

# OMA Advanced School on Medical Accelerators and Particle Therapy

# Treatment Planning

Gabriele KRAGL

# Part I General aspects

- Introduction and motivation
- Particle therapy delivery techniques
- Treatment planning software
- Prescribing and reporting

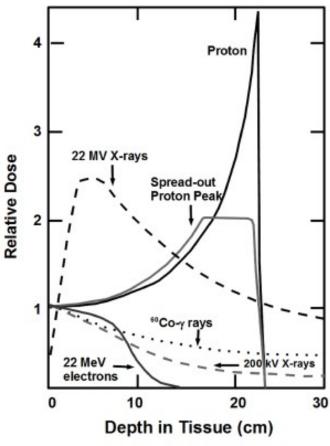
## Part II Proton PBS treatment planning

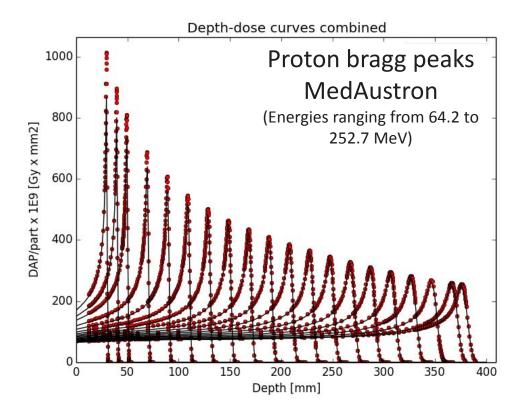
- Physical beam properties & Penumbra
- Range uncertainties
- Plan generation strategies and concepts
- Adaptive treatment planning
- 4D treatment planning

# Part I General aspects

- Introduction and motivation
- Particle therapy delivery techniques
- Treatment planning software
- Prescribing and reporting

### **DEPTH DOSE DISTRIBUTIONS**

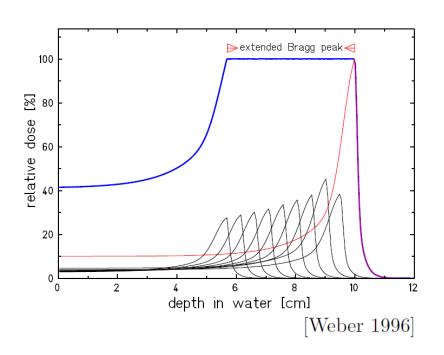


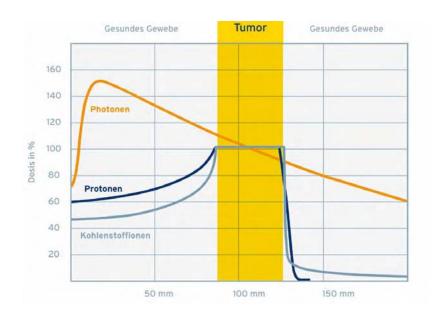


[Levy & Schulte TCR 2012 Vol1 No3]

### **DEPTH DOSE DISTRIBUTIONS**

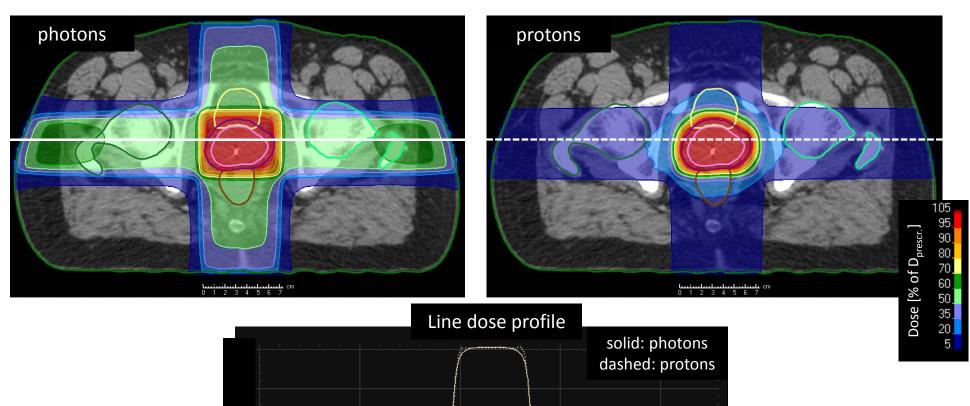
## Spread-out Bragg peak (SOBP)

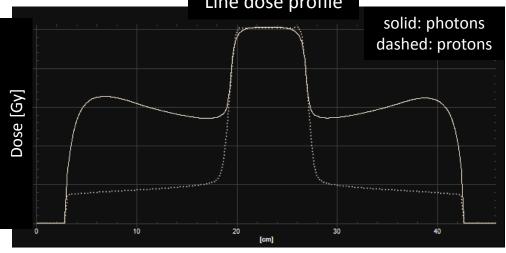




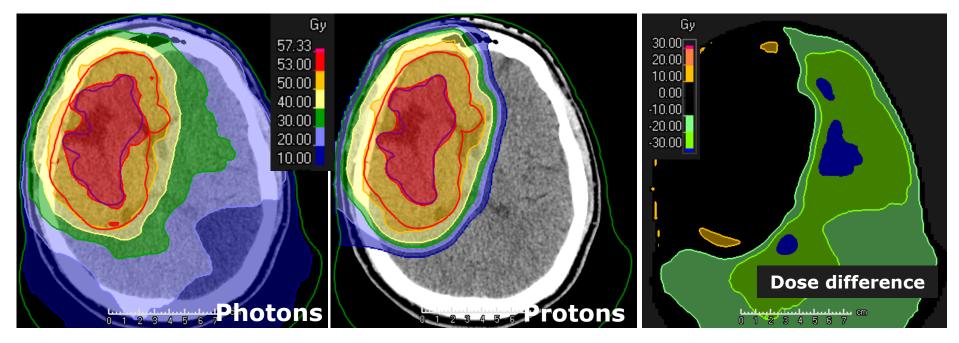
Depth dose distribution: Photons vs. Particles

### **ILLUSTRATION: EFFECT OF MULTIPLE FIELDS**





### **INTEGRAL DOSE**



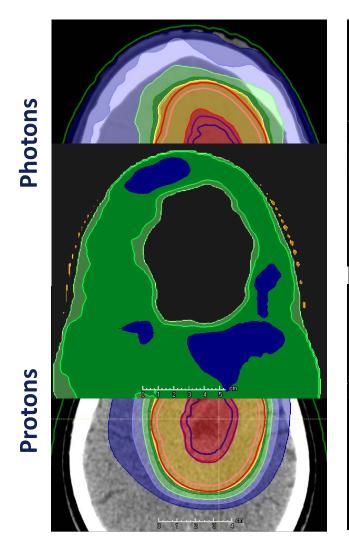
Depth dose distribution: Photons vs. Particles

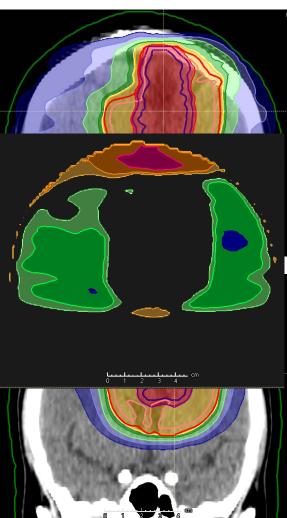
Major motivation for the use of particles: Reduced dose to normal tissues.

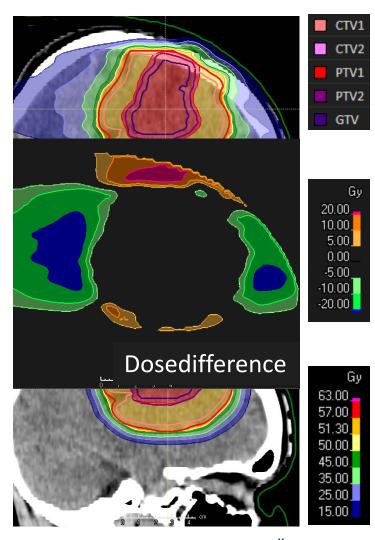




**INTEGRAL DOSE - QUANTIFICATION** 







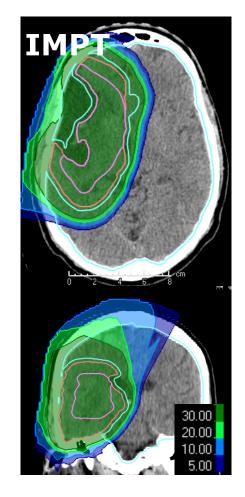
Kragl et al. ÖGRO 2018

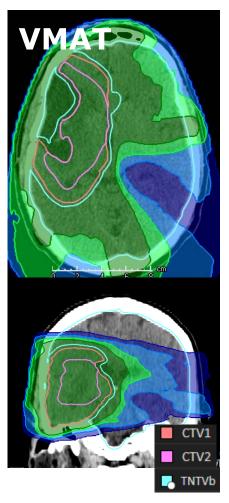
**INTEGRAL DOSE: BRAIN-PTV** 

N = 7		VMAT	IMPT	Av. Diff%
V <sub>TNTVb,5Gy</sub> [%]	Median (Range)	83% (62-94)	41% (27-57)	-43%
V <sub>TNTVb,10Gy</sub> [%]	Median (Range)	<b>76%</b> (57-89)	33% (23-49)	-42%
V <sub>TNTb,20Gy</sub> [%]	Median (Range)	50% (42-65)	24% (17-34)	-25%
V <sub>TNTVb,30Gy</sub> [%]	Median (Range)	<b>27</b> % (18-40)	17% (12-29)	-10%

**Median V<sub>TNTVb</sub> = 1126 ccm** (887 - 1369 ccm)

UTNERVILLEGGY ICIVI	19,7 Gy (16,7-26,0)	<b>1,5 Gy</b> (0,1-9,5)	-18,2 Gy
---------------------	------------------------	-------------------------	----------





Kragl et al. ÖGRO 2018





**INTEGRAL DOSE: PEDIATRIC CSA** 

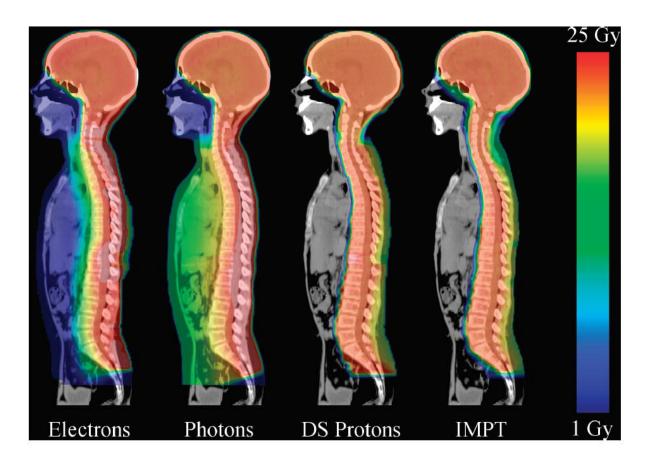


Figure 1. Dose distributions for an 11-year-old male patient from CSI technique applying electrons, photons, DS protons and spot scanning IMPT.

[Stokkevåg et al. Acta Oncol. 2014 Vol53]

## Part I General aspects

- Introduction and motivation
- Particle therapy delivery techniques
- Treatment planning software
- Prescribing and reporting

# DELIVERY TECHNIQUES PASSIVE VS. ACTIVE BEAM DELIVERY SYSTEMS

### **Passive beam delivery**

- Passive energy variation
  - Cyclotron
    - Exchangeable modulator wheels
    - Range shifter plates
  - Field specific compensators
- Lateral scattering
  - Single / Double scattering
  - Field specific collimators

### **Active beam delivery**

- Active energy variation
  - Synchrotron
    - Range shifters
    - Ripple filters
  - No field specific passive devices
- Pencil beam scanning
  - Spot-, Line-, Raster-scanning
  - No passive devices essential

PASSIVE VS. ACTIVE BEAM DELIVERY SYSTEMS

### **Passive beam delivery**

- Passive energy variation
  - Cyclotron
    - Exchangeable modulator wheels
    - Range shifter plates
  - Field specific compensators

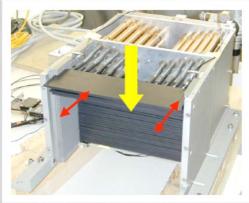


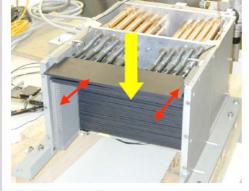


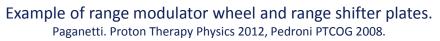
Example of a cyclotron. Source: www.researchgate.net

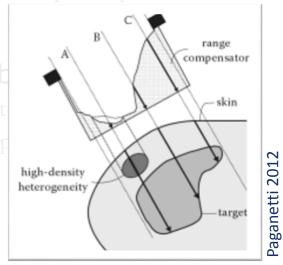




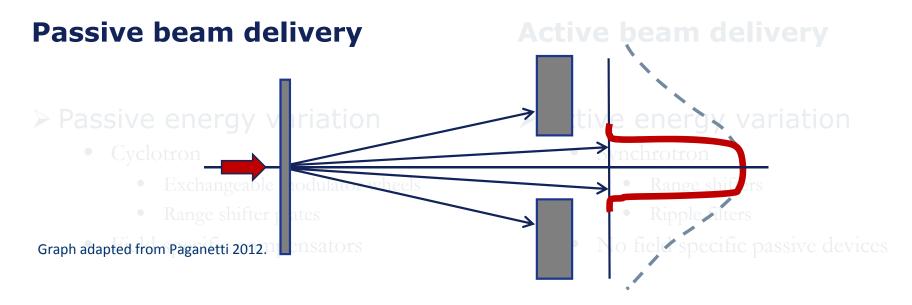








PASSIVE VS. ACTIVE BEAM DELIVERY SYSTEMS



- Lateral scattering
  - Single / Double scattering
  - Field specific collimators

- Pencil beam scanning
  - Spot-, Line-, Raster-scanning
  - No passive devices essential

**PASSIVE VS. ACTIVE BEAM DELIVERY SYSTEMS** 

## **Passive beam delivery**

Passive energy variation

Cyclotron

Exchangeable lodulator and Range shifters

Range shifters

Range shifters

Ripple filtes

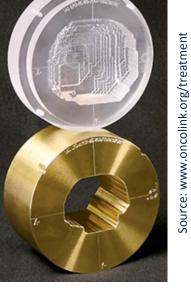
Graph adapted from Paganetti 2012.11 ensators

- Lateral scattering
  - Single / **Double** scattering
  - Field specific collimators

- Pencil beam scann
  - Spot-, Line-, Raster-

Active beam delivery

No passive devices of



### PASSIVE VS. ACTIVE BEAM DELIVERY SYSTEMS

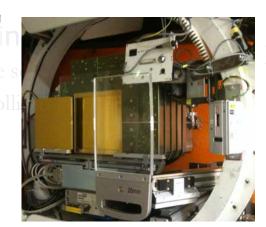


MedAustron synchrotron.

• Field specific compensators



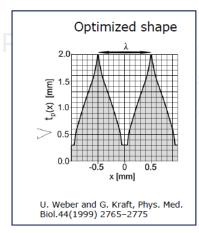
MedAustron nozzle.



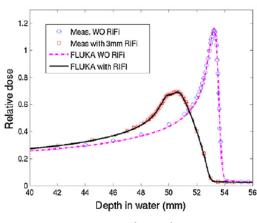
Nozzle of HIT Ionengantry with Range Shifter

## **Active beam delivery**

- Active energy variation
  - Synchrotron
    - Range shifters
    - Ripple filters
  - No field specific passive devices



RiFi design.

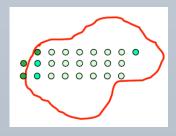


Parodi et al. PMB 2012

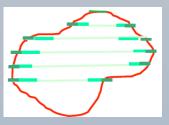
### PASSIVE VS. ACTIVE BEAM DELIVERY SYSTEMS

### **Definitions PBS** [Pedroni PTCOG 2008]:

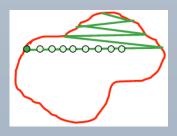
(1) <u>Discrete</u> (Spot-) Scanning



(2) <u>Continuous</u> (<u>Line-</u>) <u>Scanning</u>



(3) Quasi-discrete (Raster-) Scanning



### **Active beam delivery**

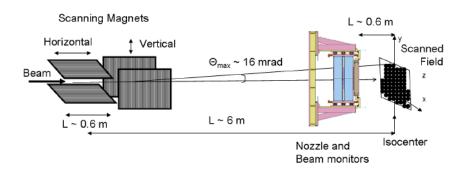


Figure 3.9: The CNAO horizontal beam line setup  $\,$ 

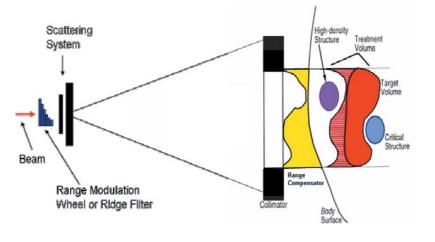
Giordanengo PhD Thesis 2009.

