

Radiation effects in the CMS phase 1 pixel detector

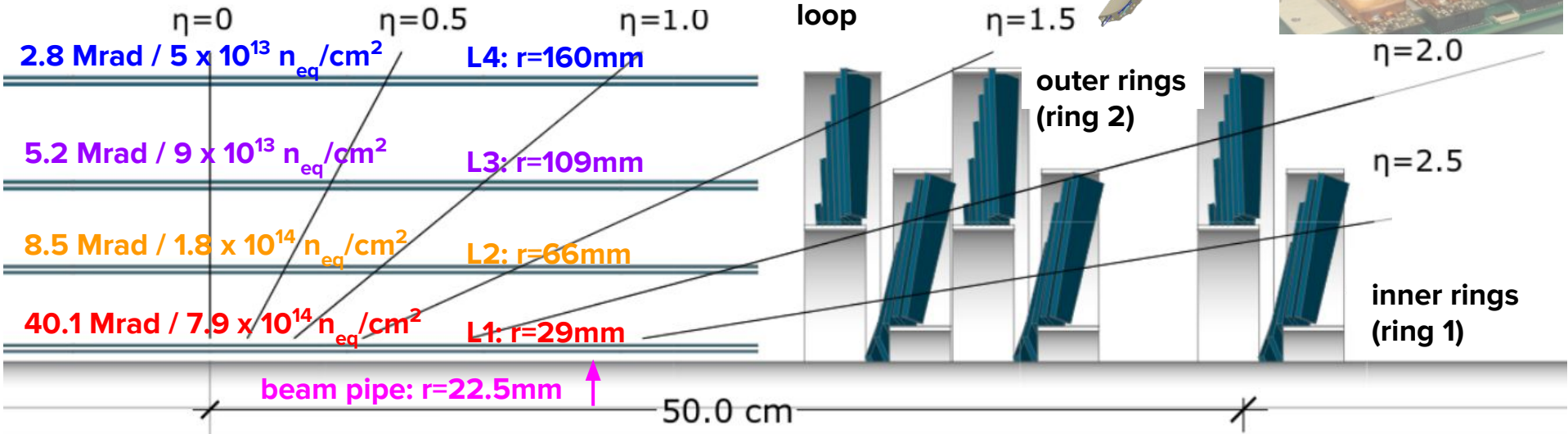
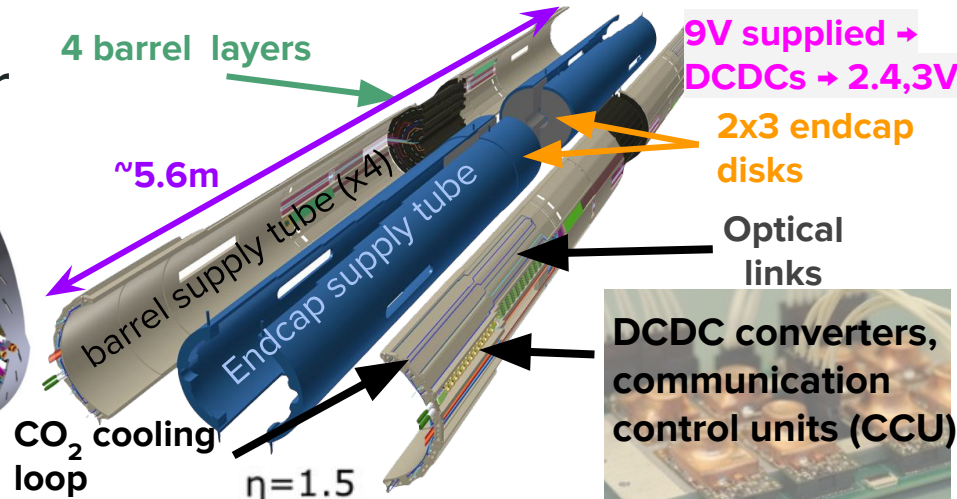
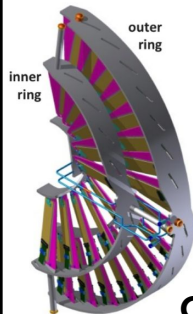
Jory Sonneveld on behalf of the CMS tracker group

Photo courtesy of Erik Butz

jory.sonneveld@cern.ch

CMS phase 1 pixel detector

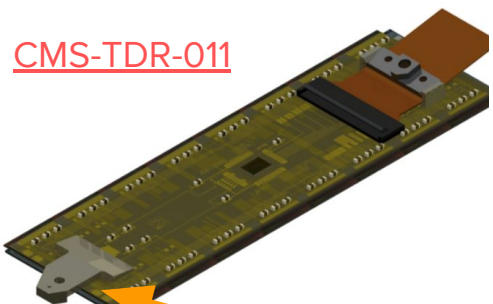
- 4 hit coverage and high-rate capability
- Turbine-like structure for endcap disks for optimal resolution
- CO₂ cooling for reduced material
- DCDC converters to keep same powering services → 9V supplied
- Uniform module design throughout



Module design

Readout chips (ROCs):
80x52pixels, 250nm CMOS
ASIC, pulse height **digital**
readout

