

*Mitigation of collective effects
(coherent beam instabilities) by
optics optimization*

Y. Papaphilippou, CERN



Outline



- ❑ **Motivation** for using optics to reduce collective effects
 - ❑ Ring performance parameters
- ❑ **Optics quantities** affecting collective beam behavior
 - ❑ Energy, beam sizes, slippage factor
- ❑ Concrete **examples** for rings in **design** or **operation**
 - ❑ High intensity and/or high-power rings
 - ❑ Negative momentum compaction factor - **PS2 ring**
 - ❑ Ultra-low emittance rings
 - ❑ Optics design of IBS dominated rings - **CLIC damping rings**
 - ❑ Negative- α operation -> **SUPER-ACO, VSOR, DAFNE, KEKB,...**
 - ❑ High-brightness hadron injectors and colliders
 - ❑ Increasing impact of Landau octupole with optics - **HL-LHC**
 - ❑ Raising instability thresholds - LHC beams at **SPS**

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Ring performance parameters



Colliders
(and their
injectors)

- Luminosity (brightness)

$$\mathcal{L} = \frac{N_1 N_2 f n_b}{4\pi\sigma_x\sigma_y}$$

Extreme
intensity within
ultra-low beam
dimensions

High-
power
rings

- Beam power

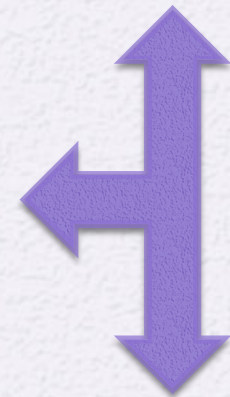
$$P = \bar{I} E_k$$

X-ray
storage
rings

- Photon brilliance

$$B = \frac{N_p}{4\pi^2 \bar{\epsilon}_x \bar{\epsilon}_y}$$

Collective
effects become
predominant





Linear optics for reducing collective effects

- ❑ **Unconventional** approach
 - ❑ Already large amount of **single-particle constraints** to be satisfied, including non-linear dynamics
 - ❑ Parameter space becomes **entangled** and difficult to control and optimise
 - ❑ For **operating rings**, changing the optics is subject to **restrictions**
 - ❑ Existing magnets and powering scheme
 - ❑ Critical systems as RF and beam transfer elements

Analytical and numerical methods for obtaining **global** parameterization

Cost effective solution if successful

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“Optics” knobs I



- ❑ **Beam energy** (not a real optics constraint...)
 - ❑ Depends on users needs, pre-injectors' reach, cost...
 - ❑ Almost all collective effect (e-cloud is one exception) are reduced with increased energy
 - ❑ In e^+/e^- rings, $\epsilon_x \propto \gamma^2$ and optimum needs to be found for reaching high-brightness
- ❑ **Transverse optics functions**
 - ❑ Larger beam sizes can reduce collective effects due to self-induced fields (space-charge, IBS)
 - ❑ High-brightness requires low emittances, thus optics functions are only handle for increasing beam sizes
 - ❑ Beta functions can also be manipulated to enhance impact of magnets used for Landau damping

“Optics” knobs II



- **Phase slip factor** $\eta = \alpha_p - \frac{1}{\gamma^2}$ with the momentum compaction factor $\alpha_p = \frac{1}{C} \oint \frac{D_x(s)}{\rho(s)} ds$
- Depends on **energy** and **transverse beam sizes**
- “Connects” **transverse** and **longitudinal** motion
 - Synchrotron frequency (or bunch length) proportional to $\sqrt{\eta}$
- Instability intensity thresholds (TMCI, microwave, coupled bunch,...) $N_{th} \propto \eta$

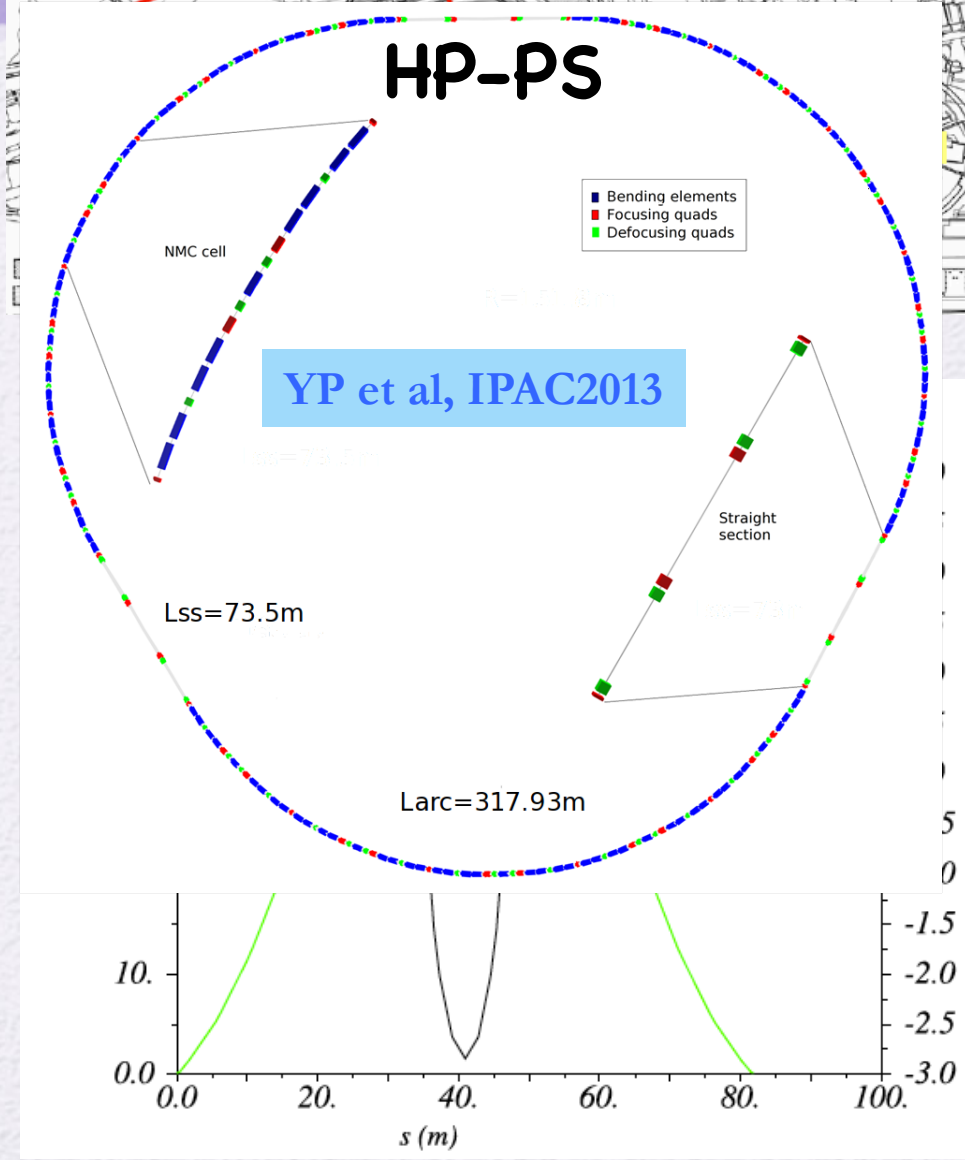
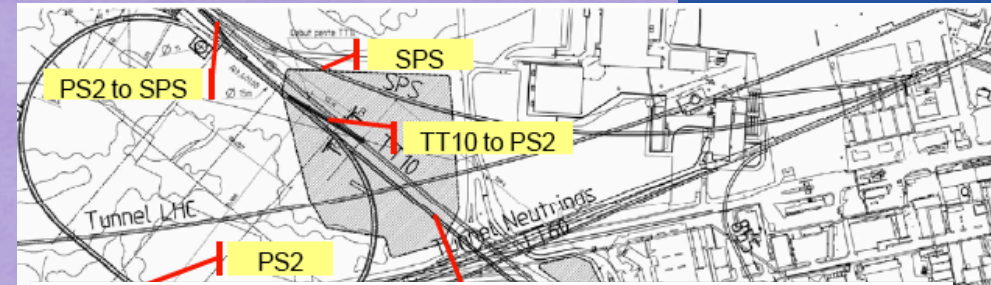
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PS2 ring

