

TECHNICAL PROPOSAL

FASER

FORWARD SEARCH EXPERIMENT AT THE LHC

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Executive Summary

29 FASER is a proposed small and inexpensive experiment designed to search for light, weakly-
30 interacting particles during Run 3 of the LHC from 2021–23. Such particles may be produced in
31 large numbers along the beam collision axis, travel for hundreds of meters without interacting, and
32 then decay to standard model particles. To search for such events, FASER will be located 480
33 m downstream of the ATLAS IP in the unused service tunnel TI12 and be sensitive to particles
34 that decays in a cylindrical volume with radius $R = 10$ cm and length $L = 1.5$ m. FASER will
35 complement the LHC’s existing physics program, extending its discovery potential to a host of
36 new, light particles, with potentially far-reaching implications for particle physics and cosmology.

37 This document describes the technical details of the FASER detector components: the magnets,
38 the tracker, the scintillator system, and the calorimeter, as well as the trigger and readout system.
39 The preparatory work that is needed to install and operate the detector, including civil engineering,
40 transport, and integration with various services is also presented. The information presented
41 includes preliminary cost estimates for the detector components and the infrastructure work, as
42 well as a timeline for the design, construction, and installation of the experiment.

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126 I. INTRODUCTION

127 FASER is a proposed small and inexpensive experiment designed to search for light,
128 weakly-interacting particles at the LHC. Such particles are dominantly produced along the
129 beam collision axis and may be long-lived particles (LLPs), traveling hundreds of meters
130 before decaying. To exploit both of these properties, FASER is to be located along the
131 beam collision axis, 480 m downstream from the ATLAS interaction point, in the unused
132 service tunnel TI12. FASER will be sensitive to particles that decay in a small cylindrical
133 volume with radius $R = 10$ cm and length $L = 1.5$ m. Despite its small size, FASER will
134 significantly extend the LHC's discovery potential to a host of new, light particles. We
135 propose that FASER be installed in TI12 in Long Shutdown 2 in time to collect 150 fb^{-1} of
136 data from 2021-23 during Run 3 of the 14 TeV LHC.

137 The basic physics of the FASER experiment and the concept of the detector have been
138 described in FASER's Letter Of Intent (LOI) [1]. This document gives much more detail
139 about the technical aspects of the experiment. In Section II we give a brief overview of
140 the physics and experiment. We then describe the detector environment in Section III.
141 In Sections IV–IX, we describe the components of the detector in turn: the magnets, the
142 tracker, the scintillators, the calorimeter, the detector support structure and the readout and
143 trigger. In Sections X–XIII we give details about the civil engineering required to install the
144 detector, installation and integration, commissioning, and safety aspects. We discuss off-line
145 software and computing in Section XIV and summarize the overall costing and schedule in
146 Section XV.

147 II. OVERVIEW OF FASER

148 A. Physics Goals

149 For decades, the leading examples of new physics targets at particle colliders were particles
150 with TeV-scale masses and $\mathcal{O}(1)$ couplings to the standard model (SM). More recently,
151 however, there is a growing and complementary interest in new particles that are much
152 lighter and more weakly coupled [2]. Among their many motivations, such particles may
153 yield dark matter with the correct thermal relic density and resolve outstanding discrepancies
154 between theory and low-energy experiments [3–5]. Perhaps most importantly, new particles
155 that are light and weakly coupled can be discovered by relatively inexpensive, small, and
156 fast experiments.

157 If new light and weakly-interacting particles exist, they are typically produced parallel
158 to the beam line and may travel hundreds of meters without interacting before decaying to
159 visible particles, such as electrons and positrons. The existing detectors at the LHC, such
160 as ATLAS and CMS, are therefore not well-matched to these particles, as they have holes
161 along the beam line to let the proton beams in, and new light, weakly-interacting particles
162 would escape through these holes undetected.

163 The goal of FASER is to target this “blind spot” by being located along the beam
164 collision axis, far downstream from the ATLAS interaction point (IP). At this point, the
165 proton beams are bent by magnets and so pass by FASER unhindered. However, new light,
166 weakly-interacting particles will travel in straight lines and can decay to visible particles in
167 FASER. Moreover, such particles are highly collimated. For example, new particles that are
168 produced in pion decays are typically produced within angles of $\theta \sim \Lambda_{\text{QCD}}/E$ of the beam
169 collision axis, where E is the energy of the particle. For $E \sim \text{TeV}$, this implies that even
170 ~ 480 m downstream, such particles have only spread out ~ 10 cm in the transverse plane.
171 A small and inexpensive detector placed in the very forward region may therefore be capable
172 of highly sensitive searches.

173 FASER’s physics reach has now been investigated for a host of light, weakly-interacting
174 particles. In these studies, the most typical signals are those of LLPs that are produced at
175 or close to the IP, travel along the beam collision axis, and decay visibly in FASER:

$$pp \rightarrow \text{LLP} + X, \quad \text{LLP travels } \sim 480 \text{ m}, \quad \text{LLP} \rightarrow \text{charged tracks} + X \text{ (or } \gamma\gamma + X \text{)}. \quad (1)$$

176 These signals are striking: two oppositely charged tracks (or two photons) with very high
177 energy ($\sim \text{TeV}$) that emanate from a common vertex inside the detector and which have a
178 combined momentum that points back through 10 m of concrete and 90 m of rock to the IP.
179 Studies of dark photons, dark Higgs bosons, heavy neutral leptons, light $B-L$ gauge bosons,
180 axion-like particles, and others [6–14] have demonstrated that FASER and a possible follow-
181 up experiment, FASER 2, have a full physics program, with significant discovery potential
182 in a variety of models.

183 In addition to searches for new physics, FASER may also provide interesting probes of
184 standard model physics. As an example, in Run 3, the number of muon neutrinos passing
185 through FASER with energies above 100 GeV is $\sim 10^{13}$, with roughly 600 interacting in
186 the 10 cm-thick block of lead that is near the front of FASER (see Sec. II B). Furthermore,
187 a few tau neutrinos with $\sim \text{TeV}$ energies are expected to interact in FASER. Although
188 more study is required, these event rates imply that FASER may also provide interesting
189 information about SM particles by detecting the first neutrinos at the LHC and, for example,

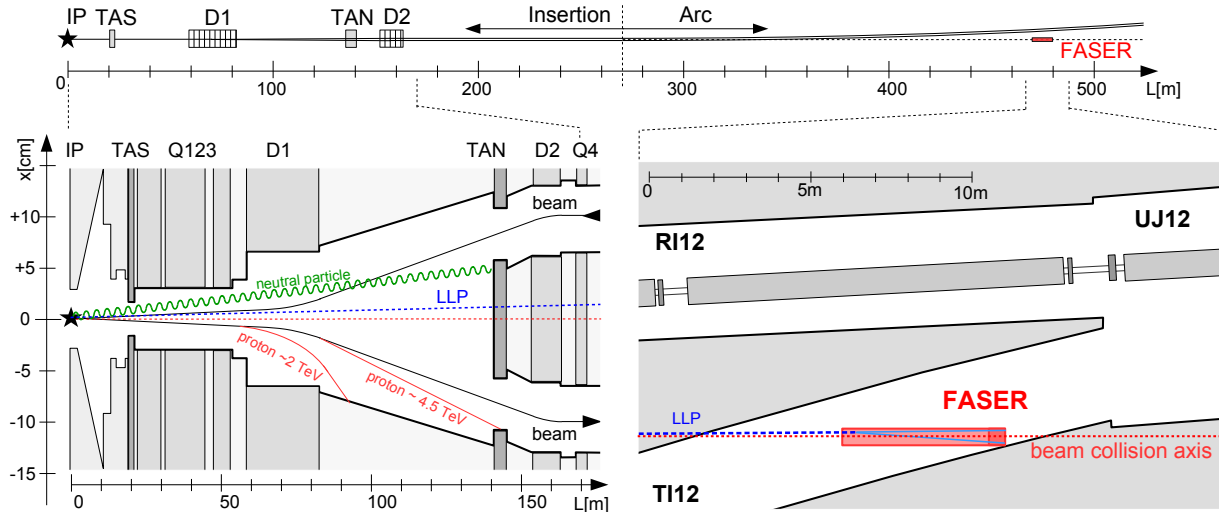


FIG. 1. Schematic view of the far-forward region downstream of ATLAS and various particle trajectories. **Upper panel:** FASER is located 480 m downstream of ATLAS along the beam collision axis (dotted line) after the main LHC tunnel curves away. **Lower left panel:** High-energy particles produced at the IP in the far-forward direction. Charged particles are deflected by LHC magnets, and neutral hadrons are absorbed by either the TAS or TAN, but LLPs pass through the LHC infrastructure without interacting. Note the extreme difference in horizontal and vertical scales. **Lower right panel:** LLPs may then travel ~ 480 m further downstream, passing through 10 m of concrete and 90 m of rock, and decay within FASER in TI12.

190 constraining neutrino interaction rates in the energy range $E_\nu \sim 400$ GeV – 4 TeV, where
 191 they are currently unconstrained. (See, for example, Appendix 1 of Ref. [1].)

192 B. The Experiment

193 The proposed location of FASER and the LHC infrastructure between the IP and the
 194 detector are shown in Fig. 1. A sketch of the proposed detector to be installed in the TI12
 195 tunnel¹ is shown in Fig. 2, and a brief overview is given below.

196 At the entrance to the detector, two scintillator stations are used to veto charged particles
 197 coming through the cavern wall from the IP, primarily high-energy muons. Each station
 198 consists of two layers of scintillators. Between the stations is a 20-radiation-lengths-thick
 199 layer of lead that converts photons produced in the wall into electromagnetic showers that
 200 can be efficiently vetoed by the scintillators.

201 The veto stations are followed by a 1.5 m long, 0.6 T permanent dipole magnet with a
 202 10 cm aperture radius. This is the decay volume for LLPs decaying into a pair of charged
 203 particles, with the magnet providing a horizontal kick to separate the decay products to a
 204 detectable distance. The decay volume is not foreseen to be under vacuum.

¹ In the LOI we were expecting the TI18 tunnel to be the best place for FASER, but additional measurements made by the CERN survey team during LHC Technical Stop 2 show that the TI12 tunnel on the other side of the LHC interaction point, IP1, will allow for a longer detector on the beam collision axis. TI12 is the same distance from IP1 as TI18, but is on the side towards the LHCb experiment, rather than towards ALICE.

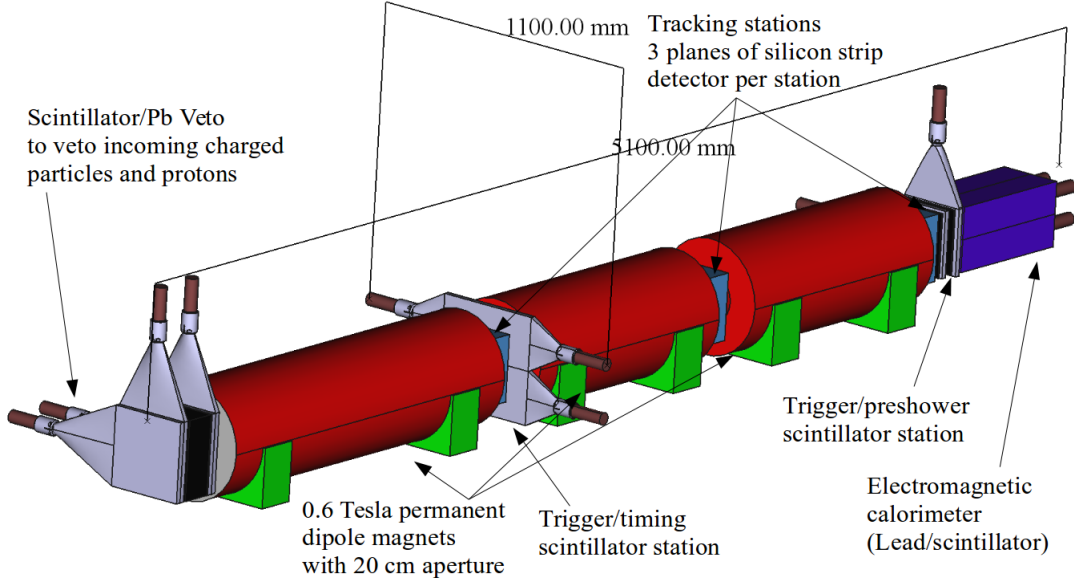


FIG. 2. Layout of the proposed FASER detector. LLPs enter from the left. The detector components include scintillators (gray), dipole magnets (red), tracking stations (blue), and a calorimeter (dark purple).

205 After the decay volume is a spectrometer consisting of two 1 m long, 0.6 T dipole magnets
 206 with three tracking stations, which are located at either end and in between the magnets.
 207 Each tracking station is composed of layers of precision silicon strip detectors. The three
 208 magnets will have their fields aligned to give the maximum separation for charged particles in
 209 the bending plane. Scintillator stations for triggering and precision time measurements are
 210 located at the entrance and exit of the spectrometer. The primary purpose of the spectrom-
 211 eter is to observe the characteristic signal of two oppositely-charged particles pointing back
 212 towards the IP, measure their momenta, and sweep out low-momentum charged particles
 213 before they reach the final layer of the spectrometer.

214 The final component is the electromagnetic calorimeter. This will identify high-energy
 215 electrons and photons and measure the total electromagnetic energy. The primary signals
 216 are two close-by electrons or photons with too-small separation for the calorimeter to resolve
 217 individually.

218 III. DETECTOR ENVIRONMENT

219 A. Detector Length Constraints

220 Detailed measurements from the CERN survey team have mapped out the beam collision
221 axis or line of sight (LOS) in both the TI18 and TI12 tunnels. This LOS assumes no crossing
222 angle between the beams at IP1. In reality, the LHC runs with a crossing angle in the range
223 of about $150 \mu\text{rad}^2$, the effect of which is discussed below. The TI18 and TI12 tunnels
224 connect the LHC to the much shallower SPS, and they therefore slope steeply upwards as
225 they leave the LHC tunnel. Because of this geometry, the LOS is below the tunnel floor as
226 it enters the tunnel, and then emerges from the floor. Given this, to maximize the detector
227 length that can be centered on the LOS, it will be desirable to lower the floor. Measurements
228 from the CERN survey team show that, with the allowed digging that can be done in LS2
229 (limited to 460 mm, as discussed in Sec. X), a significantly longer detector can be installed
230 in TI12 than in TI18. In particular, based on our design, we could fit a roughly 5 m-long
231 detector in TI12, compared to a roughly 3 m-long detector in TI18.

232 A more detailed modeling of the tunnel and the detector shows that the length of the
233 detector becomes limited by the back part hitting the wall of the tunnel, as shown in Fig. 3.

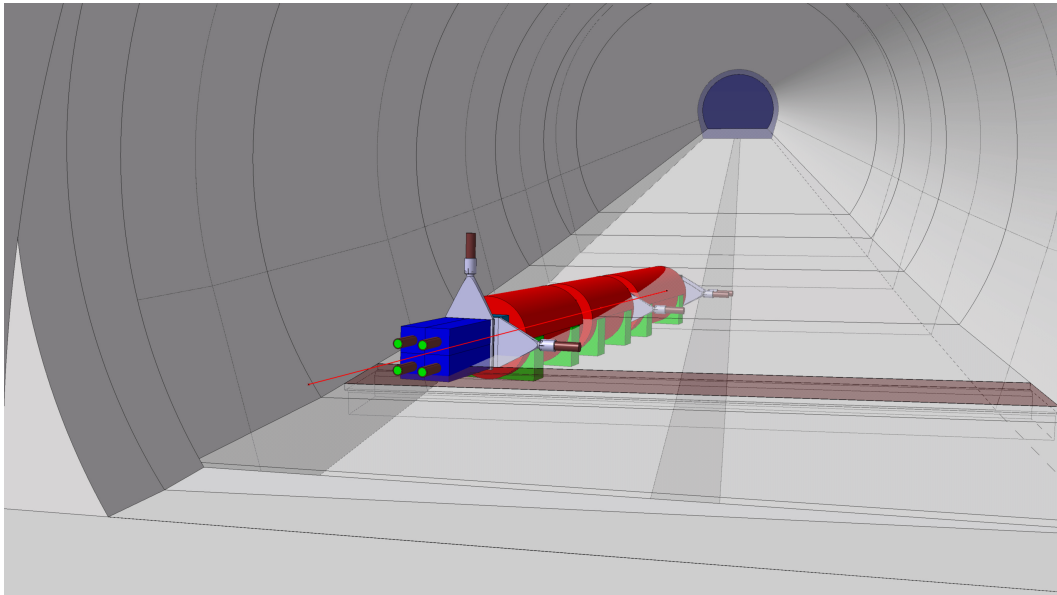


FIG. 3. A model of the FASER detector situated at the proposed location (centered on the nominal LOS) in the TI12 tunnel.

² This value is the half crossing angle which is typically what is quoted, and what is used for the rest of this document

234 B. Beam Configuration Effects

235 1. Effect of IP1 beam crossing angle

236 To avoid long range beam-beam effects and parasitic collisions inside the common beam
237 pipe, the LHC runs with a half crossing angle at IP1 of about $150 \mu\text{rad}$. In the LHC
238 design, and for all running to date, the crossing angle is in the vertical plane. For Run 2,
239 it was decided to flip the crossing angle direction (sign) periodically (e.g., once per year) to
240 distribute the collision debris more evenly and prolong the lifetime of the focusing magnets,
241 which are a potential limitation on the total integrated luminosity that can be delivered
242 before LS3. In addition, since the start of 2017, the crossing angle was reduced during the
243 physics fills from an initial value of $160 \mu\text{rad}$ to $120 \mu\text{rad}$ at the end of the fill. At the
244 FASER location (480 m from IP1) a half crossing angle of $160 \mu\text{rad}$ corresponds to a shift
245 of the collision axis (compared to the nominal LOS, assuming no crossing angle) of 7.7 cm,
246 and $120 \mu\text{rad}$ will shift the axis by about 2 cm less.

247 For Run 3 the optics that will be used in the LHC has not been finalized. Two options
248 are under consideration: (i) *round beams*, in which the β^* is the same in the horizontal and
249 vertical planes, and (ii) *flat beams*, in which the β^* is larger in the crossing plane and which
250 can give higher luminosities. In the case of *round beams*, the crossing angle will be similar
251 to that used in Run 2, being in the vertical plane and with the sign possibly changed each
252 year of running. With *flat beams*, the crossing angle will be changed to be in the horizontal
253 plane, and the sign will not be changed (this will be fixed to the sign for which the LOS
254 points towards the outside of the LHC ring). In all cases, the crossing angle values will be
255 similar to those used in Run 2 and will be reduced in a similar way during the physics fills.

256 For FASER the considerations above mean that we need to be ready for the LOS to be
257 displaced from the nominal LOS by up to 8 cm in either the horizontal plane or in the
258 vertical plane (and switching between the displacement being up or down every year). The
259 baseline strategy to deal with this would be to keep the detector centered on the nominal
260 LOS and sacrifice some signal rate from the fact that the actual LOS is shifted with respect
261 to the nominal LOS by the crossing angle. Simulation studies show that this leads to a minor
262 loss in physics reach; for example, for dark photons, it leads to a loss in signal acceptance
263 of roughly 25%, corresponding to an almost imperceptible change in sensitivity reach in the
264 (mass, coupling) plane. It may be possible to align the detector to be more centered on the
265 LOS taking into account the crossing angle (especially in the case of flat beams when this
266 will not be changed between years), but for now we consider that we will center FASER on
267 the nominal LOS.

268 2. Effect of beam divergence

269 The beam divergence is a measure of the intrinsic transverse momentum spread of the
270 collision system due to the machine optics. It is given by $D = \sqrt{\epsilon/\beta^*}$, where ϵ is the
271 transverse emittance of the beam, and β^* is the value of the β function at the IP. Whereas
272 the crossing angle shifts the LOS, the effect of the divergence is to spread the per-collision
273 collision products out around the nominal LOS. For the typical values of parameters expected
274 to be used in Run 3 ($\beta^* \approx 30$ cm and $\epsilon \approx 3 \times 10^{-10}$ m), we expect $D \approx 30 \mu\text{rad}$. In the case of
275 flat optics with $\beta_x^* \approx 60$ cm and $\beta_y^* \approx 15$ cm, this will give $D_x \approx 20 \mu\text{rad}$ and $D_y \approx 40 \mu\text{rad}$.
276 Given the LHC machine parameters, then, the value of the divergence is usually of the order

277 of 10% of the crossing angle, and so we expect the effect of the divergence to be very small
278 for FASER. Of course, the effect of the divergence can be taken into account in the signal
279 simulations.

280 3. Effect of filling scheme

281 The effect of the LHC filling scheme on FASER is expected to be minimal. The back-
282 ground particle flux coming from the IP will be related to the instantaneous luminosity,
283 but these rates are much lower than the bunch crossing rate, and so the effect of pileup is
284 completely negligible. The signal rate is also proportional to the instantaneous luminosity,
285 but again, for the same luminosity, it will not be dependent on the filling scheme.

286 C. Particle Flux

287 FLUKA simulation [15, 16] studies have been carried out to estimate the expected par-
288 ticle fluxes entering the FASER detector [17]. These studies include particles arising from
289 collisions in IP1 and from beam-related backgrounds in the LHC. In addition, detectors
290 have been installed in the TI18 and TI12 tunnels during LHC Technical Stops in 2018 to
291 measure the particle flux and validate the simulations. These fluxes are important as they
292 will determine the trigger rate and the number of particles that can be used for detector
293 alignment and calibration. The current simulations and most of the *in situ* measurements
294 are for TI18. The preferred FASER location is now the TI12 tunnel, but the expectation is
295 that the particle flux will be the same in TI12 and TI18, as the collision system and LHC
296 infrastructure is symmetric around IP1. First *in situ* measurements from TI12 demonstrate
297 that this is the case, and future simulations will be carried out for TI12.

298 1. FLUKA simulation

299 FLUKA simulations have been carried out by the EN-STI CERN group as part of the
300 Physics Beyond Colliders effort. The simulations were made for the TI18 tunnel, normalized
301 to Run 3 conditions (we assume a constant luminosity of $2 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$). The construction
302 of the TI12 tunnel simulation model is envisaged, although its symmetry a priori suggests
303 no major impact on the results.

304 The simulations show, as expected, that muons and neutrinos are the only particles that
305 traverse the FASER detector locations with an appreciable rate. The muons and neutri-
306 nos are either produced directly from proton-proton interactions at the IP, or in upstream
307 showers from particles produced at the IP hitting machine components (for example, high
308 energy neutrons hitting the TAN 140 m from the IP). The FLUKA simulation contains a
309 realistic geometry of the LHC tunnel and the LHC optics, and is therefore expected to model
310 such processes well. Similar simulations using this setup have been used for understanding
311 particle fluences and radiation levels in different areas of the LHC complex, and in many
312 cases have been validated with measurements.

313 The simulated particle fluences as functions of energy for muons and neutrinos are shown
314 in Fig. 4 for an instantaneous luminosity of $2 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ and collision energy of 13
315 TeV. The figure only shows negatively charged muons, as the biasing technique used does

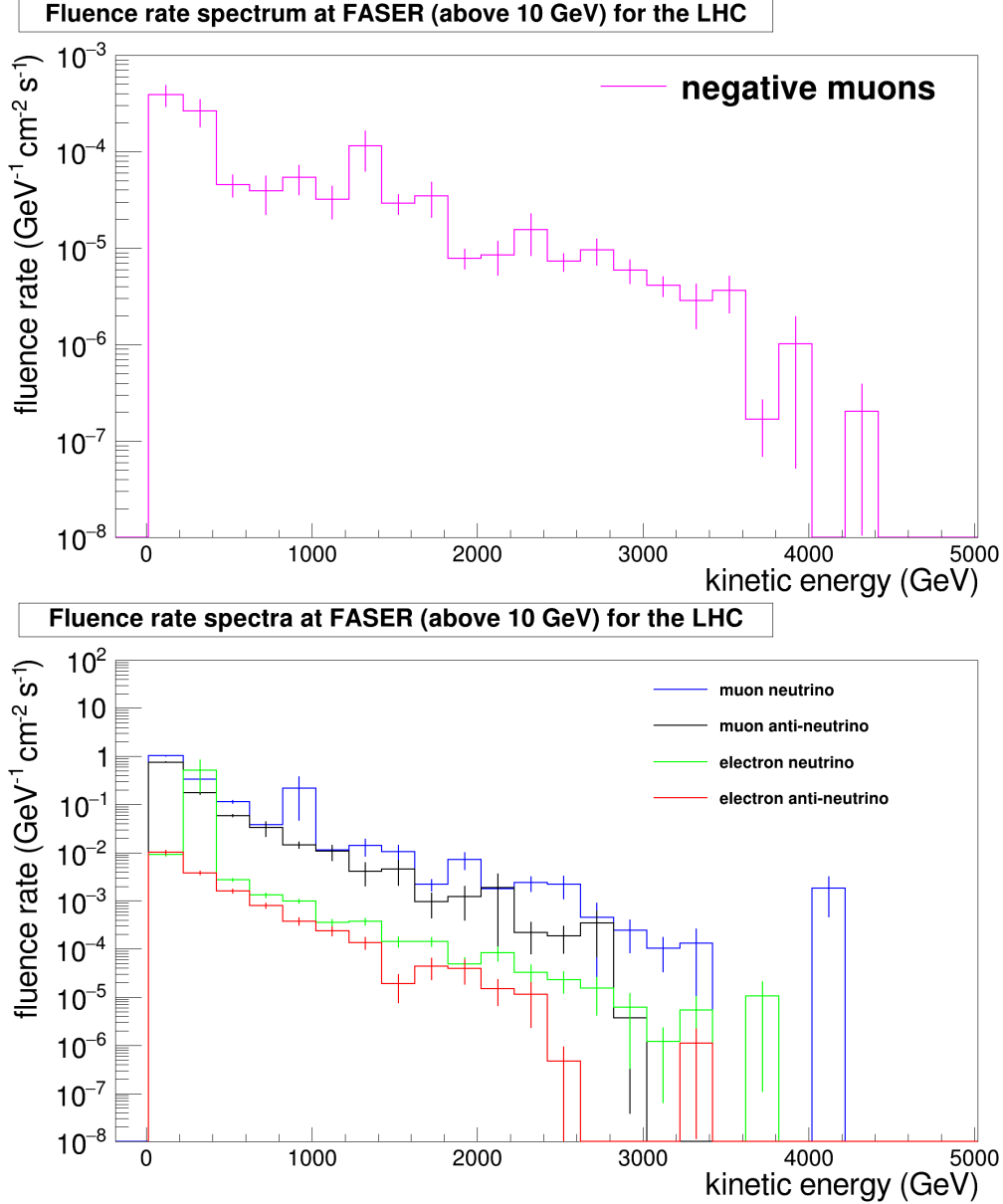


FIG. 4. FLUKA simulation estimation of the particle flux as a function of energy at the FASER location: (top) for negative muons; (bottom) for different neutrino species. These are normalized to a luminosity of $2 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$. Due to limited statistics, the positive muon spectra is not included but this is of the order of 10-20% of the negative muon flux above 100 GeV.

316 not give reliable spectra for positive muons at the moment. The simulations indicate that
 317 above 100 GeV the positive muon flux at FASER is between 10-20% of the negative muon
 318 flux, while this fraction increases below 100 GeV. The rate for all muons with energy above
 319 different thresholds is presented in Table I. For particles with energy above 10 GeV, this
 320 gives a charged particle rate through the 10 cm-radius detector volume of around 100 Hz.

321 FLUKA does not include the rate of particles produced in neutrino interactions in the
 322 rock, but this is estimated to be completely negligible (less than 0.01 Hz).

323 The FLUKA estimations of the particle flux have an uncertainty of order a factor of a

Energy threshold [GeV]	Charged particle flux [$\text{cm}^{-2} \text{s}^{-1}$]
10	0.40
100	0.20
1000	0.06

TABLE I. The expected charge particle flux at the FASER location from FLUKA simulations for different energy thresholds, normalized to the expected Run 3 luminosity of $2 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$. The rate is entirely from muons.

324 few, dominated by statistical effects in the current simulation samples. With larger samples
 325 there will still be sizable systematic uncertainties at the tens of percent level.

326 Figure 5 shows the muon flux as a function of radial position around FASER. It can be
 327 seen that the FASER detector is in a region with reduced particle flux, with significantly
 328 higher rates expected 1–2 m on either side of FASER (for positive and negative muons
 329 separately), due to the bending of the muons in the LHC magnetic field.

