

Distributions of Quarks and Gluons in the Pion and Kaon



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Non-Perturbative QFT in Euclidean and Minkowski

10–12 September 2019, Department of Physics, University of Coimbra,
Portugal



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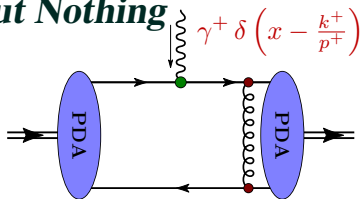
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Pion PDF Puzzle – Much Ado About Nothing

- Longstanding perturbative QCD prediction that pion PDF near $x = 1$ behaves as

$$q_{\pi}(x) \simeq (1-x)^{2+\gamma_q(\mu^2)}$$



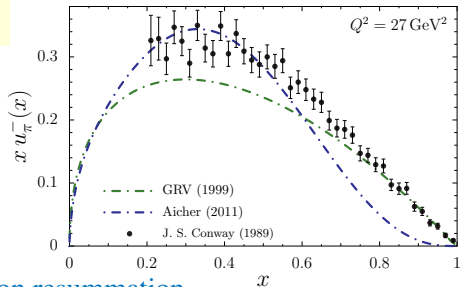
- Since large- x quarks are the source of large- x gluons, near $x = 1$ expect

$$g_{\pi}(x) \simeq (1-x)^{3+\gamma_g(\mu^2)}$$

- However, pion-induced DY data, and a recent re-analysis that also included leading-neutron data, seem to prefer

$$q(x) \sim (1-x)^1 \text{ near } x = 1$$

- Potential resolution to this “puzzle” provided by Aicher *et al.* \implies soft-gluon resummation

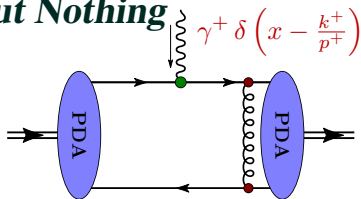


- However, $pQCD$ predictions need only set in very near $x = 1$, the observed $q(x) \simeq (1-x)^1$ behavior could be real where data exists

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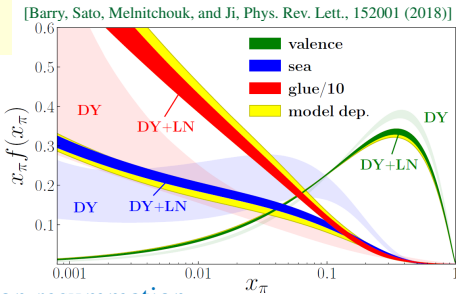
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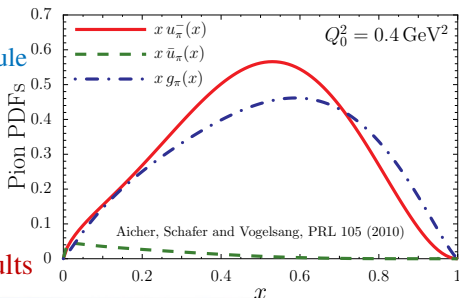
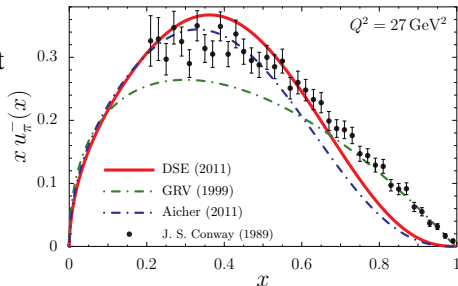
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DSEs + Pion PDFs

- DSE prediction [Hecht (2001); etc] that $q_\pi(x) \simeq (1-x)^2$ as $x \rightarrow 1$
- related to $1/k^2$ dependence of BSE kernel at large relative momentum
- Previous DSE PDF calculations have used Ward-identity ansatz (WIA)

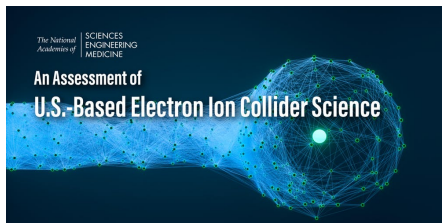
$$\Lambda_q(z, p, n) \rightarrow \Lambda_q^{\text{WIA}}(z, p, n) = \delta(1-z) n^\mu \frac{\partial}{\partial p^\mu} S_q^{-1}(p)$$

- WIA respects baryon sum rule but not higher moments e.g. momentum sum rule
- momentum is not distributed correctly between quarks and gluons
- Aicher: $g_\pi(x) \sim (1-x)^{1.3}$ as $x \rightarrow 1$
- pQCD predicts: $g_\pi(x) \sim (1-x)^3$
- Inconsistencies in Aicher & DSE results



Pion / Kaon + High-priority science questions

- The NAS *Assessment of a U.S. based Electron-Ion Collider* identified three high-priority science questions
 - How does the mass of the nucleon arise?
 - How does the spin of the nucleon arise?
 - What are the emergent properties of dense systems of gluons?



