

Search for $K_L \rightarrow \pi^0 \gamma$ decay in the KOTO experiment
Nobuhiro Shimizu Sep. 9th

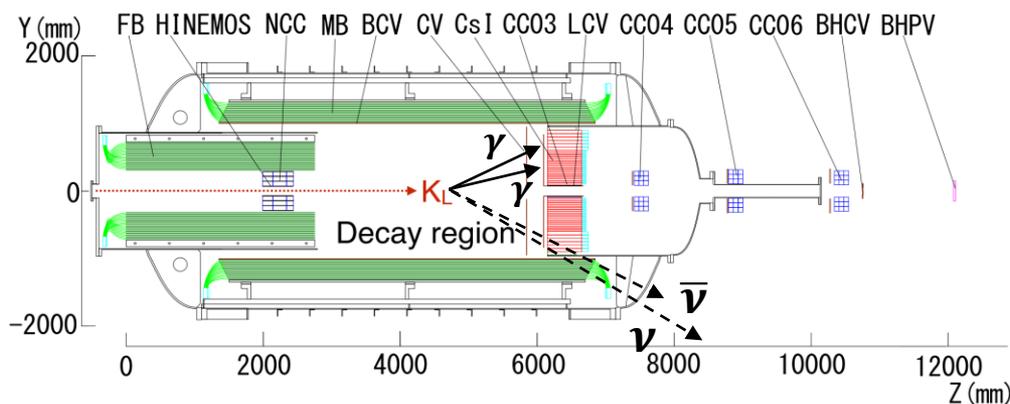
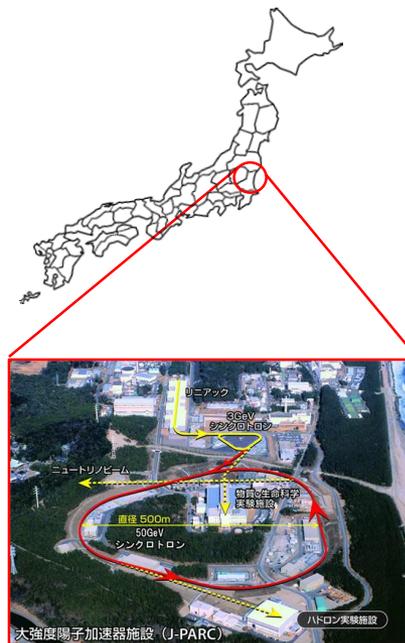
$K_L \rightarrow \pi^0 \gamma$ decay?

- No measurements up to now
 - ✓ $s \rightarrow d\gamma$ transition, forbidden by FCNC
 - ✓ Violates CP
- neither for $K_S \rightarrow \pi^0 \gamma$
 - Nice prove of New Physics??
- violates an angular conservation
 - K and π = spin zeros: the spin of γ must be “compensated” by an orbital angular momentum, but back-to-back configuration cannot produce L_z (along the decay axis).
 - IF $v >$ “speed of light”, it’s allowed. (see PRD 59 116008)

Oppositely to say,

**good test of the Lorentz invariance in
the realm of short distances**

The KOTO experiment



□ New Physics search via $K_L \rightarrow \pi^0 \nu \bar{\nu}$

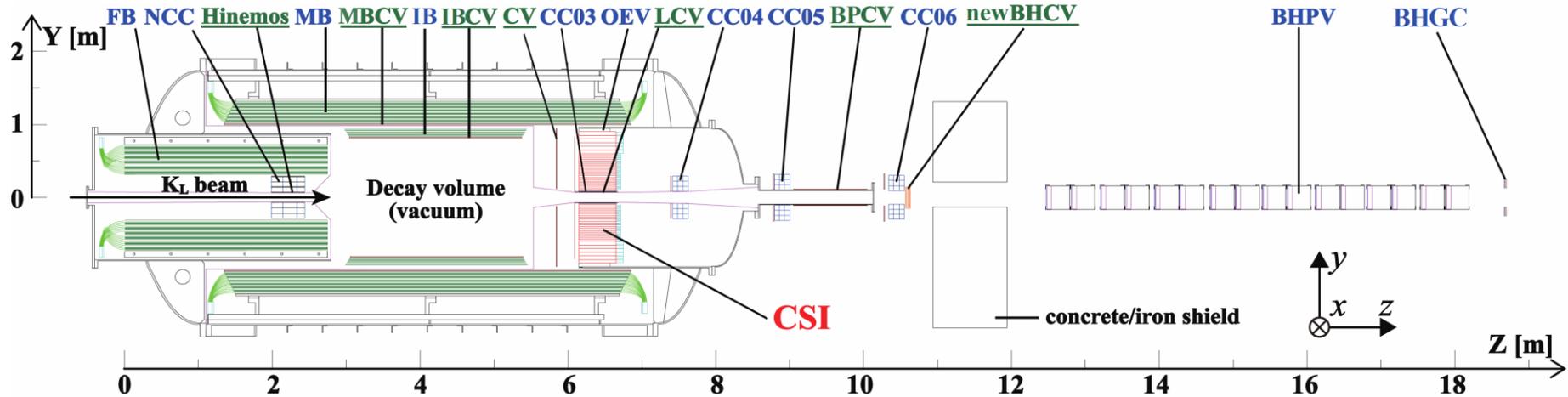
● $\mathcal{B}_{\text{SM}}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = (3.0 \pm 0.3) \times 10^{-11}$ JHEP 1511 033 (2015)

● “key” = *not to miss any photons*

Hermetic Veto counters surrounding the decay volume

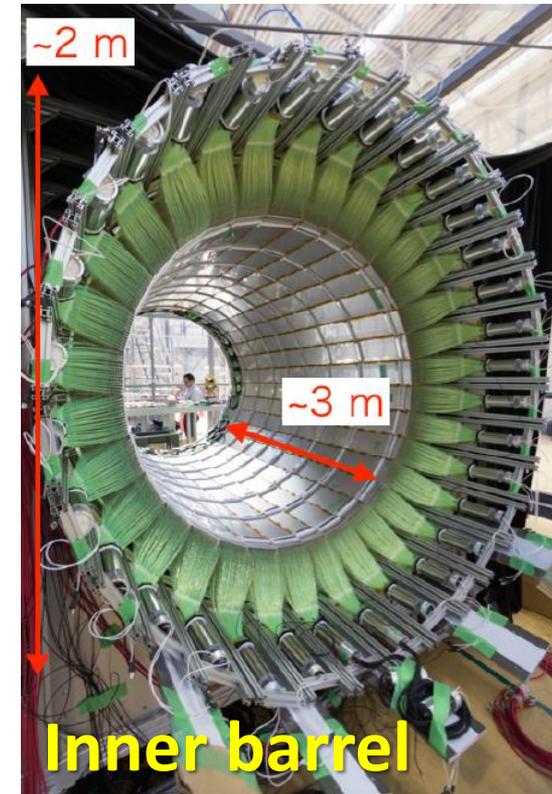
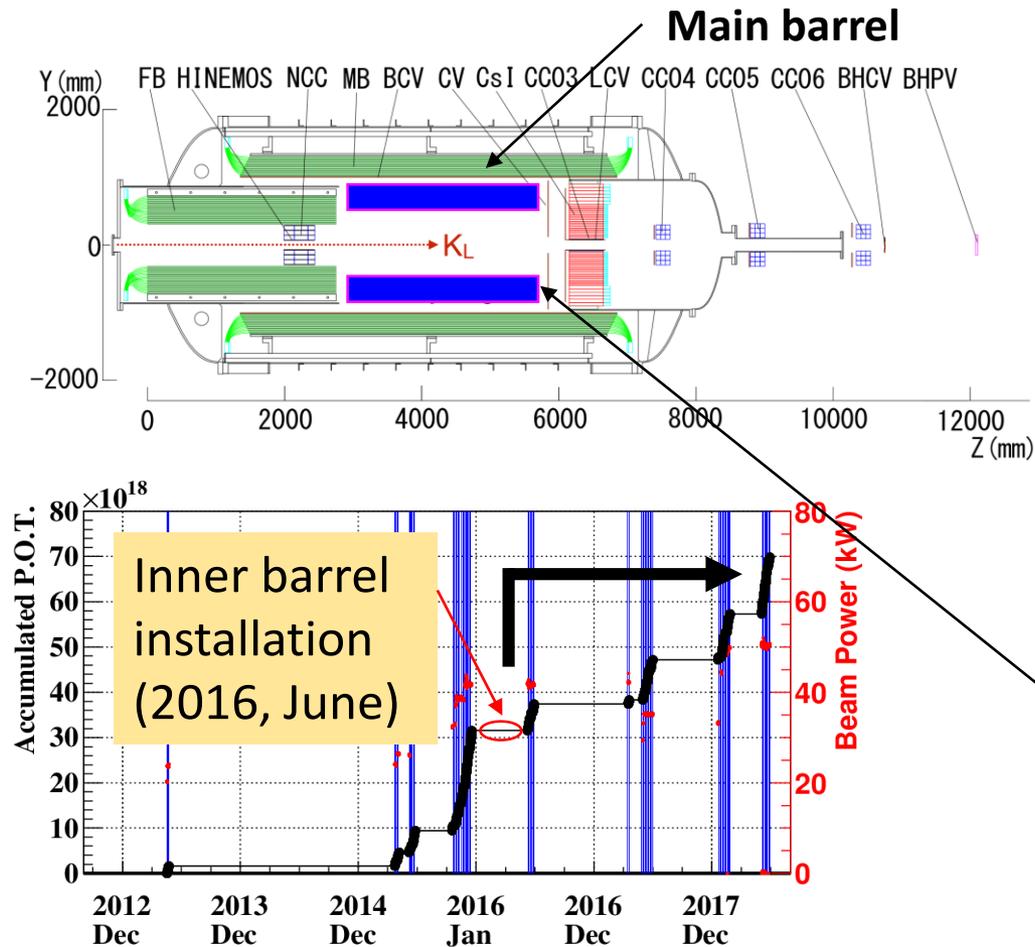
→ **High intensity K_L beam & low inefficiency KOTO detector is ideal tool to search for $K_L \rightarrow \pi^0 \gamma$**

