

# Status of direct detection experiments and their approaches to statistical inference

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PHYSTAT-DM, 31st July 2019, Stockholm

Jim Dobson, STFC Ernest Rutherford Fellow @ UCL



Science & Technology  
Facilities Council

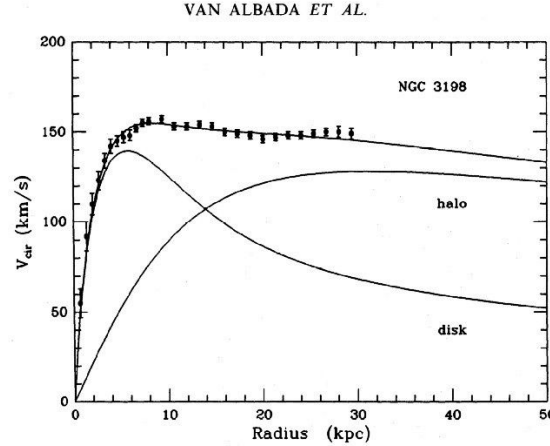


# Dark Matter ← evidence from gravitational effects @ many scales

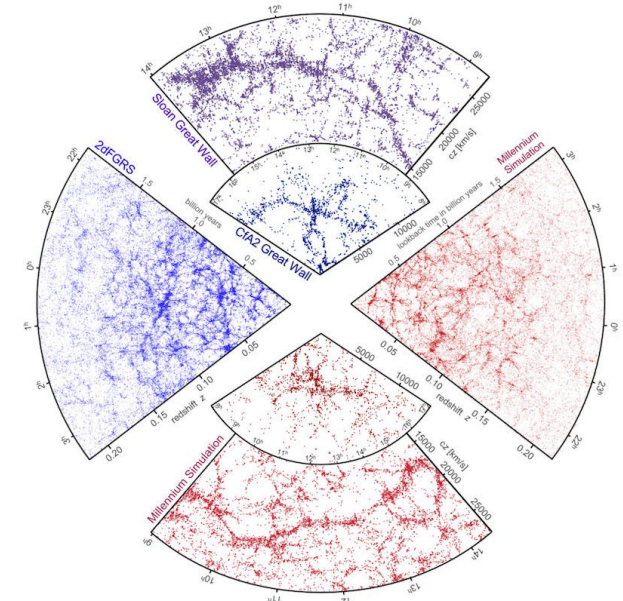
## Galaxy clusters



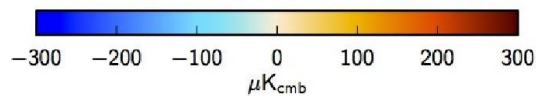
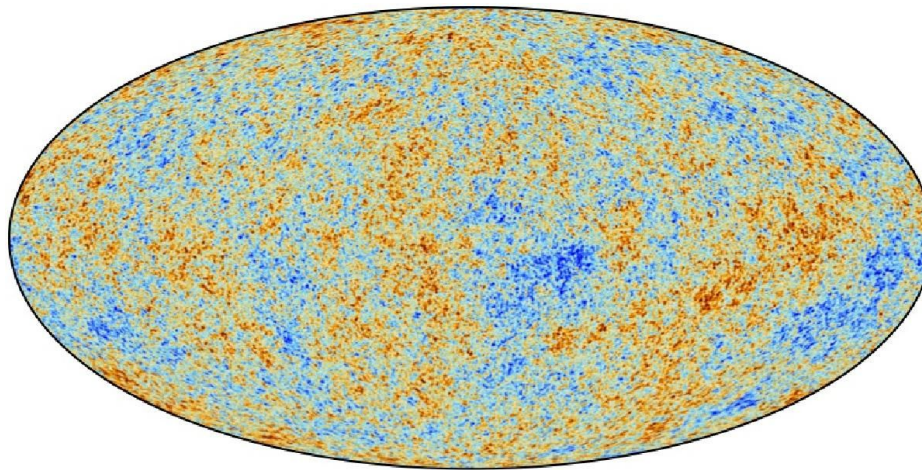
## Galaxy rotation curves



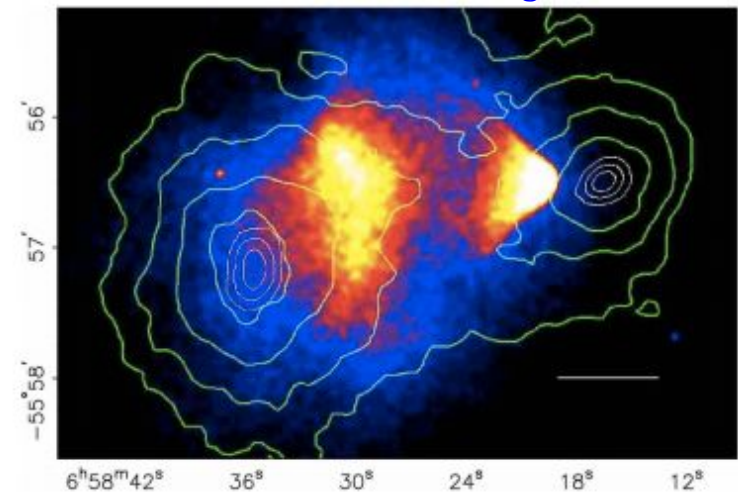
## Large scale structure



## Cosmic Microwave Background



## Gravitational lensing

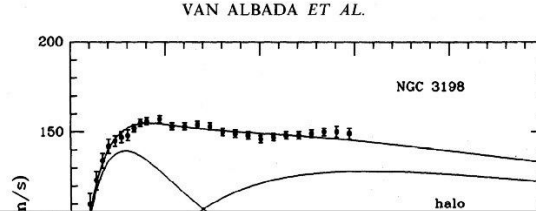


# Dark Matter ← evidence from gravitational effects @ many scales

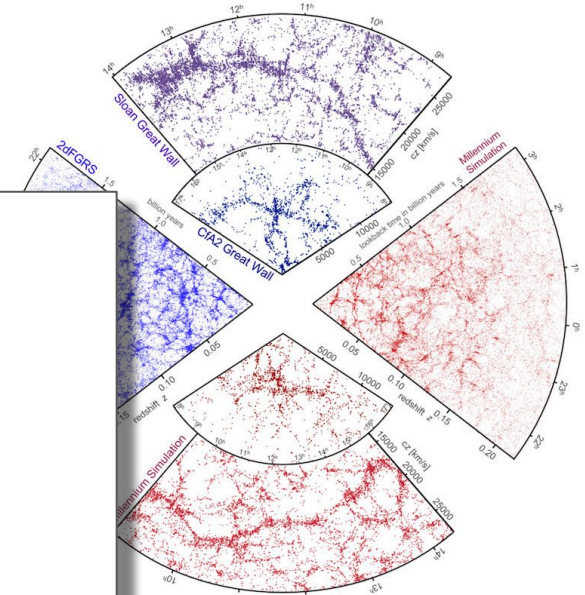
Galaxy clusters



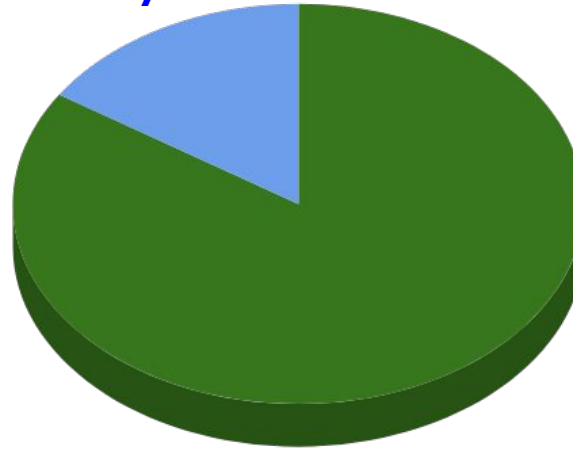
Galaxy rotation curves



Large scale structure

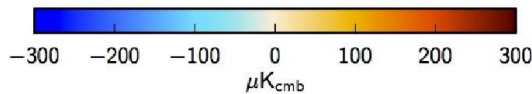
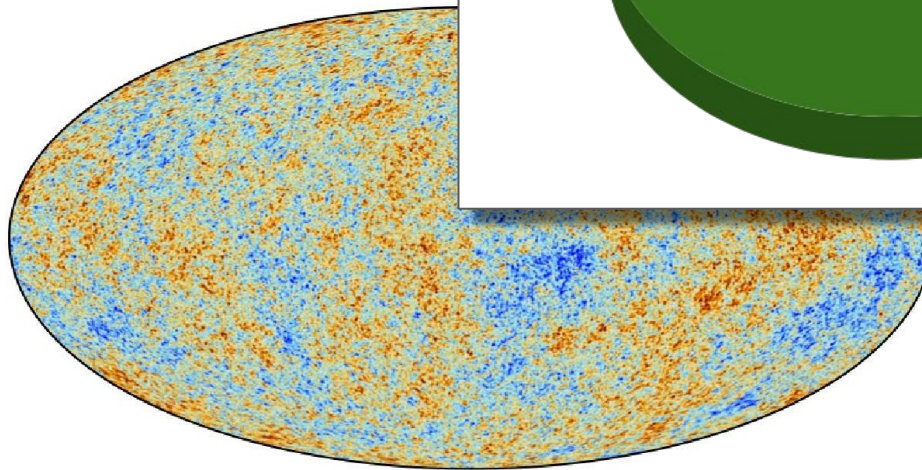