- The pion and kaon can be understood as a bound state of a *dressed-quark* and a *dressed-antiquark* in QFT, but are also Goldstone modes associated with DCSB in QCD



- The dynamical breaking of chiral symmetry (DCSB) in QCD gives rise to ~ 500 MeV mass splittings in hadron spectrum [$\rho - a_1$, $N - N^*(1535)$] & massless Goldstone bosons in chiral limit [π , K , η]
- Therefore, understanding the nucleon mass is not sufficient
 - must also understand the mass of the pion ($u\bar{d}, \dots$) and kaon ($u\bar{s}, \dots$)

Hadron Masses in QCD

- Quark/gluon contributions to masses (& angular momentum) are accessed via matrix elements of QCD's (symmetric) energy-momentum tensor

$$T^{\mu\nu} = T^{\nu\mu}, \quad \partial_\mu T^{\mu\nu} = \partial_\mu T_q^{\mu\nu} + \partial_\mu T_g^{\mu\nu} = 0, \quad T^{\mu\nu} = \underbrace{\bar{T}^{\mu\nu}}_{[\text{traceless}]} + \underbrace{\hat{T}^{\mu\nu}}_{[\text{trace}]}$$

- The trace piece of $T^{\mu\nu}$ takes the form (un-renormalized)

$$T_\mu^\mu = \sum_{q=u,d,s} \underbrace{m_q (1 + \gamma_m) \bar{\psi}_q \psi_q}_{\text{quark mass term}} + \underbrace{\frac{\tilde{\beta}(g)}{2g} F^{\mu\nu,a} F_{\mu\nu}^a}_{\text{trace anomaly}}$$

- At zero momentum transfer

$$\langle p | T^{\mu\nu} | p \rangle = 2 p^\mu p^\nu \quad \implies \quad \langle p | T_\mu^\mu | p \rangle = 2 m^2$$

- in chiral limit entire hadron mass from gluons!**
- e.g., Dmitri Kharzeev – Proton Mass workshops at Temple University and ECT*
- Understanding difference in pion/kaon and proton is key to hadron masses:

$$\langle \pi | T_\mu^\mu | \pi \rangle = 2 m_\pi^2 \xrightarrow{\text{chiral limit}} 0, \quad \langle N | T_\mu^\mu | N \rangle = 2 m_N^2$$

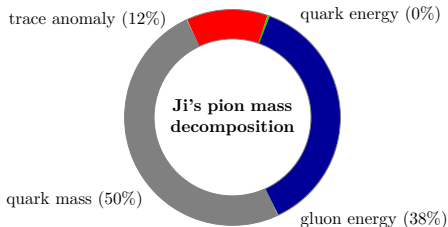
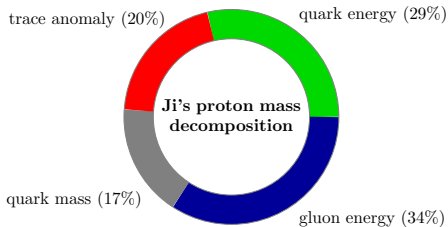
Rest Frame Hadron Mass Decompositions

- Xiangdong Ji proposed hadron mass decomposition [PRL 74, 1071 (1995); PRD 52, 271 (1995)]

$$m_p = \frac{\langle p | \int d^3x T^{00}(0, \vec{x}) | p \rangle}{\langle p | p \rangle} \Big|_{\text{at rest}} = \underbrace{M_q + M_g}_{\text{quark and gluon energies}} + \underbrace{M_m}_{\text{quark mass}} + \underbrace{M_a}_{\text{trace anomaly}}$$

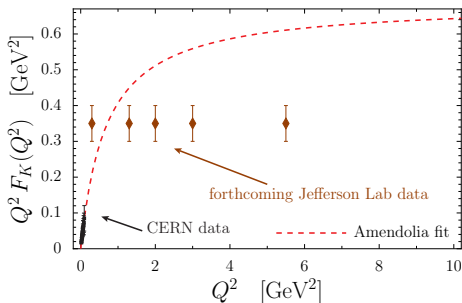
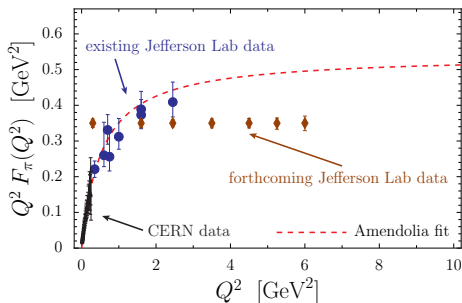
$$M_q = \frac{3}{4} (a - b) m_p, \quad M_g = \frac{3}{4} (1 - a) m_p, \quad M_m = b m_p, \quad M_a = \frac{1}{4} (1 - b) m_p,$$

- a = quark momentum fraction, b related to sigma-term or anomaly contribution
- [See Cédric Lorcé, EPJC 78, (2018) for decomposition with pressure effects]



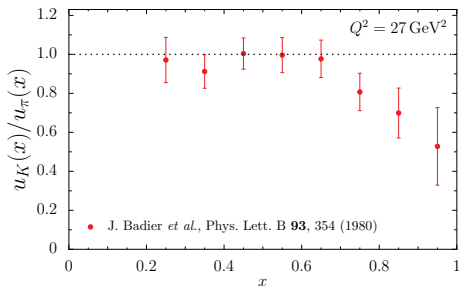
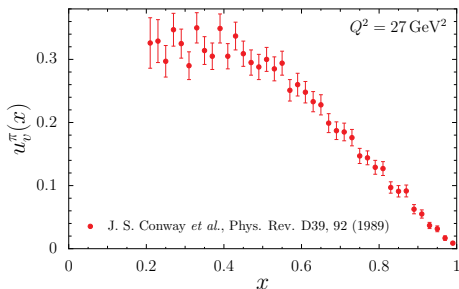
- In chiral limit ($m_q \rightarrow 0$) pion has no rest frame ($m_\pi = 0$) – how to interpret Ji's pion mass decomposition? Limit as $m_q \rightarrow 0$ is likely well behaved.