## Pencil beam scanning

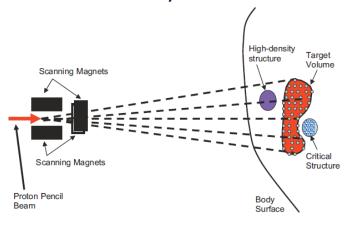
- Spot-, Line-, Raster-scanning
- No passive devices essential

**PASSIVE VS. ACTIVE: ISODOSE DISTRIBUTIONS** 

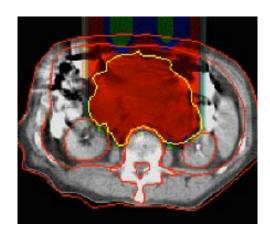
### Passive delivery

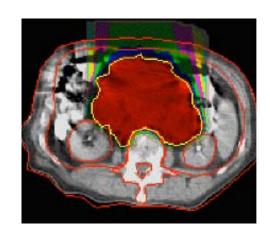


### vs. Active delivery



Smith MP 36 2009

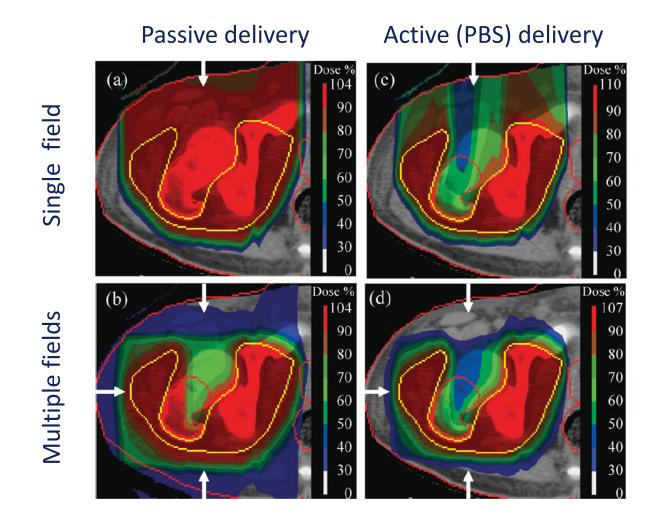




Pedroni PTCOG 2008



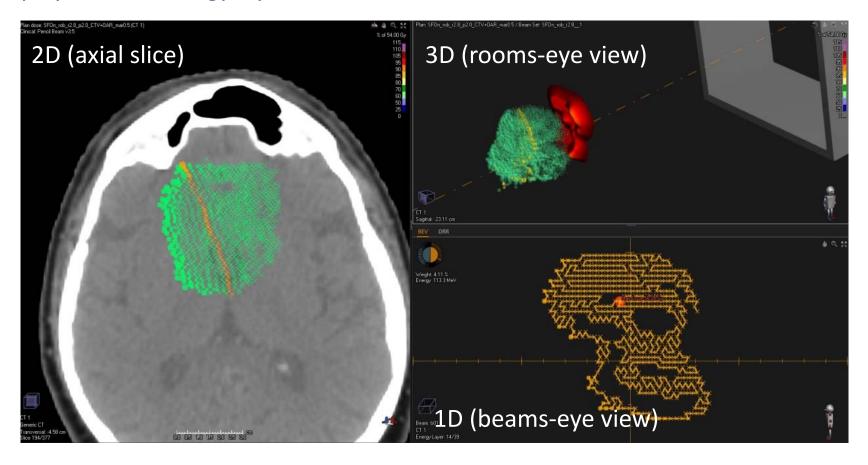
**PASSIVE VS. ACTIVE: ISODOSE DISTRIBUTIONS** 



ICRU Report 78; PSI

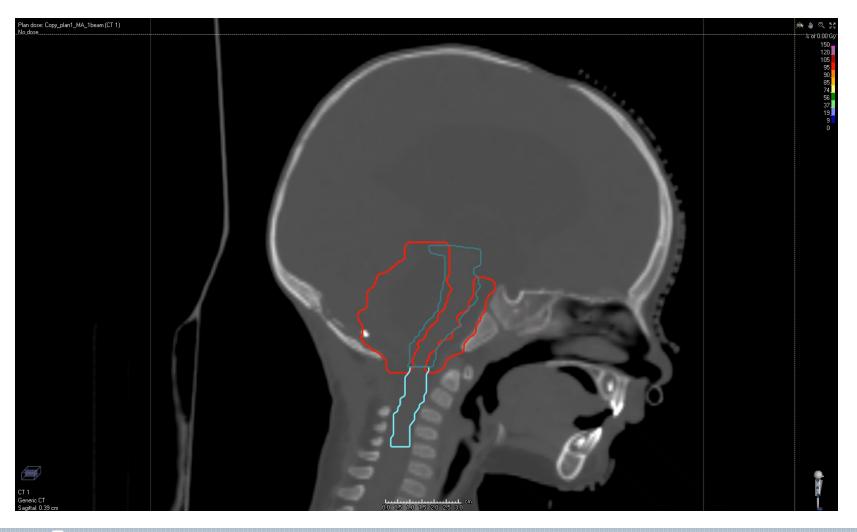
# DELIVERY TECHNIQUES MEDAUSTRON APPLIES SYNCHROTRON BASED PBS

### Display of 1 iso-energy layer



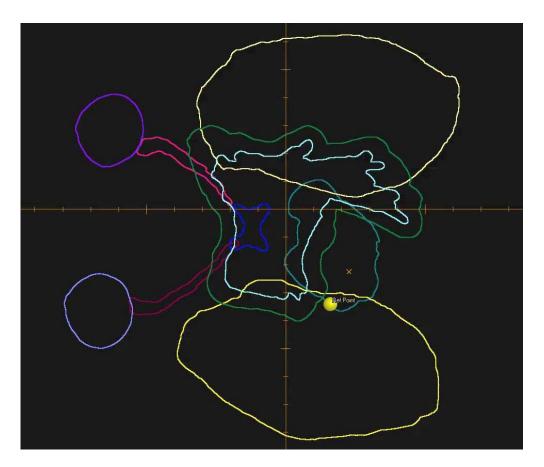
# DELIVERY TECHNIQUES MEDAUSTRON APPLIES SYNCHROTRON BASED PBS

### Active energy modulation



# DELIVERY TECHNIQUES MEDAUSTRON APPLIES SYNCHROTRON BASED PBS

Pencil beam scanning Traveling salesman problem



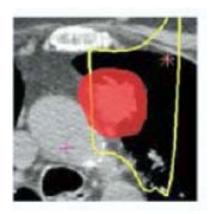
No preferred scan direction

**PASSIVE VS. ACTIVE: PROs AND CONs** 

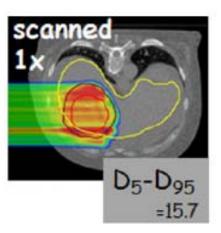
 Mono-energetic pencil beam scanning (PBS) is widely considered superior to passive techniques.

PBS - PROs		PBS - CONs		
•	less passive elements in the beam line	•	penumbra	
•	no patient customized passive elements	•	(without mitigation strategies) less robust to organ motion	
•	reduced neutron dose			
•	superior dose distribution			
•	less fields required			

### **Tumor motion: Scattering vs. Scanning**



Engelsmann, IJROBP 2006



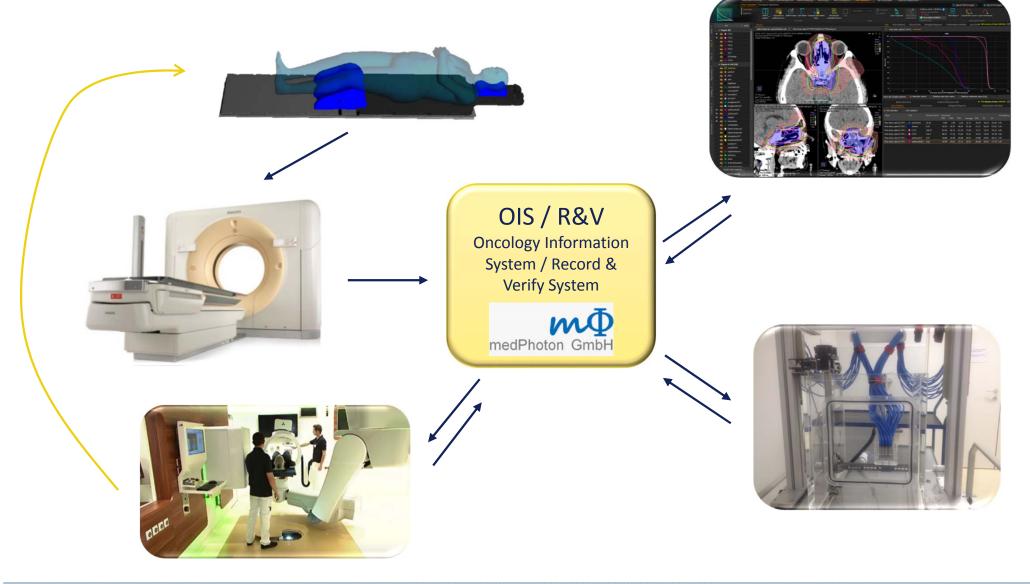
Knopf, PMB 56, 2011

## Part I General aspects

- Introduction and motivation
- Particle therapy delivery techniques
- Treatment planning software
- Prescribing and reporting

# TREATMENT PLANNING

@ MEDAUSTRON (SIMPLIFIED)



**COMMUNICATION FORMAT: DICOM** 

# <u>Digital Imaging and Communications in Medicine</u>

- Standardized communication format
- The American College of Radiology (ACR) and the National Electrical Manufacturers Association (NEMA)
- https://www.dicomstandard.org/
  - Supplement 102: Radiotherapy Extensions for Ion Therapy
  - Site specifics: private DICOM agreements
- TPS vendors have to declare conformance
- Most relevant objects for RT:
  - DCM images
  - (DCM RT images)
  - DCM RT structures
  - DCM RT plans
  - DCM RT dose files (RBE&phys)
  - ...

TPS
(Treatment planning system)

(Images)
(Images)
Structures
Plans
Doses

OIS
(Oncology information system)

### **COMMERCIAL PROTON TPS**

### Purpose

- Estimate patient dose
- Dose calculation typically based on CT-images (HU values)

## Commercial systems

- RayStation (RaySearch Labs)
- Eclipse (Varian)
- XiO, Monaco (Elekta)
- Pinnacle (Philips)
- •

### Common modules

- Patient data management
- Image registration
- Contouring
- Plan setup
- Dose calculation
- Plan optimization
- Plan evaluation

---

- Physics commissioning tool
- Database management

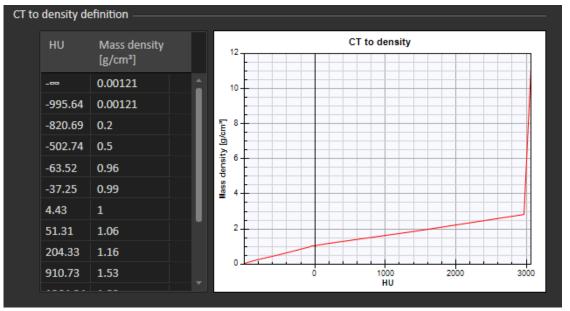
### **CT CALIBRATION**

### CT – Basis for dose calculation

- HUs depend on CT imaging protocol parameters
- HU (to MD) to WET: Conversion table need to be selected
- Imaging protocol specific calibration required
- > Talk by J. Gora on Friday.



**CT** 



Example of HU to MD conversion table.

### **IMAGE REGISTRATION & CONTOURING**

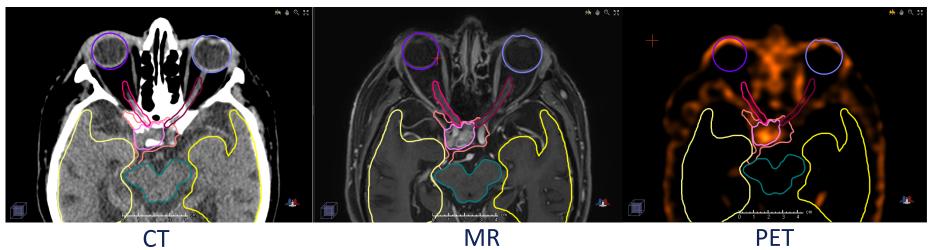
# Image registration

- Rigid registration
- Deformable image registration
- Fusion tools



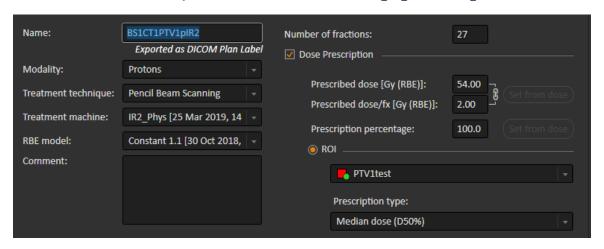
# Contouring

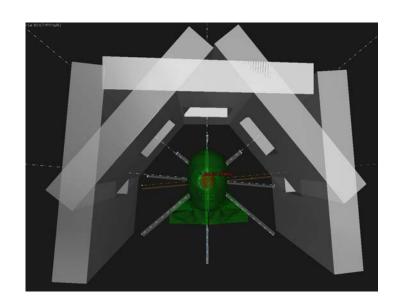
- Variety of contouring tools
- ABAS
- (Machine learning)



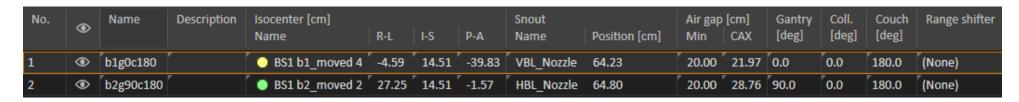
### **PLAN SETUP**

### Choose modality, machine and setup prescription:





### Define beams/fields:





PLAN OPTIMISATION

Inverse optimization of spot positions and spot weights.

Setup optimization parameters:

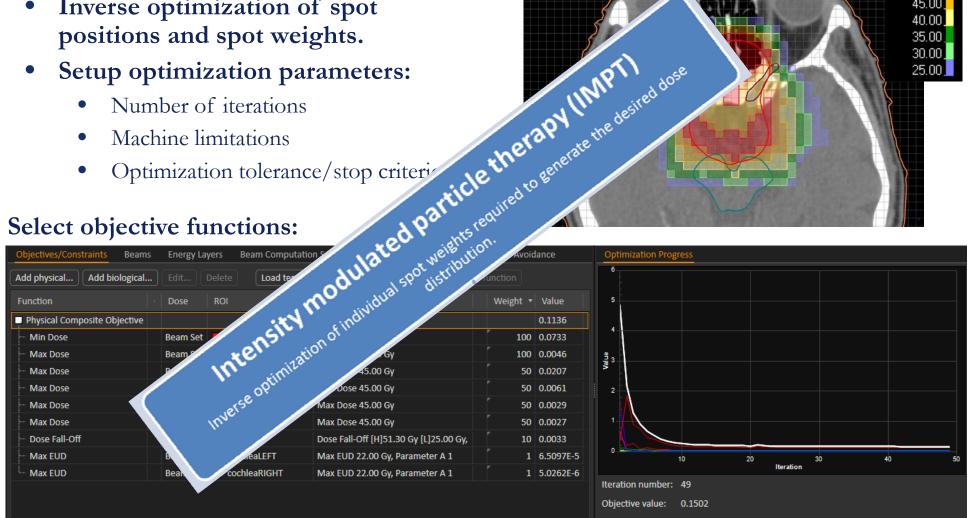
Number of iterations

Machine limitations

Optimization tolerance/stop criteria

56.70 48.60 45.00 40.00 35.00. 30.00 25.00

Select objective functions:

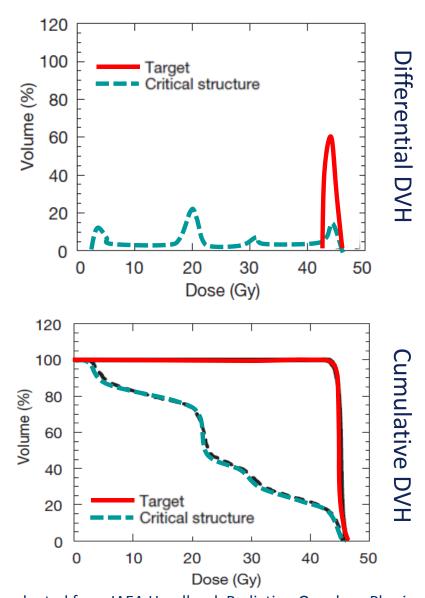


### **PLAN EVALUATION**

# Tools for plan evaluation & comparison

- Isodose distributions
- Dose volume histograms
- Dose statistics
- Dose difference plots
- •





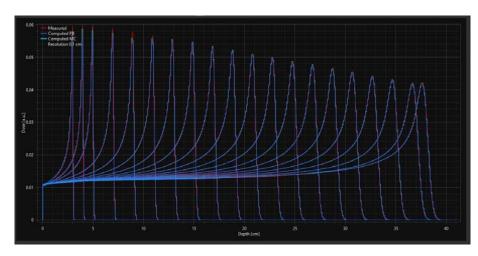
### PHYSICS COMMISSIONING TOOLS

### Input data

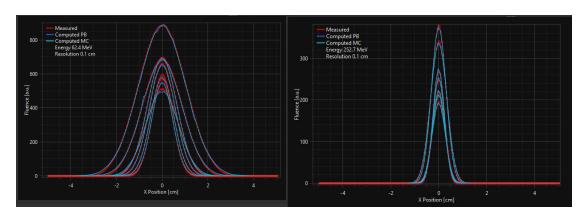
- measured IDDs
- measured spot distributions
  a various air gaps
- absolute dose@ reference geometry
- machine specific beam parameters and geometry