15% Ordinary Matter

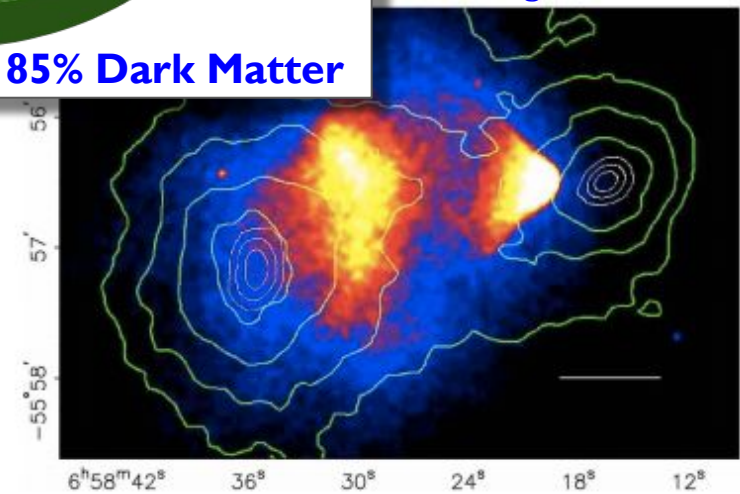


85% Dark Matter

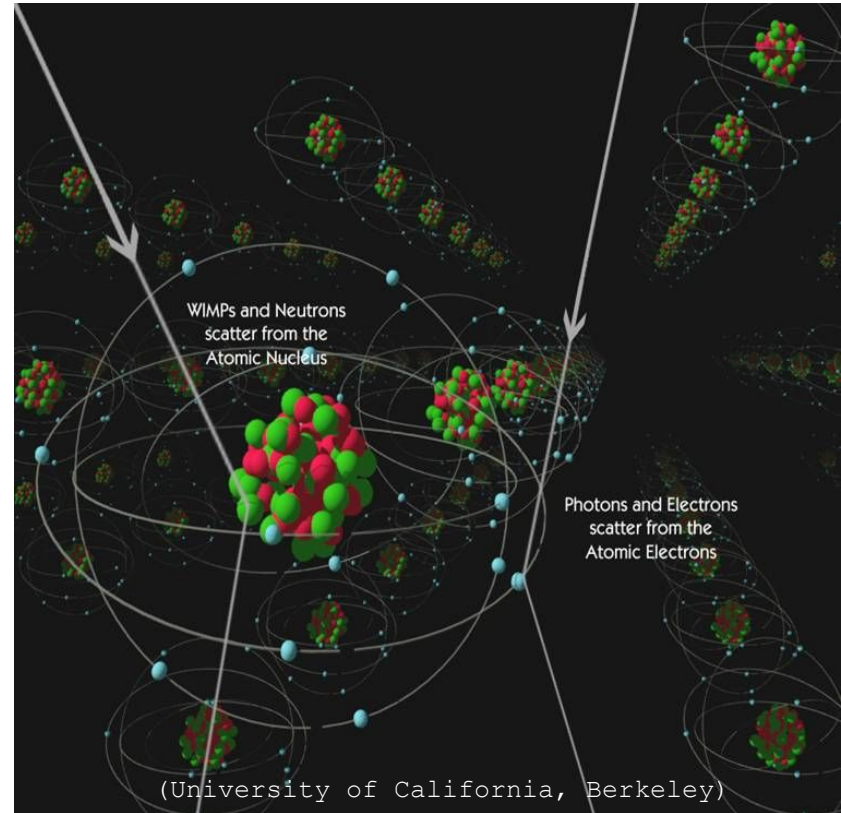
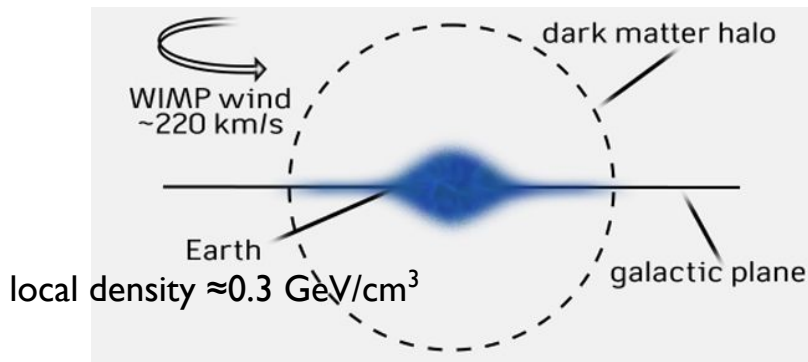
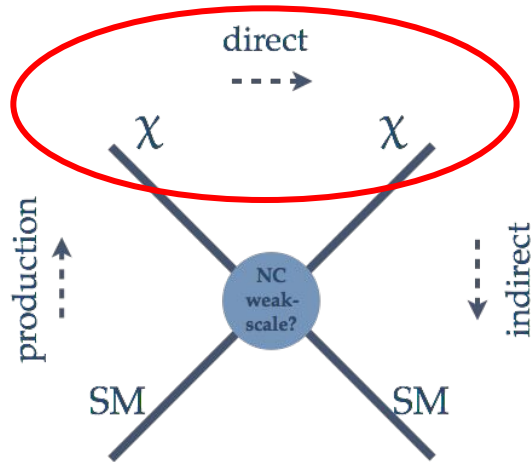
Cosmic Microwave



Gravitational lensing

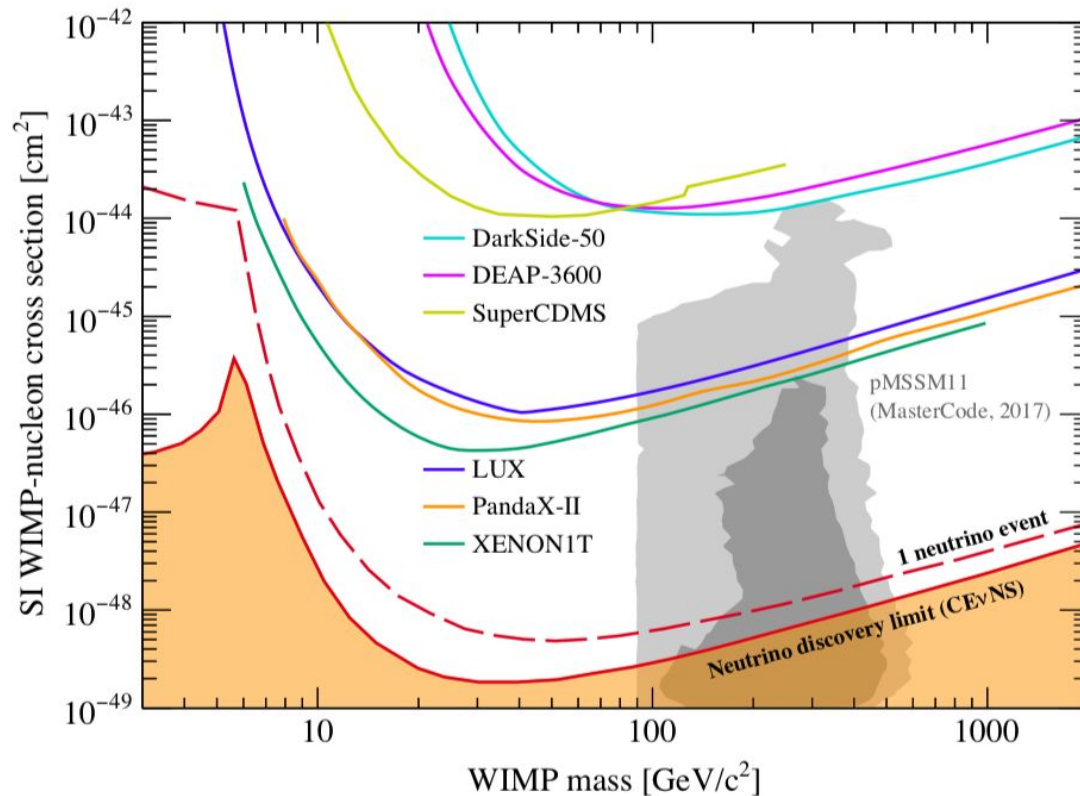


# Direct Detection



Direct searches for **rare** ( $<0.0001$  /kg/day), **low-energy** ( $\sim$ keV) scattering of **thermal relics** (e.g. galactic WIMPs)

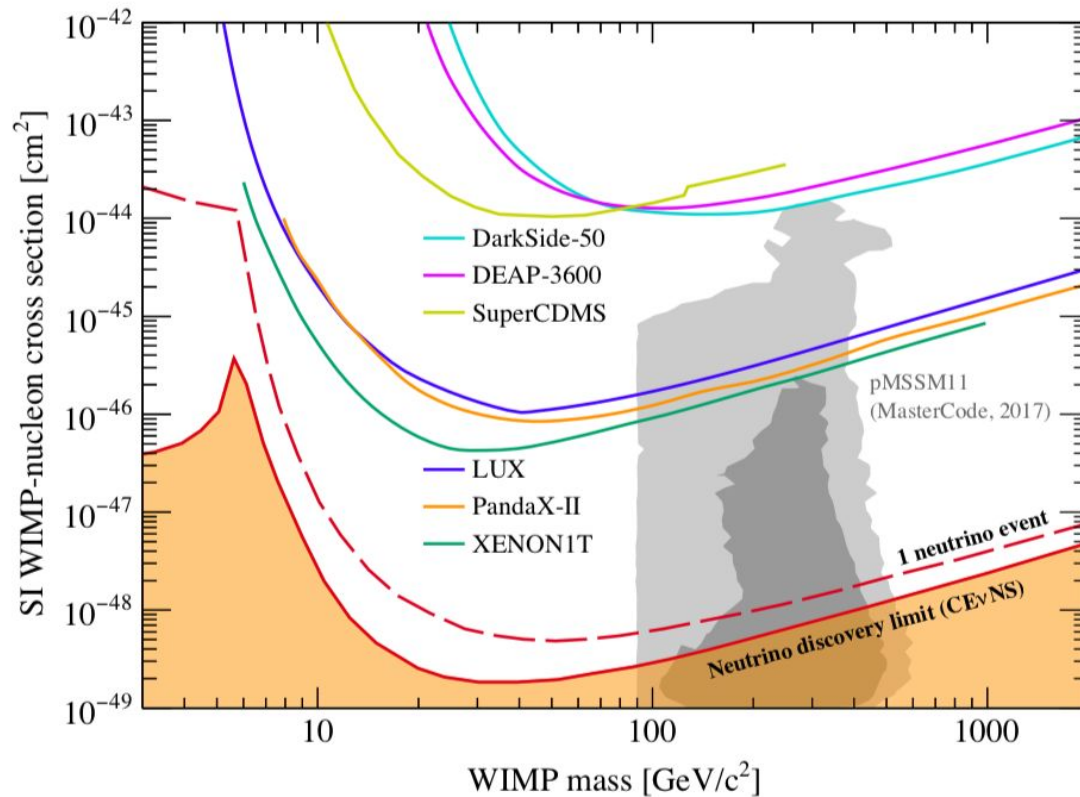
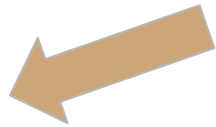
# Direct Detection: sensitivity drivers



Direct detection requires detector with: **large target + low threshold + low background**

# Direct Detection: sensitivity drivers

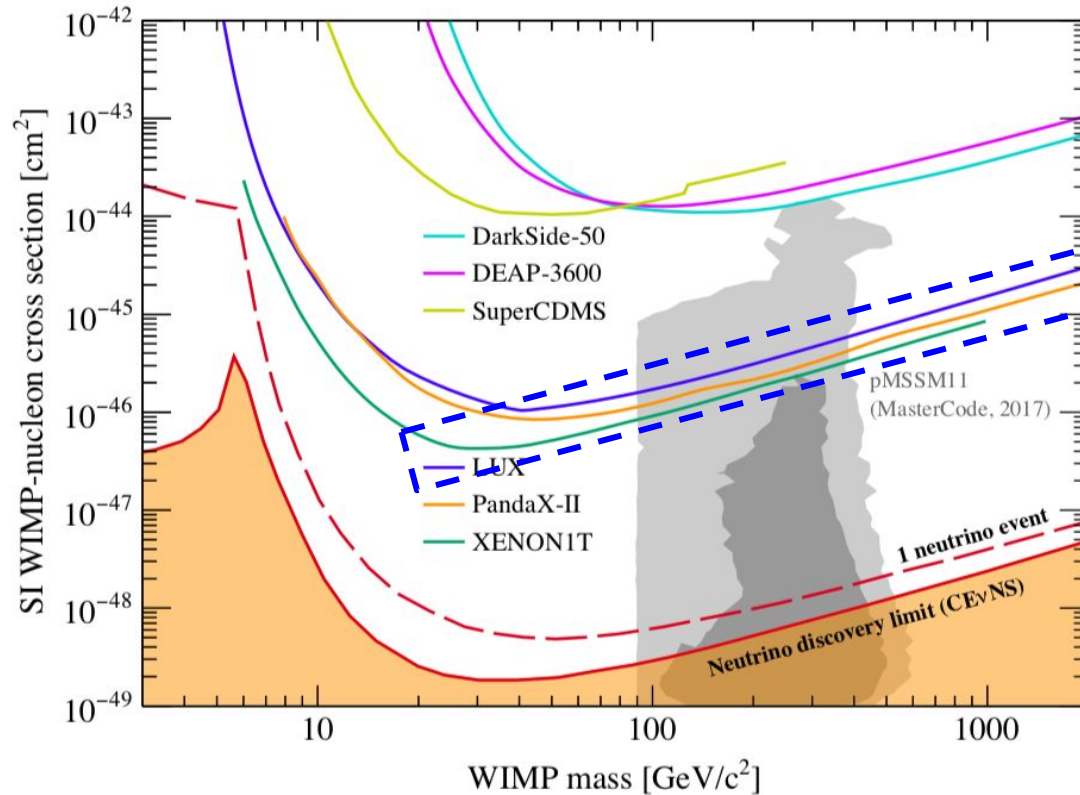
**THRESHOLD & ATOMIC MASS MATTERS**  
**CRYOGENIC DETECTORS**



**SIZE (x TIME) MATTERS**  
**NOBLE LIQUIDS**



# Direct Detection status: LXe



Liquid Xenon  
time projection  
chambers

# Direct Detection status: LXe

**ZEPLIN-II**

**XENON10**

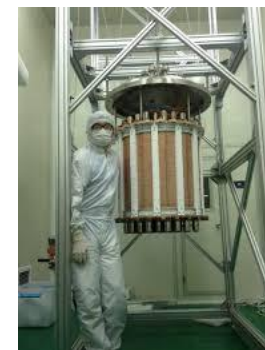
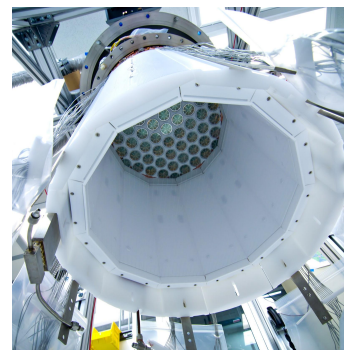
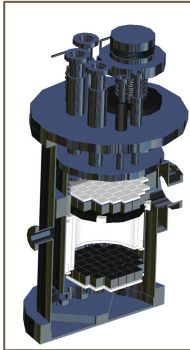
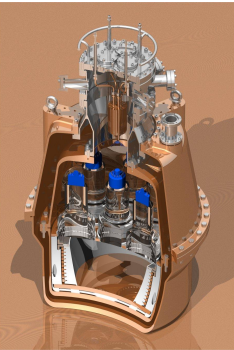
**ZEPLIN-III**

