

# Compton Scattering and the Nucleon Polarizabilities

Precision Hadron Structure at MAMI

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# Outline

- Motivation
- Proton - scalar and spin polarizabilities
- Neutron - scalar polarizabilities
- Summary and Outlook

# How do we test QCD in the non-perturbative regime?

High-precision measurements of polarization observables.

- **Hadron Polarizabilities:**

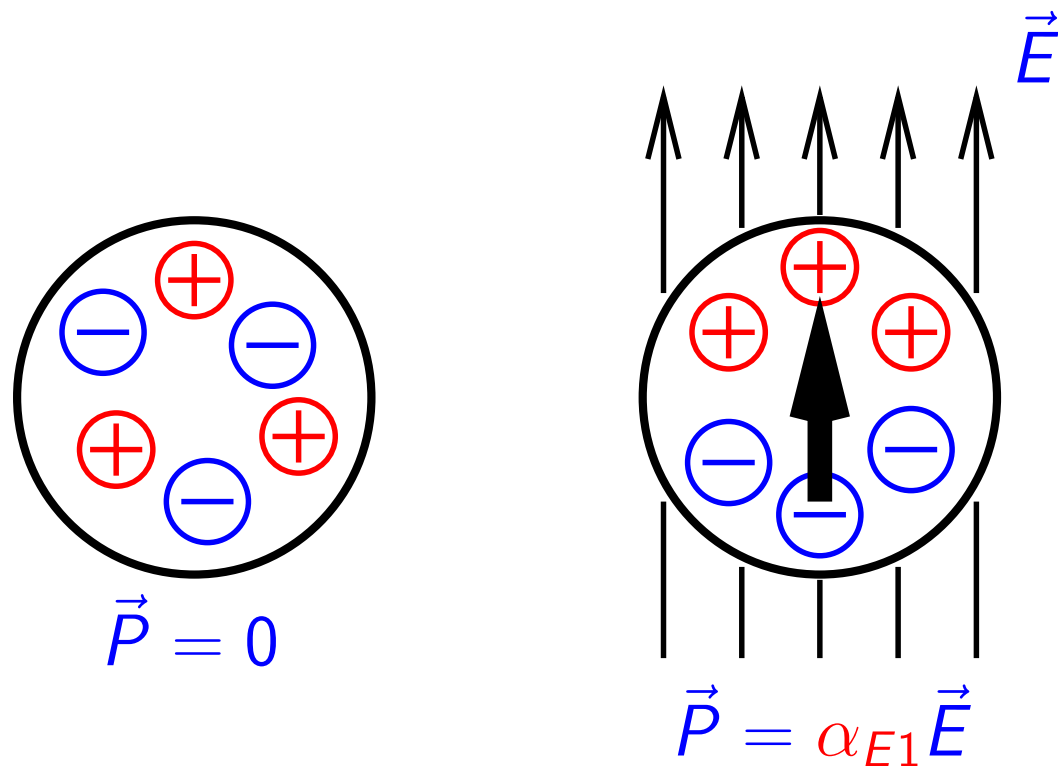
- Fundamental structure constants
- Response of internal structure to external fields
- Fertile meeting ground between theory and experiment
- Best accessed via **Compton scattering**, both real and virtual

- **Theoretical Approaches:**

- Dispersion Relations
- Chiral Perturbation Theory
- Lattice QCD

# Scalar Polarizabilities - Conceptual

## Electric Dipole Polarizability



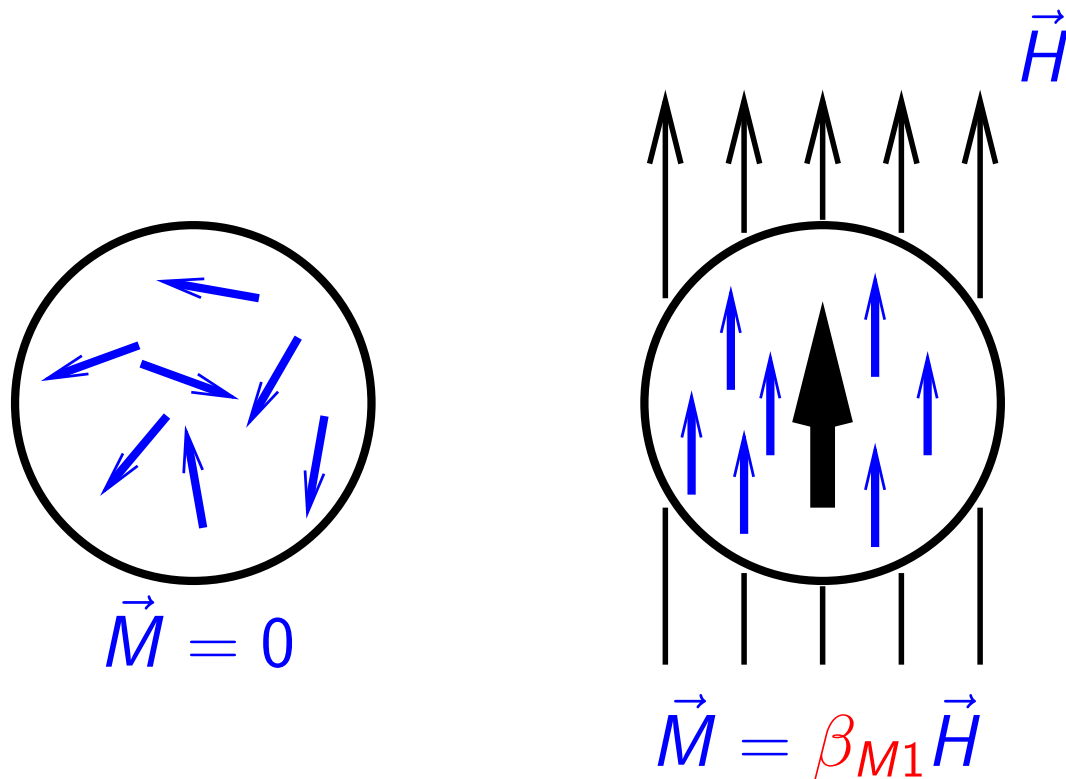
- ▶ Apply an electric field to a composite system
- ▶ Separation of Charge, or **“Stretchability”**
- ▶ Proportionality constant between electric dipole moment and electric field is the electric dipole polarizability,  $\alpha_{E1}$ .

Provides information on force holding system together.



# Scalar Polarizabilities - Conceptual

## Magnetic Dipole Polarizability



- ▶ Apply a magnetic field to a composite system
- ▶ Alignment of dipoles or “**Alignability**”
- ▶ Proportionality constant between magnetic dipole moment and magnetic field is the magnetic dipole polarizability,  $\beta_{M1}$ .
- ▶ Two contributions, paramagnetic and diamagnetic, and they cancel partially, giving  $\beta_{M1} < \alpha_{E1}$ .

Provides information on force holding system together.

# How about subatomic particles?

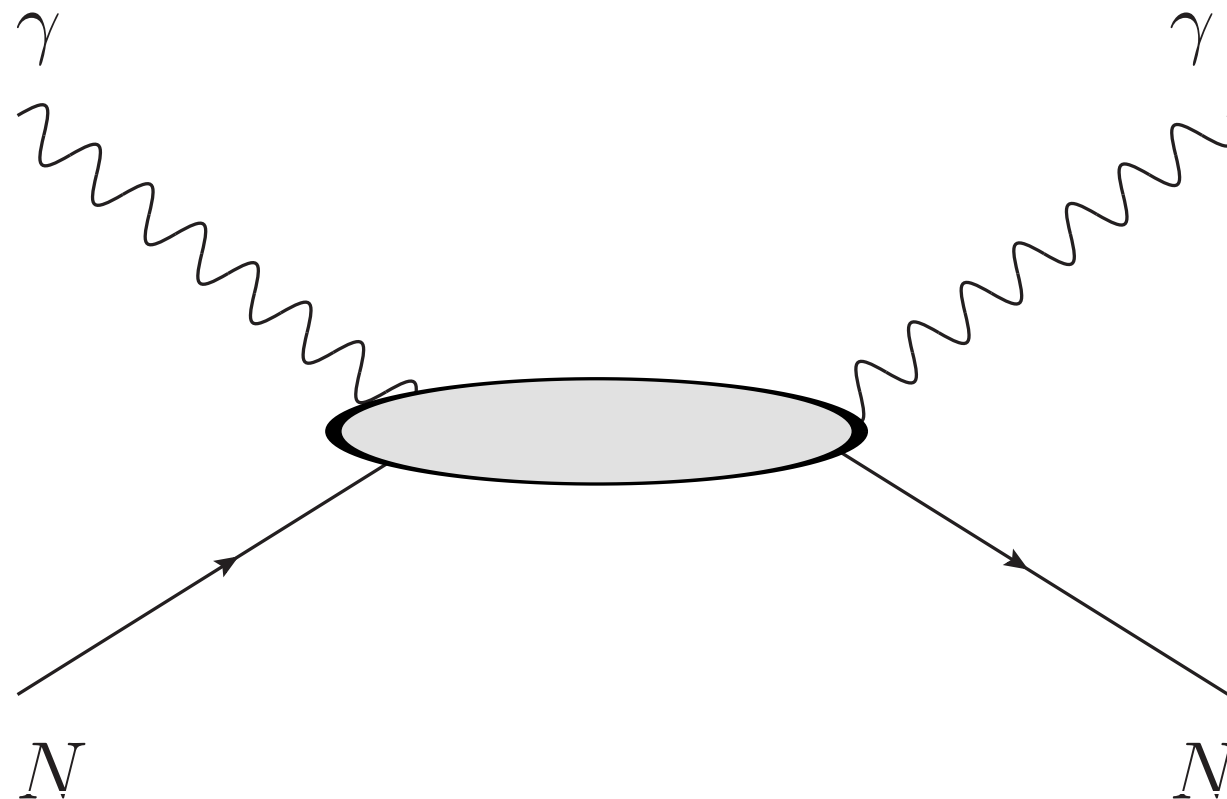
- We obviously can't just put a proton between the plates of a capacitor or the poles of a magnet and measure its deformation. What to do?
- The answer of course is **Compton scattering**!
- What kind of fields can we get from from a high-energy photon?
- Naively, for a **100-MeV** photon:

$$\begin{aligned} E &= \frac{V}{d} \\ &\simeq \frac{100 \text{ MV}}{10^{-15} \text{ m}} \\ &\simeq 10^{23} \text{ V/m} \end{aligned}$$

**A HUGE field!**

# Real Compton Scattering from the Nucleon

- The outgoing photon *plays the role of the applied EM field*.
- Nucleon Response.
- POLARIZABILITIES!
- Global response to the internal degrees of freedom.



# Compton Scattering - Hamiltonian

Expand the Hamiltonian in incident-photon energy.