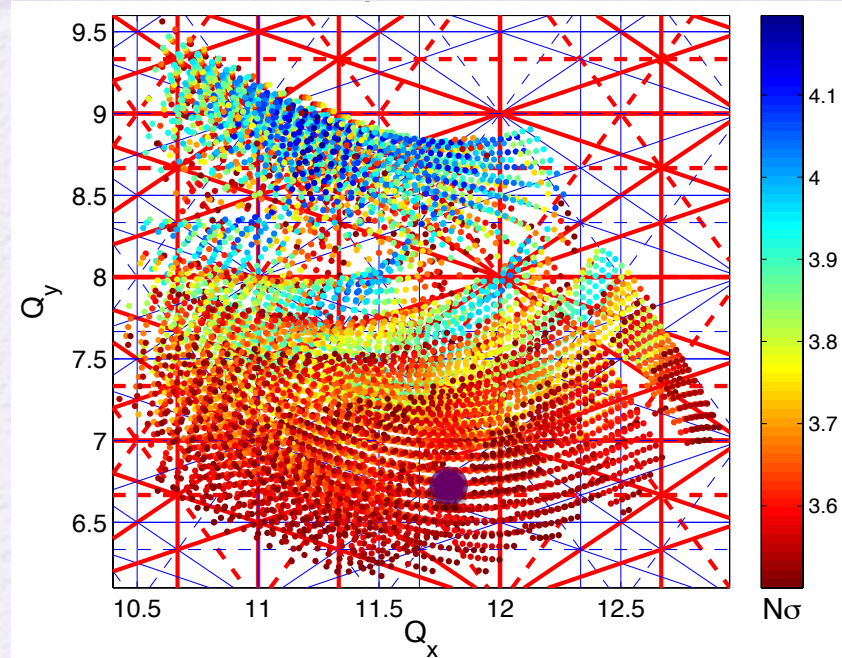
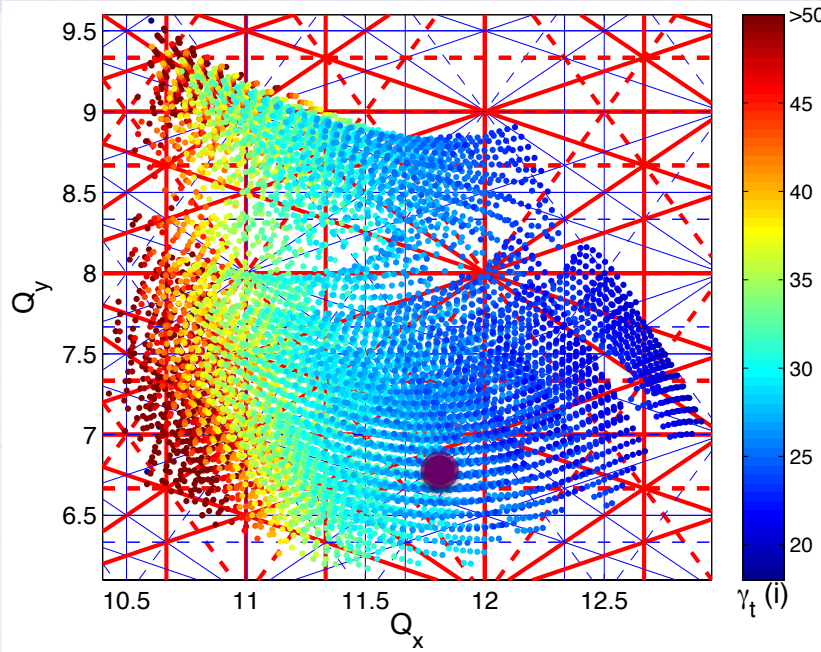
- ❑ Studied until 2010, as a possible upgrade scenario of the LHC injector complex
- ❑ Beam injected at 4 GeV/c from the LP-SPL and extracted at 50 GeV/c
- ❑ High-intensity ring with **negative momentum compaction** arc cells (avoid transition) and doublet straights
- ❑ Most of the design concepts adapted to a study of a **High-Power PS** (2 MW) for neutrinos (LAGUNA-LBNO)



Optics optimization for PS2



H. Bartosik et al., THPE022, IPAC 2010



- ❑ Applying **GLASS** method (see D. Robin et al., PRST-AB 11, 024002, 2008)
- ❑ Global view of the “imaginary” transition gamma and geometrical acceptance dependence on tunes
 - ❑ Low transition energy for **reducing collective effects (large horizontal tune)**
 - ❑ Large acceptance (vertical tune) for losses and magnet constraints (but small beam sizes)
- ❑ **Working point** choice based on this analysis and non-linear dynamics optimization

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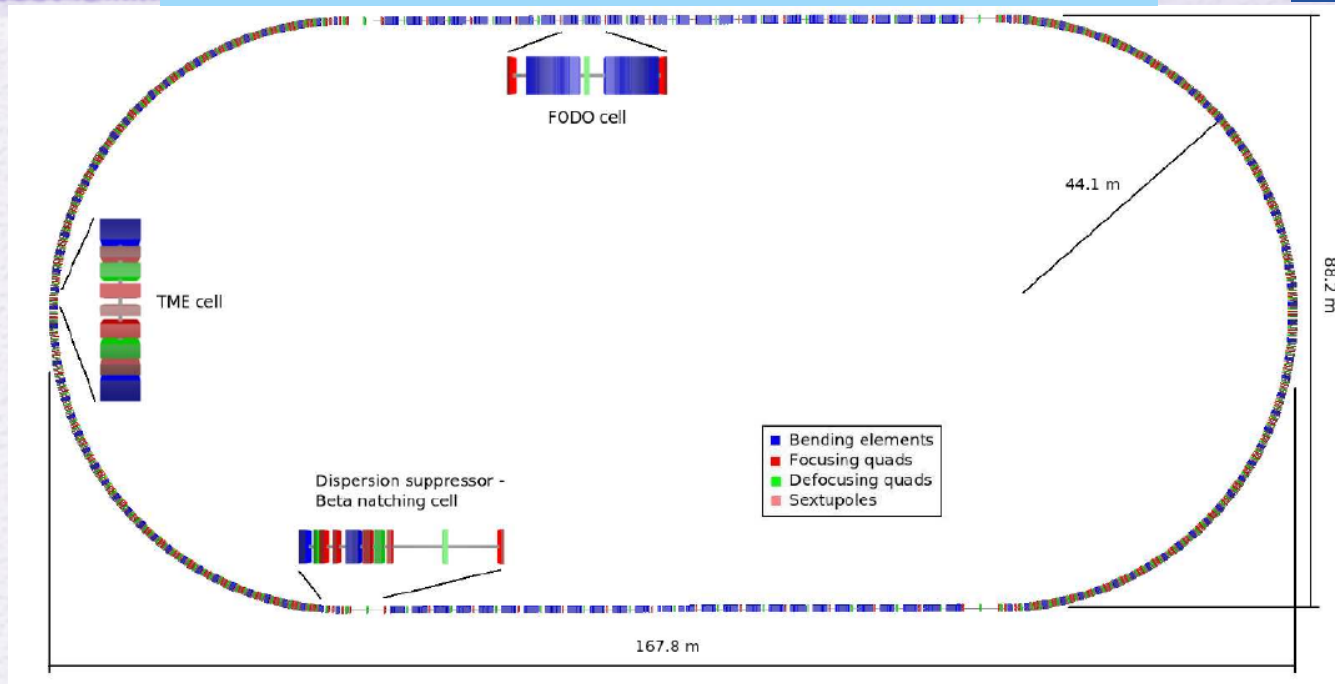


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CLIC damping rings



F. Antoniou, PhD thesis, NTUA, 2013



- ❑ Ultra low-emittance bunches with **high bunch charge** trigger several collective effects
 - ❑ Emittance dominated by **IBS** (significant blow up)
 - ❑ Large vertical **space charge** tune-shift
 - ❑ Single and multi-bunch **instabilities** (TMCI, microwave, e-cloud, fast-ion, coupled bunch,...)