**XENON100**

**LUX**

**PANDAX-II**

**XENON1T**



31 kg  
(7.2 kg)

15 kg  
(5 kg)

12 kg  
(7 kg)

62 kg  
(34 kg)

250 kg  
(100 kg)

580 kg  
(362 kg)

2,000 kg  
(1,042 kg)

2007

2007

2008

2010

2013

2016

2017

$6.6 \times 10^{-43} \text{ cm}^2$

$8.8 \times 10^{-44} \text{ cm}^2$

$8.1 \times 10^{-44} \text{ cm}^2$

$3.4 \times 10^{-44} \text{ cm}^2$

$3.4 \times 10^{-46} \text{ cm}^2$

$2.5 \times 10^{-46} \text{ cm}^2$

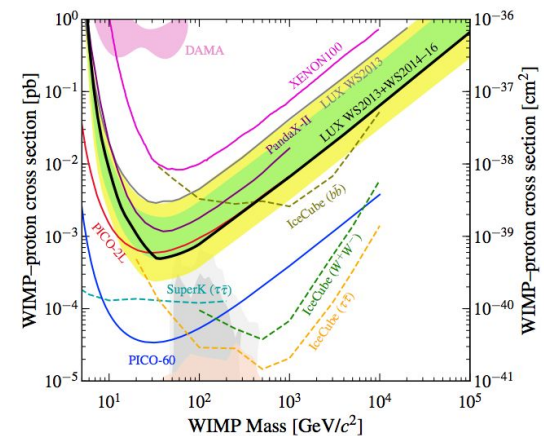
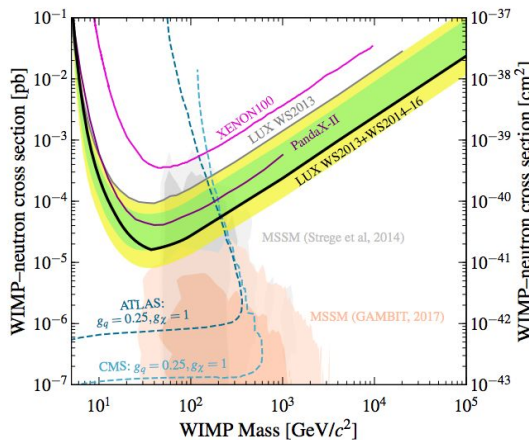
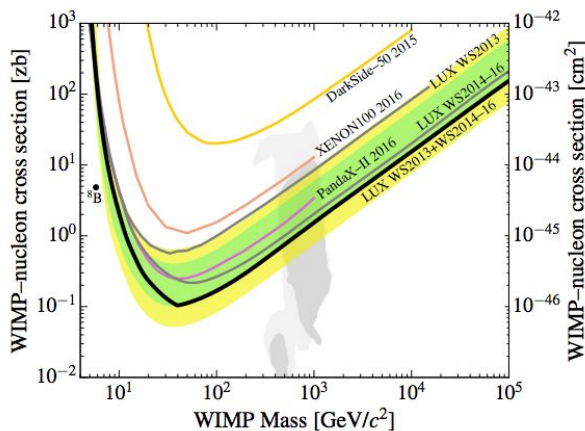
$7.7 \times 10^{-47} \text{ cm}^2$

\*disclaimer: will only show latest/leading results in more detail



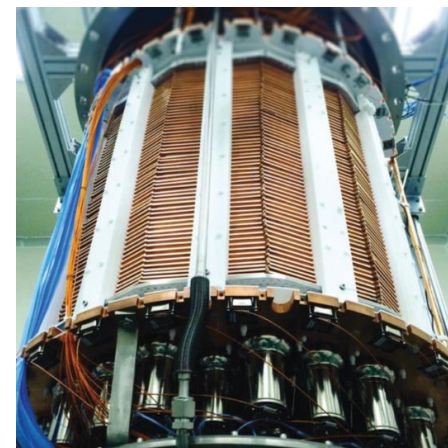
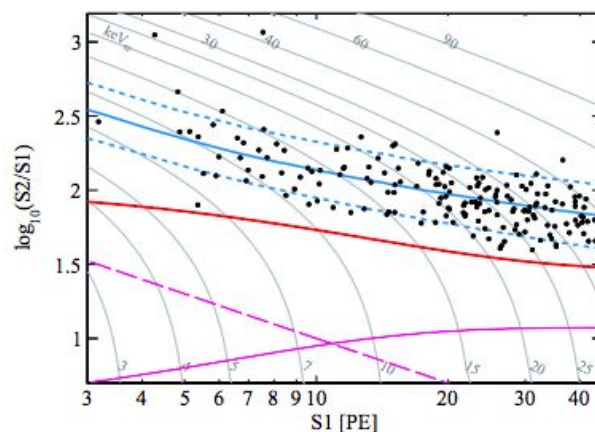
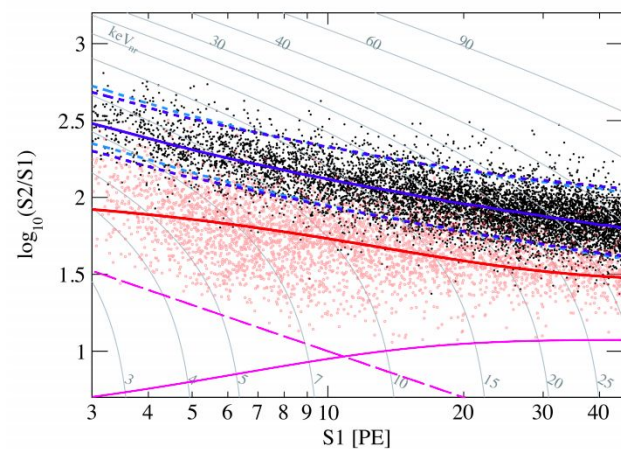
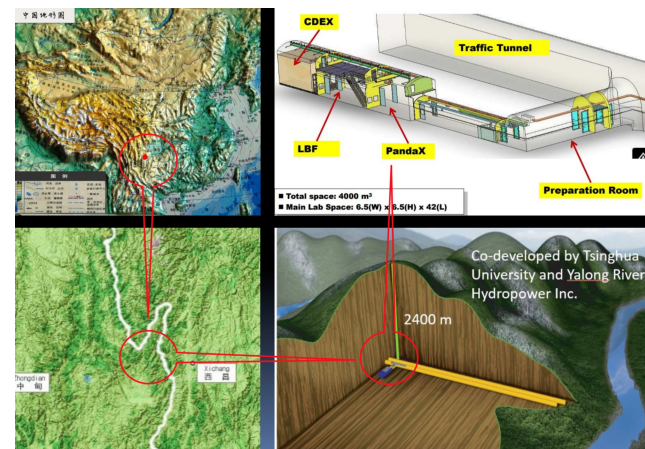
# LUX @ SURF (USA)

- First results in 2013, decommissioning in 2018
  - S.I. WIMP-nucleon constraints (Phys. Rev. Lett. 118, 021303 (2017))
  - S.D. WIMP-neutron constraints (Phys. Rev. Lett. 118, 251302 (2017))
- Axions/ALPs results (Phys. Rev. Lett. 118, 261301 (2017))
- Multiple analyses completed / ongoing (non-WIMP DM, modulations, multiple-scatter, EFT, ...)
- Novel techniques to extend to low mass: DPE, Migdel
- Calibrations and light/charge yields: strong legacy



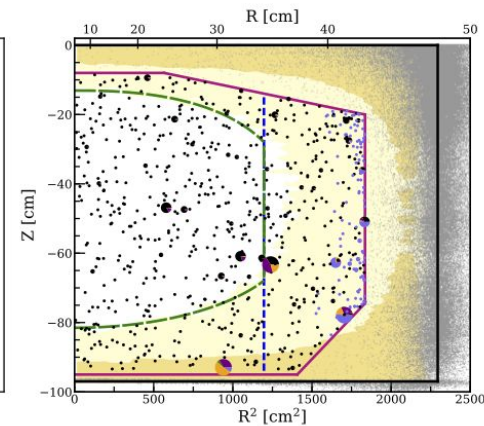
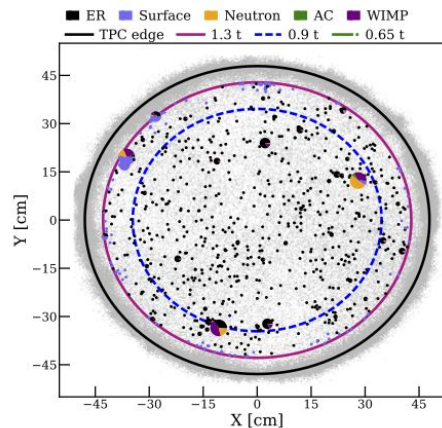
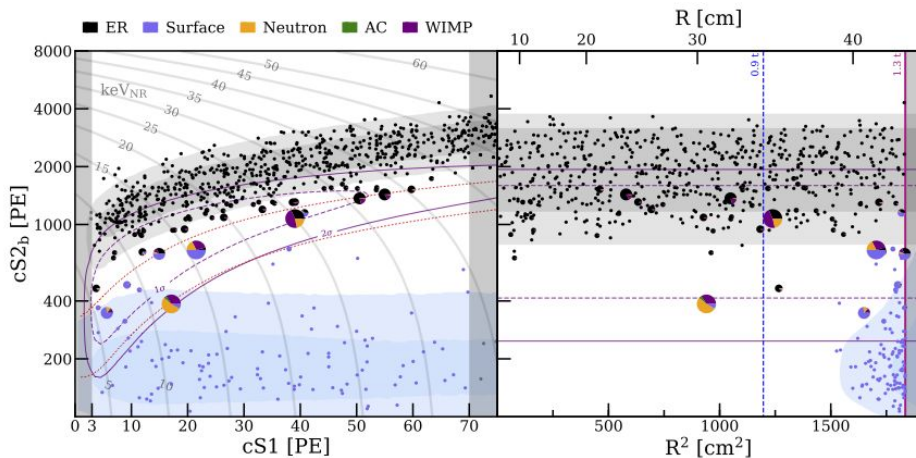
# PandaX @ Jin Ping (China)

- 580 kg LXe TPC
- Very first results were competitive with LUX
- Rapid construction and deployment
- S.I. constraints:
  - Phys. Rev. Lett. 119, 181302 (2017)
- Science runs to be completed in 2019



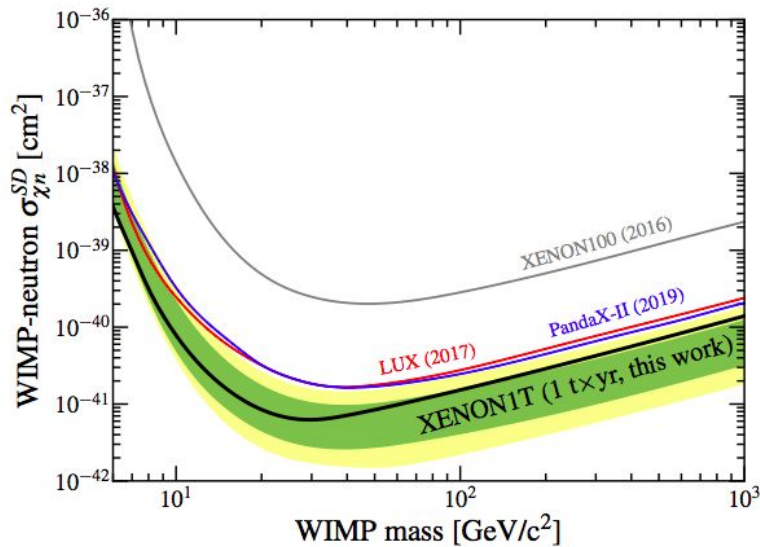
# XENONIT @ Gran Sasso (Italy)

