

# TENSIONS IN NEUTRINO- NUCLEUS MODELING

Joanna Sobczyk, IFIC (Valencia, Spain)

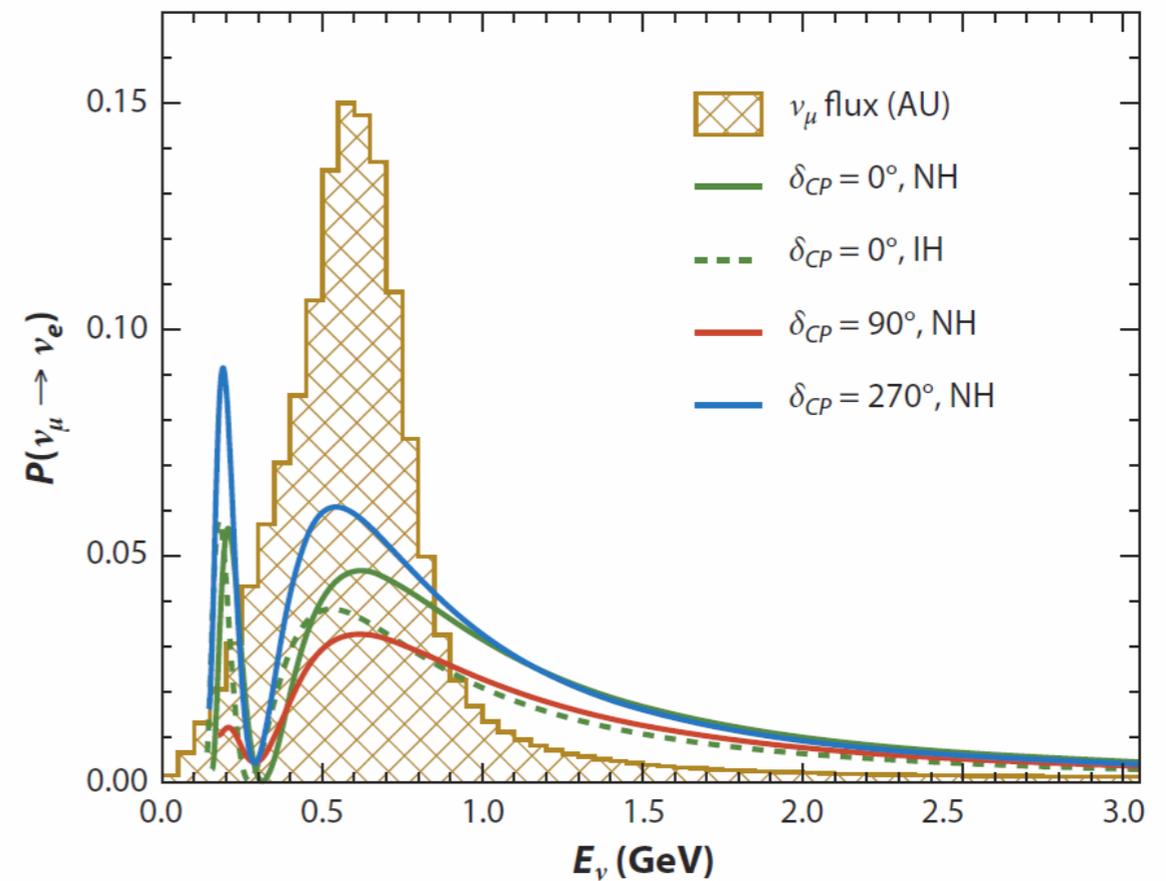
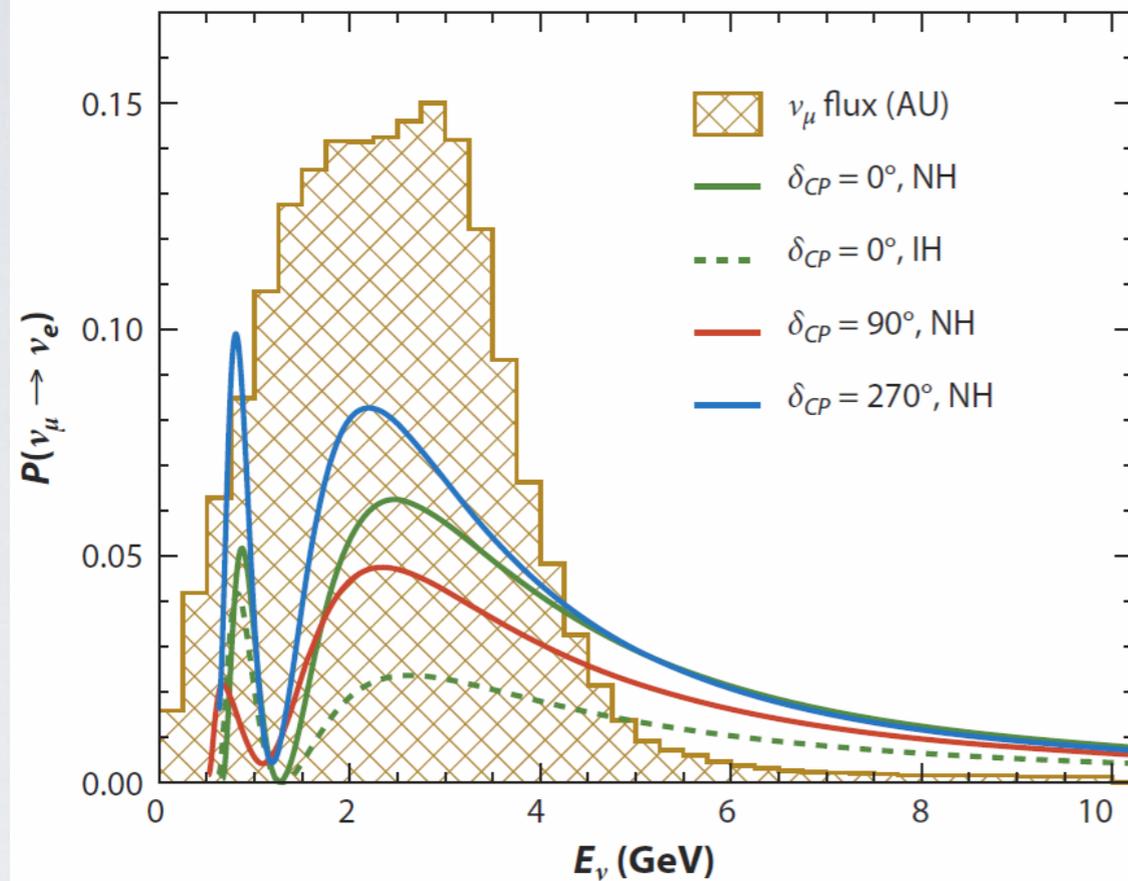
27 August 2019, NuFACT



# MOTIVATION

DUNE

T2HK



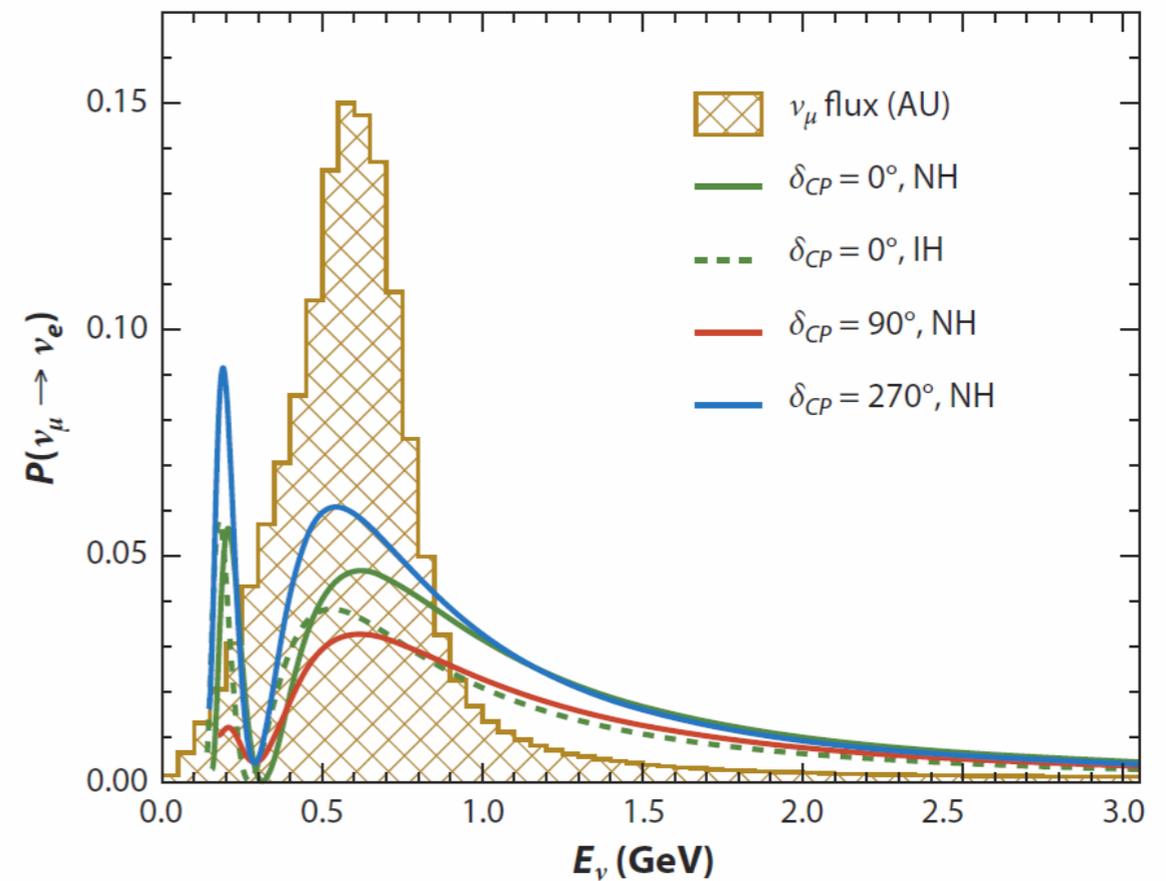
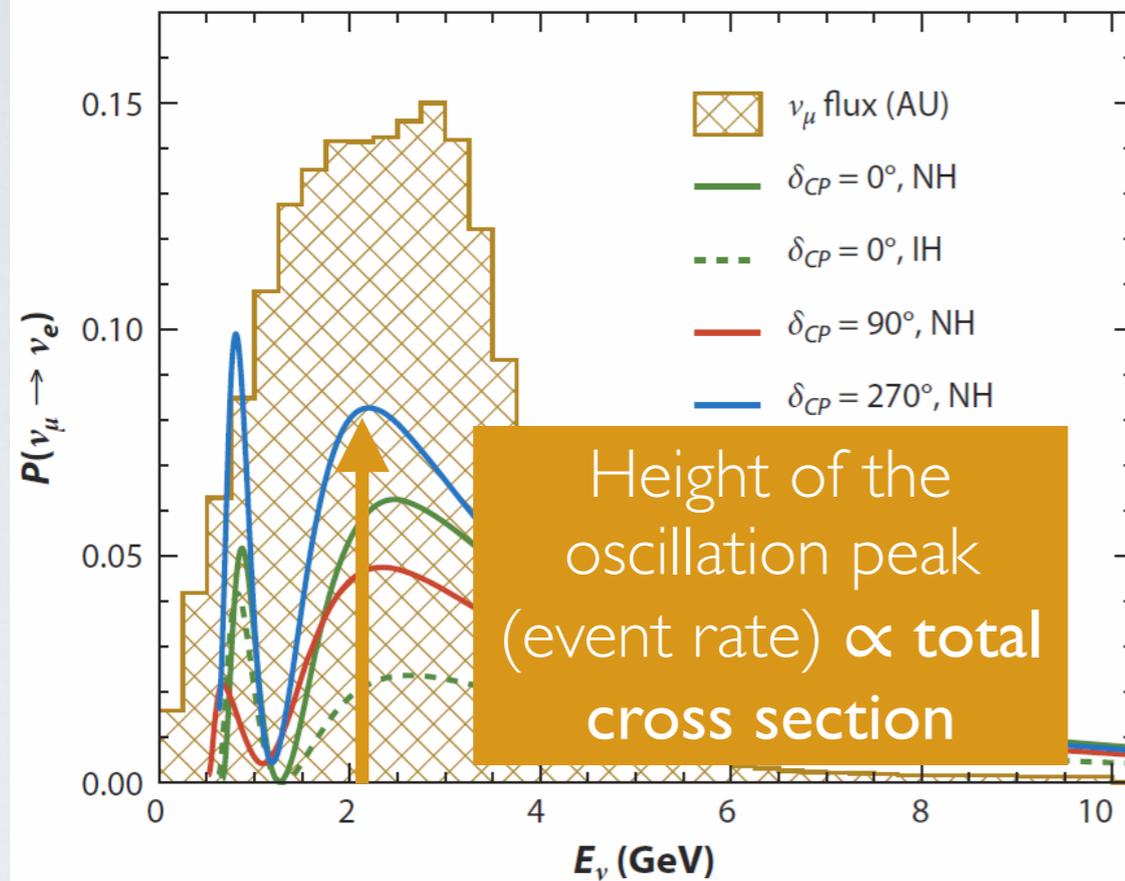
From: Diwan et al, Ann. Rev. Nucl. Part. Sci 66 (2016)

Systematic errors should be small since statistics will be high.