330 FLUKA can also be used to estimate the flux of particles entering FASER that are not
 331 produced in interactions at IP1. These include particles coming from showers in the LHC

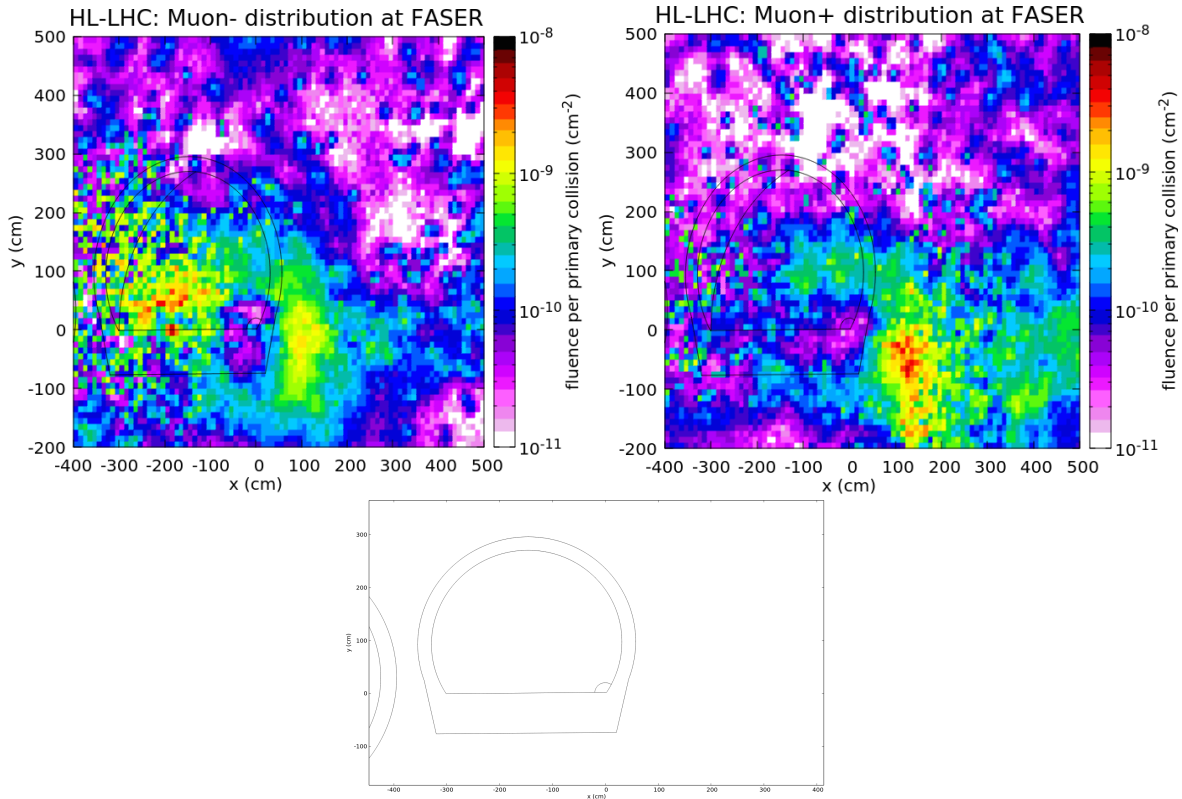


FIG. 5. FLUKA simulation estimates of negative muon fluxes (top left) and positive muon fluxes (top right) in the transverse plane at the FASER location. These results assume the TI18 location, 485 m from the IP. The diagram at the bottom shows the geometry used in the simulations. The FASER detector is visible as a small, partially-cut circle of radius 20 cm at the bottom right of the tunnel.

	beam [fb ⁻¹]	observed tracks [cm ⁻²]	efficiency	normalized flux, all [fb cm ⁻²]	normalized flux, main peak [fb cm ⁻²]
TI18	2.86	18407	0.25	$(2.6 \pm 0.7) \times 10^4$	$(1.2 \pm 0.4) \times 10^4$
TI12	7.07	174208	0.80	$(3.0 \pm 0.3) \times 10^4$	$(1.9 \pm 0.2) \times 10^4$
FLUKA simulation, E>100 GeV				1×10^4	

TABLE II. Measured fluxes from emulsion detector data. The fluxes in the main peak (within 10 mrad) should be compared with the FLUKA simulation.

332 beam pipe in the dispersion suppressor (which arise from off-momentum protons following
333 diffractive interactions in IP1, hitting the beam aperture, and causing particle showers), and
334 particles produced in beam-gas interactions in the LHC beampipe. Both of these sources are
335 highly suppressed: the dispersion function close to the FASER location minimizes proton
336 losses in this region, and the excellent vacuum in the LHC means beam-gas interaction
337 rates are extremely small. The FLUKA simulations predict the background of high-energy
338 particles entering FASER from these processes is negligible.

339 2. In situ measurements

340 To measure particle fluxes at FASER’s location, emulsion detectors were placed in the
341 TI18 and TI12 tunnels. Photos and maps of the installation and the angular distributions
342 of particles detected are shown in Fig. 6. The angular distributions show clear peaks of
343 charged particles entering the detector from directions compatible with the ATLAS IP (the
344 peak population of tracks at close to (0,0) on the figures). The width of the main peak
345 was measured to be 2.3 mrad as shown in Fig. 7, which is as narrow as the angular reso-
346 lution of the emulsion films, which is 2 mrad. This implies that the particles in this peak
347 are sufficiently energetic not to be affected by multiple Coulomb scattering through about
348 100 m of rock and concrete shielding. Note that the expected transverse momentum due
349 to the multiple Coulomb scattering through 100 m of rock is 0.54 GeV, corresponding to a
350 scattering of 2 mrad for 270 GeV particles without including their ionization energy loss.
351 In addition, the emulsion detector measurements were taken both with and without 0.5-
352 mm-thick tungsten plates, which effectively imposed different energy cutoffs and provided
353 insights about particle energy as a function of angle. As shown in Fig. 8, the tracks at the
354 peripheral part are mostly composed of low-energy particles below 1 GeV.

355 The observed number of charged particles and rates are summarized in Table II. The
356 particle fluxes in the main peak (within 10 mrad in angular space) were measured to be
357 $(1.2 - 1.9) \times 10^4$ fb cm⁻² when corrected for detection efficiency. These can be compared
358 with the expected flux from the FLUKA simulations of 2.0×10^4 fb cm⁻² for particles with
359 the energy above 10 GeV. The *in situ* measurement therefore agrees well with the simulation
360 given the uncertainties in the detection efficiency and uncertainty in the simulations.

361 An interesting feature in the emulsion detector data is small secondary peaks visible in
362 the angular distributions, for example, at $(-0.75, 0)$ in the TI18 data shown in Fig. 6, with
363 $\sim 1\%$ of the total number of tracks. This corresponds to tracks entering the detector with an
364 angle consistent with originating at the LHC beamline at the bottom of the TI18 tunnel and,
365 therefore, entering the detector without passing through any rock. The coordinate system
366 and the tunnel geometry can also be seen in the center panels of Fig. 6. The FLUKA

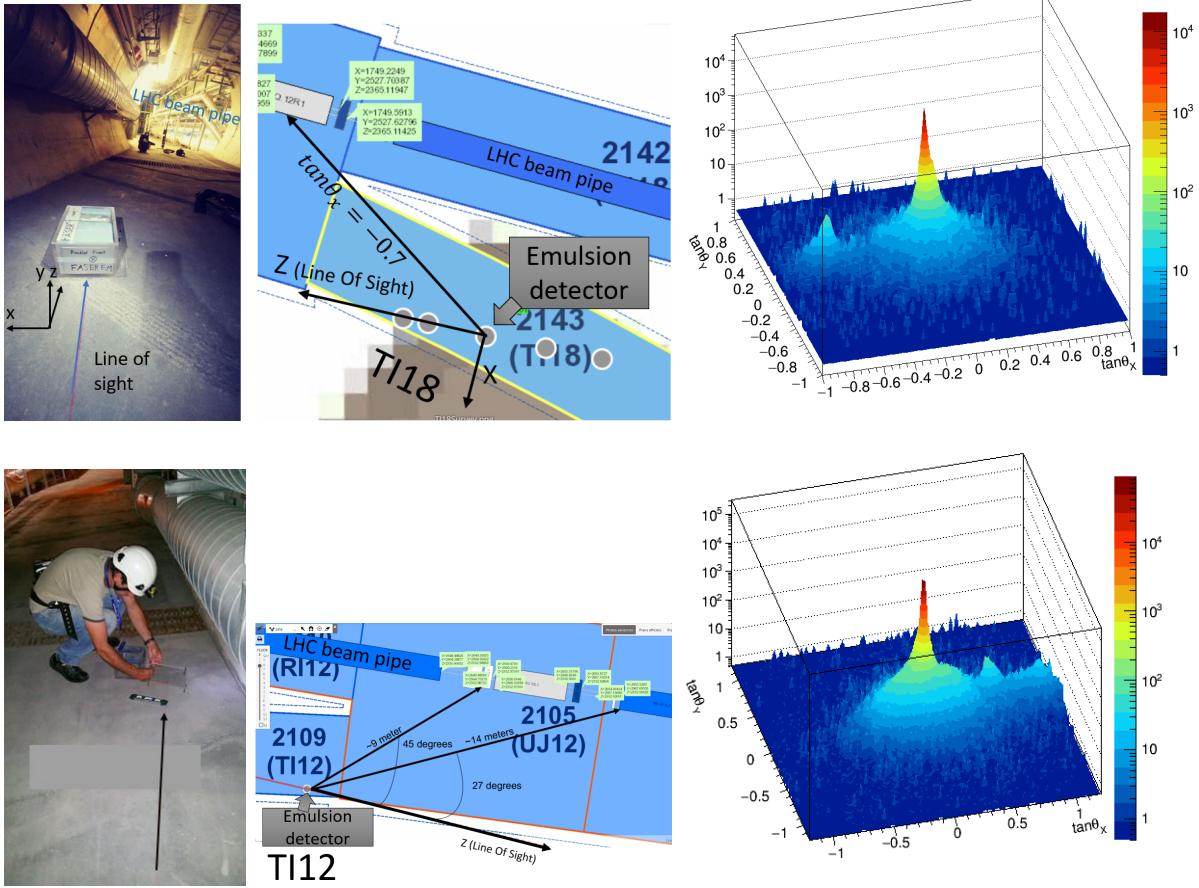


FIG. 6. *In situ* measurements by emulsion detectors at the TI18 location (upper panels) and TI12 location (lower panels). We show photos of the installed detectors (left), maps of the installation locations (center), and angular distributions of the detected particles (right).

367 simulations do not show such a population of tracks, but for the beam-gas simulations they
 368 only included high energy particles with $E > 100$ GeV, whereas the emulsion detectors
 369 are sensitive to particles with much lower energies. It is therefore likely that these are low
 370 energy particles and will not be problematic for the experiment. A more detailed analysis
 371 of the emulsion detector data is ongoing, and it should provide a determination of the rate
 372 of high-energy electromagnetic objects.

373 An active monitoring device (a TimePix3 Beam Loss Monitor [18]) was installed in the
 374 TI18 tunnel on the LOS during LHC Technical Stop 2. This device has the capability to
 375 correlate the rate of detected particles with the beam conditions. In particular, it can sep-
 376 arately determine the particle detection rate during periods with high-luminosity collisions,
 377 periods with high-energy beams but no collisions (for example, during the ‘squeeze’ beam
 378 process), and periods with no beam in the machine. During periods with high-luminosity
 379 collisions, the rate can also be correlated with the instantaneous luminosity at IP1. How-
 380 ever the device and the reconstruction algorithm are not calibrated, so it cannot currently
 381 provide absolute measurements of the particle flux. A first analysis of the TimePix detector
 382 data shows a clear correlation between the observed cluster counts and the instantaneous
 383 luminosity in IP1. It also shows slightly larger rates when there is beam in the LHC, but

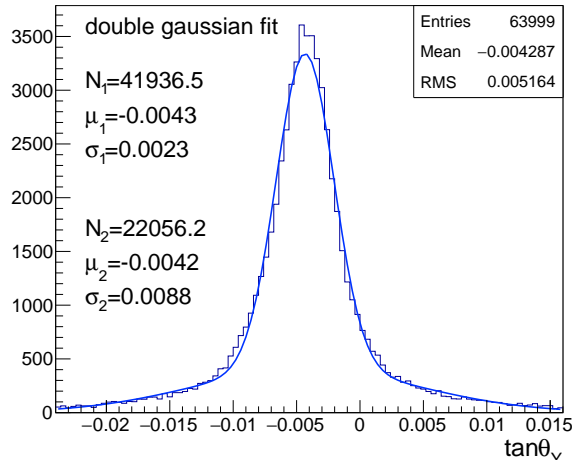


FIG. 7. A magnified view of the main peak of the angular distribution of particles detected at TI12, projected into the y -axis. The width of the main peak is 2.3 mrad, which is nearly consistent with the emulsion detector’s angular resolution of 2 mrad.

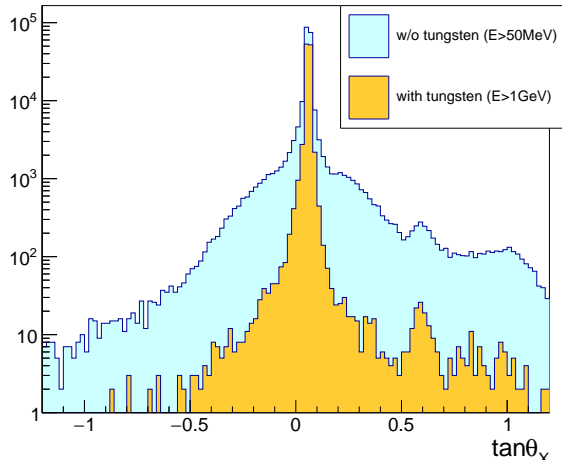


FIG. 8. Angular distributions, projected into the x -axis, by three emulsion films with and without tungsten plates, corresponding to energy cutoffs of about 1 GeV and 50 MeV, due to multiple Coulomb scattering, respectively.

Period	Luminosity [$10^{34} \text{ s}^{-1} \text{ cm}^{-2}$]	Counting Rate [s^{-1}]	Counting Rate/Luminosity [10^{-34} cm^2]
No beam	-	0.16	-
Beam (no collisions)	-	0.55	-
Collisions	1.8	7.0	4.0
Collisions	1.3	4.8	3.8
Collisions	0.8	3.3	4.2
Collisions	0.6	2.7	4.3
Collisions	0.5	2.2	4.1

TABLE III. Preliminary results from the TimePix detector installed in TI18, indicating that the main particle rate is proportional to luminosity in IP1. This also shows a small, but significant, increase in rate with non-colliding beam, compared to no beam in the machine. Beam (no collisions) corresponds to a full machine (2556 bunches) at the start of a physics fill, providing a total intensity of 2.7×10^{14} protons per beam.

384 with no collisions, compared to no beam in the machine. Example results are shown in
385 Table III.

386 D. Radiation Level

387 The radiation level in the TI12 tunnel is an important input for determining what elec-
388 tronics can be operated in and close-to the detector. Both simulation studies and *in situ*
389 measurements have been carried out to assess the radiation level.

390 1. *FLUKA simulation*

391 The FLUKA setup described above was also used to estimate the radiation level in the
392 TI18 tunnel. For such locations, the radiation field is usually driven by proton showers in
393 the dispersion suppressor, and, as mentioned above, these losses are very low for the LHC
394 cell around FASER. Given this, radiation from showers due to beam-gas interactions in the
395 incoming beam, where the particles from the beam-gas interaction showers can enter FASER
396 without passing through any rock, can also be relevant. The simulations estimate a dose less
397 than 5×10^{-3} Gy per year and a 1 MeV neutron equivalent fluence of less than 5×10^7 per
398 year. These numbers are roughly estimated to be comparable to or smaller than the dose in
399 the ATLAS underground area USA15, where non-radiation-hard commercial electronics are
400 used.

401 2. *In situ measurements*

402 Four BatMon battery operated radiation monitoring devices were installed in the TI18
403 tunnel by the EN-SMM CERN group during LHC Technical Stop 1. Two were tuned to
404 measure the high-energy hadron flux, and two to measure the thermal neutron level. Given
405 the expected low radiation in TI18, they were deliberately installed at the entrance to TI18
406 from the LHC, so closer to the LHC than any FASER electronics would be, as otherwise they
407 may not have been able to make meaningful measurements. This means the measurements
408 should represent a conservative estimate of the radiation field that FASER electronics will
409 be exposed to. The devices were read out after 3 fb^{-1} of 13 TeV pp collision data was
410 delivered to IP1. The readings show that the high-energy hadron fluence is below the device
411 sensitivity (corresponding to 10^6 cm^{-2}), completely consistent with the expectation from the
412 FLUKA simulation studies. For thermal neutrons the measured flux is $4 \times 10^6 \text{ cm}^{-2}$, to be
413 compared with the simulation estimate of $3 \times 10^6 \text{ cm}^{-2}$. In general, the measured radiation
414 level is consistent with the simulations, and it is generally low and dominated by beam-gas
415 interactions in beam-2 (the incoming beam for TI18). The BatMon detectors have now been
416 installed in TI12, but the detector data has not been analyzed yet.

417 In summary, in general we expect to be able to use non-radiation-hard electronics in
418 FASER without problems.

419 **E. Other Environmental Factors**

420 A temperature and humidity sensor (of type TAND TR-72wf) was installed in the TI12
421 tunnel on the LOS as part of the emulsion detector installed there to measure the charged
422 particle flux. The measured temperature and humidity as functions of time are shown in
423 Fig. 9. During this period the temperature in TI12 was constant at 18°C , whereas the
424 humidity varied between 40% and 60%, with a value around 55% for most of the time. The
425 figure also shows the variation over a longer timescale of about a year, but using the LHC
426 environmental monitoring system, with the reading for the sensors closest to TI12. The
427 temperature in the tunnel is very stable also over the longer time scale.

428 Vibrations and ground movements in the LHC tunnel are carefully monitored, as even
429 small movements can cause the beam to be lost. For FASER, movement can effect the
430 detector alignment. Studies by LHC experts [19] show that, for wavelengths up to 500 m,

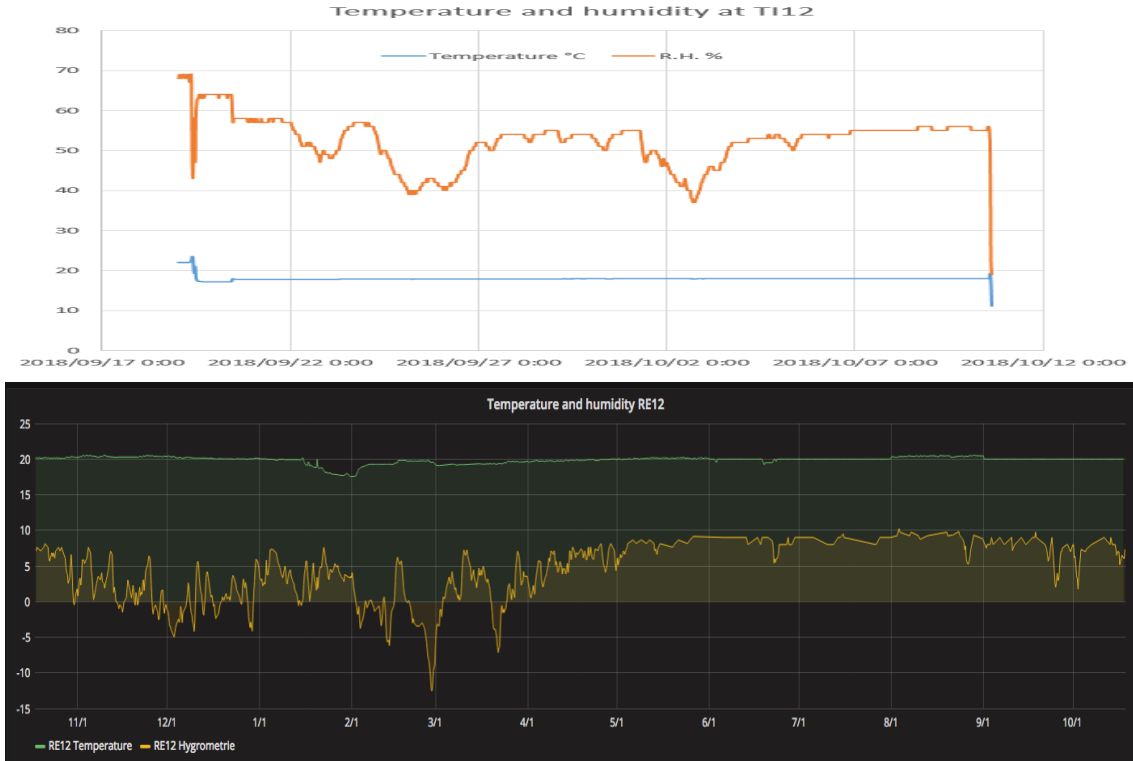


FIG. 9. Measured temperature and humidity. Top: during the period when the emulsion detector was installed on the LOS in TI12 (between mid-September and mid-October). Bottom: in the LHC tunnel close to TI12 (in the UJ12 region) from LHC monitoring, over about 1 year.

431 the tolerable range of seismic wave amplitudes is in the range of a few micrometers or less,
 432 with large uncertainties due to the exact wavelength or frequency content of the earthquake
 433 and due to its orientation relative to the LHC ring plane. For wavelengths above 500 m,
 434 wave amplitudes of a few tens of micrometers are acceptable and should not provoke beam
 435 aborts. This suggests that any ground motion would be a problem for the LHC before it
 436 becomes problematic for FASER.

437 **IV. MAGNETS**

438 **A. Requirements and Magnet Design**

439 The main requirements on the magnets needed for FASER are:

- 440 • The dipole field should be large enough to sufficiently separate pairs of oppositely-
441 charged, high-energy particles originating from a high-energy, low-mass particle decay
442 inside the detector decay volume;
- 443 • The magnet should be sufficiently thin to be able to fit into the tunnel (after lowering
444 the floor by up to 46 cm) to allow a 5 m-long detector centered on the LOS (this
445 suggests a magnet thickness of 15 cm would be best);
- 446 • The services needed for the magnet should be minimized (for example, minimizing
447 power and cooling requirements);
- 448 • The stray field must be small enough that the PMTs used for the scintillator and
449 calorimeter readout can operate correctly;
- 450 • The magnet dimensions and weight must be compatible with transport to the TI12
451 location (including being lifted over the LHC machine).

452 The above requirements suggest that a permanent magnet with a field of ≈ 0.5 T would be
453 a good solution. For such a magnet there are no services, and the magnet can be thin. A
454 conceptual design of such a magnet, based on a design made for the NTOF experiment,
455 has been made by the CERN warm magnet section. This is based on a Halbach array of
456 permanent magnets arranged to produce a dipole field. Since the radiation level in TI12 is
457 very low, the NTOF design can be slightly improved for FASER by replacing the magnetic
458 material of SmCo with NdFeB, which allows a slightly higher field of 0.6 T to be achieved.
459 The magnet design is shown in Fig. 10, and Table IV shows the main parameters.

460 The stray field outside the magnet openings can be quite large, as is demonstrated in
461 Fig. 11. It maybe that shielding is needed to reduce the field sufficiently to be able to operate
462 the PMTs in the detector. Because of the field between the magnets, the magnets should
463 be separated by at least 20 cm, and no magnetic material should be placed between them.
464

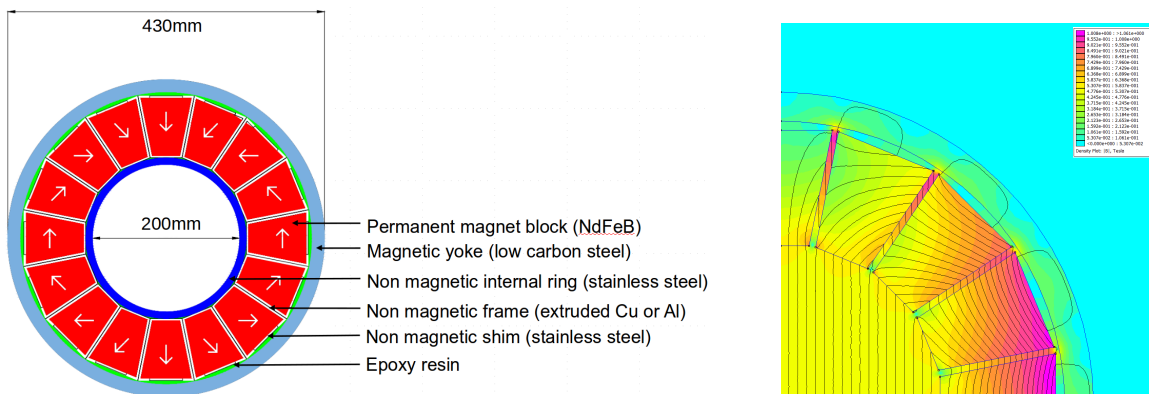


FIG. 10. Left: a sketch of the magnet design. Right: the field lines in the magnet.

Parameter	Value	Unit
Magnetic material	NdFeB	
Central field	0.6	T
Aperture	200	mm
Outer diameter	430	mm
Field homogeneity	± 2	%
Temperature dependence	-0.12	%/K
Weight/length	≈ 1000	kg/m

TABLE IV. Main magnet parameters for the FASER magnet design.

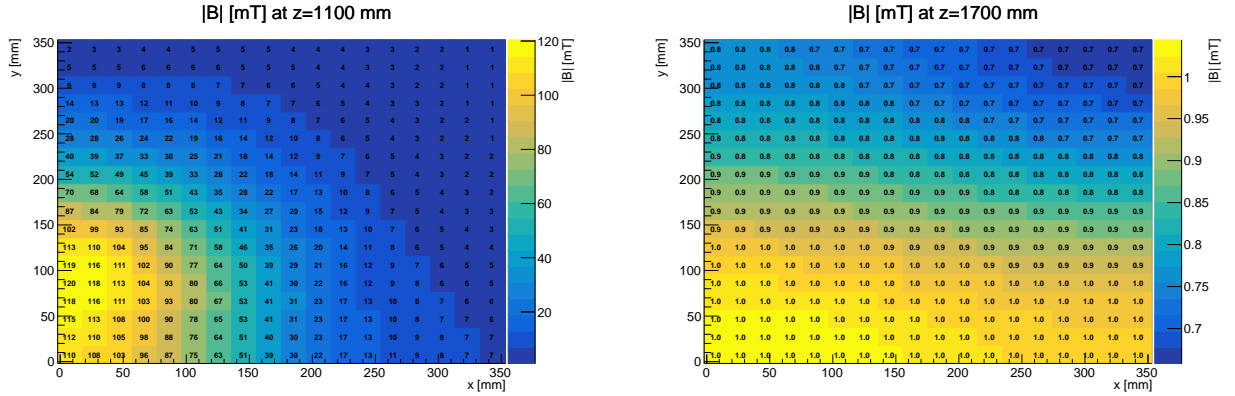


FIG. 11. The stray field (in mT) outside of the magnet estimated from the magnet design model at positions 10 cm (left) and 70 cm (right) in front of the magnet opening, corresponding to the locations of the closest scintillator (at 35cm from center) and calorimeter PMTs (at 7cm from center), respectively.

466 B. Cost and Schedule

467 The cost of producing the FASER magnets has been estimated by the CERN magnet
 468 group to be 420 kCHF, including 100 kCHF for personnel costs. The cost breakdown is
 469 outlined in Table V.

470

471 The timeline for producing the FASER magnets has been estimated by the CERN magnet
 472 group to be 15 months, with the breakdown outlined in Fig. 12.

1.5m Magnet Component	Cost [kCHF]
Permanent Magnet Blocks	90
Machining of Magnetic and Non-Magnetic Parts	30
Magnet Assembly	10
1.5m Magnet Total (1 Unit)	130
1.0m Magnet Component	Cost [kCHF]
Permanent Magnet Blocks	60
Machining of Magnetic and Non-Magnetic Parts	25
Magnet Assembly	10
1.0m Magnet Total (1 Unit)	95
Magnet Engineer	100
Total (1 1.5m Magnet + 2 1.0m Magnets + Engineer)	420

TABLE V. Budget for magnet construction for the FASER experiment.

