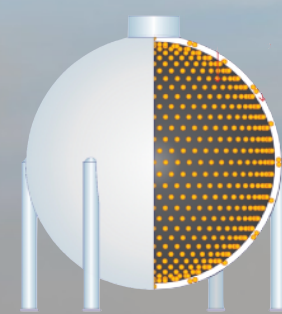


Status of the MiniBooNE and MicroBooNE experiments

Adrien Hourlier

MIT

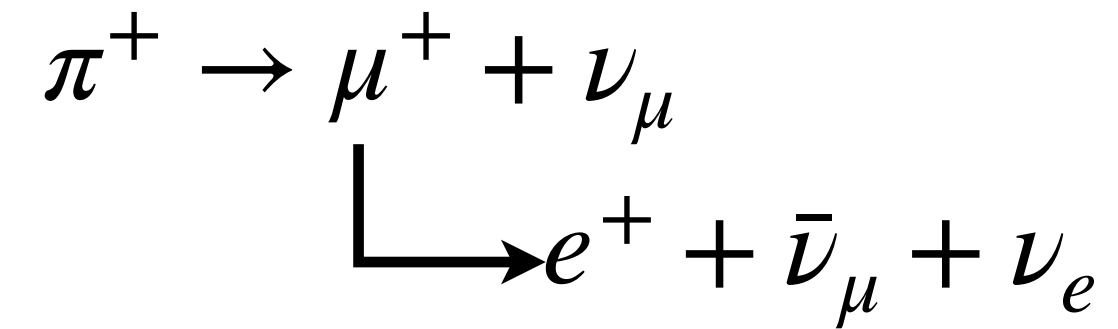
August 27 2019



NuFact 2019

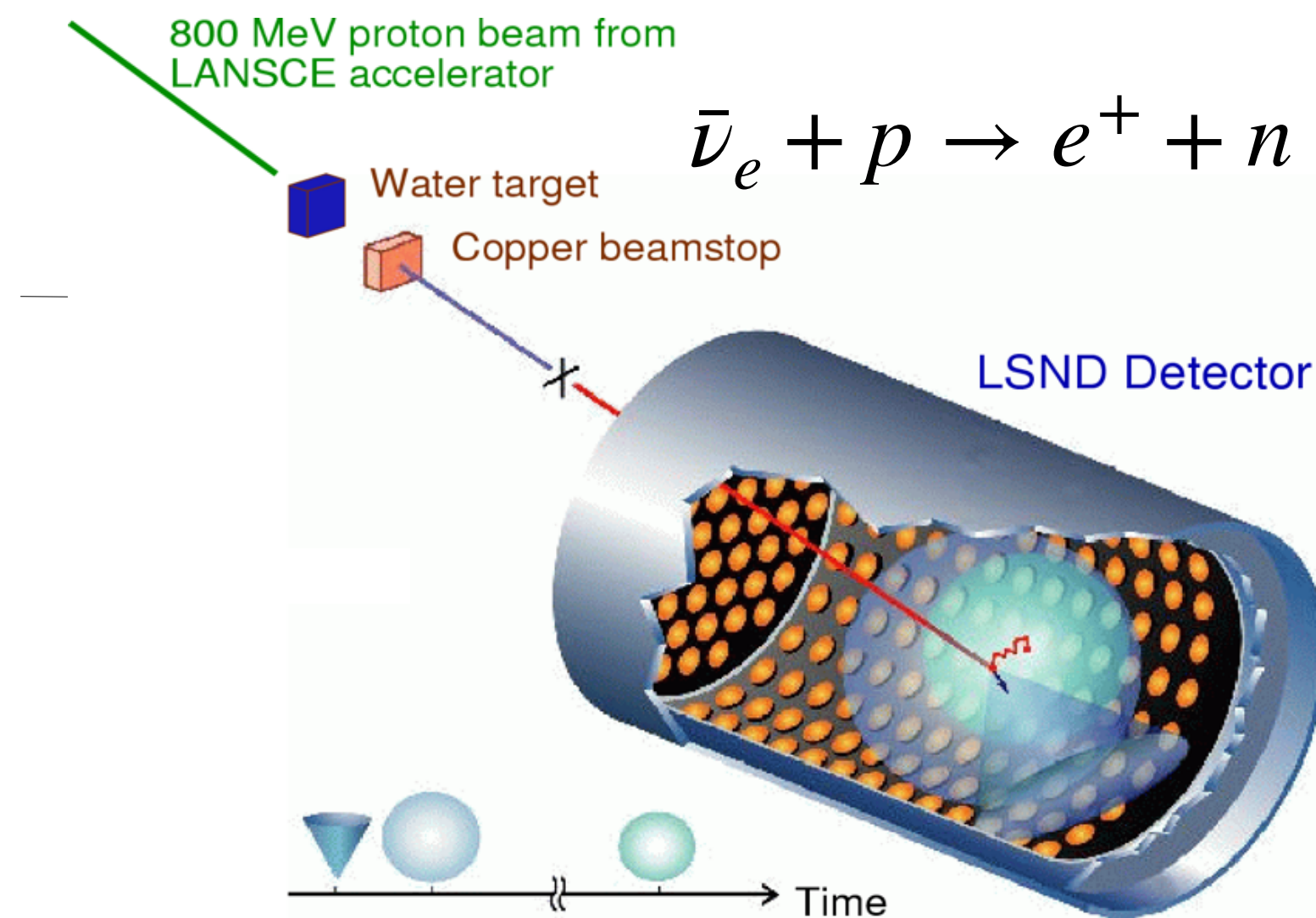
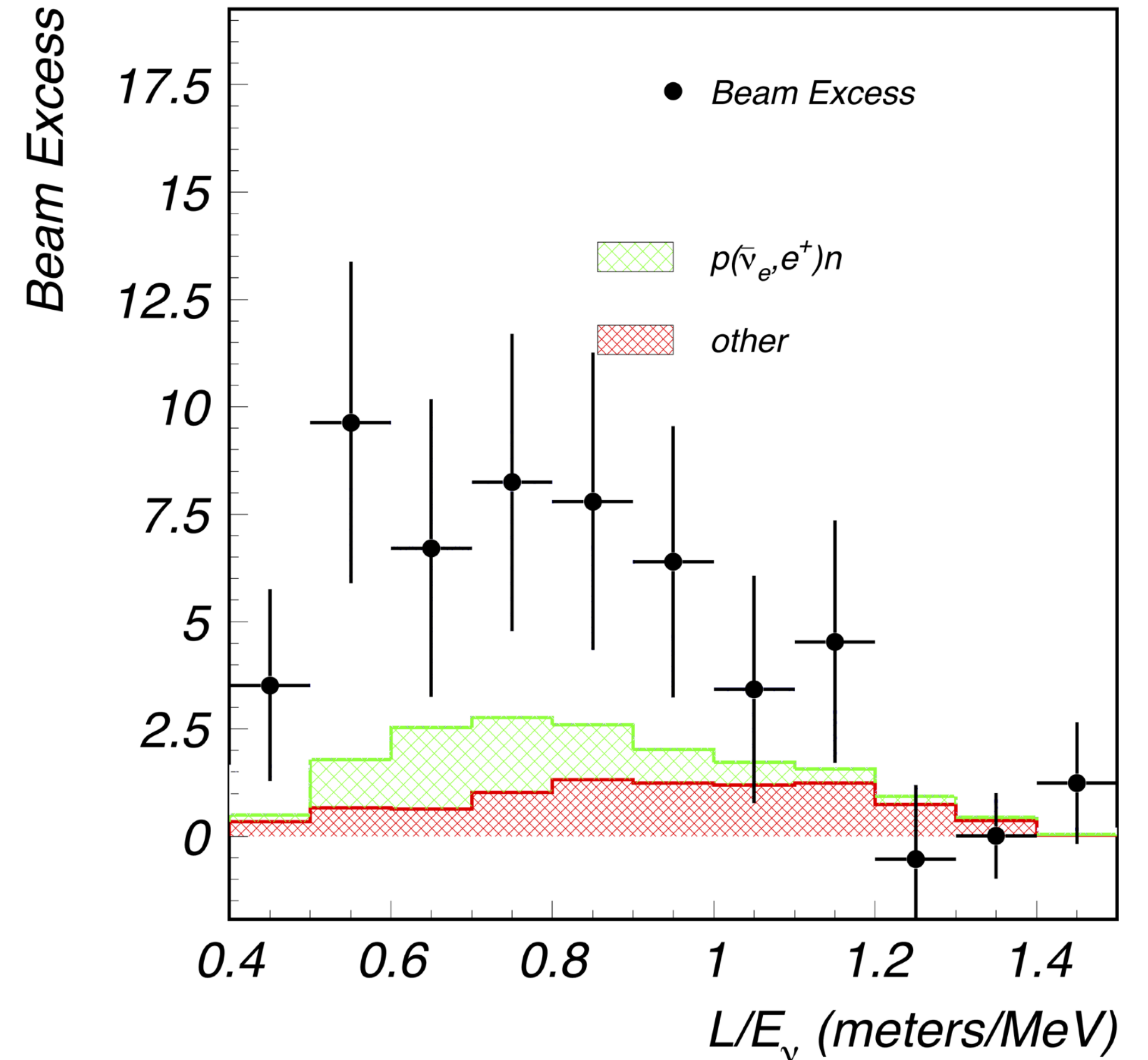
Before MiniBooNE : The LSND Anomaly

- Stopped π beam



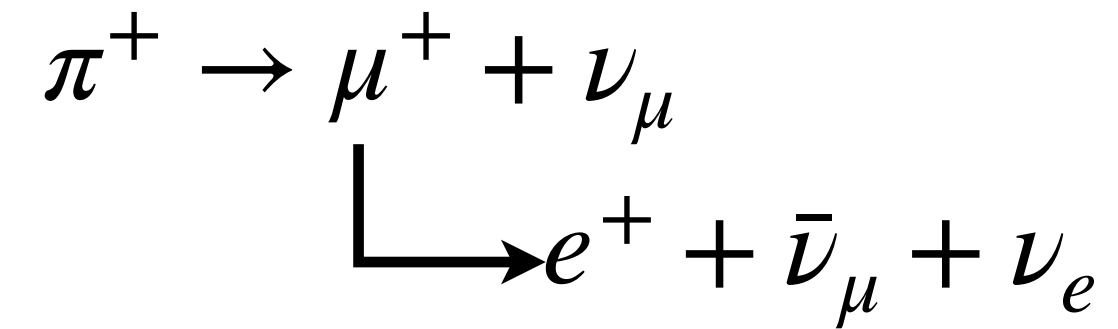
- appearance of $\bar{\nu}_e$ in a $\bar{\nu}_\mu$ beam
- $\bar{\nu}_e$ signature : Cherenkov light from e^+ with delayed n-capture
- Excess = $87.9 \pm 22.4 \pm 6$ (3.8σ)

Phys.Rev.D 64.112007



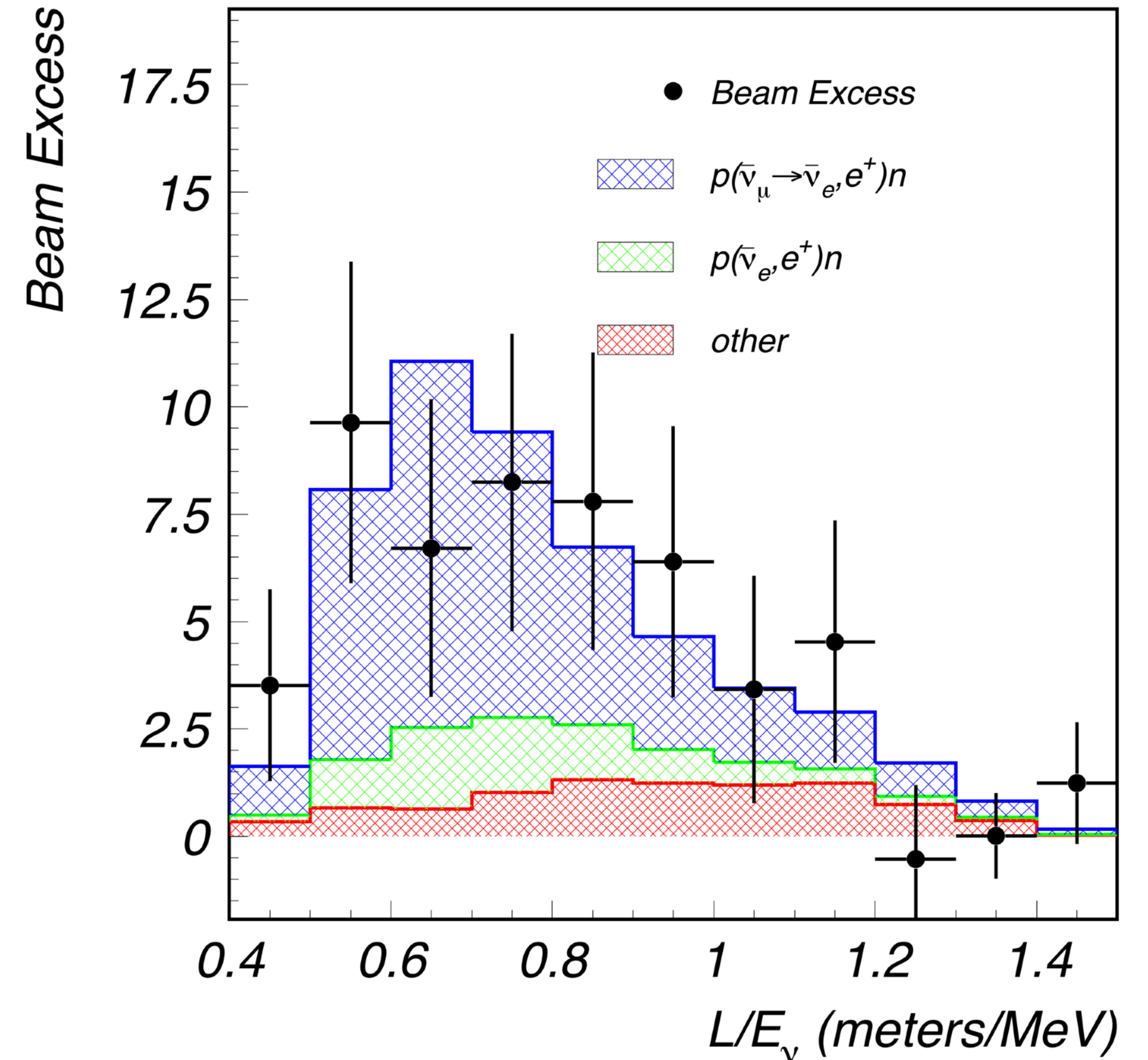
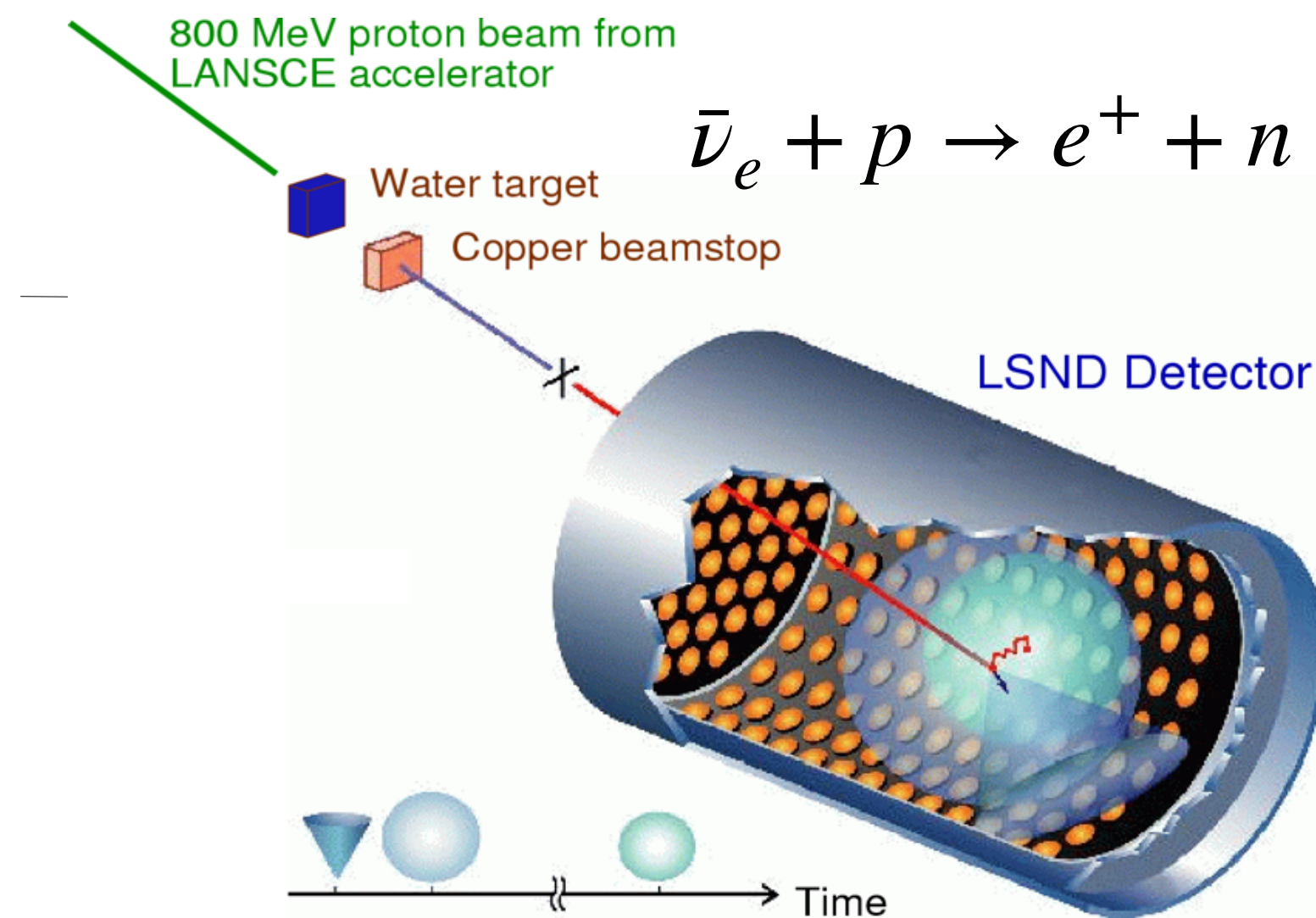
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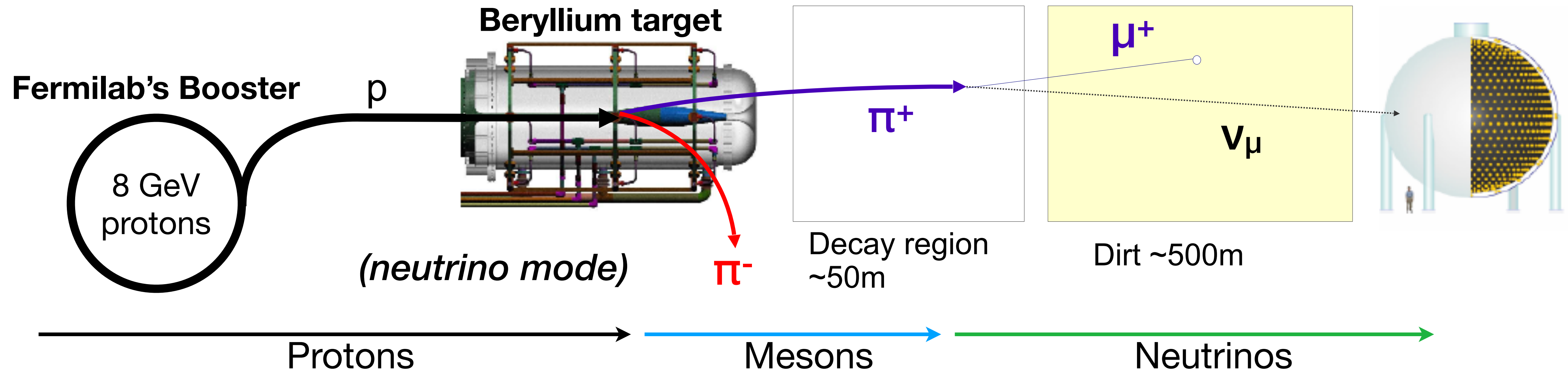


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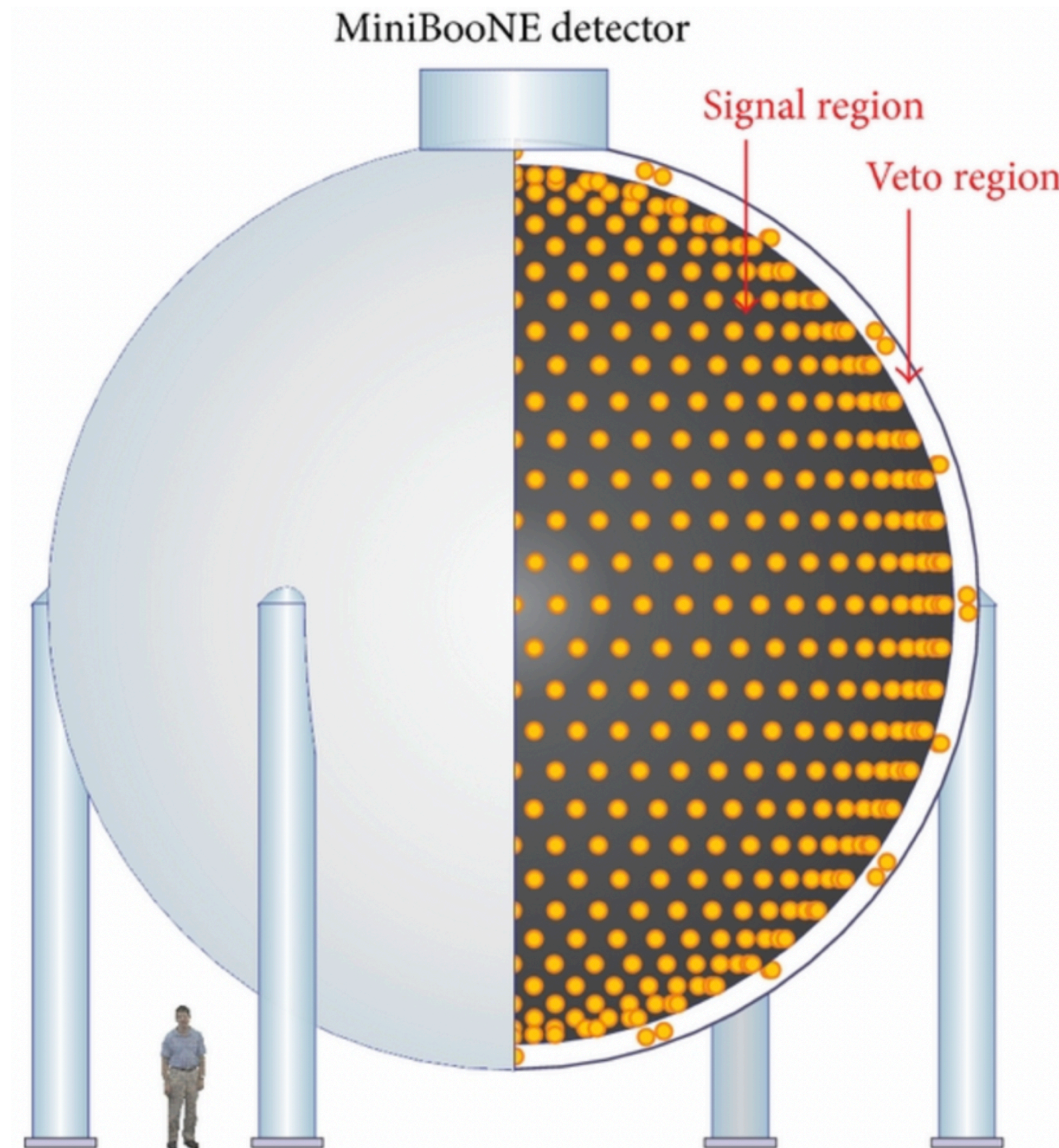


The MiniBooNE Experiment

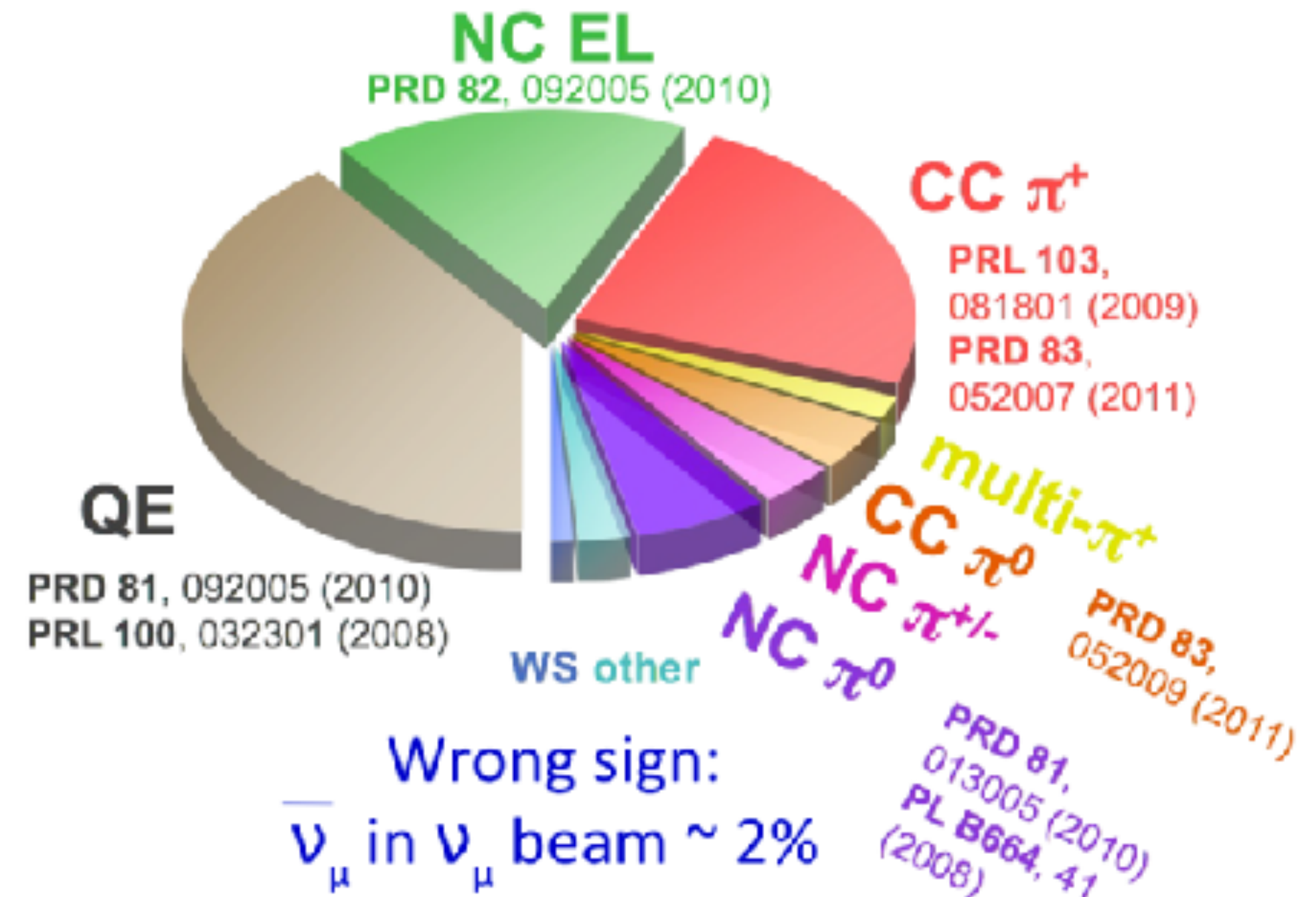


- Proposed to investigate the LSND anomaly, in search for sterile neutrinos
- Located on the Booster Neutrino Beam at Fermilab
- Single horn focused neutrino beam : Selection of neutrino/antineutrino modes
- Similar L/E as LSND :
 - MiniBooNE ~500 m / ~500 MeV
 - LSND ~30 m / ~ 30 MeV
- Different systematics due to different fluxes, event signatures and backgrounds

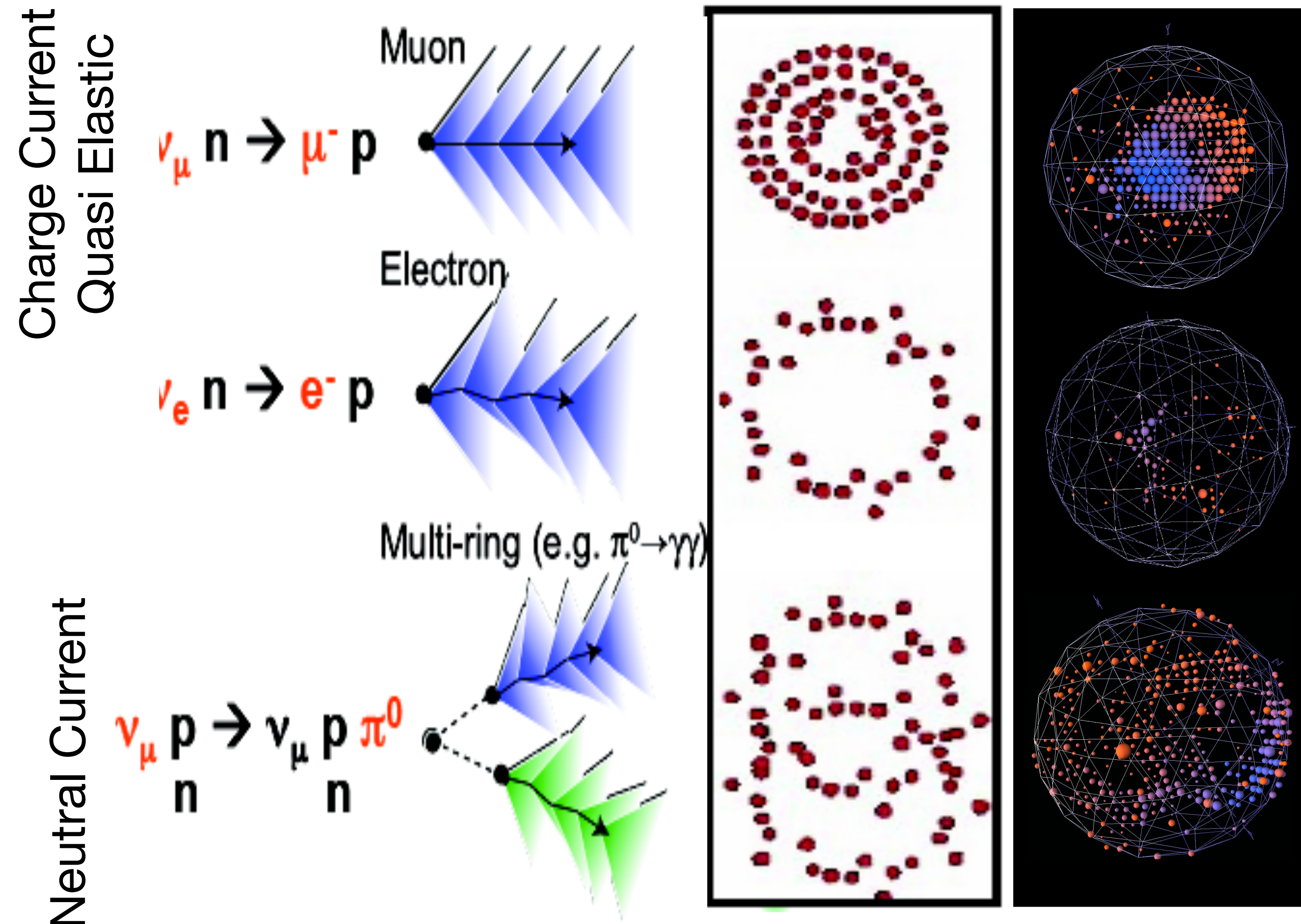
The MiniBooNE Detector



- $\varnothing 12.2$ m sphere, $\varnothing 10$ m fiducial volume
- 800 tons of mineral oil, 450 tons fiducial mass
- 2 optically isolated volumes
- 1280 inner PMTs, 240 veto PMTs
- Very well understood detector
 - 2% change of the energy scale over 15 years of running
 - Measurements of cross sections for most of the neutrino and anti-neutrino processes



