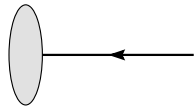
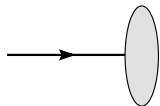
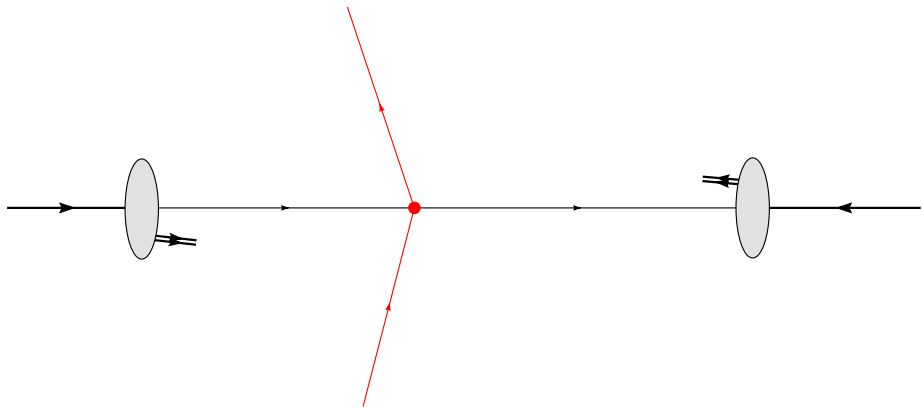


# Hard Scattering

# Hard scattering

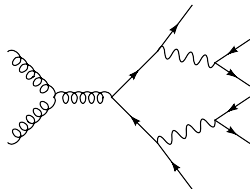


# Hard scattering



# Matrix elements

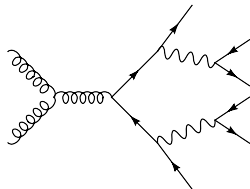
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- OK for very inclusive observables.

# Matrix elements

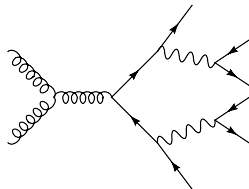
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- OK for very inclusive observables.
- Starting point for further simulation.
- Want exclusive final state at the LHC ( $O(100)$ ).
- Want arbitrary cuts.
- $\rightarrow$  use Monte Carlo methods.

# Matrix elements

Where do we get (LO)  $|M|^2$  from?

- Most/important simple processes (SM and BSM) are ‘built in’.
- Calculate yourself ( $\leq 3$  particles in final state).
- Matrix element generators:
  - MadGraph/MadEvent.
  - Comix/AMEGIC (part of Sherpa).
  - HELAC/PHEGAS.
  - Whizard.
  - CalcHEP/CompHEP.

generate code or event files that can be further processed.

- $\rightarrow$  FeynRules interface to ME generators.

Also NLO mostly automatically available.

See “Matching and Merging”.

# Cross section formula

From Matrix element, we calculate

$$\sigma = \int f_i(x_1, \mu^2) f_j(x_2, \mu^2) \frac{1}{F} \sum |M|^2 dx_1 dx_2 d\Phi_n ,$$



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now,

$$\frac{1}{F} dx_1 dx_2 d\Phi_n = J(\vec{x}) \prod_{i=1}^{3n-2} dx_i \quad \left( d\Phi_n = (2\pi)^4 \delta^{(4)}(\dots) \prod_{i=1}^n \frac{d^3\vec{p}}{(2\pi)^3 2E_i} \right)$$

such that

$$\begin{aligned} \sigma &= \int g(\vec{x}) d^{3n-2}\vec{x} , & \left( g(\vec{x}) = J(\vec{x}) f_i f_j \overline{\sum} |M|^2 \Theta(\text{cuts}) \right) \\ &= \frac{1}{N} \sum_{i=1}^N \frac{g(\vec{x}_i)}{p(\vec{x}_i)} = \frac{1}{N} \sum_{i=1}^N w_i . \end{aligned}$$

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We generate **events**  $\vec{x}_i$  with **weights**  $w_i$ .

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where  $w_{\max}$  has to be chosen sensibly.

→ reweighting, when  $\max(w_i) = \bar{w}_{\max} > w_{\max}$ , as

$$P_i = \frac{w_i}{\bar{w}_{\max}} = \frac{w_i}{w_{\max}} \cdot \frac{w_{\max}}{\bar{w}_{\max}},$$

*i.e.* reject events with probability  $(w_{\max}/\bar{w}_{\max})$  afterwards.

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# Matrix elements

Some comments:

- Use common Monte Carlo techniques to generate events efficiently. Goal: small variance in  $w_i$  distribution!

