



**PRECISION MC FOR HL-LHC AND BEYOND:  
NON-PERTURBATIVE ISSUES**

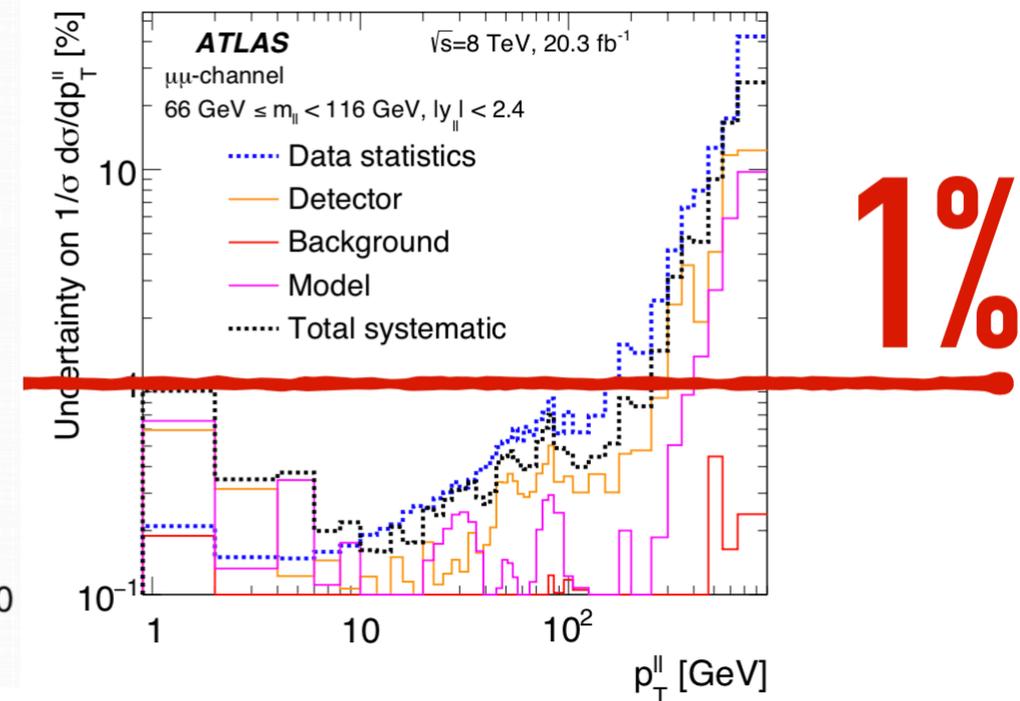
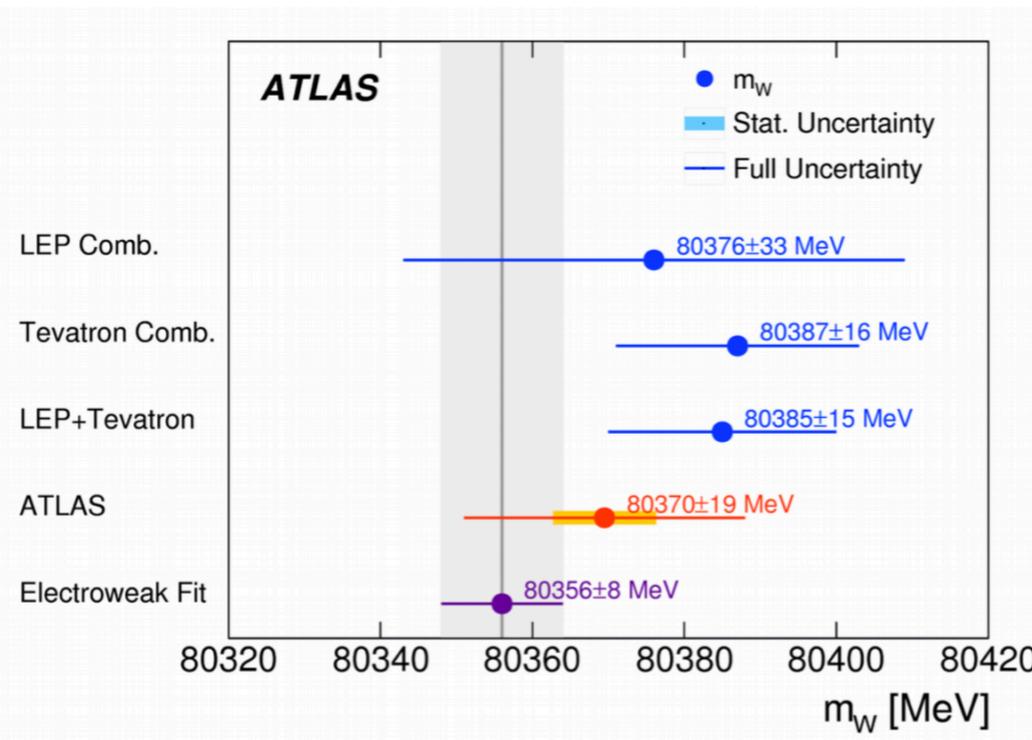
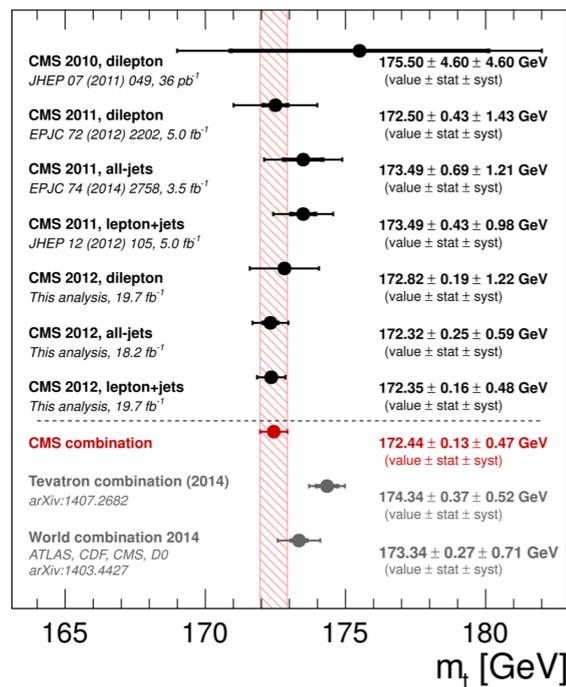
**MCNET SCHOOL**

**AUG. 02<sup>ND</sup>, 2019**

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# TOWARDS PRECISION

- The LHC measurements have now reached outstanding precision
  - ▶ Top mass now measured to below 500 MeV
  - ▶ First LHC W-mass measured by ATLAS with 19 MeV uncertainty
  - ▶ Z  $p_T$  measured to sub % precision

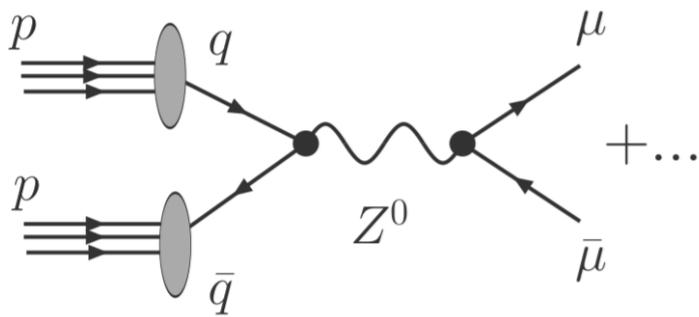


- At the HL-LHC a huge range of phase-space will reach sub-percent precision
- Is our theory up to it?
  - ▶ Need to demonstrate <1% control across a range of processes
  - ▶ For the perturbative part we know at least the route to achieve it
  - ▶ What about non perturbative physics ?

# OUTLINE

- Parton Distribution Functions
- How do we determine non-perturbative parameters in MC?
- Few important case studies
  - ▶ The W-boson mass and intrinsic-kT
  - ▶ Non-perturbative corrections to jets
  - ▶ Color reconnection and top mass
  - ▶ Bottom-quark fragmentation and top mass

## FACTORIZATION AND PDFs



According to QCD factorization theorems, typical cross sections (e.g., for  $p(k_1)p(k_2) \rightarrow [Z(q) \rightarrow \ell(k_3)\bar{\ell}(k_4)] X$ ) take the form

$$\sigma_{pp \rightarrow \ell\bar{\ell}X} = \sum_{a,b=q,\bar{q},g} \int_0^1 d\xi_1 \int_0^1 d\xi_2 \hat{\sigma}_{ab \rightarrow Z \rightarrow \ell\bar{\ell}} \left( \frac{x_1}{\xi_1}, \frac{x_2}{\xi_2}; \frac{Q}{\mu} \right) f_{a/p}(\xi_1, \mu) f_{b/p}(\xi_2, \mu) + \mathcal{O}(\Lambda_{QCD}^2/Q^2)$$

$\hat{\sigma}_{ab \rightarrow Z \rightarrow \ell\bar{\ell}}$  is the **hard-scattering cross section**

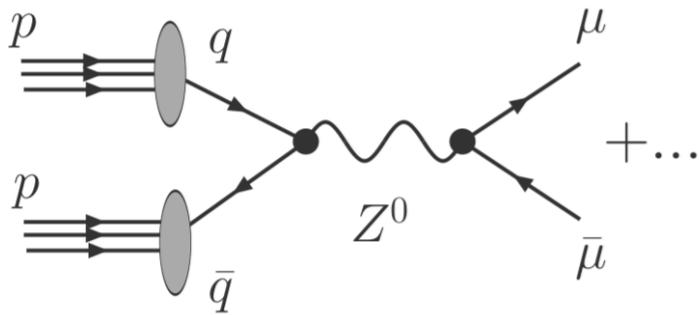
$f_{a/p}(\xi, \mu)$  are the **PDFs**

$Q^2 = (k_3 + k_4)^2$ ,  $x_{1,2} = (Q/\sqrt{s}) e^{\pm y_V}$  — measurable quantities

$\xi_1, \xi_2$  are partonic momentum fractions (integrated over)

$\mu$  is a factorization scale (=renormalization scale from now on)

## FACTORIZATION AND PDFs



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$\mu$  is naturally set to be of order  $Q$

Factorization holds up to terms of order  $\Lambda_{QCD}^2/Q^2$

Subtract large collinear logarithms  $\alpha_s^n \ln^k(Q^2/m_q^2)$  from  $\hat{\sigma}$

Resum them in  $f_{a/p}(\xi, \mu)$  to all orders of  $\alpha_s$

## PDFS EVOLUTION

The exact form of  $f_{a/p}$  is not known; but its  $\mu$  dependence is described by **Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP)** equations

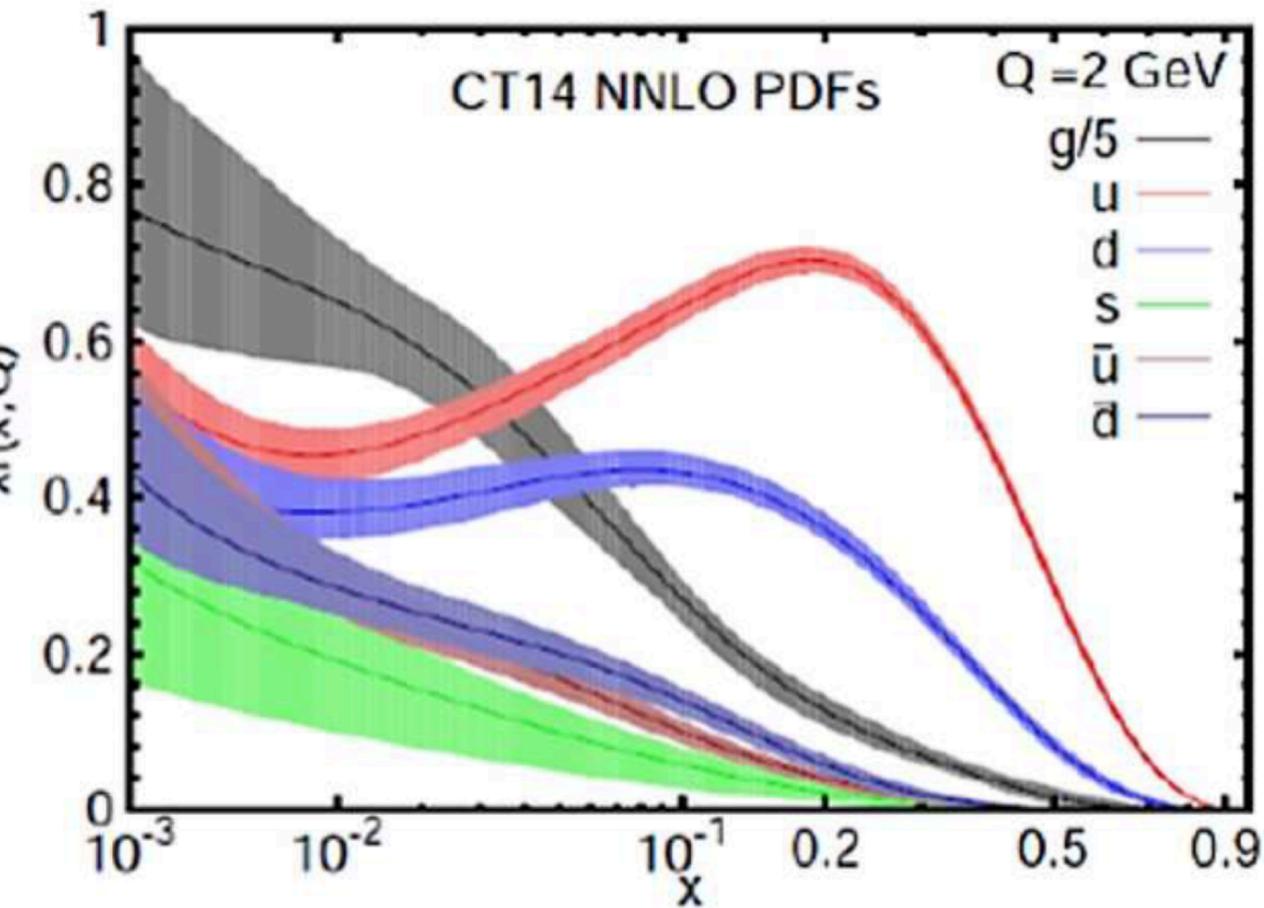
$$\mu \frac{df_{i/p}(x, \mu)}{d\mu} = \sum_{j=g, u, \bar{u}, d, \bar{d}, \dots} \int_x^1 \frac{dy}{y} P_{i/j} \left( \frac{x}{y}, \alpha_s(\mu) \right) f_{j/p}(y, \mu)$$

$P_{i/j}$  are probabilities for  $j \rightarrow ik$  collinear splittings; are known to order  $\alpha_s^3$  (NNLO):

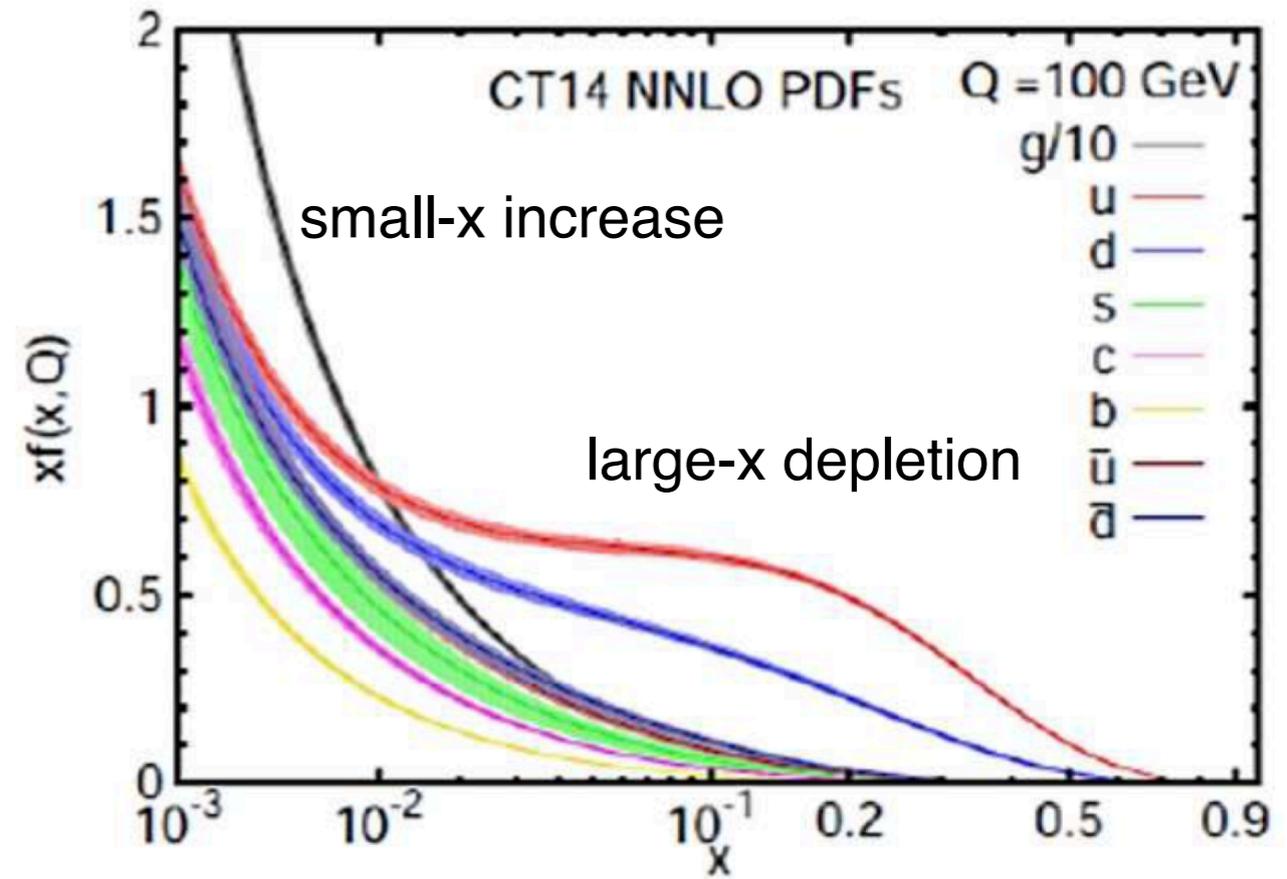
$$P_{i/j}(x, \alpha_s) = \alpha_s P_{i/j}^{(1)}(x) + \alpha_s^2 P_{i/j}^{(2)}(x)$$

PDFs are **universal** – depend only on the type of the hadron ( $p$ ) and parton ( $q, \bar{q}, g$ )

# EXAMPLE OF EVOLUTION



$Q = 2 \text{ GeV}$

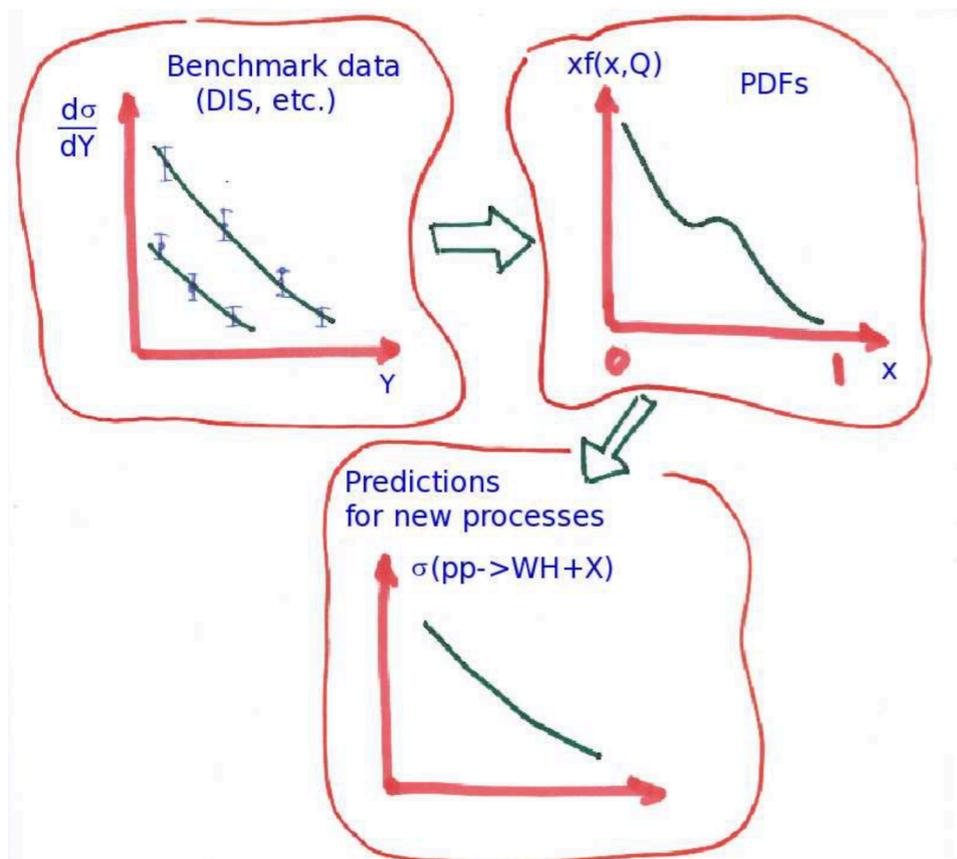


$Q = 100 \text{ GeV}$

Evolution to higher scales produces more cartons at small momentum fraction (they loose energy by radiating)

Valence quarks slightly peaking at around  $\sim 1/3$

# HOW DO WE GET PDFs?



- The DGLAP equations tell us how to evolve PDFs from a starting scale  $Q_0$  to any higher scale
- But we can't calculate them ab-initio (lattice?)
- We need to determine them by fitting to experimental data

- What do we need to obtain PDFs:
  - ▶ A value for  $Q_0$ , lower than any of the data used in the fit,  $\sim 1$  GeV
  - ▶ A functional parametrisation
$$f_i(x, Q_0^2) = A_i x^{a_i} [1 + b_i \sqrt{x} + c_i x] (1 - x)^{d_i}$$
  - ▶ A scheme for the PDFs
  - ▶ Hard-scatter calculations at the same order of the fit considered
  - ▶ PDF evolution at the same order of the theory
  - ▶ Lots of data
  - ▶ A proper treatment of their experimental errors
  - ▶ A minimisation routine

## PDFS AT THE STARTING SCALE

In practice, independent parametrizations  $f_{a/p}(x, Q_0)$  are introduced for

$g, u, d, s, \bar{u}, \bar{d}, \bar{s}$  (always)

contribute  $> 97\%$  of the proton's energy  $E_p$  at  $Q_0 \sim 1$  GeV

- ▶ even in this case, the data are usually insufficient for constraining all PDF parameters; some of them can be fixed by hand
- ▶ e.g.,  $\bar{u} = \bar{d} = \bar{s}$  in outdated fits

$c$  and or  $b$  (occasionally; in a model allowing nonperturbative “intrinsic heavy-quark production”)

photons  $\gamma$  (in QCD+QED PDFs by CT, xFitter, LUX, MRST, NNPDF... groups)

# WHICH DATA ARE USED?

S. Dulat et al., arXiv:1506.07443

ID#	Experimental data set	$N_{pt,n}$	$\chi_n^2$
101	BCDMS $F_2^P$ [24]	337	384
102	BCDMS $F_2^d$ [25]	250	294
104	NMC $F_2^d/F_2^P$ [26]	123	133
106	NMC $\sigma_{red}^P$ [26]	201	372
108	CDHSW $F_2^P$ [27]	85	72
109	CDHSW $F_3^P$ [27]	96	80
110	CCFR $F_2^P$ [28]	69	70
111	CCFR $x F_3^P$ [29]	86	31
124	NuTeV $\nu\mu\mu$ SIDIS [30]	38	24
125	NuTeV $\bar{\nu}\mu\mu$ SIDIS [30]	33	39
126	CCFR $\nu\mu\mu$ SIDIS [31]	40	29
127	CCFR $\bar{\nu}\mu\mu$ SIDIS [31]	38	20
145	H1 $\sigma_r^b$ [32]	10	6.8
147	Combined HERA charm production [33]	47	59
159	HERA1 Combined NC and CC DIS [34]	579	591
169	H1 $F_L$ [35]	9	17