KOTO detector



- ❑ Measure energy and position of photons by undoped CsI calorimeter
- ❑ Decay volume: surrounded by 18 types of veto detectors.
- ❑ Two barrel counters to veto photons: main barrel (MB) and inner barrel (IB)
- ❑ Charged veto in front of CsI

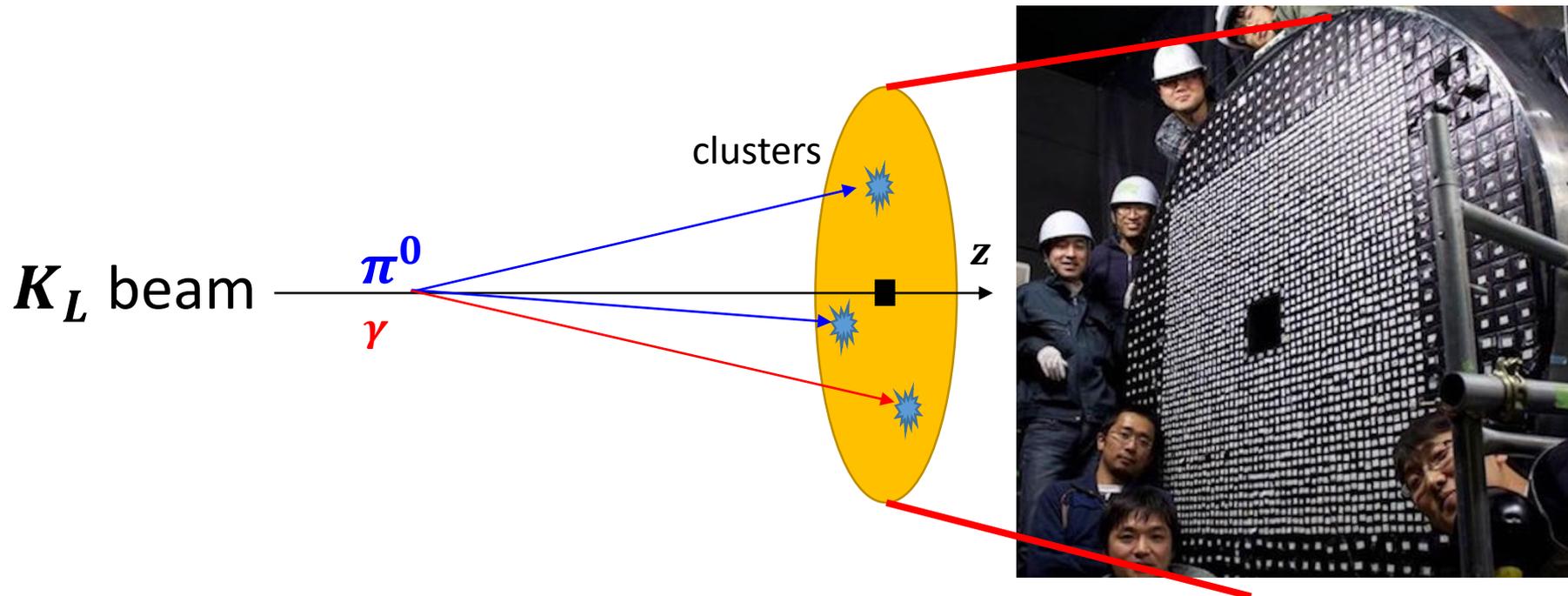
Data taking



- ❑ Data taken during **2016 – 2018**: $\sim 19 \times 10^{18}$ Protons On Target (POT)
- ❑ Trigger: 3-cluster w/ energy deposit at CsI calorimeter
- Data corresponding to **2.9×10^{18}** POT was analyzed.

Reconstruction

Reconstruction of $K_L \rightarrow \pi^0 \gamma$



1. Find events which have exactly 3 clusters
2. Reconstruct a π^0 : $m_{\pi^0}^2 = 2E_1 E_2 (1 - \cos\theta_{\gamma\gamma}) \rightarrow \mathbf{z}_{vtx}^{\pi^0}$
3. Reconstruct a K_L : $m_{K_L}^2 = (p_{\gamma_1} + p_{\gamma_2} + p_{\gamma_3})^2 \rightarrow \mathbf{z}_{vtx}^{K_L}$

Two types of vertex position, which should be close.

4. Define $\Delta \mathbf{z}_{vtx} = \mathbf{z}_{vtx}^{\pi^0} - \mathbf{z}_{vtx}^{K_L}$ to suppress various BGs

Event selection

Event selections

1. **Online trigger (veto and cluster counting)**
2. **Requirement of $N_{cls} == 3$ offline**
3. **Veto**
4. **Cluster shape discrimination (CSD)**
5. **Kinetic cuts**
 - ✓ $\Delta z_{vtx} = z_{vtx}^{\pi^0} - z_{vtx}^{K_L}$
 - ✓ E_{γ}^{min}
6. **Signal box**
 1. $(z_{vtx}^{\pi^0}, M_{\pi^0\gamma})$

Possible background sources

- $K_L \rightarrow 2\pi^0$
 1. a photon missed by some way
 2. two photons fuse
- $K_L \rightarrow 3\pi^0$
mechanisms similar to $2\pi^0$
- Neutron induced BG
 π^0 produced from n and a single acc. cluster
- $K_L \rightarrow 2\gamma$
combines with neutrons
- $K_L \rightarrow \pi^+\pi^-\pi^0$
combines with neutrons
- $K_L \rightarrow \ell\pi\nu$ ($\ell = e$ or μ)
e.g., $e^+e^-_{\text{detector}} \rightarrow 2\gamma$ and π^- missed by CV