- 2 tonne LXe TPC (1.3 tonne FV)
- Lowest BG rate to date
- World-leading limits on WIMP interactions
  - S.I. WIMP-nucleon constraints: Phys. Rev. Lett. 121, 111302 (2018)
  - S.D. WIMP-neutron constraints: Phys. Rev. Lett. 122, 141301 (2019)
- +  $4.4\sigma$  observation of  $2\nu$  DEC  $^{124}\text{Xe}$  [Nature 568 (2019)]

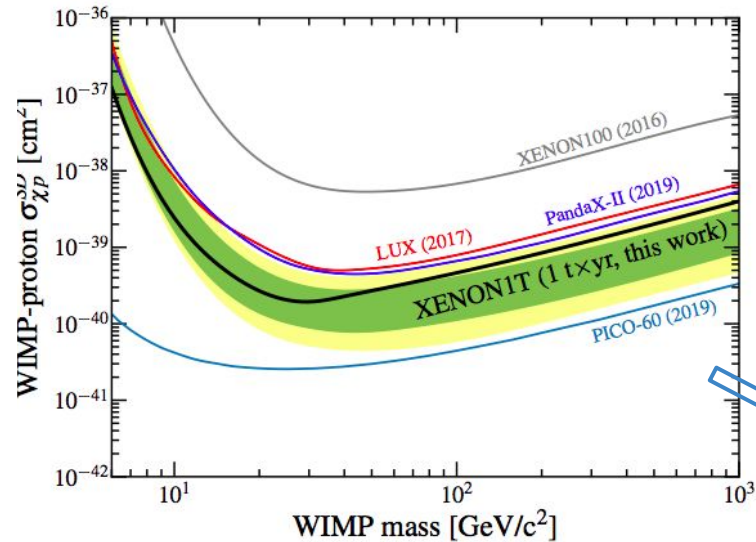


arXiv:1805.12562

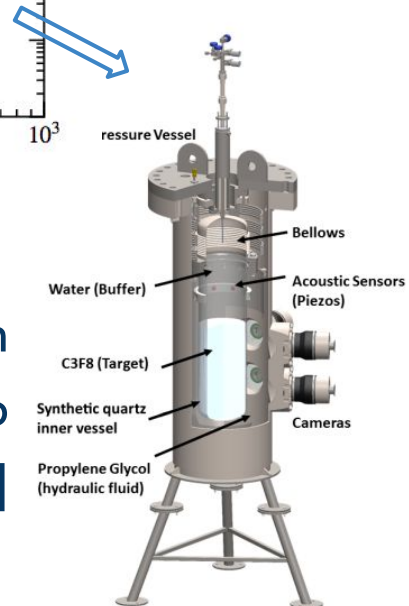
# Spin-dependent: XENONIT/PICO-60



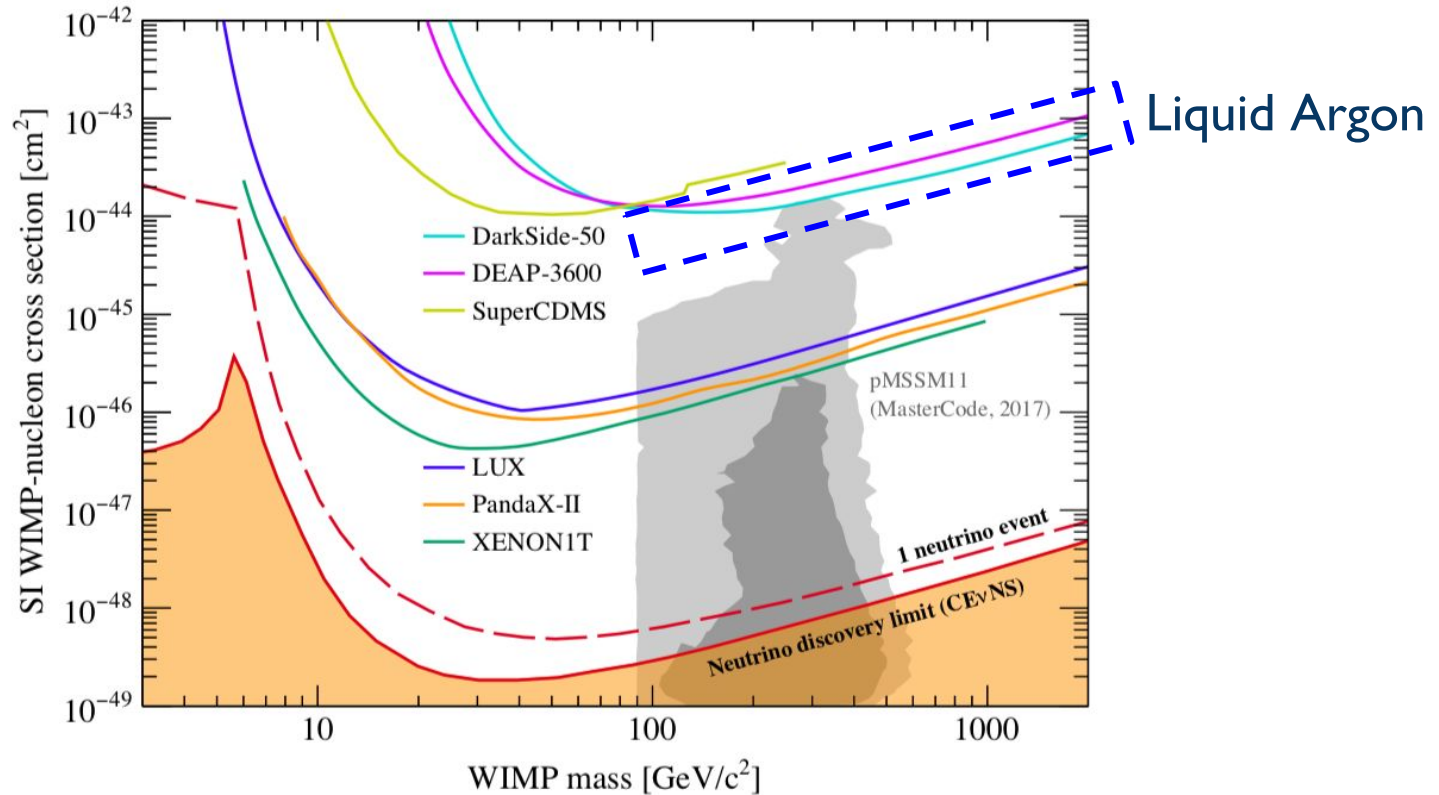
XENONIT leading  
SD-neutron  
arXiv:1805.12562



PICO-60 bubble chamber with  
52 kg of C3F8 leads SD-p  
[\[arxiv.org/1902.04031\]](https://arxiv.org/1902.04031)

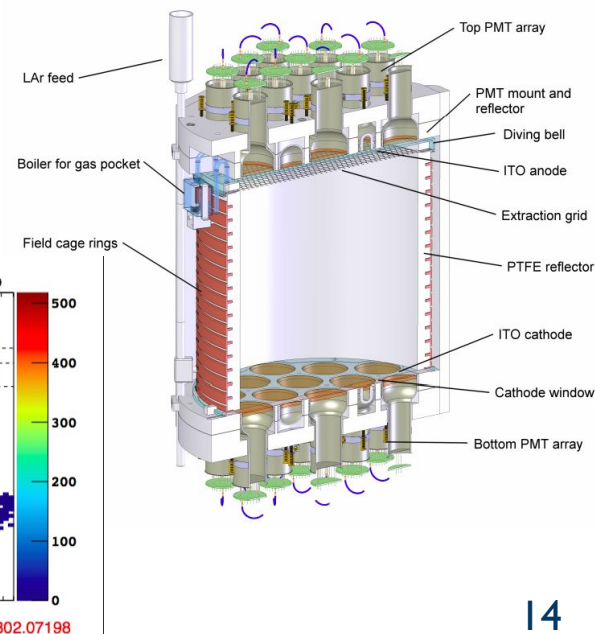
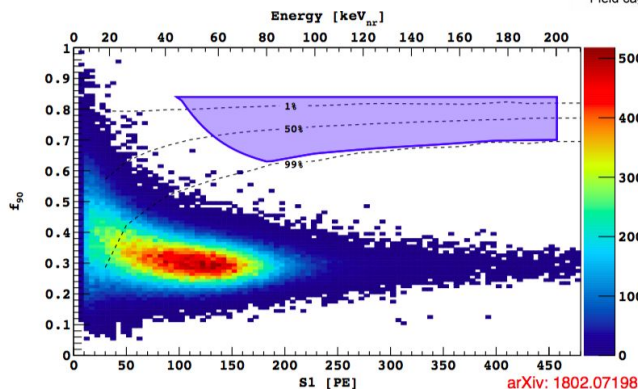
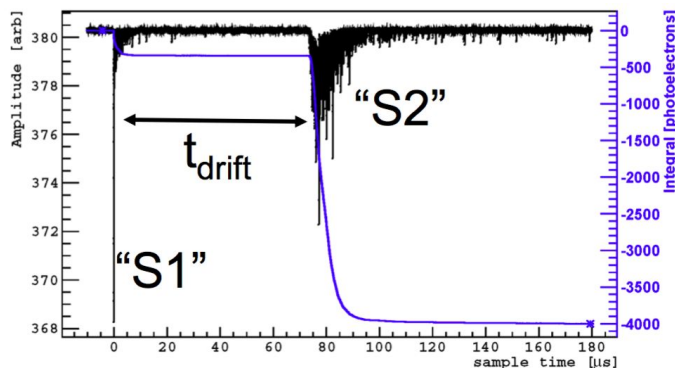


# Direct Detection status: LAr



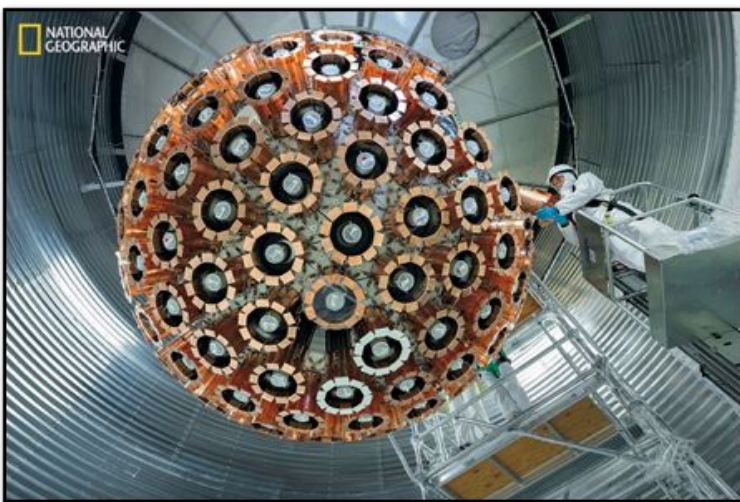
# DarkSide-50 @ Gran Sasso (Italy)

- 50 kg LAr TPC
  - Installed 2012
  - 37 kg fiducial volume
  - PSD with S1, S2 signals for position
  - TPB wavelength shifter; ITO on quartz as electrodes
- $^{39}\text{Ar}$   $\beta$ -decay, 1 Bq/kg, Q-value  $\sim 550$  keV
  - First use of UAr ( $^{39}\text{Ar}$  depleted)
- Results in 2018: Spin-independent WIMP-nucleon limit above  $1.1 \times 10^{-44} \text{ cm}^2$



# DEAP-3600 @ SNOLab (Canada)

- Single phase LAr, 3.6 Ton (1 Ton fiducial)
- ‘Re-surfaced’ acrylic vessel; 255 8” PMTs
- Pulse shape discrimination (PSD) for particle ID
- x250 difference in scintillation time constants between ER and NR
- $E_{th} \sim 39$  keV determined by PSD
  - ( $^{39}\text{Ar}$   $\beta$ -decay, 1 Bq/kg, Q-value  $\sim 550$  keV)
- 231 day exposure, S.I. limit above  $3.9 \times 10^{-45} \text{ cm}^2$  (2019)



