Designing trackers for future colliders the CMS Tracker for LH-LHC and future technologies

Stefano Mersi 2019-07-08 iWoRiD 2019 – Chania, Crete

We discovered the Higgs boson!



We discovered the Higgs boson!



The way forward: precision!

Just a single example: resolving the slight tension between masses of W, top, and Higgs



HL-LHC is on its way



Future colliders – H factories

Project	Туре	Energy [TeV]	Int. Lumi. [a ⁻¹]	Oper. Time [y]	Power [MW]	Cost
ILC	ee	0.25	2	11	129 (upgr. 150-200)	4.8-5.3 GILCU + upgrade
		0.5	4	10	163 (204)	7.98 GILCU
		1.0			300	?
CLIC	ee	0.38	1	8	168	5.9 GCHF
		1.5	2.5	7	(370)	+5.1 GCHF
		3	5	8	(590)	+7.3 GCHF
CEPC	ee	0.091+0.16	16+2.6		149	5 G\$
		0.24	5.6	7	266	-
FCC-ee	ee	0.091+0.16	150+10	4+1	259	10.5 GCHF
		0.24	5	3	282	-
		0.365 (+0.35)	1.5 (+0.2)	4 (+1)	340	+1.1 GCHF
LHeC	ер	60 / 7000	1	12	(+100)	1.75 GCHF
FCC-hh	pp <	100	30	25	580 (550)	17 GCHF (+7 GCHF)
HE-LHC	рр <	27	20	20		7.2 GCHF
D. Schulte			Higgs Factorie	s. Granada 2019		5

D. Schulte

"Higgs Factories"

Open Symposium - Update of the European Strategy for Particle Physics -Grenada 12-17 May 2019

Luminosity & Pileup:

Collider	Luminosity	PU
LHC _{Nominal}	= 10 Hz/nb	⇒20
LHC _{Current}	= 20 Hz/nb	⇒40
HL-LHC	= 100 Hz/nb	⇒200
HE-LHC	≈ 250 Hz/nb	→~800
FCC-hh	≈ 300 Hz/nb	\rightarrow ~1000
https://indi 335/contrib hments/184 mary-Accel	co.cern.ch/event/8 outions/3380835/att 45110/3026939/Sum lerators-Granada.p	08 ac 1 df

Higgs Factories, Granada 2019

Technology challenge

Upgrade in luminosity means:

• Radiation hardness

- Radiation damage proportional in integrated luminosity at hadron colliders (ex. HL-LHC, 3000 fb⁻¹, 3 cm from beam spot)
- Surface damage mainly affects electronics measured with Total Ionization Dose (→ 1.25 GRad @ HL-LHC)
- Bulk damage mainly affects sensors → dark current → power dissipation measured in 1-MeV-neutron-equivalent (→ 2.2×10¹⁶ neq/cm²)

Segmentation

- Needs to be proportional to the **Pile-Up**, to allow two-track separation → **power consumption**
- Further improvements in the first layers (vertex reconstruction) also help tagging b mesons and τ leptons
- Bandwidth
 - Increase in **luminosity** also impact the readout bandwidth → **power consumption + readout links**

Front-end vs. detector performance

Power lines & readout links contribute to the detector **material budget** Moreover power must be extracted via **cooling**, with adds more **material**



Higher granularity Higher radiation Bandwidth

Material is mainly driven by power

- Material budget spent (~equally) in:
 - Support structures
 - Cooling lines (= power)
 - Power supply (& bias)
 - Auxiliary electronics
- Design low-power electronics



Current CMS Tracker

Material matters...

π and e tracking degraded in that region





Current CMS Tracker

Front-end vs. detector performance

Power lines & readout links contribute to the detector **material budget** Moreover power must be extracted via **cooling**, with adds more **material**

MORE power/material

CMS Tracker for HL-LHC

Careful design...

Higher granularity Higher radiation Bandwidth

DC-DC converters – serial power CO₂ cooling Low-power GBT optical transmitters Careful choice of front-end features Less layers in outer tracker

Tracker upgrade: material budget

CMS Tracker Upgrade (estimate)



Tracker for HL-LHC: less material!