# TPS commissioning module

- tune model parameters to the site specific beam lines
- e.g. adjust range, beam divergence, spot size, dose per meterset, etc.



measured vs. computed IDDs for a selection of energies



measured vs. computed spot profiles for various air gaps



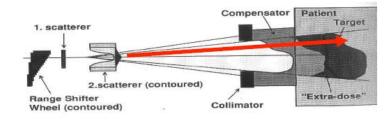


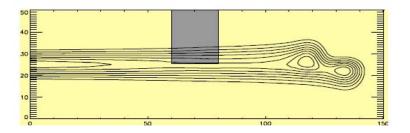
### **DOSE CALCULATION**

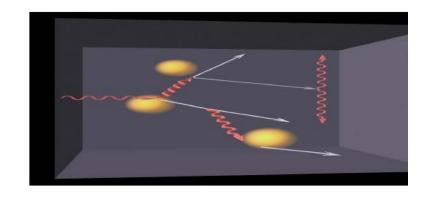
- 3 families :
- 1) Ray tracing
- 2) Pencil beam

3) Monte Carlo

A. Mazal Utrecht 2016

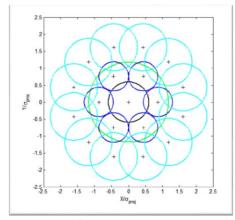




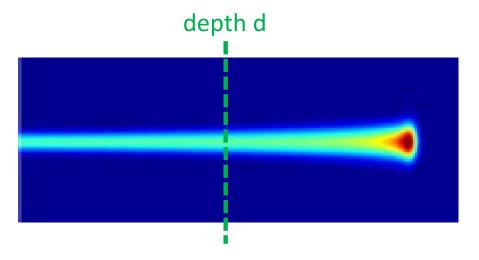


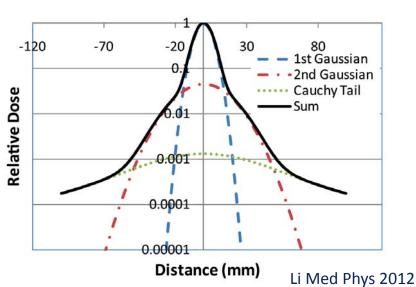
### DOSE CALCULATION: PENCIL BEAM ALGORITHM

- $D(x, y, z) = I(d(z)) \times LAT(x, y, d(z))$ 
  - I(d) is integral depth dose
    - HU to WET
  - LAT(x,y,d) is lateral dose profile
    - Multiple Coulomb Scattering (1<sup>st</sup> and 2<sup>nd</sup> Gaussian)
    - Nuclear Interaction (Halo) due to large angle inelastic nuclear fragments (3<sup>rd</sup> Gaussian)
  - Usually multiple sub-PB



RSL reference manual

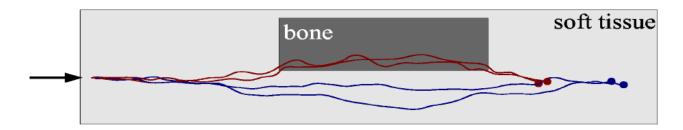


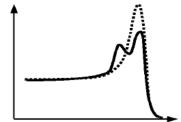


# TREATMENT PLANNING SOFTWARE

#### **DOSE CALCULATION: PENCIL BEAM ALGORITHM**

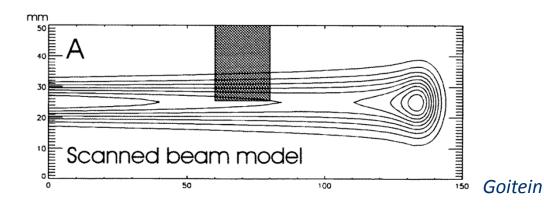
• Heterogeneities orthogonal to the beam incidence

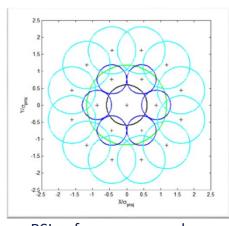




Lomax, PMB 2008

• Limitations of PB algorithm (per subspot)



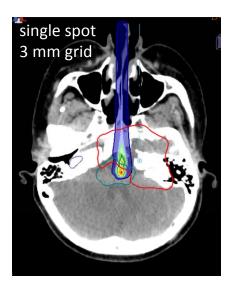


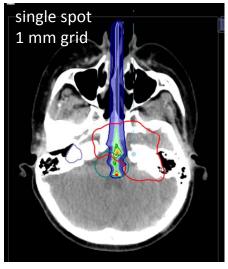
RSL reference manual

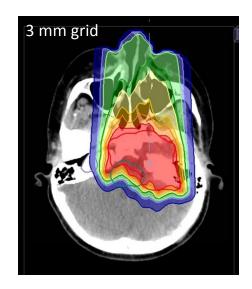
### TREATMENT PLANNING SOFTWARE

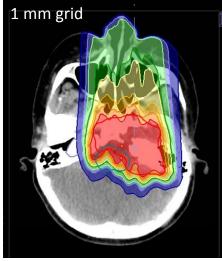
#### **DOSE CALCULATION: PENCIL BEAM ALGORITHM**

PB algorithm: dose grid size matters





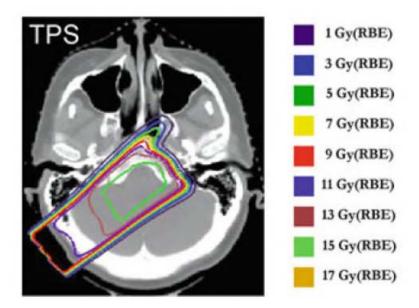




# TREATMENT PLANNING SOFTWARE

#### **DOSE CALCULATION ALGORITHMS**

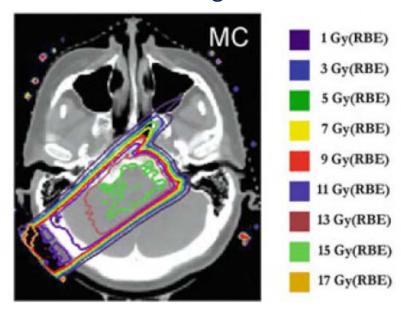
#### Pencil beam algorithm



#### ✓ Fast, pragmatic

- Less sensitive to complex geometries
- Weaknesses in the presence of lateral heterogeneities
- Weaknesses in the modelling of nuclear halo
  - Attention: combination of larger air gaps, range shifter, lateral heterogeneities and oblique surfaces (H&N, lung)

#### Monte Carlo algorithm



- Time consuming
- √ High accuracy
- Semi-analytic implementations in commercial TPS
  - Pre-calculated beam model
  - Scoring starts e.g. at patient surface

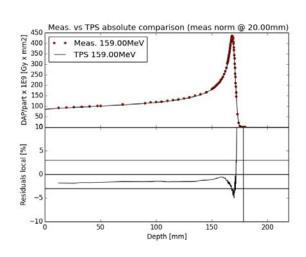
# TPS COMMISSIONING

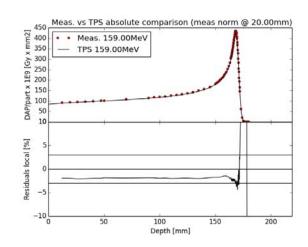
1D/2D @ MEDAUSTRON

PB4.1

MC4.0

159.0MeV

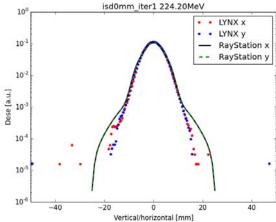


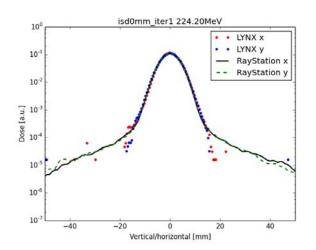




**IDDs** in water @ ISD0

224.2MeV







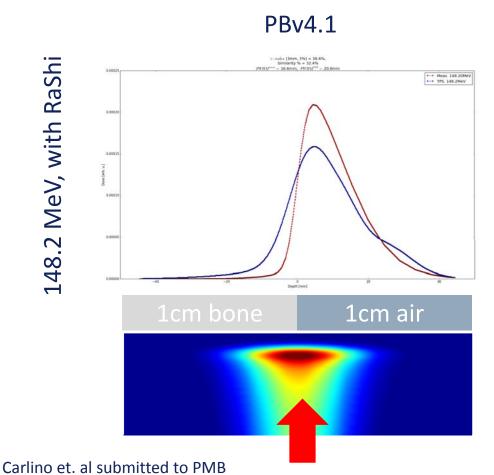
Carlino et. al submitted to PMB

# TPS COMMISSIONING

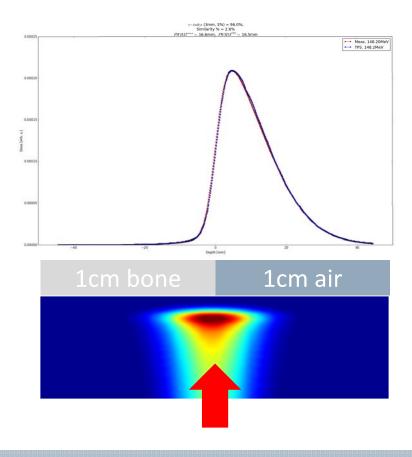
1D/2D @ MEDAUSTRON



### Lateral profiles

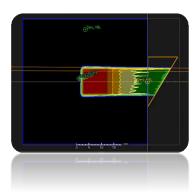


#### MCv4.0

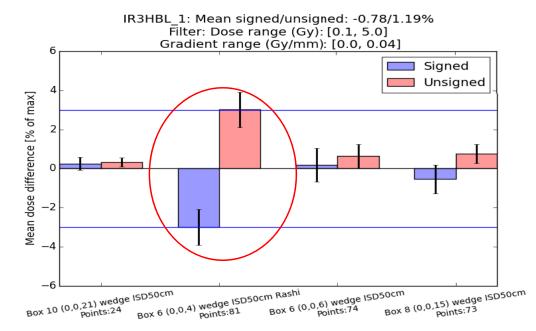


# TPS COMMISSIONING

**3D @ MEDAUSTRON** 



**PBv4.1** 







#### MCv4.0

IR3HBL\_1: Mean signed/unsigned: 0.35/0.62%
Filter: Dose range (Gy): [0.1, 5.0]

Gradient range (Gy/mm): [0.0, 0.04]

Signed
Unsigned
Unsigned

Unsigned

Unsigned

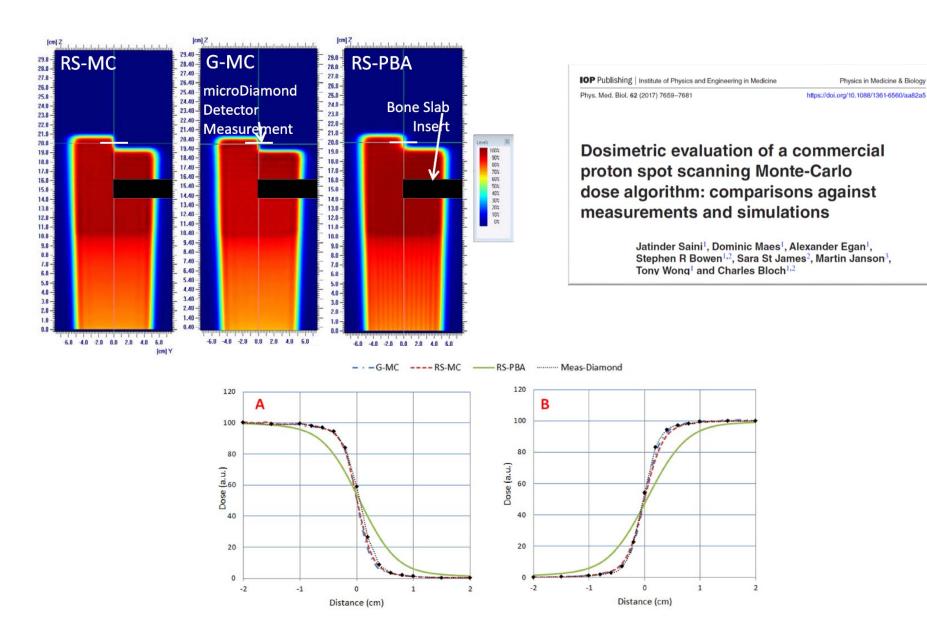
Signed

Unsigned

Box 10 (0.0,21) wedge ISD50cm
Box 6 (0.0,6) wedge ISD50cm
Box 8 (0.0,15) wedge ISD50cm

Carlino et. al submitted to PMB





**Figure 11.** 1D dose profiles at the distal side of the inhomogeneity (see figure 8). Measurements (black dotted) were performed by a microDiamond detector. Calculated dose profiles are G-MC (blue dash dot), RS-MC (red dash), and RS-PBA (green solid). Panel (A) 2 cm bone slab at 15 cm depth, panel (B) 2 cm lung slab at 15 cm depth.



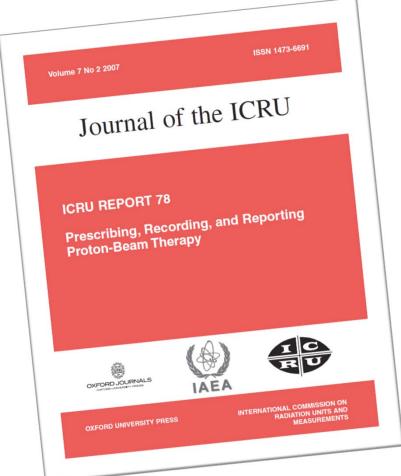
# **CONTENTS**

### Part I General aspects

- Introduction and motivation
- Particle therapy delivery techniques
- Treatment planning software
- Prescribing and reporting

ICRU REPORTS #50, #62, #78, #83

 International Commission on Radiation Units and Measurements





**ICRU REPORT78: DOSE QUANTITIES AND UNITS** 

#### • Absorbed (physical) dose:

• Symbol: **D** (total absorbed dose)

d (aborbed dose per fx)

• Unit: 1 **Gy** 

#### RBE-weighted absorbed dose:

• Symbol:  $D_{RBE}$  (total RBE-weighted absorbed dose)

 $d_{RBE}$  (RBE-weighted absorbed dose per fx)

• Unit: 1 **Gy (RBE)** 

**ICRU REPORT78: DOSE QUANTITIES AND UNITS** 

### • RBE-WEIGHTED ABSORBED DOSE (DRBE)

Relation between absorbed dose (D) and RBE-weighted absorbed dose ( $D_{RBE}$ ) for protons:

$$D_{\text{RBE}} = 1.1 * D$$

- $\bullet$  RBE is a dimensionless quantity. Therefore, both D and  $D_{\rm RBE}$  share the unit Gy.
- $^{ullet}$  To avoid confusion, it is recommended that the quantity  $D_{\mathrm{RBE}}$  shall be expressed in Gy, followed by a space and the parenthetical descriptor '(RBE)'.

#### TARGET VOLUME DEFINITION

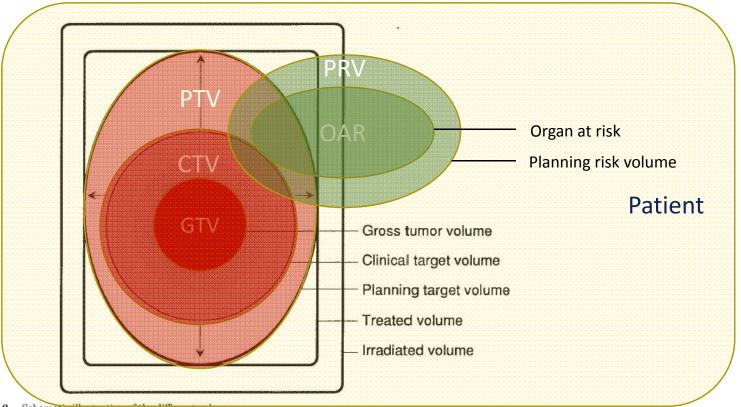


Fig. 2.2. Schematic illustration of the different volumes.

Gross Tumor Volume (GTV) denotes the demonstrated tumor.

Clinical Target Volume (CTV) denotes the demonstrated tumor (when present) and also volumes with suspected (subclinical) tumor (e.g., margin around the GTV, and e.g., regional lymph nodes, NO (according to the TNM-classification [UICC, 1987]), considered to need treatment). The CTV is thus a pure anatomic-clinical concept.

Planning Target Volume (PTV) consists of the CTV(s) and a margin to account for variations in size, shape, and position relative to the treatment beam(s). The PTV is thus a geometrical concept, used to ensure that the CTV receives the prescribed dose, and it is (like the patient/tissues concerned) defined in relation to a fixed coordinate system. Note that in the example shown the magnitude of foreseen movements of the CTV is different in different directions.

