



NOvA's Short-baseline Joint Muon-neutrino Disappearance and Tau-neutrino Appearance Search

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NOvA Experiment

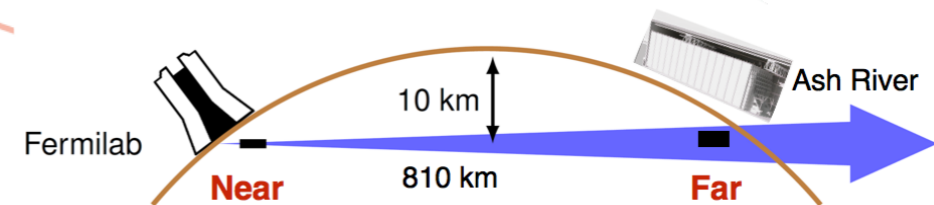
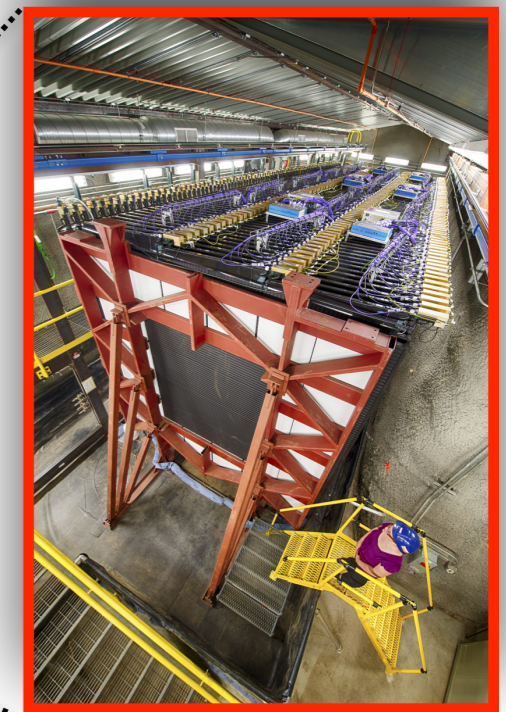
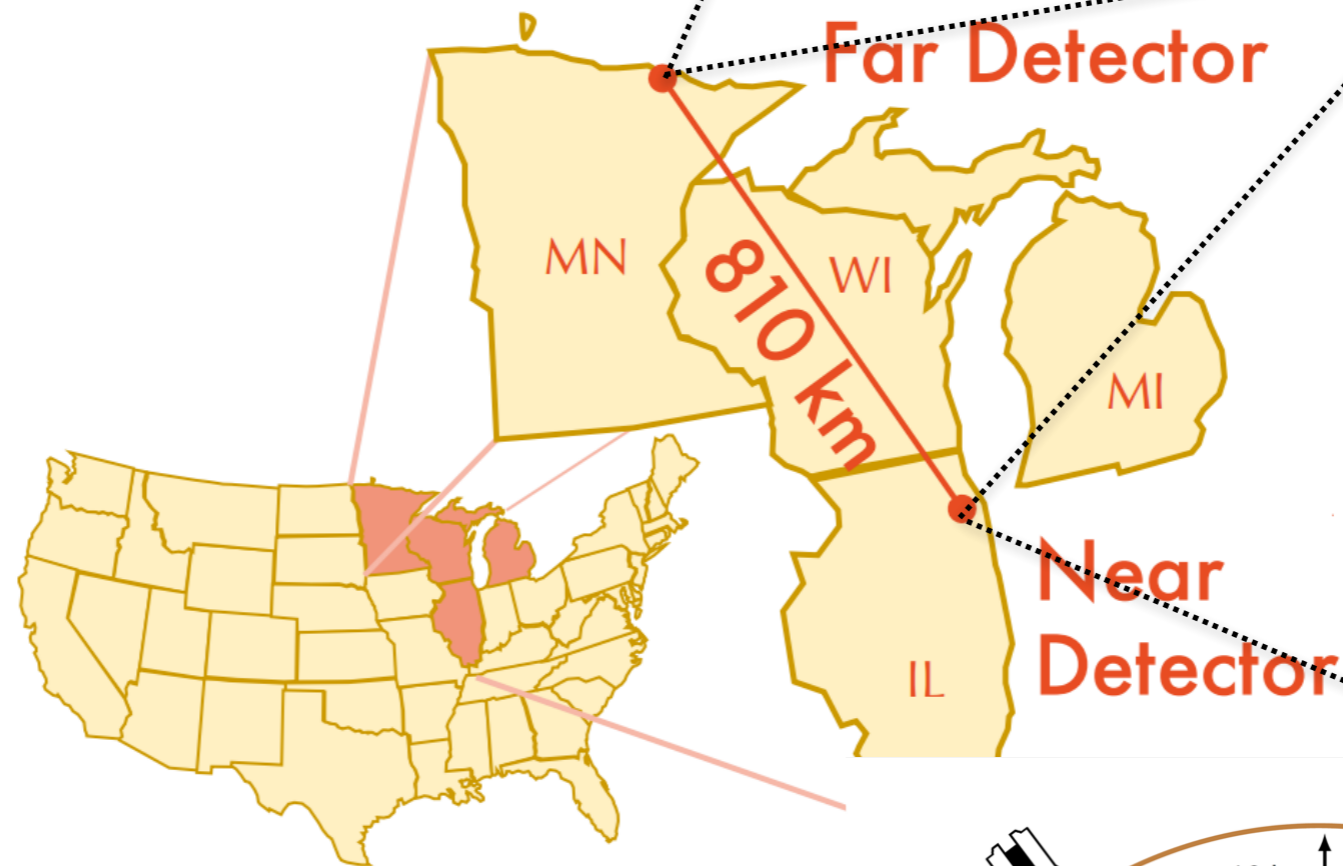
- **Off-axis** long-baseline neutrino oscillation experiment
- The detectors are located **0.8°** off NuMI beam axis
- As detectors are off-axis, a narrow energy flux peaks at 2 GeV

Near Detector:

- 105 m underground
- 1 km from target
- 0.3 kton

Far Detector:

- Located on surface
- 810 km from target
- 14 kton



NOvA Detectors

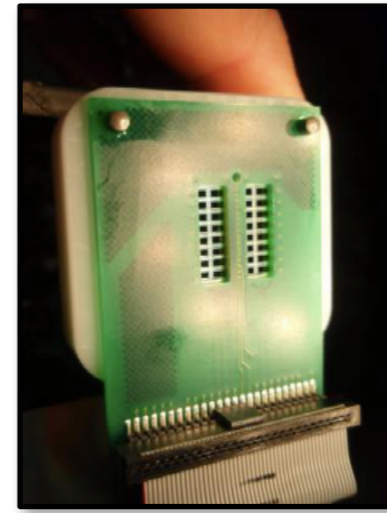
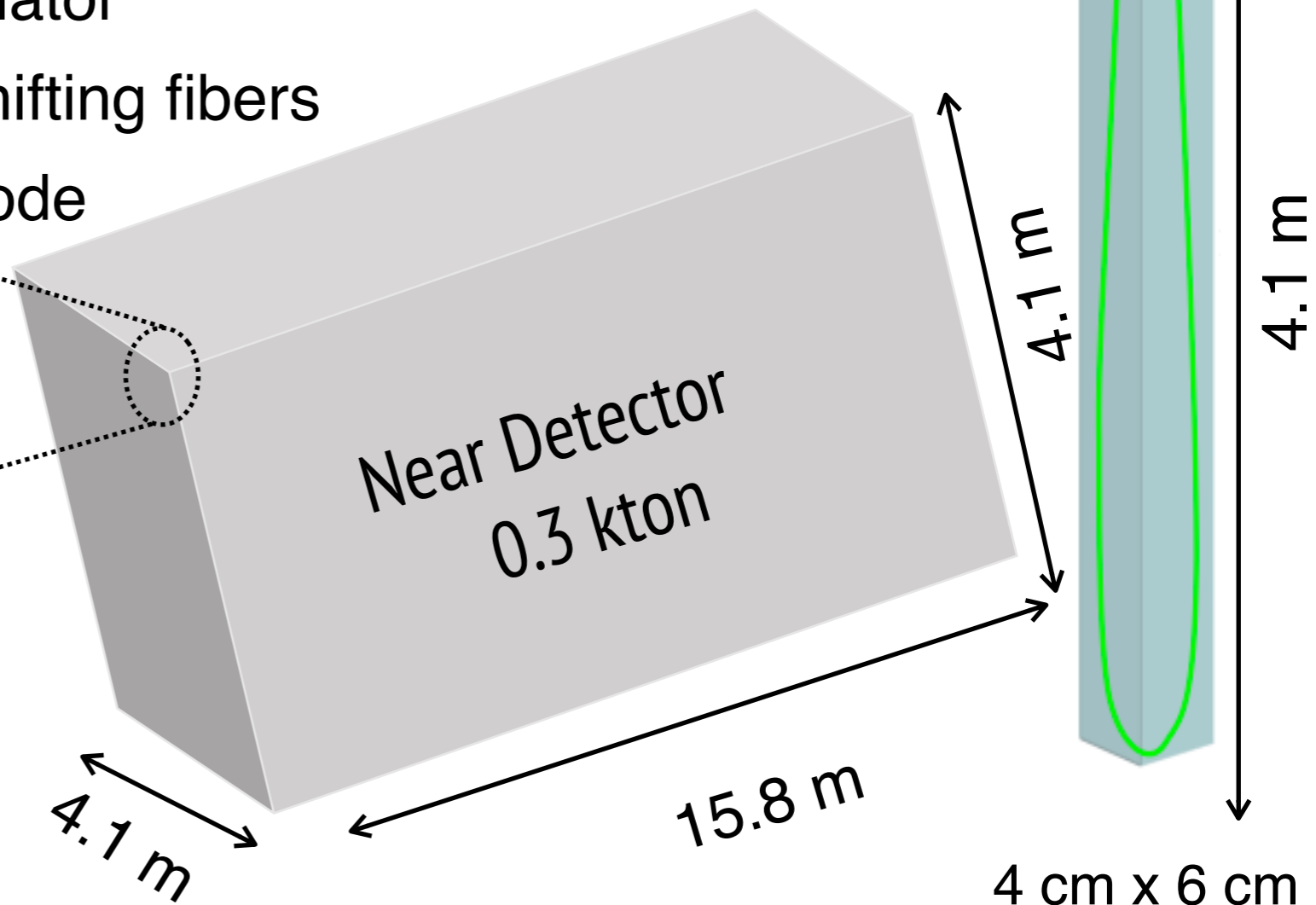
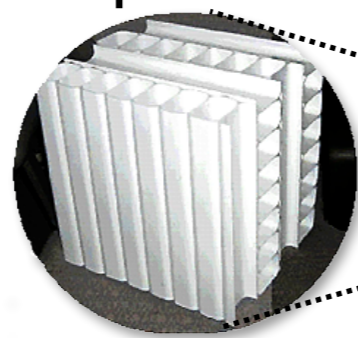
- Functionally identical detectors made of planes of extruded PVC cells
- Alternating horizontal and vertical planes to provide 3D tracking
- Each cell filled with liquid scintillator
- Light collected in wavelength shifting fibers and fed into avalanche photodiode

Near detector:

Fine-grained,
low-Z, highly-active
tracking calorimeter

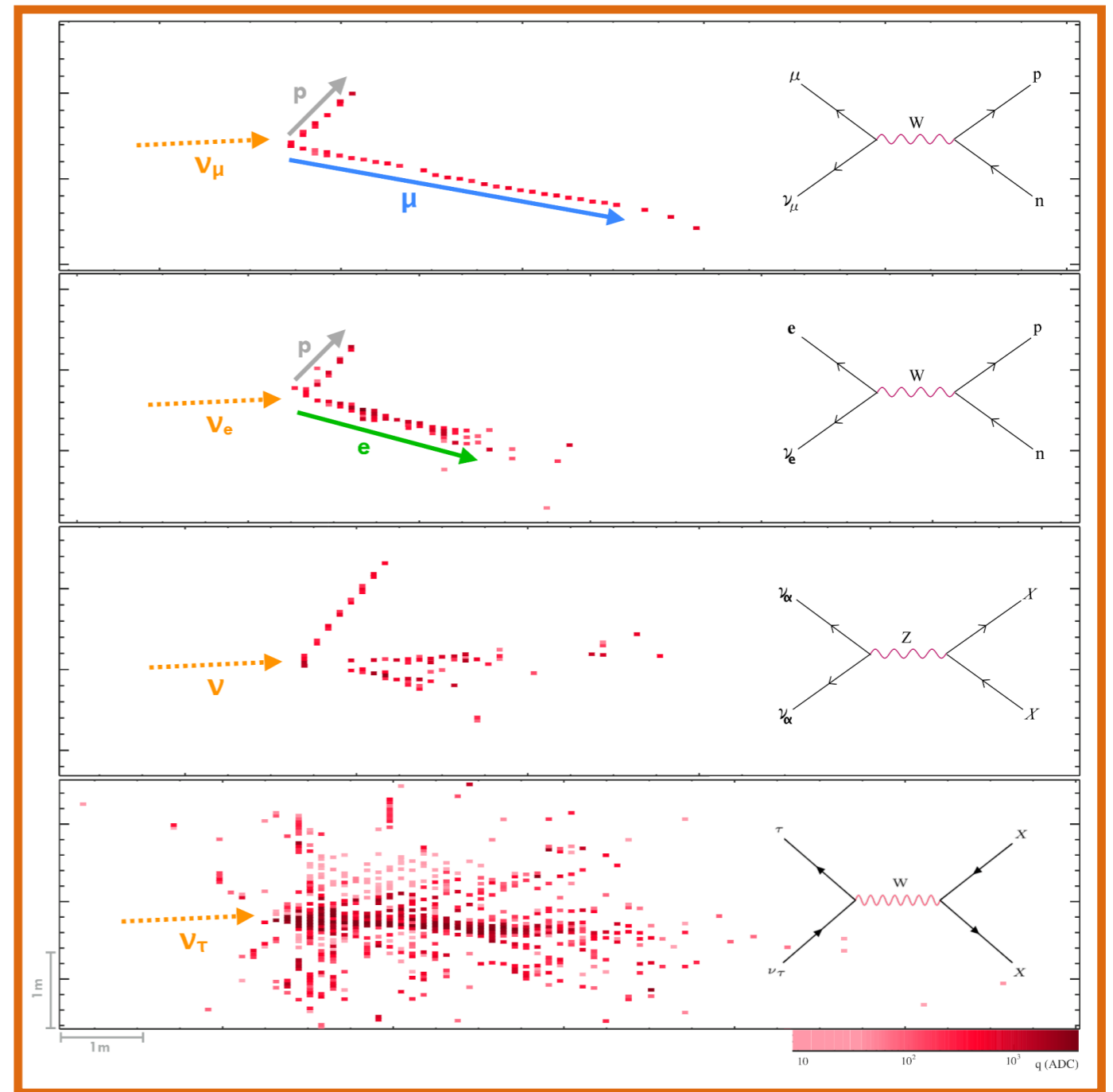
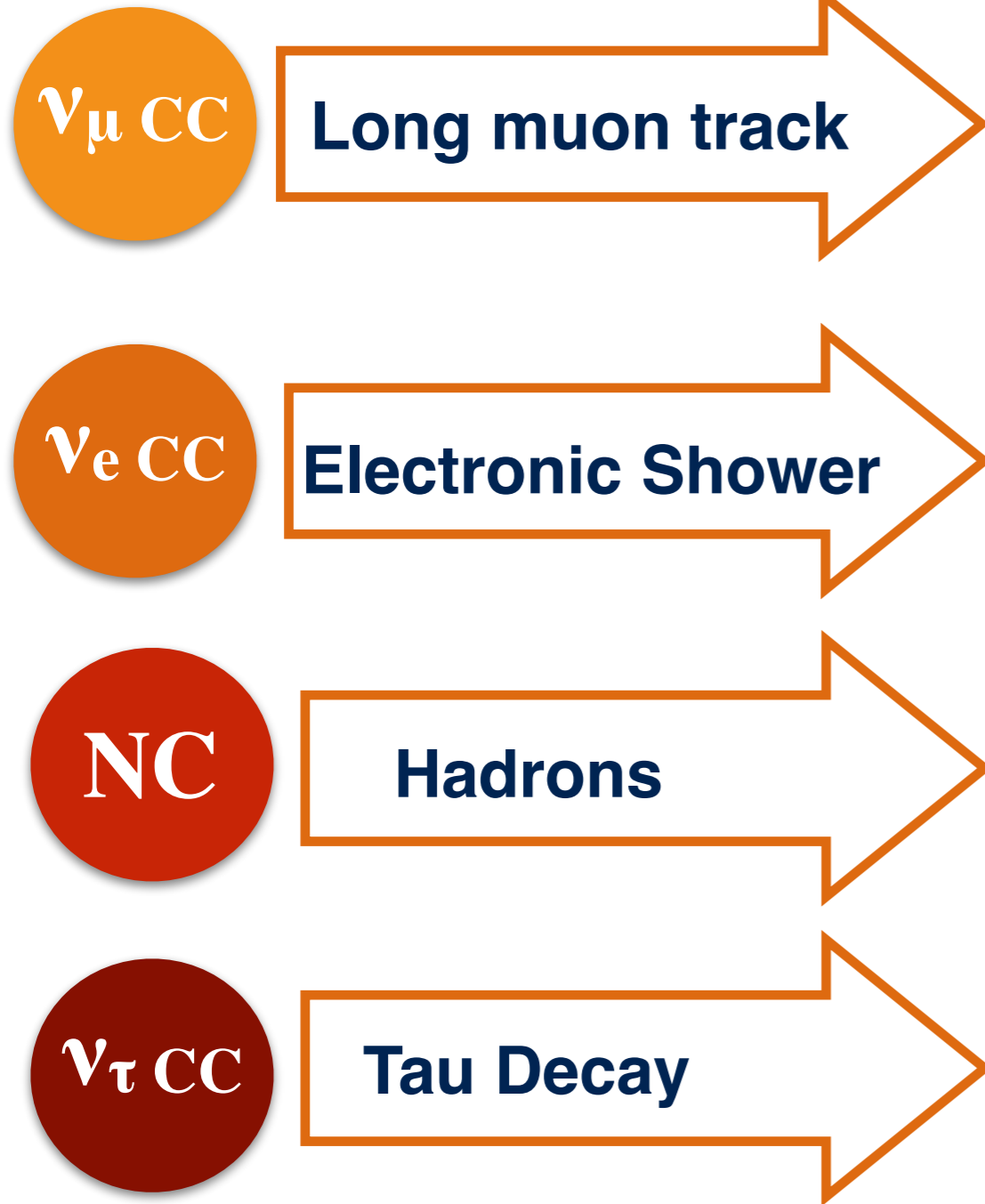
→ 20k PVC cells