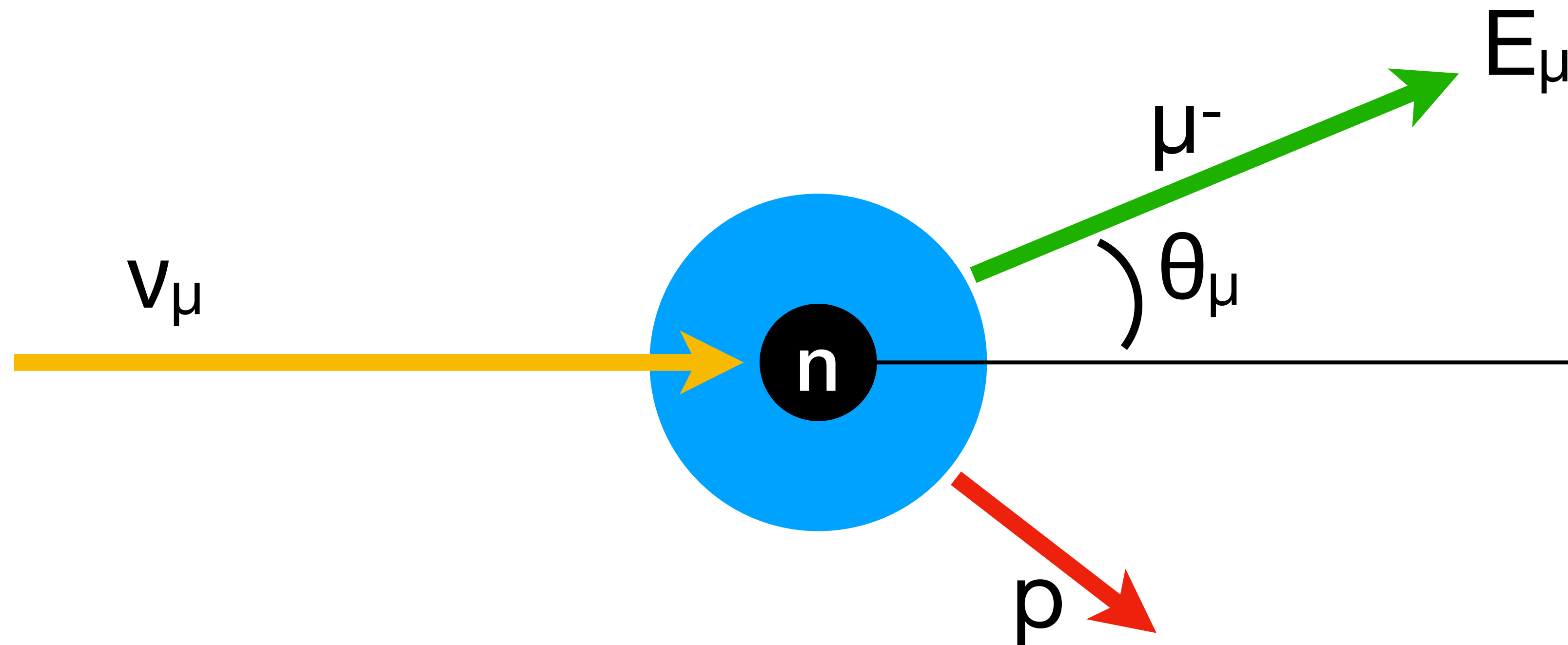
Events in MiniBooNE



- Background reduction using beam timing and hit topology
- Use primarily Cherenkov light
- ID based on ratio of fit likelihoods under different particle hypotheses
- Only sensitive to particles above the Cherenkov threshold
=> no proton detection
- Cannot distinguish single photon from single electron

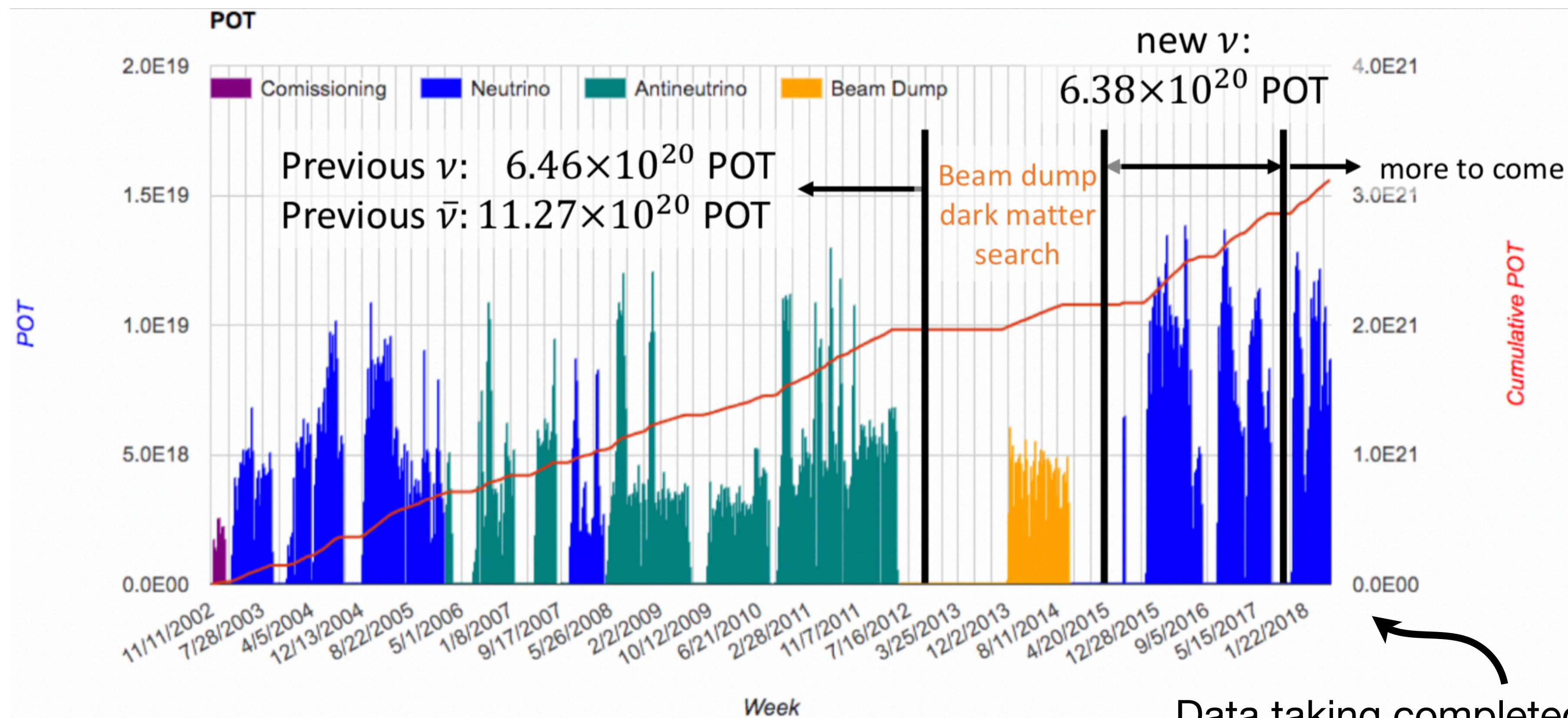
Energy Reconstruction

- Energy reconstructed using only the lepton kinematics derived from the Cherenkov cone
- Energy is reconstructed under the CCQE assumption
- Assumes CCQE interaction on a nucleon at rest, accounts for nuclear binding energy



$$E_\nu^{\text{QE}} = \frac{2(M_n - E_B)E_\ell - ((M_n - E_B)^2 + M_\ell^2 - M_p^2)}{2((M_n - E_B) - E_\ell + p_\ell \cos \theta_\ell)}$$

Data Taking

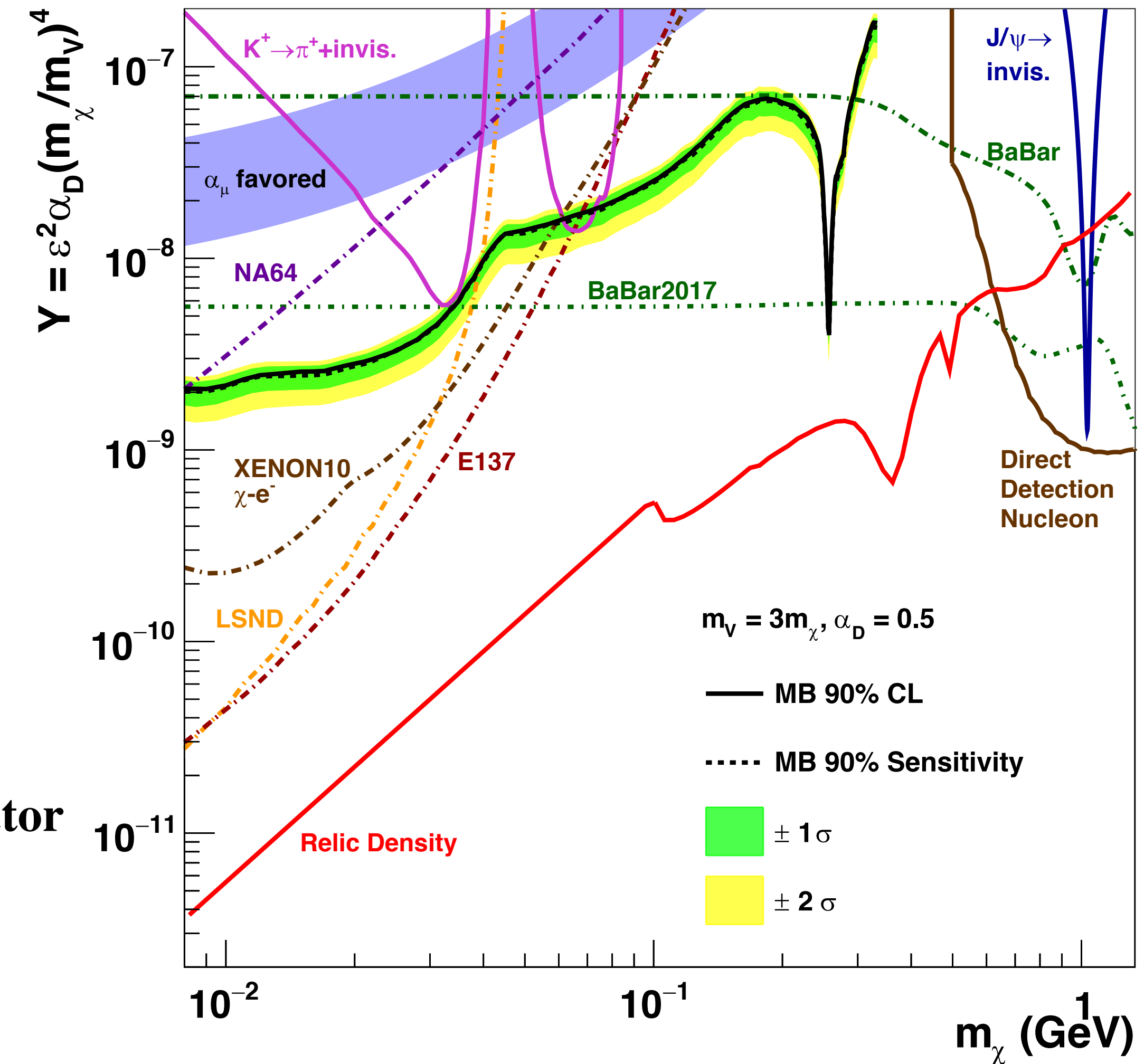
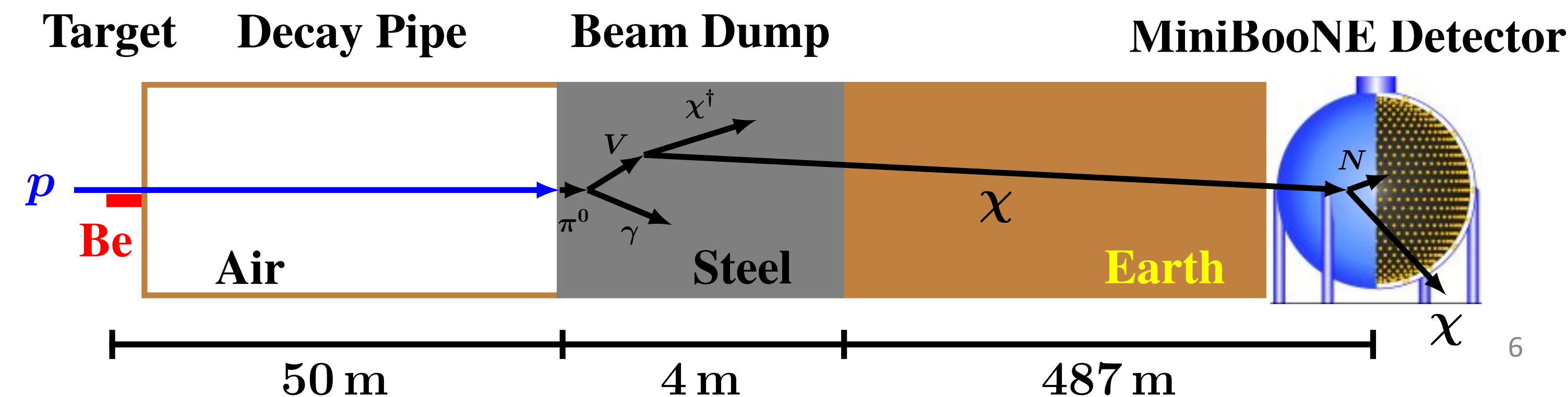


Data taking completed in June 2019
Another $\sim 6 \times 10^{20}$ POT not yet analyzed

- 15+ years of data taking with a very stable and robust detector
- New result published in 2018 doubles the statistics in neutrino mode ([PRL 121,221801 \(2018\)](#))
- Improved data-driven background constraints

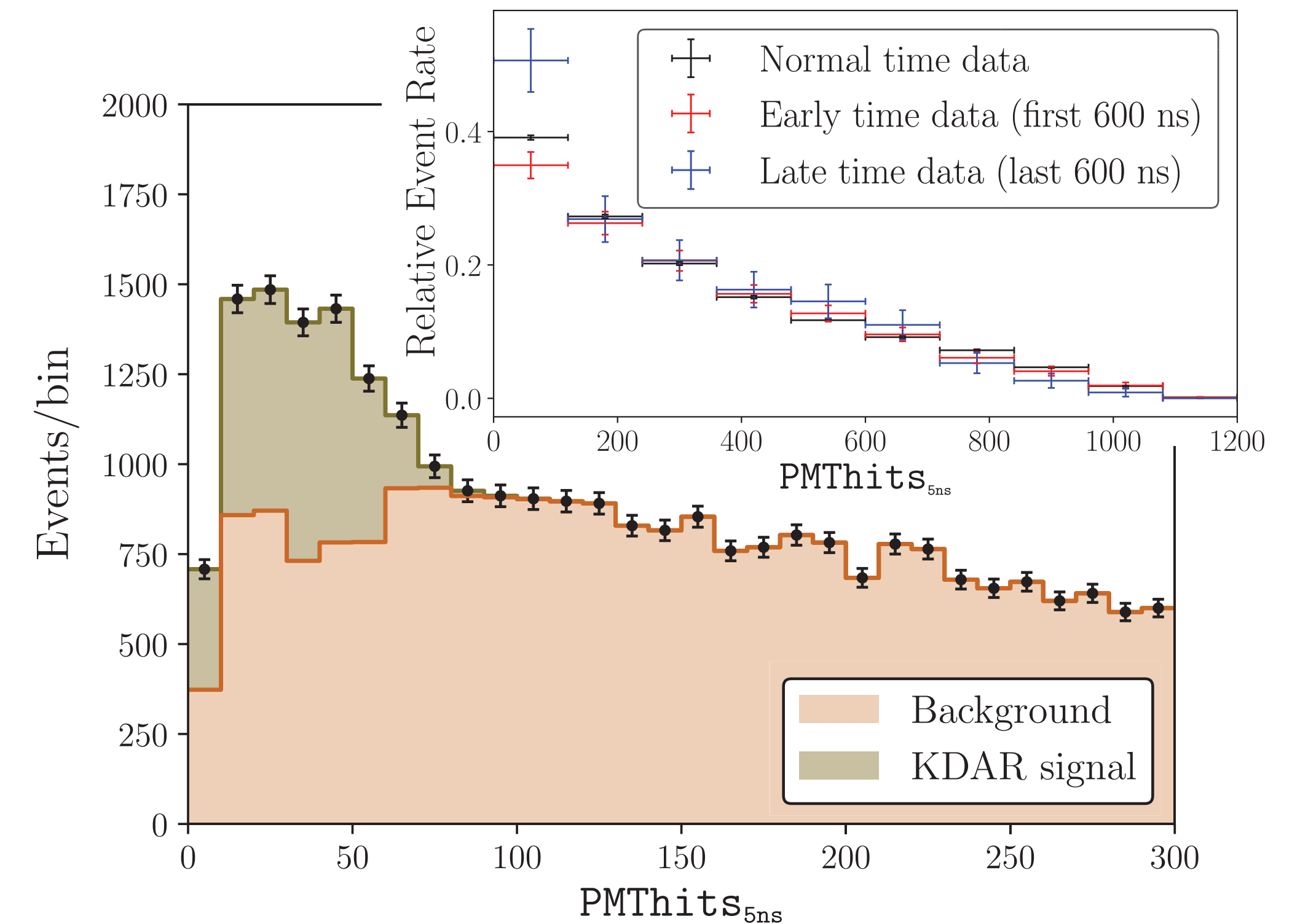
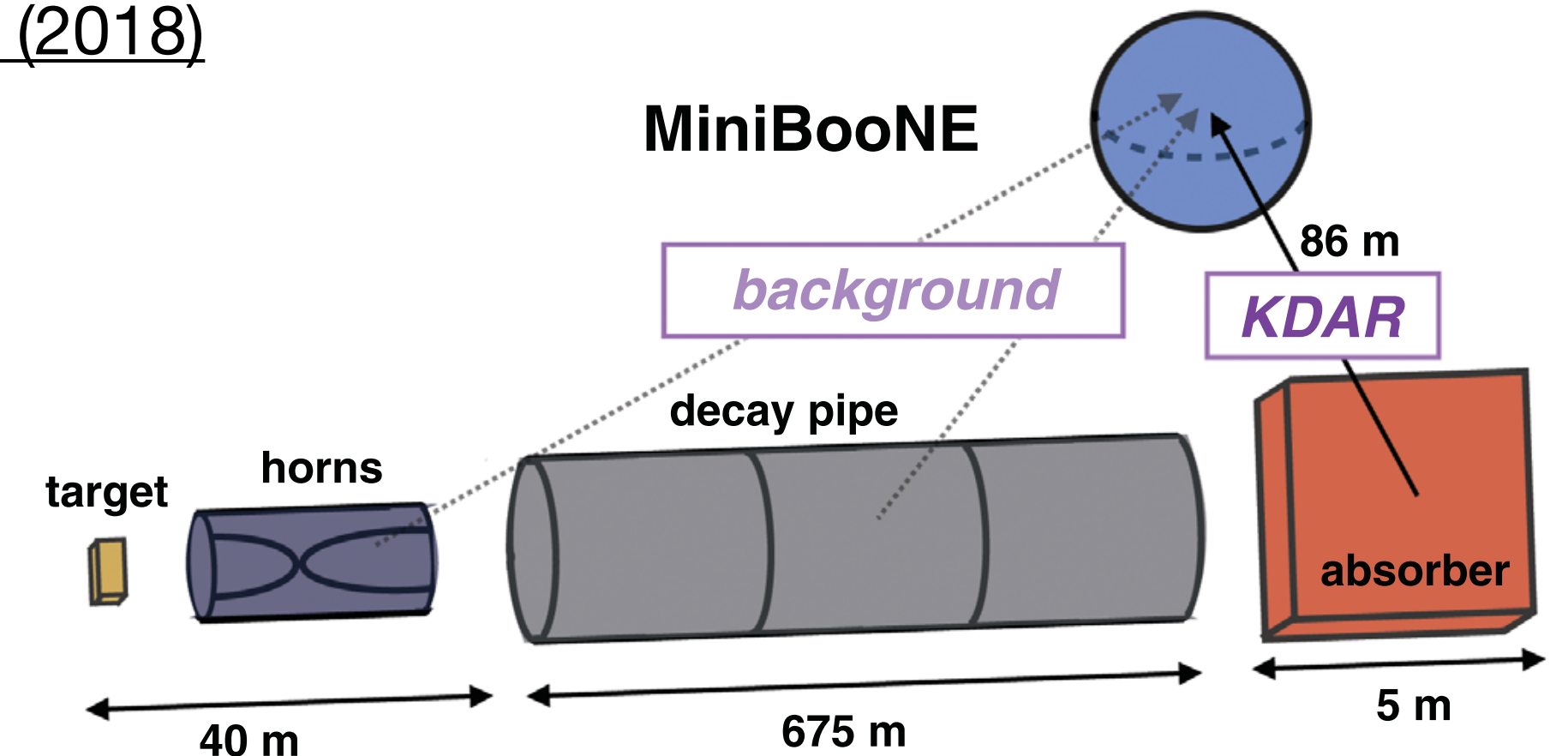
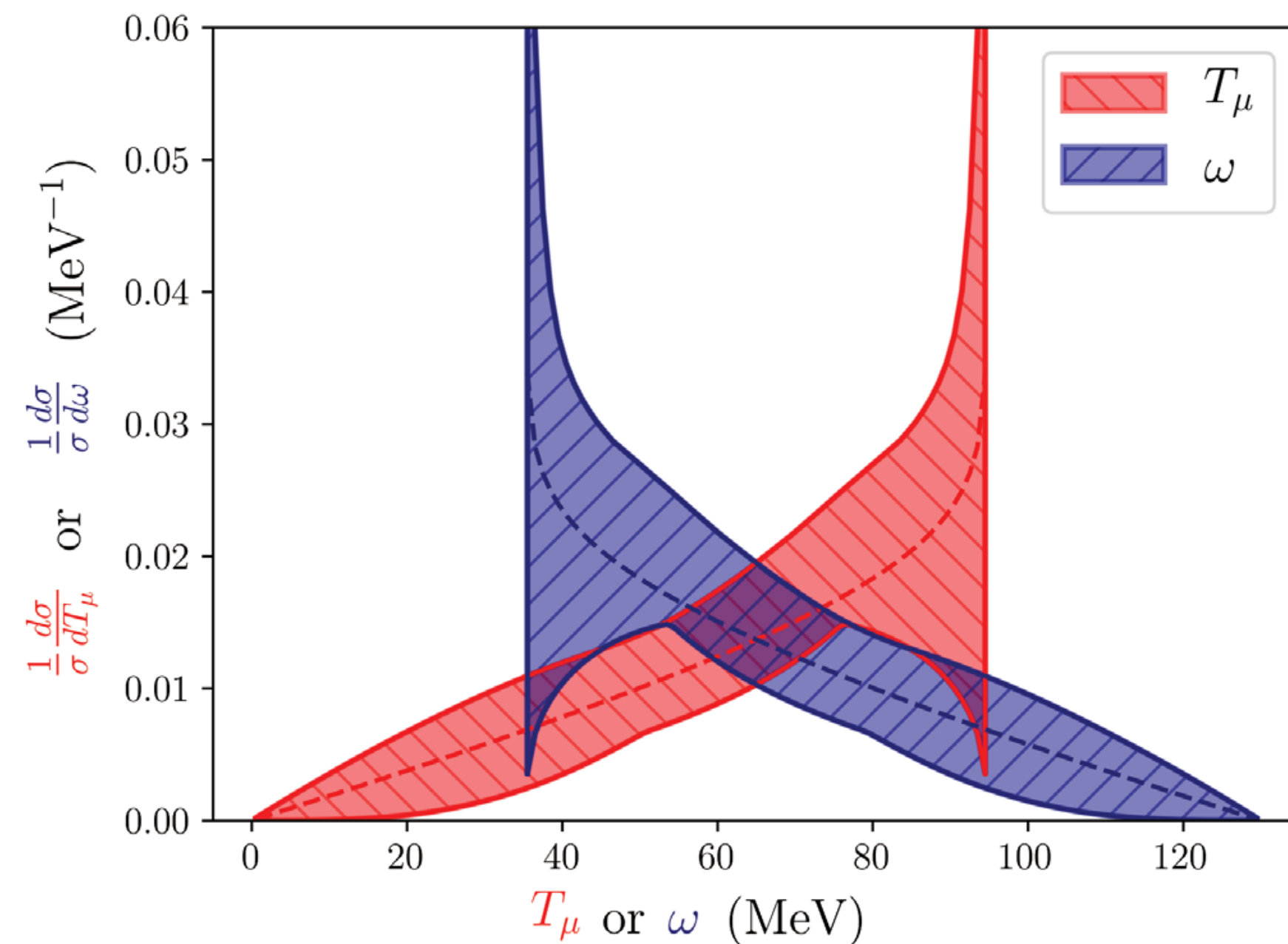
Other analyses : DM search in beam dump mode

- First dedicated search for direct detection of accelerator-produced dark matter in a proton beamline
- Beam-dump mode reduced the ν flux by ~ 50
- The goal was to test vector portal model interpretation of $g-2$ (ruled out)
- At time of publication : set world leading limits in the vector portal dark matter model with a dark matter mass between 0.01 and 0.3 GeV

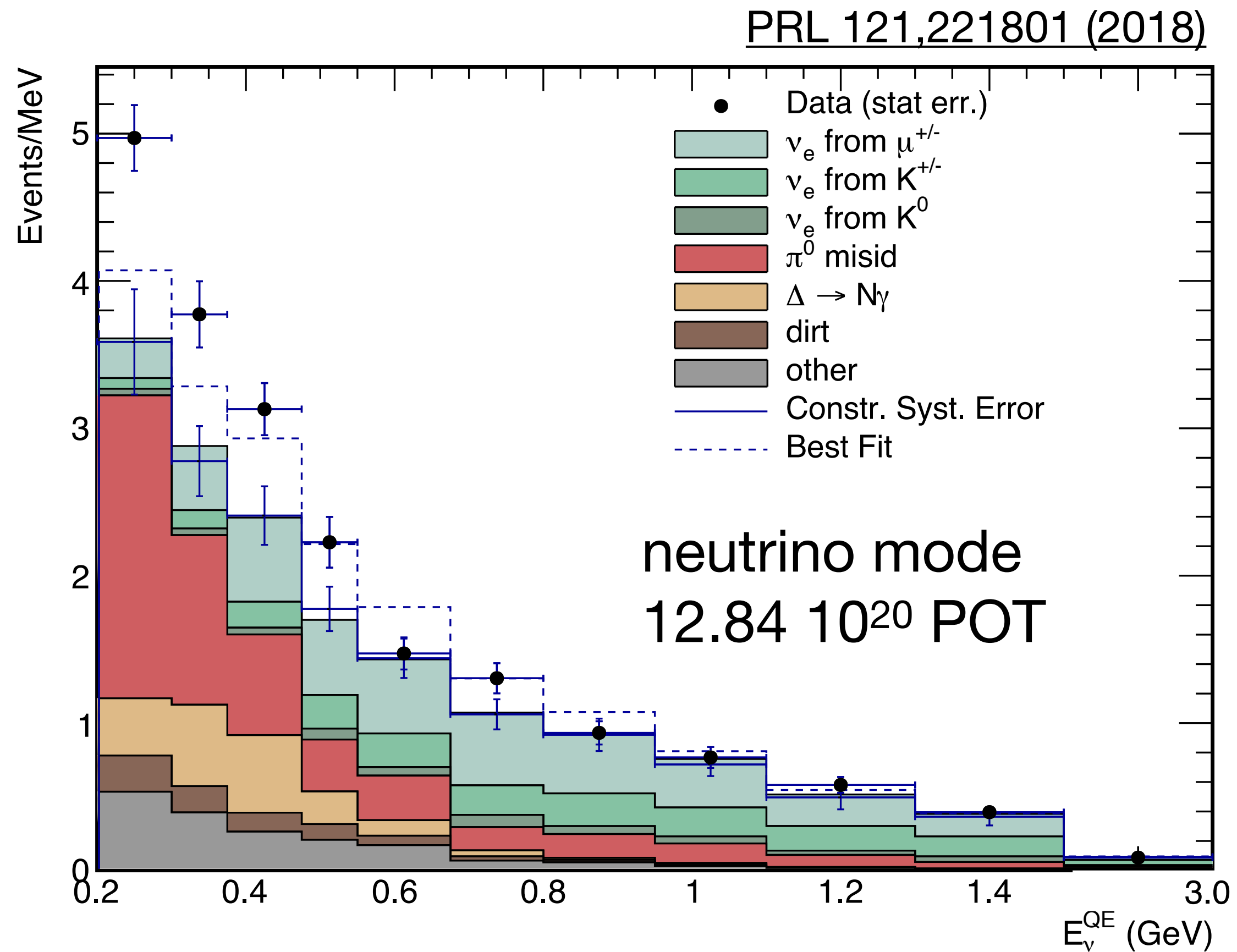


Other analyses : KDAR PRL 120, 141802 (2018)

- Kaon Decay At Rest
- KDAR neutrinos from the NuMI beam line absorber have been identified based on energy reconstruction and timing
- First measurement of ω (energy transfer to the nucleus) with a known energy, weak interaction-only, nuclear probe
- Results provide a standard candle for understanding ν_μ CC events at a known energy (236 MeV)