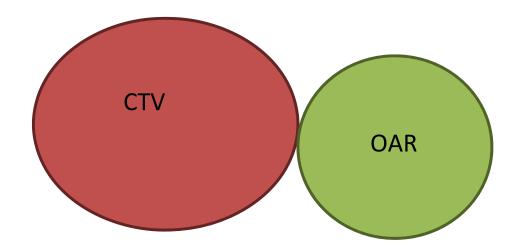
Treated Volume is the volume that receives a dose that is considered important for local cure or palliation.

Irradiated Volume is the volume that receives a dose that is considered important for normal tissue tolerance (other than those specifically defined for organs at risk).

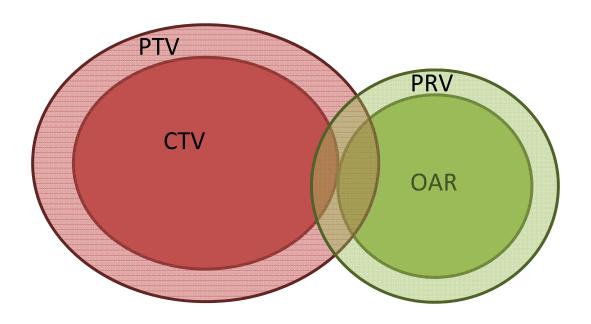
ICRU 50

**STRUCTURE CONFLICTS** 

### Non-moving targets

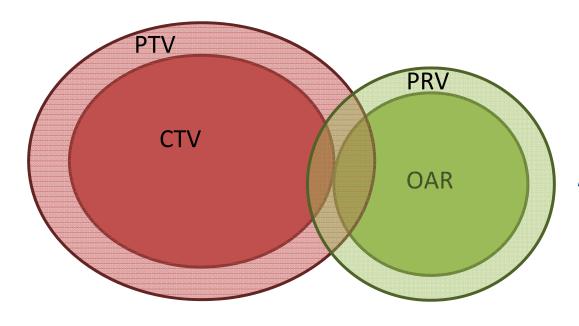


**STRUCTURE CONFLICTS** 



**STRUCTURE CONFLICTS** 

### **ICRU 83**



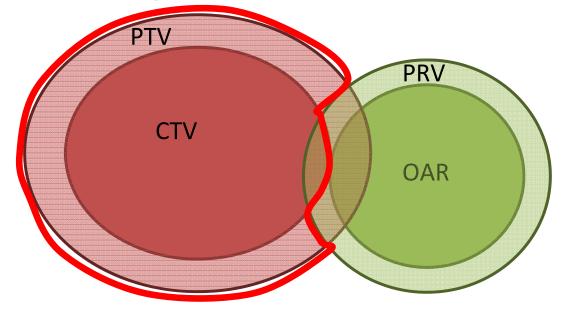
### Define **prioritities**, e.g.:

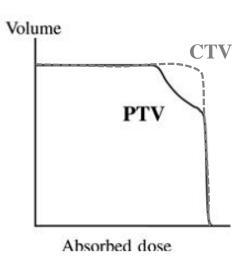
- 1. CTV coverage
- 2. PRV/OAR sparing
- 3. PTV coverage

**STRUCTURE CONFLICTS** 

### **ICRU 83**

e.g 95% isodose





### Define **prioritities**, e.g.:

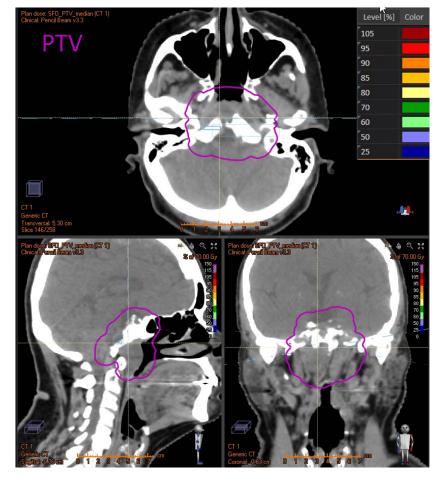
- 1. CTV coverage
- 2. PRV/OAR sparing
- 3. PTV coverage

**ICRU REPORT 83: DOSE NORMALISATION** 

### Simple hypothetical case to illustrate the effects

Hypothetical target prescription:

•  $D_{\text{RBE,pres}} = 60 \text{ Gy (RBE)}$ 

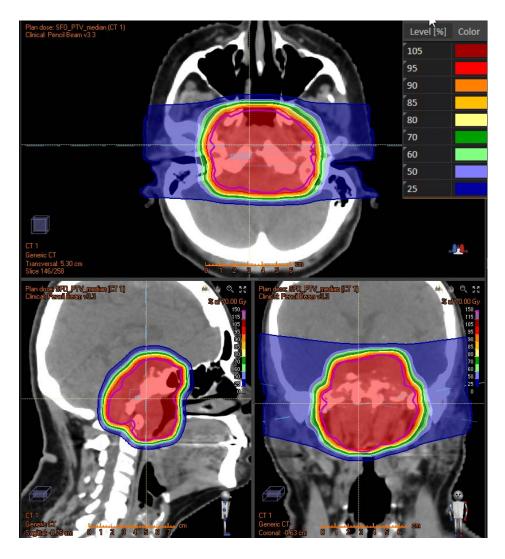


**ICRU REPORT 83: DOSE NORMALISATION** 

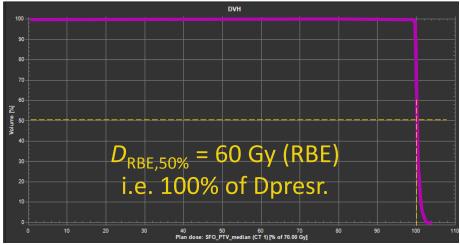
### Typical prescription

- $\rightarrow$  D<sub>min</sub> =95% of prescribed dose (V<sub>95%</sub> = 100%)
- $\rightarrow$  D<sub>RBE,98%</sub>  $\geq$  98% of prescribed dose
- → D<sub>RBE,50%</sub> = 100% of prescribed dose (normalization value)
- $\rightarrow$  D<sub>RBE,2%</sub>  $\leq$  107% of prescribed dose

**ICRU REPORT 83: DOSE NORMALISATION** 



# 95% isodose shall surround the PTV



**ICRU REPORT 83: DOSE NORMALISATION** 

### Typical prescription

 $\rightarrow$  D<sub>min</sub> =95% of prescribed dose (V<sub>95%</sub> = 100%)



 $\rightarrow$  D<sub>RBE,98%</sub>  $\geq$  98% of prescribed dose



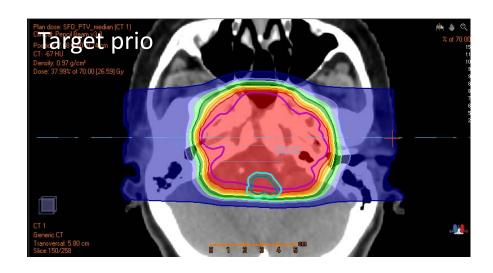
D<sub>RBE,50%</sub> = 100% of prescribed dose (normalization value)



 $\rightarrow$  D<sub>RBE,2%</sub>  $\leq$  107% of prescribed dose



**ICRU REPORT 83: DOSE NORMALISATION** 



Plan dose: \$F0. QAP-prio, median (CT 1)

P. AR 0 prio

CT -87 PtU

Density: 0.97 g/cm²

Dose: 37.53% of 70.00 [26.27] Gy

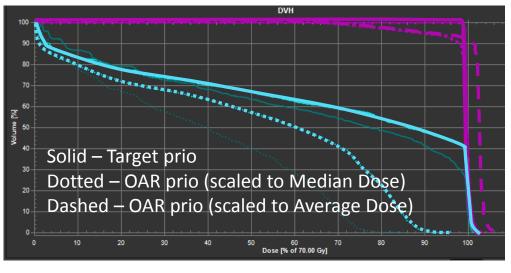
CT 1

Generic CT

Transversal: 5.80 cm

Slice 150/258

95% isodose shall still surround the PTV (except where we need to make the compromise)





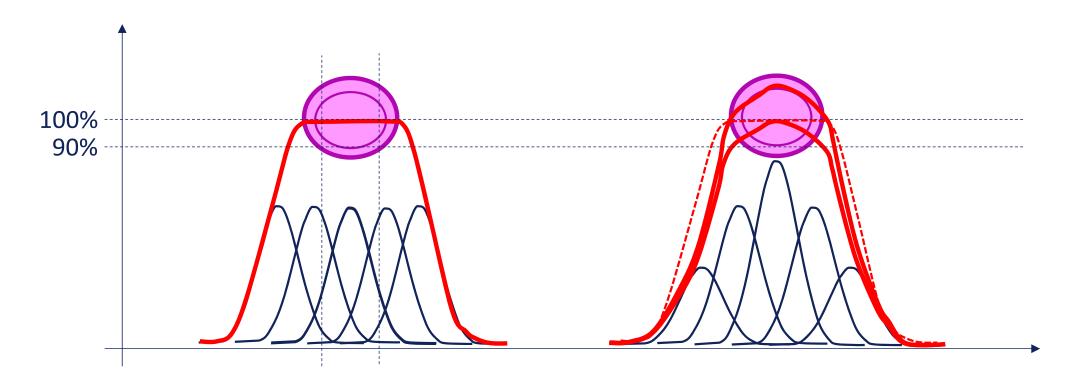
**ICRU REPORT 83: DOSE NORMALISATION** 

Normalization to **median dose** ( $D_{RBE,50\%} = 100\%$  of prescribed dose) and definition of priorities *requires no target help volumes* (for prescription) and *no PTV margin compromises*.

#### Reporting:

- Printed plan information
- Isodose distributions, DVHs
- At minimum: prescribed clinical goals for targets and OARs.

#### SMALL TARGETS COMPARED TO SPOT SIZE



#### Pencil beam scanning (PBS):

- Small hypo-fractionated targets
- Low energies in combination with range shifter
  - > Allow/prescribe heterogeneous dose in the target: Prescription to isodose
  - > Collimators, MLCs





# **CONTENTS**

#### Part II Proton PBS treatment planning

- Physical beam properties & Penumbra
- Range uncertainties
- Plan generation strategies and concepts
- Adaptive treatment planning
- 4D treatment planning

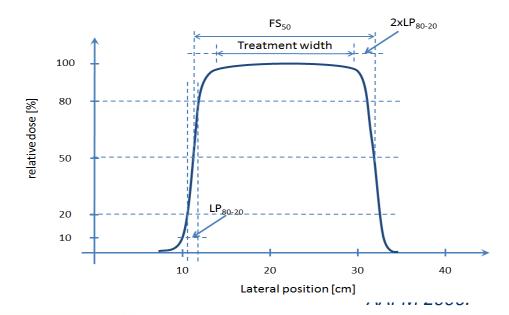
#### **SPOT SIZE / PENUMBRA**

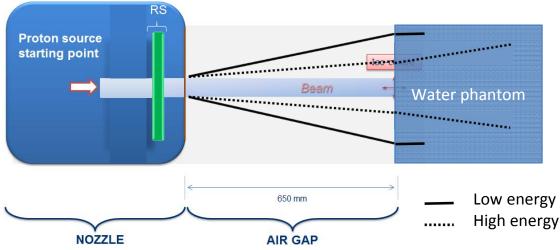
#### Lateral scattering:

- MCS: penumbra increases with increasing penetration depth.
- Exceeds penumbra of photons at some point.

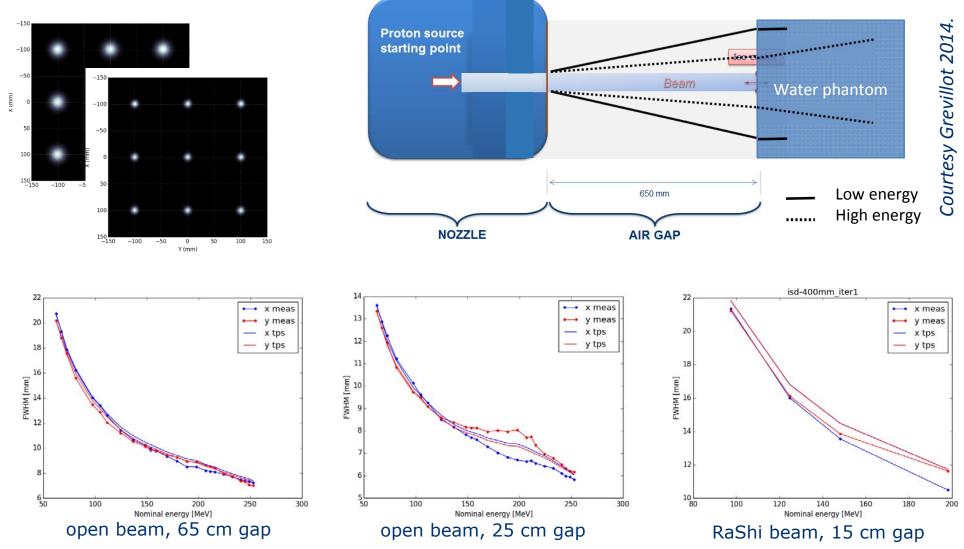
# Presence of range shifter (combined with low energies):

- Substantial increase of spot size.
- Dose calculation accuracy for PB algorithm impaired.
- Reduce air gap.





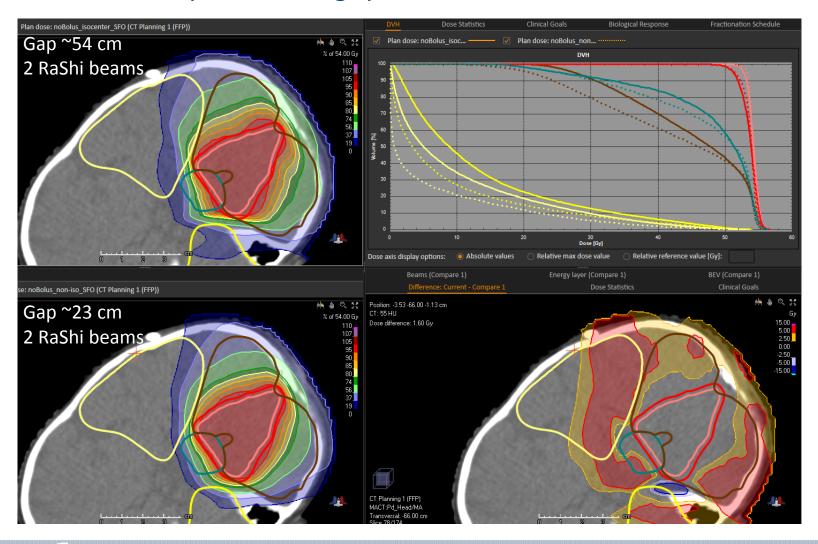
#### **SPOT SIZE / PENUMBRA**



Carlino et. al submitted to PMB

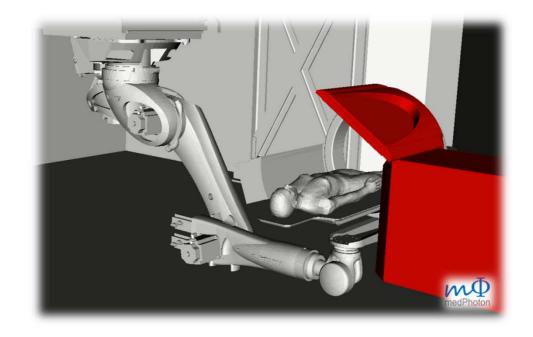
#### **SPOT SIZE / PENUMBRA**

dosmetric impact of air gap reduction



#### **COLLISION FREE PLANNING**

- TPS based interface to collision avoidance software based on modelling of room geometries
- also check imaging protocols

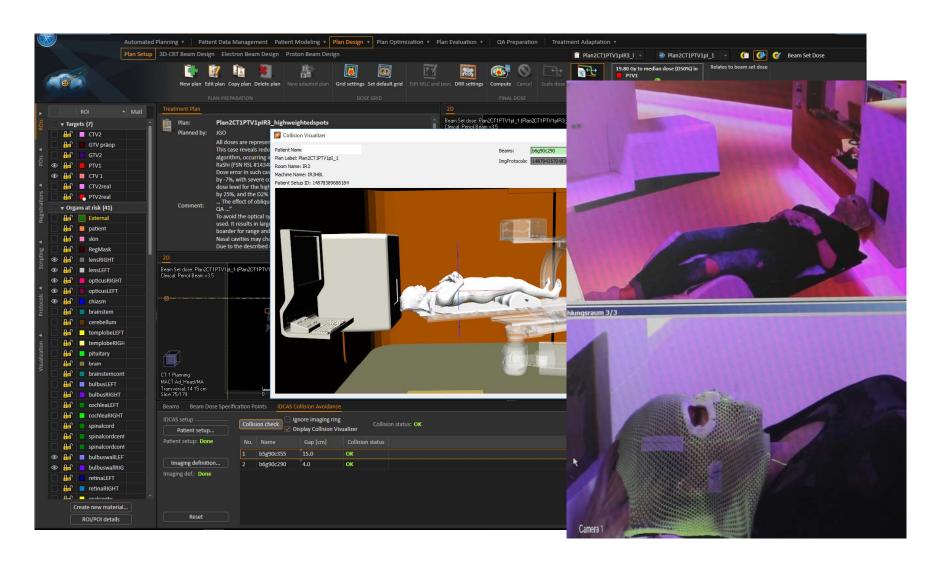


IDCAS setup	Collision check Ignore imaging ring Collision status: Imaging collision				us: Imaging collision
Patient setup Patient setup: Done	No.	Name	Gap [cm]	Collision status	
	1	555	2000.0	OK	
Imaging definition Imaging def.: Done	2	2	199.7	OK	
	3	2_1	199.7	ОК	