→ 65% active by mass



To APD

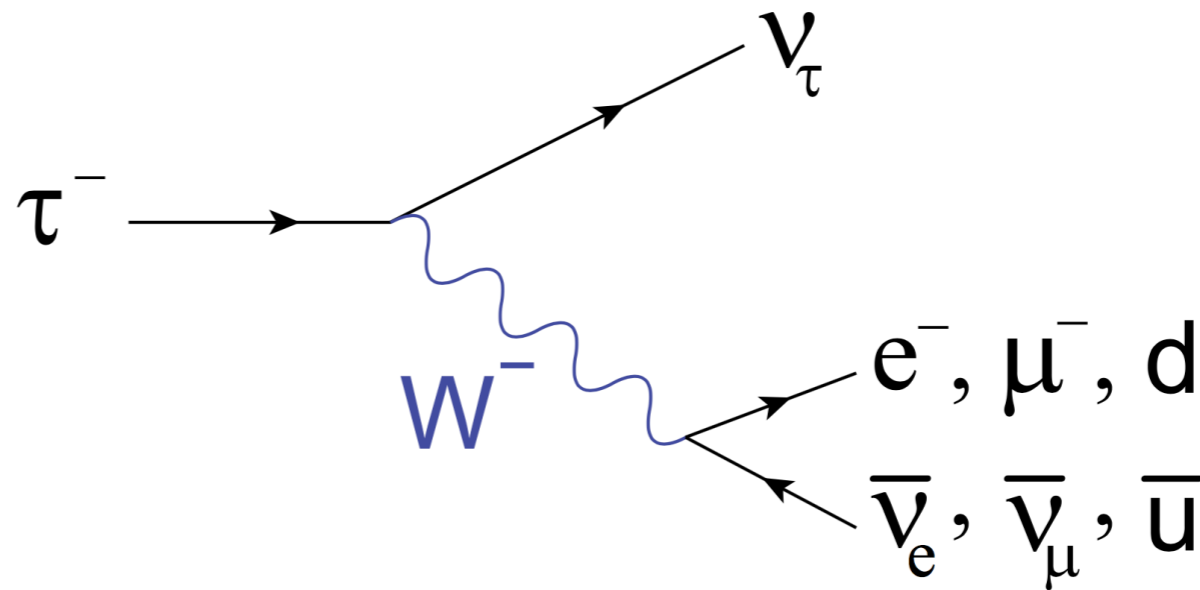
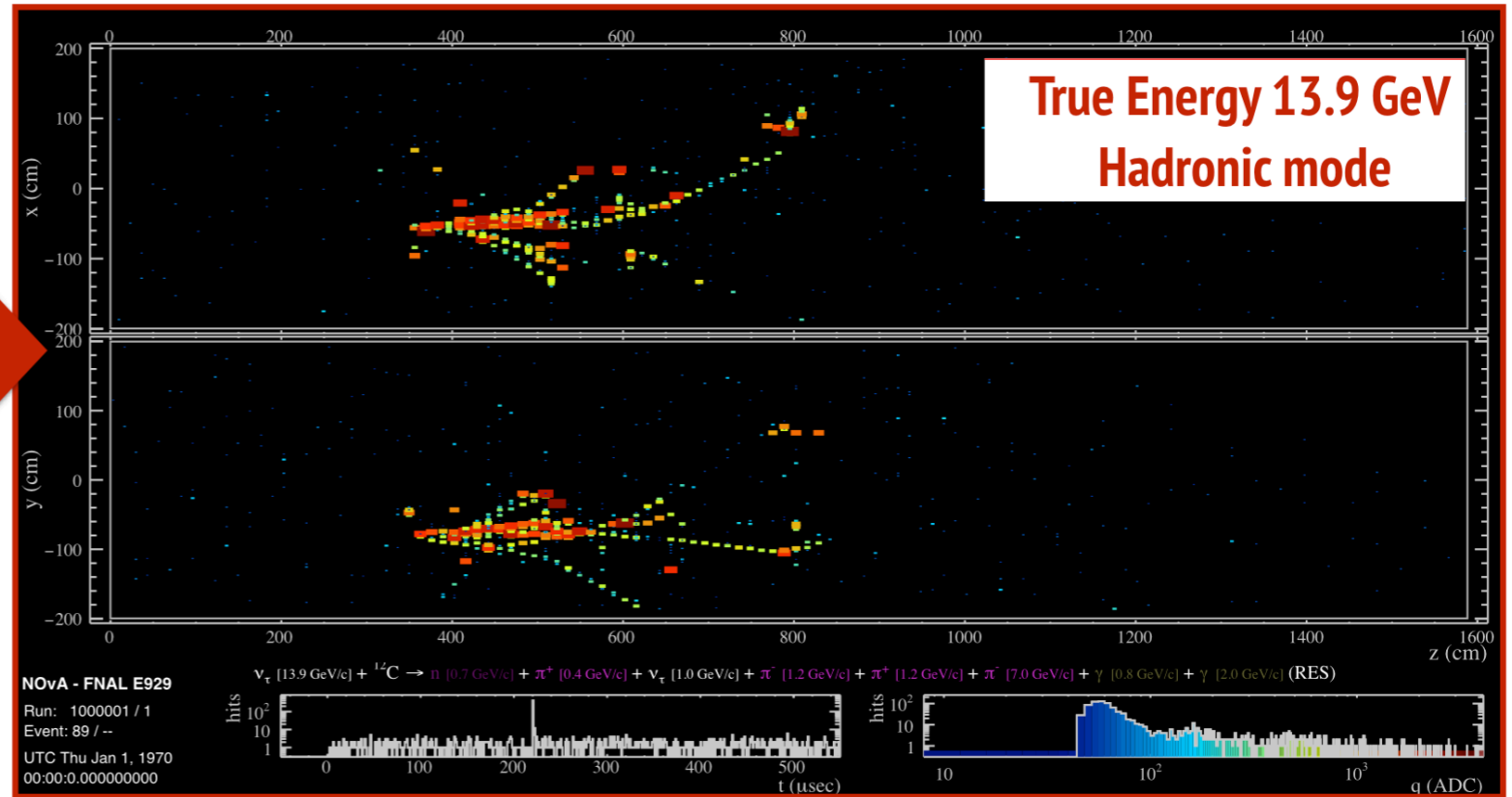
Neutrino Interactions In NOvA



τ decay modes

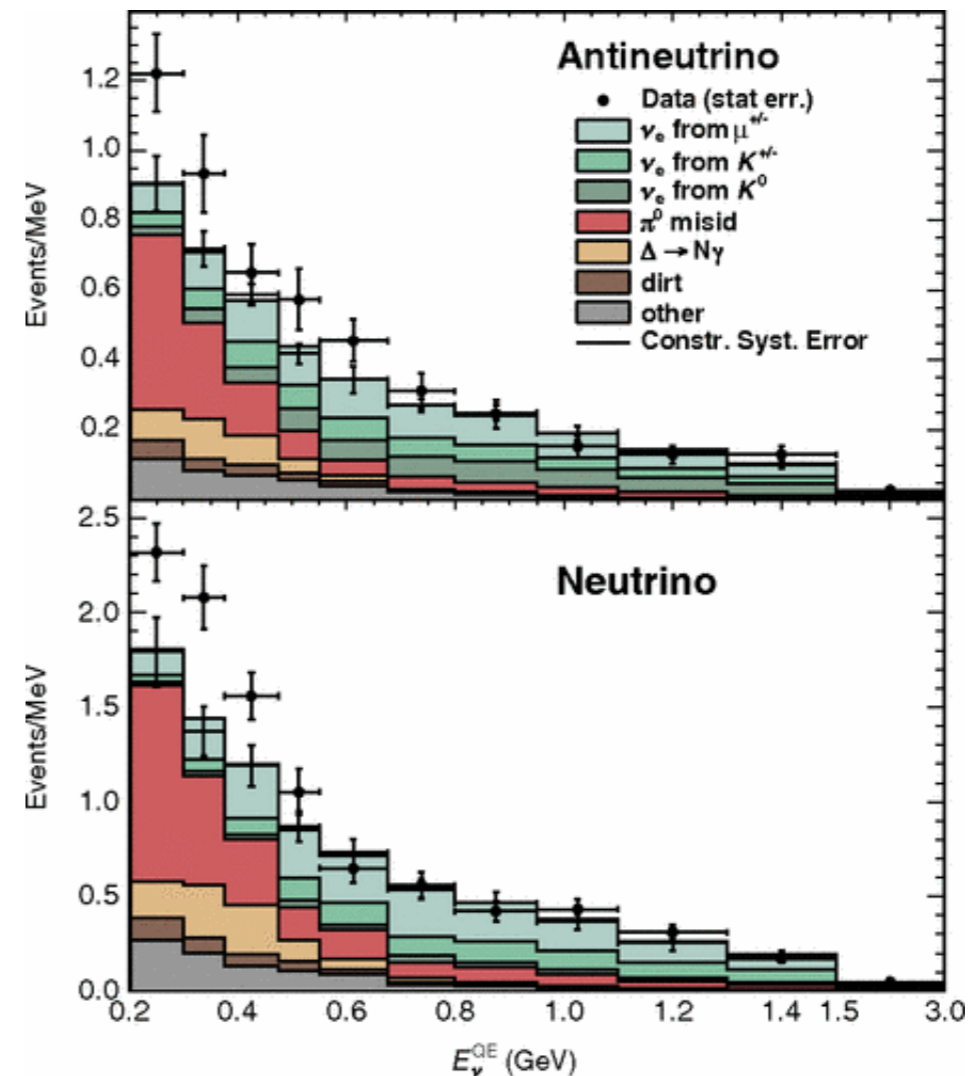
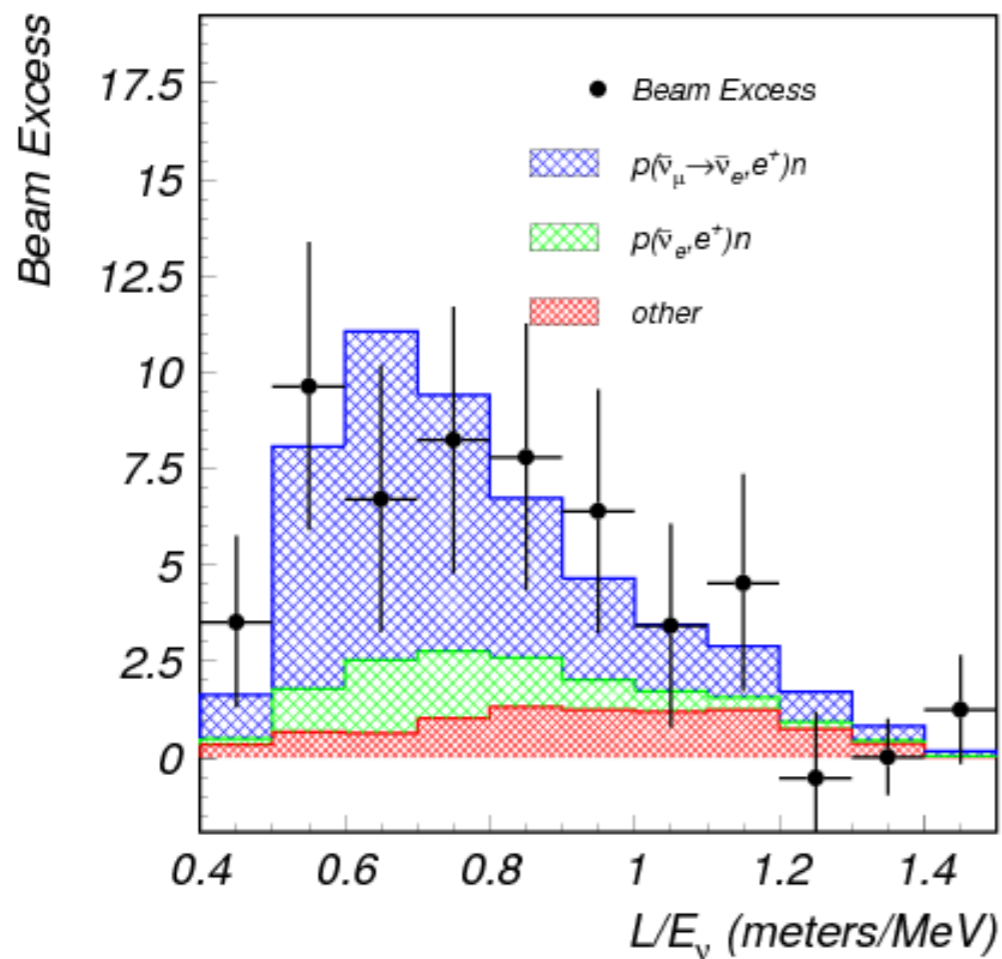
- Tau is the only lepton that can decay into hadrons
- $\sim 65\%$ hadronic
- Remaining leptonic
- Leptonic- either muonic or electronic
- This analysis looks only for **hadronic τ decay**