ID#	Experimental data set	$N_{pt,n}$	$\chi_n^2$
201	E605 Drell-Yan process [37]	119	116
203	E866 Drell-Yan process, $\sigma_{pd}/(2\sigma_{pp})$ [38]	15	13
204	E866 Drell-Yan process, $Q^3 d^2\sigma_{pp}/(dQdx_F)$ [39]	184	252
225	CDF Run-1 electron $A_{ch}$ , $p_{T\ell} > 25$ GeV [40]	11	8.9
227	CDF Run-2 electron $A_{ch}$ , $p_{T\ell} > 25$ GeV [41]	11	14
234	DØ Run-2 muon $A_{ch}$ , $p_{T\ell} > 20$ GeV [42]	9	8.3
240	LHCb 7 TeV $35 \text{ pb}^{-1}$ $W/Z$ $d\sigma/dy_\ell$ [43]	14	9.9
241	LHCb 7 TeV $35 \text{ pb}^{-1}$ $A_{ch}$ , $p_{T\ell} > 20$ GeV [43]	5	5.3
260	DØ Run-2 $Z$ rapidity [44]	28	17
261	CDF Run-2 $Z$ rapidity [45]	29	48
266	CMS 7 TeV $4.7 \text{ fb}^{-1}$ , muon $A_{ch}$ , $p_{T\ell} > 35$ GeV [46]	11	12.1
267	CMS 7 TeV $840 \text{ pb}^{-1}$ , electron $A_{ch}$ , $p_{T\ell} > 35$ GeV [47]	11	10.1
268	ATLAS 7 TeV $35 \text{ pb}^{-1}$ $W/Z$ cross sec., $A_{ch}$ [48]	41	51
281	DØ Run-2 $9.7 \text{ fb}^{-1}$ electron $A_{ch}$ , $p_{T\ell} > 25$ GeV [14]	13	35
504	CDF Run-2 inclusive jet production [49]	72	105
514	DØ Run-2 inclusive jet production [50]	110	120
535	ATLAS 7 TeV $35 \text{ pb}^{-1}$ incl. jet production [51]	90	50
538	CMS 7 TeV $5 \text{ fb}^{-1}$ incl. jet production [52]	133	177

Modern fits involve up to 40 experiments,  
>3000 data points and 100+ free parameters

# UNCERTAINTIES IN PDF

- Typically estimated from the Hessian method

$$\chi^2(a) = \chi_0^2 + \frac{1}{2} \frac{\partial^2 \chi^2}{\partial a_i \partial a_j} (a - a_0)_i (a - a_0)_j + \dots \rightarrow \chi_0^2 + \sum_i z_i^2$$

Hessian matrix
After diagonalization

$$y_i = a_i - a_i^0 \quad \chi^2 = \chi_0^2 + \sum_{i,j} H_{ij} y_i y_j, \quad \sum_j H_{ij} v_{jk} = \epsilon_k v_{ik} \quad \text{Eigenvalue equation}$$

$$H_{ij} = \frac{1}{2} \left( \frac{\partial^2 \chi^2}{\partial y_i \partial y_j} \right)_0, \quad \sum_i v_{ij} v_{ik} = \delta_{jk}. \quad \text{Orthonormality}$$

$$z_i = \sqrt{\epsilon_i} \sum_j y_j v_{ji} \quad \text{Change of basis in terms of the eigenvalues}$$

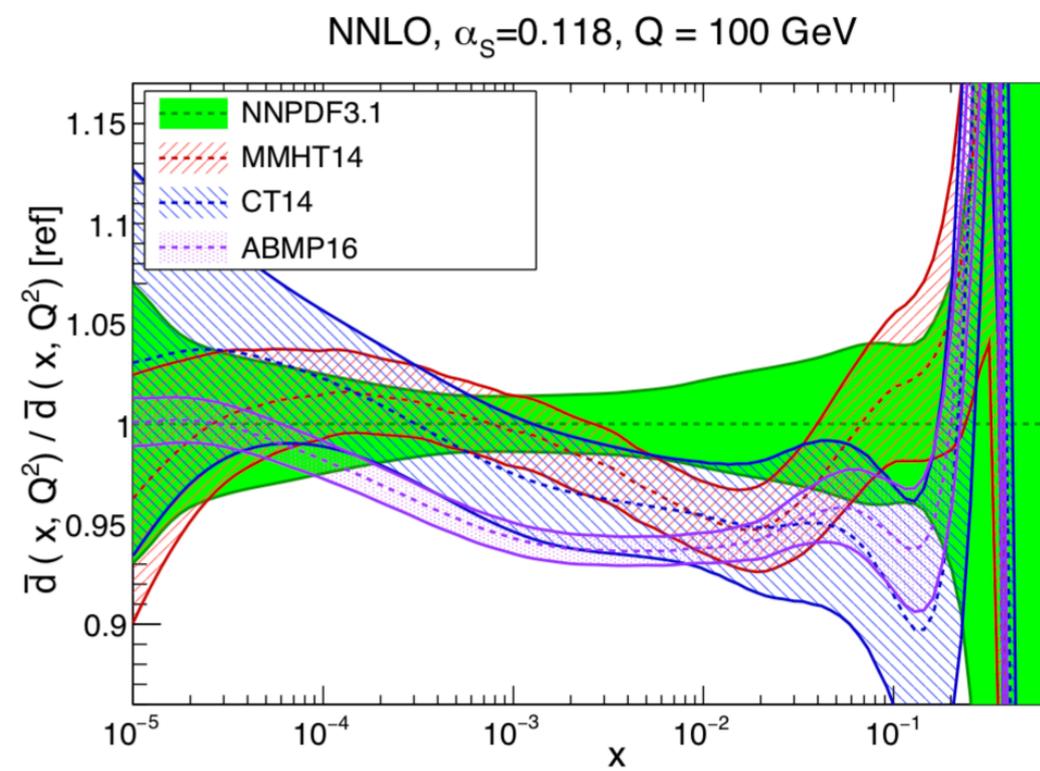
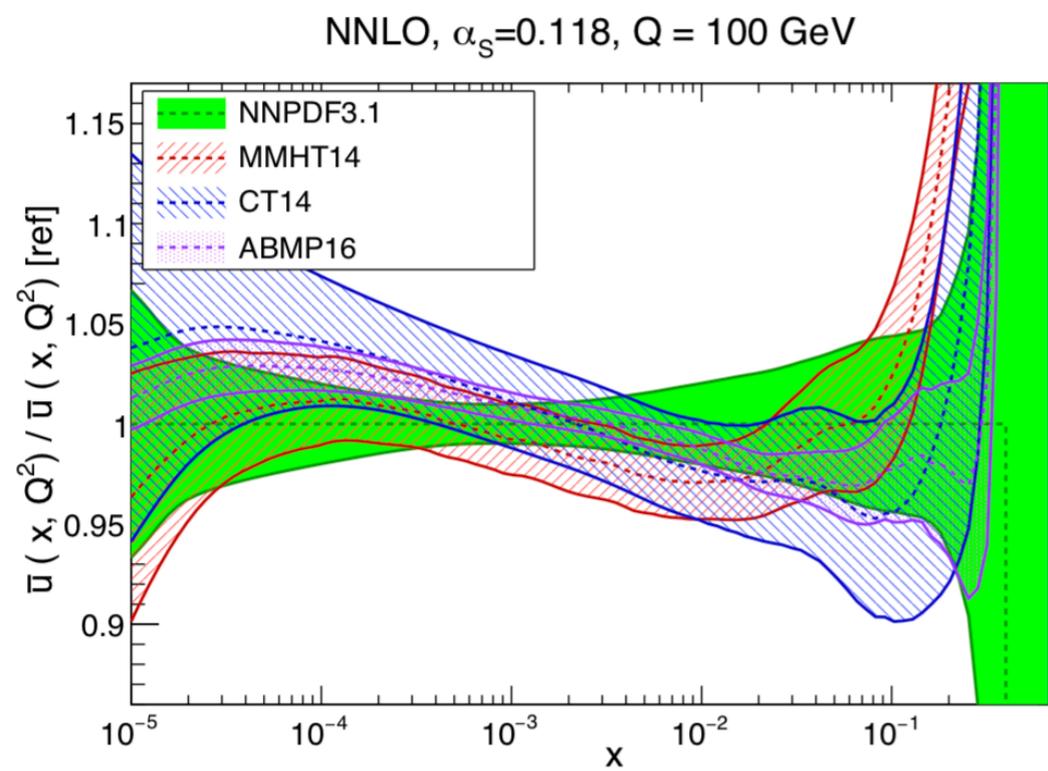
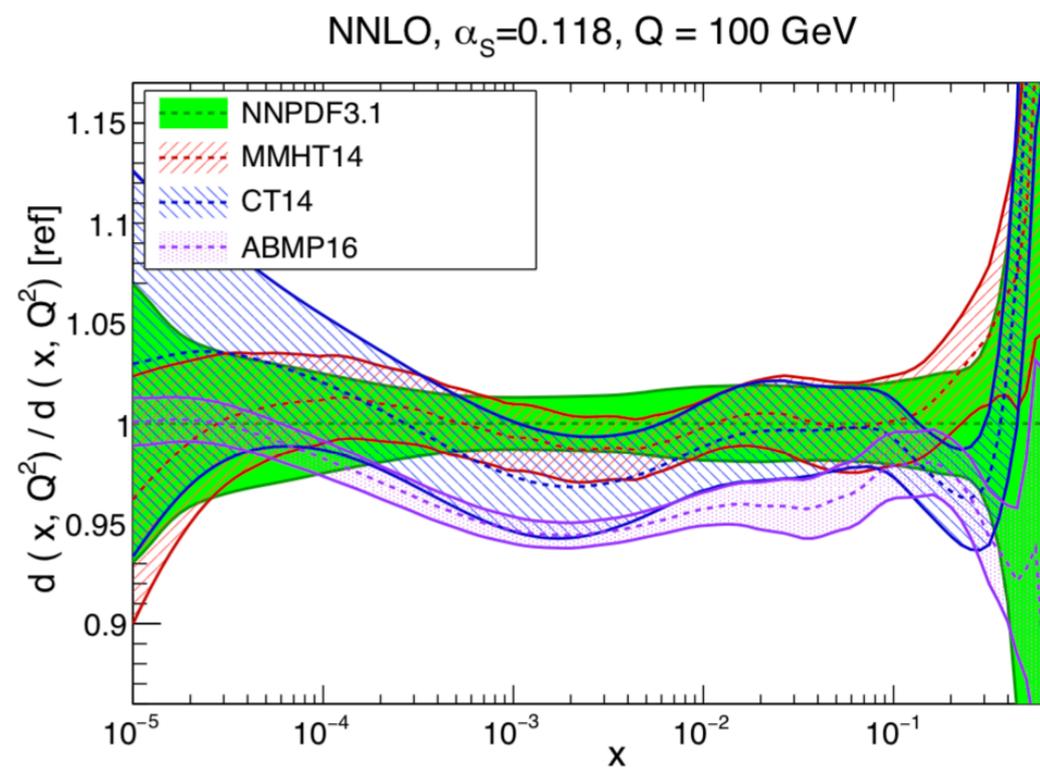
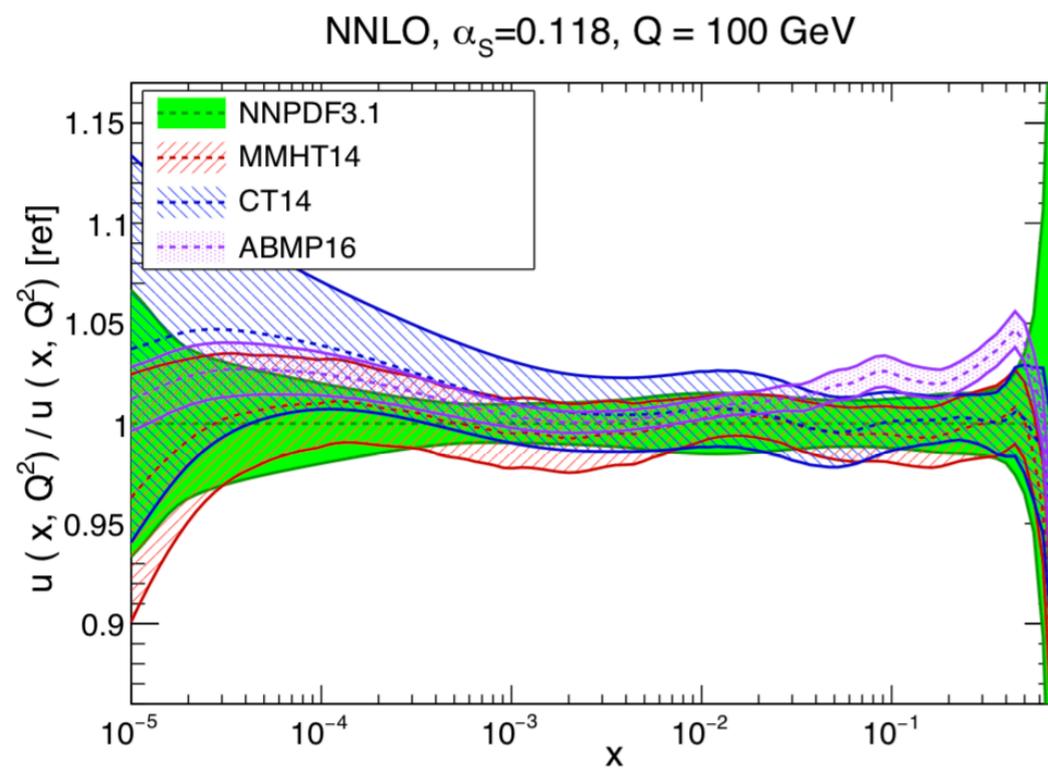
$$\Delta \chi^2 = \chi^2 - \chi_0^2 = \sum_i z_i^2 \quad \text{the surfaces of constant } \chi^2 \text{ are spheres in } z_i \text{ space, with } \Delta \chi^2 \text{ the squared distance from the minimum.}$$

- Alternatively, Monte Carlo replicas can be used, as in NNPDF

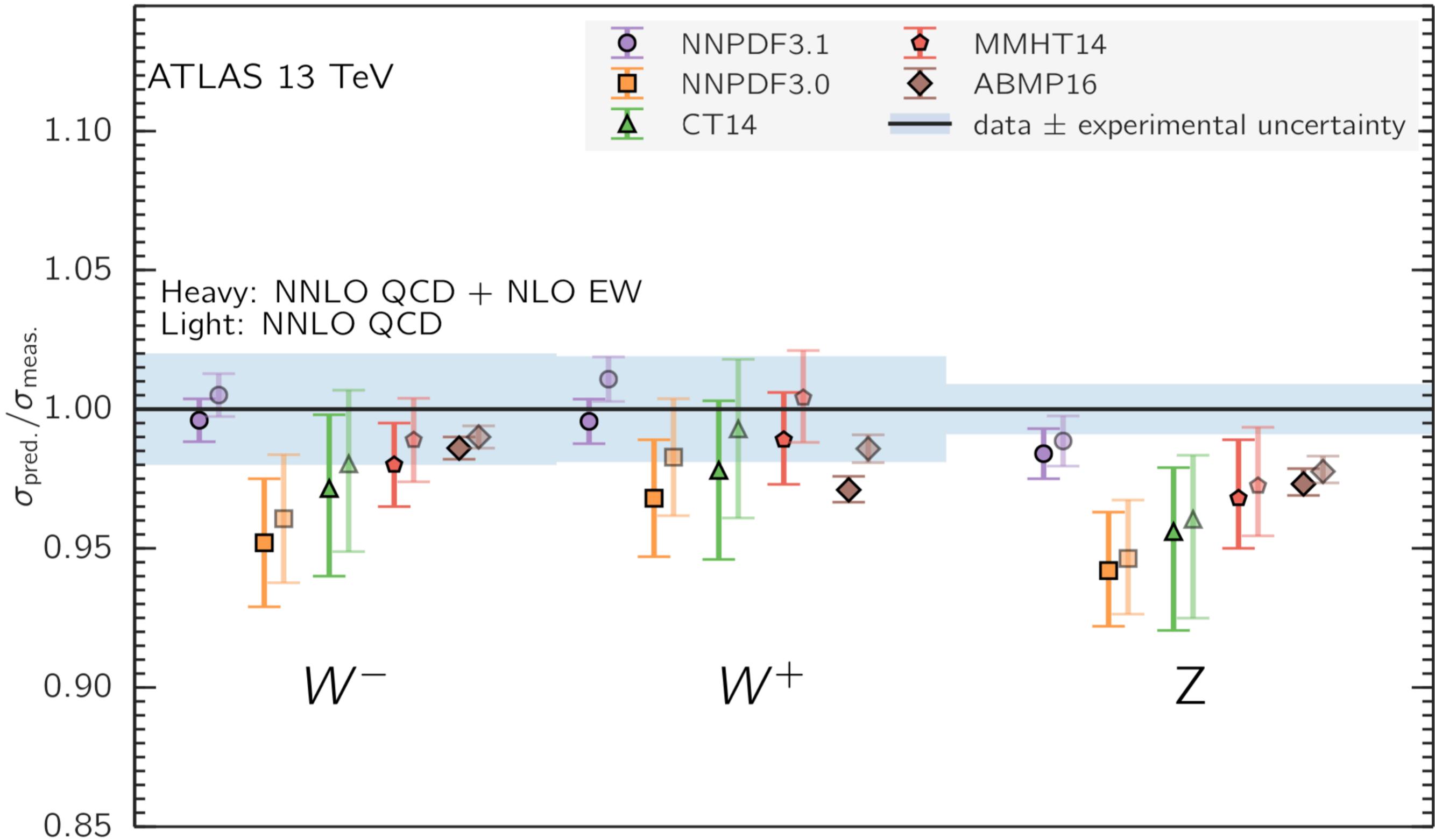
# PDF FITS

- Some PDFs are specialised, make use of restricted datasets
  - ▶ **HERAPDF** use only HERA DIS data
  - ▶ **ABMP**: use Fixed target DIS, HERA, Drell-Yan and top data
  - ▶ **ATLASep**: HERA DIS data plus ATLAS DY measurements
- Other are general purpose global fit, including most of the available data
  - ▶ **CTEQ-TEA/MMHT/NNPDF**: HERA DIS, Fixed target DIS and Drell-Yan, Vector Boson, top and Inclusive jet production at colliders
  - ▶ **PDF4LHC** combinations, provide a "weighted average" of the three global sets and their uncertainties

# AND A COMPARISON



# PDFS AND PRECISE DATA



**NNPDF3.1, 1706.00428 (data from 1603.09222)**

# WHERE CAN YOU GET PDFs FROM

## LHAPDF 6.2.3

[Main Page](#) [Related Pages](#) [Namespaces ▾](#) [Classes ▾](#) [Files ▾](#) [Examples](#)

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### LHAPDF Documentation

#### Introduction

**LHAPDF** is a general purpose C++ interpolator, used for evaluating PDFs from discretised data files. Previous versions of **LHAPDF** were written in Fortran 77/90 and are documented at <http://lhpdf.hepforge.org/lhapdf5/>.

LHAPDF6 vastly reduces the memory overhead of the Fortran **LHAPDF** (from gigabytes to megabytes!), entirely removes restrictions on numbers of concurrent PDFs, allows access to single PDF members without needing to load whole sets, and separates a new standardised PDF data format from the code library so that new PDF sets may be created and released easier and faster. The C++ LHAPDF6 also permits arbitrary parton contents via the standard PDG ID code scheme, is computationally more efficient (particularly if only one or two flavours are required at each phase space point, as in PDF reweighting), and uses a flexible metadata system which fixes many fundamental metadata and concurrency bugs in LHAPDF5.

Compatibility routines are provided as standard for existing C++ and Fortran codes using the LHAPDF5 and PDFLIB legacy interfaces, so you can keep using your existing codes. But the new interface is much more powerful and pleasant to work with, so we think you'll want to switch once you've used it!

LHAPDF6 is documented in more detail in <http://arxiv.org/abs/1412.7420>

#### Table of Contents

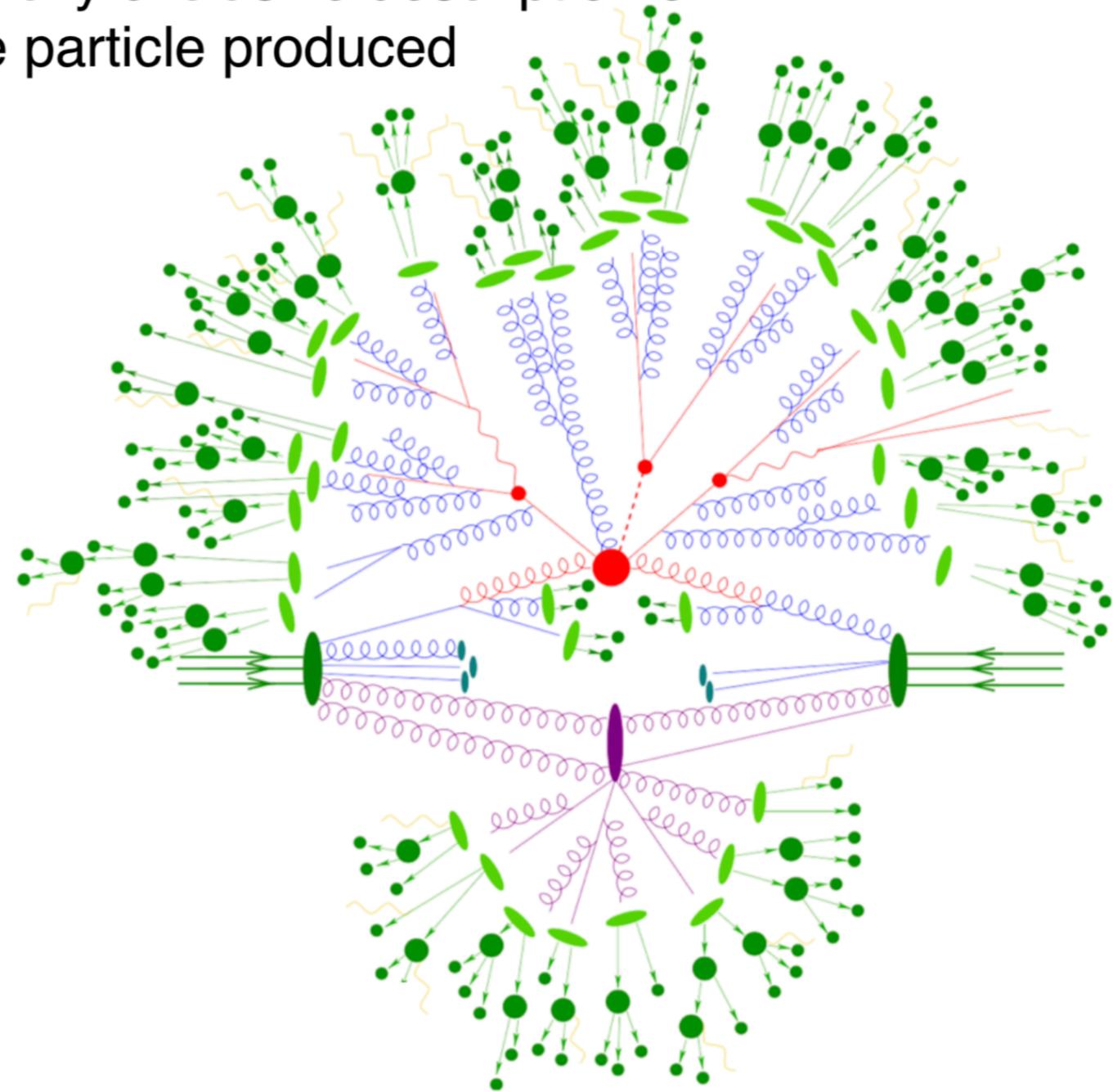
- ↓ Introduction
- ↓ Installation
- ↓ Official PDF sets
- ↓ Usage
  - ↓ Building against LHAPDF
  - ↓ Runtime symbol resolution
  - ↓ Trick to remove unwanted PDF members
  - ↓ Trick to use zipped data files
- ↓ Authors
- ↓ Support and bug reporting
- ↓ For developers

<https://lhpdf.hepforge.org>

# MC EVENT GENERATORS

- Monte Carlo event generators provide a fully exclusive description of a collision event in terms of the final state particle produced