What we know about the Pion and Kaon



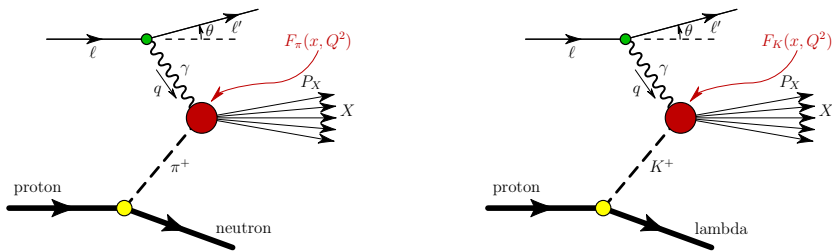
- Pion and kaon structure is slowly being revealed using: π^-/K^- beams at CERN; Sullivan type experiments at Jefferson Lab; π^- beam at Fermilab; and $e^+e^- \rightarrow \pi^+\pi^-$, K^+K^- in the time-like region
- 40 years of experiments has revealed, e.g.
 - $r_{\pi^+} = 0.672 \pm 0.008$, $r_{K^+} = 0.560 \pm 0.031$, $r_{K^0} = -0.277 \pm 0.018$
- Still a lot more to learn about pion and kaon structure:
 - quark and gluon PDFs; TMDs including Boer-Mulders function; $q, g \rightarrow \pi/K$ fragmentation functions, quark and gluon GPDs; gravitational form factors

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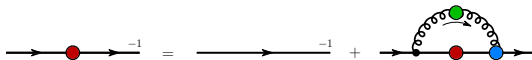
Pion & Kaon Structure at JLab and an EIC



- At Jefferson Lab and an EIC pion and kaon structure can be accessed via the so-called *Sullivan* processes
 - initial pion/kaon is off mass-shell – need extrapolation to pole
 - existing results for form factors – what about quark and gluon PDFs, TMDs, GPDs, *etc.*, at an EIC?
- Explored this ideal at a series of workshops on “*Pion and Kaon Structure at an Electron–Ion Collider*” (PIEIC)
 - 1–2 June 2017, Argonne National Laboratory www.phy.anl.gov/theory/pieic2017/
 - 24–25 May 2018, The Catholic University of America www.jlab.org/conferences/pieic18/
- Drell-Yan also very nice way to measure pion/kaon structure

QCD's Dyson-Schwinger Equations

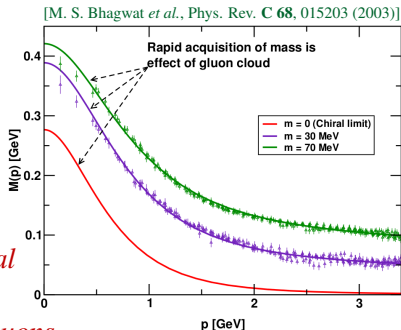
- The equations of motion of QCD \iff QCD's Dyson-Schwinger equations
 - an infinite tower of coupled integral equations
 - tractability \implies must implement a symmetry preserving truncation
- The most important DSE is QCD's gap equation \implies quark propagator


$$\text{Dressed Quark Propagator} = \text{Bare Quark Propagator} + \text{Dressed Quark-Gluon Vertex Diagram}$$

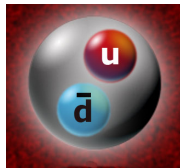
- ingredients – dressed gluon propagator & dressed quark-gluon vertex

$$S(p) = \frac{Z(p^2)}{i\not{p} + M(p^2)}$$

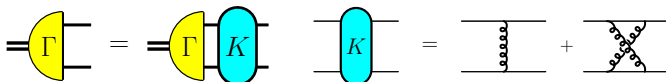
- Mass function, $M(p^2)$, exhibits dynamical mass generation, even in chiral limit
 - *mass function is gauge dependent and therefore NOT an observable!*
- *Hadron masses are generated by dynamical chiral symmetry breaking – caused by a cloud of gluons dressing the quarks and gluons*



Calculating and Predicting Pion Structure



- In QFT a two-body bound state (e.g., a pion, kaon, etc) is described by the Bethe-Salpeter equation (BSE):

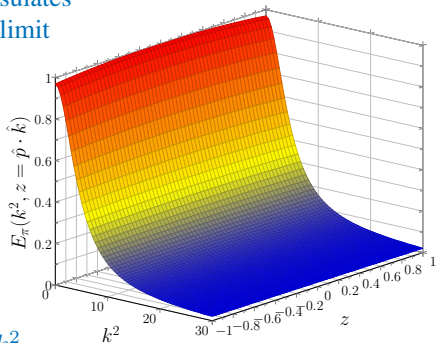


- the kernel must yield a solution that encapsulates the consequences of DCSB, e.g., in chiral limit $m_\pi = 0$ & $m_\pi^2 \propto m_u + m_d$

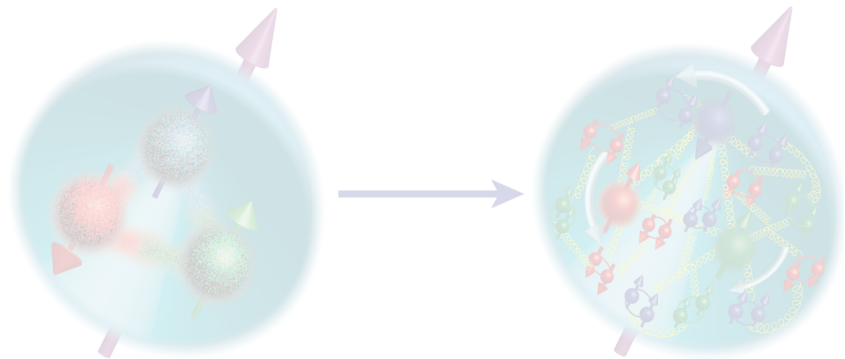
- Pion Bethe-Salpeter vertex

$$\Gamma_\pi(p, k) = \gamma_5 \left[E_\pi(p, k) + \not{p} F_\pi(p, k) + \not{k} k \cdot p G_\pi(p, k) + i\sigma^{\mu\nu} k_\mu p_\nu H_\pi(p, k) \right]$$