Material budget modeled from engineering drawings. Significant reduction in particular around $|\eta| = 1.5$ expected. Large reduction in Outer Tracker due to the integration of services in modules, not possible in the Inner Tracker

Current technologies for Silicon Tracker detectors

Strip hybrid detectors

Ex: current tracker module

- With large sensors ~10×10 cm² (1 wafer)
- no dead material behind sensor
- x,y via two detectors 100 mrad

Strip hybrid detecte

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adapto

Pitch

Strip hybrid detectors

- Front-end electronics right behind the sensor
- x,y measured simultaneously
- Single hit resolution ~10 μm

MAPS detectors

Monolithic Active Pixel Sensors

- Advantages:
 - Integration of sensor and front-end
 - Very thin detectors (low material budget!)
- Main limitations:
 - Slow readout
 - Radiation hardness
- Notable applications:
 - Alice (LHC CERN): ALPIDE
 - Star (RHIC Brookhaven): Ultimate-2

Charge collection by diffusion - not by drift

CMS Tracker upgrade for HL-LHC

CMS Tracker upgrade for HL-LHC

Completely obliterating two fundamental components: mechanics & DAQ

Total tracker replacement

Radiation tolerance up to ∫L.dt = 3000 fb -1	Operating cold (-20°C) +50% margin in Outer Tracker Inner tracker can be extracted
Pile up 200 Occupancy < %	Increase granularity
Longer latency → 12.5 µs	Larger front-end buffers
Higher L1A rate → > 750 kHz	Bandwidth!
Improve two-track separation to resolve tracks in high pT jets	Increase granularity
Improve resolution at low p _T Reduce secondary interactions	Reduce amount of material
Increase forward acceptance	Mostly through pixel layout
Contribute to L1-trigger	Real-time efficient tracking 40 MHz output for L1

Detector layout & summary

	Current		HL-LHC
cker	~200 m ²	Silicon surface	~190 m ²
	9.3 M	Strips	42.0 M
. Tra	-	MacroPixels	173 M
Outer	15 148	Modules	13 296
	100 kHz	readout rate	750 kHz /40 MHz
ker	~1 m ²	Silicon surface	4.9 m^2
Inner Track	66 M	Pixels	2.0 G
	1440	Modules	4352
	100 kHz	readout rate	750 kHz

CMS Tracker layout: hybrid technology²³

2 types of Outer Tracker:

- 2S (Strip-Strip sensor modules)
- PS (macro-Pixel Strip sensor modules)

2 types of Inner Tracker modules

- 2×2 Pixel Chip modules
- 2×1 Pixel Chip modules

Outer Tracker modules

2 detecting surfaces each

All services are integrated on the module:

- DC/DC power converter
- Optical transceiver

Will be described in much greater detail in the **following talk by Basil Schneider**

CMS chip comparison (+ 1 MAPS)

Detector type	Detector type	Chip	Technology	Channels	Density	Power/Channel	Power density
			[nm]		[cm ⁻²]	[µW/ch]	[mW/cm ²]
CMS HL-LHC	Hybrid Pixel	CROC	65	292 000	40 000	10	384
CMS HL-LHC	Hybrid Macro-pixel	MPA	65	1 920	682	100	68
CMS HL-LHC	Hybrid Strip	SSA	65	120	43	1000	43
CMS HL-LHC	Hybrid Strip	CBC	130	127	22	1000	22
ALICE Tk	MAPS	Alpide	180	524 288	127 551	0.31	40

Detector type	Pitch x	Pitch y Interconnection	Signal	Integration time	Trigger rate
	[µm]	[µm]		[ns]	[kHz]
CMS HL-LHC	50	50 Custom bump bo	nding 4-bit TOT	25	750
CMS HL-LHC	100	1 466 C4 bump bonding	J 1-bit ADC	25	750
CMS HL-LHC	100	23 471 Wire-bonding	2-bit ADC	25	750
CMS HL-LHC	90	50 249 Wire-bonding	1-bit ADC	25	750
ALICE Tk	28	28 Integrated	1-bit ADC	10 000	100

PS: technology tuned for the need

PS modules provide three layers of unambiguous 3D coordinates

- An asset for pattern recognition
- Granularity well matched to intermediate radii
- A much more cost effective solution than extending the IT to larger radii / more layers
- Having developed three different systems pays off!