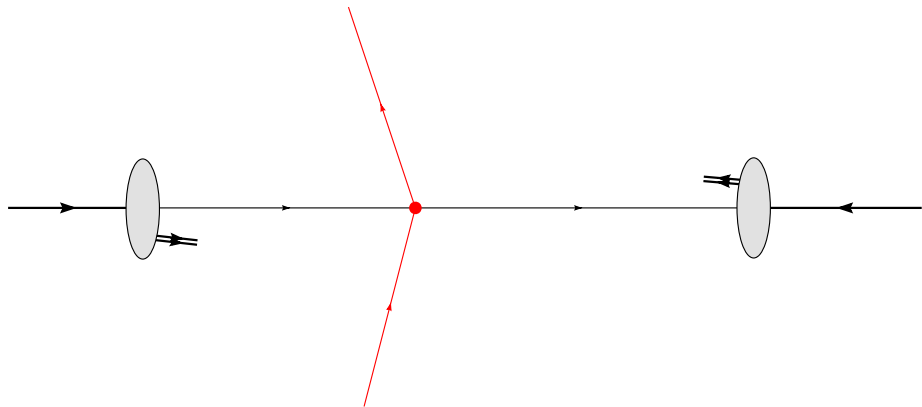
# Matrix elements

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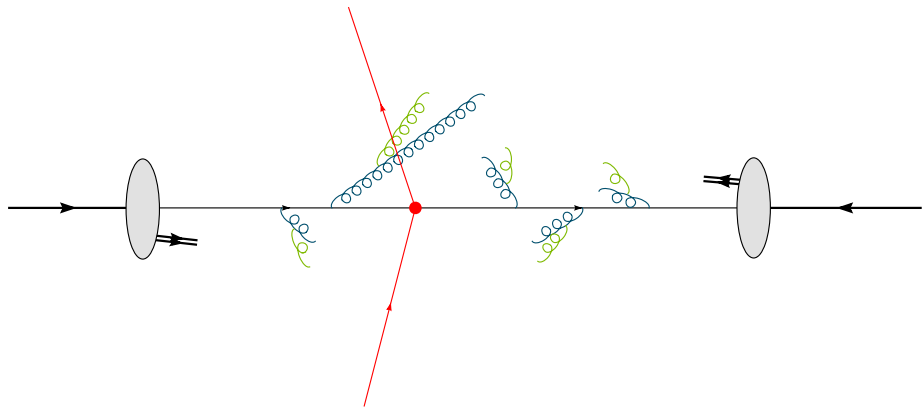
- Use common Monte Carlo techniques to generate events efficiently. Goal: small variance in  $w_i$  distribution!
- Efficient generation closely tied to knowledge of  $f(\vec{x}_i)$ , *i.e.* the matrix element's propagator structure.  
→ build phase space generator already while generating ME's automatically.

# Parton Showers

# Hard matrix element



# Hard matrix element $\rightarrow$ parton showers



# Parton showers

Quarks and gluons in final state, pointlike.

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- Know short distance (short time) fluctuations from matrix element/Feynman diagrams:  $Q \sim \text{few GeV to } O(\text{TeV})$ .
  
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Generated from emissions *ordered* in  $Q$ .

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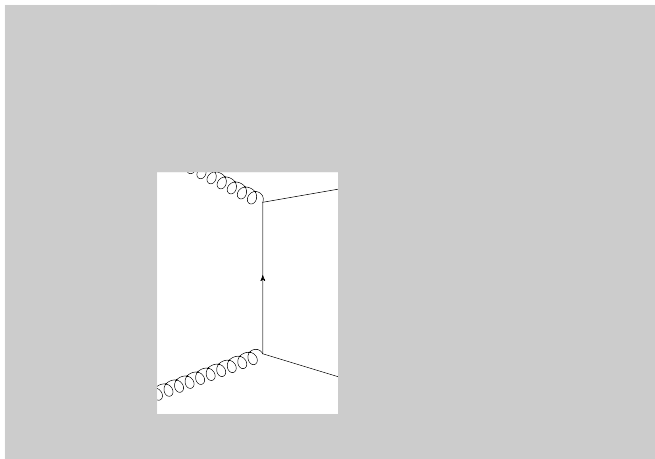
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Generated from emissions *ordered* in  $Q$ .

**Soft and/or collinear emissions.**

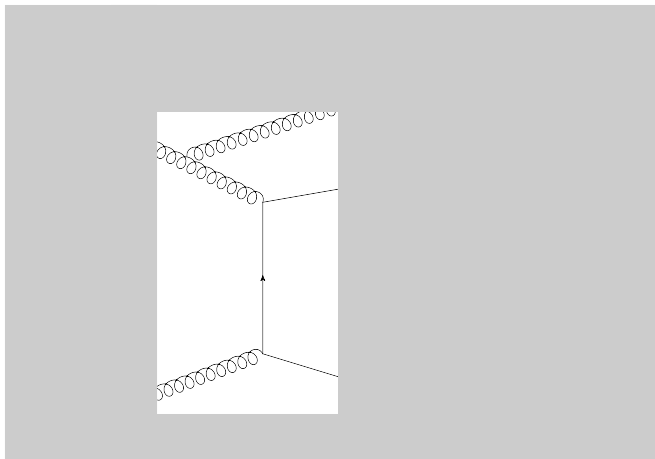
# ME approximated by parton cascade

Evolution in scale, typically  $Q \sim 1 \text{ TeV}$  down to  $Q \sim 1 \text{ GeV}$ .