- $\chi_{\text{BSE}} = S(k + \frac{1}{2}p) \Gamma_\pi(p, k) S(k - \frac{1}{2}p)$
- large relative momentum: $E_\pi \sim F_\pi \sim 1/k^2$
- Challenging to go beyond rainbow-ladder truncation and maintain symmetries



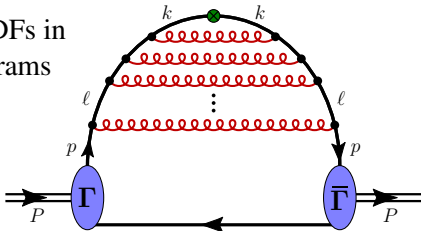
Pion & Kaon PDFs



Pion PDFs – Self-Consistent DSE Calculations

- To self-consistently determine hadron PDFs in rainbow-ladder must sum all planar diagrams

$$q(x) \propto \text{Tr} \int \frac{d^4 p}{(2\pi)^4} \bar{\Gamma}_M(p, P) S(p) \times \Gamma_q(x, p, n) S(p) \Gamma_M(p, P) S(p - P)$$



- DSEs are formulated in Euclidean space – evaluate $q(x)$ by taking moments
- The *hadron dependent* vertex $\Gamma_q(x, p, n)$ satisfies an inhomogeneous BSE
- However, can define a *hadron independent* vertex $\Lambda_q(z, p, n)$

$$\Gamma_q(x, p, n) = \iint dy dz \delta(x - yz) \delta\left(y - \frac{p \cdot n}{P \cdot n}\right) \Lambda_q(z, p, n)$$

- $\Lambda_q(z, p, n)$ satisfies the inhomogeneous BSE

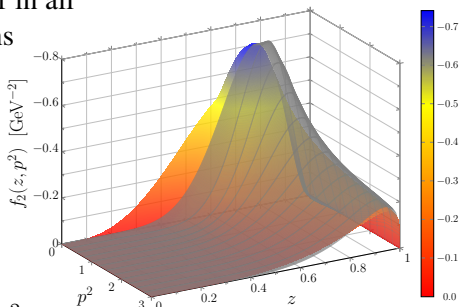
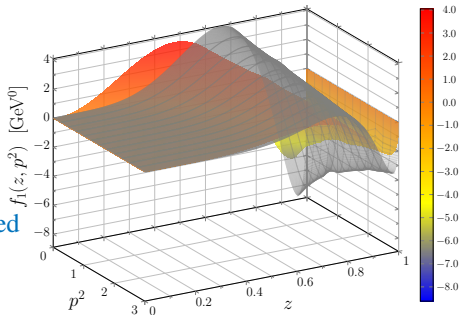
$$\Lambda_q(z, p, n) = iZ_2 \not{n} \delta(1 - z) - \iint du dw \delta(z - uw) \int \frac{d^4 \ell}{(2\pi)^4} \delta\left(w - \frac{\ell \cdot n}{p \cdot n}\right) \times \gamma_\mu S(\ell) \Lambda_q(u, \ell, n) S(\ell) \gamma_\nu \mathcal{K}_{\mu\nu}(p - \ell)$$

PDFs of a Dressed Quark

- *Hadron independent* vertex has form

$$\Lambda_q(z, p, n) = i\not{n} \delta(1 - z) + i\not{n} f_1^q(z, p^2) + n \cdot p [i\not{p} f_2^q(z, p^2) + f_3^q(z, p^2)]$$

- the functions $f_i^q(z, p^2)$ can be interpreted as unpolarized PDFs in a dressed quark of virtuality p^2
- These functions are universal – appear in all RL-DSE unpolarized PDF calculations
- Distributed support in z is immediate indication gluons carry significant momentum
 - heavier s quark support nearer $z = 1$
 - WIA $\implies \Lambda_q(z, p, n) \propto \delta(1 - z)$
- Renormalization condition means dressing functions vanish when $p^2 = \mu^2$

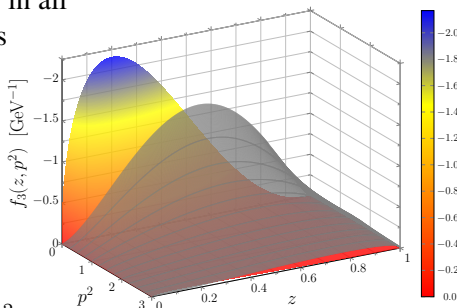
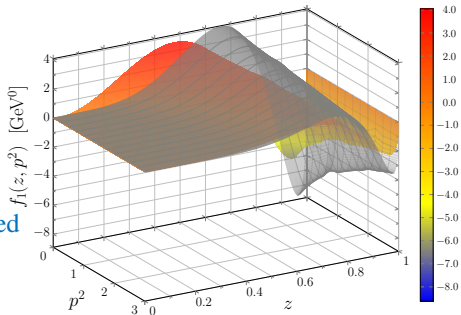


