Coimbra Workshop 2019 – Non-Perturbative QFT in Euclidean and Minkowski spacetime

The generalised spectral structure of QFT correlators

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Outline

- 1. Local QFT: an axiomatic approach
- 2. Correlation functions in local QFT
- 3. Confinement and the CDP
- 4. The gluon propagator
- 5. Summary and outlook







 Perturbation theory has proven to be an extremely successful tool for investigating problems in particle physics

But by definition this procedure is only valid in a *weakly interacting regime*

- \rightarrow Form factors?
- \rightarrow Parton distribution functions?
- \rightarrow Convergence of perturbative series?
- This emphasises the need for a non-perturbative approach!

 \rightarrow Local QFT is one such approach

• Local QFT approaches are defined by a core set of axioms:

Axiom 1 (Hilbert space structure). The states of the theory are rays in a Hilbert space \mathcal{H} which possesses a continuous unitary representation $U(a, \alpha)$ of the Poincaré spinor group $\overline{\mathscr{P}_{+}^{\uparrow}}$.

Axiom 2 (Spectral condition). The spectrum of the energy-momentum operator P^{μ} is confined to the closed forward light cone $\overline{V}^{+} = \{p^{\mu} \mid p^{2} \geq 0, p^{0} \geq 0\}$, where $U(a, 1) = e^{iP^{\mu}a_{\mu}}$.

Axiom 3 (Uniqueness of the vacuum). There exists a unit state vector $|0\rangle$ (the vacuum state) which is a unique translationally invariant state in \mathcal{H} .

Axiom 4 (Field operators). The theory consists of fields $\varphi^{(\kappa)}(x)$ (of type (κ)) which have components $\varphi_l^{(\kappa)}(x)$ that are operator-valued tempered distributions in \mathcal{H} , and the vacuum state $|0\rangle$ is a cyclic vector for the fields.

Axiom 5 (Relativistic covariance). The fields $\varphi_l^{(\kappa)}(x)$ transform covariantly under the action of $\overline{\mathscr{P}_+^{\uparrow}}$:

$$U(a,\alpha)\varphi_i^{(\kappa)}(x)U(a,\alpha)^{-1} = S_{ij}^{(\kappa)}(\alpha^{-1})\varphi_j^{(\kappa)}(\Lambda(\alpha)x + a)$$

where $S(\alpha)$ is a finite dimensional matrix representation of the Lorentz spinor group $\overline{\mathscr{L}_{+}^{\uparrow}}$, and $\Lambda(\alpha)$ is the Lorentz transformation corresponding to $\alpha \in \overline{\mathscr{L}_{+}^{\uparrow}}$.

Axiom 6 (Local (anti-)commutativity). If the support of the test functions f, g of the fields $\varphi_l^{(\kappa)}, \varphi_m^{(\kappa')}$ are space-like separated, then:

$$[\varphi_l^{(\kappa)}(f),\varphi_m^{(\kappa')}(g)]_\pm=\varphi_l^{(\kappa)}(f)\varphi_m^{(\kappa')}(g)\pm\varphi_m^{(\kappa')}(g)\varphi_l^{(\kappa)}(f)=0$$

when applied to any state in \mathcal{H} , for any fields $\varphi_l^{(\kappa)}, \varphi_m^{(\kappa')}$.

A. Wightman [R. F. Streater and A. S. Wightman, PCT, Spin and Statistics, and all that (1964).]

R. Haag [R. Haag, *Local Quantum Physics*, Springer-Verlag (1996).]

The central idea with Local QFT is that these axioms are physically motivated

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"The theory is invariant under Poincaré transformations"

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"Energy is bounded from below – the theory is stable"

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"The vacuum state is unique and looks the same to all observers"

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'Quantum fields φ are operator-valued distributions"

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"Connect the permitted physical states with the field degrees of freedom"

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"Causality!"

