Jets and substructure in proton-proton collisions

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Physics at the high energy frontier

- \blacktriangleright LHC has been colliding protons at 13 TeV center-of-mass energy.
- \blacktriangleright Particle physics entering precision phase in study of EW symmetry breaking.
- Searching for new physics at the highest energy ever attained.

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JET SUBSTRUCTURE AND MACHINE LEARNING

Jets as proxies for partons

Because of color confinement, quarks and gluons shower and hadronise immediately into collimated bunches of particles.

Hadronic **jets** can emerge from a number of processes

- scattering of partons inside colliding protons,
- hadronic decay of heavy particles,
- radiative gluon emission from partons, \dots

Jets are prevalent at hadron colliders

A jet algorithm maps final state particle momenta to jet momenta.

This requires an external parameter, the jet radius *R*, specifying up to which angle separate partons are recombined into a single jet.

Basic idea of jet algorithm is to invert QCD branching process, clustering pairs which are closest in metric defined by the divergence structure of the theory.

$$
d_{ij} = \min(k_{t,i}^{2p}, k_{t,j}^{2p}) \frac{\Delta_{ij}^2}{R^2}
$$

The jet radius parameter roughly controls the size of the jet

- Standard choice for small-*R* jets: $R = 0.4$ (ATLAS and CMS)
	- \triangleright Used for QCD jets by most experimental analyses
	- \triangleright Aim is to contain most of the decay of light quarks and gluons
- **I** Typical choice for large-*R* jets: $R = 0.8$ (CMS) or $R = 1$ (ATLAS)
	- \triangleright Used for boosted jets by most experimental analyses
	- \blacktriangleright Aim is to contain hadronic decay of decaying particle such as *W*, *Z*, top, *H*, . . .

- At LHC energies, EW-scale particles $(W/Z/t...)$ are often produced with $p_t \gg m$, leading to collimated decays.
- Hadronic decay products are thus often reconstructed into single jets.

[Figure by G. Soyez]

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- In principle, simplest way to identify these boosted objects is by looking at the mass of the jet.
- But jet mass distribution is highly distorted by QCD radiation and pileup.

Two main approaches to identify boosted decays:

- 1. Manually constructing substructure observables that help distinguish between different origins of jets.
- 2. Apply machine learning models trained on large input images or observable basis.

Later in this talk: new approaches bridging some of the gap between these two techniques.

Jet grooming: (Recursive) Soft Drop / mMDT

- Mass peak can be partly reconstructed by removing unassociated soft wide-angle radiation (grooming).
- Recurse through clustering tree and remove soft branch if

$$
\frac{\min(p_{t,1}, p_{t,2})}{p_{t,1} + p_{t,2}} > z_{\text{cut}} \left(\frac{\Delta R_{12}}{R_0}\right)^{\beta}
$$

[Dasgupta, Fregoso, Marzani, Salam [JHEP 1309 \(2013\) 029\]](http://arxiv.org/abs/1307.0007) [Larkoski, Marzani, Soyez, Thaler [JHEP 1405 \(2014\) 146\]](http://arxiv.org/abs/1402.2657) [FD, Necib, Soyez, Thaler [JHEP 1806 \(2018\) 093\]](https://arxiv.org/abs/1804.03657)

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Jet grooming: common tools

Groomed jet mass

- The jet mass is one of the simplest observables.
- Provides a unique connection between measurements and theoretical calculations.
- Grooming mitigates some non-perturbative effects such as underlying event.
- Can be calculated to high precision by resumming large logarithms and matching to fixed order

Substructure observables

- Variety of observables have been constructed to probe the hard substructure of a jet (*V*/*H*/*t* decay lead to jets with multiple hard cores).
- Radiation patterns of colourless objects (*W*/*Z*/*H*) differs from quark or gluon jets.
- I Efficient discriminators can be obtained e.g. from ratio of *N*-subjettiness or energy correlation functions.