Optics parameter optimization for reducing collective effects

Parameterization of TME cells

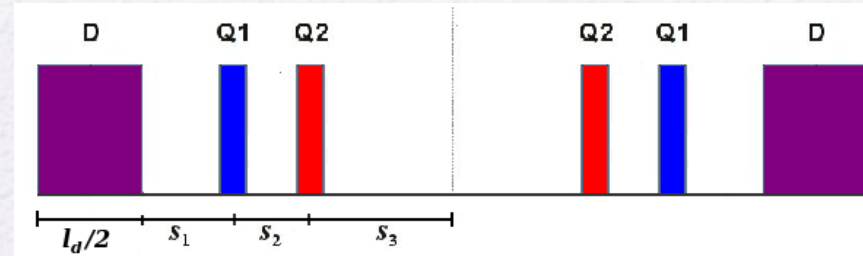


$$f_1 = \frac{s_2(4s_1l_d + l_d^2 + 8D_{xc}\rho)}{4s_1l_d + 4s_2l_d + l_d^2 - 8D_s\rho + 8D_{xc}\rho}$$

$$= \frac{l_d s_2 (12s_1 + l_d (D_r + 3))}{12l_d (s_1 + s_2) + l_d^2 (D_r + 3) - 24D_s\rho}$$

$$f_2 = \frac{8s_2 D_s \rho}{-4s_1 l_d - l_d^2 + 8D_s \rho - 8D_{xc} \rho}$$

$$= \frac{24s_2 D_s \rho}{12l_d s_1 + l_d^2 (D_r + 3) - 24D_s \rho}$$



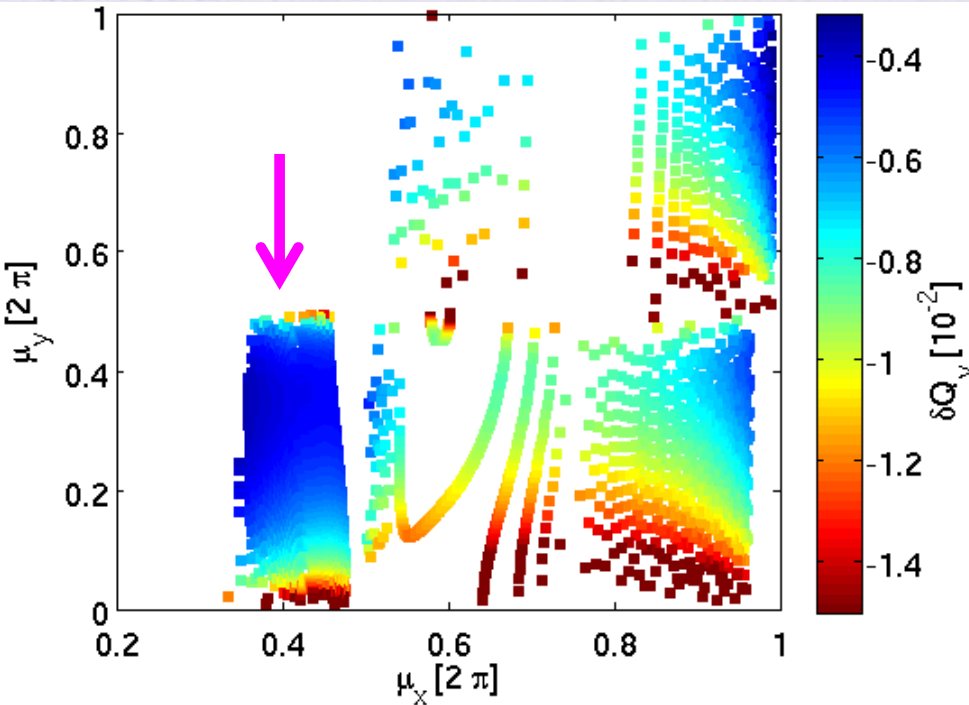
□ Analytical representation of TME quadrupole focal lengths (thin lens)

- Depending on horizontal optics conditions at dipole center (horizontal emittance) and drift lengths
- Multi-parametric space for applying optics stability criteria, magnet constraints, non-linear optimization, **collective effects reduction,...**

$$D_r = \frac{D_{xc}}{D_{xc}^{\min}}, \beta_r = \frac{\beta_{xc}}{\beta_{xc}^{\min}}, \epsilon_r = \frac{\epsilon_{xc}}{\epsilon_{xc}^{\min}}$$

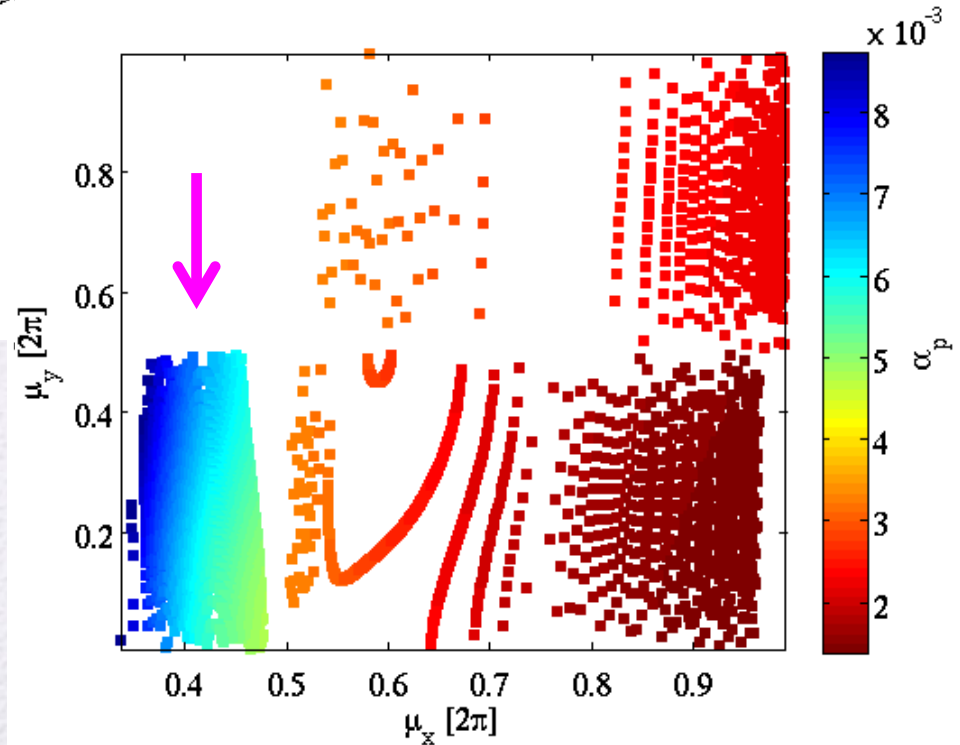
$$D_s = g(s_1, s_2, s_2, l_d, \beta_r, D_r)$$

TME optimization for reducing IBS



- ❑ Low cell phase advances can minimize IBS growth rates
- ❑ Correspond to large deviation from absolute theoretical emittance minimum

- ❑ Optimal also for minimizing space-charge tuneshift and increase momentum compaction factor



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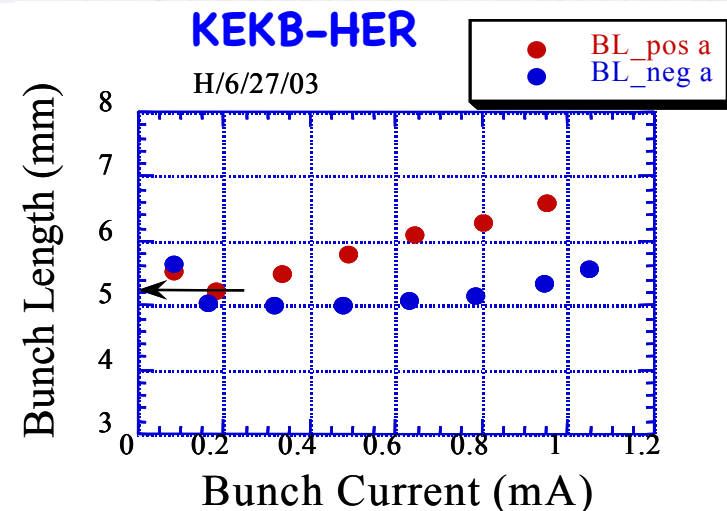
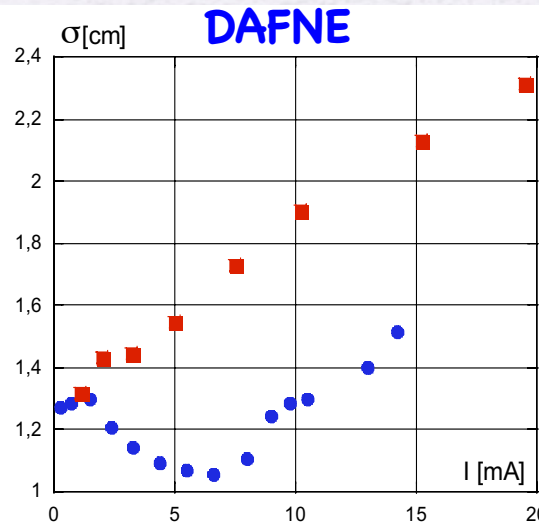
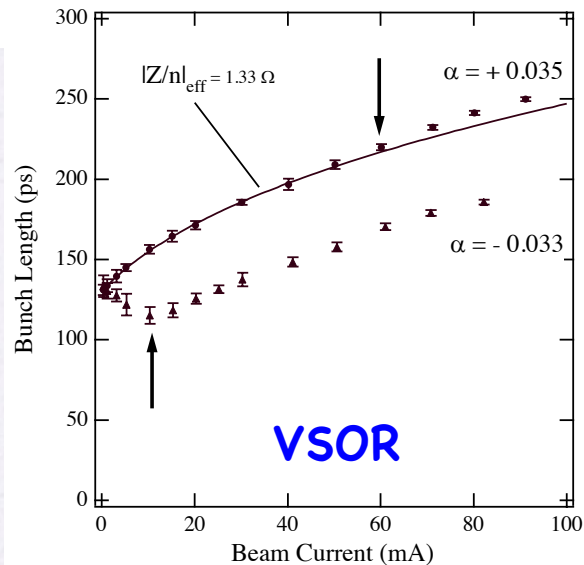
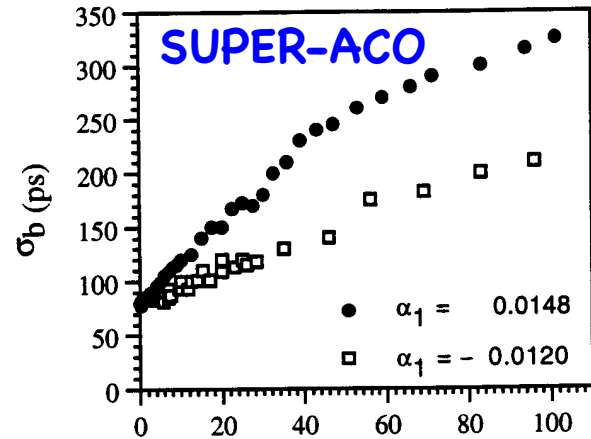
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Negative- α operation