- Despite their simplicity, the axioms of local QFT have many important consequences:
 - \rightarrow Correlation functions $\langle 0|\varphi_{l_1}^{(\kappa_1)}(x_1)\cdots\varphi_{l_n}^{(\kappa_n)}(x_n)|0\rangle$ are distributions
 - → *Reconstruction Theorem* a QFT can be reconstructed from knowledge of *all* the correlators
 - \rightarrow Spin-statistics theorem, CPT theorem, connection of Minkowski and Euclidean QFTs, existence of scattering states, ...
- Things become more complicated in gauge theories!

(i) Preserve positivity, lose locality (e.g. Coulomb gauge QED)

(ii) Preserve locality, lose positivity(e.g. Landau gauge QCD)

- For the remainder of this talk we will focus on option (ii)
- The local Gauss law implies that all charged fields are *non-local*
 - \rightarrow To recover locality one modifies this equation, lifting this restriction, but maintains the constraint for **physical** states

- This procedure necessarily introduces both zero and negative norm (ghost) states into the theory!
- Modifies QFT axioms
 → Pseudo-Wightman approach [Bogolubov et al.]

- In the Pseudo-Wightman approach many of the previous axioms are maintained, except now the full space of states is not positive-definite
- Determining the effect that this change has on the characteristics of QFTs is essential for unravelling the dynamics of gauge theories
- In particular, this can help in understanding the *non-perturbative* structure of QCD correlators

- Dyson-Schwinger, Bethe-Salpeter equations, FRGEs
- Enter into the calculation of bound-state observables; meson spectra, decay constants, glueball masses,...
- QCD phase diagram: effects of finite temperature and density
- Confinement

- → What is the general structure of a Pseudo-Wightman correlation function?
- Due to the Lorentz transformation property of the fields the Fourier transform of any field correlator can be written

 The spectral condition implies that the Lorentz invariant components must vanish outside the (closed) forward light cone (p² ≥0, p⁰ ≥0)

$$\widehat{T}_{\alpha(1,2)}(p) = P(\partial^2)\delta(p) + \int_0^\infty ds\,\theta(p^0)\delta(p^2 - s)\rho_\alpha(s)$$

Purely singular component

Spectral function

3. Confinement and the CDP

• For non-gauge theories satisfying the standard local QFT axioms, the correlation strength between clusters of fields always <u>decreases</u> with space-like separation $R = -(x-y)^2$ [Araki; Araki, Hepp, Ruelle]

 \rightarrow This is called the **cluster decomposition property** (CDP)

$$|\langle 0|\Phi_1(x)\Phi_2(y)|0\rangle - \langle \Phi_1(x)\rangle\langle \Phi_2(y)\rangle| \xrightarrow{R \to \infty} 0$$

 States therefore become increasingly decorrelated the further apart they are separated!
 [H. Araki, Ann. Phys. 11, 26]

[H. Araki, *Ann. Phys.* **11**, 260 (1960).] [H. Araki, K. Hepp and D. Ruelle, *Helv. Phys. Acta* **35**, 164 (1962).]

3. Confinement and the CDP

- But what about QCD? If the CDP held this would allow one to pull apart coloured states!
- It turns out though that the CDP <u>can be violated</u> in QFTs satisfying the Pseudo-Wightman axioms [Strocchi 1976]:

$$\begin{split} & \textbf{Theorem (Cluster Decomposition).} \\ & \left| \langle 0 | \mathcal{B}_1(x_1) \mathcal{B}_2(x_2) | 0 \rangle^T \right| \leq \begin{cases} C_{1,2}[\xi]^{2N-\frac{3}{2}} e^{-M[\xi]} \left(1 + \frac{|\xi_0|}{|\xi|}\right), & \text{with a mass gap } (0, M) \text{ in } \mathcal{V} \\ & \widetilde{C}_{1,2}[\xi]^{2N-2} \left(1 + \frac{|\xi_0|}{|\xi|^2}\right), & \text{without a mass gap in } \mathcal{V} \end{cases} \\ & \text{where: } \langle 0 | \mathcal{B}_1(x_1) \mathcal{B}_2(x_2) | 0 \rangle^T = \langle 0 | \mathcal{B}_1(x_1) \mathcal{B}_2(x_2) | 0 \rangle - \langle 0 | \mathcal{B}_1(x_1) | 0 \rangle \langle 0 | \mathcal{B}_2(x_2) | 0 \rangle, N \in \mathbb{Z}_{\geq 0}, \\ & \xi = x_1 - x_2 \text{ is large and space-like, and } C_{1,2}, \widetilde{C}_{1,2} \text{ are constants independent of } \xi \text{ and } N. \end{split}$$

