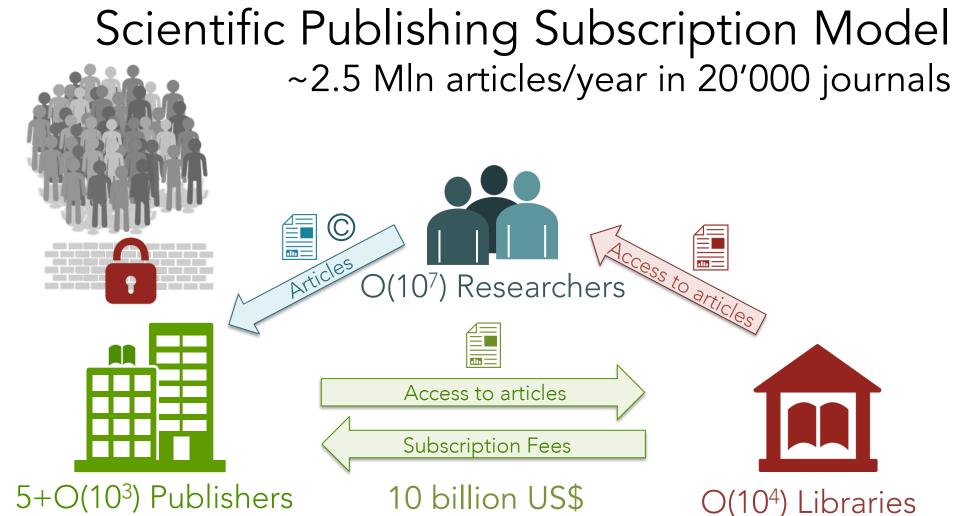
## SCOAP3

Sponsoring Consortium Open Access Publishing Particle Physics

## Accomplishments and plans





'I set up a perpetual financing machine through advance subscriptions as well as the profits on the sales themselves. It is a cash generator twice over ... If Pergamon could win the trust of scientists it could establish the standard journal in each specialization, and that would give it a series of publishing monopolies ... scientists are not generally as price-conscious as other professionals, mainly because they are not spending their own money.'

## Global Music Report 2018

ANNUAL STATE OF THE INDUSTRY

#### **GLOBAL RECORDED MUSIC INDUSTRY REVENUES 1999-2017 (US\$ BILLIONS)**

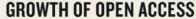


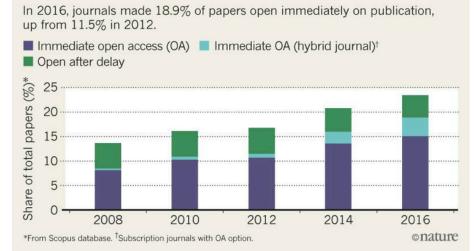
Scientific journal publishing revenues > music streaming + digital sales

(most common) Open Access publishing model
Since early 2000s – 'Author pays'



# Publishers





The transition towards an Open Science system - Council conclusions (adopted on 27/05/2016) Council of the

**European Union** 

AGREES to further promote the mainstreaming of open access to scientific publications by continuing to support a transition to immediate open access as the default by 2020, using the various models possible and in a cost-effective way, without embargoes or with as short as possible embargoes, and without financial and legal barriers, taking into account the diversity in research systems and disciplines, and that open access to scientific publications should be achieved in full observance of the principle that no researcher should be prevented from publishing; INVITES the Commission, Member States and relevant stakeholders, including research funding organisations, to catalyse this transition

Scientific publishing is a rip-off. We fund the research - it should be free **Guarc** George Monbiot



Thu 13 Sep 2018 06.00 BST

Those who take on the global industry that traps research behind paywalls are heroes, not thieves

#### No deal, no review

#nodealnoreview

#### NO TO ELSEVIER'S UNFAIR DEALS

Since November 2016, more than 2700 members of the academic community in Finland have signed tiedonhinta.fi online petition which called for fair pricing for academic journal subscriptions and increased open access in the ongoing negotiation with international publishers. More than two thirds of those who signed the petition were prepared to abstain from editorial and reviewer duties in journals whose publishers are unwilling to meet the demands of the Finnish negotiators. It's time to stand by that commitment: no deal, no editing and reviews.



