## Vacuum & Surface Science

## Lecture 9

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#### ISIS from above







## Part 2 - Surface Science



- $\cdot$  In the UK ISIS, Diamond, CLARA
- Also CERN, ESRF, SNS, SOLEIL,
  ELLECTRA, DESY, BESSY etc



#### **The Vacuum Spectrum**

Extreme High Vacuum (XHV)	Ultra High Vacuum (UHV)	High Vacuum	Rough Vacuum	
below 10 <sup>-10</sup>	10 <sup>-10</sup> to 10 <sup>-8</sup>	10 <sup>-8</sup> to 10 <sup>-3</sup>	10 <sup>-3</sup> to 10 <sup>+3</sup>	
Pressure (mbar)				
			Cost (£)	

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In general the vacuum level required for an accelerator is determined by its operation.

• Single pass – 1 to 1000µs (10<sup>-7</sup> to 10<sup>-9</sup>mbar)

OR

• Storage ring – 10 hours or more (10<sup>-9</sup>mbar or less)



# So what happens if you fail to achieve the required vacuum level ?

- Beam scattering occurs increased radiation levels in work area
- Beam shape changes
- Beam lifetime reduced
- · Beam Loss Occurs



How to ensure you achieve the required vacuum level and avoid beam loss.

- Correct selection of materials for the external vacuum vessel and also components used internally (including surface finish).
- Ensure that vacuum vessels and components are kept clean to reduce outgassing and desorption effects.
- · Adequate pumping, leak testing and conditioning.



## Any accelerator vacuum system needs the following ingredients;

- Vacuum Vessel
- Internal vacuum components (eg. beam monitors)
- Cleaning procedure for vessel and components
- Vacuum Seals & Clamps
- Gate valves & bellows RF screened if necessary
- Pumps and Gauges
- Residual Gas Analyser (RGA's)
- Modelling software
- Control system



## The Vacuum Vessel - Common Materials

- Stainless steel (grades 304L or 316L and N)
- Most grades of Aluminium
- Titanium
- Copper
- Ceramic







1 of 10 Ceramic vacuum vessel used on ISIS, 4m long, 19 segments (Dipole Magnet)







Challenge is joining the metal flange to the ceramic – use a filler material



Materials for internal vacuum components

- Aluminium
- Oxygen Free Copper excellent heat dissipation
- Stainless Steel
- Glidcop (Copper and Aluminium oxide alloy)
- Gold
- Silica

Avoid materials containing brass or cadmium and PVC insulated wire



Cleaning of vacuum vessel and components

- Cleaning procedures will help to reduce thermal outgassing and also electron, photon and ion desorption, but difficult to stop these completely.
- Typically cleaning involves washing the vessel or component in solvent, then drying following by baking to remove solvent residue.



 Most accelerators will have an on-site cleaning facility – solvent baths and ovens





Vacuum Seals – Usually soft metals that are easily squashed (avoid elastomers eg. Viton and Kalrez of Chemraz).

- Copper
- Aluminium
- Gold
- Indium





Vacuum Clamps - depends on flange dimensions

- Conflat
- ISO
- Quick Release
- Remote operation for high radiation areas.





## Vacuum Valves & Bellows – RF Screened (springy fingers)







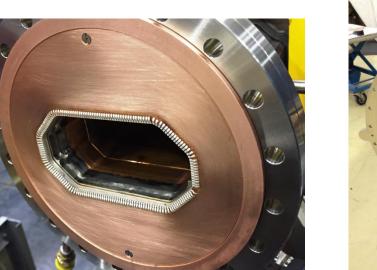


RF screened bellows - KEK, Japan

RF screened bellows (sliding arrangement) – CESR , US



## Minimising beam disturbance - more examples of screening













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### Types of Vacuum Pump

Rotary	Roots	lon
Diffusion	Scroll	Getter
	Turbo	
	Screw	
	Claw	
	Сгуо	
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Vacuum Pumps – Ion Pumps (Diode or Triode) (From 0.2 l/s to 500 l/s)

Operation based on the penning discharge (HV applied in presence of a strong magnetic field). Cathode bombarded resulting in sputtering and trapping in or on the surface.