~~γ~~ $\gamma \gamma \gamma$
 $\gamma \gamma \gamma \gamma$

$\gamma \gamma \gamma$ ~~γ~~ $\gamma \gamma$

$\gamma \gamma n$

$\gamma \gamma n$ ~~π^+~~ ~~π^-~~

Large



1

<1

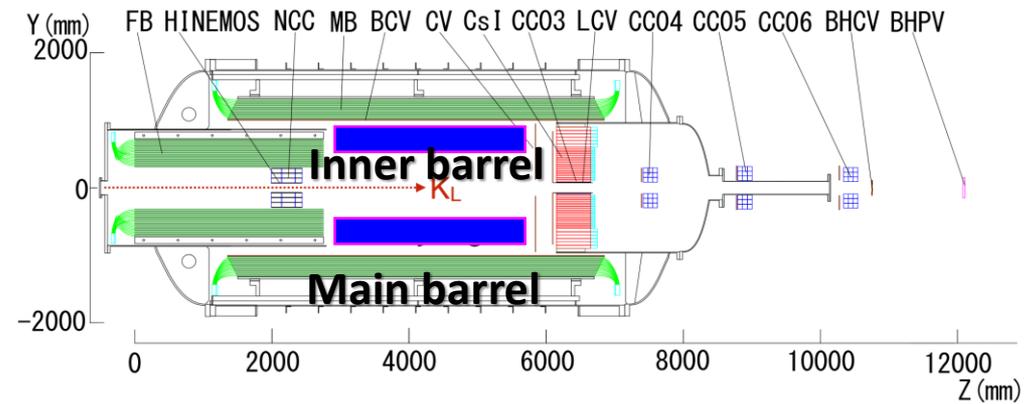
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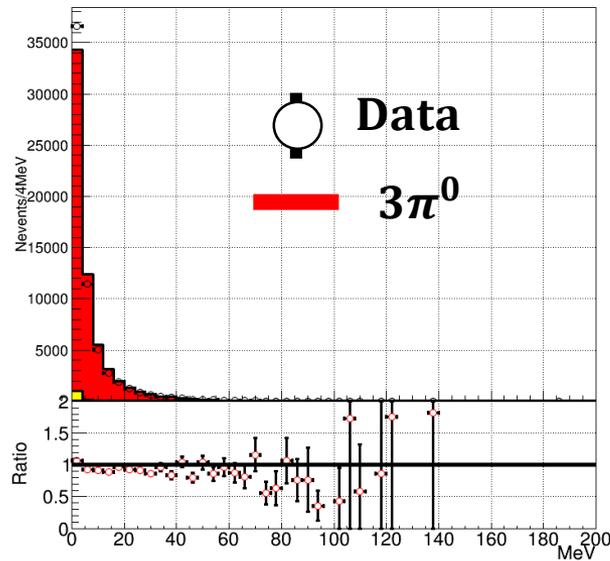
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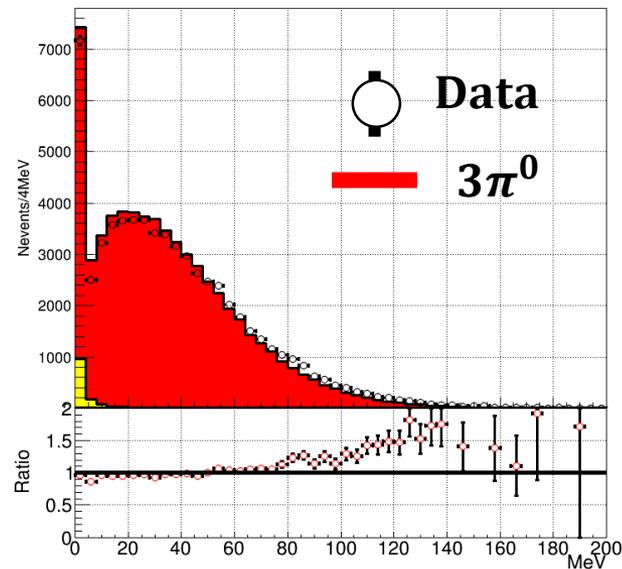
Veto counters



Main barrel veto energy

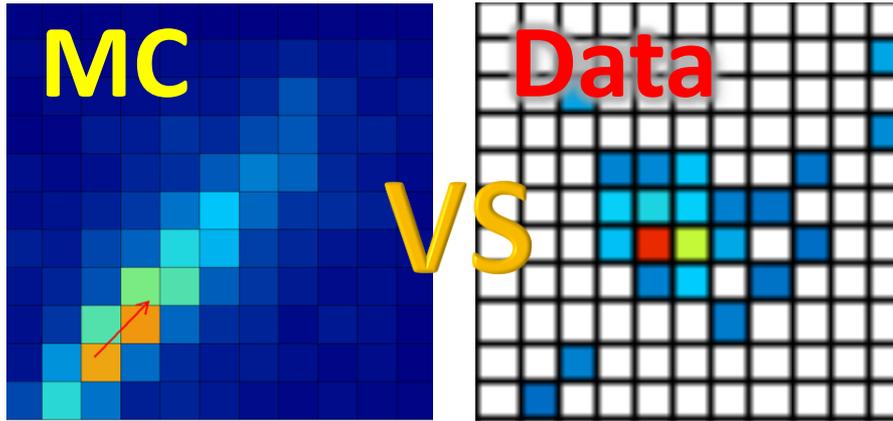


Inner barrel veto energy



Two barrel veto counters play crucial roles to suppress $K_L \rightarrow 2\pi^0$ and $K_L \rightarrow 3\pi^0$ decay modes.

Cluster shape discrimination (CSD)



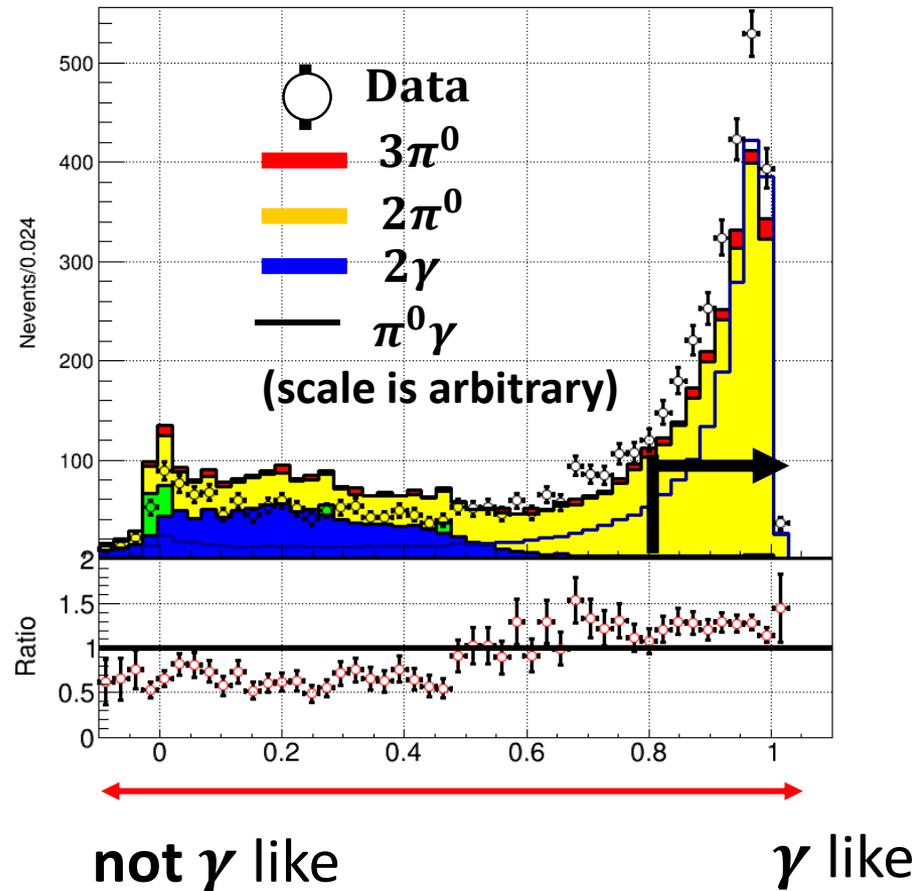
Energy deposition of photon cluster

Using neural network, the shower shape development in the CsI calorimeter is evaluated.