Scientific publishing

#### European countries demand that publicly funded research be free

The S-Plan diet

Print edition | Science and technology > Sep 15th 2018









MANY scientists have championed the idea that publicly funded research should be available to all and not locked away in pricey journals. Although this "open access" ethos has become more









NEWS · 19 JULY 2018

#### Dutch publishing giant cuts off researchers in Germany and Sweden

Negotiations with Elsevier have stalled over open-access deals.

Elsevier last week stopped thousands of scientists in Germany from reading its recent journal articles, as a row escalates over the cost of a nationwide open-access agreement.



**FOLLOW US** 

#### New international investigation tackles 'fake science' and its poisonous effects

Hundreds of thousands of scientists worldwide have published studies in self-described scientific journals that don't provide traditional checks for accuracy and quality, according to a new journalistic investigation.

Dozens of reporters from media outlets in Europe, Asia and the United States have analysed 175,000 scientific articles published by five of the world's largest pseudo-scientific platforms including India-based Omics Publishing Group and the Turkey-based World Academy of Science, Engineering and Technology, or Waset. In addition to failing to perform peer or editorial committee reviews of articles, the companies charge to publish articles, accept papers by employees of pharmaceutical and other companies as well as by climate-change skeptics promoting questionable theories.

# SCOAP3.org

Sponsoring Consortium for Open Access Publishing in Particle Physics

A global consortium to convert
Particle Physics articles in
high-quality journals to Open Access,
at no burden for authors,
mostly re-using existing subscription money

# SCOAP3 timeline

- 2007-2008: design & business model
- 2009-2011: consensus building
- 2012-2013: procurement & start-up
- 2014-2016: first phase and partnership growth
- 2017-2019: second three-year cycle and APS

 $SCOAP^3$  (since 2014) 10 MCHF for 7'000 HEP OA articles/year 50K Researchers Reduction on Subscriptions € 3'000 Libraries 44 countries Membershipt ~75% 7 Publishers Elsevier, Springer, APS, ... for the benefit of SCOAP<sup>3</sup> CERN Support Support 12 Funding 10% Agencies

# Accomplishments of SCOAP3

- Articles & journals
- Authors
- Multilateral HEP-style solution
- Value for moneyDownloads diss
- Downloads, dissemination
- Open Access context

# Accomplishments of SCOAP3

- Articles & journals
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- Open Access cont

## SCOAP3 journals – 90% of High Energy Physics articles since 2018

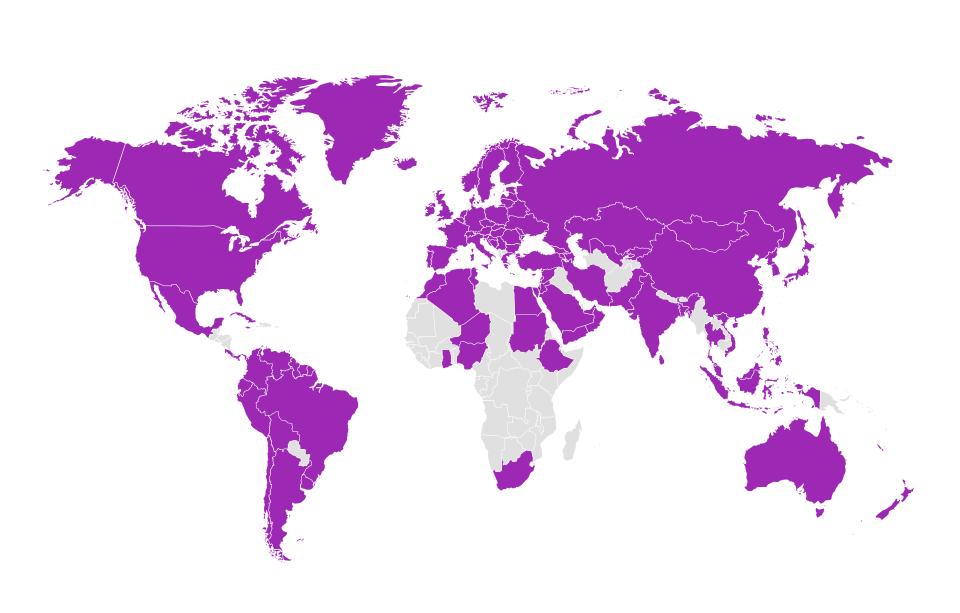
Publisher	Journal		2014-2016	2017-2019(*)
APS physics 2018-2019 only	Physical Review C	Partly OA	-	~200
	Physical Review D	Partly OA	-	~4,000
	Physical Review Letters	Partly OA	-	~550
ELSEVIER	Nuclear Physics B	Full flip	1,008	~900
	Physics Letters B	Full flip	2,654	~2,700
Mindawi	Advances in HEP	Partly covered	512	~250
	Chinese Physics C	Partly OA	91	~250
Publishing	J. Cosmology & Astropart. Phys	Partly OA	654	-
$oldsymbol{\Phi}$ DPG	New Journal of Physics	Partly covered	25	-
PACTICEONIAN UNDVERSITY ENCINCENIAN UNDVERSITY	Acta Physica Polonica B	Partly covered	56	~50
OXFORD UNIVERSITY PRESS	Progress in Theor. & Exp. Phys.	Partly covered	255	~250
Springer Springer	European Physical Journal C	Full flip	1,830	~3,000
	Journal of HEP	Full flip	6,283	~6,300
		Total:	13,368	~18,450