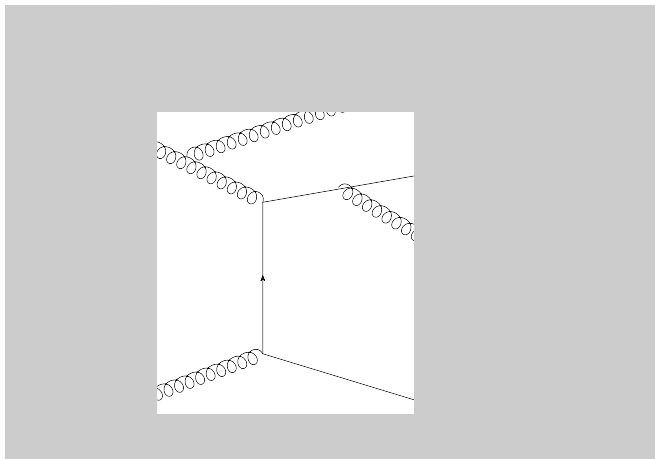
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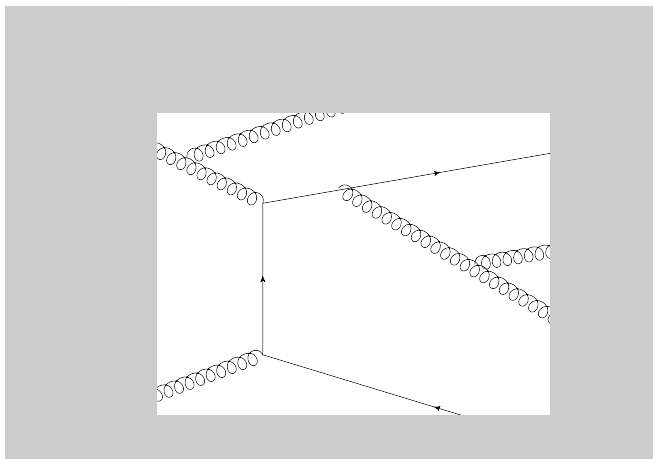
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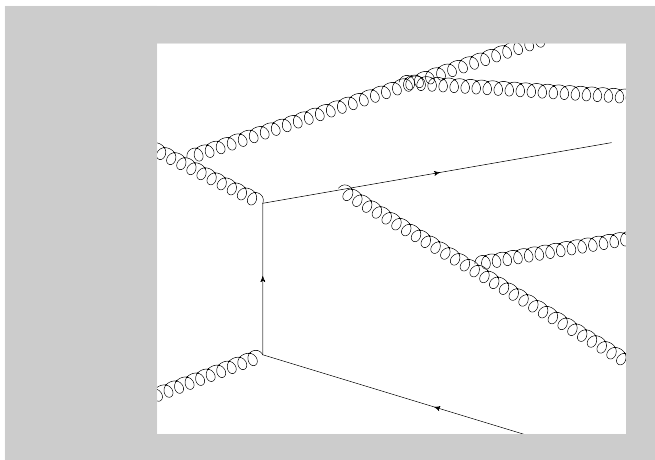
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# $e^+e^-$ annihilation

Good starting point:  $e^+e^- \rightarrow q\bar{q}g$ :

Final state momenta in one plane (orientation usually averaged).

Write momenta in terms of

$$x_i = \frac{2p_i \cdot q}{Q^2} \quad (i = 1, 2, 3),$$

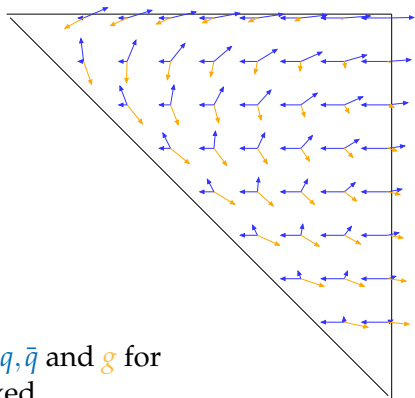
$$0 \leq x_i \leq 1, x_1 + x_2 + x_3 = 2,$$

$$q = (Q, 0, 0, 0),$$

$$Q \equiv E_{cm}.$$

Fig: momentum configuration of  $q, \bar{q}$  and  $g$  for given point  $(x_1, x_2)$ ,  $\bar{q}$  direction fixed.