A. Nadji et al., EPAC96

- (Low) Negative- α in low emittance rings for reaching **shorter bunches** (short X-ray pulses for light sources or luminosity in e^+/e^- colliders)
- Interesting regime to study and possibly mitigate **instabilities**
- **Head-tail damping** and **TMCI threshold** increase with natural (negative) chromaticity (zero sextupole current)



M. Hosaka et al., APAC98

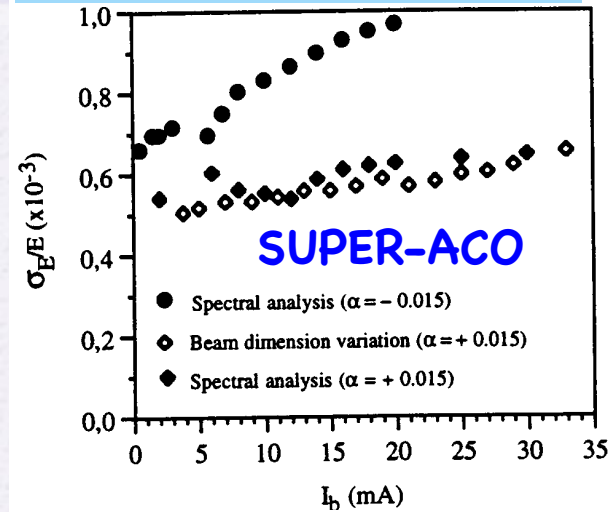
M. Zobov, et al. EPAC06

H. Ikeda, et al. Arxiv 2004

Negative- α operation



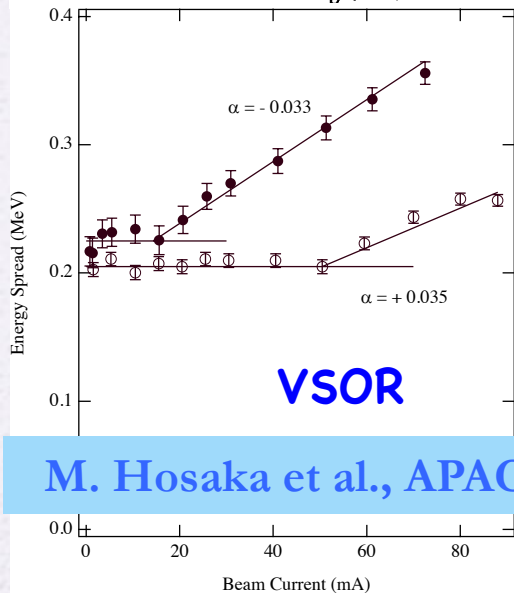
A. Nadji et al., EPAC96



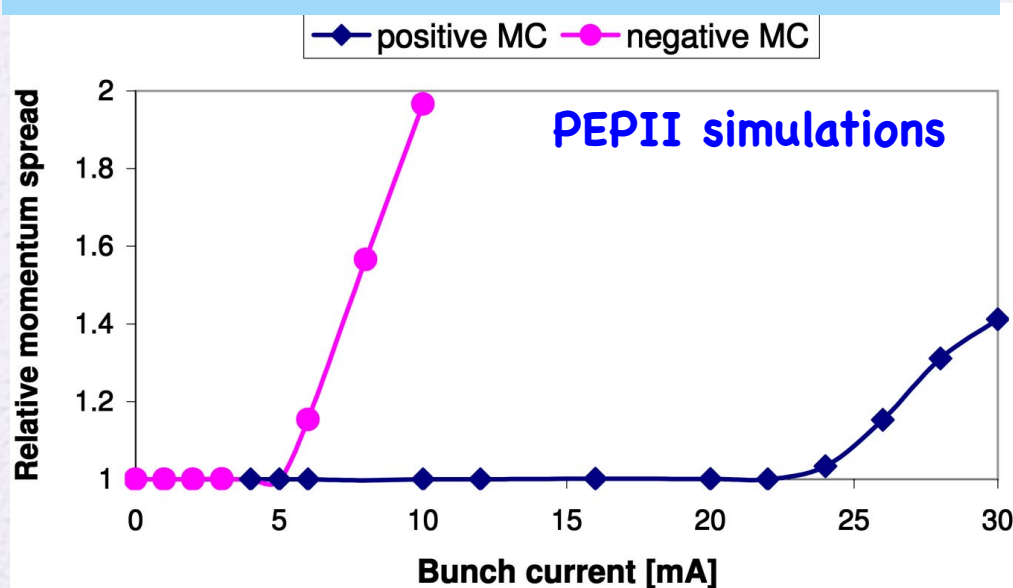
Drawbacks:

- Difficult **optics conditions** to achieve in operating rings (in particular for low- α values)
- Energy spread dependence w.r.t. current shows stronger increase for negative- α due to **microwave instability**

S. Heifets and A. Novokhatski, PRAB 2006



M. Hosaka et al., APAC98



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Instabilities in the LHC

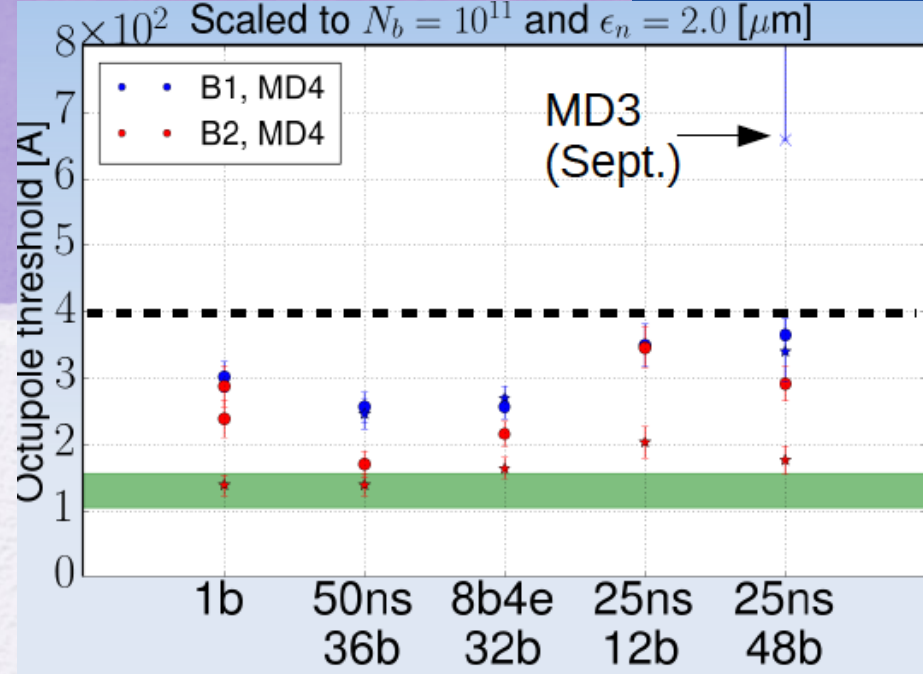
❑ Instabilities mitigated with **high octupoles, chromaticity** and **ADT gain** (need well-corrected coupling)

❑ **Octupole** settings based on measurements

❑ **Within reach** for **BCMS**

❑ **Out of reach** for **BCS** (higher brightness)