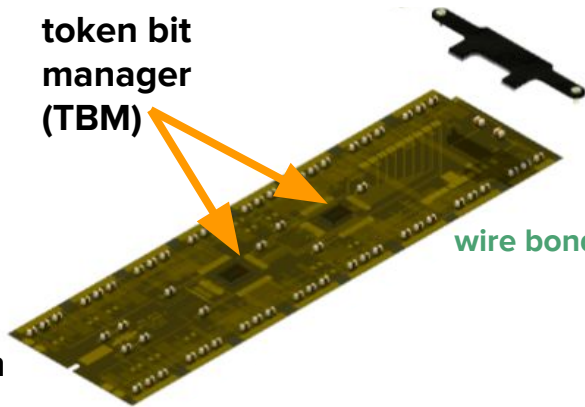
[CMS-TDR-011](#)



end holder for fixation

token bit
manager
(TBM)

mounting clamp



wire bonded

high density
interconnect
(HDI)

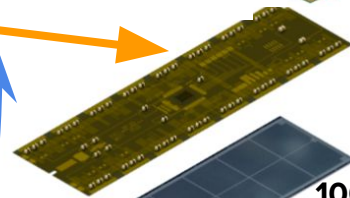
glued

n⁺-in-n
285 μm
sensors

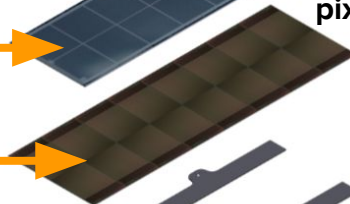
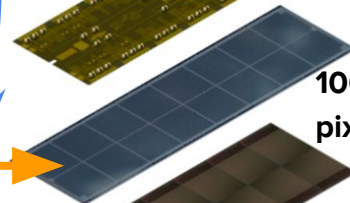
bump bonded

2x8 ROCs

micro twisted pair cable



100x150 μm²
pixel size



base strips for
fixation

Endcap disks 1-3

- 672 modules
- 40-100 MHz/cm²
- PSI46dig: column drain
- 2 TBM readout channels
- Functions up to 150 Mrad

Barrel layer 1

- 96 modules
- 580 MHz/cm²
- PROC600: dynamic cluster column drain
- 8 TBM readout channels
- Functions up to 480 Mrad

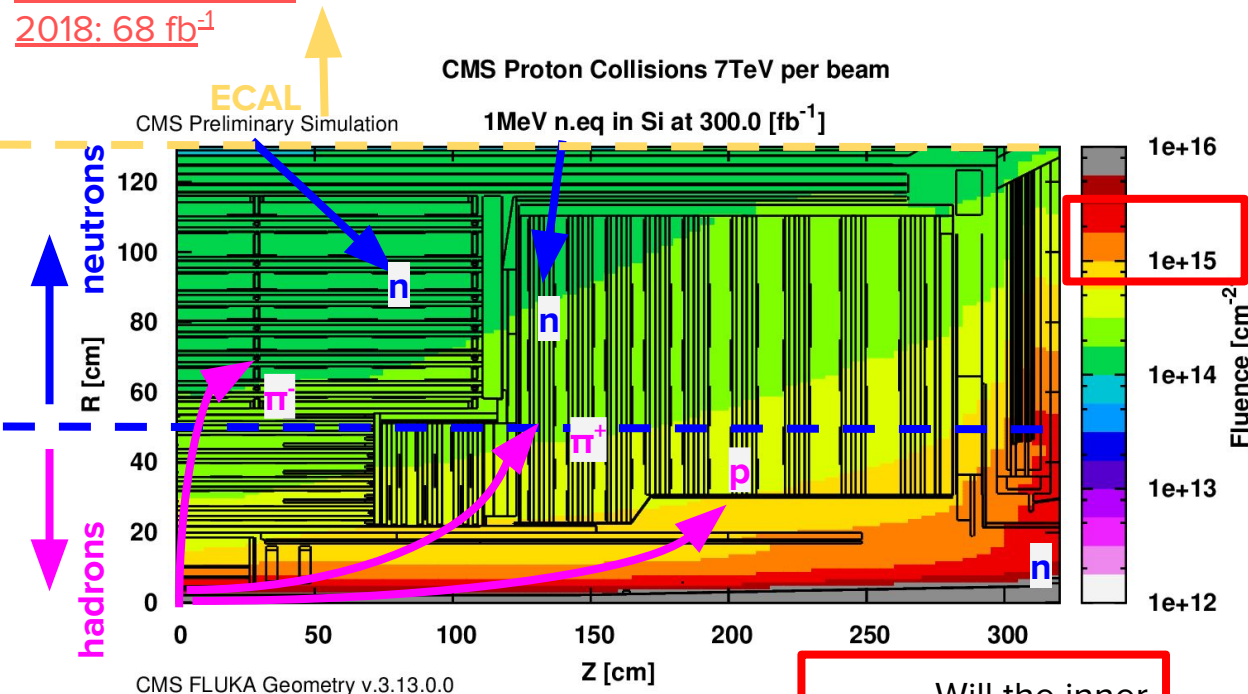
Barrel layer 2-4

- 1088 modules
- 40-100 MHz/cm²
- PSI46dig: column drain
- 2 TBM readout channels (L3,L4) / 4 TBM readout channels (L2)
- Functions up to 150 Mrad