- ▶ **Matrix Element (ME)** generators simulate the central part of the event
- ▶ **Parton Showers (PS)** produce additional soft and collinear QCD radiation
- ▶ **Multiple Interaction (MPI)** models produce secondary hard interactions
- ▶ **Fragmentation** models the transition from QCD partons to the visible hadrons
- ▶ Hadrons can further **decay** into other detector stable particles
- ▶ **Photon Emission** generators simulate additional QED radiation

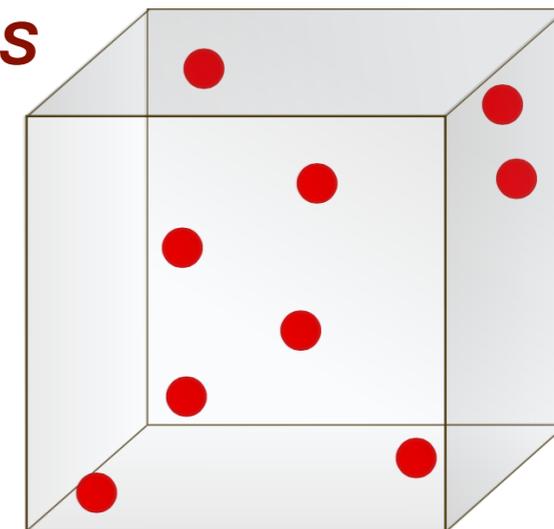


# NON-PERTURBATIVE EFFECTS

- With to the tremendous theory progress in the last decade we have available parton-level predictions up to N<sup>2</sup>LO, complex matching/merging to higher multiplicity ME and showers
- Unfortunately we cannot compare them to our data, as they are expressed in terms of unphysical “partons”
- In MC generator the description of non-perturbative physics below the shower cut-off is done by phenomenological models
- These models carry parameters that cannot be determined from first principles and need to be constrained, “*tuned*”, to data
- The procedure, and the complications that arise, are similar to the case of PDF determinations
  - ▶ For a long-time tuning done “by-eye”
  - ▶ More recently automated approaches, e.g. *professor*

# TUNING IN PROFESSOR

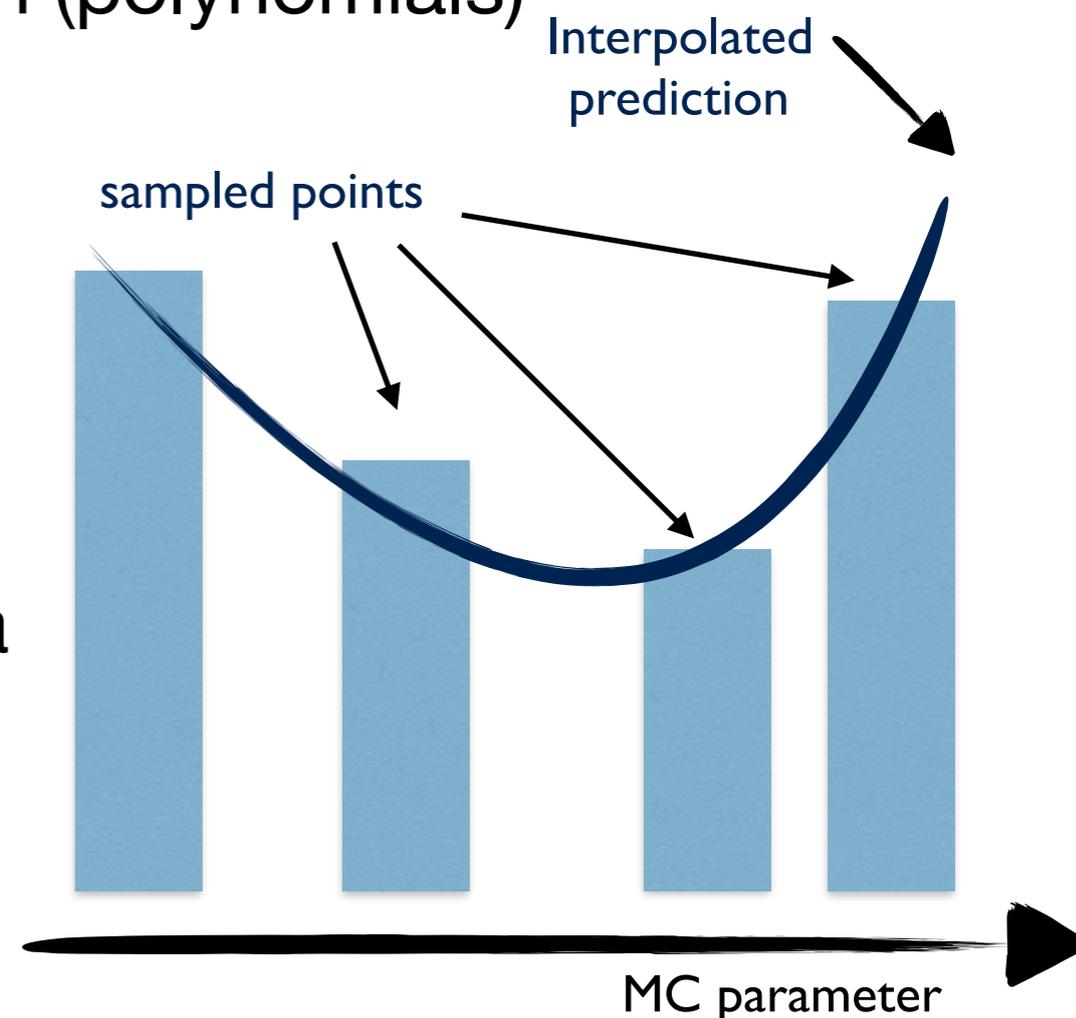
- Choose relevant generator *parameters and ranges*
- Random sample the *D-dimensional hypercube*
- *Generate samples* for n anchor points (using RIVET)
- *Interpolate* the generator response to parameter changes with an analytic approximation (polynomials)
- Construct the goodness of fit measure



$$\chi^2(m) = \sum_{i,k} (m_i - \mu_i) C_{ik}^{-1} (m_k - \mu_k),$$

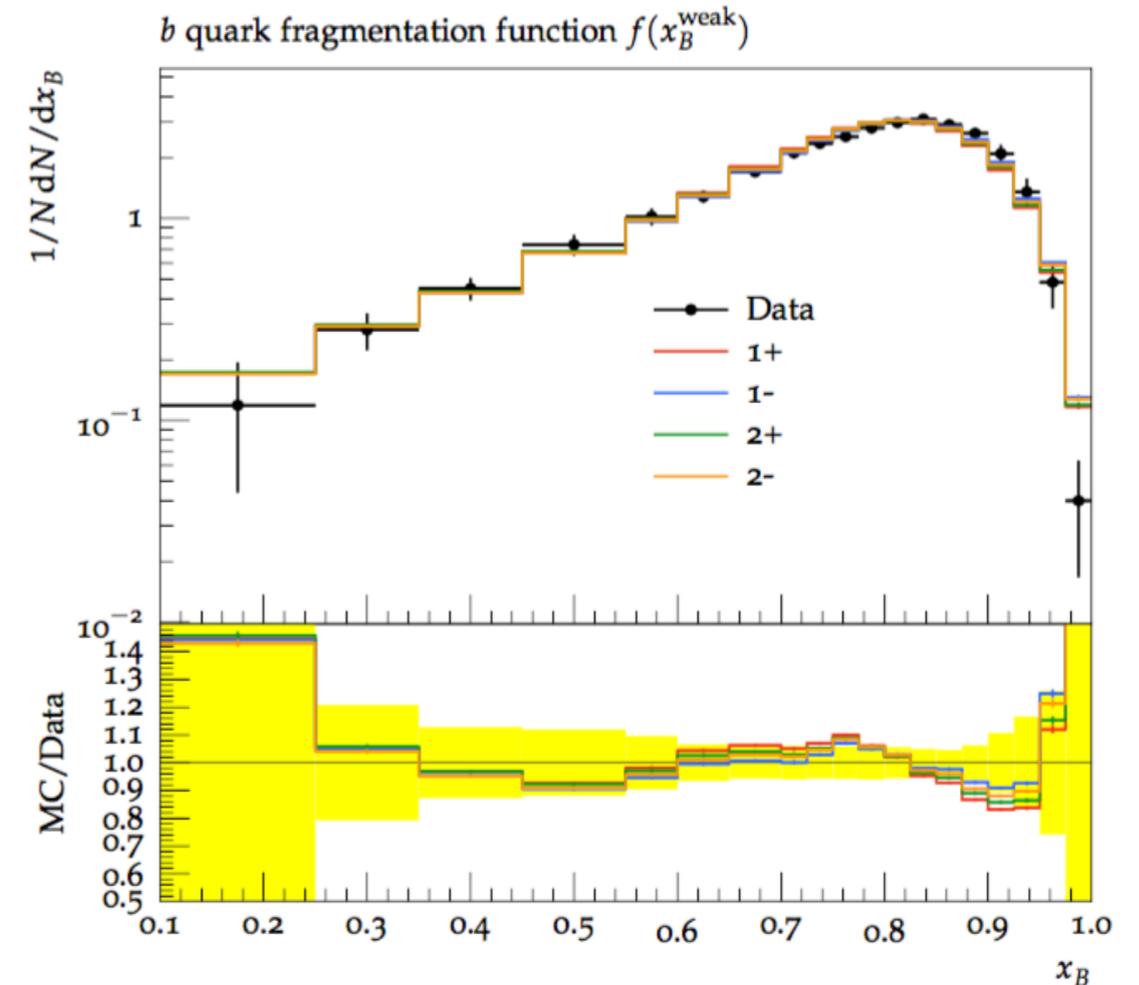
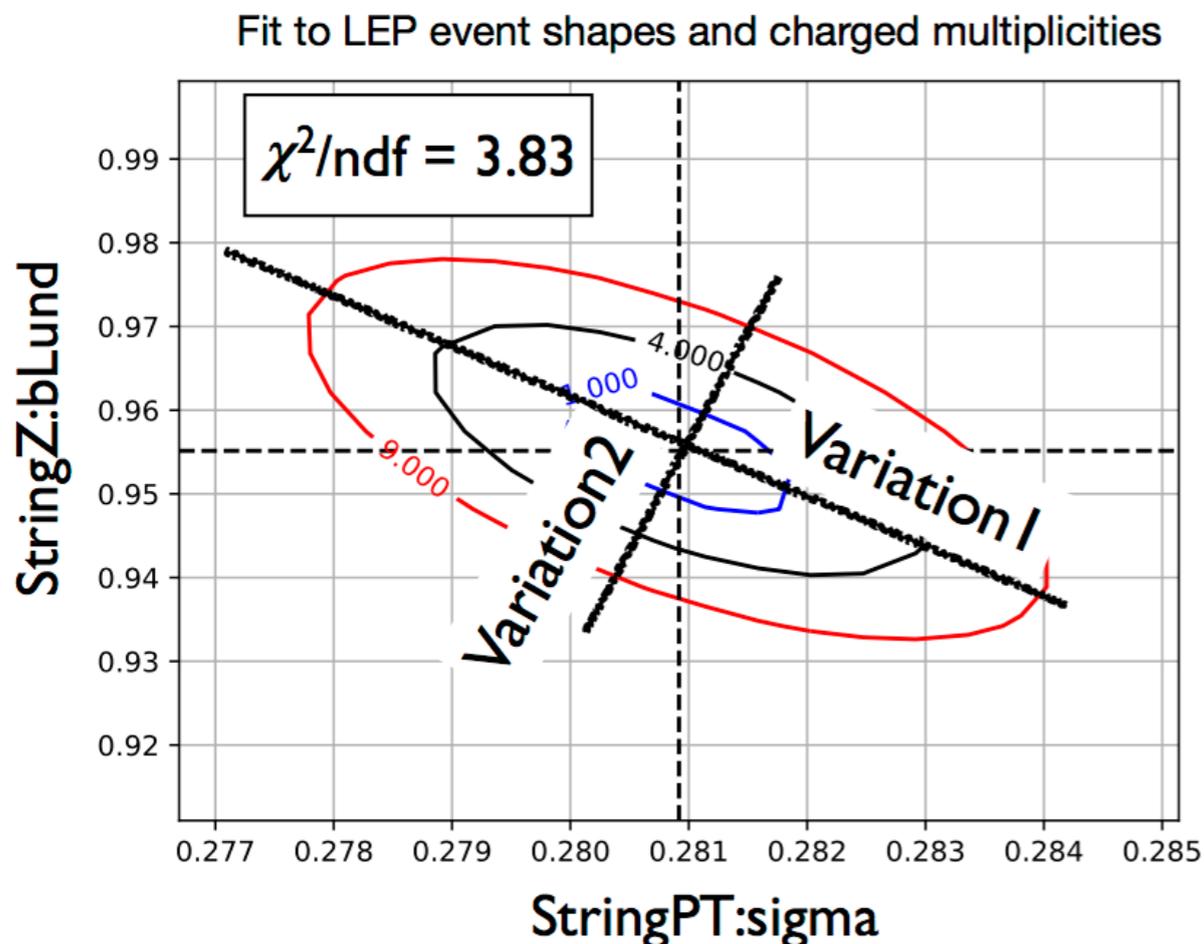
prediction                      data                      cov. matrix

- *Minimize* the interpolation against data



# PARAMETER UNCERTAINTIES

- In addition to the best fit point, we need to derive *sensible uncertainties* on the fitted parameters
- We can obtain with the Hessian method from  $\Delta\chi^2$  contours in the directions of maximum variations around the fit minimum
  - ▶ But most of the time the MC will **NOT** be able to describe all of the data properly; and an ad-hoc tolerance will be needed



# WHAT DO WE TUNE?

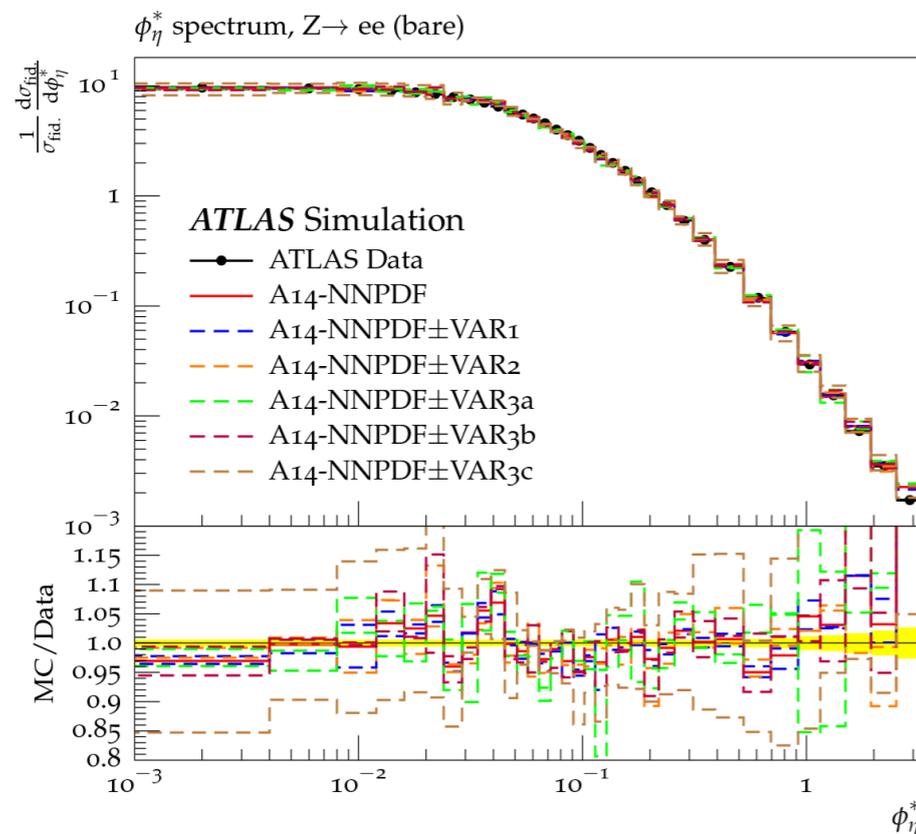
- **Intrinsic  $K_T$ :** important to describe the first  $\sim 5$  GeV of boson  $p_T$
- **FSR shower:**  $\alpha_s$ , IR cut-off, starting scale fudge factors; different shower evolutions ( $p_T, Q^2, \dots$ )  $\rightarrow$  different tunes. Tuned to event shape measurements in  $e^+e^-$  assuming universality
- **ISR shower:** similar to FSR, but tuned to hadron collider data (dijet angular correlations and Z  $p_T$ )
- **Hadronisation:** String or cluster parameters, separate for heavy-quark fragmentation. Tuned to identified particle spectra and multiplicities in  $e^+e^-$
- **Underlying event:** matter distribution in the proton, cut-off for multiple parton interactions (MPI), color reconnection. Tuned to hadron collider data, sensitive to the PDF choice.
- **Matching/merging parameters:** matched and merged calculations carry parameters that can significantly affect the predictions ( $h_{damp}$ ,  $\text{frac\_upp/low}$ ,  $\dots$ ). Sometimes these are optimised using data.

# AN ATLAS EXAMPLE

- A14, an ATLAS professor tune of Pythia8 PS and MPI
  - ▶ A total of 10 parameters are considered
  - ▶ Using ATLAS 7 TeV measurements of jets, top and DY production
  - ▶ 10 different measurements,  $\sim 80000$  different bins

- **Uncertainties are obtained using Eigentunes**

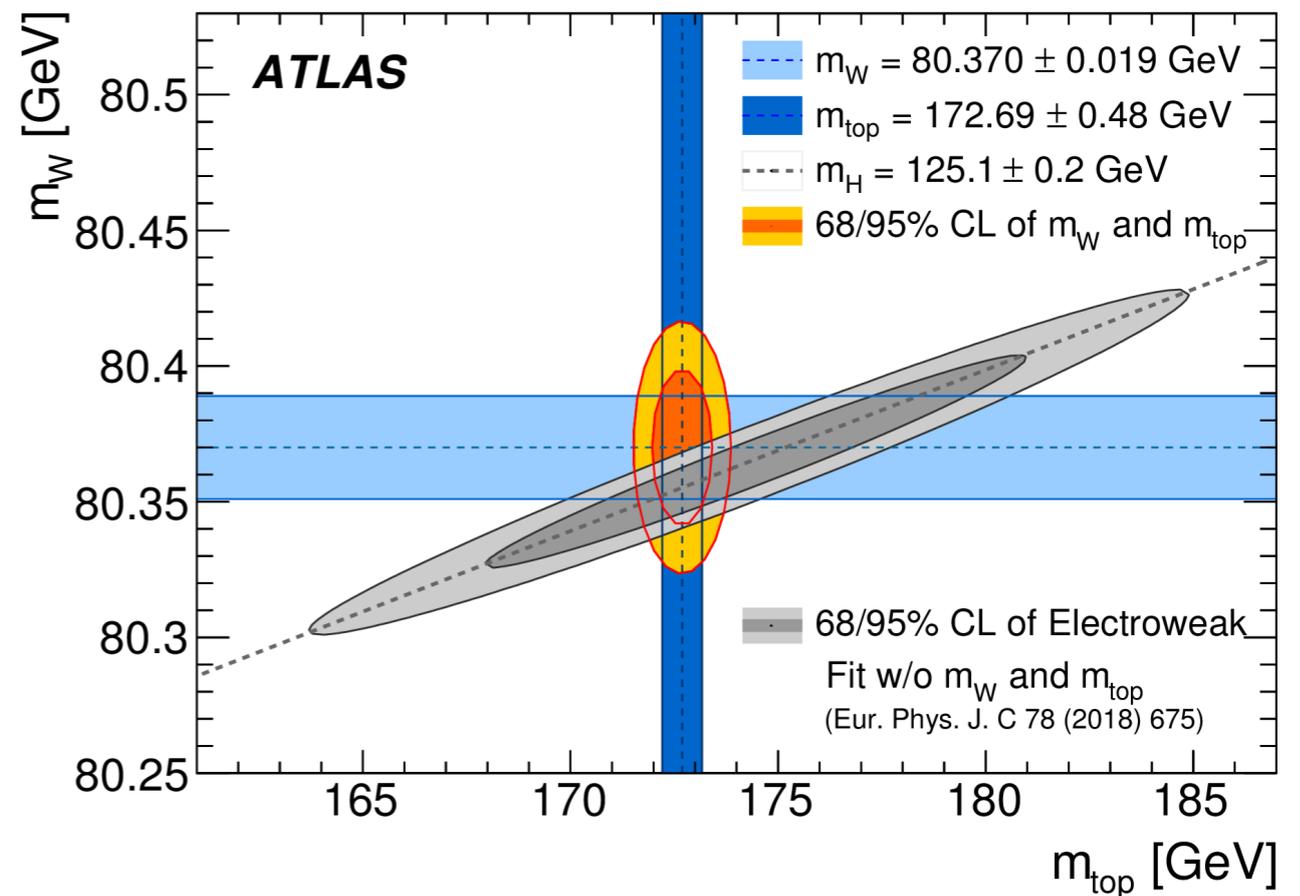
- ▶ Ad-hoc determination of their size, reduction in their number



Param	+ variation	- variation
<b>VAR1: MPI+CR (UE activity and incl jet shapes)</b>		
BeamRemnants:reconnectRange	1.73	1.69
MultipartonInteractions:alphaSvalue	0.131	0.121
<b>VAR2: ISR/FSR (jet shapes and substructure)</b>		
SpaceShower:pT0Ref	1.60	1.50
SpaceShower:pTdampFudge	1.04	1.08
TimeShower:alphaSvalue	0.139	0.111
<b>VAR3a: ISR/FSR (<math>t\bar{t}</math> gap)</b>		
MultipartonInteractions:alphaSvalue	0.125	0.127
SpaceShower:pT0Ref	1.67	1.51
SpaceShower:pTdampFudge	1.36	0.93
SpaceShower:pTmaxFudge	0.98	0.88
TimeShower:alphaSvalue	0.136	0.124
<b>VAR3b: ISR/FSR (jet 3/2 ratio)</b>		
SpaceShower:alphaSvalue	0.129	0.126
SpaceShower:pTdampFudge	1.04	1.07
SpaceShower:pTmaxFudge	1.00	0.83
TimeShower:alphaSvalue	0.114	0.138
<b>VAR3c: ISR (<math>t\bar{t}</math> gap, dijet decorrelation and <math>Z</math>-boson <math>p_T</math>)</b>		
SpaceShower:alphaSvalue	0.140	0.115

# NP EFFECTS AND THE W-MASS

- W-mass is a crucial measurement to improve the sensitivity of the global EW fit
- ATLAS recently released the first LHC measurement of the W-boson mass at 7 TeV
- Equalling in precision the best measurement from CDF

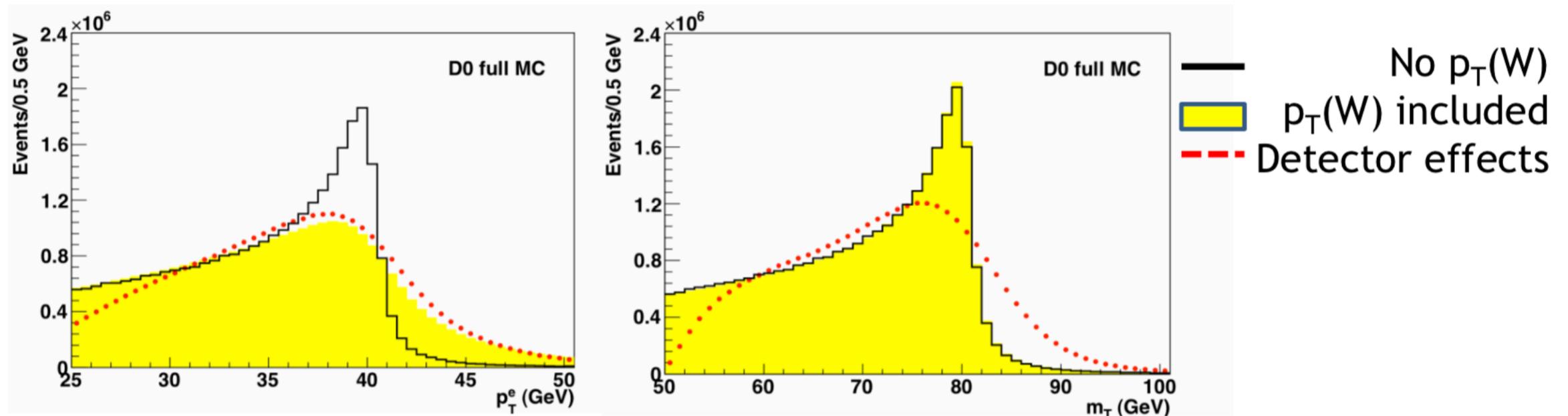


$$M_W = 80369.5 \pm 6.8 \text{ (stat)} \pm 10.6 \text{ (exp.syst.)} \pm 13.6 \text{ (model.syst.) MeV}$$