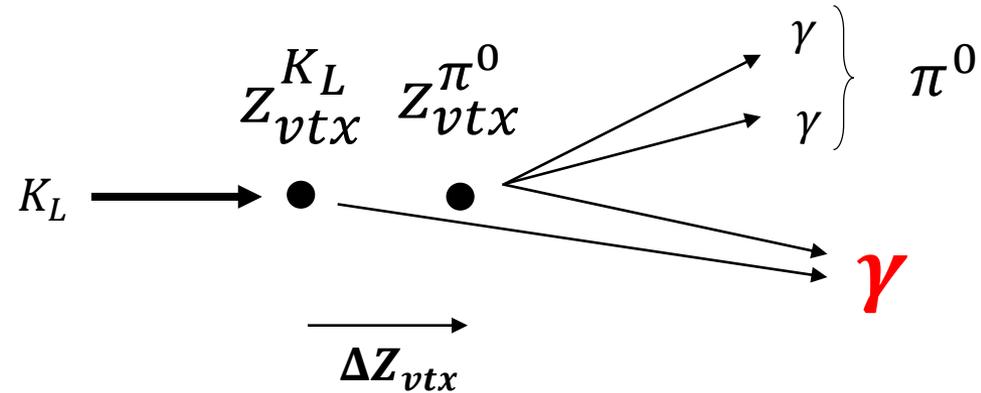
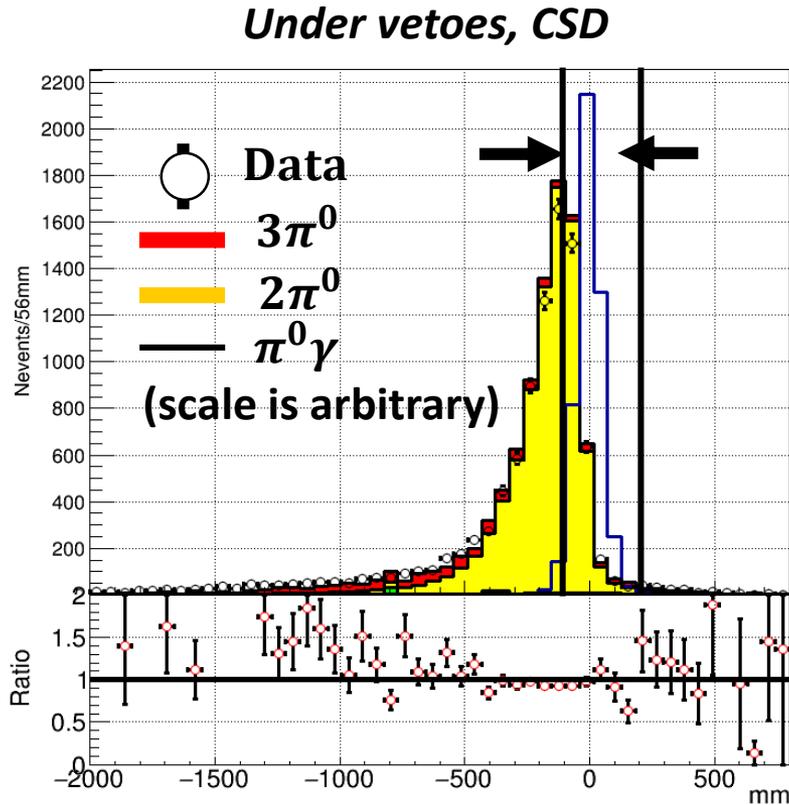
→ Separate **clean photon clusters** from those of **BG**

- ① neutron cluster
- ② fused cluster

minimum CSD (the smallest one out of 3)



Δz_{vtx} distribution



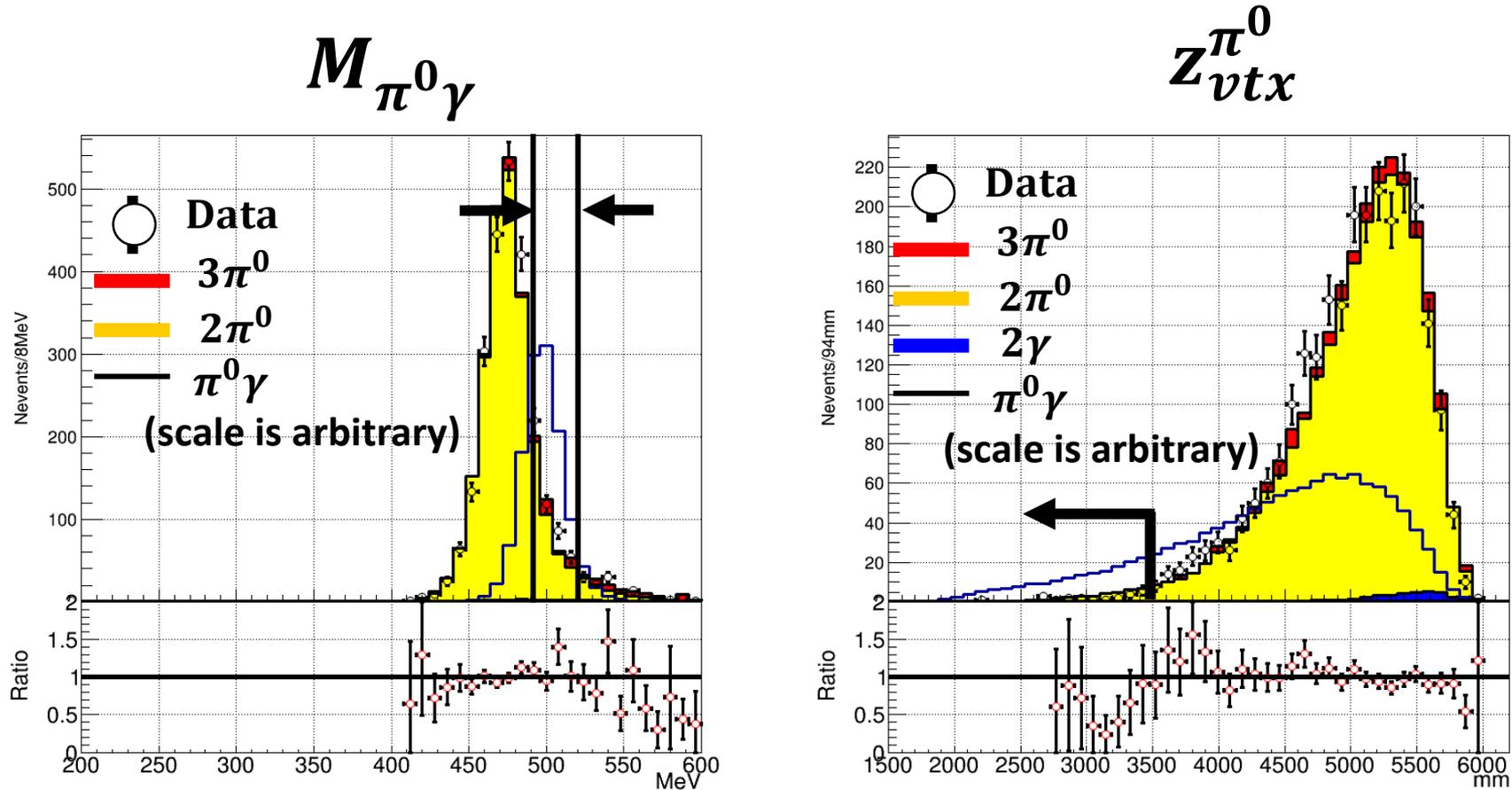
In the signal case $\Delta z_{vtx} \sim 0$

