

# Low emittance and luminosity tuning in SuperKEKB

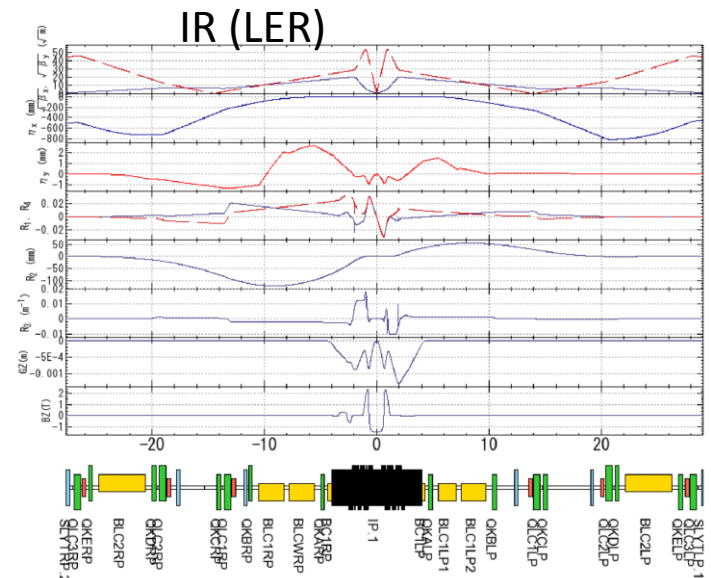
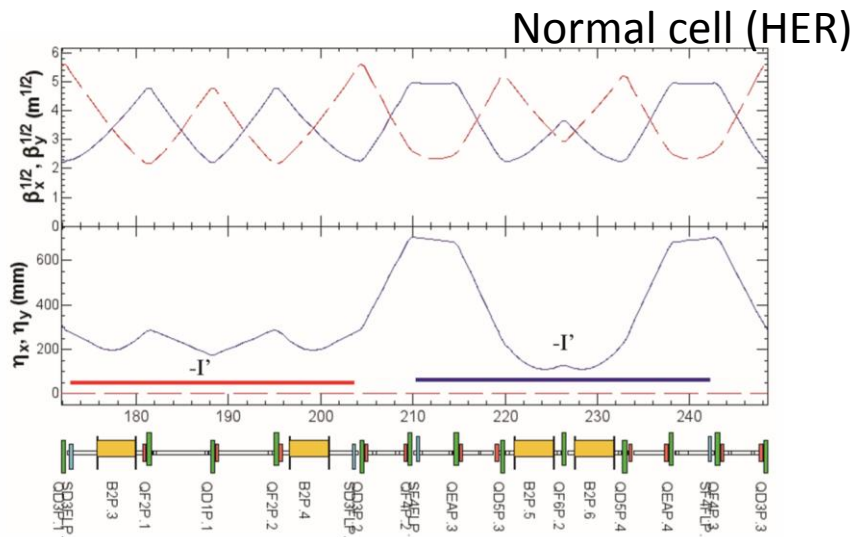
K. Ohmi (KEK)

Beam test and commissioning of  
Low Emittance storage rings,  
18-20 Feb, 2019,  
Karlsruhe, Germany

Thanks to Y. Funakoshi, K. Hirosawa, H. Koiso, A. Morita,  
Y. Ohnishi, H. Sugimoto, D. Zhou

# SuperKEKB

- Asymmetric e+e- collider with energy 4 and 7 GeV.
- Collision with large Piwinski angle,  $\phi_c \sigma_z / \sigma_x = 26$ .
- Arc design  $\sim$  Very high current 3<sup>rd</sup> generation light source
- Interaction Point : Extremely low  $\beta^*$ , 3cmx0.3mm.
- Very large chromaticity and local correction in the Interaction Region.



# Machine Parameters

2011/July/20	LER	HER	unit	
E	4.000	7.007	GeV	
I	3.6	2.6	A	
Number of bunches	2,500			
Bunch Current	1.44	1.04	mA	
Circumference	3,016.315		m	
$\epsilon_x/\epsilon_y$	3.2(1.9)/8.64(2.8)	4.6(4.4)/11.5(1.5)	nm/pm	() : zero current
Coupling	0.27	0.28		includes beam-beam
$\beta_x^*/\beta_y^*$	32/0.27	25/0.30	mm	
Crossing angle	83		mrad	
$\alpha_p$	$3.25 \times 10^{-4}$	$4.55 \times 10^{-4}$		
$\sigma_\delta$	$8.08(7.73) \times 10^{-4}$	$6.37(6.31) \times 10^{-4}$		() : zero current
$V_c$	9.4	15.0	MV	
$\sigma_z$	6.0(5.0)	5(4.9)	mm	() : zero current
$v_s$	-0.0247	-0.0280		
$v_x/v_y$	44.53/44.57	45.53/43.57		
$U_0$	1.87	2.43	MeV	
$\tau_{x,y}/\tau_s$	43.1/21.6	58.0/29.0	msec	
$\xi_x/\xi_y$	0.0028/0.0881	0.0012/0.0807		
Luminosity	$8 \times 10^{35}$		$\text{cm}^{-2}\text{s}^{-1}$	

# X-y coupling

- Eigenvector to resolve x-y coupling, R.

$$\mathbf{x} = R\mathbf{B}\mathbf{X} \quad \mathbf{X}(s+C) = U\mathbf{X}(s) \quad U = \begin{pmatrix} U_X & 0 \\ 0 & U_Y \end{pmatrix} \quad U_X = \begin{pmatrix} \cos \mu_x & \sin \mu_x \\ -\sin \mu_x & \cos \mu_x \end{pmatrix}$$

$$\mathbf{x}(s+C) = M(s)\mathbf{x}(s) \quad M(s) = R(s)B(s)UB^{-1}(s)R^{-1}(s)$$

- R(s) as Twiss parameters

$$R(s) = \begin{pmatrix} r_0 & 0 & r_4 & -r_2 \\ 0 & r_0 & -r_3 & r_1 \\ -r_1 & -r_2 & r_0 & 0 \\ -r_3 & -r_4 & 0 & r_0 \end{pmatrix} \quad B = \begin{pmatrix} B_X & 0 \\ 0 & B_Y \end{pmatrix} \quad B_X = \begin{pmatrix} \sqrt{\beta_X} & 0 \\ -\alpha_X/\sqrt{\beta_X} & 1/\sqrt{\beta_X} \end{pmatrix}$$

$$r_0 = \sqrt{1 - r_1 r_4 + r_2 r_3}$$

- Beam envelope matrix

$$\langle \mathbf{X}(s)\mathbf{X}^t(s) \rangle = \begin{pmatrix} \varepsilon_X & 0 & 0 & 0 \\ 0 & \varepsilon_X & 0 & 0 \\ 0 & 0 & \varepsilon_Y & 0 \\ 0 & 0 & 0 & \varepsilon_Y \end{pmatrix} \quad \langle \mathbf{x}(s)\mathbf{x}^t(s) \rangle = R(s)B(s)\langle \mathbf{X}\mathbf{X}^t \rangle B^t(s)R^t(s)$$

$$\mathbf{x}^t = (x, x', y, y') \quad \langle yy \rangle = \sigma_y^2$$

# Normal mode X,Y and emittance

- Normal mode with eigenvalues,  $\exp(\pm i\mu_{X,Y})$ .
- Betatron motion, oscillation with frequency,  $\mu_{X,Y}$ .
- Emittance rms of the normal mode amplitude,  $\varepsilon_X = \langle |X|^2 \rangle$ ,  $\varepsilon_Y = \langle |Y|^2 \rangle$ .
- Emittance is determined by radiation excitation and damping along the normal mode.

$$\varepsilon_Y \propto \oint \frac{\gamma_Y \eta_Y^2 + 2\alpha_Y \eta_Y \eta_Y' + \beta_Y \eta_Y'^2}{\rho^3} ds$$

$$\boldsymbol{\eta}_{X,Y}(s) = R^{-1}(s) \boldsymbol{\eta}_{x,y}(s)$$

- To reduce  $\varepsilon_Y$ , X-y coupling in bend should be suppressed.
- **Global coupling determines vertical emittance.**

# Local x-y coupling and beam size

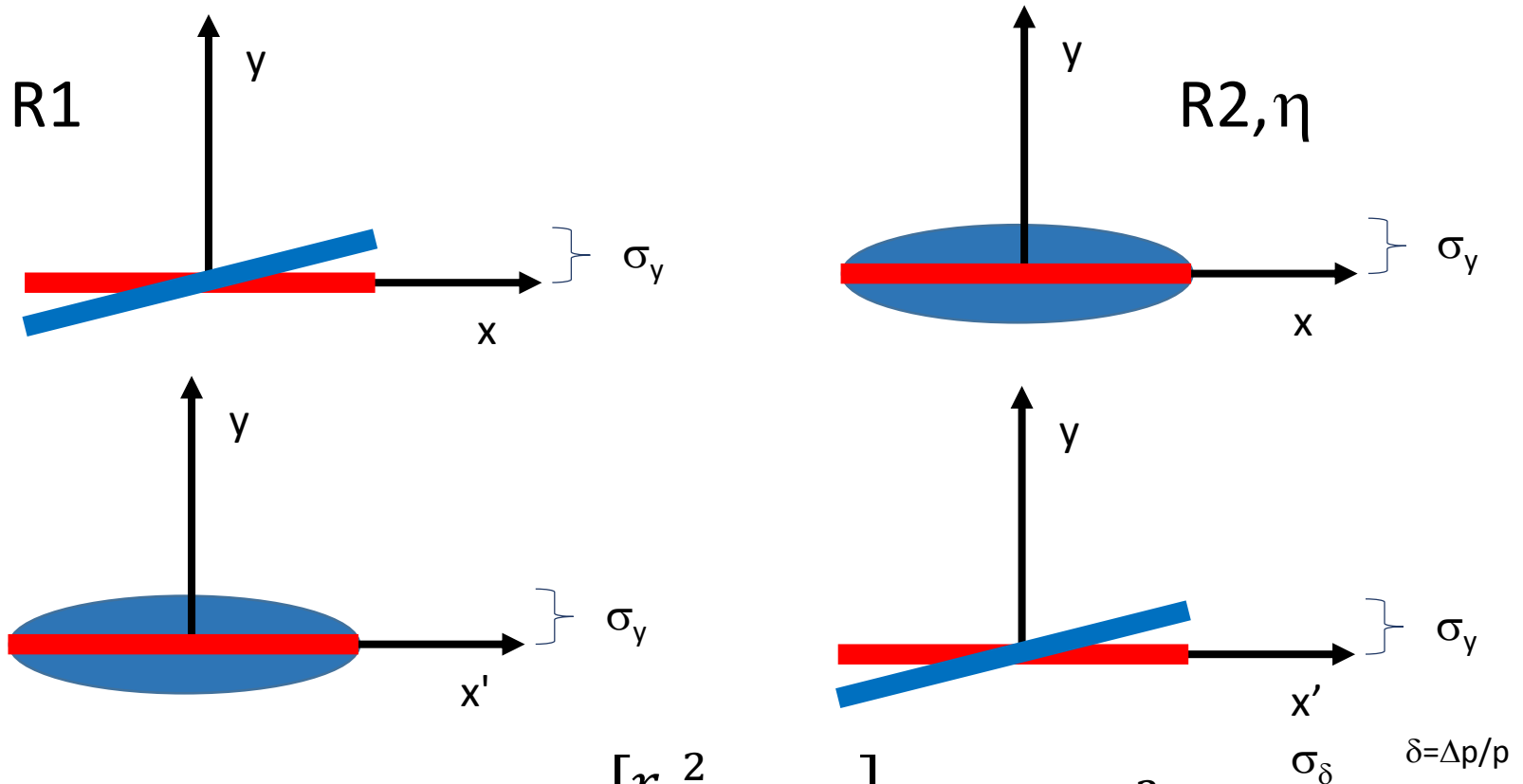
- Beam size at location given Twiss parameters.

$$\sigma_y^2(s) \approx \sigma_Y^2 + \sigma_X^2 \left[ \frac{r_2^2}{\beta_x^2} + r_1^2 \right] + (\eta_y \sigma_\delta)^2$$

$$\sigma_Y^2(s) = \beta_Y(s) \varepsilon_Y \quad \sigma_x^2(s) \approx \sigma_X^2(s) = \beta_X(s) \varepsilon_X$$

- Local vertical beam size consists of the **vertical emittance and local x-y coupling R(s)**.
- x-y coupling at IP enhances emittance growth due to the beam-beam interaction.
- **Beam size at Interaction Point determines luminosity performance.**

# Local coupling and beam distribution



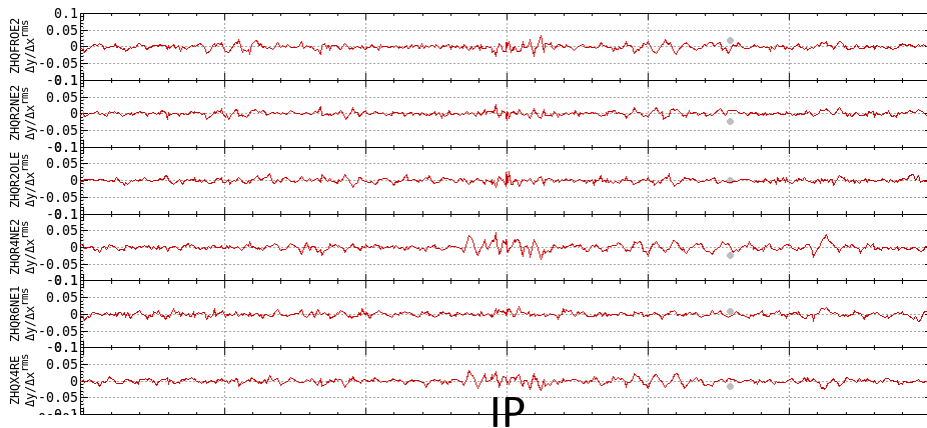
$$\sigma_y^2(s) \approx \sigma_{y,0}^2 + \sigma_x^2 \left[ \frac{r_2^2}{\beta_x^2} + r_1^2 \right] + (\eta_y \sigma_\delta)^2$$

R3 and R4 are explained by the same picture for  $p_y$ .