The dominant uncertainty comes from physics modelling

Combined categories	Value [MeV]	Stat. Unc.	Muon Unc.	Elec. Unc.	Recoil Unc.	Bckg. Unc.	QCD Unc.	EW Unc.	PDF Unc.	Total Unc.	$\chi^2/\text{dof}$ of Comb.
$m_T-p_T^\ell, W^\pm, e-\mu$	80369.5	6.8	6.6	6.4	2.9	4.5	8.3	5.5	9.2	18.5	29/27

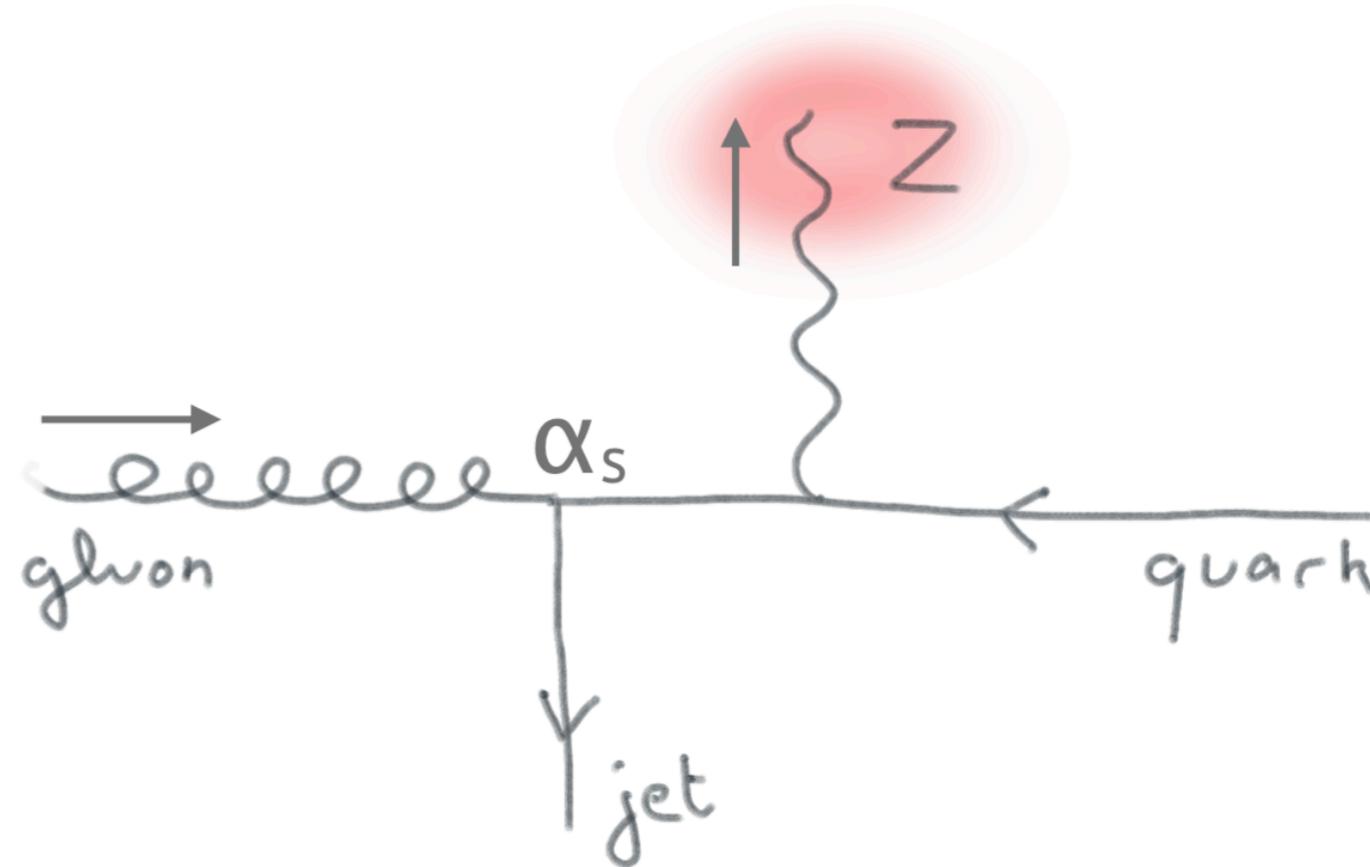
# EXTRACTING THE W-MASS



- The W-mass is extracted from template fits to two variables
- The transverse mass  $m_T(W)$ 
  - ▶ Theoretically stable against radiative corrections
  - ▶ Challenging due to the incomplete event reconstruction from the escaping neutrino
- The lepton transverse momentum,  $p_T(l)$ 
  - ▶ Experimentally clean
  - ▶ Extremely sensitive to the modelling of  $p_T(W)$
- For a W-mass uncertainty of 10-20 MeV need control on the shapes of these distributions to below percent level !

## THE Z/W-BOSON $p_T$

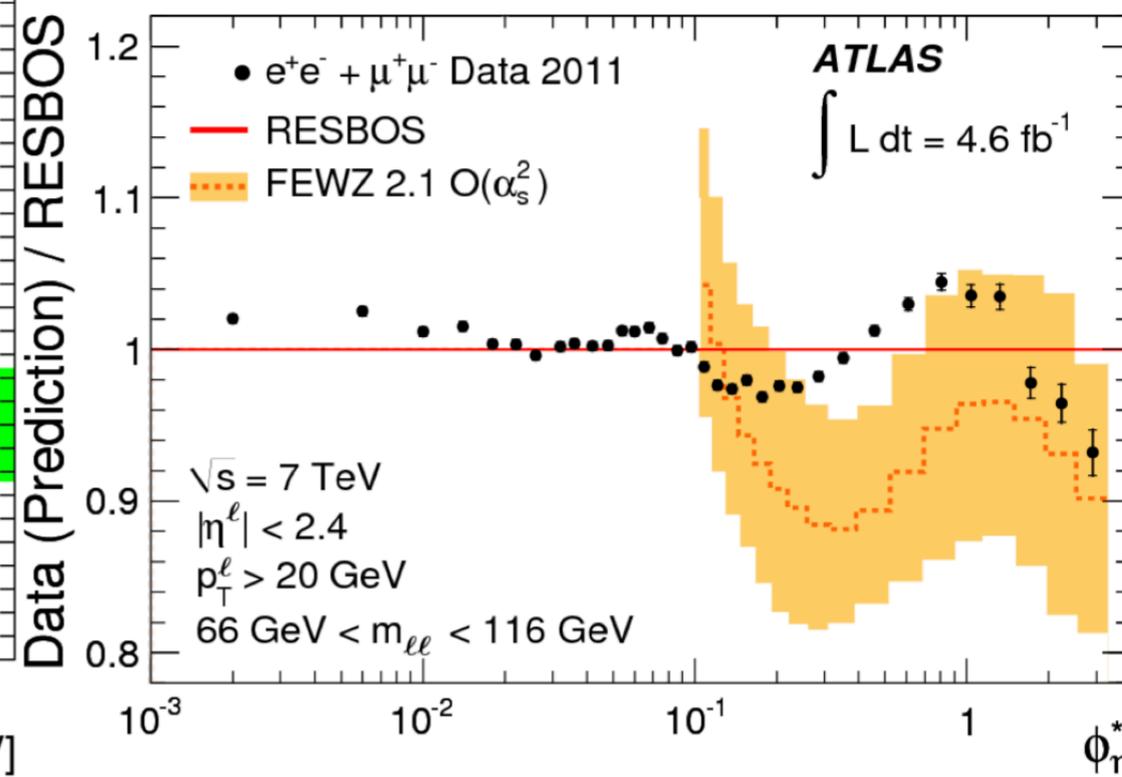
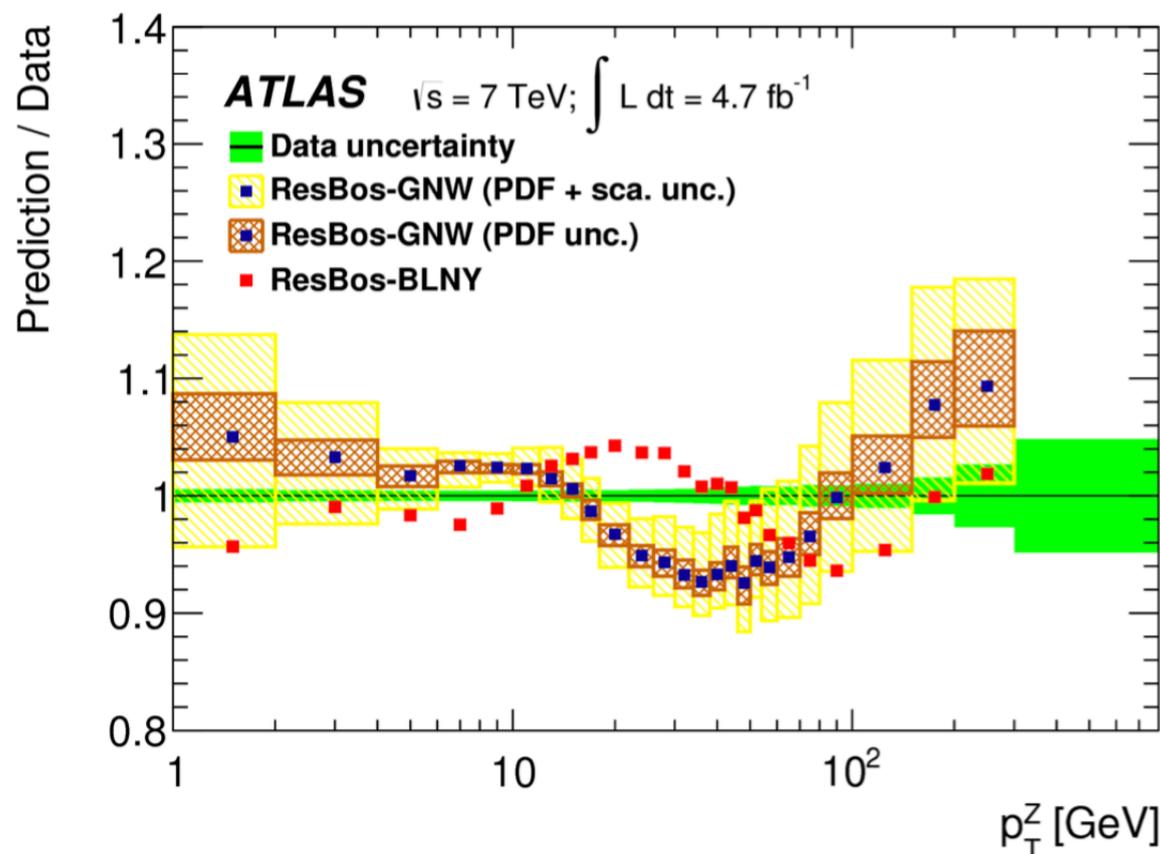
- Large  $p_T$  ( $> 20$  GeV), where perturbation theory is viable
  - ▶ State of the art is now NNLO
- Small  $p_T$  ( $< 20$  GeV), which makes 90% of the cross section
  - ▶ Large logs of  $M/q_T$ , can be resummed analytically or with a parton shower
  - ▶ Non-perturbative effects become important: heavy-quark masses, intrinsic- $k_T$ , ISR shower cut-off



- Intrinsic- $k_T$  is used to parametrise non-perturbative transverse momentum of the partons inside the proton
  - ▶ From Fermi motion would expect an average of order 0.3 - 0.5 GeV
  - ▶ But fits to data give larger values, of about 1 - 2 GeV

# THE Z-BOSON $p_T$

- A direct measurement of  $p_T(W)$  is experimentally challenging
- But very precise measurements of Z  $p_T$  and  $\phi^*$  have been performed
  - ▶ Typical accuracy for  $p_T < 30$  GeV:  $\sim 0.5\%$
- The measurements are used to validate the theory predictions
- Then propagate  $p_T(Z)$  to  $p_T(W)$  using a Monte Carlo model
  - ▶ Non-perturbative form-factors, or intrinsic- $k_T$ , largely correlated between W and Z. Differences of  $\sim 3\%$  expected (see 1309.1393).



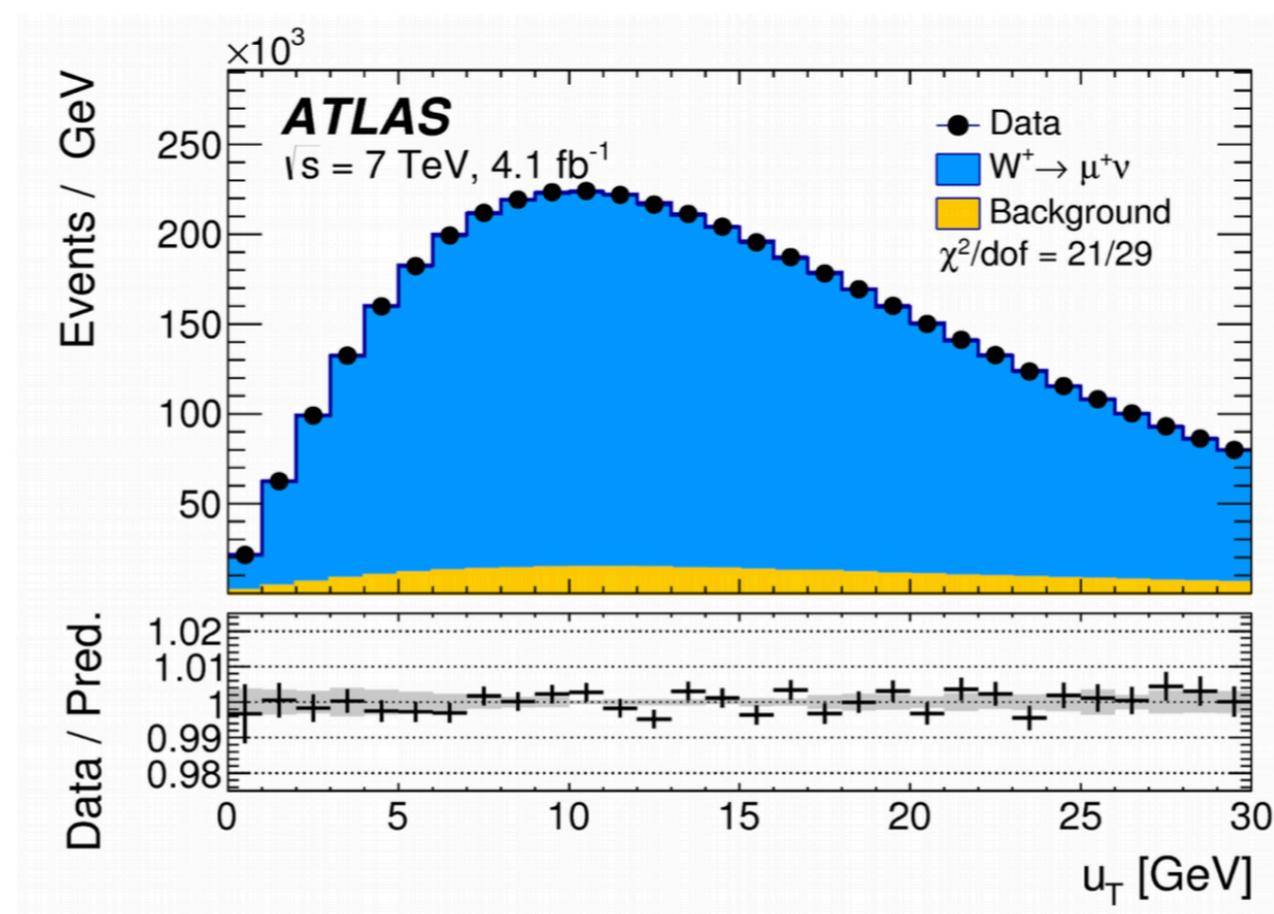
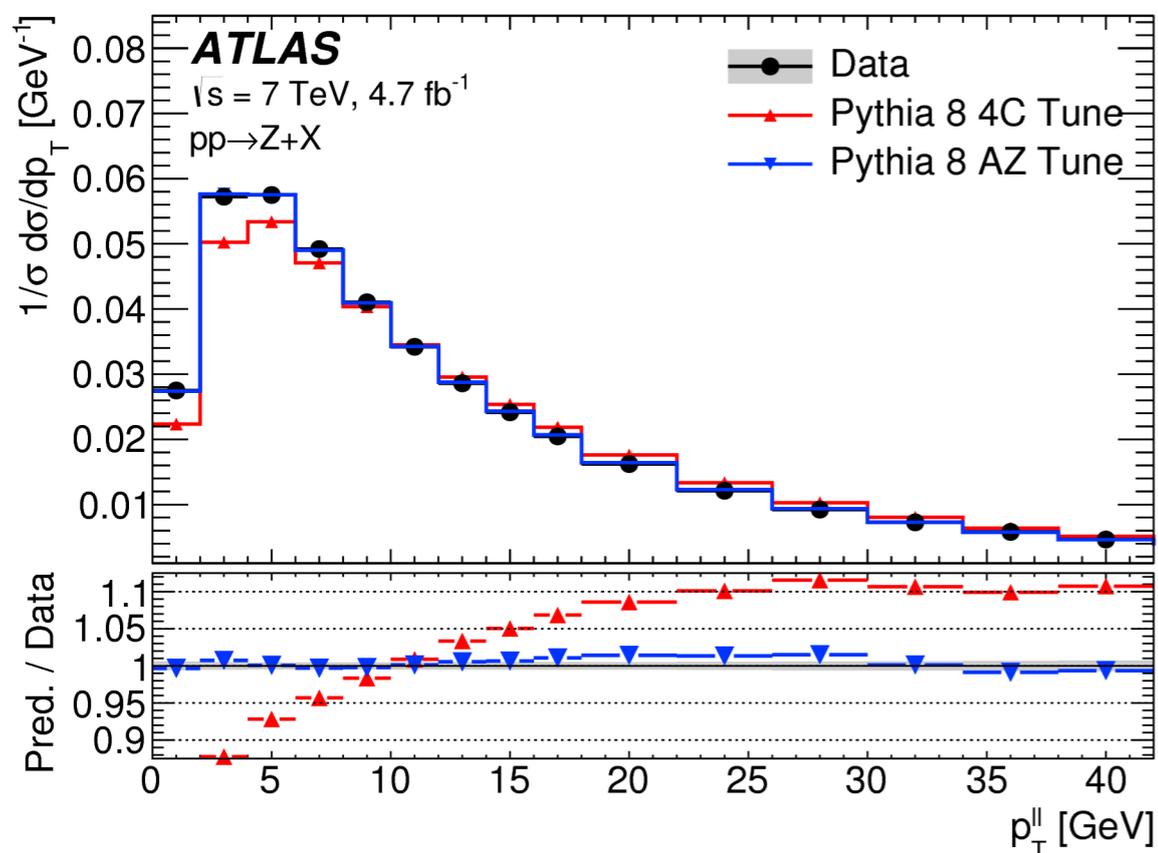
# AZ TUNE

- Pythia8 AZ is a fit to the Z  $p_T$  and  $\phi^*$  distributions at 7 TeV

- ▶ Fitting intrinsic- $k_T$ , the ISR strong coupling and the ISR cut off
- ▶ The tune describes the  $p_T(Z)$  data within 2%

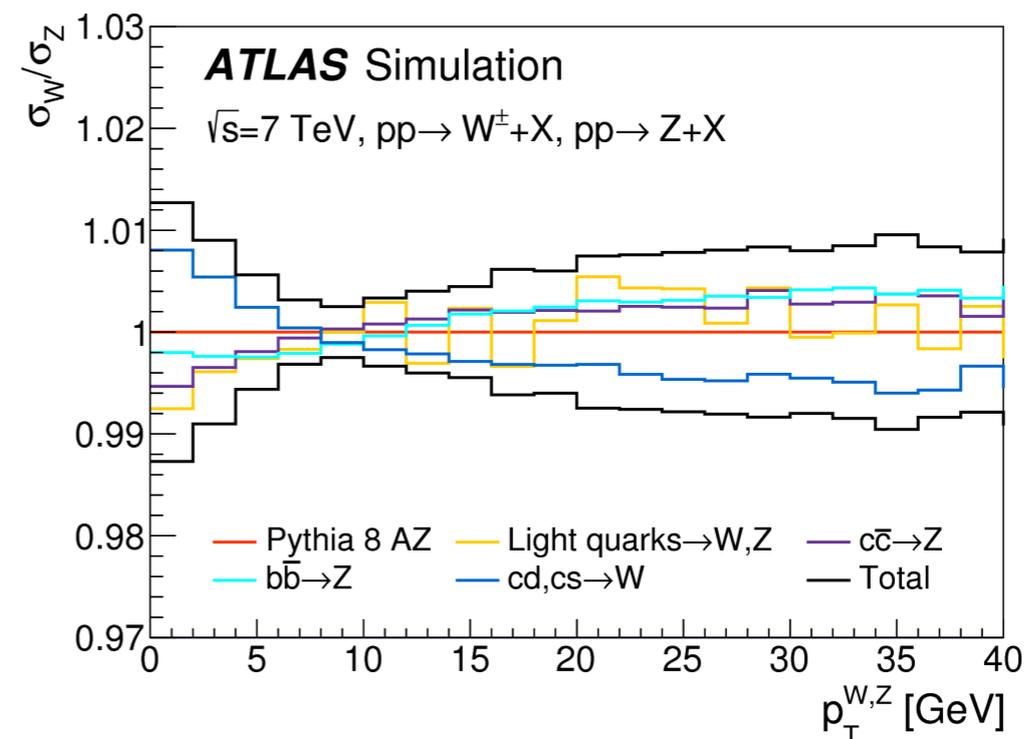
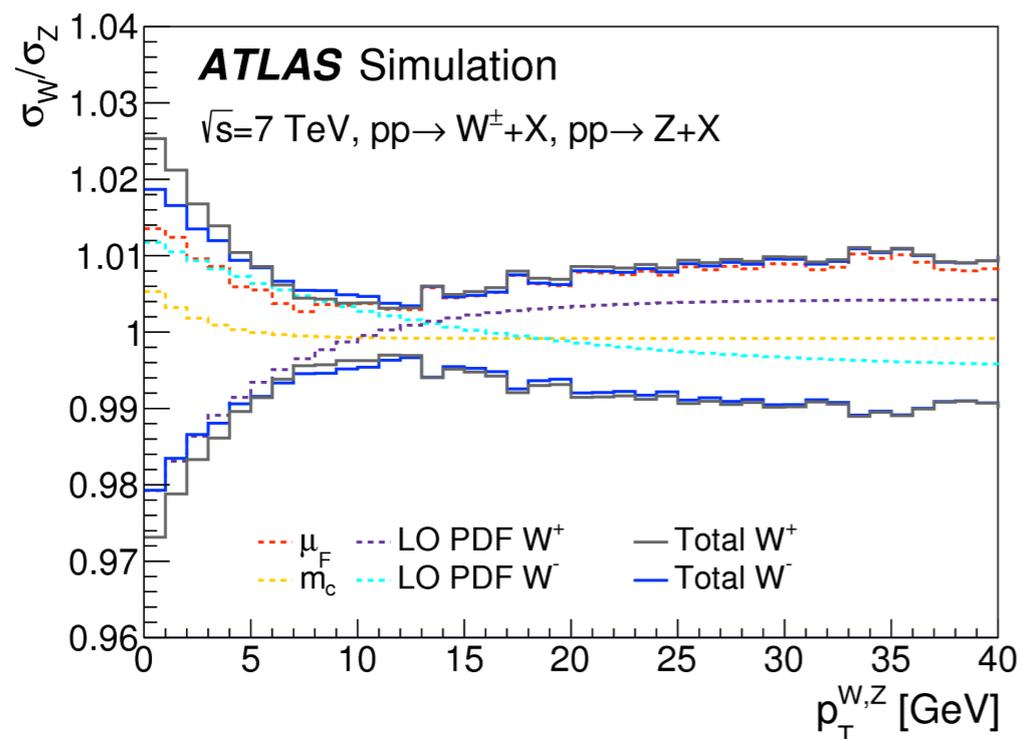
PYTHIA8	
Tune Name	AZ
Primordial $k_T$ [GeV]	$1.71 \pm 0.03$
ISR $\alpha_S^{\text{ISR}}(m_Z)$	$0.1237 \pm 0.0002$
ISR cut-off [GeV]	$0.59 \pm 0.08$
$\chi^2_{\text{min}}/\text{dof}$	45.4/32