(\*) 2019 article numbers are estimates from recent growth rates

# Accomplishments of SCOAP3

- Articles & journals
- Authors
- Multilateral HEP-style solut
- Value for mon
- Downloads, dissemination
- Open Access cont

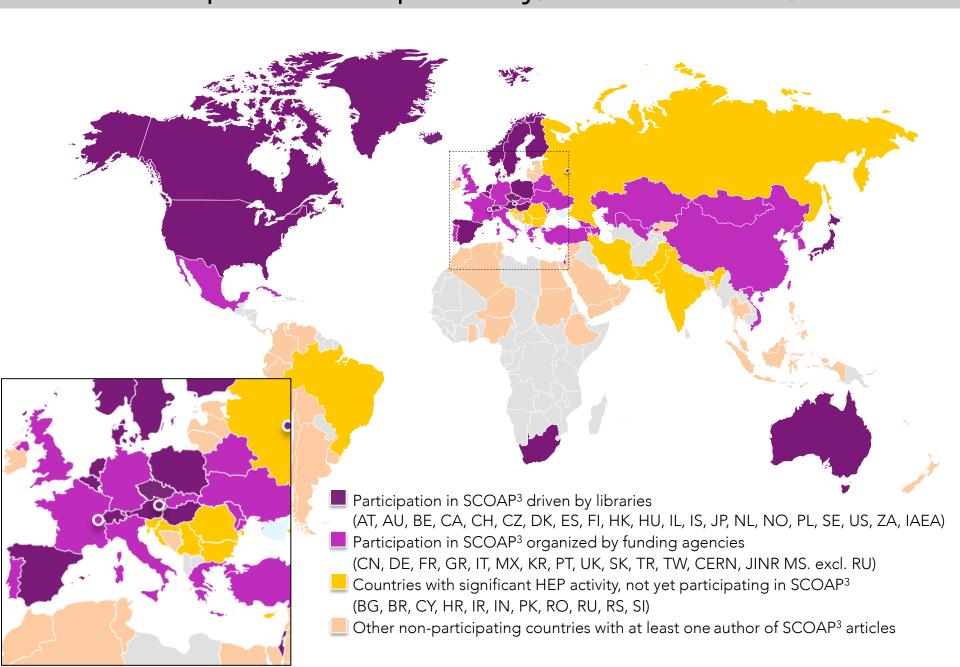
## All HEP authors benefit from SCOAP3



# Accomplishments of SCOAP3

- Articles & journ
- Authors
- Multilateral HEP-style solution
- Value for more
- Downloads, dissertion
- Open Access cont