$$-100 < \Delta z_{vtx}/\text{mm} < 200$$

One of the important cut to reduce $2\pi^0$ decay

$M_{\pi^0\gamma}$ and $z_{\nu t x}^{\pi^0}$ distributions

Under vetoes, CSD, $\Delta z_{\nu t x}$ cuts



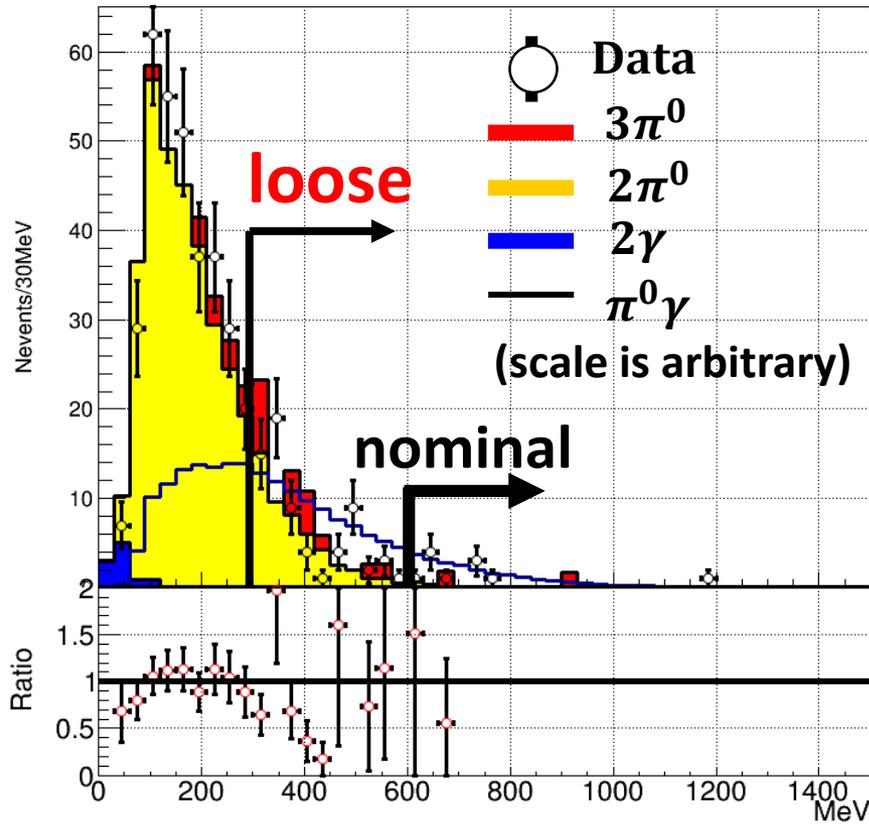
These variables are used for defining the signal box.

$$490 < M_{\pi^0\gamma}/\text{MeV} < 520, \quad 1500 < z_{\nu t x}^{\pi^0}/\text{mm} < 3500$$

E_{min}^γ distribution Under vetoes, CSD, M_{K_L} , Δz_{vtx} cuts

E_{min}^γ : minimum photon energy

E_{min}^γ



Under M_{K_L} cut,
 E_{min}^γ is useful
variable to remove $2\pi^0$.

To measure $2\pi^0$ and
 $3\pi^0$ a loose E_{min}^γ cut is
also used.

Signal box

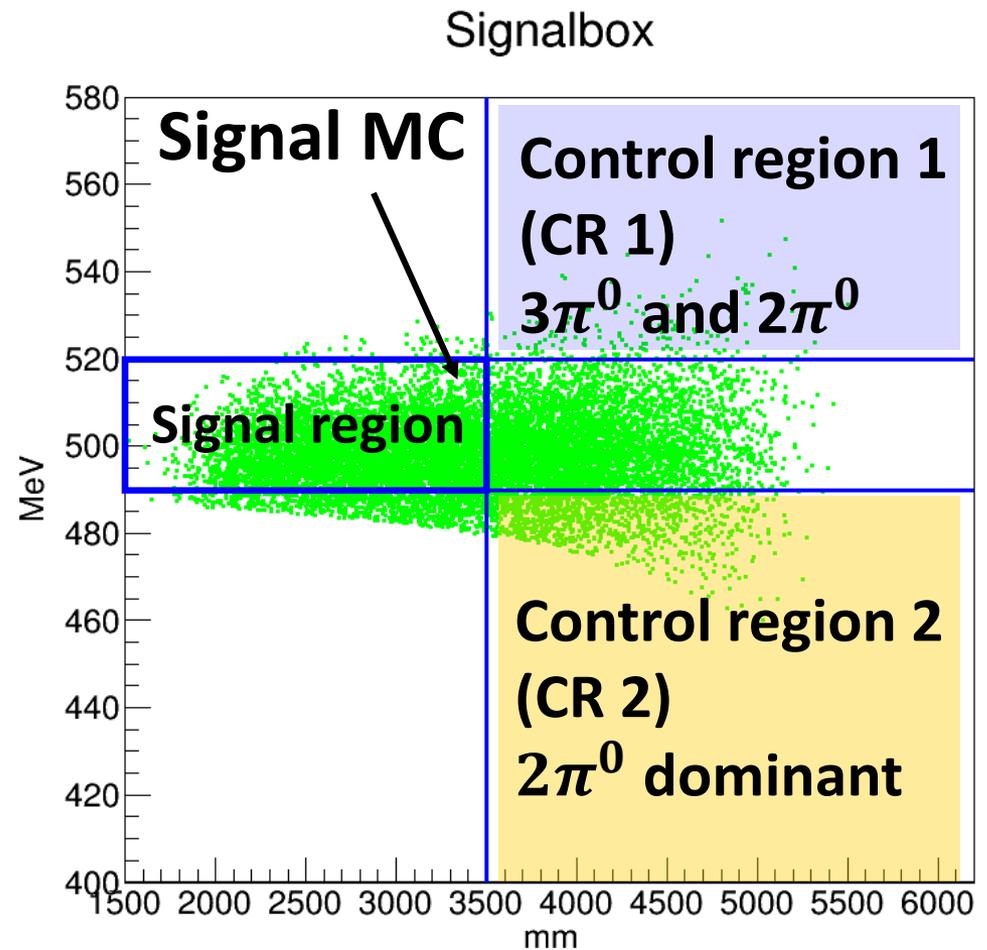
Under the condition of

1. *veto*s,
2. *CSD*,
3. Δz_{vtx} ,
4. E_{min}^γ cuts

Signal box, defined in the 2D plane:

$$(z_{vtx}^{\pi^0}, M_{\pi^0\gamma})$$

- ✓ $\mathcal{B}(K_L \rightarrow \pi^0\gamma)$ is obtained as a ratio towards $\mathcal{B}(K_L \rightarrow 2\pi^0)$
- ✓ CR2 is used to normalize statistics/estimate $2\pi^0$ BG
- ✓ Signal region (SR) is blinded before determining the selection criteria to avoid human bias.



Summary of the event selection

Uncertainties are statistical only.

Step (after)	Signal ACC (10^{-5})	$2\pi^0$ ACC (10^{-5})	Nobs (Data)
Ncls==3 and online veto*	219	31	1150585
Shape χ^2	204	24	233568
Fiducial cut	189	22	214043
Veto	71	2.0	15732
Cluster shape	51	1.3	9483
Δz_{vtx}	49	0.41	2544
(loose) E_{min}^γ	(24.4) 5.0	(0.1) 0.0041	(635) 36
loose CR2		0.0888 ± 0.007	528
SR	2.11 ± 0.03	$(5.56 \pm 1.76) \times 10^{-5}$?