$(x_1, x_2) = (x_q, x_{\bar{q}})$  -plane:



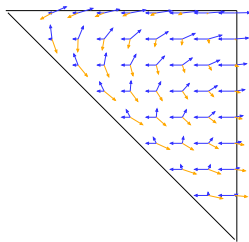
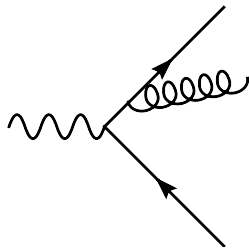


# $e^+e^-$ annihilation

Differential cross section:

$$\frac{d\sigma}{dx_1 dx_2} = \sigma_0 \frac{C_F \alpha_S}{2\pi} \frac{x_1 + x_2}{(1-x_1)(1-x_2)}$$

Collinear singularities:  $x_1 \rightarrow 1$  or  $x_2 \rightarrow 1$ . Soft singularity:  $x_1, x_2 \rightarrow 1$ .



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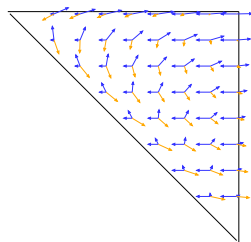
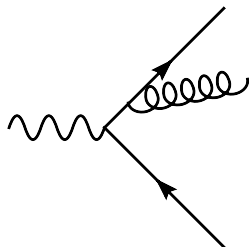
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Rewrite in terms of  $x_3$  and  $\theta = \angle(q, g)$ :

$$\frac{d\sigma}{d\cos\theta dx_3} = \sigma_0 \frac{C_F \alpha_S}{2\pi} \left[ \frac{2}{\sin^2\theta} \frac{1 + (1-x_3)^2}{x_3} - x_3 \right]$$

Singular as  $\theta \rightarrow 0$  and  $x_3 \rightarrow 0$ .



## $e^+e^-$ annihilation

Can separate into two jets as

$$\begin{aligned}\frac{2d\cos\theta}{\sin^2\theta} &= \frac{d\cos\theta}{1-\cos\theta} + \frac{d\cos\theta}{1+\cos\theta} \\ &= \frac{d\cos\theta}{1-\cos\theta} + \frac{d\cos\bar{\theta}}{1-\cos\bar{\theta}} \\ &\approx \frac{d\theta^2}{\theta^2} + \frac{d\bar{\theta}^2}{\bar{\theta}^2}\end{aligned}$$

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So, we rewrite  $d\sigma$  in collinear limit as

$$d\sigma = \sigma_0 \sum_{\text{jets}} \frac{d\theta^2}{\theta^2} \frac{\alpha_S}{2\pi} C_F \frac{1+(1-z)^2}{z^2} dz$$

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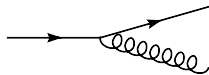
$$\begin{aligned}d\sigma &= \sigma_0 \sum_{\text{jets}} \frac{d\theta^2}{\theta^2} \frac{\alpha_S}{2\pi} C_F \frac{1+(1-z)^2}{z^2} dz \\ &= \sigma_0 \sum_{\text{jets}} \frac{d\theta^2}{\theta^2} \frac{\alpha_S}{2\pi} P(z) dz\end{aligned}$$

with DGLAP splitting function  $P(z)$ .

# Collinear limit

Universal DGLAP splitting kernels for collinear limit:

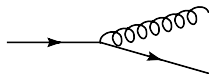
$$d\sigma = \sigma_0 \sum_{\text{jets}} \frac{d\theta^2}{\theta^2} \frac{\alpha_S}{2\pi} P(z) dz$$



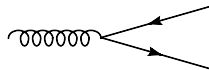
$$P_{q \rightarrow qg}(z) = C_F \frac{1+z^2}{1-z}$$



$$P_{g \rightarrow gg}(z) = C_A \frac{(1-z(1-z))^2}{z(1-z)}$$



$$P_{q \rightarrow gq}(z) = C_F \frac{1+(1-z)^2}{z}$$



$$P_{g \rightarrow qq}(z) = T_R(1-2z(1-z))$$

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**Note:** Other variables may equally well characterize the collinear limit:

$$\frac{d\theta^2}{\theta^2} \sim \frac{dQ^2}{Q^2} \sim \frac{dp_{\perp}^2}{p_{\perp}^2} \sim \frac{d\tilde{q}^2}{\tilde{q}^2} \sim \frac{dt}{t}$$

whenever  $Q^2, p_{\perp}^2, t \rightarrow 0$  means “collinear”.

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- $\theta$ : HERWIG
- $Q^2$ : PYTHIA  $\leq 6.3$ , SHERPA.
- $p_{\perp}$ : PYTHIA  $\geq 6.4$ , ARIADNE, Catani–Seymour showers.
- $\tilde{q}$ : Herwig++.

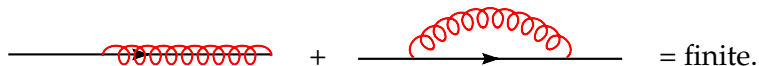


# Resolution

Need to introduce **resolution**  $t_0$ , e.g. a cutoff in  $p_{\perp}$ . Prevent us from the singularity at  $\theta \rightarrow 0$ .