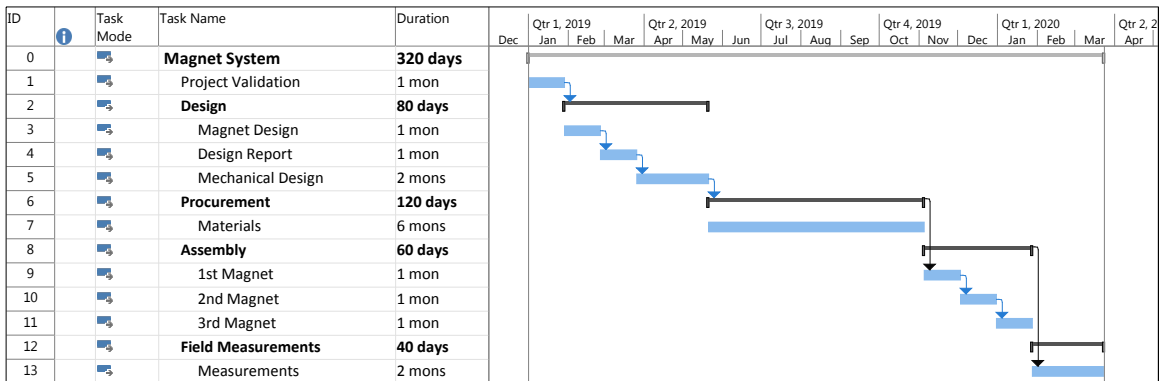


FIG. 12. Timeline for magnet construction for the FASER experiment.

474 V. TRACKER

475 A. Overview

476 The FASER tracker’s purpose is to reconstruct the trajectories of energetic charged par-
477 ticles from the direction of ATLAS. It must have sufficient hit resolution to efficiently and
478 reliably identify the signature of two closely-spaced, oppositely-charged tracks from decay
479 of a very high-energy, low-mass particle, where the tracks are separated by the detector’s
480 magnetic field. The Letter of Intent [1] showed that requiring tracks separated by at least
481 $300\ \mu\text{m}$ results in good physics performance.

482 Given the detector length and magnetic field that can be practically achieved, the high
483 momentum of signal particles, and the uncertainty in the relative alignment of tracking
484 stations in the magnetic bending plane, precise momentum measurement is not expected, and
485 is not required by FASER’s searches for new physics. Nevertheless, the detector is designed
486 to achieve the best momentum resolution possible, which will be important for understanding
487 backgrounds and for any auxiliary physics measurements (for example, neutrino studies with
488 FASER).

489 For cost and schedule reasons, the tracker will be constructed from spare modules of the
490 ATLAS semiconductor strip tracker (SCT) [20, 21]. The SCT Institute Board has kindly
491 agreed to allow FASER to use 80 of the available spare barrel modules [22, 23]. Figure 13
492 shows a barrel module with 6 on-detector ASICs per side, which are integrated into the
493 module. These “ABCD” ASICs [24] are the first stage of the detector readout and control
494 the module’s hit threshold and overall configuration. The SCT modules have proven their
495 reliability in ATLAS during LHC Runs 1 and 2, operating without problems and delivering
496 excellent physics performance.

497 The basic tracker design is three tracking stations, located at the front, center and back
498 of the spectrometer, where each station contains three planes of SCT modules. An SCT
499 module comprises two pairs of silicon strip detectors glued back-to-back to a central thermal
500 pyrolytic graphite (TPG) baseboard. The modules will be oriented to optimize measurement
501 precision in the magnetic bending plane; a stereo angle of $\pm 20\ \text{mrad}$ between the two sides
502 allows track position measurements in the non-precision direction as well. The strip pitch
503 is $80\ \mu\text{m}$ allowing track position resolution in the precision coordinate of order $17\ \mu\text{m}$ per
504 plane (assuming 4 SCT planes, and perfect detector alignment). The resolution in the
505 non-precision coordinate is about $580\ \mu\text{m}$. [25]

506 The number of tracking planes per station is chosen to give high efficiency and sufficient
507 redundancy, while limiting the amount of material inside the tracking volume. To simplify
508 the system, all three tracking stations have an identical design. The design is also matched
509 to the number of available SCT spare modules. Each SCT module is $6\ \text{cm} \times 12\ \text{cm}$ and the
510 tracking plane is made of 8 modules, giving a square of about $24\ \text{cm} \times 24\ \text{cm}$ covering the
511 full active area of the detector (a $10\ \text{cm}$ -radius circle determined by the magnet aperture).
512 Each SCT module has 768 readout channels per side, giving the full tracker system a total
513 of 111×10^3 channels.

514 With the low radiation levels in the TI12 tunnel, the silicon itself does not require cooling
515 to give acceptable efficiency and noise, but the on-detector ASIC chips (12 chips per module)
516 must be cooled. Based on experience during commissioning of the ATLAS SCT, the silicon
517 should be operated at a temperature below 30°C . A water cooling system with inlet water
518 temperature of 5 to 10°C is sufficient to achieve this, but the tracking station will need to

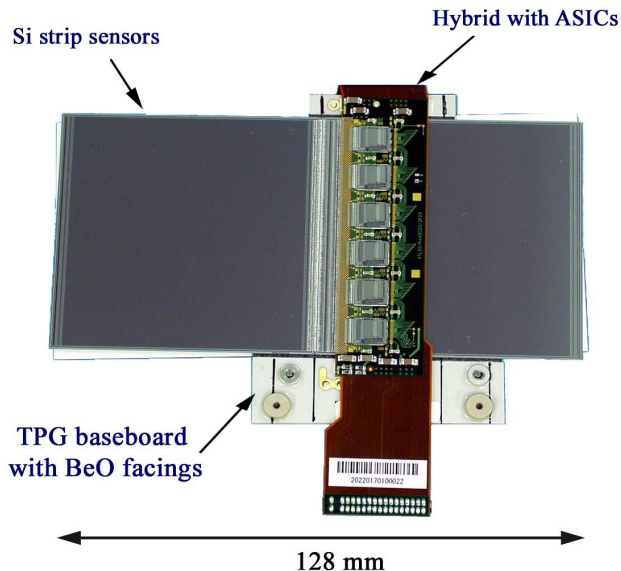


FIG. 13. Illustration of the ATLAS SCT barrel module to be used in FASER.

519 be enclosed in dry air with a dew point low enough to prevent condensation.

520 The low trigger rate (expected to be ~ 600 Hz, as described in Sec. IX), the very small
 521 occupancy of the tracking detector (generally a few hits per plane from a single muon
 522 traversing the detector, along with a small number of noise hits), and the low radiation
 523 level all combine to greatly reduce the challenges of reading out SCT modules in FASER,
 524 compared to ATLAS. A simpler readout architecture than ATLAS, based on shipping the
 525 data to a custom made board via flex cable, has therefore been chosen. The readout board
 526 contains a single FPGA, which carries out simple data processing and error handling before
 527 sending the data to an event builder process running on the surface. Whether one readout
 528 board per tracker plane (nine total) or per tracking station (three total) will be required is
 529 still under study. Either way, the readout system consists of only a small number of boards;
 530 these need to be situated about 1 m from the detector to minimize data cable lengths, while
 531 remaining out of the stray magnetic field.

532 The readout system must also collect calibration data from the SCT modules during
 533 beam-off periods, when all strips are read to measure noise and efficiency and to tune
 534 thresholds. Preliminary calculations show that the proposed architecture can complete the
 535 most bandwidth-intensive calibration for the full detector in short downtimes (≈ 30 minutes).

536 B. Module Selection and Quality Assurance

537 SCT modules for the FASER tracker will be selected based on a series of Quality As-
 538 surance (QA) tests. For module QA, a so-called Chimaera digital board attached with a
 539 Tengja trigger card will be used (see Fig. 14). These were originally developed for a test
 540 system of the LHCb RICH upgrade at Cambridge University and were later used for cosmic
 541 muon tomography with eight spare SCT modules [26].

542 The Chimaera digital board is FPGA-based. It sends commands and reads data to/from
 543 the module, operated by a PC connected via Ethernet. The Tengja card is an interface

544 between the digital board and an adapter card for the SCT module. The trigger board is
 545 directly connected to the digital board via an AUX connector and provides the trigger signal
 546 to issue a calibration pulse to the ABCD readout ASICs on the SCT module.

547 The adapter card connects to the SCT module via a 36-pin connector and provides
 548 electrical lines for the clock, command, data, thermistor readout and several voltages to the
 549 SCT module. A low-voltage supply is connected with the adapter card to power the ABCD
 550 chips, while high voltage for the sensors is supplied via a LEMO connector on the card.

551 The digital board and trigger board are operated via a GUI-based operating software.
 552 The user can set the scan parameters via the GUI window.

553 The SCT module will be operated at room temperature during the QA and placed on a
 554 cooling jig connected with a chiller. The temperature on the module is monitored by using
 555 a Negative Coefficient Thermistor (NTC) on the module.

556 During the QA, the performance of the modules will be checked by a set of tests that
 557 includes scans of threshold and trigger latency for qualification of the ABCD chips, and an
 558 HV scan for qualification of the sensors themselves. Modules will be ranked according to
 559 the test results and those with the highest scores selected for use in the FASER tracker.

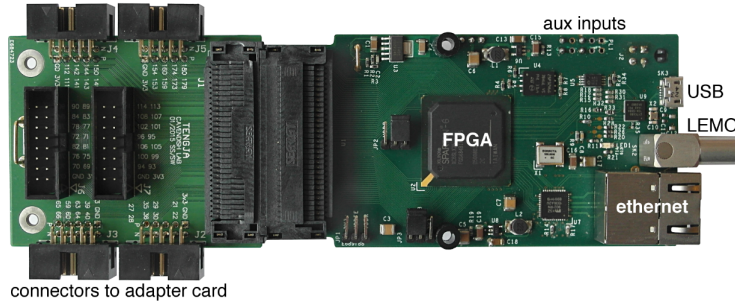


FIG. 14. Chimaera digital board (right) with the Tengja card (left).

560 C. Mechanics

561 The tracker consists of three stations deployed between magnet sections. A station com-
 562 prises three planes of eight SCT modules, arranged over two rows as shown in Fig. 15.
 563 The tracker as a whole thus uses 72 of the 80 SCT modules provided by ATLAS, with the
 564 remaining 8 available as spares.

565 1. SCT modules

566 Mechanical assembly of tracker modules into planes re-uses most of the existing parts of
 567 an SCT module (Figs. 16 and 17). The aim is to retain the module's primary assembly (two
 568 tilted silicon planes + TPG backbone + hybrid) apart from the cooling pipe. Attachment
 569 will be made through the three existing kinematics mounts (shown in Fig. 16) so that no
 570 major modifications to the SCT modules themselves are necessary, saving time.

571 Overlap between adjacent modules (a minimum of five silicon strips) is optimized to
 572 guarantee full geometric efficiency for near-normal tracks over the entire area of a plane.
 573 The arrangement of the two rows is chosen to minimize the material in the central region

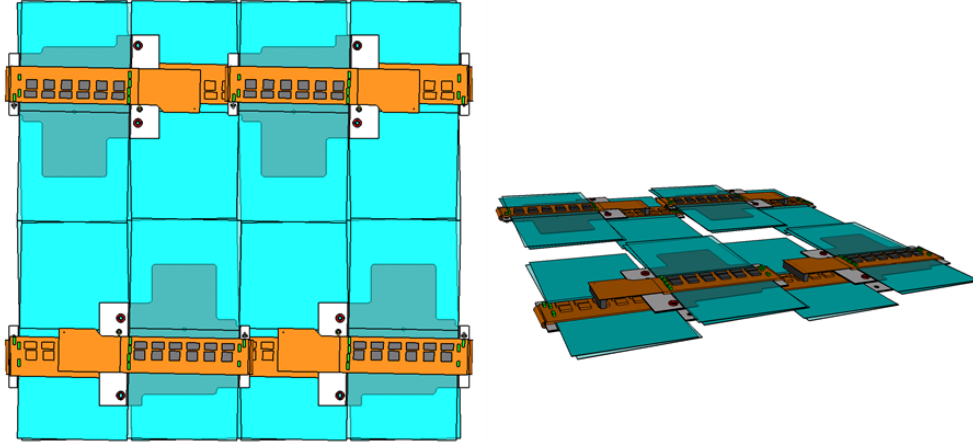


FIG. 15. Left: A full FASER tracker plane, with two rows of four SCT modules. Right: To allow the edges to overlap, modules are staggered in and out of the plane by several millimeters.

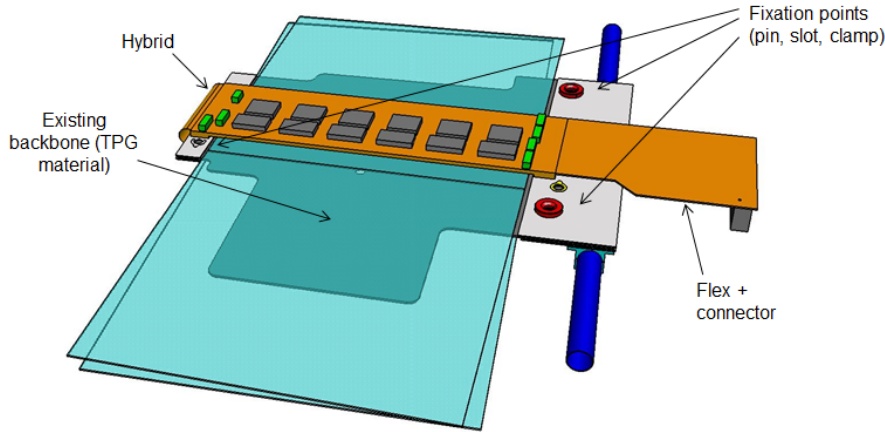


FIG. 16. SCT module with its cooling pipe (dark blue).

574 (no hybrids, connectors, TPG). This mechanical design also allows replacement of any
 575 individual module of a plane, if necessary.

576 *2. Module frame*

577 Tracker planes are mounted in a module frame (see Fig. 18) that serves several purposes:

- 578 • Provide stable attachments for the kinematic mounts of each of the eight modules in
 579 a plane,
- 580 • Provide attachment and alignment within 30 microns for each of the three planes
 581 within a station,
- 582 • Provide the main thermal path for module cooling.

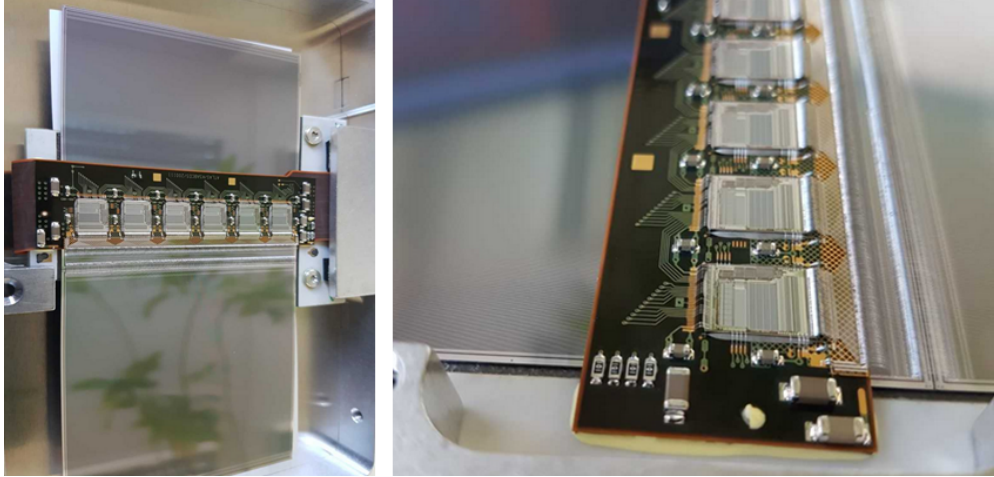


FIG. 17. An SCT module in its holding frame and close-up of the hybrid part.

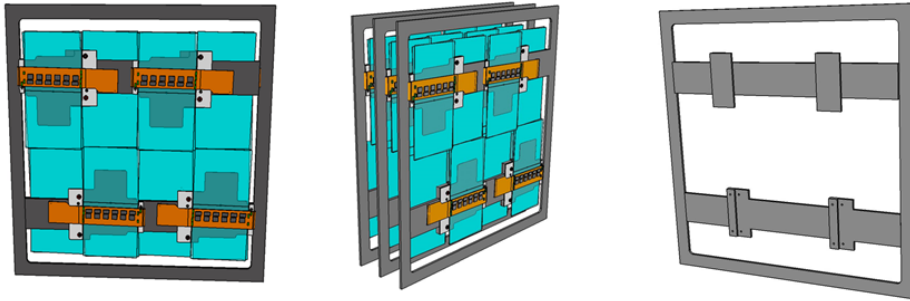


FIG. 18. Left: Module frame with a tracker plane mounted inside. Center: Three module frames assembled into a tracking station. Right: The module frame itself (holes for alignment and attachment are not shown).

583 Aluminum is a candidate material for the module frame.

584 The spacer of each module frame (Fig. 19) determines the separation between planes and
 585 allows connections for cooling. It is also the main interface to the outer box that allows each
 586 station to be aligned with respect to the others and isolated from the tunnel environment.
 587 All service connections (power, data, cooling water, dry air flushing) are located on the same
 588 side of a station due to tunnel access constraints.

589 D. Cooling and Humidity Control

590 Each tracking station is housed in an outer box (Figs. 20 and 21), which provides:

- 591 • An attachment for the enclosed planes,
- 592 • A light-tight and air-tight environment for the modules inside, to isolate them from
 593 the detector tunnel,
- 594 • An interface for services (cables, water pipes, dry air pipes),

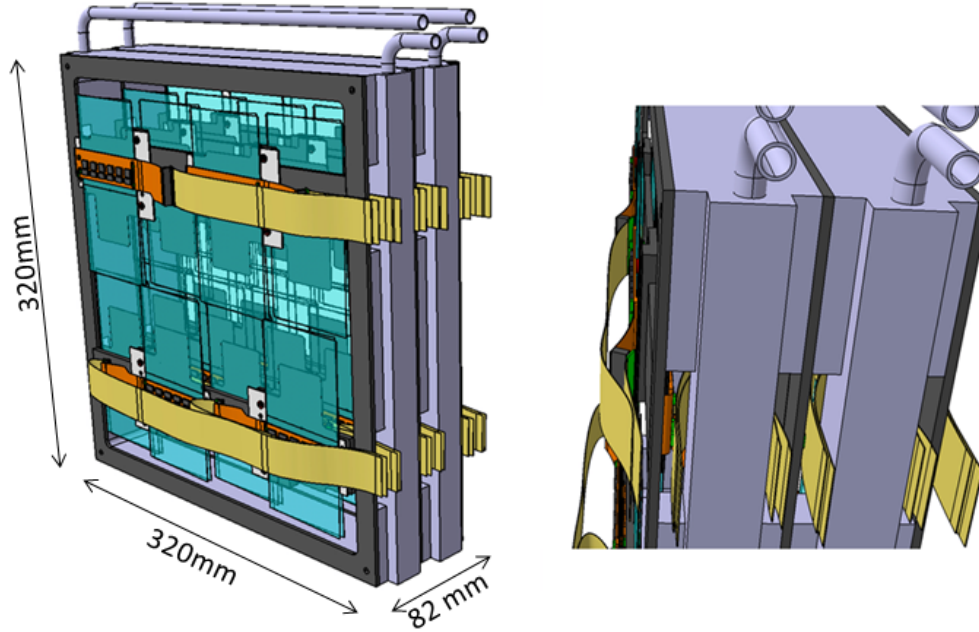


FIG. 19. A tracking station with module frame spacers, showing cooling and electronic connectors.

- 595 • An interface to the FASER support system (with an adjustable mechanism for alignment).
596

597 The outer box has a patch panel for connectors and fittings. The material will be alu-
598 minium to enhance EMI performance by providing a Faraday cage of sorts. It also has fiducial
599 points to establish the position of the station as accurately as possible via survey.

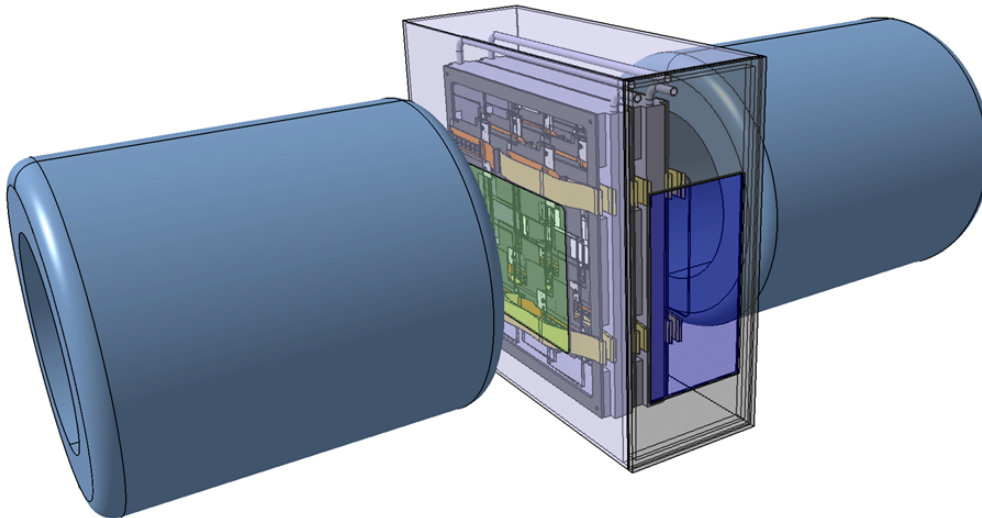


FIG. 20. A tracking station in its outer box between two magnet segments. Nearby scintillators are not shown.

600 The tracking stations will be cooled by chilled water at an inlet temperature between
601 5 and 10°C. To provide this chilled water, the CERN cooling and ventilation group (EN-

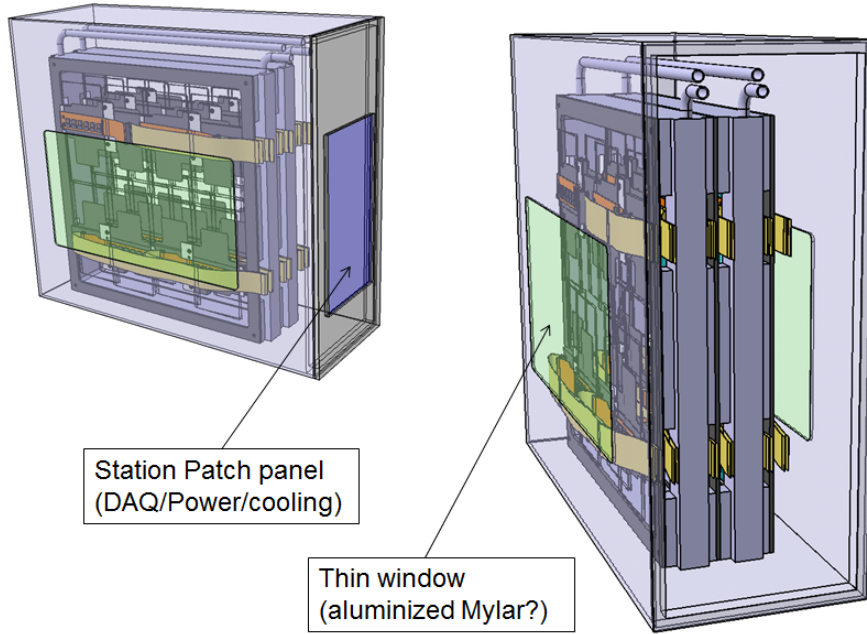


FIG. 21. The tracking station outer box showing the locations of the patch panel and aluminized mylar windows (to minimize material and multiple scattering).

602 CV) recommends a small chiller installed close to the experiment in the TI12 tunnel. An
 603 SMC chiller (model HRS030-AN-020 or equivalent) with a 5-liter water tank can provide
 604 cooling capacity up to 1500 W (Figs. 22 and 23) at 25°C ambient temperature. The total
 605 cooling capacity required for the three tracking stations is about 600 W. The chiller would be
 606 controlled and monitored using an RS485-to-Ethernet converter (Fig. 24). Some insulation
 607 (Armaflex) will be required along the water lines to the tracking stations (including the
 608 patch panels for the stations). The chiller will be placed in the tunnel at least 30 cm from
 609 any obstacle, to allow free air ventilation, but limiting the length of the cooling lines as
 610 much as possible.

Model	HRS012-A-20	HRS018-A-20	HRS024-A-20	HRS030-A-20	HRS050-A-20	HRS060-A-20
Cooling method	Air-cooled refrigeration					
Refrigerant	R407C (HFC)			R410A (HFC)		
Control method	PID control					
Ambient temperature/humidity	Temperature: 41 to 104°F (5 to 40°C), High-temperature environment specifications (option): 41 to 113°F (5 to 45°C), Humidity: 30 to 70%					
Circulating fluid	Tap water, 15% ethylene glycol aqueous solution					
Set temperature range	31 to 104°F (5 to 40°C)					
Cooling capacity (50/60 Hz)	W	1100/1300	1700/1900	2100/2400	2600/3200	4700/5100
Heating capacity (50/60 Hz)	W		530/650		600/640	1100/1400
Temperature stability				±0.18°F (±0.1°C)		
Rated flow (50/60 Hz)		1.8 gal/min (7 L/min)	1.9 psi (0.13 MPa)	1.8 gal/min (7 L/min)	26 psi (0.18 MPa)	2.2 gal/min (8.3 L/min)
Maximum flow rate (50/60 Hz)		7.1/7.7 gal/min (27/29 L/min)		34/40		31/42
Maximum pump head (50/60 Hz)			46/62 ft (14/19 m)			50
Output	W		200			550
Tank capacity		Approx. 1.3 gal (5 L)				
Port size		Rc1/2				
Fluid contact material		Stainless steel, Copper (Heat exchanger brazing), Bronze			Alumina ceramic,	
		Carbon, PP, PE, POM, FKM, EPDM, PVDF				
Power supply		Single-phase 200 to 230 VAC (50/60 Hz)				
Circuit protector	A	10			20	30
Applicable earth leakage breaker capacity	A	10			20	30
Rated operating current	A	4.6/5.1	4.7/5.2	5.1/5.9	5.2/6.0	8/11
Rated power consumption (50/60 Hz)	kVA	0.9/1.0	0.9/1.0	1.0/1.2	1.0/1.2	1.7/2.2
Noise level (50/60 Hz)	dB		60/61		62/65	65/68
Accessories		Fitting (for drain outlet) 1 pc., Input/output signal connector 1 pc., Power supply connector 1 pc., Operation manual (for installation/operation) 1, Quick manual (with a clear case) 1, Alarm code list sticker 1, Ferritic core (for communication) 1 pc.				
Weight		95 lb (43 kg)			104 lb (47 kg)	161 lb (73 kg)

FIG. 22. Chiller data sheet.

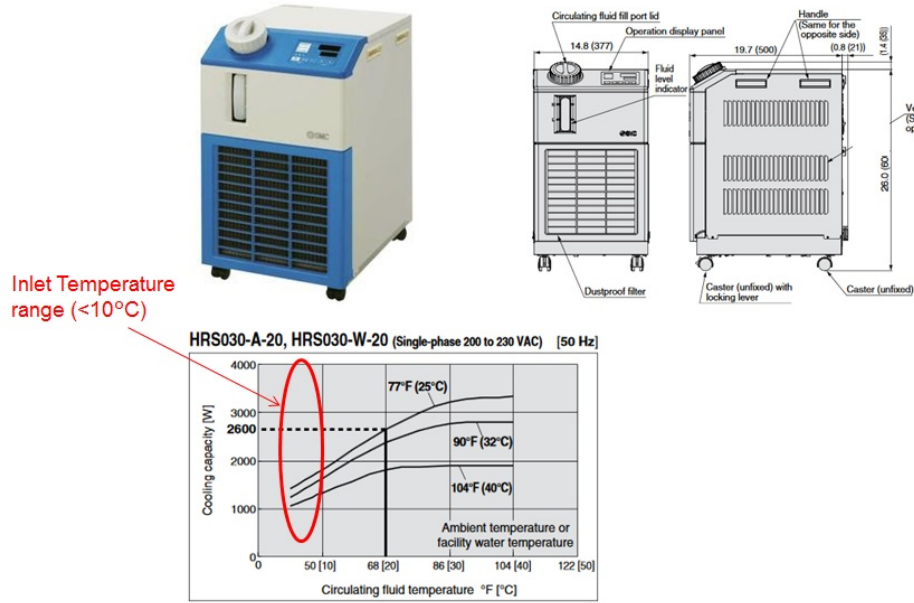


FIG. 23. HRS030 with cooling capacity and dimensions.

611 Humidity inside the tracker stations will be controlled using compressed air provided by
 612 the EN-CV group, which can be installed during LS2. This air has a guaranteed dew point
 613 below -40°C and thus meets FASER's requirements perfectly.