Single event sensitivity of signal: $\frac{1}{N_{K_L} \epsilon(K_L \rightarrow \pi^0 \gamma)} = 6.9 \times 10^{-8}$

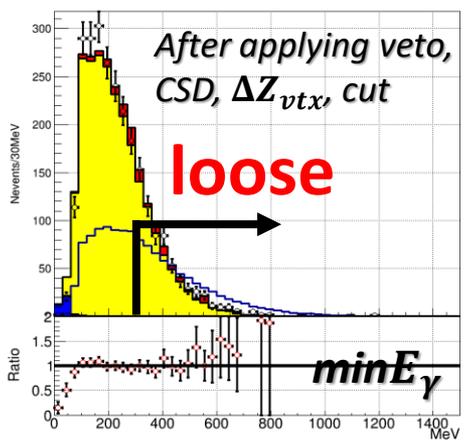
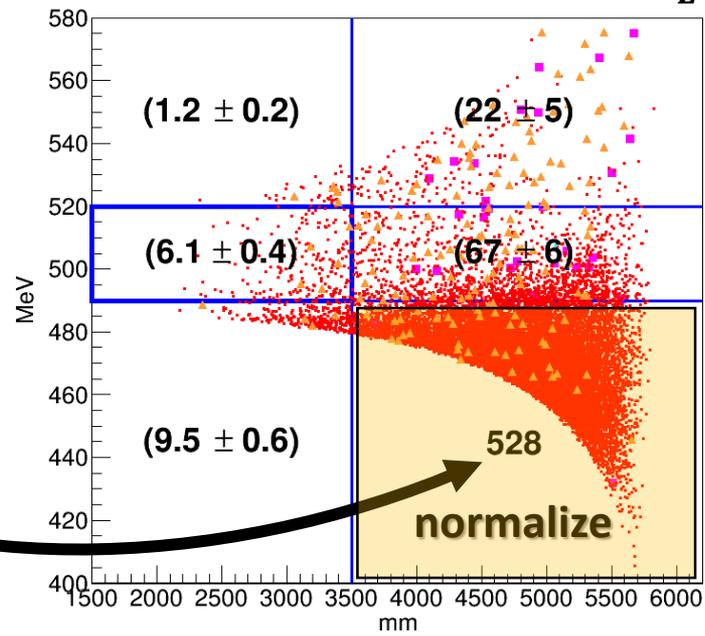
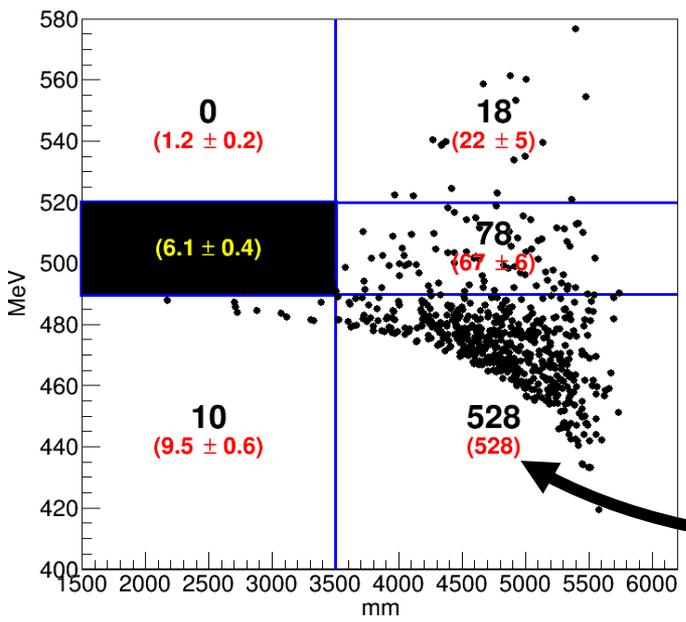
Z- $m_{\pi^0\gamma}$ correlation plot under loose cut

After applying veto, CSD, ΔZ_{vtx} , loose $minE_\gamma$ cut

- $K_L \rightarrow 3\pi^0$
- $K_L \rightarrow 2\pi^0$
- ▲ $K_L \rightarrow \pi^0\gamma\gamma$

Data

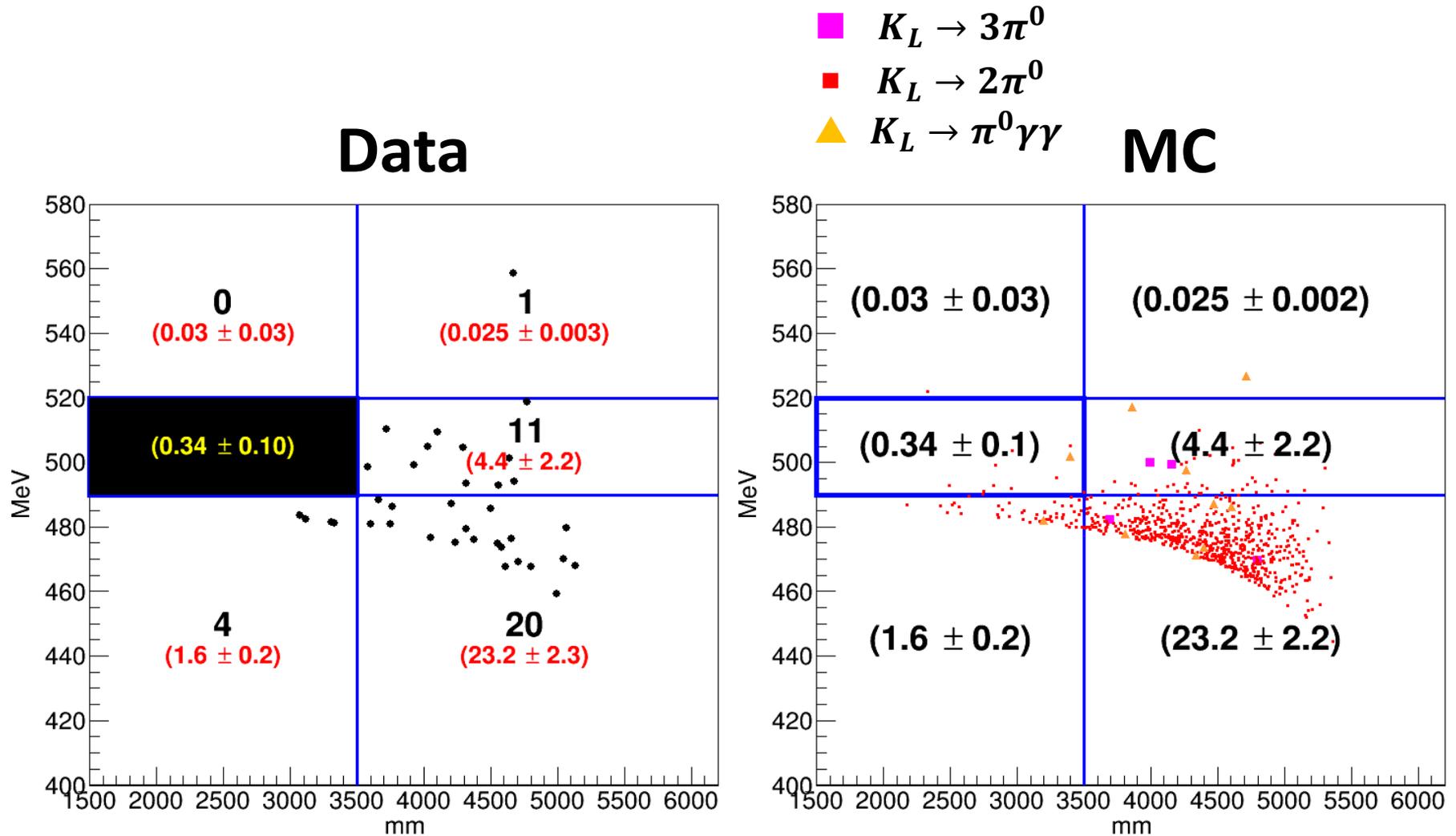
MC



- Data
 - $3\pi^0$
 - $2\pi^0$
 - 2γ
 - $\pi^0\gamma$
- (scale is arbitrary)

We use CR2 region with loose $minE_\gamma$ selection to measure $K_L \rightarrow 2\pi^0$ contribution of data.