LHC: a challenging environment

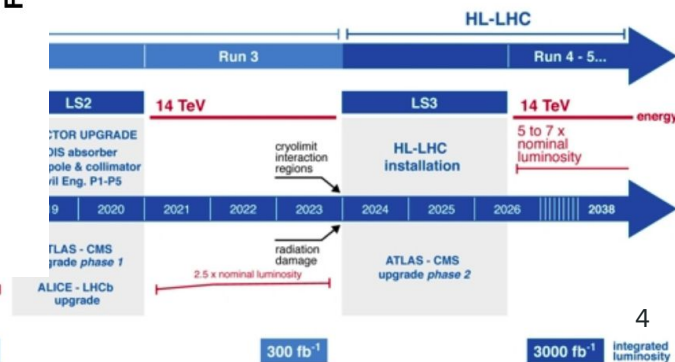
2017+2018: 118 fb⁻¹

2018: 68 fb⁻¹



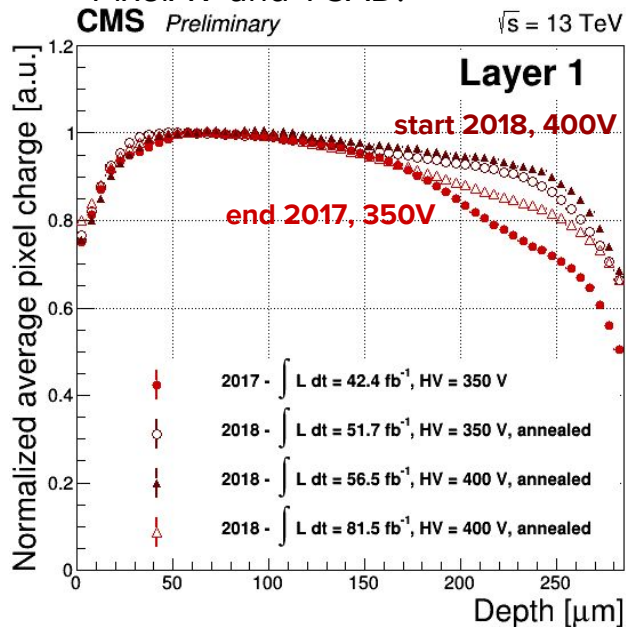
Radiation effects are challenging for operations and performance:

- Damage and single event upsets (SEUs) in electronics
 - ➔ false signals, chip damage
- Bulk defects cause change of space charge distribution
 - ➔ bias voltage increase
- Increasing leakage currents and heat dissipation
- Charge trapping in sensor:
 - ➔ decreasing charge collection efficiency



Impact on performance and data

- Radiation effects clearly seen in Lorentz angle evolution and pixel charge profile
- Effects can be reduced by increasing bias voltage and performing annealing
- Decrease in charge collection efficiency taken into account in simulation using PixelAV and TCAD.



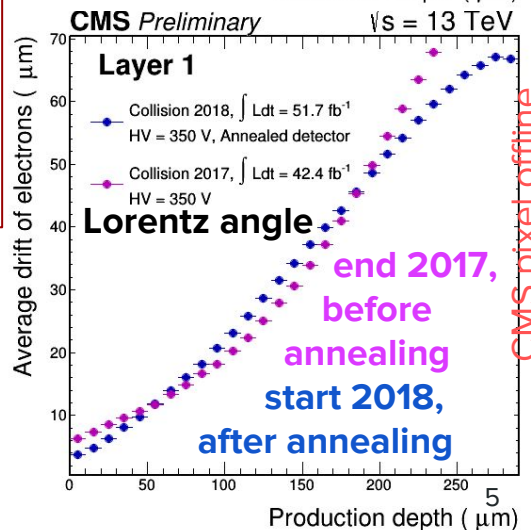
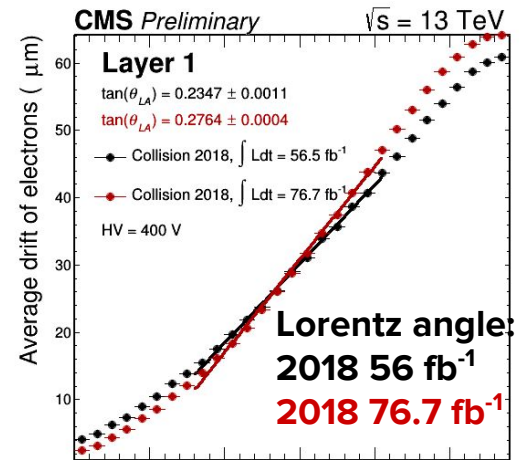
Normalized average pixel charge vs sensor depth:

- flat for unirradiated sensors
- increase for 350 \rightarrow 400V and annealing
- decrease with further irradiation