- It is used to predict the  $p_T$  W distribution and its uncertainties



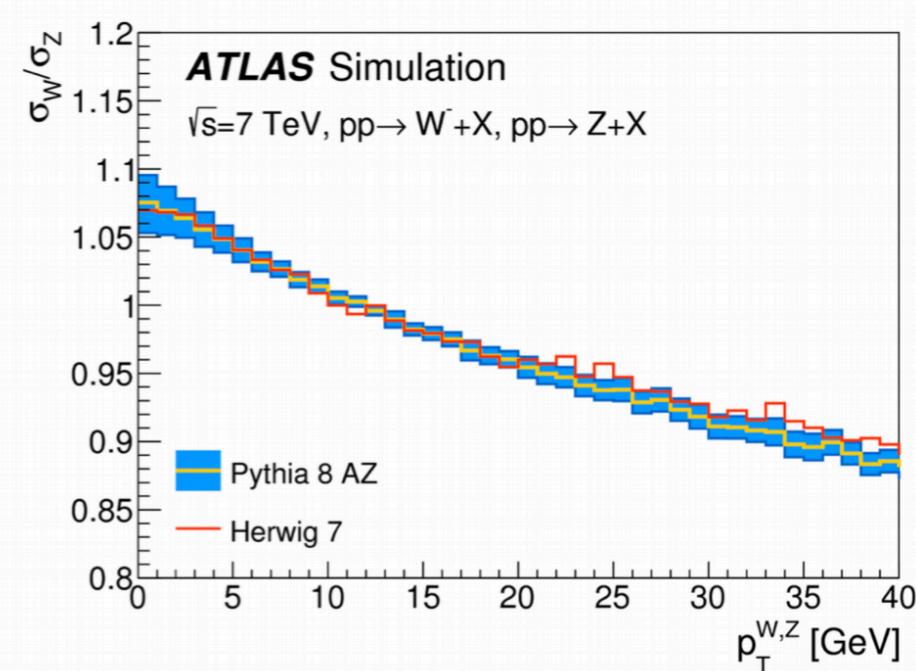
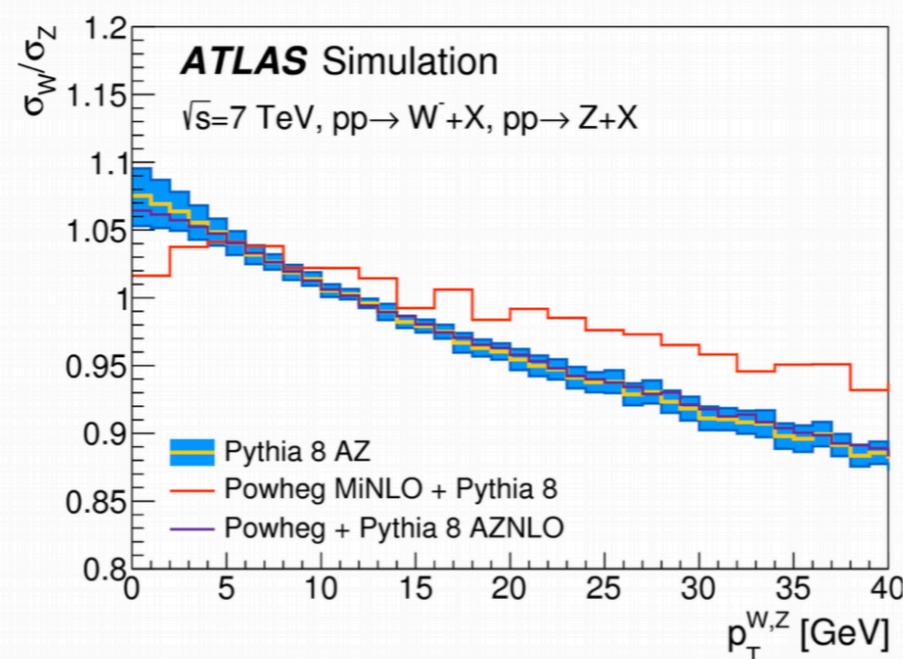
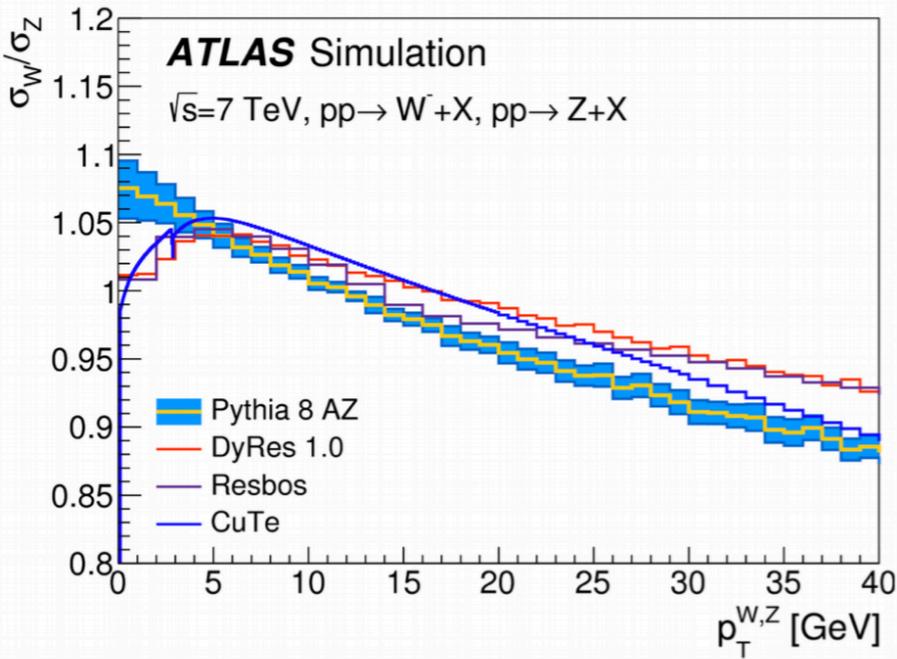
# UNCERTAINTIES ON $p_T^W$

- One large source of differences between Z and W production are the heavy-flavour initiated processes
  - ▶  $cc \rightarrow Z$  and  $bb \rightarrow Z$  are 6% and 3% of Z production,  $cs \rightarrow W$  is  $\sim 20\%$  of W production
- As a proxy to vary HF matching scales in the PDFs two variations are performed in the shower
  - ▶ Variations of the charm mass
  - ▶ Decorrelating  $\mu_F$  between light and heavy-flavour processes

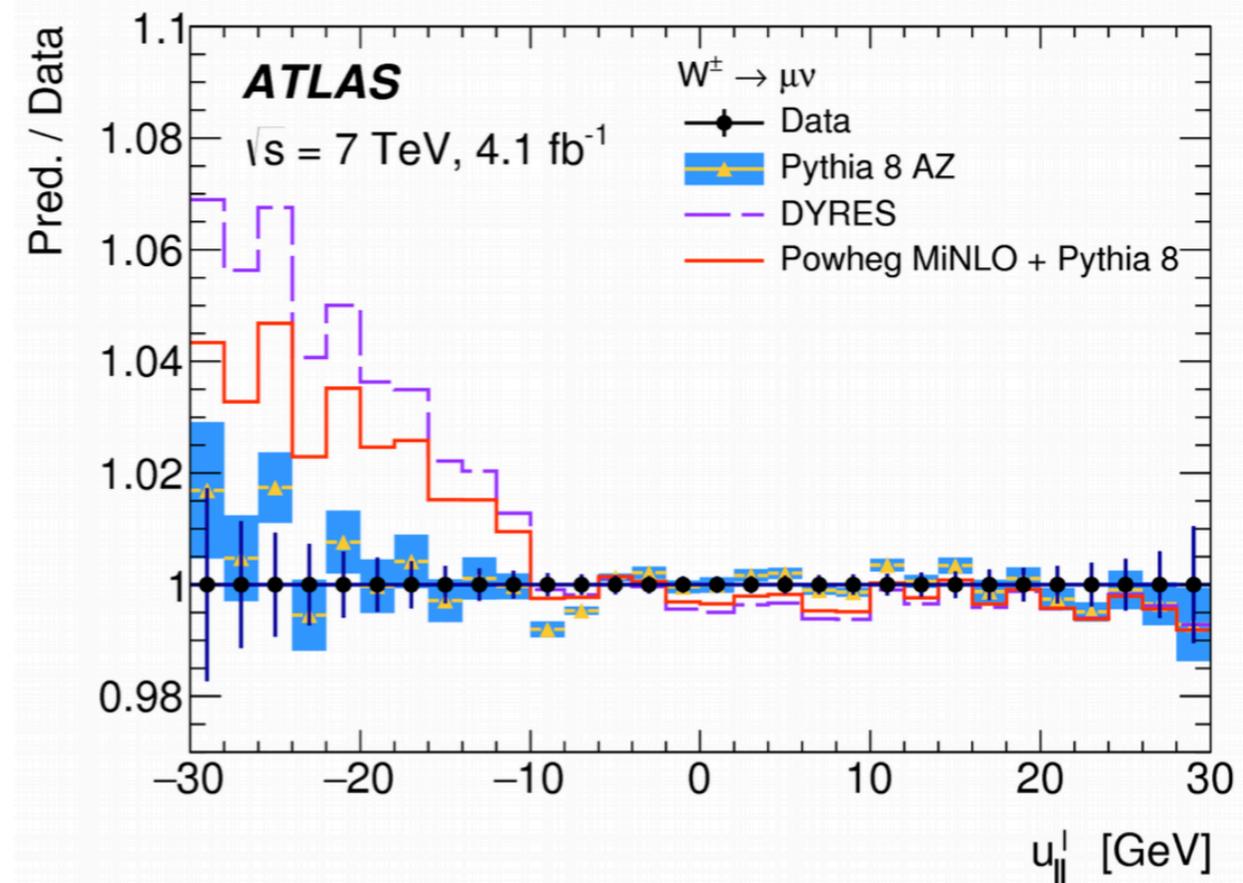


# OTHER PREDICTIONS

- MINLO and NNLL resummed predictions as are strongly disfavoured by the  $u_{||}$  distribution in data



- Effect of heavy-flavour treatment?
- Corrections to the Sudakov due to multi-parton-interactions?
- Poor convergence of the resummation?
- Something else?



# QCD UNCERTAINTIES ON $p_T$ W

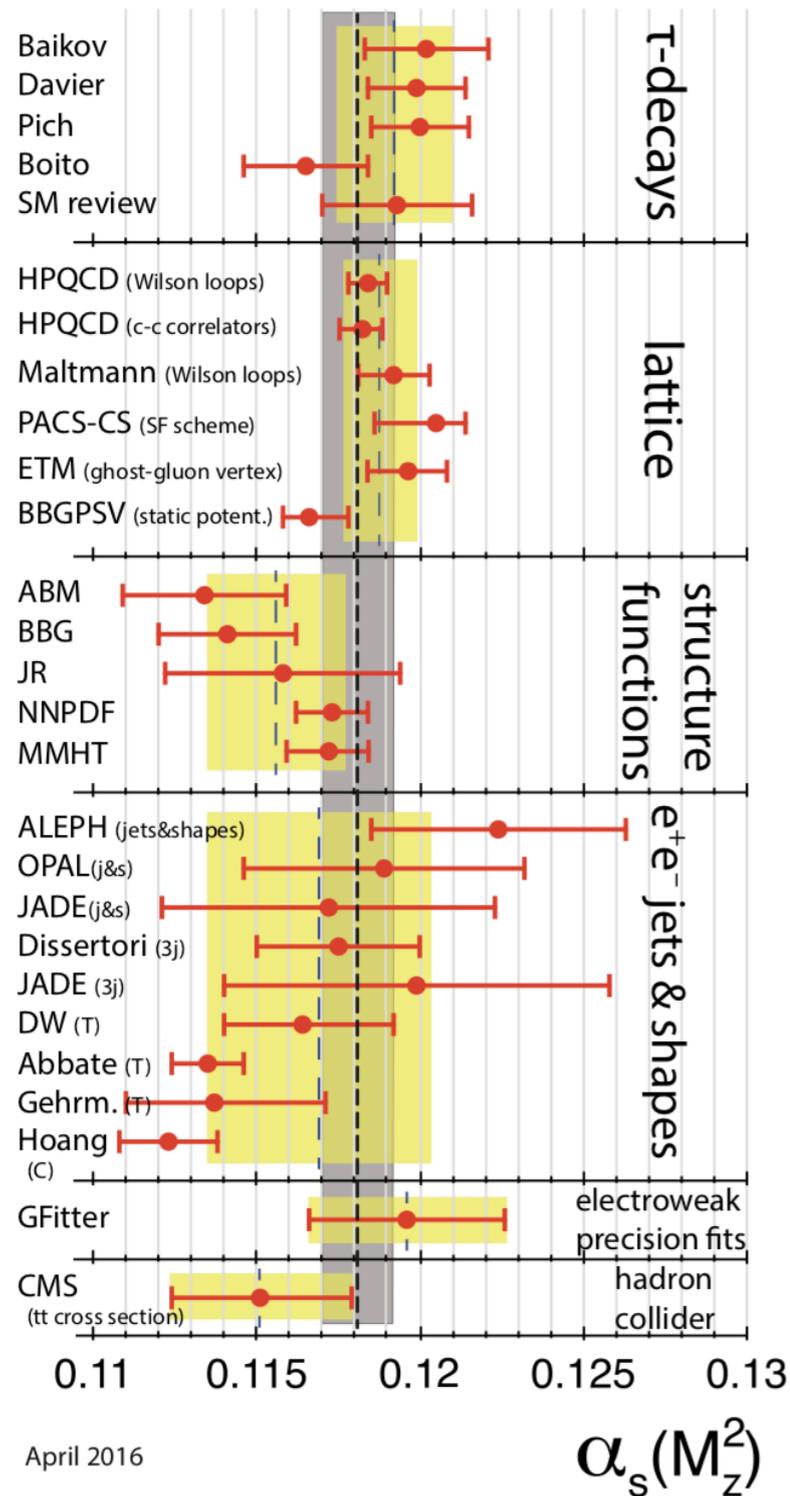
- The PDF and from the  $p_T(W)$  modelling dominate the QCD modelling uncertainties

W-boson charge Kinematic distribution	$W^+$		$W^-$		Combined	
	$p_T^\ell$	$m_T$	$p_T^\ell$	$m_T$	$p_T^\ell$	$m_T$
$\delta m_W$ [MeV]						
Fixed-order PDF uncertainty	13.1	14.9	12.0	14.2	8.0	8.7
AZ tune	3.0	3.4	3.0	3.4	3.0	3.4
Charm-quark mass	1.2	1.5	1.2	1.5	1.2	1.5
Parton shower $\mu_F$ with heavy-flavour decorrelation	5.0	6.9	5.0	6.9	5.0	6.9
Parton shower PDF uncertainty	3.6	4.0	2.6	2.4	1.0	1.6
Angular coefficients	5.8	5.3	5.8	5.3	5.8	5.3
Total	15.9	18.1	14.8	17.2	11.6	12.9

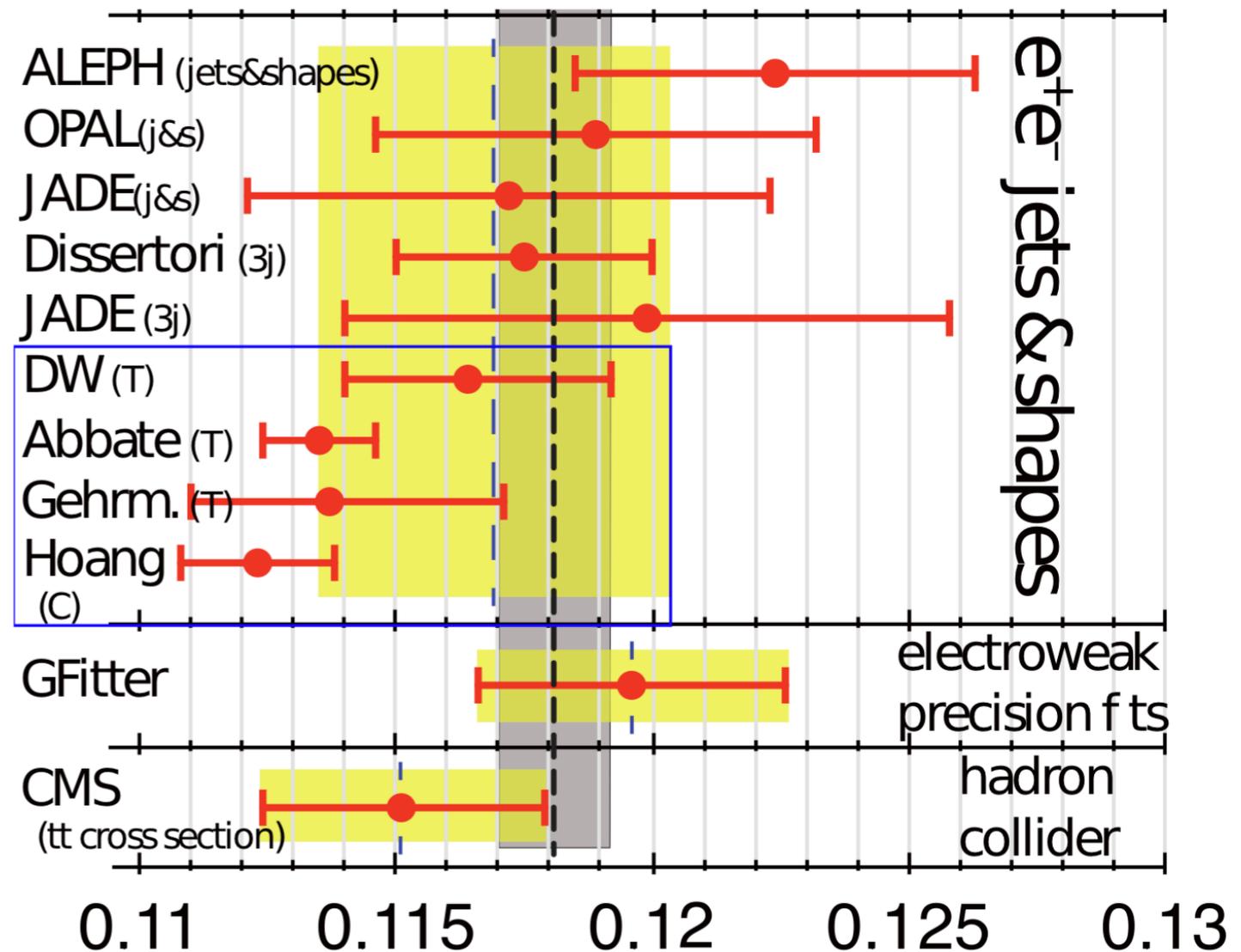
- To improve over the existing W-mass precision it will be crucial to reduce QCD systematics
  - ▶ And particularly understand the issues we see with higher-order perturbative predictions

# NON-PERTURBATIVE CORRECTIONS TO EVENT-SHAPES

- \* Precise measurement of  $\alpha_s$  critical, uncertainties feed back into all other theoretical predictions



[Particle Data Group; '16]



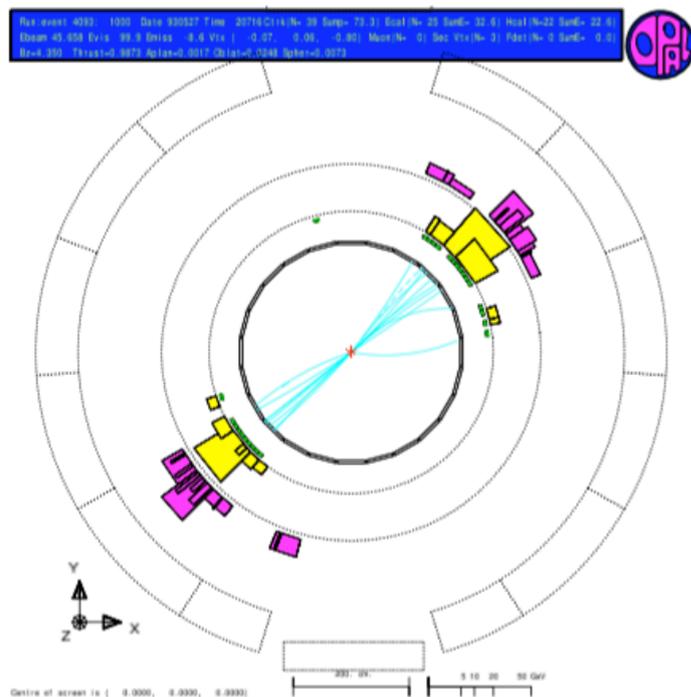
# LEP EVENT-SHAPES

- Event shapes mean geometrical properties of energy flow
  - ▶ Sensitive to QCD radiation, used to tune MC, measure  $\alpha_s$
- Many measured at LEP, will focus on the thrust distribution

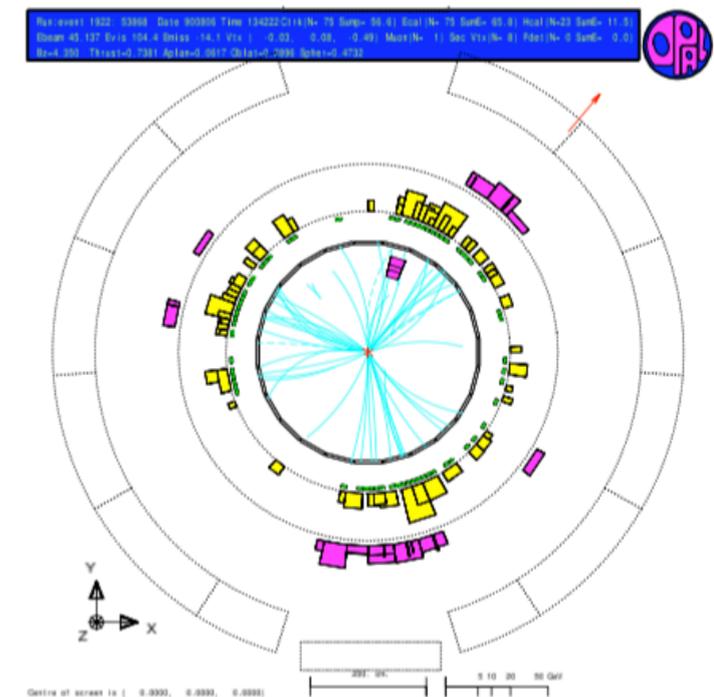
$$\tau \equiv 1 - T = \min_{\vec{n}} \left( 1 - \frac{\sum_{i \in \mathcal{E}} |\vec{n} \cdot \vec{p}_i|}{\sum_{i \in \mathcal{E}} |\vec{p}_i|} \right)$$