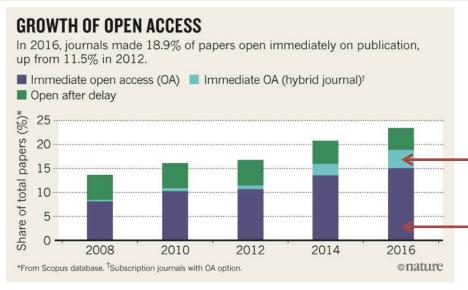
## SCOAP<sup>3</sup> partnership today, 44 countries, 3 IGO



# Achievements of SCOAP3

- Articles & journals
- Authors
- Multilateral HEP-style solu
- Value for money
- Downloads, dissertion
- Open Access coa

## SCOAP3 investment per article vs. other initiatives



Average 'Article Processing Charge' for OA articles in 'closed' journals: 2.5 k€

Average 'Article Processing Charge'
— in entirely 'open' journals: 1.5 k€

Data from 135 institutes, 4 funding agencies, 10 countries: https://intact-project.org

Source: UUK (2017)/BMC Med. 10, 124 (2012)

The support S

Average SCOAP3 Investment Per Article in 2014-2016: 1.0 k€

75% of funds are 're-directed' subscriptions: SCOAP3 fresh-funds per article: 250€

SCOAP3 data: https://doi.org/10.3390/publications6020015

# Achievements of SCOAP3

- Articles & journals
- Authors
- Multilateral HEP-style solut
- Value for more
- Downloads, dissemination
- Open Access coal

### 97% of HEP journals' content posted as 'preprints' on arXiv.org

Physics Letters B 716 (2012) 30-61



Contents lists available at SciVerse ScienceDirect

#### Physics Letters B

www.elsevier.com/locate/physletb



#### 

#### CMS Collaboration \*

CERN, Switzerlan

This paper is dedicated to the memory of our colleagues who worked on CMS but have since passed away. In recognition of their many contributions to the achievement of this observation.

#### ARTICLE INFO

Article history: Received 31 July 2012 Received in revised form 9 August 2012 Accepted 11 August 2012 Available online 18 August 2012 Editor: W-D. Schlatter

Keywords CMS Physics Higgs

#### ABSTRACT

Results are presented from searches for the standard model Higgs boson in proton-proton collisions at  $\sqrt{s} = 7$  and 8 TeV in the Compact Muon Solenoid experiment at the LHC, using data samples corresponding to integrated luminosities of up to 5.1 b<sup>-1</sup> at 7 TeV and 5.5 b<sup>-1</sup> at 8 TeV. The search is performed in five decay modes;  $y_{Y}$ ,  $Z_{z}$ ,  $W^{\dagger}W^{\dagger}$ ,  $r^{\dagger}r^{\prime}$ , and bb. An excess of events is observed above the expected background, with a local significance of 5.0 standard deviations, at a mass near 125 GeV, signalling the production of a new particle. The expected significance for a standard model Higgs boson of that mass is 5.8 standard deviations. The excess is most significant in the two decay modes with the best mass resolution,  $y_{Y}$  and  $Z_{z}$ ; a fit to these signals gives a mass of 125.3 ± 0.4(stat).± 0.5(syst.) GeV. The decay to two photons indicates that the new particle is a boson with spin different from one

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#### 1. Introduction

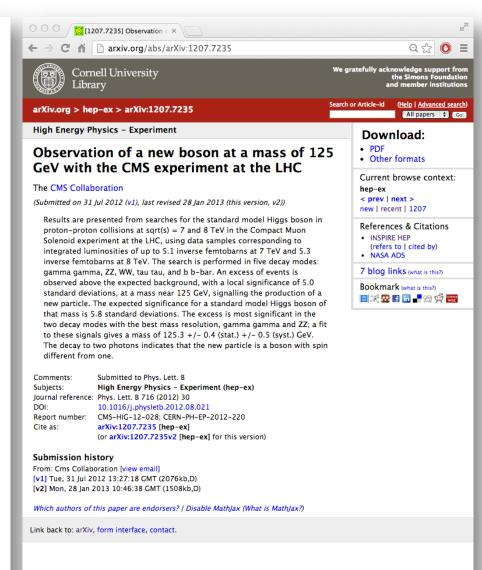
The standard model (SM) of elementary particles provides a remarkably accurate description of results from many accelerator and non-accelerator based experiments. The SM comprises quarks and leptons as the building blocks of matter, and describes their interactions through the exchange of force carriers: the photon for electromagnetic interactions, the W and Z bosons for weak interactions, and the gluons for strong interactions. The electromagnetic and weak interactions are unified in the electroweak theory. Although the predictions of the SM have been extensively confirmed, the question of how the W and Z gauge bosons acquire mass whilst the photon remains massless is still open.