❑ Similar **needs** for **HL-LHC** beams



X. Buffat et al. Evian 2017

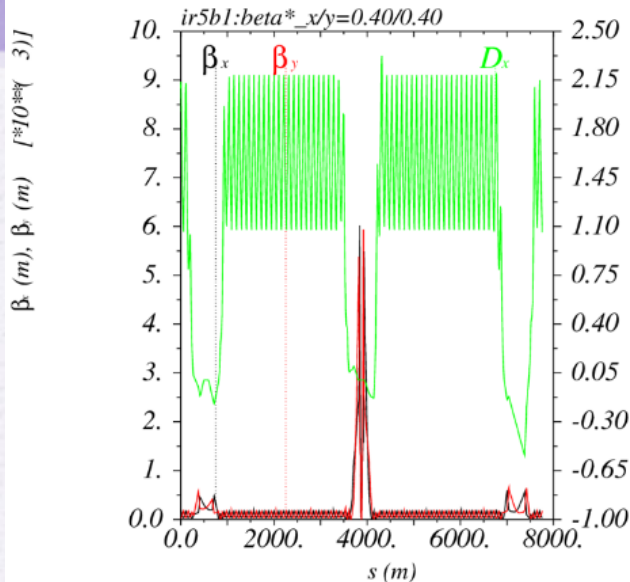
Octupole current [A]

2017/
2018a 2018b

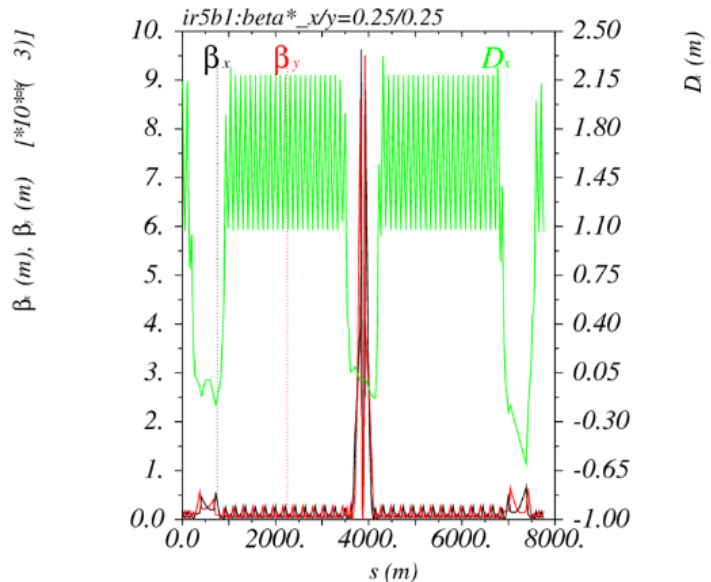
6.5 σ 6.0 σ

	Intensity [1e11 p/b]	Emittance [μm]	pattern	2017/ 2018a	2018b
25 ns standard (like 2017)	1.15	2.5 (2.4)	1-4 x 72 → 288	317	381
25 ns standard (high intensity)	1.30	2.8 (2.7)	1-4 x 72 → 288	325	390
25 ns BCMS (like 2017)	1.15	1.7 (1.4)	1-3 x 48 → 144	484	581
25 ns BCMS (high intensity)	1.30	1.9 (1.6)	1-3 x 48 → 144	495	594
25ns BCS (like 2017)	1.25	1.15 (1.0)	1-4 x 32 → 128	666	800
25ns BCS (high intensity)	1.30	1.20 (1.0)	1-4 x 32 → 128	693	832

From A_TS to A_TS



40 cm: Tele-index = 1.0

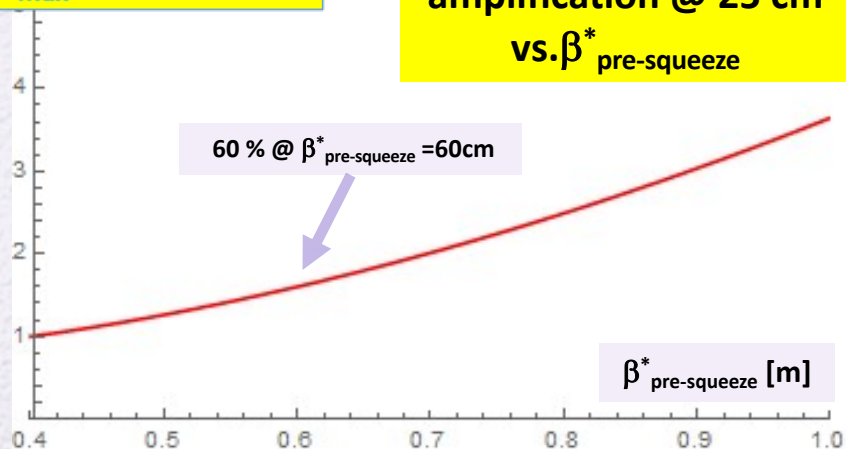


25 cm: Tele-index = 1.6
 → $\beta_{max} \sim 10 \text{ km} !$

S. Fartoukh

MO tune spread amplification @ 25 cm vs. β^* pre-squeeze

□ Telescope of ATS optics employed to enhance β at octupoles' location and thereby achieve more Landau damping for maximum current (HL-LHC operational scenario)s

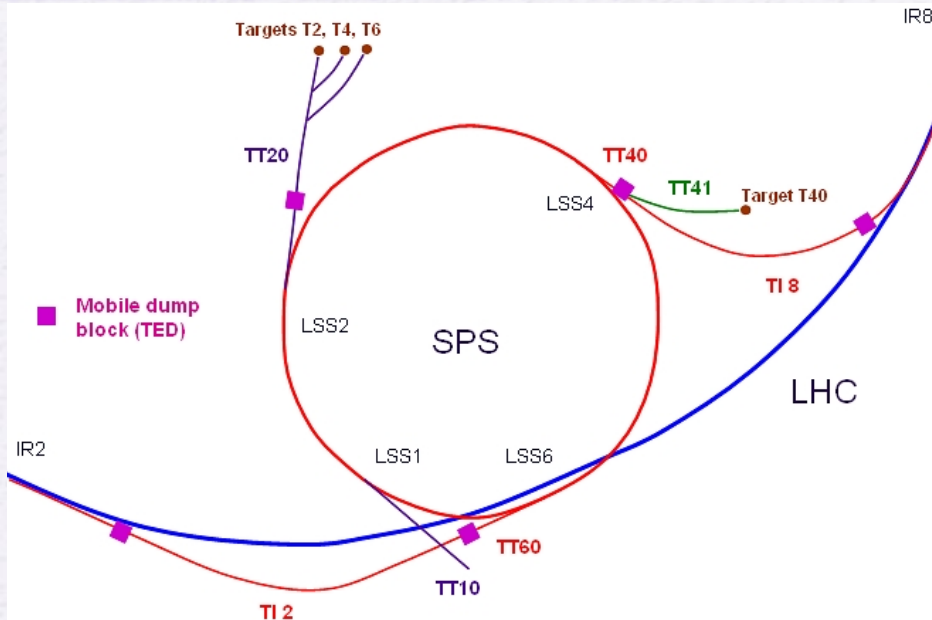


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Injectors for high brightness – CERN SPS



□ LHC injectors upgrade (LIU project) for High Luminosity LHC (HL-LHC)

□ Significantly higher intensity and brightness is required from injectors, including the SPS

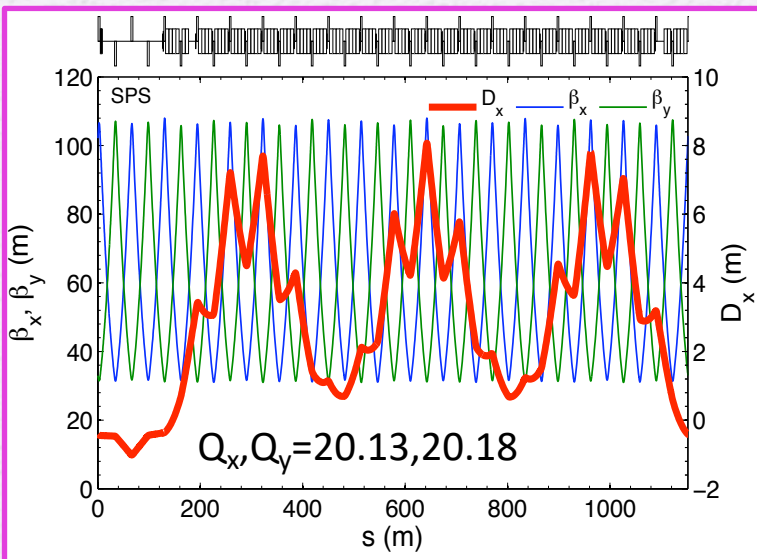
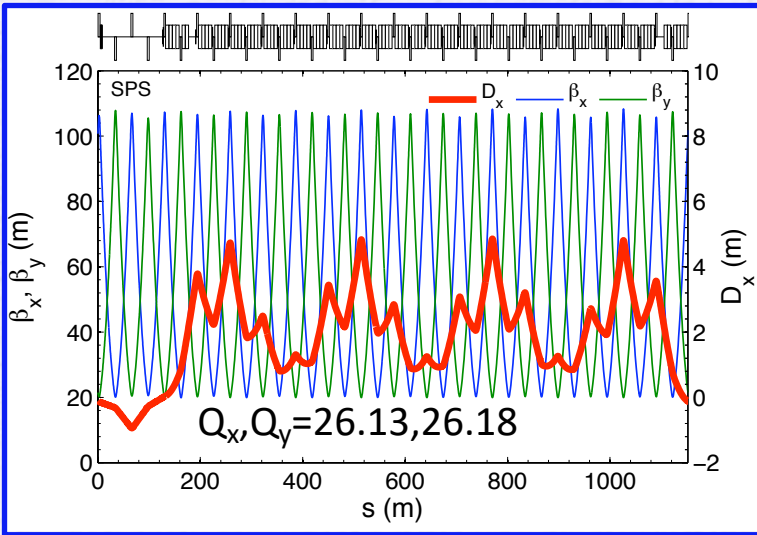
M. Medahi et al. IPAC 2019