Emissions below  $t_0$  are **unresolvable**.

Finite result due to virtual corrections:



The diagram shows two Feynman diagrams separated by a plus sign, followed by an equals sign and the word "finite". The first diagram is a horizontal line with a red wavy line (representing a gluon emission) attached to it. The second diagram is a horizontal line with a red loop (representing a virtual gluon correction) attached to it.

unresolvable + virtual emissions are included in Sudakov form factor via unitarity (see below!).

# Towards multiple emissions

Starting point: factorisation in collinear limit, single emission.

$$\sigma_{2+1}(t_0) = \sigma_2(t_0) \int_{t_0}^t \frac{dt'}{t'} \int_{z_-}^{z_+} dz \frac{\alpha_S}{2\pi} \hat{P}(z) = \sigma_2(t_0) \int_{t_0}^t dt W(t) .$$

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*Simple example:*

Multiple photon emissions, strongly ordered in  $t$ .

We want

$$W_{\text{sum}} = \sum_{n=1} W_{2+n} = \frac{\int \left| \begin{array}{c} \nearrow \\ \bullet \\ \searrow \\ \text{---} \\ \nearrow \end{array} \right|^2 d\Phi_1 + \int \left| \begin{array}{c} \nearrow \\ \bullet \\ \searrow \\ \text{---} \\ \nearrow \\ \text{---} \\ \nearrow \end{array} \right|^2 d\Phi_2 + \int \left| \begin{array}{c} \nearrow \\ \bullet \\ \searrow \\ \text{---} \\ \nearrow \\ \text{---} \\ \nearrow \\ \text{---} \\ \nearrow \end{array} \right|^2 d\Phi_3 + \dots}{\left| \begin{array}{c} \nearrow \\ \bullet \\ \searrow \end{array} \right|^2}$$


for any number of emissions.

# Towards multiple emissions


$$(n = 1) \bullet \begin{array}{l} \nearrow \\ \text{---} \\ \searrow \end{array}$$

$$W_{2+1} = \left( \int \left| \begin{array}{l} \nearrow \\ \text{---} \\ \searrow \end{array} \right|^2 + \left| \begin{array}{l} \nearrow \\ \text{---} \\ \searrow \end{array} \right|^2 d\Phi_1 \right) / \left| \begin{array}{l} \nearrow \\ \bullet \\ \searrow \end{array} \right|^2 = \frac{2}{1!} \int_{t_0}^t dt W(t) .$$

# Towards multiple emissions

$(n = 1)$  

$$W_{2+1} = \left( \int \left| \begin{array}{c} \text{diagram 1} \\ \text{diagram 2} \end{array} \right|^2 + \left| \begin{array}{c} \text{diagram 3} \\ \text{diagram 4} \end{array} \right|^2 d\Phi_1 \right) / \left| \begin{array}{c} \text{diagram 5} \\ \text{diagram 6} \end{array} \right|^2 = \frac{2}{1!} \int_{t_0}^t dt W(t).$$

$(n = 2)$  

$$W_{2+2} = \left( \int \left| \begin{array}{c} \text{diagram 1} \\ \text{diagram 2} \end{array} \right|^2 + \left| \begin{array}{c} \text{diagram 3} \\ \text{diagram 4} \end{array} \right|^2 + \left| \begin{array}{c} \text{diagram 5} \\ \text{diagram 6} \end{array} \right|^2 + \left| \begin{array}{c} \text{diagram 7} \\ \text{diagram 8} \end{array} \right|^2 d\Phi_2 \right) / \left| \begin{array}{c} \text{diagram 9} \\ \text{diagram 10} \end{array} \right|^2$$

$$= 2^2 \int_{t_0}^t dt' \int_{t_0}^{t'} dt'' W(t') W(t'') = \frac{2^2}{2!} \left( \int_{t_0}^t dt W(t) \right)^2.$$

We used

$$\int_{t_0}^t dt_1 \dots \int_{t_0}^{t_{n-1}} dt_n W(t_1) \dots W(t_n) = \frac{1}{n!} \left( \int_{t_0}^t dt W(t) \right)^n.$$

# Towards multiple emissions

Easily generalized to  $n$  emissions  by induction. *i.e.*

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So, in total we get

$$\sigma_{>2}(t_0) = \sigma_2(t_0) \sum_{k=1}^{\infty} \frac{2^k}{k!} \left( \int_{t_0}^t dt W(t) \right)^k = \sigma_2(t_0) \left( e^{2 \int_{t_0}^t dt W(t)} - 1 \right)$$