0th order  $\longrightarrow$  charge, mass

1st order  $\longrightarrow$  magnetic moment

2nd order  $\longrightarrow$  **scalar polarizabilities:**

$$H_{\text{eff}}^{(2)} = -4\pi \left[ \frac{1}{2} \alpha_{E1} \vec{E}^2 + \frac{1}{2} \beta_{M1} \vec{H}^2 \right]$$

3rd order  $\longrightarrow$  **spin (or vector) polarizabilities:**

$$H_{\text{eff}}^{(3)} = -4\pi \left[ \frac{1}{2} \gamma_{E1E1} \vec{\sigma} \cdot (\vec{E} \times \dot{\vec{E}}) + \frac{1}{2} \gamma_{M1M1} \vec{\sigma} \cdot (\vec{H} \times \dot{\vec{H}}) \right. \\ \left. - \gamma_{M1E2} E_{ij} \sigma_i H_j + \gamma_{E1M2} H_{ij} \sigma_i E_j \right]$$

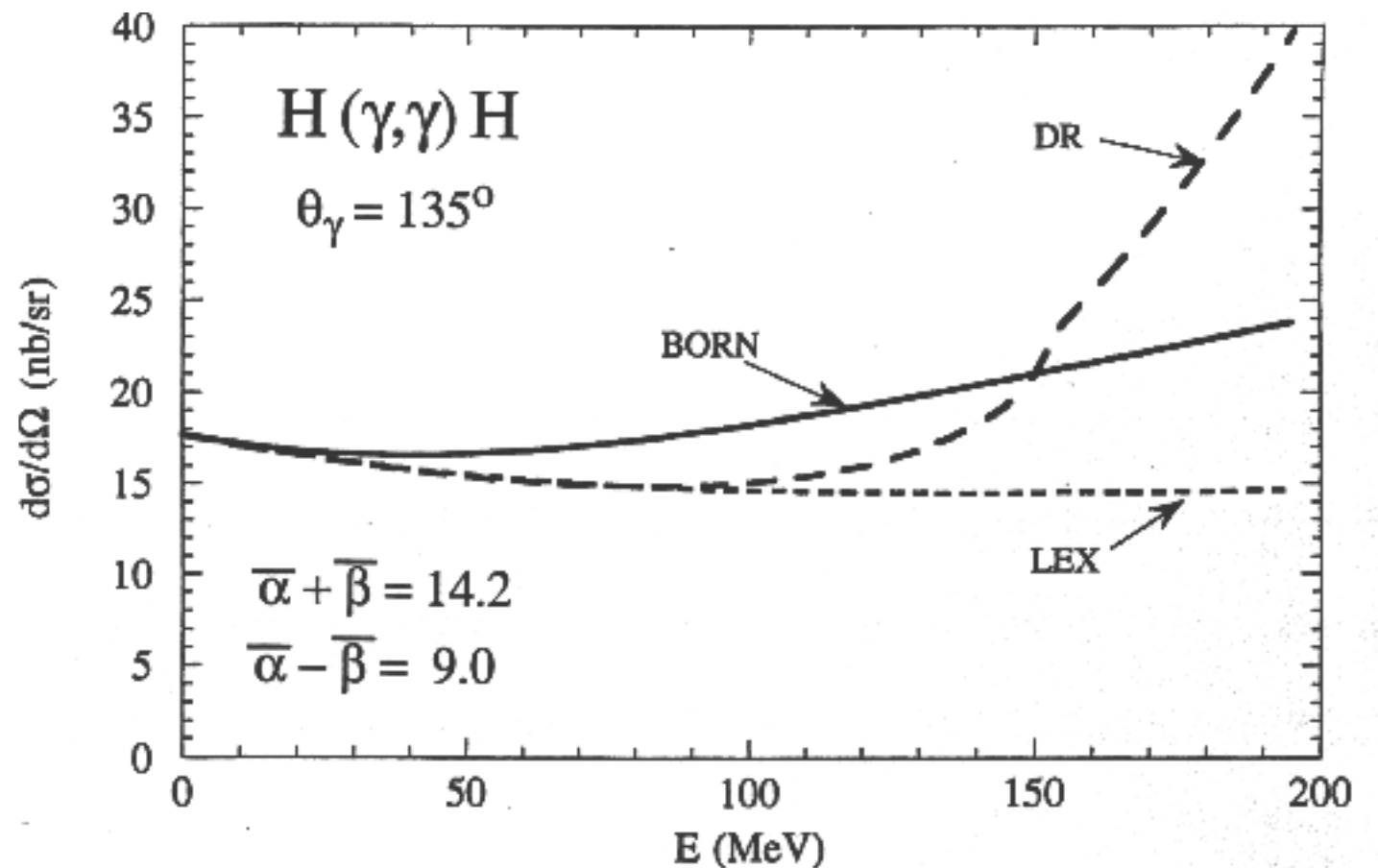
# Low-Energy Expansion - LEX

How do you extract polarizabilities from Compton scattering data?

LEX:

$$\frac{d\sigma}{d\Omega}(\nu, \theta) = \frac{d\sigma}{d\Omega}^{\text{Born}}(\nu, \theta) - \nu\nu' \left( \frac{\nu'}{\nu} \right) \frac{e^2}{2m} [(\alpha_{E1} + \beta_{M1})(1 + z^2)(\alpha_{E1} - \beta_{M1})(1 - z^2)]$$

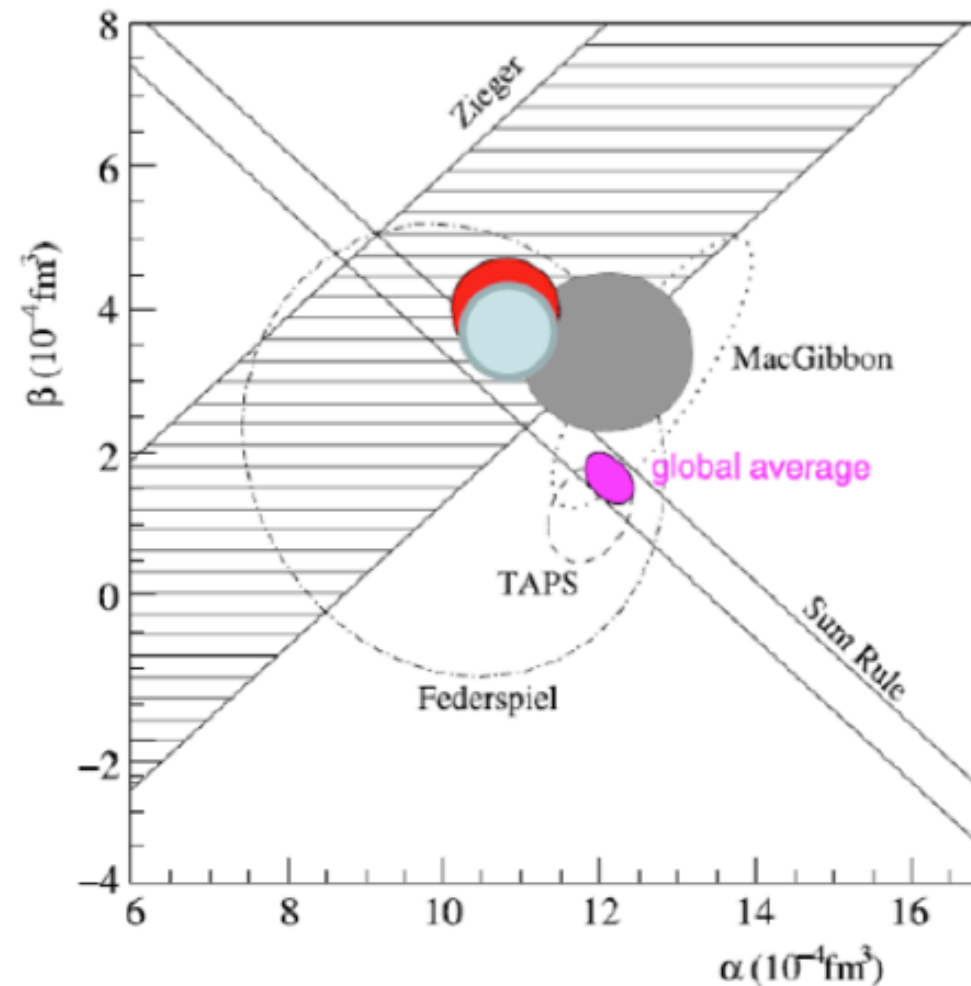
with  $z = \cos \theta$



Measure low energies and precise cross sections/asymmetries!

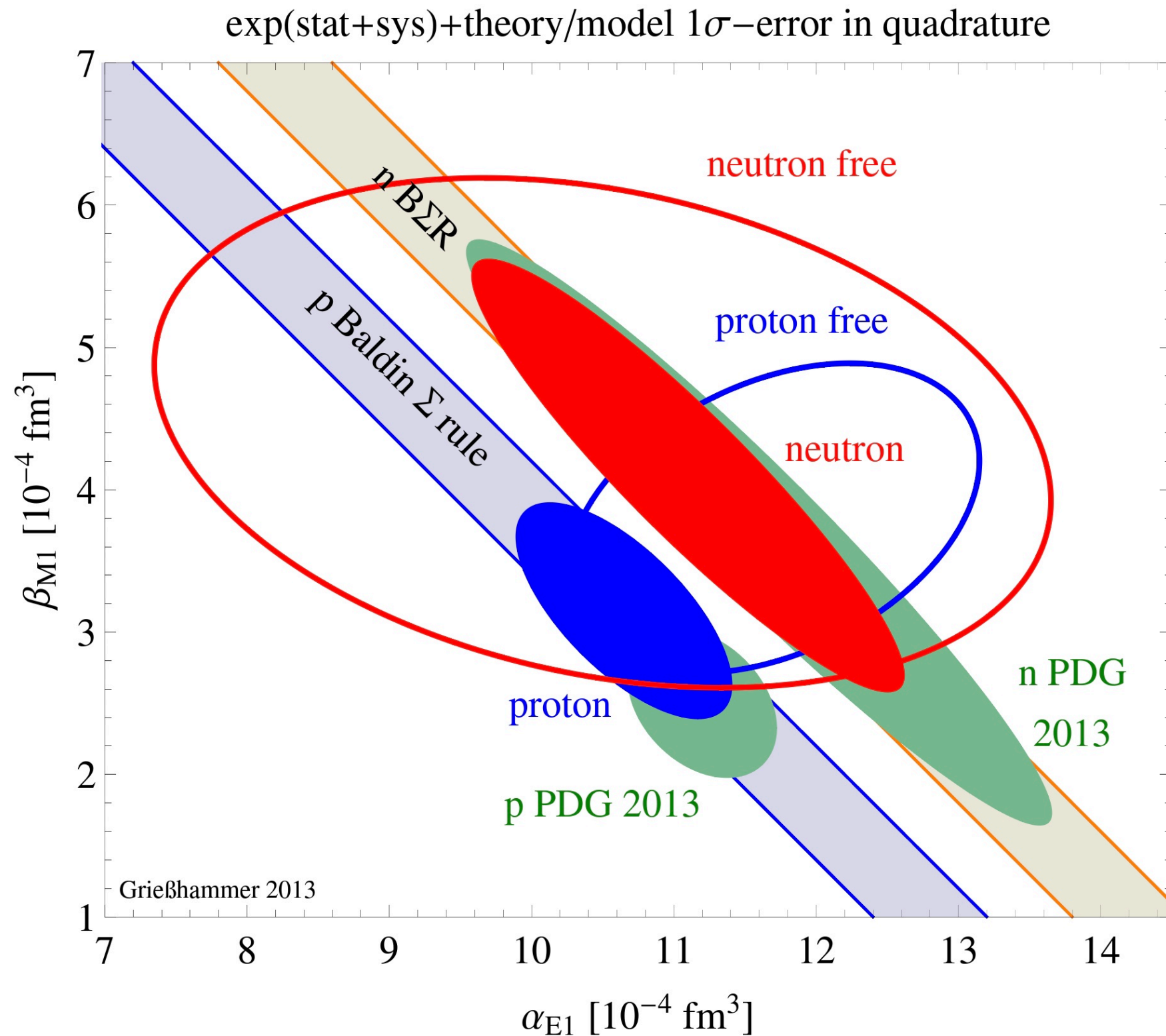
# Comparison of DRs with EFTs

Fit to UNPOLARIZED cross section  $\rightarrow$  sensitivity to  $\alpha_{E1} - \beta_{M1}$  and  $\alpha_{E1} + \beta_{M1}$