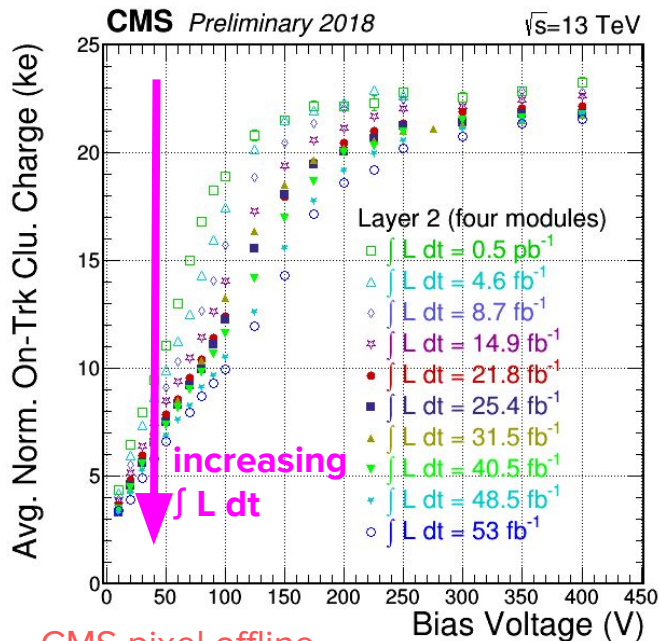
Thresholds:

Endcaps: 1500 e^-
 L2-4 1200 e^-
 L1: 2100 e^-

Relatively constant over time



Radiation effects on depletion voltage

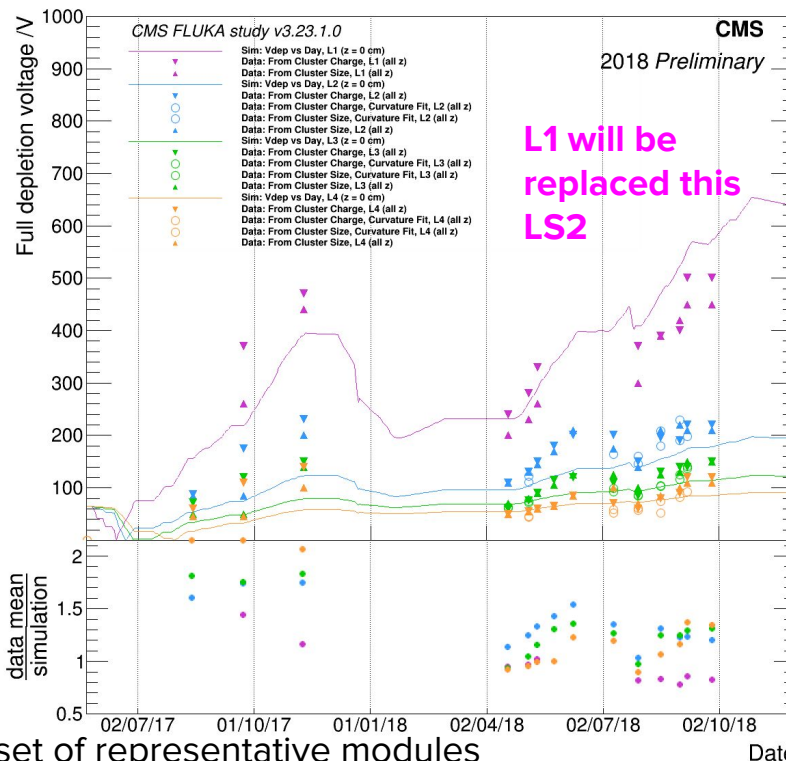


CMS pixel offline

Regular sensor bias voltage scans on subset of representative modules

Simulation with effective space charge Hamburg model ($E_{\text{eff}}=1.21$ eV), fluence from DPMJet + FLUKA 3.23.1.0

Phase-1 Pixel - Full depletion voltage vs days

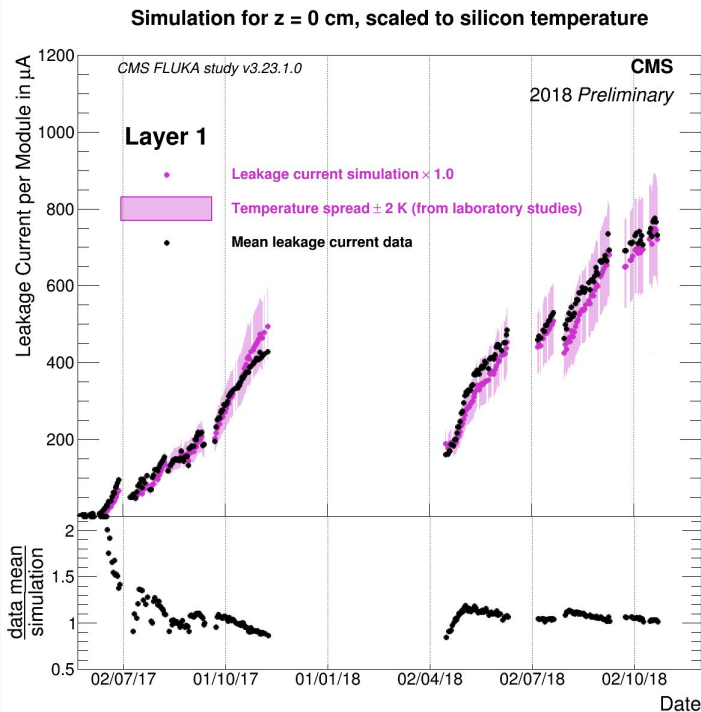


Run 3 depletion voltages expected to be within power supply limit of 800V.