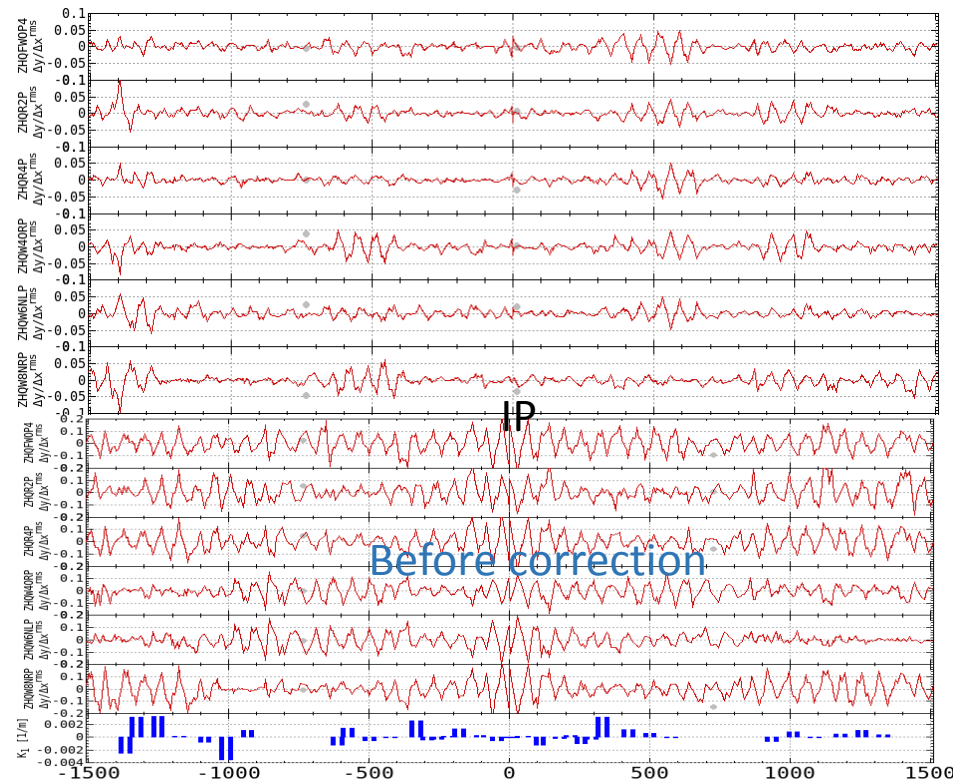
# X-y coupling correction in SuperKEKB

- Exciting 6 horizontal steerings one by one, measure closed orbit distortion in each.
- Vertical orbit is corrected by skew quads or vertical bump of sextupoles.

HER



LER



- $r_1$  and  $r_2$  at every  $s$  is corrected,  $r_3$  and  $r_4$  are corrected as the result.
- X-y coupling in LER is somewhat worse than HER.



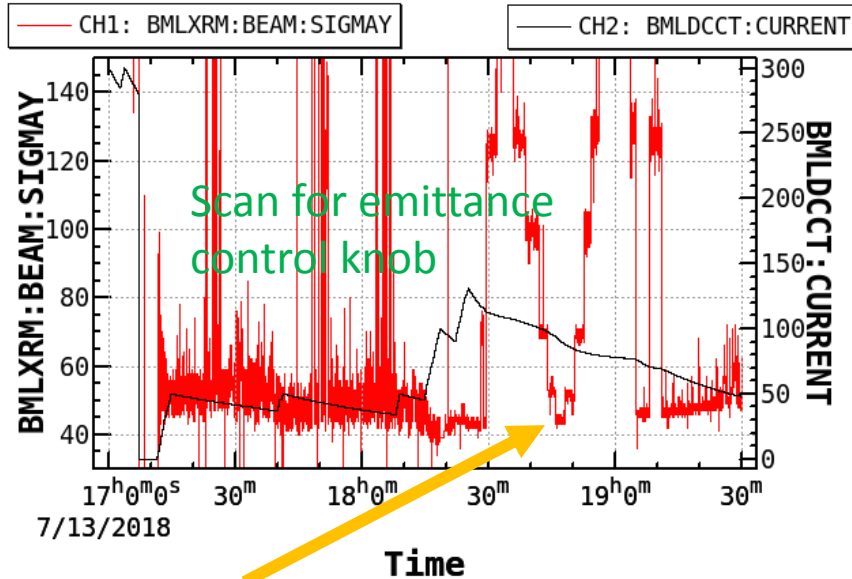
# Optics Correction Summary

	Phase 1		Phase 2	
	LER	HER	LER	HER
$(\Delta\beta_{x,y}/\beta_{x,y})^{\text{rms}}$ [%]	3 / 3	3 / 3	2 / 4	3 / 3
$(\Delta y)^{\text{rms}} / (\Delta x)^{\text{rms}}$	0.009	0.006	0.014	0.008
$(\Delta\eta_{x,y})^{\text{rms}}$ [mm]	8 / 2	11 / 2	10 / 4	9 / 3
$\Delta\xi_{x,y}$	2 / -4	< 1 / < 1	2 / 3	< 1 / 3

**HER**  $(\beta_x^*, \beta_y^*) = (100 \text{ mm}, 3 \text{ mm})$   
**LER**  $(\beta_x^*, \beta_y^*) = (200 \text{ mm}, 3 \text{ mm})$

# Vertical Emittance in Phase 2

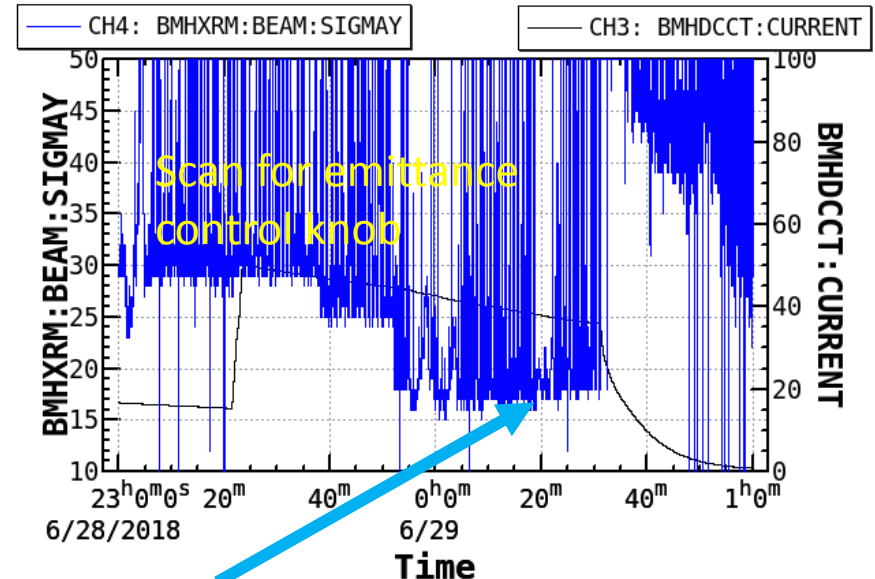
**LER**



$$\sigma_y = 40 \mu\text{m} \quad \beta_y = 69 \text{ m}$$

$$\varepsilon_y \sim 23 \text{ pm} \quad \varepsilon_x = 3 \text{ nm}$$

**HER**



$$\sigma_y = 16 \mu\text{m} \quad \beta_y = 28 \text{ m}$$

$$\varepsilon_y \sim 9 \text{ pm} \quad \varepsilon_x = 5 \text{ nm}$$

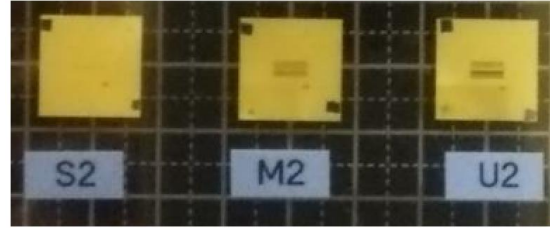
- Emittance of HER is improved compare with Phase 1.
- Emittance of LER is larger than that of Phase 1.

Note: Residual of XY coupling is larger compare with Phase1.

# Beam size measurement using XRM

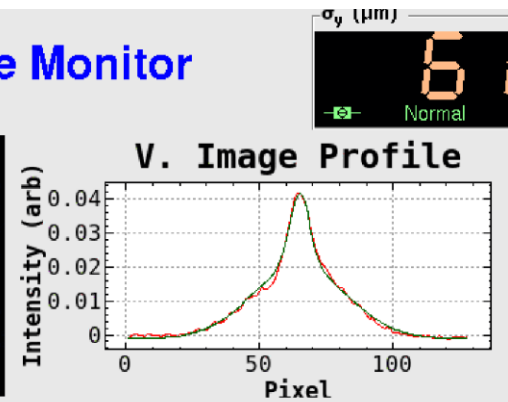
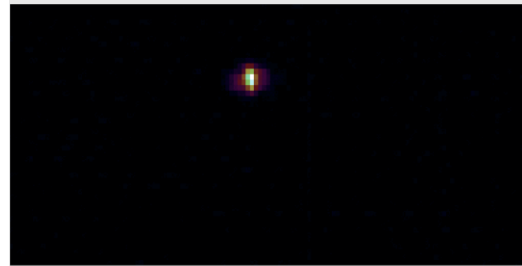


X-ray beam line under construction at LER

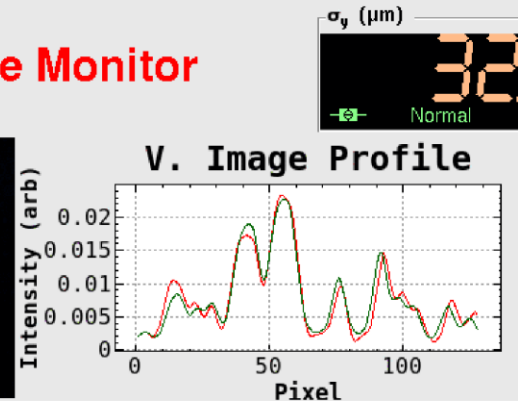
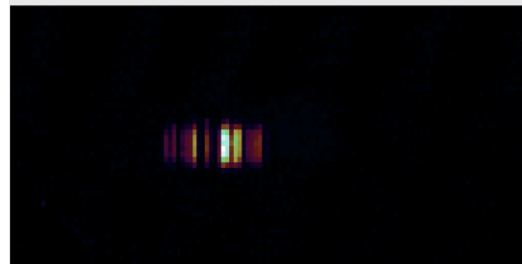