- Particle Data Group (PDG) [analysis based on DRs]
- Heavy Baryon ChPT [Beane, Malheiro, McGovern, Phillips, van Kolck, NPA747 (2005)]
- Baryon ChPT with  $\Delta$  [Lensky and Pascalutsa, EPJC65 (2010)]
- Partially Covariant Baryon ChPT with  $\Delta$  [McGovern, Phillips, Griesshammer, EPJA49 (2013)]

# Proton and Neutron



MPG, EPJA49  
I2 (2013)

# Nucleon Scalar Polarizabilities

## *Take aways:*

- Still lots of work to do.
- Especially for the neutron.
- EFTs give consistently higher values than DRs for  $\beta_{M1}$



# Use polarization observables below pion threshold

## Linearly Polarized Beam

Different dxs combinations are dependent only on  $\alpha_{E1}$  or  $\beta_{M1}$ :

$$\frac{d\sigma^\perp - d\sigma^\parallel}{d\Omega} = f_1(\text{Born}) - \frac{e^2}{2m} \left( \frac{\nu'}{\nu} \right)^2 \nu \nu' \alpha_{E1} (1 - z^2) + O(\nu^3)$$
$$\frac{z^2 d\sigma^\perp - d\sigma^\parallel}{d\Omega} = f_2(\text{Born}) - \frac{e^2}{2m} \left( \frac{\nu'}{\nu} \right)^2 \nu \nu' \beta_{M1} z (z^2 - 1) + O(\nu^3)$$

Recent work by Krupina and Pascalutsa [PRL **110**, 262001 (2013)]

At low energies  $\Rightarrow$  use beam asymmetry  $\Sigma_3$  to extract  $\beta_{M1}$ :

$$\begin{aligned} \Sigma_3 &\equiv \frac{d\sigma^\perp - d\sigma^\parallel}{d\sigma^\perp + d\sigma^\parallel} \\ &= \Sigma_3^{\text{NB}} - f_3(\theta) \beta_{M1} \nu^2 + O(\nu^4). \end{aligned}$$

# Spin Polarizabilities of the Proton

- Nucleon has four vector or spin polarizabilities:

$$\gamma_{E1E1} \quad \gamma_{M1M1} \quad \gamma_{M1E2} \quad \gamma_{E1M2}$$

- Similar to the scalar polarizabilities but higher in order.
- Intimately connected to the nucleon's spin structure. **Fundamental Structure Constants!**
- Higher order in incident-photon energy, so they have a smaller effect at lower energies.
- Need theoretical help in extracting values from data.

# Spin Polarizabilities - Pre-2015 Status

	K-mat.	HDPV	DPV	$L_\chi$	HB $\chi$ PT	B $\chi$ PT
$\gamma_{E1E1}$	-4.8	-4.3	-3.8	-3.7	$-1.1 \pm 1.8$ (th)	-3.3
$\gamma_{M1M1}$	3.5	2.9	2.9	2.5	$2.2 \pm 0.5$ (st) $\pm 0.7$ (th)	3.0
$\gamma_{E1M2}$	-1.8	-0.02	0.5	1.2	$-0.4 \pm 0.4$ (th)	0.2
$\gamma_{M1E2}$	1.1	2.2	1.6	1.2	$1.9 \pm 0.4$ (th)	1.1
$\gamma_0$	2.0	-0.8	-1.1	-1.2	-2.6	-1.0
$\gamma_\pi$	11.2	9.4	7.8	6.1	5.6	7.2

- Spin polarizabilities in units of  $10^{-4} \text{ fm}^4$
- K-matrix: calculation from Kondratyuk et al., PRC **64**, 024005 (2001)
- HDPV, DPV: dispersion relation calculations, Holstein et al., PRC **61**, 034316 (2000) and Pasquini et al., PRC **76**, 015203 (2007), Drechsel et al., PR **378**, 99 (2003)
- $L_\chi$ : chiral lagrangian calculation, Gasparyan et al., NPA **866**, 79 (2011)
- HB $\chi$ PT and B $\chi$ PT are heavy baryon and covariant, respectively, ChPT calculations, McGovern et al., EPJA **49**, 12 (2013), Lensky et al., PRC **89**, 032202 (2014)

# How to measure the spin polarizabilities?

- Use **Compton scattering**.
- Requires polarization degrees of freedom.
- Small effect at low energies so we need higher energies, into the  $\Delta$ -region.
- We have chosen three asymmetries:

$$\Sigma_{2x} \quad \Sigma_{2z} \quad \Sigma_3$$

that we can use to help obtain the spin polarizabilities of the proton.

# Spin Polarizability Extraction

Use  $\gamma_0$ ,  $\gamma_\pi$ ,  $\alpha_{E1}$ , and  $\beta_{M1}$  along with the three asymmetries.

The various asymmetries respond differently to the individual spin polarizabilities at different energies and angles.

We will conduct an in-depth global analysis, and should be able to extract **all four spin polarizabilities independently** with small statistical, systematic, and model-dependent errors!



# Institut für Kernphysik

Mainz, Germany

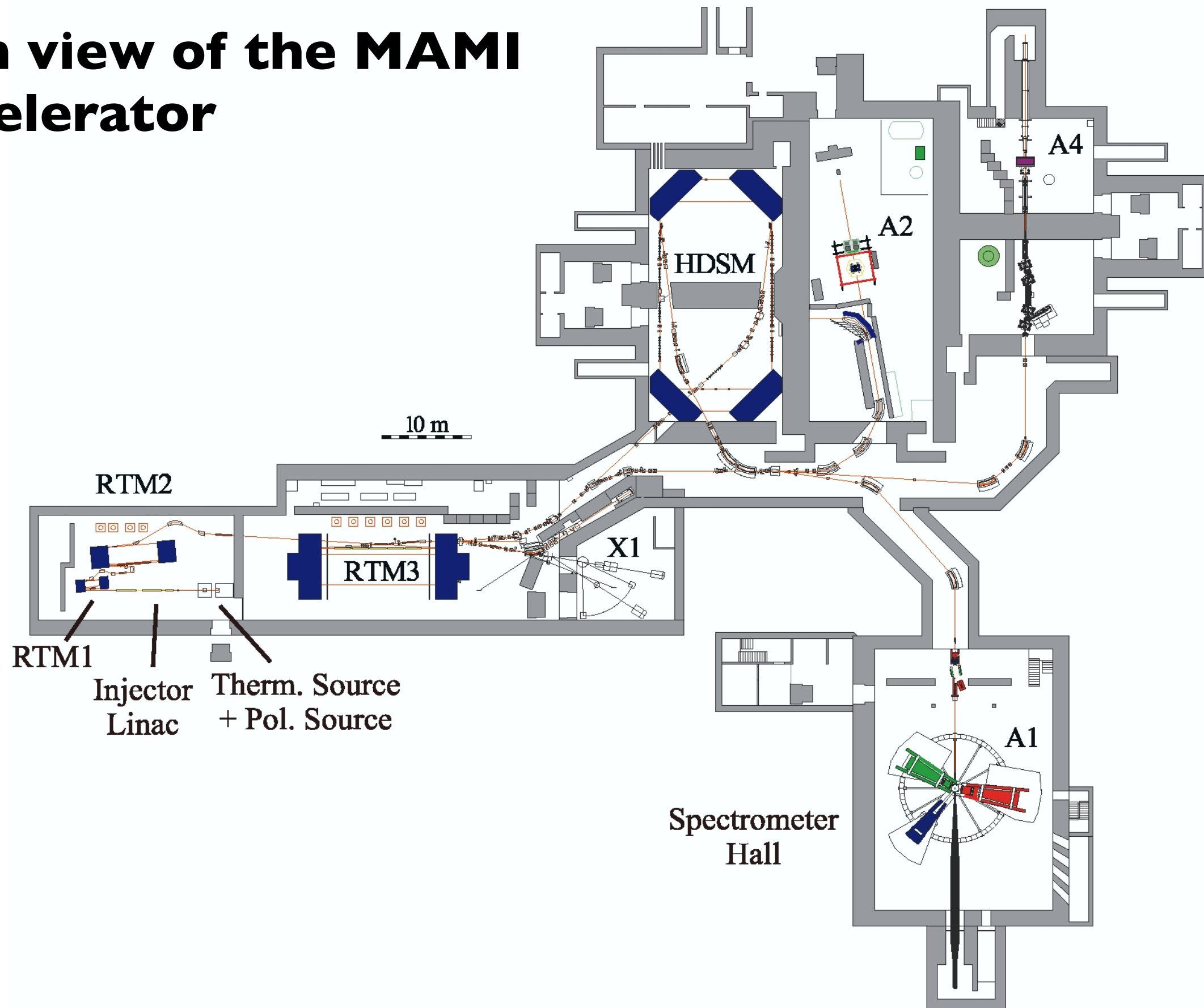
On the Johannes  
Gutenberg University  
Campus. Student  
population of c. 35k.

Houses the MAMI  
electron accelerator.

Approximately 200 staff  
members.

