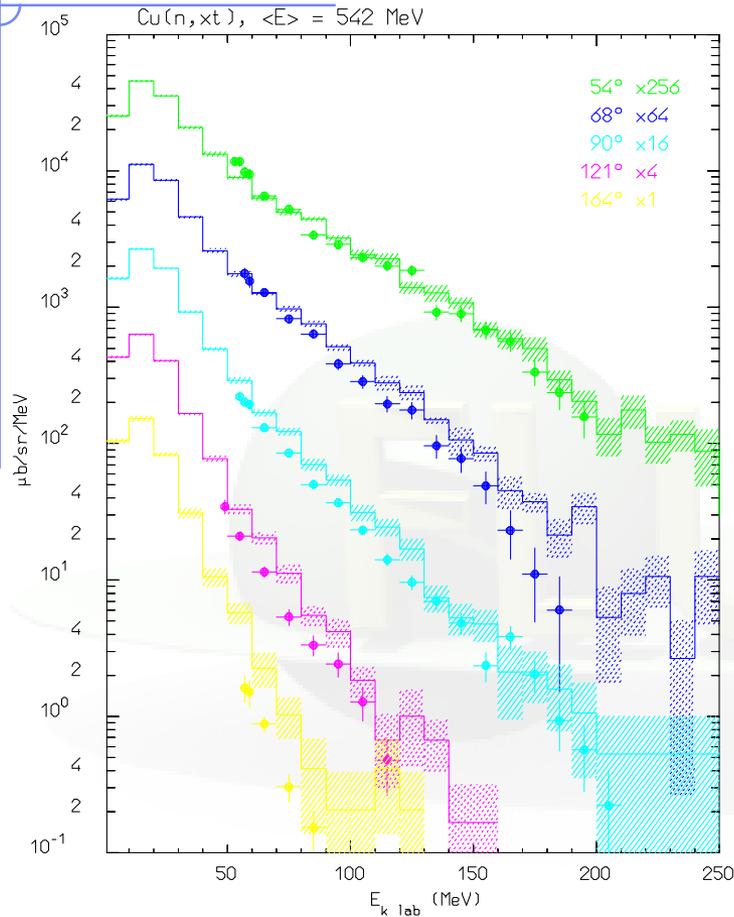
$$\frac{d\sigma}{dp} \propto \frac{\ln(p/c)}{p^3}$$

where the recoil momentum is sampled randomly by rejection from a similar function

# Coalescence:

- d, t,  $^3\text{He}$ , and alpha's generated during the (G)INC and preequilibrium stage
- All possible combinations of (unbound) nucleons and/or light fragments checked at each stage of system evolution
- FOM evaluation based on phase space "closeness" used to decide whether a light fragment is formed rather than not
  - ❑ FOM evaluated in the CMS of the candidate fragment at the time of minimum distance
  - ❑ Naively a momentum or position FOM should be used, but not both due to quantum non commutation
  - ❑ ... however the best results are obtained with a Wigner transform FOM (assuming gaussian wave packets) which should be the correct way of considering together positions and momenta
- Binding energy redistributed between the emitted fragment and residual excitation (exact conservation of 4-momenta)

# Coalescence



High energy light fragments are emitted through the coalescence mechanism: “put together” emitted nucleons that are near in phase space.

Example : double differential t production from 542 MeV neutrons on Copper

**Warning:** coalescence is OFF by default  
Can be important, ex for . residual nuclei.

To activate it:

PHYSICS 1.

COALESCENCE

If coalescence is on, switch on Heavy ion transport and interactions (see later)