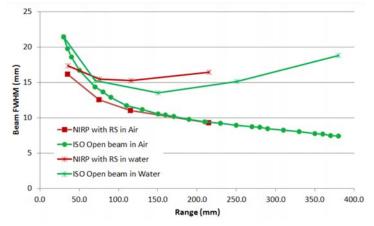
#### **COLLISION FREE PLANNING**

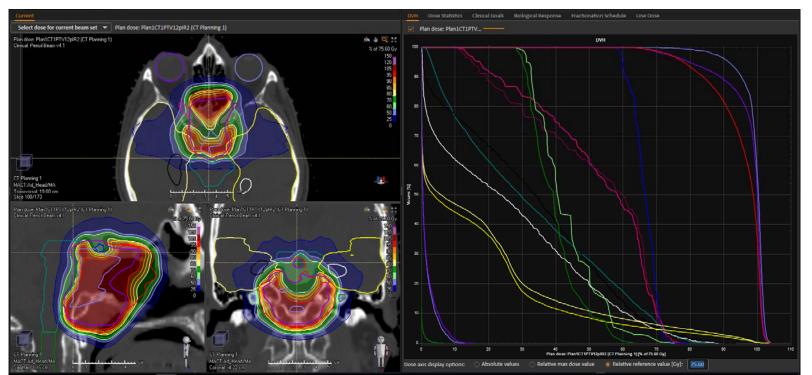


**SPOT SIZE / PENUMBRA** 

### Best penumbra:

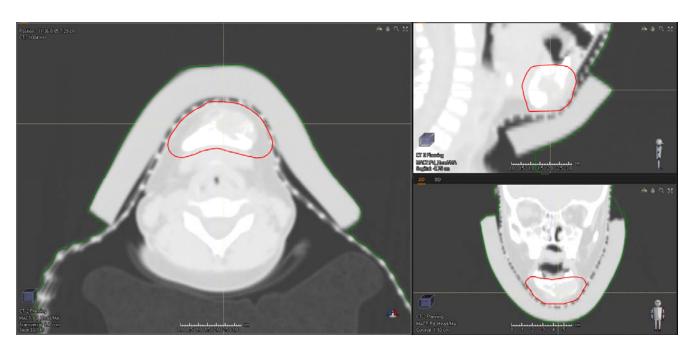
- @ intermediate depths
- + air gap reduction
- no Range shifter





#### **SPOT SIZE / PENUMBRA**

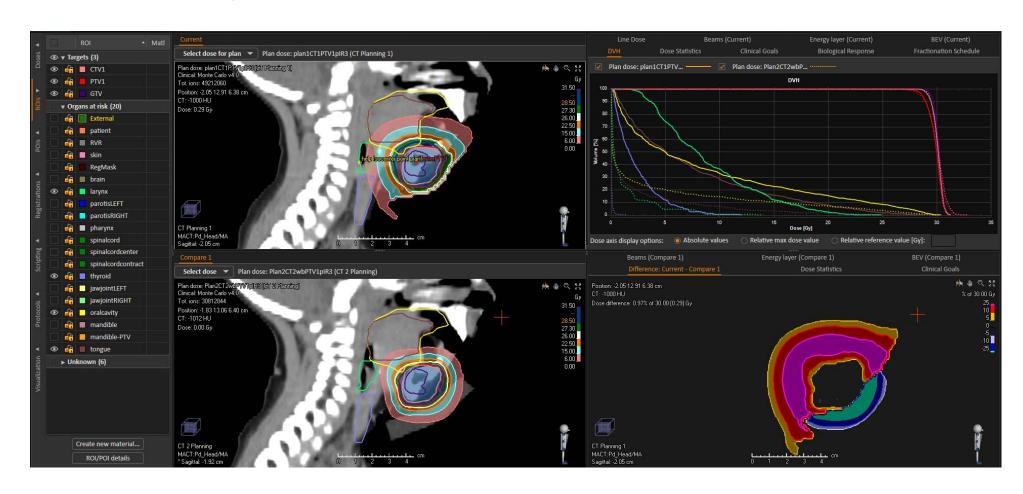
Avoid RaShi by use of boli





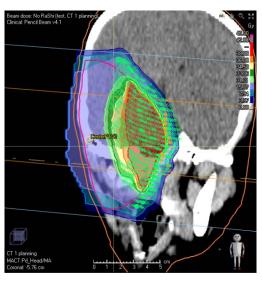
#### **SPOT SIZE / PENUMBRA**

Avoid RaShi by use of boli

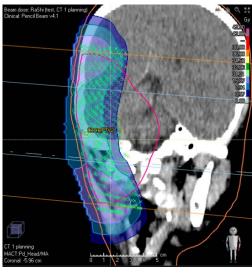


#### **SPOT SIZE / PENUMBRA**

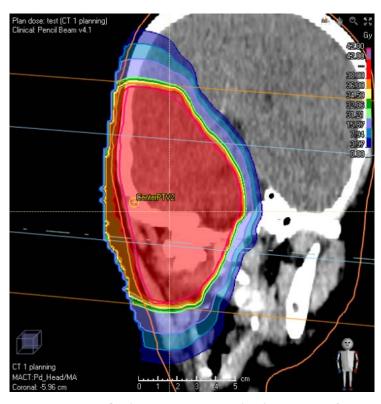
Subdivision of a beam into part with and without RaShi



without range shifter



with range shifter



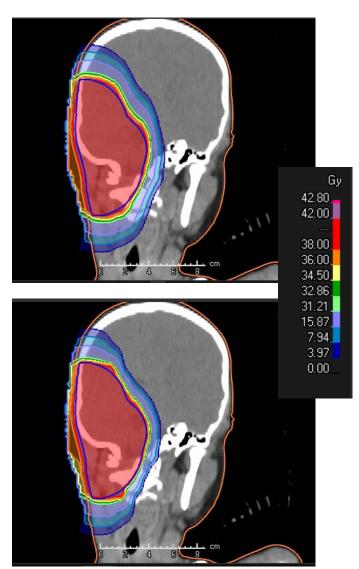
Sum of the two ,sub-beams'.



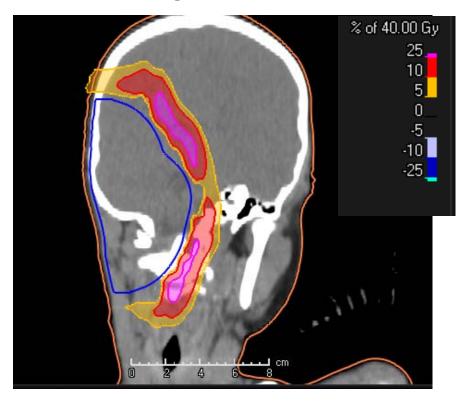
#### **SPOT SIZE / PENUMBRA**

no separation

with separation



#### resulting dose difference

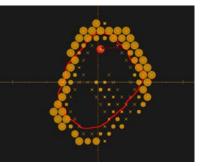


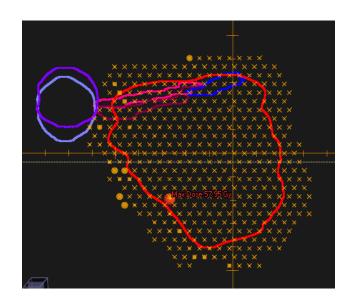
#### LATERAL SPOT PLACEMENT

 Spot spacing ≤ 1/3 FWHM in water @ BP

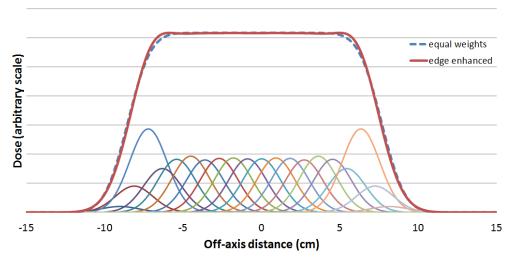
#### Target margin

- allow TPS to place additional spots outside the target projection
- increase target dose homogeneity
- CAVE: excessive edge enhancement



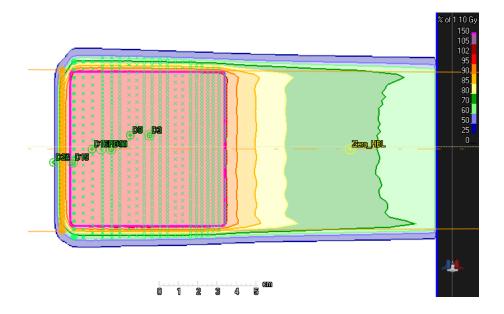


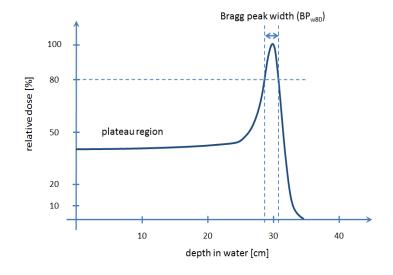
#### Lateral dose distribution (regular spot grid)

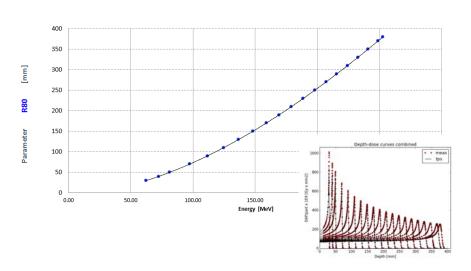


#### **ENERGY LAYER SPACING**

- ≤ Bragg peak width (BPW)
- Options in TPS: constant or relative to BPW

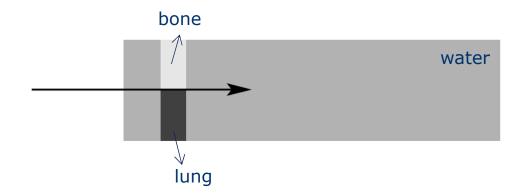


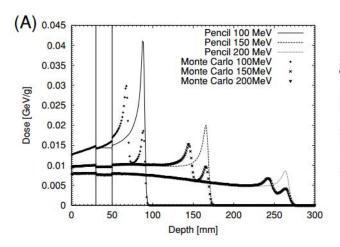


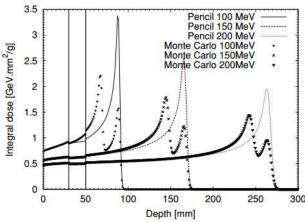


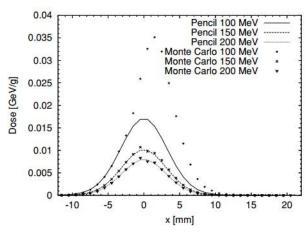
## PHYSICAL BEAM PROPERTIES

#### RANGE DEGRADATION





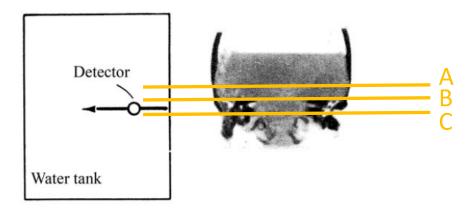


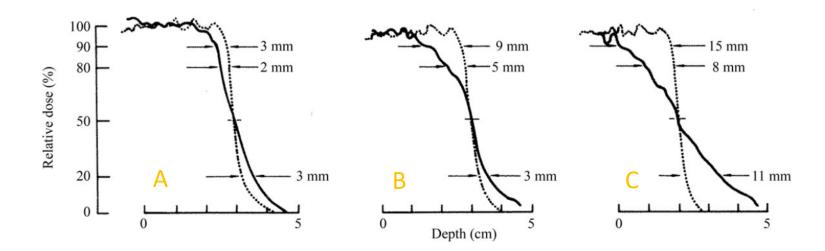


Soukup et al. PMB 52, 2005

# PHYSICAL BEAM PROPERTIES

#### RANGE DEGRADATION



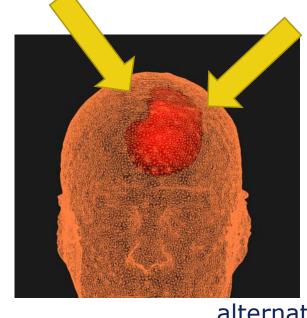


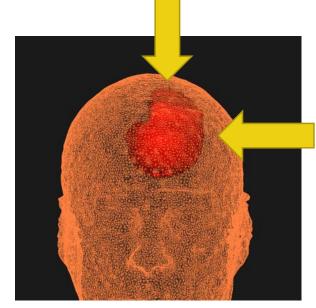
ICRU Report 78

PHYSICAL BEAM PROPERTIES

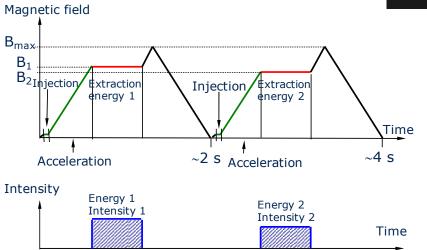
#### **MACHINE PROPERTIES**

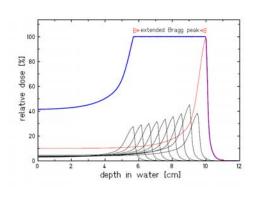
- Geometric parameters: field size etc.
- Min. and max. spot spacing
- Max. layer spacing
- Min. spot weight
- Delivery time structure

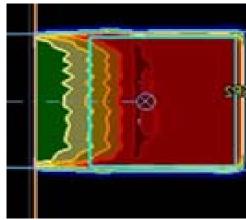




alternating plans











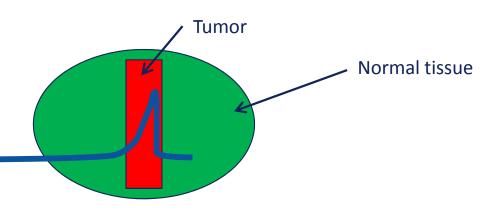
## **CONTENTS**

## Part II Proton PBS treatment planning

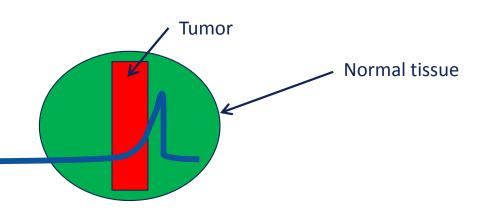
- Physical beam properties & Penumbra
- Range uncertainties
- Plan generation strategies and concepts
- Adaptive treatment planning
- 4D treatment planning

**EFFECTS** 

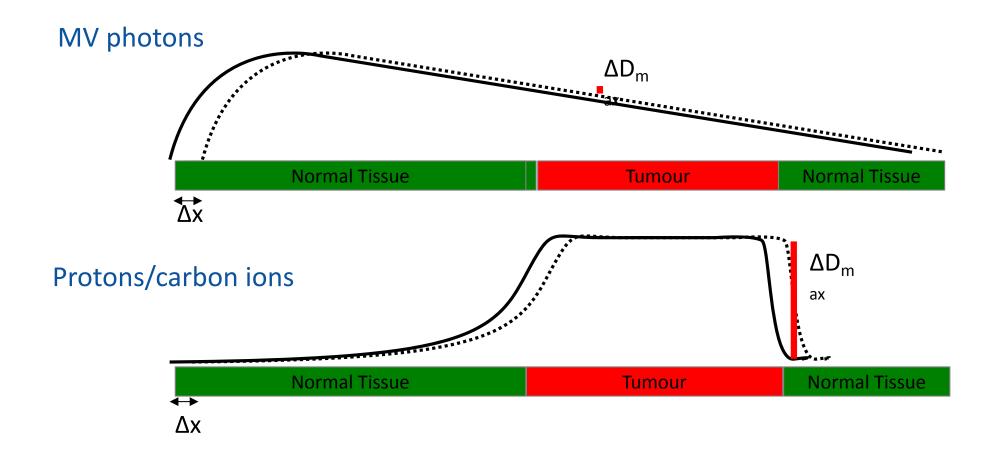
What we aim for:



What might happen:



#### **EFFECTS**



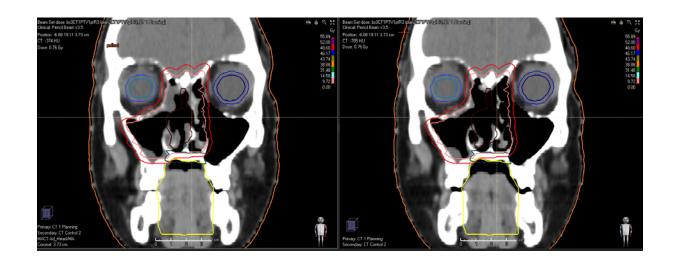
**SOURCES** 

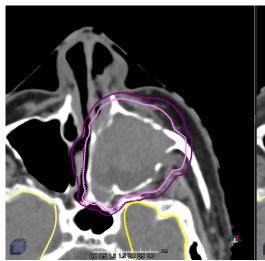
Range uncertainty Adapted from Lomax T. (statistic) (AAPM Summer School 2015) Increase of potential magnitude Patient positioning (statistic) Inherent CT uncertainties, e.g. beam hardening, calibration (systematic) Distal end RBE enhancements (systematic) CT artifacts (systematic) Changes in patient anatomy (systematic & statistic)

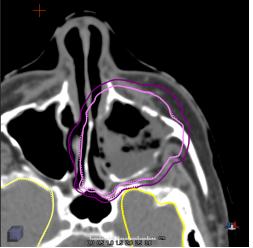
- → Estimated sum of range uncertainties: ~3 5%
- Range uncertainties are likely to be systematic.