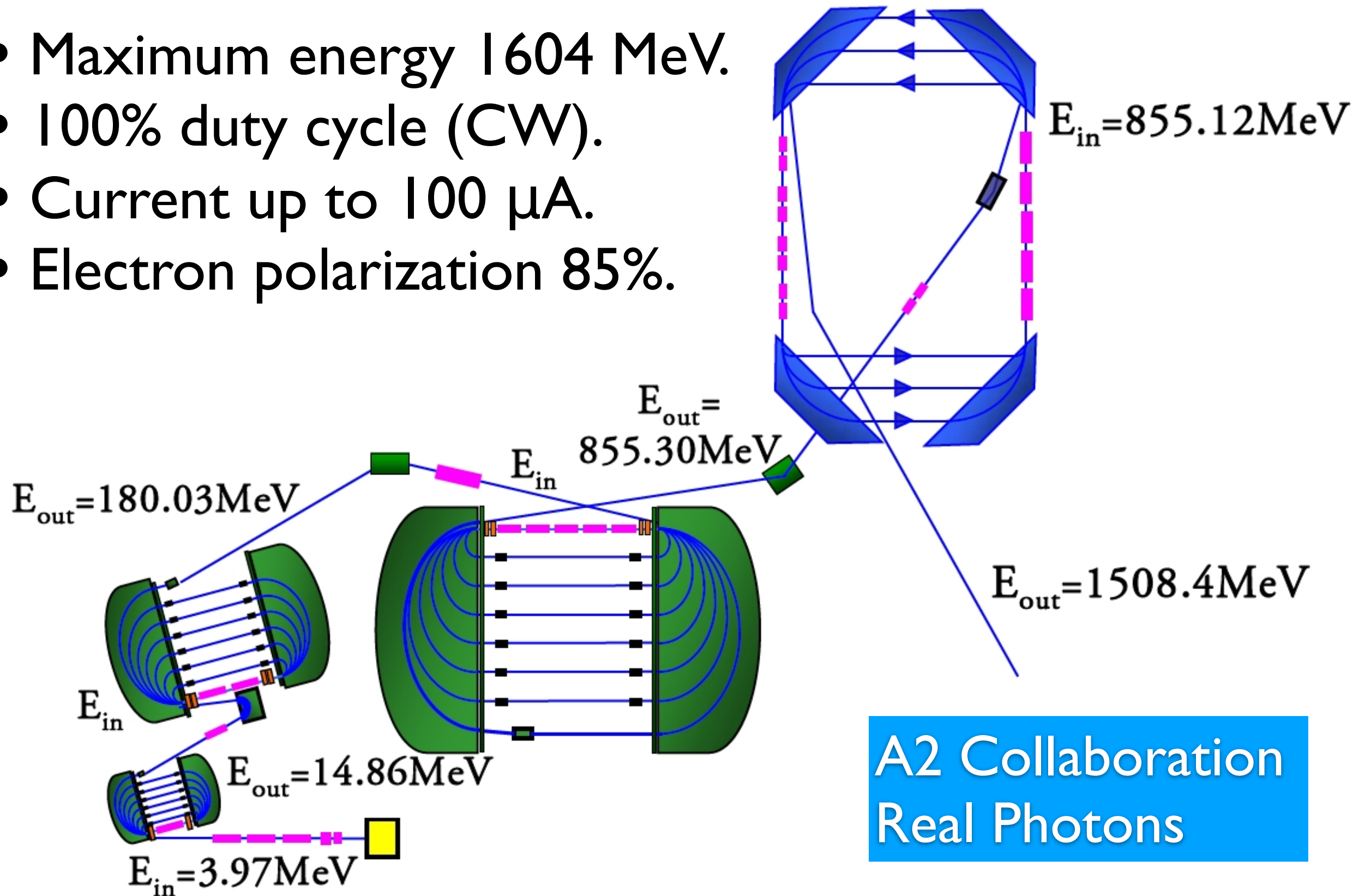


# Plan view of the MAMI Accelerator



# MAMI - Schematic

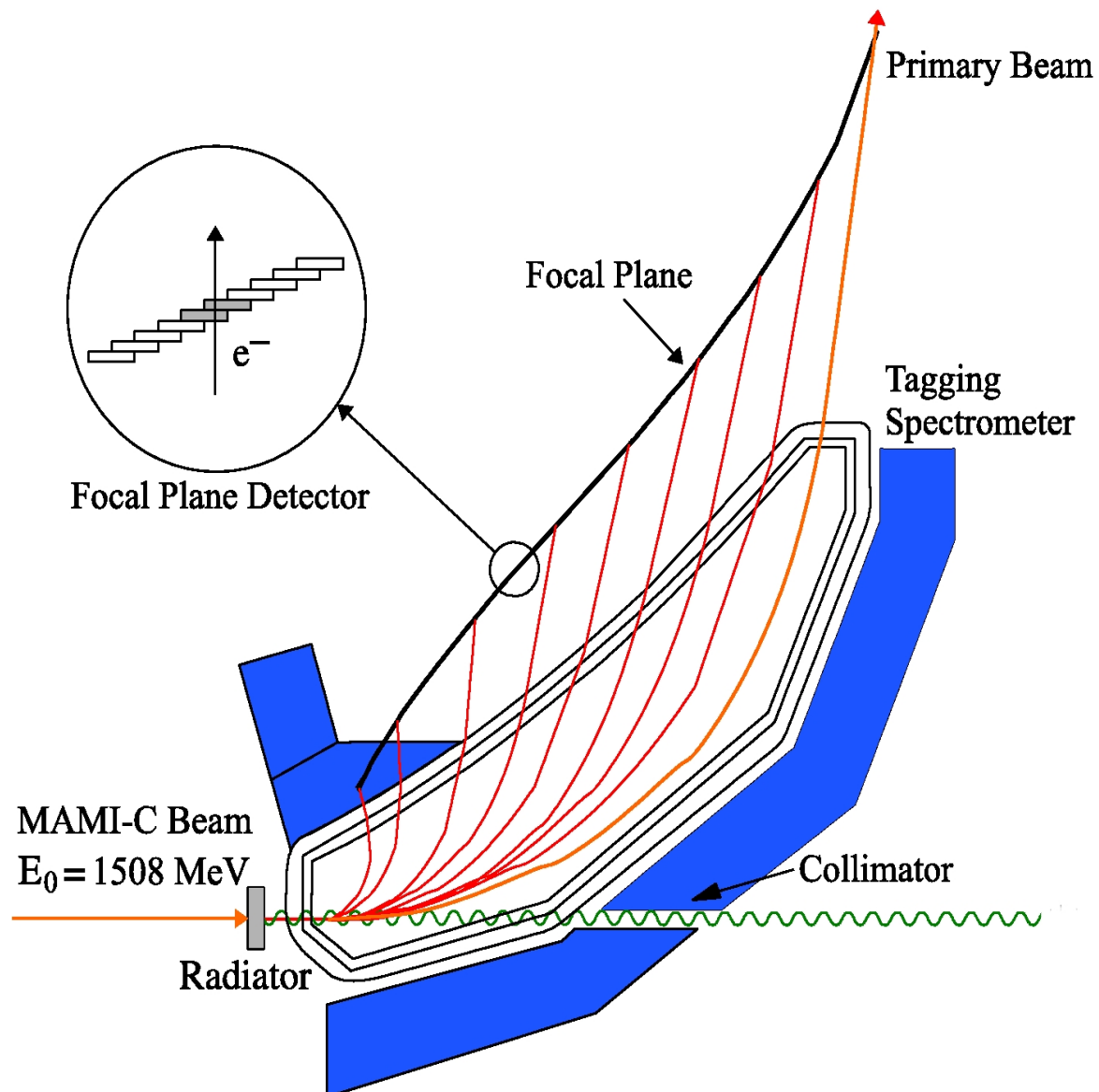
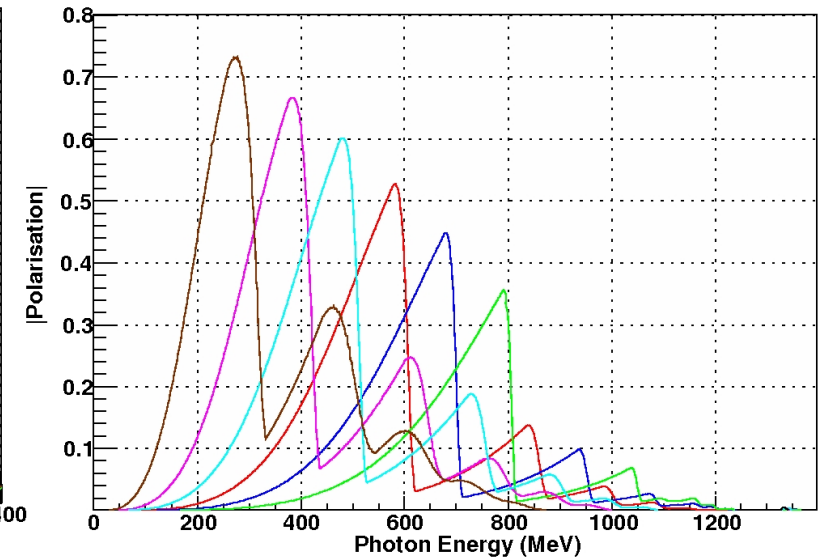
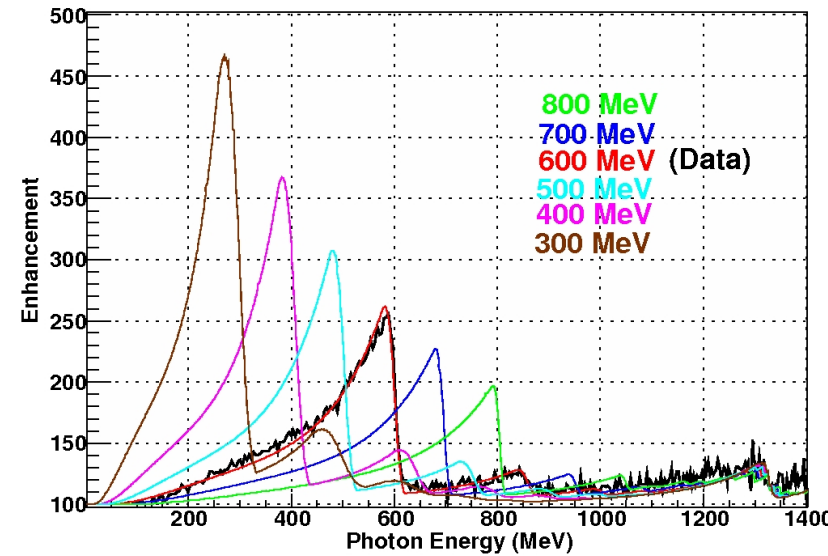
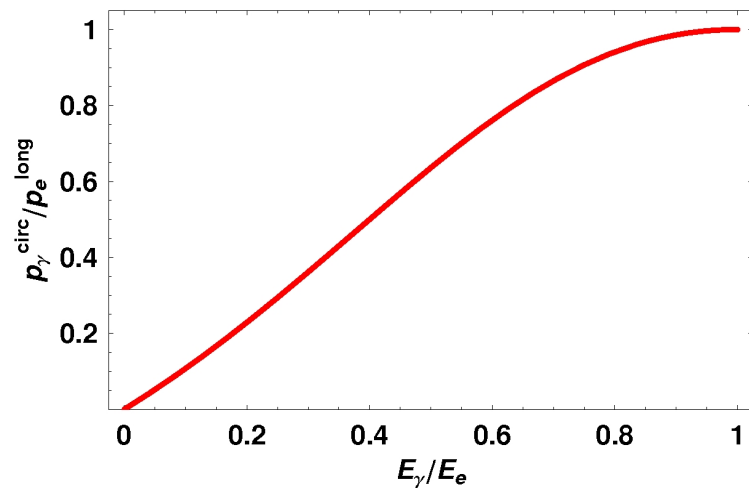
- Maximum energy 1604 MeV.
- 100% duty cycle (CW).
- Current up to 100  $\mu\text{A}$ .
- Electron polarization 85%.