# MOTIVATION

DUNE

T2HK



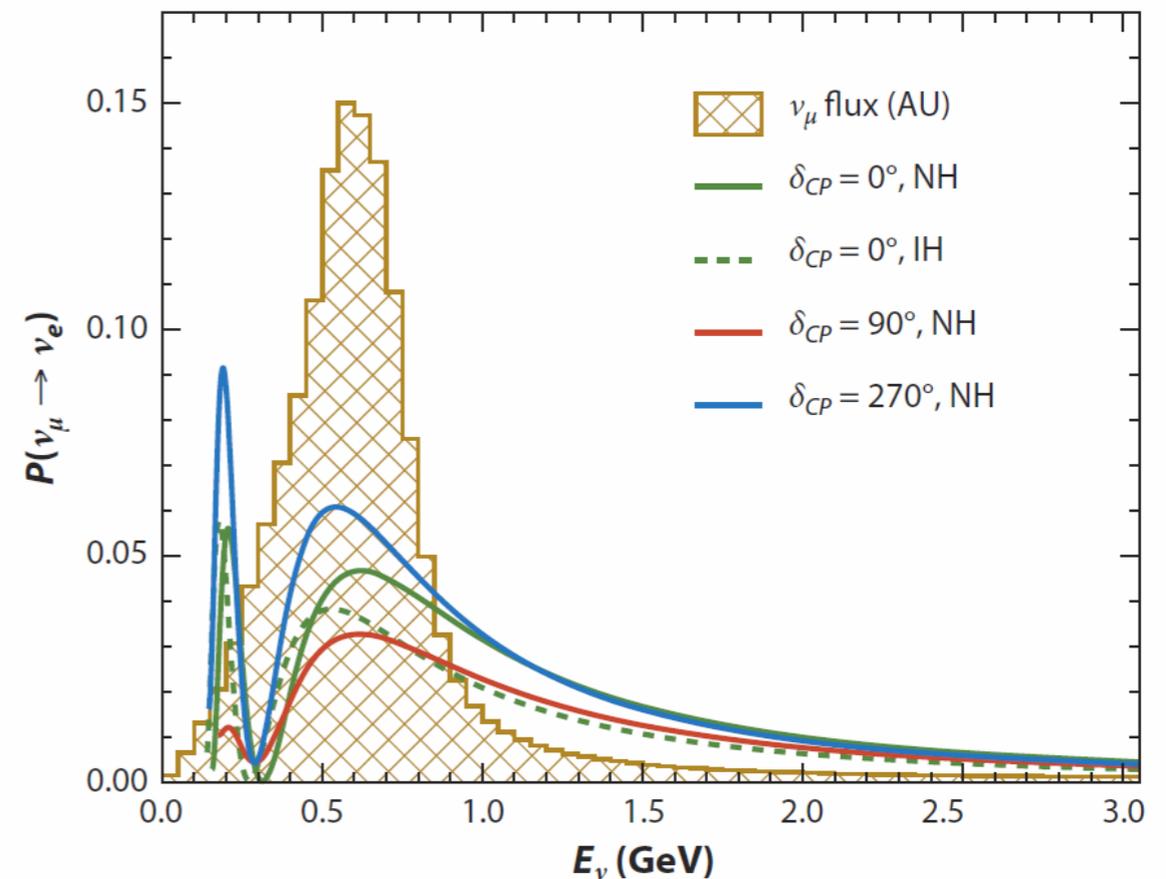
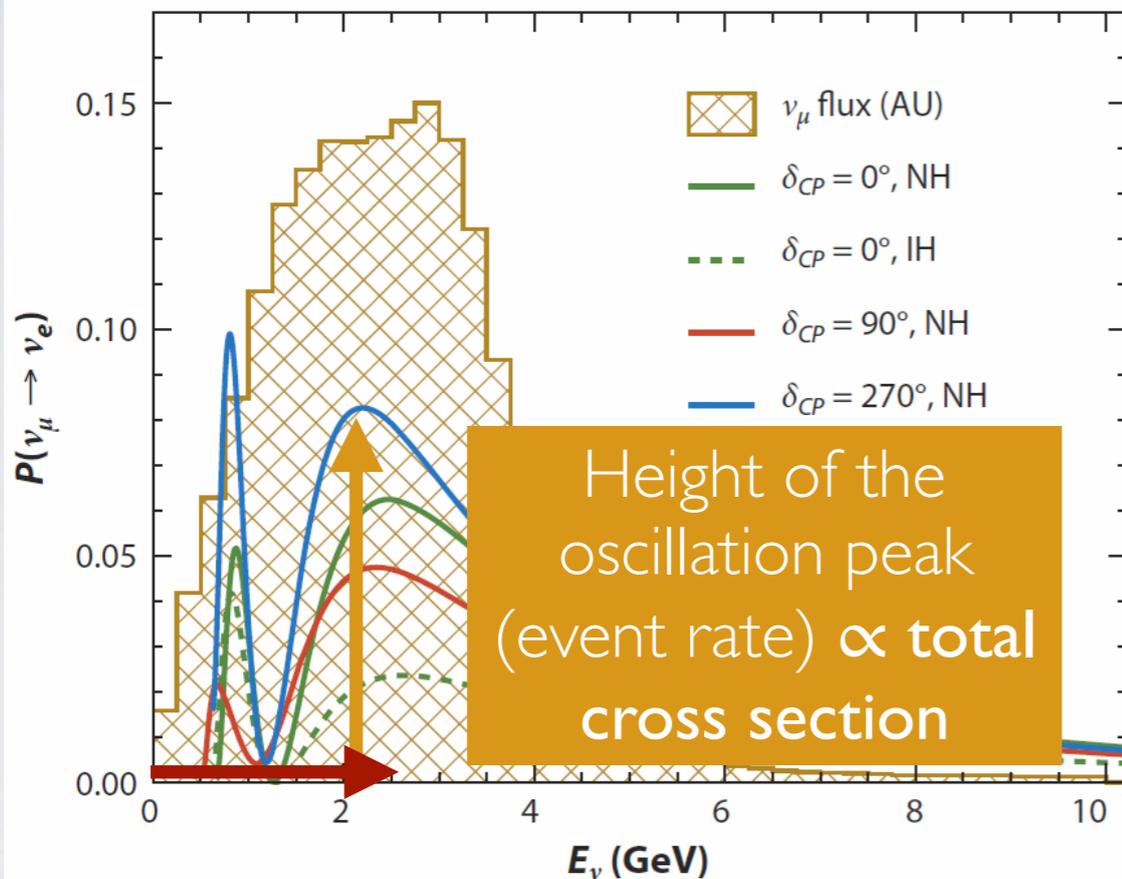
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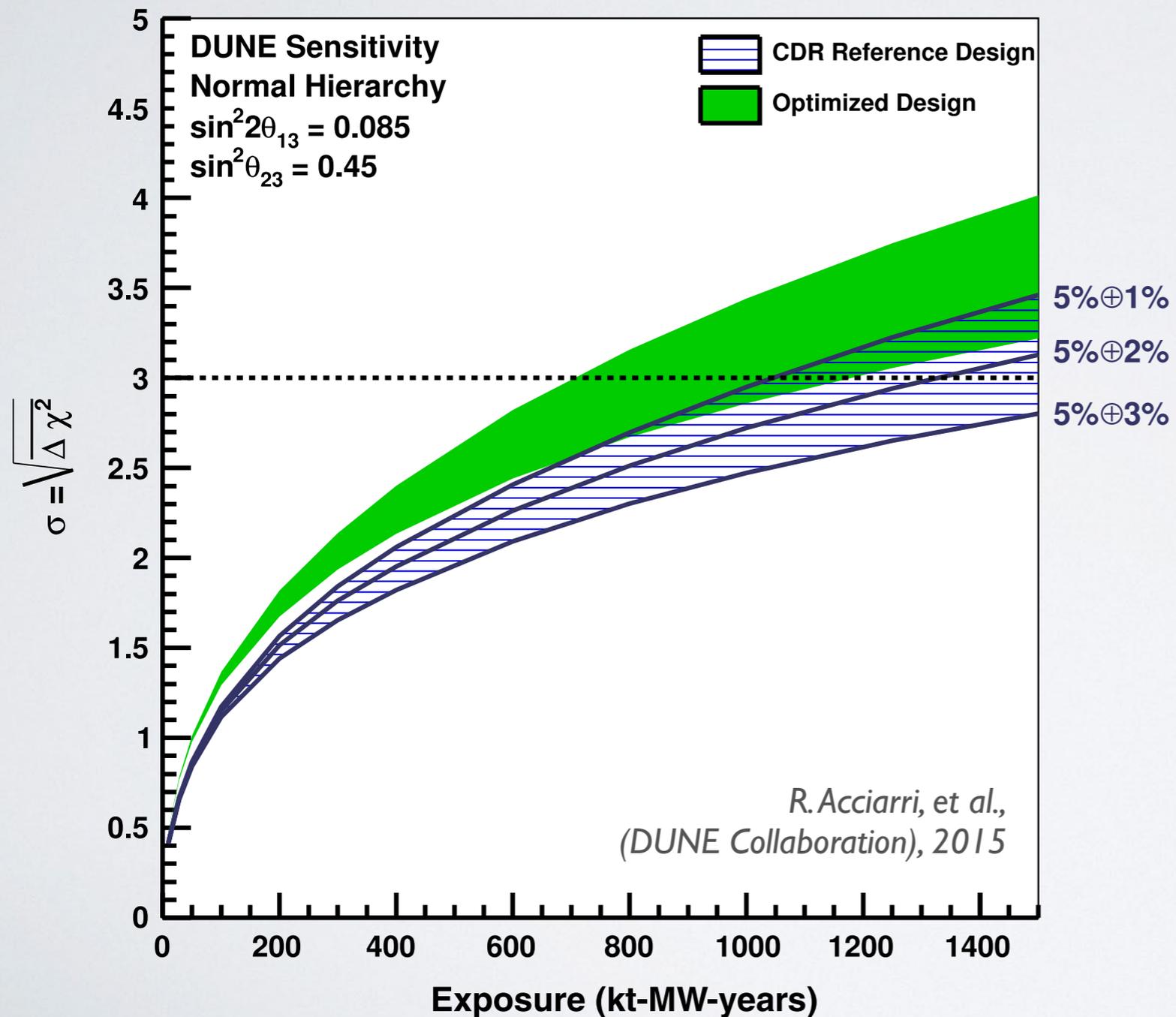
Position of the oscillation peak depends on **energy reconstruction**

DUNE aims at uncertainties  $< 1\%$  meaning  $O(25 \text{ MeV})$  precision of energy reconstruction

Systematic errors should be small since statistics will be high.

# MOTIVATION

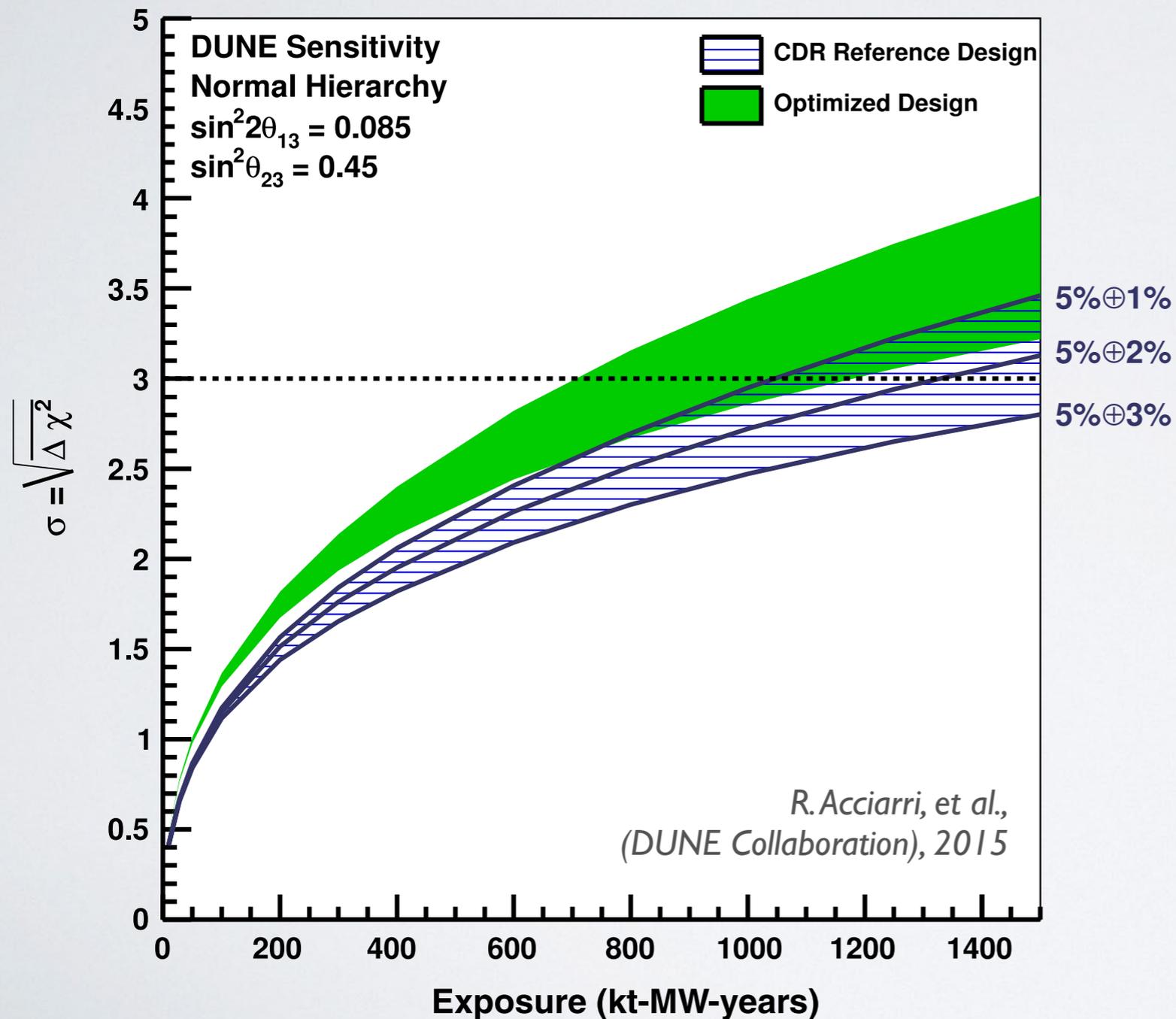
## 75% CP Violation Sensitivity



Lower systematic uncertainties can considerably lower the exposure needed to detect CP violation.

# MOTIVATION

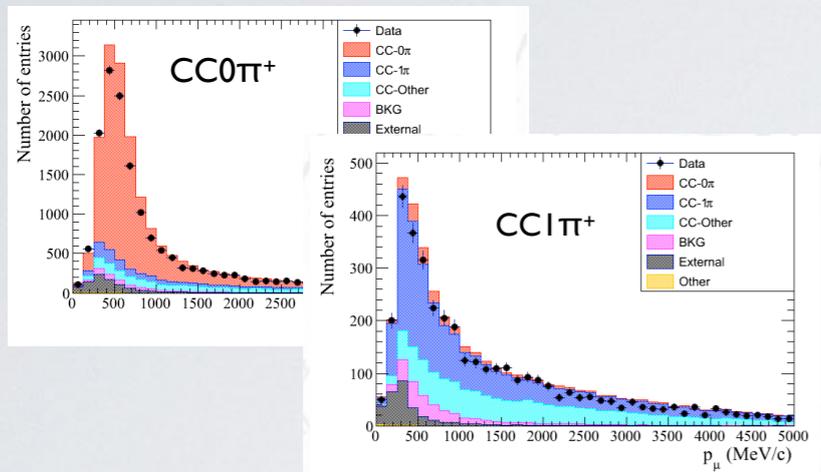
75% CP Violation Sensitivity



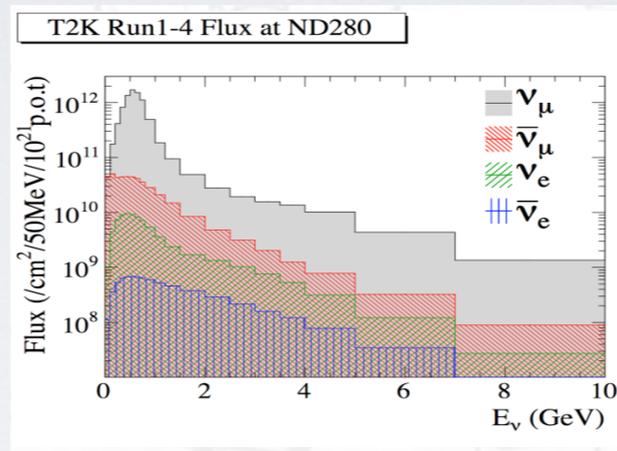
Lower systematic uncertainties can considerably lower the exposure needed to detect CP violation.