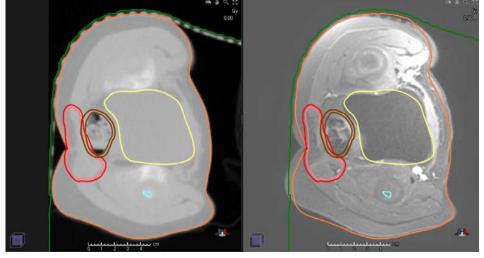
#### **ANATOMICAL CHANGES**

- Weight gain / loss
- Changing cavity fillings
- Organ motion
- Tumor shrinkage
- Swelling
- (Repositioning)
- etc.









#### **CT ARTEFACTS**

## CT ARTEFACTS DUE TO METALLIC IMPLANTS

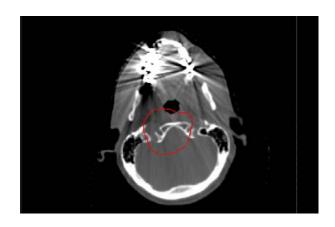
 Jäkel et al, PMB 2007 reported <5% of patients with neither fillings or prosthesis

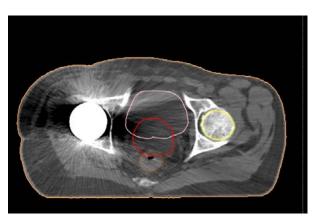
## Mitigation methods

- artefact reduction algorithms (HUs are influenced)
- delineation of artefacts (and implants) and HU override
- estimation of related uncertainties required for clinical decisions

## • In case of less pronounced artefacts:

- avoid parallel incidence to streak artefacts
- increase margins or use increased uncertainty in robust optimization
- use multiple beams





#### **DEALING WITH UNCERTAINTIES**

## Take uncertainties into account during plan generation

- Robust beam arrangement, multiple beams
- Careful choice of plan optimization strategy
- Robust optimization
- Use of PRVs
- Beam specific PTV margins

## Evaluation of robustness



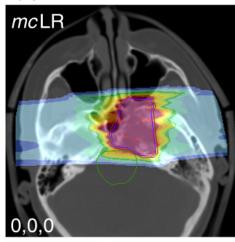
## **CONTENTS**

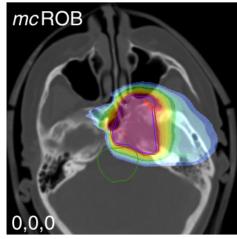
## Part II Proton PBS treatment planning

- Physical beam properties & Penumbra
- Range uncertainties
- Plan generation strategies and concepts
- Adaptive treatment planning
- 4D treatment planning

#### **ROBUST BEAM ARRANGEMENT**

- dose homogeneity: choose beam angles
   avoiding large density interfaces as well
   as 'unstable OARs' along the beam axis
- range uncertainty: avoid placing Bragg
   peaks proximal to critical OARs
  - beam incidence parallel to OARs
  - spot positioning margins/restrictions around OARs

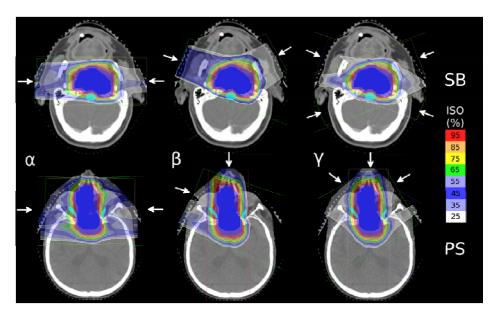




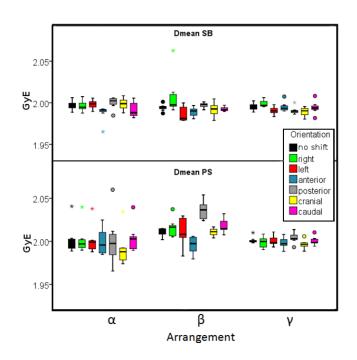
Ammazalorso et al. Radiat Oncol 9 (2014

#### **ROBUST BEAM ARRANGEMENT**

• use multiple beams



Hopfgartner & Stock et al (2013) Acta Oncol 52:570-79



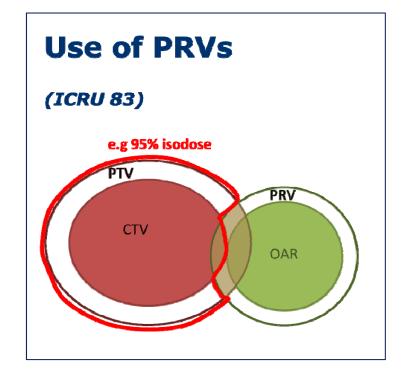
No gantry approach α: lateral opposed beams (2 fields)

Gantry approach β: individually optimized beam angles (2 fields)

Gantry approach γ: multi-beam approach (3 or 4 fields)

#### **BEAM SPECIFIC MARGINGS**

# Beam specific margins Dealing with the range uncertainty separately by applying additional beam specific margin on top of positioning uncertainty.



#### **PLAN OPTIMIZATION STRATEGY**

## Delivery technique

(monoenergetic pencil beams)

## Pencil beam scanning (PBS)

(also called spot scanning particle therapy (SSPT), raster scanning, etc.)

Lateral scanning of a pencil beam without patient specific customized scattering elements, collimators or range modulators.

## Intensity modulated particle therapy (IMPT)

Inverse optimization of individual spot weights required to generate the desired dose distribution.

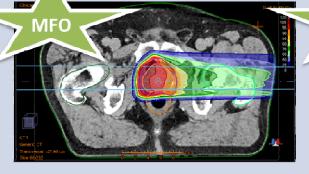
## Optimization strategy

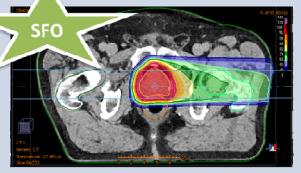
#### Multi-field optimization (MFO)

Weights of all spots in all fields are simultaneously optimized.

#### Single-field optimization (SFO)

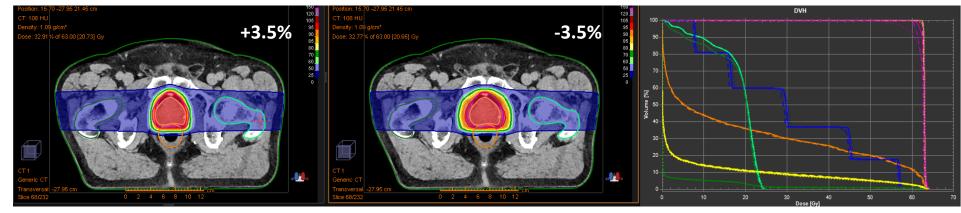
Weights of all spots are optimized for each field individually.

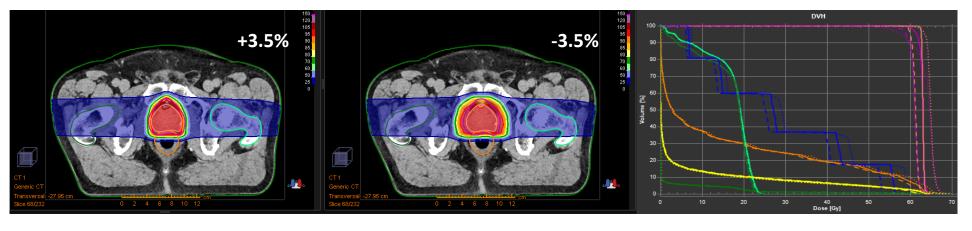




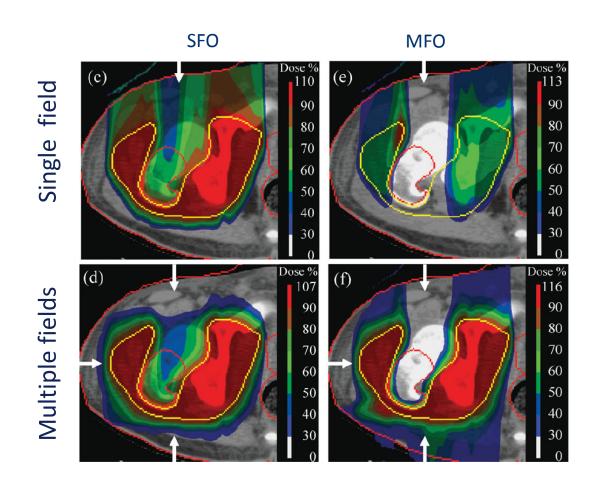
**PLAN OPTIMIZATION STRATEGY** 

**Evaluate robustness** by simulation of range uncertainty by HU scaling.



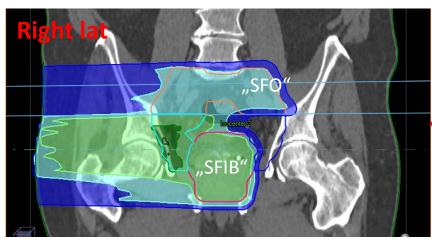


SFO vs MFO: ISODOSE DISTRIBUTIONS



ICRU Report 78

#### PLAN OPTIMIZATION STRATEGY



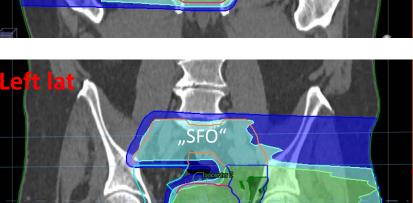
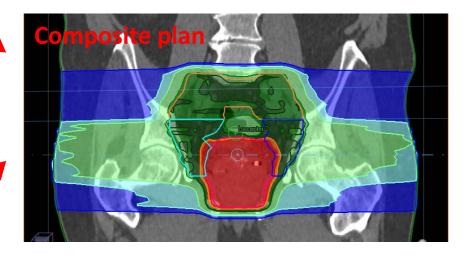
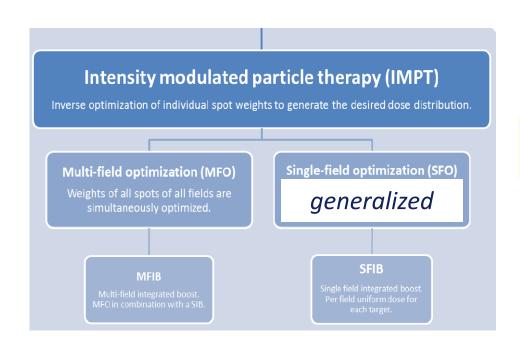
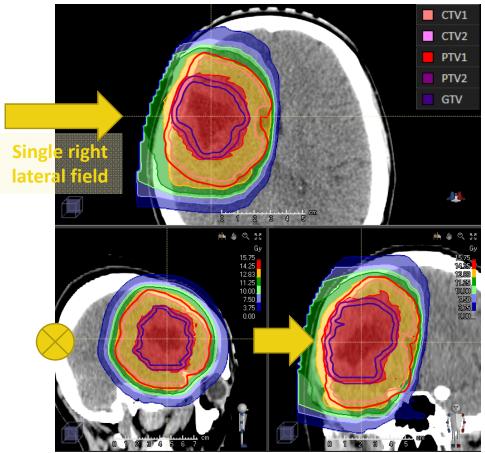


Illustration of potential combinations of optimisation options for complex geometries.



#### **INTEGRATED BOOSTS**



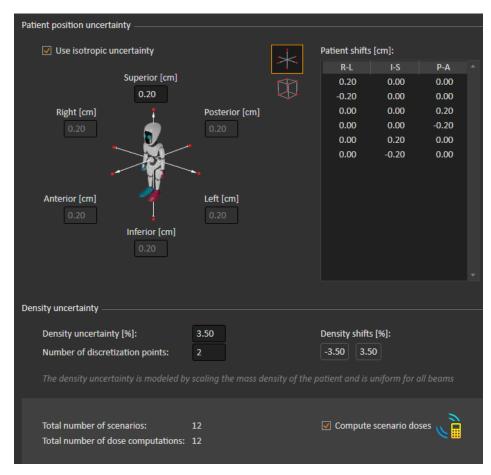


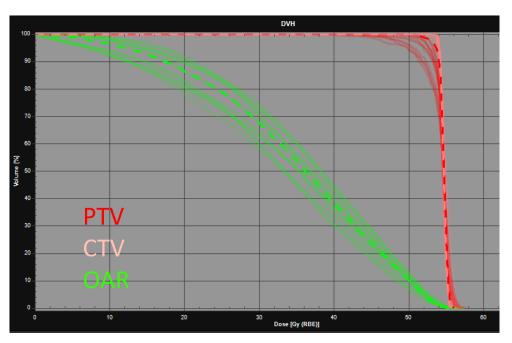
Single Field Integrated Boost (SFIB)

Zhu et al. Radiation Oncology 2014, 9:202



#### **ROBUSTNESS EVALUATION**





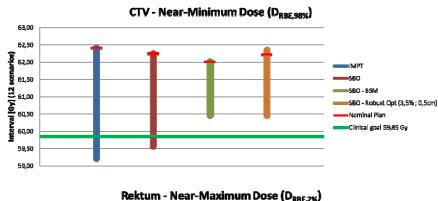
DVHs for all scenarios

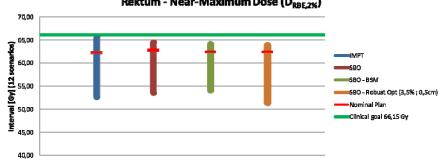
Scenario definition



#### **ROBUST OPTIMISATION**

- Incorporation of density and setup uncertainty parameters into the optimization
- Incorporation of different planning CT
- eg. Minimax approach (Frederikson A, Bokranz R. MP 2014)
  - Compute worst case of each scenarios for selected cost-functions in each iteration step and minimizes the penalty
- **Bears potential** to make the PTV concept obsolete and plan on CTVs only.

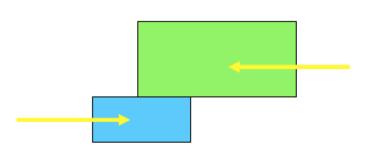




J. Gora, G.Kragl ÖGMP 2015

**ROBUST OPTIMIZATION & FIELD MATCHING / PATCHING** 

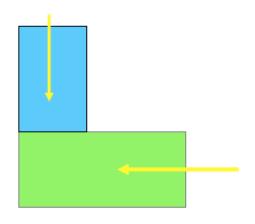




Lateral penumbra

Lateral penumbra

#### **Patch fields**



Distal penumbra

+

Lateral/distal penumbra

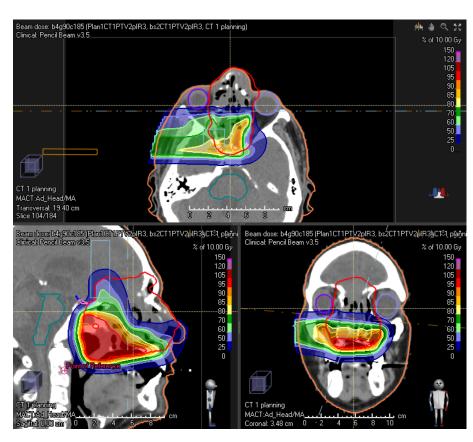
Stock M. Estro school

## PLAN GENERATION

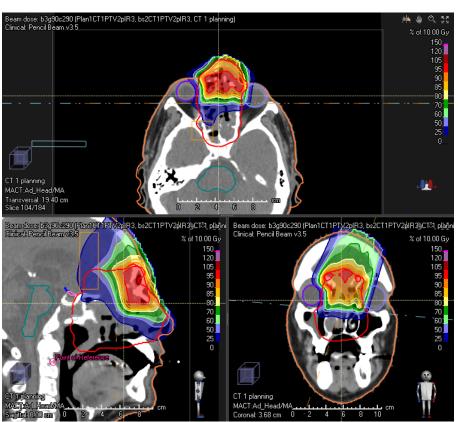
#### ROBUST OPTIMIZATION & FIELD PATCHING

Produce shallow gradients at the patching boarders.