 Depends on whether the theory has a mass gap, and the value of the positive integer parameter N

ightarrow for the CDP to be violated it is necessary that N>0

• *N* is related to the boundedness properties of the corresponding momentum space correlator [PL, 1511.02780]

3. Confinement and the CDP

- Any correlation function is determined by its spectral functions ρ
 - ightarrow The value of *N* must therefore be related to the type of components appearing in ho
- Besides ordinary massive poles $\delta(s-m^2)$ and continuum contributions, spectral functions can have more singular properties in the *Pseudo-Wightman* case
- In particular, $\rho(s)$ can possess generalised pole terms of the form:

$$\delta^{(n)}(s - m_n^2) = \left(\frac{d}{ds}\right)^n \delta(s - m_n^2), \quad (n \ge 1)$$

- These components lead to a stronger IR singular behaviour
- The existence of these delta-derivative components is sufficient to prove that N > 0 [PL, 1511.02780]
 - \rightarrow Are these components present in QCD correlators?

- A QCD correlation function of particular interest is the gluon propagator
- Taking into account general QFT and model-dependent constraints one can write [PL, 1702.02954; 1801.09337]:

$$\widehat{D}_{\mu\nu}^{ab\,F}(p) = i \int_0^\infty \frac{ds}{2\pi} \, \frac{\left[g_{\mu\nu}\rho_1^{ab}(s) + p_{\mu}p_{\nu}\rho_2^{ab}(s)\right]}{p^2 - s + i\epsilon} + \sum_{n=0}^N \left[c_n^{ab}\,g_{\mu\nu}(\partial^2)^n + d_n^{ab}\partial_{\mu}\partial_{\nu}(\partial^2)^{n-1}\right]\delta(p)$$

Taking trace, in Landau gauge

$$D(p) = 3i \int_0^\infty \frac{ds}{2\pi} \frac{\rho_1(s)}{p^2 - s + i\epsilon} + \sum_{n=0}^{N+1} g_n(\partial^2)^n \delta(p)$$

• Can now use numerical data to test different propagator ansätze

Euclidean generalisation is needed to test lattice data

$$D(p) = 3\int_0^\infty \frac{ds}{2\pi} \frac{\rho_1(s)}{p^2 + s} + \sum_{n=0}^{N+1} g_n (-\nabla^2)^n \delta(p)$$

- Using the high precision Landau gauge lattice data from [Dudal, Oliveira, Silva 1803.02281] the strategy was to perform fits of various generalised pole terms up to some IR cutoff [Li, PL, Oliveira, Silva, 1907.10073]
- Started with the simplest possible one-pole components

$$D_0(p) = \frac{Z_0}{p^2 + m_0^2}, \quad D_1(p) = \frac{Z_1}{(p^2 + m_1^2)^2}, \quad D_2(p) = \frac{Z_2}{(p^2 + m_2^2)^3}.$$

- Also tested the various two-term combinations → fits performed with different choices of (increasingly conservative) systematics
- <u>Results</u>: found that the data was consistent with the appearance of a generalised mass pole in the spectral function of the form:

$$\mathcal{Z}_1 \delta'(s - m_1^2), \quad \left[m_1 = 0.89^{+0.09}_{-0.06} \text{ GeV}, \quad Z_1 = 52^{+8}_{-6} \text{ GeV}^2\right]$$

→ How does one interpret such a spectral component?