Masks:  $\sim 20 \mu\text{m}$  Au on  $600 \mu\text{m}$  CVD diamond substrate

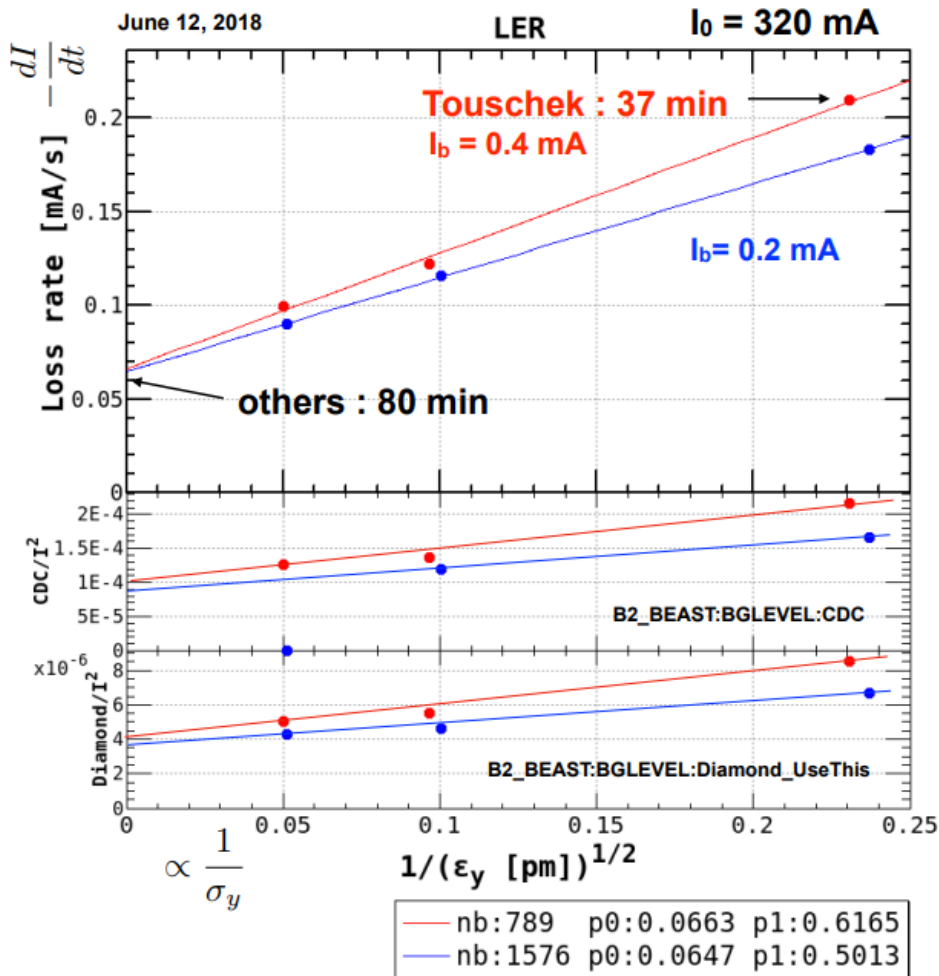
## HER X-Ray Beam Profile Monitor



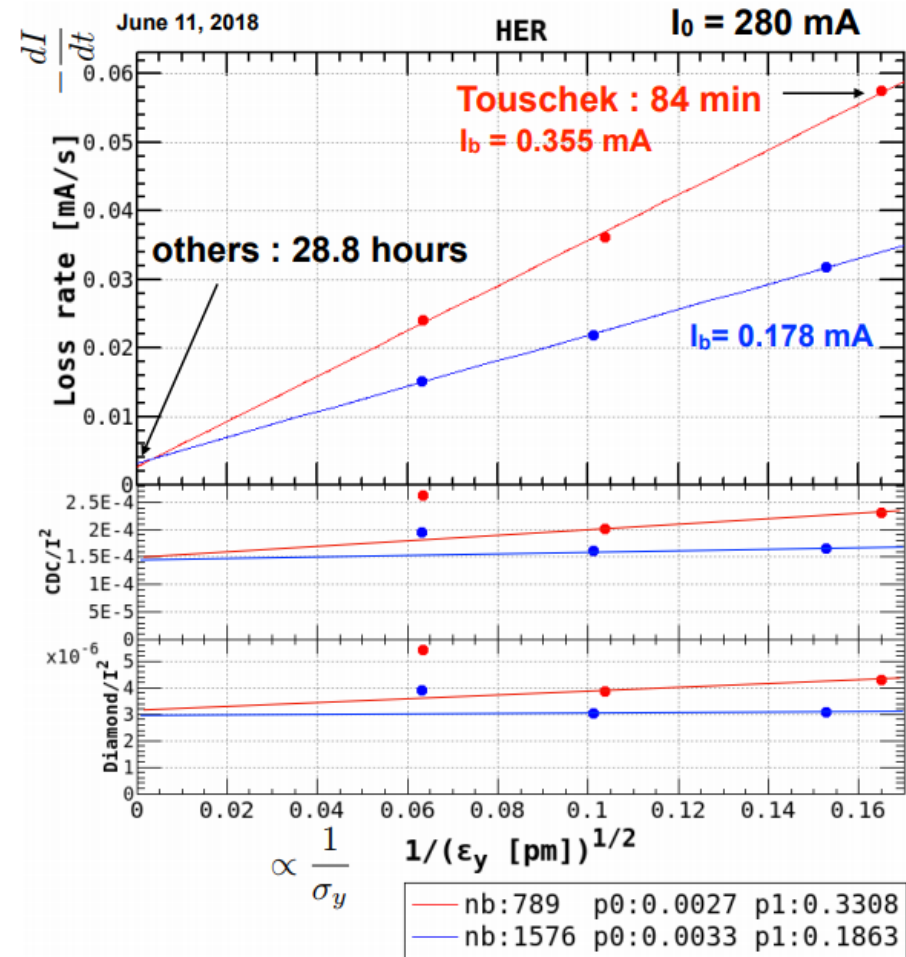
## LER X-Ray Beam Profile Monitor



# Beam life time vs vertical emittance



Touschek effect can be observed in LER.



$I_b = 1$  mA/bunch  $\rightarrow N_e = 6 \times 10^{10}$ /bunch

# SuperKEKB as a low emittance collider

- Compatibility of low emittance, beam-beam tune shift and luminosity
- Collision with Large crossing angle to avoid large horizontal tune shift.
- Extreme small  $\beta_y$ .
- Small x-y coupling for keeping vertical beam-beam tune shift

$$\Delta\nu_x = \frac{N_e r_e}{2\pi\gamma_p} \frac{\beta_x}{\sigma_x(\sigma_x + \sigma_y)}$$

$$\Delta\nu_y = \frac{N_e r_e}{2\pi\gamma_p} \frac{\beta_y}{\sigma_y(\sigma_x + \sigma_y)}$$

$$L = \frac{N_e N_p}{4\pi} \frac{f_{col}}{\sigma_x \sigma_y} = \frac{N_p \gamma_p \Delta\nu_y}{2r_e \beta_y} f_{col}$$

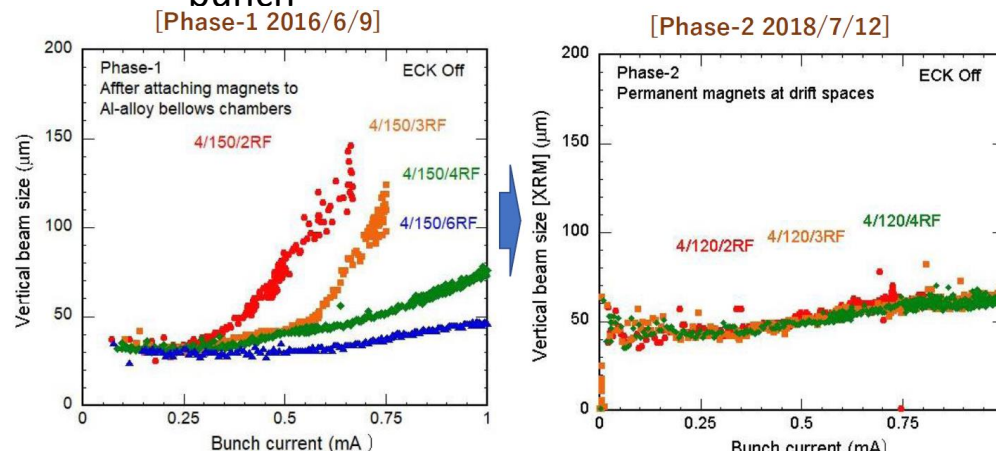
Effective horizontal beam size

$$\sigma_x = \sqrt{\beta_x \varepsilon_x + (\phi_c \sigma_z)^2}$$

$\phi_c$ : half crossing angle

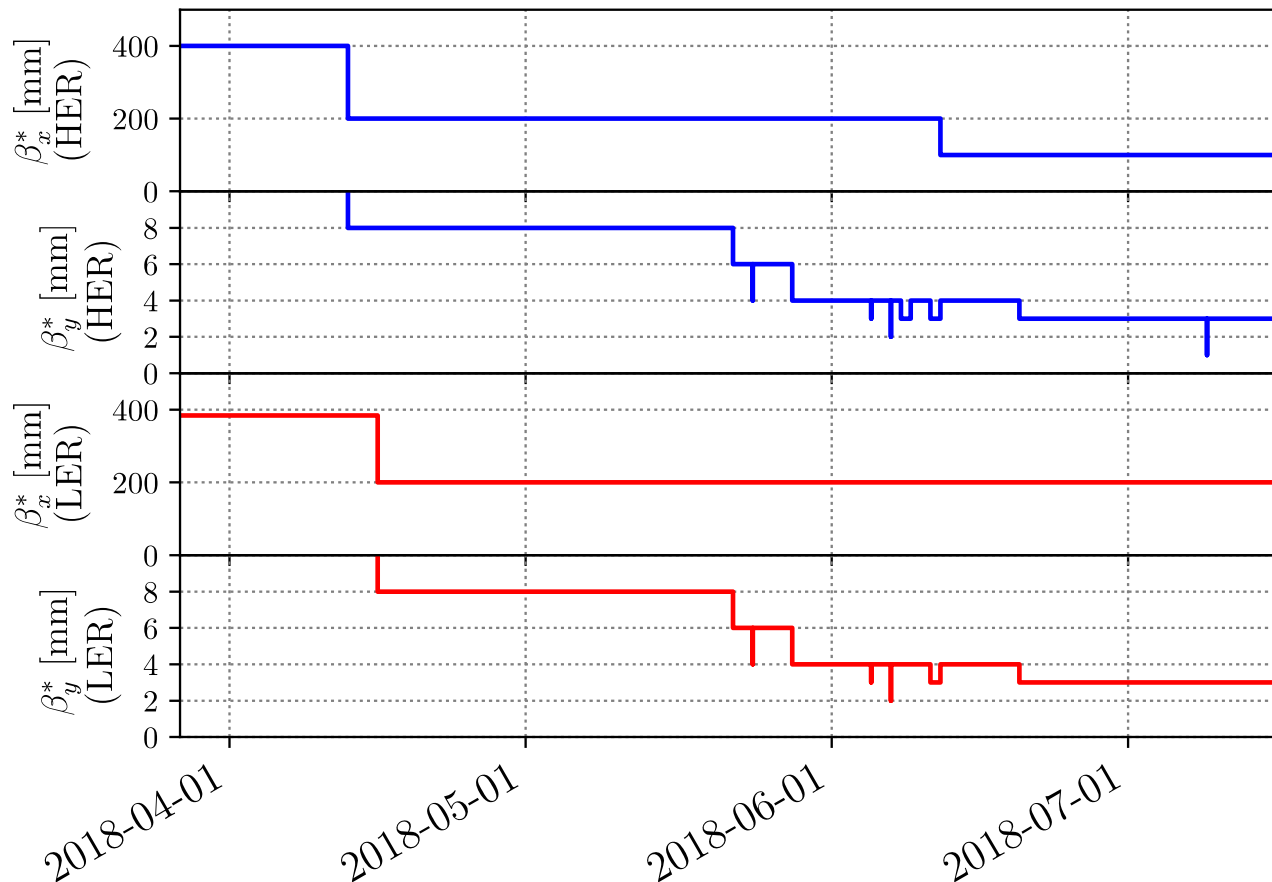
# Commissioning of SuperKEKB, Phase I

- Low emittance operation
  - X-y coupling, vertical dispersion correction.
  - X-ray beam size monitor using coded aperture.
  - Global X-y coupling <1% is achieved.
  - $I_+ = 1\text{A}$  and  $I_- = 0.7\text{ A}$  achieved without emittance growth.
- Check electron cloud instability, and other instabilities.
  - EC Instability was observed early stage, but suppressed by winding solenoids in bellow section not coated by TiN.
  - Beam size blow-up due to electron cloud is suppressed by 1A at least.  $N_{\text{bunch}} = 1500$ .  
 $1\text{mA}/\text{bunch} = 6 \times 10^{10}/\text{bunch}$ ,  $C = 3016\text{m}$