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So, in total we get

$$\begin{aligned} \sigma_{>2}(t_0) &= \sigma_2(t_0) \sum_{k=1}^{\infty} \frac{2^k}{k!} \left( \int_{t_0}^t dt W(t) \right)^k = \sigma_2(t_0) \left( e^{2 \int_{t_0}^t dt W(t)} - 1 \right) \\ &= \sigma_2(t_0) \left( \frac{1}{\Delta^2(t_0, t)} - 1 \right) \end{aligned}$$

## Sudakov Form Factor

$$\Delta(t_0, t) = \exp \left[ - \int_{t_0}^t dt W(t) \right]$$



# Towards multiple emissions

Easily generalized to  $n$  emissions  by induction. *i.e.*

$$W_{2+n} = \frac{2^n}{n!} \left( \int_{t_0}^t dt W(t) \right)^n$$

So, in total we get

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## Sudakov Form Factor in QCD

$$\Delta(t_0, t) = \exp \left[ - \int_{t_0}^t dt W(t) \right] = \exp \left[ - \int_{t_0}^t \frac{dt}{t} \int_{z_-}^{z_+} \frac{\alpha_S(z, t)}{2\pi} \hat{P}(z, t) dz \right]$$

# Sudakov form factor

Note that

$$\begin{aligned}\sigma_{\text{all}} &= \sigma_2 + \sigma_{>2} = \sigma_2 + \sigma_2 \left( \frac{1}{\Delta^2(t_0, t)} - 1 \right), \\ \Rightarrow \Delta^2(t_0, t) &= \frac{\sigma_2}{\sigma_{\text{all}}}.\end{aligned}$$

Two jet rate =  $\Delta^2 = P^2$  (No emission in the range  $t \rightarrow t_0$ ).

**Sudakov form factor = No emission probability .**

Often  $\Delta(t_0, t) \equiv \Delta(t)$ .

- Hard scale  $t$ , typically CM energy or  $p_{\perp}$  of hard process.
- Resolution  $t_0$ , two partons are resolved as two entities if inv mass or relative  $p_{\perp}$  above  $t_0$ .
- $P^2$  (not  $P$ ), as we have two legs that evolve independently.

# Sudakov form factor from Markov property

## *Unitarity*

$$\begin{aligned} P(\text{"some emission"}) + P(\text{"no emission"}) \\ = P(0 < t \leq T) + \bar{P}(0 < t \leq T) = 1. \end{aligned}$$

## *Multiplication law (no memory)*

$$\bar{P}(0 < t \leq T) = \bar{P}(0 < t \leq t_1) \bar{P}(t_1 < t \leq T)$$

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Then subdivide into  $n$  pieces:  $t_i = \frac{i}{n}T, 0 \leq i \leq n$ .

$$\begin{aligned} \bar{P}(0 < t \leq T) &= \lim_{n \rightarrow \infty} \prod_{i=0}^{n-1} \bar{P}(t_i < t \leq t_{i+1}) = \lim_{n \rightarrow \infty} \prod_{i=0}^{n-1} (1 - P(t_i < t \leq t_{i+1})) \\ &= \exp \left( - \lim_{n \rightarrow \infty} \sum_{i=0}^{n-1} P(t_i < t \leq t_{i+1}) \right) = \exp \left( - \int_0^T \frac{dP(t)}{dt} dt \right). \end{aligned}$$

## Sudakov form factor

Again, no-emission probability!

$$\bar{P}(0 < t \leq T) = \exp\left(-\int_0^T \frac{dP(t)}{dt} dt\right)$$

So,

$$\begin{aligned}dP(\text{first emission at } T) &= dP(T)\bar{P}(0 < t \leq T) \\ &= dP(T)\exp\left(-\int_0^T \frac{dP(t)}{dt} dt\right)\end{aligned}$$

**That's what we need for our parton shower!** Probability density for next emission at  $t$ :

$$dP(\text{next emission at } t) = \frac{dt}{t} \int_{z_-}^{z_+} \frac{\alpha_S(z, t)}{2\pi} \hat{P}(z, t) dz \exp\left[-\int_{t_0}^t \frac{dt}{t} \int_{z_-}^{z_+} \frac{\alpha_S(z, t)}{2\pi} \hat{P}(z, t) dz\right]$$

# Parton shower Monte Carlo

Probability density:

$dP(\text{next emission at } t) =$

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Hence, parton shower very roughly from (HERWIG):

- 1 Choose flat random number  $0 \leq \rho \leq 1$ .
- 2 If  $\rho < \Delta(t_{\max})$ : no resolvable emission, stop this branch.
- 3 Else solve  $\rho = \Delta(t_{\max})/\Delta(t)$   
(= no emission between  $t_{\max}$  and  $t$ ) for  $t$ .  
Reset  $t_{\max} = t$  and goto 1.

Determine  $z$  essentially according to integrand in front of exp.

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Conveniently, the probability distribution is  $\Delta(t)$  itself.