Outer Tracker modules → trigger

- Comparison of hits in closely spaced silicon sensors
- Selection of hit pairs belonging to tracks with $pT \ge 2 \text{ GeV}$
- On-module real-time data reduction by factors 10-100
- Hit pairs ("stubs") are sent to back-end, tracks are formed
- After reception of L1 trigger decision, whole event is read out (at up to 750kHz)
- An asset for pattern recognition in high pile-up: to be kept in mind

Detector layout & summary

Tracker performance must be **robust against** (unavoidable) **losses of modules Outer Tracker:**

- 6 layers
- Robust track finding at L1 in the rapidity acceptance |η| < 2.4

Inner Tracker:

- Central region: 4 layers (as in Phase-1 upgrade)
- ensures robustness for pixel-based track seeding
- good track finding performance, down to very low pT
- Forward part:
 > 8 layers (6 layers)
 → |η| ~ 3.5 (~4.0)

Total fluence neq/cm²

The target is ~ 10× present tracker

i.e. about 10^{15} neq/cm² for the Outer Tracker, > 2×10¹⁶ for the innermost pixel layer → Challenging for silicon sensors and electronics (notably in the pixel region) → Unprecedented levels!

Outer Tracker sensors

- 3 types of sensors: **2S**, **PS-s** (strips), **PS-p** (macro-pixels)
- Extensive R&D program with sensors from a single vendor
 - n-in-p type 6" wafers, 600V nominal bias voltage (possibility to increase to 800V to boost signal)
 - final choice of thickness this summer also depending on thermal performance, robustness, cost
- Required signal charge for $200 \ \mu m$ at 600V at nominal fluence (+margin)

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Inner Tracker layout

- Classic hybrid pixel detector
- Narrow pitch and high granularity $50{\times}50$ or $25{\times}100~\mu m^2$ cell size
- Pseudorapidity coverage to $\eta \sim 4$
- Unprecedented radiation (2.3×10¹⁶ neq/cm², 1.2 GRad) & hit rates (3 GHz/cm²)
- **Extractable** potential to exchange degraded parts
- Contribution to **real time luminosity** measurement (TEPX)

50×50 μm²

25×100 μm²

÷6 smaller than current detector

Inner Tracker layout

Like current detector: ladder built with modules on planar surfaces (small gap between consecutive modules but no projective gap at $\eta = 0$)

- 1×2-chip modules for Layer 1-2 ladders
- 2×2-chip modules in Layers 3-4 convenient (gives a 2-fold reduction # cooling pipes)

×2-chip modules

Inner Tracker layout

Like current detector: ladder built with modules on planar surfaces (small gap between consecutive modules Chip active but no projective gap at $\eta = 0$) active area≃4cm² ≥

- 1×2-chip modules for Layer 1-2 ladders
- 2×2-chip modules in Layers 3-4 convenient (gives a 2-fold reduction # cooling pipes)

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disks

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ТЕРХ

Inner Tracker ASIC

Developed by RD53 collaboration: 19 institutes – CMS+ATLAS RD53A ROC Chip 65 nm CMOS successful demonstrator – used to develop Sensors, Modules and System

- $20 \times 12 \text{ mm}^2$ (~¹/₂ of final size)
- 3-in-1 different Front-End architectures accurate review process CMS (& Atlas) made their choice for the final chip

CMS final chip: CROC (submission Apr '20)

Phase-1

Rate 400 MHz/cm²

L1 rate 100 kHz

Latency 3.2 µs

Radiation ~100 Mrad

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HL-LHC

Inner Tracker modules



Module design

- Design similar to current detector, but
- HDI contains only passive components (routing of signals, power, bias)