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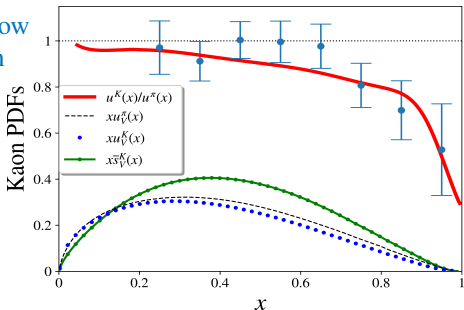
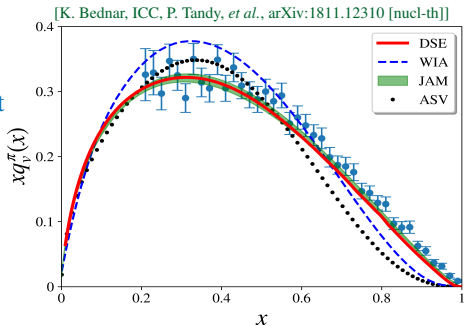
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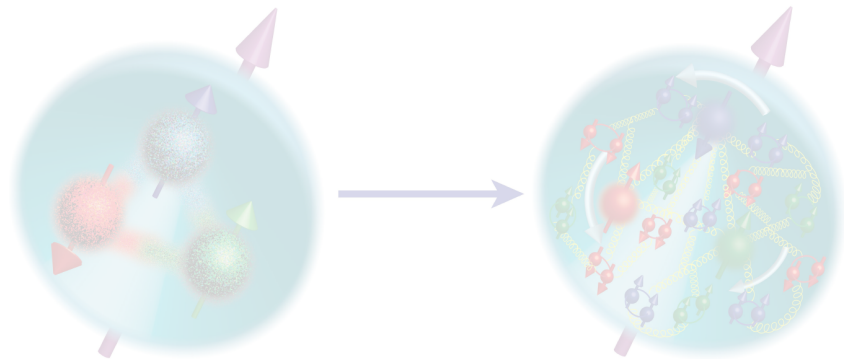


Self-Consistent DSE Results

- For pion and kaon PDFs included for first time gluons self-consistently
 - correct RL-DSE pion PDFs in excellent agreement with Conway *et al.* data and recent JAM analysis
 - agrees with $x \rightarrow 1$ pQCD prediction
- Treating non-perturbative gluon contributions correctly pushes support of $q_\pi(x)$ to larger x
 - gluons remove strength from $q_\pi(x)$ at low to intermediate x – baryon number then demands increased support at large x
 - cannot be replicated by DGLAP – DSE splitting functions are dressed
- *Immediate consequence of gluon dressing is that gluons carry 35% of pion's and 30% of kaon's momentum*



Pion & Kaon LF Wave Functions

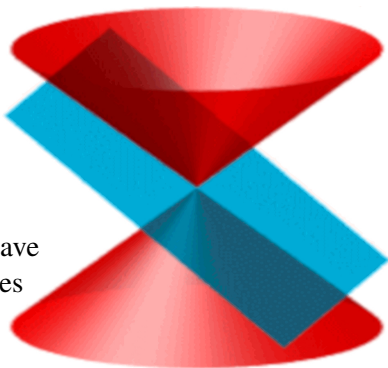


Light-Front Wave Functions

- In high energy scattering experiments particles move at near speed of light
 - natural to quantize a theory at equal light-front time: $\tau = (t + z)/\sqrt{2}$
- Light-front quantization \implies light-front wave functions, which have interesting properties
 - have a probability interpretation
 - frame dependence is trivial
 - boosts are kinematical conserve particle number
- BSE wave function \implies light-front wave functions (LFWFs); For a two-body bound state:

$$\psi(x, \mathbf{k}_T) = \int dk^- \chi_{\text{BSE}}(p, k)$$

- Numerous observables can be represented as overlaps of LFWFs, e.g., form factors, PDFs, TMDs, and GPDs

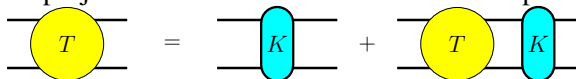


DSEs + Light-Front Wave Functions

- On light-front hadron states can be represented by a Fock-state expansion

$$|\pi^+\rangle = |u\bar{d}\rangle + |u\bar{d}g\rangle + |u\bar{d}gg\rangle + \dots + |u\bar{d}q\bar{q}\rangle + |u\bar{d}q\bar{q}g\rangle + \dots$$

- Associated with each Fock-state is a number of LFWFs
 - diagonalizing the light-cone QCD Hamiltonian operator \implies LFWFs
 - methods include:* discretized lightcone quantization, basis light-front quantization, and holographic QCD
- LFWFs can be projected from solutions to the Bethe-Salpeter equation



- BSE self-consistently sums an infinite number of Fock states
- in rainbow-ladder, e.g. $|\pi^+\rangle = |u\bar{d}\rangle + |u\bar{d}g\rangle + |u\bar{d}gg\rangle + \dots$
- Obtaining LFWFs from DSE solutions of the BSE has several key features
 - in the DSEs emergent phenomena, such as confinement and DCSB, arise through the infinite sum of diagrams
 - these effects are encoded in DSE dressed propagators and BS amplitudes, and therefore the projected LFWFs

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$$T = \text{[single gluon]} + \text{[double gluon]} + \text{[triple gluon]} + \dots$$

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$$T = \text{[Diagram 1]} + \text{[Diagram 2]} \left[\text{[Diagram 3]} + \text{[Diagram 4]} + \text{[Diagram 5]} + \dots \right]$$

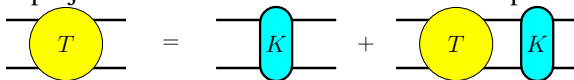
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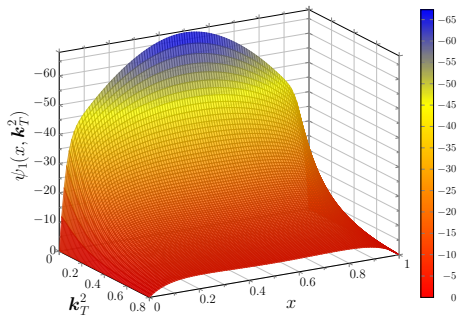
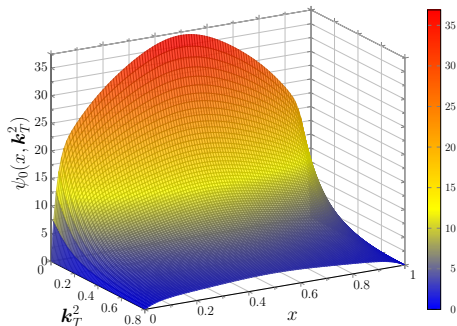
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 - diagonalizing the light-cone QCD Hamiltonian operator \implies LFWFs
 - methods include:* discretized lightcone quantization, basis light-front quantization, and holographic QCD
- LFWFs can be projected from solutions to the Bethe-Salpeter equation