Top View
 Beam
 Side View



LSND and MiniBooNE Anomaly

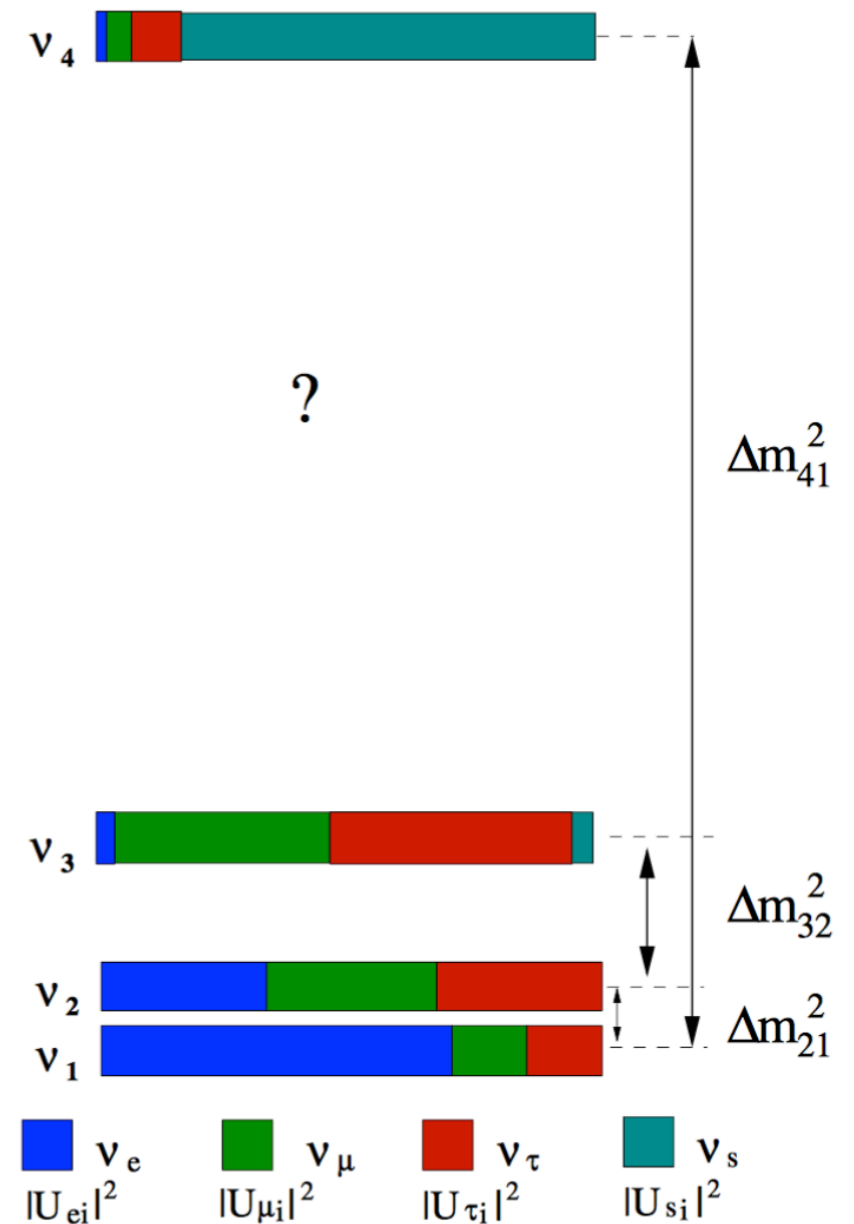
- LSND and miniBooNE reported a $\bar{\nu}_e$ excess in anti-neutrino mode
- The evidence for the existence of **sterile neutrinos**?



Sterile Neutrinos

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}$$

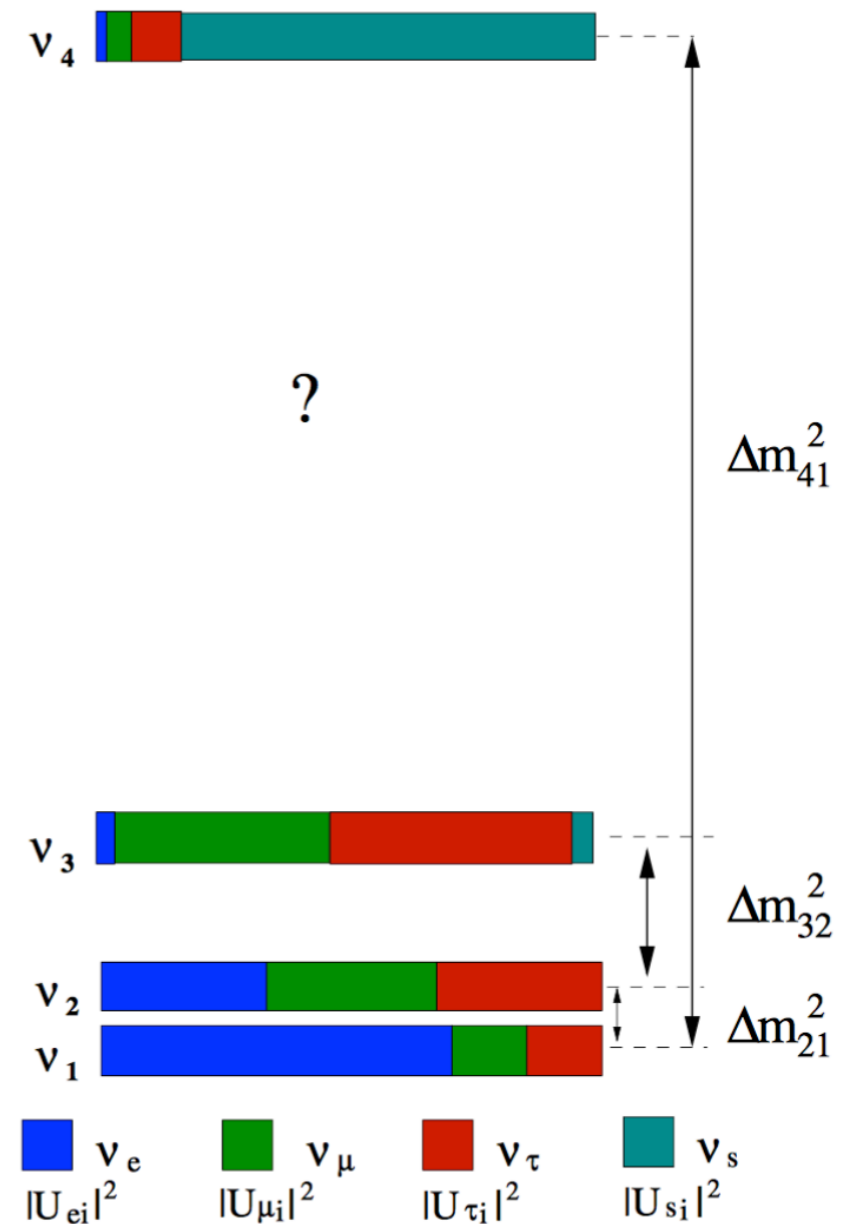
- ν_α ($\alpha = e, \mu, \tau, s$) and ν_i ($i = 1, 2, 3, 4$) are the **flavor** and **mass** eigen states respectively, and $U_{\alpha 4}$ represents the mixing between active and sterile neutrino.



Sterile Neutrinos

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3+1 Oscillation Model

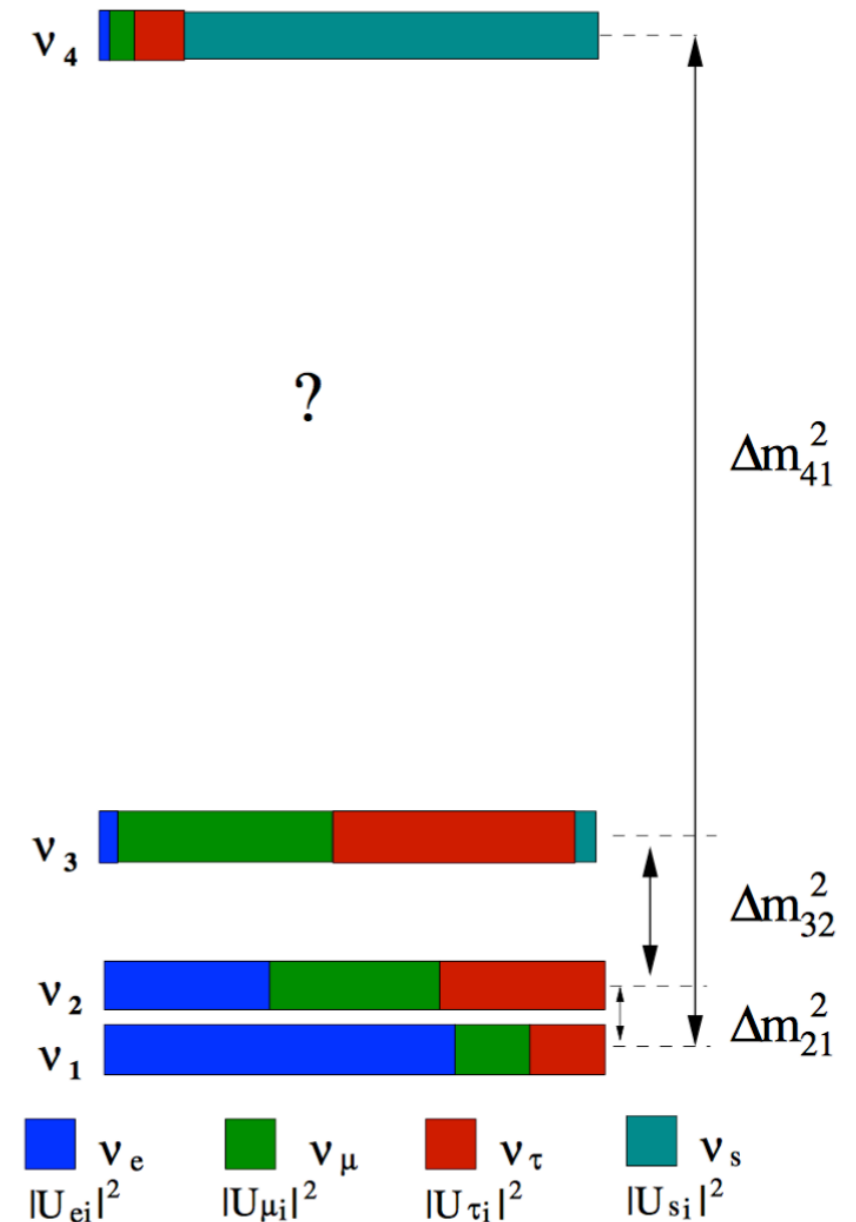
- The probability for ν_τ appearance and ν_μ disappearance using a 3+1 oscillation model in Short-Baseline(SBL) approximation:

$$P_{\nu_\mu \rightarrow \nu_\tau}^{(-)} = \sin^2 2\theta_{\mu\tau} \sin^2 \frac{\Delta m_{41}^2 L}{4E}$$

where $\sin^2 2\theta_{\mu\tau} \equiv 4|U_{\mu 4}|^2|U_{\tau 4}|^2$
 $= \cos^4 \theta_{14} \sin^2 2\theta_{24} \sin^2 \theta_{34}$

$$P_{\nu_\mu \rightarrow \nu_\mu}^{(-)} = 1 - \sin^2 2\theta_{\mu\mu} \sin^2 \frac{\Delta m_{41}^2 L}{4E}$$

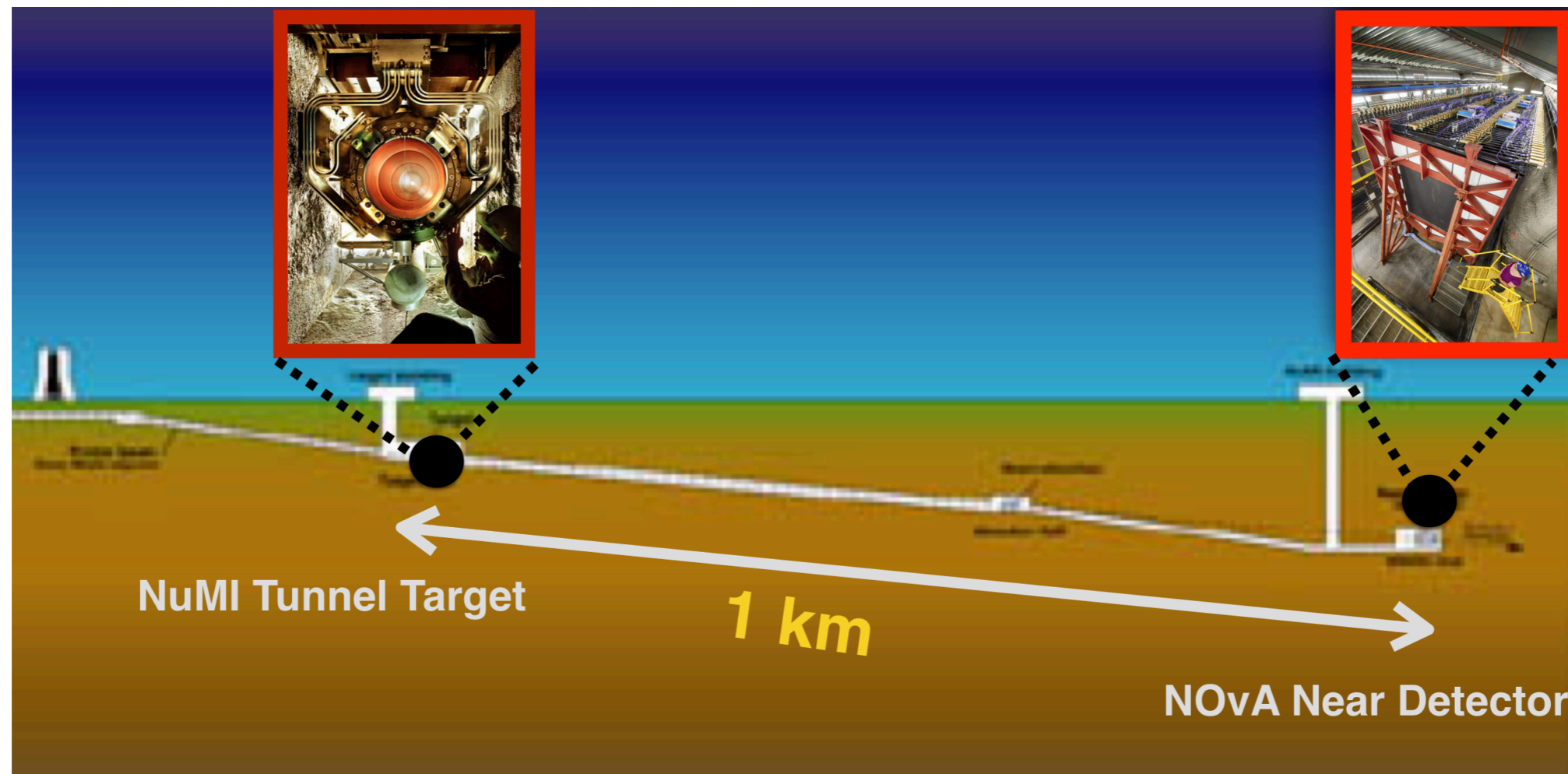
where $\sin^2 2\theta_{\mu\mu} = \cos^2 \theta_{14} \sin^2 \theta_{24}$



Analysis Overview

Analysis Goal:

- **Sterile neutrino** search by looking at ν_μ disappearance and ν_τ appearance at NOvA **Near Detector**
- Constrain **3+1 oscillation** parameters (Δm^2_{41} , $\sin^2\theta_{24}$ and $\sin^2 2\theta_{\mu\tau}$)