	TBPX	TFPX	TEPX	Total
1×2 modules	324	832	0	1156
2×2 modules	432	896	1408	2736
Sensors	756	1728	1408	3892
Pixel chips	2376	5248	5632	13256
Pixels [×10 ⁶]	347	767	823	1937
Silicon area [m ²]	0.87	1.92	2.06	4.84

• Development of module design, assembly tools and procedures ongoing



Inner Tracker Sensors

Planar (traditional) vs. **3D** (shorter drift, lower bias V)

Rad-hard chip needed to study sensors at same dose! RD53A boosted this activity! CMS has both options open. **So far**:

- **Planar** sensors proven to fluence larger than **Layer 2**
- **3D** sensors proven to ~½ **fluence of Layer 1** (10¹⁶ neq/cm²)



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Inner Tracker Sensors





 $25 \times 100 \ \mu m^2$ preferrable to $50 \times 50 \ \mu m^2$ according to MC simulation





Cross-talk effect measured (≥10%) with planar 25×100 due to coupling with the RD53A bump pattern (50×50) – Modified design to mitigate effect included in ongoing production at FBK

Inner Tracker data links

Optical on-board readout not possible:

- Radiation hardness of opto components
- Space on the modules
- \rightarrow Electrical links to opto links O(1m)



Inner Tracker data links



Inner Tracker serial power

- Serial powering is the only viable solution for the IT system ~50 kW on-detector power
 - Low mass- Integrated on-chip solution Radiation hard -Not sensitive to voltage drops- Low noise Operation
- I_{in} constant, enough I_{in} to satisfy highest I load. Any extra current gets **burnt by shunts**.
- Up to 11 modules in series chips on module in parallel







Inner Tracker serial power





First serial power tests based on single chips externally connected

- Modules in series chips on module in parallel
 HV Distribution defined (for planar sensors) and tested
- Different local ground on each module
- Up to 20V difference in one chain
- Can generate **forward bias** of sensors when HV =0 (need bypass when off)

Extension disk double as luminometer ⁴⁴





Lumi triggers (75 kHz) are added in the back-end Large & powerful luminometer. No extra requirement for the front-end system 1 st ring of the last disk beyond acceptance Fully dedicated background monitor (With separate readout and control)

-100

-200

100

x Imm

Pile-up mitigation with timing (futuristic, but on its way!)

Pile-up mitigation: timing



Simplified model: **Collisions:** $\sigma_z = 4.6 \text{ cm}$ $\sigma_t = 180 \text{ ps}$ **Measurements:** $\Delta z = 40 \text{ }\mu\text{m}$ $\Delta t = 30 \text{ ps}$

Probabilty of vertex merging:z-only matching: 10%z+t matching: 2%

÷5 reduction of extra vertices from timing resolution If beam-spot *sliced* in successive O(30) ps time exposures, *effective pileup* reduced by a factor 4-5:
~15% merged vertices reduced to 2%

Phase-I track purity of vertices recovered

vertices



Luminous region • t_{RMS} ~ 180 ps • z_{RMS} ~ 4.6 cm

VBF H-TT in 200 pp collisions

Dedicated timing detector

Hermetic coverage up to $|\eta| = 3$

Barrel

LYSO Crystals + SiPM embedded in the Tracker tube Ready before Tracker integration Maintain performance at 2×10¹⁴ neq/cm²

13 TeV





association pileup fraction The factor ÷5 in vertex merging coming from $3D \rightarrow$ 4D vertex reconstruction compensates the Track-PV ×5 in pile-up from LHC to HL-LHC



Density (events/mm)

LGAD detectors for endcap timing





Expected timing performance:
30~50 ps after irradiation
3 mm² pad size (limit for C)
6 m² total instrumented surface

Future technologies for Silicon Tracker detectors (at high luminosoty)?