Longitudinal charged particle alignment

Pencil-like event:  $T \lesssim 1$

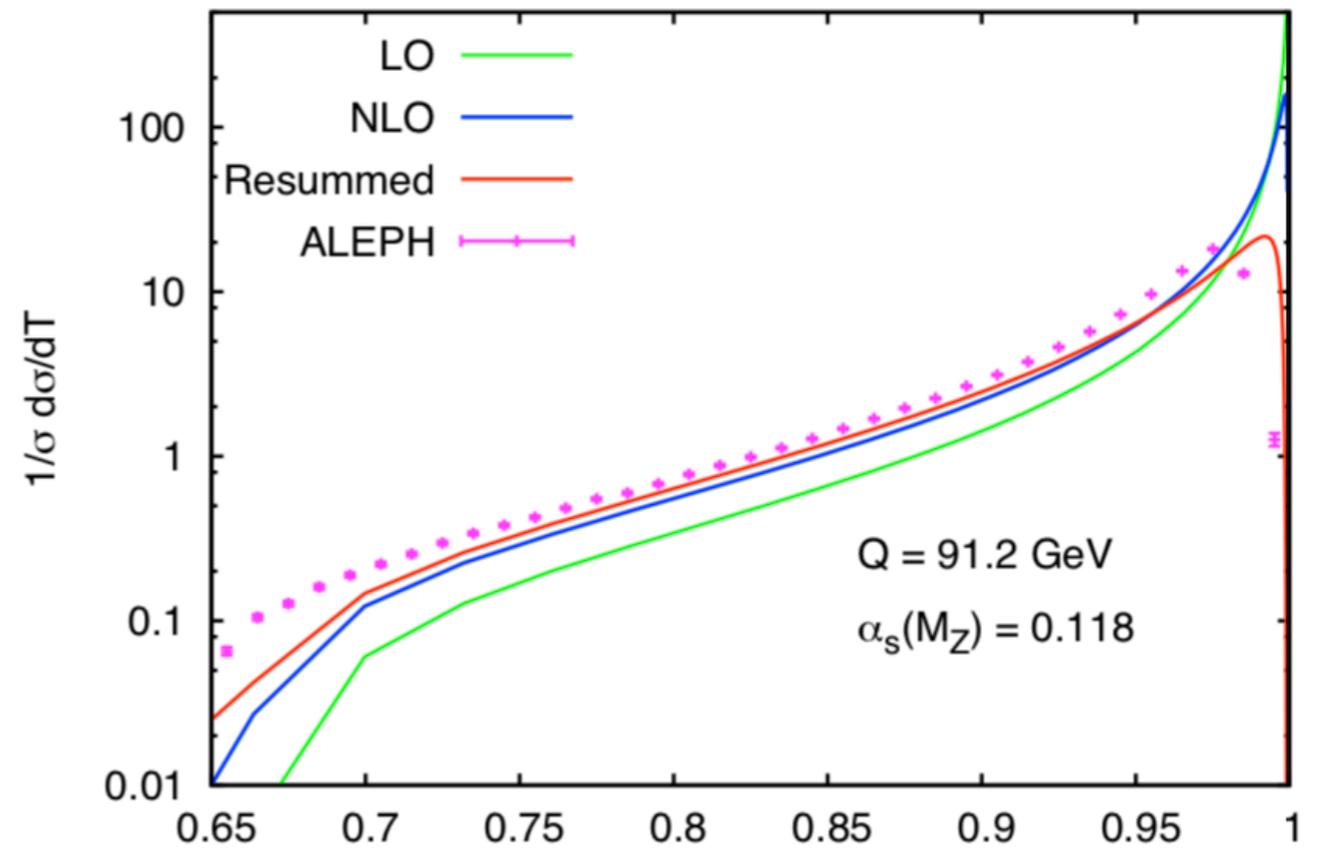
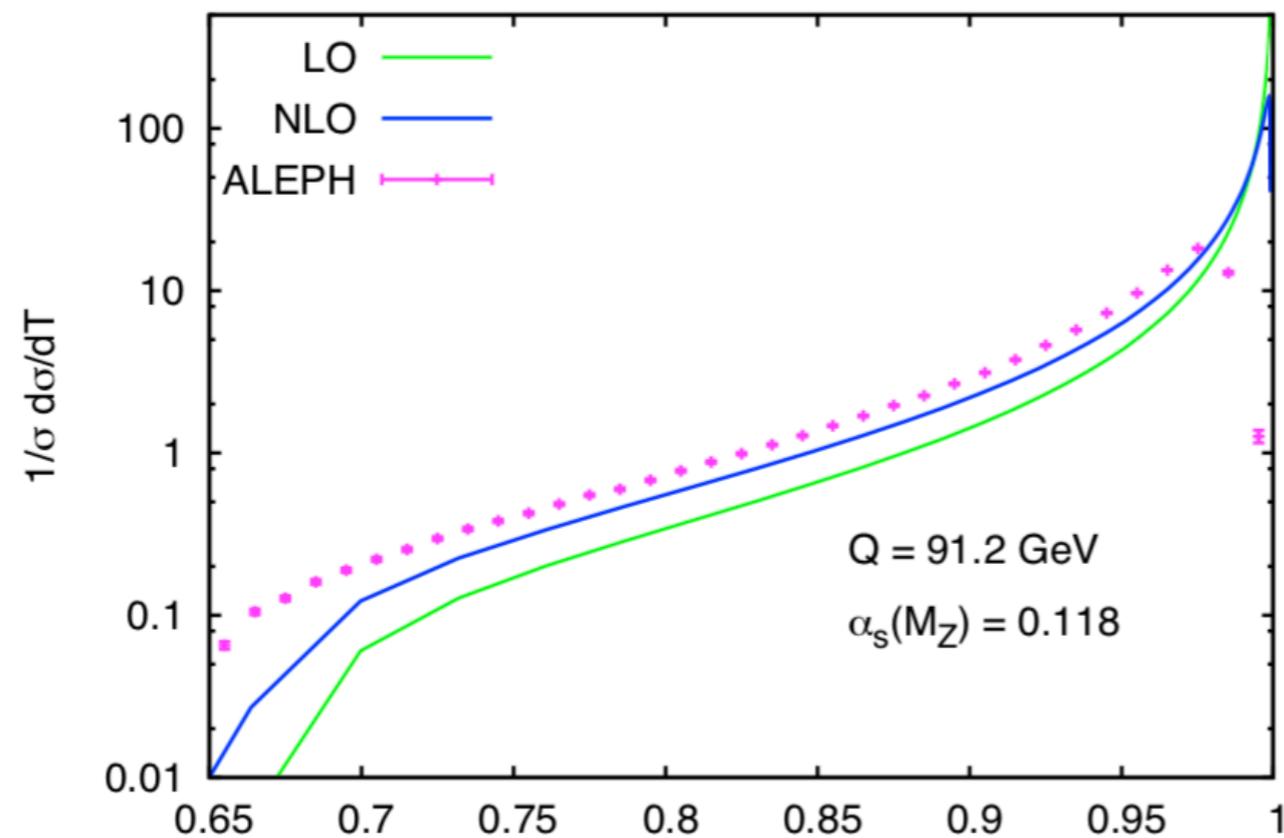


Planar event:  $T \gtrsim 2/3$



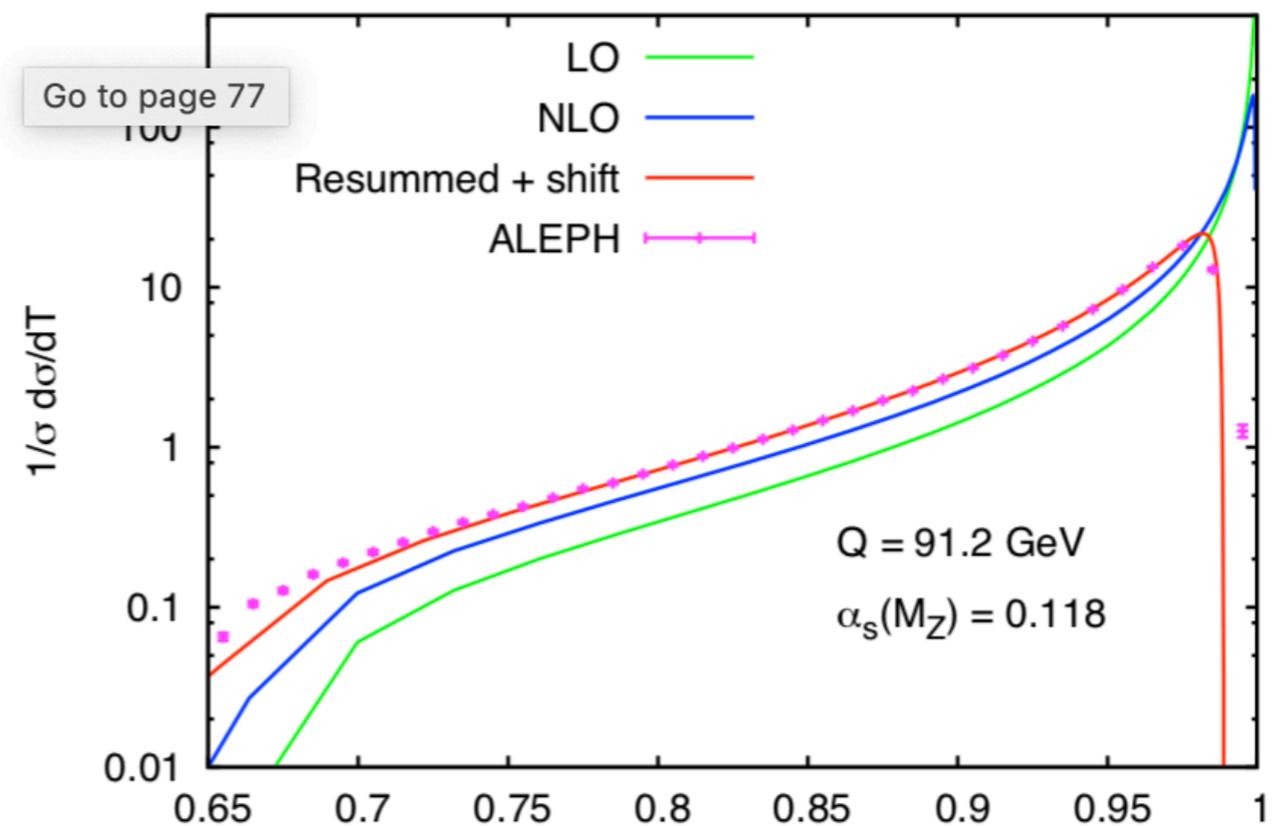
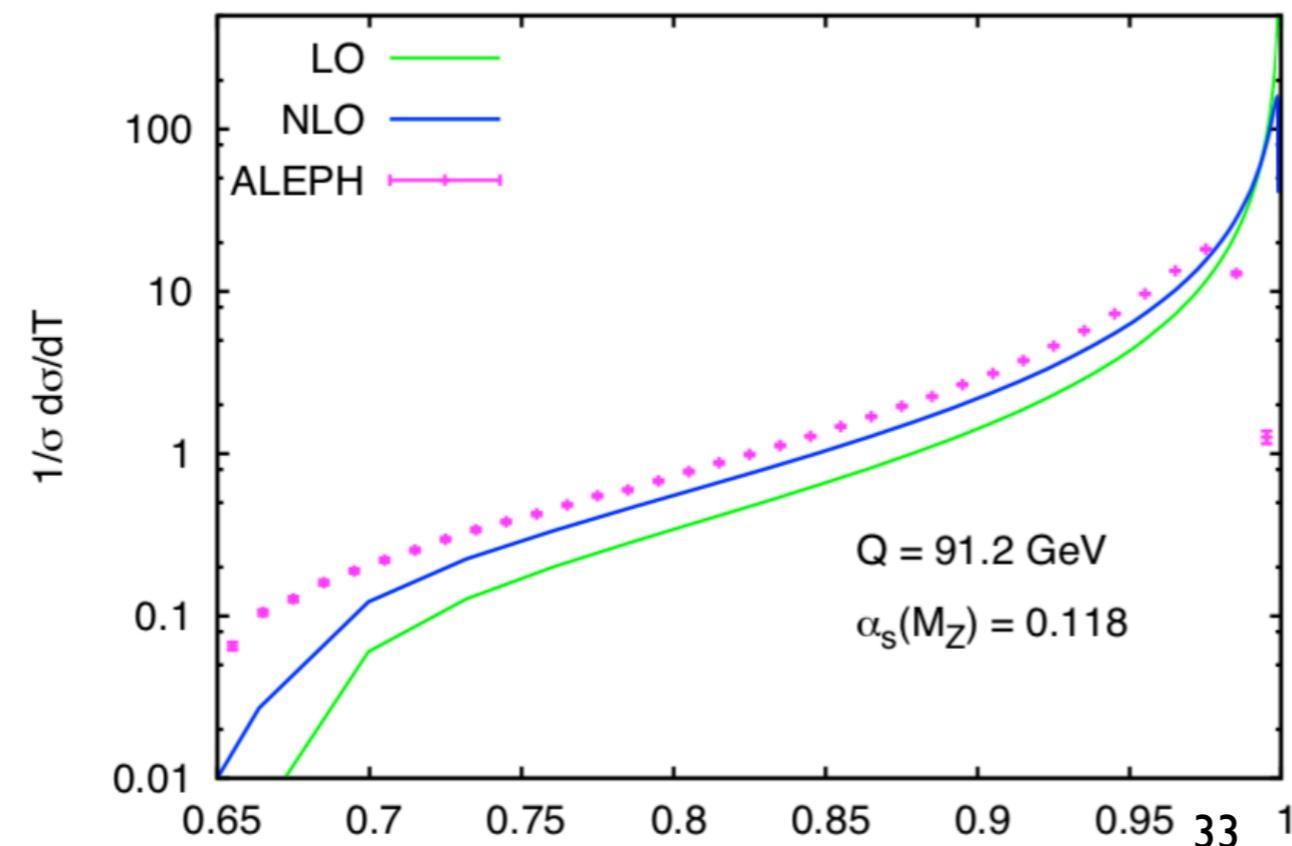
# THE THRUST DISTRIBUTION

- Fixed order prediction do not reproduce the thrust shape
  - ▶ NNLO helps, but not enough
- Only resummed predictions give the correct behaviour in the two-jet limit
- Still a mismatch between perturbative prediction and data
- Agreement can be restored by shifting the distribution



# THE THRUST DISTRIBUTION

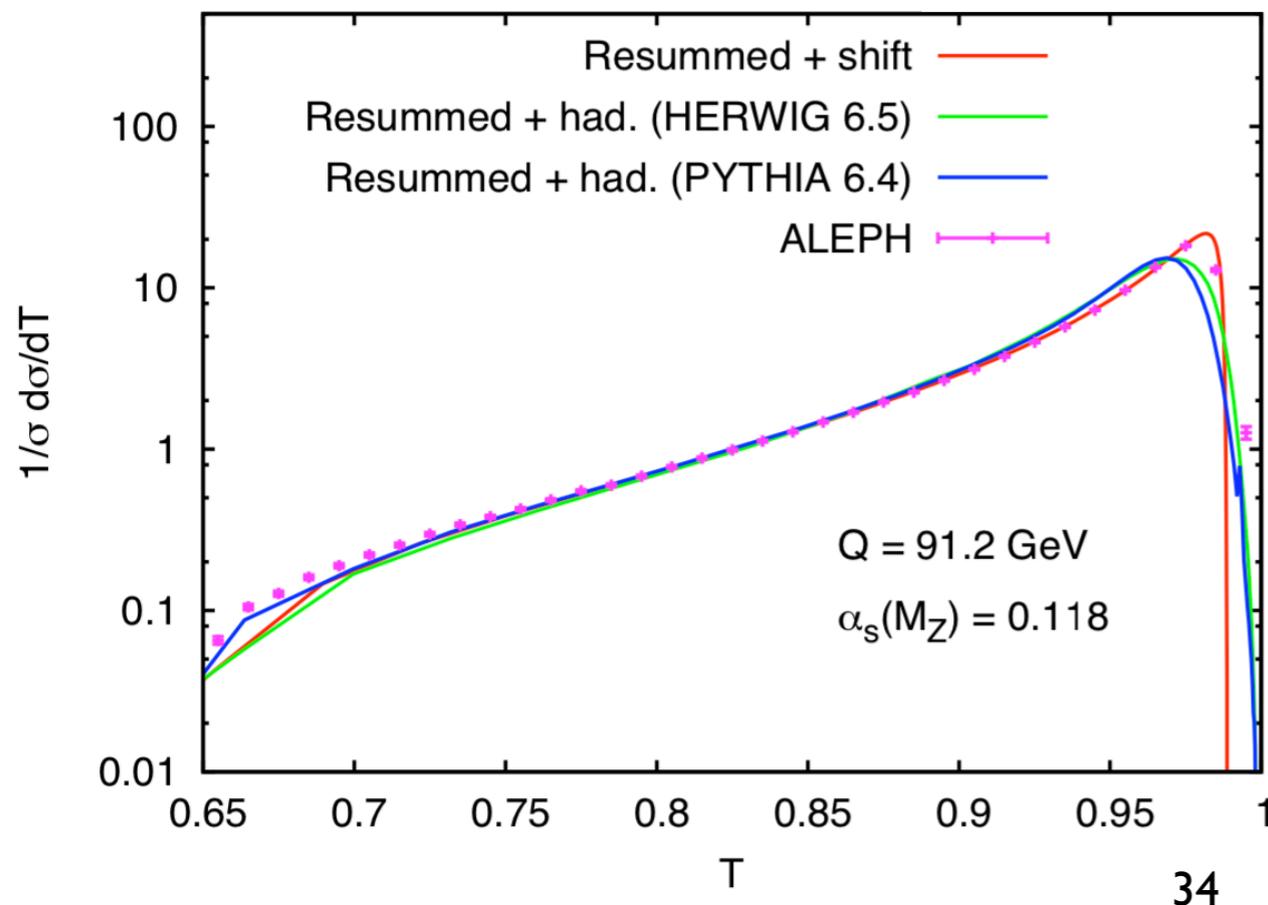
- Fixed order prediction do not reproduce the thrust shape
  - ▶ NNLO helps, but not enough
- Only resummed predictions give the correct behaviour in the two-jet limit
- Still a mismatch between perturbative prediction and data
- Agreement can be restored by shifting the distribution
  - ▶ A way to incorporate leading hadronization corrections, which scale as  $1/Q$



# THE THRUST DISTRIBUTION

- Mismatch between perturbative predictions and the data  $\Rightarrow$  **hadronization**
- MC generators describe event shapes very well
- Can incorporate hadronization corrections into a perturbative calculation as

$$\left[ \frac{1}{\sigma} \frac{d\sigma}{dT} \right]_{\text{hadron}} = \text{hadcor} \otimes \left[ \frac{1}{\sigma} \frac{d\sigma}{dT} \right]_{\text{parton}}$$

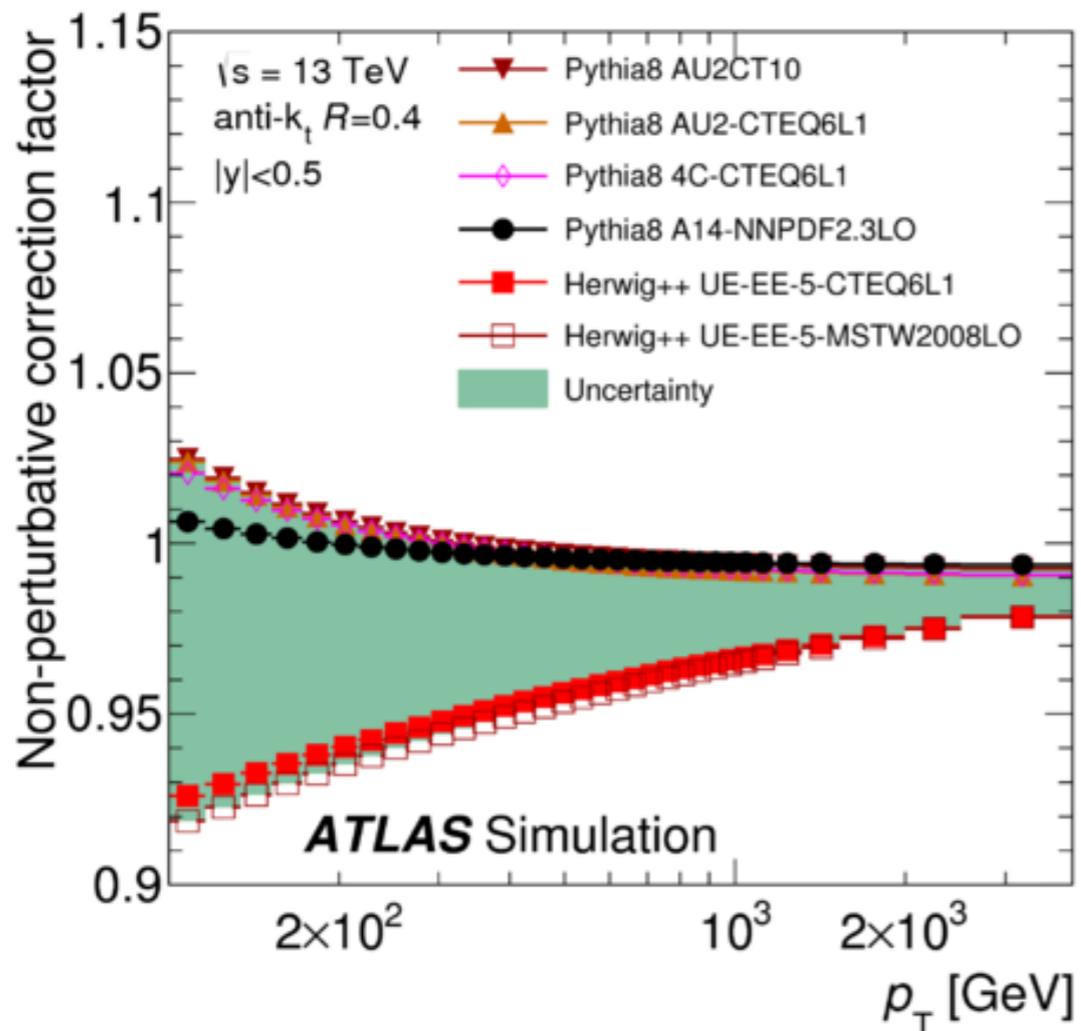
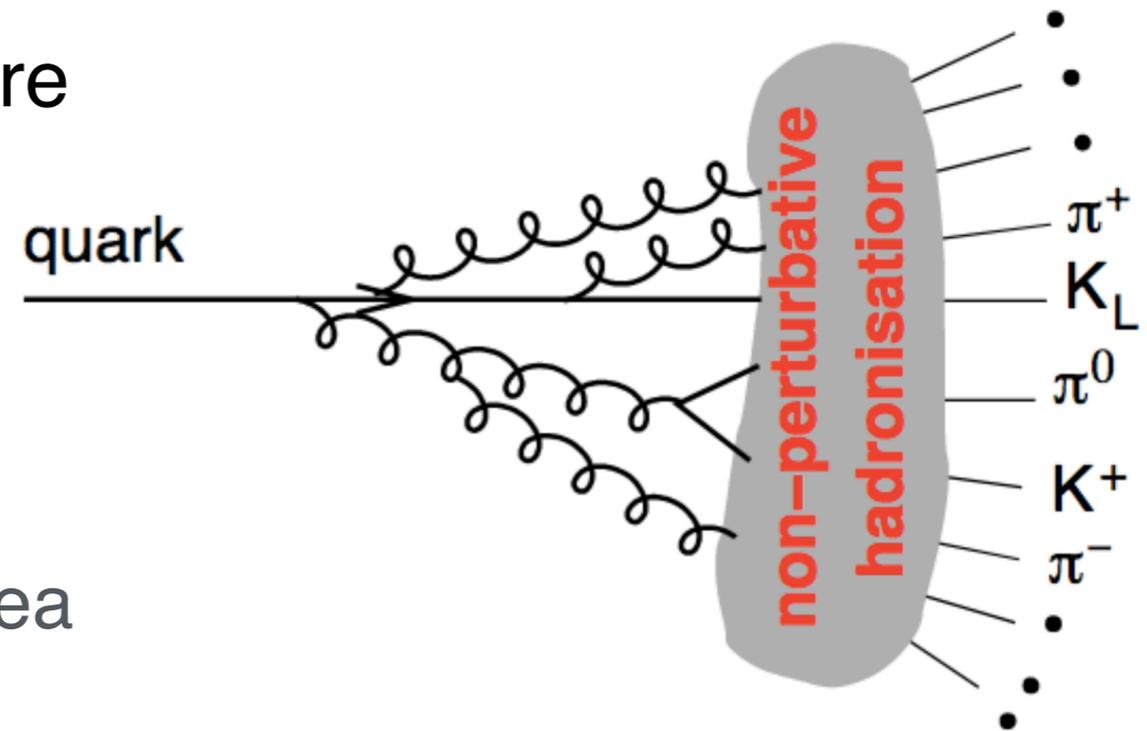