□ Intensity limitations of SPS

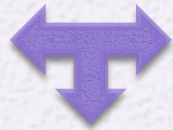
WG chaired by E. Shaposhnikova

- Beam loading in 200MHz and 800MHz RF system – RF upgrade
- Transverse mode coupling instability at injection (TMCI)
- Longitudinal instabilities (single and multi-bunch)
- Electron cloud for 25ns

Increasing slip factor (lowering γ_t)

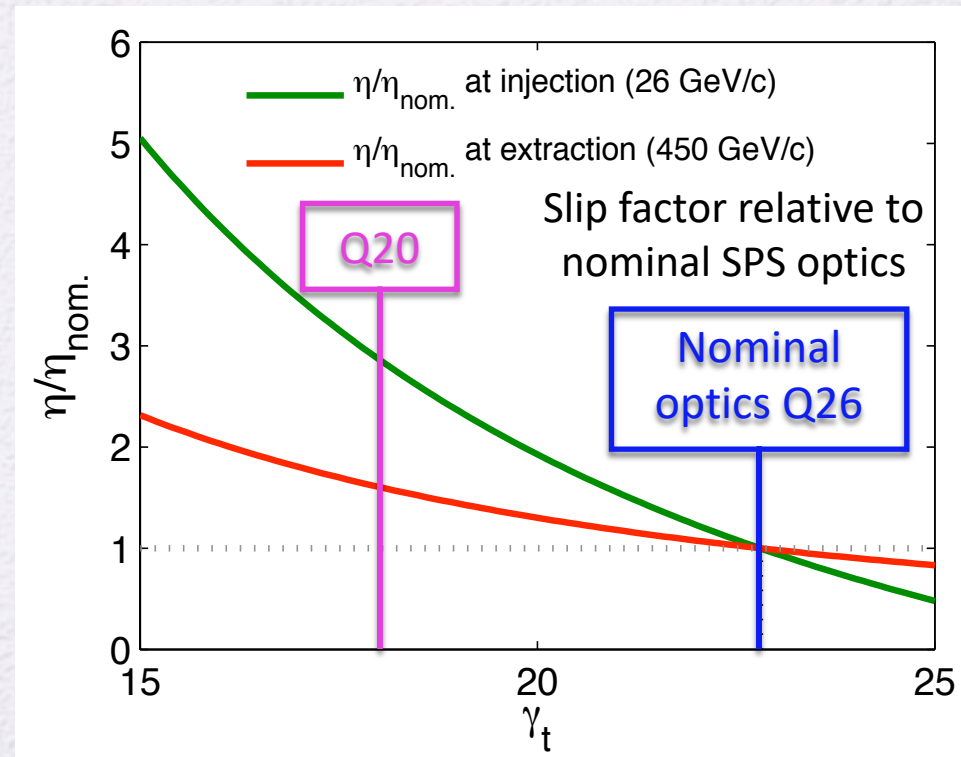


$$\eta = \frac{1}{\gamma_t^2} - \frac{1}{\gamma^2}$$



$$\gamma_{tFODO} \approx Q_x$$

Slippage factor increased by a factor of **2.8** at **injection** and **1.6** at **flat top**



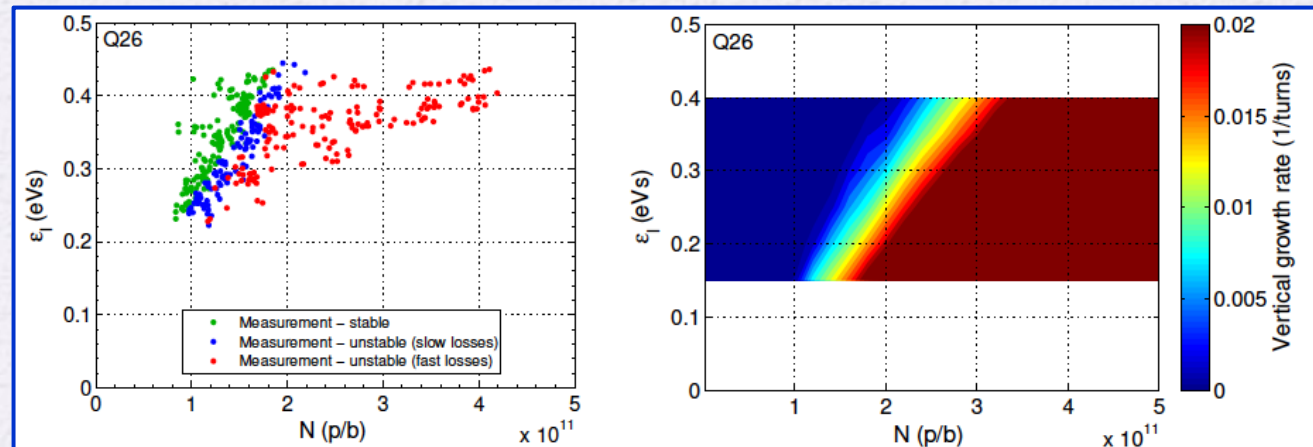
TMCI threshold



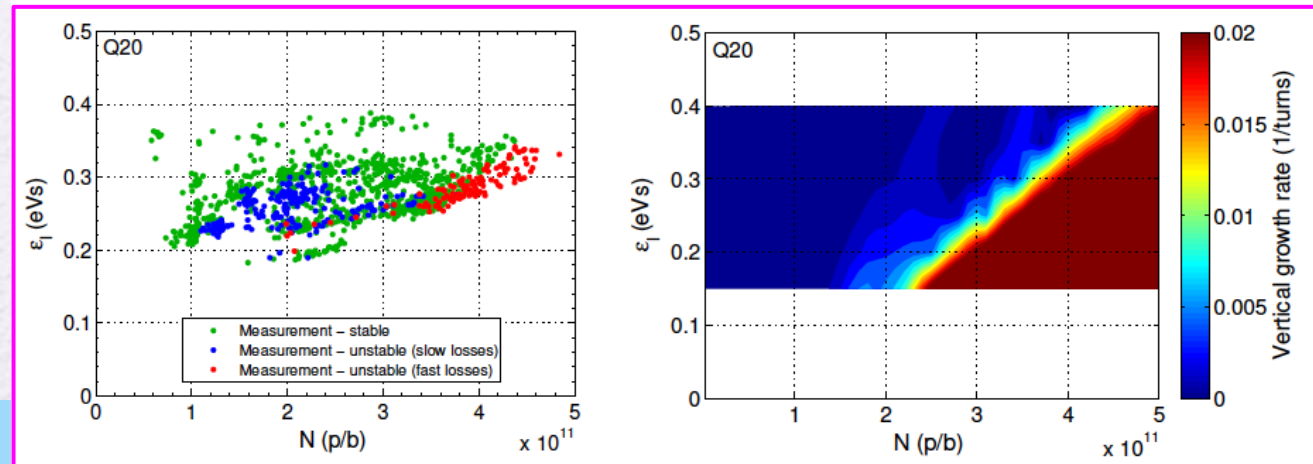
□ In **nominal optics**, measured/simulated threshold at **$1.6 \times 10^{11} \text{ p/b}$** for low chromaticity