Z - $m_{\pi^0\gamma}$ correlation plot of under tight cut¹⁹



The expected number of events in the signal region is 0.34 ± 0.10

BG contribution (summary)

Sources	Expected in signal box	Comment
$K_L \rightarrow 2\pi^0$	0.32 ± 0.11	Evaluated by MC
$K_L \rightarrow 3\pi^0$	<0.5 (68% C.L)	Data driven estimation
$K_L \rightarrow 2\gamma$	$\ll 0.06$ (68% C.L)	Evaluated by full MC
Neutron induced BG	$\ll 0.02$ (68% C.L)	Evaluated by dedicated MC
Other K_L decays	<0.02	
Sum	0.85 (<1.0 at 68% C.L.)	

Dominant background: the $K_L \rightarrow 2\pi^0$ decay due to inefficiency of the barrel veto

$K_L \rightarrow 3\pi^0$ decay can be BG due to

- ① production of the overlapped γ clusters (fusion)
- ② wrong paring of $\pi^0 \rightarrow 2\gamma$ combinations.

Production of the sufficient amount of MC sample is difficult, but data driven approach gives an upper limit of 0.5 at 68% C.L.

Other decays \rightarrow negligible.

Systematic uncertainty of S.E.S

$$\mathcal{B}(K_L \rightarrow \pi^0 \gamma) = \frac{N^{obs(SR)}}{N_{2\pi^0}^{obs(CR2)}} \cdot \frac{\epsilon_{2\pi^0}^{CR2}}{\epsilon_{\pi^0 \gamma}^{SR}} \cdot \mathcal{B}(K_L \rightarrow 2\pi^0)$$

Source	σ_ϵ/ϵ (in %)	Comment
$\mathcal{B}(K_L \rightarrow 2\pi^0)$	0.6	From PDG
Geometry	1.5	Estimated by varying beam E (+1%) and position (x,y=1mm) for signal
Veto cuts	17	By comparing data and MC in CR2 with ΔZ_{vtx} cut
Online veto	6.4	From the detector bits in the minimum bias data
Kinematic cuts	12	100% error of $ 1 - \epsilon_{Data}^{2\pi^0}/\epsilon_{MC}^{2\pi^0} $
Clustering	1.0	Compare five and six cluster events
CSD cuts	1.5	Use five cluster events
Reconstruction	0.3	By comparing $N_{rec\ 3\pi^0}^{obs\ 6cls}$ and $N_{rec\ 2\pi^0}^{obs\ 6cls}$
Trigger	1.8	Difference of CDT efficiency from unity
Statistics	4.4	Statistics of normalization
Total	22	

preliminary

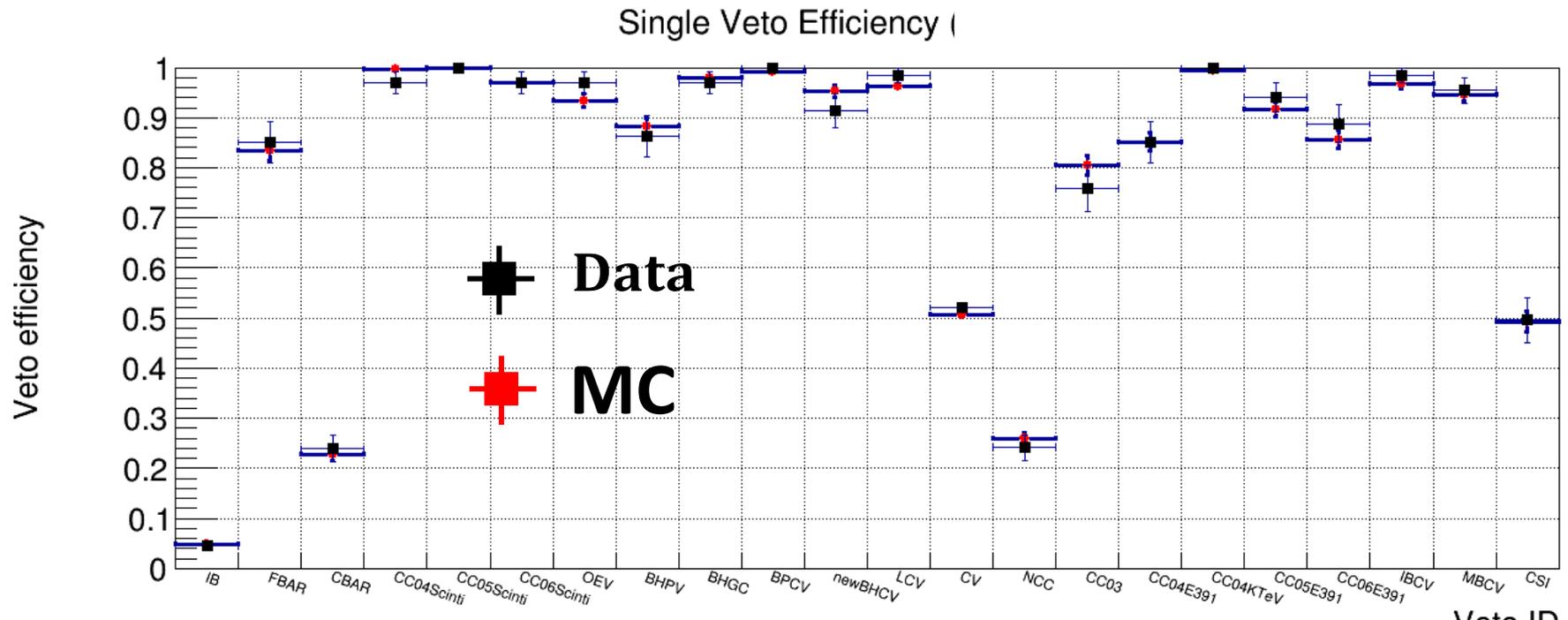
Syst. uncertainty due to ϵ^{veto}

$$\epsilon^{veto} = \frac{N_{all}}{N_{all\ wo/\ ith\ veto}} \text{ is compared}$$

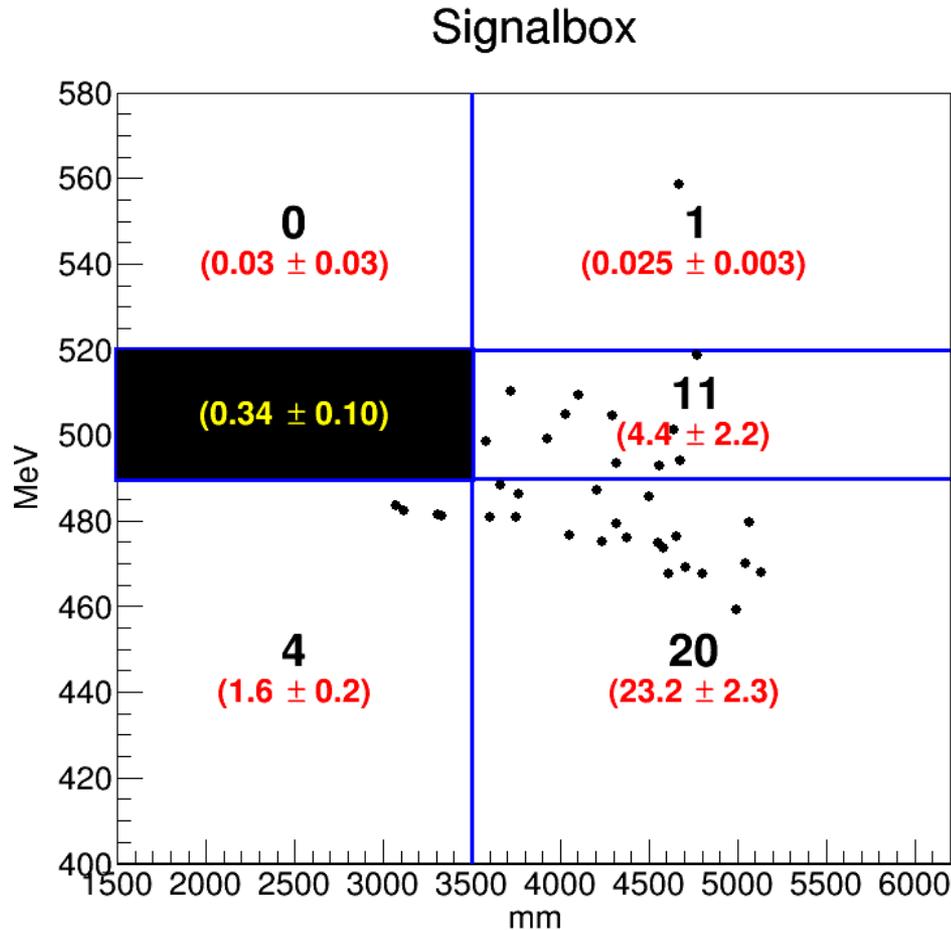
between data and MC: $R = \epsilon_{CR2}^{DT} / \epsilon_{CR2}^{MC}$

$$\frac{\sigma_{\epsilon^{veto}}}{\epsilon^{veto}} = \sqrt{\sum_{i:all\ detectors} (R_i - 1)^2} = 17\%$$

Inefficiency of veto detector is well understood by MC.