- After tuning the hadronization parameters of the MC we can use it to extract the strong coupling
- Striking success  $\alpha_s(M_Z) = 0.1202 \pm 0.0050$
- Hadronization corrections will be of the same size as the perturbative one at high energy lepton colliders

# JETS AND NON-PERTURBATIVE CORRECTIONS

- Jet cross-sections and observables are affected by non-perturbative effects

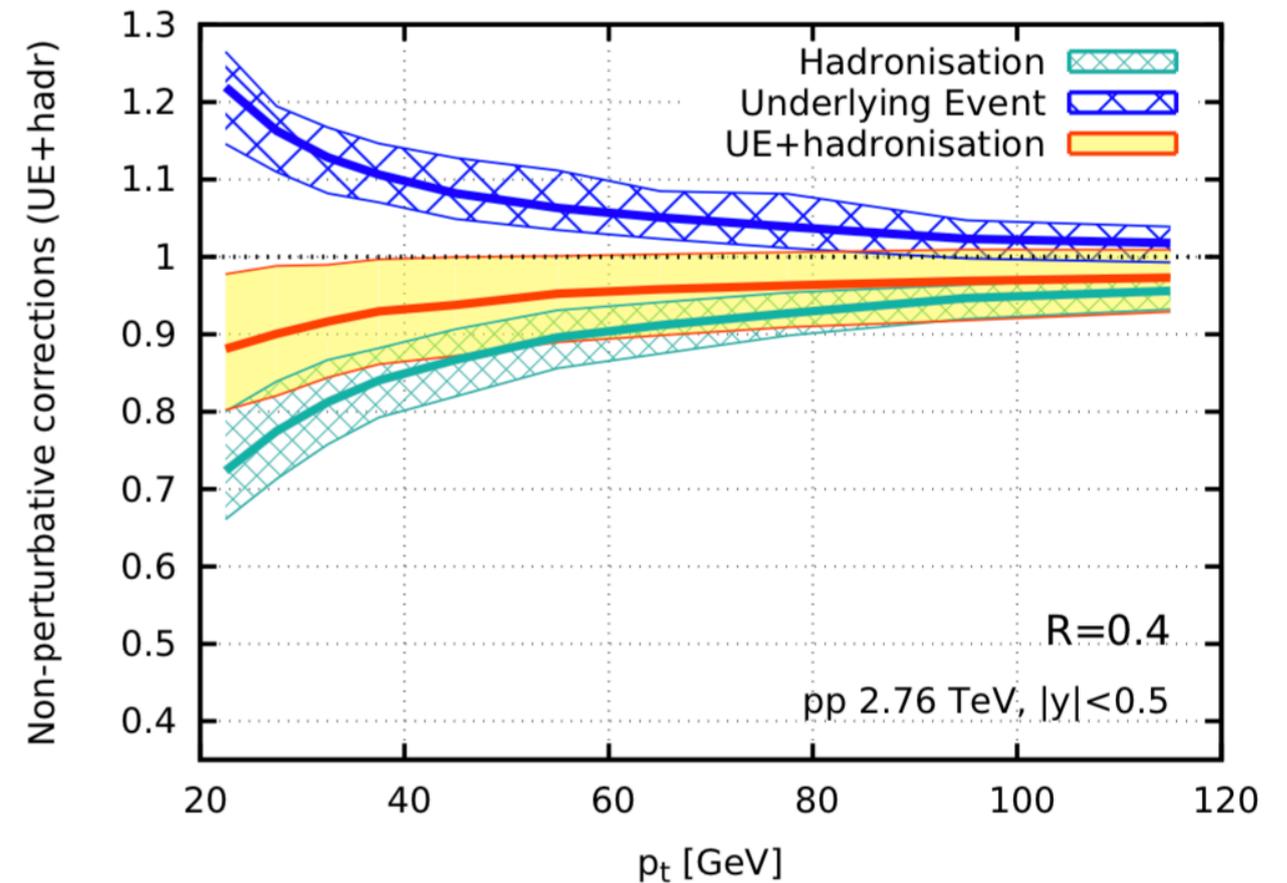
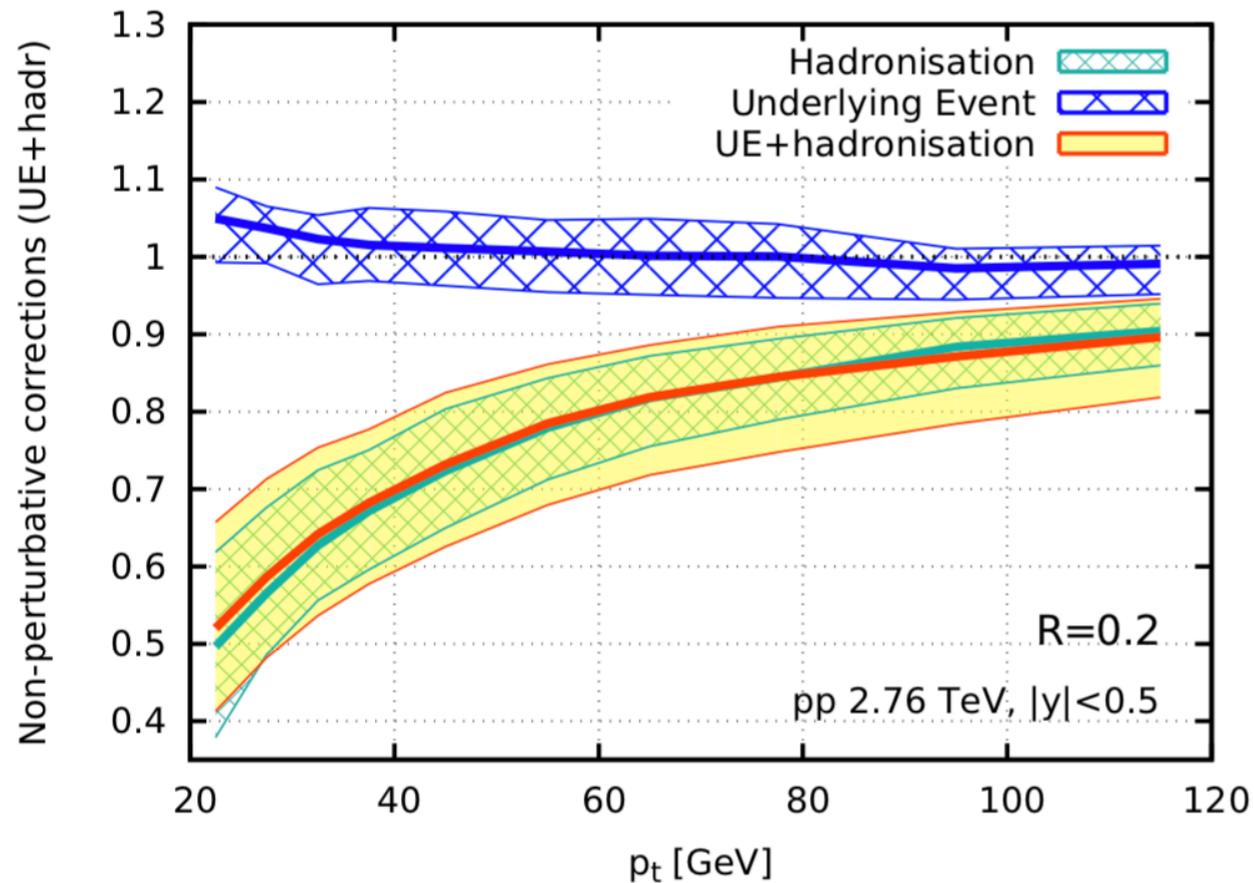
- ▶ Particles might get just enough momentum from hadronization to go outside of the jet cone
- ▶ Particles from MPI will enter the jet area



- Usually this effect is evaluated with Monte Carlos

- ▶ By taking the difference between NP effects on and off
- ▶ But large spread between NP corrections evaluated with different codes

# PARTON SHOWERS



- \* NP corrections affect differently jets of different radii
  - ▶ Hadronization negative and decreasing with radius
  - ▶ Underlying event positive and growing with radius
- \* Measurement of the jet shapes are able to probe this structure and constrain MC parameters

# WHICH JET SIZE ?

Parton  $p_t \rightarrow$  jet  $p_t$

Ill-defined: MC "parton"

## PT radiation:

$$q : \quad \Delta p_t \simeq \frac{\alpha_s C_F}{\pi} p_t \ln R$$

$$g : \quad \Delta p_t \simeq \frac{\alpha_s C_A}{\pi} p_t \ln R$$

## Hadronisation:

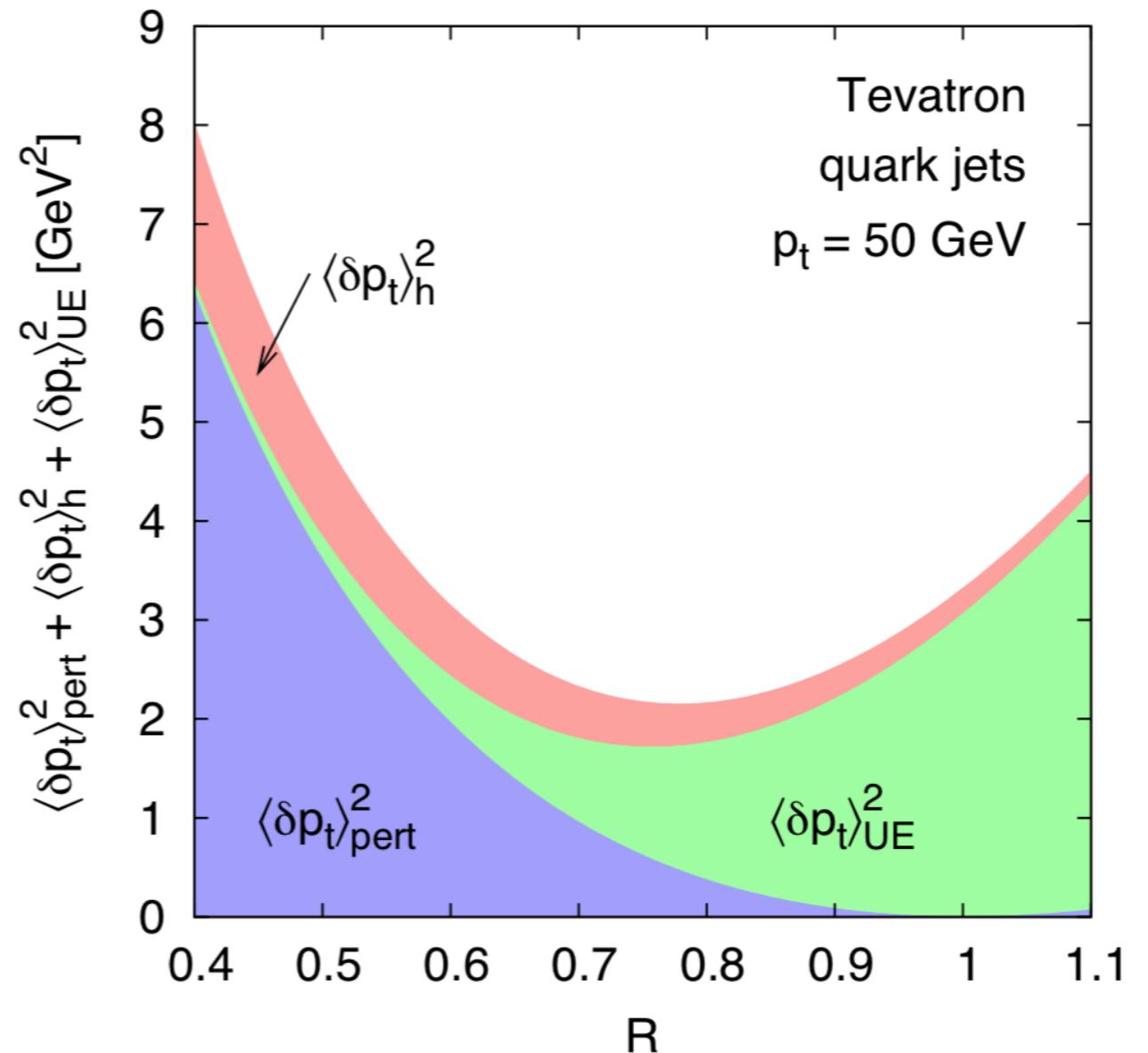
$$q : \quad \Delta p_t \simeq \frac{C_F}{R} \cdot 0.4 \text{ GeV}$$

$$g : \quad \Delta p_t \simeq \frac{C_A}{R} \cdot 0.4 \text{ GeV}$$

## Underlying event:

$$q, g : \quad \Delta p_t \simeq \frac{R^2}{2} \cdot 2.5 - 15 \text{ GeV}$$

[1602.01110]



# WHICH JET SIZE ?

Parton  $p_t \rightarrow$  jet  $p_t$

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$$q : \quad \Delta p_t \simeq \frac{\alpha_s C_F}{\pi} p_t \ln R$$

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## Hadronisation:

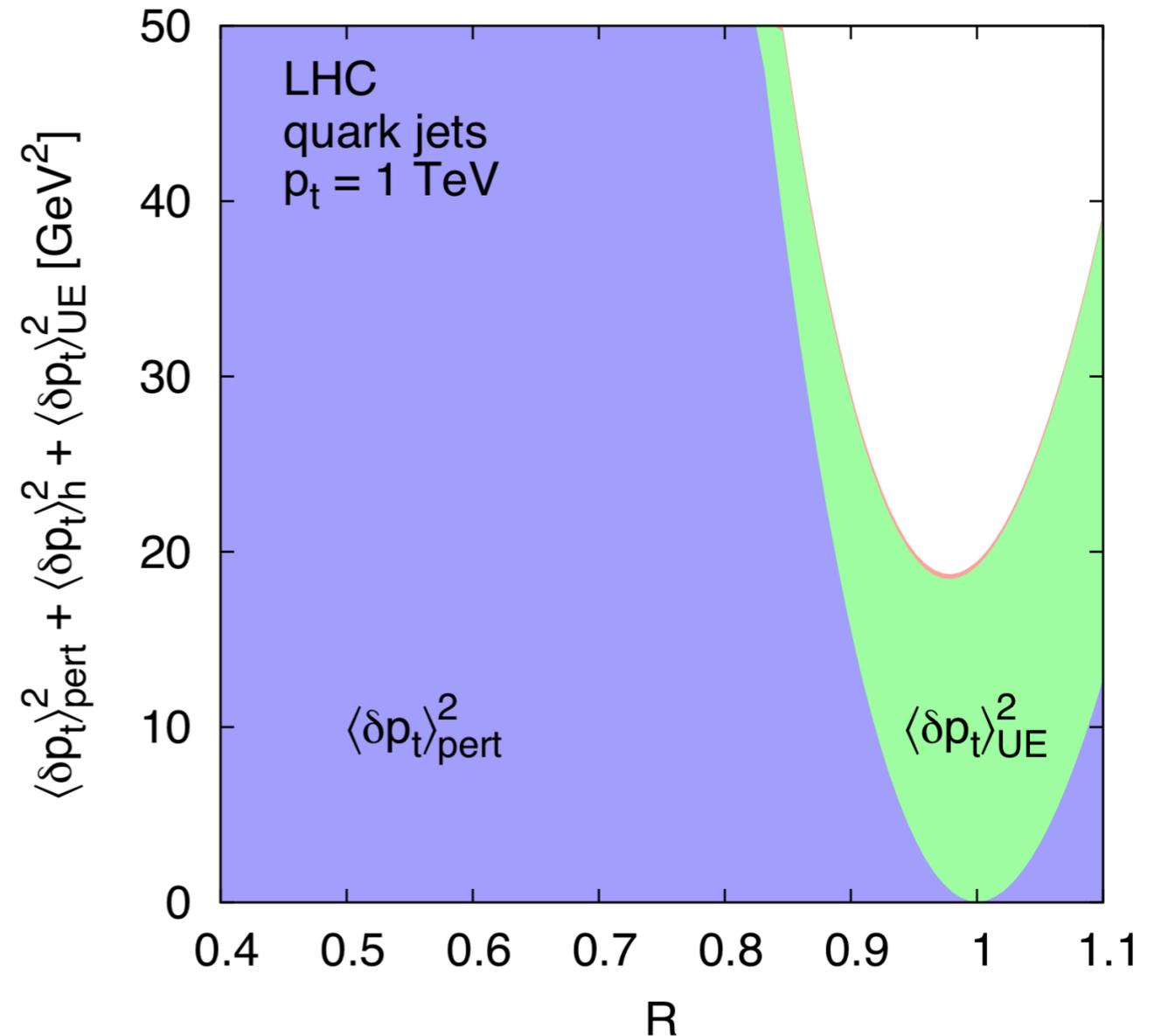
$$q : \quad \Delta p_t \simeq \frac{C_F}{R} \cdot 0.4 \text{ GeV}$$

$$g : \quad \Delta p_t \simeq \frac{C_A}{R} \cdot 0.4 \text{ GeV}$$

## Underlying event:

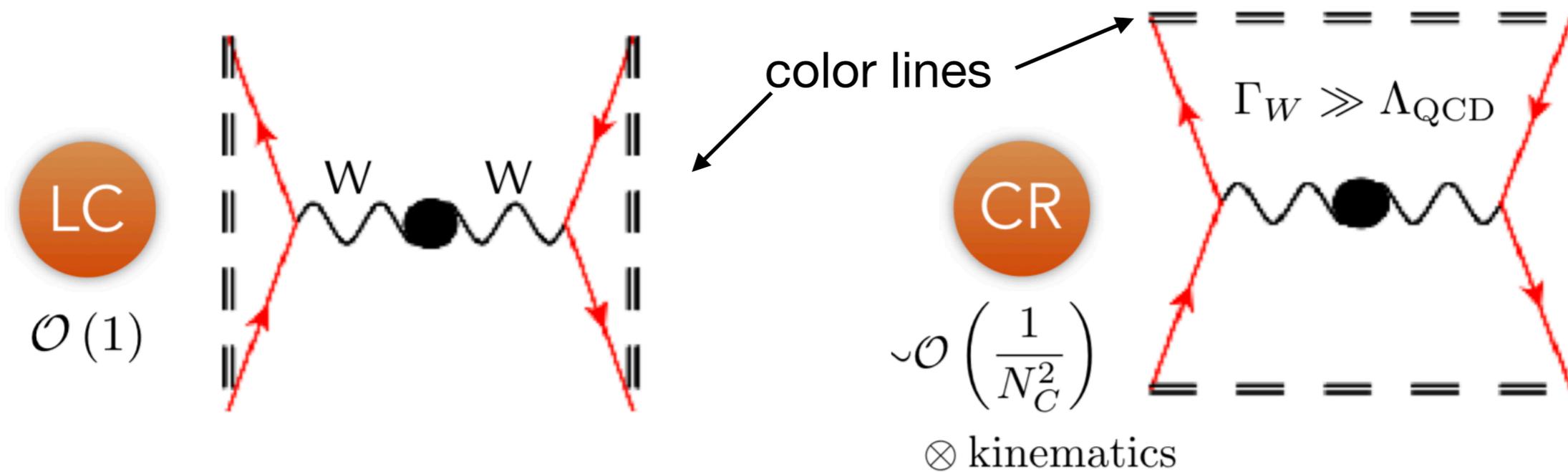
$$q, g : \quad \Delta p_t \simeq \frac{R^2}{2} \cdot 2.5 - 15 \text{ GeV}$$

[1602.01110]



# COLOR RECONNECTION

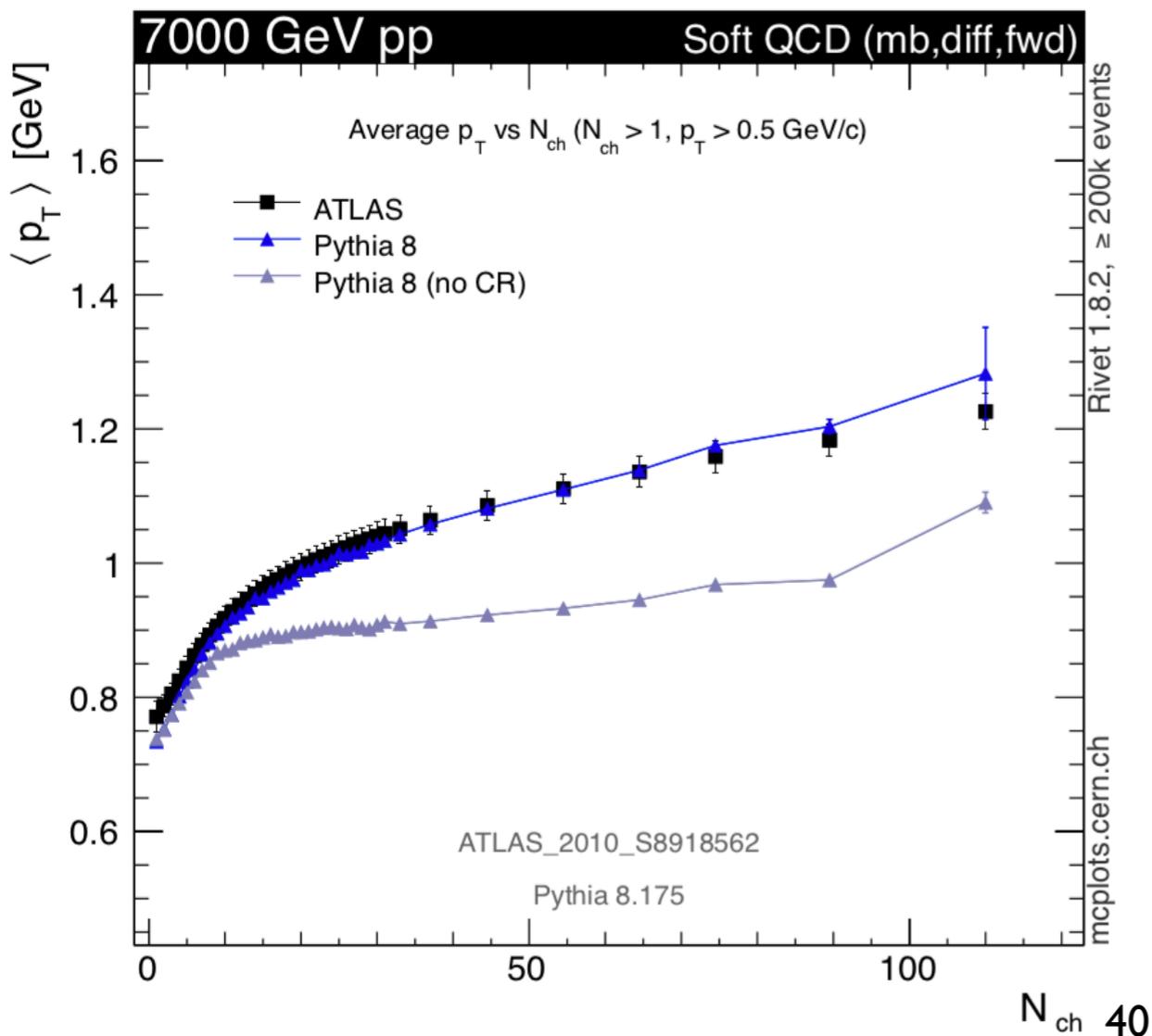
- First studied at LEP2 in  $e^+e^- \rightarrow WW \rightarrow 4 \text{ jets}$ 
  - ▶ Color reconnection implied a non-perturbative uncertainty on the  $W$  mass measurement,  $\Delta M_W \sim 40 \text{ MeV}$
  - ▶ Best fit of CR strength  $\sim 10\% \sim 1/N_C^2$



- In  $pp$  collisions many more coloured particles involved, we can expect color reconnection effect to be more important
  - ▶ Coloured particles in the initial state
  - ▶ Multiple partonic interactions

# COLOR RECONNECTION AND TOP MASS

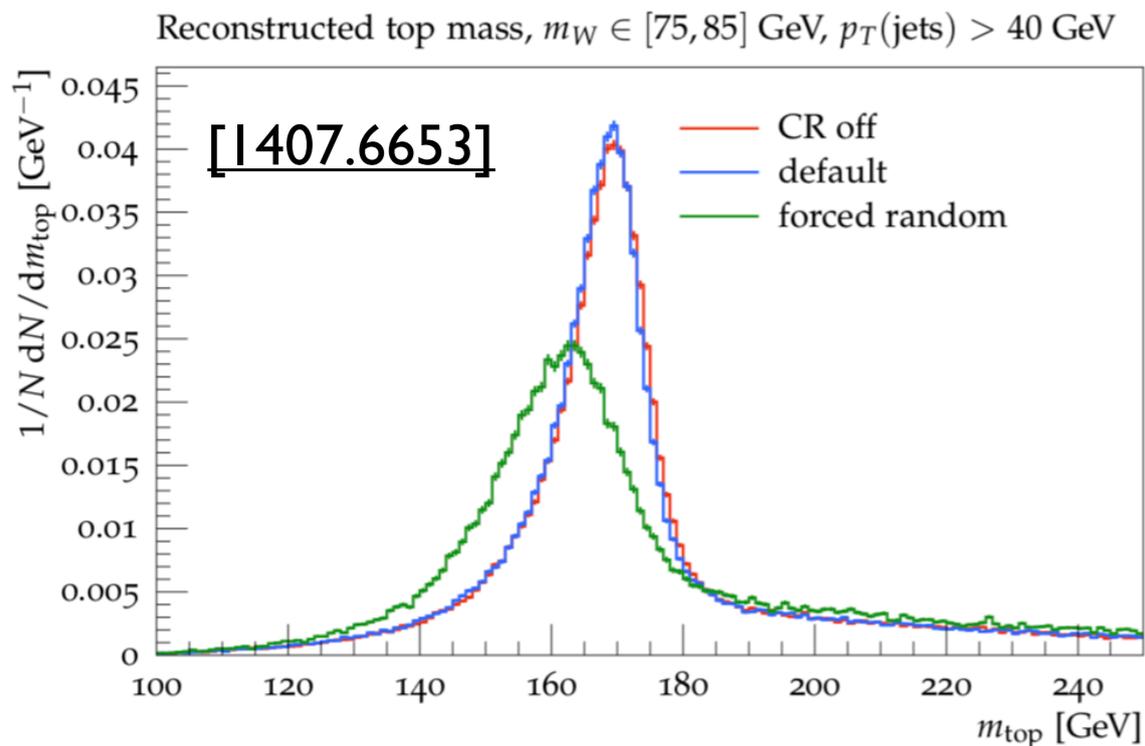
- In pp collisions CR is essential to describe  $\langle p_T \rangle(n_{ch})$
- CR acts before hadrons are generated. The ends of the colour lines are reconnected, resulting in a different colour configuration.