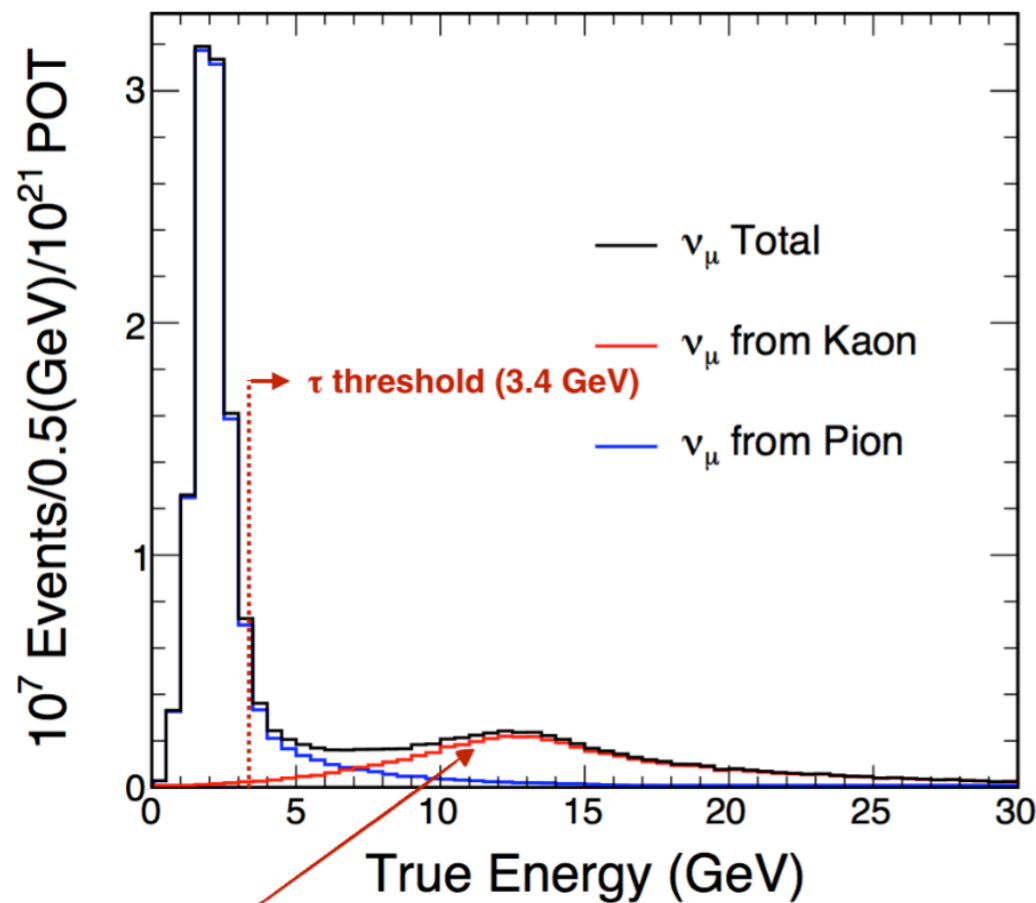


Analysis Overview

- Neutrinos in narrow-band beam peaked at **2 GeV** predominantly created by pion decays
- But **τ threshold** is **~ 3.4 GeV**

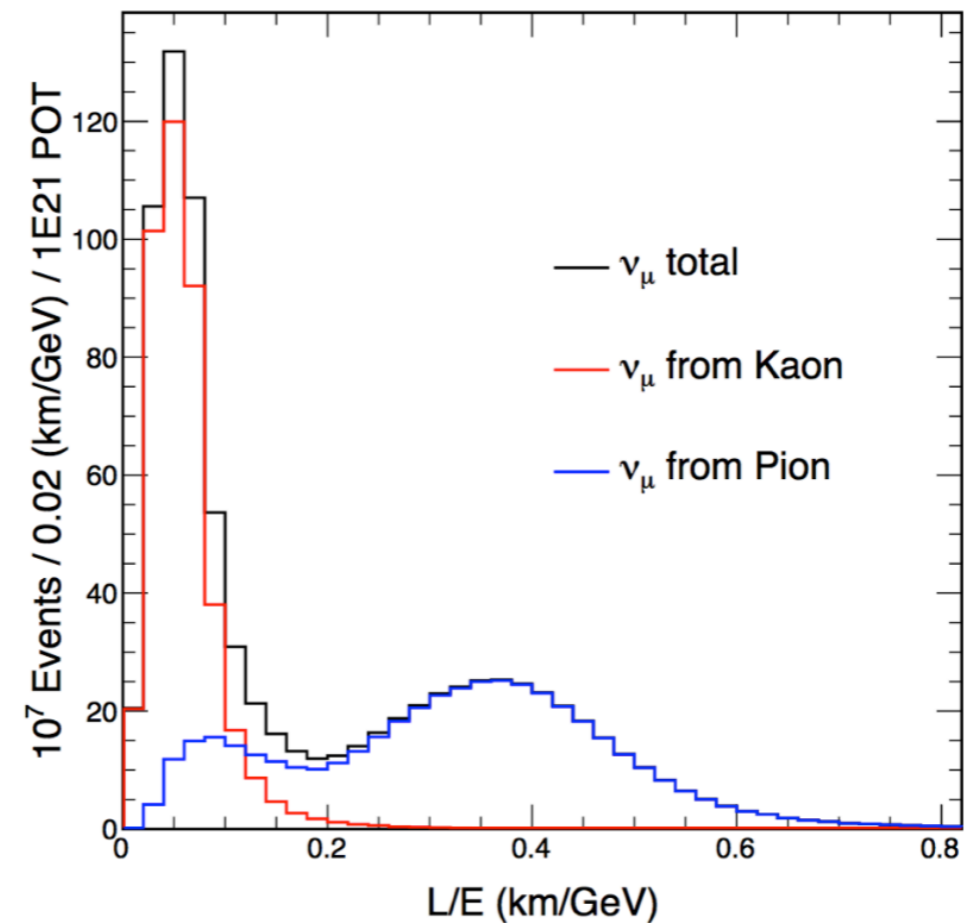
- Looking at the high energy neutrinos coming from **kaons** above τ threshold
- Search for **$\nu_\mu \rightarrow \nu_\mu$** and **$\nu_\mu \rightarrow \nu_\tau$** oscillations

NOvA Simulation



SBL ν_τ Appearance

NOvA Simulation



SBL ν_τ Appearance

LSND sensitive region(0.4 -1.4)

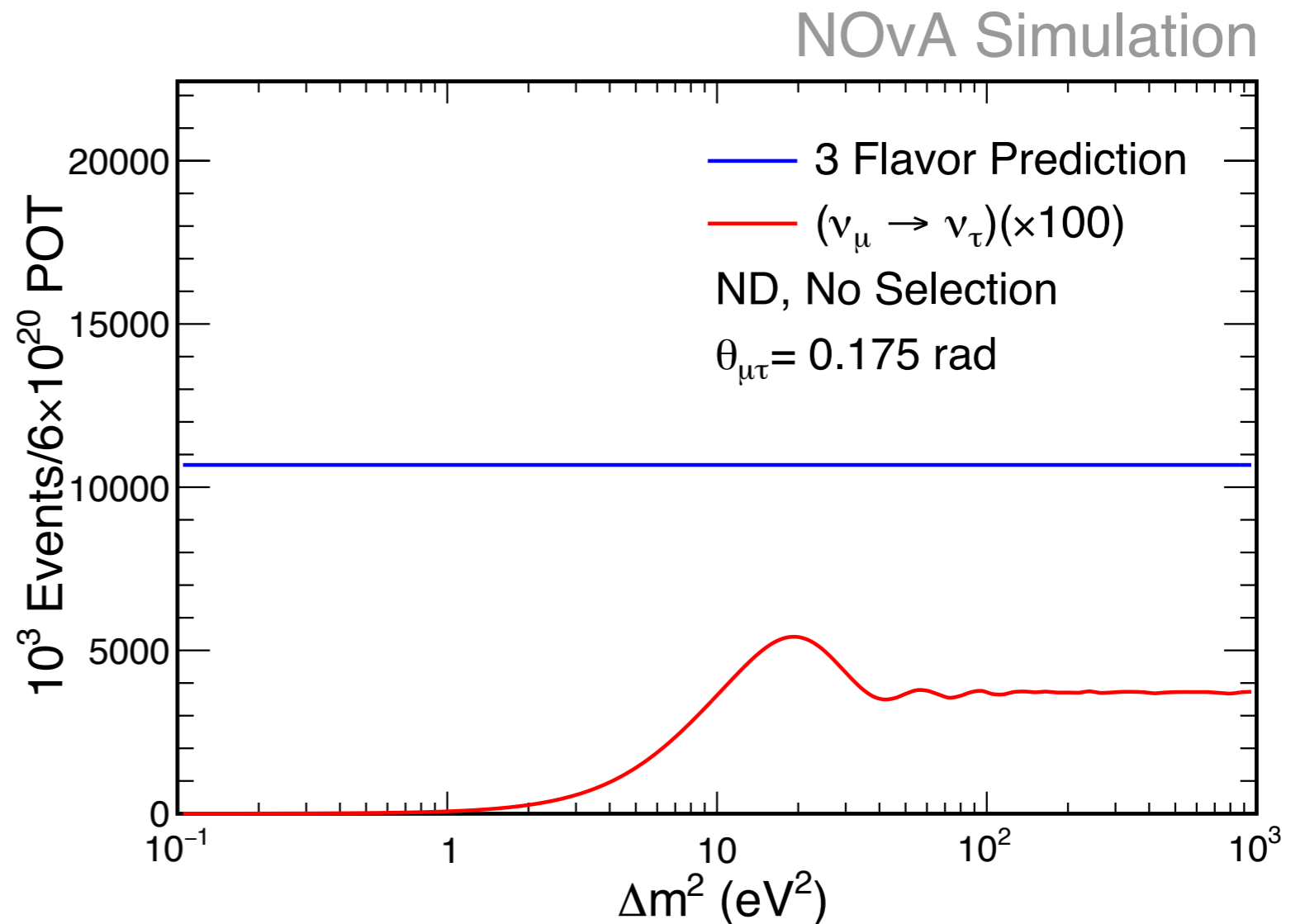


Analysis Strategy

- ν_τ event rates are maximized for $\Delta m^2_{41} = 22 \text{ eV}^2$ as looking at high energy region with low L/E.
- Use CVN and BDTs as primary selectors.

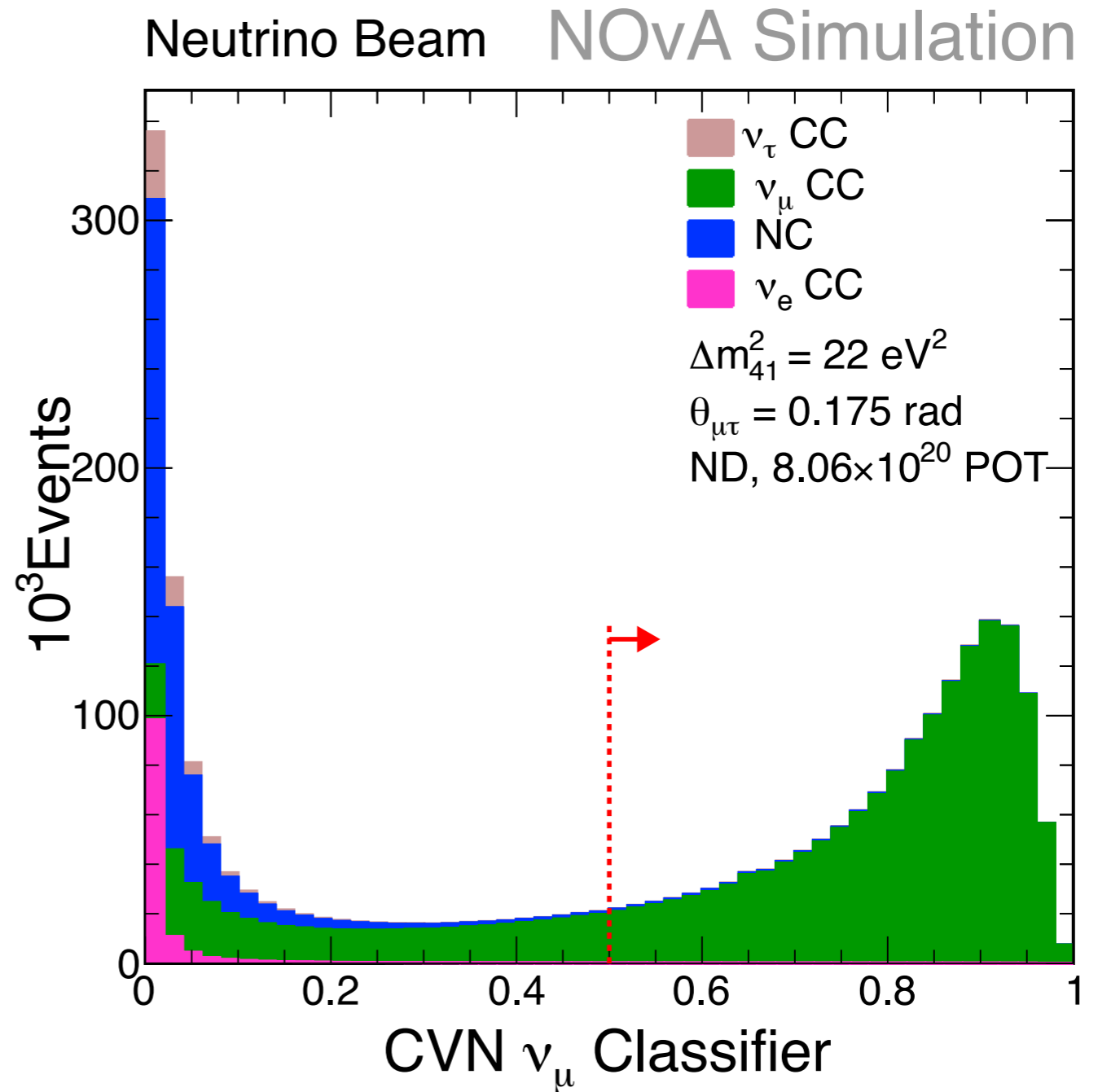
- A joint ν_μ - ν_τ fit to constrain huge systematic uncertainties.

- Rate only fit to the 3+1 oscillation parameters:
 Δm^2_{41} , $\sin^2\theta_{24}$ and $\sin^2 2\theta_{\mu\tau}$



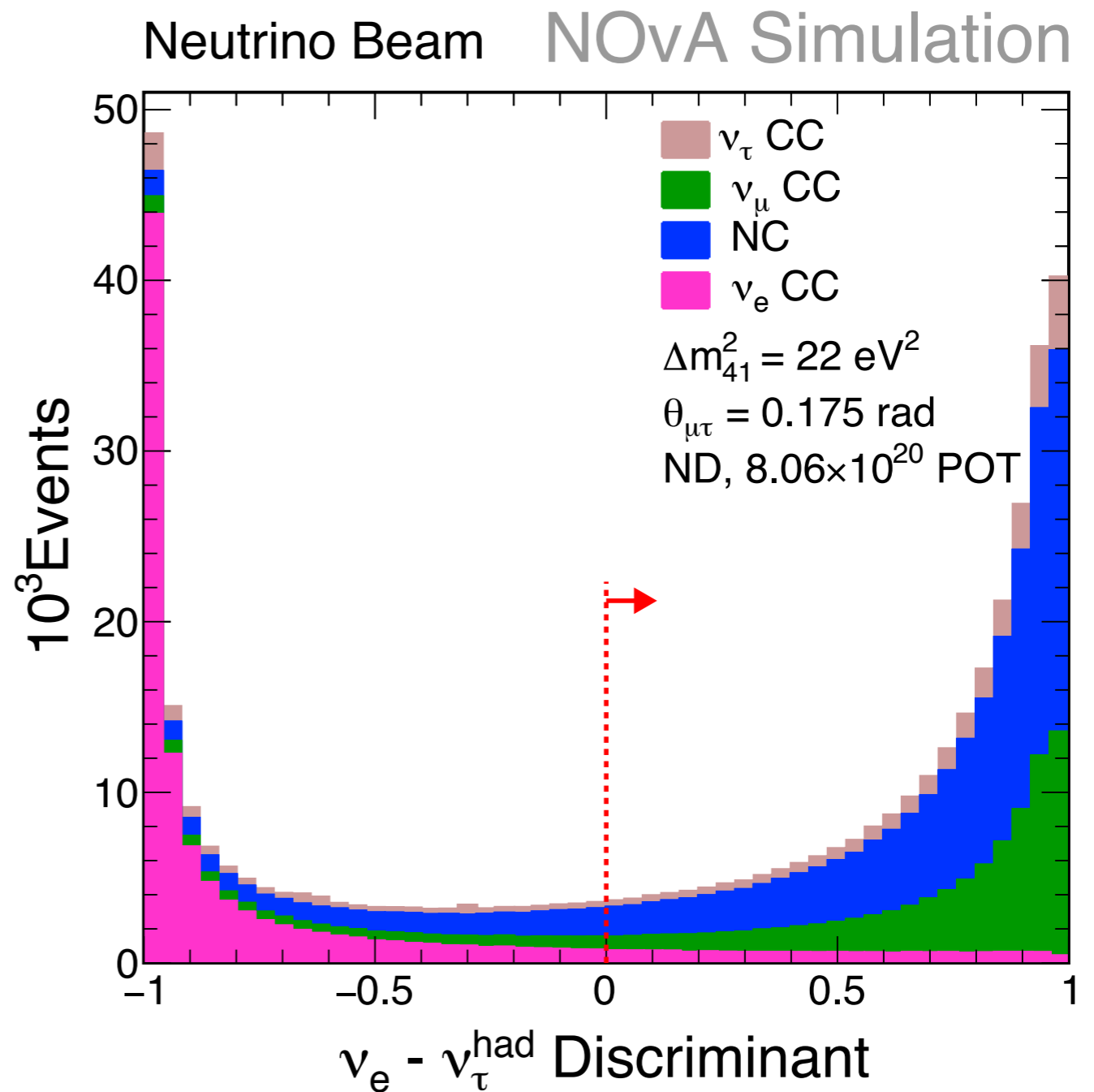
ν_μ Event Selection

- ν_μ CVN is used as a primary selector.
- **Preselection cuts:** To ensure the event quality and to remove the interactions in the surroundings of the detector.