Nearly fifty years ago it was proposed [1-6] that spontaneous symmetry breaking in gauge theories could be achieved through the introduction of a scalar field. Applying this mechanism to the electroweak theory [7-9] through a complex scalar doublet field leads to the generation of the VM and Z masses, and to the prediction of the existence of the SM Higgs boson (H). The scalar field also gives mass to the fundamental fermions through the Yukawa interaction. The mass  $m_H$  of the SM Higgs boson is not predicted by theory. However, general considerations [10-13] suggest that

0370-2693/ © 2012 CERN. Published by Elsevier B.V. All rights reserved. http://dx.doi.org/10.1016/j.physletb.2012.08.021  $m_{\rm H}$  should be smaller than  $\sim 1$  TeV, while precision electroweak measurements imply that  $m_{\rm H} < 152$  GeV at 95% confidence level (CL) [14]. Over the past twenty years, direct searches for the Higgs boson have been carried out at the LEP collider, leading to a lower bound of  $m_{\rm H} > 114.4$  GeV at 95% CL [16], and at the Tevatron proton–antiproton collider, excluding the mass range 162–166 GeV at 95% CL [16] and detecting an excess of events, recently reported in [17–19], in the range 120–135 GeV.

The discovery or exclusion of the SM Higgs boson is one of the primary scientific goals of the Large Hadron Collider (LHC) [20]. Previous direct searches at the LHC were based on data from proton-proton collisions corresponding to an integrated luminosity of 5 fb $^{-1}$  collected at a centre-of-mass energy  $\sqrt{s}=7\,{\rm TeV}$ . The CMS experiment excluded at 95% CL a range of masses from 127 to 600 GeV [21]. The ATLAS experiment excluded at 95% CL the ranges 111.4–116.6, 119.4–122.1 and 129.2–541 GeV [22]. Within the remaining allowed mass region, an excess of events near 125 GeV was reported by both experiments. In 2012 the proton-proton centre-of-mass energy was increased to 8 TeV and by the end of June an additional integrated luminosity of more than 5 fb $^{-1}$  had been recorded by each of these experiments, thereby enhancing significantly the sensitivity of the search for the Higgs boson.

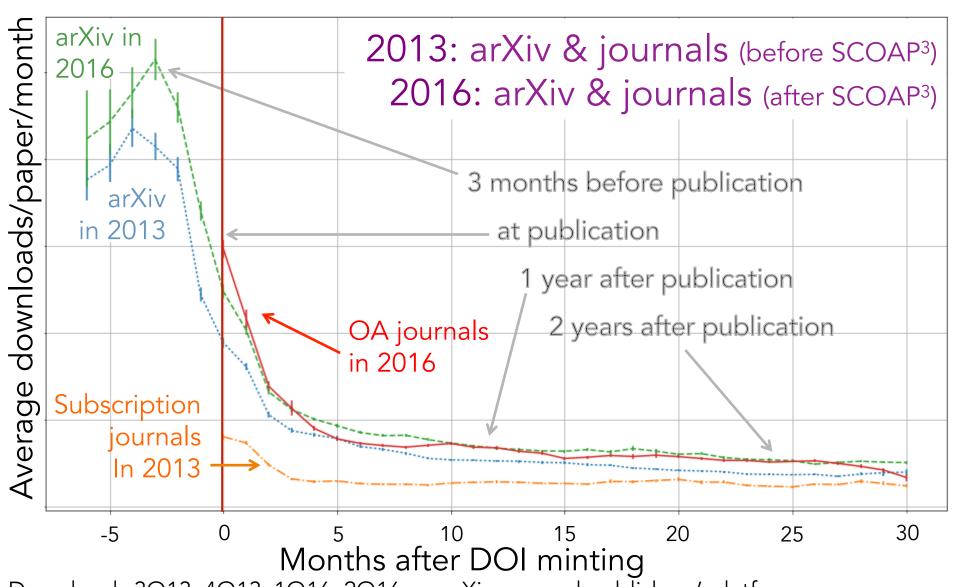
This Letter reports the results of a search for the SM Higgs boson using samples collected by the CMS experiment, comprising data recorded at  $\sqrt{s} = 7$  and 8 TeV. The search is performed in