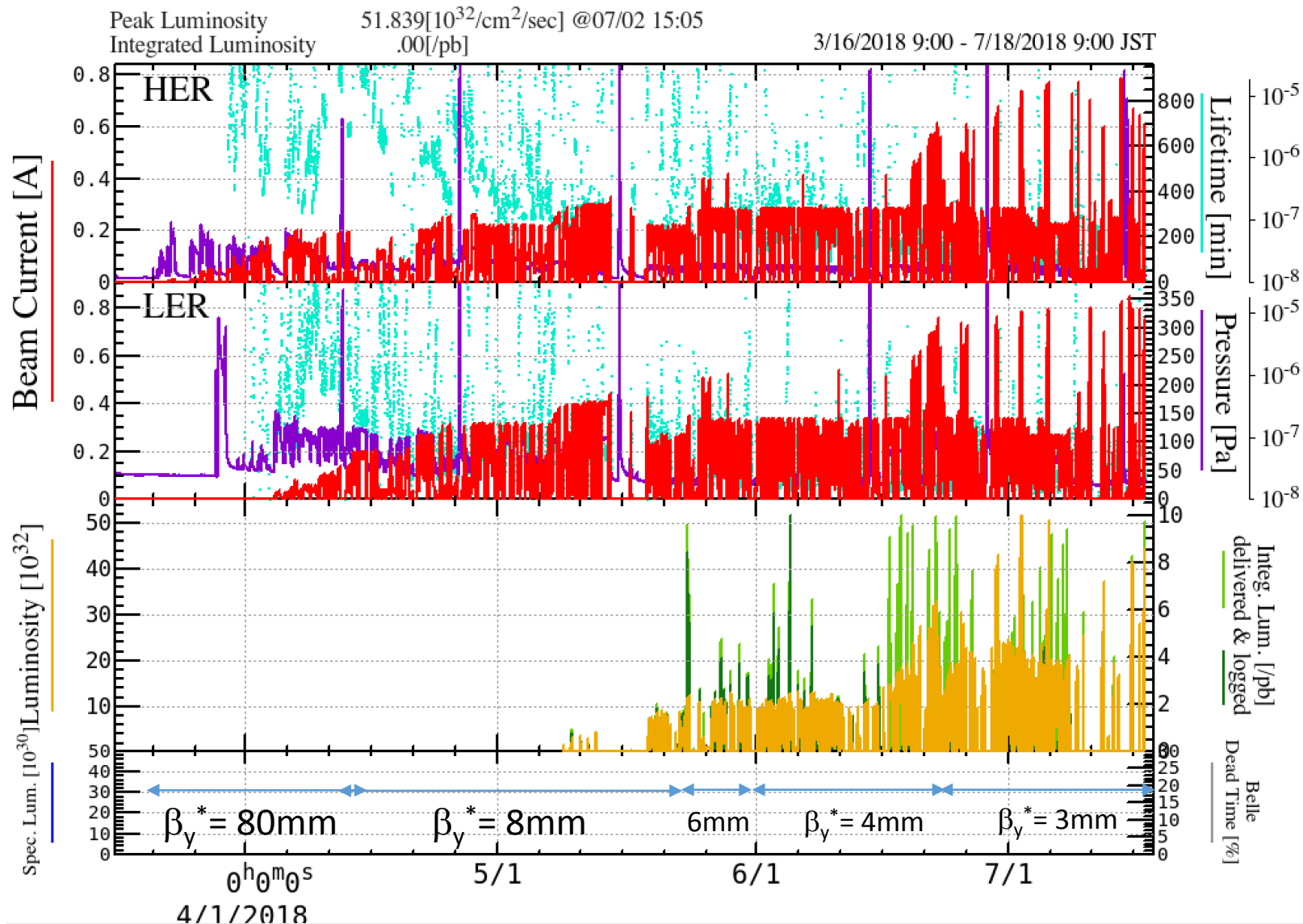


# Commissioning of SuperKEKB, Phase II

- $\beta$  squeezing



# History of SuperKEKB Phase 2



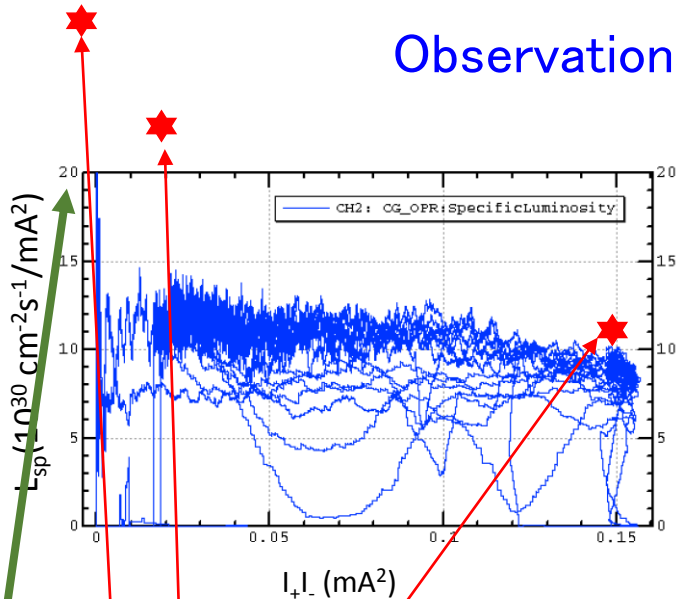
$5.55 \times 10^{33}/\text{cm}^2/\text{s}$  ( $\beta_y^* 3\text{mm}$ , LER: 800mA, HER: 780mA, 1576 bunches/beam July 5<sup>th</sup>)

$2.29 \times 10^{33}/\text{cm}^2/\text{s}$  ( $\beta_y^* 3\text{mm}$ , LER: 270mA, HER: 225mA, 394 bunches/beam July 3<sup>rd</sup>)



# Lspec at June 10, 2018

## Observations



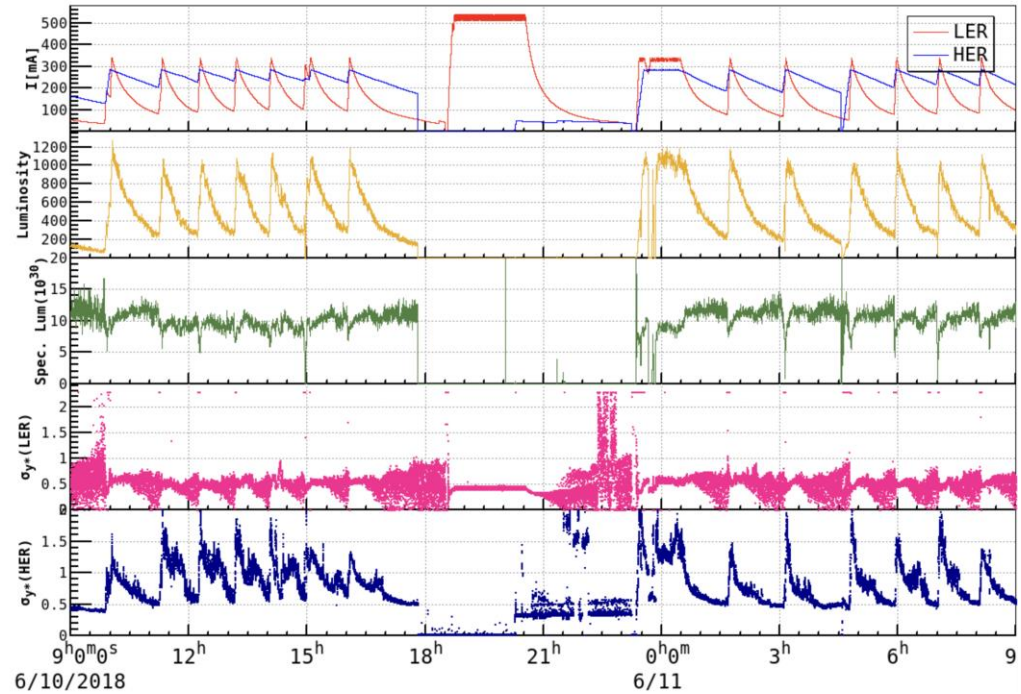
- 0mA,  $\sigma_{y0}=0.3\mu\text{m}$ ,  $0.4\mu\text{m}$ ,  $L_{sp}=35$
  - 200x80mA,  $\sigma_{y0}=0.5\mu\text{m}$ ,  $0.6\mu\text{m}$ ,  $L_{sp}=23$
  - 285x340mA,  $\sigma_{y0}=1.5\mu\text{m}$ ,  $0.6\mu\text{m}$ ,  $L_{sp}=11$
- $L_{sp}$  agrees with geo value at high current

$L_{sp}=20$  was at lowest current, 0.01mA x 0.01mA.

$$L_{sp} = \frac{1}{2\pi\sigma_{xc}\sigma_{yc}e^2f_0}$$

$$\sigma_{yc} = \sqrt{\sigma_{y+}^2 + \sigma_{y-}^2}$$

$$1\text{mA/bunch} = 6 \times 10^{10} / \text{bunch}$$



$$L_{\text{peak}} = 1.2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1},$$

$$285 \times 340 \text{ mA}, N_b = 788$$

Blow-up of e- beam was serious.

# Optics aberration and tuning at IP

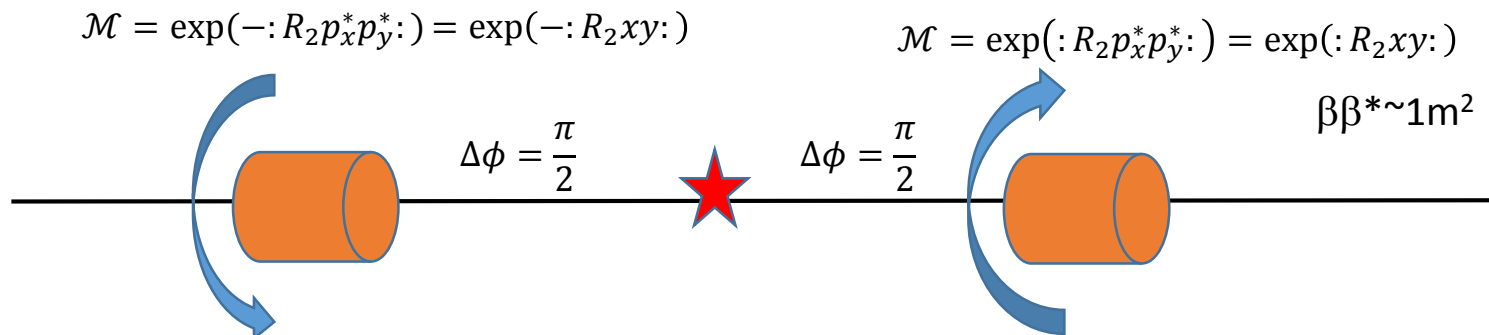
- In early stage of Phase II, luminosity of very low current contradict with the measured emittance.
- Luminosity did not increase for squeezing beta.
- Local coupling R2 at IP could be suspected.

$$\sigma_y^2 \approx \sigma_Y^2 + \sigma_x^2 \left[ \frac{R_2^2}{\beta_x^2} + R_1^2 \right] + (\eta_y \sigma_\delta)^2$$

$$\sigma_Y^2(s) = \beta_Y(s) \varepsilon_Y$$

$$\sigma_x^2(s) \approx \sigma_X^2(s) = \beta_X(s) \varepsilon_X$$

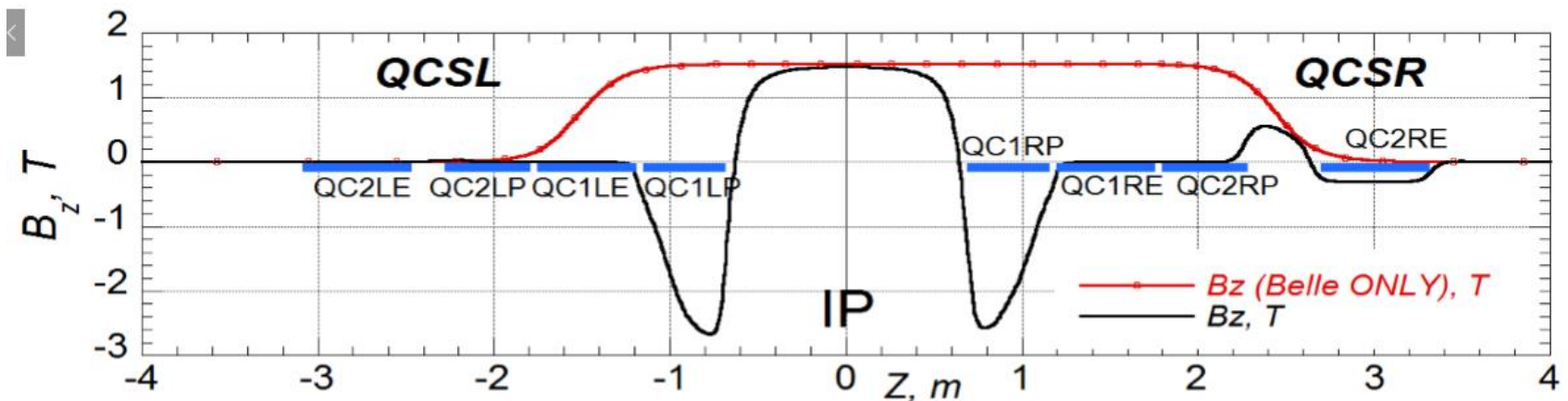
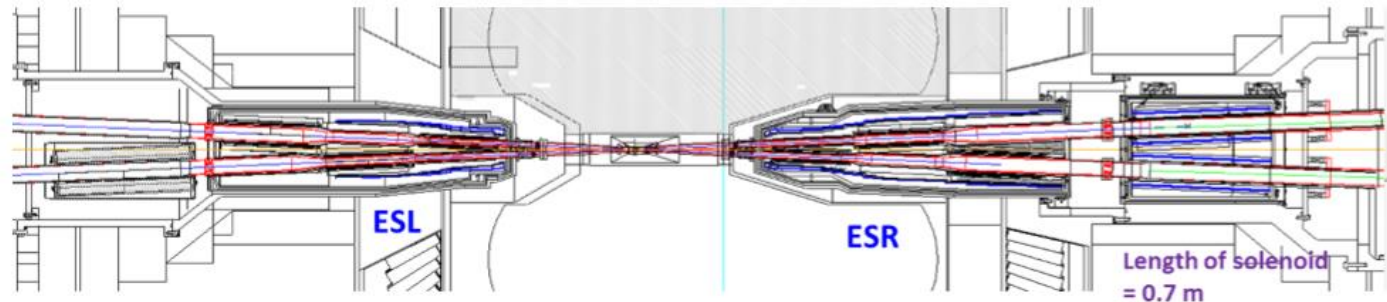
- R2 can be induced by skew rotation of both side of QCS.
- Induced R2 does not change for squeezing of  $\beta^*$ .
- However effect of R2 is enhanced for squeezing  $\beta^*$ .



# Overview of IR magnets

N. Ohuchi et al.

- Compensation solenoids [ESL, ESR1, ESR2 and ESR3]



- In the left cryostat, one solenoid (12 small solenoids) is overlaid on QC1LP and QC1LE.
- In the right cryostat, the 1<sup>st</sup> solenoid (15 small solenoids) is overlaid on QC1RP, QC1RE and QC2RP.
  - The 2<sup>nd</sup> and 3<sup>rd</sup> solenoids on the each beam line in the QC2RE vessel.