- High-chromaticity increases threshold, but for high losses

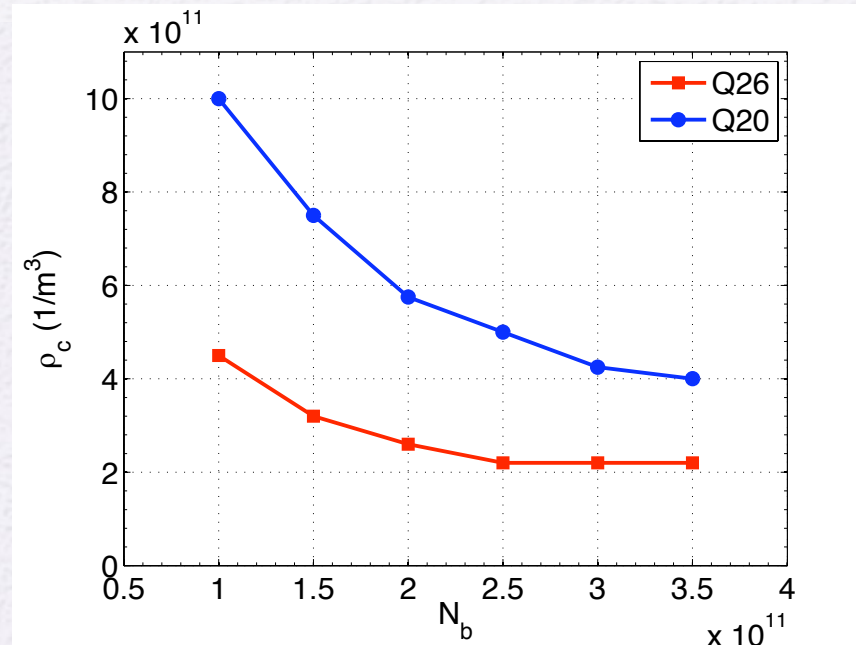
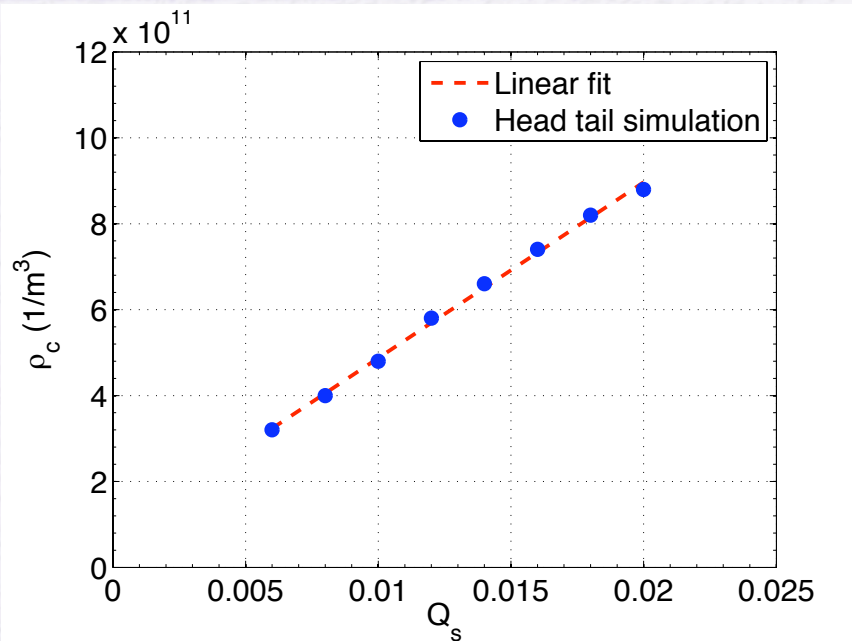
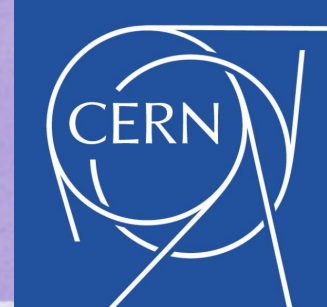
$$N_{\text{th}} \propto \frac{\varepsilon_l}{\beta_y} \eta$$



□ Measured/simulated threshold in **Q20** > **$4 \times 10^{11} \text{ p/b!!!}$**



E-cloud instability threshold



- ❑ Simulations with HEADTAIL code
 - ❑ Injection energy, uniform cloud distribution, located in dipole regions
- ❑ Linear scaling with Synchrotron tune demonstrated
- ❑ Clearly higher thresholds predicted for **Q20**

$$N_{th} \propto Q_s \propto \sqrt{\eta}$$

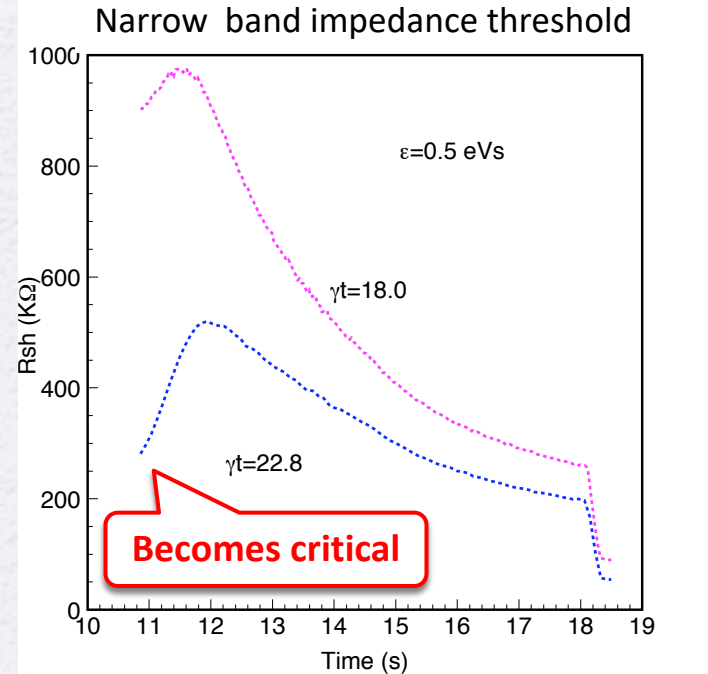
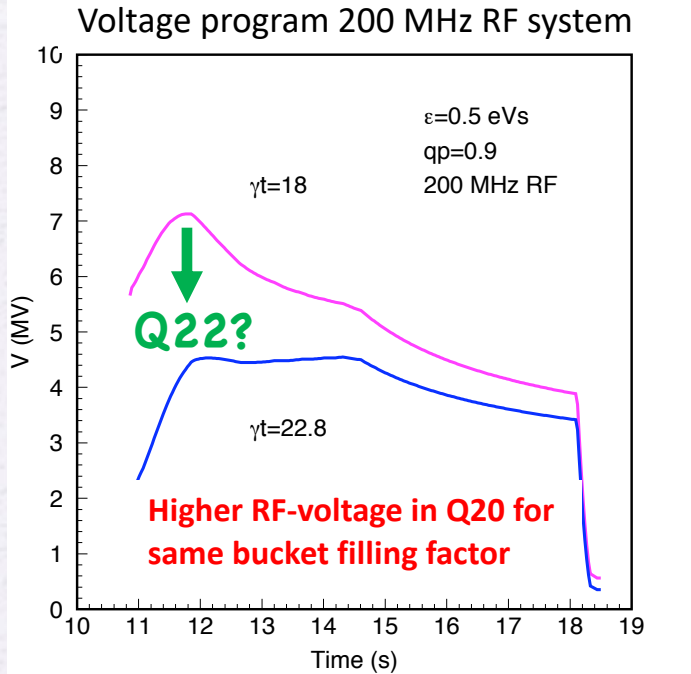
More margin with Q20 if e-cloud becomes issue for high intensity