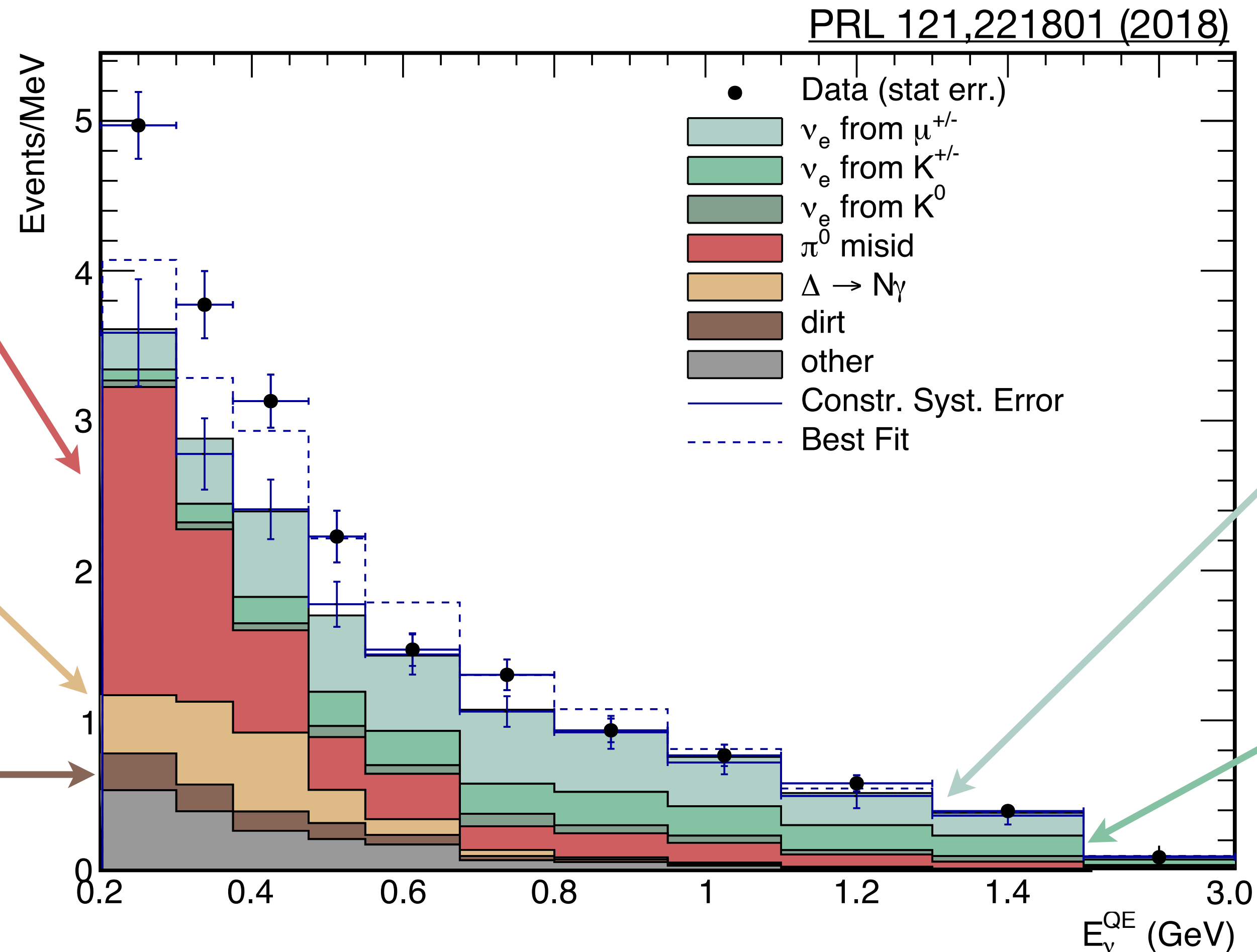


ν_e -Like Excess



- Old+new dataset in neutrino mode
- Neutrino mode ν_e excess of 4.5σ
- Main backgrounds are related to separating γ and e^-

Constraining Backgrounds



π^0 MisID

constrained from *in situ* measurement of NC π^0 rate

$\Delta \rightarrow N\gamma$ resonance

constrained from *in situ* measured NC π^0 rate and theoretical prediction

Dirt

constrained from *in situ* dirt data sample

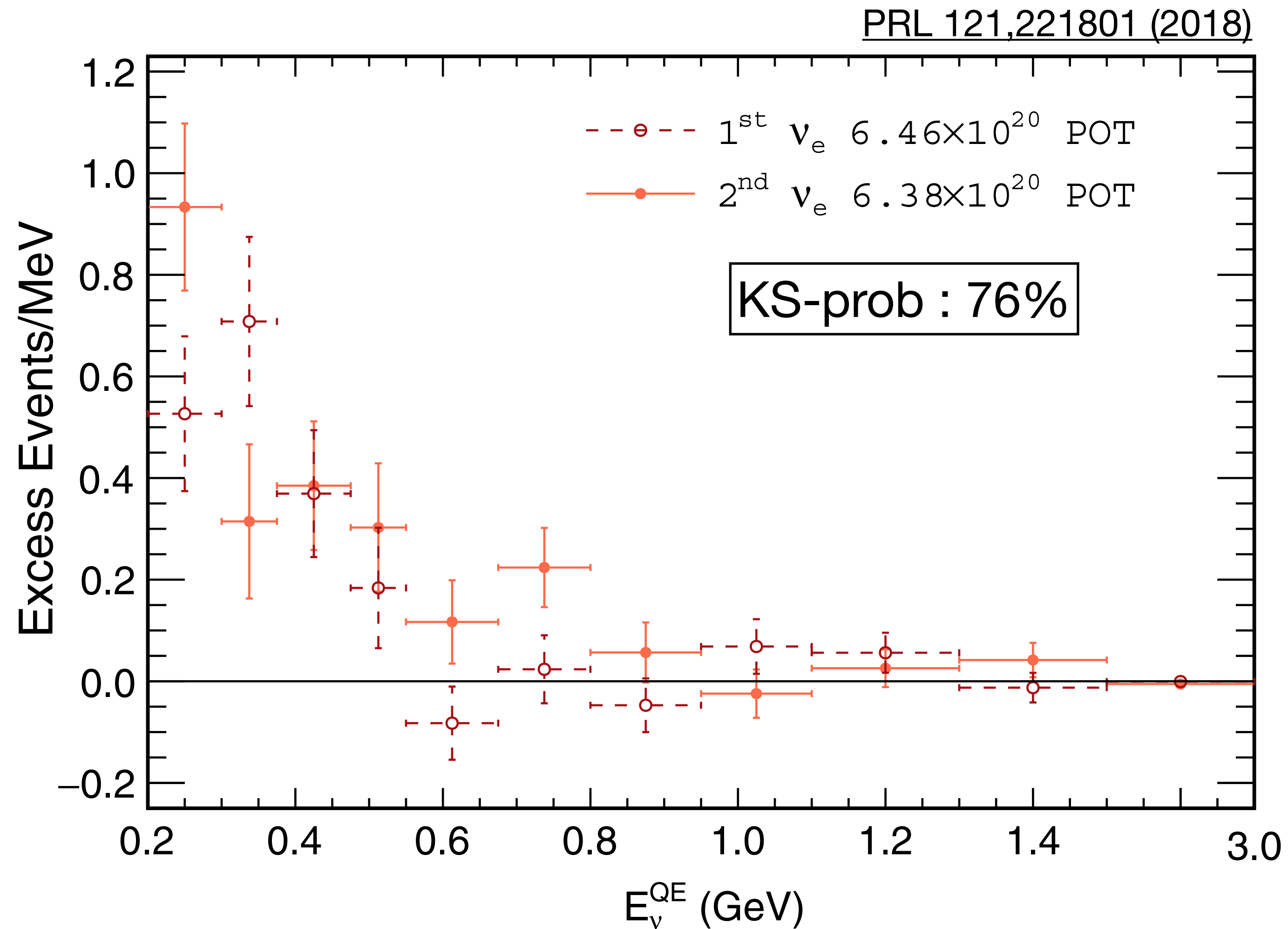
ν_e from μ decay

is constrained by *in situ* ν_μ CCQE measurement

ν_e from K decay

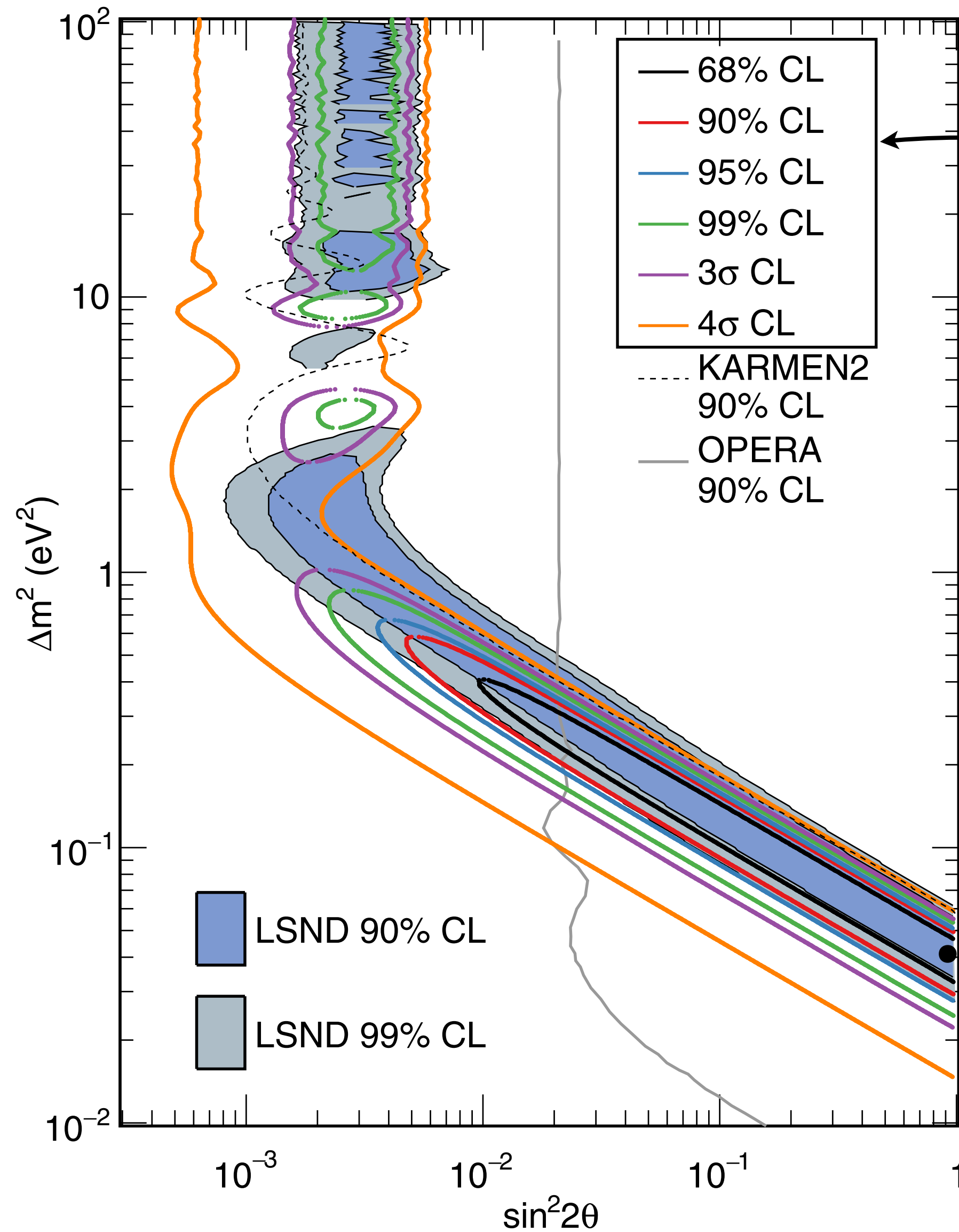
constrained from *in situ* high energy events + SciBooNE high energy ν_μ event rate

ν_e -Like Excess consistency



- Comparing the data-prediction excess for two data sets in neutrino mode
- Comparable statistics between:
 - 2009 data release in neutrino mode
 - 2018 data release in neutrino mode
- The observed excess remains well compatible between the two data sets

Preferred regions in a (3+1) ν interpretation

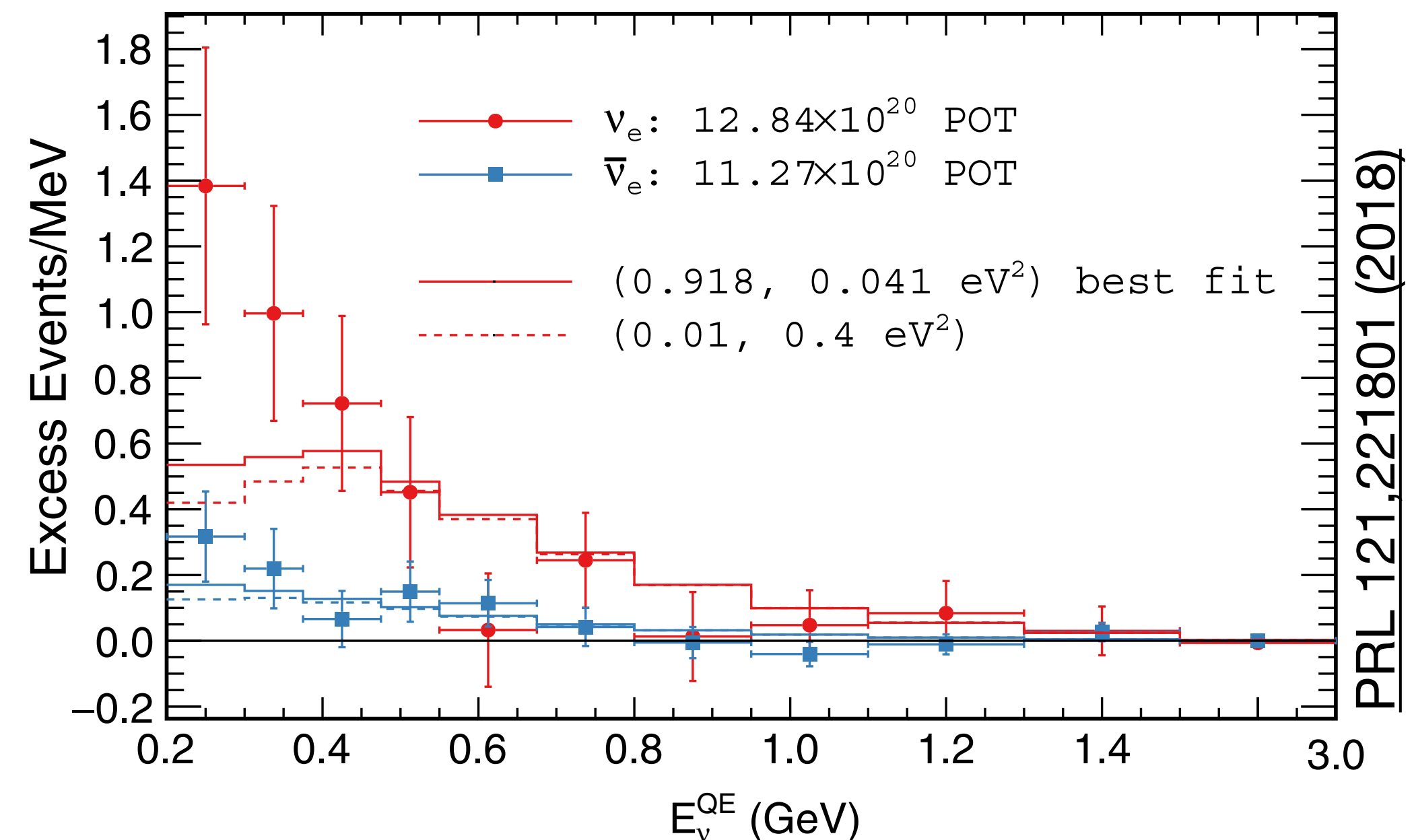


Neutrino + Anti-Neutrino Mode

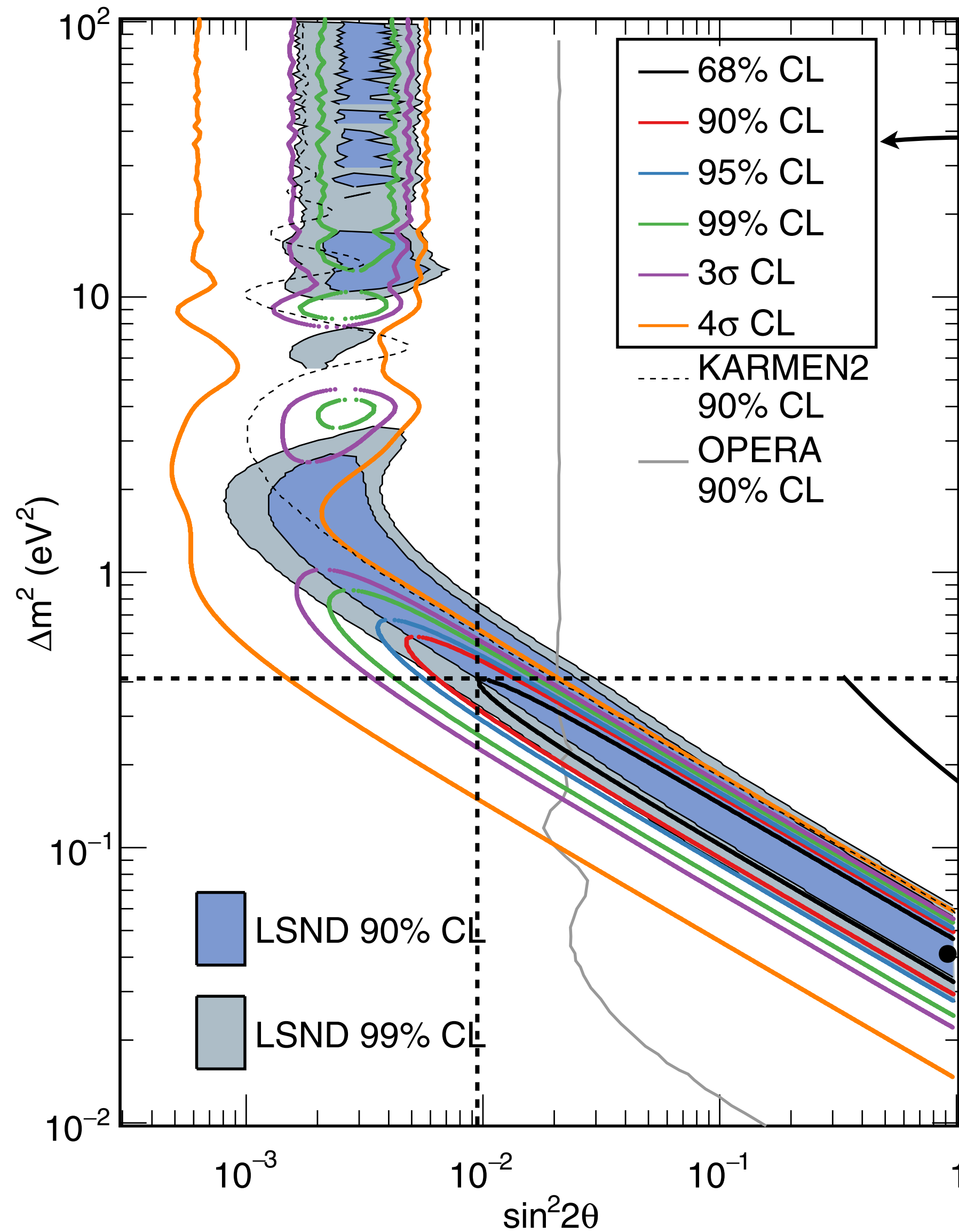
$$(\Delta m^2, \sin^2 2\theta) = (0.041 \text{ eV}^2, 0.918)$$

$$\chi^2/ndf = 19.4/15.6 \text{ (prob} = 21.1\%)$$

- Neutrino mode excess 4.5 σ ,
- Neutrino+Anti-neutrino modes excess 4.8 σ
- Combined LSND and MiniBooNE significance of 6.1 σ
- Similar agreement of neutrino and anti-neutrino data to (3+1) ν fit



Preferred regions in a (3+1) ν interpretation

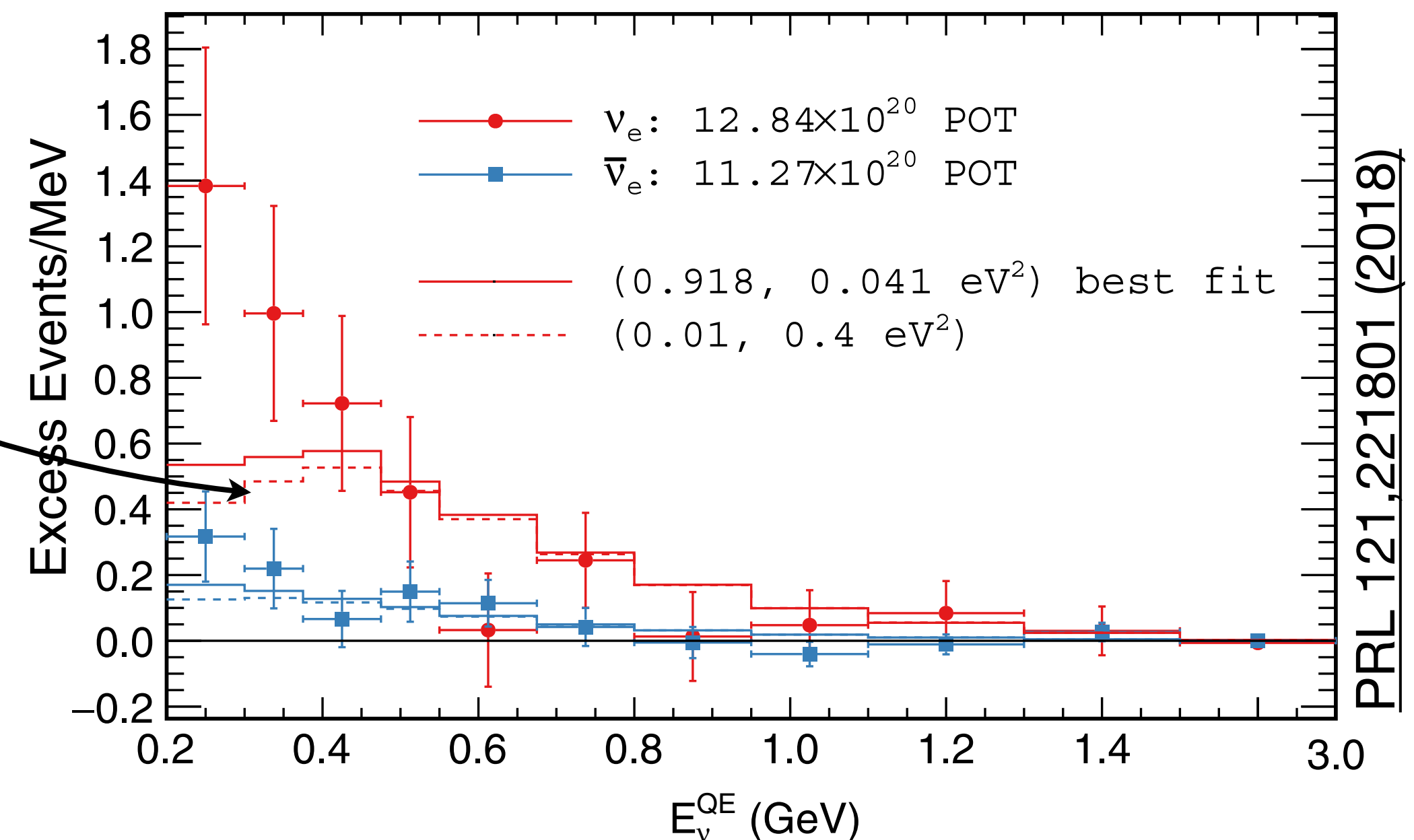


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MiniBooNE : take away

- MiniBooNE observes a low energy ν_e -like excess with a significance of 4.8σ , compatible with the LSND excess
- Combines MiniBooNE+LSND significance of 6.1σ
- Not a perfect fit to a $(3+1)\nu$ model (large best fit value of $\sin^2 2\theta$ seems unphysical)
- Very stable detector over 15 years, well constrained backgrounds, from *in situ* measurements
- Excess seems real, needs a satisfactory explanation

MiniBooNE in the global picture

ν_e appearance, ν_e disappearance, and ν_μ disappearance are interlinked by these three probabilities :

$$P_{\nu_e \rightarrow \nu_e} = 1 - 4(1 - |U_{e4}|^2)|U_{e4}|^2 \sin^2(1.27 \Delta m_{41}^2 L/E)$$



$$P_{\nu_\mu \rightarrow \nu_e} = 4|U_{\mu 4}|^2 |U_{e4}|^2 \sin^2(1.27 \Delta m_{41}^2 L/E)$$



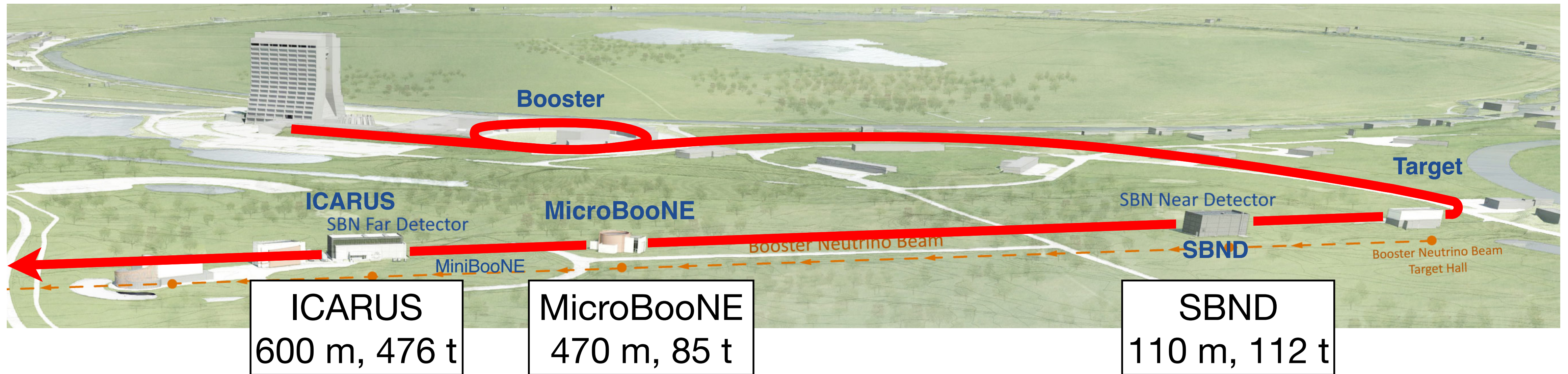
$$P_{\nu_\mu \rightarrow \nu_\mu} = 1 - 4(1 - |U_{\mu 4}|^2)|U_{\mu 4}|^2 \sin^2(1.27 \Delta m_{41}^2 L/E)$$



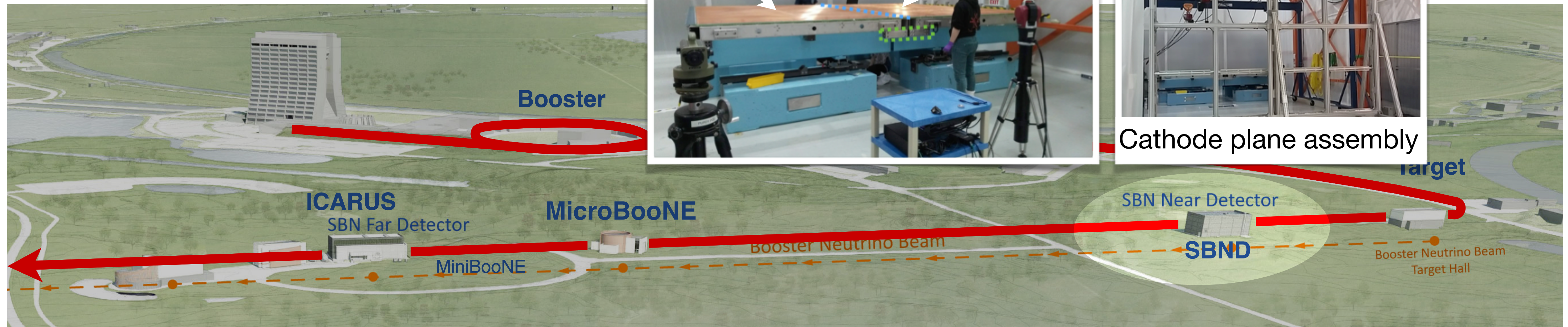
- We see signals in the ν_e appearance and disappearance, somewhat compatible, but not in the ν_μ disappearance
- The (3+1) model implies that we also see a signal in the ν_μ disappearance mode
- The (3+1) model alone seems insufficient
- Does MiniBooNE have a sterile signal + a systematics that could lead to a mis-estimation of the appearance excess?
- Are all appearance signals from backgrounds?

see [arXiv:1906.00045](https://arxiv.org/abs/1906.00045) [hep-ex] for more details

SBN program



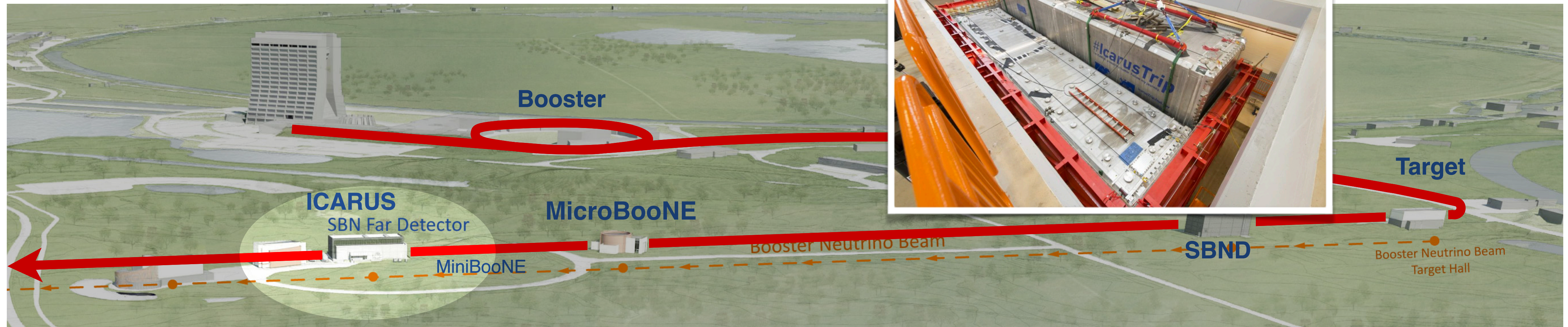
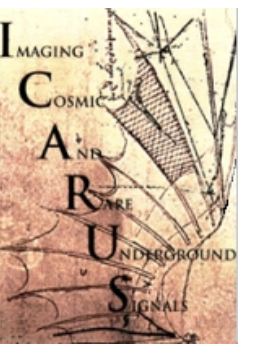
- Same beam line as MiniBooNE
- Three detectors on axis
- Same technology : Liquid Argon Time Projection Chamber (LArTPC)
=> Flux and cross-section systematics constraint, some detector systematics.
- High precision flux measurement
- High precision oscillation measurement



- Production of TPC components in UK and US complete
- Successful alignment, coupling, and QC of first two anode planes
- TPC assembly transport plane under construction at FNAL
- Cryogenics platform, valve box, and proximity cryogenics installation completing this month
- Warm cryostat construction at CERN underway

See the talk from [Stephen Robert Dennis](#) on Friday

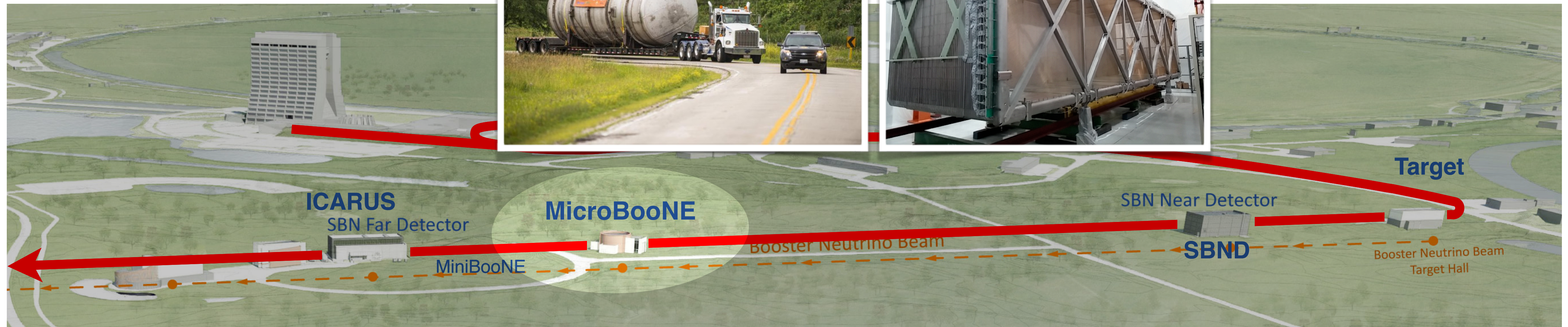
ICARUS



- Previously at Gran Sasso, shown feasibility of large scale LArTPC
- Significant upgrades and refurbishment at CERN in 2015-2017
- New Cosmic Ray Tagger
- Transported to FNAL, arrived in August 2017, installation ongoing
- Commissioning will start this fall and expect first neutrino data within a year