30/08 (Friday) | 4:00h  
WG2 L. Pickering

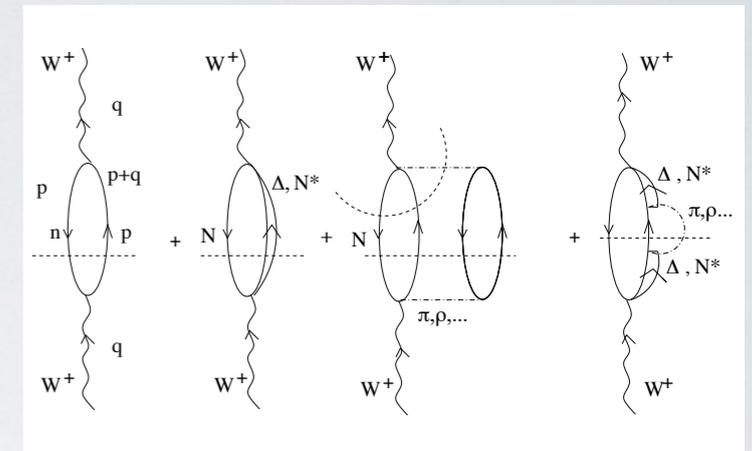
# NEAR DETECTOR



Data at near detector



Flux prediction



x-sec models



corrected flux

corrected x-section prediction

correlation between flux & x-sec

(T2K example)

# MOTIVATION

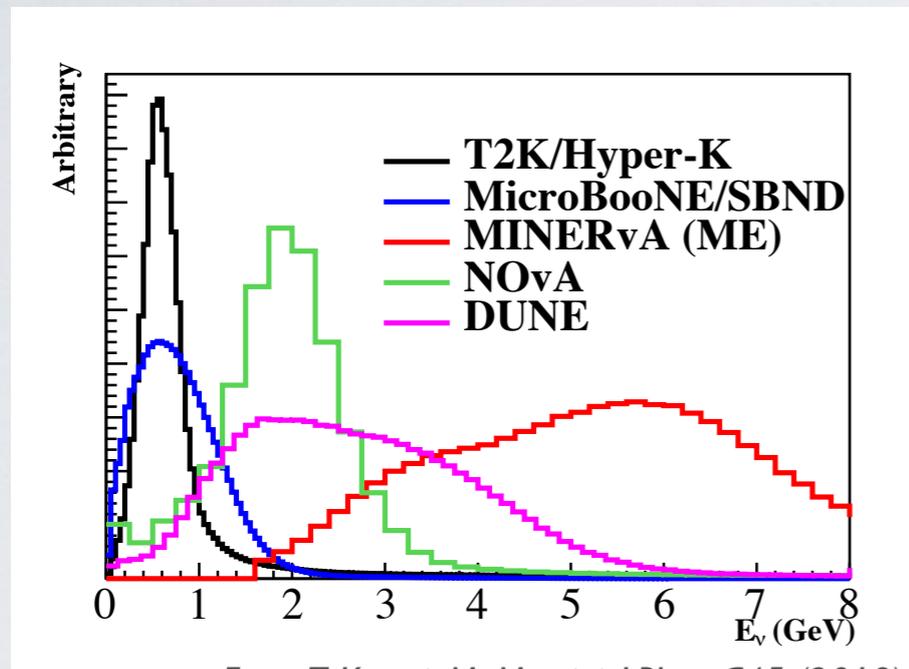
## Far detector

- Different acceptance in near/far detector (different nuclear targets, backgrounds, position with respect to the beam...)

•  $\int \overset{\text{flux}}{\phi} \times \overset{\text{x-section}}{\sigma} dE \neq \int \phi \times \sigma \times \overset{\text{oscillation probability}}{\mathcal{P}} dE$  cancellation from the near detector is never full

Neutrino-nucleus interaction models are still crucial.

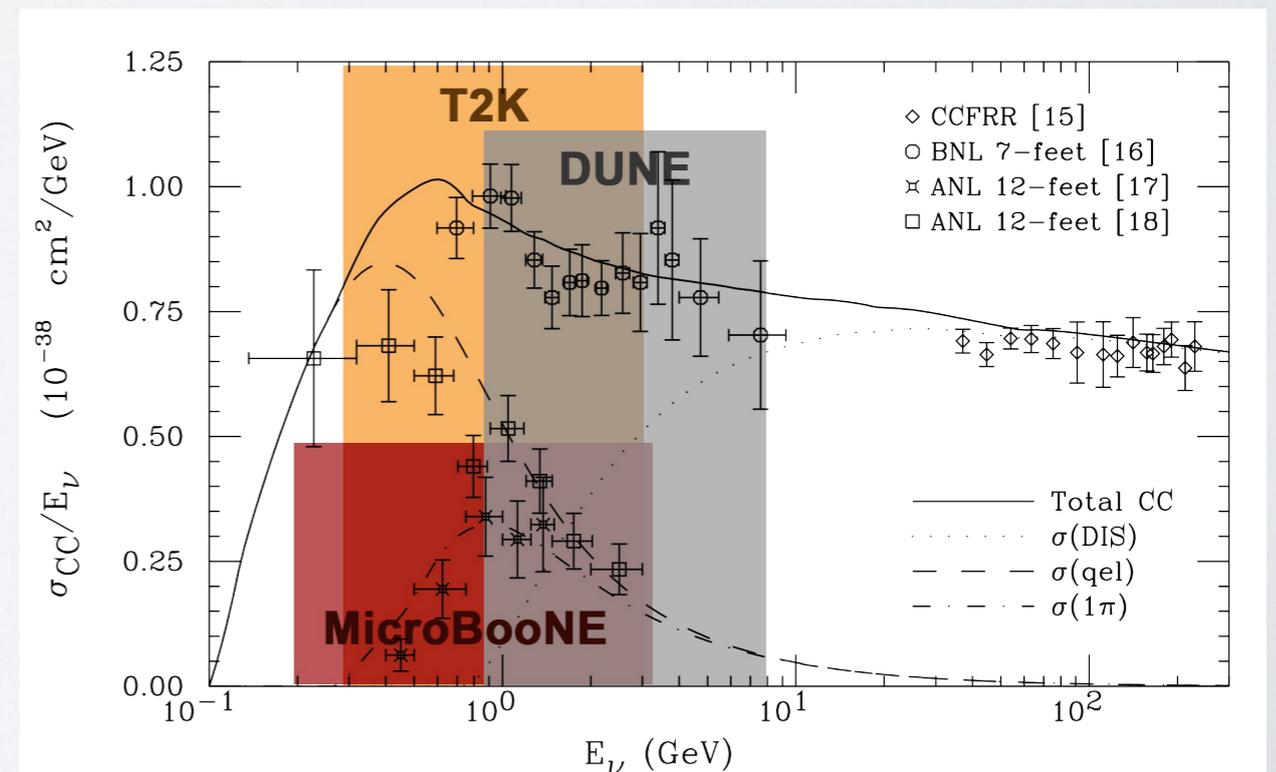
# COMPLEX DYNAMICAL MECHANISMS



From: T. Katori, M. Martini, J.Phys. G45 (2018)

- neutrino energy is unknown (flux is not monochromatic & uncertainties)
- Each experiment probes different energies (various physical mechanisms dominate)

- In every accelerator neutrino experiment we are sensitive to all dynamical mechanisms
- Spectrum is rich and mostly requires relativistic description

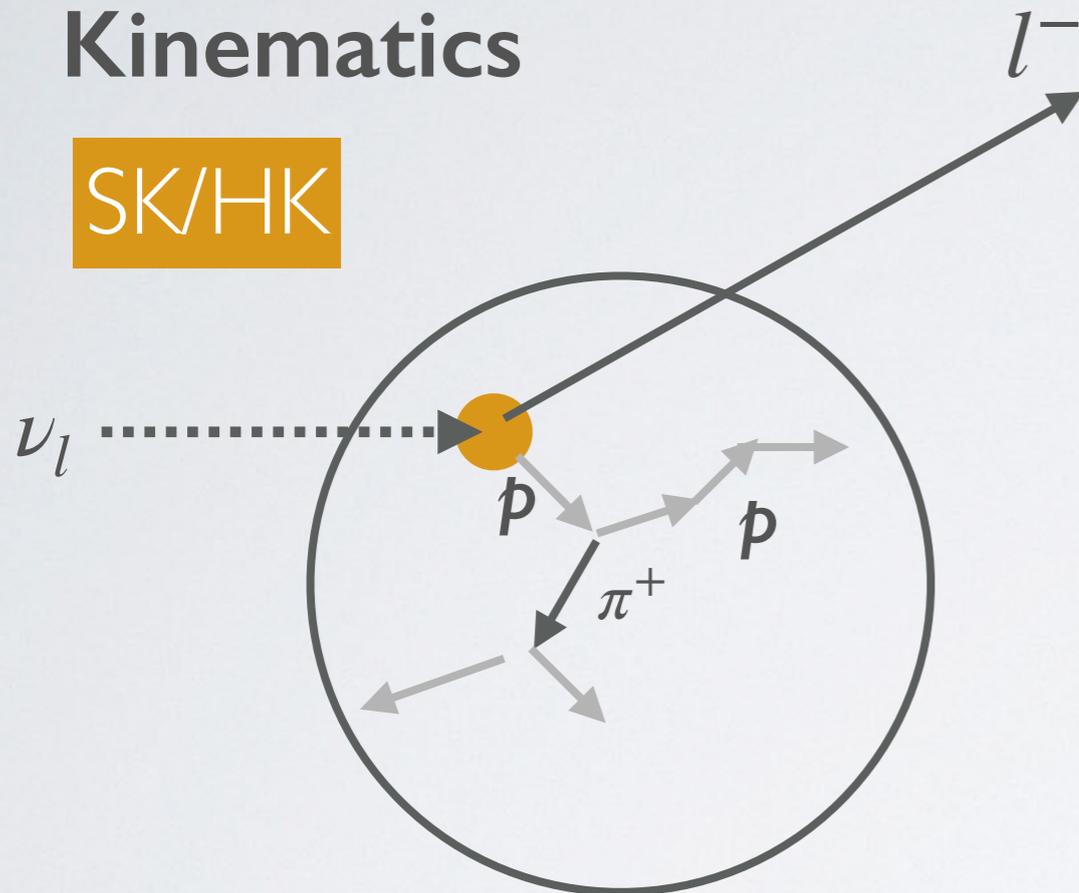


From: P. Lipari et. al., PRL 74 (1995)

# ENERGY RECONSTRUCTION

## Kinematics

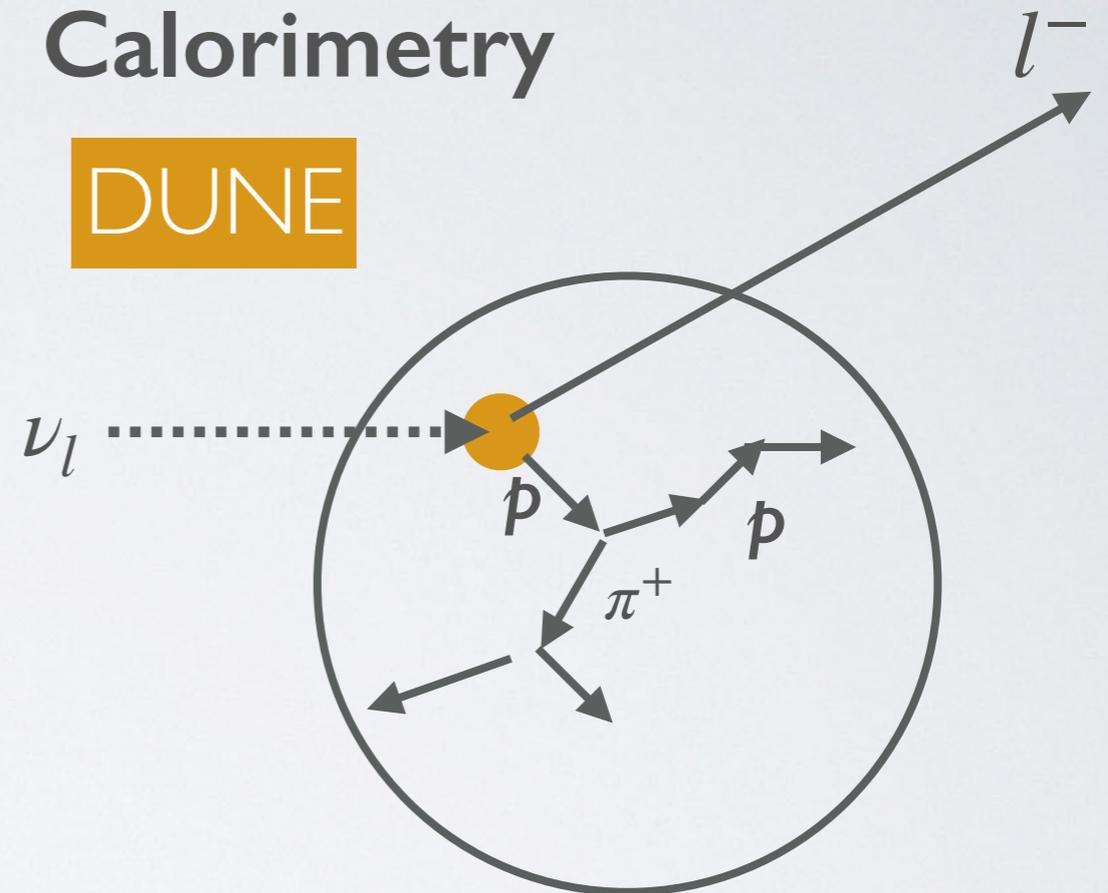
SK/HK



- depends on lepton reconstruction
- relies on identification of interaction channel. (for CCQE works well)

## Calorimetry

DUNE



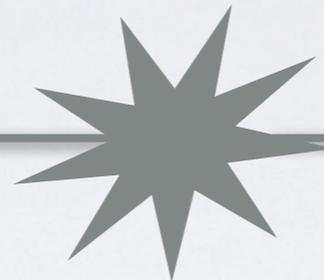
- energy conservation
- relies on visible energy
- hadron masses influence the energy balance

In both cases nuclear models play crucial role.