**Ion Pumps Lifetime** – 50 to 80,000hrs based on pump operation at 1x10-6mbar

#### Lifetime depends on

- Starting pressure (lower pressure is better)
- Gases being pumped
- Operating vacuum (lower pressure = longer life)
- On/Off cycles



**Conventional Arrangement - DIAMOND** 

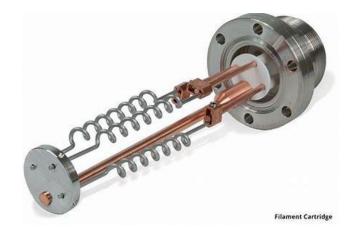


In Line Pumping – XFEL, DESY



#### **Getter pumps** - Titanium Sublimation (TSP) and Non Evaporable Getter (NEG)

TSP – Evaporates titanium over nearby surfaces. "Trapping effect" can be improved by cooling. Other common evaporable getter materials are barium, thorium and manganese.





"NEG coatings are thin films, of getter material, which once activated has the ability to pump a vacuum vessel. It can also minimise outgassing and secondary electron yield from the internal surface of the vessel".

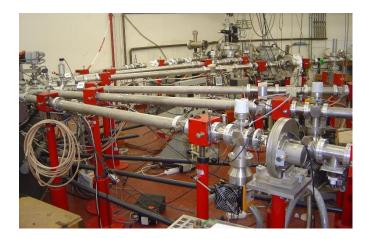
Typically activation temperature is between 150 and 400°C.

Extensive research carried out at CERN by Cristoforo Benvenuti

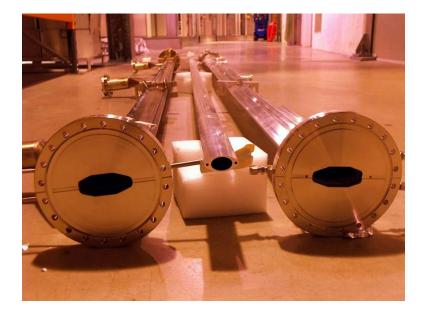


- Helps to improve the base pressure in the accelerator or vacuum vessel, which improves beam-lifetime.
- Helps to reduce outgassing and secondary electron yield from the vessel walls, which also improves beam-lifetime.
- Modern insertion devices have limited conductance for pumping by conventional means.











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## What are NEG coatings made from?

- Preference for elements that have high oxygen diffusion and solubility limits. Hence mainly elements in Group 4 and 5 of the periodic table (i.e. Ti, Zr, Hf, V, Nb and Ta).
- Usually binary (e.g. TiV), or tertiary alloys of the above used (e.g. TiVZr or TiVHf).



What makes a good NEG coating

- $\cdot$  Good adhesion
- Low activation temperature
- High solubility and diffusion limit for oxygen
- High mechanical resistance
- Large pumping speeds
- Low photoelectron and secondary electron yields
- Non toxic



How do they work ?

- X-ray Photoelectron Spectroscopy shows that during activation surface composition changes from being oxygen rich to more metallic in nature. This provides active sites for adsorption.
- H<sub>2</sub> is adsorbed *reversibly* and can easily be removed by reheated.
- CO and CO<sub>2</sub> are adsorbed *irreversibly* forming stable compounds with the NEG alloy such as titanium oxide. When heated these compounds migrate into the bulk of the material leaving new sites for adsorption.



What are the other benefits of using NEG coatings?

- When used in conjunction with ion and turbo pumps can help to attain extreme high vacuum conditions (XHV), by removal of CO, H<sub>2</sub> and CO<sub>2</sub>.
- Vibration free, suitable for very delicate experiments.
- Not influenced by magnetic or electric fields.



What about the disadvantages of NEG

- Expect to pay more for a NEG coated vessel than a uncoated one.
- Risk of contamination or poisoning during the activation process and during bakeout which will reduce the lifetime of the coating.
- Not suitable for pumping noble gases e.g. methane and argon
- Should never be exposed to high concentrations of hydrogen.



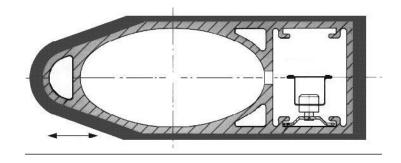
### Options for adding NEG coatings

 Install cartridge with the getter material on it, e.g. SAES ST101 and ST707.