HV-CMOS

An evolution of the MAPS concept:

- integrate transistors into diode ("smart diode", full fill factor)
- deep N-well shields low voltage devices
- HV required (based on HV-CMOS)
- fully or partially depleted sensor
- full CMOS

Main advantages:

- Epi layer fully depleted: charge collection by drift
- Collection time ~1 ns



Christof Sauer

HV-CMOS: Atlas R&D

Atlas researched HV-CMOS for HL-LHC – several prototypes



Irradiated ams H18 HV-CMOS pixel sensor Coupled to a standard FE-I4 [2]



Same production cost as CMS PS system No advantage for performance The technology is **very appealing** Radiation hardness may be further improved

[1] https://arxiv.org/abs/1811.07817[2] https://arxiv.org/pdf/1611.02669

Future developments?

Fast-timing HV-CMOS?

Thin-film detectors?

Add a boron layer similar to LGAD



The HV-CMOS structure could be in principle modified to insert an avalanche layer to increase the timing performance, as in the LGAD detectors.



Thin films is an existing technology currently used in popular applications, like solar cells, LCD – possible application to reduce the material budget?

Silicon Photonics

The issue:

- placing optical links on the detector crucial to reduce material
- not for Inner Tracker, because of lack of space and radiation tolerance of current technology

These limitations could be overcome by the use of Silicon Photonics, integrating the optical link directly into the front-ends.

Principle:

- Silicon is transparent in 1.3 1.6 μ m
- Modulators can be built as reverse-biased pn junctions
- Advantages:
 - Radiation resistance potentially as good as Si-sensors and CMOS electronics
 - Possibility of co-integration with readout electronics: less material in links
 - Detector only modulates light, with the light source far from radiation: less power/material



Silicon Photonics

Intriguing results:

- Robust against neutron irradiation (bulk damage)
- x-ray irradiation mitigated if cold (-30 °C)

Damage of photodiodes need also to be under control for a bi-directional link, but **may open new avenues**!





FCC-hh total dose



FCC-hh: which technologies?

Tracking 1000 Pile-Up: which technologies?

- Outer layers ~10¹⁵: LGAD and HVCMOS promising
 - LGAD: timing will be very useful to tame pile-up
 - HV-CMOS: low cost for large surfaces easy mass production
- Intermediated layer ~10¹⁶ standard Si best option
- Innermost layers ~10¹⁶/year \leftarrow no solution for silicon here
 - More than one replacement per year not reasonable
 - Maybe need to consider more creative solutions here!

Known optimal solutions

- Powering: serial (will be "standard")
- Readout links: silicon photonics (if R&D confirms this solution)
- pT modules \rightarrow also tracking information at Level-1 in all layers (esp. with timing @ L1!)



Bonus:

Evaluating the impact of design options on detector performance

tkLayout material estimation

Services cooling pipes optical fibres power cables

on the module

hybrids & ASICs cooling contacts

tkLayout material estimation



tkLayout µ resolution estimation

- A priori error estimation
 - No Monte Carlo
 - No fit actually done



- Error propagation to estimate resolution of track parameters
 - Intrinsic resolution of the measurement point
 - Multiple scattering treated as a (correlated) measurement error

$$\sigma_n^2 = \left\{ \frac{p^2}{12}, \ f\left(\text{angle of incidence}\right) \right\}$$
$$\sigma_{n,m} = \langle y_n y_m \rangle = \sum_{i=1}^{n-1} (x_m - x_i) (x_n - x_i) \langle \theta_i^2 \rangle$$

















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Backup

DAQ architectures



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Readout scheme

- Level-1 "stubs" from OT are processed in the back-end
- Form Level-1 tracks, pT above ~ 2 GeV contributing to CMS Level-1 trigger
- Pixel and Outer tracker are readout at 750 kHz with 12.5 μs latency



40 MHz – Real time 750 kHz – CMS Level-1 trigger

The trigger challenge at HL-LHC

- High rates \rightarrow more pileup \rightarrow algorithms become inefficient
- Target L1 trigger rate: 750 kHz, L1 trigger latency = 12.5 μs
- Tracker information at L1 highly beneficial for L1 trigger performance:
 - Sharper turn-ons, lower rates, vertex discrimination, new variables (isolation, invariant mass)



Solution to the trigger challenge



- Exploits pT-dependent bending of tracks in strong CMS magnetic field
- Comparison of hit patterns in closely spaced silicon sensors
- Selection of hit pairs belonging to tracks with $pT \ge 2 \text{ GeV}$
- Data reduction by factors 10-100

Thanks to

magnetic field!