A2 Collaboration  
Real Photons



# Real Photons - Glasgow Photon Tagger



- Detects post-bremsstrahlung electron,  $E_{\gamma} = E_e - E_{e'}$
- 352 channels.
- $\approx 5-95\%$  of spectrum tagged.
- Energy resolution 1-4 MeV
- Circularly polarized photons
- Linearly polarized photons
- Timing coincidence

# Reaction Targets

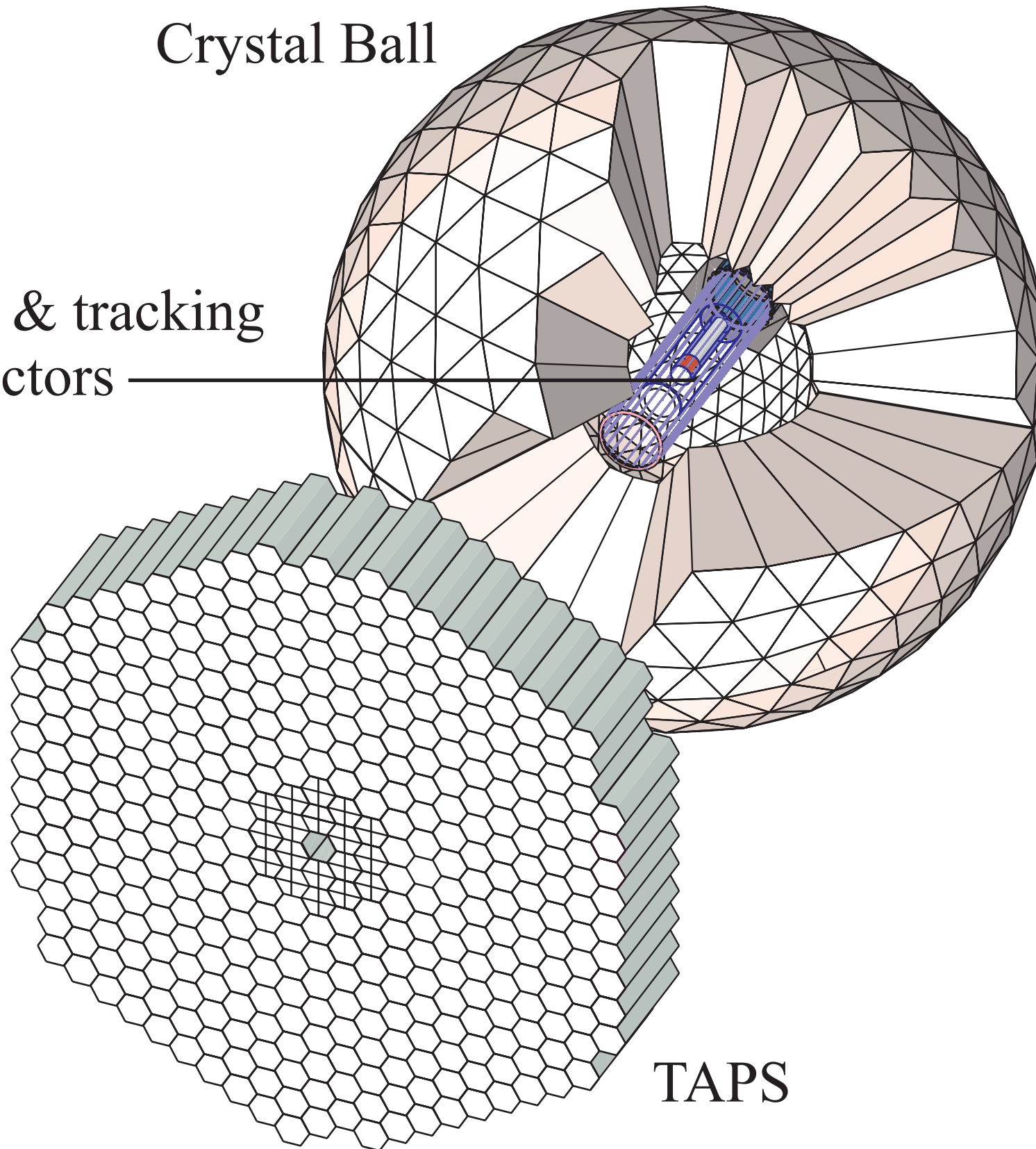
- $\text{LH}_2/\text{LD}_2$ : unpolarized protons/deuterons
- Liquid  $^4\text{He}/^3\text{He}$
- Frozen Spin: polarized protons/deuterons
- Solid Targets: C, Pb, and many more...
- Gas target: polarized  $^3\text{He}$
- **Active Polarized Proton target**
- **High-Pressure Active Helium target**

New!

# CB-TAPS @ MAMI Detector System

Crystal Ball

PID & tracking  
detectors



TAPS

## Total # of Signals:

- 672 NaI(Tl) in CB
- 24 plastics in PID
- 320 strips in MWPC
- 480 wires in MWPC
- 384 BaF<sub>2</sub> in TAPS
- 384 plastics in TAPS veto
- (352 in Tagger)

## Gives:

- Energy
- Time
- Position
- Particle Type

# Experimental Status

Important part of CRC1044.

Experiment	Status
$\Sigma_{2x}$	February 2011 ✓
$\Sigma_3$	December 2012 ✓
$\Sigma_{2z}$	2014/2015 ✓
$\alpha_{E1}, \beta_{M1}$	June 2013, 2017/2018 ✓

**NOTE: Complementary measurements planned for HIGS!**

High-flux, monoenergetic beam, with  $\approx 100\%$  polarization.

# Pilot Experiment to Measure Proton $\alpha_{E1}, \beta_{M1}$

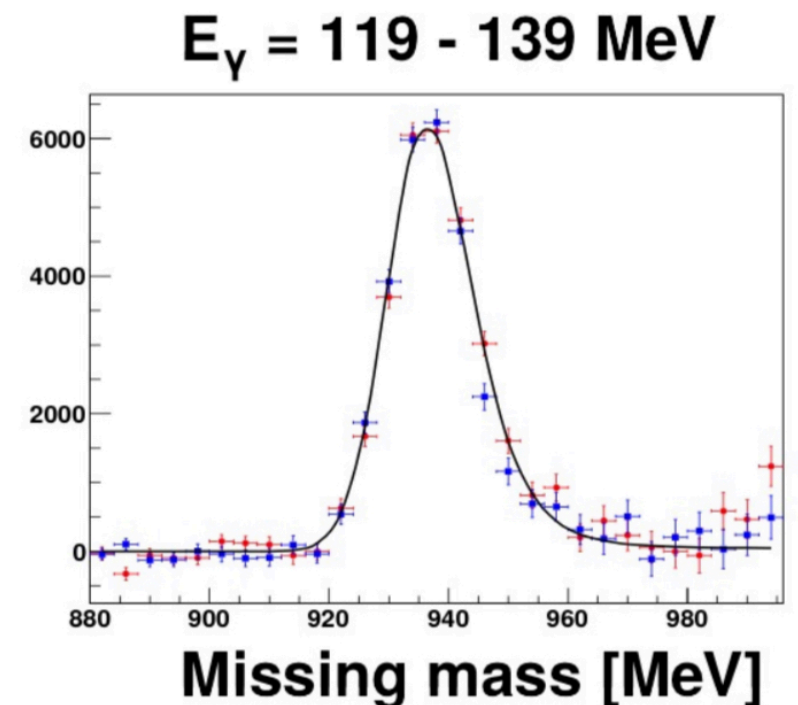
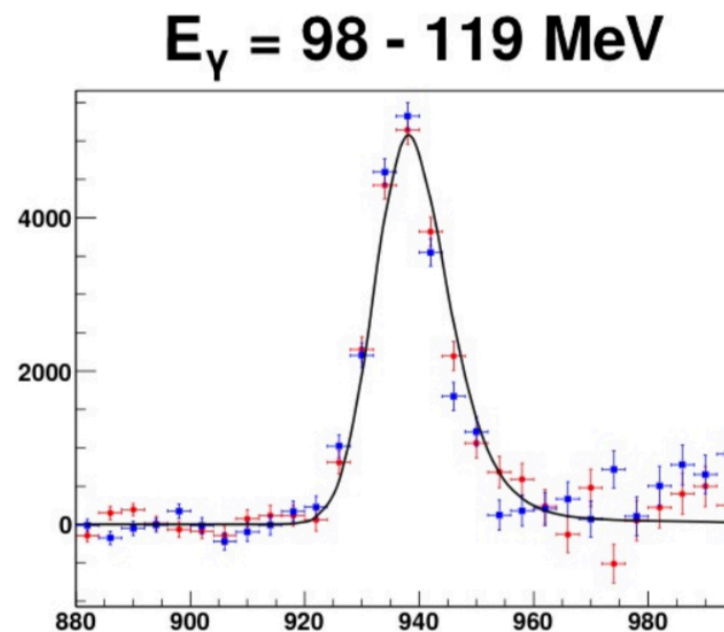
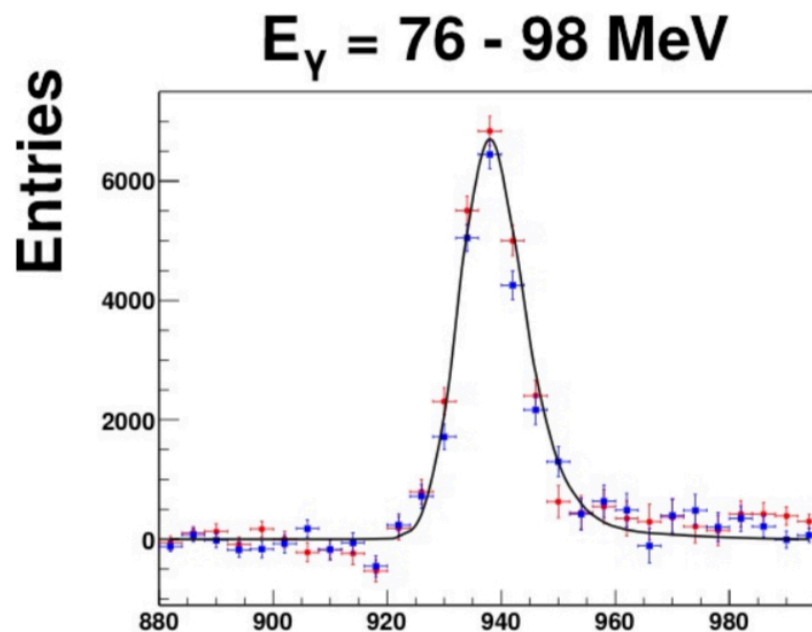
## Work of E. Mornacchi and V. Sokhoyan

Low-energy Compton scattering,  $\vec{\gamma}p \rightarrow \gamma p$ .

Linearly polarized beam, (unpolarized) liquid hydrogen target.