[Thaler, Van Tilburg [JHEP 1103 \(2011\) 015\]](https://arxiv.org/abs/1011.2268) [Larkoski, Salam, Thaler [JHEP 1306 \(2013\) 108\]](https://arxiv.org/abs/1305.0007) [Larkoski, Moult, Neill [JHEP 1412 \(2014\) 009\]](https://arxiv.org/abs/1409.6298)

Jet shapes: *N***-subjettiness**

Measures radiation around N axes that align with the dominant radiation directions

$$
\tau_N^{(\beta)} = \frac{1}{p_t R^{\beta}} \sum_{i \in \text{jet}} p_{t,i} \min_{a_1, \dots, a_n} (\theta_{ia_1}^{\beta}, \dots, \theta_{ia_N}^{\beta})
$$

Use $\tau_{21}^{(\beta)} = \tau_2^{(\beta)}$ $\binom{(\beta)}{2}$ $\tau_1^{(\beta)}$ ^(β) for 2-pronged jets and $\tau_{32}^{(\beta)} = \tau_{3}^{(\beta)}$ $\binom{(\beta)}{3}$ / $\tau_2^{(\beta)}$ $2^{\mathcal{P}'}$ for 3-pronged jets

Jet shapes: Energy correlation functions

Measures dispersion through N-point correlation functions, which are sensitive to $(N - 1)$ -prong substructure

$$
e_2^{(\beta)} = \sum_{1 \le i < j \le N} z_i z_j \theta_{ij}^{\beta}, \qquad e_3^{(\beta)} = \sum_{1 \le i < j < k \le N} z_i z_j z_k \theta_{ij}^{\beta} \theta_{ik}^{\beta} \theta_{jk}^{\beta}
$$

- Advantage: doesn't need subjet/axes finding procedure
- Efficient 2-prong discriminants can be constructed through ratio

$$
D_2^{(\beta)} = \frac{e_3^{(\beta)}}{(e_2^{(\beta)})^3}
$$

While for 3-pronged jets

$$
C_3^{(\beta)} = \frac{e_4^{(\beta)} e_2^{(\beta)}}{\left(e_3^{(\beta)}\right)^2}
$$

Recent wave of results in applications of ML algorithms to jet physics.

Classification problems have been tackled through several orthogonal approaches

- Convolutional Neural Networks used on representation of jet as image
- Recurrent Neural Networks used on jet clustering tree.
- Linear combination or dense network applied to an observable basis (e.g. *N*-subjettiness ratios, energy flow polynomials)

Convolutational Neural Networks and Jet Images

- Project a jet onto a fixed $n \times n$ pixel image in rapidity-azimuth, where each pixel intensity corresponds to the momentum of particles in that cell.
- Can be used as input for classification methods used in computer vision, such as deep convolutional neural networks.

[de Oliveira, Kagan, Mackey, Nachman, Schwartzman [JHEP 1607 \(2016\) 069\]](http://arxiv.org/abs/arXiv:1511.05190)

Recurrent Neural Networks and clustering trees

- I Train a recurrent/recursive neural network on kinematic information of successive declusterings of a jet.
- Techniques inspired from Natural Language Processing with powerful applications in handwriting and speech recognition.

Observable basis as low-dimensional representation

- Construct an observable basis that encodes the main physical properties of a jet (e.g. set of *N*-subjettiness ratios, energy flow polynomials, . . .).
- I Train a dense neural network or use linear methods to build a classifier from these inputs.

[Komiske, Metodiev, Thaler [JHEP 1804 \(2018\) 013\]](https://arxiv.org/abs/1712.07124) [Datta, Larkoski [JHEP 1706 \(2017\) 073\]](https://arxiv.org/abs/1704.08249)

Beyond classification problems

- Classification problems are one of the easiest application of ML, but by far not the only one!
- Many promising applications of ML methods for:
	- fast simulations using unsupervised generative models

[Paganini, de Oliveira, Nachman [PRL 120 \(2018\) 042003\]](http://arxiv.org/abs/1705.02355)

- regression tasks such as pile-up subtraction [Komiske, Metodiev, Nachman, Schwartz [JHEP 1712 \(2017\) 051\]](http://arxiv.org/abs/1707.08600)
- anomaly detection for new physics

[Collins, Howe, Nachman [PRL 121 \(2018\) 241803\]](http://arxiv.org/abs/1805.02664)

distance metric of collider events

[Komiske, Metodiev, Thaler [arXiv:1902.02346\]](http://arxiv.org/abs/1902.02346)

 \blacktriangleright etc \ldots

THE LUND PLANE (arXiv:1807.04758)

- Lund diagrams in the $(\ln z \theta, \ln \theta)$ plane are a very useful way of representing emissions.
- Different kinematic regimes are clearly separated, used to illustrate branching phase space in parton shower Monte Carlo simulations and in perturbative QCD resummations.
- I Soft-collinear emissions are emitted uniformly in the Lund plane

$$
dw^2 \propto \alpha_s \frac{dz}{z} \frac{d\theta}{\theta}
$$

Features such as mass, angle and momentum can easily be read from a Lund diagram.