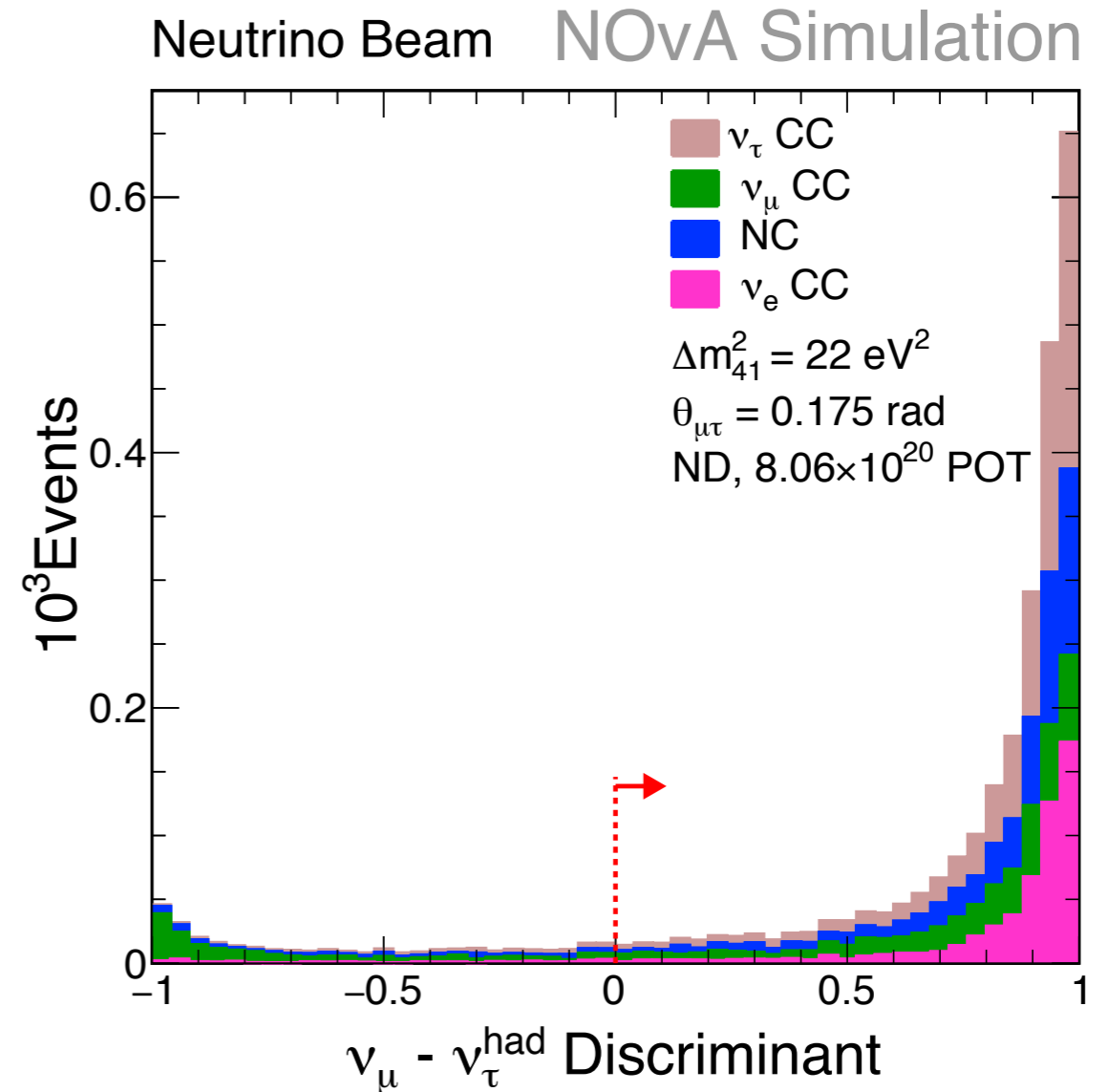
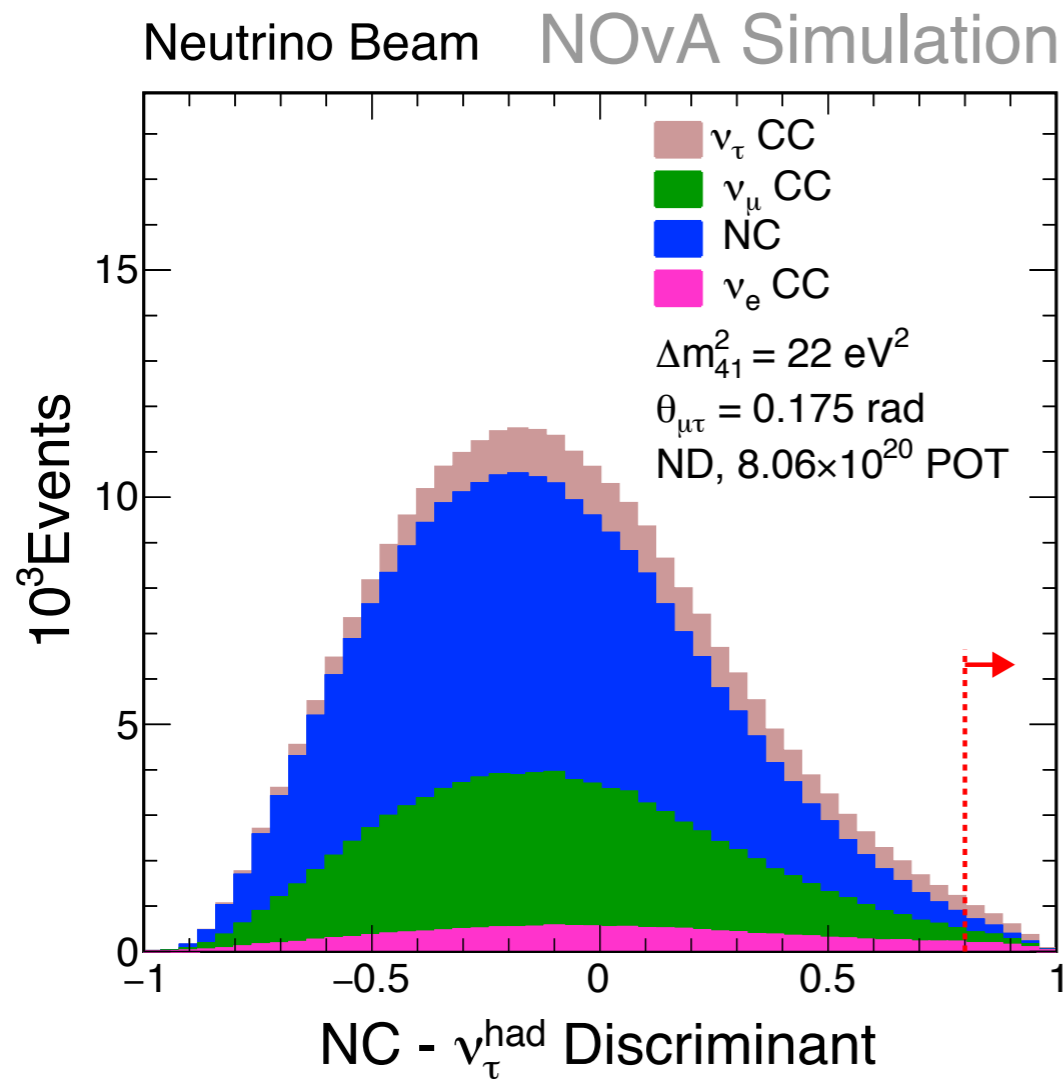
ν_τ Event Selection

- 3 BDTs are used as the primary selectors (ν_μ , ν_e , $NC - \nu_\tau^{\text{had}}$ discriminants)
- Preselection cuts: To ensure the event quality and to remove the interactions in the surroundings of the detector.

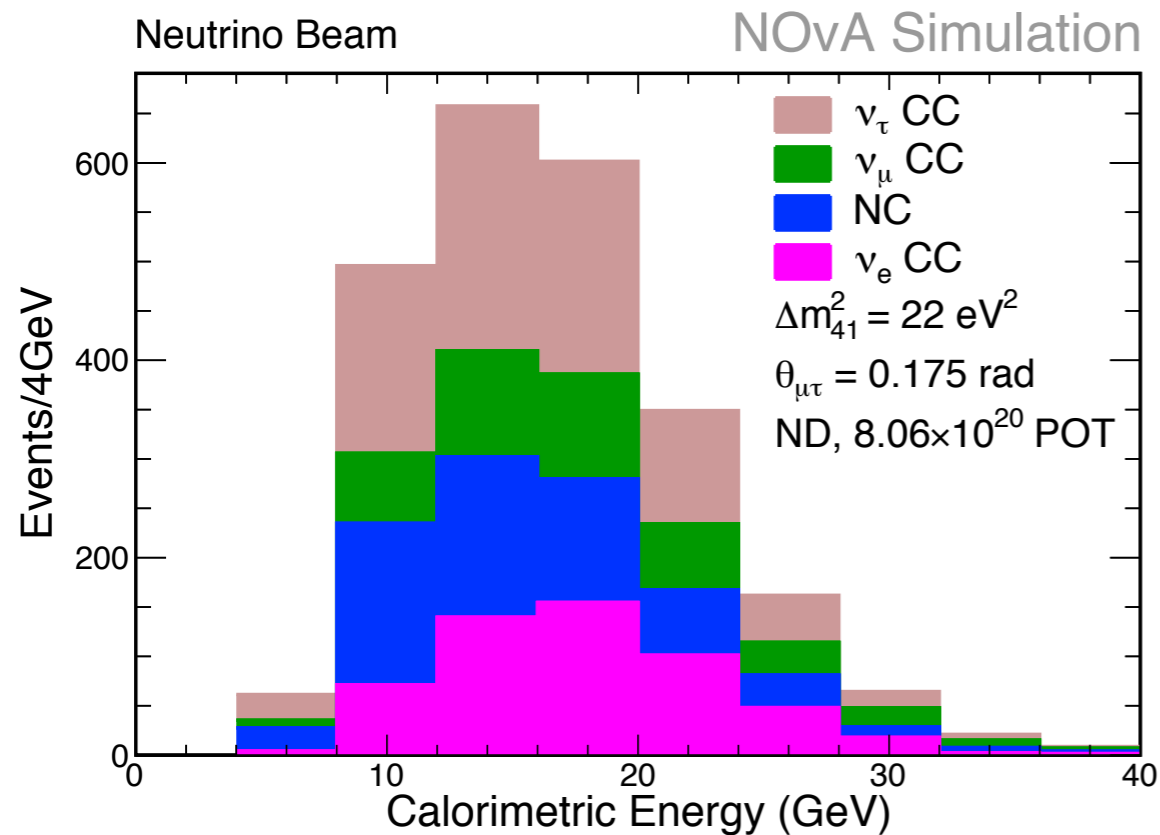


ν_τ Event Selection

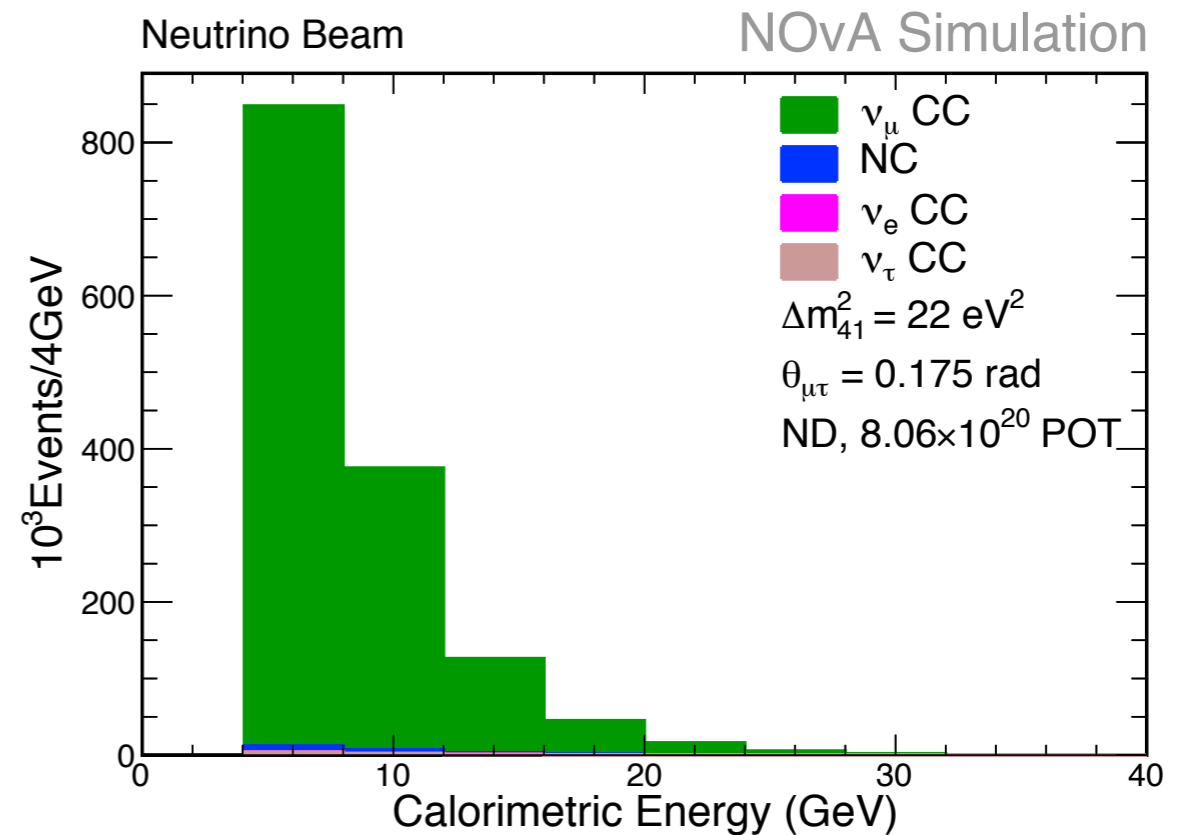
These are the other two discriminants used for the ν_τ event selection