# EXPERIMENTAL WISH LIST TO THEORISTS

- Predictions for a wide energy-transfer range (MeV-GeV)
- Different targets
- Both inclusive and exclusive cross-sections (exclusive = outgoing hadrons)
- Estimation of theoretical uncertainties

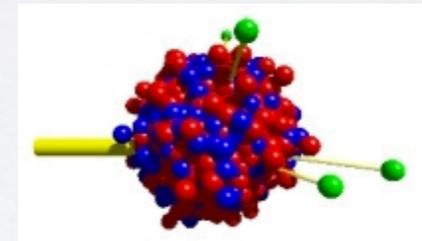
# MONTE CARLO EVENT GENERATORS



connection point between  
experiment and theory



GENIE



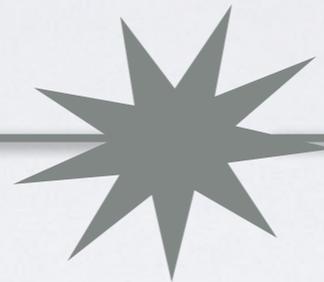
GiBUU



NuWro

NEUT

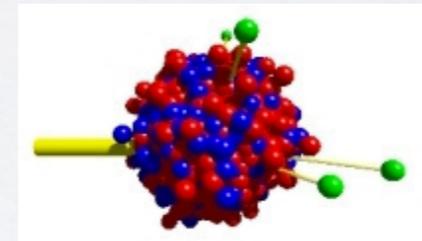
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connection point between  
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GENIE



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NEUT

30/08 (Friday) | 4:30h  
WG2 A. Ashkenazi

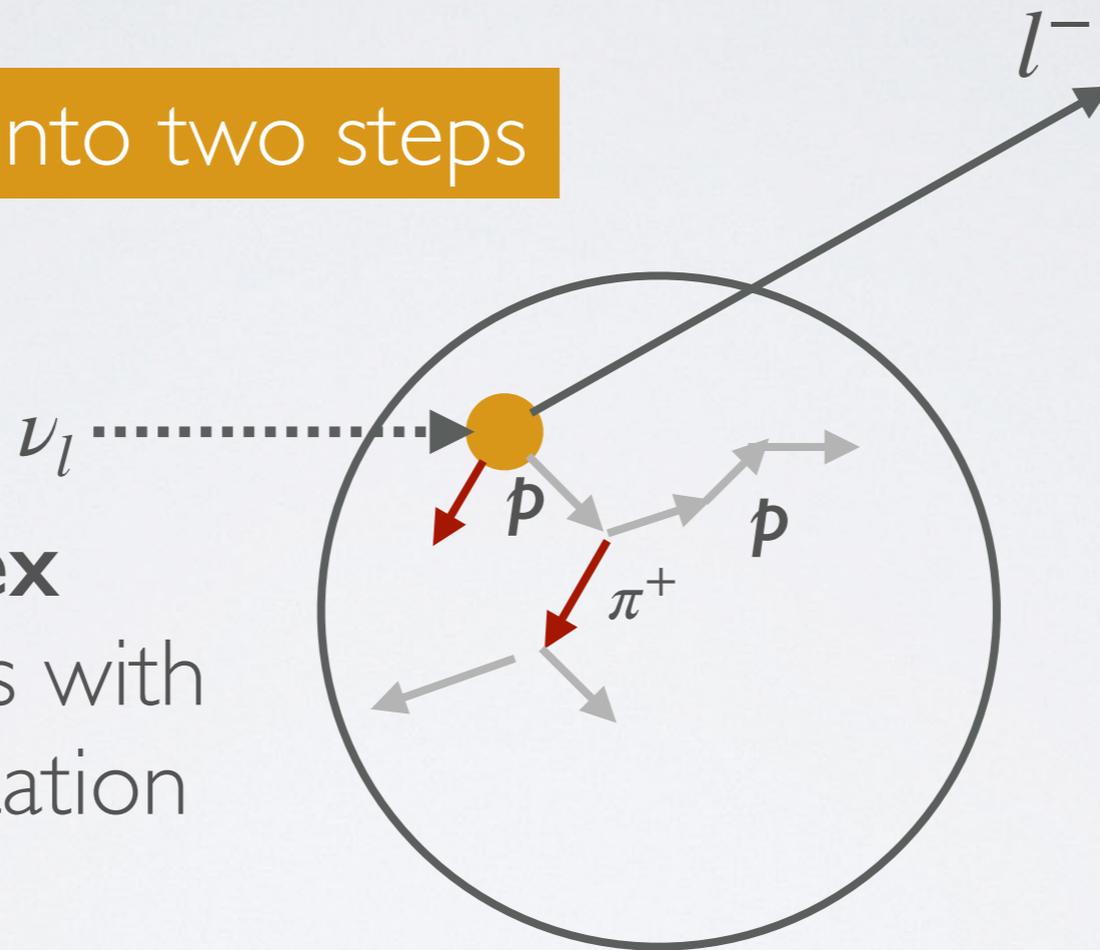


“Testing and Improving Models of Neutrino Nucleus Interactions in Generators”, ECT\* June 2019

# MONTE CARLO EVENT GENERATORS

Process factorised into two steps

**primary vertex**  
(theoretical models with various implementation methods)



**FSI=final state interactions**  
(each MC has a different model)

Inherent problems of **factorization**:

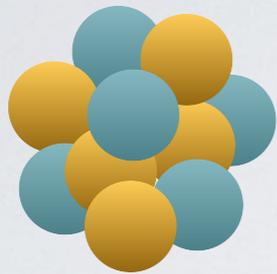
- quantum process split in a classical way
- possible double-counting

But this is the only way to compare theoretical models with experiment

# MONTE CARLO WISH LIST FOR THEORISTS

- fast implementation of theoretical models (in particular: description of all the outgoing hadrons)
- possible free parameters of theory to “tune”
- validation of models to make them trustworthy and estimate precision (e.g. using electron data)

# NUCLEAR THEORY PERSPECTIVE



$$\mathcal{H} = \sum_i \frac{p_i^2}{2M} + \sum_{i<j} v_{ij} + \dots$$

non-relativistic theory!

$\mathcal{H} |i\rangle = E |i\rangle$  ground state of correlated nucleons

charge-current operator:  $j_{cc}^\mu = \bar{u} \gamma^\mu (1 + \gamma_5) (\cos \theta_C d + \sin \theta_C s)$

we want to calculate a transition to final state:

$$J^\mu = \langle f | j_{cc}^\mu | i \rangle$$

$$\frac{d\sigma}{dQ^2 dW} \propto L^{\mu\nu} (J_\mu J_\nu^\dagger)$$

# THEORETICAL PERSPECTIVE

- Two levels of difficulties:
  - **Hadron dynamics** (axial form-factors, pion production,...)
  - **Nuclear physics** (non-relativistic, nucleon dynamics in nuclear medium, multinucleon knockout, long-range correlations...)
- (which are connected e.g.  $\Delta$  description in nuclear medium, off-shell nucleons,...)

# INITIAL STATE

Each approach has its virtues and limitations.



non-relativistic dynamics

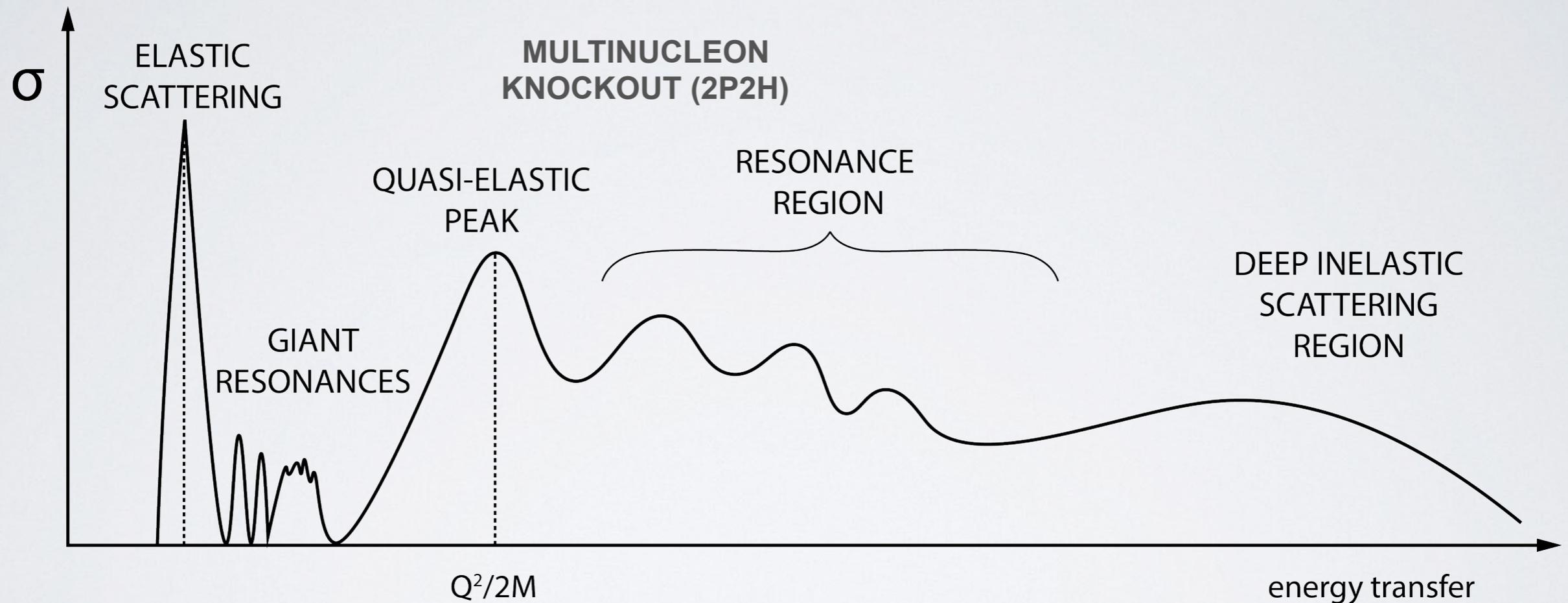
GiBUU

Long-range  
correlations  
included

- Ghent group (Skyrme interaction)
- O. Benhar SF (+correlations)
- SuSAv2 (superscaling+RMF)
- Oset SF (phenomenological input)

in many MC analyses  
we are here

# HOW WELL ARE WE DOING?

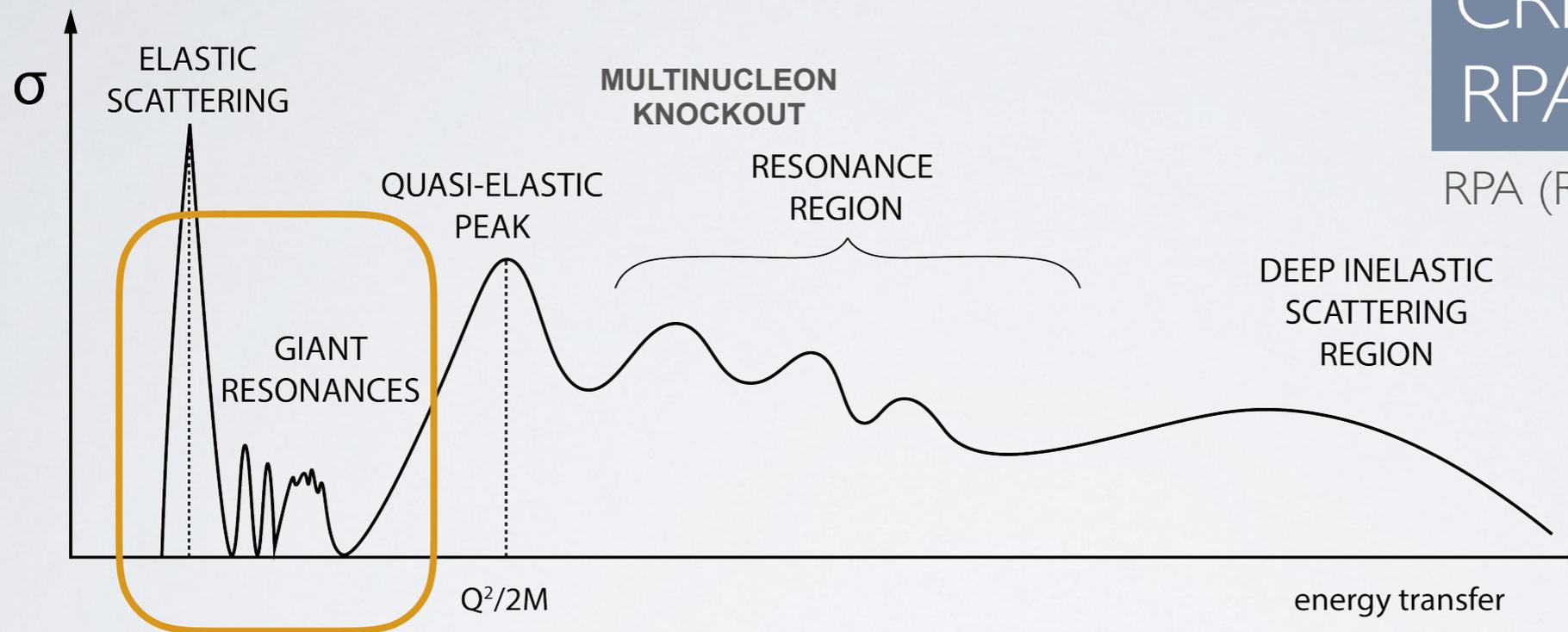


We need a consistent theoretical description of all mechanisms.

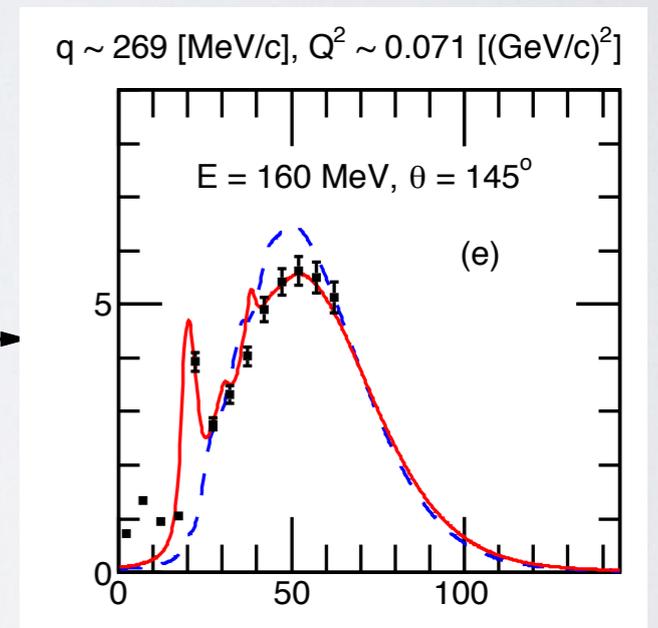
# HOW WELL ARE WE DOING?

CRPA - Ghent group  
RPA - Valencia group

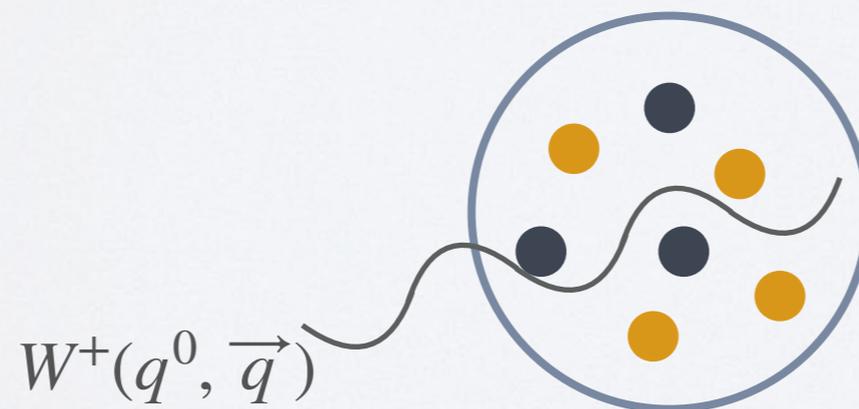
RPA (Random Phase Approximation)