Lund diagrams for substructure

Substructure algorithms can often also be interpreted as cuts in the Lund plane.

[Dasgupta, Fregoso, Marzani, Salam [JHEP 1309 \(2013\) 029\]](https://arxiv.org/abs/1307.0007)

Lund diagrams can provide a useful approach to study a range of jet-related questions

- First-principle calculations of Lund-plane variables.
- Constrain MC generators, in the perturbative and non-perturbative regions.
- Brings many soft-drop related observables into a single framework.
- Impact of medium interactions in heavy-ion collisions.
- Boosted object tagging using Machine Learning methods.

We will use this representation as a novel way to characterise radiation patterns in a jet, and study the application of recent ML tools to this picture. To create a Lund plane representation of a jet, recluster a jet *j* with the Cambridge/Aachen algorithm then decluster the jet following the hardest branch.

- 1. Undo the last clustering step, defining two subjets j_1 , j_2 ordered in $\emph{p}_{\emph{t}}$.
- 2. Save the kinematics of the current declustering Δ ≡ $(y_1 - y_2)^2 + (\phi_1 - \phi_2)^2$, k_t ≡ $p_{t2}\Delta$, $m^2 \equiv (p_1 + p_2)^2$, $z \equiv \frac{p_{t2}}{p_{t1}}$ *pt*1+*pt*² *,* $ψ ≡ tan⁻¹ \frac{y_2 - y_1}{4}$ $\frac{62-61}{\phi_2-\phi_1}$.

3. Define $j = j_1$ and iterate until *j* is a single particle.

Lund plane representation

- Each jet has an image associated with its primary declustering.
- \blacktriangleright For a C/A jet, Lund plane is filled left to right as we progress through declusterings of hardest branch.
- Additional information such as azimuthal angle ψ can be attached to each point.

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Jets as Lund images

Average over declusterings of hardest branch for 2 TeV QCD jets.

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Analytic study of the Lund plane

To leading order in perturbative QCD and for $\Delta \ll 1$, one expects for a quark initiated jet

$$
\rho \simeq \frac{\alpha_s(k_t)C_F}{\pi} \bar{z} \left(p_{gq}(\bar{z}) + p_{gq}(1-\bar{z}) \right), \quad \bar{z} = \frac{k_t}{p_{t,\text{jet}}\Delta}
$$

- Lund plane can be calculated analytically.
- Calculation is systematically improvable.

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Declustering other jet-algorithm sequences

- Choice of C/A algorithm to create clustering sequence related to physical properties and associated to higher-order perturbative structures
- anti- k_t or k_t algorithms result in double logarithmic enhancements

$$
\bar{\rho}_2^{(\text{anti-}k_t)}(\Delta,\kappa) \simeq +8C_F\,C_A\ln^2\frac{\Delta}{\kappa} \qquad \qquad \bar{\rho}_2^{(k_t)}(\Delta,\kappa) \simeq -4C_F^2\ln^2\frac{\Delta}{\kappa}
$$

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Declustering other jet-algorithm sequences

Lund images for QCD and W jets

Hard splittings clearly visible, along the diagonal line with jet mass $m = m_W$.

Discriminating features in the Lund plane

- Can identify discriminating features by considering log ratio of averaged images.
- *W* peak is clearly visible but after cuts, depletion of emissions at relatively large angles remains distinctive signature.

Secondary Lund plane

- Secondary Lund planes are ignored: some information is therefore lost, but still achieves good performance.
- This limitation can be overcome by extending the methods we will discuss to include secondary planes as inputs.

APPLICATION TO BOOSTED W TAGGING

We will now investigate the potential of the Lund plane for boosted-object identification.

Two different approaches:

- \triangleright A log-likelihood function constructed from a leading emission and non-leading emissions in the primary plane.
- Use the Lund plane as input for a variety of Machine Learning methods.

As a concrete example, we will take dijet and WW events, looking at CA jets with $p_t > 2$ TeV.

Log-likelihood approach takes two inputs:

- First one obtained from the "leading" emission.
- \triangleright The second one which brings sensitivity to non-leading emissions.