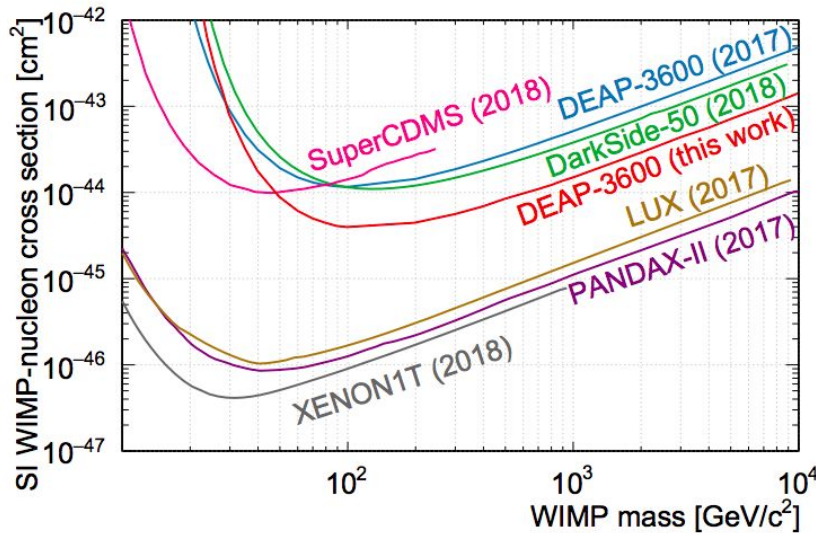
arXiv:1902.04048v1 [astro-ph] 11 Feb 2019

Search for dark matter with a 231-day exposure of liquid argon using DEAP-3600 at SNOLAB

R. Aja,<sup>3</sup> P.-A. Amaudruz,<sup>17</sup> G.R. Araujo,<sup>7</sup> M. Baldwin,<sup>14</sup> M. Batygov,<sup>5</sup> B. Beltran,<sup>1</sup> C.E. Bina,<sup>1</sup> J. Bonati,<sup>12</sup> M.G. Boulay,<sup>12</sup> B. Broerman,<sup>12</sup> J.F. Bueno,<sup>1</sup> P.M. Burghardt,<sup>7</sup> A. Butcher,<sup>13</sup> B. Cai,<sup>12</sup> S. Cavuoti,<sup>9,8</sup> M. Chen,<sup>12</sup> Y. Chen,<sup>1</sup> B.T. Cleveland,<sup>15,5</sup> D. Cranshaw,<sup>12</sup> K. Dering,<sup>12</sup> J. DiGiuseppe,<sup>12</sup> L. Duria,<sup>11</sup> F.A. Duncan,<sup>15,1</sup> M. Dunford,<sup>3</sup> A. Erlandson,<sup>3,2</sup> N. Fatemighomi,<sup>10,13</sup> G. Fiorillo,<sup>9,8</sup> S. Florian,<sup>12</sup> A. Flower,<sup>3,12</sup> R.J. Ford,<sup>15,5</sup> R. Gagnon,<sup>12</sup> D. Gallacher,<sup>3</sup> E.A. Garcés,<sup>9</sup> S. Garg,<sup>3</sup> P. Giampa,<sup>17,12</sup> D. Goold,<sup>3</sup> V.V. Golovko,<sup>2</sup> P. Gores,<sup>15,5</sup> K. Graham,<sup>3</sup> D.R. Grant,<sup>1</sup> A.L. Hallin,<sup>1</sup> M. Hamstra,<sup>8,12</sup> P.J. Harvey,<sup>12</sup> C. Hearn,<sup>12</sup> A. Joy,<sup>1</sup> C.J. Jillings,<sup>15,5</sup> O. Kamnev,<sup>2</sup> G. Kaur,<sup>3</sup> A. Kemp,<sup>13</sup> I. Kochanek,<sup>4</sup> M. Kuzniak,<sup>3,12</sup> S. Langrock,<sup>3</sup> F. La Zia,<sup>13</sup> B. Lehnert,<sup>3</sup> X. Li,<sup>10</sup> J. Lidgard,<sup>12</sup> T. Lindner,<sup>17</sup> O. Litvinov,<sup>17</sup> J. Lock,<sup>3</sup> G. Longo,<sup>9,8</sup> P. Majewski,<sup>14</sup> A.B. McDonald,<sup>12</sup> T. McElroy,<sup>12</sup> T. McGinn,<sup>13,1</sup> J.B. McLaughlin,<sup>10,13</sup> R. Meddley,<sup>3</sup> C. Melnichuk,<sup>1</sup> J. Monroe,<sup>13</sup> P. Nadeau,<sup>3</sup> C. Nautias,<sup>12</sup> C. Ng,<sup>1</sup> A.J. Noble,<sup>12</sup> E. O'Dwyer,<sup>12</sup> C. Ouellet,<sup>1</sup> P. Poustihy,<sup>12</sup> S.J.M. Peeters,<sup>10</sup> M.-C. Pico,<sup>1</sup> T.R. Pollmann,<sup>1</sup> E.T. Rand,<sup>2</sup> C. Retzlmeier,<sup>12</sup> F. Retzlire,<sup>17</sup> N. Seubum,<sup>13</sup> K. Singha,<sup>1</sup> P. Siemssen,<sup>12</sup> B. Smith,<sup>17</sup> N.J.T. Smith,<sup>15,5</sup> T. Stanley,<sup>3,15</sup> J. Soukup,<sup>1</sup> R. Stainforth,<sup>3,4</sup> C. Stone,<sup>12</sup> V. Strickland,<sup>17,3</sup> B. Sur,<sup>2</sup> J. Tang,<sup>1</sup> E. Vázquez-Jauregui,<sup>6</sup> L. Velozo,<sup>12</sup> S. Viel,<sup>3</sup> J. Wadling,<sup>13</sup> M. Waapar,<sup>3</sup> M. Ward,<sup>12</sup> S. Westerdale,<sup>3,11</sup> J. Willis,<sup>1</sup> and A. Zúñiga-Reyes<sup>6</sup> (DEAP Collaboration)

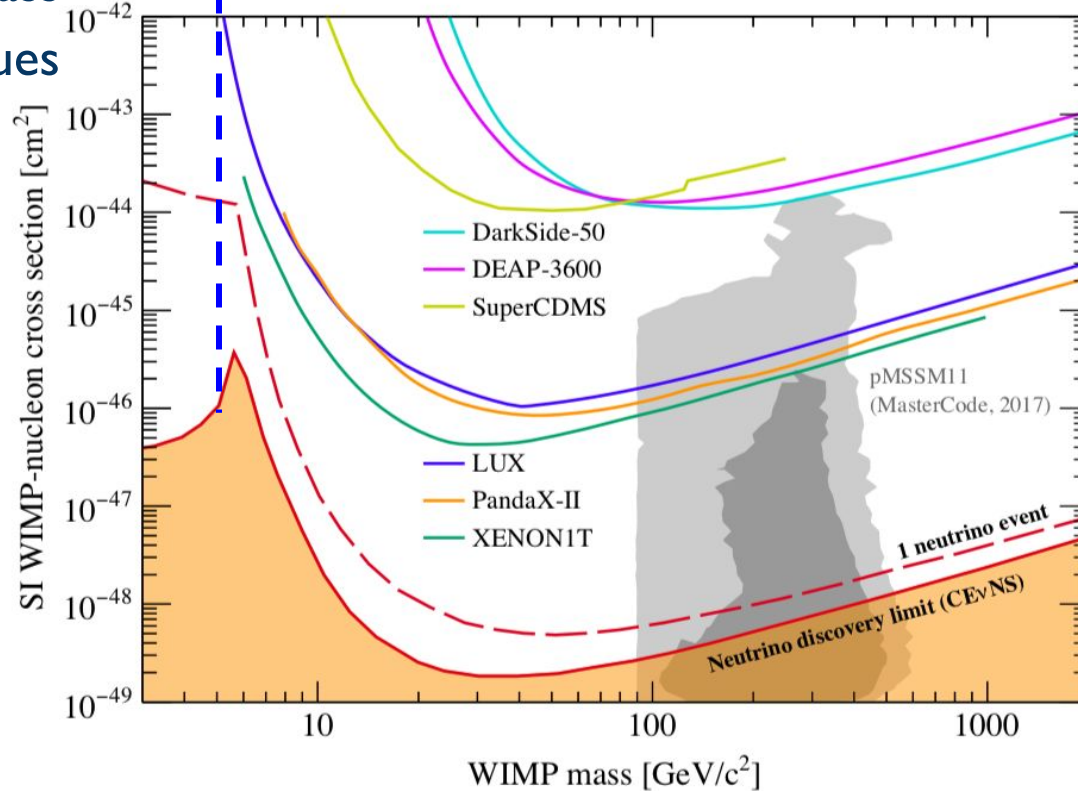
<sup>1</sup>Department of Physics, University of Alberta, Edmonton, Alberta, T6G 2R3, Canada  
<sup>2</sup>Canadian Nuclear Laboratories Ltd, Chalk River, Ontario, K0J 1J0, Canada  
<sup>3</sup>Department of Physics, Carleton University, Ottawa, Ontario, K1S 5B6, Canada  
<sup>4</sup>INFN Laboratori Nazionali del Gran Sasso, Assergi (AQ) 67100, Italy  
<sup>5</sup>Department of Physics and Astronomy, Laurentian University, Sudbury, Ontario, P3E 2C6, Canada  
<sup>6</sup>Instituto de Física, Universidad Nacional Autónoma de México, A. P. 80-564, México D.F. 01000, México  
<sup>7</sup>Department of Physics, Technische Universität München, 80333 Munich, Germany  
<sup>8</sup>INFN Napoli, Napoli 80126, Italy  
<sup>9</sup>Physics Department, Università degli Studi "Federico II" di Napoli, Napoli 80126, Italy  
<sup>10</sup>Physics Department, Princeton University, Princeton, NJ 08544, USA  
<sup>11</sup>PRISMA Cluster of Excellence and Institut für Kernphysik, Johannes Gutenberg-Universität Mainz, 55128 Mainz, Germany  
<sup>12</sup>Department of Physics, Engineering Physics, and Astronomy, Queen's University, Kingston, Ontario, K7L 3N6, Canada  
<sup>13</sup>PRISMMA Cluster of Excellence and Institut für Kernphysik, Johannes Gutenberg-Universität Mainz, 55128 Mainz, Germany  
<sup>14</sup>Royal Holloway University London, Egham Hill, Egham, Surrey TW20 0EX, United Kingdom  
<sup>15</sup>Rutherford Appleton Laboratory, Harwell Oxford, Didcot OX11 0QX, United Kingdom  
<sup>16</sup>SNOLAB, Lively, Ontario, P3Y 1M3, Canada  
<sup>17</sup>University of Sussex, Sussex House, Brighton, East Sussex BN1 9RH, United Kingdom  
<sup>18</sup>TRIUMF, Vancouver, British Columbia, V6T 2A3, Canada  
 (Dated: February 12, 2019)

DEAP-3600 is a single-phase liquid argon (LAr) direct-detection dark matter experiment, operating 2 km underground at SNOLAB (Sudbury, Canada). The detector consists of 2779 kg of LAr contained in a spherical acrylic vessel. This paper reports on the analysis of a 758-tonne-day exposure taken over a period of 231 live-days during the first year of operation. No candidate signal events are observed in the WIMP search region of interest, which results in the leading limit on the WIMP-nucleon spin-independent cross section on a LAr target of  $3.9 \times 10^{-45} \text{ cm}^2$  ( $1.8 \times 10^{-44} \text{ cm}^2$ ) for a  $100 \text{ GeV}/c^2$  ( $1 \text{ TeV}/c^2$ ) WIMP mass at 90% C.L. In addition to a detailed background model, this analysis demonstrates the best pulse-shape discrimination in LAr at threshold, employs a Bayesian photoelectron-counting technique to improve the energy resolution and discrimination efficiency, and utilizes two position reconstruction algorithms based on PMT charge and photon arrival times.