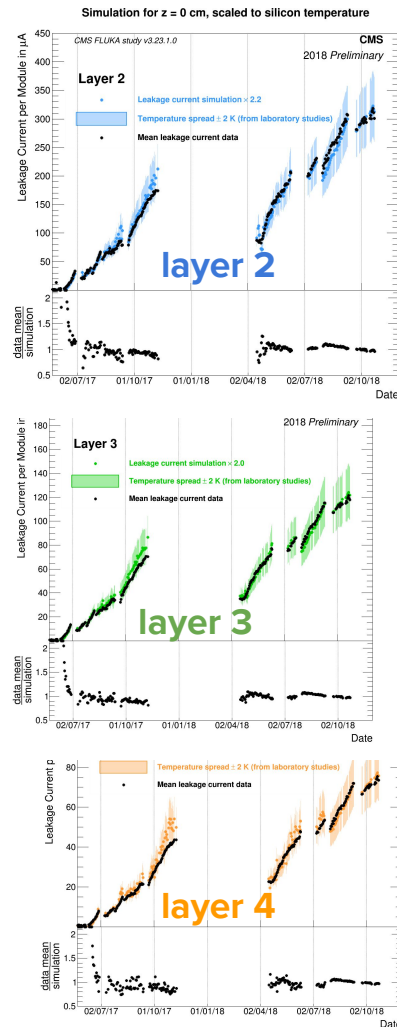
Operational voltages at end of run 2:
L1: 450V
L2: 300V
L3-L4: 250V
Ring 1: 350V
Ring 2: 300V

Leakage currents

- Leakage currents in L2-4 underestimated by about a factor of 2
- Main uncertainties from temperature modeling and particle generator + FLUKA → work on improvements in modeling foreseen
- Prediction for run 3: safely below the operational limit of the power supplies of 20mA.



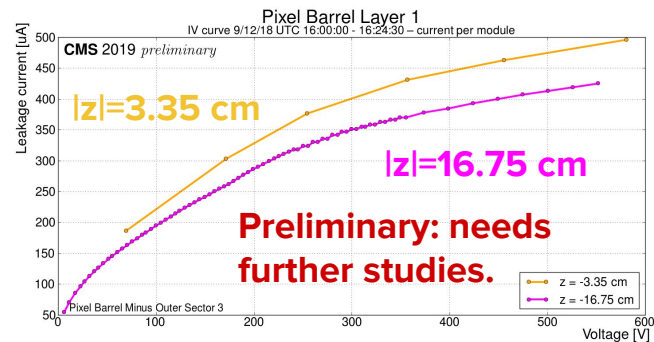
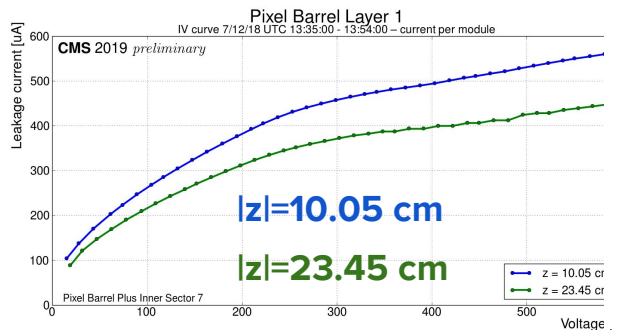
[CMS pixel operations](#)



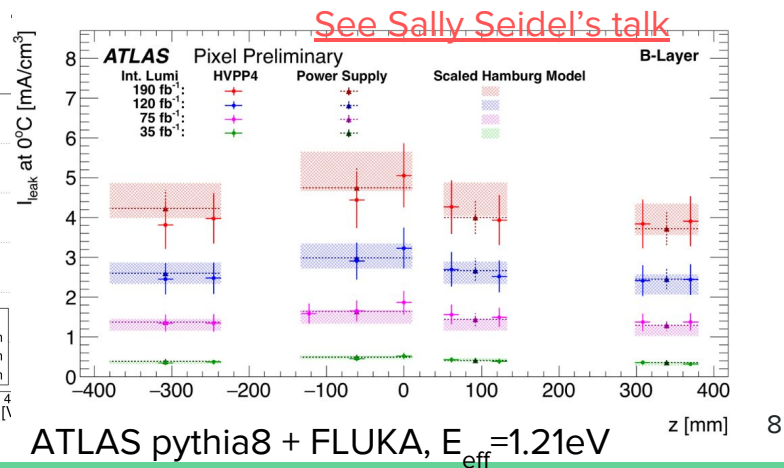
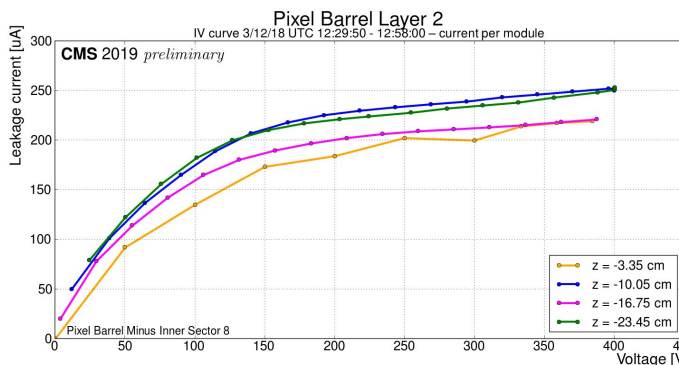
Leakage currents vs z

Leakage current seems consistently higher for lower z.