Leading emission is determined to be the first emission in the Lund declustering sequence that satisfies $z > 0.025$ (\sim mMDT tagger)

Define a \mathcal{L}_ℓ log likelihood function

$$
\mathcal{L}_{\ell}(m, z) = \ln \left(\frac{1}{N_S} \frac{dN_S}{dmdz} \right) \frac{1}{N_B} \frac{dN_B}{dmdz} \right)
$$

where the ratio of $\frac{dN_{S/B}}{dmdz}$ is the differential distribution in m and z of the leading emission for signal sample (background) with *NS*(*NB*) jets.

Non-leading $(n\ell)$ emissions within the primary Lund plane are incorporated using a function

$$
\mathcal{L}_{n\ell}(\Delta, k_t; \Delta^{(\ell)}) = \ln \left(\rho_S^{(n\ell)} / \rho_B^{(n\ell)} \right)
$$

where $\rho^{(n\ell)}$ is determined just over the non-leading emissions,

$$
\rho^{(n\ell)}(\Delta,k_t;\Delta^{(\ell)})=\frac{dn_{\rm emission}^{(n\ell)}}{d\ln k_t\,d\ln 1/\Delta\,d\Delta^{(\ell)}}\Bigg/\frac{dN_{\rm jet}}{d\Delta^{(\ell)}}
$$

as a function of the angle $\Delta^{(\ell)}$ of the leading emission.

Log-likelihood use of Lund Plane: non-leading emissions

 $\mathcal{L}_{n\ell}$ log-likelihood function in a specific bin.

Log-likelihood use of Lund Plane: full discriminator

Overall log-likelihood signal-background discriminator for a given jet is then given by

$$
\mathcal{L}_{\text{tot}} = \mathcal{L}_{\ell}(m^{(\ell)}, z^{(\ell)}) + \sum_{i \neq \ell} \mathcal{L}_{n\ell}(\Delta^{(i)}, k_t^{(i)}; \Delta^{(\ell)}) + \mathcal{N}(\Delta^{(\ell)})
$$

where
$$
N = -\int d \ln \Delta d \ln k_t (\rho_S^{(\ell)} - \rho_B^{(\ell)})
$$
.

Each subjet *i* in the sum brings information about whether it is in a more background-like or signal-like part of the Lund plane.

Optimal discriminator if:

- Leading emission correctly associated with *W*'s two-prong structure.
- Non-leading emissions are independent from each other.
- Emission patterns for those emissions depend only on $\Delta^{(\ell)}$.
- Compare the LL approach in specific mass-bin with equivalent results from the Les Houches 2017 report [\(arXiv:1803.07977\)](https://arxiv.org/abs/1803.07977).
- Substantial improvement over best-performing substructure observable.

A variety of ML methods can be applied to the Lund plane in order to construct efficient taggers.

We will investigate three approaches:

- Convolutional Neural Networks (CNN) applied on 2D Lund images.
- Deep Neural Networks (DNN) applied on the sequence of declusterings.
- ▶ Long Short-Term Memory (LSTM) networks applied on the sequence of declusterings.

Recurrent networks with a Lund plane

- Jets generally associated with a clustering trees, where each node contains similar type of information.
- \blacktriangleright Particularly well-adapted for recurrent networks, which loop over inputs and use the same weights.
- \triangleright LSTMs are a widely used variant designed to have memory over longer separations.
- \blacktriangleright For each declustering node, we consider the inputs

 $\left\{ \ln(R/\Delta R_{12})$, $\ln(k_t/\text{GeV}) \right\}$

Inputs are IRC safe as long as there is a cutoff in transverse momentum.

Figure from http://colah.github.io/posts/2015-08-Understanding-LSTMs/

LSTMs for jet tagging

- \blacktriangleright LSTM network substantially improves on results obtained with other methods.
- Large gain in performance, particularly at higher efficiencies.

Sensitivity to non-perturbative effects

- I Performance compared to resilience to MPI and hadronisation corrections.
- \blacktriangleright Vary cut on k_t , which reduces sensitivity to the non-perturbative region. performance v. resilience [full mass information]

- Lund-likelihood performs well even at high resilience.
- ML approach reaches very good performance but is not particularly resilient to NP effects.