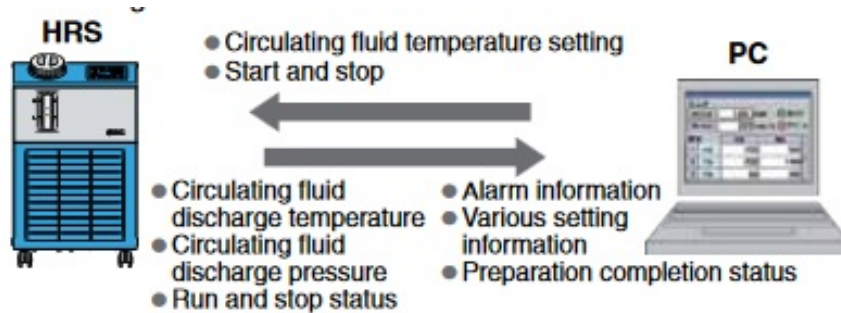


FIG. 24. HRS030 Monitoring via PC.

614 **E. Power, Control and Interlocks**

615 Each SCT module requires three separate power lines. For a non-irradiated module the
 616 requirements are typically 900 mA at 3.5 V for the analogue low voltage, 400 mA at 4 V for
 617 the digital low voltage, and 150 V reverse bias voltage with less than $0.5 \mu\text{A}$ leakage current.
 618 Several powering schemes are under consideration; the most conservative (baseline) design
 619 would provide dedicated power supply channels for each module, i.e., one 3.5 V line, one
 620 4 V line, and one 150 V channel per module. Having separate powering for each module
 621 minimizes the impact on the tracker performance of a power supply channel or module
 622 failure. It also allows for individualized monitoring and tuning of each module for best
 623 performance and reliability.

624 This powering system can be implemented using eighteen CAEN A2517A 8-channel float-
625 ing low voltage power supply modules and three A1542 24-channel 500 V floating high volt-
626 age boards. These can be housed in two SY4527 power supply crates and controlled via
627 Ethernet. The PS modules should allow for individual channels to be enabled/disabled via
628 an external input signal. Both analogue and digital low voltages are sensed (four wires) for
629 a precise control of the supply voltages.

630 One of the most problematic issues for the SCT modules is overheating. This could occur
631 due to a cooling failure (e.g., chiller failure, chiller power-cut, cooling circuit clogging), and
632 therefore temperature monitoring is essential.

633 The tracker’s detector control system (DCS) will control and monitor the power supplies,
634 chiller, module temperatures, and environmental sensors inside the stations. Each SCT
635 module has two (one per hybrid side) NTC thermistors ($R_{25} = 10\text{ k}\Omega \pm 1\%$) that provide
636 two temperature measurements. In addition, the environmental conditions will be moni-
637 tored by other, redundant sensors. Each tracking station will have at least four NTCs for
638 temperature measurements (two located at the exhaust pipes to measure the temperature
639 of the spent cooling water and two to measure the air temperature inside the station) and
640 two humidity sensors (e.g., Honeywell) for relative humidity measurements. The complete
641 tracker will include 144 hybrid thermistor channels and 18 environmental sensors to be moni-
642 tored. The DCS system monitors all supplied low and high voltages and return currents,
643 hybrid temperatures, and environmental conditions. The power supply crate and chiller are
644 controlled by the DCS software, allowing for remote operation of the power and cooling
645 systems. All conditions data will be regularly archived in a database.

646 Ensuring safe operation of an underground experiment with very limited access is manda-
647 tory. Several safety mechanisms at both software and hardware levels will be implemented.
648 The power supply cards contain programmable current and voltage protections, so that a
649 given output channel will be automatically switched off if it exceeds a safe maximum value.
650 The DCS software will also be programmed to take automated protective actions based on
651 monitored sensor readings (e.g., HV-channel ramped-down in case the measured leakage
652 current goes above a certain threshold). Finally, a purely hardware-based interlock system
653 (IS) will provide a final line of protection for the detector modules in the event that software-
654 based protection mechanisms fail. The IS acts as an “ultimate emergency switch,” and as
655 such it must be autonomous, simple, and reliable (without relying on software). The base-
656 line is to have custom circuit boards with simple analogue circuitry perform a comparison
657 of temperatures, voltages, and currents to fixed values. Some logic circuits (e.g., OR-ing the
658 comparator outputs for a given module) will be implemented. If thresholds are exceeded,
659 a logical signal (typically TTL-logic) is sent to the interlock inputs of the PS cards to trip
660 the corresponding channel. User intervention would be required to re-enable the channel
661 through the DCS software after diagnosing the fault.

662 **F. Readout**

663 The hardware readout architecture of the FASER tracker is illustrated in Fig. 25.

664 Each plane is composed of 8 SCT modules and has 2 patch panel boards, one for the top
665 and bottom row, respectively. The connection between the patch panel board and the four
666 modules of a row is made with a module flex circuit having one flex for the two modules
667 with the connectors on the front and another for the two modules with connectors on the
668 back. The patch panel board merges signals and preserves the light-tightness of the tracking

669 station, having only one connector on the external face of the station. The SCT modules will
 670 be controlled and read out using a “UniGe USB3 GPIO” board described in Section IX C.
 671 This board requires an additional interface board (the “FASER station GPIO” board) which
 672 will be designed to allow communication with the SCT modules. The architecture of this
 673 interface board is relatively simple: it is composed of LDVS drivers and receivers for the
 674 clock, command and two data signals of each module connected (eight per plane) and is
 675 connected to the two patch panel boards per plane by cables.

676 Figure 25 presents an optimized architecture with one “Unige USB3 GPIO” board per
 677 station, each driving three planes. If the firmware cannot handle three planes but only one,
 678 then nine “FASER station GPIO” boards and nine “Unige USB3 GPIO” boards will be
 679 required in the readout box for the overall experiment. The detailed functionality of the
 680 readout boards is described in Section IX E.

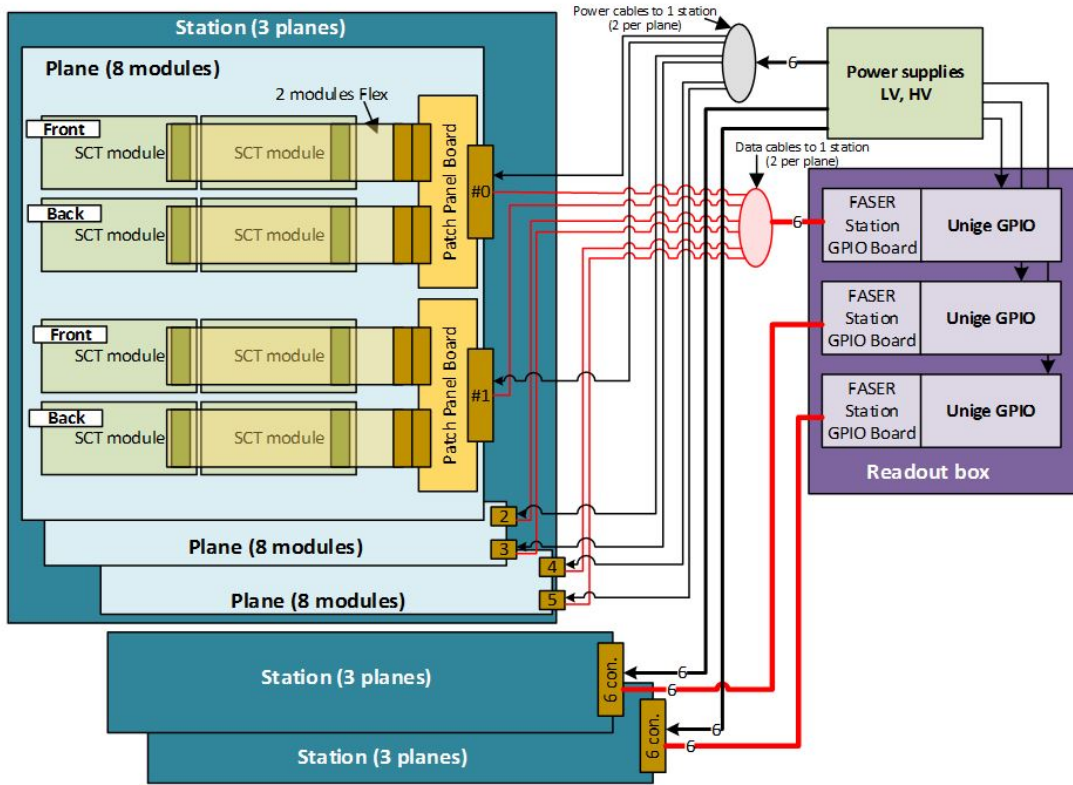


FIG. 25. Tracker readout hardware architecture.

681

682 G. Alignment

683 Preliminary studies of detector performance have assumed perfect alignment, but the pre-
 684 cision with which the positions and orientations of the 72 tracker modules can be determined
 685 will be an important limitation on the detector’s momentum resolution.

686 The modules in each tracking station will be measured carefully when assembled, and
 687 surveyed upon installation. A crucial tool for constraining the module positions will be the

688 sizable flux of high-energy muons during proton-proton collisions (see Section III C). The
689 overlapping geometry of the modules in each station will facilitate precision measurement
690 of their relative positions with these nearly straight tracks.

691 Without, for instance, a detectable resonant particle whose mass can be used for ab-
692 solute momentum calibration, certain “weak modes” of misalignment [27] that cannot be
693 constrained by geometrical single track fits may remain and would limit the momentum
694 resolution achievable. Use of calorimeter measurements to help resolve these degeneracies
695 will be explored. Fortunately, FASER’s ability to discover a dark photon signal does not
696 rely on precision momentum measurement.

697 H. Calibration

698 Calibration of the SCT modules is performed to optimize the threshold and voltage
699 settings and ensure stable performance by measuring the properties of the sensors and their
700 electronics. The calibration procedure also includes basic tests to identify and flag dead or
701 noisy channels.

702 The most important chip parameter probed by the calibration is the threshold of the
703 discriminator. Each front-end chip has an 8-bit DAC, which allows the threshold to be set
704 globally across that chip. To assure uniformity of threshold across the chip, each channel
705 has its own 4-bit correction DAC (TrimDAC). The DAC steps can themselves be set to one
706 of four different values. This means that uniformity of threshold can be maintained even
707 with uncorrected channel-to-channel variations. Each channel also has an internal capacitor
708 used to inject test charges. By injecting various known charges the analogue properties of
709 each channel can be measured.

710 In normal operation, the target threshold is set to 1 fC, which should be compared to the
711 3.6 fC deposited by a minimum ionizing particle at normal incidence. With this threshold,
712 the noise occupancy should be below 5×10^{-4} .

713 Since radiation damage is not a serious issue in FASER, it should be unnecessary to tune
714 the thresholds frequently. Calibrations will likely be performed during technical stops and
715 machine development periods.

716 I. Cost and Schedule

717 The expected cost for the tracking mechanics is detailed in Table VI, and the costs
718 for other Tracker related items are details in Table VII. To efficiently deal with hardware
719 failures, we will need a spare chiller, a spare power supply crate, one spare HV PS and two
720 spare low-voltage power supplies for a total cost of spares of 22 kCHF. The schedule for the
721 production of the Tracker is shown in Fig. 26.

Item	Cost [kCHF]
9 Tracking plane frames	15
6 Spacers frames	20
3 Tracking Station Outer boxes	20
3 set of cooling piping	1
3 Fixation to the base frame	10
Total	66

TABLE VI. Budget for tracker station mechanical assembly.

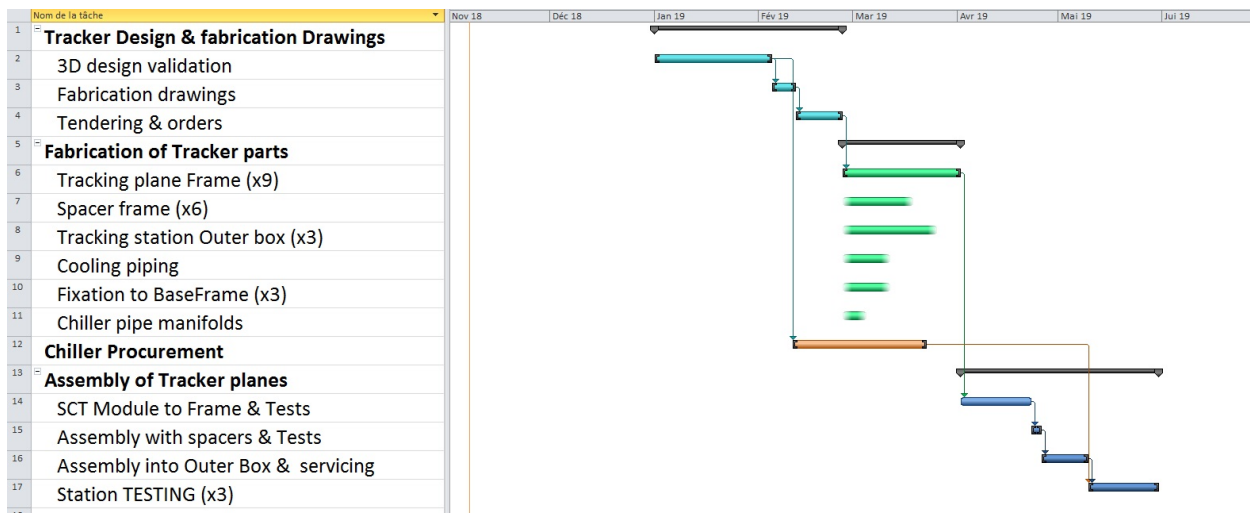


FIG. 26. Schedule of Tracker stations

Item	Cost [kCHF]
Chiller	7
Connection to EN-CV compressed air	1
Power supply crate	10
HV Power supplies	17
LV Power supplies	36
Power cables	6
Flex cables	10
Patch panel and connectors	8
Interlock and environmental monitoring	10
Total	105

TABLE VII. Budget for other tracker-related hardware.

722 **VI. SCINTILLATOR VETO AND TRIGGER LAYERS**

723 The FASER experiment has four scintillator stations as shown in Fig. 2. These serve
 724 several different purposes in the experiment. The stations are constructed from one or two
 725 scintillator layers.

726 **A. Veto Stations**

727 The first two stations, the veto stations located in front of the dipole magnets, are pri-
 728 marily used to suppress events with incoming particles, mostly high-energy muons. To avoid
 729 energetic photons from muon bremsstrahlung before the detector entering undetected, an
 730 absorber block of lead is placed between the two veto stations, which will either contain
 731 the photons completely or generate a shower that is detectable by the second station. The
 732 block is foreseen to be 20 radiation lengths (about 11 cm) thick. High-energy muons passing
 733 through the absorber will also radiate photons, but in this case the muons will be detected
 734 by the front station. To fully suppress background related to muons from the interaction
 735 point, each station should detect more than 99.99% of the incoming muons.

736 Each of the two veto stations consists of two identical scintillator layers. The basic design
 737 of each layer is shown on the left in Fig. 27 and consists of a 2 cm-thick, 30 cm \times 30 cm
 738 plastic scintillator (Bicron BC 408) connected through a light guide to a PMT (for example
 739 a Hamamatsu H3178-51). The PMT will be encased in mu-metal to ensure its operation is
 740 not affected by the magnetic fringe fields (up to 5 mT). To minimize the required space in
 741 the horizontal direction, the two layers will be installed with a 90° angle between them. The
 742 transverse size of the scintillator layer is larger than the magnet aperture to further ensure
 743 no charged particles can enter undetected, and the 2 cm thickness is chosen to provide a
 744 very high single layer detection efficiency (well above 99%). The two independent layers
 745 provide redundancy and ensure a very high veto efficiency, which can easily be measured *in*
 746 *situ*, as there should be no correlated inefficiencies.

747 **B. Trigger and Timing Station**

748 The trigger/timing station located after the first magnet and first tracking station is used
 749 to detect the appearance of a charged particle pair from the decay of a LLP in the decay
 750 volume of the first dipole magnet. It provides the primary trigger signal and will also be

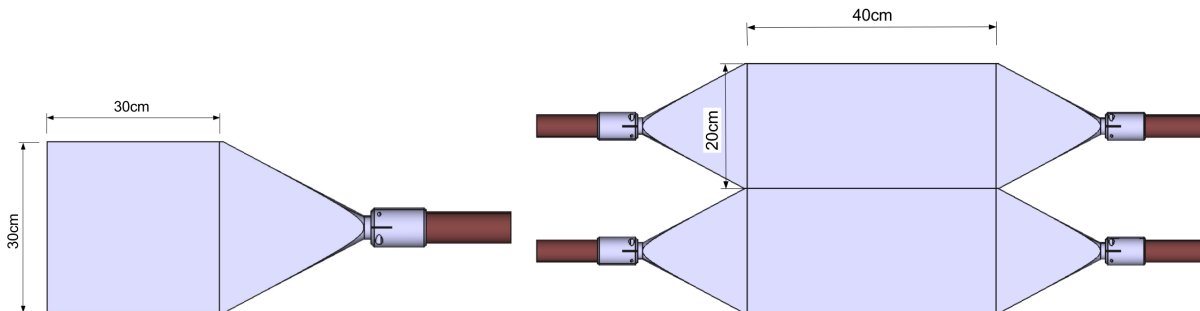


FIG. 27. Design of the two types of scintillator layers.

751 used to precisely measure the arrival time of the signal with respect to the pp interaction at
752 the IP. The precision should be better than 1 ns to suppress any non-collision backgrounds.
753 Additional signal to background suppression is provided by measuring the light yield since
754 the prospective signal has two charged particles unlike most background types, but this is not
755 expected to be a decisive discriminator due to the large event-to-event Landau fluctuations
756 in the light yield. Since this layer is in the tracker volume, the material should be minimized
757 while still maintaining an efficiency above 98%. Finally the active area of this station will
758 also be made large enough to cover most of the magnet front surface. This is to detect
759 muons coming in at an angle, missing the veto stations and the first two tracker stations,
760 but causing an electromagnetic shower in the magnet material and thus a detectable shower
761 in the downstream calorimeter that mimics a photon-only signature.

762 The trigger/timing station consists of a single scintillator layer made from two $20\text{ cm} \times$
763 40 cm scintillator blocks with a thickness of 1 cm (2.5% radiation length) shown on the right
764 in Fig. 27. The layer is split in to two blocks to reduce the size of the light guide, keep high
765 detection efficiency, and improve the timing precision by minimizing the vertical time-walk.
766 The horizontal time-walk is compensated by using the average signal time of the two PMTs,
767 hence the requirement to have a PMT on each side of the scintillator layer. With this setup,
768 the timing resolution will be limited by the precision of the readout electronics.

769 C. Preshower Station

770 The final trigger/preshower station is located just in front of the calorimeter. This station
771 provides an additional trigger signal which, if needed, can be used in a coincidence with
772 the first trigger station to reduce the rate of non-physics triggers. It will be preceded
773 by a thin layer of radiator (about 2 radiation lengths of tungsten or lead) to create a
774 simple preshower detector that with high efficiency can detect a physics signal of two close-
775 by energetic photons. The preshower helps distinguish this physics signal, which would
776 otherwise leave only large energy deposition in the calorimeter, from deep inelastic scattering
777 of high energy neutrinos in the calorimeter. This is needed because the calorimeter does not
778 have any longitudinal segmentation; see Sec. VII.

779 To reduce the number of different scintillator configurations, the preshower station will
780 use two layers in the same configuration as the veto stations with half of the radiator
781 material placed in front of the first layer and the second half in between the layers. A
782 double arm-layer as used in the timing station, which would have provided another precise
783 timing measurement, is not possible due to physical constraints from the tunnel wall on one
784 side of the detector.

785 D. Powering

786 Each PMT requires 1.5-2.5 kV. To avoid correlated inefficiencies, each PMT should be
787 supplied by its own power supply channel. The baseline is to provide this with two 6-channel
788 high-voltage power supplies (CAEN V6533). These will be installed in the VME crate that
789 is also used by the PMT readout described in Sec. IX B. An alternative option is a separate
790 high voltage power supply module in the power supply crates used for the tracker stations.

Item	Cost [kCHF]
8 scintillator plates	4
10 light guides	8
Assembly	5
10 PMTs	20
2 HV power supply	8
HV Cables	1
Lead absorber block	1.5
2 Tungsten plates	4.5
Total	52

TABLE VIII. Budget for scintillator system for the FASER experiment.

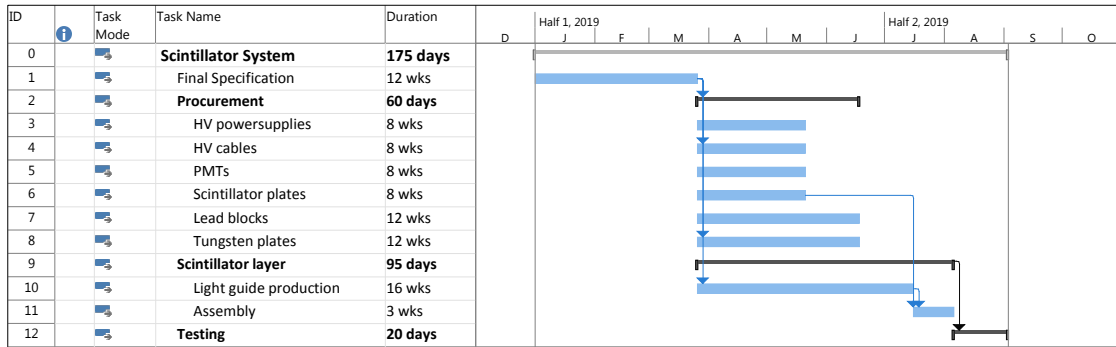


FIG. 28. Timeline for the construction of the scintillator system.

E. Cost and Schedule

The cost of the different scintillator components apart from the readout is estimated in Table VIII. One spare PMT and a spare HV power supply module at a total cost of 6 kCHF is also foreseen to be purchased.

The expected schedule for the construction of the FASER scintillator system is shown in Fig. 28. The schedule assumes that the light guides and PMT mounts are produced by an outside company, while the assembly is done by the CERN scintillator lab.

798 **VII. ELECTROMAGNETIC CALORIMETER**

799 The electromagnetic calorimeter provides strong identification of high-energy electrons
 800 and photons over muons and hadrons and allows to measure their energy. Since for most
 801 signal events the e^+e^- or photon pair is separated by less than a few millimeters, it is not
 802 feasible to measure the individual particle energies. The main calorimeter requirement is,
 803 therefore, to measure the total electromagnetic energy with good accuracy for multi-TeV
 804 deposits in a compact detector.

805 **A. LHCb ECAL Modules**

806 The calorimeter will use four spare LHCb outer ECAL modules [28], shown in Fig. 29.
 807 The LHCb Collaboration has kindly agreed to allow FASER to use six of these modules
 808 on indefinite loan. These are so-called Shashlik-type calorimeters with interleaved scintillator
 809 and lead plates. Each module contain wavelength shifting fibers penetrating the
 810 full calorimeter, which extract the signals to a single PMT on the back. The modules are
 811 754 mm-long, including the PMT, and have transverse dimensions of 121.2 mm \times 121.2 mm.
 812 The full FASER acceptance is therefore covered with these four modules. The calorimeter
 813 contains 66 layers of 2 mm lead and 4 mm plastic scintillator, for a total depth of 25 radi-
 814 ation lengths. The baseline is to use the same PMT as LHCb (Hamamatsu R7899-20), but
 815 it might be necessary to use either a different model or attenuate the light in order to not
 816 saturate at the highest energy. The high voltage for the PMTs will come from the same
 817 type of power supply as for the scintillator system and the same readout will be used as
 818 well. The energy resolution for TeV deposits in such a calorimeter is expected to be around
 819 1%, although this will be degraded at the highest energies, since 25 radiation lengths will
 820 not fully contain all such showers. It is not foreseen to have a leakage detector behind the
 821 calorimeter as the impact on the signal efficiency is expected to be negligible, and there is
 822 very limited space.

823 **B. Cost and Schedule**

824 The cost of the different calorimeter components, apart from the readout, is estimated in
 825 Table IX. One spare PMT, with a cost of 2 kCHF, is planned, but no additional HV modules
 826 are planned, as the spare is shared with the scintillators. As noted above, six calorimeter
 827 modules will be on indefinite loan from the LHCb collaboration.

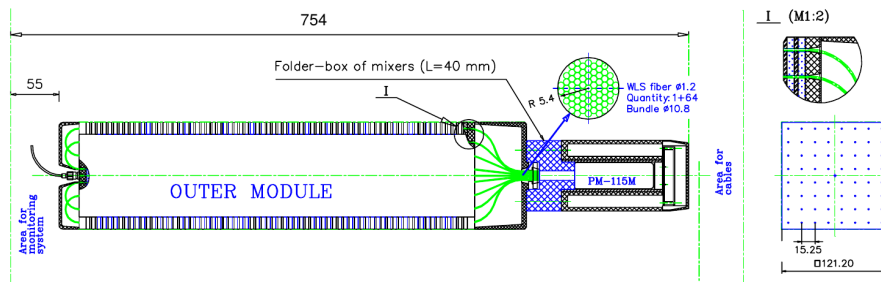


FIG. 29. Design of the LHCb outer ECAL modules [28].

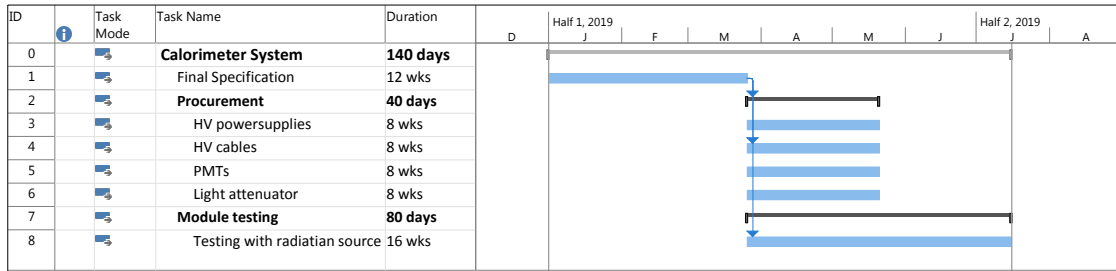


FIG. 30. Timeline for the preparation of the calorimeter system.

828 The expected schedule for the preparation of the FASER calorimeter system is shown in
829 Fig. 30. Tests of each module will be done in collaboration with LHCb ECAL experts.

Item	Cost [kCHF]
4 PMTs	8
HV power supply	4
HV Cables	1
Total	13

TABLE IX. Budget for the calorimeter system for the FASER experiment.

830 **VIII. DETECTOR AND MAGNET SUPPORT**

831 The detector is mechanically supported by a common structure (the detector support
832 structure or main cradle), which also allows fine alignment of the different components.
833 At this stage a preliminary conceptual design has been carried out, which will be refined
834 over the next months including adding the sub detectors specific needs (for the calorimeter,
835 scintillators, and Tracker stations).

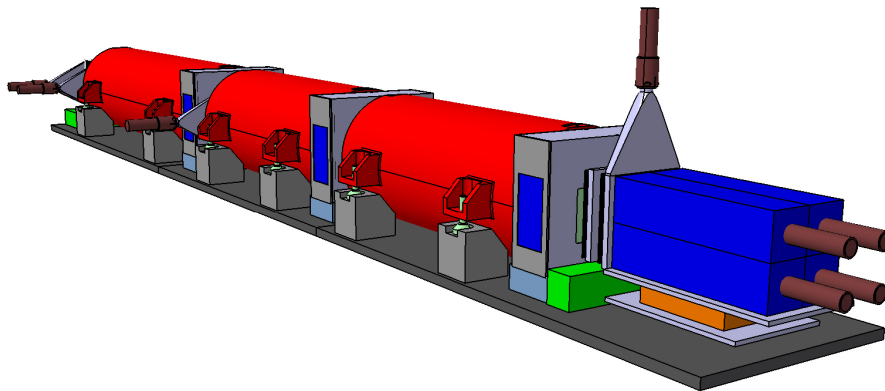
836 **A. Requirements**

837 The main requirements for the detector support structure are:

- 838 • Providing a common mechanical support for the detector components and the magnet
839 segments (limiting also the number of interfaces needed for the detectors with respect
840 to the floor);
- 841 • Providing a guaranteed safe distance between the magnets of 20 cm;
- 842 • Allow for fine alignment between the components *in situ*;
- 843 • Provide stable alignment between the components (especially the tracking stations)
844 as precisely as possible given the detector design. A placement alignment precision of
845 100 μm and stability of the alignment of 100 μm would be desirable to provide good
846 spectrometer momentum resolution for high energy charged particles.