CMS 3.8 T

- Done on-module, at 40MHz
- Hit pairs ("stubs") are sent to back-end, tracks are formed
- Tracks are combined in CMS L1 trigger system with calorimeter and muon information
- After reception of L1 trigger decision, whole event is read out (at up to 750kHz)
- An asset for pattern recognition in high pile-up: to be kept in mind

high p⊤ track

pass

Stub



Outer Tracker modules

- pT discrimination depends on acceptance window & sensor separation
- Acceptance window is programmable (both width and position)
 - Large spacing ↔ large window needed
 - Large spacing \rightarrow good pT resolution
 - Large window \rightarrow more accidentals
- Small windows preferred in inner layers
- Five sensor variants needed



Module type and variant		TBPS	TB2S	TEDD	Total per variant	Total per type
25	1.8 mm	0	4464	2792	7256	7680
	4.0 mm	0	0	424	424	
PS	1.6 mm	826	0	0	826	5616
	2.6 mm	1462	0	0	1462	
	4.0 mm	584	0	2744	3328	
Total		2872	4464	5960	13296	

Colors=spacings Numbers= acceptance windows

Inner Tracker sensors

Hadron fluence varies strongly with r After 3000 fb⁻¹

> **a** $2 \times 10^{16} n_{eq} \text{ cm}^{-2} @ \text{ r}^{=3} \text{ cm}$ **a** $3 \times 10^{15} n_{eq} \text{ cm}^{-2} @ \text{ r}^{=11} \text{ cm}$



Material budget: **Y** tomography



Current CMS Tracker

CMS-PAS-TRK-10-003

Material budget: **Y** tomography

Every straw

is visible



Current CMS Tracker

CMS-PAS-TRK-10-003

Material matters...



Current CMS Tracker

...allows improved resolution

$B^0_{\ s} \rightarrow \mu^+\mu^-$ and $B^0 \rightarrow \mu^+\mu^-$

- FCNC: low branching fractions in standard model: sensitive to new physics
- B candidates formed from two oppositely charged muon candidates with pT > 4 GeV for $|\eta|$ < 1.4, and pT > 2GeV for $|\eta|$ > 1.4
- Profits from tracker momentum resolution and use of tracking information at L1 trigger: significant gains in mass resolution and peak separation significance wrt Run 2



Contribution to the L1-Trigger

New feature of CMS Tracker for HL-LHC

- Tracking information available at L1 trigger
- Trigger can be based on tracks formed at bunchcrossing rate
- Allows selection of specific processes @40 MHz

Selection of rare processes @L1

$B^0_s \rightarrow \Phi \Phi \rightarrow 4K$

- FCNC: forbidden at tree level in standard model, loop contributions from heavy particles
- Sensitive to new physics, and CP violating phase in CKM matrix
- Challenge: reconstruct (trigger & offilne) tracks from low momentum particles
- + B_s candidates formed at L1 trigger!
- Analyses not possible without low thresholds in L1 track finder



Hermetic coverage with tilted barrel



Particle n

Tilted barrel



Figure 3.27: A TBPS layer 1 plank and the layer 1 central flat section with its 18 planks.



Figure 3.28: A TBPS layer 1 ring and one of the two layer 1 tilted sections.





Current technology limit

Even with a large effort in material budget reduction, the material amount in the detector is affected by the high power density of pixels and the separation between front-end and optical transmitters (with their own power lines, cooling, etc.)

The current limit of 10¹⁶ neq/cm² and 1.2 Grad is probably a hard one for current sensors and chips

Future technologies to overcome mass and radiation tolerance:

- A research in radiation tolerant HV-CMOS MAPS detector was performed by ATLAS technology probably not mature for HL-LHC
- An improvement could come if optical links could be directly coupled to the front-ends, through Silicon Photonics