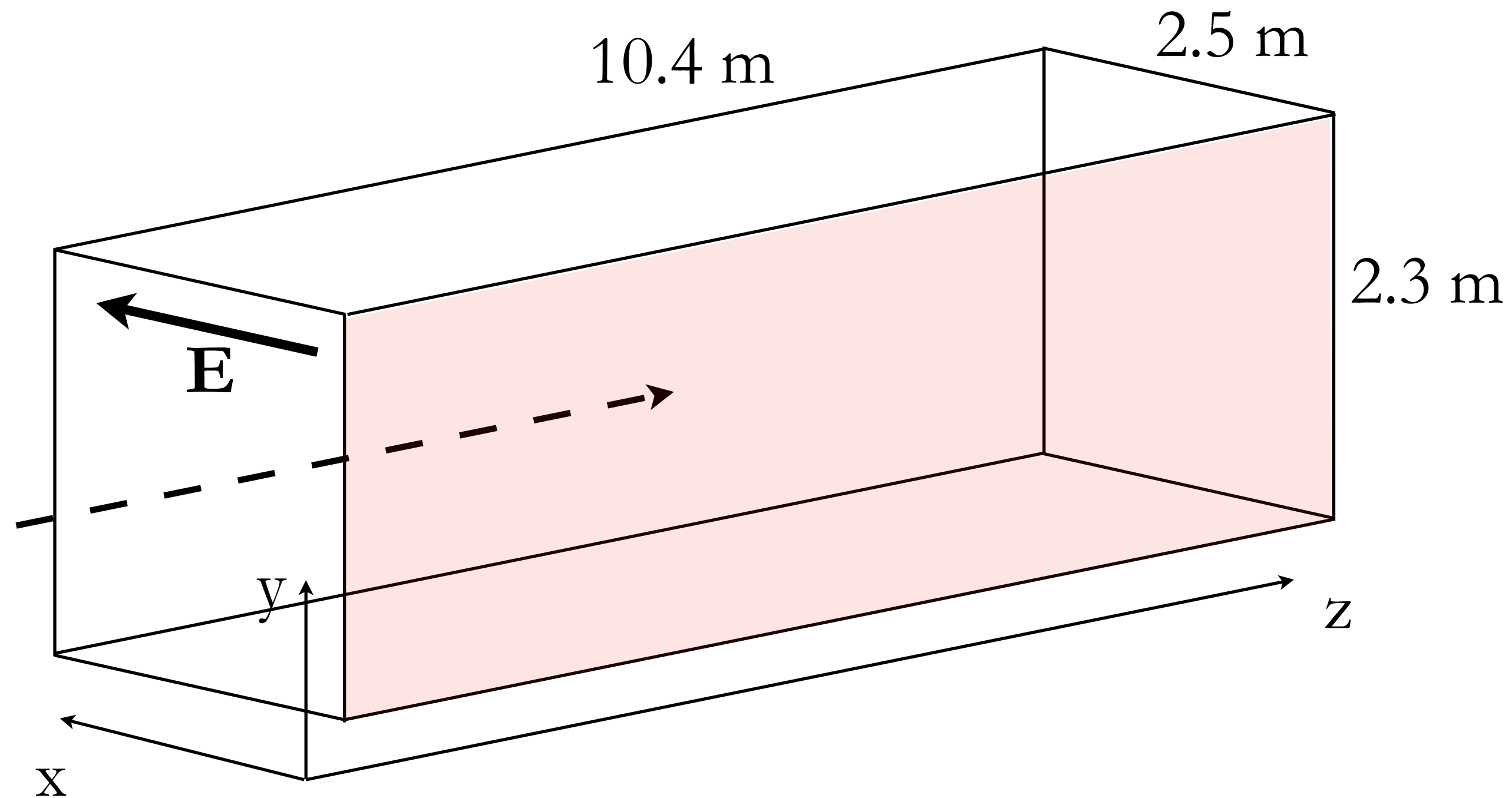
See the talk from [Jaehoon Yu](#) on Friday

MicroBooNE

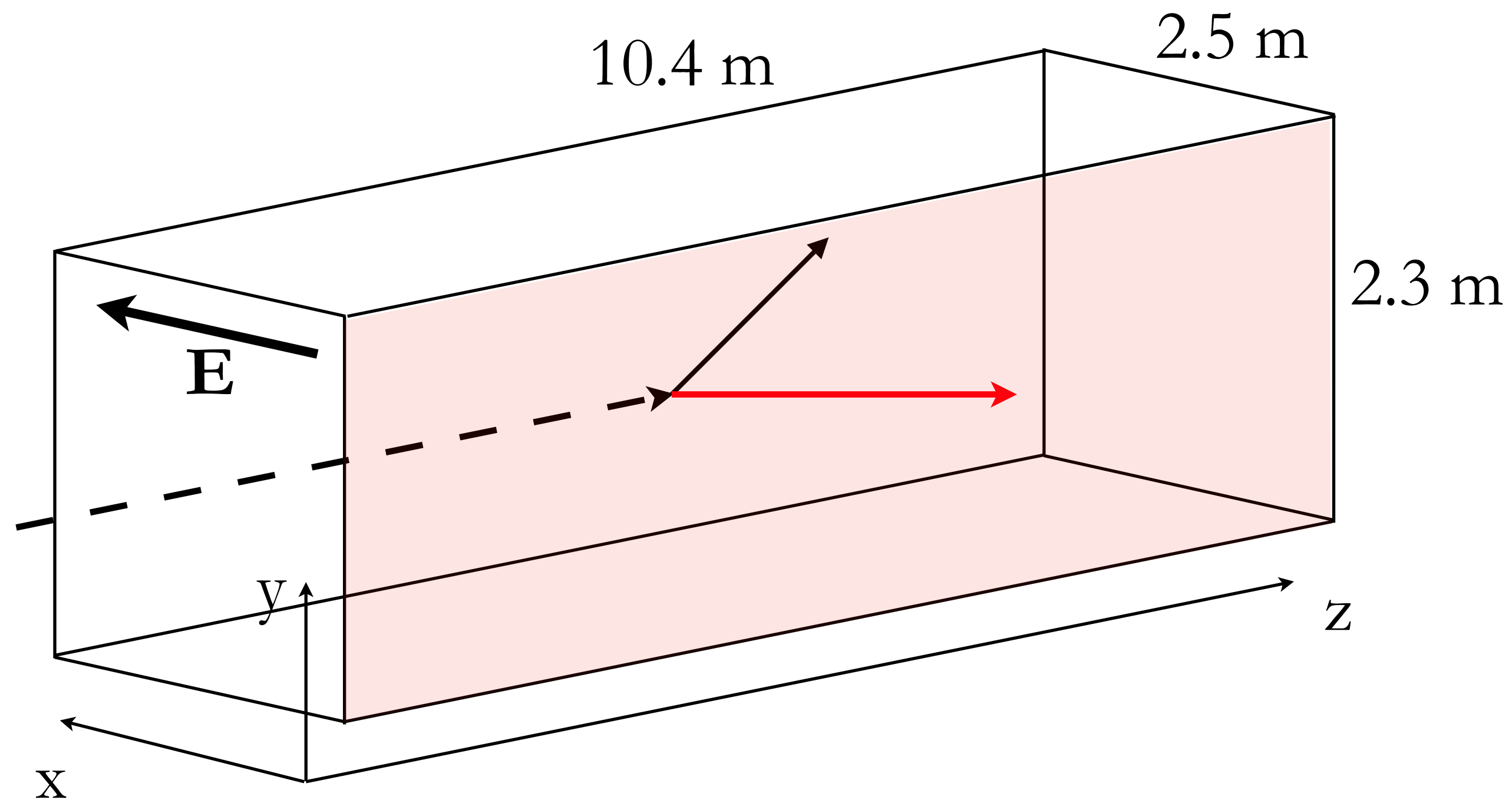


- Designed to investigate the e-like excess of MiniBooNE and LSND
- LArTPC technology:
 - γ/e^- separation
 - Position and topology
 - low detection threshold
- Data taking since October 2015 : longest running LArTPC
- Smooth operation with 96% detector & DAQ uptime
- $13.4 \cdot 10^{20}$ POT on tape to date
- Surface operation : Cosmic Ray Tagger used to understand/reduce cosmic background (1/2 of data-taking period)

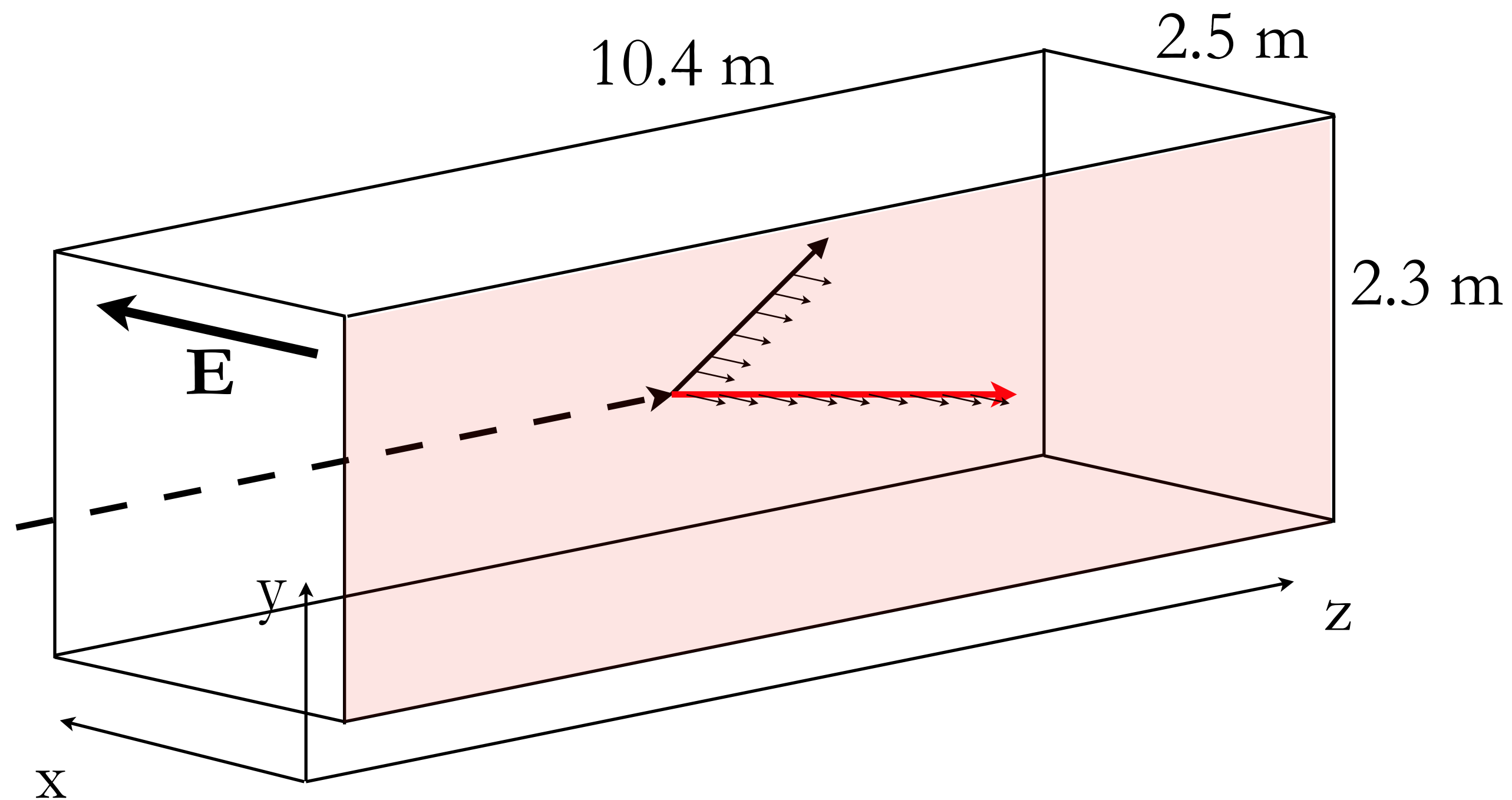
LArTPC Working Principle



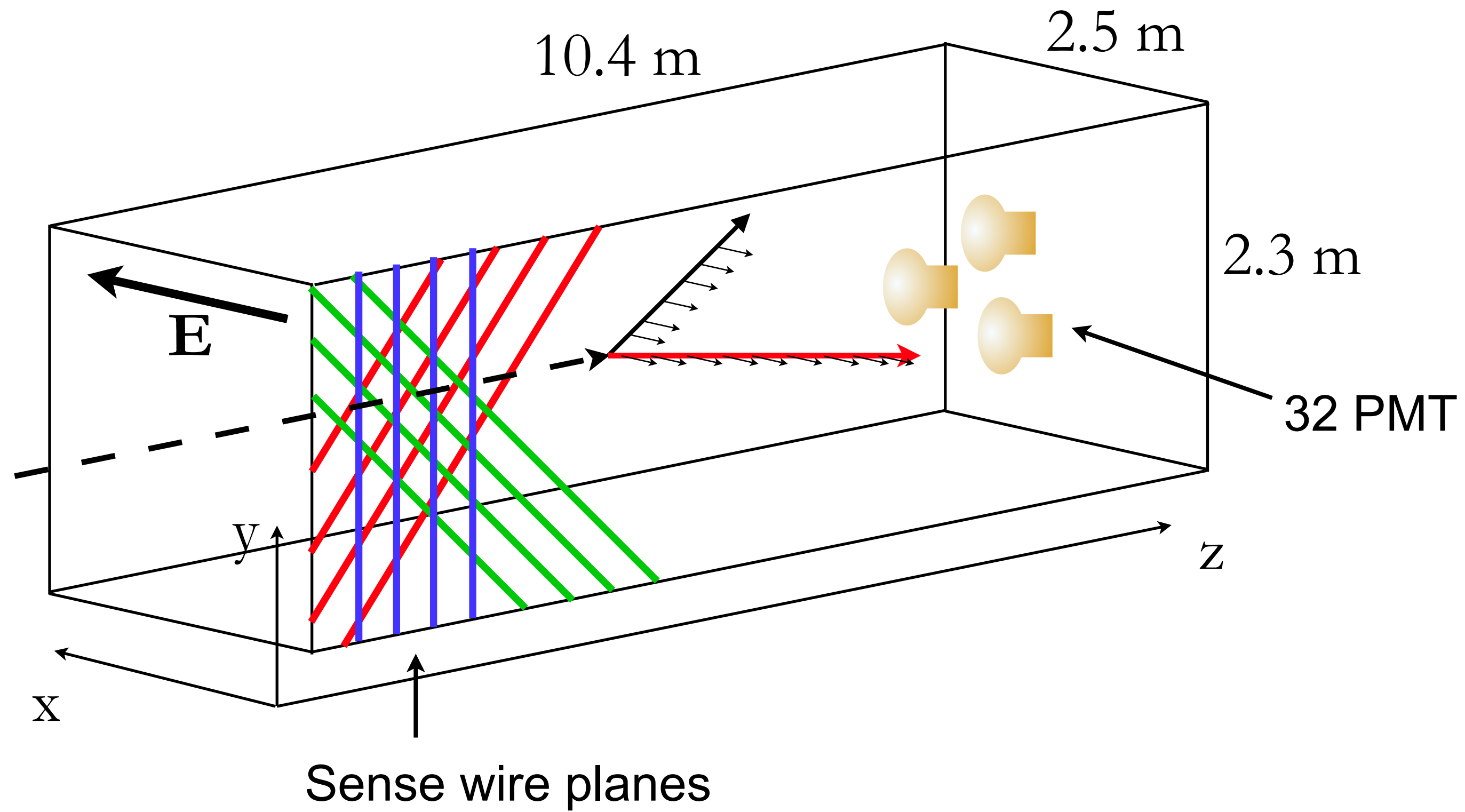
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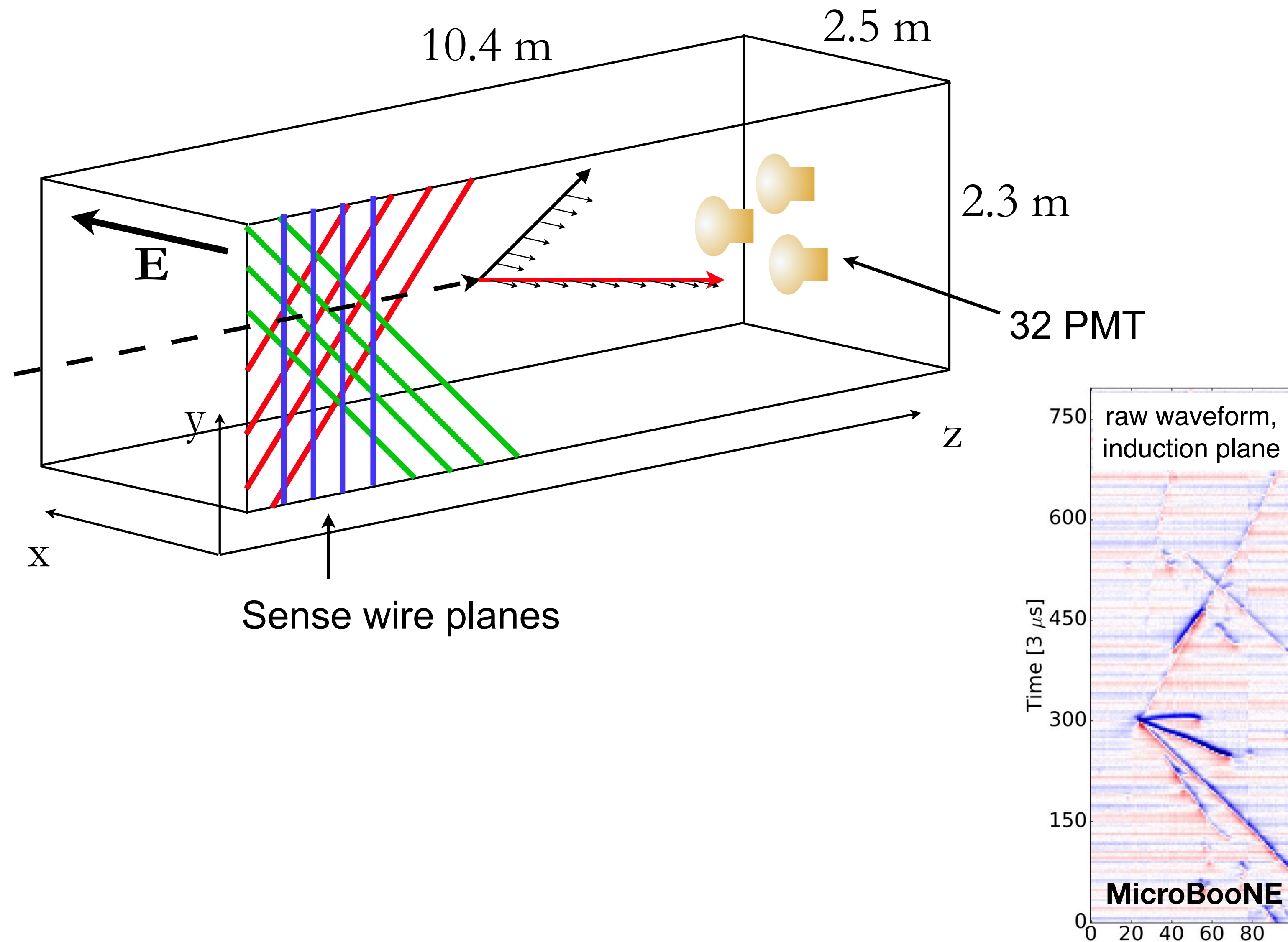
LArTPC Working Principle



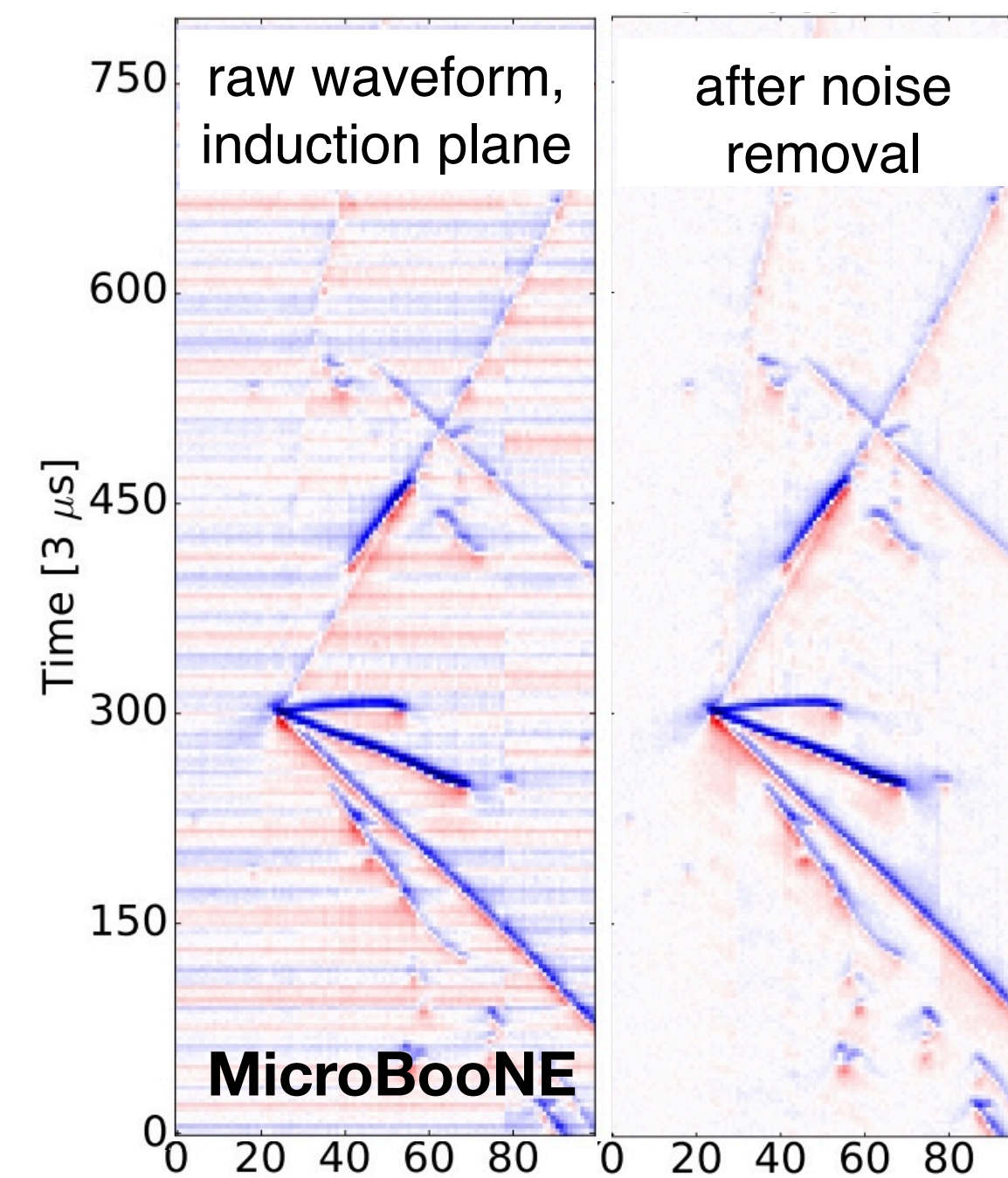
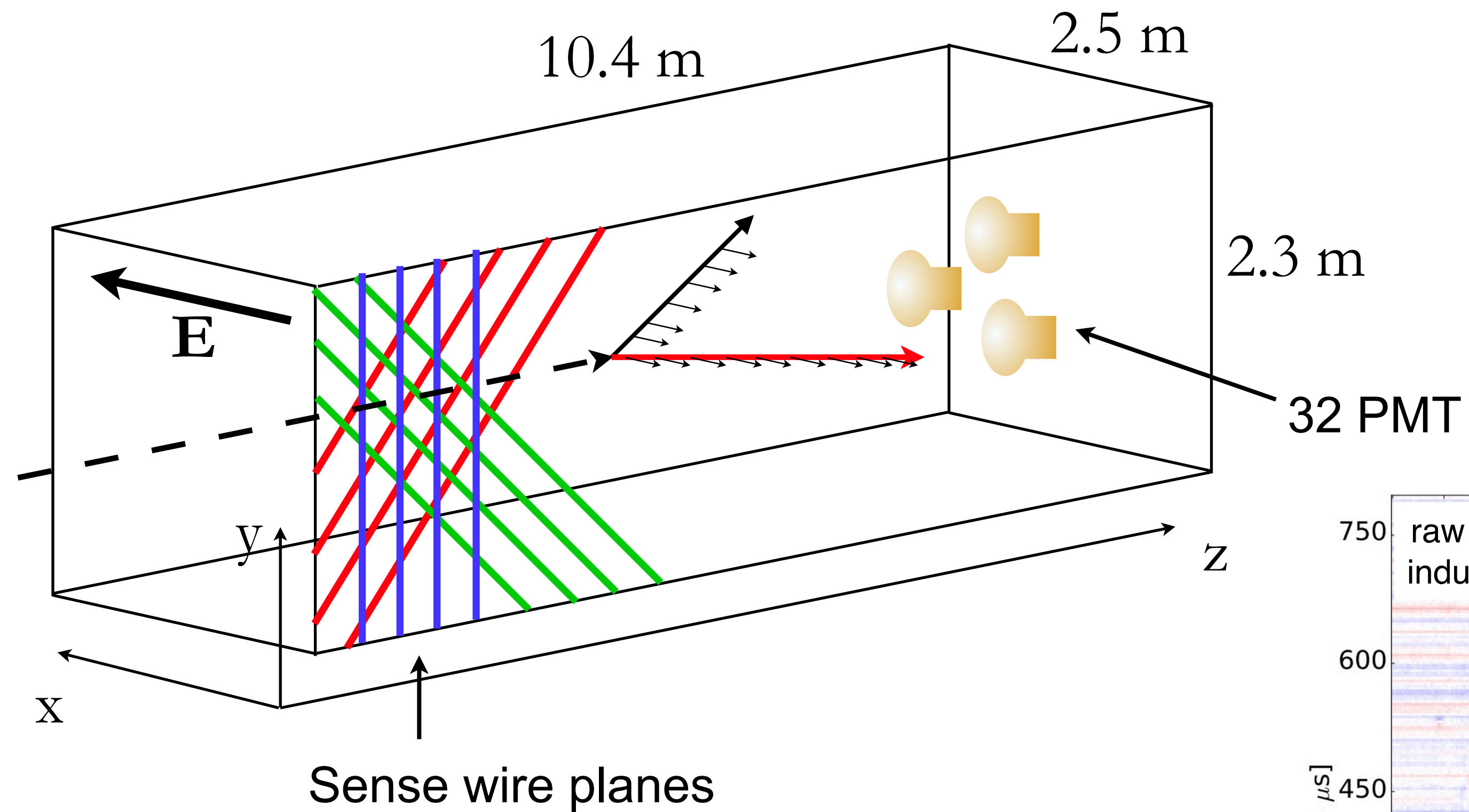
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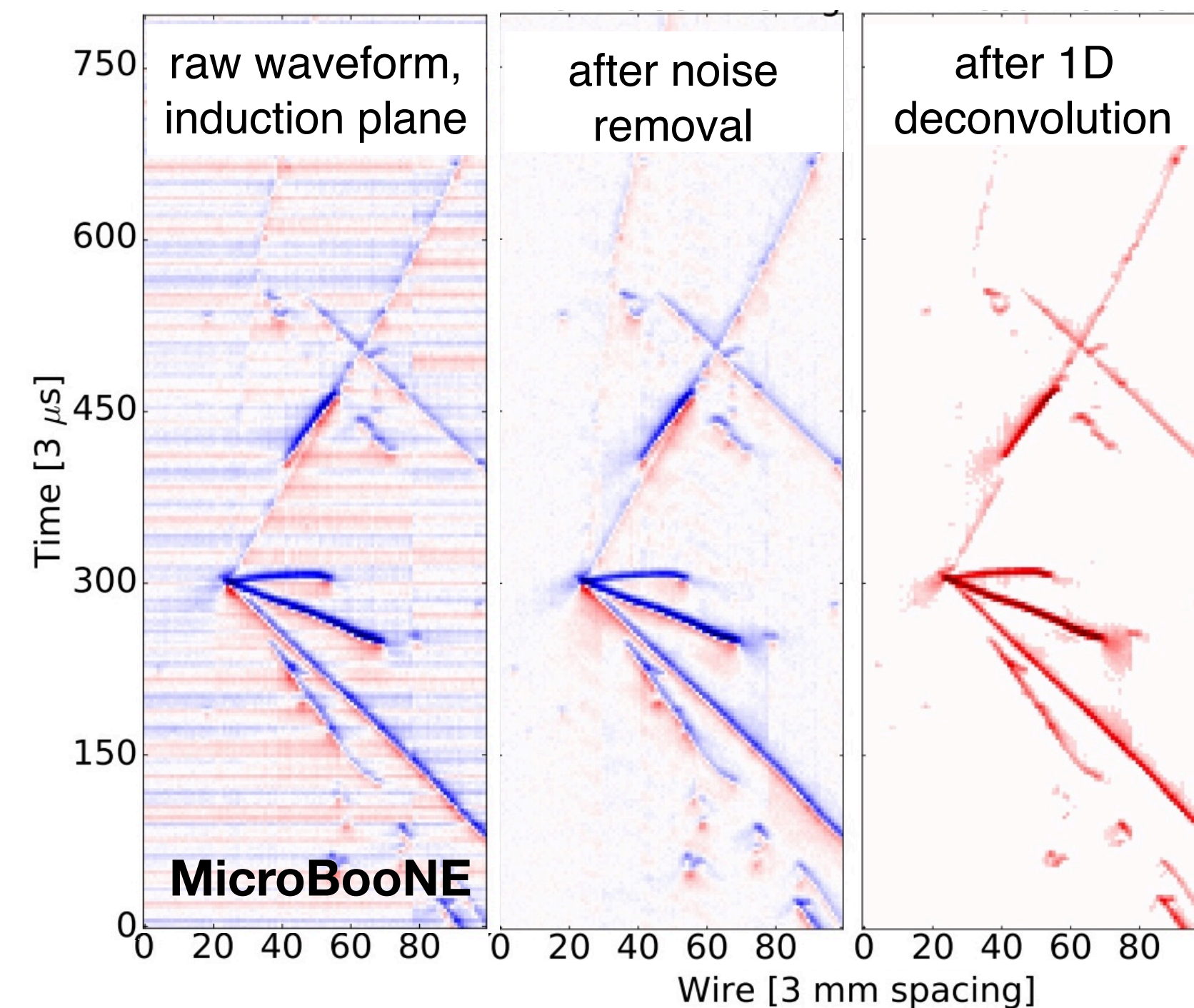
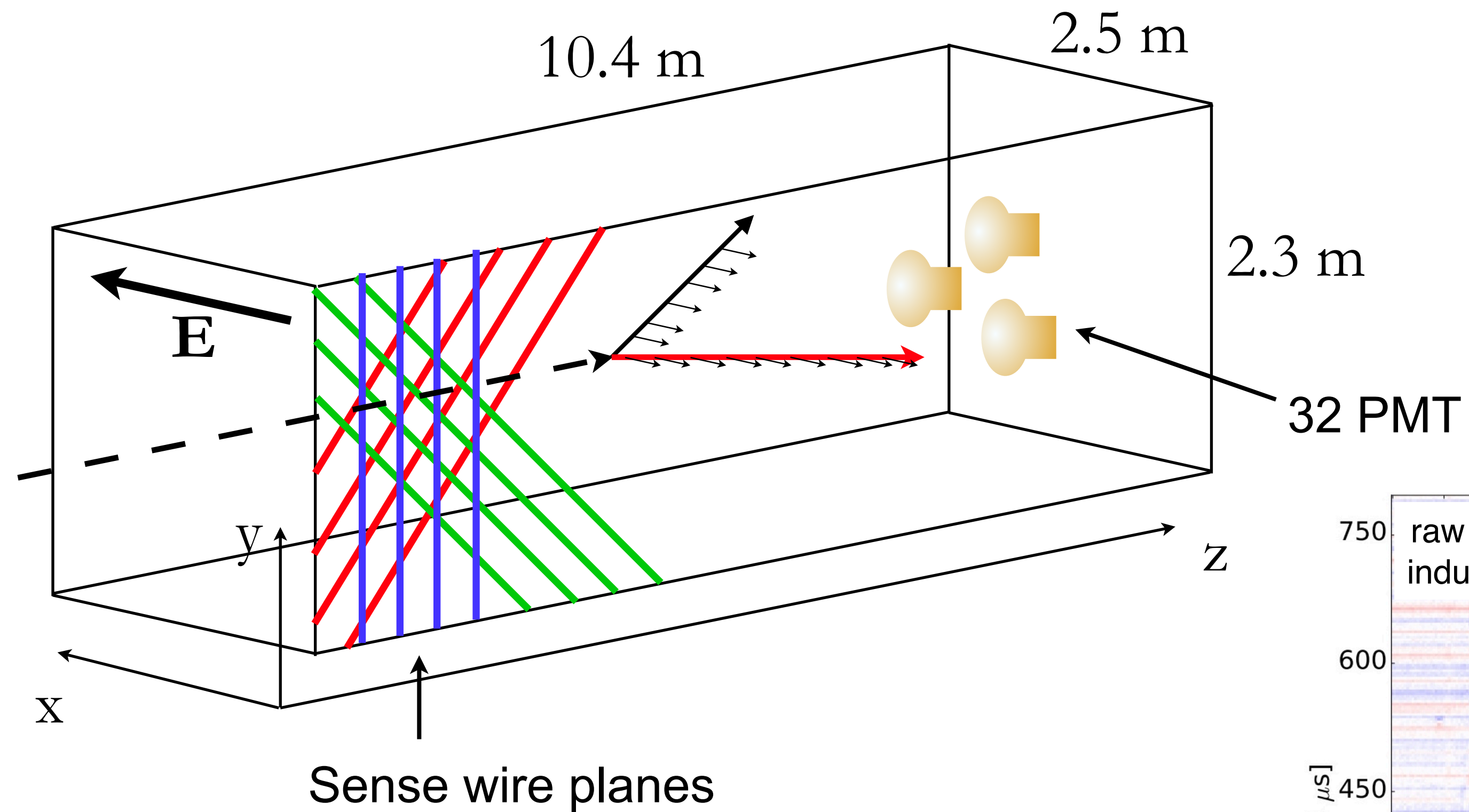
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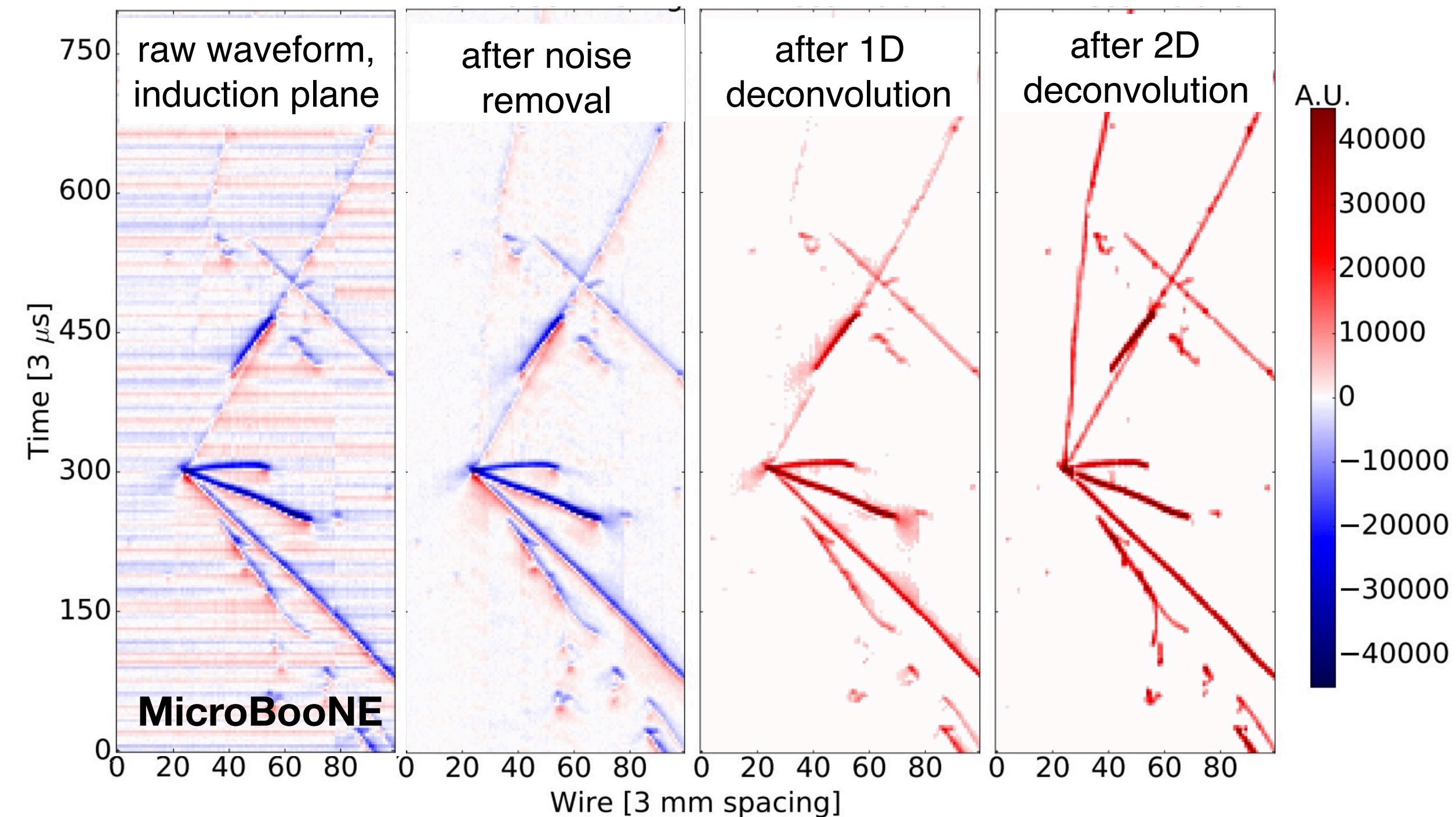
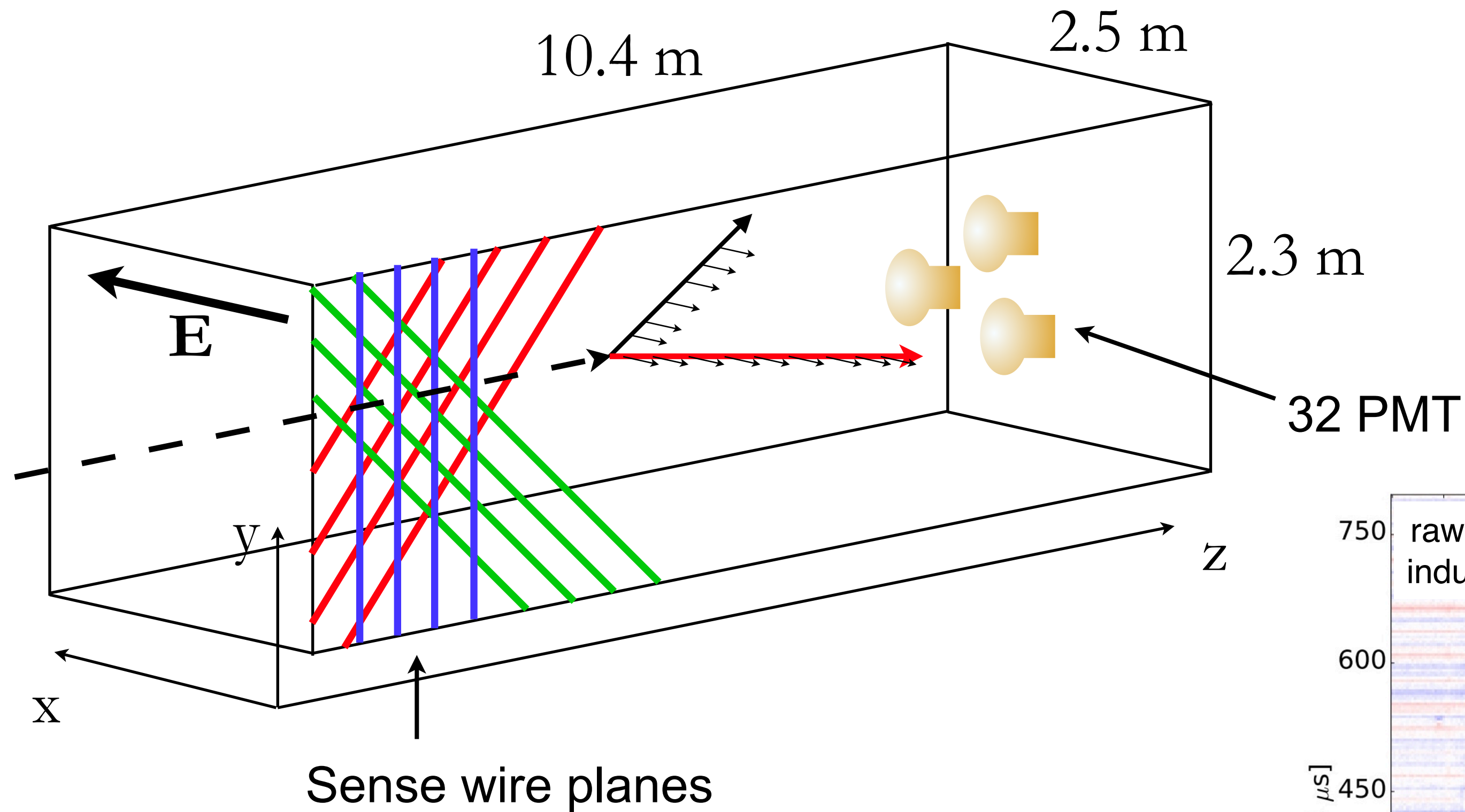
LArTPC Working Principle