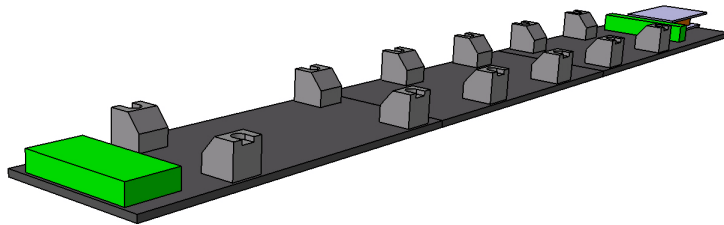
847 **B. Design**

848 The main support structure as shown in Fig. 31 is a conceptual design to describe the
849 key features. It is a common cradle which positions each part independently and acts as the
850 main interface to perform the necessary alignment fine tuning with respect to the theoretical
851 beam axis. The base plate (or base frame) shown in Fig. 32 will be the first element to be



852 **FIG. 31. Detector support overview**
853
854 installed in the tunnel prior to any detectors assembly. It will be surveyed in 3-dimensions

855 and aligned by means of jack screws (or equivalent) before being clamped to the ground to
 856 provide a stable interface for the detectors. The conceptual approach is to limit the civil
 857 engineering work by removing individual fixations per detector. Instead the fixation is only
 858 done once at the main support structure level. Due to size limitation (in particular for CNC
 859 machining, and transport to TI12), this base plate or frame has to be build in segments to
 860 be precisely re-assembled using calibrated pins when installed in the tunnel. The overall
 861 precision (both for machining and assembly) that can be expected here is at the order of
 862 100-200 microns (along the beam axis). The lateral precision over 50 cm (plate width) could
 863 be lowered to 50 microns at best. This strongly depends on the CNC machine type. The
 864 in-plane alignment between plate segments is performed and frozen by the machining itself
 865 (no fine tuning). Only the out-of-plane alignment (perpendicular to the base pate) is needed
 866 to provide a common theoretical axis to be aligned with the beam axis. This will be carried
 867 out with the CERN survey group by means of a laser system and targets attached to the
 868 base frame. The precision of the measurement is of the order of 100 microns (to be confirmed
 869 with CERN survey group).



870 FIG. 32. Base plate with detector resting blocks.

871

872 The material choice is based on stability requirements, magnetic compatibility and cost
 873 (procurement, and fabrication). Stainless steel is a good candidate since it is less sensitive to
 874 temperature changes (as compared to aluminum). As an example, over a 5 m long steel part
 875 (the overall base plate length), and for 1 degC change in temperature, the length variation
 876 is of the order of 70 microns. The design is still open and will be driven mostly by the final
 877 clearance between the Magnet outer cylinder and the floor.

879 Fig. 33 shows the basic principle of the magnet vertical tuning by means of jack screws
 880 (4 per magnet segment). The in-plane alignment is done by inserting the jack screw into
 881 machined resting blocs (acting as a pocket), but such as system cannot guarantee any better
 882 than 1 mm precision. If a greater precision is requested from the physics requirements (to
 883 be confirmed), lateral jack screws could be added to solve this issue. An example of the
 884 support structure for a Tracker station is shown (with the block in light blue), that could
 885 either be a precisely machined block or some linear stages (in 2 axes, perpendicular to the
 886 beam axis) to allow for fine tuning. A similar support structure would be used for each
 887 detector component. All of the interfaces to the base plate are aligned (in-plane) precisely
 888 by the CNC plate machining and will comply with the above mentioned level of precision.

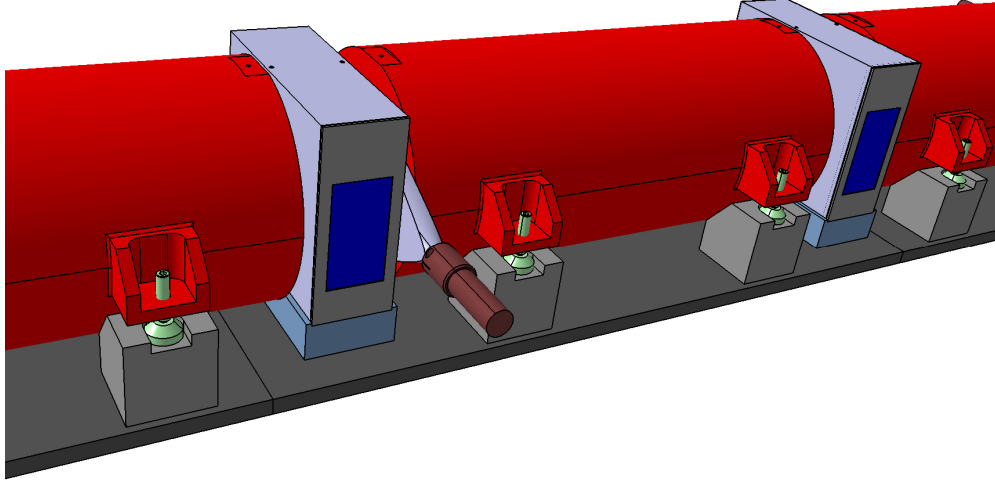


FIG. 33. Close up of magnet and Tracker station alignment.

889 **C. Cost and Schedule**

890 The expected cost estimate for producing the detector support structure is presented in
 891 Table X, based on the preliminary conceptual design.

Item	Cost [kCHF]
Stainless steel Plate or frame	30
Detector interfaces	5
Magnet adjustable feet	25
Total	60

892 TABLE X. Budget for Detector support for FASER experiment.

893
 894 Fig. 34 shows the schedule for producing the FASER support structure. This is inter-
 895 connected with the other sub-system timelines, for instance the design completion of the
 896 Tracker stations. Such interconnection will apply also for the other sub-parts since the
 897 design will be a common effort. The starting date is given as an example.

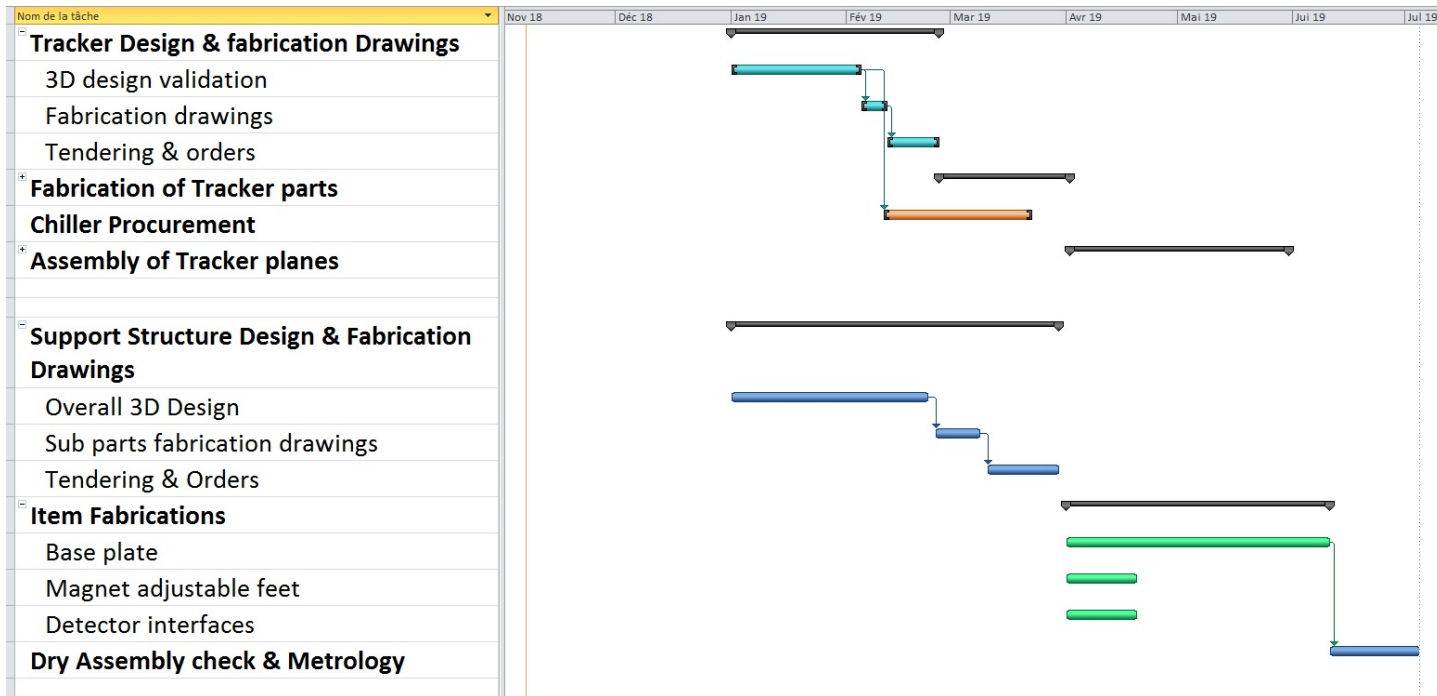


FIG. 34. Schedule for FASER Support structure.

898 **IX. TRIGGER AND DATA ACQUISITION**

899 The FASER detector is located in a low-background area of the LHC. The aim is therefore
 900 to trigger the read out of the full detector on every detectable high energy particle passage.
 901 For redundancy and to measure the detector efficiencies, all scintillators layers and the
 902 calorimeter will provide trigger signals. The scintillator trigger threshold will be below that
 903 of a single minimum ionizing particle, while the calorimeter threshold will be set to trigger
 904 on any significant electromagnetic shower. The expected trigger rate per source is shown in
 905 Table XI under the assumption that the rates will be completely dominated by high-energy
 906 muons, as shown by simulation studies and *in situ* measurements (see Sec. III). The rates are
 907 therefore highly correlated, and the total physics rate is expected to be approximately equal
 908 to the highest rate source. In case the rate of a trigger source is too high for the available
 909 readout bandwidth, the trigger system will allow triggering only on combinations of certain
 910 (anti-)coincidences, such as a calorimeter signal or signal in preshower scintillators with no
 911 signal in the veto scintillators. In this case a fraction of the individual trigger sources will
 912 still be recorded in the form of “pre-scaled” triggers for calibration and alignment purposes.

913 To be able to identify a rare non-SM physics signal, as much information as possible about
 914 each triggered event will be read from the detector. For the tracker, the information available
 915 is limited by the pre-existing readout chip to three 25 ns-wide time bins (hit/no hit) per
 916 strip. The trigger signal will be adjusted so that signals originating from highly relativistic
 917 particles from the IP will be seen in the central bin. For all PMTs, the full waveform will be
 918 read out in a much wider time window (about 1 μ s) to accurately reconstruct the charge and
 919 time of each pulse and to ensure there is no anomalous activity near the time of a possible
 920 physics signal. Basic information from the trigger system, such as the source of the trigger
 921 firing, event number, etc., will also be read out.

Source	Rate [Hz]
Veto scintillators	360
Timing scintillators	640
Preshower scintillators	360
Calorimeter ($E > 100\text{GeV}$)	< 5 Hz
Random trigger	10
Total	650

TABLE XI. Expected trigger rate per trigger source at a instantaneous luminosity of $2 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$. Note that since the primary source of triggers is high-energy muons passing through the full detector, the rates are highly correlated. The random trigger rate is meant for pedestal calibration and noise monitoring.

Source	Size [kB]
PMTs	20
Tracker	5
Trigger Logic Board	< 1
Total	25

TABLE XII. Average expected event sizes. The PMT size assumes a 1 μ s readout window (500 samples of 2 ns).

922 A diagram of the trigger and data acquisition system is given in Fig. 35. The system is
 923 designed to minimize the amount of equipment underground where access is highly limited,
 924 while having the minimal number of signal cables coming into or out of the experiment,
 925 as those have to be more than 500 m long. The trigger system will run synchronously
 926 to the 40.08 MHz clock of the LHC. All of the PMTs will be read out by a high-speed
 927 digitizer, which also provides a trigger signal when the signal on a pair of PMTs exceeds
 928 a preset threshold. The trigger signals are combined in the Trigger Logic Board (TLB) to
 929 form the final trigger signal (L1A) after possibly applying coincidence and/or veto logic,
 930 which combines information from the scintillator and calorimeter PMTs. The L1A is sent
 931 to the PMT digitizer and the tracker readout boards to initiate the readout of all of the
 932 detectors. The readout is done over Ethernet to a PC (the Event Builder) located on the
 933 surface. The expected event size is listed in Table XII; given the trigger rate, a single Gbit/s
 934 connection is more than sufficient. The event builder merges the data fragments into events
 935 that are buffered and undergo basic data quality checks on a second PC before being copied
 936 to CASTOR for permanent storage. The various Detector Control Systems (DCS) in the
 937 experiment will be connected over the same Ethernet connection to a separately-controlled
 938 PC on the surface, which will also be used to monitor and control the trigger and readout
 939 system. The Ethernet connection to the surface will use a single optical fiber pair connecting
 940 the TI12 experimental area to the SR1 surface building, close to the ATLAS control room.

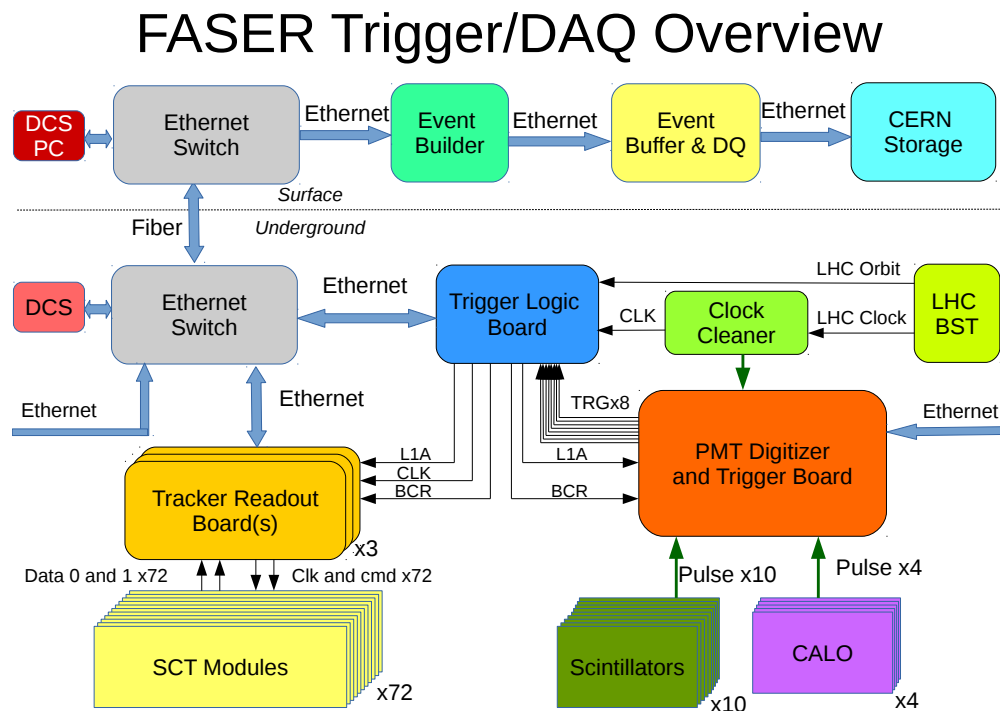


FIG. 35. Schematic diagram of the Trigger and DAQ system.

941 A. LHC Signals

942 The LHC clock (40.08 MHz) and orbit signal (11.245 kHz) are part of the Beam Syn-
943 chronous Timing (BST), transmitted to beam instrumentation equipment around the LHC
944 over optical fibers using the TTC system [29]. For FASER, this signal will be received over a
945 single optical fiber by a BOBR VME card [30] from the LHC beam instrumentation group,
946 which extracts the LHC clock and orbit signal to two front panel LEMO connectors. It
947 also provides additional information, such as the global time and accelerator mode over the
948 VME bus, but this is not foreseen to be used.

949 The LHC clock provided by the BOBR has a non-negligible jitter, changes during the
950 ramp of the LHC, and is not guaranteed to be continuous. The clock will therefore pass
951 through a Si5344 jitter attenuating clock multiplier chip [31] mounted on an evaluation board
952 in the VME crate. This provides a ultra low-jitter clock (90 fs), which tracks the LHC clock
953 at a programmable speed, provides a holdover clock in case the input clock disappears, and
954 provides a zero delay mode that ensures the clock is always at the same delay with respect to
955 the beam interactions. Daily and seasonal variations of up to several ns in the phase of the
956 clock is expected, due primarily to ground temperature variations, and the absolute timing
957 offset will therefore need to be monitored and calibrated with high-energy muons from the
958 IP.

959 The orbit signal provides a 25 ns pulse once per turn of the LHC. It will be sent directly
960 to the input of the TLB and used to reset the bunch counters in all of the readout.

961 B. Scintillator and Calorimeter Trigger and Readout

962 The readout and triggering of the scintillator and calorimeter PMT signals will be done
963 by a single, 16-channel CAEN digitizer VME board, VX1730 [32]. The digitizer samples
964 each channel at 500 MHz using 14-bit ADCs and stores the samples in a circular buffer of
965 programmable length. For FASER, a 1 μ s long buffer (500 samples) will be used to capture
966 the full PMT waveform and preceding data for offline analysis.

967 Trigger thresholds can be set separately on the ADC value of each channel and a trigger
968 signal generated for each pair of input channels. For the FASER scintillators, the threshold
969 will be set well below that of a MIP, but both PMTs in a station will be required to be above
970 threshold to keep noise triggers to a negligible level. For the calorimeter, a larger signal is
971 required, but any of the four channels can raise a trigger signal. The board supports using
972 a digital constant fraction discriminator logic for generating the trigger signal, but since the
973 rise time of the PMT is shorter than the 2 ns sampling time, this is not foreseen to be used.
974 The trigger logic runs at 125 MHz and provides eight trigger signals transmitted as 32 ns-
975 wide pulses to the TLB. The 32 ns-wide pulse is needed since the signals are not synchronized
976 to LHC clock and therefore could arrive at the TLB in separate bunch-crossings and not
977 trigger the coincidence logic. The digitizer trigger signals will not on their own trigger the
978 digitizer readout, which instead is triggered on the signal from the TLB.

979 The digitizer does not run synchronously with the LHC clock. Instead it provides a
980 trigger time in units of 16 ns since the last LHC orbit signal. This does not provide sufficient
981 precision to determine if any signal is in time with the collisions, which have an intrinsic
982 spread of around 180 ps. Therefore the LHC clock from the clock cleaner is also sampled on
983 one of the input channels to measure the relative timing with respect to a bunch crossing at
984 a precision better than the 1 ns precision targeted for the timing scintillator station. The

985 absolute timing offset will be calibrated using high-energy muons.

986 The VX1730 board is able to buffer up to 1024 events while waiting to be read out. The
987 board does not support direct readout over Ethernet, but instead has to be read out over
988 the VME backplane. The maximum readout speed over the VME exceeds 50 MB/s, well
989 above the needs of FASER. The readout to Ethernet will be done with an SIS3153 Ethernet
990 to VME interface [33], eliminating the need for a single-board computer in the VME crate.

991 C. “UniGe USB3 GPIO” Board

992 The Trigger Logic Board and the Tracker Readout Boards require custom firmware in
993 an FPGA interfaced to the hardware signals and Ethernet readout/control. For both cases,
994 this will be based on a general purpose board developed by the University of Geneva for
995 slow control and readout of particle physics ASICs, detectors tests, and qualification. This
996 board, named the “UniGe USB3 GPIO” board, can also be used for small experiments using
997 several detectors like the FASER experiment.

998 For each application, a dedicated interface board needs to be developed to interface to
999 the hardware. This interface board will be connected with the connectors of the analogue
1000 and/or digital inputs/outputs (the latter only, for the case of FASER).

1001 Figure 36 describes the architecture of the “UniGe USB3 GPIO” board, and a photo of
1002 the board is shown in Fig. 37. The core of the board is composed of a CYCLONE V A7
1003 FPGA having 150K Logic Elements or 56.5K Adaptive Logic Modules (1 ALM = 8 inputs
1004 LUT and 4 registers), i.e., 226K registers, 6.86Mbits + 836Kbits of RAM, 156 double 18x18
1005 DSP blocks and 7 PLLs. The FPGA is driven by a 40MHz local clock or an external clock
1006 thanks to a LVDS clock input through a dedicated 2 pin LEMO connector.

1007 Concerning the experiment interface of the board (located on the left side of Fig. 36), the
1008 FPGA is connected to a 40MSPS 12-bits 8 channels ADC and 2MSPS 16-bits 8 channels
1009 DAC, providing a versatile analogue interface through a dedicated 20-pin connector. For
1010 the digital part, two connectors dispatch 117 single-ended inputs/outputs, which can be
1011 configured through the FPGA firmware. In addition, two NIM inputs and one NIM output
1012 are available through LEMO connectors.

1013 For the readout and the slow control, two interfaces can be used. Already fully imple-
1014 mented is USB3, where the communication is handled by a FX3 Cypress micro-controller
1015 providing a 32-bit parallel interface clocked at 100MHz with the FPGA having a maximum
1016 potential data throughput of 3.2Gb/s, but finally limited to 1.8 to 2.5Gb/s depending on
1017 the host PC performance when connected with the board. A full and very versatile FPGA
1018 library for the readout and the slow control is already available for this USB3 link; see be-
1019 low. Additionally an Ethernet PHY IC is also embedded on the board and should be able
1020 to provide a 10M/100M/1000Mbps connection. This interface is currently under develop-
1021 ment (Ethernet MAC and UDP integration into the FPGA firmware library) and should be
1022 available in the 1st quarter of 2019.

1023 Finally a S9S12G64, G96 or G128 (for 64, 96 or 128KB of Flash memory) 16-bit micro-
1024 controller can also be mounted on the board. This device is typically used for slow control
1025 measurements (e.g., temperature, humidity, or voltage monitoring). This micro-controller
1026 has a CAN bus interface, 12 10-bits channels ADC, and a couple of digital I/Os which can
1027 also be configured in SPI or UART mode. All of those I/Os are accessible through a 2x20-pin
1028 header connector.

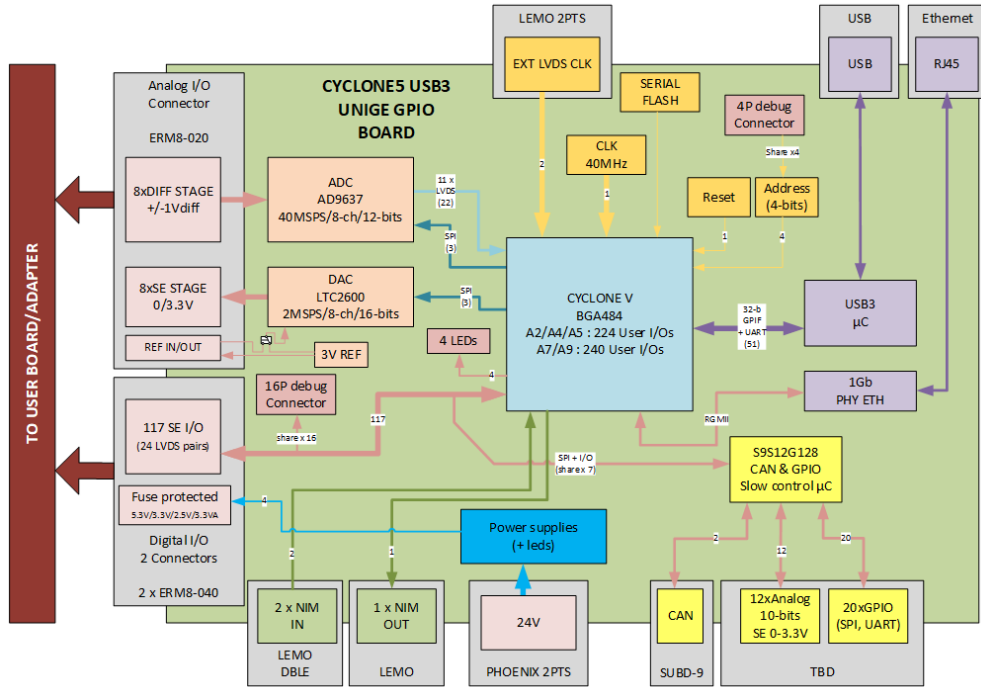


FIG. 36. Architecture of the “UniGe USB3 GPIO” board.

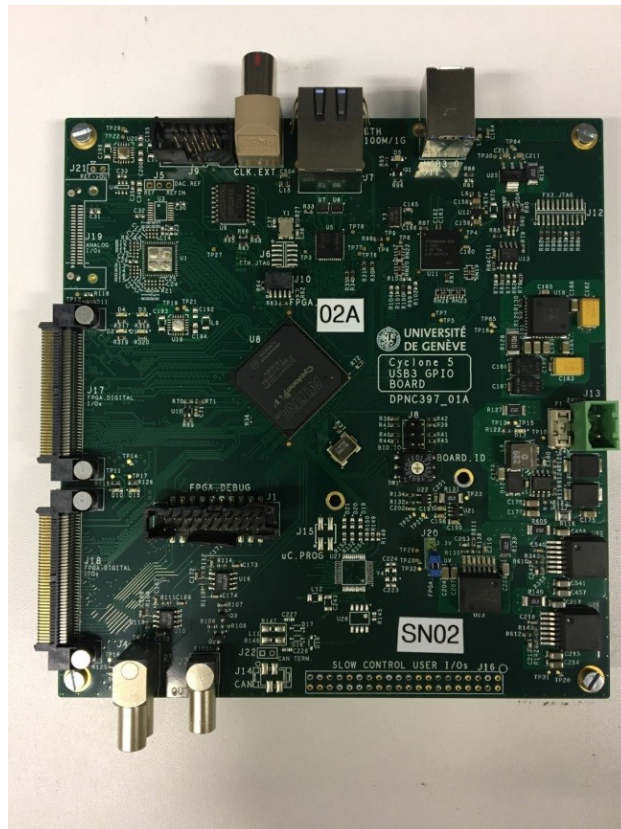


FIG. 37. The “UniGe USB3 GPIO” board.

1029 One single 24 V power supply input is required to supply the board and 5.3 V, 3.3 V and
 1030 2.5 V is accessible through the user connectors for the interface board.

1031 *1. DAQ framework*

1032 Figure 38 describes the architecture of an experiment DAQ using the “Unige USB3 GPIO”
 1033 board, which should be based on the existing generic UniGe Library and have two specific
 1034 application parts: a VHDL application firmware code in FPGA using the UniGe VHDL
 1035 library, and a Host application code using the C# monodev library for Windows/Linux.

1036 The UniGe Library is composed of a VDHL firmware library in FPGA, the generic UniGe
 1037 FX3 Firmware for the USB3 Cypress FX3 C, the Windows/Linux FX3 cypress USB driver
 1038 and the UniGe Windows/Linux C# monodev library in host PC.

1039 As an example, the Baby-Mind experiment (neutrino experiment installed in January
 1040 2018 at J-PARC, Tokai Japan) uses the UniGe Library and works with 4000 channels con-
 1041 nected on 48 boards, 6 boards chained to 1 USB link, having 1 DAQ PC and 8 USB links
 1042 for the overall experiment.

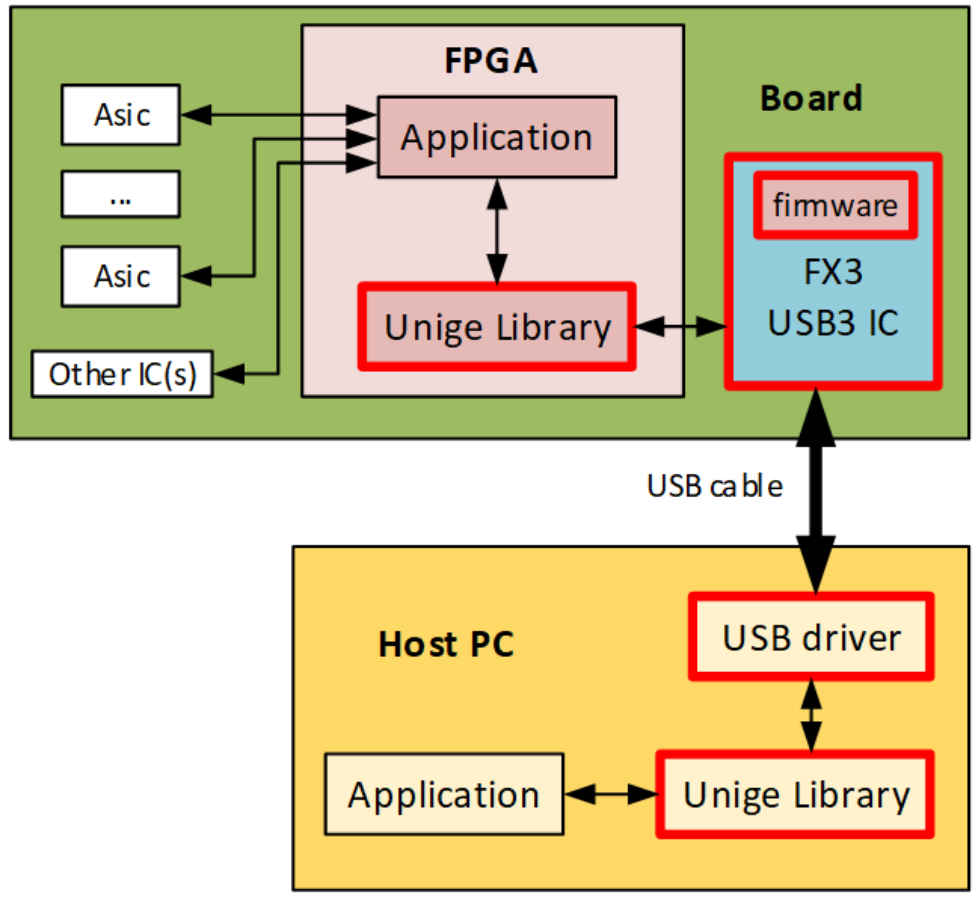


FIG. 38. DAQ framework for USB3 GPIO board.