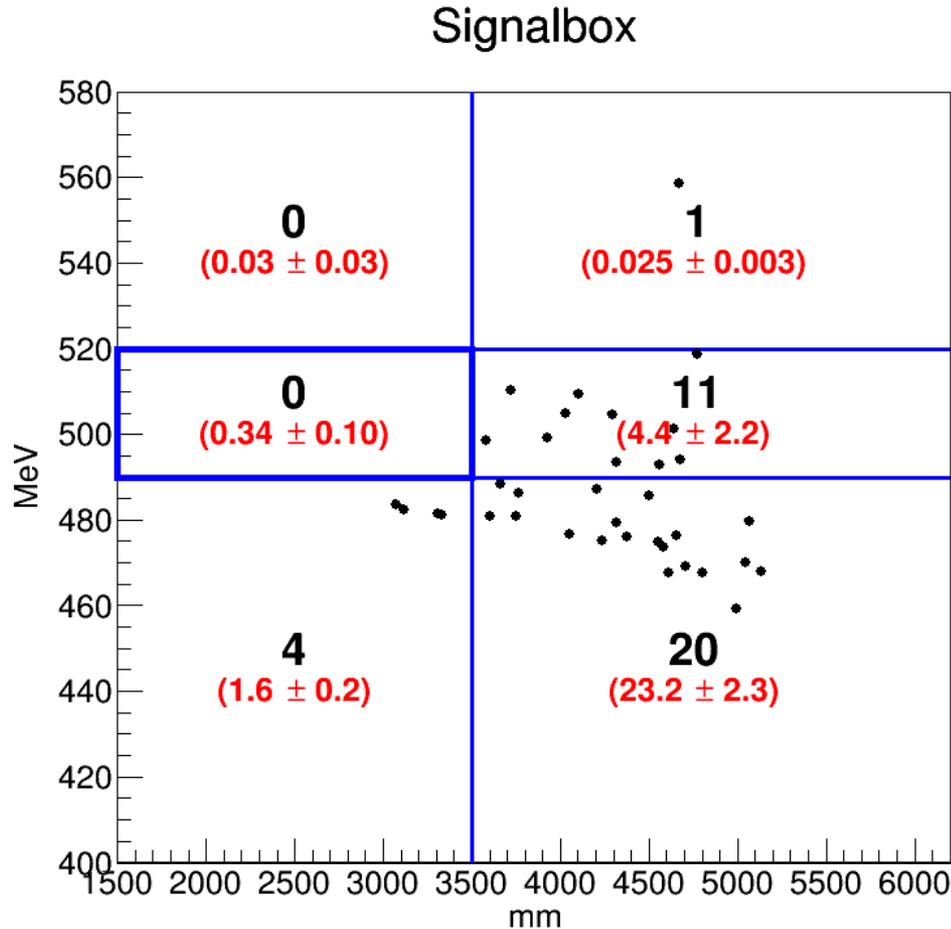
Open signal box



Single event sensitivity of signal:

$$\frac{1}{N_{K_L} \epsilon(K_L \rightarrow \pi^0 \gamma)} = (6.9 \pm 0.3_{\text{stat}} \pm 1.5_{\text{syst}}) \times 10^{-8}$$

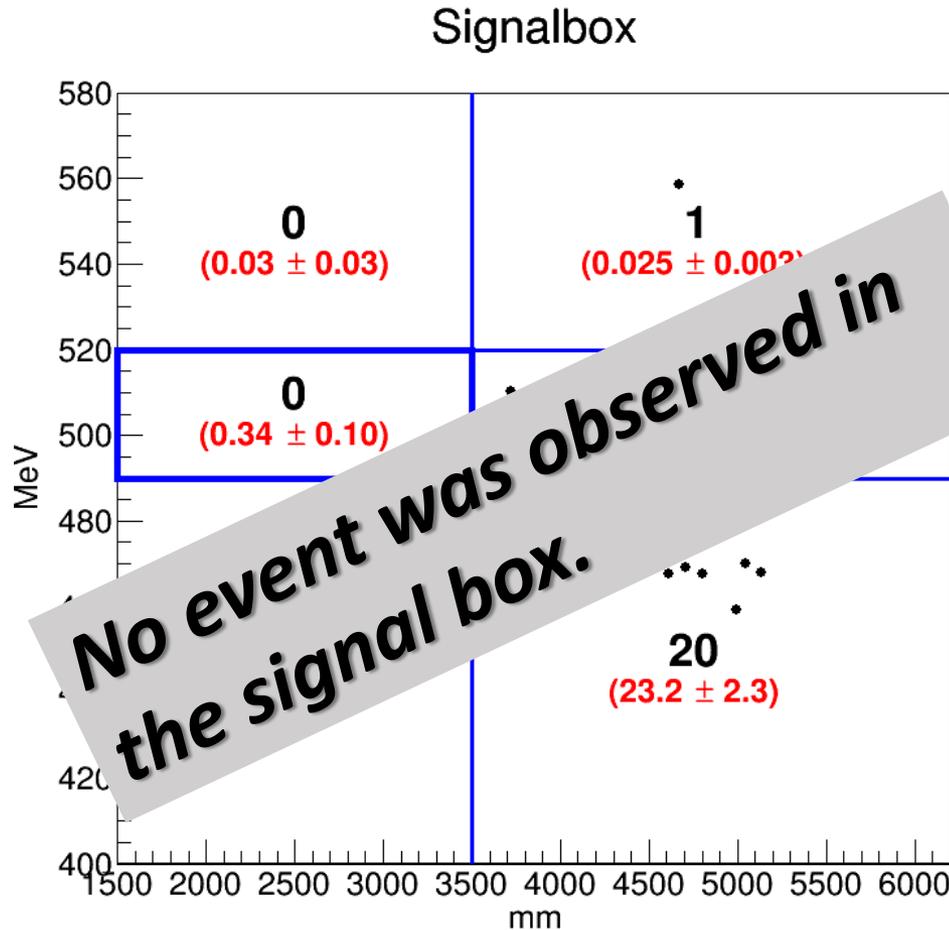
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Open signal box



Taking into account the systematic uncertainty,
the obtained upper limit is

$$\mathcal{B}(K_L \rightarrow \pi^0 \gamma) < 1.7 \times 10^{-7} \text{ at 90\% C.L.}$$

preliminary

Summary

- Neither $K_L \rightarrow \pi^0 \gamma$ nor $K_S \rightarrow \pi^0 \gamma$ decay have been measured yet.

- These decays violate the Lorentz invariance and are forbidden by the SM
 - ✓ Lorentz invariance should be tested also in the high energy regime.

- Studying backgrounds, we fixed the selection criteria with $S.E.S = 6.8 \times 10^{-8}$. The expected number of background is 0.3.

- **We opened the signal box and could not find any signal candidate.**

- The upper limit of the branching fraction is obtained for the first time!

$$\mathcal{B}(K_L \rightarrow \pi^0 \gamma) < 1.7 \times 10^{-7} \text{ at 90\% C.L.}$$

backup