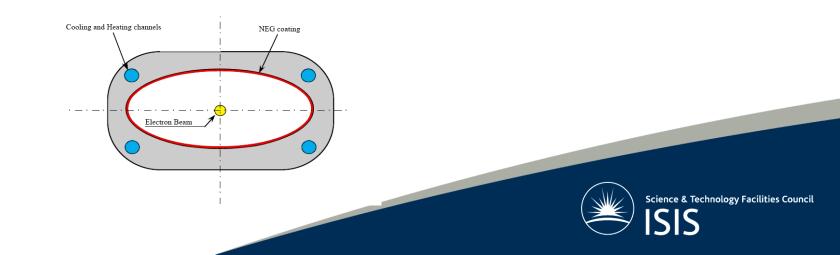




• Anti-chamber arrangement



• Vacuum coat the inside of the vessel typically using magnetron sputtering.











Vacuum gauges – no one gauge covers the entire vacuum spectrum.

Туре	Range (mbar)
Spinning rotor gauge	10 <sup>-1</sup> to 10 <sup>-7</sup>
Dial gauge	1000 to 1
Diaphragm gauge	1000 to 10 <sup>-5</sup>
Pirani	1000 to 10 <sup>-2</sup>
Hot Cathode (Ion)	10 <sup>-3</sup> to 10 <sup>-10</sup>
Cold Cathode (Penning and Inverted Magnetron - IMG)	10 <sup>-2</sup> to 10 <sup>-10</sup>

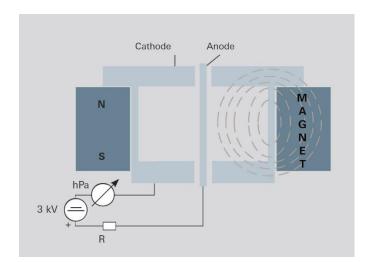


IMG - operation based on a penning discharge in the presence of a magnetic field.

Gas ionization used to Measure pressure.

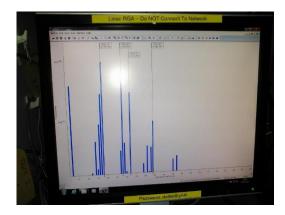
Gauge is gas sensitive due to ionization probabilities.

Can be difficult to initiate discharge at low pressures





### RGA's - for diagnostics and leak testing



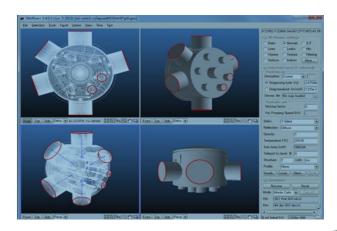


	GAS	MASS	READ LEVEL	TRIP LEVEL	TRIP TIME	CHECKIN
ALARM	HELIUM	4 AMU	99.13 %	88.00 %	180 Sec	RETINITE
ALISH 1	HYDROGEN	2 AMU	0.00 %	1.00 %	180 Sec	DE- INCTIVATE
ALARH 2	METHANE	16 AMU	0.01 %	1.00 %	180 Sec	DE- ACTIVATE
ALARH 3	HATER	18 AMU	Ø. 11 %	1.00 %	180 Sec	DE- ACTIVATE
ALARH 4	NITROGEN	28 AMU	0.41 %	8.00 %	180 Sec	DE- ACTIVATE
ALARM 5	OXYGEN	32 AMU	0.10 %	2.00 %	180 Sec	DE- ACTIVATE
ALARH 6	ASSIGNED	1 AMU	0.00 %	1.00 %	Ø Sec	ACT I VATE
HIGH ALARM 7	ASSIGNED	1 AMU	0.00 %	0.00 %	° Ø Sec	DE- ACTIVATE
HIGH 8	ASSIGNED	1 AMU	0.00 %	0.00 %	Ø Sec	ACTIVATE



### Modelling software for accelerators

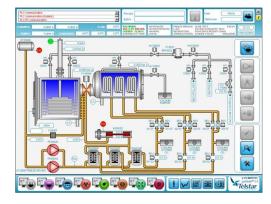
- Basic (Diffusion) Model whilst quick and easy struggles with complicated vessel shapes.
- Better option is to use 3D test particle Monte-Carlo simulation eg. Molflow (R. Kersevan, CERN).