1045 The Unige VHDL library (Fig. 39) is linked with the C# Host Library for the slow control
 1046 protocol handling, for status, read and write parameters, for the usage of Structured con-
 1047 figuration objects defined by a JSON file (hardware descriptor, no C# code to be written),
 1048 for the USB3 Readout and is compatible with single or multiple boards in a chain.

1049 This means that USB3 is transparent from both sides, providing 1 bi-directional slow
 1050 control channel and 1 fast readout FPGA to PC channel. A similar interface is planned for
 1051 the Ethernet-based interface.

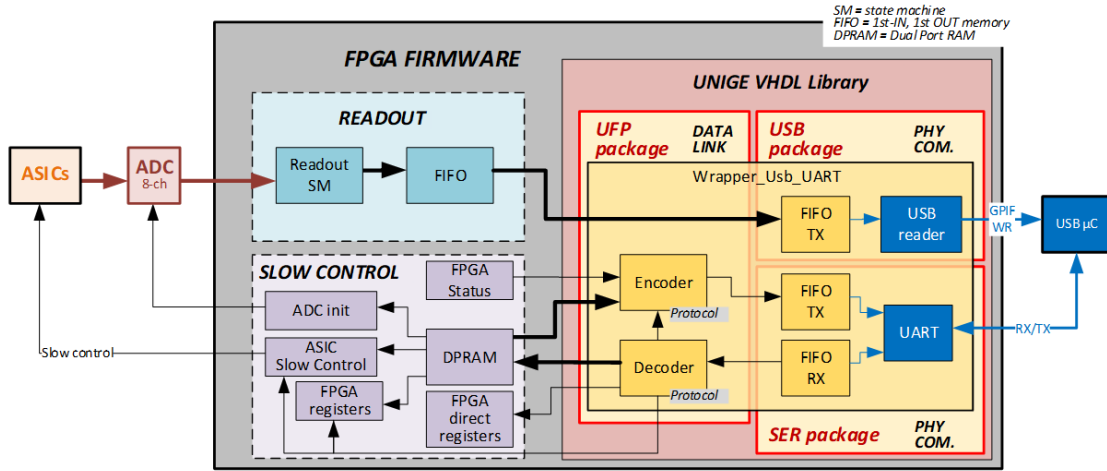


FIG. 39. UniGe VHDL library and application firmware overview.

1052

1053 **D. Trigger Logic Board (TLB)**

1054 The TLB is the central triggering module of the FASER TDAQ system. It receives
 1055 trigger signals from the scintillators and the calorimeter, digitized in the CAEN board. The
 1056 TLB processes these signals to create the trigger decision (L1A) which is transferred to the
 1057 detector readout boards. The TLB provides the FASER detector readout with a common
 1058 clock (CLK), envisaged to be synchronous with the LHC clock, as well as bunch counter
 1059 reset (BCR) signals on every LHC orbit signal. Finally, the TLB receives slow control signals
 1060 (such as system reset) and sends out trigger data and monitoring information over Ethernet.

1061 The main functionality of the TLB blocks, shown in Fig. 40, is described in what follows.
 1062 The input trigger lines coming from the CAEN digitizer board are aligned to the 40 MHz
 1063 clock, which is either coming from the LHC clock cleaner or generated internally. The eight
 1064 synchronized trigger lines are merged to four trigger items³ based on user-defined coincidence
 1065 logic. User-settable prescales can be applied to each of these trigger items, as well as two
 1066 additional trigger items resulting from a pseudo-random generator (providing triggers up
 1067 to 1kHz) and a software trigger accept send by slow control. The logical OR of the six

³ There could be more than four items, but from initial evaluations, four appear to be sufficient.

1068 trigger items is created and unless vetoed, the signal becomes the L1A. A simple dead time
 1069 requirement ensures that detector read-out is vetoed if it comes within 5-10 μs from the
 1070 previous one; the dead time requirements are driven by the tracker readout transmission
 1071 time (more detail is given in Sec. IX E) and are user-settable. An event counter will provide
 1072 a count of the total number of accepted events and a bunch counter will calculate the
 1073 number of bunch crossings since the last LHC orbit signal. Additional counters will provide
 1074 monitoring for the board: Monitoring will consist of counters for each trigger item before
 1075 prescale, after prescale and after veto. The counters will be pushed out over Ethernet
 1076 and the counters reset at a fixed rate of the order of 1 Hz.

1077 The output data from the TLB in normal running will be very small. Besides the event
 1078 and bunch counters, the TLB data fragment to be sent to the Event Builder will contain
 1079 just a copy of the trigger lines at the different stages of the trigger logic.

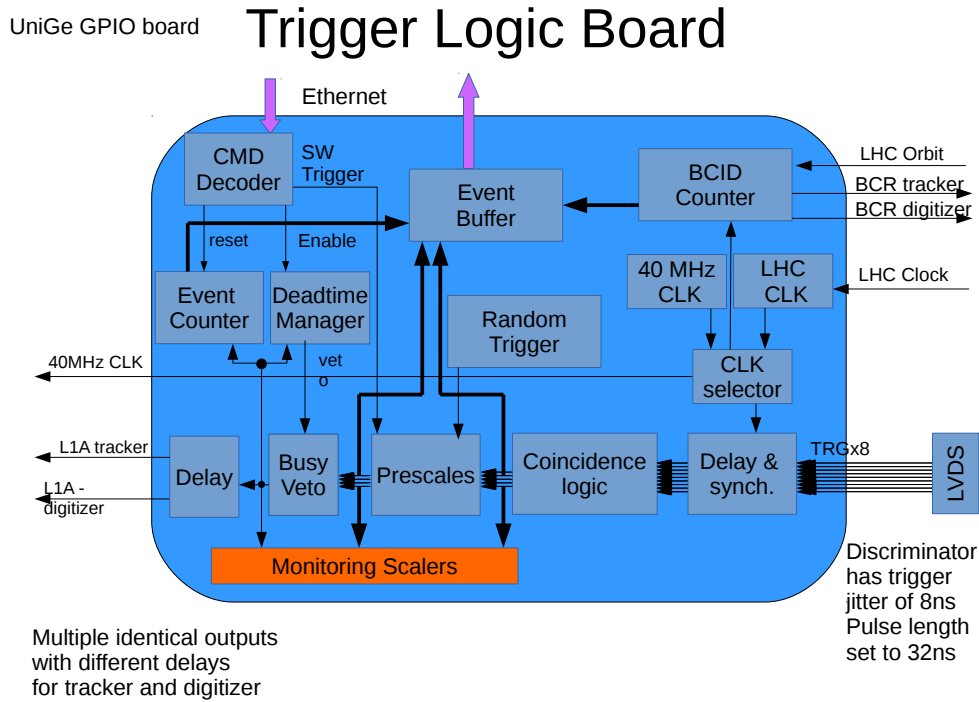


FIG. 40. Schematic diagram of the functionality implemented in the Trigger Logic Board

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1081 The TLB will be implemented using one “UniGe USB3 GPIO board” described in
 1082 Sec. IX C. The board has sufficient I/O lines (LVDS and Ethernet) and the functional-
 1083 ity will be implemented by firmware in the Cyclone V FPGA. A detailed evaluation of the
 1084 required FPGA resources to implement the above described trigger system still has to be
 1085 done. However, the FPGA contains more logic cells than the combined sum of the FPGAs
 1086 used to implement the original ATLAS CTP [34] which was significantly more complex (160
 1087 incoming trigger lines and 256 trigger items). An adapter board will be built to provide the
 1088 needed input and output connectors as well as holding the board in place in the VME crate
 1089 next to the scintillator digitizer.

E. Tracker Readout

1091 The tracker readout is largely defined by the pre-existing ABCD chips mounted on the
 1092 tracking modules. Each module requires a minimum of two input lines, a clock and a com-
 1093 mand line, and two output data lines (one per side of the module), all running synchronized
 1094 to the 40.08 MHz LHC clock. On receiving a L1A over the command line, the location of all
 1095 strips with a hit are read out to a buffer and transmitted over the data lines. For FASER, a
 1096 strip is considered hit if the strip fired in the previous, current or next bunch crossing with
 1097 respect to the L1A, though the trigger timing will be adjusted so that for collision signals
 1098 the L1A should be aligned to the current bunch crossing. Besides the hit data, the output
 1099 data contains the lowest 4 bits of the event counter and 8 bits of the bunch crossing counter
 1100 as seen by the module which allows for synchronization checks at the module level. The
 1101 minimum data size is 228 bits if no hit is present while a typical size of around 260 bits
 1102 is expected for most events in FASER. The typical readout transmission time is therefore
 1103 about $6.5 \mu\text{s}$. Up to eight events can be buffered in a module while it is read out, allowing
 1104 for close-by triggers.

1105 One “UniGe USB3 GPIO” board has sufficient logic cells and I/O connections to handle
 1106 the readout of at least one out of the three planes located in the tracker station and possible
 1107 all three planes. Each tracker plane has eight modules. Nine (or three) such boards will
 1108 therefore be used for the three tracker stations. A special interface card will be constructed
 1109 to provide the connections from the “UniGe USB3 GPIO” board to the tracker stations and
 1110 the TLB. To keep the cables short and maintain a good signal integrity, the boards will be
 1111 located in a small mini-crate about one meter away from the center of the middle tracker
 1112 station, just outside the fringe field of the dipoles. The clock, L1A and BCR from the TLB
 1113 will be received over a Cat5e cable and distributed from a fan-out to the nine read out
 1114 boards. These will convert the L1A and BCR signals to the appropriate commands for the
 1115 tracker modules. Additional commands for configuring and calibrating tracker modules can
 1116 be received over the Ethernet connection and transmitted to selected modules. The data
 1117 received by the board from the different modules will be merged and appropriate identifying
 1118 headers, event and bunch counters added, before being transmitted to the Event Builder
 1119 over Ethernet. No detailed processing of the data in the readout board is foreseen.

1120 The resource requirements for implementing the tracker readout can be estimated by
 1121 comparing the resources needed in the Chimaera board used in the module QA in Sec. VB
 1122 with those of the “UniGe USB3 GPIO” board. The Chimaera board has a different, smaller
 1123 FPGA (Spartan-6 XC6SLX25 FPGA vs Cyclone V A7), but only reads out four modules
 1124 and has somewhat less functionality than planned for the FASER readout. A schematic of
 1125 the circuit blocks is given in Fig. 41 and the comparison of resources is shown in Table XIII.

1126 Table XIII shows that the readout of 24 modules would consume less than 50% of the
 1127 Cyclone V resources. This leaves 50% of the resources for the Ethernet PHY + UDP
 1128 implementation. While this indicates that a single “Unige USB3 GPIO” can control the
 1129 three planes of a tracker station, the additional requirement for the FASER readout could
 1130 put a strain on the FPGA resources. Until the preliminary design phase has been completed
 1131 successfully, it will be assumed that one board will be needed per plane.

Resource	Chimaera design (4 SCT modules) Spartan-6 XC6SLX25	UniGe USB3 GPIO board Cyclone V A7	Ratio (UniGe/Cambridge)
Logic cells/ Logic elements	24k	150k	
Equivalent registers	30k	226k	7.5
RAM	936 kB	6860 kB + 836 kB	8.2
18 × 18 DSP blocks	38	156 × 2	8.2
PLLs	2	7	3.5
Chimaera design usage (4 modules)	45% Logic 50% RAM	6.0% Logic 6.1% RAM	
UniGe USB3 library		1% Logic 0.5% RAM	
Total for 24 modules + USB3 library		36.0% Logic 37.1% RAM	

TABLE XIII. Comparison of Xilinx XC6 and Intel Cyclone V. The usage of Logic and RAM was estimated from ratio between XC6 and Intel Cyclone V.

F. Event Building, Data-Quality and Storage

The Event Builder collects the data fragments from the TLB, the tracker readout boards and the PMT digitizer. The first two will push their fragments to the event builder when receiving the L1A, while for the digitizer, the event builder will have to pull the data whenever it receives a fragment from the TLB. The fragments are merged into a single event based on their event counter number. A time-stamp and a run-number will be added and the full event sent to the Event Buffer. The Event Builder will be implemented as a process on a commercial Linux PC located on the surface.

The same process also controls the overall data acquisition system and will send the configuration to all of the readout boards, enable the trigger and also reconfigure the system in case data corruption is detected. Data corruption is checked by comparing the bunch counter values in the different fragments and inside each tracker module sub-fragment. In case of continuous mismatch or missing data fragments, the system will reconfigure itself and in case this does not help, it will contact the expert on-call. The continuous rate of random triggers ensures that the system is maintained in good running condition even when there are no collisions and therefore no physics triggers.

The Event Buffer is a separate process, normally on a second PC, which collects events with the same run number into files on disk with a convenient size for archiving and analysis (about 1 GByte per file). As the event size is dominated by the PMT readout and most of that data should just be pedestal values given the large readout window, each event will be compressed using for example the Lempel-Ziv algorithm [35]. This should reduce the event size by at least a factor 2, allowing one file to hold about 5 minutes of data. The files will be copied asynchronously to the CERN CASTOR storage area and a backup at another FASER institute.

The latest event received by the Event Buffer will also be available for sampling by debugging and data quality tools running on the same PC as the Event Buffer. The data-quality will be monitored by a fast offline-based event reconstruction which produces a small

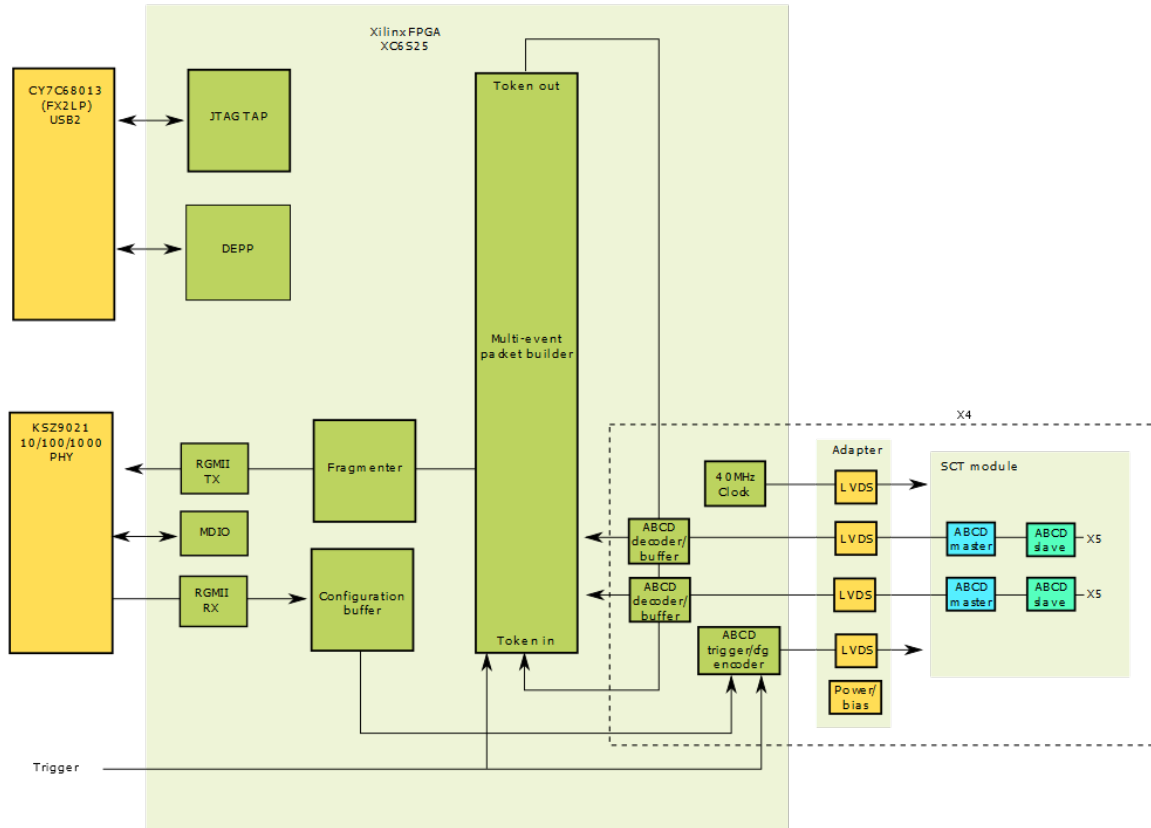


FIG. 41. Schematic diagram of the functionality implemented in the Chimaera board for reading out four SCT modules.

1159 set of monitoring histograms for expert monitoring and automatic checks against reference
 1160 histograms.

1161 For redundancy and to minimize the risk of interference, the Event Builder and the Event
 1162 Buffer runs on two separate Linux PCs located on the surface. In case of a hardware failure
 1163 it will be made possible to run both processes on a single PC. Each PC will be server-grade
 1164 rack-mounted PCs with redundant power supplies, at least 16 GB of memory, at least eight
 1165 cores and 2 TBytes of raid-storage. The latter provides enough storage for one week of data
 1166 taking at the nominal trigger rate.

1167 Design of the data-acquisition and detector control software has not yet begun, but it is
 1168 expected a simple web-based interface will be used for day-to-day monitoring and control.

1169 For security reasons, the readout and control system will be on a private network, only
 1170 accessible from the CERN GPN through a dedicated, locked-down PC.

1171 G. Detector Control System (DCS)

1172 The DCS is responsible for controlling and monitoring all of the different power supplies
 1173 and electronics crates, as well as monitoring temperatures and humidity in the different
 1174 detector parts. All devices will be connected through Ethernet to a dedicated DCS Linux

Trigger system	Cost [kCHF]
VME Crate	5
Digitizer	9
BOBR and fiber	0.5
Clock Cleaner	0.5
Trigger Logic Board	1.5
Trigger fan out	1
Trigger Cables	1
Trigger system Total	18.5
Data acquisition system	Cost [kCHF]
Mini Crate	2
Tracker readout boards	11
Data cables to tracker	1
VME to Ethernet converter	3
LV power supply	2
Four readout and control PCs	12
DAQ Total	31
Networking	Cost [kCHF]
2 Ethernet switches	3
Networking Total	3
Total	52.5

TABLE XIV. Budget for trigger/DAQ system for the FASER experiment.

1175 PC running the standard LHC SCADA system WinCC OA (formerly known as PVSS).

1176 H. Cost and Schedule

1177 The cost of the different components is estimated in Table XIV. At least one spare card of
1178 each module of the system, one spare VME crate, a spare readout PC, and network switch
1179 will be needed in case of a failure. These spares will also be used to form a small test-stand
1180 for testing new firmware and software developments. The cost of the spares is estimated to
1181 be about 27 kCHF.

1182 The expected schedule for the construction and commissioning of the FASER trigger and
1183 DAQ system is shown in Fig. 42.

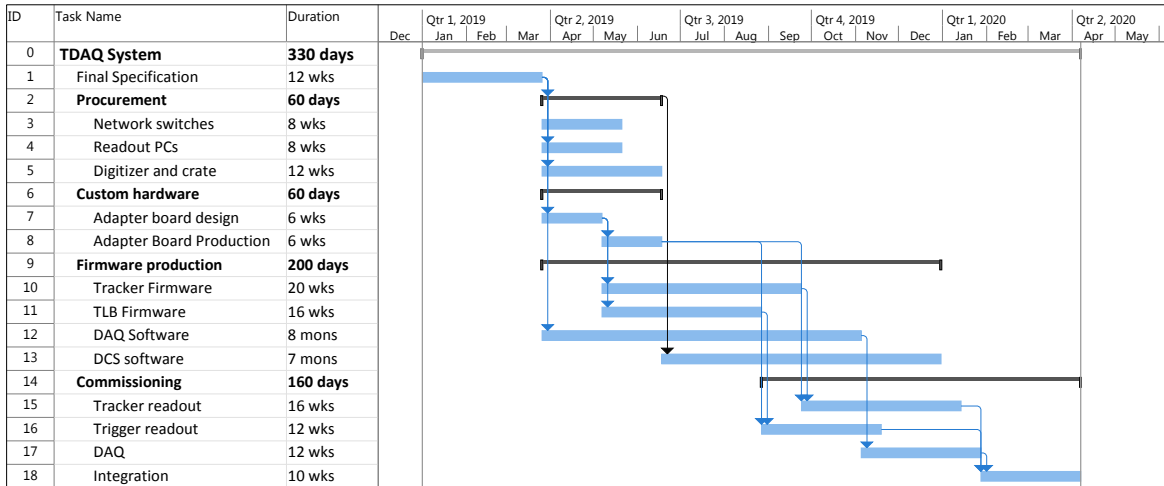


FIG. 42. Timeline for TDAQ construction and commissioning.

1184 **X. CIVIL ENGINEERING**

1185 The experiment is to be located within TI12, a former injection tunnel that connected the
1186 Super Proton Synchrotron (SPS) to the Large Electron–Positron (LEP) Collider at CERN’s
1187 Meyrin site just outside Geneva. TI12 is located just off the LHC, entirely within Switzerland
1188 as shown in Fig. 43. The tunnel is currently disused due to the greater radii required for
1189 beam injection into the LHC. To accommodate the experiment within the chosen location in
1190 TI12, a certain amount of civil engineering (CE) work will be required. This section details
1191 the existing structure, the civil engineering requirements, the design process, the planned
1192 works methodology, and further work recommended to implement FASER.

1193 **A. Existing Structure**

1194 TI12 is a mined tunnel with a horseshoe shaped tunnel cross-section. The tunnel is 2.94 m
1195 in width at floor level and is 2.9 m tall from floor to crown. The tunnel structure consists
1196 of a 100 mm-thick spray applied shotcrete lining, rock bolts projecting into the surrounding
1197 Molasse bedrock, as well as a 250 mm-thick cast *in situ* invert and secondary lining as shown
1198 in Fig. 44.

1199 The invert of the tunnel is formed of a shotcrete base 200 mm deep with a 250 mm deep
1200 first phase slab cast *in situ* beneath a final cast *in situ* concrete infill slab 500 mm deep.
1201 Within the first phase invert slab, there is a 200 mm diameter PVC drain surrounded by
1202 gravel infill running longitudinally down the centre of the tunnel. Between the outer layer of
1203 shotcrete and the cast *in situ* tunnel structure, there is a waterproofing membrane, allowing
1204 any groundwater seepage to drain into the longitudinal drain. Towards the bottom of tunnel
1205 TI12, there is a transverse drainage channel or caniveau, as shown in Fig. 45. The caniveau
1206 connects into the longitudinal drainage system.

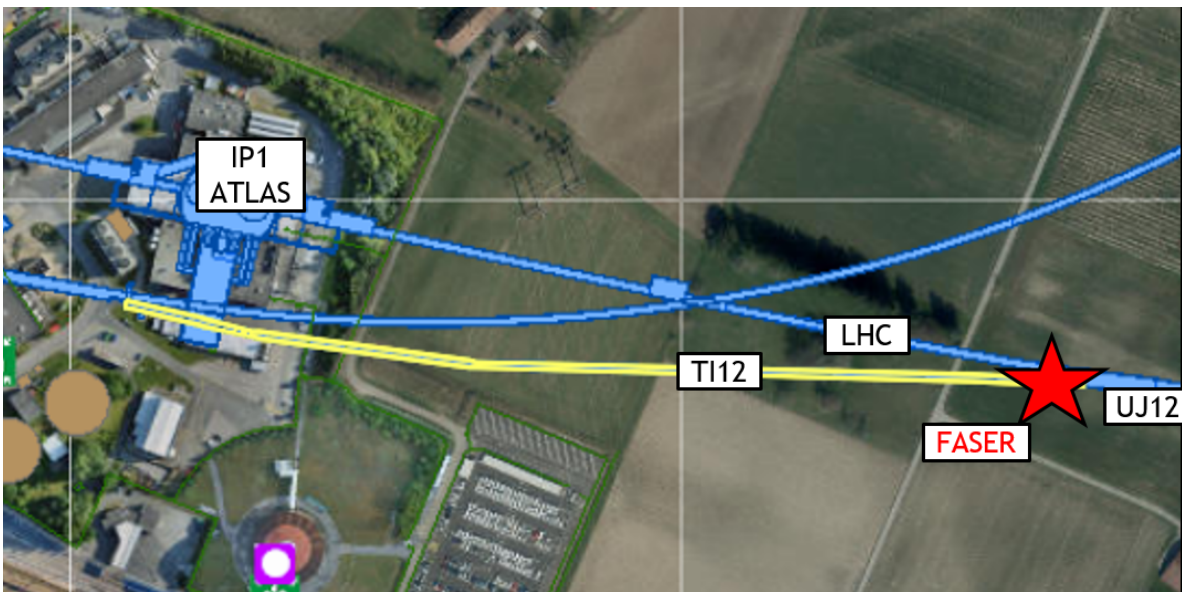


FIG. 43. Location plan showing the proposed situation of FASER in TI12

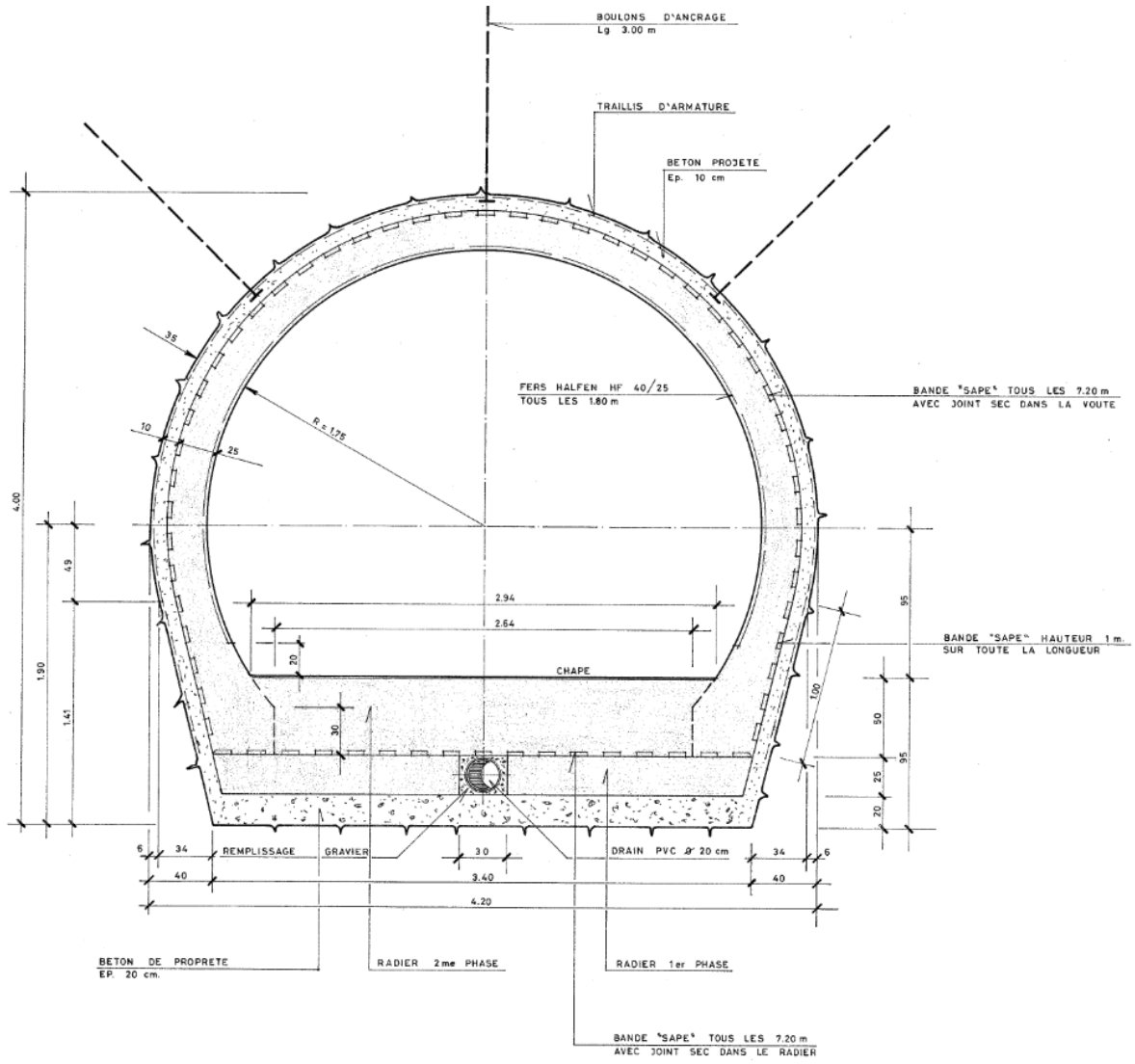


FIG. 44. As-built typical section of tunnel TI12 showing form of construction.