LArTPC Working Principle



LArTPC Working Principle

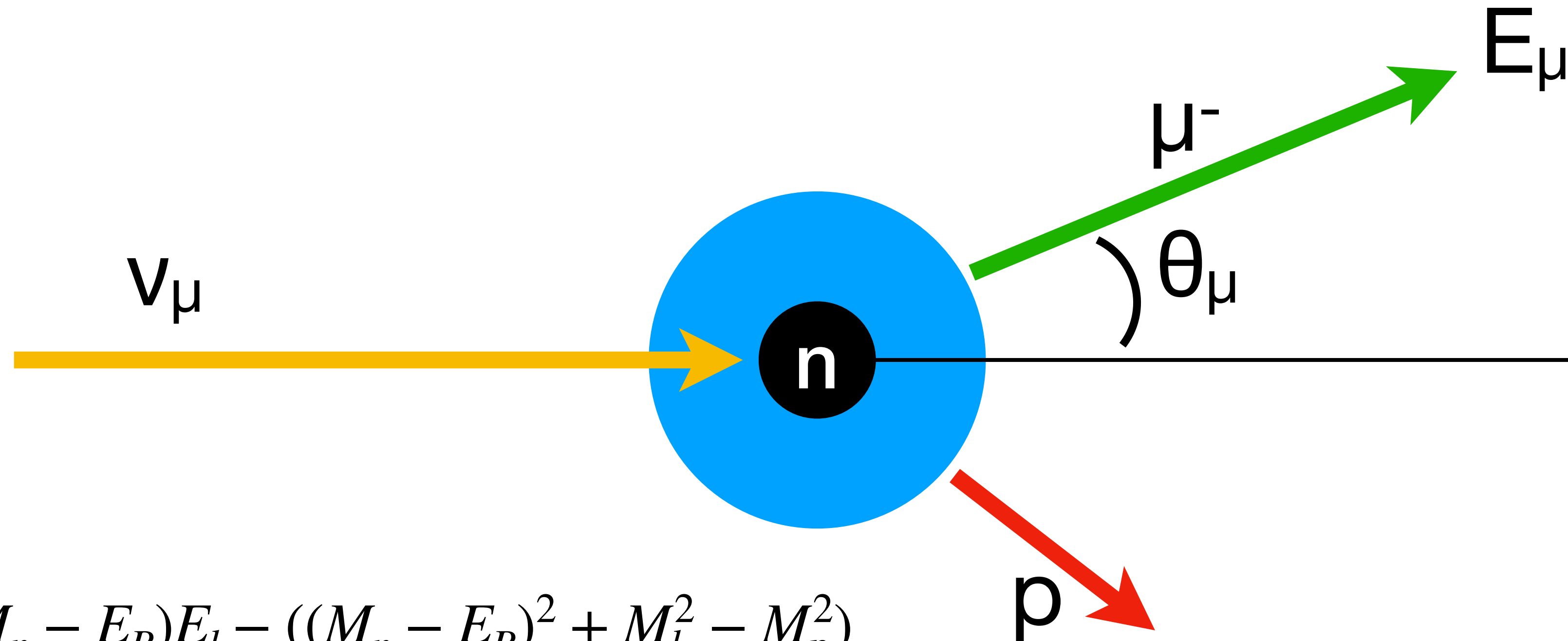


"Noise Characterization and Filtering in the MicroBooNE Liquid Argon TPC",
JINST 12, P08003 (2017)

"Ionization Electron Signal Processing in Single Phase LArTPCs" Parts I & II,
JINST 13, P07006 (2018) & JINST 13, P07007 (2018)

LArTPC Energy Reconstruction

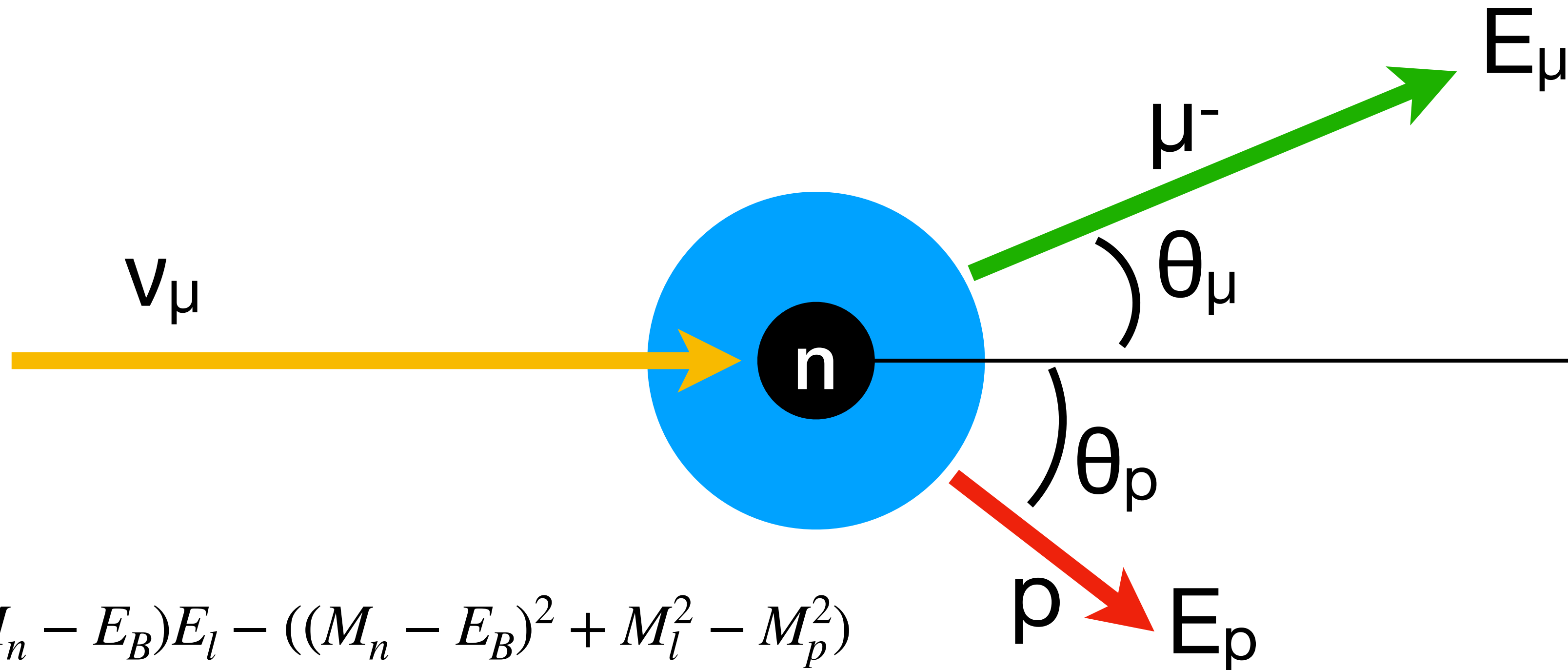
- Calorimetric reconstruction => charge clustered in each track
- Access kinematics of all the particles above O(10 MeV)
- Assumes CCQE interaction on a nucleon at rest, accounts for nuclear binding energy



$$E_\nu^{QE}[l] = \frac{2(M_n - E_B)E_l - ((M_n - E_B)^2 + M_l^2 - M_p^2)}{2((M_n - E_B) - E_l + p_l \cos \theta_l)}$$

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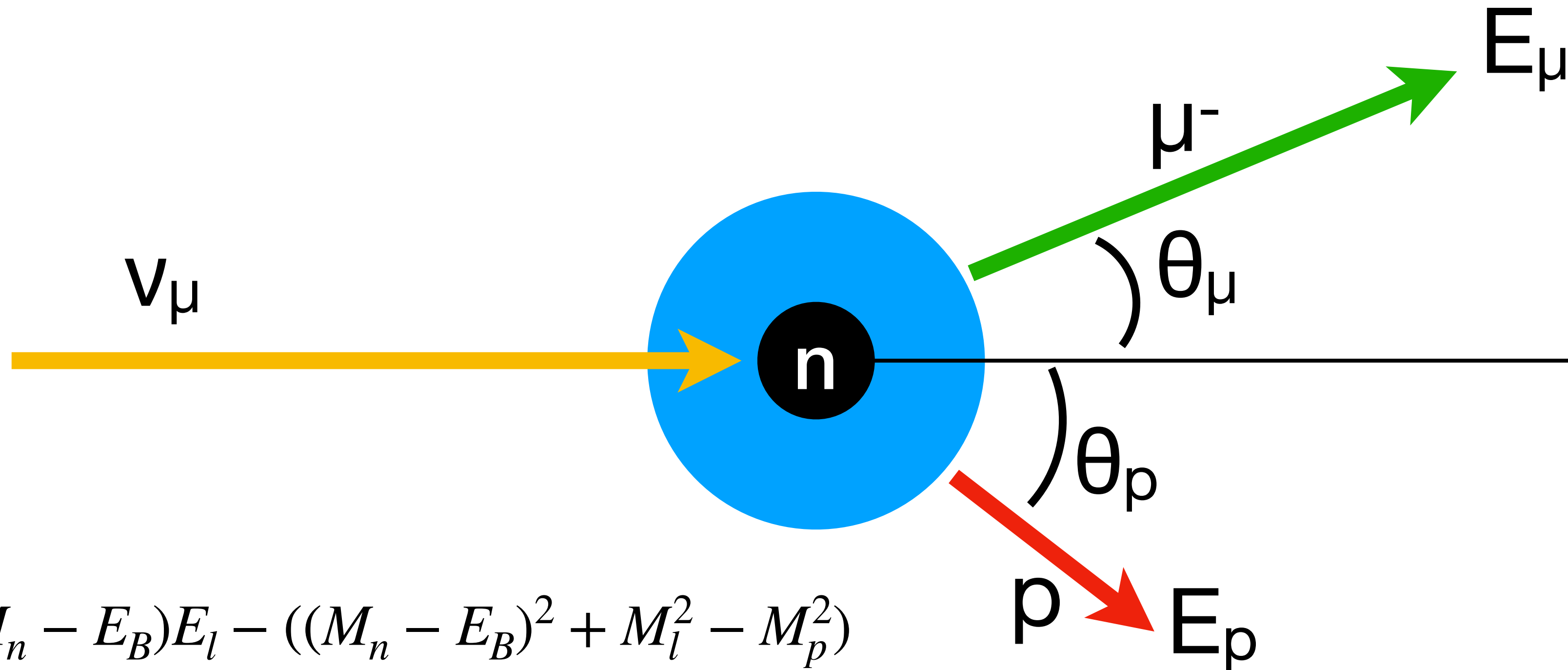


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$$E_\nu^{QE}[p] = \frac{2(M_n - E_B)E_p - ((M_n - E_B)^2 + M_p^2 - M_l^2)}{2((M_n - E_B) - E_p + p_p \cos \theta_p)}$$

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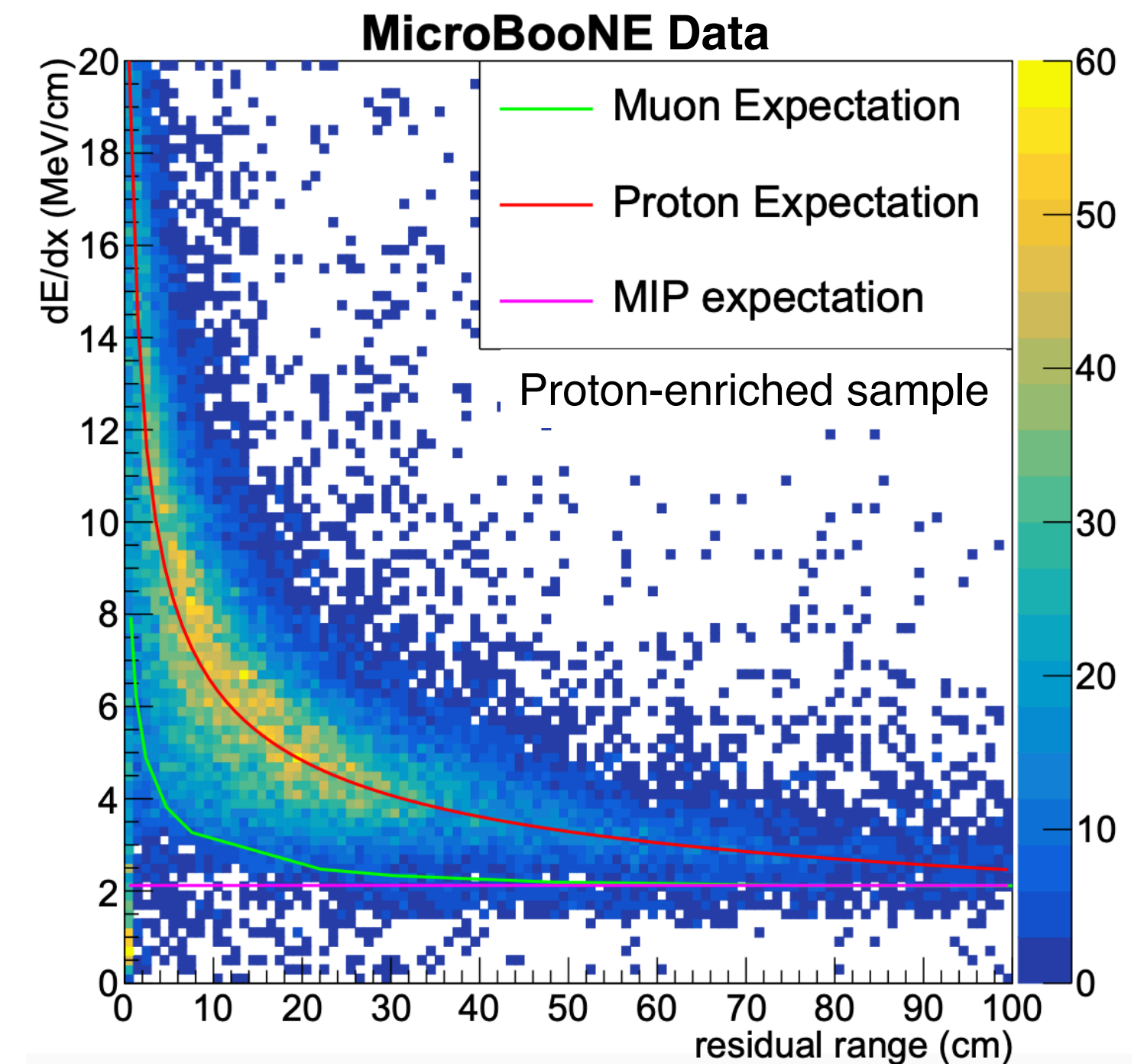
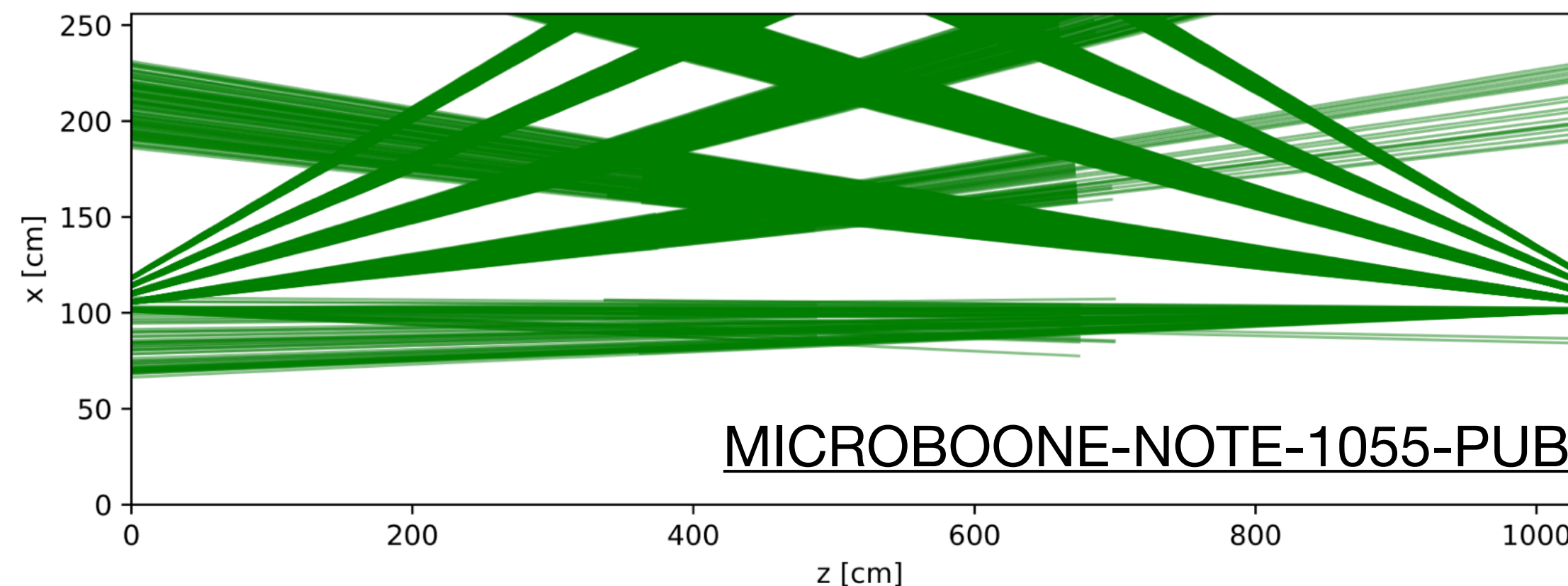
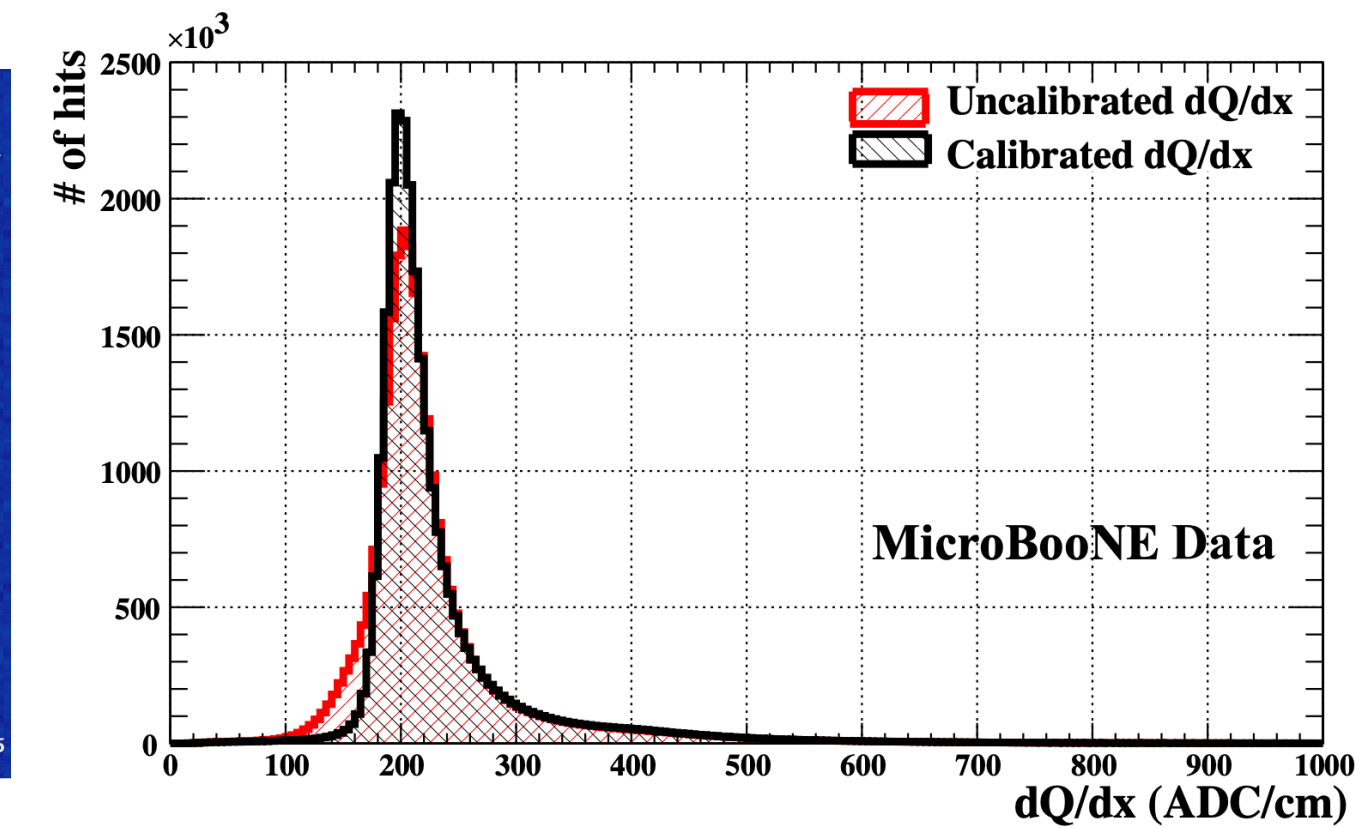
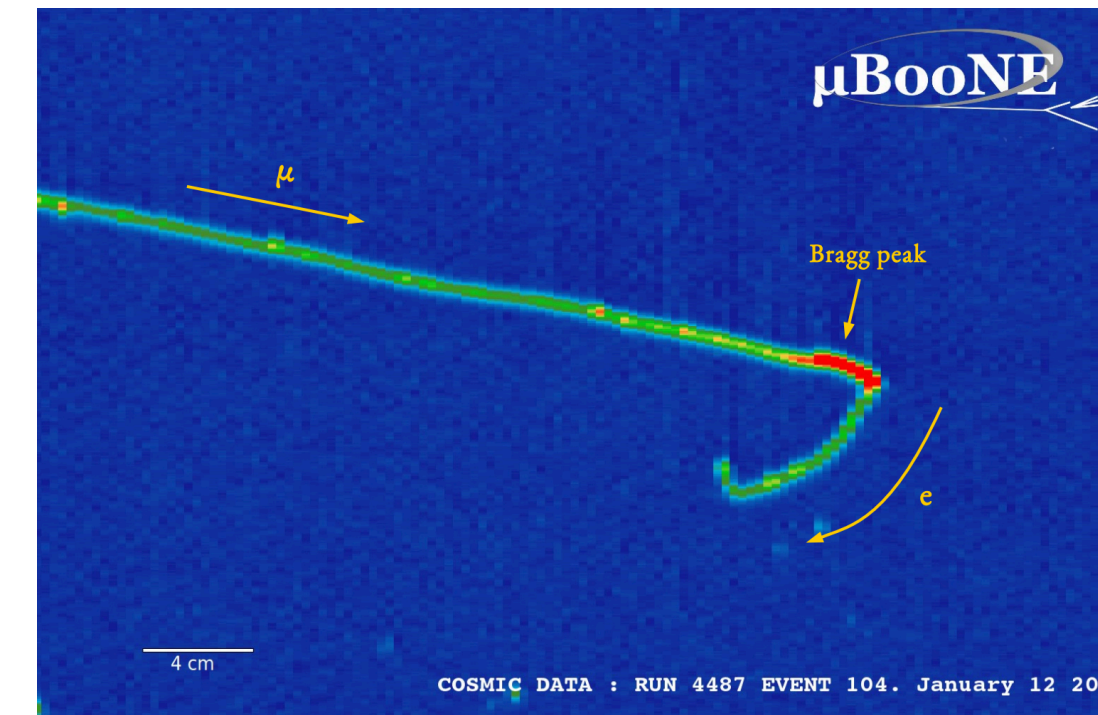
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$$E_\nu = KE_l + KE_p + M_l + M_p - M_n + B$$