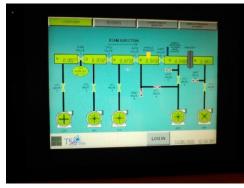


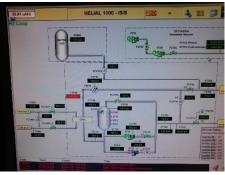


### The Vacuum Control System

- LabView
- EPICS
- Device Net
- Profibus
- RS 485



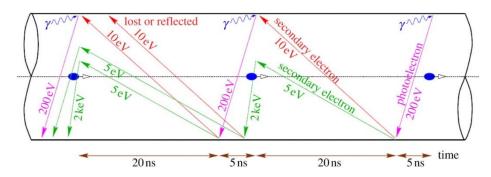






#### **Electron Cloud Effect**

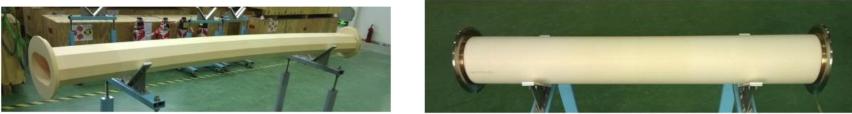
Beam losses occur as a results of bunches of positively charged particles interacting with stray electrons from residual gases or photoemission (electrons emitted from the beampipe wall).



The cascade of electrons created results in the bunches being surrounded by a cloud of electrons that cause head-tail instability.



Can be reduced by treating the internal surface of the vacuum vessel with a coating eg. Titanium or Titanium Nitride, NEG or Carbon, which reduce secondary electron yield. CSNS (China) uses 100nm thick coating on both quadrupole and dipole ceramic vessels.



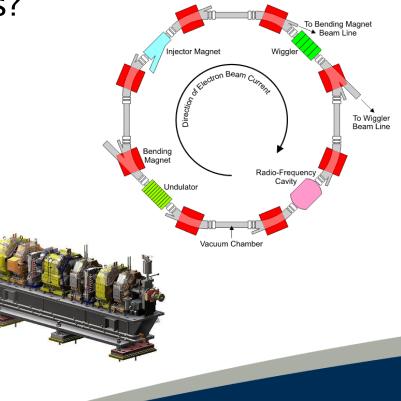
### Or

Apply an external field using a solenoid coil around the beampipe to keep stray electrons nearer the wall.



How has the design of accelerator vacuum systems changed over last 10 to 15 years?

- Control system and modelling system developments
- Vacuum modules (girders)
- Decommissioning costs need to be taken into consideration.





- Extensively used for studying catalysts, semiconductor and coatings.
  - Need vacuum to eliminate gas scattering effects and maintain a clean surface for analysis.
  - Basically for monolayer coverage

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	Time (c) for m	a a a la var cave	
Vacuum lev	el Time (s) for m	ionolayer cove	erage
Rough		10 <sup>-3</sup>	
High		1	
Ultra High		10+4	
			Science & Ter

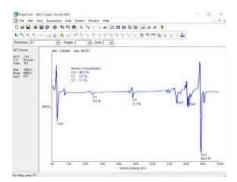
# Some surface science techniques – no one method is the best

Auger Electron Spectroscopy	lon Scattering Spectroscopy	Inverse Photoemission		
Angle Resolved	Low Energy Electron	Rutherford Back		
Photoemission	Diffraction	Scattering		
Atomic Force Microscopy	Near Edge X-Ray Absorption Fine Structure	Surface Enhanced Raman Spectroscopy		
Electron Energy Loss	Second Harmonic	Surface Extended X-Ray		
Spectroscopy	Generation	Absorption Fine Structure		
Field Emission Microscopy	Transmission Electron Microscopy	X-Ray Diffraction Spectroscopy		
Field Ionization	Thermal Desorption	Fourier Transform		
Microscopy	Spectroscopy	Infrared Spectroscopy		



### Auger Electron Spectroscopy

- Elemental analysis of top 2nm of surface.
- Bombarding sample with a primary electron beam.
  This results in removal of an inner (K) shell electron and emission of an Auger electron.