# Direct Detection status: low-mass

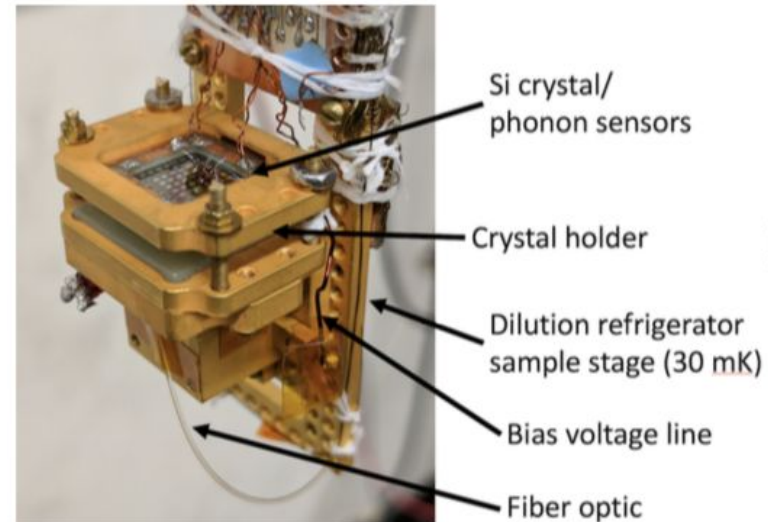
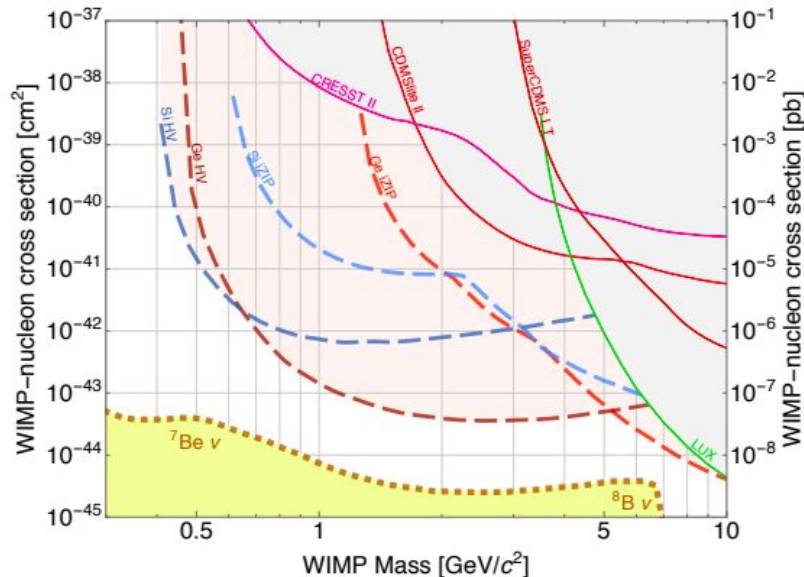
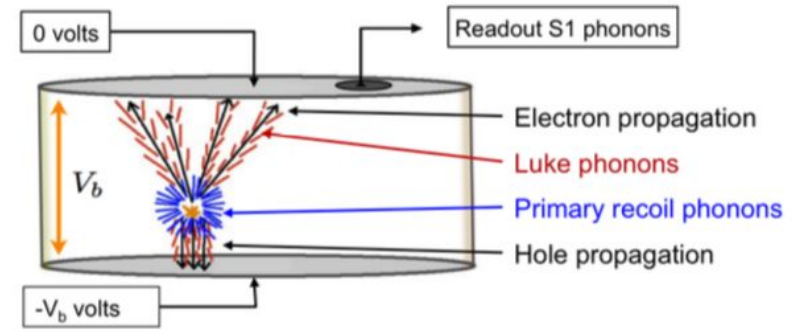
Cryogenic/novel  
low-mass  
techniques





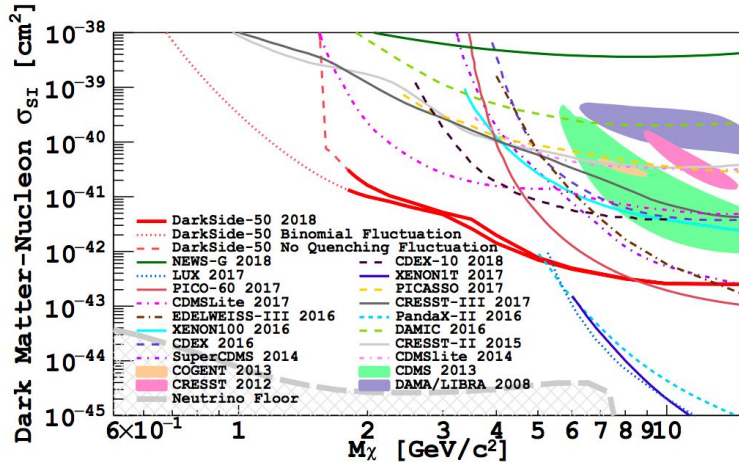
# Super-CDMS @ SNOLab (Canada)

- 1.4 kg Ge and 0.6 kg Si crystals
- Phonons + charge
- Targeting  $<10 \text{ GeV}/c^2$  mass range
  - Sensitivity to sub-GeV dark matter
- Band gap in Ge is 0.7 eV, Si is 1.1 eV
  - Energy thresholds in tens of eV range
- Operation at SNOLab from 2020/21

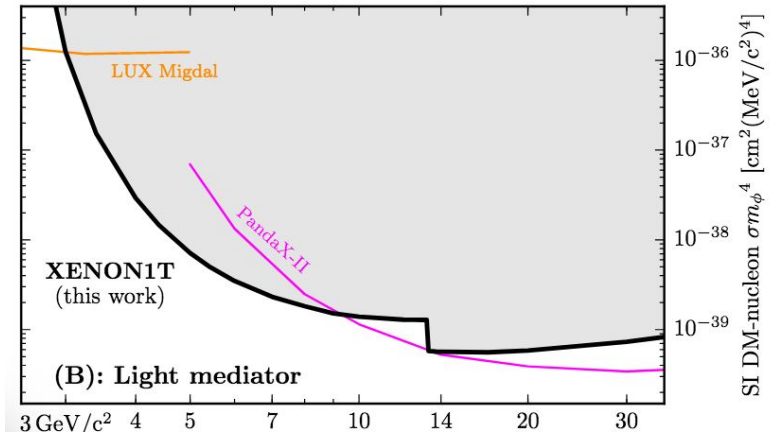


# Novel techniques for low-mass searches

DS-50 S2-only [[arxiv.org/1802.06994](https://arxiv.org/abs/1802.06994)]

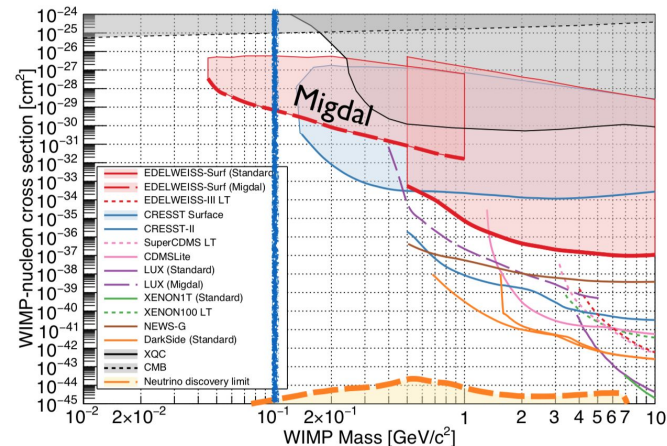
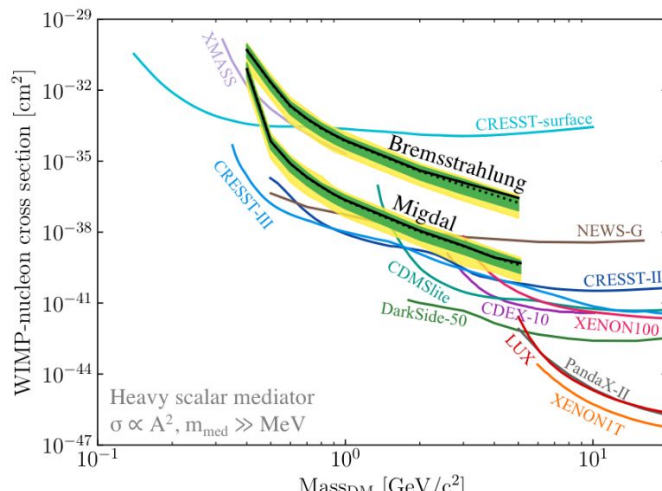


Recent XENON1T S2-only [[arxiv.org/1907.11485](https://arxiv.org/abs/1907.11485)]



Using Migdal effect (see Dolan, McCabe, Kahlhoefer [arxiv.org/1711.09906](https://arxiv.org/abs/1711.09906))

LUX [[arxiv.org/1811.11241](https://arxiv.org/abs/1811.11241)] and EDELWEISS+Kavanagh [[arXiv:1901.03588](https://arxiv.org/abs/1901.03588)]



# Novel techniques for low-mass searches

## LUX low-mass using double photoelectron effect (DPE)

[[arxiv.org/1907.06272](https://arxiv.org/abs/1907.06272)]

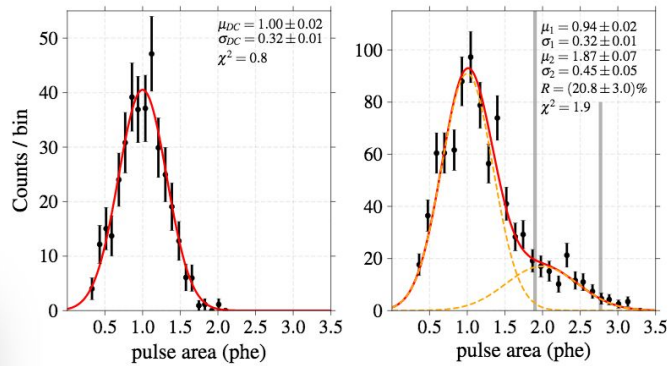
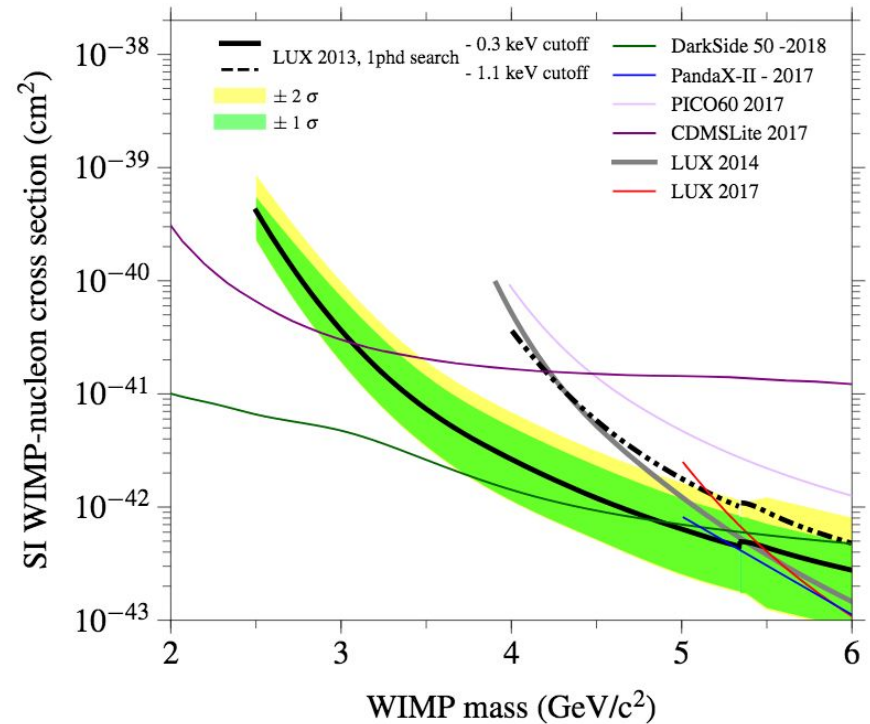
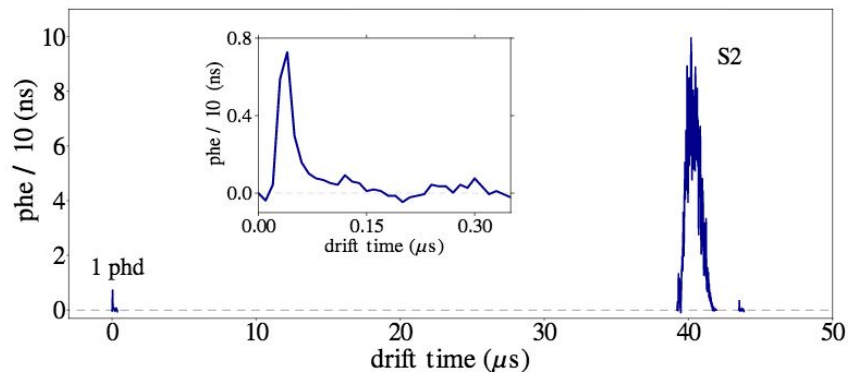
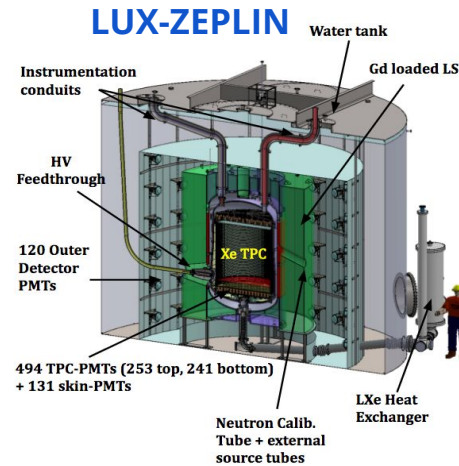
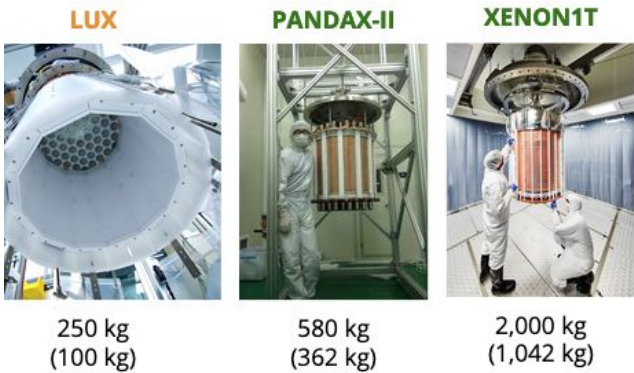
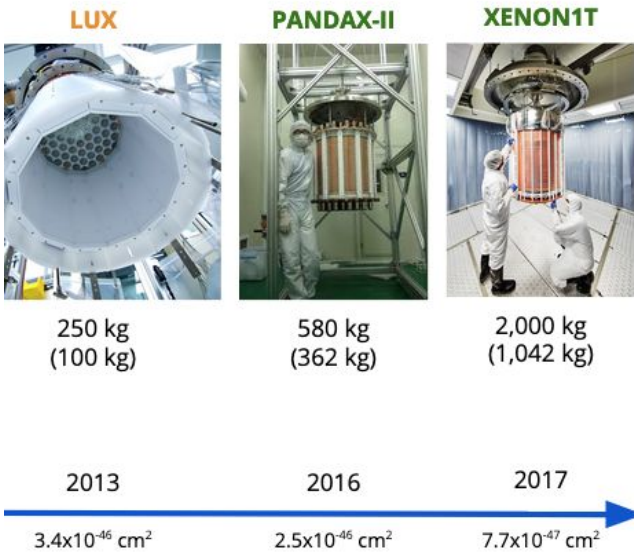