Studying Detector Physics

arXiv:1907.11736 [physics.ins-det]

- Major calibration campaign completed
- Use through-going muons and stopping muons as standard candles
 - uniformity in position and time
 - ADC to e-/cm calibration
 - E field distortions due to charge accumulation
- Use protons to correct for recombination
 - e-/cm to MeV/cm calibration
- Use UV-laser runs
 - E field distortions due to charge accumulation



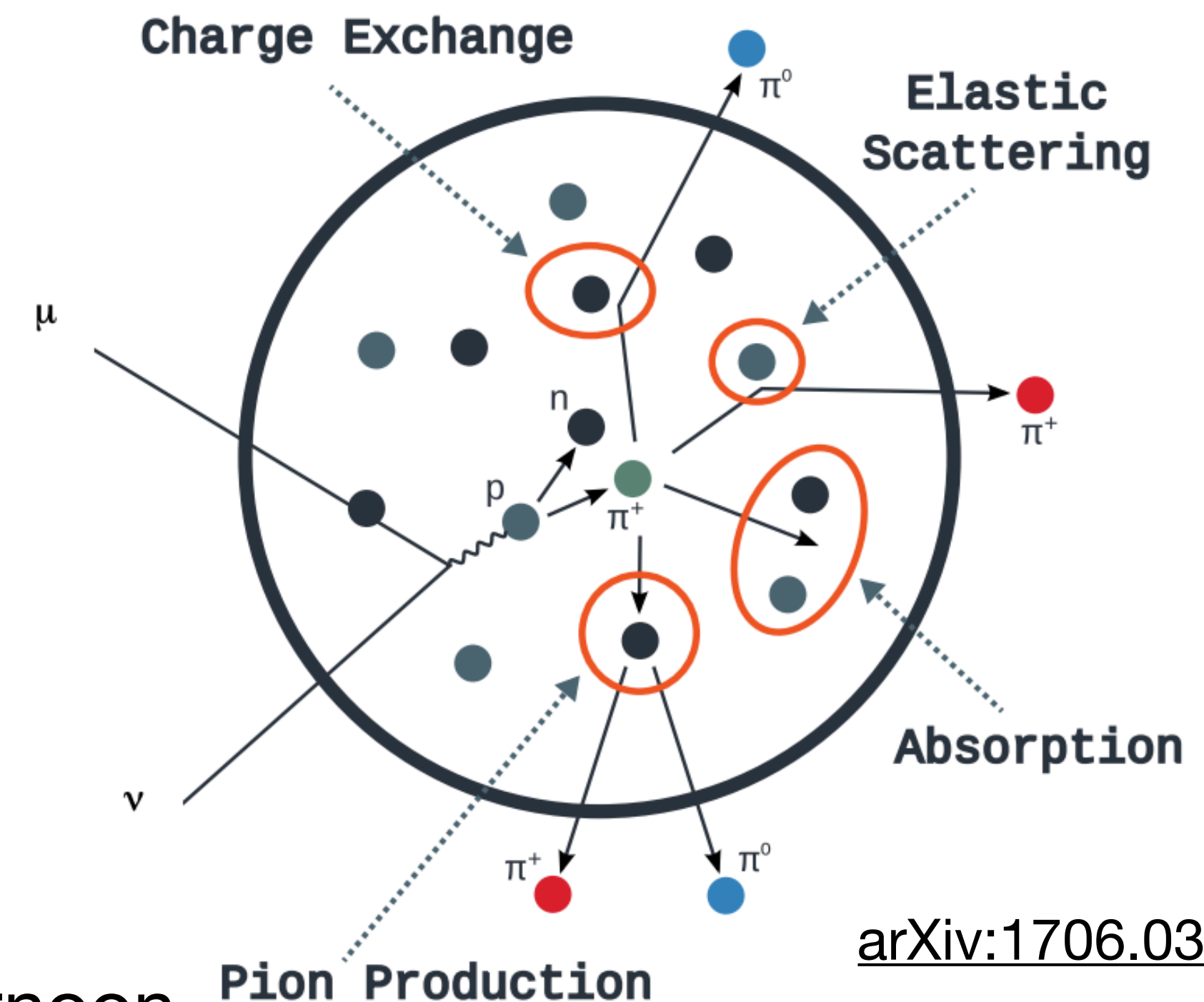
Studying Neutrino Interactions

BNB:

- ν_μ CC inclusive
[arXiv:1905.09694\[hep-ex\]](#), accepted to PRL
- ν_μ CC π^0
[PRD 99,091102\(R\) \(2019\)](#)
- Track multiplicity
[Eur. Phys. J. C \(2019\) 79: 248](#)
- ν_μ CCQE
[arXiv:1812.05679 \[physics.ins-det\]](#), accepted to EPJC
- NC elastic
[MICROBOONE-NOTE-1053-PUB](#)
- ν_μ CC Np, 2p
[MICROBOONE-NOTE-1056-PUB](#)
- CC $1\pi^+$
- CC coherent π
- CC K^\pm
- NC π^0
- ... and more

NuMI:

- ν_e CC inclusive
[MICROBOONE-NOTE-1054-PUB](#)
- ν_e CC 0π
- Kaon decay at rest (KDAR)



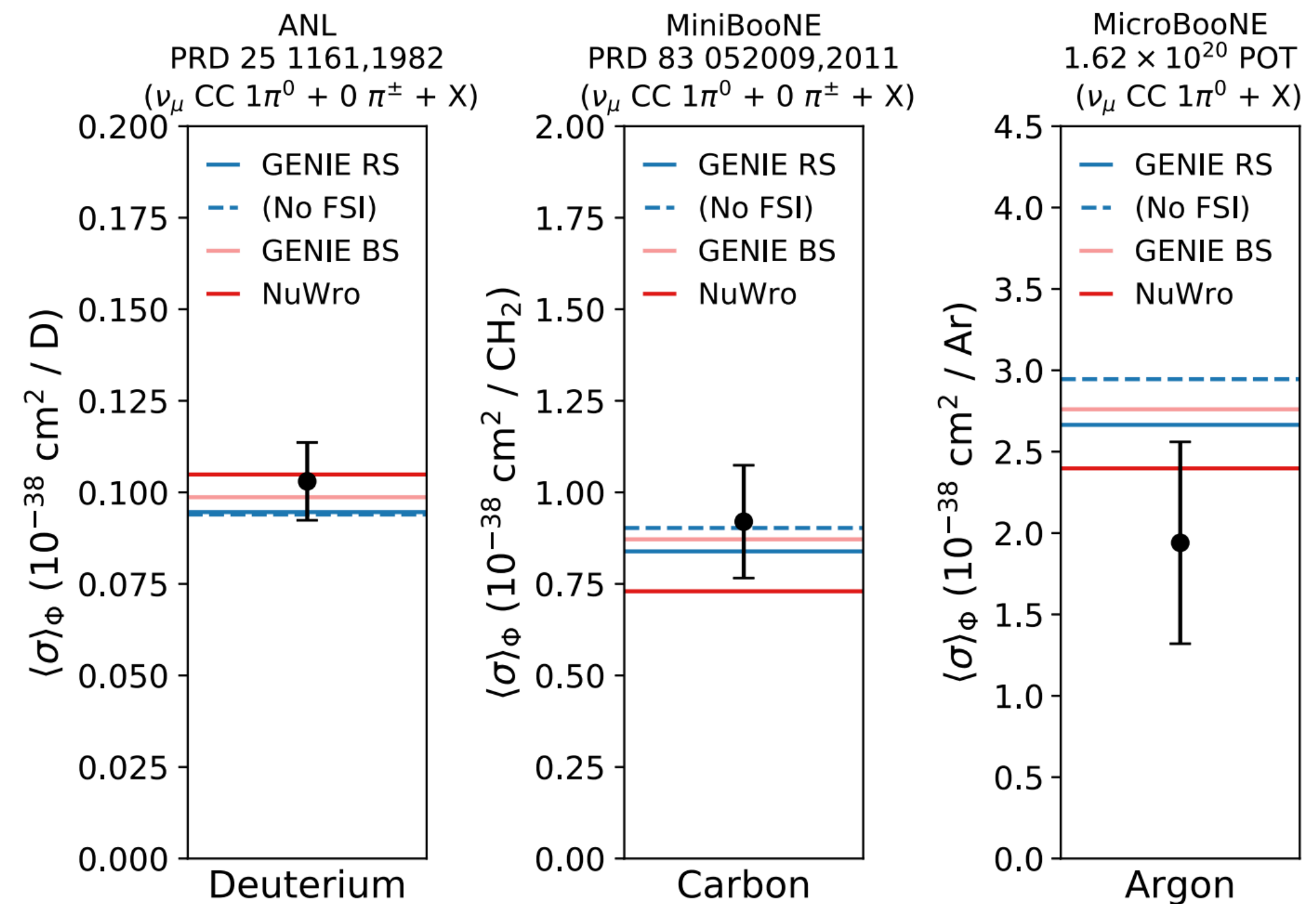
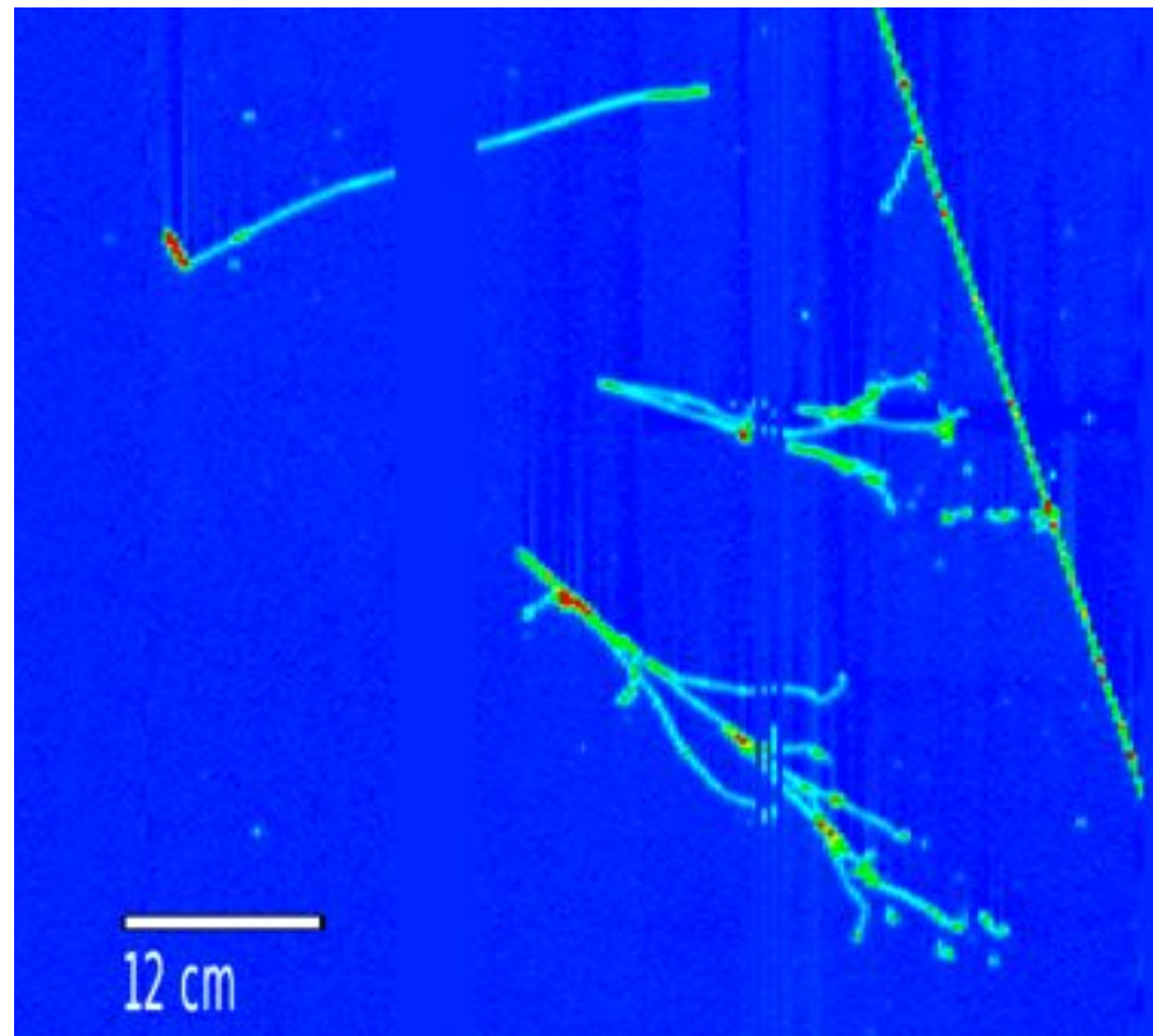
[arXiv:1706.03621 \[hep-ph\]](#)

See [Pip Hamilton's talk](#) this afternoon

Studying Neutrino Interactions : ν_μ CC π^0

- Exclusive measurements like this one allow us to study final state interactions
- Can compare to past measurements on deuterium and carbon
- Can evaluate accuracy of generators assuming different nuclear models
- First implementation of fully automated shower reconstruction to analyze LArTPC data

$$\langle \sigma(\nu_\mu + \text{Ar} \rightarrow \mu^- + 1 \pi^0 + X) \rangle_\Phi = 1.9 \pm 0.2 \text{ (stat.)} \pm 0.6 \text{ (syst.)} \times 10^{-38} \text{ cm}^2 / \text{Ar}$$

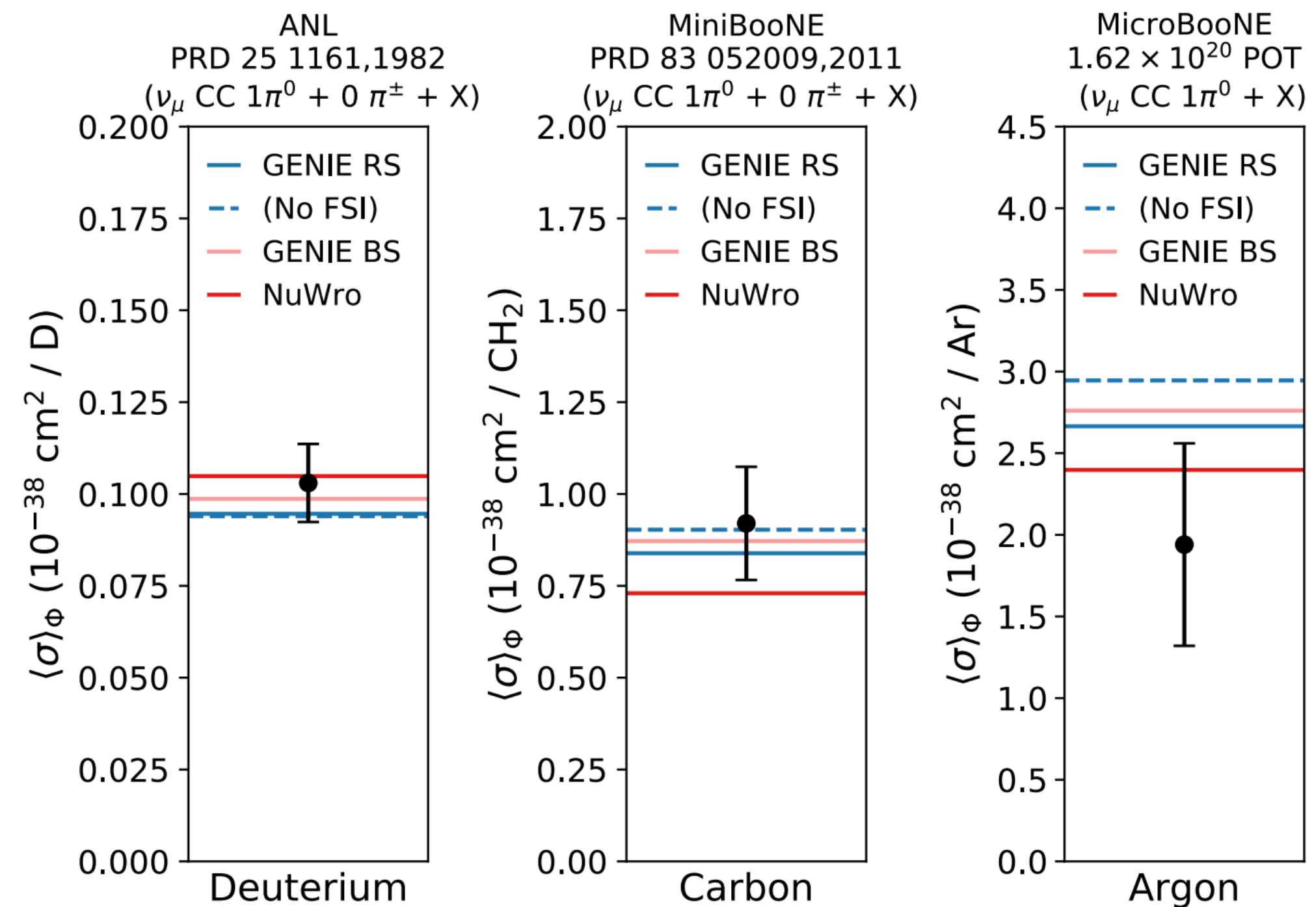
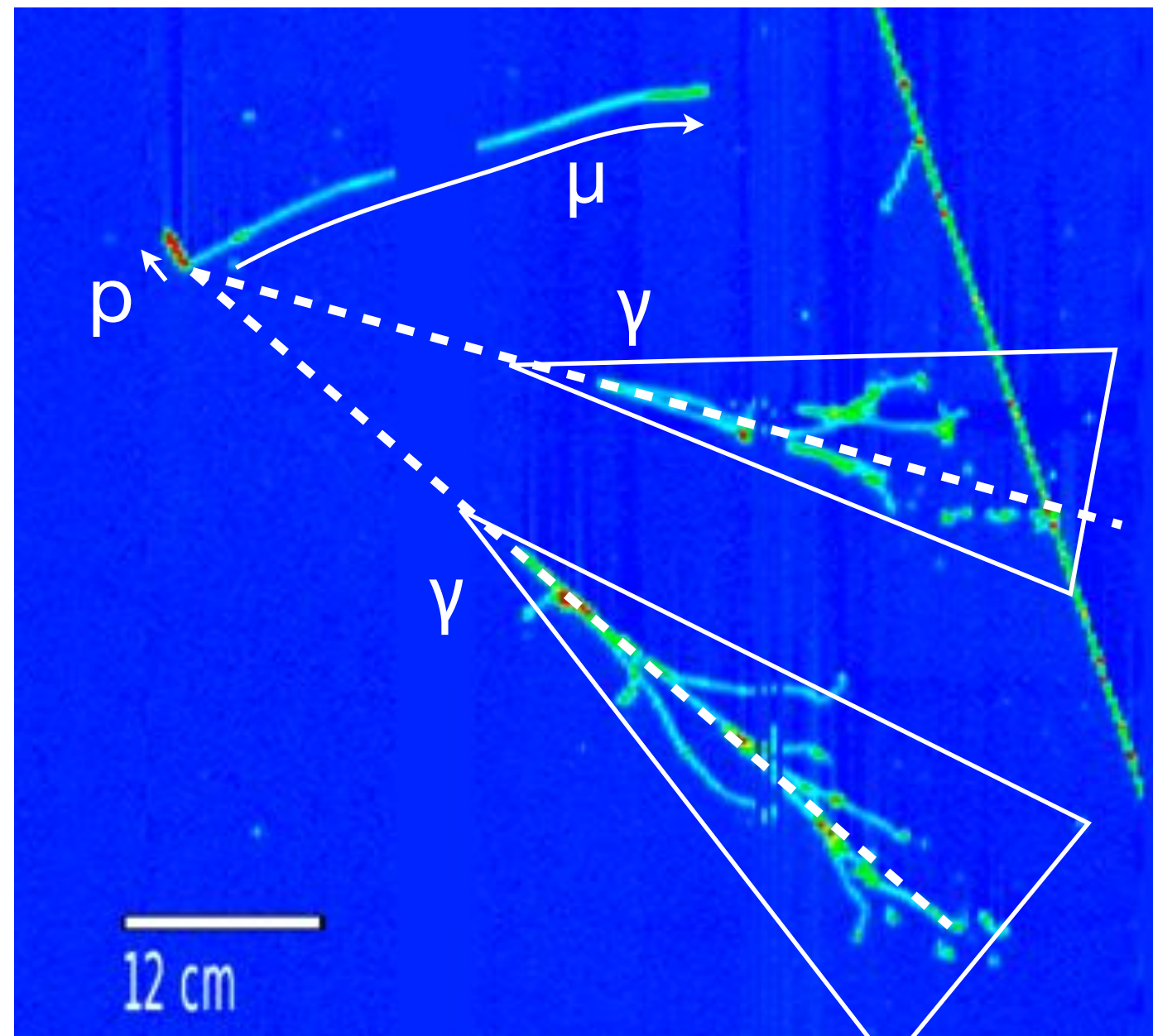


PRD 99, 091102(R) (2019)

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- First implementation of fully automated shower reconstruction to analyze LArTPC data

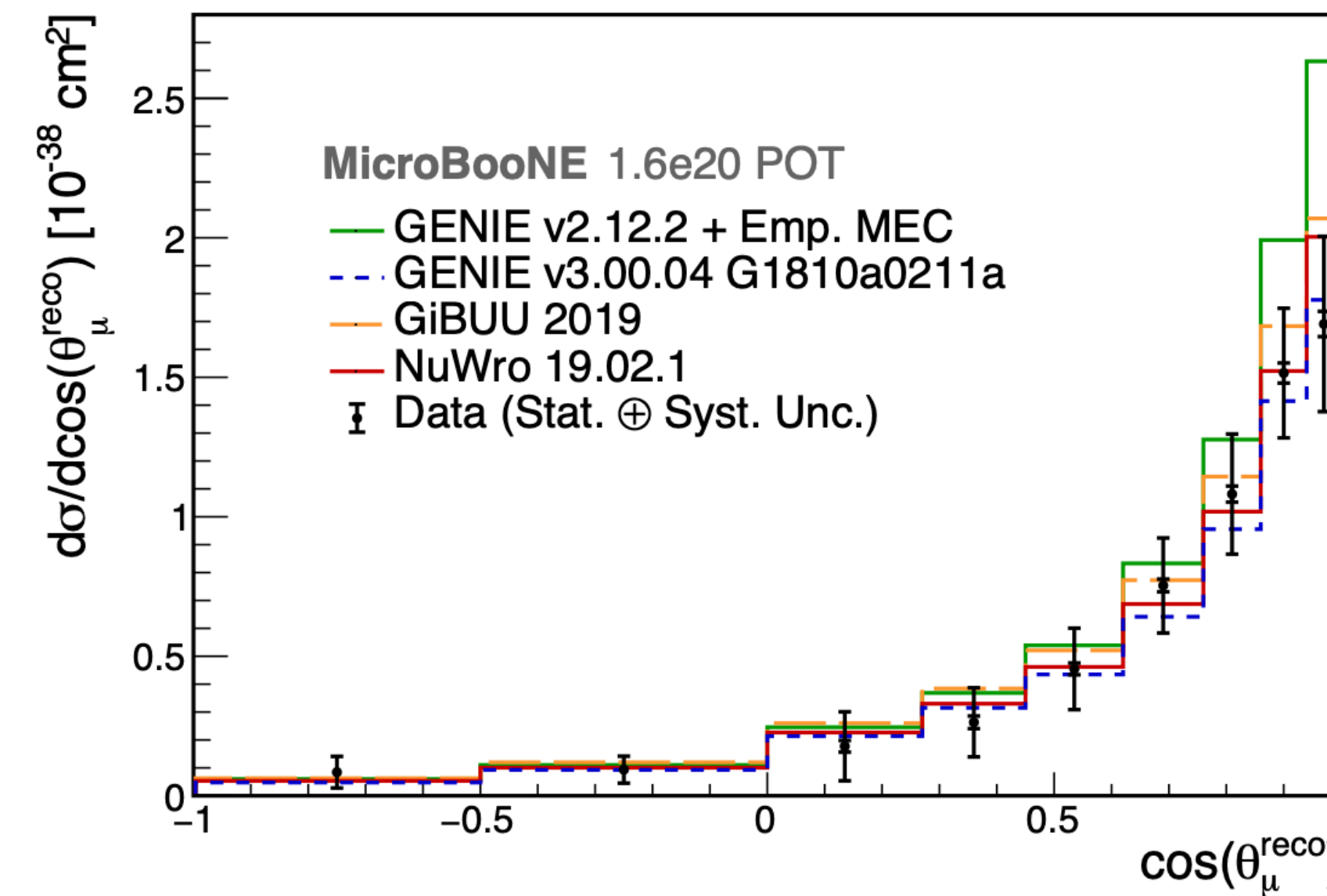
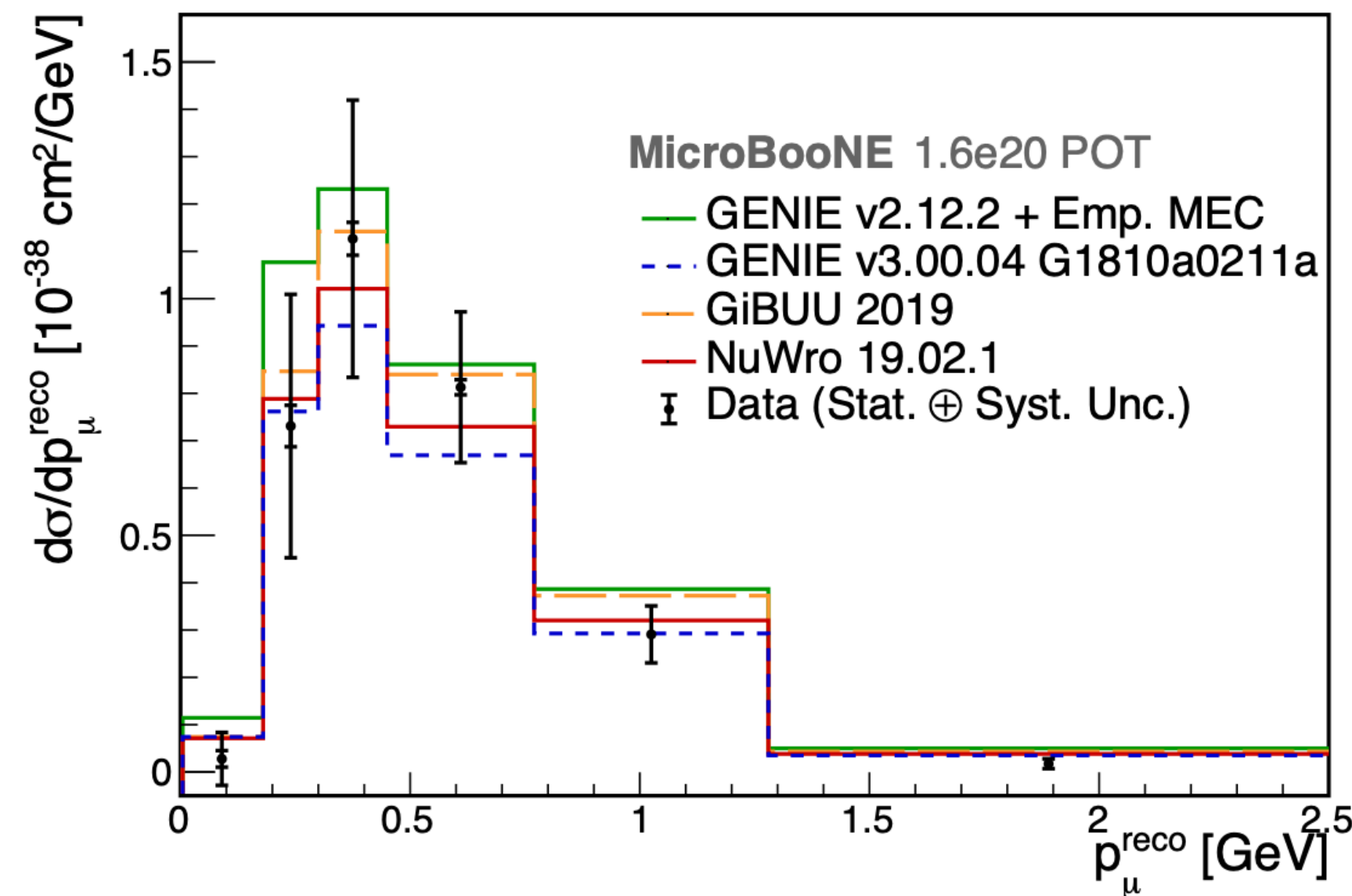
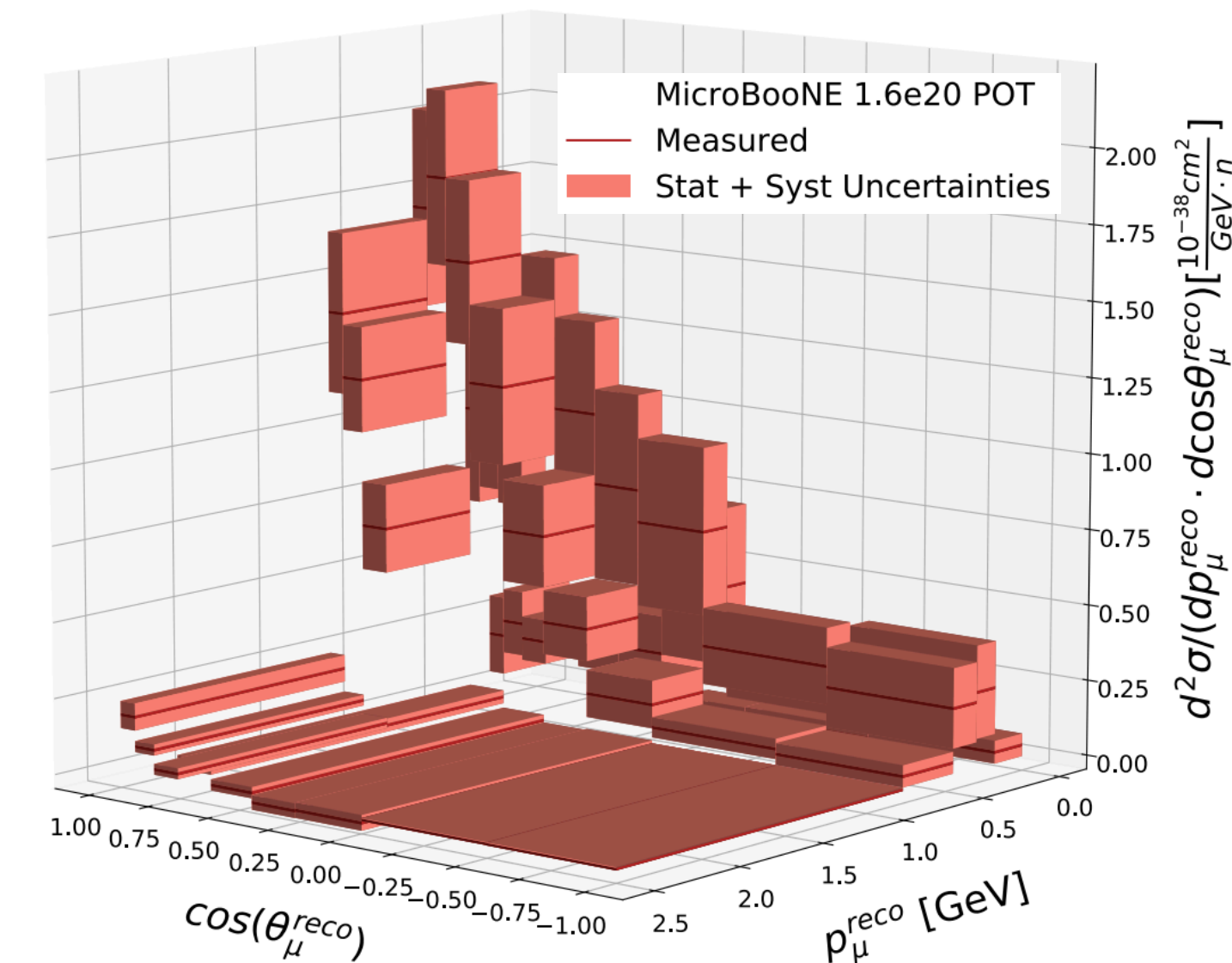
$$\langle \sigma(\nu_\mu + \text{Ar} \rightarrow \mu^- + 1 \pi^0 + X) \rangle_\Phi = 1.9 \pm 0.2 \text{ (stat.)} \pm 0.6 \text{ (syst.)} \times 10^{-38} \text{ cm}^2 / \text{Ar}$$