- That was old HERWIG variant. Relies on (numerical) integration/tabulation for  $\Delta(t)$ .
- Pythia, now also Herwig++, use the **Veto Algorithm**.
- Method to sample  $x$  from distribution of the type

$$dP = F(x) \exp \left[ - \int^x dx' F(x') \right] dx .$$

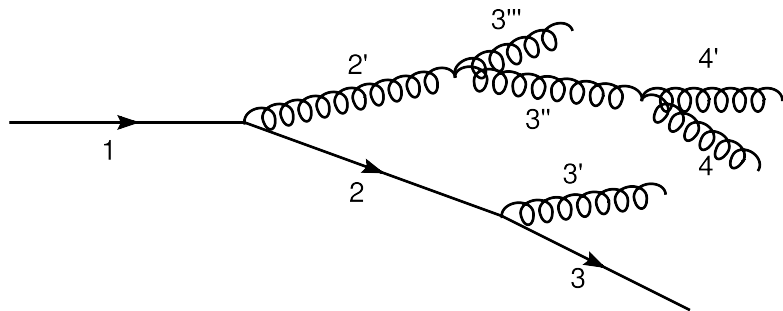
Simpler, more flexible, but slightly slower.





# Parton cascade

Get tree structure, ordered in evolution variable  $t$ :



Here:  $t_1 > t_2 > t_3; t_2 > t_{3'}$  etc.

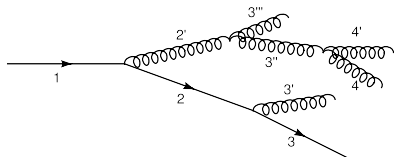
Construct four momenta from  $(t_i, z_i)$  and (random) azimuth  $\phi$ .

Not at all unique!

Many (more or less clever) choices still to be made.

# Parton cascade

Get tree structure, ordered in evolution variable  $t$ :



- $t$  can be  $\theta, Q^2, p_{\perp}, \dots$
- Choice of hard scale  $t_{\max}$  not fixed. “Some hard scale”.
- $z$  can be light cone momentum fraction, energy fraction, ...
- Available parton shower phase space.
- Integration limits.
- Regularisation of soft singularities.
- ...

Good choices needed here to describe wealth of data!

## Soft emissions

- Only *collinear* emissions so far.
- Including *collinear+soft*.
- *Large angle+soft* also important.

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Soft emission: consider *eikonal factors*,  
here for  $q(p+q) \rightarrow q(p)g(q)$ , soft  $g$ :

$$u(p) \not{\epsilon} \frac{\not{p} + \not{q} + m}{(p+q)^2 - m^2} \longrightarrow u(p) \frac{p \cdot \epsilon}{p \cdot q}$$

soft factorisation. Universal, *i.e.* independent of emitter.  
In general:

$$d\sigma_{n+1} = d\sigma_n \frac{d\omega}{\omega} \frac{d\Omega}{2\pi} \frac{\alpha_S}{2\pi} \sum_{ij} C_{ij} W_{ij} \quad (\text{"QCD-Antenna"})$$

with

$$W_{ij} = \frac{1 - \cos \theta_{ij}}{(1 - \cos \theta_{iq})(1 - \cos \theta_{jq})} .$$

# Soft emissions

We define

$$W_{ij} = \frac{1 - \cos \theta_{ij}}{(1 - \cos \theta_{iq})(1 - \cos \theta_{qj})} \equiv W_{ij}^{(i)} + W_{ij}^{(j)}$$

with

$$W_{ij}^{(i)} = \frac{1}{2} \left( W_{ij} + \frac{1}{1 - \cos \theta_{iq}} - \frac{1}{1 - \cos \theta_{qj}} \right) .$$

$W_{ij}^{(i)}$  is only collinear divergent if  $q \parallel i$  etc .

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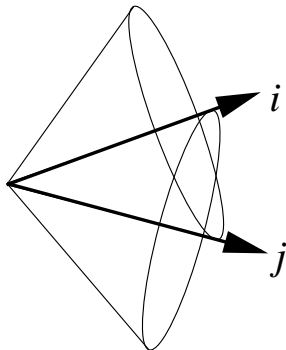
After integrating out the azimuthal angles, we find

$$\int \frac{d\phi_{iq}}{2\pi} W_{ij}^{(i)} = \begin{cases} \frac{1}{1 - \cos \theta_{iq}} & (\theta_{iq} < \theta_{ij}) \\ 0 & \text{otherwise} \end{cases}$$

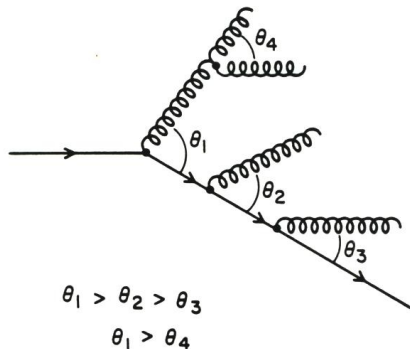
**That's angular ordering.**

# Angular ordering

Radiation from parton  $i$  is bound to a cone, given by the colour partner parton  $j$ .