requires careful nuclear structure

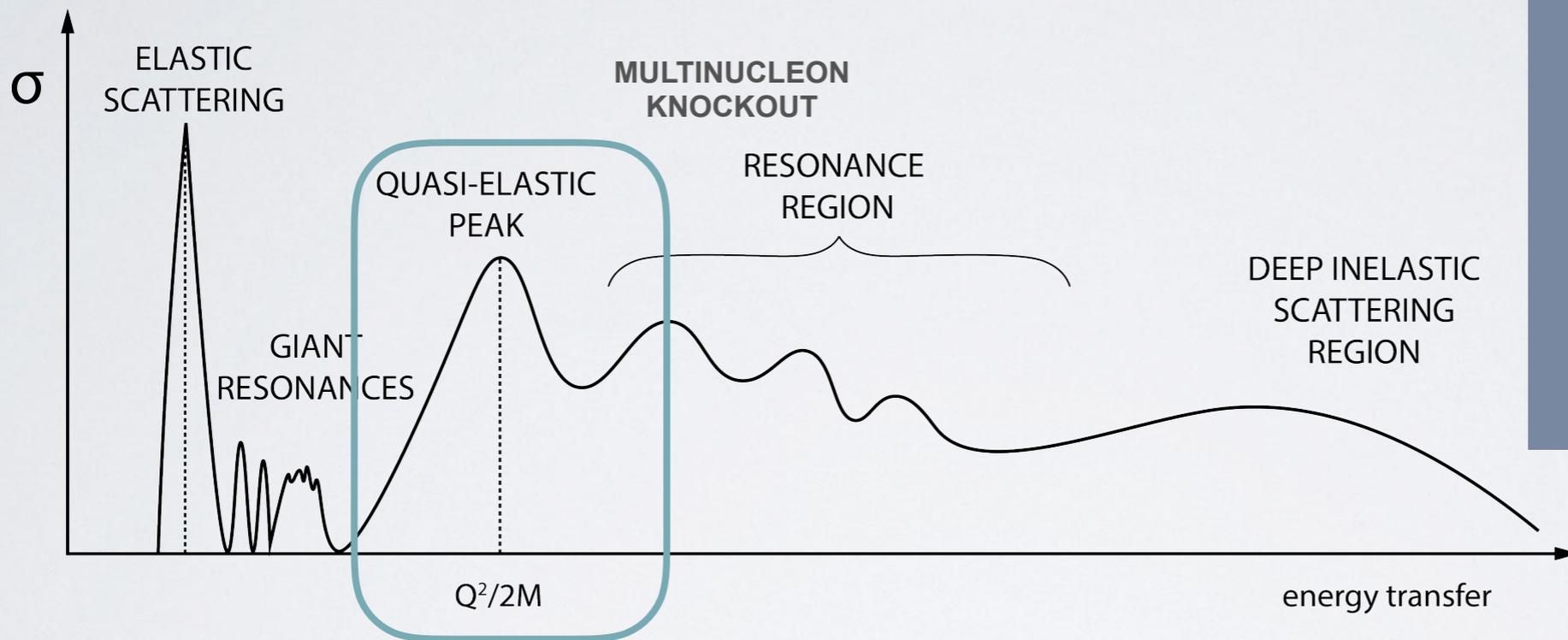


V. Pandey, N. Jachowicz, et al  
PRC92 (2015) no.2, 024606



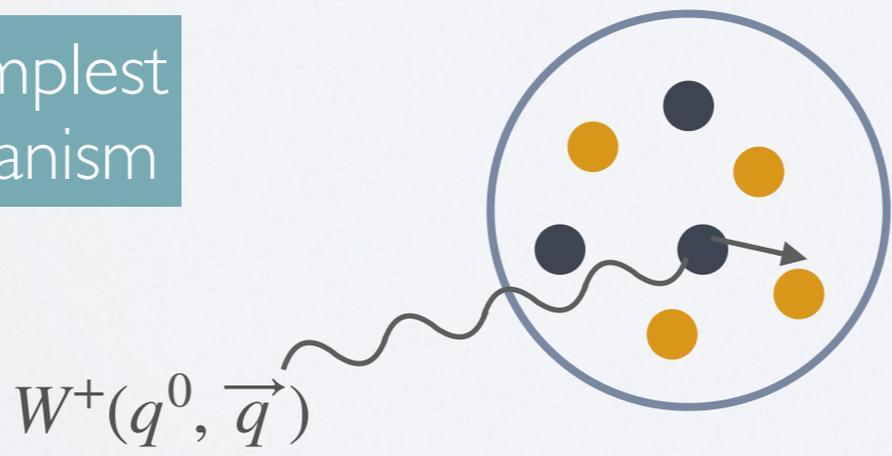
excited states of nucleus

# HOW WELL ARE WE DOING?



Benhar SF  
 Ghent group  
 Valencia group  
 GiBUU  
 SuSAv2  
 ...

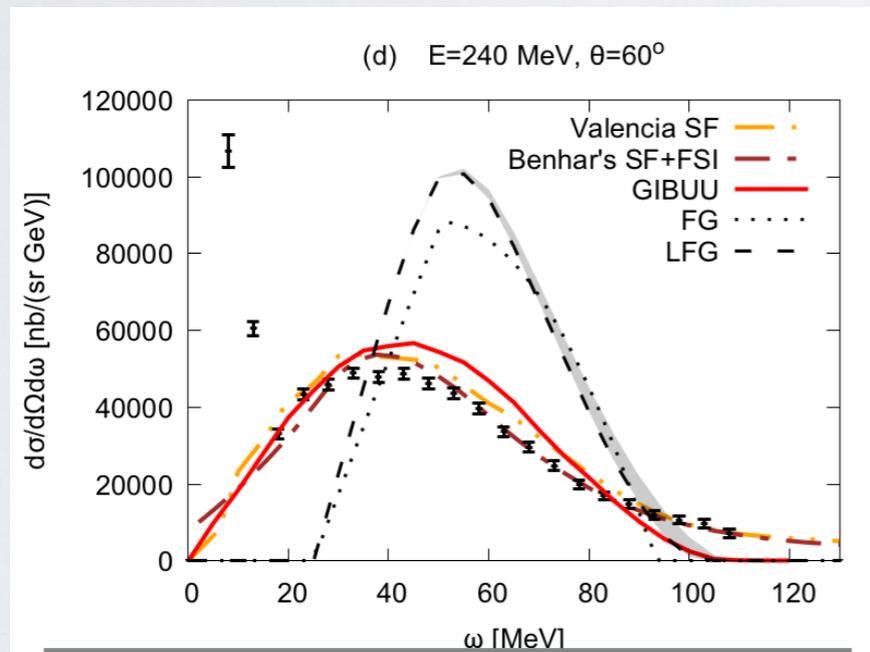
the simplest mechanism



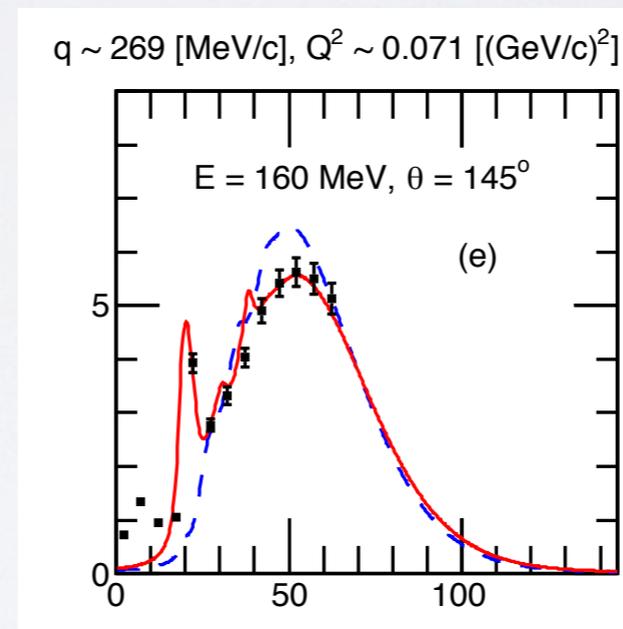
- interaction on a single bound nucleon
- one nucleon produced at the primary vertex

# QUASI-ELASTIC MECHANISM

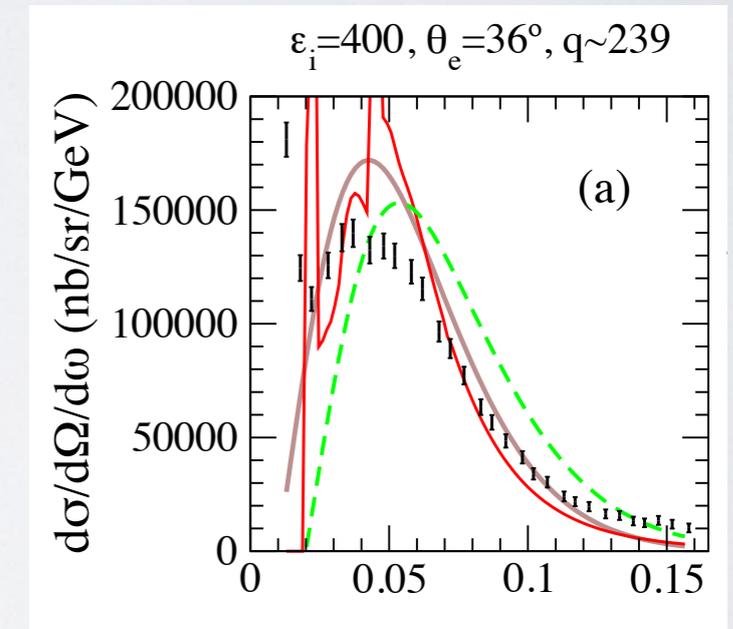
- For **inclusive** cross-section, many theoretical models seem to work reasonably well (benchmark with electron data)



Valencia/Benhar/GiBUU



Ghent

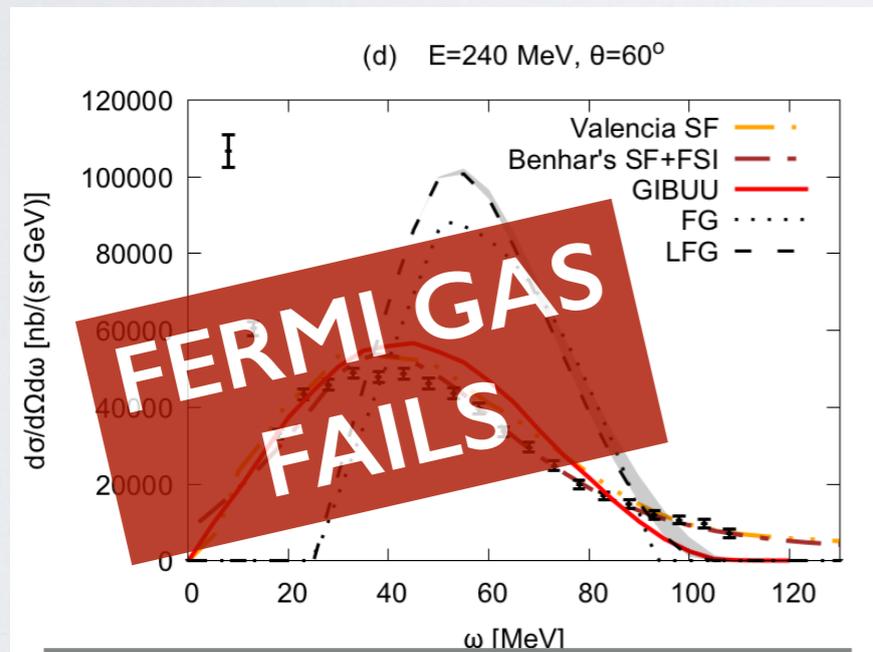


RMF/SuSA/SuSAv2

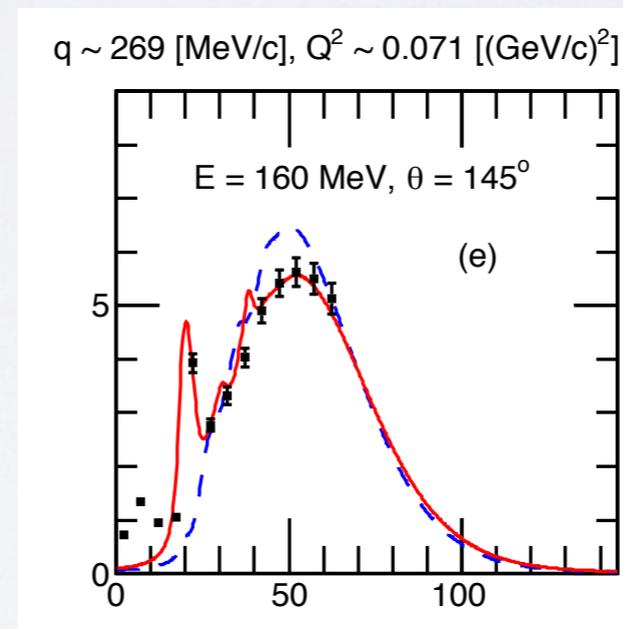
V. Pandey, N. Jachowicz, et al. PRC92 (2015) no.2, 024606  
 R. González-Jiménez, G. D. Megias, et al. PRC 90, 035501  
 J.E.S., PRC96 (2017) no.4, 045501

# QUASI-ELASTIC MECHANISM

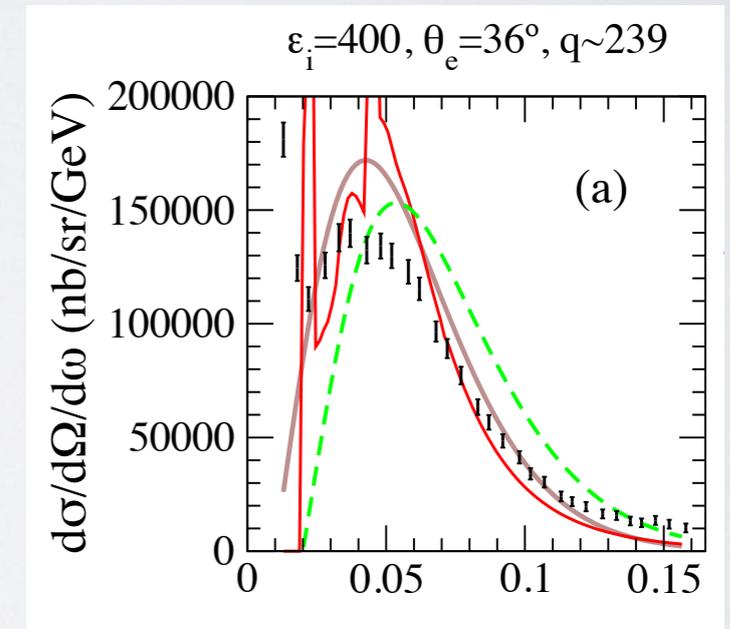
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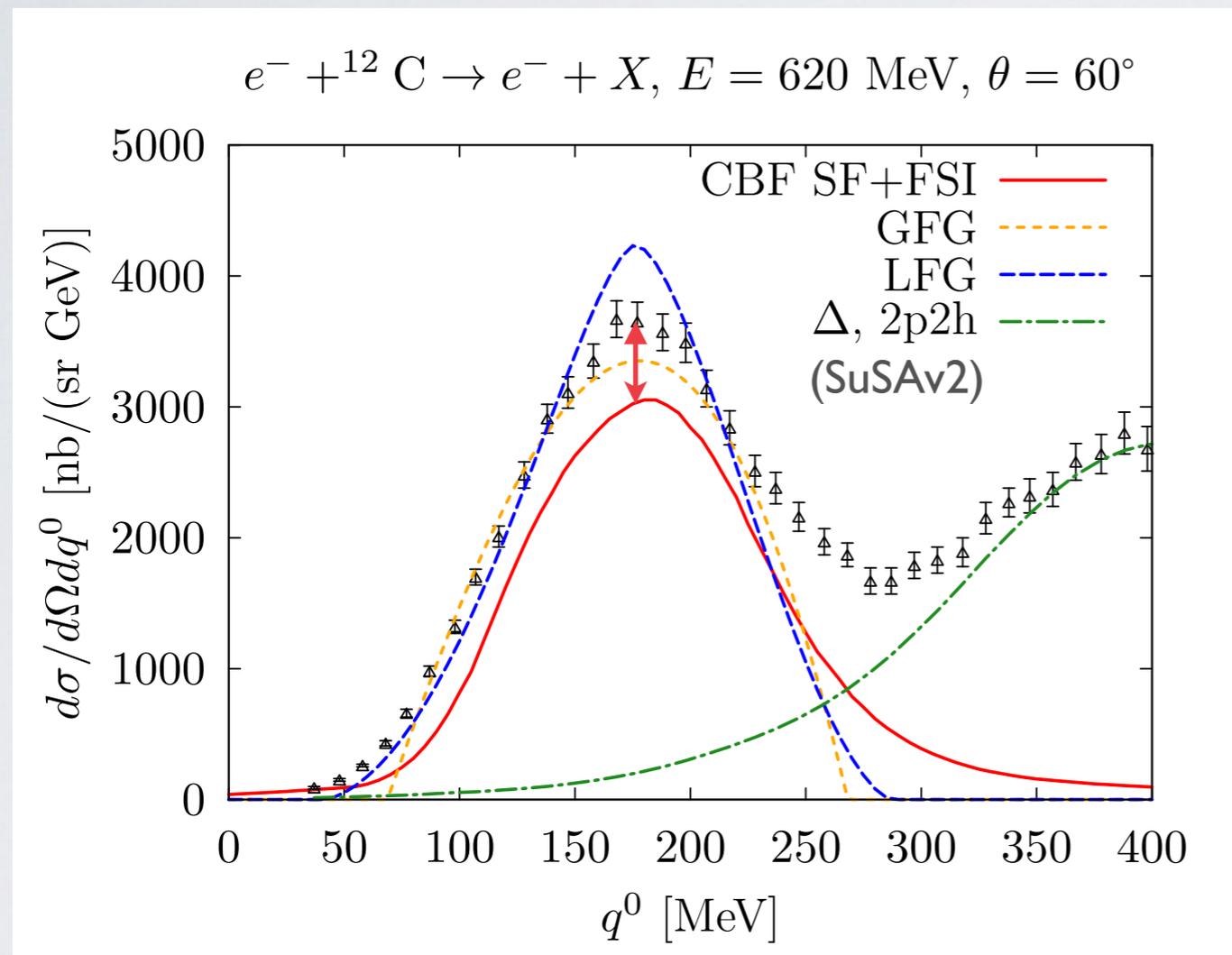
Ghent