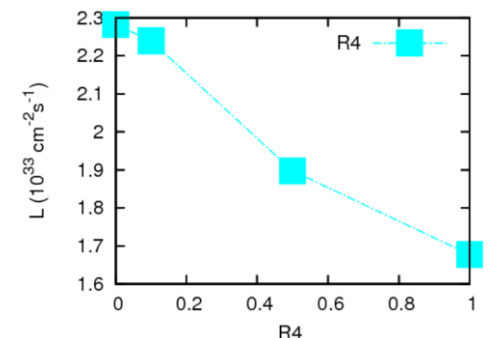
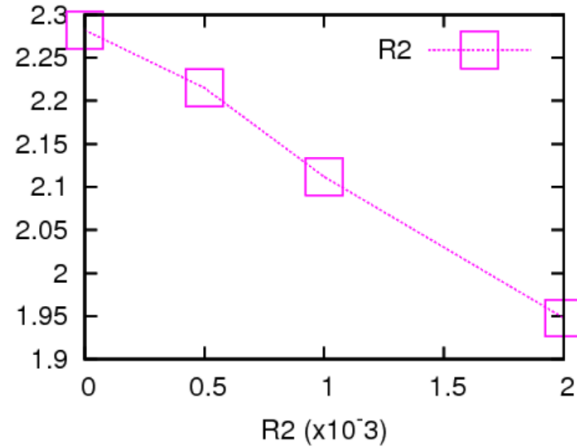
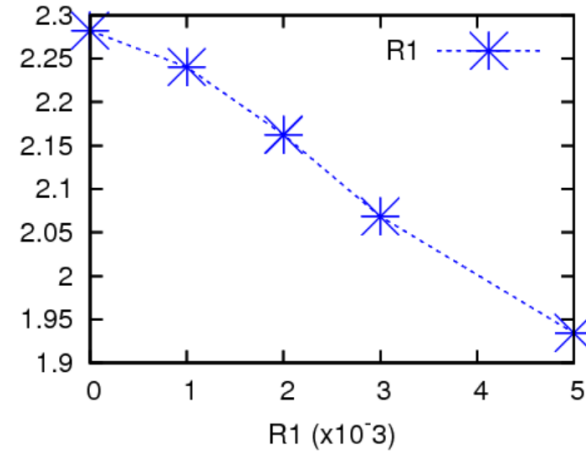
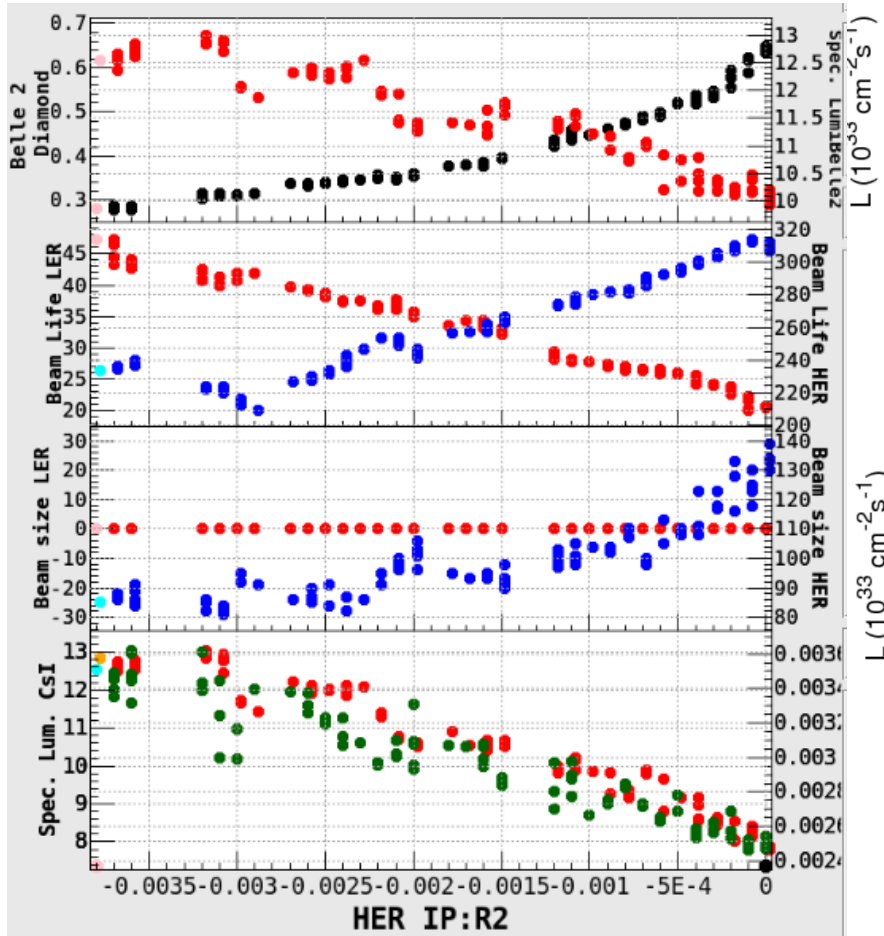
2016/06/14

SuperKEKB Review 2016

9

- Linear and nonlinear correction coils including skew are wound in QC1-2.
- R2 was corrected by a1 (skew Q) correction coil of QC1.

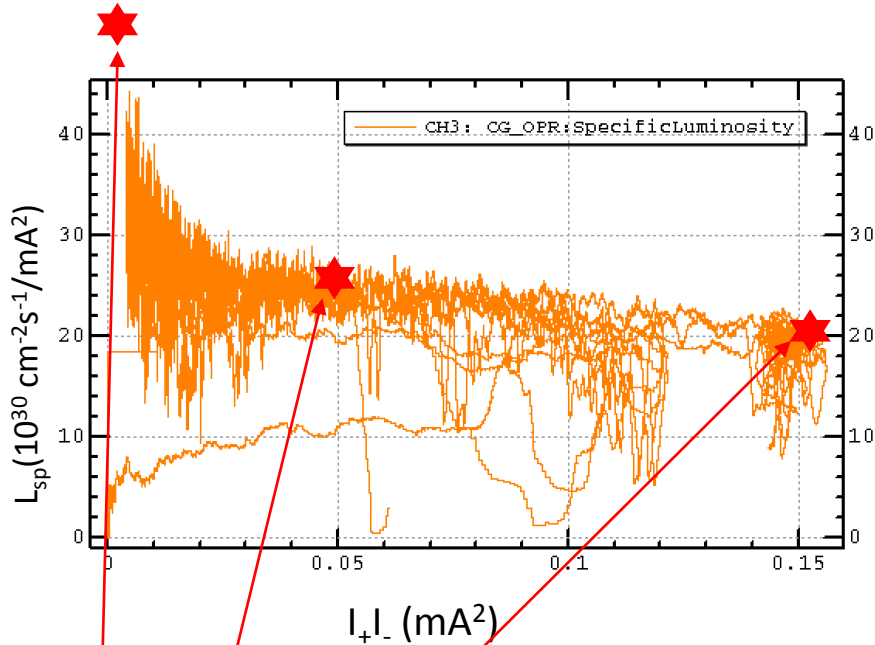
# R scan in operation and beam-beam simulation



- R2 is changed 7mm in July 15-30, corresponding QCS rotation is  $\sim 7$  mrad.

# June 30, 2018

## Observations

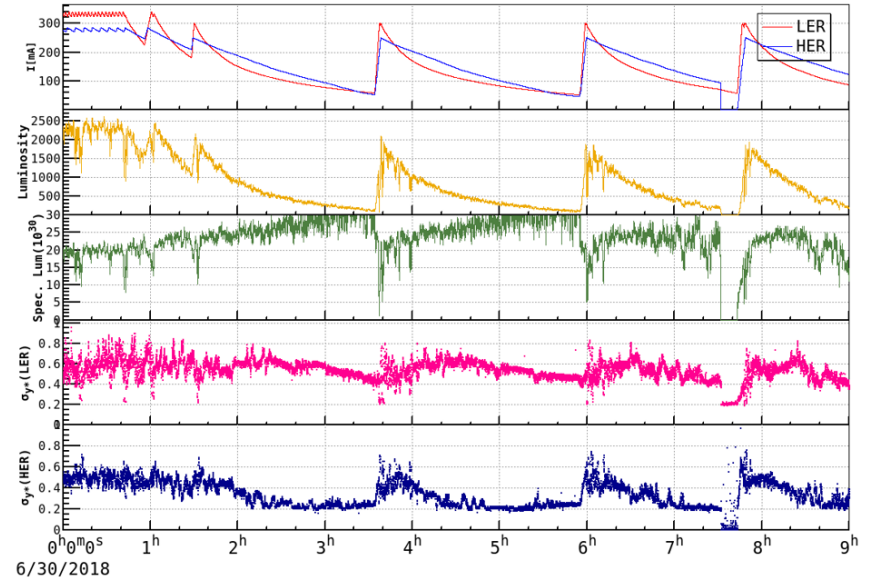


- 0mA,  $\sigma_{y0}=0.25\mu\text{m}$ ,  $0.25\mu\text{m}$ ,  $L_{sp}=49$
- 200x160mA,  $\sigma_{y0}=0.4\mu\text{m}$ ,  $0.6\mu\text{m}$ ,  $L_{sp}=24.4$
- 285x340mA,  $\sigma_{y0}=0.6\mu\text{m}$ ,  $0.6\mu\text{m}$ ,  $L_{sp}=20.7$

$L_{sp}$  agrees with geo value at every current

$$L_{\text{peak}} = 2.5 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}, \text{ (2 times higher)}$$

$$285 \times 340 \text{ mA}, N_b = 788$$

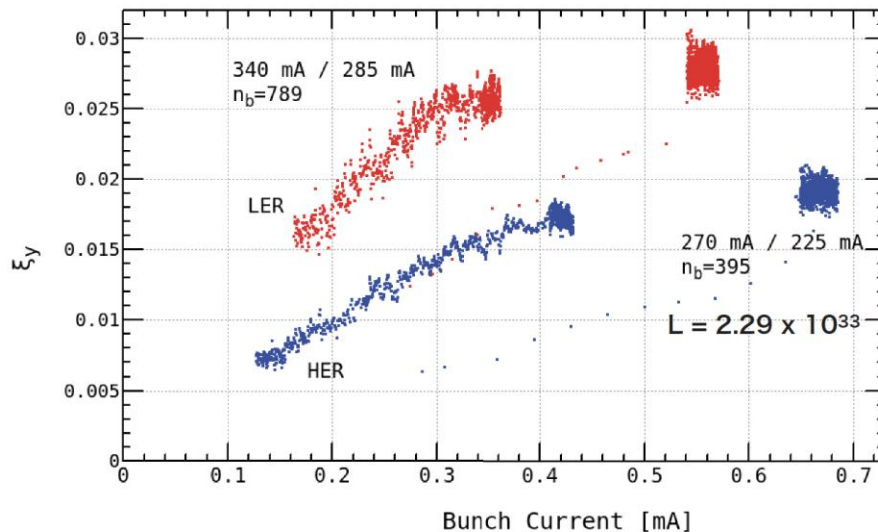
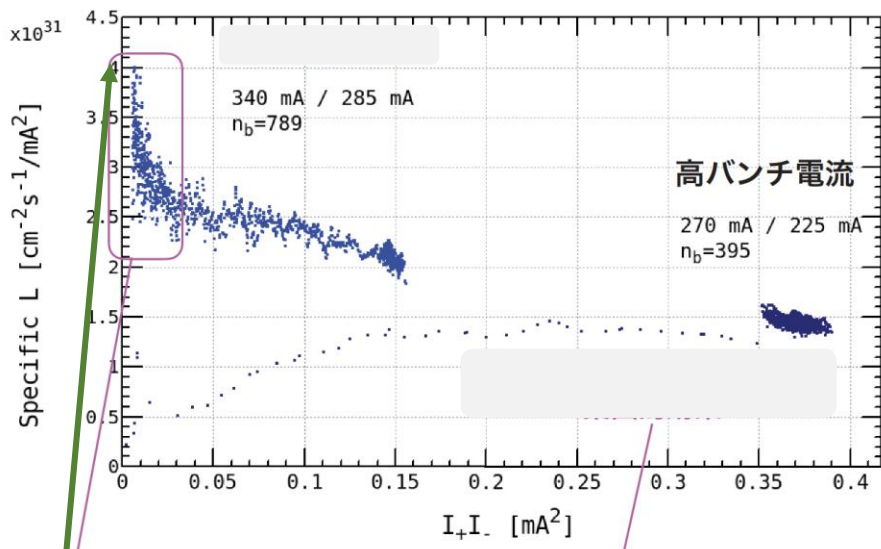


$$L_{sp} = \frac{1}{2\pi\sigma_{xc}\sigma_{yc}e^2f_0} \times 10^{30} \text{ cm}^{-2} \text{ s}^{-1} / \text{mA}^2$$

$$\sigma_{yc} = \sqrt{\sigma_{y+}^2 + \sigma_{y-}^2}$$

6/29 21:00- R2 using QCS corrector

Blow-up of e+ beam was serious.