Signal Predictions



ν_τ prediction after applying all the selection cuts, scaled to 8.06×10^{20} POT



ν_μ prediction after applying all the selection cuts, scaled to 8.06×10^{20} POT

Signal Predictions

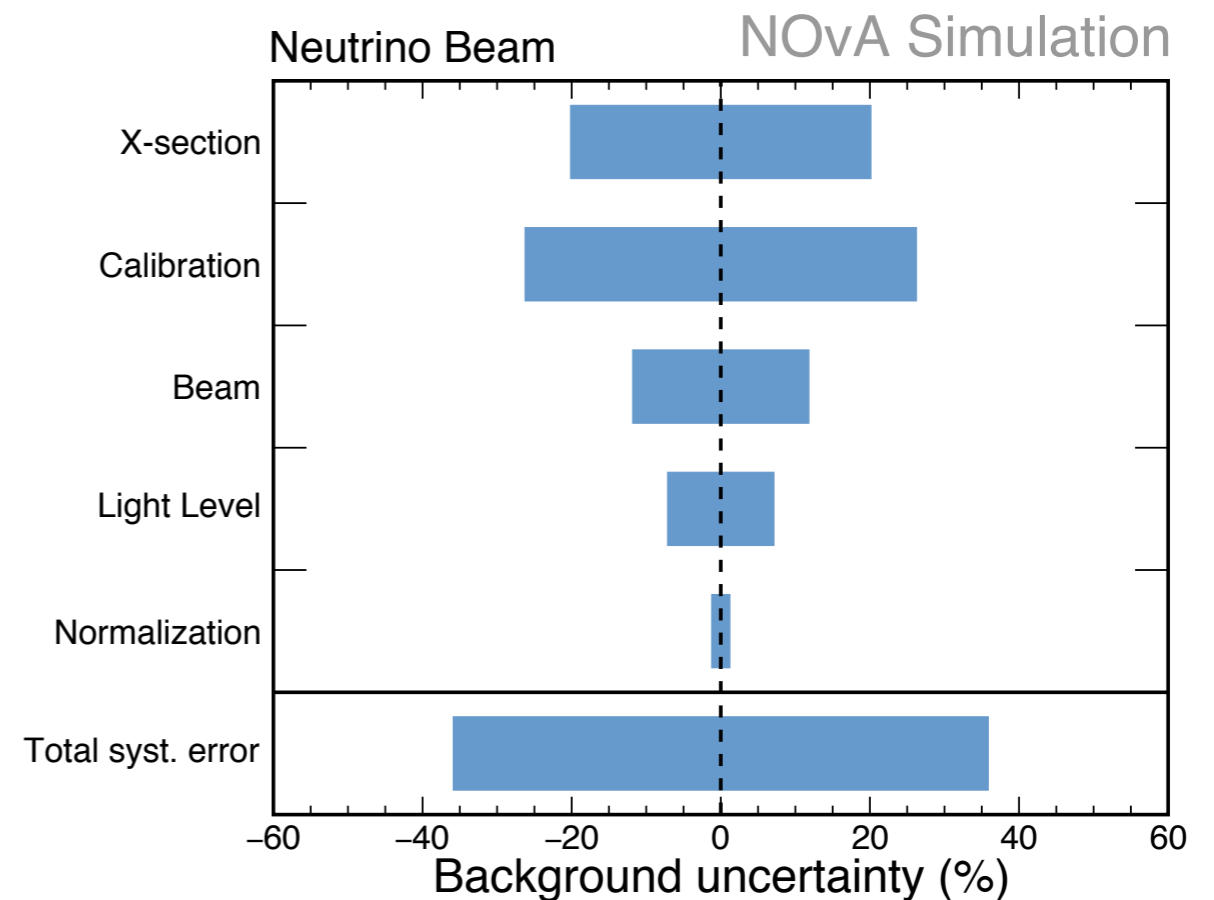
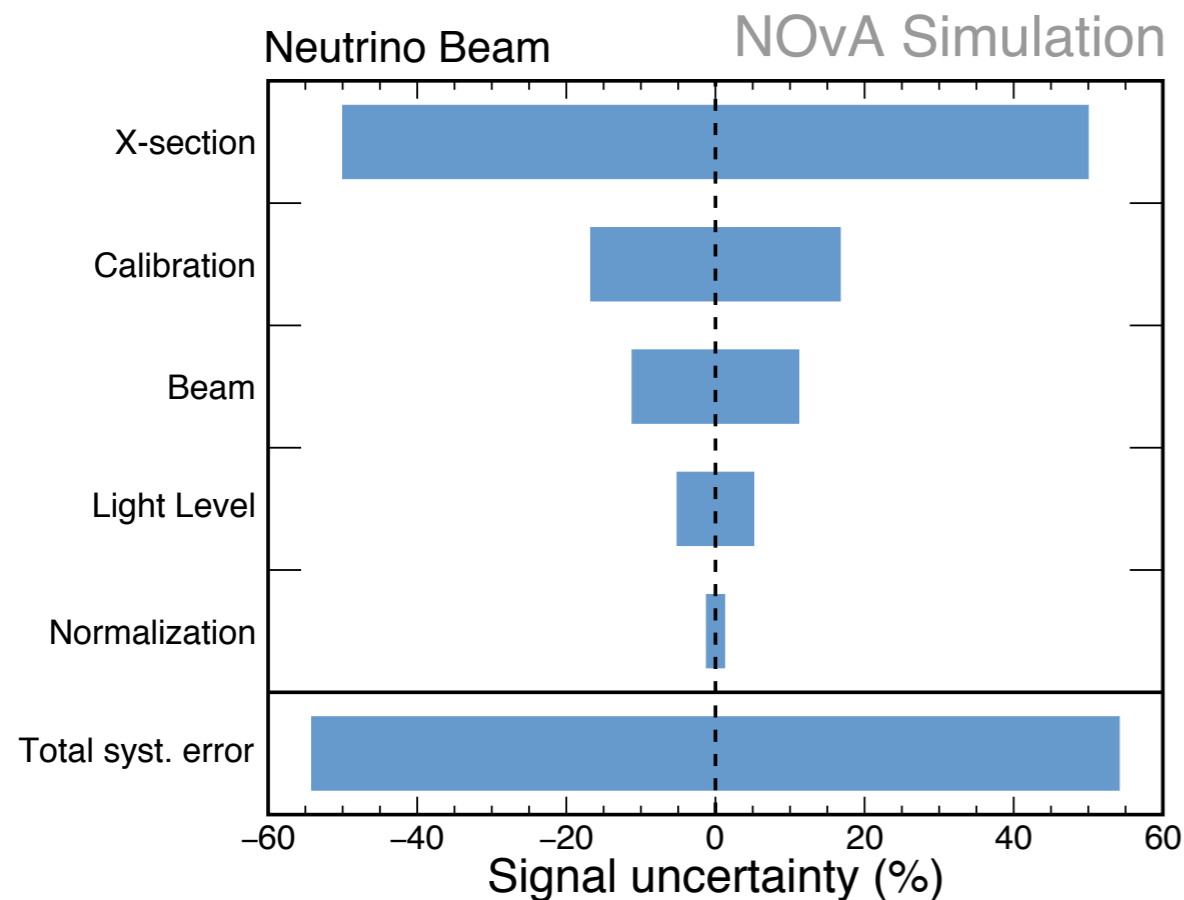
| Selection | ν_μ CC | NC | ν_e CC | ν_τ CC | Purity(S/S+B) % |
|--------------------------------|--------------|-------|------------|---------------|-----------------|
| $\nu_\mu \rightarrow \nu_\mu$ | 1.52e+06 | 14252 | 2063 | 6694 | 98.5 |
| $\nu_\mu \rightarrow \nu_\tau$ | 460 | 591 | 541 | 866 | 35.2 |

- $\nu_\mu \rightarrow \nu_\mu$ and $\nu_\mu \rightarrow \nu_\tau$ predictions at the point $\Delta m^2_{14} = 22 \text{ eV}^2$ and $\theta_{\mu\tau} = 0.175 \text{ rad.}$ for 8.06×10^{20} POT. The disappearing ν_μ 's are $1.2\text{e}+05$ events ($\sim 8\%$) in $\nu_\mu \rightarrow \nu_\mu$ selection
- We used $\Delta m^2_{14} = 22 \text{ eV}^2$, the point where we see maximum signal events and $\theta_{\mu\tau} = 0.175$, the point where we start seeing the appearing τ signal even after including all the systematics.

Systematic Uncertainties

ν_τ Selection

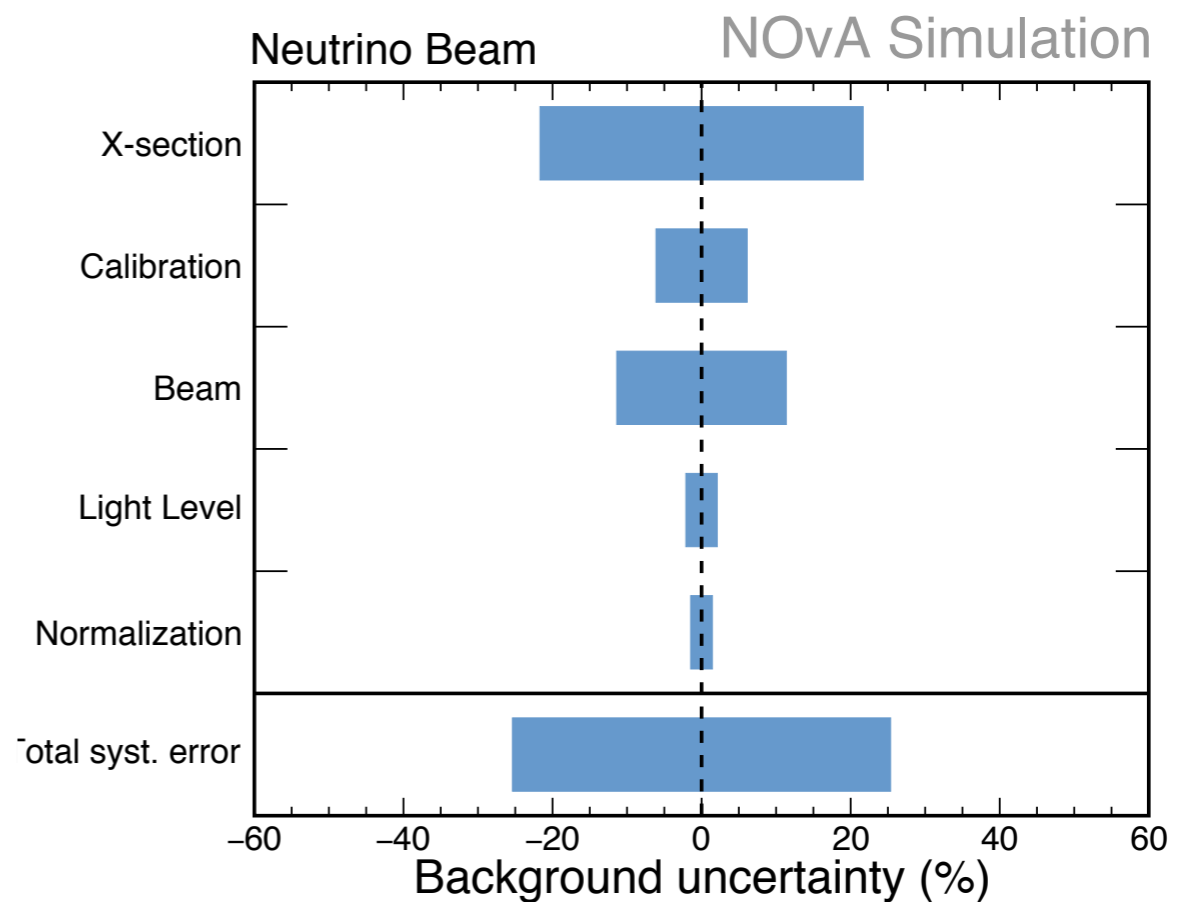
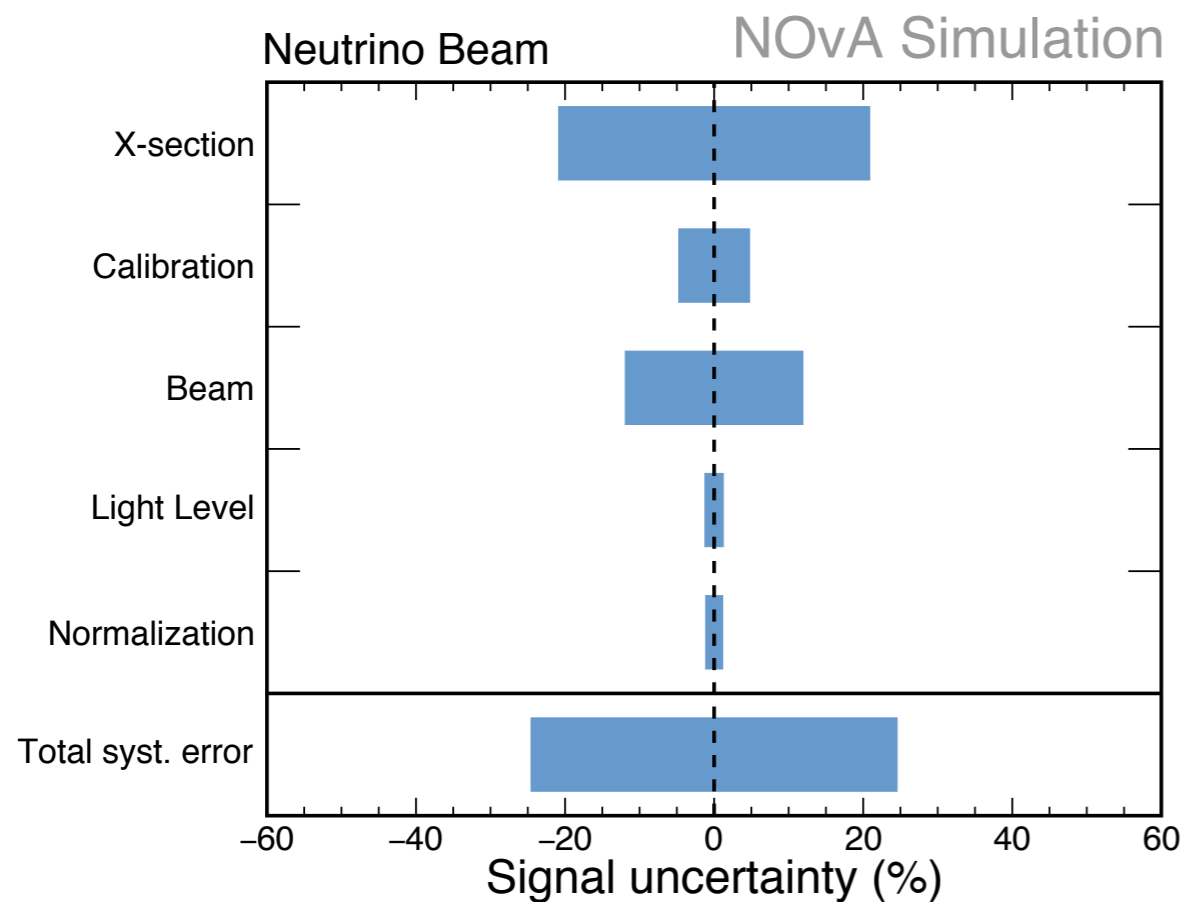
- ν_τ cross-sections are not well constrained yet, so we added a 50% normalization uncertainty on just ν_τ cross-section.