RMF/SuSA/SuSAv2

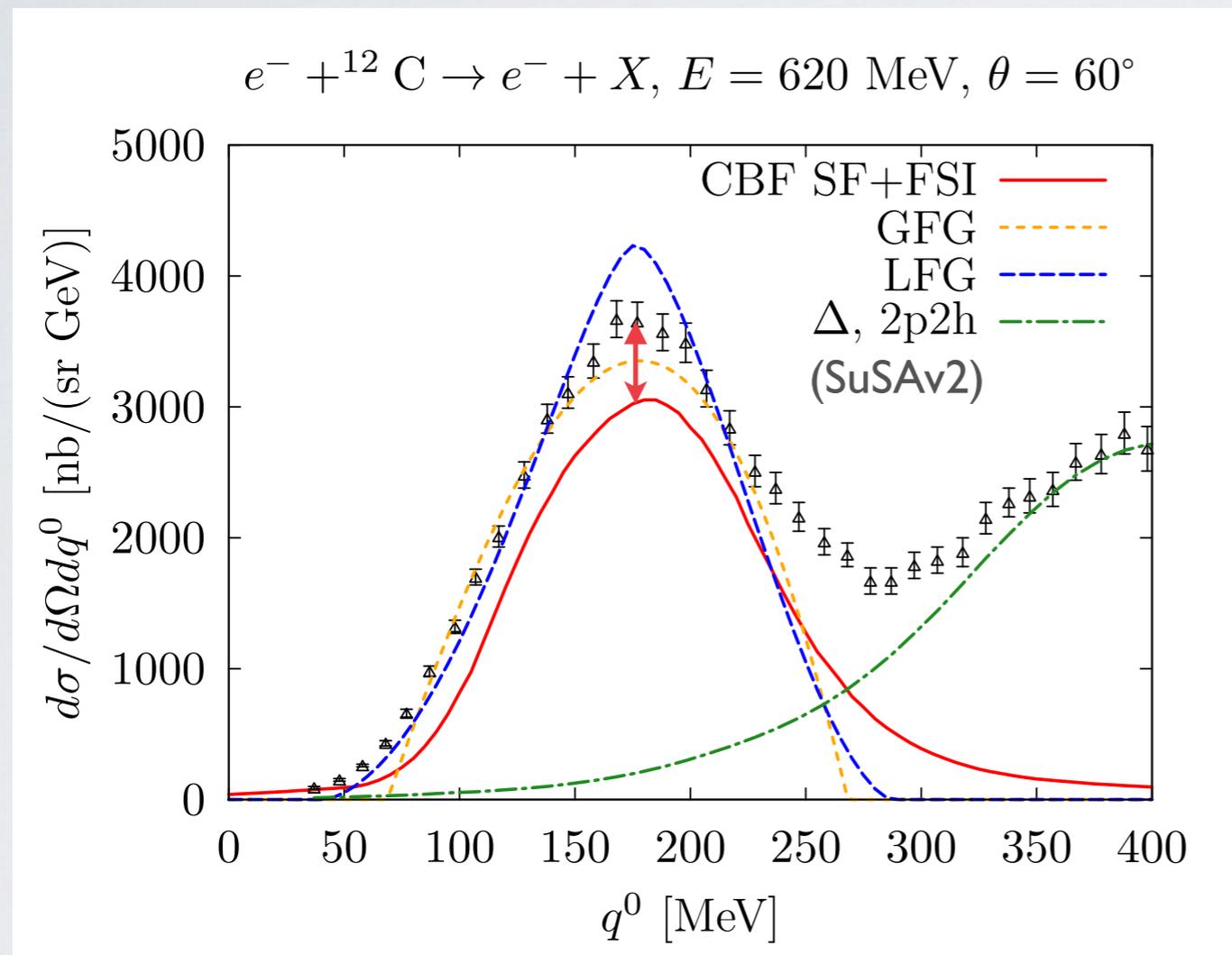
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# QUASI-ELASTIC MECHANISM



To make a precise comparison with inclusive data we need to include other mechanisms (multinucleon knockout, resonance region).

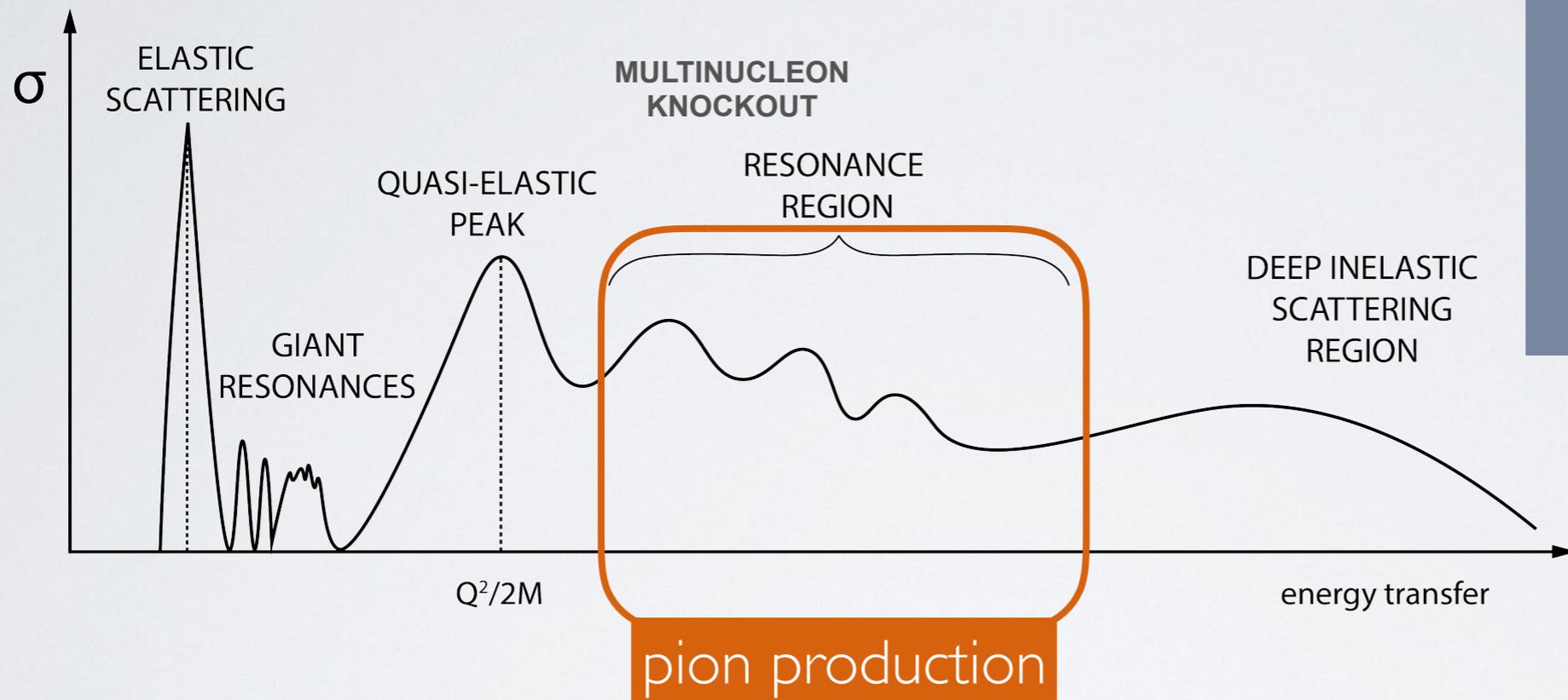
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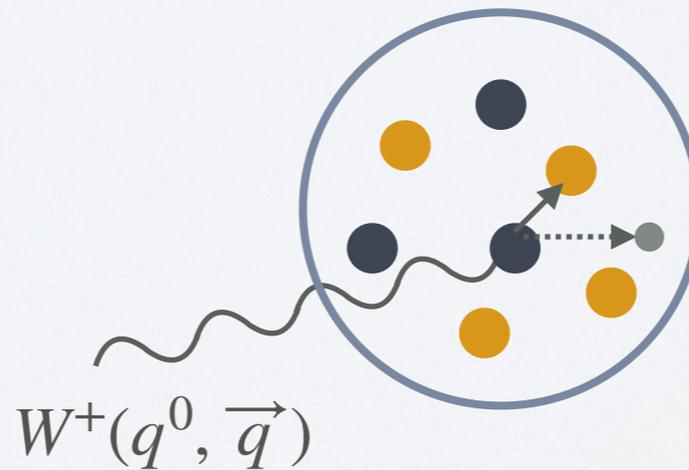
How do models work for exclusive cross-sections (outgoing nucleon)?

# HOW WELL ARE WE DOING?



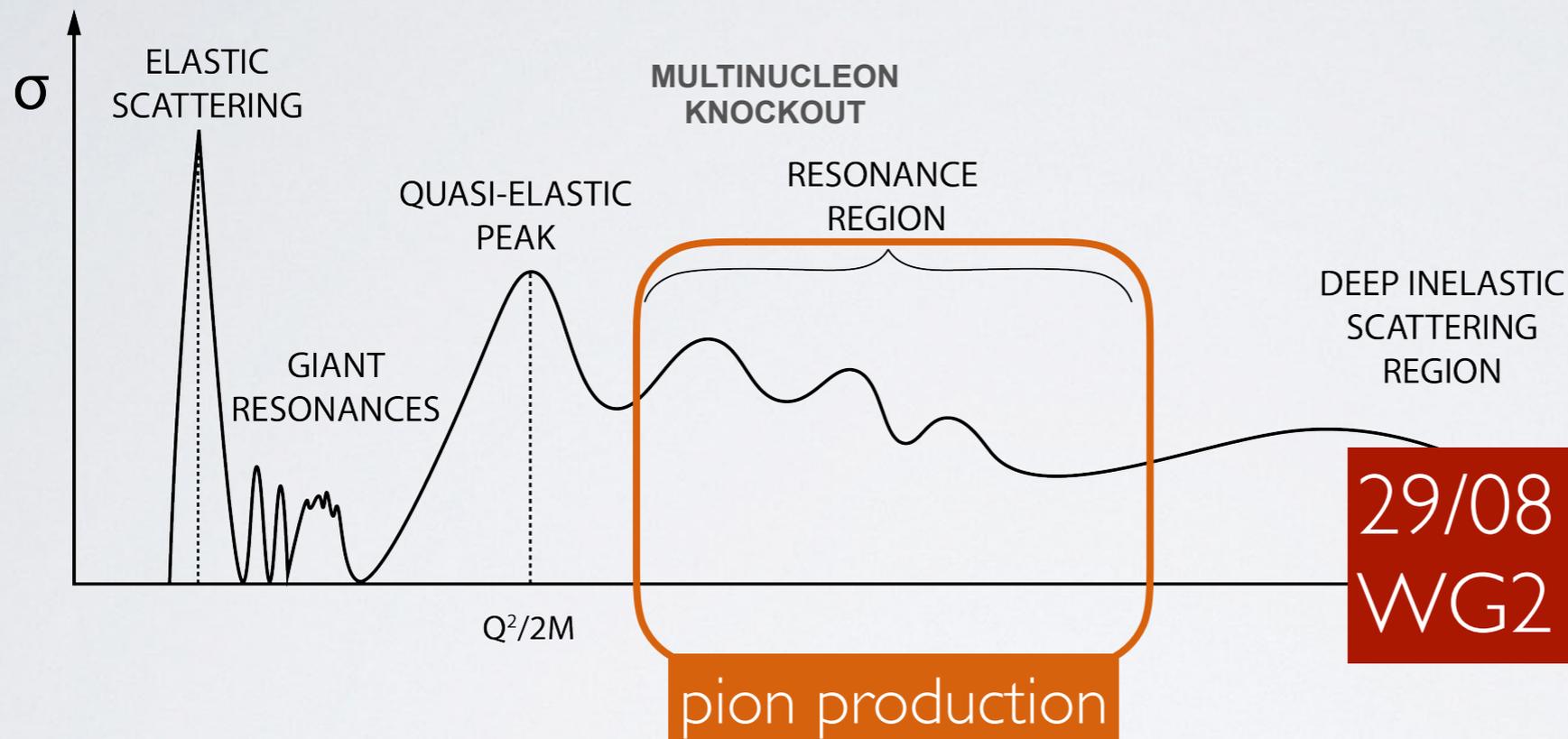
DCC  
Valencia group  
GiBUU  
Rein-Sehgal

**DCC:** S.X.Nakamura, H. Kamano, T.Sato, PRD92(2015), T.Sato, D. Uno, H.Lee PRC67(2003)  
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- interaction on a single bound nucleon
- nucleon and pion produced at the primary vertex

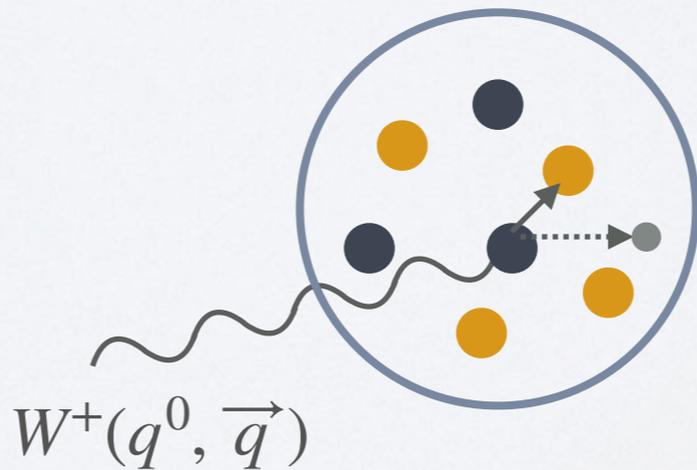
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DCC  
Valencia group  
GiBUU  
Rein-Sehgal