PRD 99, 091102(R) (2019)

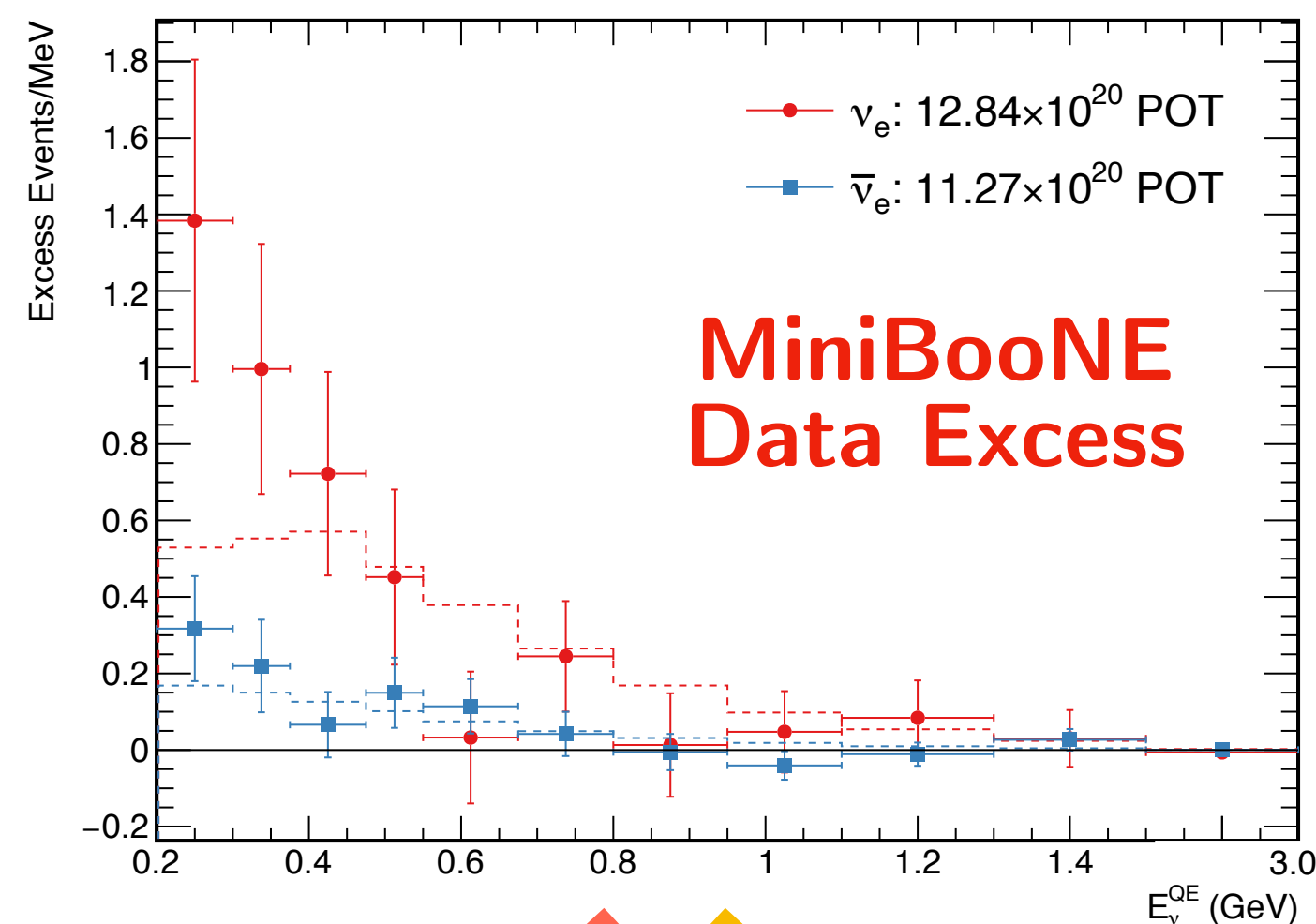
Studying Neutrino Interactions : ν_μ CC inclusive

- Single and double differential cross sections are measured as a function of p_μ and θ_μ
- Use multiple coulomb scattering for measuring muon momentum => **not only contained particles!**



[arXiv:1905.09694 \[hep-ex\]](https://arxiv.org/abs/1905.09694), accepted to PRL

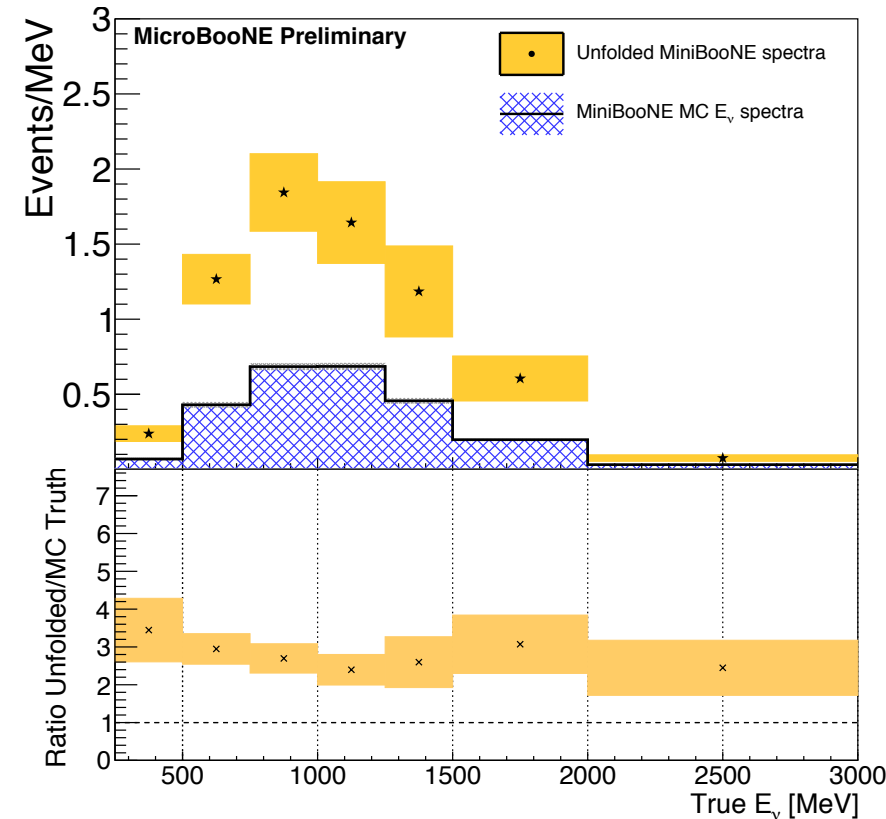
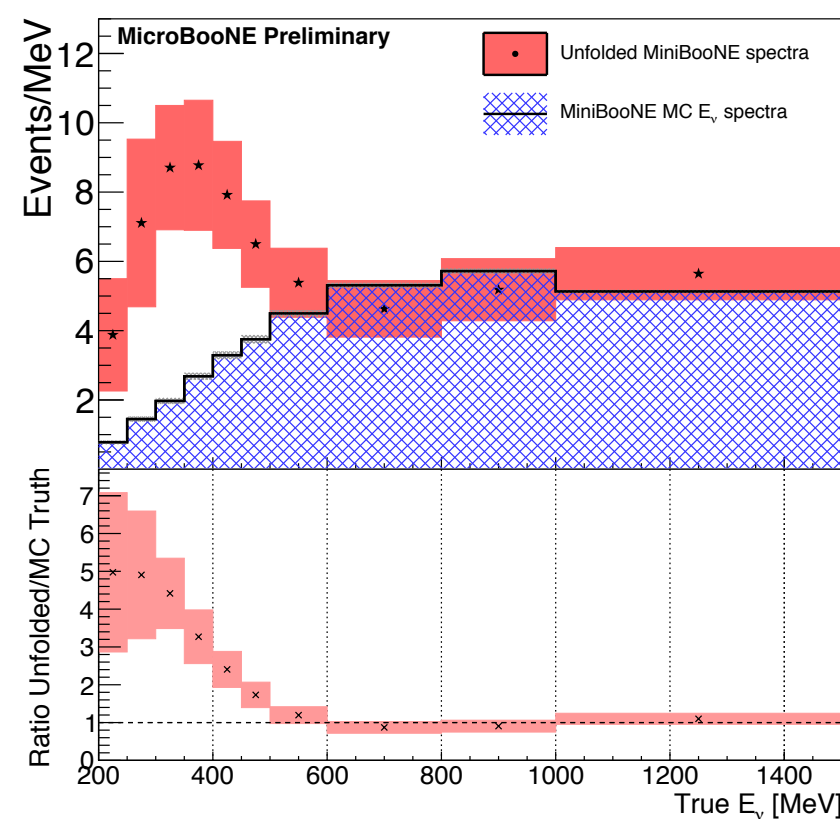
Low Energy Excess Models for MicroBooNE



ν_e CC Hypothesis

MC Unfolding

NC Δ Radiative Hypothesis



- What does a MiniBooNE-like LEE signal look like in MicroBooNE?
- 2 hypotheses :
 - ν_e -like excess
 - γ -like excess (NC Δ radiative decay resonance)
- Deconvolve MiniBooNE's detector effects
- Convolve MicroBooNE's detector effects
- ν_e -like excess mostly at low energy
=> electron shower topology different at low energy

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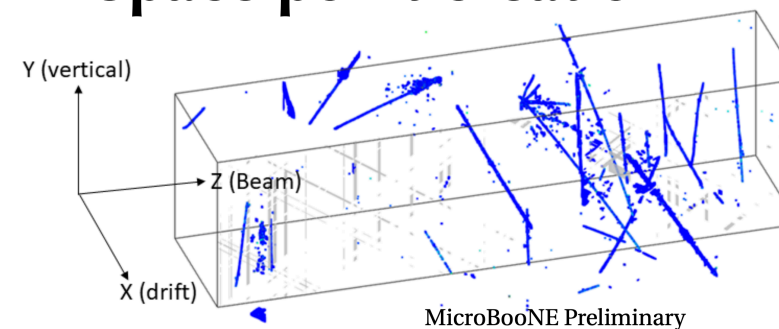
Low Energy Studies

- Multiple, independent blind analyses
- Multiple reconstruction packages
- Multiple target event topologies
- Electron-like :
 - WireCell reconstruction
 - Deep Learning reconstruction
 - Pandora multi-algorithm reconstruction
- Photon-like :
 - Pandora multi-algorithm reconstruction

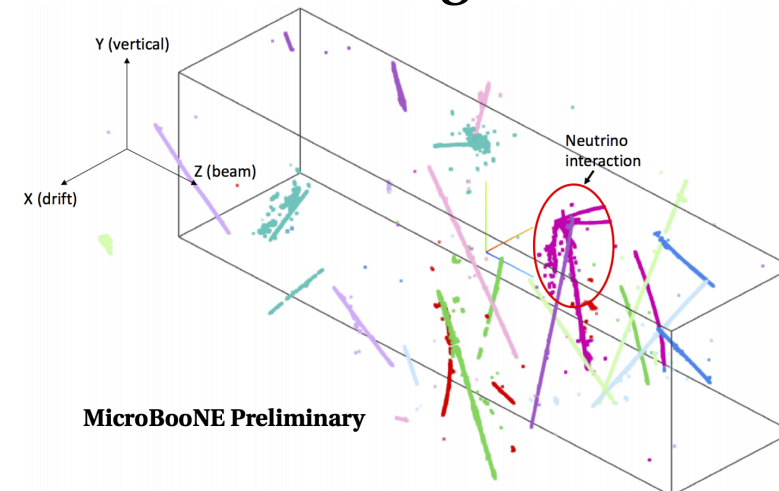
WireCell Reconstruction

Tomographic reco.
Creates 3D space points
and clusters in 3D

Space point creation



3D clustering

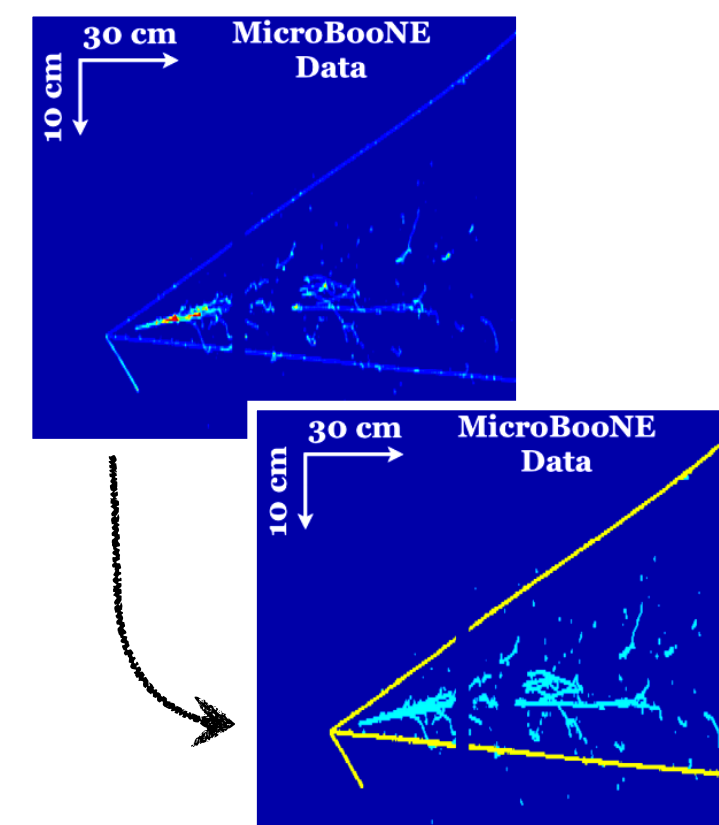


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Deep Learning Reconstruction

Uses CNNs for PID and
track/shower pixel labels

Pixel labeling example
on a ν_μ CC π^0 event



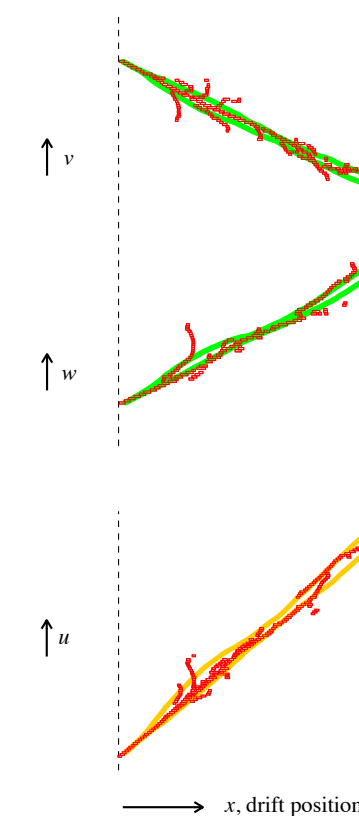
JINST 12, P03011 (2017)

PRD 99, 092001 (2019)

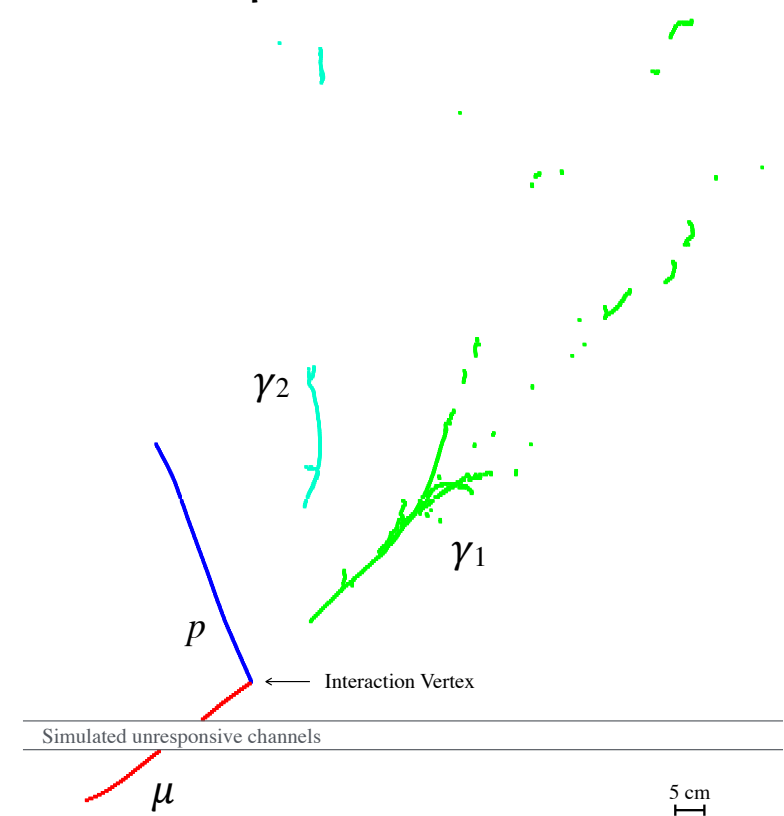
Pandora Multi-Algorithm Reconstruction Toolkit

Clusters in 2D independently on each plane
before matching across planes to form
3D reconstructed tracks and showers

Matching a shower
across three planes



Reconstruction of
a ν_μ CC π^0 event



Eur.Phys.J. C78 (2018) no.1, 82

See the talk from Mark Ross-Lonergan on Friday

Selecting e-Like Events

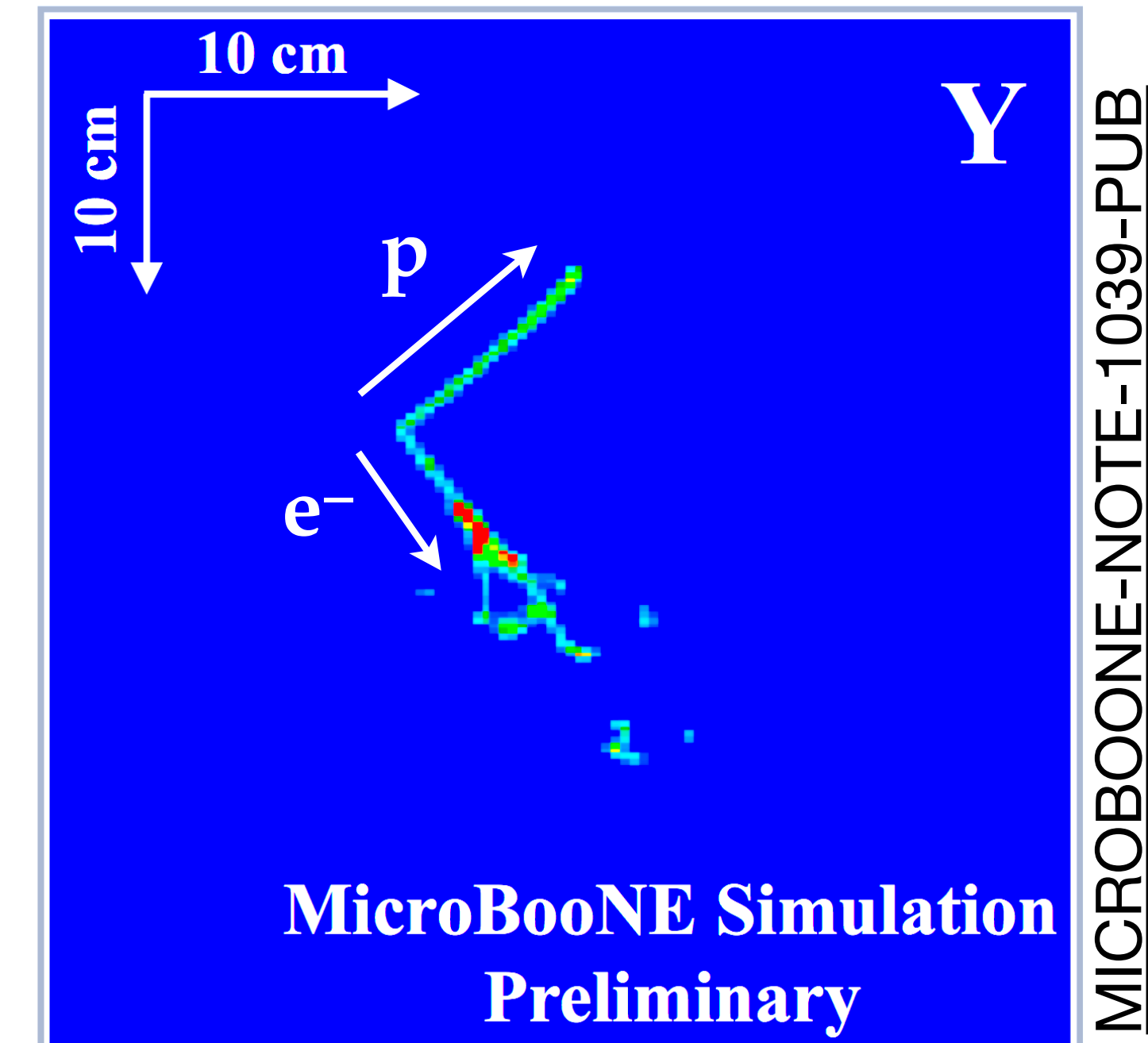
Multiple complimentary searches:

- High purity, exclusive 1e1p with Deep Learning
- High efficiency, more inclusive 1e0 π Np with Pandora
- Fully inclusive with Pandora+WireCell

Major challenges

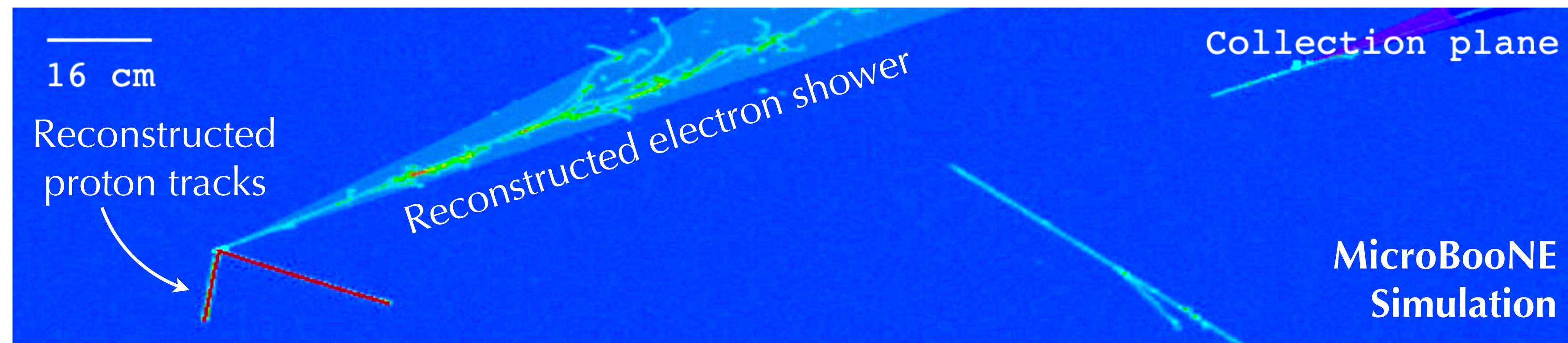
- reconstructing low energy electrons that do not shower
- rejecting non- ν_e backgrounds

1e1p Topology



1e0 π Np Topology

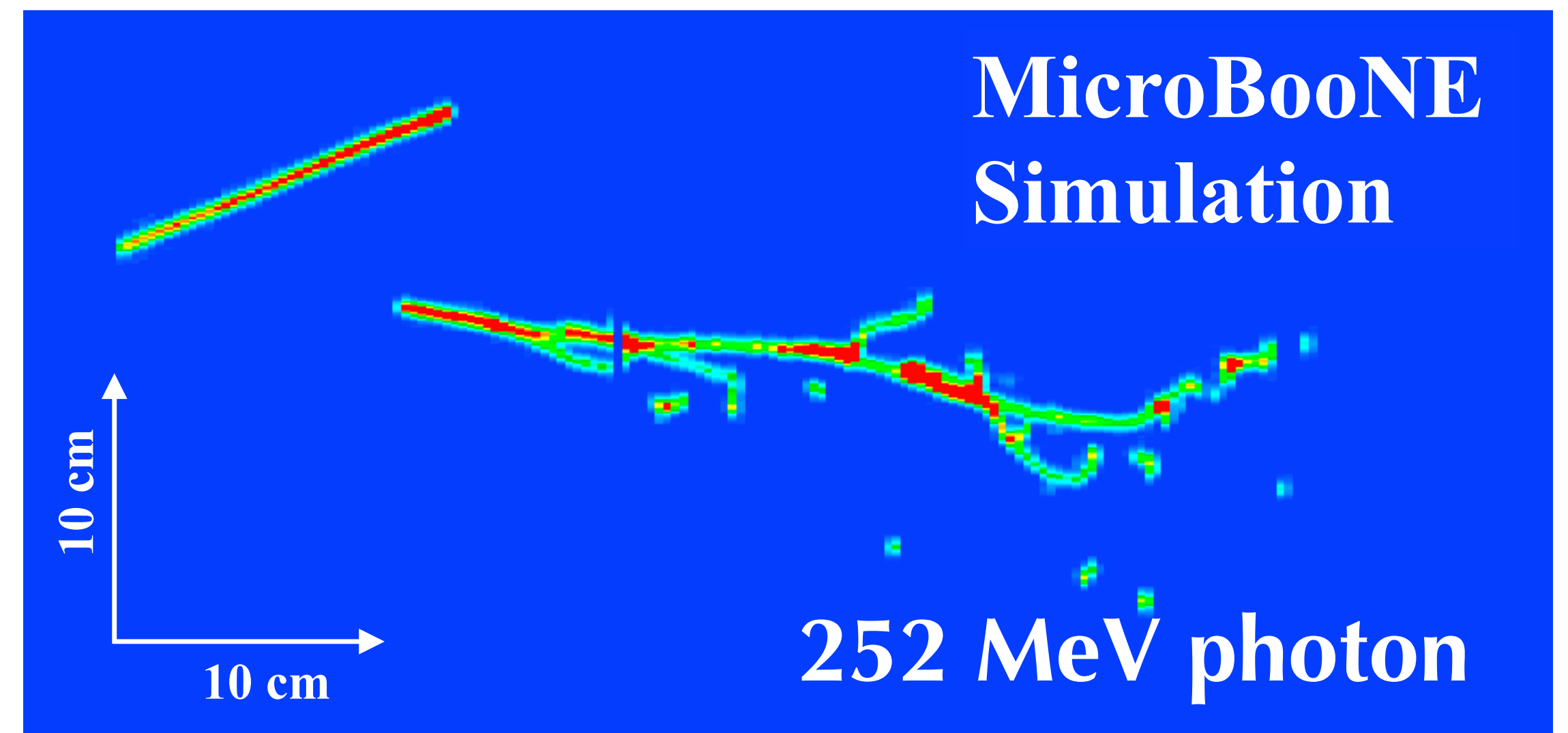
MICROBOONE-NOTE-1038-PUB



Selecting γ -Like Events

- NC Δ radiative search in investigating both $1\gamma 1p$ and $1\gamma 0p$ to maximize signal statistics, and is using Pandora reconstruction
- Major challenge is understanding and rejecting NC π^0 backgrounds. Topology for these is $2\gamma 1p$ or $2\gamma 0p$, but second shower can be difficult/impossible to reconstruct
- First analysis of the NC $\Delta \rightarrow p+\gamma$ interaction by a neutrino experiment!