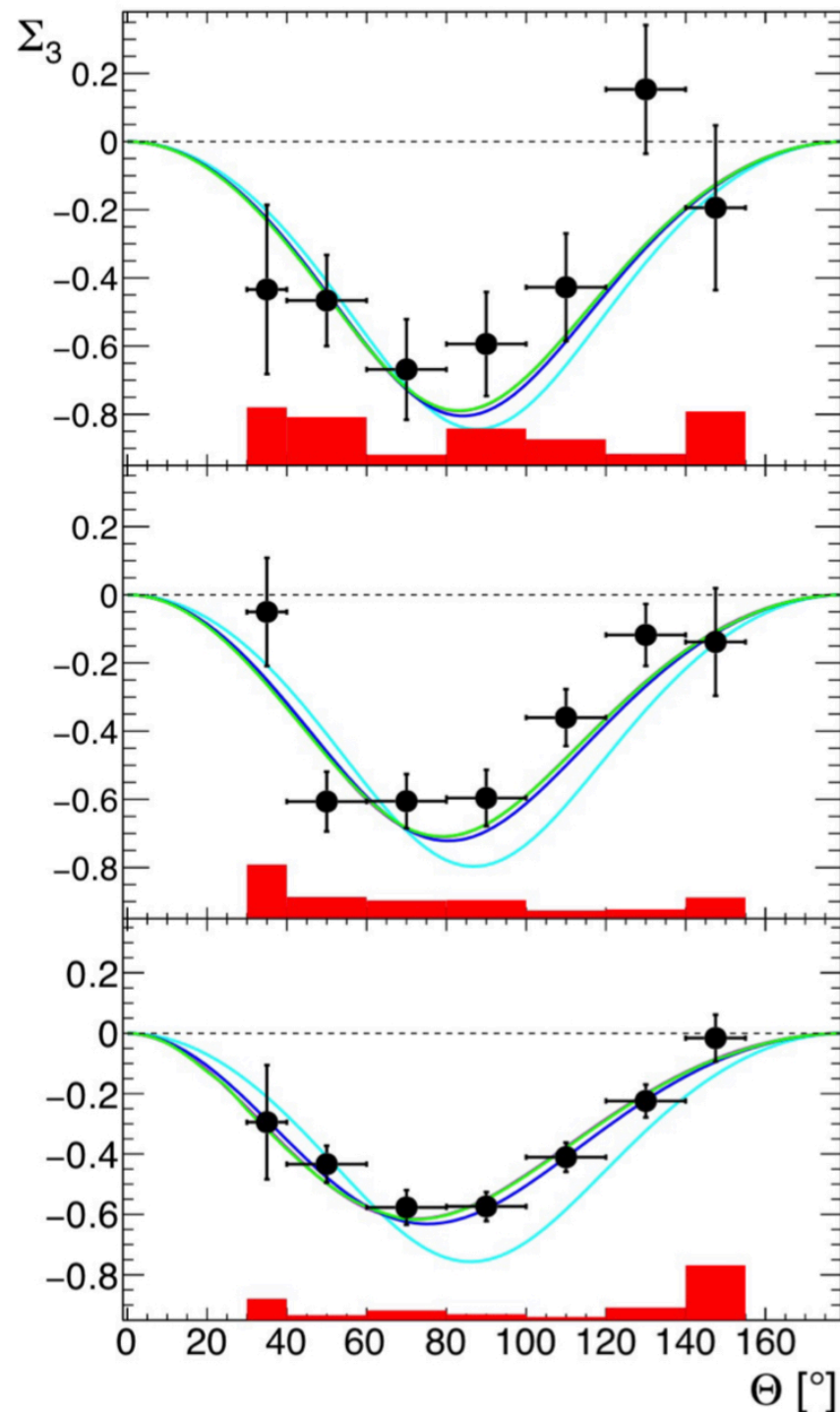
High-statistics cross sections,  $d\sigma/d\Omega$ , and beam asymmetry,  $\Sigma_3$ .

Most important data are below pion threshold.





# $\alpha_{E1}, \beta_{M1}$ Results - Asymmetries



Data are at three energies: 76 – 98 MeV, 98 – 119 MeV, and 119 – 139 MeV.

Systematic errors in red.

Curves:

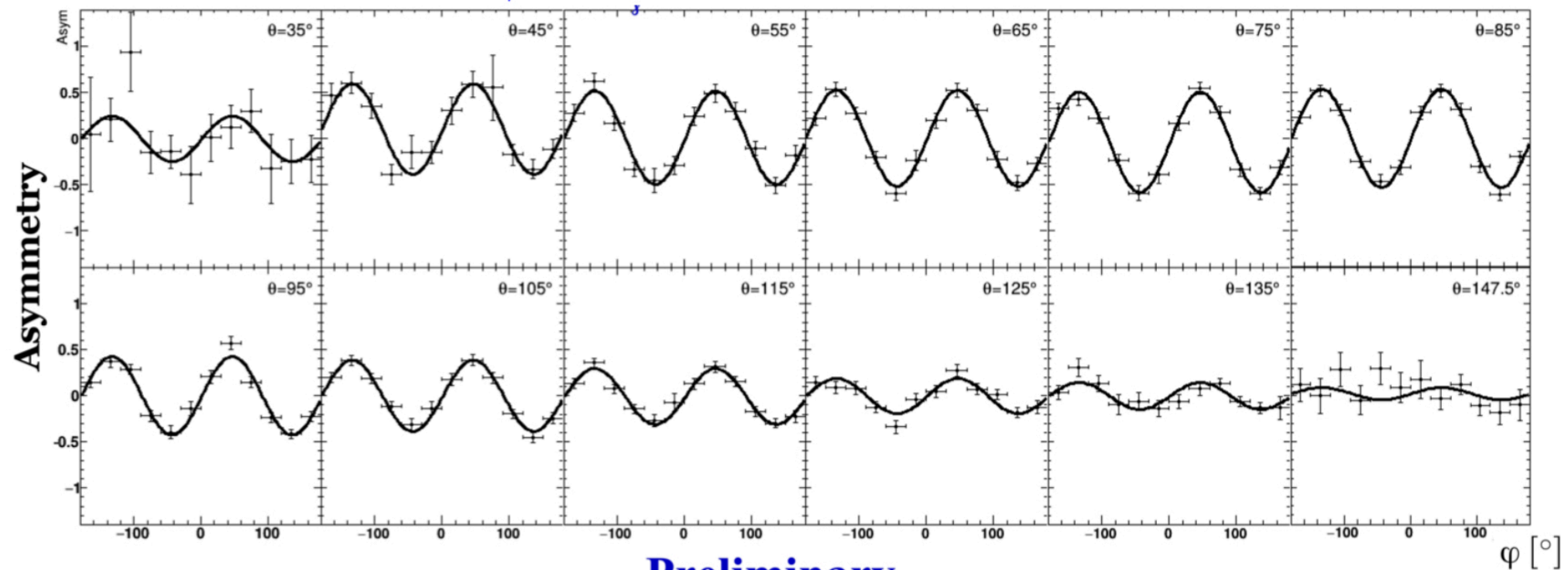
- Born contribution
- BChPT: Krupina and Pascalutsa, PRL **110**, 262001 (2013)
- HBChPT: McGovern et al., EPJA **49**, 12 (2013)

# New A2 Measurement of $\vec{\gamma}p \rightarrow \gamma p$

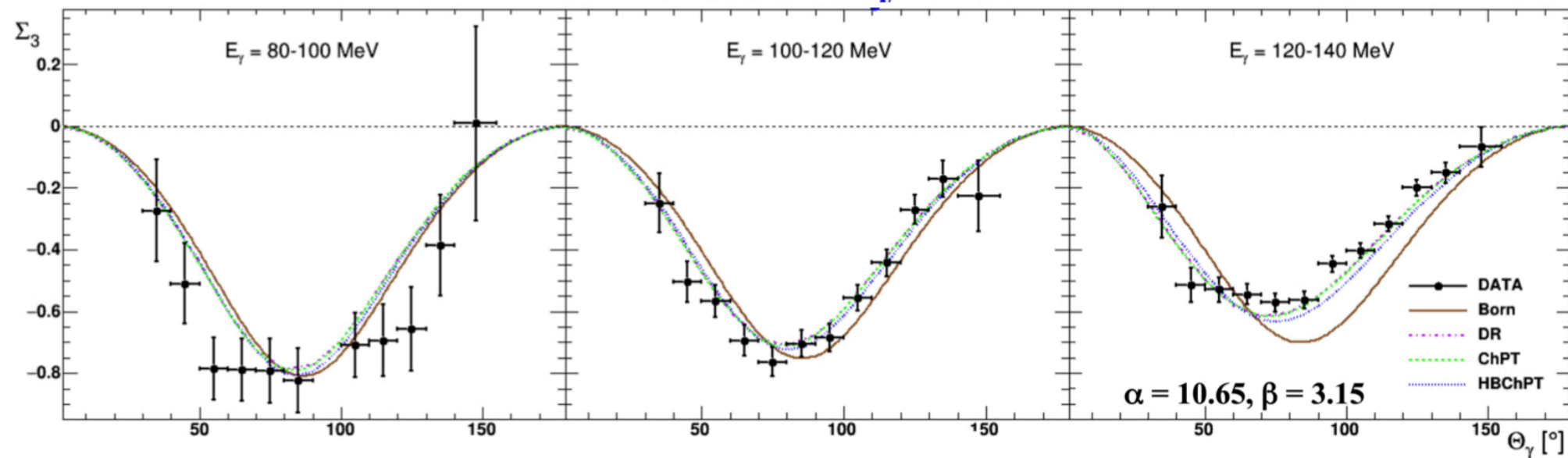
- **Ph.D. work of Edoardo Mornacchi.**
- Data taken in 2017/2018. Similar set up to pilot measurement.
- LH<sub>2</sub> target, CB-TAPS setup, coherent Bremsstrahlung photon beam
- **Upgraded tagger, improved systematic errors:**
  - higher  $\gamma$ -flux with better flux monitoring
  - improved linpol peak stability
  - improved background subtraction
- $1.2 \times 10^6$  events, an improvement of  $\times 6$  compared to the pilot measurement.
- Approximately  $\times 10$  the statistics of the previous world best measurement with TAPS (also A2!) [OdL et al., EPJA **10** 207 (2001)], which makes up of about 50% of the existing world data.