29/08 (Thursday) 12:00h  
WG2 E. Hernandez

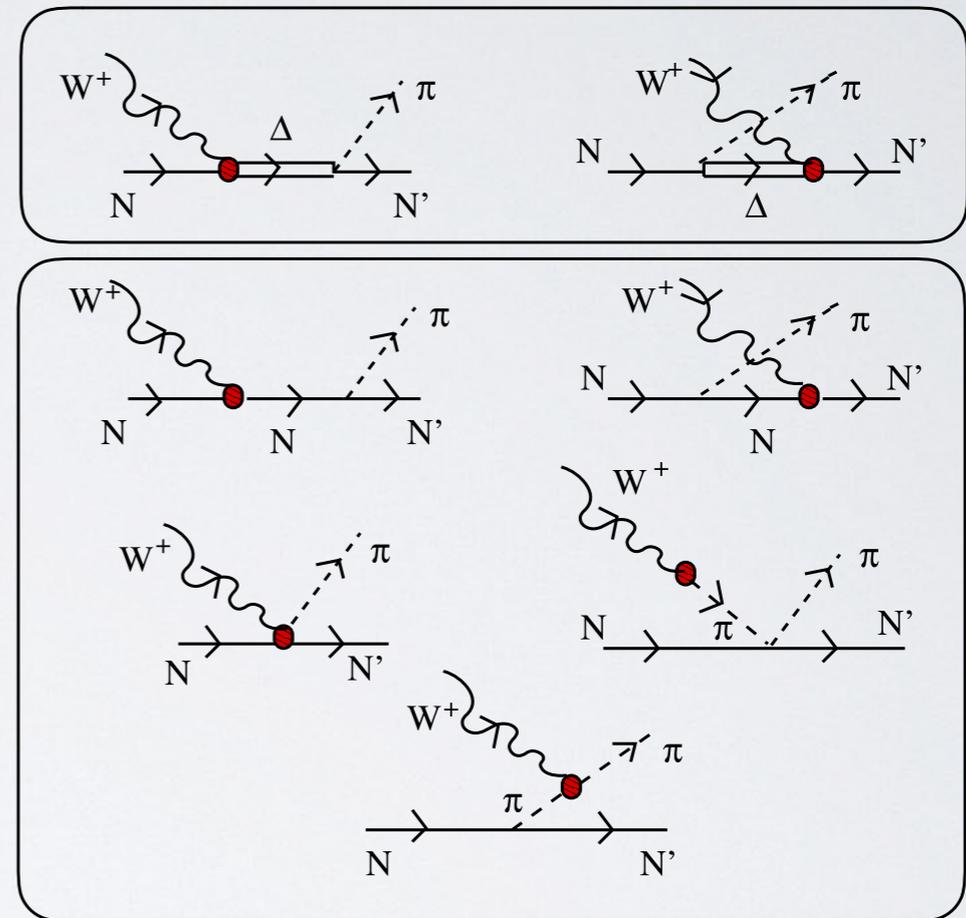
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# PION PRODUCTION

- Hadron dynamics should be checked first (interaction on a single nucleon, without nuclear effects)
- Background coming from chiral perturbation theory + resonances + phases between various contributions
- Important: unitary condition
- Models should be confronted with electron scattering data.



J.E.S., E.Hernandez, S.Nakamura, J. Nieves, T. Sato  
PRD 98, 073001

# PION PRODUCTION

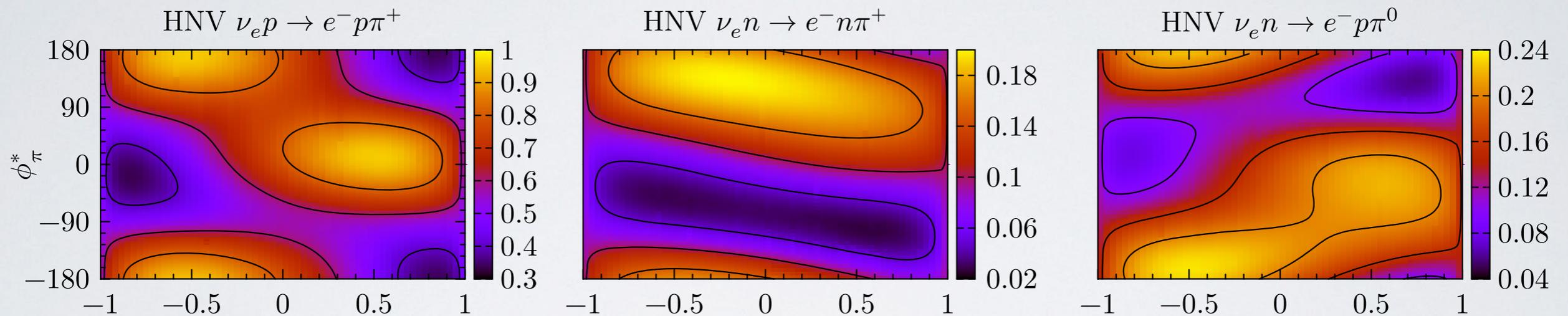
	resonances	background	unitarity	1 pion	2pions
Rein-Sehgal	$\Delta, N^*, \dots$				possible
Valencia model	$\Delta, N^*$	 ChPT	 (partial)		
GiBUU	$\Delta, N^*, \dots$	 phenomenological, incoherent			
DCC	$\Delta, N^*, \dots$				



# CHALLENGES

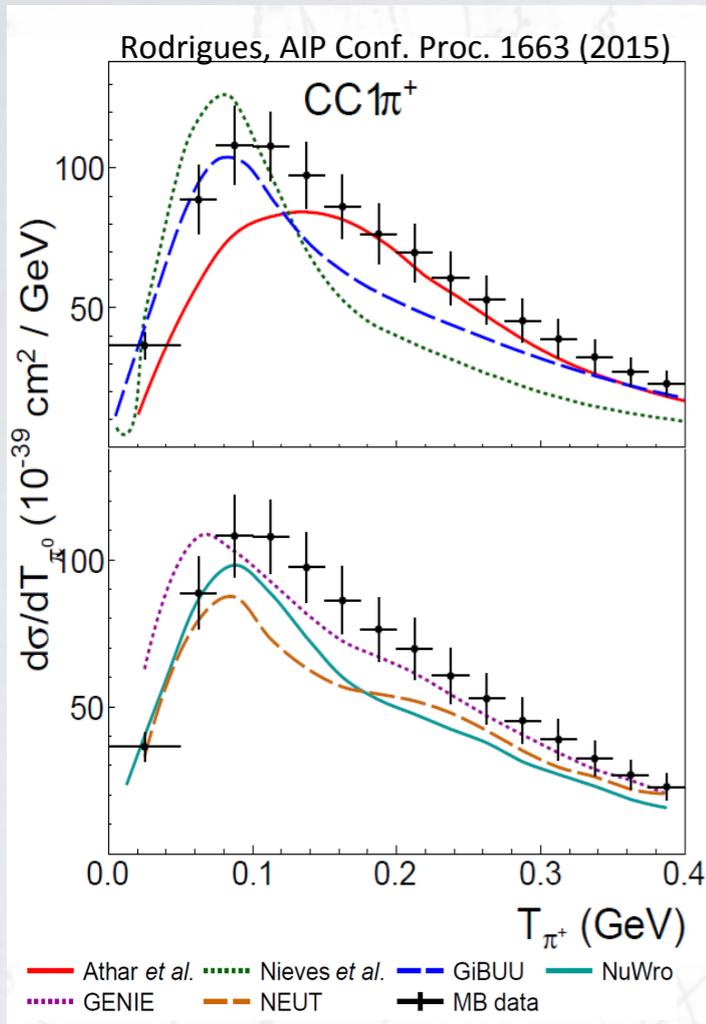
- More hadrons in the final state (pion production, multinucleon knockout) # of integrals is high  $\rightarrow$  computational time grows.
- Usual **prescription** used in the MC generators:
  1. Inclusive cross-section gives a weight of an event  $d\sigma/dQ^2dW$
  2. initial nucleon(s) below the Fermi level is generated
  3. final hadrons are generated in CM according to the phase space (so-called factorization)

# OUTGOING PION DISTRIBUTION

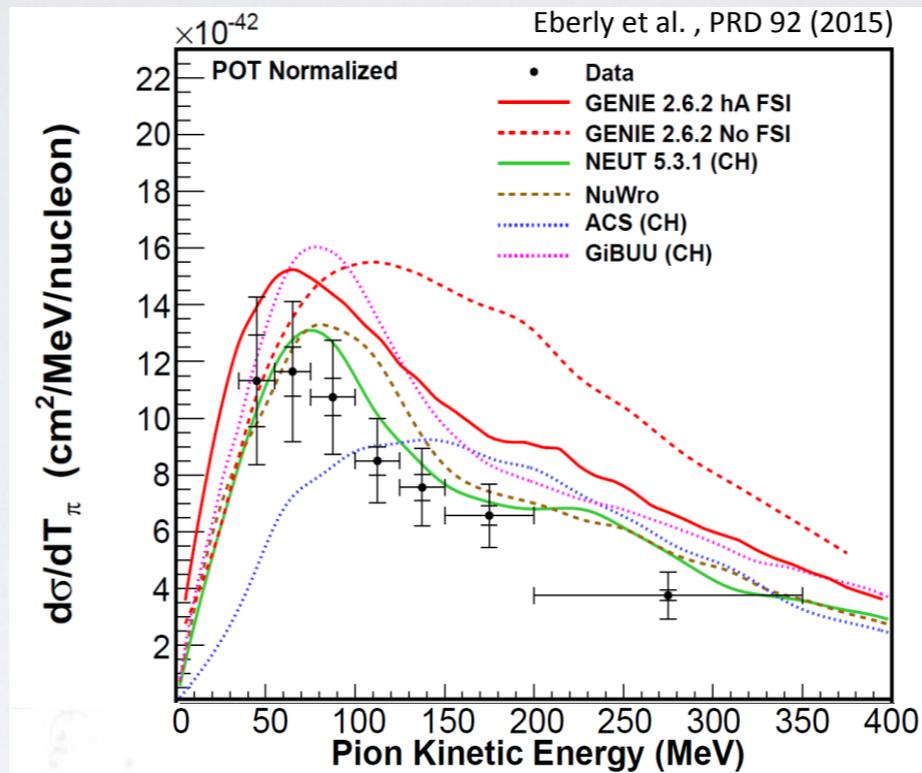


- ✓ Pion distribution is not isotropic (not generated according to phase space).
- ✓ This information is lost in MC if we use factorization.
- ✓ Anisotropy can be measured in neutrino-nucleus interaction experiments (despite FSI effects)

# PION PUZZLE

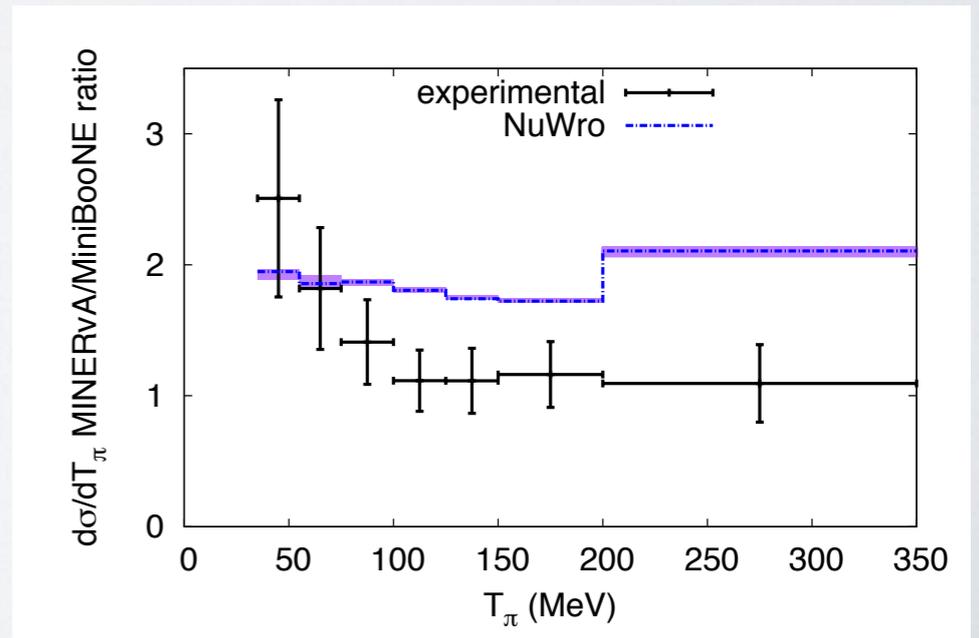


MiniBooNE



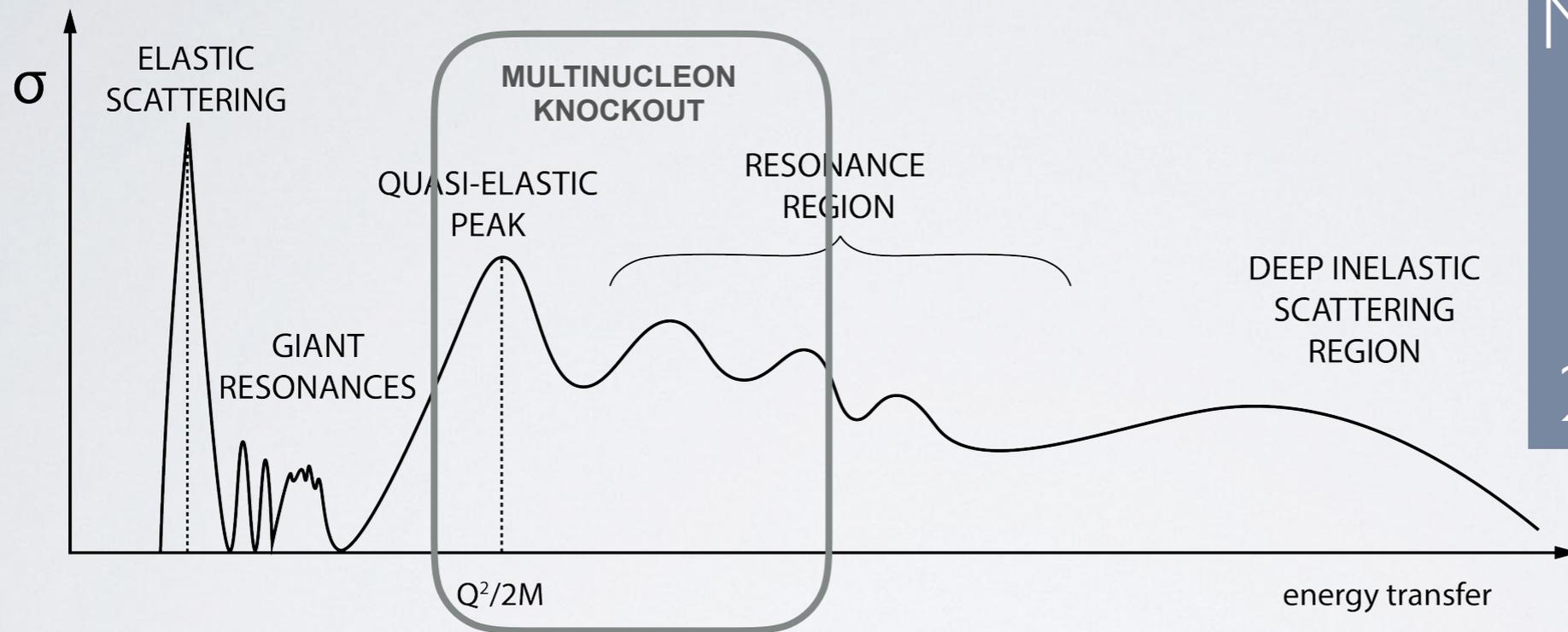
Minerva

According to MC  
the ratio between  
both results should  
be flat



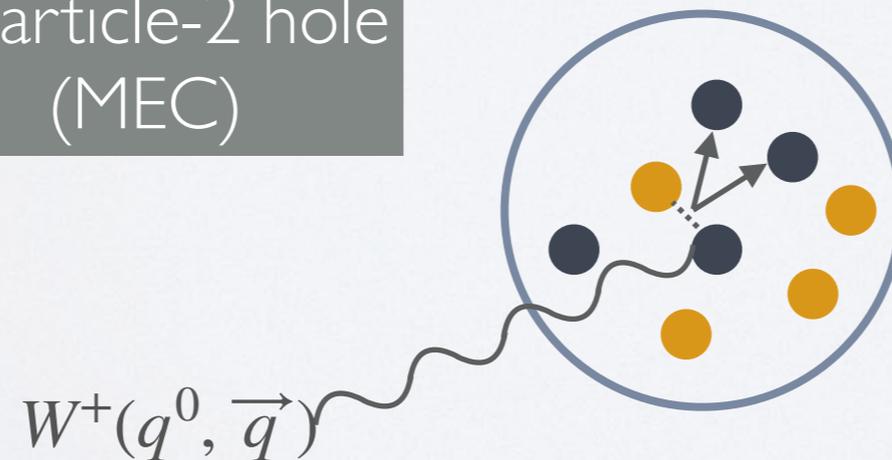
Models are not capable of describing  
both sets of data