- BSE self-consistently sums an infinite number of Fock states
- in rainbow-ladder, e.g. $|\pi^+\rangle = |u\bar{d}\rangle + |u\bar{d}g\rangle + |u\bar{d}gg\rangle + \dots$
- Obtaining LFWFs from DSE solutions of the BSE has several key features
 - in the DSEs emergent phenomena, such as confinement and DCSB, arise through the infinite sum of diagrams
 - these effects are encoded in DSE dressed propagators and BS amplitudes, and therefore the projected LFWFs

Pion and Kaon LFWFs

[Chao Shi and ICC, Phys. Rev. Lett. **122**, no. 8, 082301 (2019)]



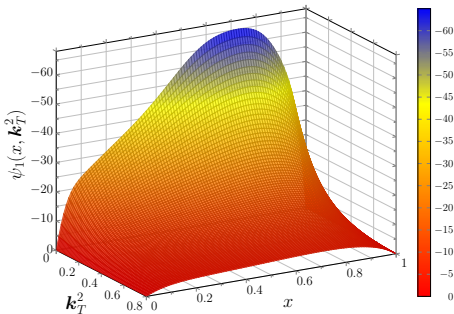
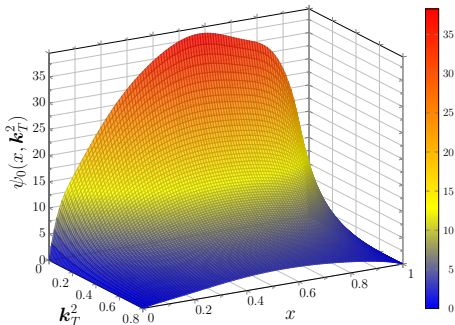
- Pion has two leading Fock-state LFWFs: $\psi_{\uparrow\downarrow}(x, \mathbf{k}_T^2)$ & $\psi_{\uparrow\uparrow}(x, \mathbf{k}_T^2)$

$$\psi_0(x, \mathbf{k}_T^2) = \sqrt{3} i \int \frac{dk^+ dk^-}{2\pi} \text{Tr}_D[\gamma^+ \gamma_5 \chi(k, p)] \delta(k^+ - x p^+); \quad \psi_1(x, \mathbf{k}_T^2) = \dots$$

- DSE calculation finds broad (almost) concave functions at hadronic scales, with features at small \mathbf{k}_T^2 driven by DCSB
 - large $\psi_{\uparrow\uparrow}(x, \mathbf{k}_T^2)$ indicates significant orbital angular momentum and relativistic effects in pion and kaon
 - at large \mathbf{k}_T^2 find same power-law behavior as predicted by perturbative QCD

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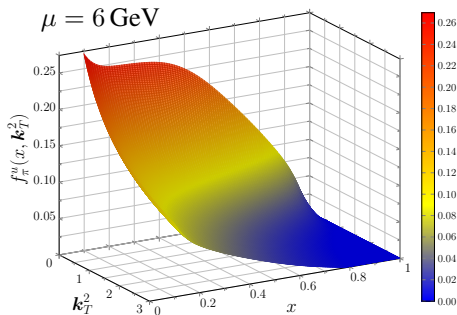
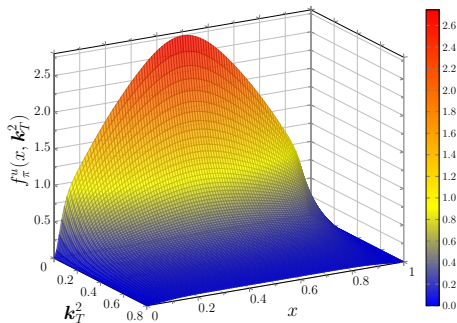
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Pion's T -even TMD

[Chao Shi and ICC, Phys. Rev. Lett. **122**, no. 8, 082301 (2019)]

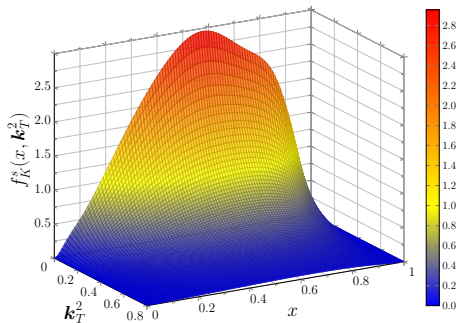
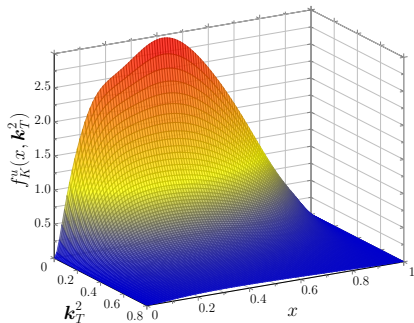


- Using pion's LFWFs straightforward to make predictions for pion TMDs

$$f(x, \mathbf{k}_T^2) \propto |\psi_{\uparrow\downarrow}(x, \mathbf{k}_T^2)|^2 + \mathbf{k}_T^2 |\psi_{\uparrow\uparrow}(x, \mathbf{k}_T^2)|^2$$