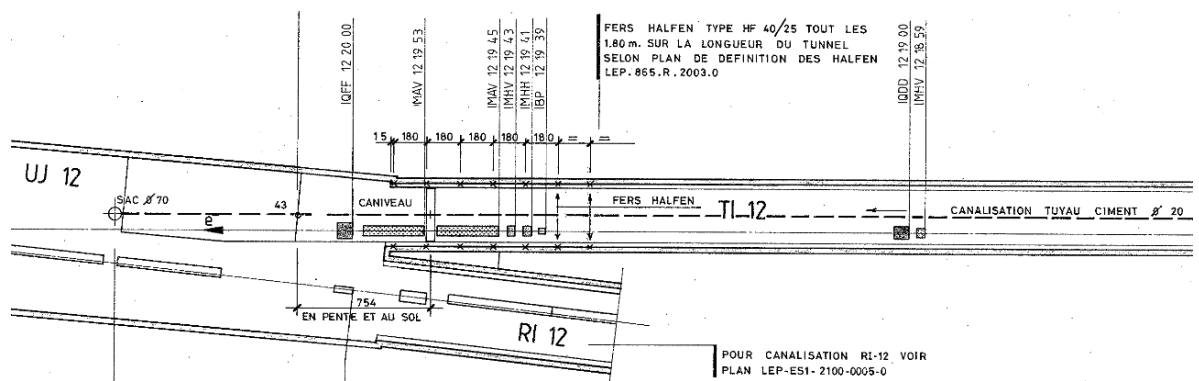


FIG. 45. As-built plan view of tunnel showing arrangement of existing drainage infrastructure.

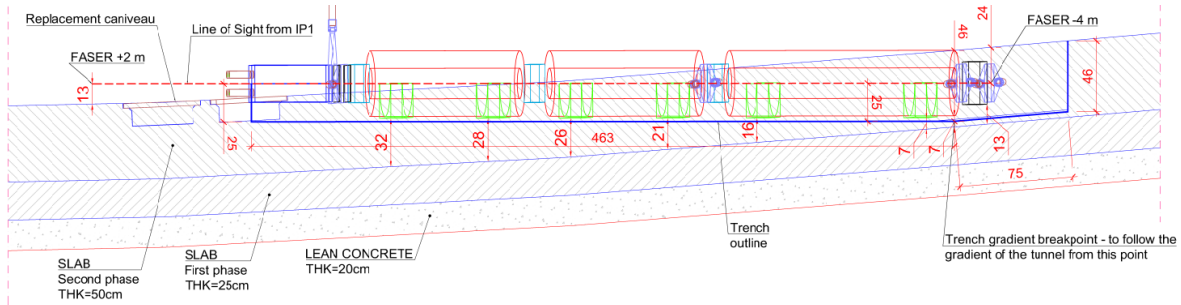


FIG. 46. Section showing trench and experiment in relation to TI12 floor and LOS.

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B. Requirements

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The axis of the LOS crosses TI12 at an oblique angle to the tunnel in plan and emerges through the tunnel floor close to TI12's junction with cavern UJ12. A trench will be required to create the space required for the experimental arrangement so it can be positioned centrally along the axis of the LOS. The dimensions and position of the trench in relation to the tunnel floor and the LOS are shown in Fig. 46.

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The location of the trench and experiment interferes with existing drainage systems and will necessitate the removal of an existing transverse drain. This will need to be replaced with two new transverse drainage channels, above and below the experiment. The new drains will need to be connected into the existing tunnel drainage systems, which in turn outfall into those in UJ12.

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In case of any unforeseen seepage through the existing tunnel structure, the trench for the experiment will include an outlet connection to the lower transverse drain to ensure no water can build up within the trench.

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As part of the project, CERN's transportation team will also need to be involved, both during the works and for installation and maintenance of the experimental arrangement. To enable their works, lifting points will need to be installed in the tunnel soffit at regular intervals.

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C. Design of Modifications

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An outline feasibility design has been carried out to consider what CE enabling works are required for FASER. To enable the feasibility study, a 3D scan of the area has been carried out and matched with the existing 3D model of TI12 to corroborate the accuracy and position of the tunnel. A 3D model of the experimental arrangement has been positioned along the axis of the LOS (provided by CERN's Survey team) in combination with the 3D model of the tunnel shown in Fig. 47. This has allowed the interaction between experiment and civil engineering infrastructure to be studied at a preliminary level. Further study will be required: in particular, a detailed structural analysis will be needed to confirm the structural stability of the proposed arrangement. The proposed design will continue to be optimised as all constraints and requirements are clarified. The current preliminary design is shown in Fig. 48.

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1238

The proposed trench dimensions have been determined to allow for the installation and maintenance of the experimental arrangement. These dimensions have been reduced as far

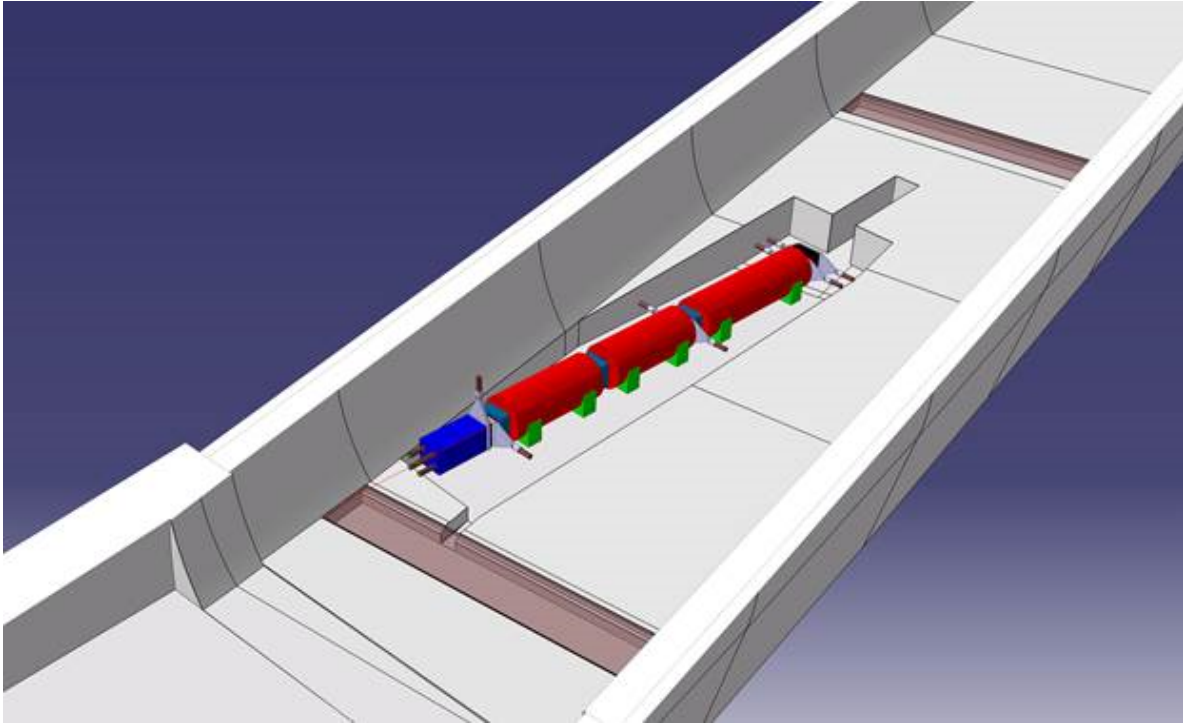


FIG. 47. View showing extents of trench and proposed drainage in relation to tunnel TI12.

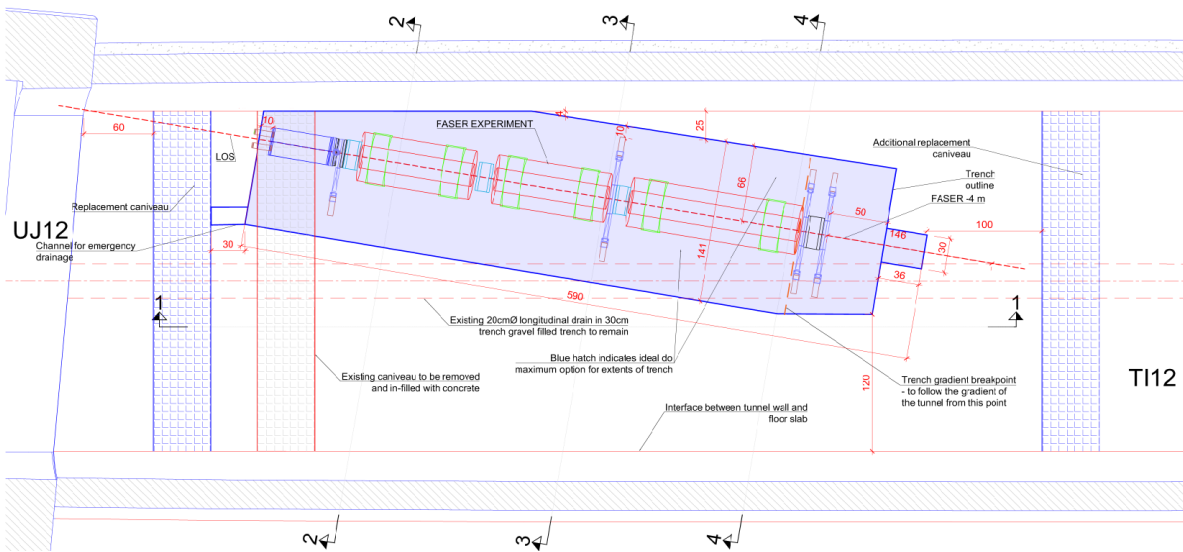


FIG. 48. Plan view showing proposed arrangement.

1239 as possible to minimise the impact on the existing tunnel's structural elements. The trench
 1240 will still have an effect on the stability of the tunnel, however, which may still require some
 1241 local strengthening. The location of the experiment in relation to the structure of the tunnel
 1242 is illustrated in Fig. 49.

1243 The design has been carried out with a view to the construction works being undertaken
 1244 during Long Shutdown 2 (LS2). This has meant aiming to minimise the complexity of civil
 1245 engineering work required so it is feasible to plan, design, and implement the works within

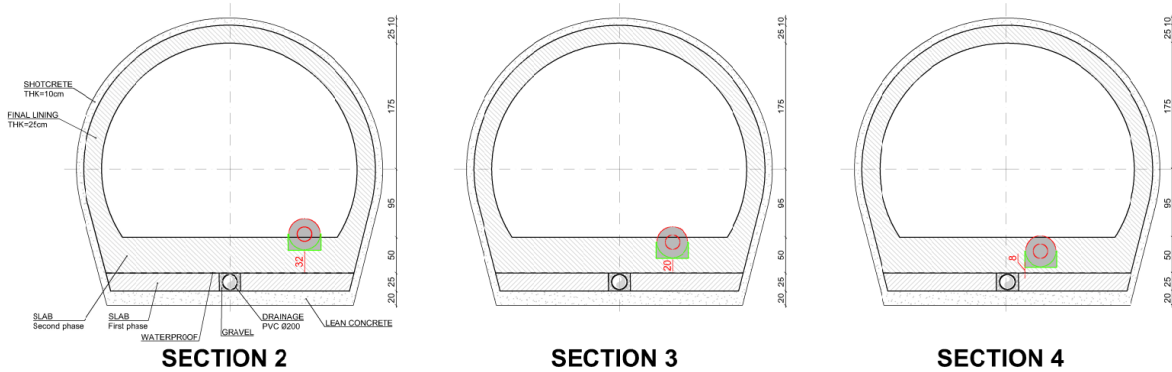


FIG. 49. Proposed sections showing depth of experiment along LOS in relation to the structure and drainage of tunnel TI12 (trench omitted).

1246 a suitable timeframe. To avoid more significant works, for example, the depth of trench has
 1247 been limited to avoid damage to the longitudinal drain and drainage membrane, 500 mm
 1248 below the tunnel invert. The position of the experiment along the line of sight has also
 1249 been optimised with the aim of avoiding the need for openings or cores into the tunnel arch
 1250 barrel.

1251 Following finalization of the experimental requirements, a full structural stability analysis
 1252 will be undertaken. The analysis will be carried out in line with Eurocode design standards.
 1253 The assessment will consider the removal of concrete as part of the trench and drainage along
 1254 with the lifting points and associated loading required to transport, install, and maintain
 1255 FASER’s equipment. The analysis will determine whether there is any effect on stability
 1256 and whether any modification is required to the design to enable the project. At this stage,
 1257 it is considered likely that some local strengthening will be required. This may consist of
 1258 additional rock bolts or installation of steel reinforcement in the tunnel invert, but analysis
 1259 and design will be needed to confirm provisions.

1260 The drainage systems will be designed in line with standard CERN specifications and
 1261 will be of the same or greater standard as the existing system.

1262 The CERN Radio-Protection (RP) group has been consulted and will advise on working
 1263 methods and waste disposal as the project develops. The concrete in this location should be
 1264 considered as potentially activated. At the beginning of LS2, representative sample(s) will
 1265 be taken for analysis to measure the level of activation.

1266 **D. Construction Methodology**

1267 This type of construction work is not unusual at CERN, meaning that the work can
 1268 be carried out by existing framework contractors, which will negate the need for lengthy
 1269 procurement processes. The works will consist of the following main activities:

- 1270 • Isolate works area (and provide temporary services and ventilation);
- 1271 • Install transport lifting fixtures in tunnel soffit;
- 1272 • Saw cut new trenches;
- 1273 • Remove existing transverse drain and repair tunnel infill slab;

- 1274 • Install transverse drains and connect to existing longitudinal drains;
- 1275 • Undertake strengthening works;
- 1276 • Remove concrete to 40 mm below finished level;
- 1277 • Cast screed base to trench to level;
- 1278 • Clear site removing all arisings and isolation.

1279 Concerns have been raised about the works creating dust with the potential to affect
1280 existing CERN operations. This will be actively managed throughout the works to avoid
1281 any issues. As noted, the first activity will be to isolate the works area. This will be achieved
1282 by putting in place a double or triple skin polythene sheet wall system (or a ‘SAS’ as they are
1283 commonly known at CERN) to prevent any dust from escaping the works area. Filtration
1284 systems will be put in place to scrub dust from the air. A negative pressure will be created in
1285 the works area as necessary to ensure any small quantity of dust created remains within the
1286 confined zone. Suitable respiratory equipment will be utilised where required by construction
1287 workers. Framework contracts are in place at CERN with companies experienced in delivery
1288 of such confinement works. The methods that will be used are industry standard in the field
1289 of asbestos removal and treatment.

1290 The dust created will also be kept to an absolute minimum. Dust suppression techniques
1291 will be applied to all activities with the potential to create fine airborne material. Water
1292 suppression will be used during diamond saw cutting to prevent mobilisation of dust, with
1293 arisings and run off contained and collected. Following saw cutting, typical concrete excava-
1294 tion/demolition techniques using a mechanical breaker will be substituted for rig-mounted
1295 diamond coring. The trench and drainage channels will be cored out to a suitable depth with
1296 drill-powered coring rigs in combination with water suppression, waste water collection and
1297 filtration systems, producing a minimum of airborne material. This change of technique will
1298 have the dual benefit of producing less dust and allowing more accurate control of the depth
1299 of excavation to ensure the drainage membrane remains intact. Transportation fixings will
1300 be installed by means of rig mounted drills with dust extraction/collection systems, followed
1301 by resin anchoring fixings into the tunnel soffit.

1302 CERN’s RP department have advised that the use of a sealed SAS will be sufficient to
1303 prevent any spreading of potentially activated dust. Further planning will be carried out in
1304 conjunction with the RP team.

1305 Prior to leaving the site, the site will be thoroughly cleaned. Air testing to confirm results
1306 can be carried out to ensure no dust remains. All systems and procedures will be agreed
1307 with the relevant parties in advance of works.

1308 Further study will be necessary to confirm the exact arrangement, particularly in terms
1309 of ventilation, but the techniques detailed are feasible, in widespread use within the con-
1310 struction industry, and have been used numerous times in similar situations at CERN.

1311 **E. Required Further Studies**

1312 Some additional studies will need to be carried out in advance of construction, including:

- 1313 • A suitably detailed structural analysis of the existing tunnel should be carried out to
1314 confirm that the works will have no impact on tunnel stability or to allow the design
1315 of local strengthening measures necessary.

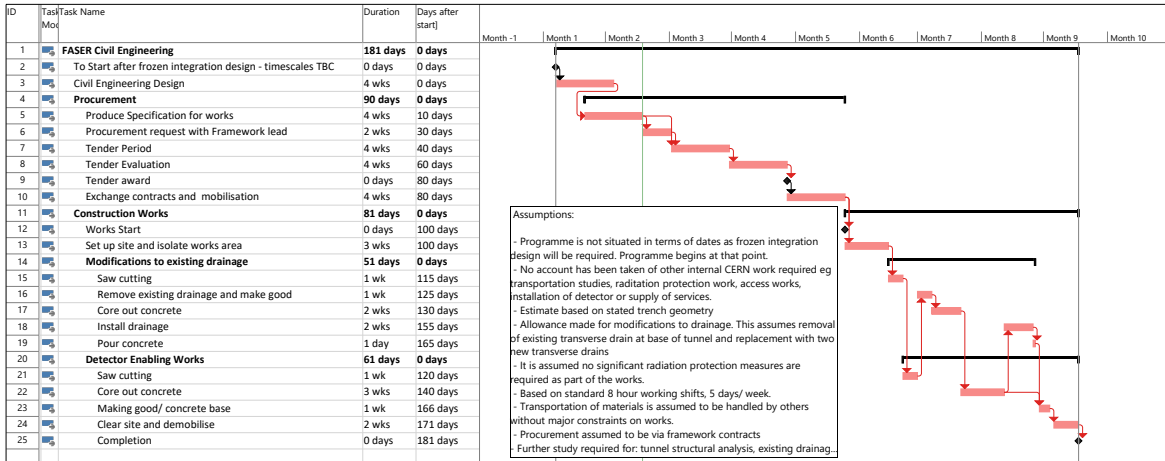


FIG. 50. Draft schedule for civil engineering work to be done in 2019.

- 1316 • A ground penetrating radar survey of the existing tunnel invert structure should be
1317 undertaken to confirm the exact depth of the existing drainage and drainage membrane
1318 to facilitate works and confirm the design.
- 1319 • A CCTV survey of the existing drainage systems should be carried out to confirm
1320 their condition and operation prior to connection.
- 1321 • Representative samples will need to be taken to determine the level of concrete acti-
1322 vation.

1323 F. Cost and Schedule

1324 The cost for the civil engineering work is estimated as 83.3 kCHF. This has been based on
1325 the layout presented in this chapter and includes costs for detailed design, construction, and
1326 construction management, excluding personnel costs for CERN resources. The estimate does
1327 not include development costs, materials or personnel costs in advance of detailed design
1328 and construction. The estimate and schedule do not include strengthening works, as these
1329 cannot be assessed until requirements are confirmed by structural analysis. Rates used have
1330 been based on existing framework rates with some tweaking based on comparative analysis
1331 of other similar schemes, as well as knowledge of the specific requirements of FASER.

1332 The estimated schedule for the work is shown in Fig. 50. The cost and schedule have
1333 been estimated using the following assumptions:

- 1334 • Costs and schedule are based on the design as detailed without allowance for significant
1335 tunnel strengthening works if required.
- 1336 • The basis of the estimates is that the trench is no deeper than 460mm and does not
1337 interfere with drainage.
- 1338 • The proposed drainage can be connected into existing tunnel drainage without signif-
1339 icant repairs or maintenance of the existing.

- 1340 • No allowance has been made in tunnel geometry for transport, logistics, fire compart-
1341 mentalization, access staircases, or other alcoves.
- 1342 • Provision of tunnel services, e.g., ventilation, electricity, etc. are not included.
- 1343 • No allowance made for network service diversions.
- 1344 • Costs are based on dust suppression methods as detailed earlier in this section.

1345 The accuracy of the cost estimate is Class 4—Study or Feasibility which could be 15-30%
1346 lower or 20-50% higher (in line with Ref. [36], as has been used for previous CERN projects).
1347 Until the project requirements are further developed, it is suggested that the maximum band
1348 be adopted, i.e., -15% to $+50\%$.

1349 XI. INSTALLATION AND INTEGRATION

1350 A. Transport

1351 The biggest and most complicated detector component to transport is the 1.5 m-long,
1352 50 cm-diameter, 1.5 tonne permanent magnet. The lift at point-1 can carry up to 3 tonnes,
1353 and the roof bracket at TI12 can support 8 tonnes. It is therefore not expected to be a
1354 problem to transport such an object to TI12. Analysis of the laser scan in the TI12 region
1355 indicates that there is sufficient room above the LHC machine to carry the magnet over
1356 the machine (and the corresponding QRL cryogenic line) using a simple crane attached to
1357 the roof bracket of the main tunnel. The magnet would be carried along the LHC tunnel
1358 using an electric tractor. For this, care must be taken as the trolley is made of steel, so the
1359 magnetic field must be taken into account in the detailed transport work planning.

1360 The CERN transport group have studied the transport scenario; Fig. 51 shows two pic-
1361 tures from the 3D model of the transport work. This work requires the following tooling:

- 1362 • A 2-3t hoist for the rail into UJ12 (the original ones have been removed and reinstalled
1363 on UJ62),
- 1364 • Two rails into TI12 (design, production installation), and the transverse bar to make
1365 a simplified crane,
- 1366 • Two 2t hoists to be installed on this rail.

1367 This set of tools will be installed and tested at full load in collaboration with the CERN
1368 safety group (HSE). The whole of the process of installing and testing the tooling will take
1369 2 weeks, and is estimated to cost 50 kCHF.

1370 The transport of the FASER detector components is estimated to take 3 days, and cost
1371 5 kCHF for personnel (this is assuming the transport of 10 pieces). This work needs to be
1372 integrated into the master LS2 schedule to avoid periods when work is ongoing in the LHC
1373 tunnel between IP1 and TI12.

1374 For the transport and installation of the detector, unused ventilation tubes in TI12 need
1375 to be removed. This work will be done by the relevant CERN group (EN-CV) and is
1376 estimated to take about 1 week, and cost 4 kCHF. Unused cable trays in UJ12 and TI12
1377 will also need to be removed, which can be done by the relevant group (EN-EL).

1378 The access at point-1 is through the PM15 LHC shaft and the bypass tunnel, which joins
1379 the LHC beam line just after the triplet magnets, thus bypassing the ATLAS cavern. This
1380 means FASER work will not interfere at all with any LS2 work of the ATLAS experiment,
1381 which has its own access shaft. Transporting the digging tools for the civil engineering work,
1382 and removing the spoil from the excavation (estimated to be $\approx 3\text{m}^3$) is not included in the
1383 above cost estimates yet.

1384 B. Services

1385 The following services are needed for FASER:

- 1386 • Cooling: As discussed in Sec. VD, cooling will be provided by a standalone water
1387 chiller situated in the TI12 tunnel close to the detector;

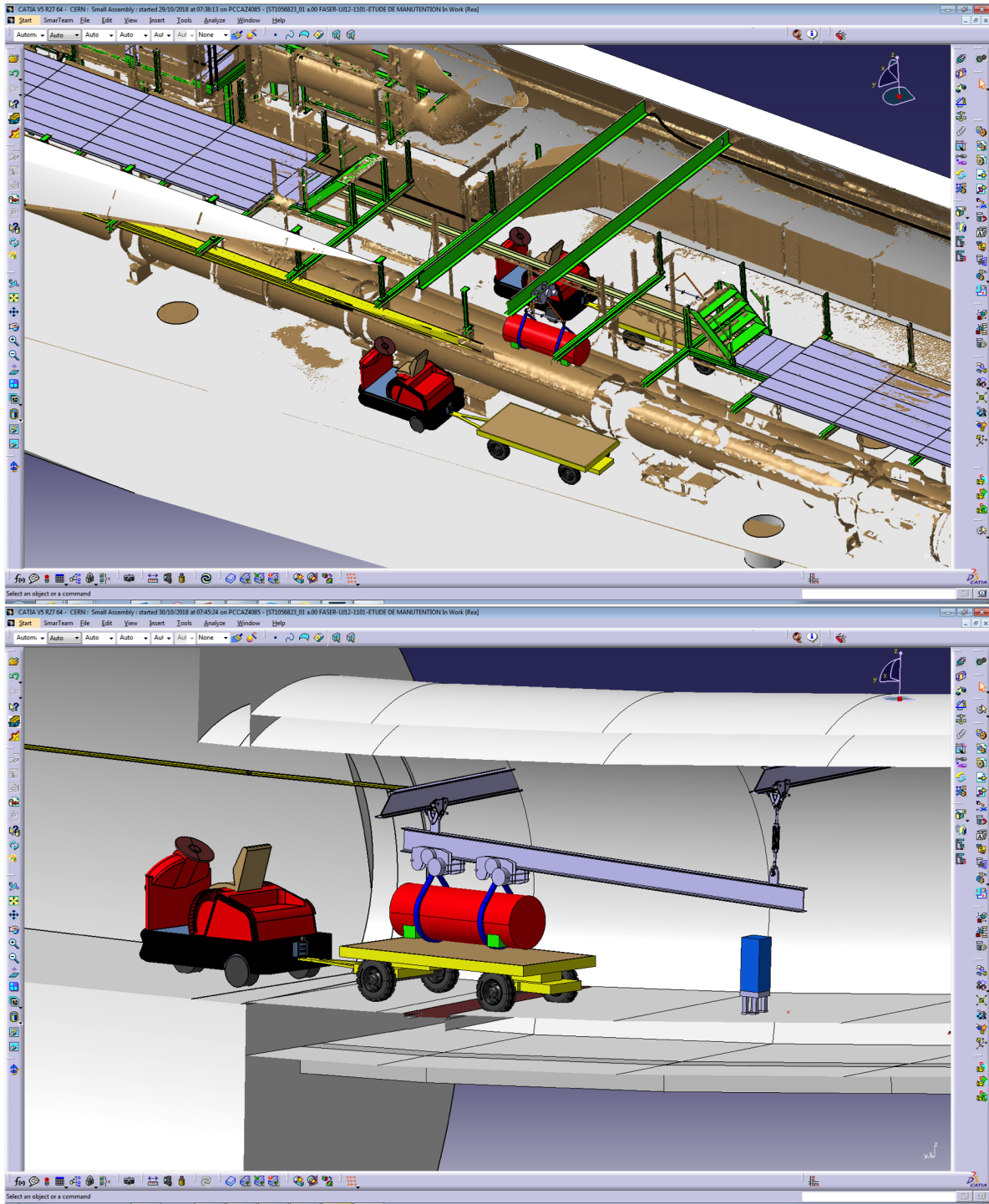


FIG. 51. Pictures from the study of transporting the detector components of FASER to the detector location. Both pictures show the transport of the largest magnet. The top picture shows how this will be lifted over the LHC machine from one tractor to another. The bottom picture shows how this will be transported into position in the TI12 tunnel.

Component	Power [W]
SCT module	540
SCT readout	450
SCT power supply	200
Chiller	1620
PMT HV	180
PMT Readout	60
Trigger logic	50
VME Crate	120
Network switch	180
Total	3400

TABLE XV. Expected power consumption of FASER experiment in TI12.