# New A2 Measurement of $\vec{\gamma}p \rightarrow \gamma p$

$$E_\gamma = 120 - 140 \text{ MeV}$$



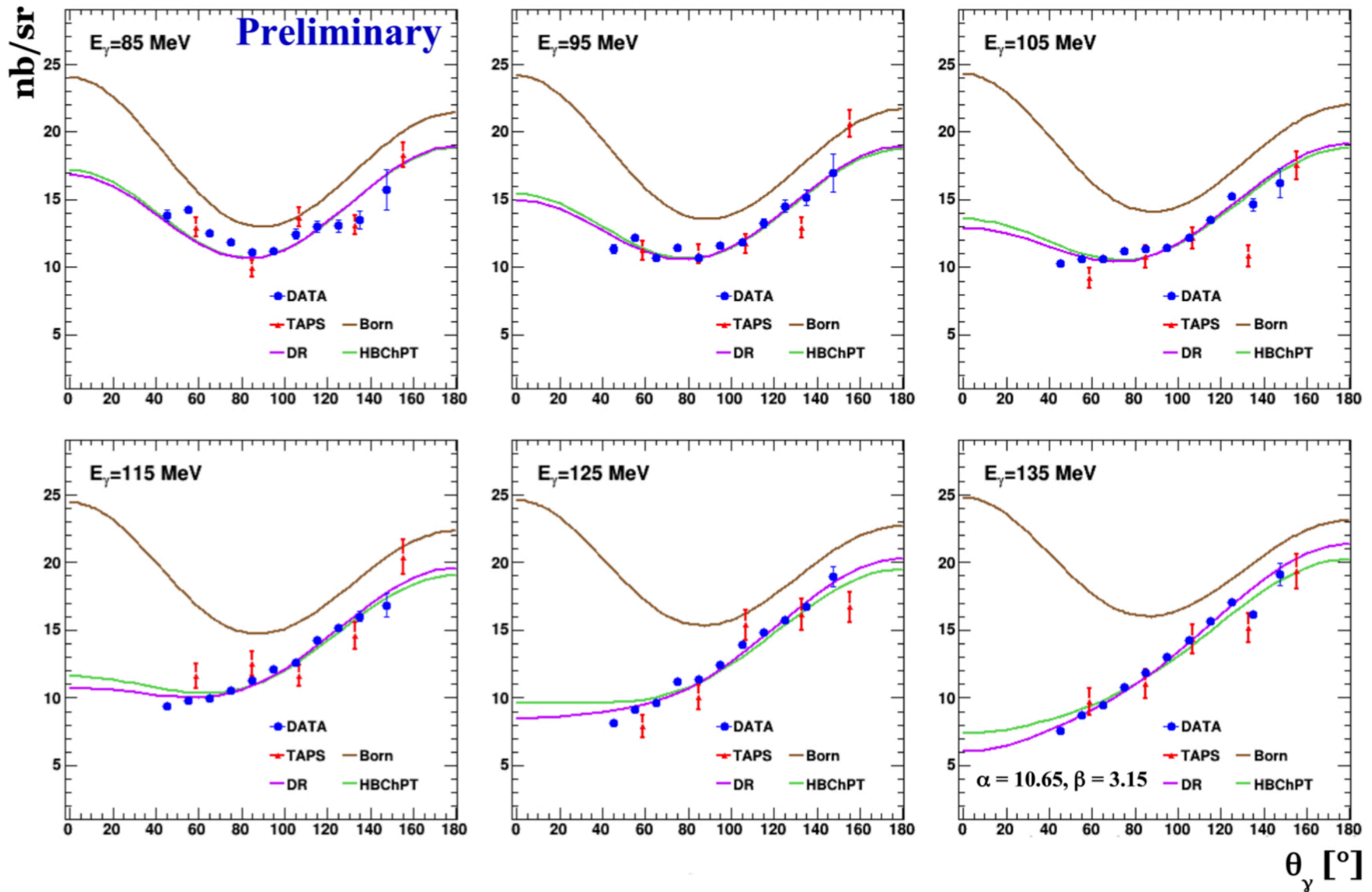
Preliminary



Mornacchi Ph.D.



# New A2 Measurement of $\vec{\gamma}p \rightarrow \gamma p$



Mornacchi Ph.D.

# $\alpha_{E1}, \beta_{M1}$ Outlook

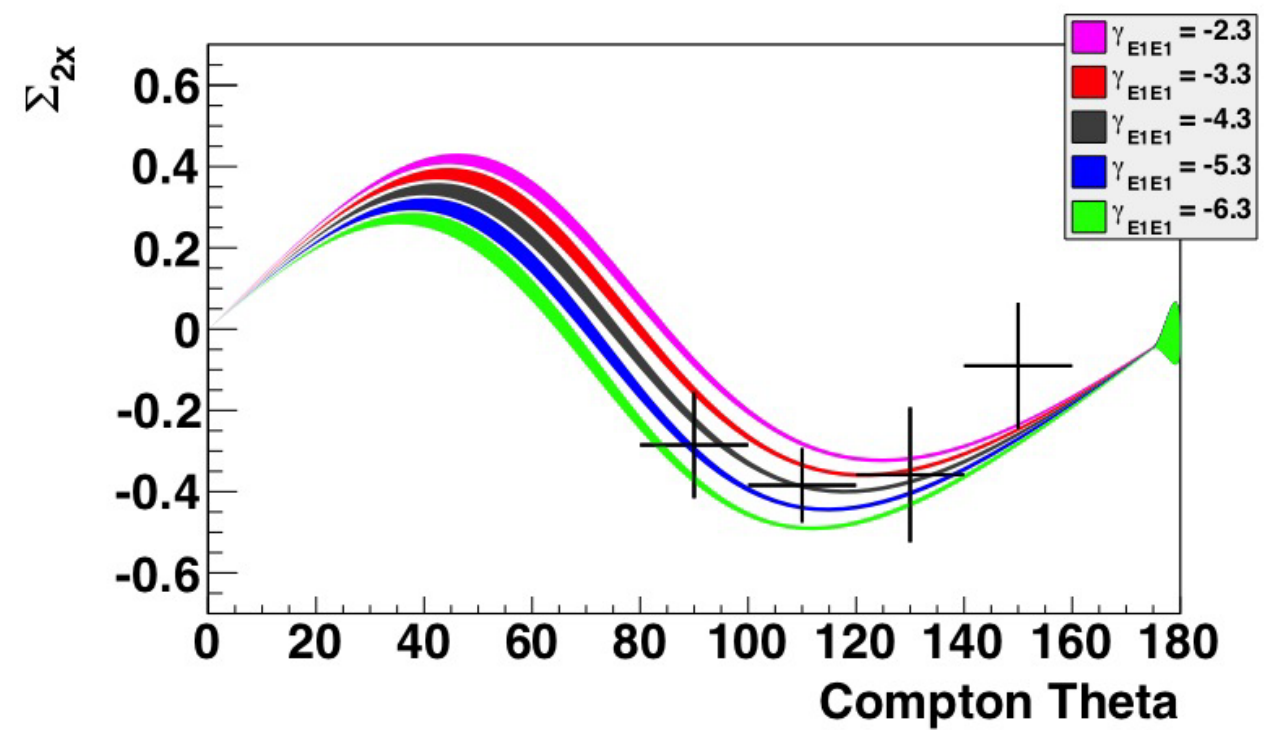
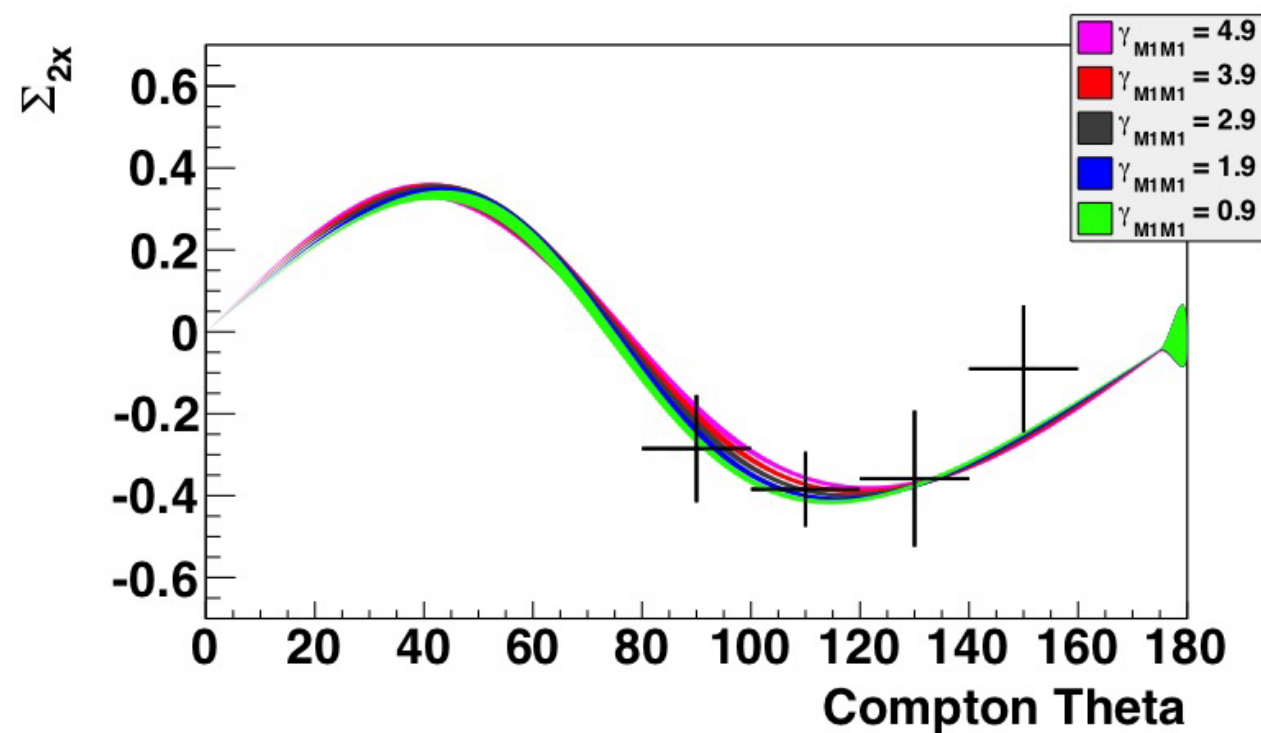
- Finish data analysis
- Use a simultaneous fit to unpolarized cross sections AND asymmetries to achieve precision on  $\beta_{M1}$  comparable to that of the current PDG value!

# $\Sigma_{2x}/\Sigma_{2z}$ Asymmetries - Experimental Challenges

- A source of polarized protons is not easy to come by (nor to operate).
- Small Compton scattering cross sections
- Large background cross sections
  - $\pi^0$  photoproduction cross section is about *100 times* larger than that for Compton scattering
  - Coherent and incoherent reactions of C, O, and He
- Proton tracks are required to suppress backgrounds, but energy losses in the frozen-spin cryostat (and CB-TAPS) are considerable.

# Results $\Sigma_{2x}$ - Martel et al., PRL **114** 12501 (2015)

$$E_\gamma = 273 - 303 \text{ MeV}$$



- First measurement of a double-polarized Compton scattering asymmetry on the nucleon.
- Curves are from the DR calculation of Pasquini et al.
- Data resulted in the first extraction of the proton's spin pols in the multipole basis:

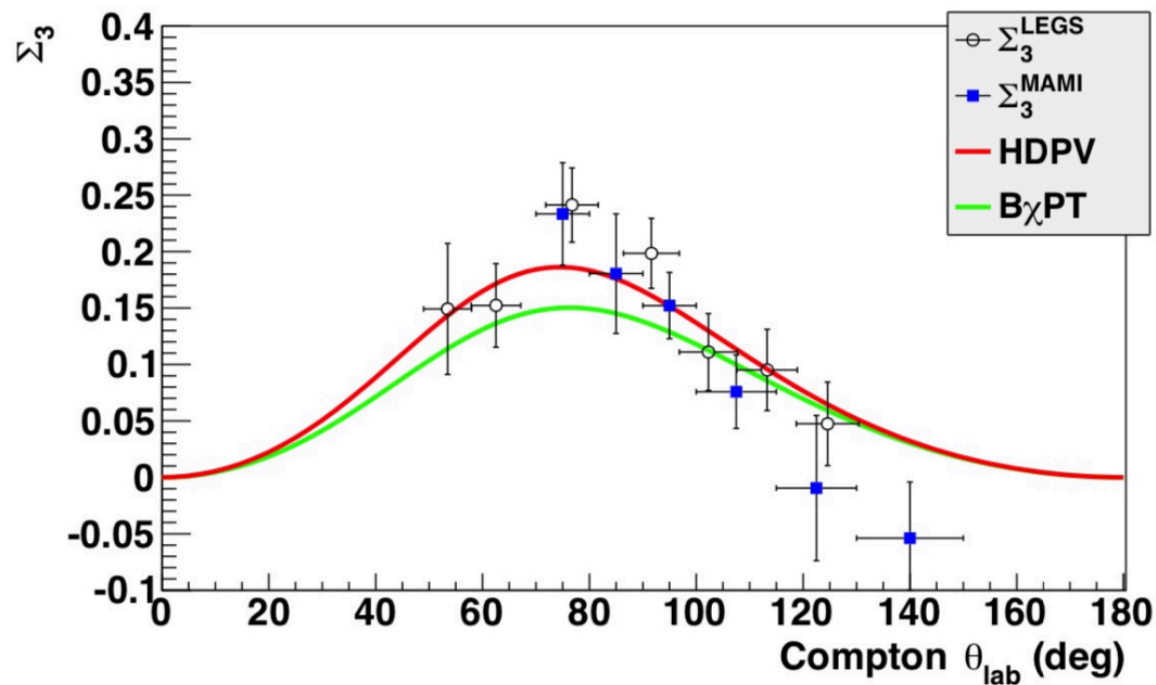
$\gamma_{E1E1}$	$-3.5 \pm 1.2$
$\gamma_{M1M1}$	$3.16 \pm 0.85$
$\gamma_{E1M2}$	$-0.7 \pm 1.2$
$\gamma_{M1E2}$	$1.99 \pm 0.29$