- numerous features inherited from LFWFs: TMDs are broad functions as a result of DCSB and peak at zero relative momentum ($x = 1/2$)
- evolution from model scale ($\mu = 0.52 \text{ GeV}$) to $\mu = 6 \text{ GeV}$ results in significant broadening in $\langle \mathbf{k}_T^2 \rangle$, from 0.16 GeV^2 to 0.69 GeV^2
- Need careful treatment of gauge link to study pion Boer-Mulders function

Kaon's T -even TMD



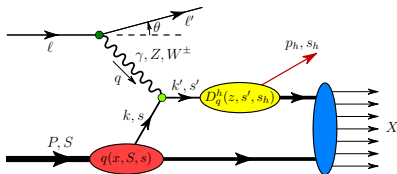
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- numerous features inherited from LFWFs
- TMDs are broad functions as a result of DCSB and with significant flavor breaking effects
- TMDs satisfy: $f_K^s(x, \mathbf{k}_T^2) = f_K^u(1-x, \mathbf{k}_T^2)$; $f(x, \mathbf{k}_T^2) \rightarrow x^2(1-x)^2/\mathbf{k}_T^4$
- In general both pion and kaon LFWFs do not factorize in x and \mathbf{k}_T^2

Probing Transverse Momentum

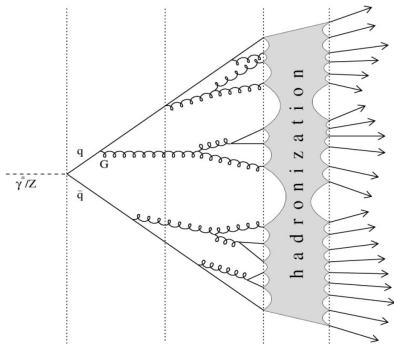
leading twist		quark polarization		
		unpolarized [U]	longitudinal [L]	transverse [T]
nucleon polarization	U	$f_1 = \odot$ unpolarized		$h_1^\perp = \odot - \ominus$ Boer-Mulders
	L		$g_1 = \odot \rightarrow - \ominus \rightarrow$ helicity	$h_{1L}^\perp = \odot \rightarrow - \ominus \rightarrow$ worm gear 1
	T	$f_{1T}^\perp = \odot - \ominus$ Sivers	$g_{1T}^\perp = \odot \rightarrow - \ominus \rightarrow$ worm gear 2	$h_1 = \uparrow - \downarrow$ transversity $h_{1T}^\perp = \nearrow - \nwarrow$ pretzelosity



- Measuring the pion/kaon TMDs will be a challenge, however progress can be made now by studying the $q \rightarrow \pi/K$ TMD fragmentation functions
- Fragmentation functions are particularly important and interesting
 - potentially fragmentation functions can shed the most light on confinement and DCSB – because they describe how a fast moving (massless) quark or gluon becomes a tower of hadrons*
- Also interesting tool with which to probe color entanglement at an EIC
 - over what length scales can colored correlations be observed?

Probing Transverse Momentum

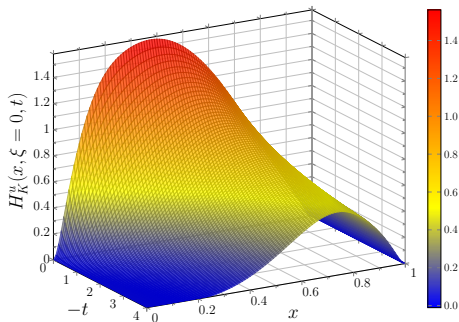
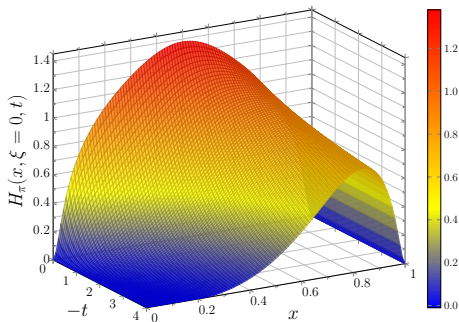
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	T	$f_{1T}^\perp = \text{Sivers}$	$g_{1T}^\perp = \text{worm gear 2}$	$h_1 = \text{transversity}$ $h_{1T}^\perp = \text{pretzelosity}$



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Pion and Kaon GPDs

[Chao Shi and ICC, forthcoming publication]



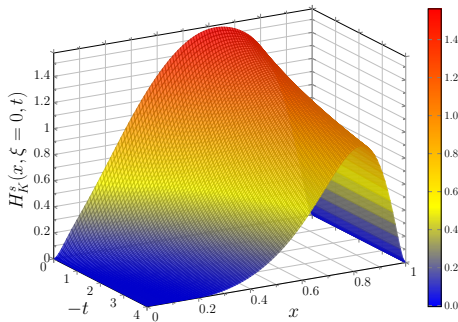
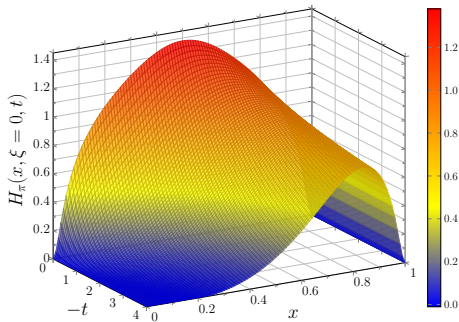
- Straightforward to make predictions for pion and kaon GPDs from overlaps of LFWFs – only one type of GPD at leading twist

$$H_\pi(x, 0, t) = \int d\mathbf{k}_T \left[\psi_0(x, \hat{\mathbf{k}}_T) \psi_0(x, \mathbf{k}_T) + (\hat{k}_1 + i\hat{k}_2)(k_1 - ik_2) \psi_1(x, \hat{\mathbf{k}}_T) \psi_1(x, \mathbf{k}_T) \right]$$