**Right lateral** 



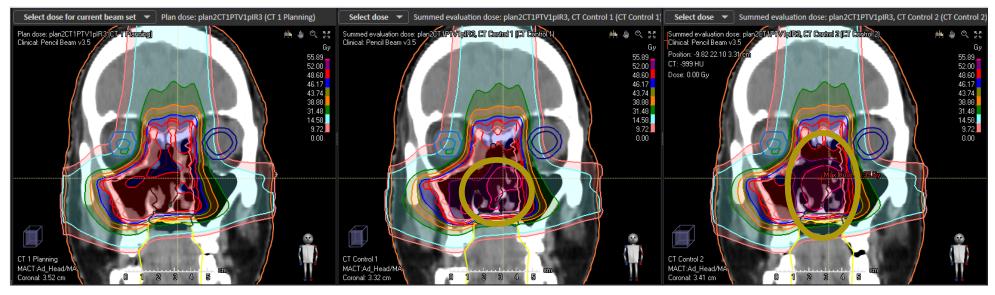
**Vertex oblique** 



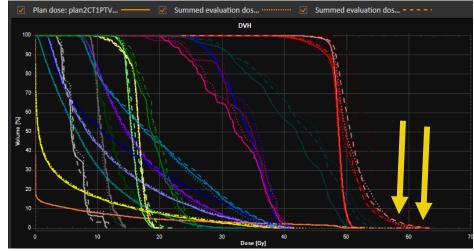


## **PLAN GENERATION**

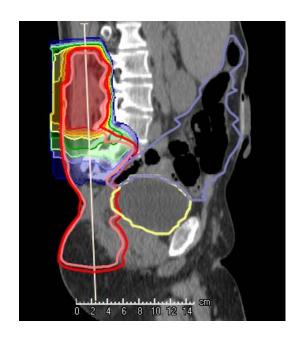
#### **ROBUST OPTIMIZATION & FIELD PATCHING**

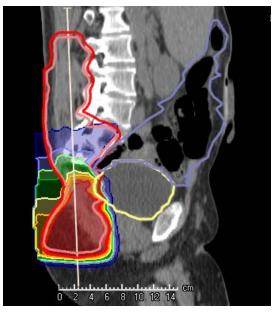


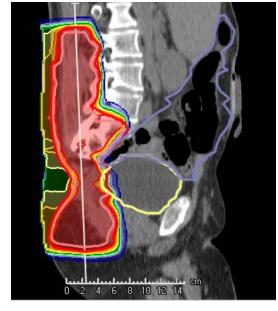
- High prio OARs: stable
- Target: plan adaptation required

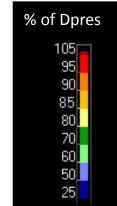


## **ROBUST OPTIMIZATION & FIELD MATCHING**

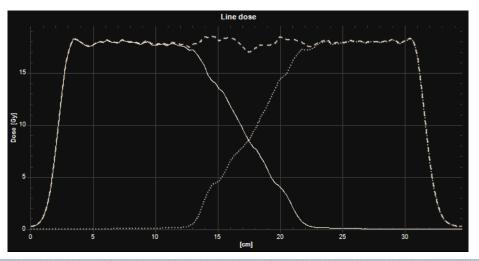




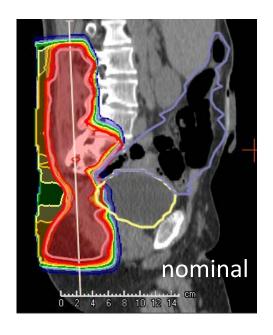


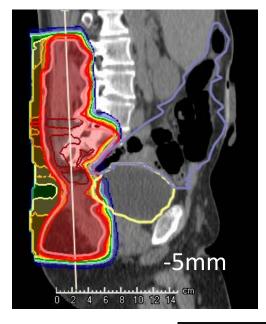


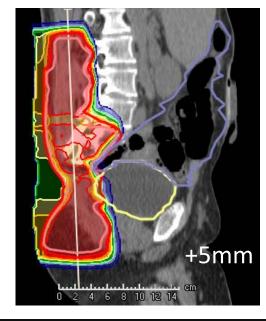
 Produce shallow gradients at the matching boarders.

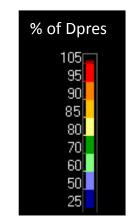


#### **ROBUST OPTIMIZATION & FIELD MATCHING**

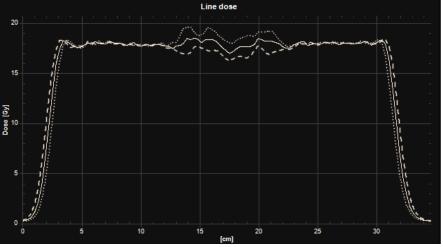




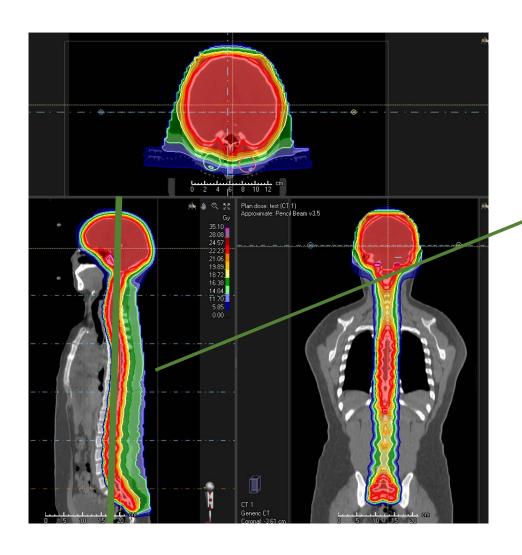


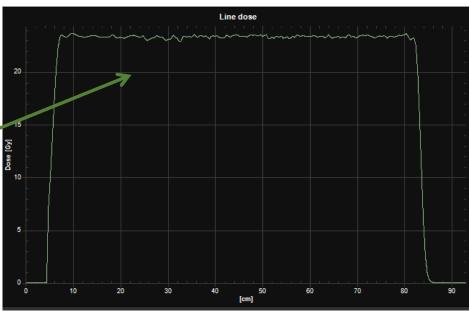


 5mm isocenter shifts result in moderate overand underdoses



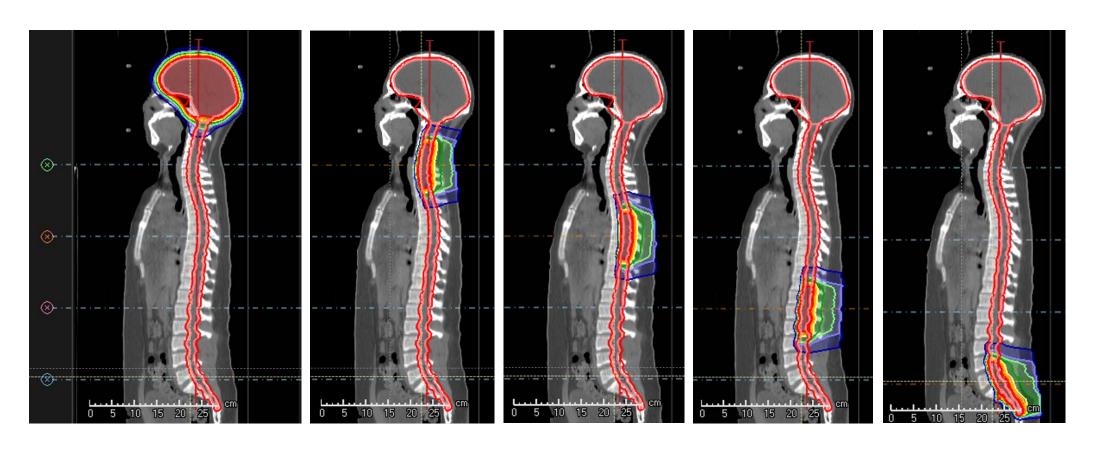
#### **ROBUST OPTIMIZATION & FIELD MATCHING**





• I-S PTV dimension: 89 cm

## **ROBUST OPTIMIZATION & FIELD MATCHING**

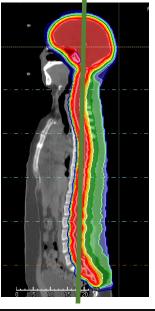


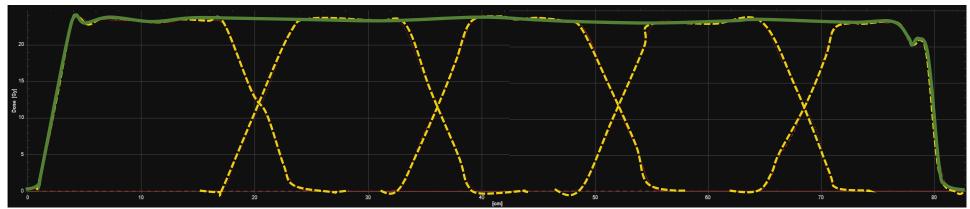
5 isocenters, i.e. 4 junctions



#### **ROBUST OPTIMIZATION & FIELD MATCHING**

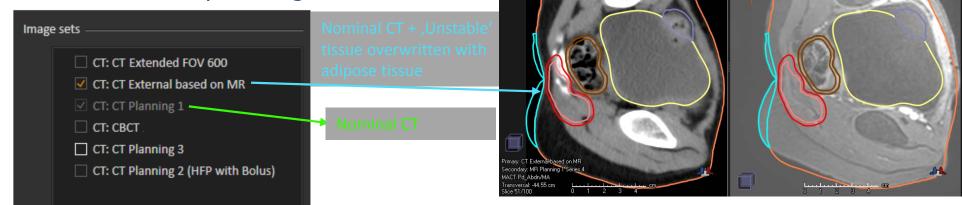
Min overlap 4 cm

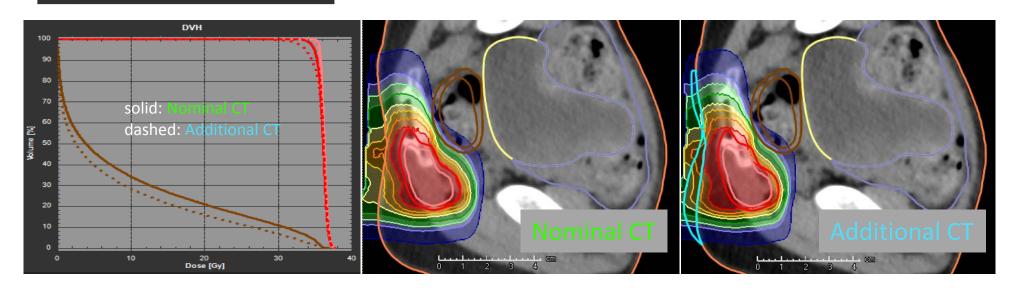




**ROBUST OPTIMIZATION: ADDITIONAL PLANNING CTs** 

Choose additional planning CTs:





**ROBUST OPTIMIZATION: ADDITIONAL PLANNING CTs** 

Choose additional planning CTs:

Nominal CT + Colon
ITV overwrite n with air & water

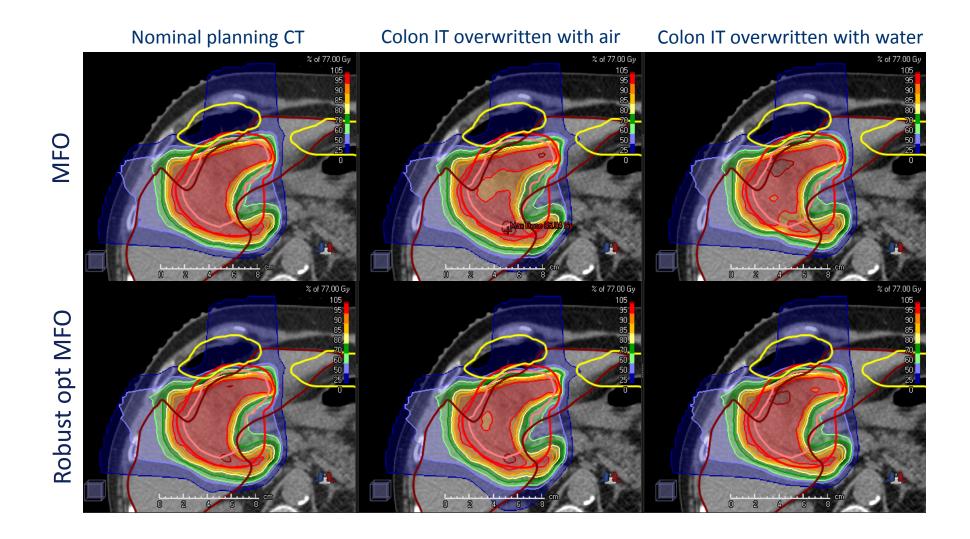
CT: CT 1A Overwrite Colon\_ITV Water

CT: CT 1B Overwrite Colon\_ITV Water

CT: CT Control

Nominal CT + Colon
ITV overwrite n with air & water

#### **ROBUST OPTIMIZATION: ADDITIONAL PLANNING CTs**



#### **ROBUSTNESS AGAINST RBE UNCERTAINTIES**

#### Biological Dose Estimation Model Beam Therapy

#### Vladimir Anferov1\*, Indra J. Das2,3

<sup>1</sup>Pronova Solutions LLC, Knoxville, USA <sup>2</sup>Indiana University School of Medicine, Indianapolis, USA <sup>3</sup>IU Health Proton Therapy Center, Bloomington, USA Email: <sup>\*</sup>Vladimir.Anferoy@pronovasolutions.com

Received 14 January 2015; accepted 18 April 2015; published 23 April 2015

 Institute of Physics Published
 Physics in Middelsi and Biology

 Phys. Med. Biol. 49 (2004) 2811–2825
 PIL 80031-9155(04)74984-2

## A phenomenological model for the relative biological effectiveness in therapeutic proton beams

#### J J Wilkens and U Oelfke

German Cancer Research Center (DKFZ), Department of Medical Physics, Im Neuenheimer Feld 280, 69120 Heidelberg, Germany

E-mail: j.wilkens@dkfz.de

Received 21 January 2004 Published 11 June 2004 Online at stacks.iop.org/PMB/49/2811 doi:10.1088/0031-9155/49/13/004

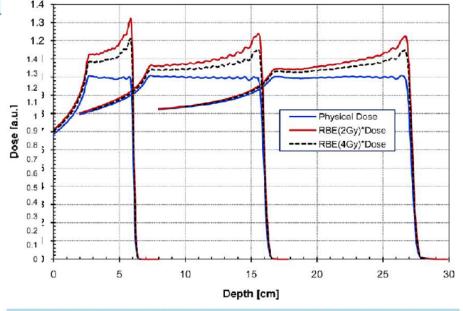
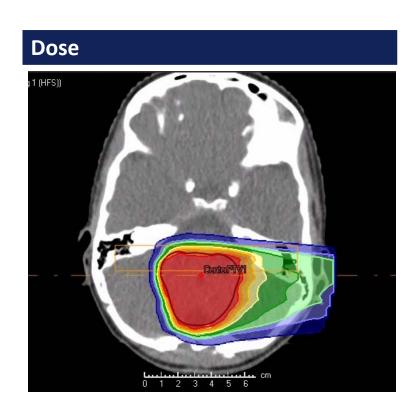
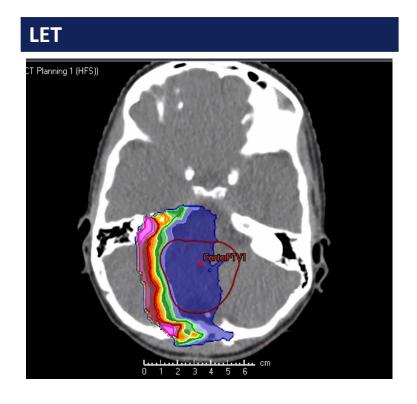


Figure 7. Comparison of the physical dose and RBE-weighted dose in modulated proton beams.

Image courtesy: N. Schreuder

#### **ROBUSTNESS AGAINST RBE UNCERTAINTIES**





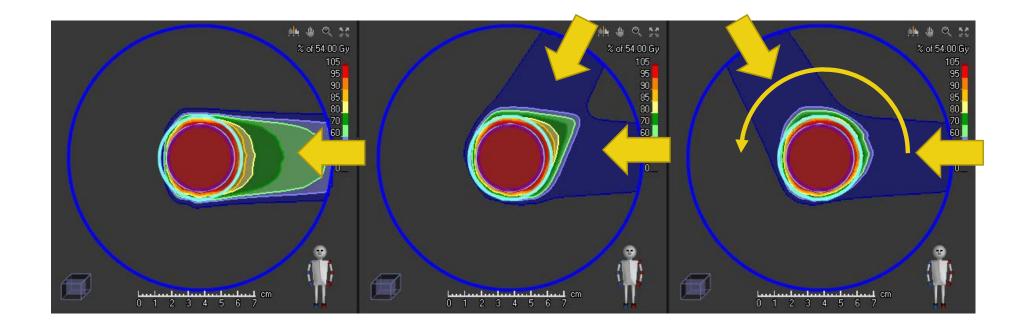
## Optimize LET:

Int J Radiat Oncol Biol Phys. 2019 Mar 1;103(3):747-757. doi: 10.1016/j.ijrobp.2018.10.031. Epub 2018 Nov 2.