- 1388 • Dry air: As discussed in Sec. VD, compressed air with a dew point below -40°C will
1389 be provided by EN-CV. The estimated cost of connecting the compressed air system
1390 into FASER at TI12 is 6 kCHF and it is one day's work, although this needs to be
1391 planned in the LS2 schedule;
- 1392 • Power: The estimated power consumption for FASER is 3.4 kW (a breakdown is shown
1393 in Table XV). After discussion with the relevant CERN group (EN-EL), the following
1394 scheme for powering the experiment is assumed, although its feasibility remains to
1395 be confirmed. The powering would use the 400 V at 16 A outlet available in UJ12
1396 about 50 m from FASER. EN-EL would lay 50 m of cable and install a switchboard
1397 with a master breaker and 3 breaker circuits separated for the cooling, readout, and
1398 powering. Two emergency cutoff switches (AUG) would also be installed at either
1399 end of the detector. This solution is estimated to cost about 10 kCHF, including
1400 installing lighting in TI12. The above solution would not provide UPS power for
1401 FASER, which would be significantly more expensive. The need for UPS power is still
1402 under evaluation.
- 1403 • Signals and readout: Data will come in/out of the experiment via 3 dedicated optical
1404 fibers linking TI12 to the surface building in point-1 (SR1). These fibers will be
1405 installed during LS2 by EN-EL (TBC). Two fibers will connect Ethernet switches for
1406 data and commands (this gives sufficient bandwidth for the experiment readout as
1407 discussed in Sec. IX), while the third fiber provides the BST signal from the BE-BI
1408 group to the BOBR module in TI12. On the surface, rack space will be needed for an
1409 Ethernet switch and the four readout/controller PC's. These will need to be connected
1410 to the CERN-IT network in a safe manner for data-logging and expert access. The
1411 exact surface location and connection points are still under discussion.

1412 C. Integration

1413 The CERN integration team have started to develop a model for the TI12 area, including
1414 the FASER detector and its associated infrastructure (chiller, electronics, power supplies,
1415 etc.). Figure 52 shows a screen-shot from the integration model of the TI12 area.

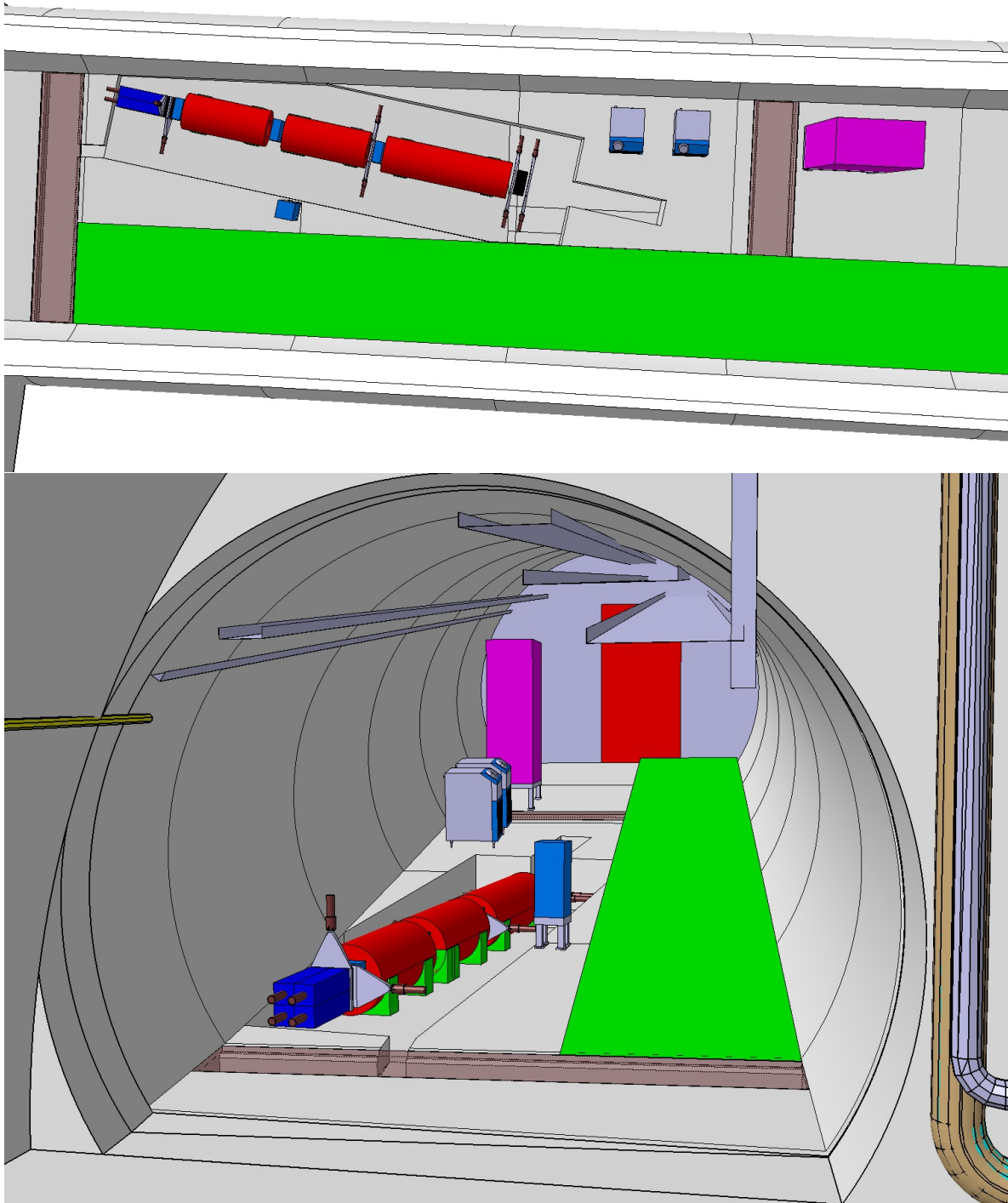


FIG. 52. Pictures from 3D model of integration of the experiment in the TI12 tunnel. The model includes: the detector, the mini-crate for the tracker readout electronics, a VME crate for the TDAQ and power supplies, and the chillers (one is the spare). The green space is 1.2 m reserved for access.

1416 **XII. COMMISSIONING**

1417 Four commissioning phases are planned for the FASER experiment:

- 1418 1. **Individual component testing.** Every individual component of the FASER exper-
1419 iment will be separately tested in the place of construction or assembly. This phase
1420 includes quality assurance tests for existing components, such as the SCT modules,
1421 and testing of cables and boards with pulses. Individual components will need to be
1422 tested under magnetic field to ensure proper functioning in realistic conditions.

- 1423 2. **Integration on surface.** All the components, except for the magnets, will be assem-
1424 bled for integration on the surface. The commissioning will proceed with cosmic runs
1425 in a setup where the assembled components will be placed at an angle with respect
1426 to the horizontal line. It is expected that the full detector and readout pieces will
1427 participate to such cosmic runs, with the exception of the magnets. Stability runs for
1428 extended periods of time are expected to take place.

- 1429 3. **Commissioning in the tunnel, without beam.** Following the installation of indi-
1430 vidual components in the tunnel, basic testing similar to what was done for ‘Individual
1431 component testing’ will be performed to ensure that there is no damage from manipu-
1432 lation during installation. Once all components (including the magnets) are installed
1433 in their final position, combined runs will take place with test pulses. Integration with
1434 the external, BST-based, clock will also be done during this period.

- 1435 4. **Commissioning with beam.** The final commissioning of the FASER experiment
1436 will happen with beams. Initial beam commissioning will be attempted with beam-
1437 gas interactions, which are expected to be higher after a long shutdown due to worse
1438 vacuum conditions, while the final commissioning will be done with the first collision
1439 data. Extended calibration runs for the detector are expected to take place.

1440 **XIII. SAFETY**

1441 The FASER project is followed by the Project and Experiment Safety Support (PESS)
1442 of the HSE-OHS group. An HSE correspondent has been named, and the following domains
1443 are concerned: civil/structural engineering including worksite aspects, asbestos detection
1444 and eventual removal, environmental protection, mechanical safety, HVAC, fire and chem-
1445 ical safety, non-ionizing radiation, and magnetic and electrical safety. In particular, it has
1446 been individuated that the civil and structural engineering domain presents major safety
1447 implication aspects and, therefore, a safety clearance shall be released by the HSE unit head
1448 before starting the civil works (see Ref. [37]). A team of HSE specialists is currently drawing
1449 the Launch Safety Agreement, listing the applicable rules and standards in the mentioned
1450 safety domains. The HSE correspondent, along with the EP DSO office, will support the
1451 project leader in gathering the required documentation to complete the safety file.

1452 **XIV. OFFLINE SOFTWARE AND COMPUTING**

1453 **A. Detector Simulation**

1454 A GEANT4-based [38] model of the detector generates simulated data for detector opti-
1455 mization and preliminary performance studies. The model includes the nominal geometry
1456 of the ATLAS SCT modules and LHCb ECAL modules, along with a simplified approx-
1457 imation (0.6 T uniform dipole) of the magnetic field. No fringe fields, scintillator planes
1458 or mechanical support structures are included at present. For the purpose of simulating
1459 multiple scattering, the amount of material along the paths of particles through the tracker
1460 is accurate to within $\pm 15\%$.

1461 In addition to the generic “particle gun” functionality provided by the toolkit, an internal
1462 generator can simulate decays of dark photons with user-specified mass, momentum, and
1463 daughter particles. Simulated dark photons arrive with a uniform solid angle distribution,
1464 assuming a source located at the ATLAS interaction point, and decay uniformly along the
1465 length of the detector. Figure 53 shows two views of a simulated dark photon decay recorded
1466 in FASER.

1467 The tracker simulation produces digitized strip firing data using a simplified electronics
1468 model with Gaussian charge smearing and a uniform threshold, along with information to
1469 determine which Monte Carlo truth particles contributed energy to them. Each simulated
1470 ECAL module produces a single value corresponding to the total energy deposited in its
1471 scintillating layers. The time structure of the signal, which will be available for the real
1472 ECAL modules, is not yet simulated.

1473 **B. Data Reconstruction**

1474 *1. Strategy*

1475 The strategy for reconstruction of tracker data will be similar to that used in ATLAS,
1476 although the much lower occupancy in FASER allows considerable simplification. Neigh-
1477 boring hit strips on a single side of a tracker plane are grouped into clusters. Then clusters
1478 on opposite sides of a plane are combined to form space-point candidates. Combinatorial
1479 pattern recognition will be used to identify track candidates passing through space-point
1480 candidates in different tracker planes. Track candidates could pick up additional compatible
1481 space-points in a road around their trajectory. The final track fit will use all available in-
1482 formation, with extrapolation through a realistic model of the detector material (including
1483 energy loss and multiple scattering) and a detailed map of the magnetic field.

1484 *2. Performance studies*

1485 FASER has developed “proof-of-concept” clustering, space-point and track reconstruc-
1486 tion algorithms to optimize and validate the detector design. Track identification and hit
1487 association (pattern recognition) is simulated, assuming perfect efficiency, by using Monte
1488 Carlo truth information. Misalignment effects are not included.

1489 The tracker must resolve closely-separated, oppositely-charged tracks to identify the dark
1490 photon signal. Figure 54 shows the efficiency to reconstruct two isolated space-points in the

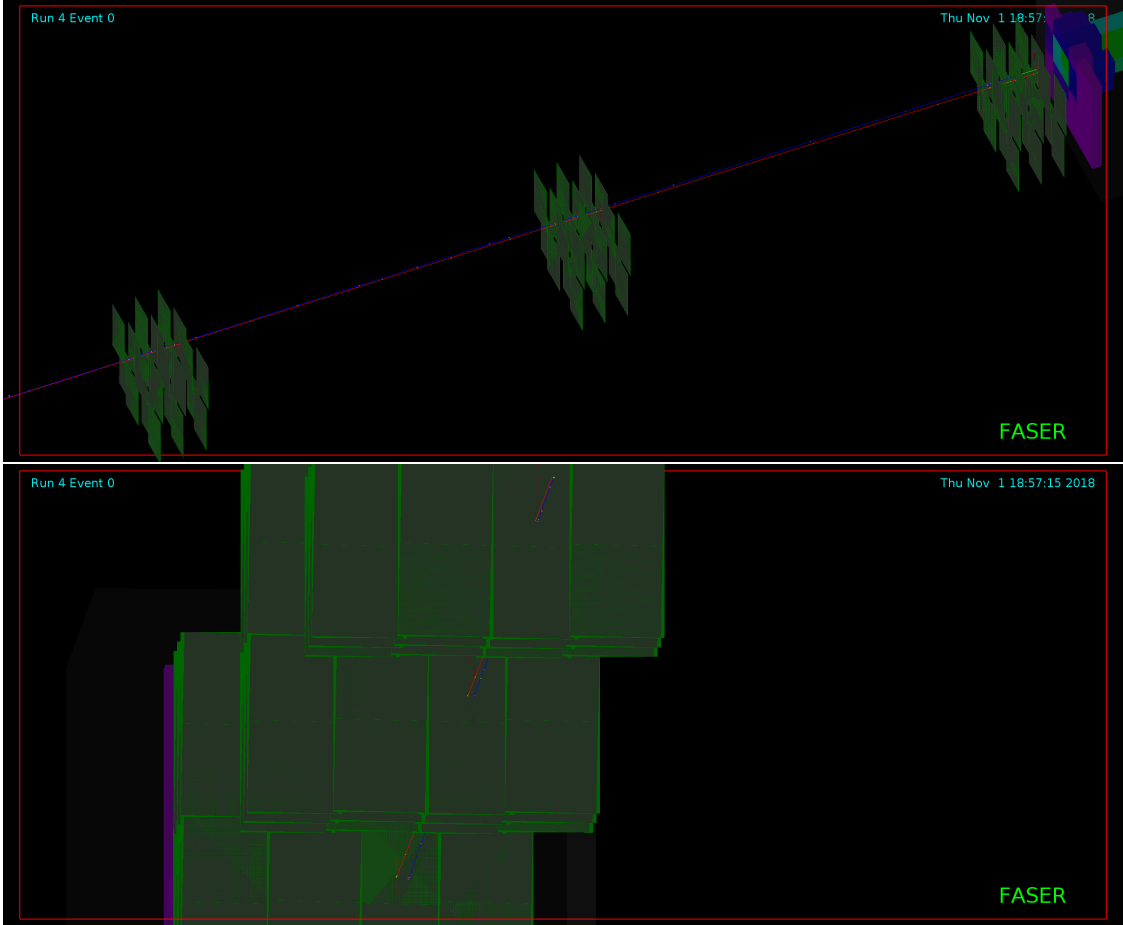


FIG. 53. Two views of a simulated dark photon decay to e^+e^- recorded in FASER. Above: Top view showing the two charged tracks separating in the magnetic field as they pass through the tracker and enter the calorimeter at top right. Below: Close-up showing the silicon strips fired in the first planes of the three tracking stations.

1491 first tracker plane for several dark photon masses and momenta.

1492 Figure 55 shows the reconstructed space-point resolution in the first tracker plane for
 1493 simulated dark photon decays. The resolution achieved is comparable to that expected from
 1494 the ATLAS SCT [25].

1495 Karimaki [39] calculated the expected momentum and other resolutions of a magnetic
 1496 spectrometer from first principles, under assumptions valid for FASER. Figure 56 com-
 1497 pares this theoretical performance, including measured space-point resolution and expected
 1498 multiple scattering, with the results of a global χ^2 fit to reconstructed space-points. The pre-
 1499 liminary track fit does somewhat worse than the theoretical prediction at higher momentum,
 1500 but still gives acceptable resolution above 1 TeV.

1501 To summarize, in these and other studies, using relatively unrefined reconstruction soft-
 1502 ware, the simulated performance of the detector closely tracks our design expectations.

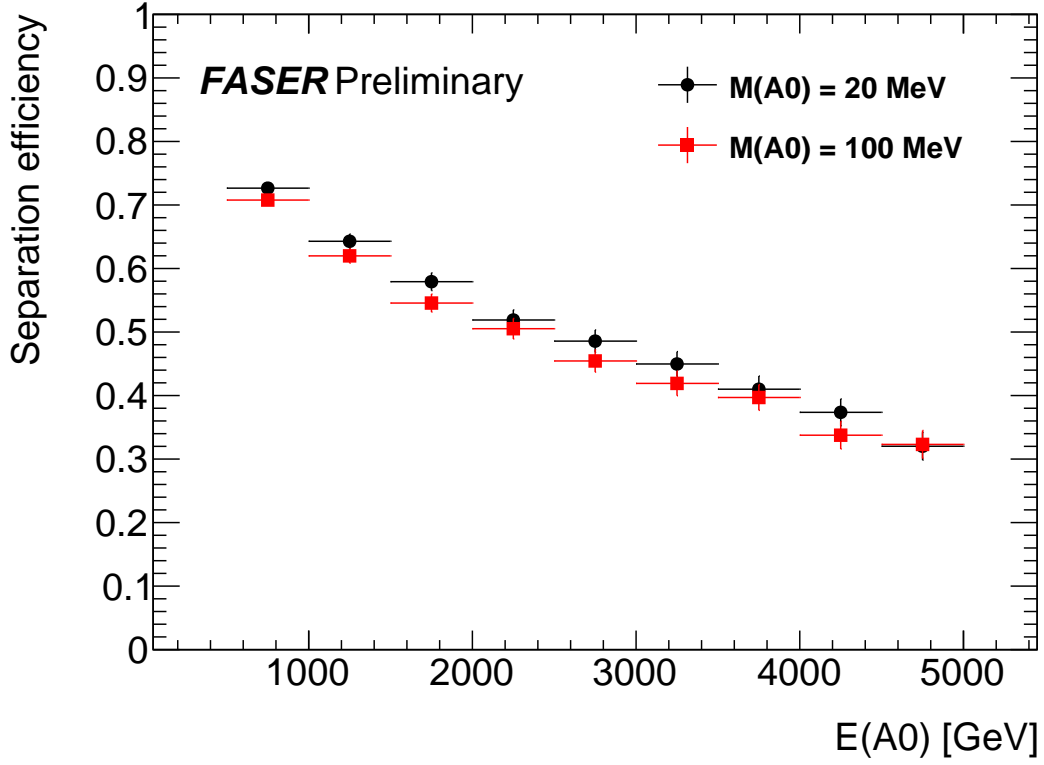


FIG. 54. Two-track separation efficiency based on isolated space-points in the first tracker plane for the indicated dark photon masses and momenta. Dark photon decays are uniformly distributed over the length of the 1.5 m decay volume. Because most of the separation comes from magnetic bending, rather than the transverse momenta of the decay products, the separation efficiency does not depend on the dark photon mass. Higher dark-photon energies, on the other hand, significantly degrade efficiency.

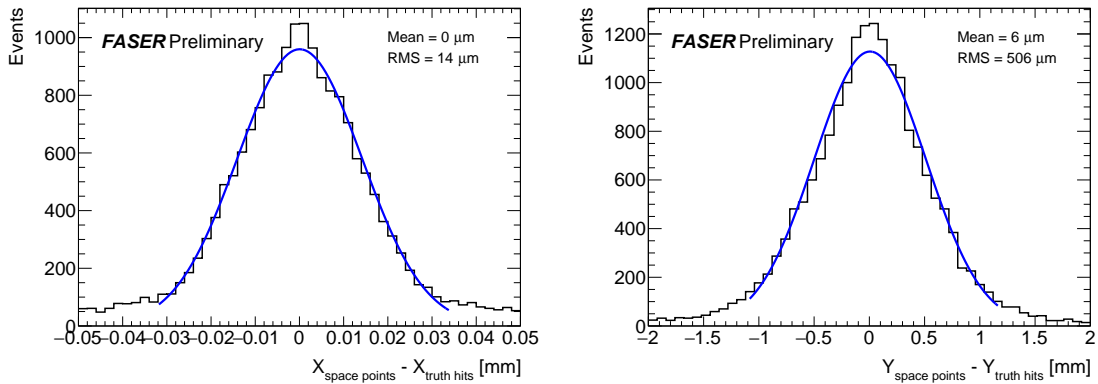


FIG. 55. Spatial resolution of reconstructed space-points in the magnetic bending direction (left) and along the strip direction (right), with respect to Monte Carlo truth, for the first detector plane.

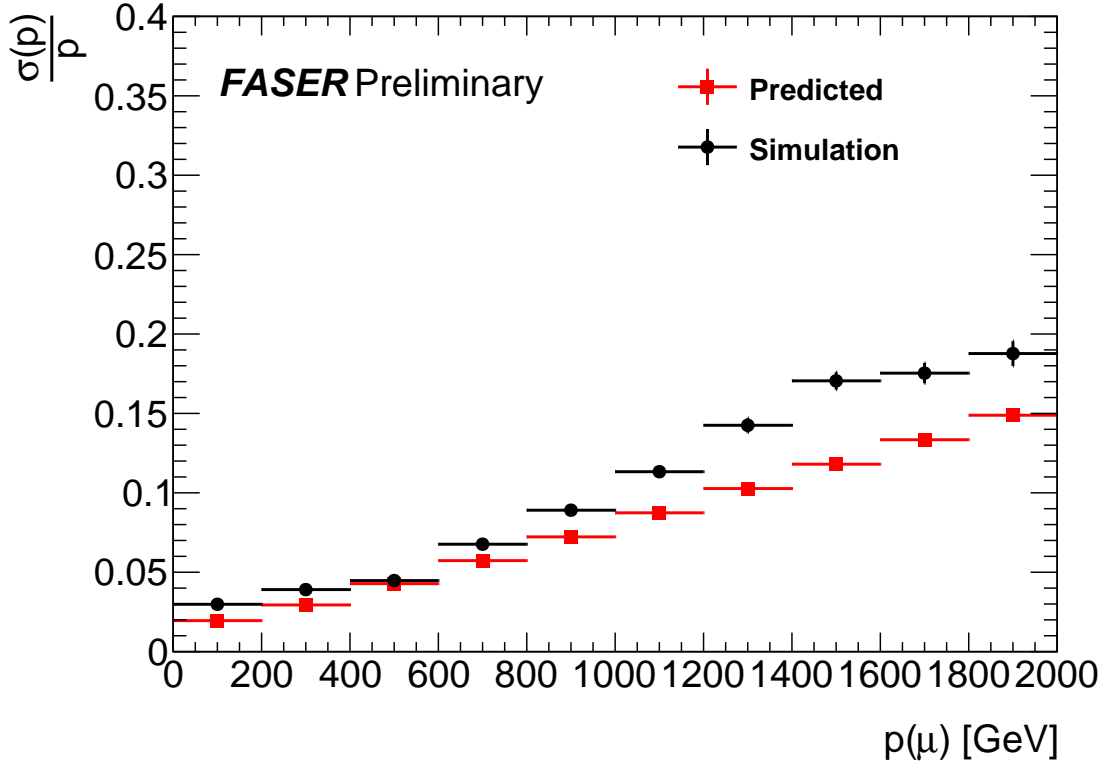


FIG. 56. Fractional momentum resolution (σ_p/p) for reconstructed muon tracks as a function of momentum, compared to the predicted resolution from Karimaki [39].

1503 *3. ACTS for FASER*

1504 The prototype reconstruction software used for validation and optimization of the detector
 1505 design is inadequate for physics use because it lacks the ability to accommodate a realistic
 1506 magnetic field or a detailed description of the detector’s material. More sophisticated pattern
 1507 recognition, extrapolation, and track-fitting will be required.

1508 Moving forward, the ACTS (“A Common Tracking System”) project [40] offers FASER
 1509 the opportunity to leverage a fully-featured, open-source reconstruction framework based
 1510 on the ATLAS tracking software that several FASER members are already familiar with.
 1511 ATLAS plans to replace its original tracking software with this modernized version after
 1512 Run 3, and so it will be commissioned and then supported during the lifetime of FASER.

1513 FASER members have worked with the ACTS team over the last six months, and a
 1514 proof-of-concept implementation of the FASER geometry in the ACTS detector description
 1515 framework has already been achieved. The ACTS developers have embraced FASER as
 1516 a useful milestone on their road to the more demanding requirements of ATLAS itself.
 1517 Thus, we are confident FASER will have access to world-class, collaboratively developed
 1518 and maintained track reconstruction software, with a far more realistic commitment of effort
 1519 than would be required to deliver the same functionality ourselves.

1520 C. Offline Software Infrastructure and Schedule

1521 In keeping with its economical design and compact scale, FASER’s offline software will be
1522 much simpler than the larger and more complex flagship LHC experiments. ROOT [41] and
1523 related technology will be sufficient for many of FASER’s geometry, conditions, data quality
1524 and other database needs. The challenge will not be to find software capable of meeting our
1525 needs, but avoiding (as much as possible) the overhead of tools that far exceed them.

1526 Planning for offline software development and commissioning is still in its earliest stages,
1527 but one of the first steps will be to implement a prototype geometry database in the first
1528 half of 2019, to provide a common reference for existing simulation and evolving ACTS
1529 reconstruction software.

1530 As graduate students join the experiment, they will help integrate simulation and recon-
1531 struction tools with the geometry database. Demonstration of “full-chain” data simulation
1532 and reconstruction with early versions of the production software by the end of 2019 is a
1533 realistic milestone.

1534 Conditions data and associated tools for calibration, alignment, data quality, and lu-
1535 minosity, along with physics analysis code, would be built on top of this foundation as it
1536 continues to mature in 2020.

1537 D. Computing

1538 FASER’s computing and storage requirements will be orders of magnitude smaller than
1539 the flagship LHC experiments. The detector’s 72 ATLAS SCT modules represent less than
1540 2% of the corresponding ATLAS sub-detector, and the occupancy is lower by a similar
1541 factor. As discussed in Sec. IX, the bulk of FASER’s raw data will consist of waveform
1542 information from the trigger/timing scintillator PMTs and LHCb ECAL modules, with an
1543 expected average event size of 25 kB. Pessimistically, at expected Run 3 luminosity, and with
1544 no trigger pre-scaling or data reduction, the expected raw data rate from the experiment
1545 is 16 MB/s or roughly $1 \text{ TB}/\text{fb}^{-1}$. If raw waveform data from events firing the veto can be
1546 discarded or summarized, the event size shrinks to about 5 kB and the data rate falls to
1547 $\sim 200 \text{ GB}/\text{fb}^{-1}$ even without further data reduction. This rate is unlikely to tax the capacity
1548 of the CASTOR data storage system.

1549 Reconstruction of FASER data will consume negligible CPU time. Simulation time (for
1550 signal events) is completely dominated by the calorimeter in the present, unoptimized im-
1551 plementation. Because the calorimeter serves primarily as a tag for energetic showers, fast
1552 simulation or parameterization of its response can be used to avoid the much more costly
1553 full simulation.

1554 TeV muons in FASER can be simulated on a single desktop PC at rates exceeding the
1555 realtime trigger rate at peak luminosity. Neutrino background simulations will likely be
1556 the most CPU-intensive: for an integrated luminosity of 150 fb^{-1} , roughly 100,000 charged
1557 current neutrino interactions above 100 GeV are expected in FASER’s magnets; scaling
1558 the single-muon simulation time by the expected multiplicity (30), this corresponds to ap-
1559 proximately 250 CPU hours on a single-core PC to simulate twenty times the expected
1560 background sample. FASER should require only a token allocation of shared capacity on
1561 the CERN batch system to meet its computing needs.

1562 **XV. OVERALL COST AND SCHEDULE**

1563 **A. Cost**

1564 The overall cost of the experiment hardware, to be borne by the FASER collaboration,
 1565 is summarized in Table XVI. The costs for each major subsystem are itemized in the corre-
 1566 sponding sections above. Spares are shown separately in the table, as in some cases these
 1567 can be shared between different systems. To ensure adequate funding a contingency of 20%
 1568 will be included on top of the costs shown. The cost estimates for the main infrastructure
 1569 work that is assumed to be borne by CERN is detailed in Table XVII.

1570 **B. Schedule**

1571 Discussions on accommodating the various elements of FASER’s project schedule within
 1572 the master schedule for LS2 are currently ongoing and should be completed before the LHCC
 1573 meeting in late November 2018.

1574 Preparation of the TI12 tunnel (removing ventilation pipes, installing lights) must happen
 1575 early in 2019 to allow the civil engineering work to proceed. Magnet construction (the

Detector component	Cost [kCHF]	Detailed Table
Magnet	420	Table V
Tracker Mechanics	66	Table VI
Tracker Services	105	Table VII
Scintillator Trigger & Veto	52	Table VIII
Calorimeter	13	Table IX
Support structure	60	Table X
Trigger & Data Acquisition	52	Table XIV
Total	768	-
Spares	55	-

TABLE XVI. Overall budget for FASER experiment hardware. The TDAQ system includes the readout for all detectors (including the Tracker).

Work	Cost [kCHF]
Civil Engineering	83
Transport	55
Optical Fiber & Network Connection	TBD
Power Connection	10
Compressed Air Connection	6
Preparation of TI12	TBD
Total	> 160

TABLE XVII. Budget for infrastructure work whose cost is assumed to be borne by CERN. Preparation of TI12 includes removal of ventilation tubes and cable trays, installation of lighting and installation of temporary ventilation and water. The cost for most of these are in the process of being determined.

1576 other main schedule driver) will progress in parallel during 2019, along with many detector
1577 construction and commissioning tasks on the surface. The target for installation and testing
1578 of the transport tooling, followed by detector installation, is mid-2020, before the LHC is re-
1579 filled with Helium. After installation, commissioning the detector *in situ* (first with access,
1580 and then without) will become the main activity.

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