FIG. 3. Pulse area distributions (in phe) for the single photoelectron response (left) and the single VUV photon response (right) of an example PMT, along with the fit pa-

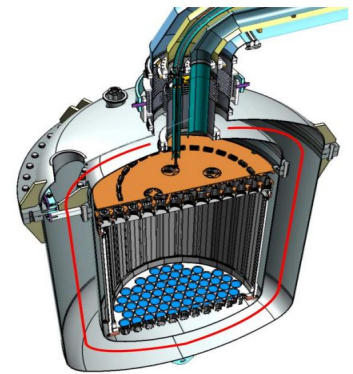


# Next generation (G2) → starting this/next year



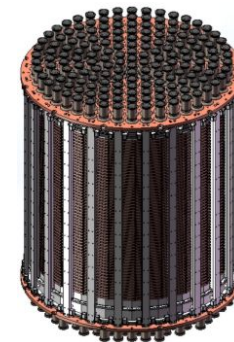
7,000 kg  
(5,600 kg)  
2020 + 3 live years  
 $1.6 \times 10^{-48}$  cm<sup>2</sup>

## XENONnT



5,900 kg  
(4,000 kg)  
2019/20 + 5 live years  
 $1.6 \times 10^{-48}$  cm<sup>2</sup>

## PandaX-4T

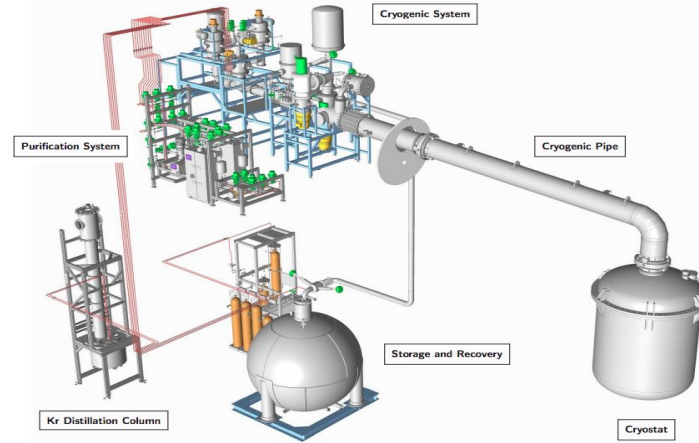
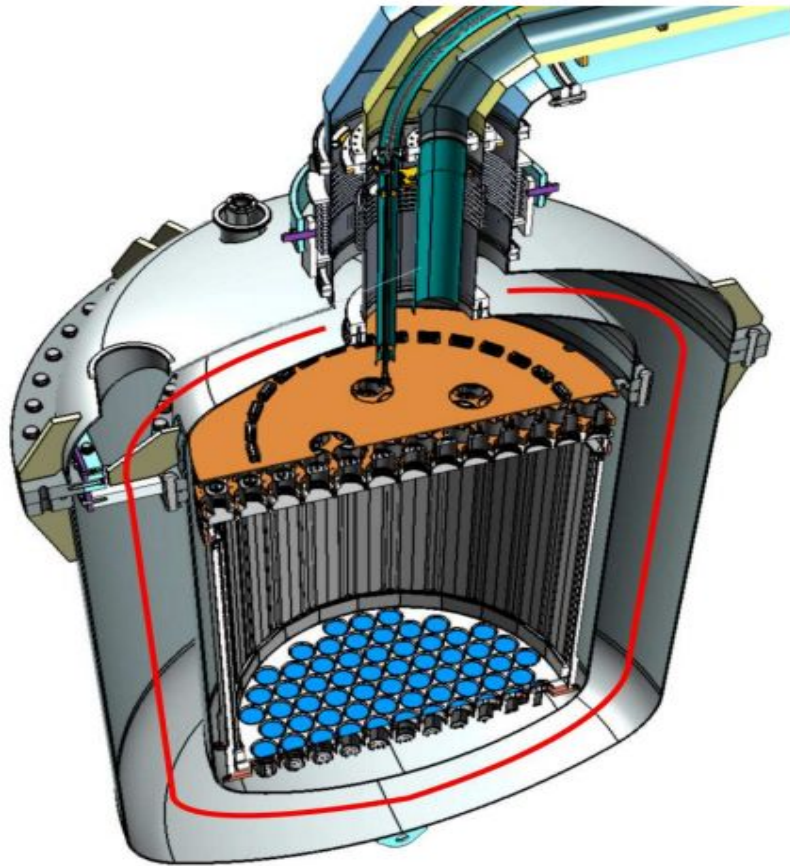


4000 kg (2800 kg)  
2021(?) + 2 live years  
 $6 \times 10^{-48}$  cm<sup>2</sup>

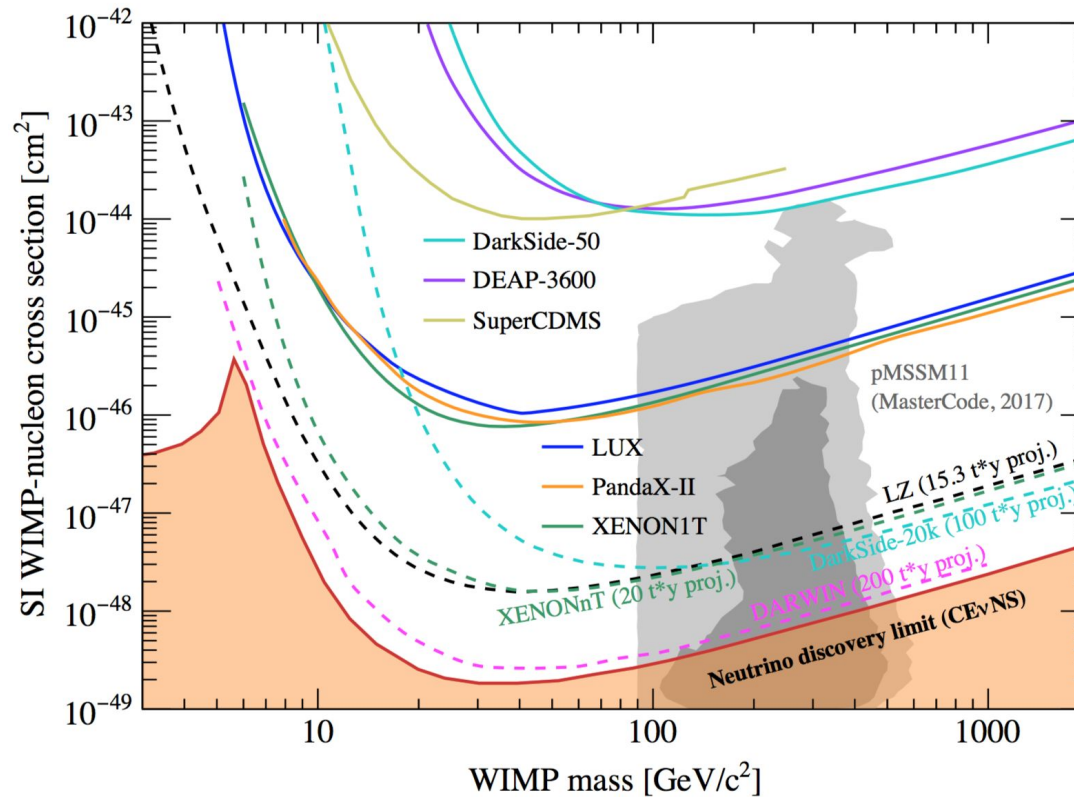
# LZ @ SURF (USA)