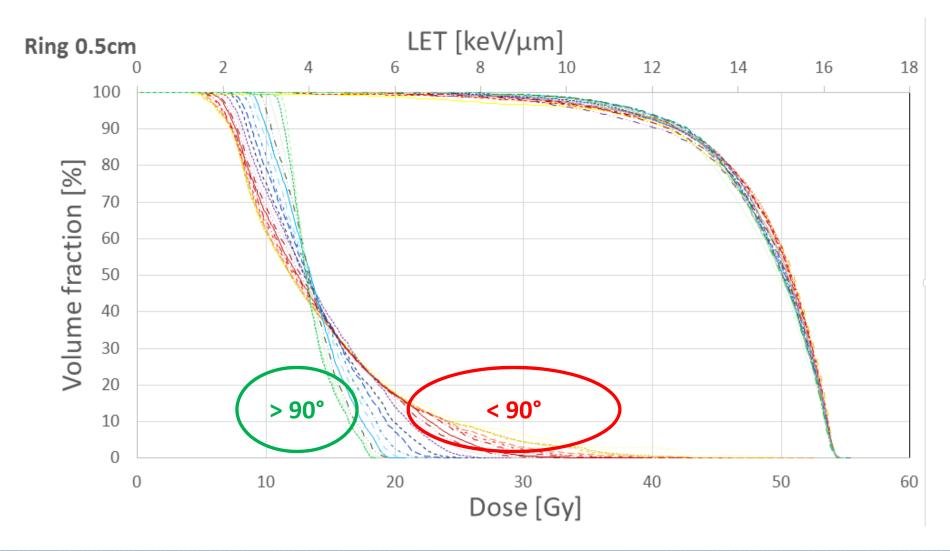
Introducing Proton Track-End Objectives in Intensity Modulated Proton Therapy Optimization to Reduce Linear Energy Transfer and Relative Biological Effectiveness in Critical Structures.

Traneus E1, Ödén J2.

#### **ROBUSTNESS AGAINST RBE UNCERTAINTIES**



#### **ROBUSTNESS AGAINST RBE UNCERTAINTIES**

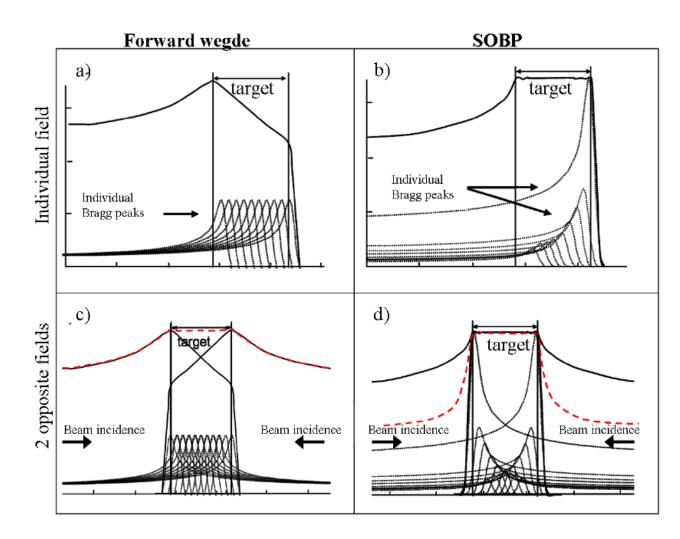


### PLAN GENERATION STRATEGIES

**COST OF ROBUSTNESS** 

# Increased integral dose

- Reduced modulation
- Robust optimisation on additional CTs
- Cost vs. benefit?

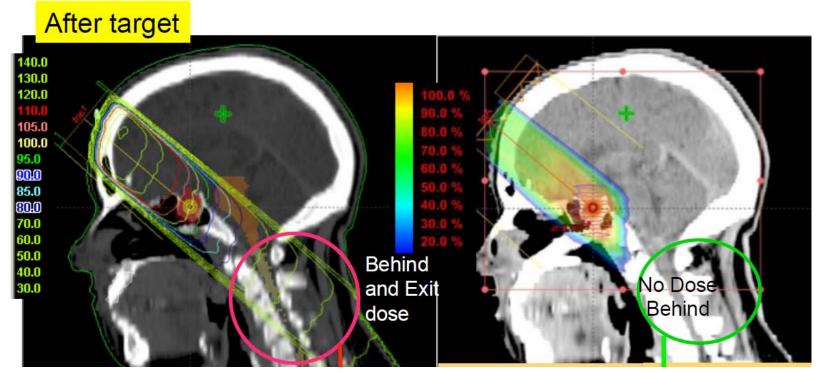


Dissertation, F. Albertini 2011, PSI Villigen

### PLAN GENERATION STRATEGIES

#### **COST OF ROBUSTNESS**

Photons Protons



A. Mazal PTCOG

# **CONTENTS**

### Part II Proton PBS treatment planning

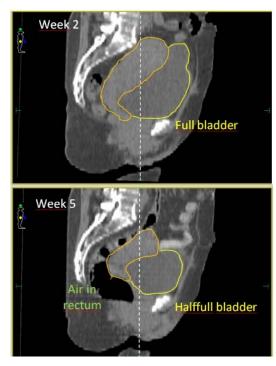
- Physical beam properties & Penumbra
- Patient setup and workflow
- Optimization strategies
- Adaptive treatment planning
- 4D treatment planning

# **ADAPTIVE RADIOTHERAPY**

#### **PRINCIPLES**

#### Main reasons for plan adaptations

- Tumor shrinkage
- Weight loss/gain
- Organ fillings
- Tumor response
- Changes in patient position



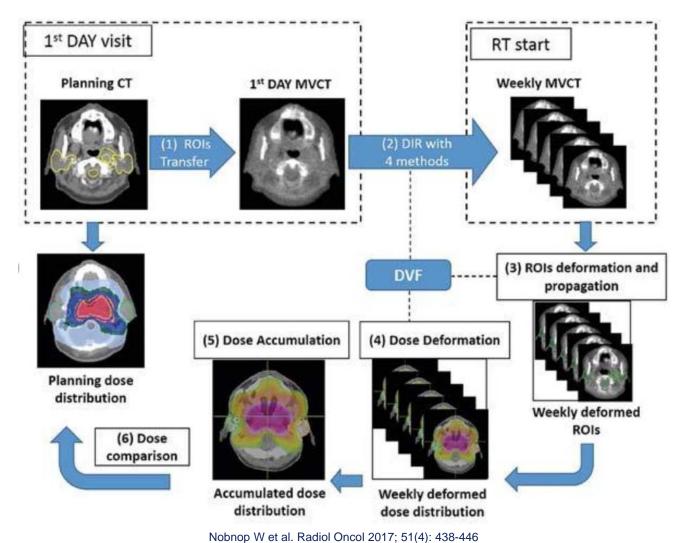
Med. Univ. Vienna, D. Georg.

### Options for plan adaptation

- <u>Snap shot</u> → acquire regular re-planning CTs continue with adapted plan
- Offline → acquire daily images adapted plan treated in the next fx
- Online → acquire daily images online daily adaptation and instant treatment of adapted plan
- Plan of the day → acquire images with different organ fillings (e.g. full and half-full and empty bladder) create respective plans and choose best fitting plan based on daily imaging

### **ADAPTIVE RADIOTHERAPY**

#### **DOSE ACCUMULATION**



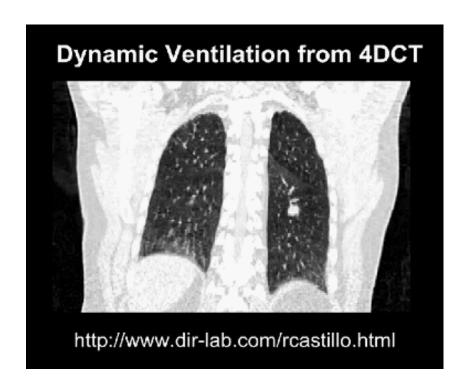
### Challenges for protons

- Calibration of CBCT/4D CT for dose computation
- Establish efficient workflow
- Quality assurance



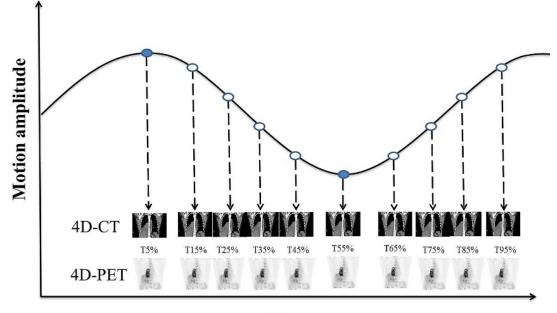


**4D IMAGE ACQUISITION** 





AAPM Summer School 2014

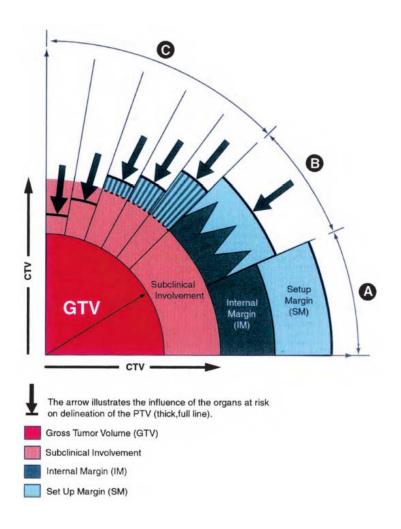


Phase

Tzung-Chi Huang et al. PLoS One. 2013

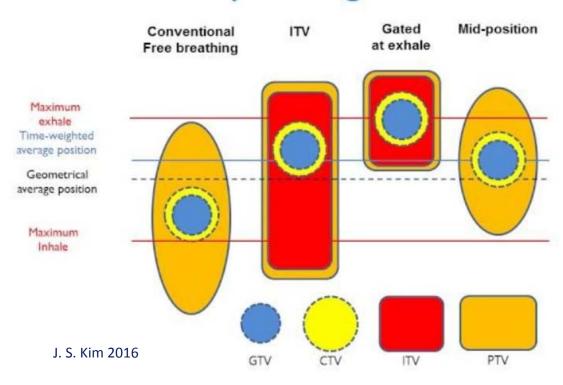


#### **VOLUME DEFINITION**



#### ICRU report 62

### Relationship of Target Volumes



Wolthaus JWH, Schneider C, Sonke JJ et al. Mid-ventilation CT scan construction from four-dimensional respiration-correlated CT scans for radiotherapy planning of lung cancer patients. Int. J. Radiat. Oncol. Biol. Phys.65(5), 1560–1571 (2006)

Main dosimetric effects, when treating moving targets protons:

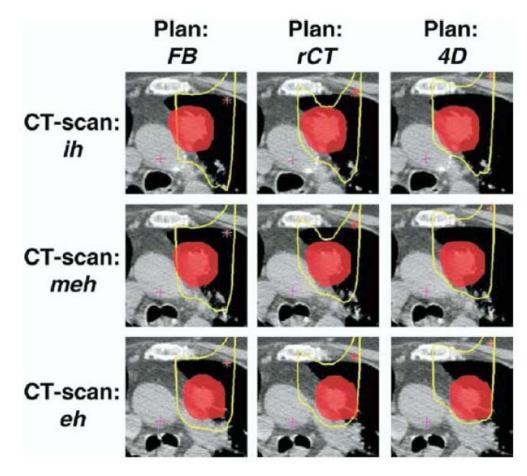
#### Scattered and scanned protons:

• **Density variations:** Temporal anatomic variations due to motion, e.g. respiration or heart beat, cause temporal density variations (e.g. tumour movement, movement of the ribs) and therefore varying proton ranges.

### Scanned protons:

 Interplay effect: The intended position of the Bragg Peak is dependent on the actual target position. Therefore, the actual Bragg Peak position can deviate from the planned Bragg Peak position and therefore, unintended over- and under-dosage of the tumour may be the consequence.

#### **DENSITY VARIATIONS**



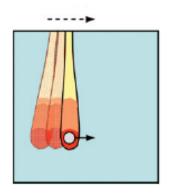
Engelsmann, IJROBP 2006

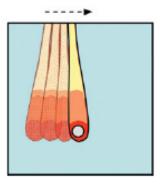
**scattered protons**; free breathing (FB) vs. representative phase for planning (rCT) vs. compensator based on 4D-CT (4D) recomputed on inhale-, mid-exhale and exhale-CT;

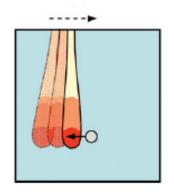
#### **INTERPLAY EFFECT**

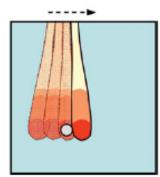
Assume beam scan speed and organ motion speed are comparable.

Unintended over- and under-dosage.









ICRU Report 78

# 4D TP INTERPLAY EFFECT - RESCANNING

IOP PUBLISHING

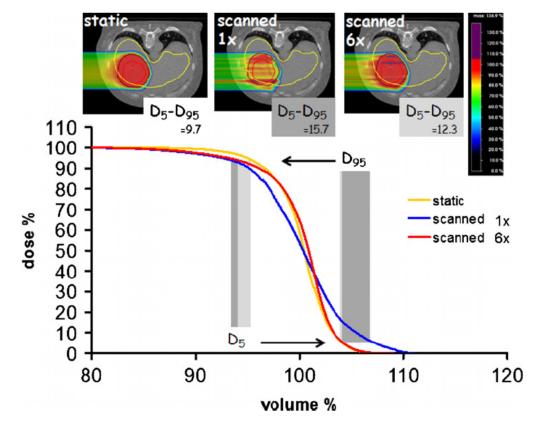
PHYSICS IN MEDICINE AND BIOLOGY

Phys. Med. Biol. 56 (2011) 7257-7271

doi:10.1088/0031-9155/56/22/016

Scanned proton radiotherapy for mobile targets—the effectiveness of re-scanning in the context of different treatment planning approaches and for different motion characteristics

Antje-Christin Knopf<sup>1</sup>, Theodore S Hong<sup>2</sup> and Antony Lomax<sup>1,3</sup>



- Moving pattern extracted from 4D CT and/or respiratory curve and delivery time structure
- Potential benefit of rescanning most pronounced for plans with small number of fields and fractions with directions close to orthogonal to the motion direction
- 'Poor mans rescanning': Increased # of fields and # of fractions



<sup>&</sup>lt;sup>1</sup> Center for Proton Therapy, Paul Scherrer Institut, Villigen, Switzerland

<sup>&</sup>lt;sup>2</sup> Department of Radiation Oncology, Massachusetts General Hospital, Harvard Medical School, Boston, MA, USA

<sup>&</sup>lt;sup>3</sup> ETH, Zürich, Switzerland

#### **MOTION MITIGATION STRATEGIES**

- Motion reduction
  - Abdominal compression
- Breath hold
  - Self-held, active via spirometers and valve breath hold in combination with different respiratory monitoring methods
- Rescanning
- Gating
  - Beam-on only during certain breathing phases "gating window"
- Tracking
  - Beam follows the target trajectory

#### Voluntary Breath Hold Method



Free Breathing Method

http://www.sdx-gating.com/

#### **4D TREATMENT PLANNING STRATEGIES**

#### **Plan optimization**

- ITV generation, ,OAR-ITVs'
- Beam incidence parallel to tumor motion
- PBS: Robust optimization
  - based on 4D-CT
    - ➤ Challenge for protons: HU to WET calibration
  - based <u>on planning CT</u> but with ITV structures and respective overwrites derived from 4D-CT
- **PBS: Tighter spot spacing** ~1/5 FWHM (Bert et al.)
- Consider use of **scattered protons**

#### **Dose calculation**

- Challenge: Lung ripple effect
- MC dose calculation

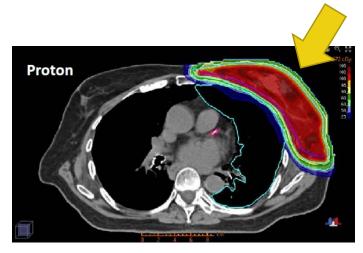
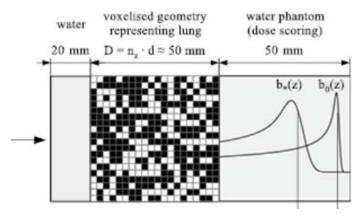
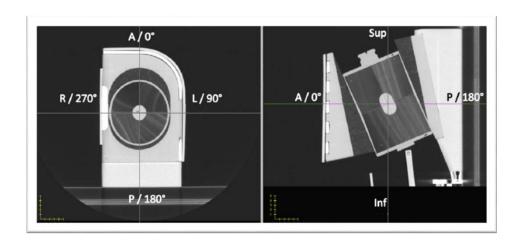


Image courtesy: N. Schreuder



Baumann et al. PMB 62, 2017

#### **4D TREATMENT PLANNING STRATEGIES**



**Physics Contribution** 

### Pencil Beam Algorithms Are Unsuitable for Proton Dose Calculations in Lung



Radiation Oncology

Paige A. Taylor, MS, Stephen F. Kry, PhD, and David S. Followill, PhD

The Imaging and Radiation Oncology Core Houston Quality Assurance Center, The University of Texas MD Anderson Cancer Center, Houston, Texas

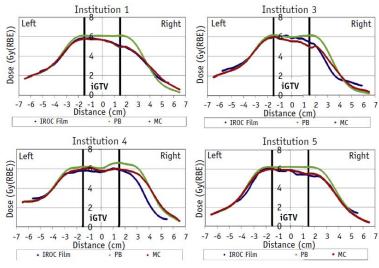
Received Jan 23, 2017, and in revised form May 16, 2017. Accepted for publication Jun 5, 2017.

### PB

• 1 out of 5 centers passed acceptance criteria

### MC

• 4 out of 5 centers passed acceptance criteria



**Fig. 4.** Dose (relative biological effectiveness [RBE]) profiles through the center of the planning target volume in the left-right direction of the film measurements (blue), analytic pencil beam (PB) algorithm (green), and Monte Carlo (MC) recalculation (red). See **Figure 2** for institution 2 profile. *Abbreviations*: iGTV = internal gross target volume; IROC = Imaging and Radiation Oncology Core.

# **MANY THANKS!**



# **TEAM WORK**