Specific luminosity dropped at very low current.

$$L_{sp} = \frac{L}{n_b I_+ I_-} = \frac{1}{4\pi(\sigma_z \phi_x) e^2 f_0 \sigma_y^*} = \frac{1.25 \times 10^{25}}{\sigma_y^*} \text{ [cm}^{-2} \text{s}^{-1} / \text{mA}^2 \text{]}$$

$L_{sp}$  at very low current is consistent with beam size measurement.

$$L_{sp} = 4 \times 10^{31} \rightarrow \sigma_y^* = 300 \text{ nm } (\epsilon_y = 30 \text{ pm})$$

$$\leftrightarrow \epsilon_y = 23 \text{ pm } \text{ Single beam measurement (LER)}$$

Beam-beam tune shift was saturated at  $\Delta v_y(e^-) = 0.02$  due to  $e^+$  beam blow-up.

**Achieved:**  $L_{sp} = 15 \times 10^{30} \text{ cm}^{-2} \text{ s}^{-1} \text{ mA}^{-2}$  at  $0.4 \text{ mA}^2$ ,  $\Delta v_y = 0.02$  at  $\beta_y = 3 \text{ mm}$

**Final goal:**  $L_{sp} = 220 \times 10^{30} \text{ cm}^{-2} \text{ s}^{-1} \text{ mA}^{-2}$  at  $1.5 \text{ mA}^2$ ,  $\Delta v_y = 0.08$  at  $\beta_y = 0.3 \text{ mm}$

$$1 \text{ mA/bunch} = 6 \times 10^{10} / \text{bunch}$$

# TbT measurement of x-y coupling at IP $r_i = R_i$

- y motion in X mode.

$$\mathbf{x} = RB\mathbf{X}$$

$$R = \begin{pmatrix} r_0 & 0 & r_4 & -r_2 \\ 0 & r_0 & -r_3 & r_1 \\ -r_1 & -r_2 & r_0 & 0 \\ -r_3 & -r_4 & 0 & r_0 \end{pmatrix}$$

$$B = \begin{pmatrix} B_X & 0 \\ 0 & B_Y \end{pmatrix}$$

$$B_X = \begin{pmatrix} \sqrt{\beta_X} & 0 \\ -\alpha_X/\sqrt{\beta_X} & 1/\sqrt{\beta_X} \end{pmatrix}$$

r1: cos component of y for x betatron motion ,r2: sin component

$$y = -r_1 x - r_2 p_x = -r_1 a \cos \phi(s) + r_2 \left[ \frac{a}{\beta} \sin \phi(s) + \frac{\alpha}{\sqrt{\beta}} a \cos \phi(s) \right]$$

$$= c \cos(2\pi n v_x + \phi_y)$$

$$\phi(s) = 2\pi n v_x + \phi_x$$

$$\frac{c}{a} \cos(\phi_y - \phi_x) = \left( -r_1 + r_2 \frac{\alpha}{\sqrt{\beta}} \right)$$

$$\frac{c}{a} \sin(\phi_y - \phi_x) = \frac{r_2}{\beta}$$

r3: cos component of y for px betatron motion ,r4: sin component

$$p_y = r_3 x - r_4 p_x = r_3 a \cos \phi(s) + r_4 \left[ \frac{a}{\beta} \sin \phi(s) + \frac{\alpha}{\sqrt{\beta}} a \cos \phi(s) \right]$$

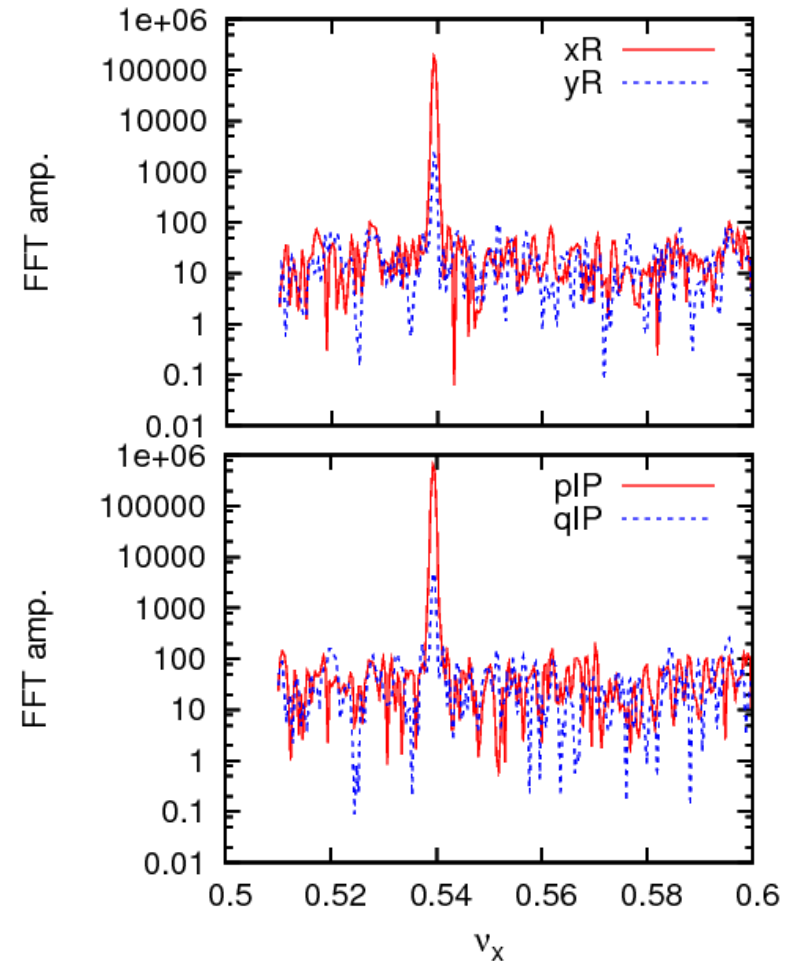
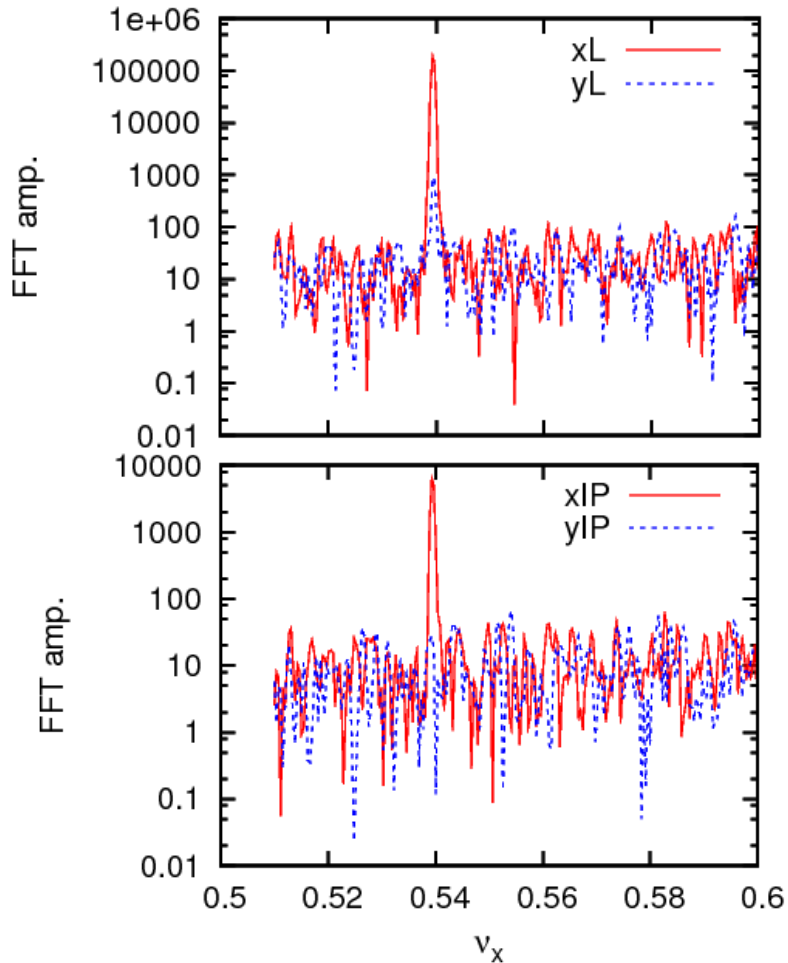
$$= d \cos(2\pi n v_x + \phi_q)$$

$$\frac{d}{a} \cos(\phi_q - \phi_x) = \left( r_3 + r_4 \frac{\alpha}{\sqrt{\beta}} \right)$$

$$\frac{d}{a} \sin(\phi_q - \phi_x) = -\frac{r_4}{\beta}$$

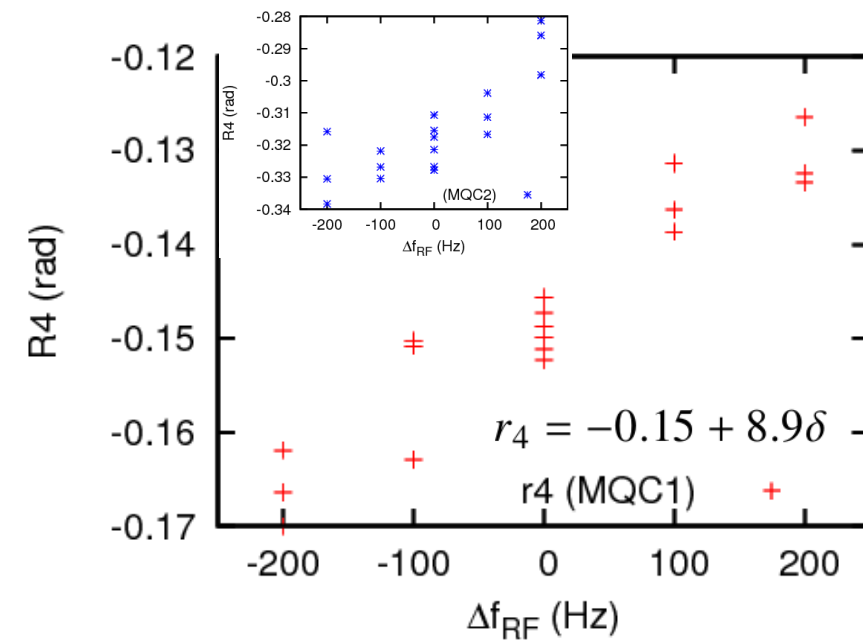
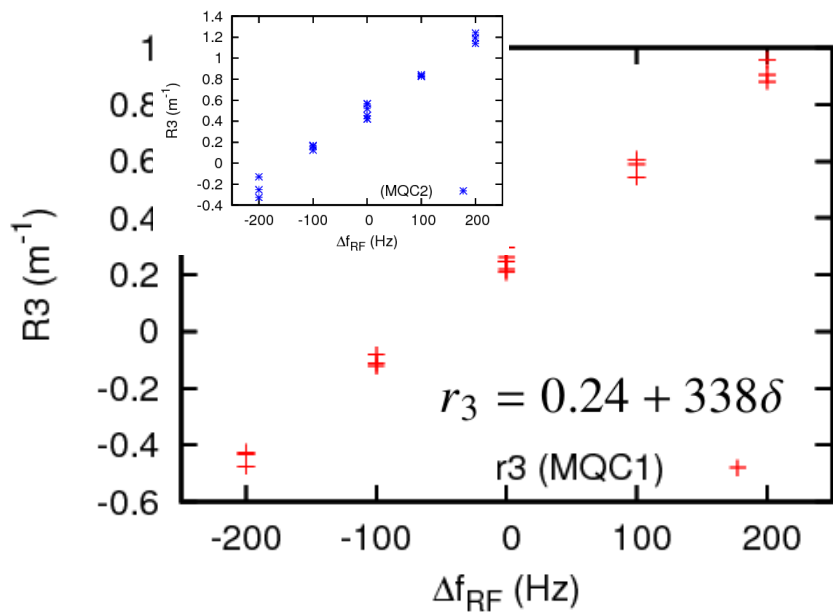
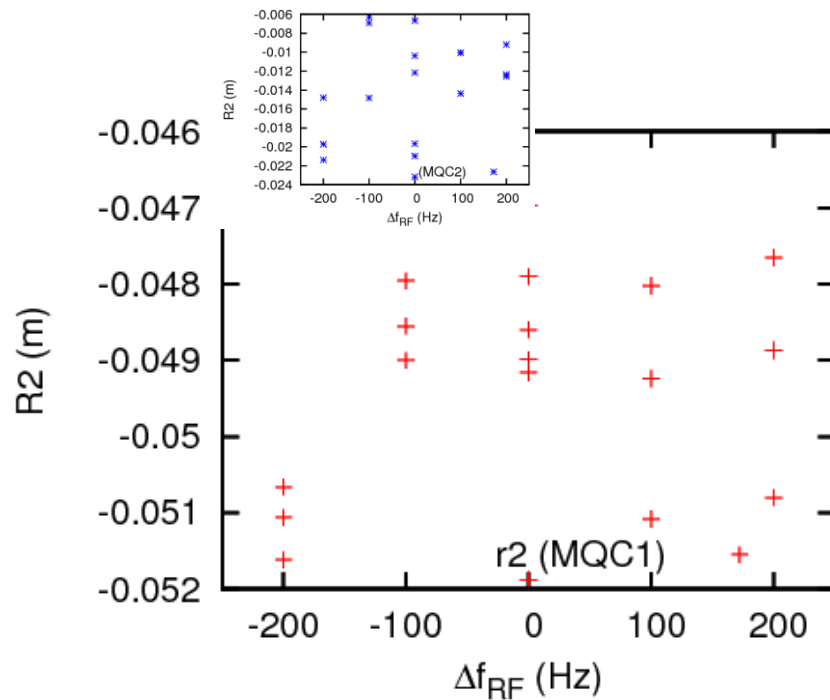
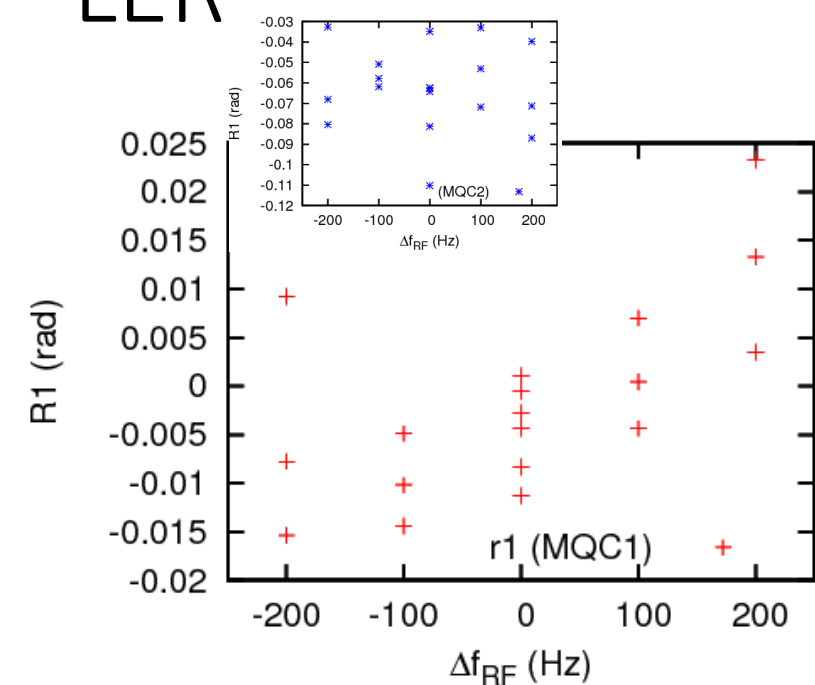
# FFT of BPM data