- Use hemispherical analyser to analyse Auger electrons.
- Scanning Auger involves rastering beam over surface to build up an image



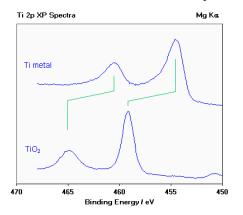


### Photoelectron Spectroscopy (PES)

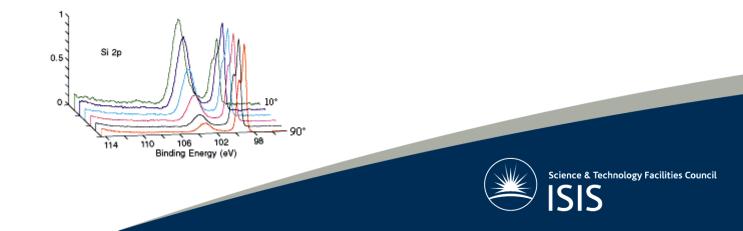
- X-rays (XPS) photon energy of 200 2000eV (core level analysis) or Ultraviolet (UPS) photon energy 10 - 45eV (valence bands)
- Need a monochromatic source of x-rays (X-ray gun), helium lamp or a synchrotron
- Like AES measure kinetic energy of emitted electron based on the following equation KE = hv BE (Binding Energy)
- Each element has a characteristic binding energy, peak intensity indicates concentration.



• Chemical shifts can be used to identify different oxidation states



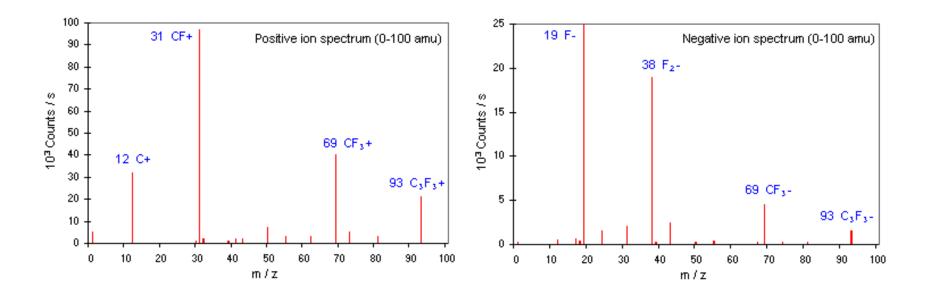
• Angle dependent analysis can also be carried out by measuring the photoelectrons emitted at different angles



### Secondary Ion Mass Spectrometry (SIMS)

- Sample bombarded with high energy ions (eg Ar+), resulting in the emission of neutral and charged species that are then analysed using a mass spectrometer.
- Can carry out depth analysis of surface.
- Mass spectrometer could be quadrupole, magnetic sector or time of flight.



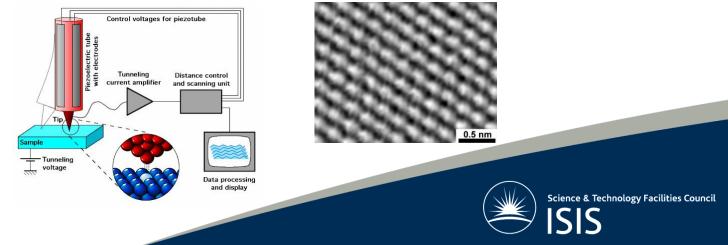


**SIMS** analysis of PTFE



### Scanning Tunnelling Microscopy (STM)

- Requires a sharp tip (probe), prepared by cutting, chemical etching or surface "tapping" – single atom tip required for best resolution. Can be carried out at room or low temperatures.
- Normally the tunnelling current is kept constant whilst the tip moves along the surface. This results in an image of the surface.



Limitations of surface science techniques.

- Pressure Gap (real v's actual conditions)
- Probe beam effects heating and sample charging



# Sometimes Bad Things Happen On Accelerator Vacuum Systems !