H. Bartosik et al, IPAC2011

Longitudinal impedance threshold



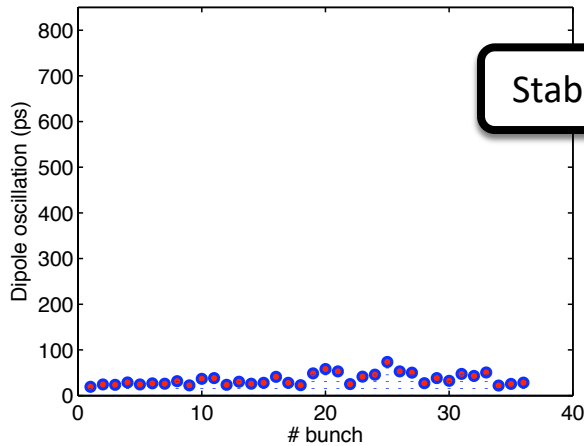
E. Shaposhnikova



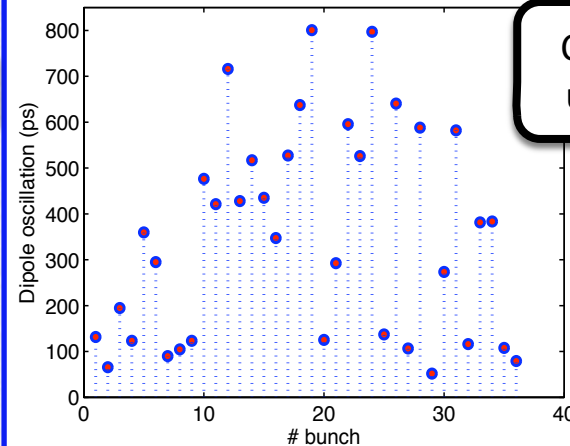
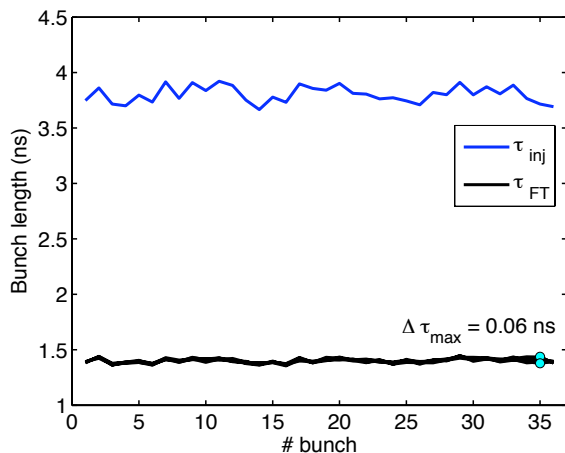
- ❑ Impedance threshold has minimum at flat top
 - ❑ Controlled longitudinal emittance blow-up during ramp for **Q26**
 - ❑ Less (or no) longitudinal emittance blow-up needed in **Q20**
- ❑ Instability limit at flat bottom
 - ❑ Critical with **Q26** when pushing intensity
 - ❑ Big margin with **Q20** (factor of 3) but for increased voltage

$$N_{th} \propto \epsilon_l^{5/2} \eta$$

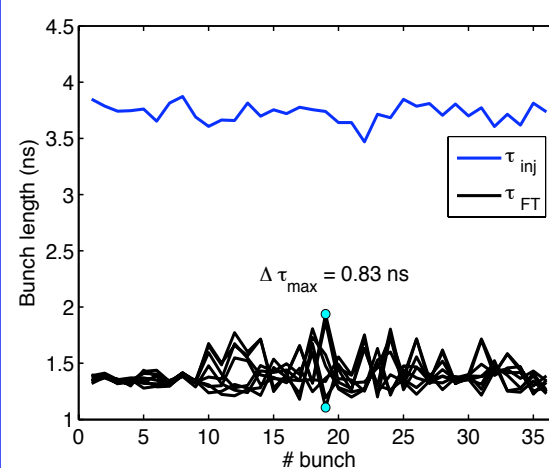
Stability without longitudinal blow-up



SPS-Q20 (1.6×10^{11} p/b)
double harmonic RF



SPS-Q26 (1.6×10^{11} p/b)
double harmonic RF

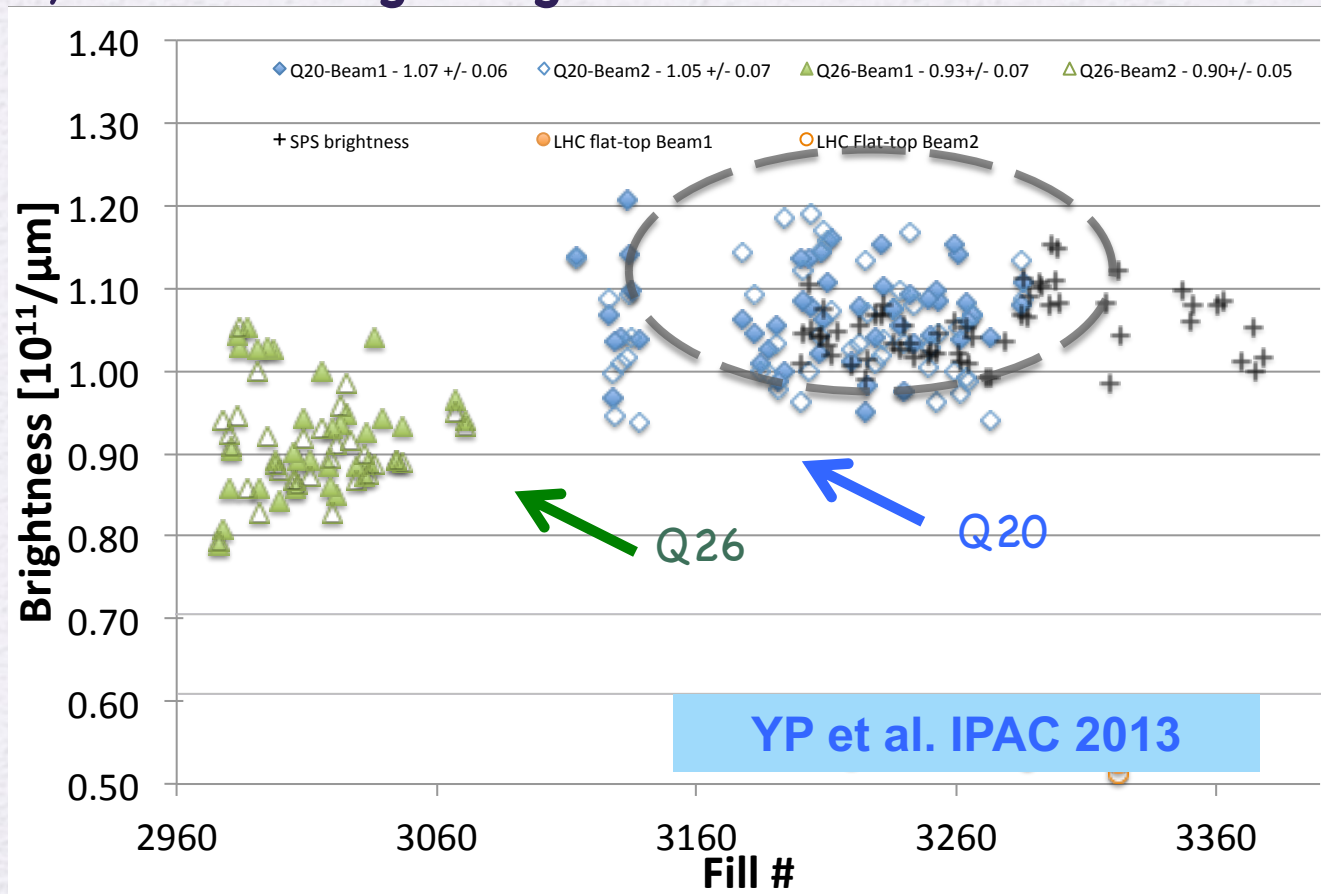


T. Argyropoulos,
PhD thesis 2015

LHC brightness with SPS Q20



- Operational deployment of Q20 optics for LHC beams since 2012 allowing around **20% brighter beams on LHC flat bottom**
- Opened way for **ultra-high brightness beams** of HL-LHC era



Summary



- ❑ Optimization of **linear optics** parameters with **direct impact to collective effects**
 - ❑ Using **analytical** and **numerical** methods
 - ❑ **NMC cell design** and working point choice in high-intensity (or high-power) rings
 - ❑ Conceptual design of **ultra-low emittance rings**
 - ❑ **Negative- α optics** for instability mitigation
 - ❑ **Enhance Landau damping** with optics manipulation
 - ❑ Break **intensity limitations** in operating LHC injectors, without any cost impact or hardware change
- ❑ **Optics design** needs to go **beyond single-particle dynamics** and include collective effects for reaching optimal performance

Acknowledgements



G. Arduini, F. Antoniou,
T. Argyropoulos, H. Bartosik, T. Bohl,
X. Buffat, S. Fartoukh, E. Metral,
G. Rumolo, E. Shaposhnikova,
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Instability thresholds and slippage factor



□ Transverse instabilities

- TMCI at injection - single bunch instability in vertical plane

- Threshold at 1.6×10^{11} p/b ($\epsilon_l = 0.35$ eVs, $\tau = 3.8$ ns) with low vertical chromaticity

$$N_{th} \propto \frac{\epsilon_l}{\beta_y} \eta$$

- E-cloud vertical instability for 25 ns beam

- Threshold higher than 1.2×10^{11} p/b due to scrubbing

$$N_{th} \propto Q_s \propto \sqrt{\eta}$$

□ Longitudinal instabilities

T. Argyropoulos, PhD thesis, 2015

- Single bunch and coupled bunch due to loss of Landau damping

- Threshold at 2×10^{10} p/b for single harmonic RF (800 MHz cavity use is mandatory)