- xL, yL left side and xR, yR right side monitor of IP.
- Small  $y_{IP}$ , but enough  $p_{yIP}=q_{IP}$ . R1 and R2 is hard to measure compare with R3, R4





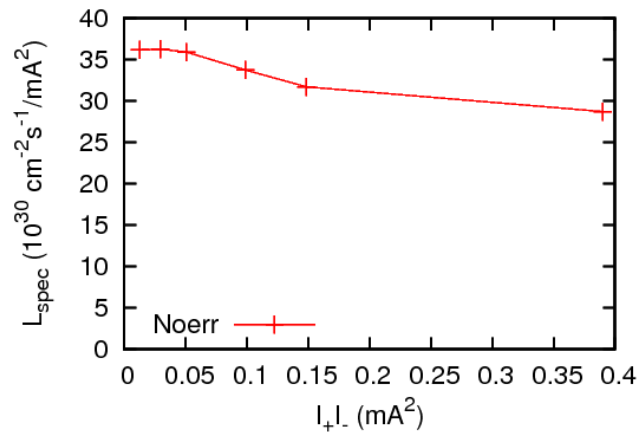
# LER



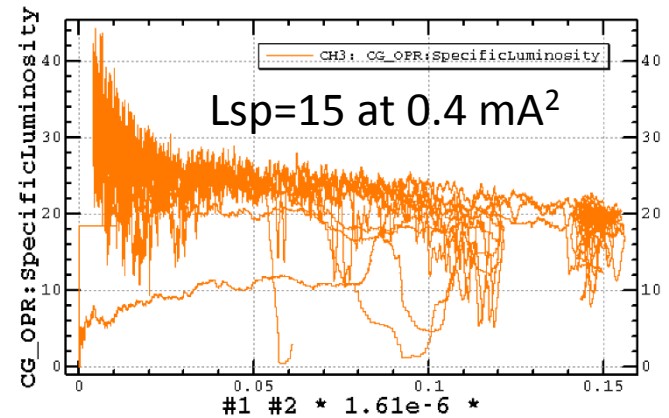
# Toward Phase III (start Mar. 2019)

- Squeezing  $\beta_y^*$ , Luminosity increase is not trivial at all without IP optics tuning.

Lspec without error



Measured Lspec



Luminosity is half at  $I_+I_-=0.4\text{mA}^2$ .

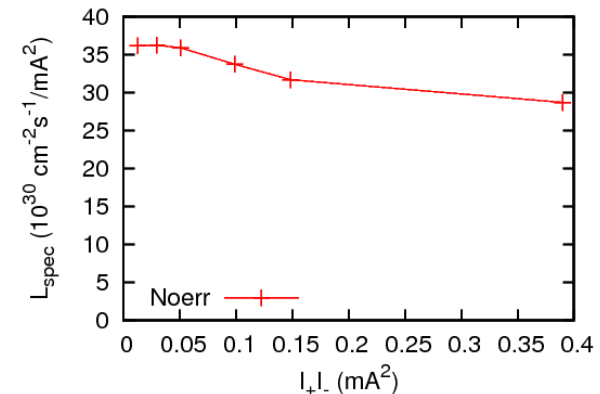
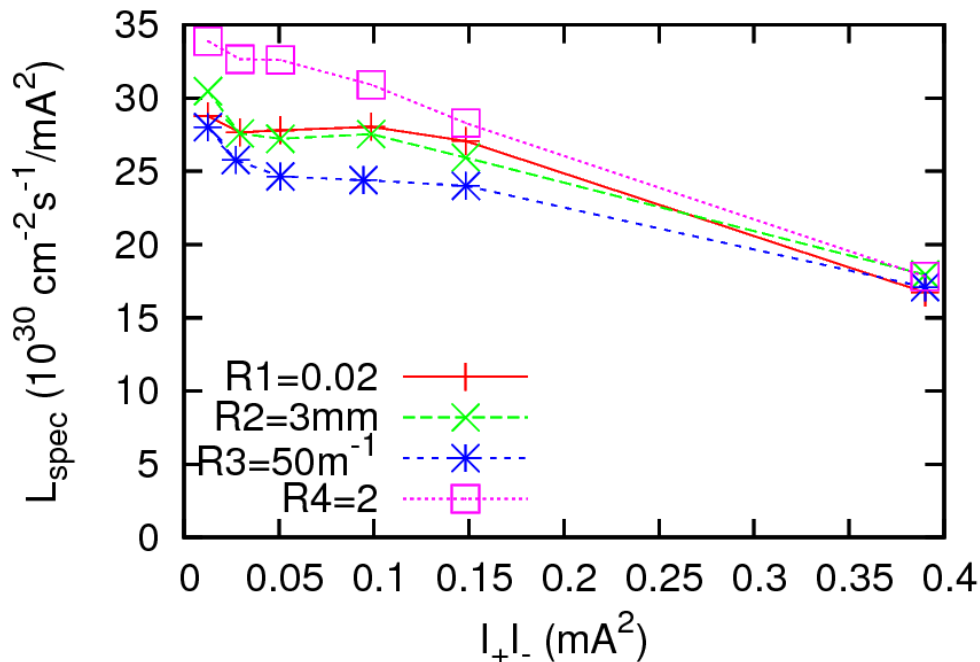
Design  $1.5 \text{ mA}^2$ .  $\beta_y^* \ 1/10$

# Beam-beam simulation considering optics aberrations at IP

- Linear
- Nonlinear
- Chromatic
  
- Recent operation showed e+ beam is weaker than e- beam. Weak(e+)-strong(e-) simulation is performed.

# Weak(e+)-strong(e-) simulation with errors

- Error strengths of R3 and R4 are much larger than measurement. Discard.
- R1 and R2 were already scanned and given optimum.
- We cleared linear aberrations in Phase-II.

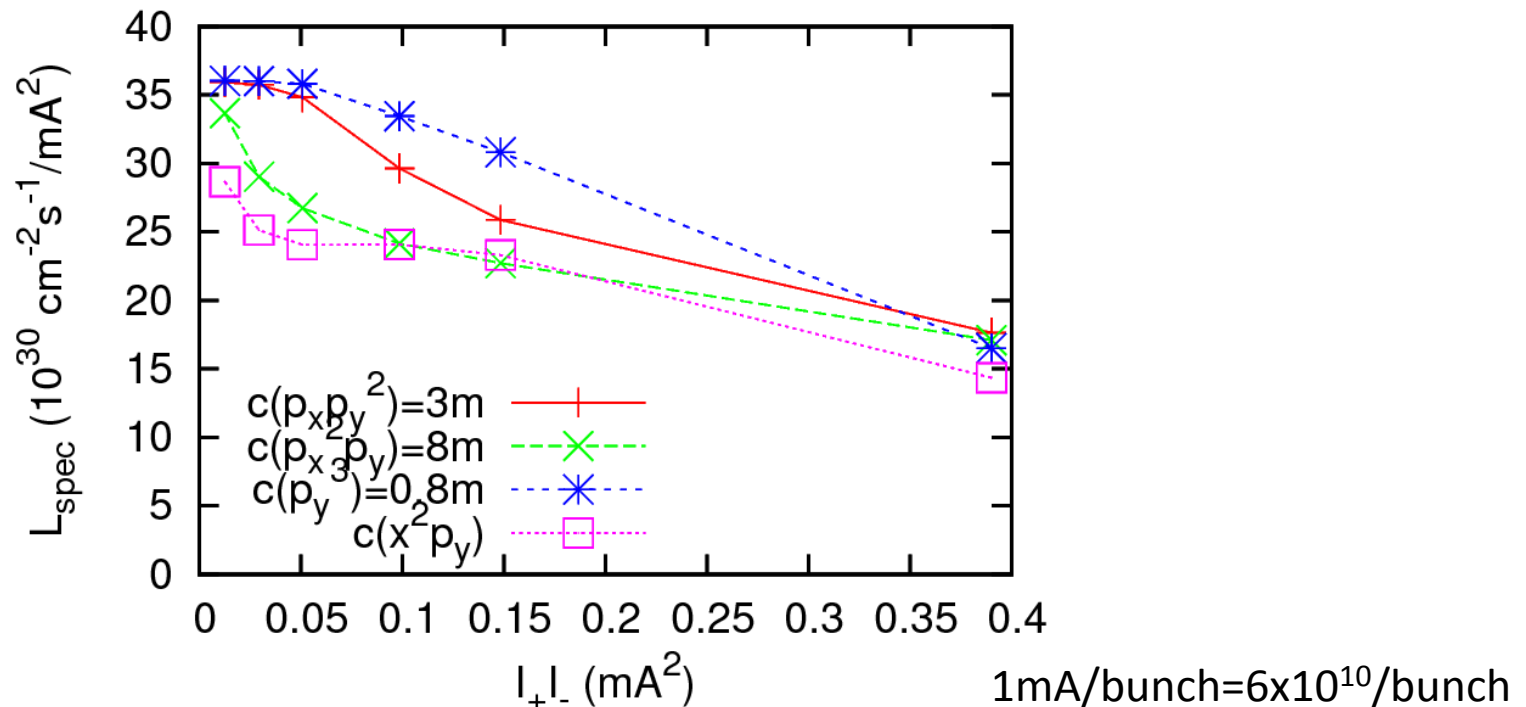


1mA/bunch=6x10<sup>10</sup>/bunch

# Nonlinear aberrations

$$\mathcal{M} = \exp(\pm: c_{10} p_x^{*2} p_y^* :) = \exp(\pm: c_{10} x^2 y :)$$

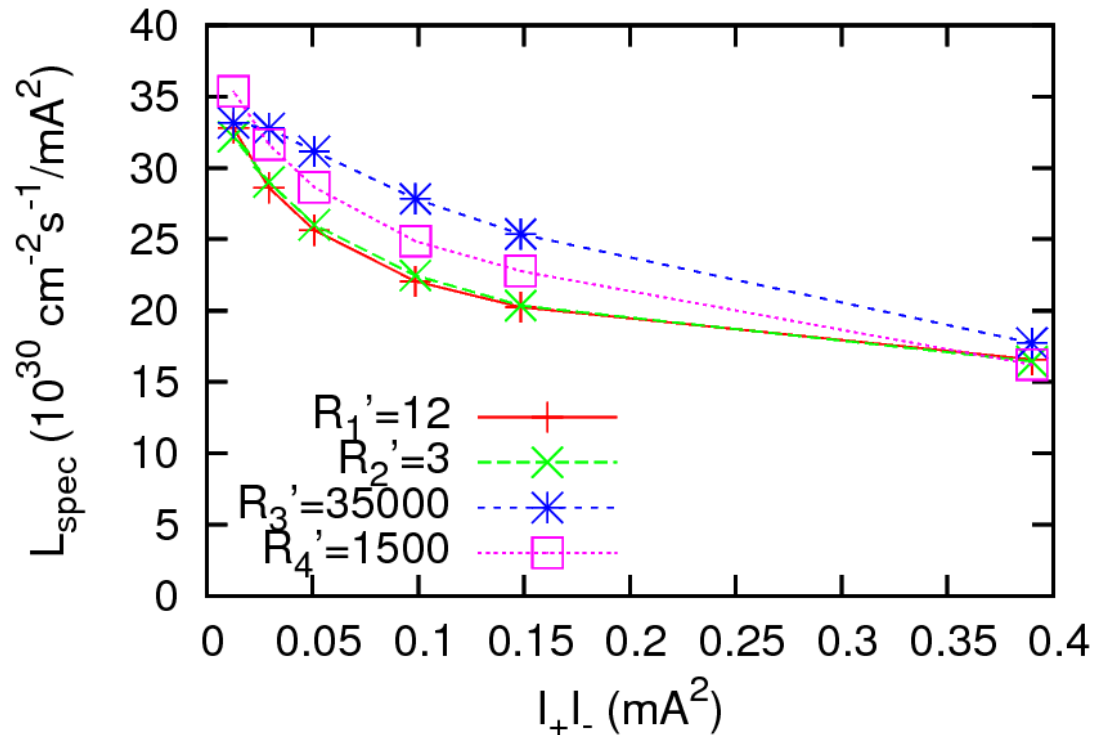
- $p_x^2 p_y$  term was studied before commissioning.
- $p_x^2 p_y$  term well reproduces measured  $L_{sp}$ .
- The strength is **100 times larger** than the value given by design of QCS.  $c_{10} = c(p_x^2 p_y) = 0.07\text{m}$ .