Results in angular ordered parton shower and suppresses soft gluons viz. hadrons in a jet.





# Colour coherence from CDF

Events with 2 hard ( $> 100$  GeV) jets and a soft 3rd jet ( $\sim 10$  GeV)

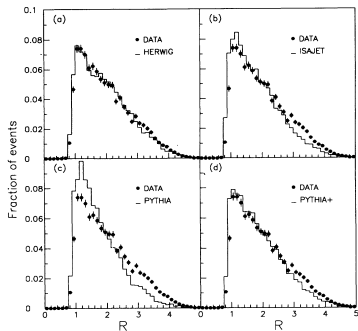


FIG. 14. Observed  $R$  distribution compared to the predictions of (a) HERWIG; (b) ISAJET; (c) PYTHIA; (d) PYTHIA+.

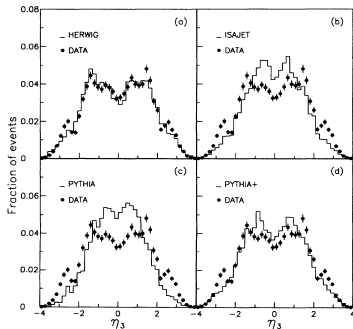


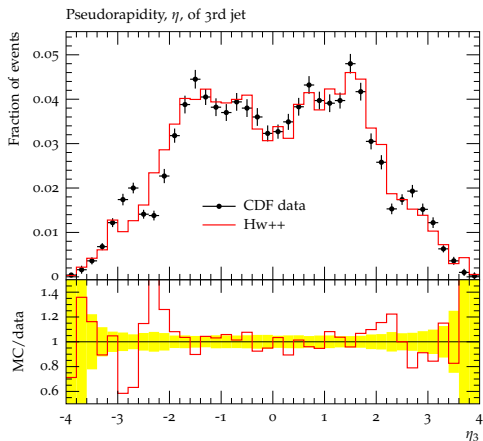
FIG. 13. Observed  $\eta_3$  distribution compared to the predictions of (a) HERWIG; (b) ISAJET; (c) PYTHIA; (d) PYTHIA+.

F. Abe *et al.* [CDF Collaboration], *Phys. Rev. D* **50** (1994) 5562.

Best description with angular ordering.

# Colour coherence from CDF

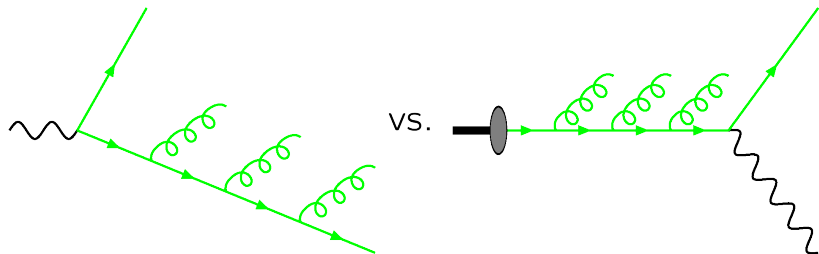
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# Initial state radiation



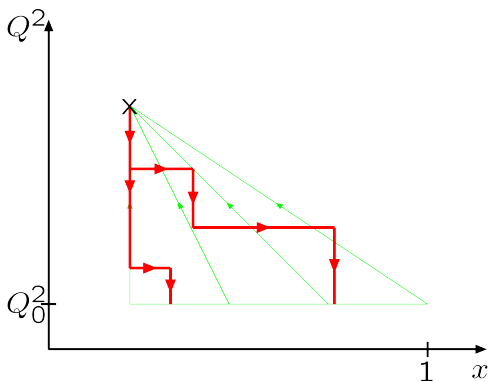
Similar to final state radiation. Sudakov form factor ( $x' = x/z$ )

$$\Delta(t, t_{\max}) = \exp \left[ - \sum_b \int_t^{t_{\max}} \frac{dt}{t} \int_{z_-}^{z_+} dz \frac{\alpha_S(z, t)}{2\pi} \frac{x' f_b(x', t)}{x f_a(x, t)} \hat{P}_{ba}(z, t) \right]$$

Have to **divide out the pdfs.**

# Initial state radiation

Evolve backwards from hard scale  $Q^2$  *down* towards cutoff scale  $Q_0^2$ . Thereby increase  $x$ .



With parton shower we *undo* the DGLAP evolution of the pdfs.

# Dipoles

Exact kinematics when recoil is taken by *spectator(s)*.

- Dipole showers.
- Ariadne.
- Recoils in Pythia.
- New dipole showers, based on
  - Catani Seymour dipoles.
  - QCD Antennae.
  - Herwig, Sherpa, Vincia, Dire, ...
  - Goal: matching with NLO.
- Generalized to IS–IS, IS–FS.