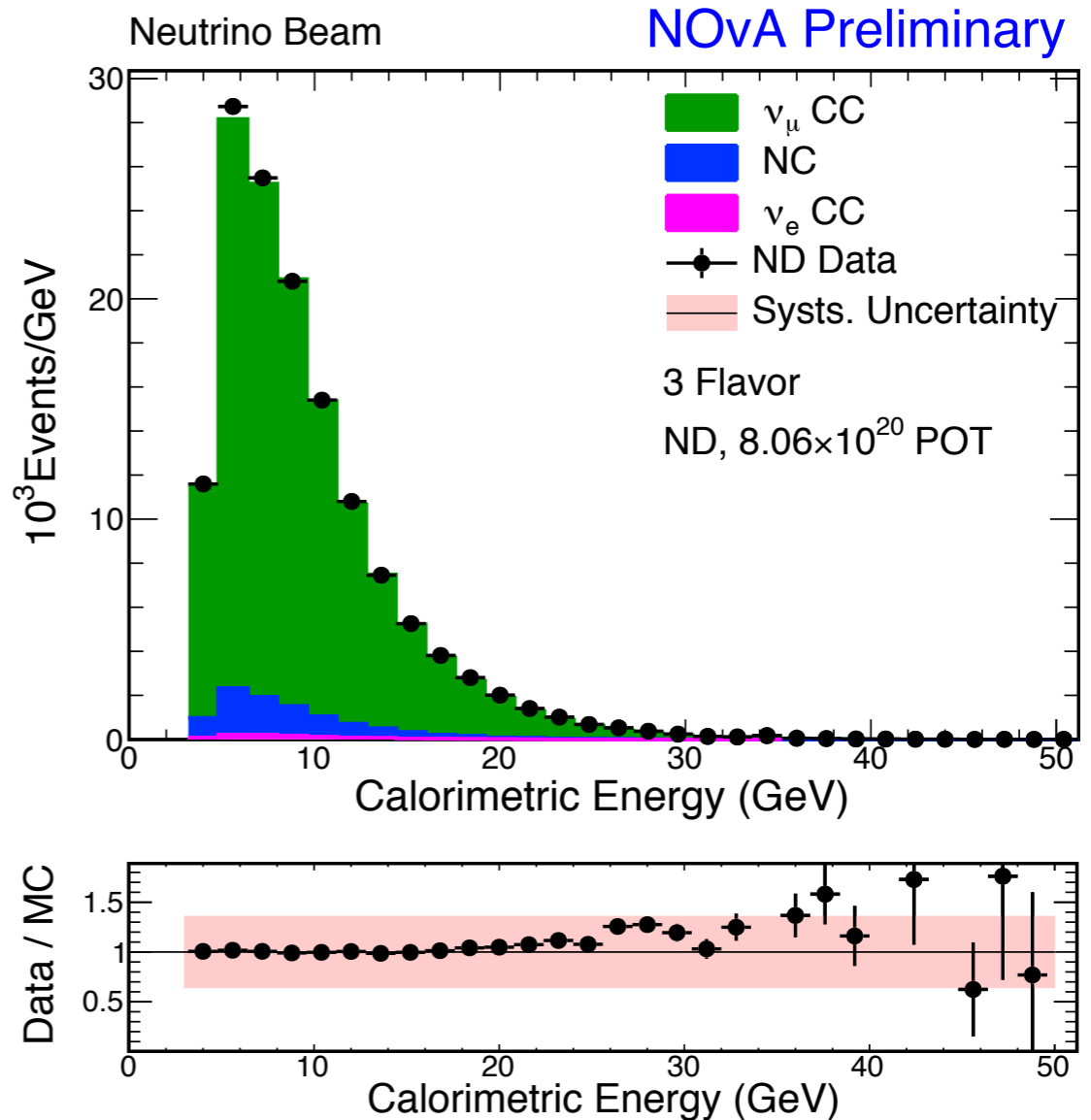
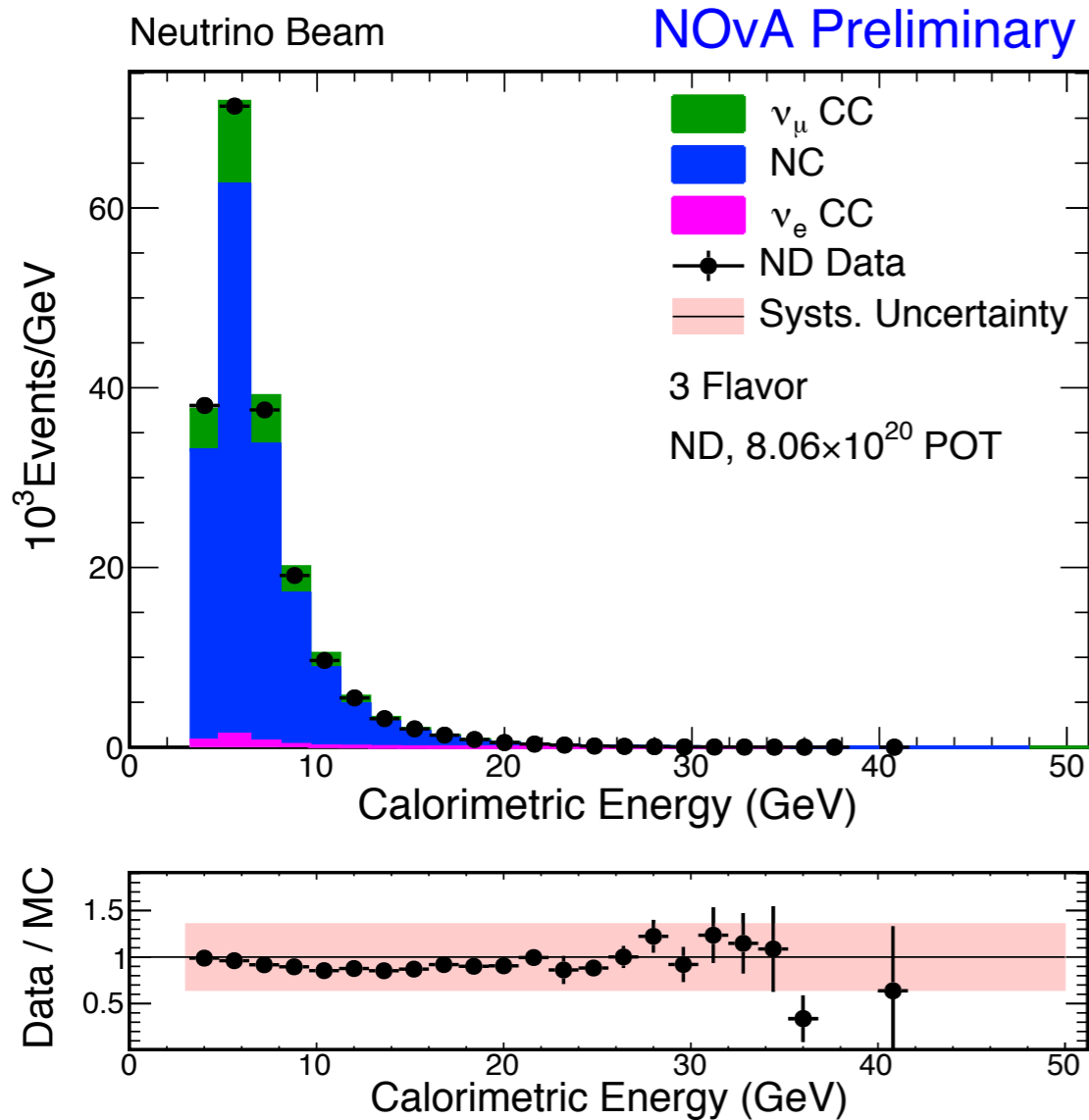
Systematic Uncertainties

ν_μ Selection

- Cross-section uncertainty and beam uncertainty are the dominant uncertainties for ν_μ selection.



Sideband Studies

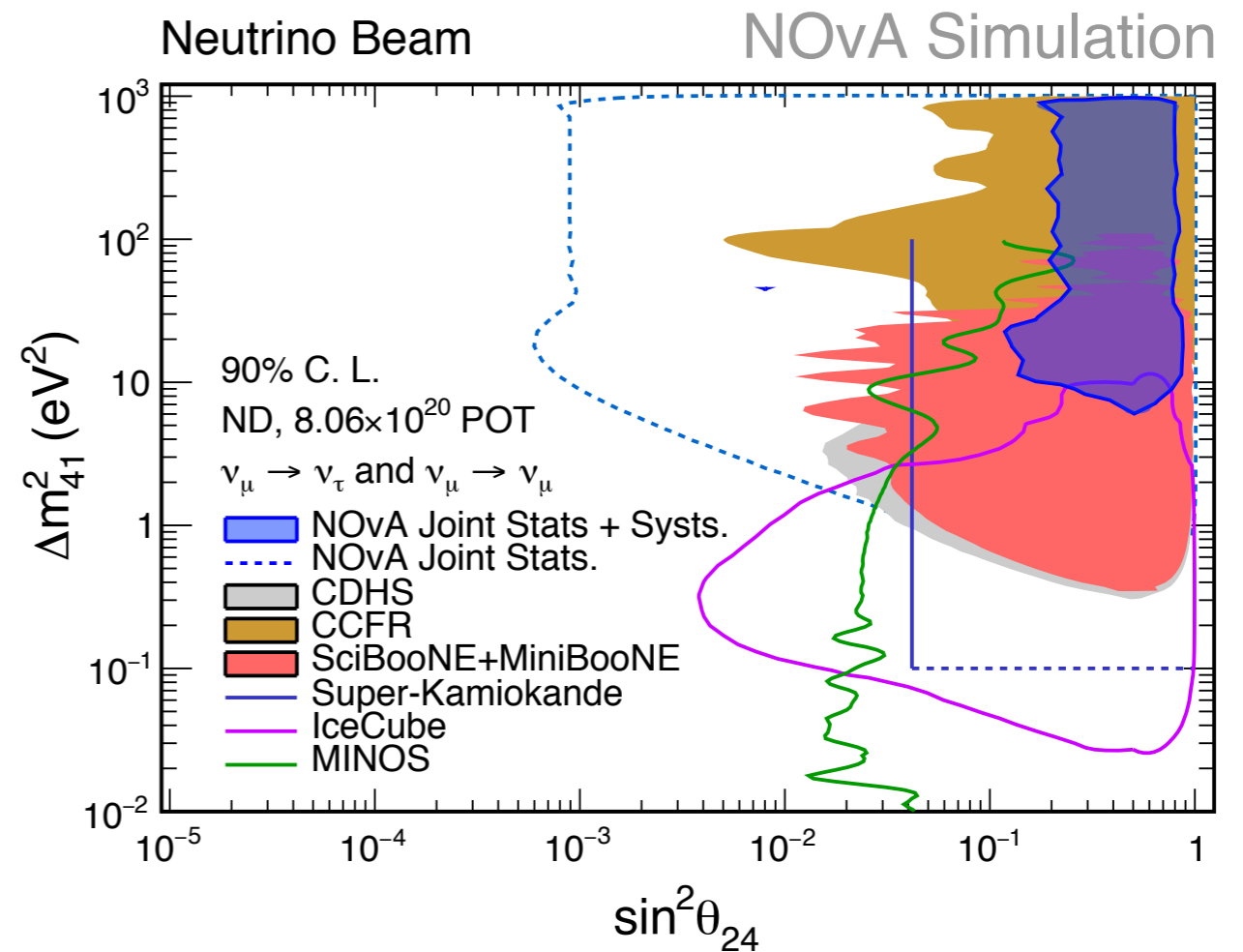
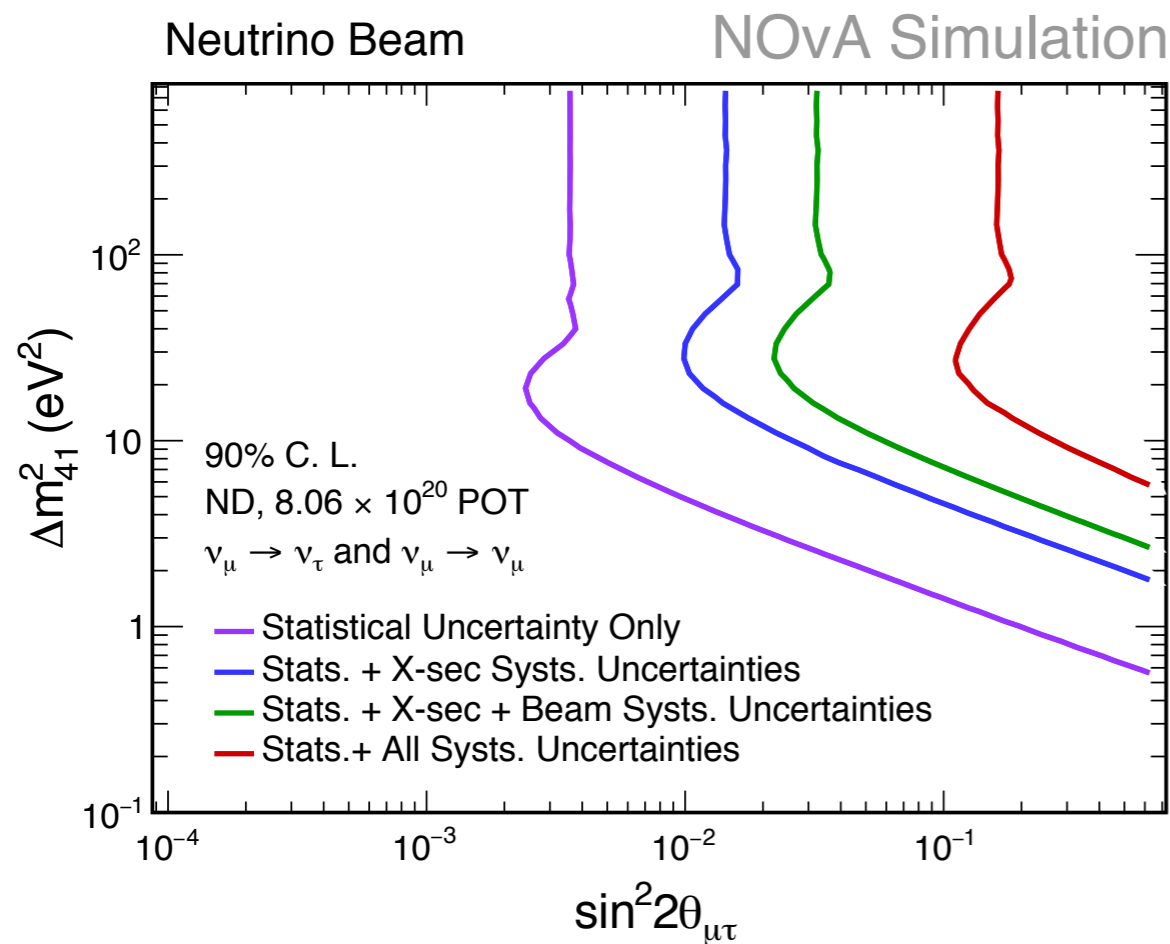


ν_τ sideband: A high NC CVN sideband region for ν_τ selection. All the cuts are same except CVN NC cut ($\text{CVN NC} > 0.62$)

ν_μ sideband: A mid ν_μ CVN sideband region for ν_μ Selection. All other selection cuts are same except CVN ν_μ cut ($0.35 < \text{CVN } \nu_\mu < 0.5$)



Sensitivity Studies



- Joint $\nu_\mu - \nu_\tau$ fit to the 4-flavor oscillation parameters Δm_{41}^2 and $\sin^2 2\theta_{\mu\tau}$.

- Marginalized over $\sin^2 2\theta_{24}$ and $\sin^2 \theta_{34}$.

- Joint $\nu_\mu - \nu_\tau$ fit to the 4-flavor oscillation parameters Δm_{41}^2 and $\sin^2 \theta_{24}$.

- Marginalized over $\sin^2 \theta_{34}$.

Summary

- This analysis considers the advantage of joint fit between ν_τ appearance and ν_μ disappearance to probe the existence of sterile neutrinos
- Two sample selections were made - $\nu_\mu \rightarrow \nu_\mu$ and $\nu_\mu \rightarrow \nu_\tau$
- Conducted systematics studies and sideband studies for these samples
- A joint sensitivity study is conducted using these samples to the sterile neutrino oscillation parameters Δm^2_{41} , $\sin^2\theta_{24}$ and $\sin^2 2\theta_{\mu\tau}$

Thanks for Your Attention!