# Chromatic coupling

$$\mathcal{M} = \exp(\pm: R_2' p_x^* p_y^* \delta: \pm: R_1' x^* p_y^* \delta:) = \exp(\pm: R_2' x y \delta: \pm: R_1' p_x y \delta)$$

- R3' and R4' were measured to be R3'=300, R4'=20.
- The behaviors for R1' and R2' are plausible.
- R1' and R2' are hard to be measured in the present monitor. R1' ~-10 was measured. Reliable?

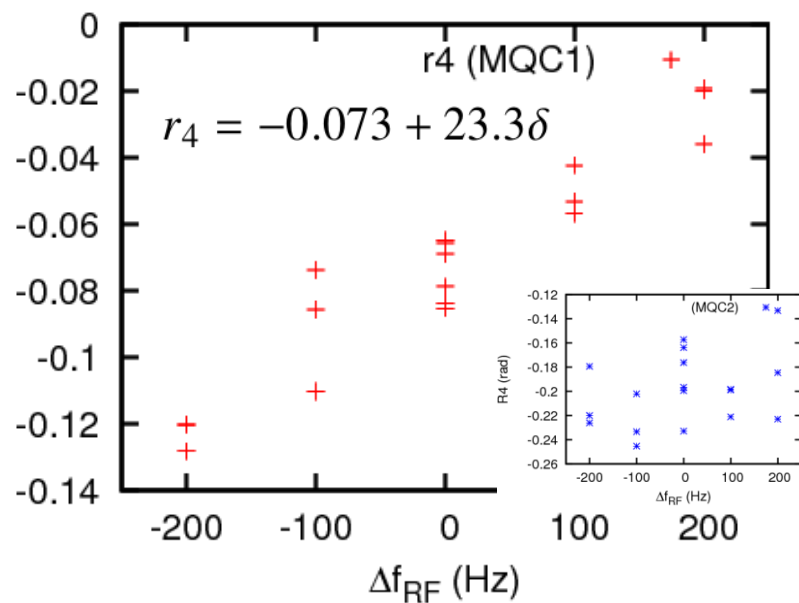
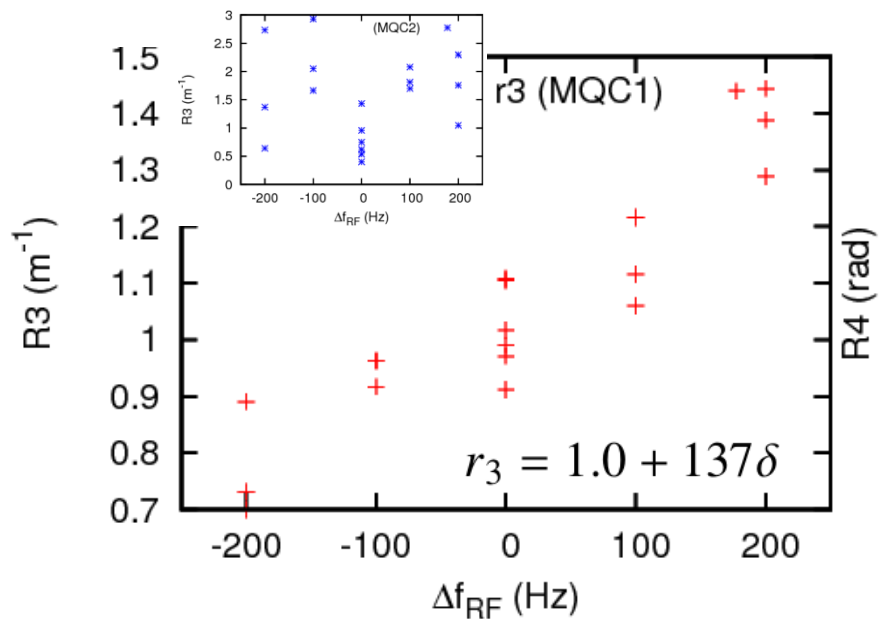
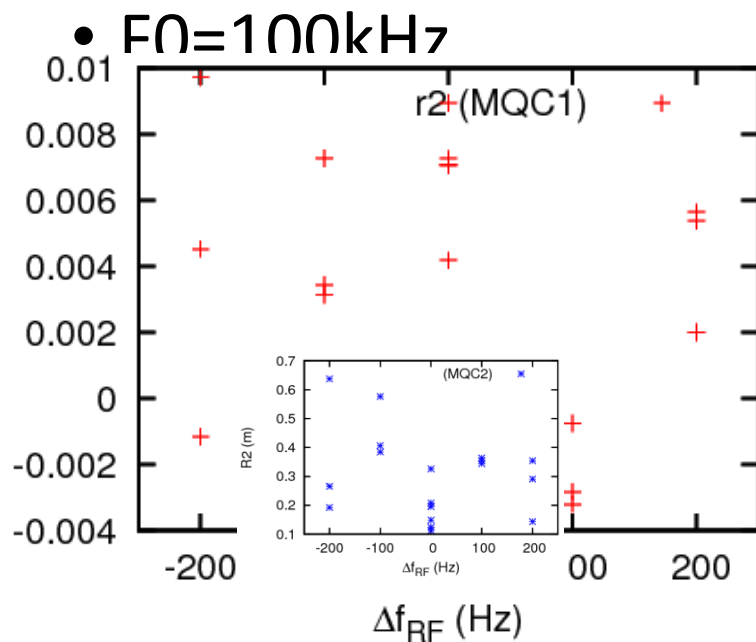
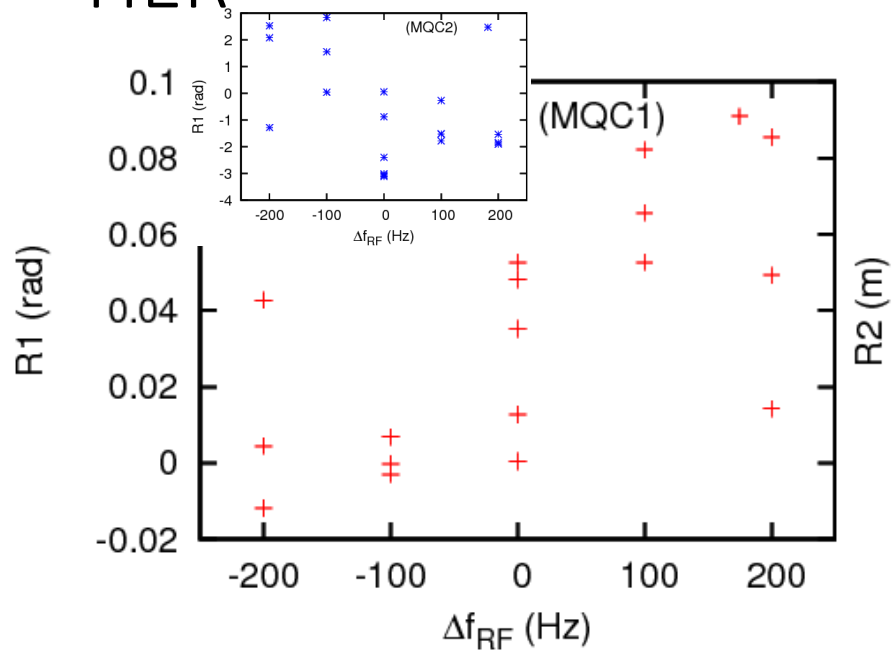


1mA/bunch=6x10<sup>10</sup>/bunch

# Summary

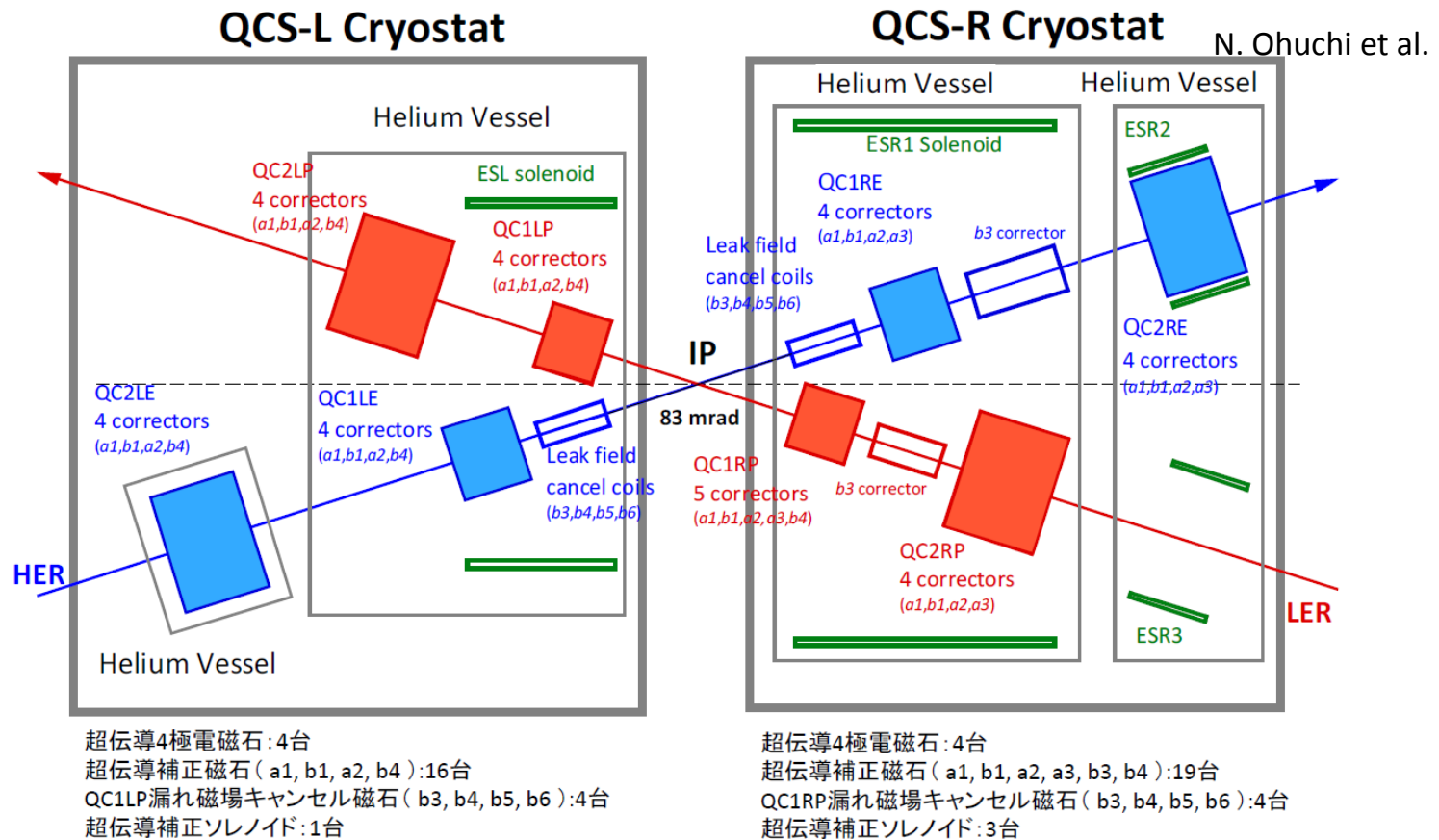
- Phase II commissioning was done by July 2018.
- Collision with  $\beta_x^*=0.1\text{m}(e^-)$ ,  $0.2\text{m}(e^+)$ ,  $\beta_y^*=3\text{mm}$  was established.
- Luminosity gain squeezing  $\beta^*$  is not trivial, considering various errors.
- $L=5\times 10^{33}\text{ cm}^{-2}\text{s}^{-1}$  was achieved. Beam-beam tune shift is limited to be  $\Delta\nu_y(e^-)=0.02$  due to  $e^+$  beam blow-up.
- Electron cloud instability seems to be managed well.
- Phase III commissioning starts Mar. 2019.
- $\Delta\nu_y(e^{+-})=0.04$  is minimum target. Final goal 0.06-0.08.
- We expect nonlinear/chromatic aberration at IP as sources of the luminosity degradation.
- The correction can be done skew sextupoles and QCS nonlinear coils.
- Otherwise our luminosity tuning may become deadlock.

# HER





# QCS superconducting magnet system



2017/09/08

SuperKEKB 国内レビュー(2017年9月)

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- R2 was corrected by a1 correction coil of QC1.