- In MC generators CR models typically attempt to minimise string lengths or cluster masses
- ▶ With color-reconnection the MPIs hadronize collectively
- ▶ Trade particle multiplicity for an increased  $p_T$

# COLOR RECONNECTION AND TOP MASS

- Top mass measurements have reached unprecedented precision
  - ▶ CMS  $m_t = 172.35 \pm 0.16(\text{stat}) \pm 0.48(\text{sys})$  GeV (PRD93 (2016) 072004)
  - ▶ Effect from CR estimated to be  $\sim 100$  MeV by switching off CR in Pythia6



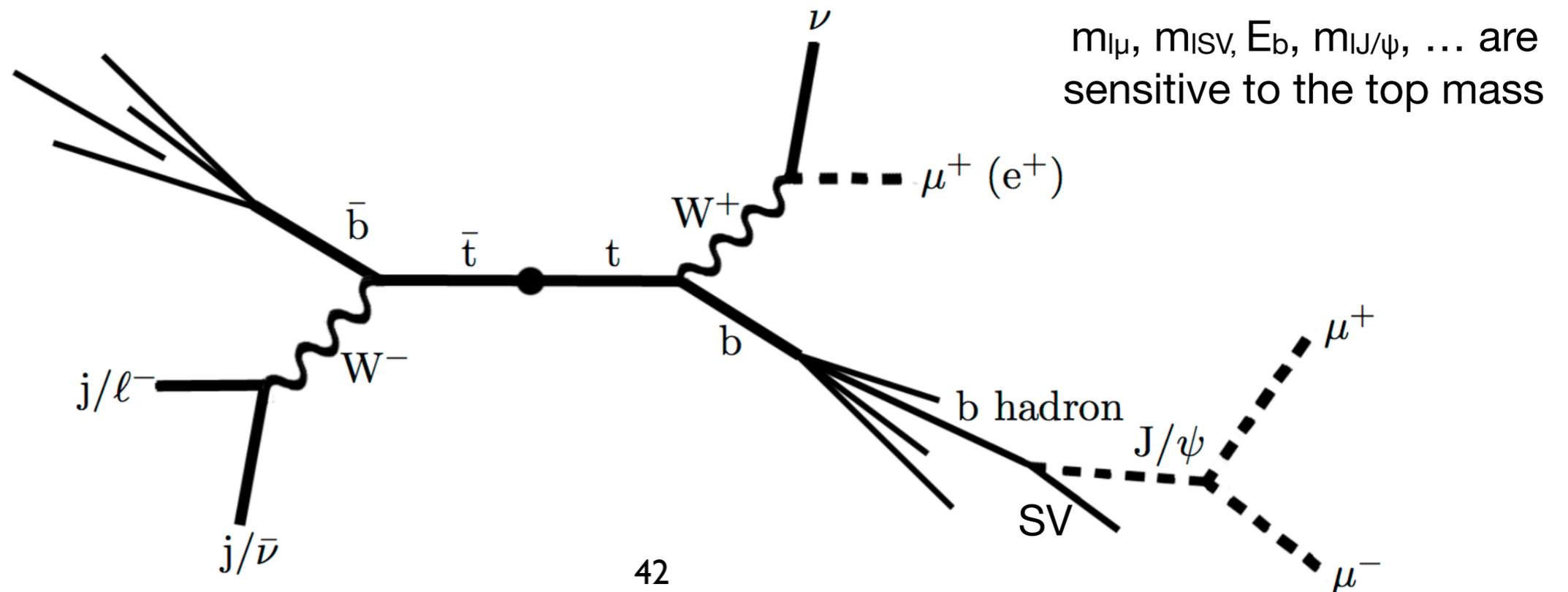
$\Delta m_{\text{top}}$  relative to no CR:

model	$\Delta m_{\text{top}}$ [GeV]	$\Delta m_{\text{top}}$ rescaled
default (late)	-0.415	+0.209
default early	+0.381	+0.285
forced random	-6.970	-6.508

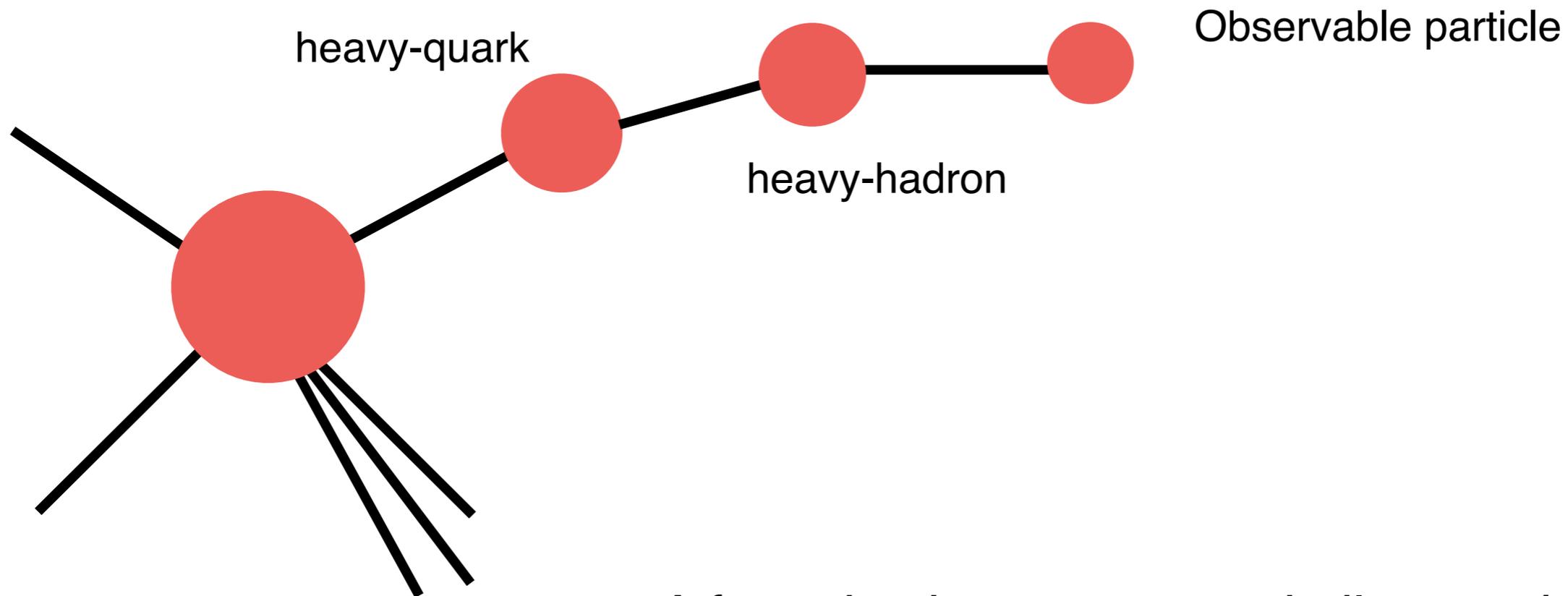
- Top Width  $\sim 1.5$  GeV close to hadronization scale
  - ▶ Top decay product also reconnect?
- Several extreme CR models are implemented and studied in Pythia8
  - ▶ Comparing them CR systematic on top mass estimated to be of order **0.50 GeV**
  - ▶ Larger than what one gets varying the parameters within any given model
  - ▶ For more precise measurement crucial to further constrain these models using jet profiles and underlying event in  $t\bar{t}$

# TOP MASS FROM B-HADRON DECAYS

- Can look at properties of the fragmentation of the b-quark as proxies to the top mass:
  - ▶ First paper from CDF used the *B-hadron decay length* [[0612061](#)]
  - ▶ CMS published 8 TeV measurements using samples of *ttbar+J/ψ* [[1603.06536](#)] and *ttbar+charged particles* [[1608.03560](#)]
- Little sensitivity to the jet energy scale (which dominates standard methods)
- Smaller dataset and a significant dependence on the modelling of fragmentation



# MODELLING FRAGMENTATION



- \* A factorisation structure, similar as what we saw for PDFs, is true for fragmentation functions

$$\frac{d\sigma(b \rightarrow B \rightarrow J/\psi)}{dp_T} = \frac{\sigma(b)}{d\hat{p}_T} \otimes f(b \rightarrow B) \otimes g(B \rightarrow J/\psi)$$

Hard process (computed in pQCD) Weak decay  
 Universal Non-perturbative fragmentation function

# FRAGMENTATION FUNCTIONS

- Fragmentation functions cannot be calculated perturbatively, but their  $s$ -dependence (scaling violations) is given by the DGLAP equations:

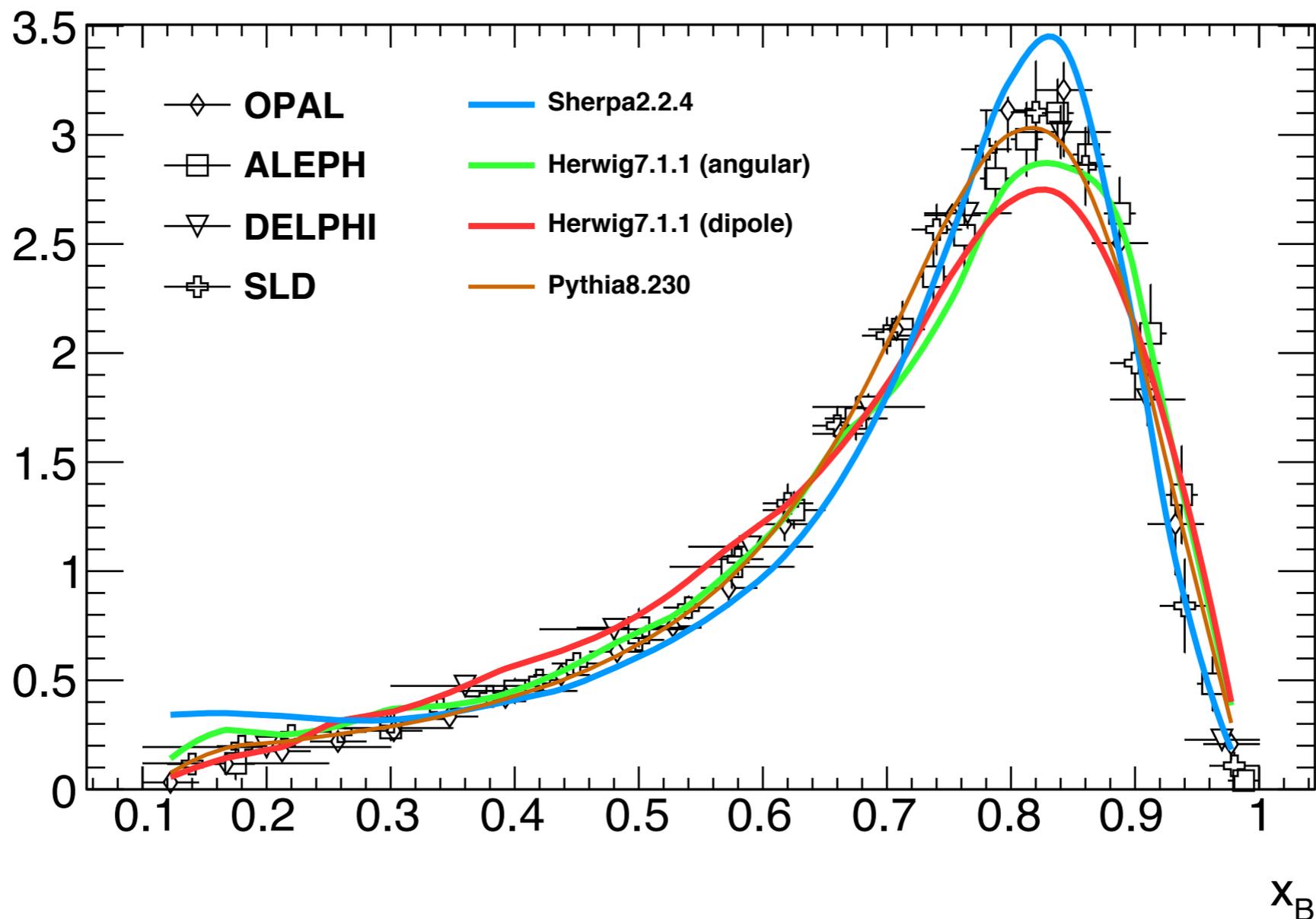
$$s \frac{\partial}{\partial s} D_i^h(x, s) = \sum_j \int_x^1 \frac{dz}{z} P_{ji}(z, \alpha_s(s)) D_j^h(x/z, s) .$$

- They can be parametrised as some fixed scale  $s_0$  and then predicted at other energies
- Until now I have not mentioned MC event generators at all
  - ▶ One could take an NLL calculation; fit the non perturbative fragmentation function to some data, then use it to predict a B-hadron spectrum
  - ▶ But this approach would not give us a fully exclusive description of the event and would not include effects from underlying-event or colour reconnection

# COMPARED TO EVENT GENERATORS

- Fragmentation function for bottom-quarks has been measured by LEP/SLD experiments

$$s = q^2, \quad x = 2p_h \cdot q / q^2 = 2E_h / E_{cm}$$



# FRAGMENTATION MODELS

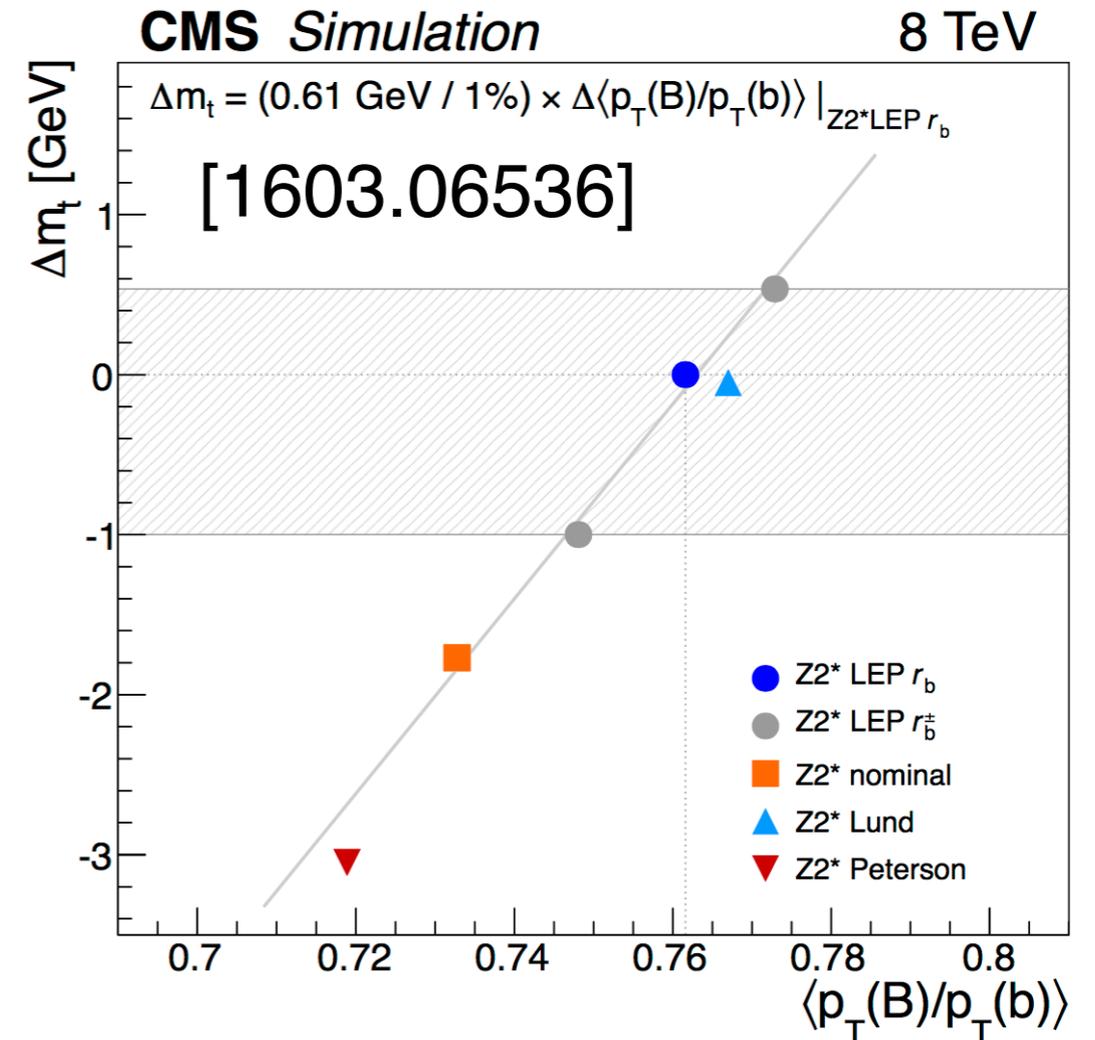
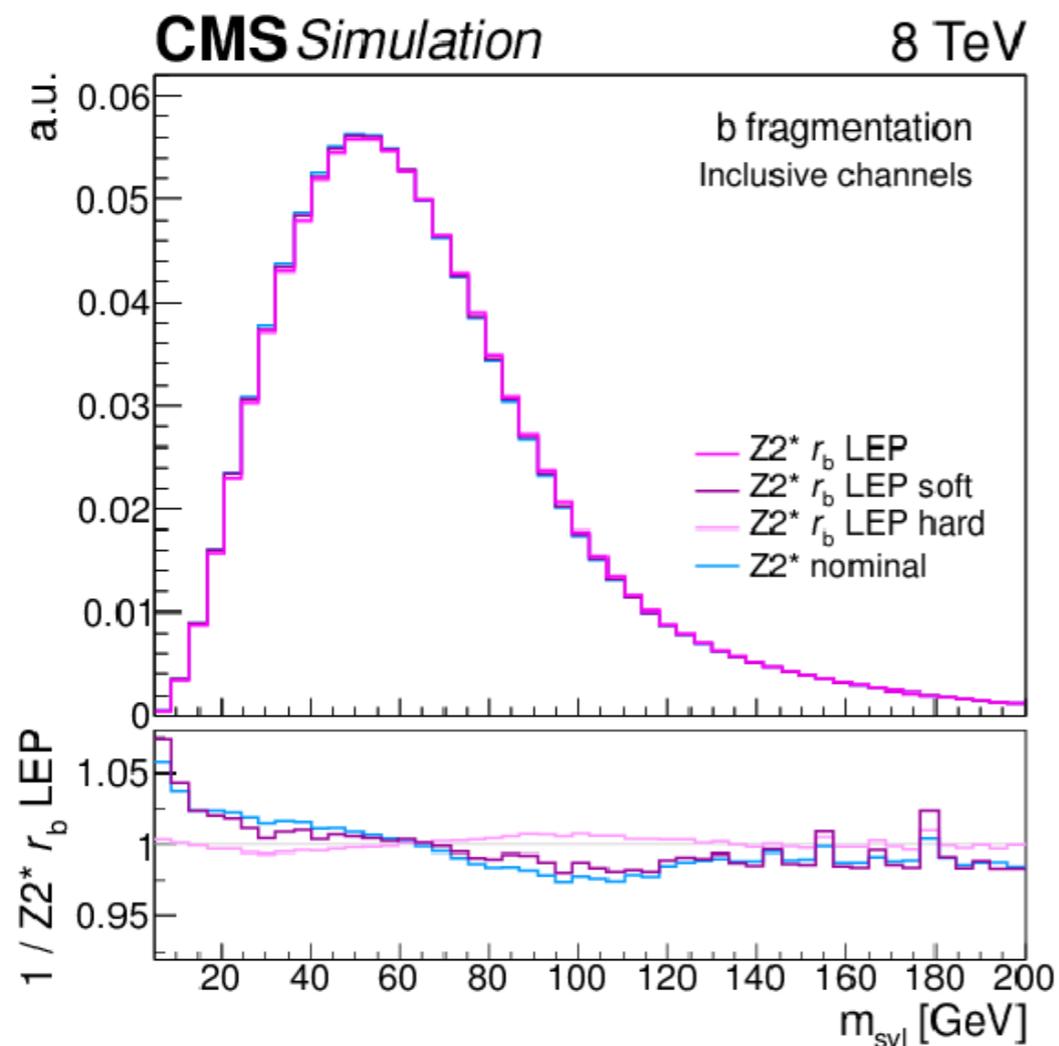
- In Pythia8 the fragmentation of heavy quarks is determined by the Bowler modification of the Lund string function
  - The  $a$  and  $b$  parameters are universal in the model and are constrained by measurements of charged multiplicity, event shapes
  - The  $r_b$  parameter acts as an effective mass for the heavy quark

$$f_B(z) \propto \frac{1}{z^{1+br_b m_b^2}} (1-z)^a \exp(-bm_T^2/z)$$

- In Herwig no explicit fragmentation function
- But specific parameters to vary probabilities of heavy-quark cluster masses and splitting probabilities

# IMPACT ON TOP MASS

- Fragmentation uncertainties on the top mass are estimated by fitting  $r_b$  in Pythia6 to  $e^+e^-$  data  $r_b = 0.591^{+0.216}_{-0.274}$ 
  - ▶ Comparisons are also made using alternative functional form for the heavy quark fragmentation (Peterson) or with Herwig, smaller than fit uncertainties, so not used for the final uncertainty
- Top mass uncertainties from these methods 2 - 4 GeV, not yet competitive with traditional methods



## SUMMARY

- \* LHC measurements have reached outstanding precision
  - ▶ Sub-percent relative precision feasible for many processes, absolute precision only limited by luminosity
  - ▶ Could bring PDFs into an era of precision
  - ▶ Precision measurements probing effect of  $o(\Lambda_{\text{QCD}})$
- \* But we are already pushing theory beyond its limits
  - \* And often the limitations come from our understanding of ambiguous non-perturbative effects
- \* With the HL-LHC program we expect to collect  $3 \text{ ab}^{-1}$  of data
- \* Crucial to not only make progress on the perturbative side but also on the non-perturbative part of computations
  - ▶ And should probably start to question some of our assumptions (e.g. hadronization universality)