ATLAS sees a similar effect.



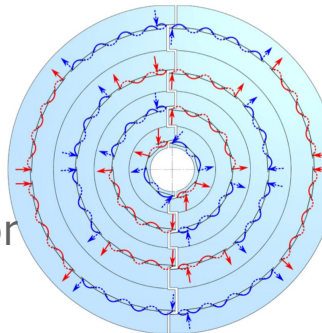
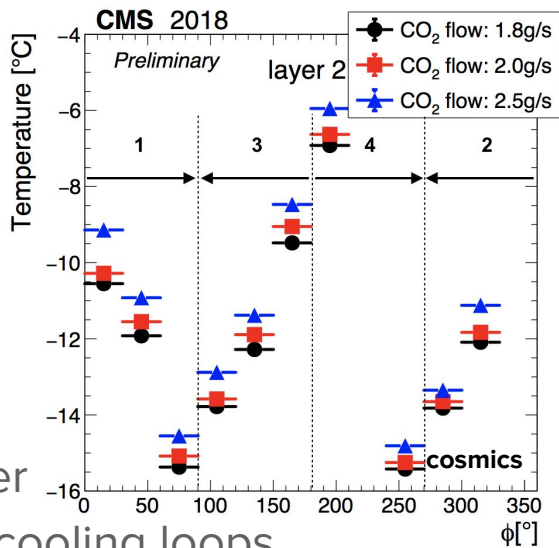
No effect seen on layers other than innermost:



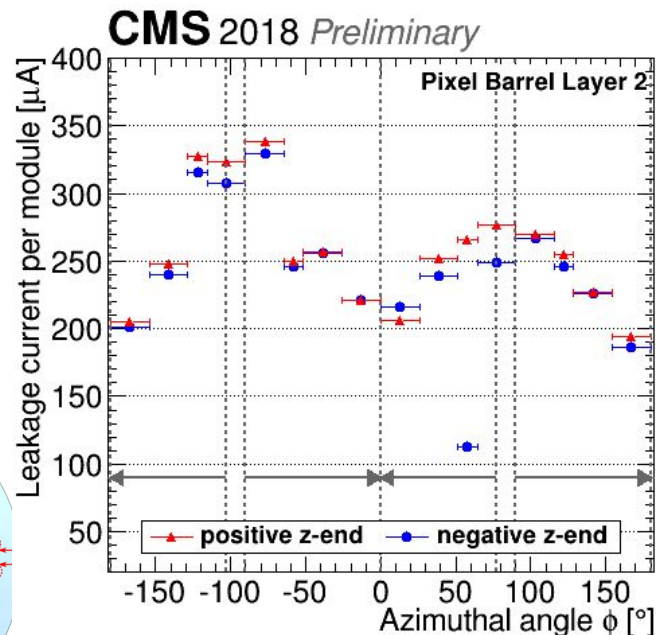
CO₂ cooling

Important to understand detector temperatures for radiation effects:

- ✓ -22°C, option to go lower
- ✓ 1.7mm ø stainless steel cooling loops
- ✓ wall thickness 50µm
- ✓ very lightweight
- ✗ gradient of 4-5K along cooling loop
- ✗ efficient cooling but **no efficient heating** which can be problematic for targeted **annealing or safety**