$$\times 10^{-4} \text{ fm}^4$$

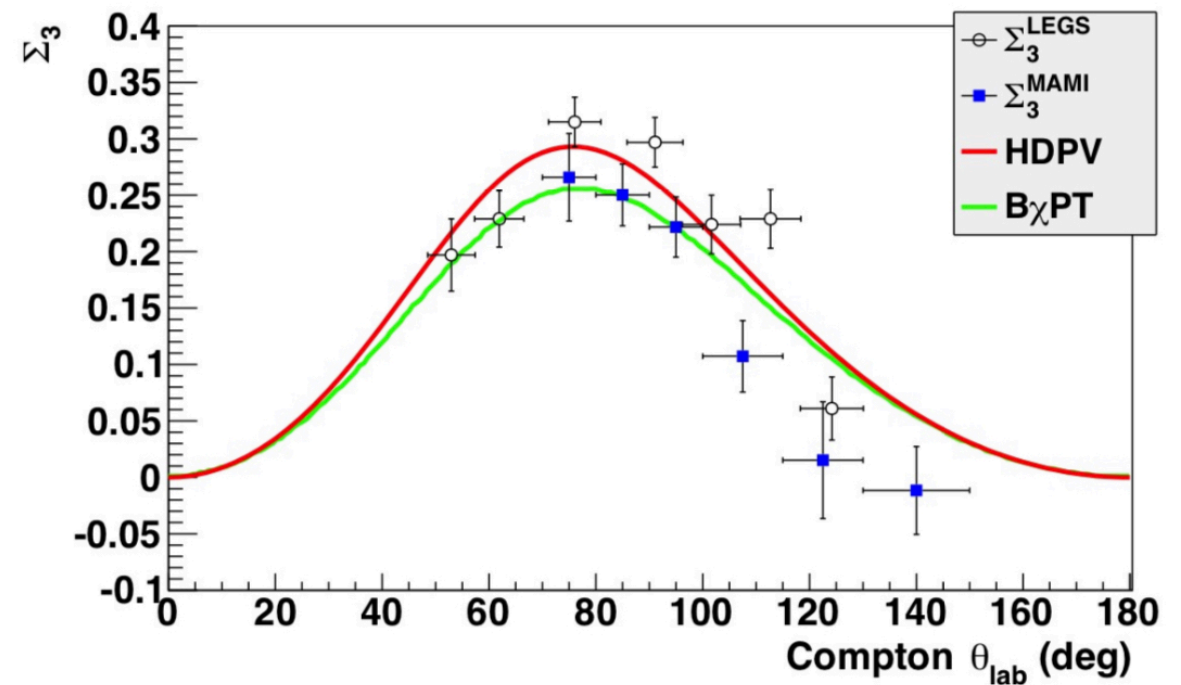
# $\Sigma_3$ Results

PhD work of C. Collicott

$E_\gamma = 267 - 282 \text{ MeV}$



$E_\gamma = 286 - 307 \text{ MeV}$



- Recent data (MAMI) and older data (LEGS) are shown along with Dispersion Relation (HDPV) and ChPT (B $\chi$ PT) predictions.
- Fits have been done.

# $\Sigma_{2z}$ Results

- PhD work of both D. Paudyal (Regina) and A. Rajabi (UMass).
- Data have been taken and analysis is done.
- There were some background issues, but we are more or less ready to publish.
- Do global fit, extract spin polarizabilities.

# The “Other” Nucleon - The Neutron

**The situation is considerably worse for the neutron:**

- No free-neutron target
- Neutron is uncharged
- Small data set

## **Techniques:**

- Low-energy neutron scattering
- Elastic Compton scattering from deuterium
- QF Compton scattering from deuterium
- Compton scattering from heavier nuclei

**Nuclear Effects are NOT negligible!**



# Elastic Compton Scattering from $^3\text{He}$

Relatively new idea for extraction of scalar polarizabilities for the neutron.

Shukla, Nogga, and Phillips, NPA **819**, 98 (2009).

Theory is promising, but still needs some work to extend it to higher energies. . .

[Proposal A2-01-2013](#) using a high-pressure active helium target (both  $^3\text{He}$  and  $^4\text{He}$ ).

**Given a rating of A by the PAC!**

Will hopefully run in the next year.



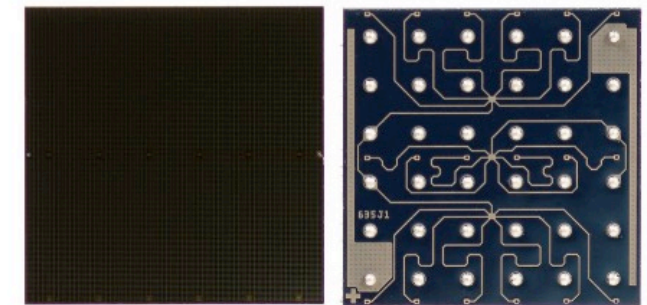
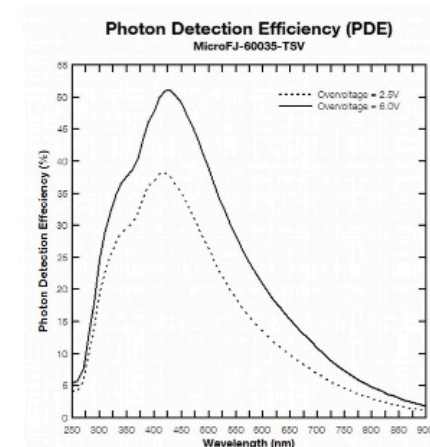
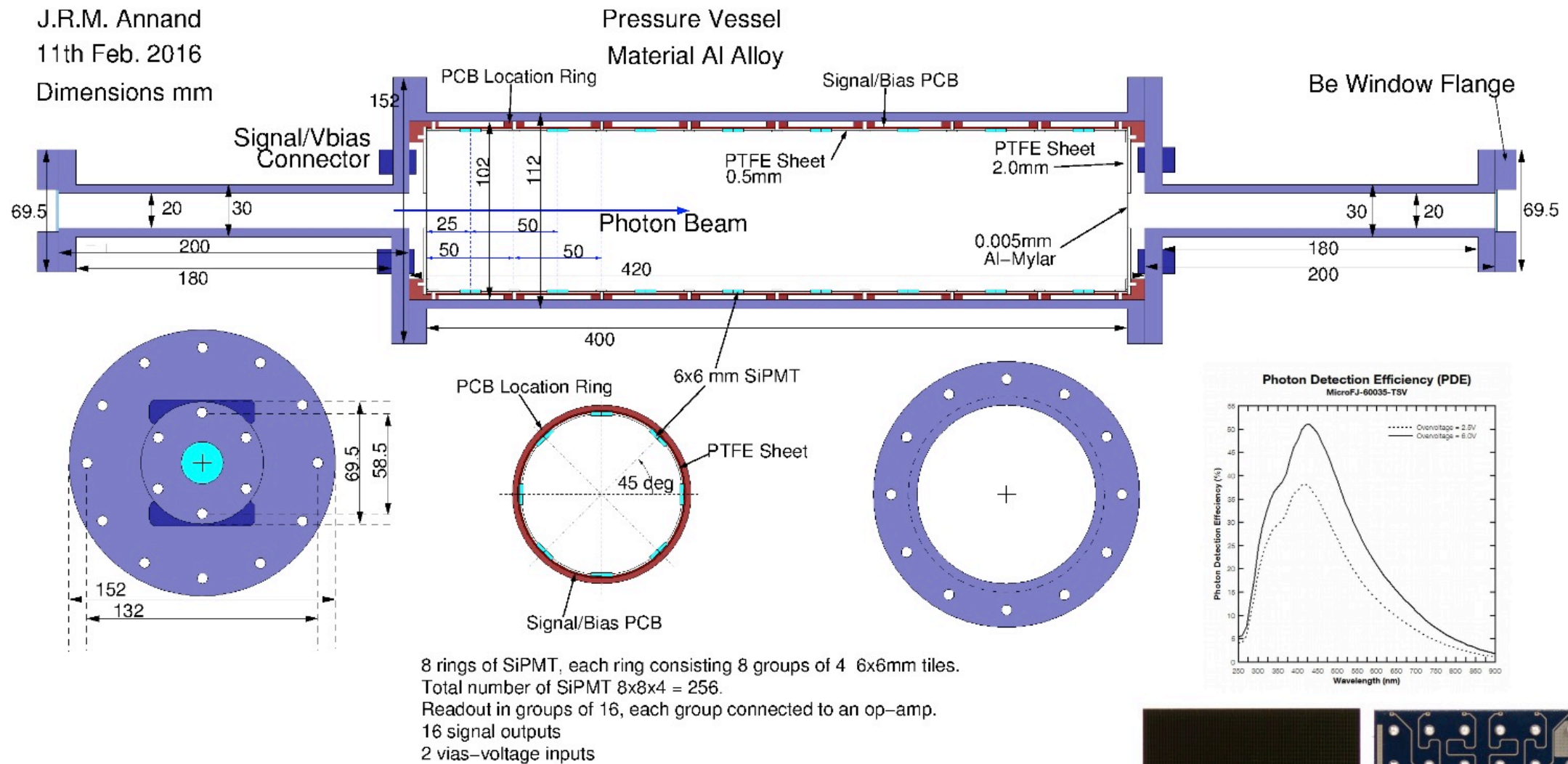
# The New Active Target

## Active Target

J.R.M. Annand

11th Feb. 2016

Dimensions mm



6 x 6mm J-Series SiPMT

- Al pressure vessel, no welds
- Reuse Be outer windows from original Active Target
- PTFE sheet covers printed circuit board, windows cut for SiPMT

8th December 2015

Active Target 3,4He, J.R.M. Annand

5

# Outlook

- 1 Publish high-energy  $\Sigma_3$  results.
- 2 Publish  $\Sigma_{2z}$  results.
- 3 Complete global fit and extraction of the proton spin polarizabilities.
- 4 Finish analysis for  $\alpha_{E1}, \beta_{M1}$ .
- 5 Complementary measurements at HIGS on the proton, deuteron, and helium.
- 6 An active polarized target is being developed, and we plan to use it for improved measurements of the asymmetries.
- 7 An active, high-pressure helium target for approved neutron polarizability (and threshold pion) experiments at MAMI.

# Summary

- ① Important tool for *testing* QCD via ChPT & DRs in the non-perturbative regime.
- ② Both theory and experiment are very active at the moment.
- ③ We can expect lots of new results in the near future.

*Special thanks to Edoardo Mornacchi and Vahe Sokhoyan for slides and input.*