<http://novaexperiment.fnal.gov>



Backup



τ decay - Branching

| Decay Mode | Branching(%) |
|---|--------------|
| $\tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau$ | 17.8 |
| $\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau$ | 17.4 |
| $\tau^- \rightarrow h^- \nu_\tau$ | 11.5 |
| $\tau^- \rightarrow h^- \pi^0 \nu_\tau$ | 26.0 |
| $\tau^- \rightarrow h^- \pi^0 \pi^0 \nu_\tau$ | 9.5 |
| $\tau^- \rightarrow h^- h^+ h^- \nu_\tau$ | 9.8 |
| $\tau^- \rightarrow h^- h^+ h^- \pi^0 \nu_\tau$ | 4.8 |
| Other modes with hadrons | 3.2 |
| All modes containing hadrons | 64.8 |

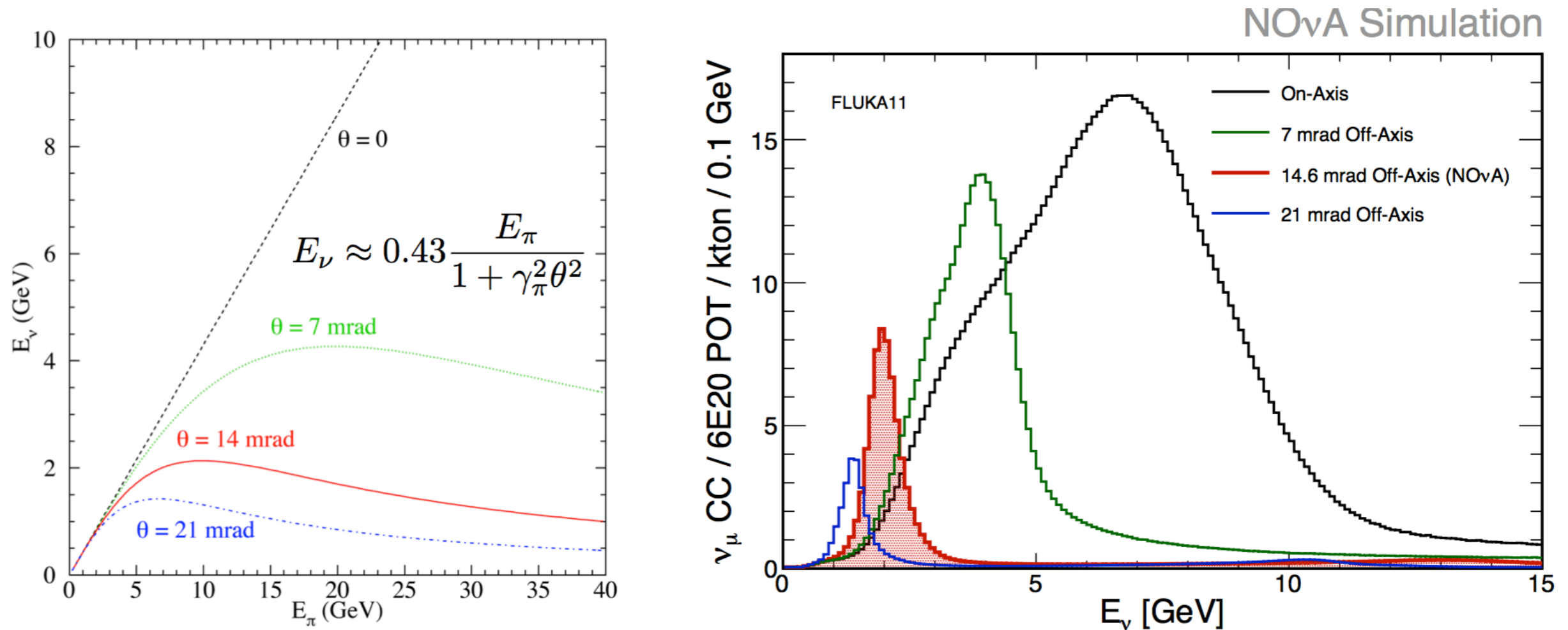


Systematics Summary

| | ν_τ Sig. | Background | ν_μ Sig. | Background |
|--------------------------|-----------------|--------------|----------------|--------------|
| Cross-section | 50.0 | 20.14 | 20.87 | 21.68 |
| Beam | 7.41 | 8.22 | 4.39 | 4.70 |
| PPFX | 8.38 | 8.5 | 11.08 | 10.36 |
| Calib +/- | 14.77 | 24.99 | 4.28 | 6.12 |
| Calib Shape | 7.88 | 8.07 | 2.06 | 0.01 |
| Light Level | 4.78 | 7.07 | 0.92 | 2.00 |
| Cherenkov | 1.91 | 0.97 | 0.82 | 0.70 |
| ND Rock | 0.0 | 0.22 | 0.23 | 0.94 |
| Overall Normalization | 1.12 | 1.12 | 1.12 | 1.12 |
| Sum in quadrature | 54.14 | 35.87 | 24.59 | 25.36 |

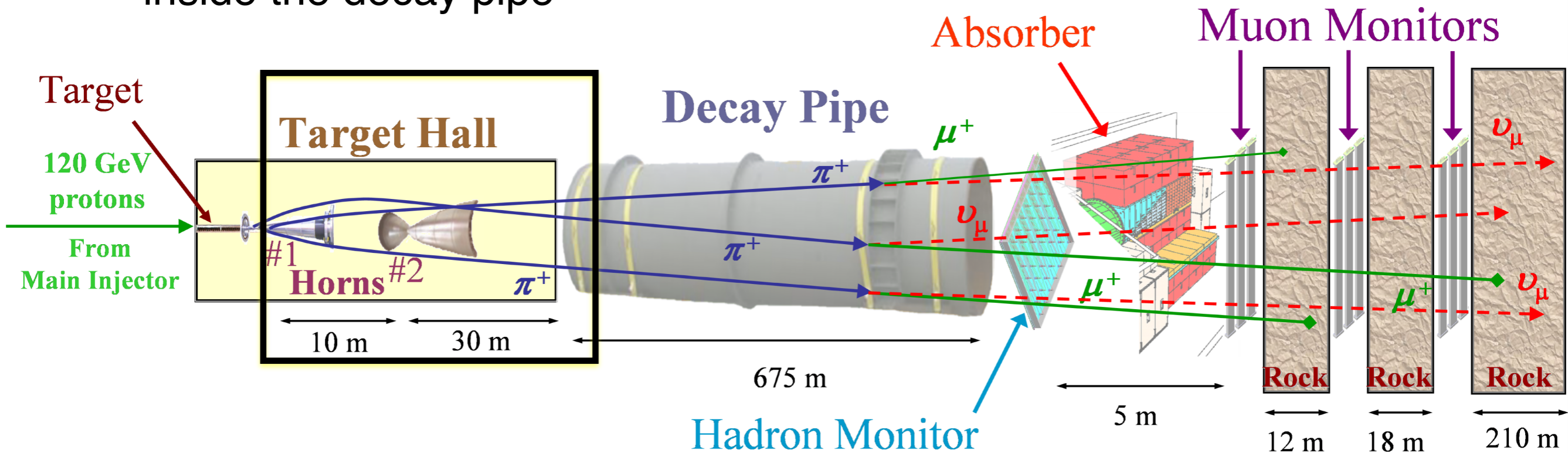
Off-axis Beam

- Off-axis location reduces flux, but creates a narrowband beam
- The particular peak around 2 GeV was chosen to lie near the ‘first’ oscillation maximum for ν_μ disappearance to measure θ_{13} and δ

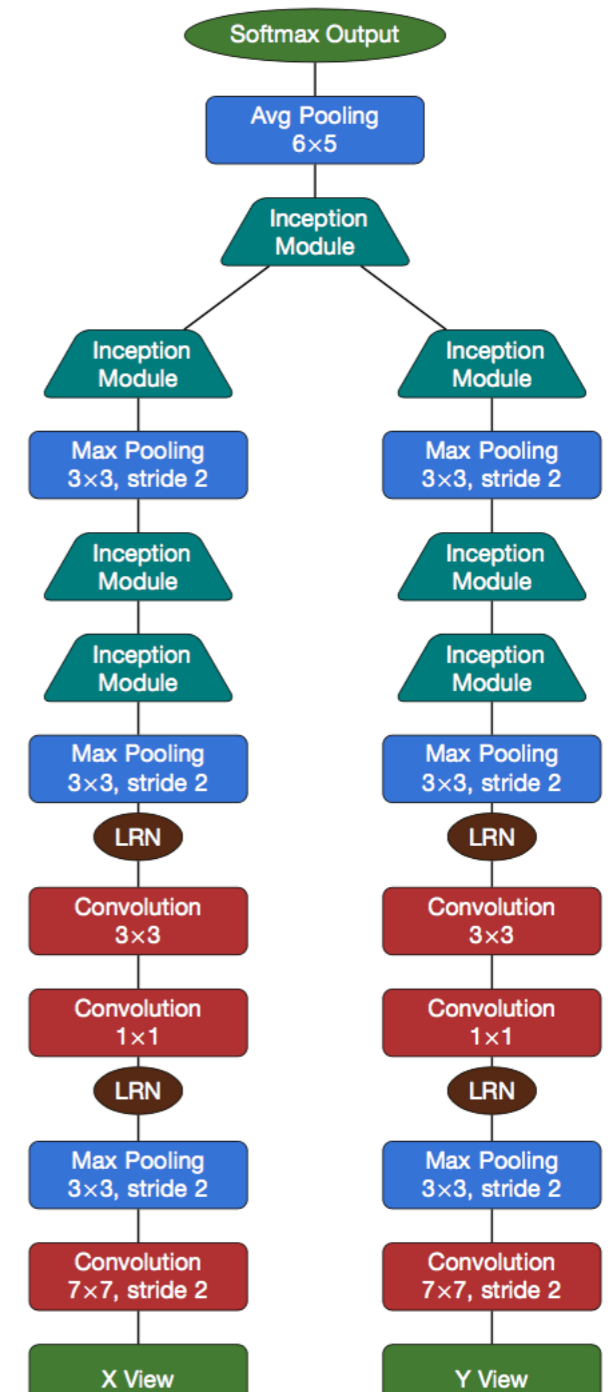
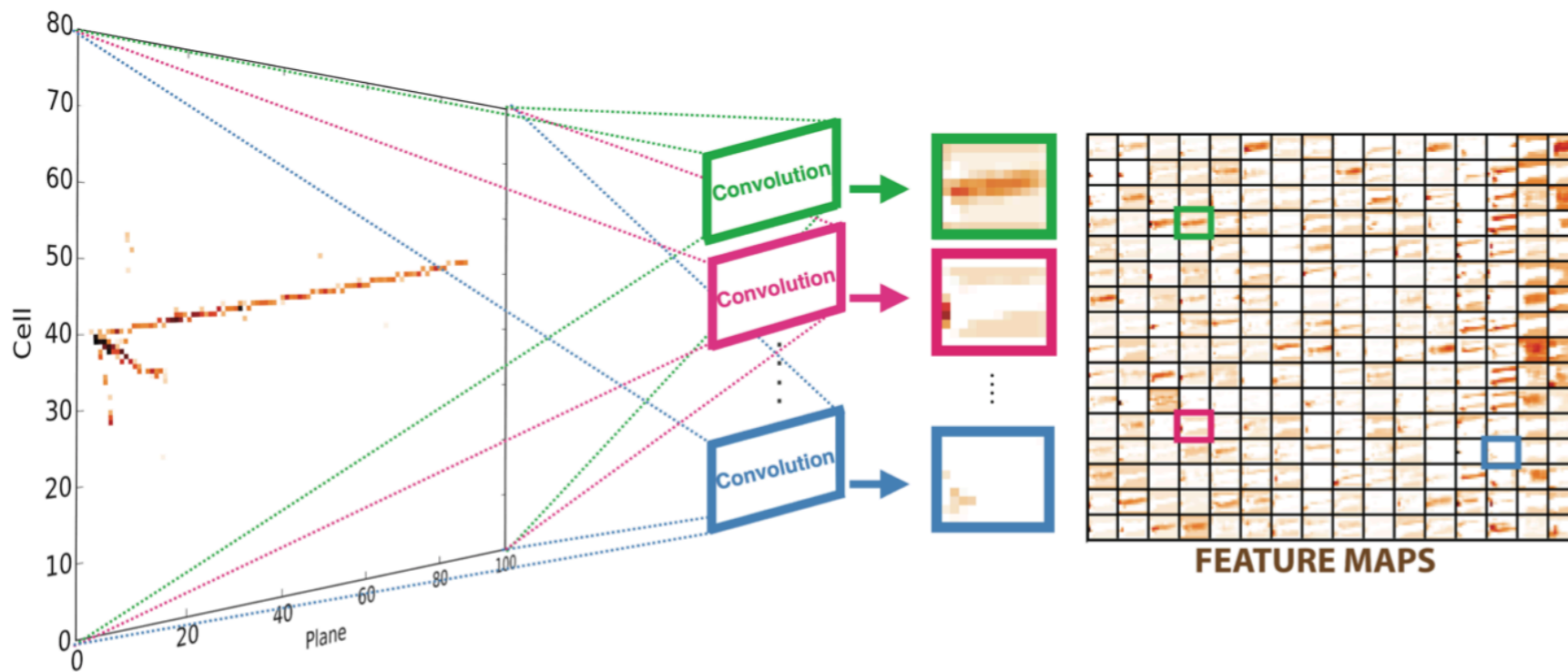


Neutrino Beam

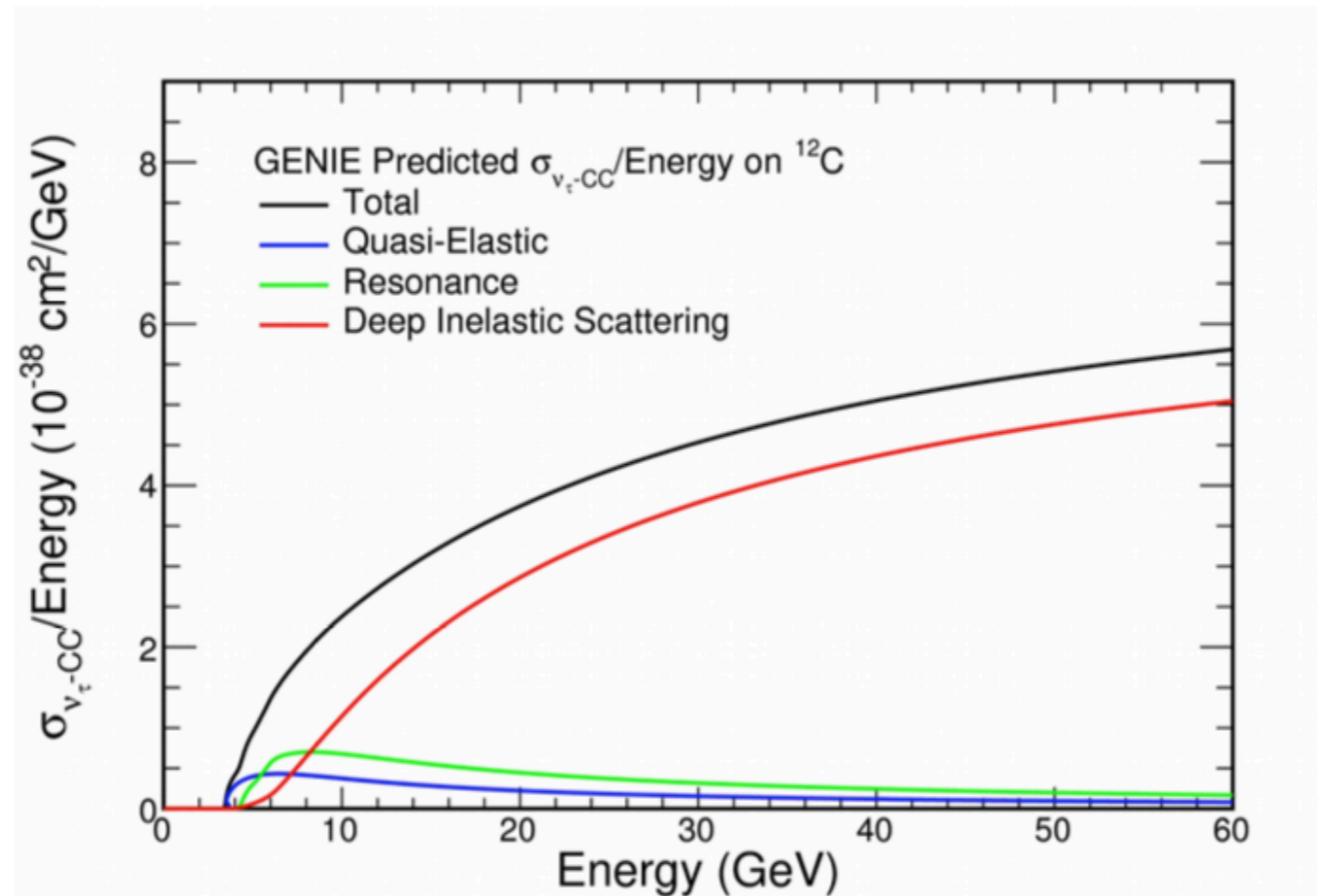
- Protons collide with graphite target producing (mainly) pions and kaons
- Charged mesons are focused by two magnetic horns
 - Positively charged mesons focused for neutrino mode
 - Negatively charged mesons focused for anti-neutrino mode
- Focused particles decay to neutrinos and tertiary particles inside the decay pipe



CVN Feature Maps



ν_τ Cross-section



The GENIE predicted cross-section for ν_τ CC events in C^{12} nucleus.