# XENONnT @ Gran Sasso (Italy)

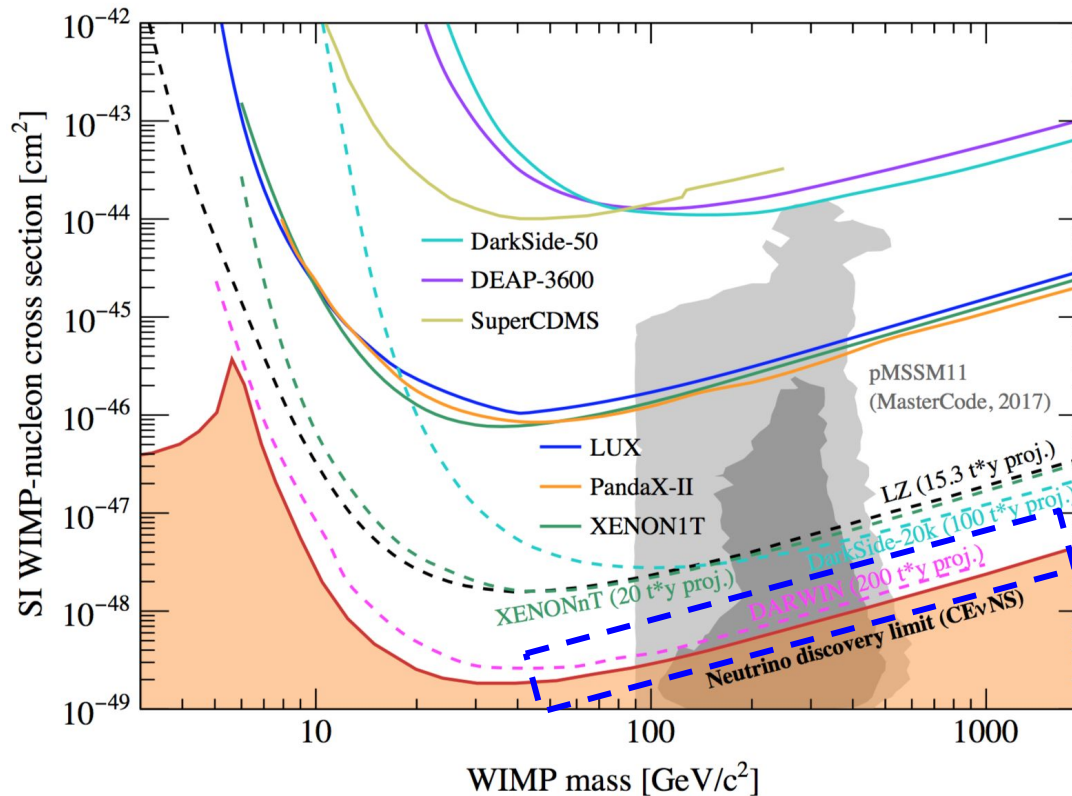


# Long term: Generation-3

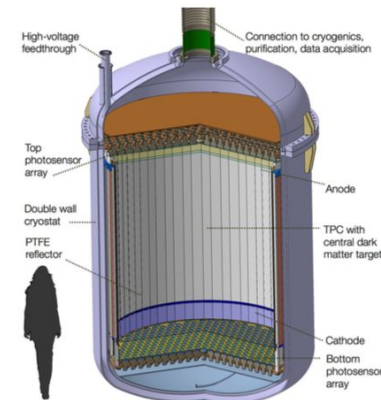


# Long term: Generation-3

**ARGO**  
300T LAr detector  
1000 tonne.year exposure



**LXe-G3/DARWIN**  
50-100T LXe  
200+ tonne.year exposure

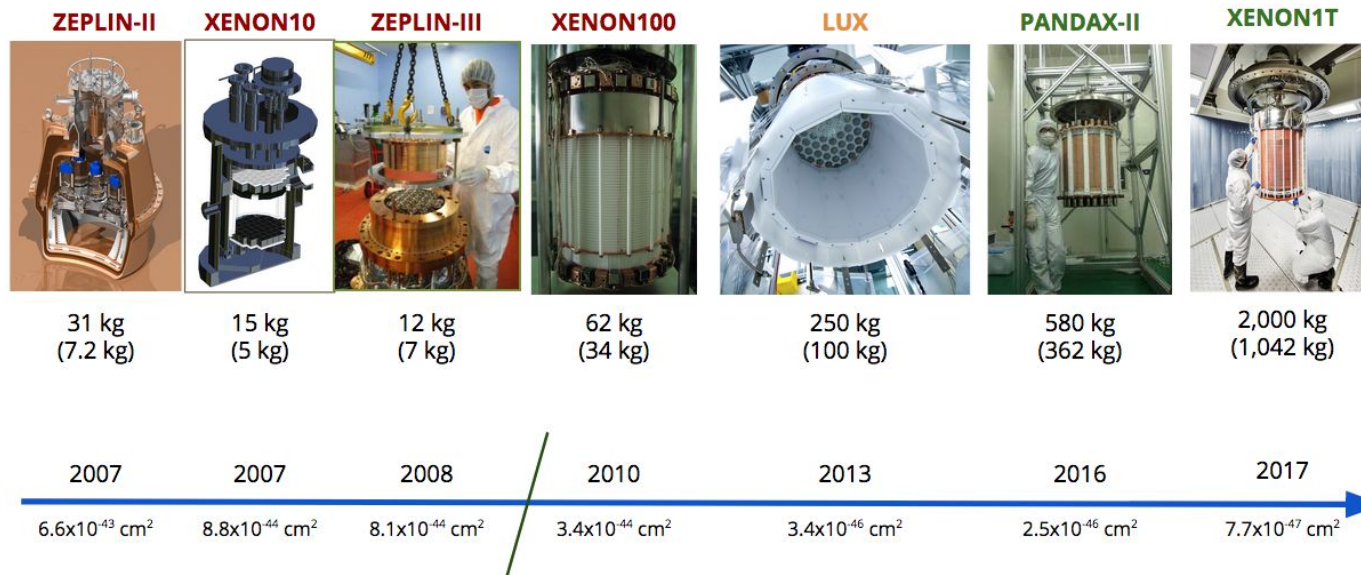


Down to the neutrino floor, 2025+



# Features of Direct Detection that influence stats approach

- Exponentially falling signal dependent on threshold
- Fixed target with no opportunity to upgrade the beam...
- Ultimately background limited → follow will often require new experiment
- Tension between understanding detector/backgrounds and bias mitigation
- Any +ve signal will be re-interpreted many times
- High stakes and lots of competition

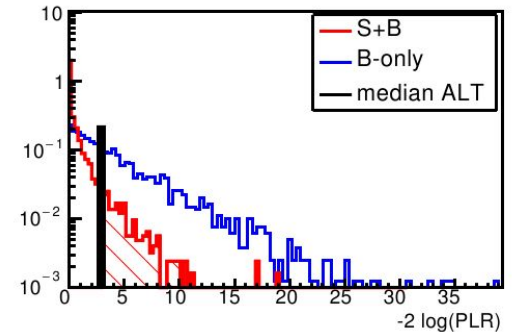


Increasing time between results as construction + run-time increase

# Test statistic choice

- One-sided vs two sided
  - One-sided 90% CL upper limit is natural counterpart to discovery test statistic
    - Asking complementary questions
    - How to avoid flip-flopping (Feldman & Cousins, [arxiv.org/9711021](https://arxiv.org/9711021))
      - If see signal, will want to characterise it!
  - Two-sided avoids flip-flopping but could conflict discovery p-value for b-only
- Forcing over-coverage:
  - CLs or power-constraining (Cowen *et al*, [arxiv/1105.3166](https://arxiv.org/1105.3166))
- Choice can shift reported limits by ~30%

## CLs

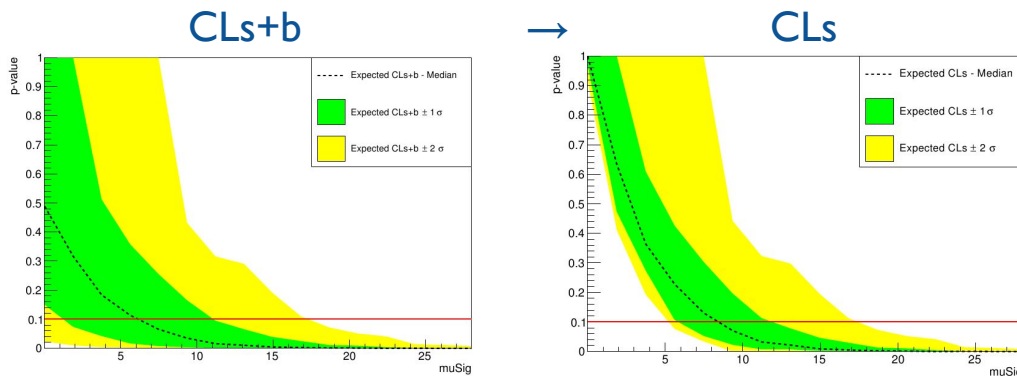


$$CL_s = \frac{p_\mu}{1 - p_b}$$

>>  $p_\mu$ : red area to the *right* of  $q_{obs}$

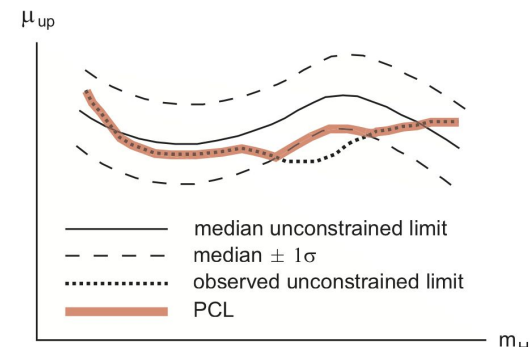
>>  $p_b$ : blue area to the *left* of  $q_{obs}$

(reference: p32-34 from [PDG\\_statistics](https://pdg.lbl.gov/2012/review/rpp/rpp2012stat.html))



Courtesy of Ibles Olcina, Imperial

## Power constrained



arxiv/1105.3166

# p-value for discovery

3-sigma, 5-sigma?...

- Is  $5\sigma$  required? Depends on:

- Level of LEE effect
- Degree of surprise
- Unaccounted systematics
  - Yields, backgrounds, discrimination → target/detector specific



L. Lyons, “Discovering the Significance of  $5\sigma$ ” [arxiv.org/1310.1284](https://arxiv.org/1310.1284)

Search	Degree of surprise	Impact	LEE	Systematics	Number of $\sigma$
Higgs search	Medium	Very high	Mass	Medium	5
Single top	No	Low	No	No	3
SUSY	Yes	Very high	Very large	Yes	7
$B_s$ oscillations	Medium/low	Medium	$\Delta m$	No	4
Neutrino oscillations	Medium	High	$\sin^2(2\theta), \Delta m^2$	No	4
$B_s \rightarrow \mu\mu$	No	Low/Medium	No	Medium	3
Pentaquark	Yes	High/very high	M, decay mode	Medium	7
$(g-2)_\mu$ anomaly	Yes	High	No	Yes	4
H spin $\neq 0$	Yes	High	No	Medium	5
4 <sup>th</sup> generation $q, l, \nu$	Yes	High	M, mode	No	6
$v_\nu > c$	Enormous	Enormous	No	Yes	>8
Dark matter (direct)	Medium	High	Medium	Yes	5
Dark energy	Yes	Very high	Strength	Yes	5
Grav waves	No	High	Enormous	Yes	7

- In presence of CvENS? G2 should see  $^8\text{B}$

# What have some recent experiments done\*

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- **LUX**: 2017 combined WS2013+WS2014–16 limit, **PLR** with **CLs+b**, **two-sided** (not stated in paper), **power constrained** at  $-1\sigma$  level, nuisance parameters as gaussian constraints [[arxiv/1608.07648](https://arxiv.org/abs/1608.07648)]
- **PandaX-II**: 2017 54 tonne.day limit, **PLR** with **standard TS** (not clear if one/two-sided), considered power constraint but not applied as close to  $-1\sigma$ , gaussian nuisance params [[arxiv.org/1708.06917](https://arxiv.org/abs/1708.06917)]
- **XENON1T**: 2019 1 tonne.year limit, + signal-like “safeguard” term, report only upper limit if  $< 3\sigma$ , discovery, blinding+salting [[arxiv.org/1902.11297](https://arxiv.org/abs/1902.11297)]
- **DEAP-3600**: 2019 231-day exposure limit, 90% CL upper limit using **Highland-Cousins method** [[arxiv.org/1902.04048](https://arxiv.org/abs/1902.04048)]
- **CRESST-III**: 2019 limit, used **Yellin optimum interval algorithm**, no background subtraction [[arxiv.org/1904.00498](https://arxiv.org/abs/1904.00498)]

\* my understanding based on writeups

# What have some recent experiments done\*

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- **SuperCDMS**: sensitivity projection using an optimum interval calculation [[arxiv.org/1610.00006](https://arxiv.org/abs/1610.00006)]
- **DarkSide-20k**: sensitivity projections, not clear what was used [[arxiv.org/1707.08145](https://arxiv.org/abs/1707.08145)]
- **XENONnT**: sensitivity projections, **PLR** with **one-sided TS**, **CLs**, gaussian nuisance params [[arxiv.org/1512.07501](https://arxiv.org/abs/1512.07501)]
- **LZ**: sensitivity projections, **PLR** with **one-sided TS**, **CLs+b**, power constraint at  $-1\sigma$ , gaussian nuisance params [[arxiv.org/1802.06039](https://arxiv.org/abs/1802.06039)]
- Plus others that I've probably missed...

\* my understanding based on writeups

# Summary

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- **Generation-2 experiments coming online soon:**
  - LZ and XENONnT under construction, ops. in 2020
  - DS-20k in 2022
  - Super-CDMS from 2020/21
  - + ...
- + opening up the field to ultra-light dark matter with new technologies
- **Generation-3 R&D and planning for “ultimate” rare-event search observatory started**
  - LXe-G3/DARWIN, ARGO, 2025+
- **Direct detection is high stakes game with unique challenges → strong motivation for common statistics approach**