- access to DGLAP region [$x > \xi$] only with leading Fock state
- impossible to self-consistently respect polynomiality with truncated Fock space
- Our Fock-state expansion is in terms of dressed quarks and gluons
 - as momentum transfer t increases dressing of quarks and gluons stripped away

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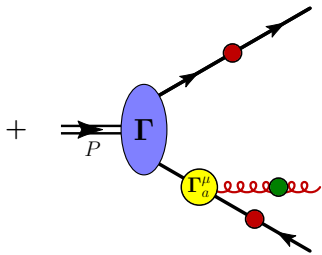
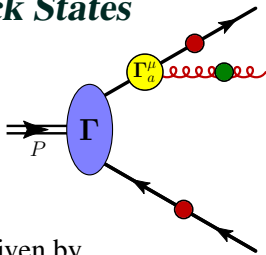
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DSEs + Higher Fock States

- From existing DSE ingredients can project out higher Fock states

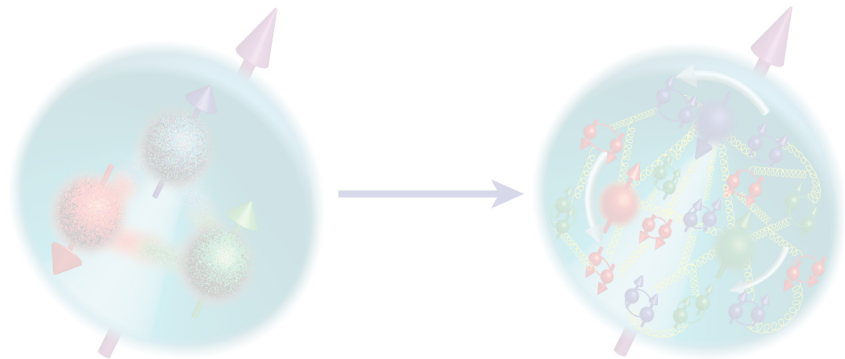


- For example, the $|q\bar{q}g\rangle$ Fock state is given by

$$\psi_{\lambda_1\lambda_2\lambda_3}(x_1, x_2, \mathbf{k}_{1T}, \mathbf{k}_{2T}) \sim \int \frac{dk_1^- dk_2^-}{(2\pi)^2} \bar{u}(x_1 P^+, \mathbf{k}_{1T}, \lambda_1) \gamma^+ \chi^\mu(k_1, k_2; P) \gamma^+ v(x_2 P^+, \mathbf{k}_{2T}, \lambda_2) \varepsilon_\mu^*(\lambda_3)$$

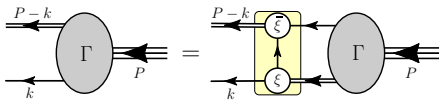
- for a pion there are nine 5-dimensional LFWFs associated with $|q\bar{q}g\rangle$ Fock state
- Key question: *When is a leading Fock-state approximation reliable?*
 - leading Fock state dominates at (very) large x and (very) large Q^2
 - can generate numerous higher Fock states using, e.g., DGLAP evolution – however non-perturbative content is missing
- Increasing difficult to calculate these higher Fock-state LFWFs and their impact on observables – *need to use full BSE solutions*

Nucleon PDFs



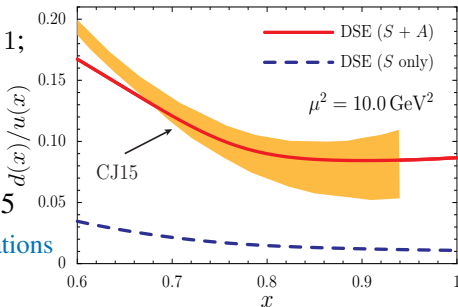
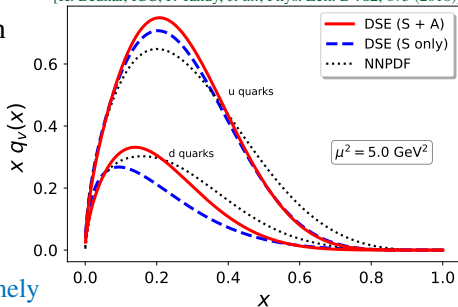
Spin-Independent PDFs

- Solve Poincaré covariant Faddeev eqn for nucleon bound state:



- key approximation is that the nucleon consists of quark + dynamical diquark
- approximation is known to work extremely well, e.g., masses, form factors, etc
- QCD predicts $q(x) \sim (1-x)^3$ as $x \rightarrow 1$; our result is $q(x) \sim (1-x)^5$
- quark-diquark approximation breaks down at (very) large x
- Find d/u in good agreement with CJ15
 - ratio is very sensitive to diquark correlations
- *At what x does $q + qq$ break down?*

[K. Bednar, ICC, P. Tandy, *et al.*, Phys. Lett. B **782**, 675 (2018)]



Conclusions

- For pion and kaon PDFs included for first time gluons self-consistently
 - correct RL-DSE pion PDFs in excellent agreement with Conway *et al.* data and recent JAM analysis
 - agrees with $x \rightarrow 1$ pQCD prediction
- Using DSE solutions to the BSE we determined the leading Fock-state LFWFs for the pion and kaon
 - using these LFWFs straightforward to determine FFs, PDFs, TMDs, GPDs, etc
 - key advantage of DSE method is BSE sums an infinite number of Fock states \implies LFWFs encapsulate effects from emergent phenomena: confinement & DCSB
- Much work remains in experiment and theory to understand the pion and kaon