REINFORCED JET GROOMING (arXiv:1903.09644)

Grooming a jet tree

 \blacktriangleright Cast jet as clustering tree where state of each node $\mathcal{T}^{(i)}$ is a tuple with kinematic information on splitting

$$
s_t = \{z, \Delta_{ab}, \psi, m, k_t\}
$$

Grooming algorithm defined as a function π_{ϱ} observing a state and returning an action $\{0, 1\}$ on the removal of the softer branch, e.g.

$$
\pi_{\text{RSD}}(s_t) = \begin{cases} 0 & \text{if } z > z_{\text{cut}} \left(\frac{\Delta_{ab}}{R_0}\right)^\beta \\ 1 & \text{else} \end{cases}
$$

Reinforcement learning with Deep-Q-Networks

Reinforcement learning are usually built from two elements:

- an agent deciding which actions to take in order to maximize reward
- an environment, observed by the agent and affected by the action

Deep Q-Network is a RL algorithm which uses a table of *Q*-values $Q(s, a)$, determining the next action as the one that maximizes *Q*.

A neural network is used to approximate the optimal action-value function

$$
Q^*(s, a) = \max_{\pi} \mathbb{E}[r_t + \gamma r_{t+1} + \dots | s_t = s, a_t = a, \pi]
$$

[Mnih et al, [Nature 2015\]](https://storage.googleapis.com/deepmind-media/dqn/DQNNaturePaper.pdf)

Frédéric Dreyer 43/50

To find optimal grooming policy $\pi_{\mathcal{G}}$, define an environment and a reward function so that problem can be solved with RL.

- Initialize list of all trees used for training.
- Each episode starts by randomly selecting a tree and adding its root to a priority queue (ordered in ∆*ab*).
- \blacktriangleright Each step removes first node from priority queue, then takes action on removal of soft branch based on state *s^t* of node.
- **In After action, update kinematics of parent nodes, add current children to** priority queue, and evaluate reward function.
- Episode terminates once priority queue is empty.
- I Key ingredient for optimization of grooming policy is reward function used at each training step.
- \triangleright We construct a reward with two components
	- First piece R_M evaluated on the full jet tree, comparing the jet mass to a target value.
	- \triangleright Second component R_{SD} looks at kinematics of current node.
- \triangleright Total reward is then given by

$$
R(m, a_t, \Delta, z) = R_M(m) + \frac{1}{N_{\text{SD}}} R_{\text{SD}}(a_t, \Delta, z)
$$

where mass reward is defined using a Cauchy distribution

$$
R_M(m) = \frac{\Gamma^2}{\pi(|m - m_{\text{target}}|^2 + \Gamma^2)}
$$

Defining the reward function

- To provide baseline behaviour for the groomer, we include a "Soft-Drop" reward R_{SD} evaluated on the current node
- Calculated on the current node state, gives positive reward for removal of wide-angle soft radiation and for keeping hard-collinear emissions.

 $R_{\text{SD}}(a_t, \Delta, z) = a_t \min\left(1, e^{-\alpha_1 \ln(1/\Delta) + \beta_1 \ln(z_1/z)}\right)$ $+(1 - a_t) \max\left(0, 1 - e^{-\alpha_2 \ln(1/\Delta) + \beta_2 \ln(z_2/z)}\right)$

Implementation and multi-level training

- Train RL agent with multi-level approach using both signal and bkg into account. Sample consists of 500k *W*/QCD or Top/QCD Pythia 8 jets.
- At the beginning of each episode, randomly select a signal or background jet with probability $1 - p_{bkg}$.
- In the background case, mass reward function is changed to

Groomed jet mass spectrum

- \triangleright To test the grooming algorithm derived from the DQN agent, we apply our groomer to three test samples: QCD, *W* and Top jets.
- Improvement in jet mass resolution compared to RSD.
- Algorithm performs well on data beyond its training range.

code available at **[github.com/JetsGame/GroomRL](https://github.com/JetsGame/groomrl)**

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Robustness to non-perturbative effects

- Resilience to hadronisation and underlying event corrections is a key feature of modern grooming algorithms
- Strategy derived from reinforcement learning shows similar behaviour to heuristic method
- No parton or hadron-level data was used in the training!

CONCLUSIONS

Conclusions: the view from pp physics

- In Jet substructure is a very active subfield providing a wide range of tools that can be readily applied in heavy ion physics.
- \triangleright Cross-talk with machine learning community has lead to many new advances and insights.
- I Many yet to come, e.g. in tackling more complicated regression tasks or unsupervised learning approaches.
- \triangleright Discussed a way to study and exploit radiation patterns in a jet using the Lund plane.
- Introduced a framework for promising application of reinforcement learning to jet grooming

 \Rightarrow easily extendable to other choices of reward function.