Spectral function components

States in the spectrum

On-shell state with mass m₀

- *Z*₀>0, positive norm (physical)
- *Z*₀<0, negative norm (unphysical)

<u>Continuum contribution</u>, contains information about composite states

$$\rho(s) \sim \mathcal{Z}_0 \delta(s - m_0^2) + \mathcal{Z}_1 \delta'(s - m_1^2) + \mathcal{Z}_2 \delta''(s - m_2^2) + \dots + \rho_c(s)$$

Massive on-shell states with zero norm

• A $\delta'(s-m_1^2)$ component in the gluon spectral function implies the existence of a massive on-shell zero-norm state in the spectrum

 \rightarrow State dominates the *IR* behaviour of the propagator

• One has N > 0, but non-vanishing m_1 suggestions that that component is not singular enough at $p^2 = 0$ to violate the CDP

ightarrow Results in an *exponential* fall-off for $R
ightarrow\infty$

- Clustered states created from *single* gluon fields do not appear to decorrelate the further apart they are separated
 - → Gluon propagator not gauge-invariant though, so doesn't contradict the expectation that asymptotic coloured states are absent from the spectrum!

5. Summary and outlook

- Local QFT is a framework which can be used to better understand the non-perturbative characteristics of QFTs
- Due to the complications that arise in gauge theories, QCD correlators can potentially contain more singular *generalised pole* components
 - → Important for understanding the asymptotic behaviour of correlators (*confinement*)
 - \rightarrow Constrains the spectrum of the theory (*zero-norm states*)
- Fits of generalised pole terms to infrared gluon propagator lattice data suggest that the data is compatible with the existence of these type of components
 - \rightarrow Opens a new direction for understanding QCD correlators

5. Summary and outlook

<u>Outlook</u>

- Are generalised pole terms relevant for hadron phenomenology?
- Can purely singular terms play a role in the CDP?
- > What happens at finite temperature and density?
- What about gauge-invariant correlators?

Backup

Fit results for [Li, PL, Oliveira, Silva, 1907.10073]

- Performed fits using both 64⁴ and 80⁴ lattice data samples from [Dudal, Oliveira, Silva 1803.02281] to check for volume-dependent effects
- Found that single delta-derivative provided a good fit alone, and its inclusion in other fit ansätze resulted in an improvement of those fits

 \rightarrow Chi-squared map for the 80⁴ D₁(p) fit with statistical & polynomial shape uncertainty

Backup

Chi-squared definitions used in fits

(1) Statistical errors only:

$$\chi_1^2 = (\boldsymbol{G} - \boldsymbol{D})^{\mathrm{T}} \boldsymbol{C}^{-1} (\boldsymbol{G} - \boldsymbol{D}),$$

(2) Statistical + shape systematics:

$$f_i(p_i, a, b) = \frac{a + p_i^2}{ab + p_i^2},$$

$$\chi_2^2 = (\boldsymbol{G} \cdot \boldsymbol{f} - \boldsymbol{D})^T \boldsymbol{C}^{-1} (\boldsymbol{G} \cdot \boldsymbol{f} - \boldsymbol{D}) + \frac{(a - \alpha)^2}{\sigma_a^2} + \frac{(b - \beta)^2}{\sigma_b^2},$$

(3) Statistical + poly. shape:

$$\chi_3^2 = (\boldsymbol{G} \cdot \boldsymbol{g} - \boldsymbol{D})^T \boldsymbol{C}^{-1} (\boldsymbol{G} \cdot \boldsymbol{g} - \boldsymbol{D}) + \frac{|\boldsymbol{g} - \boldsymbol{\gamma}|^2}{\sigma_g^2},$$

Backup

- Quantum fields φ(x) are distributions what difference does this make?
 - ightarrow This means that they cannot be evaluated at a single point (e.g. Dirac delta $\delta(x)$ at x=0)
 - \rightarrow Need to 'average them out' over some spacetime region ${\pmb A}$

$$\mathcal{M}_{\varphi} := \int_{A} d^{4}x \,\varphi(x) f(x)$$
Can think of this as the performance of a measurement
$$\mathcal{M}_{\varphi} \text{ in the region } \mathbf{A} \text{ where } f(x) \text{ is } \text{ IMPI Munich (2004)]}$$
non-zero
But why? - Heisenberg:
$$\Delta x \Delta p \sim \frac{\hbar}{2}$$