<sup>\* ©</sup> CERN for the benefit of the CMS Collaboration.

<sup>\*</sup> E-mail address: cms-publication-committee-chair@cern.ch.

## SCOAP3 triples downloads of journal articles



Downloads 3Q13, 4Q13, 1Q16, 2Q16 on arXiv.org and publishers' platforms 50k non-Open Access articles and 8k Open Access articles Elsevier: *Phys.Lett.B*, *Nucl.Phys.B*; Springer: *Eur. Phys. J. C*, *JHEP* 

# Accomplishments of SCOAP3

- Articles & journ
- Authors
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- Open Access context



## **VISION**

OA2020 is a global alliance committed to accelerating the transition to open access.

## **MISSION**

We collaborate to transform the current publishing system, **replacing the subscription business model** with new models that ensure outputs are **open and re-usable** and that the costs behind their dissemination are transparent and **economically sustainable**.





### The key principle is as follows:

"After 1 January 2020 scientific publications on the results from research funded by public grants provided by national and European research councils and funding bodies, must be published in compliant Open Access Journals or on compliant Open Access Platforms."





























### Charitable foundations







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### Supporting statements









### The 10 PlanS principles

- Authors retain copyright of their publication with no restrictions. All publications must be published under an open license, preferably the Creative Commons Attribution Licence CC BY. In all cases, the license applied should fulfil the requirements defined by the Berlin Declaration;
- The Funders will ensure jointly the establishment of robust criteria and requirements for the services that compliant high quality Open Access journals and Open Access platforms must provide;
- In case such high quality Open Access journals or platforms do not yet exist, the Funders will, in a coordinated way, provide incentives to establish and support them when appropriate; support will also be provided for Open Access infrastructures where necessary;
- Where applicable, Open Access publication fees are covered by the Funders or universities, not by individual researchers; it is acknowledged that all scientists should be able to publish their work Open Access even if their institutions have limited means;

- When Open Access publication fees are applied, their funding is standardised and capped (across Europe);
- The Funders will ask universities, research organisations, and libraries to align their policies and strategies, notably to ensure transparency;
- The above principles shall apply to all types of scholarly publications, but it is understood that the timeline to achieve Open Access for monographs and books may be longer than 1 January 2020;
- The importance of open archives and repositories for hosting research outputs is acknowledged because of their long-term archiving function and their potential for editorial innovation;
- The 'hybrid' model of publishing is not compliant with the above principles;
- The Funders will monitor compliance and sanction non-compliance.

### SCOAP<sup>3</sup> was one of the Plan S inspirations!

"I have always been very impressed by the pioneer work of CERN in the field of Open Access. SCOAP<sup>3</sup> served as a major source of inspiration when I developed Plan S and I am extremely grateful to Salvatore, Eckhard and Alex for their input and advice.

Plan S which is all about accelerating the transition to full and immediate Open Access to scientific publications, prescribes that the author should retain the copyright, a CC-BY type license should apply and that in case of an APC, the costs will be borne by the Funder. Publication in hybrid journals is not allowed under the plan. By respecting these conditions, SCOAP<sup>3</sup> and its repository function in line with Plan S."

Robert-Jan Smits (OA Envoy of the European Commission)
Public statement e-mailed to CERN on 10 October 2018

## SCOAP3 Plans

2007-2008: design & business model

2009-2011: consensus building

2012-2013: procurement & start-up

2014-2016: first phase and partnership growth

2017-2019: second three-year cycle and APS

2020-2022: third cycle, sustainable infrastructure