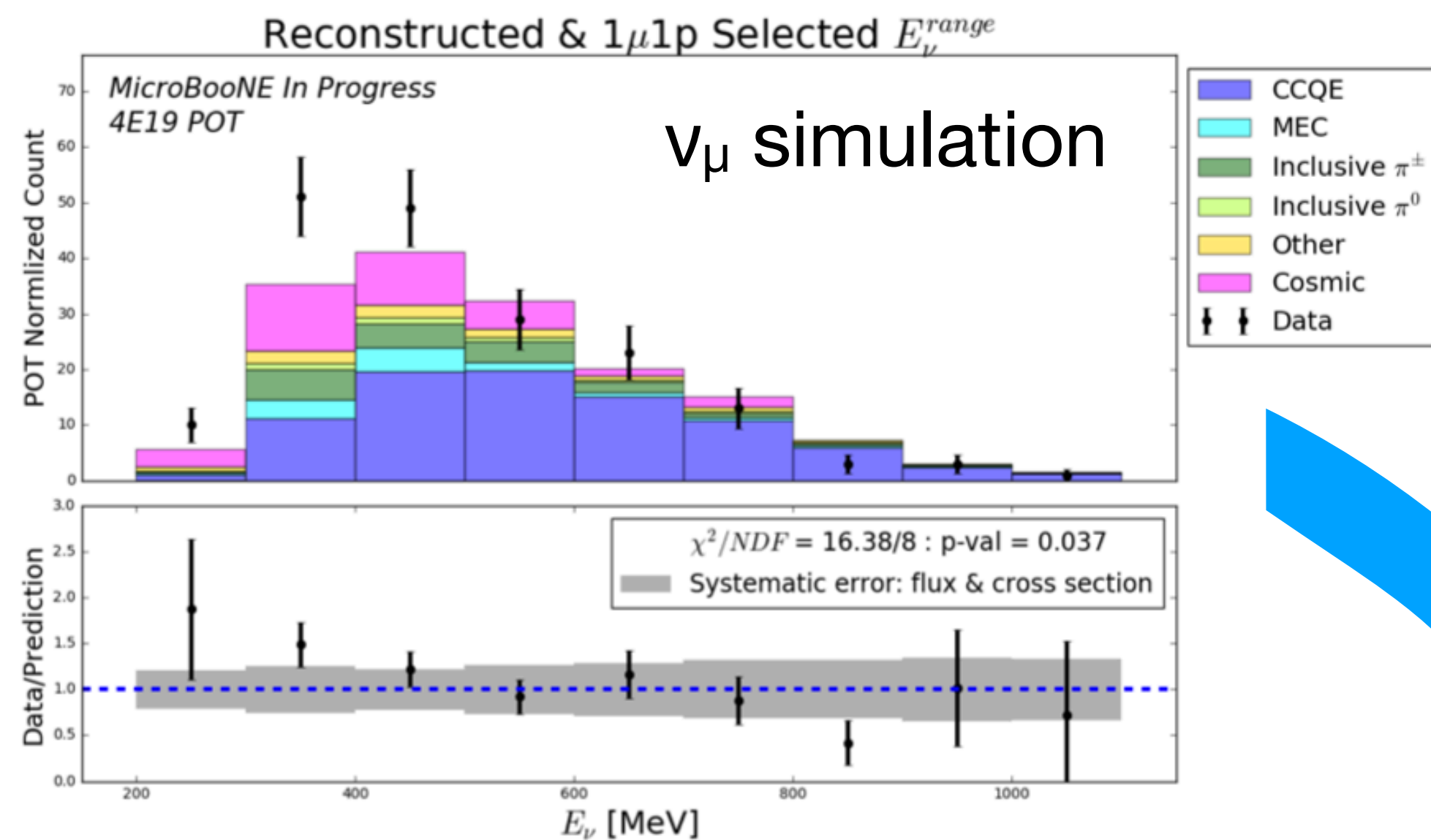
MICROBOONE-NOTE-1041-PUB



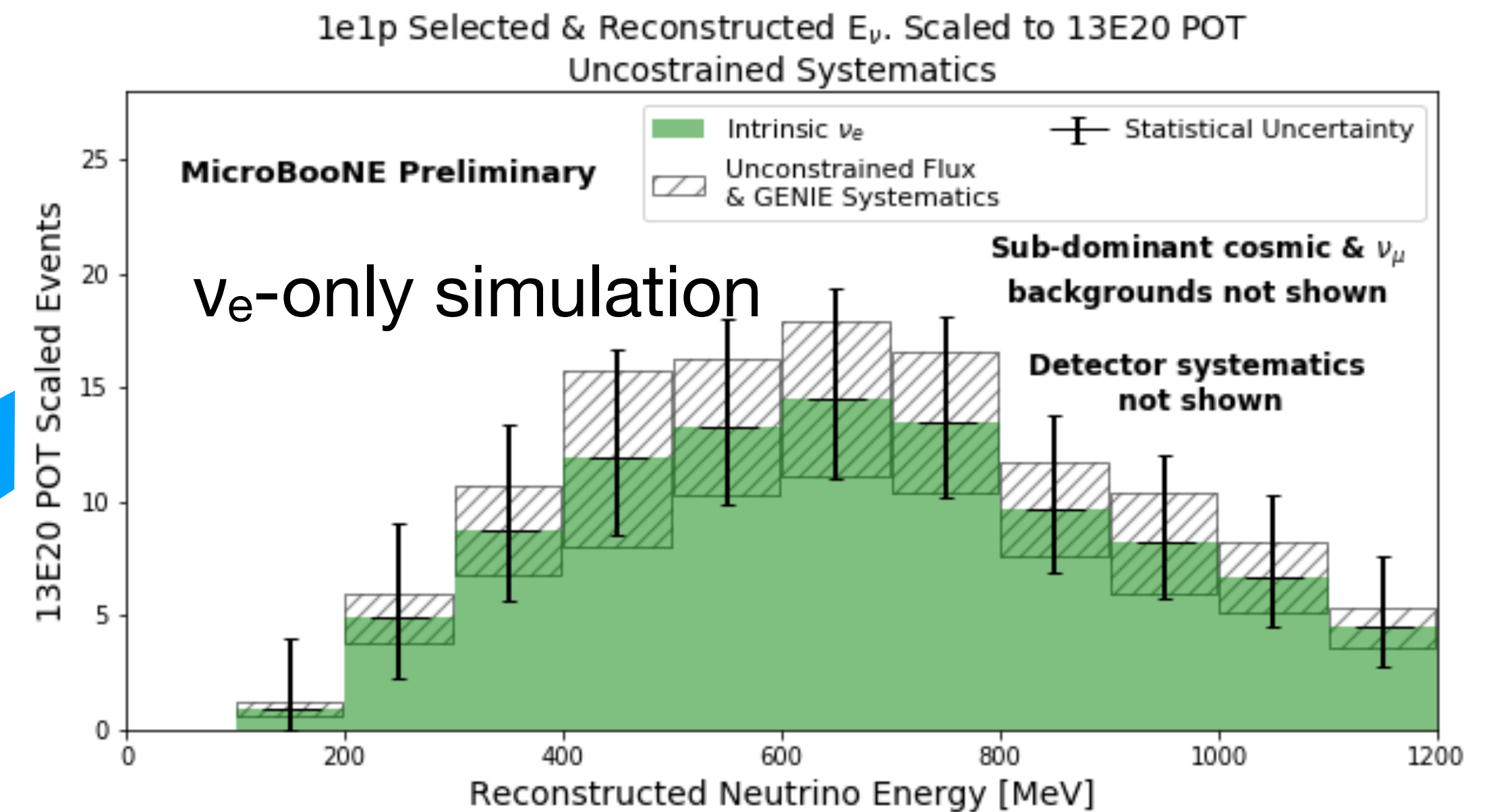
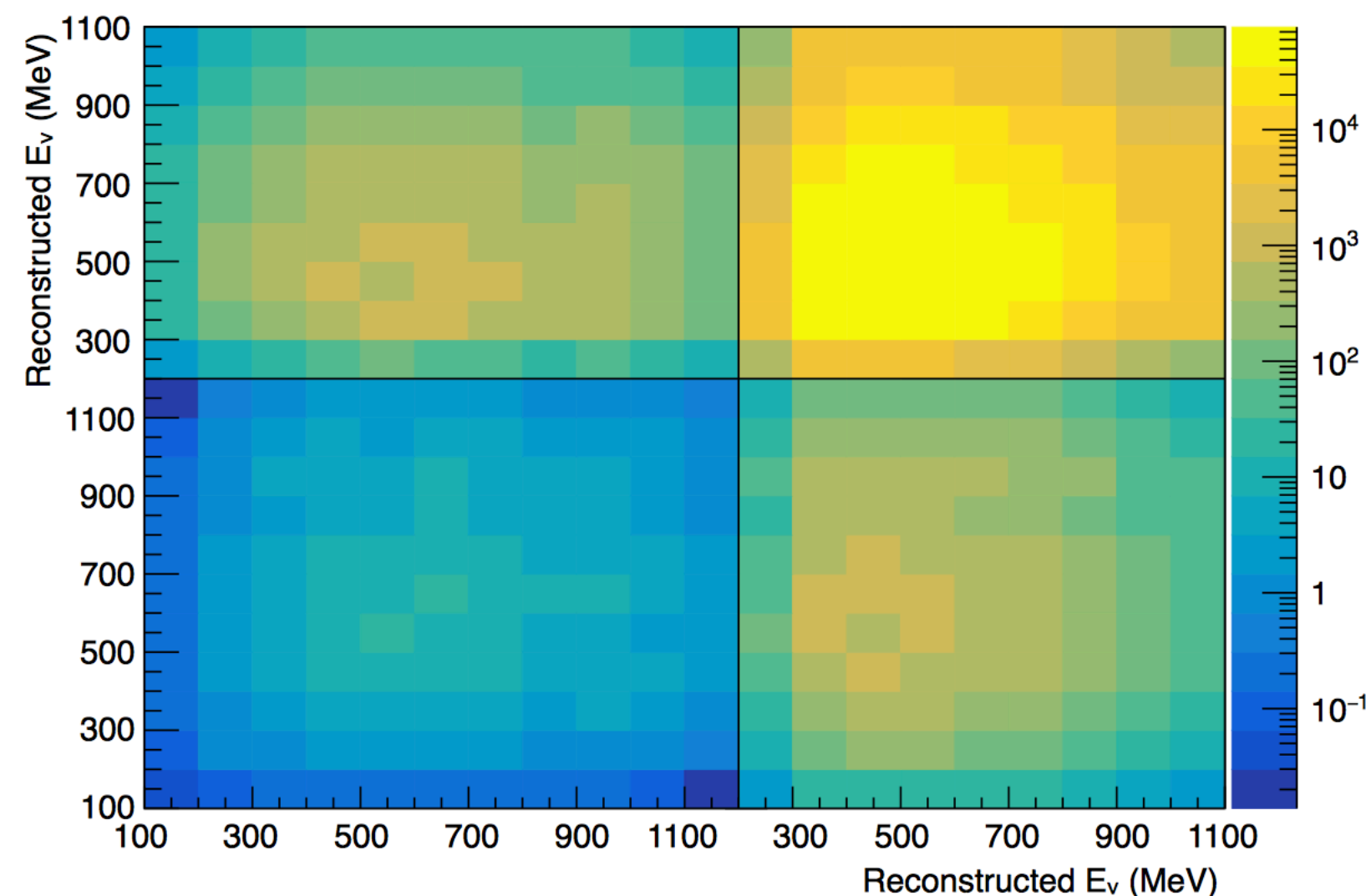
Constraining Systematics

- Want to constrain systematics on intrinsic ν_e backgrounds
 - unconstrained flux and cross section uncertainties are 20-30%
 - constraints should significantly improve our sensitivity to an excess
- Also want to constrain other beam-related backgrounds
- Without near detector, we plan to use measurements of ν_μ events to constrain our uncertainties

Constraining systematics

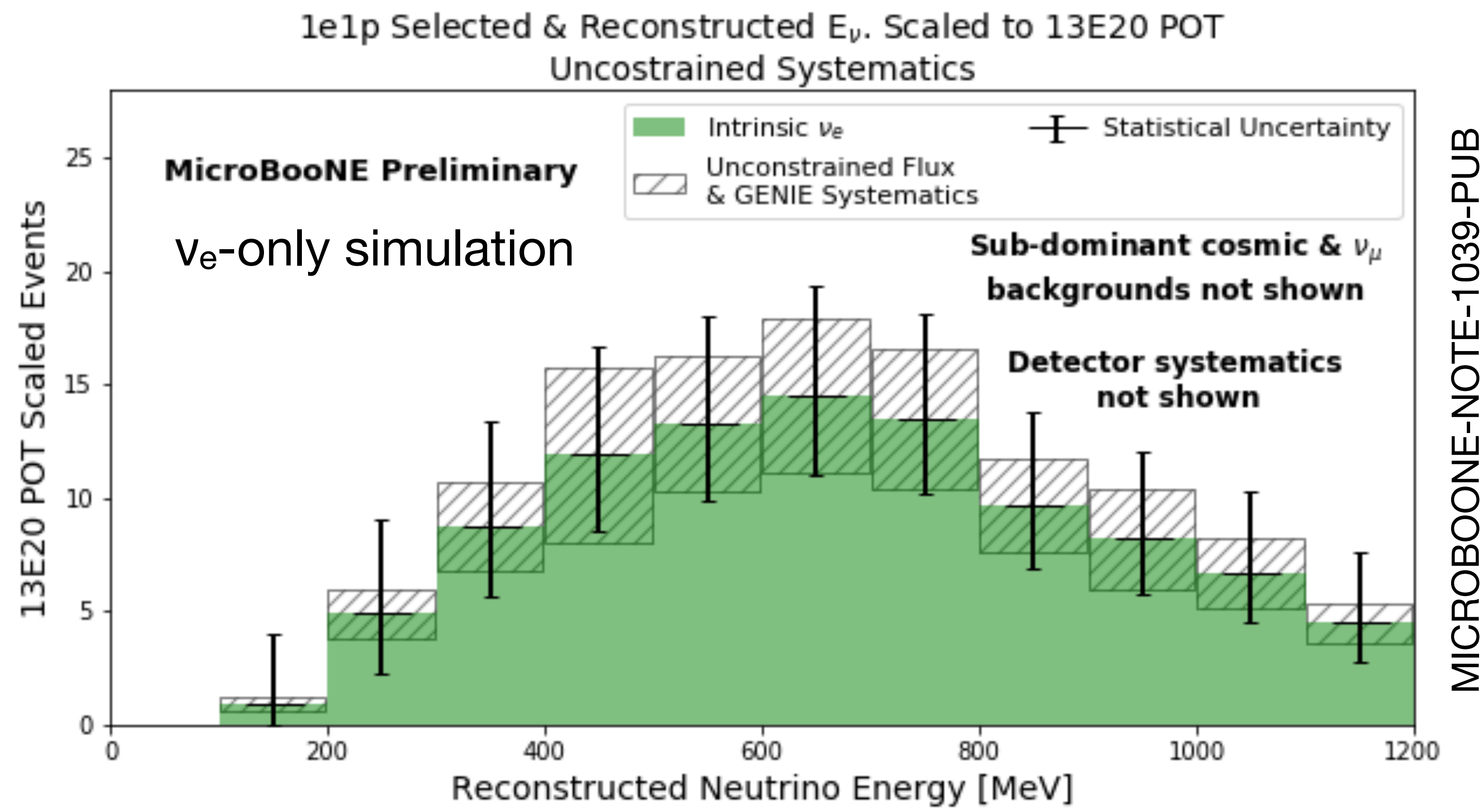


Collapsed covariance matrix



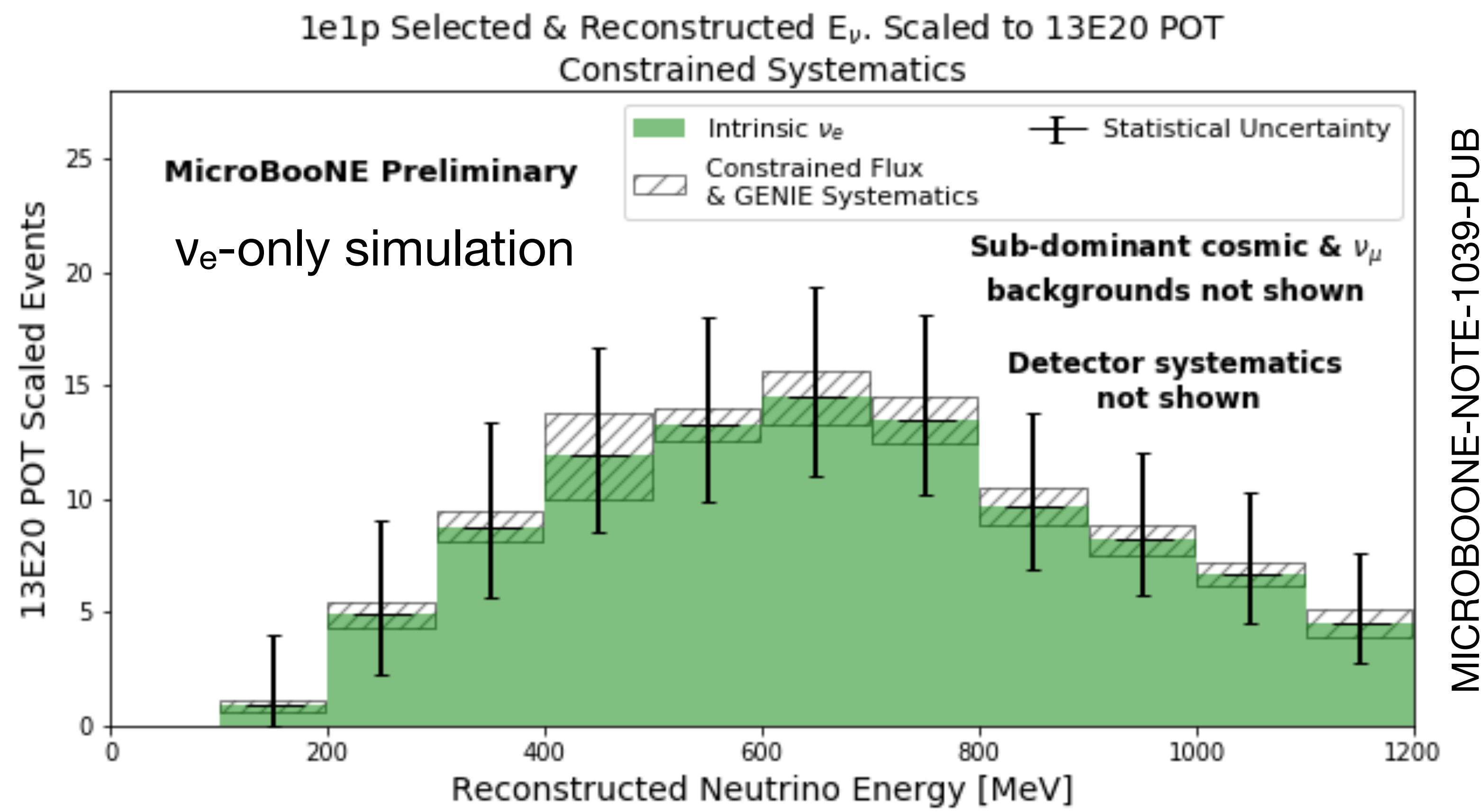
- Deep Learning based reconstruction and selection [MICROBOONE-NOTE-1039-PUB](#)
- Re-weight events to account for neutrino generator systematic uncertainties (flux & cross section)
- Generate uni-simulations to account for detection and selection systematic uncertainties

Constraining Systematics



MICROBOONE-NOTE-1039-PUB

Constraining Systematics



MICROBOONE-NOTE-1039-PUB

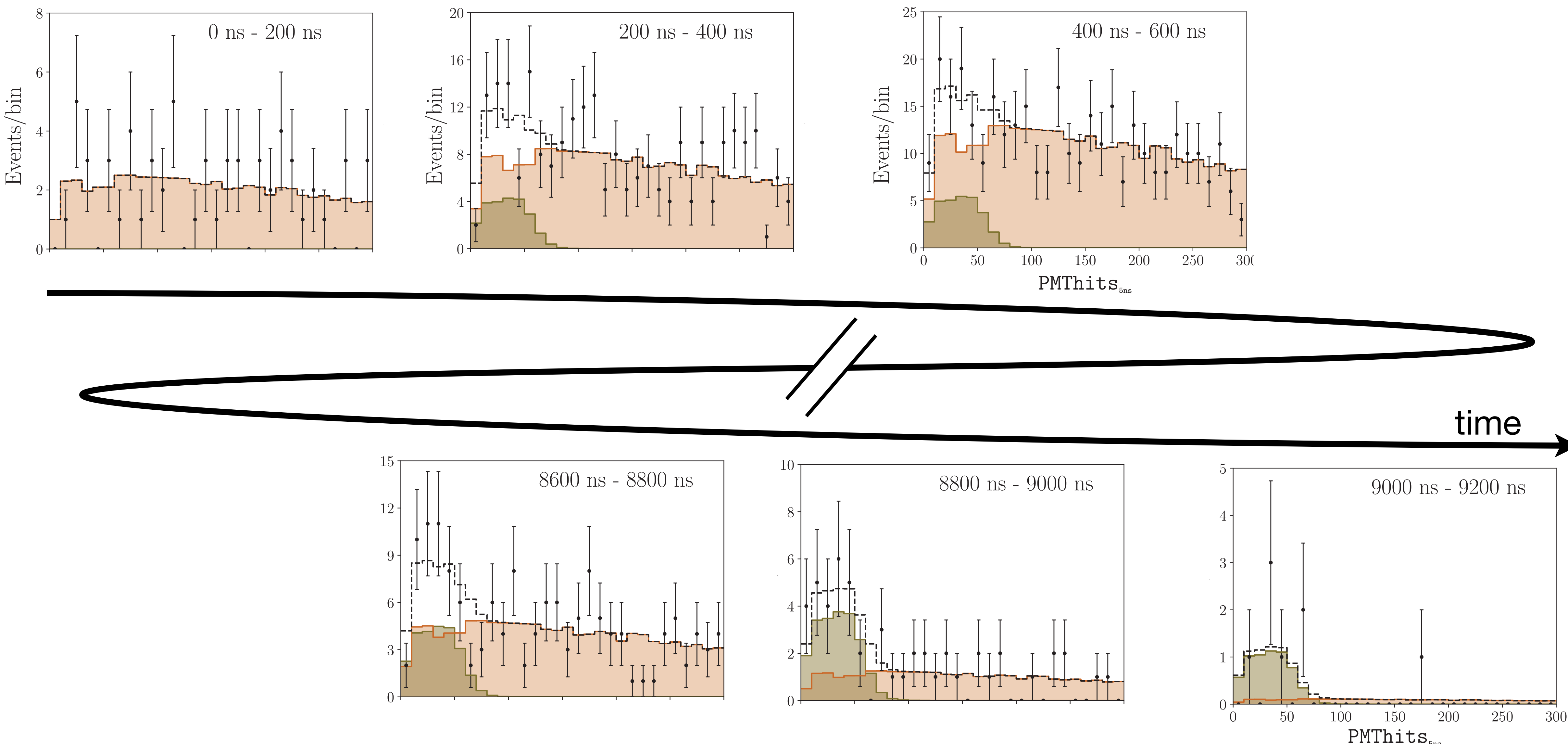
Summary

- **MiniBooNE** has presented an updated measurement of a low energy excess with twice the statistics in neutrino mode in 2018
 - Very stable and well understood detector
 - In Situ measurement of the backgrounds and different ν -C cross sections
 - Combined LSND+MiniBooNE excess has a 6.1σ significance
- **MicroBooNE** has made significant progress towards analyses that will test both possible interpretations of the MiniBooNE excess
 - Signal processing, calibration and detector physics
 - ν -Ar cross section measurements
 - Independent analyses, exploring different hypotheses for the excess, different final states and different reconstructions
 - Constraining systematics by using *in situ* measurement of ν_μ

A scenic night photograph of a mountain peak. On the left, a traditional Japanese stone pagoda with three tiers sits atop a rocky ridge, enclosed by a simple wooden fence. The foreground is dark, with some sparse vegetation. In the background, a city skyline is visible through the haze, with lights reflecting on the water. The sky is a deep blue, filled with wispy clouds and numerous stars.

Thank You!

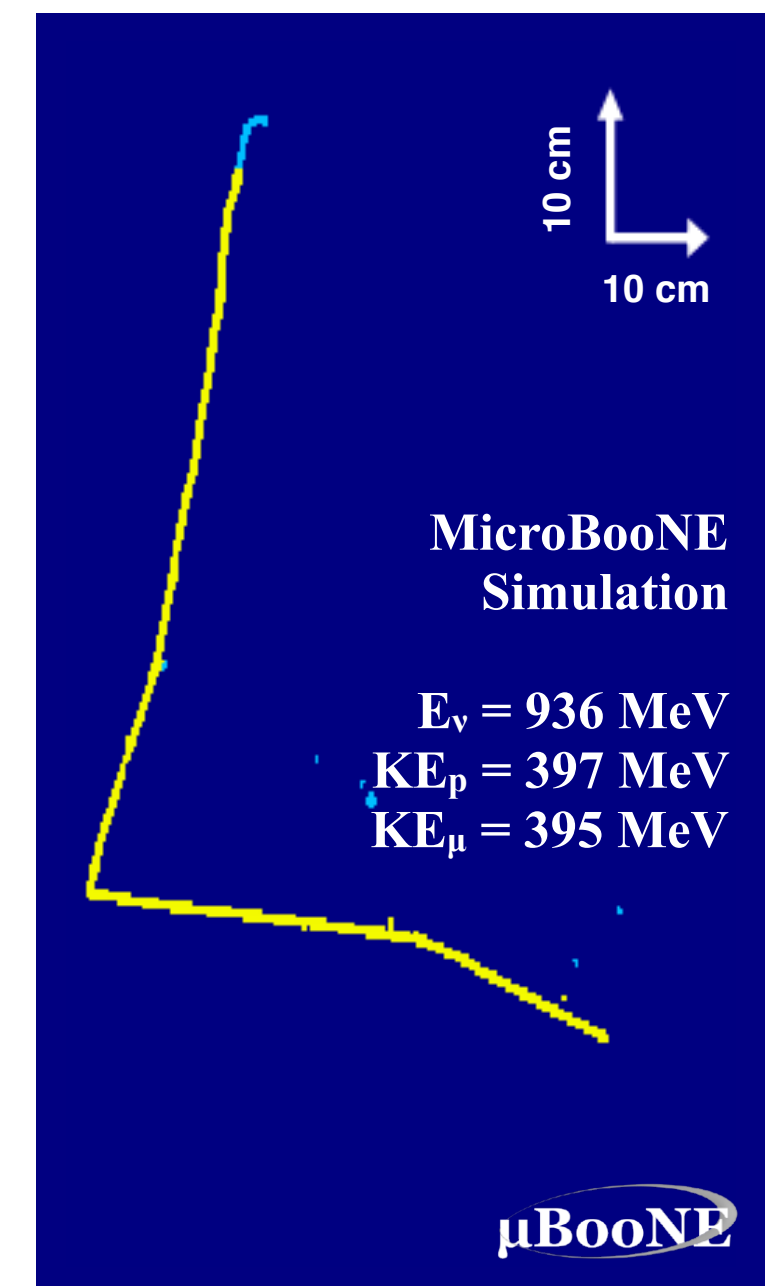
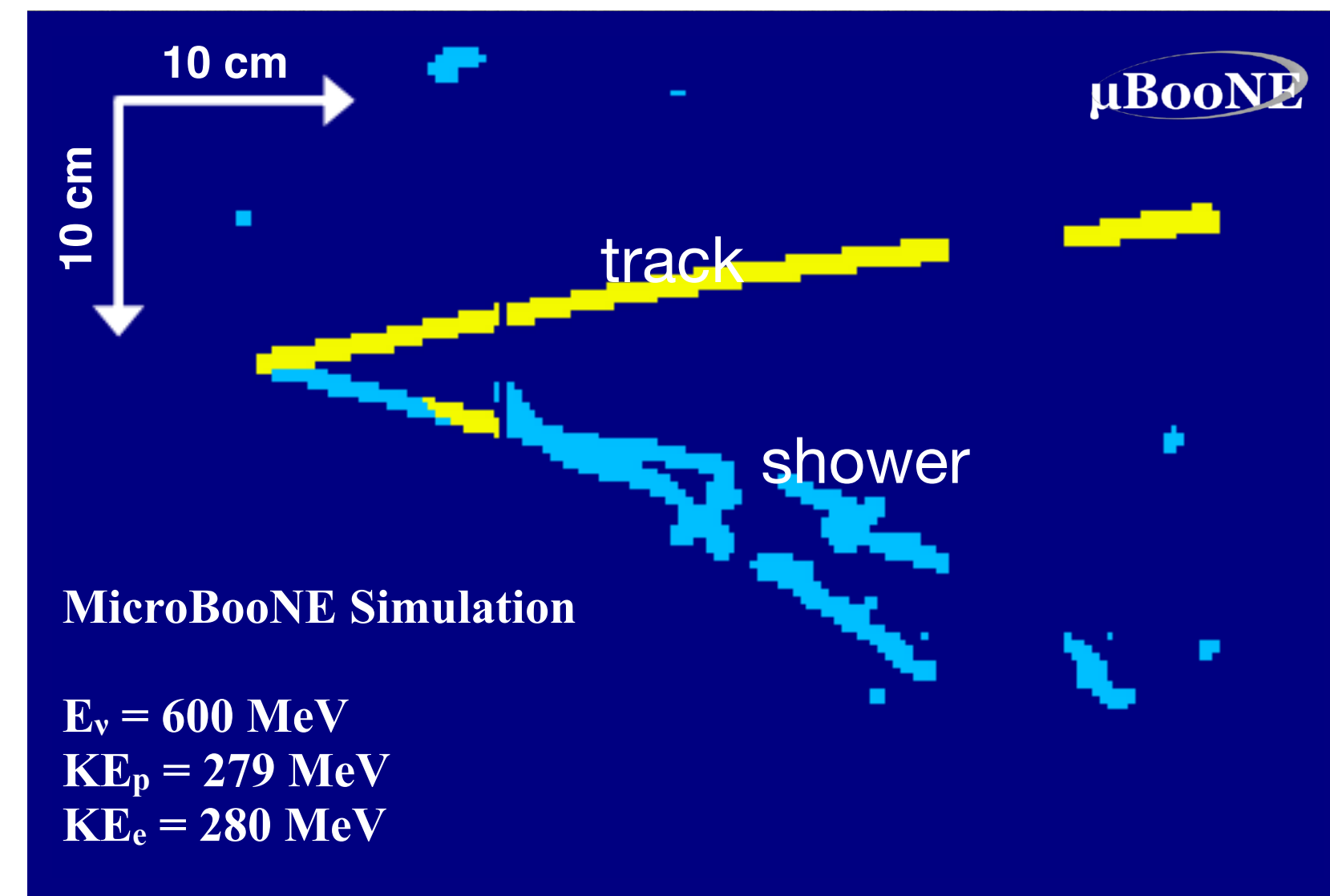
MiniBooNE KDAR



appearance of a low energy component as a function of time after a NuMI trigger

Semantic Segmentation Networks

- SSNets identify the content of an image, and work the convolution chain back to the location of the identified objects
- Pixel-level identification
- Trained to recognize tracks to shower
- Track/shower boundaries can be potential vertex!
- How to validate such network?
 - use manual pixel labeling from trained physicist
 - network -human agreement to within 2.5%



JINST 12, P03011 (2017)

PRD 99, 092001 (2019)

MICROBOONE-NOTE-1042-PUB

MICROBOONE-NOTE-1042-PUB