# HOW WELL ARE WE DOING?



Valencia group  
 M. Martini model  
 GiBUU  
 SuSv2  
 Benhar SF +  
 2-body currents

2 particle-2 hole  
 (MEC)



- interaction on a pair of nucleons
- purely nuclear mechanism

# 2P2H MODELS

## Implemented in MC event generators

### ✓ Valencia model

initial nucleons: Fermi Gas  
pion & rho exchange  
various nuclear medium corrections

### ✓ SuSAv2

initial nucleons: Fermi Gas  
only pion exchange

### ✓ Martini model

initial nucleons: Fermi Gas  
phenomenological fit +  $\Delta$

### ✓ GiBUU

initial nucleons: Fermi Gas  
phenomenological fit

## Theoretical calculation in progress

### ✓ Benhar model

initial nucleons: Benhar spectral function  
currents: pion exchange

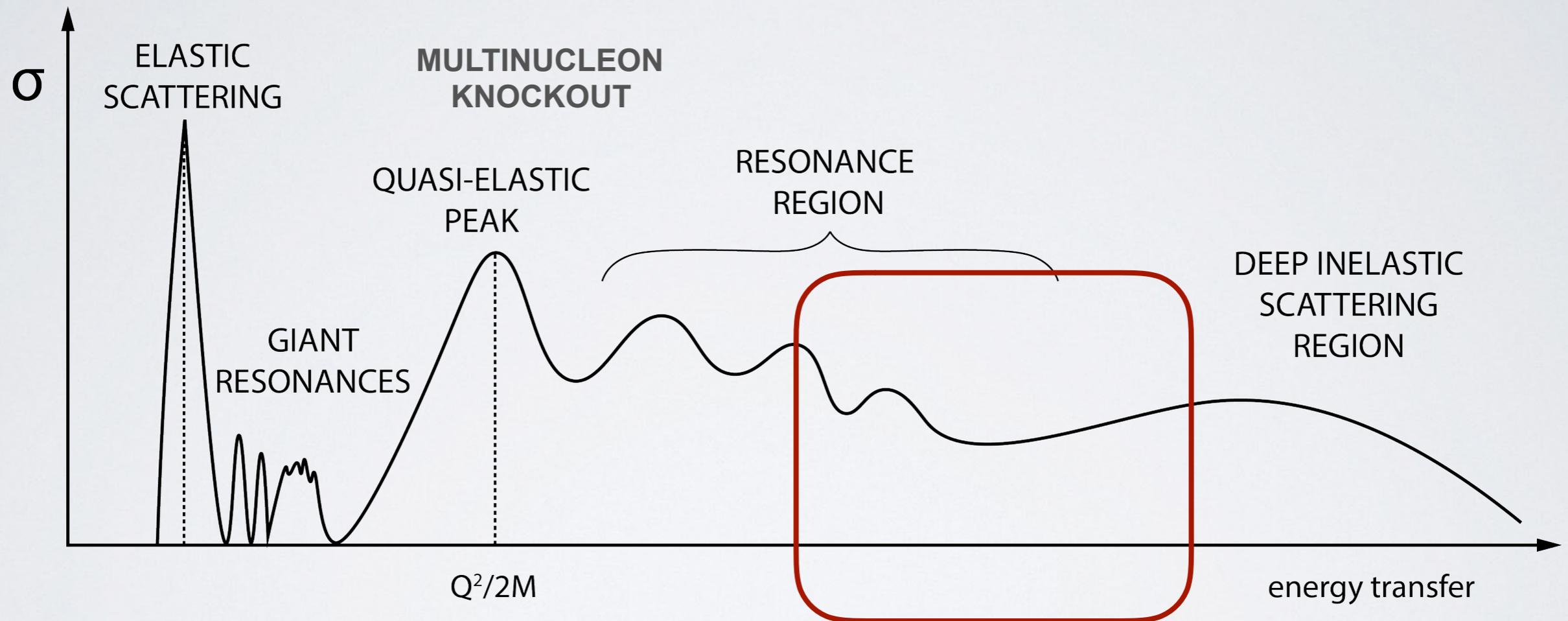
### ✓ Ghent group

mean field (both initial and final nucleons)  
currents: pion exchange

### ✓ ab-initio calculations

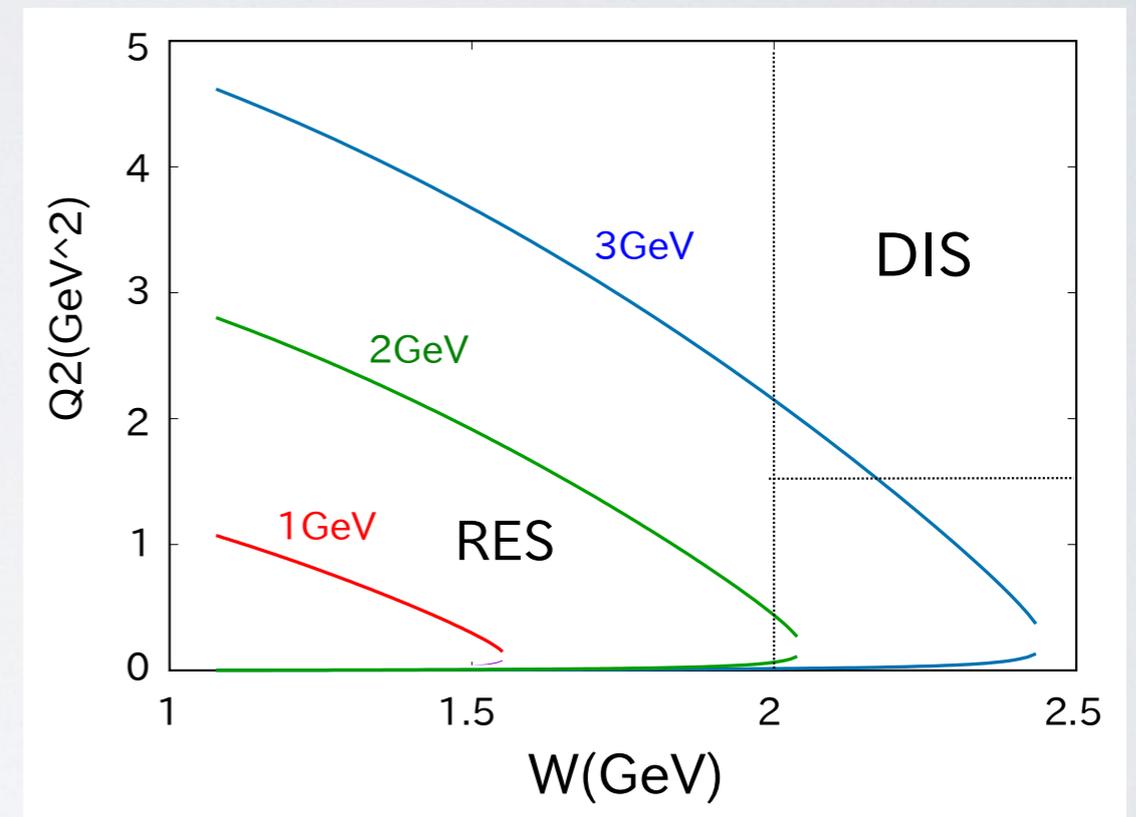
first results using GFMC (Green Function MC)  
non relativistic

# SIS/DIS REGION



# SIS/DIS TRANSITION REGION

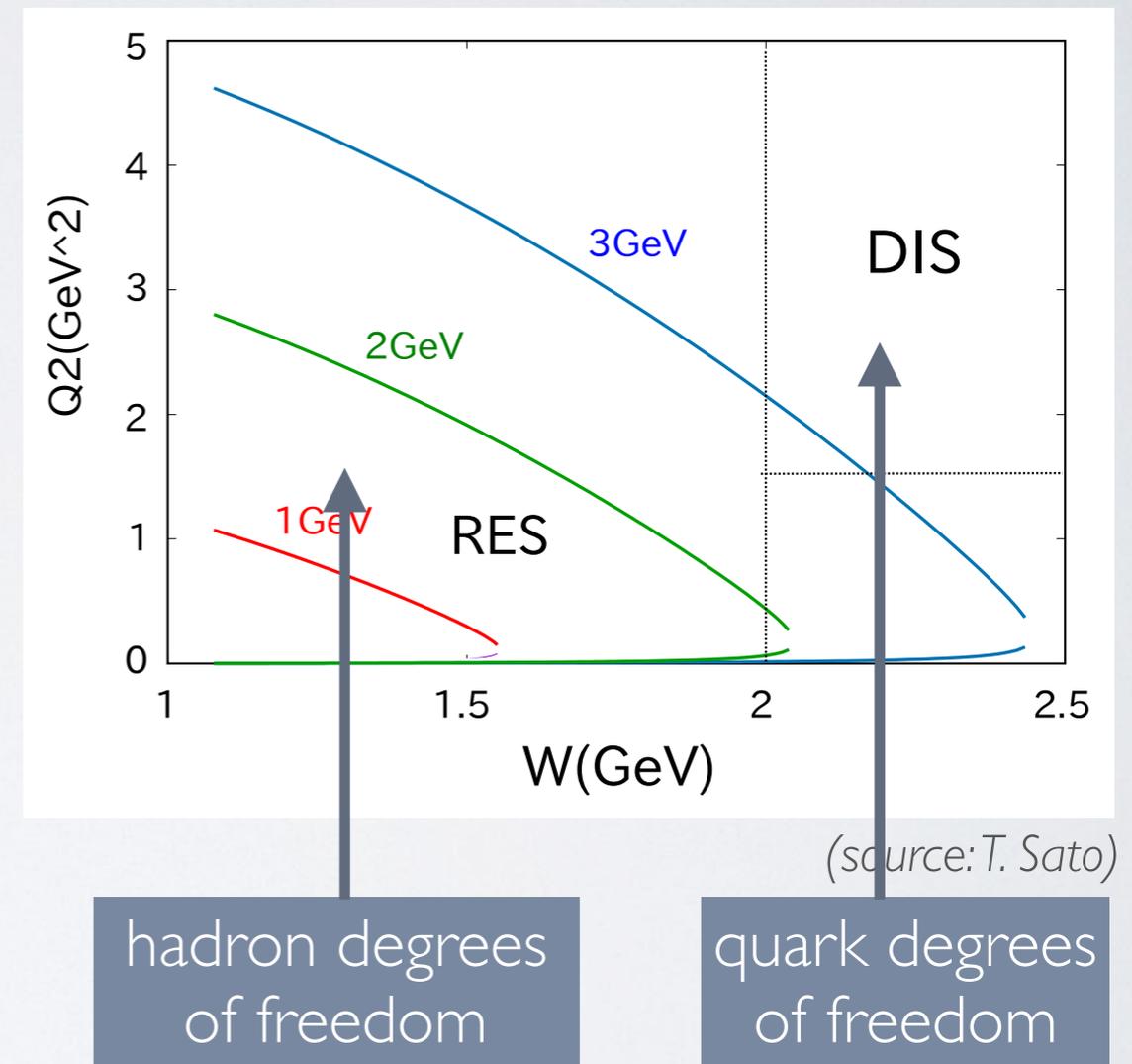
- Until recently less activity in this region
- Important for DUNE and NOvA
- 2 pion production starts to be increasingly important for  $W > 1.4$  GeV
- Difficult region because language changes (quark-hadron duality observed in electron scattering)



(source: T. Sato)

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# CONCLUSIONS

A lot of activity in community of neutrino-nucleus interactions

EXPERIMENT

MC GENERATORS

THEORY

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THEORY

We are entering the era of high precision neutrino experiments in which systematic errors greatly matter and should diminish

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## EXPERIMENT

We are entering the era of high precision neutrino experiments in which systematic errors greatly matter and should diminish

## MC GENERATORS

There is a huge **gap** between theoretical developments and models implemented in MCs.

We need a **consistent** implementation of theoretical models (all mechanisms) in MCs.

A careful **validation** of models & MCs with electron data is needed

A step from inclusive to **exclusive** observables

## THEORY

Still many **open questions** and theoretical discussion

THANK YOU

BACKUP

# PION PUZZLE

## MiniBooNE CC $\pi^+$

- target is CH<sub>2</sub>
- peak at **600** MeV
- coherent  $\pi^+$  is part of the signal
- signal defined as **1 $\pi^+$**  (no other pions)

## Minerva CC $\pi^+$

- target is CH
- $\langle E_\nu \rangle \approx 4$  GeV
- cut  $W < 1.4$  GeV
- coherent  $\pi^+$  is part of the signal
- signal defined as **1 $\pi^+$**  (and arbitrary # $\pi^0$ )

# MOTIVATION

Far detector

$$N_{\alpha \rightarrow \beta}(p_{\text{reco}}) = \sum_i \phi_{\alpha}(E_{\text{real}}) \times \mathcal{P}_{\alpha \rightarrow \beta}(E_{\text{real}}) \times \sigma_{\beta}^i(p_{\text{real}}) \times \epsilon_{\alpha}(p_{\text{real}}) \times R_i(p_{\text{real}}; p_{\text{reco}})$$

event  
rate

flux

x-section

efficiency

energy  
reconstruction  
migration

affects an overall  
normalization

...partial information from a near detector

obtained from  
Monte Carlo  
simulations

$$N_{\alpha \rightarrow \alpha}(p_{\text{reco}}) = \sum_i \phi_{\alpha}(E_{\text{real}}) \times \sigma_{\alpha}^i(p_{\text{real}}) \times \epsilon_{\alpha}(p_{\text{real}}) \times R_i(p_{\text{real}}; p_{\text{reco}})$$

In the ratio of the two, the nuclear effects do not cancel

# MOTIVATION

total x-sections  
(normalisation)

**(1 step)** How do oscillation parameters influence our predictions of the final lepton?

**(2 step)** Resolve a possible degeneracy (looking at the final hadron states)

backgrounds