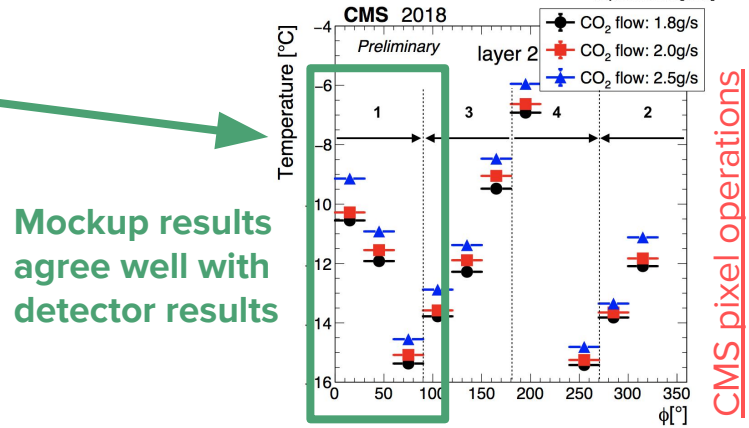
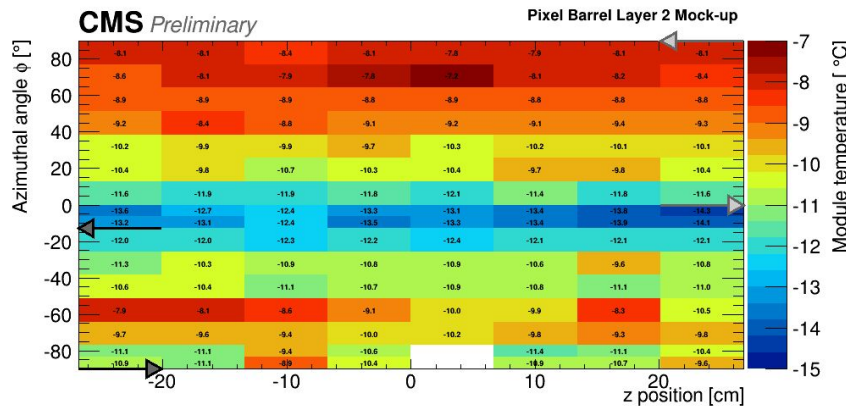
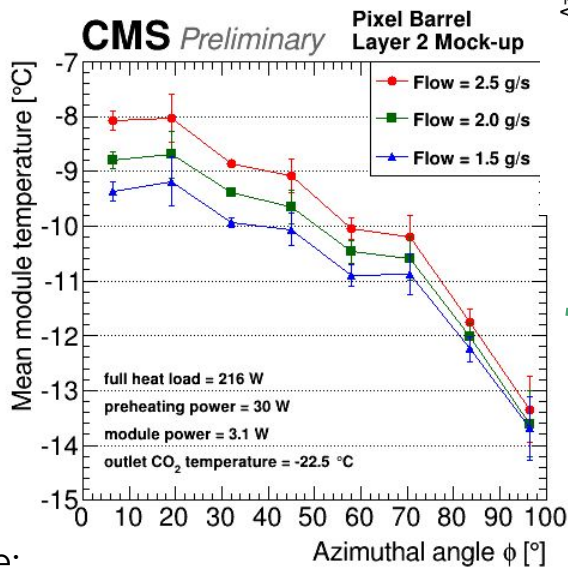


Increasing the flow did decrease some gradient but still a **non-negligible pressure drop** along cooling loop: under investigation



Temperature studies with a mockup of layer 2

Realistic layer 2
half-shell mechanics
and CO₂ cooling with
adjustable heat load
on pseudo-modules
with temperature
sensors



Mockup results agree well with detector results

CMS pixel operations

Thermal mockup indispensable:
 → temperature modeling is a large uncertainty for simulation
 → few temperature sensors in the detector

Radiation effects in electronics

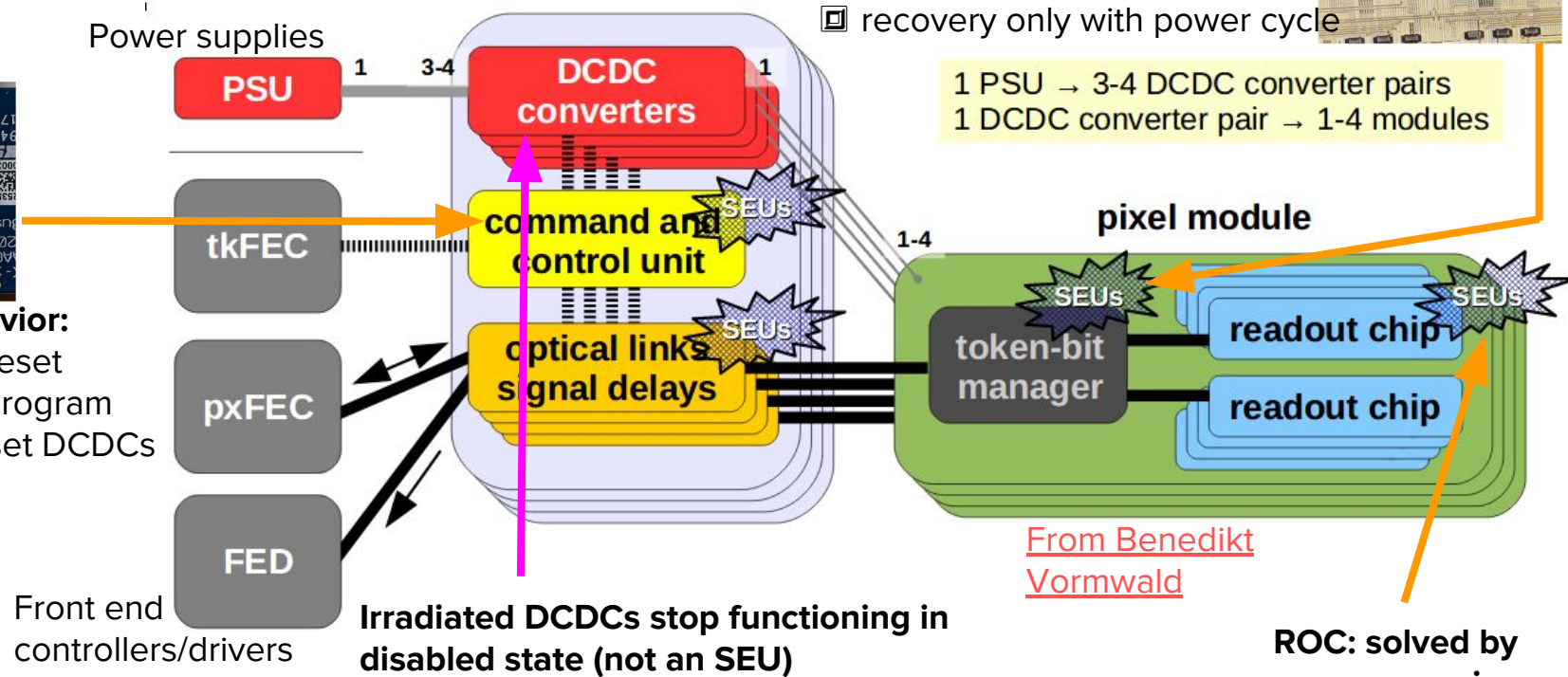


CCU misbehavior:

- ☐ $1/300\text{pb}^{-1}$ reset portcard \rightarrow reprogram
- ☐ $1/\text{month}$ reset DCDCs

From Klaas Padeken

Compensation for chip radiation effects in offline reconstruction

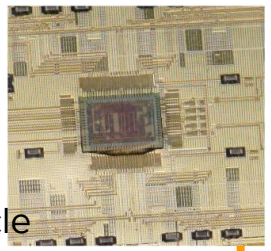


Irradiated DCDCs stop functioning in disabled state (not an SEU)

- ☐ 63/1216 at end of 2017 stopped functioning
- ☐ 333/1216 in 2017 found to have high current

TBM

- ☐ $30/\text{fb}^{-1}$ in L1 transistor in TBM flipflop sets TBM to 'no readout' mode: "**stuck TBMs**"
- ☐ recovery only with power cycle



1 PSU \rightarrow 3-4 DCDC converter pairs
1 DCDC converter pair \rightarrow 1-4 modules

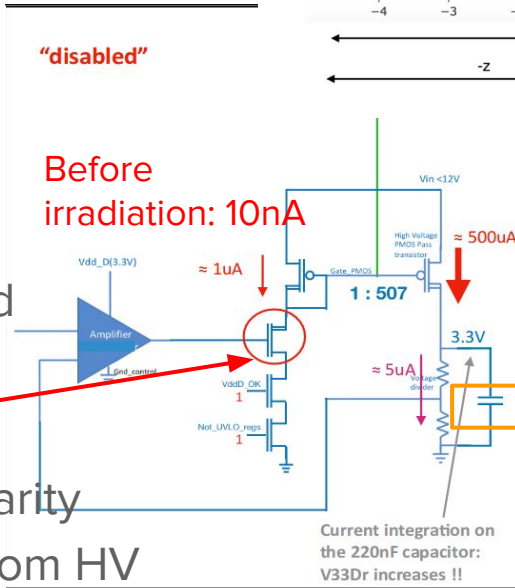
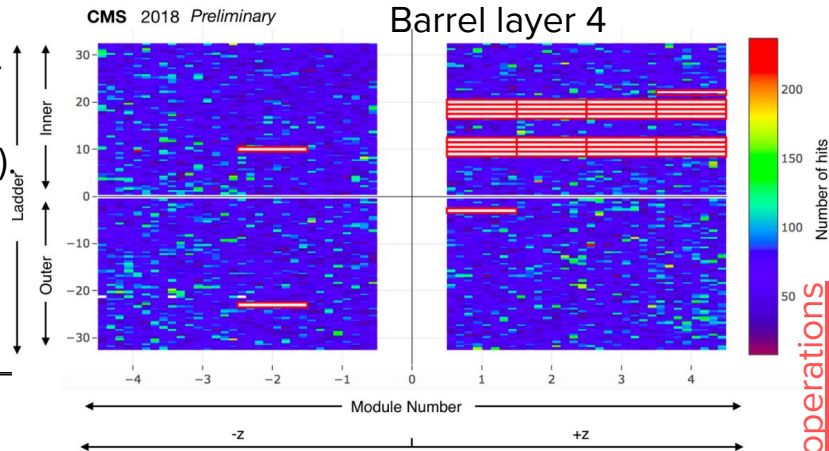
From Benedikt Vormwald

ROC: solved by reprogramming

DCDCs

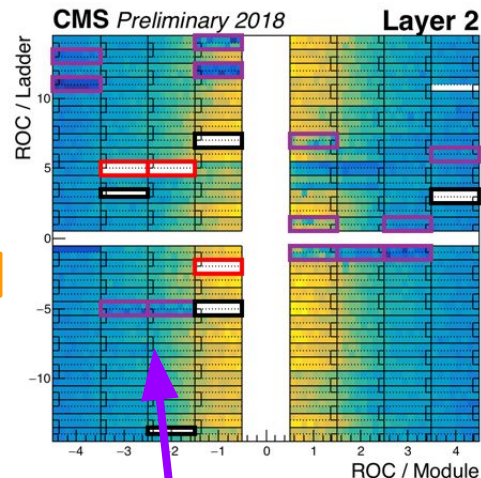
- SEU in transistor in TBM flipflop sets TBM to 'no readout' mode → powercycle TBM
- Powercycled with DCDCs (lowest amount of modules) in 2017
- Increased leakage current in DCDCs after irradiation causes charging up of capacitor in disabled state ← design mistake in layout around one transistor:
- High and low voltage group granularity not the same: damaged modules from HV on where DCDCs were broken → LV off.

Disconnected DCDCs: for safety switched off entire power supply (LV and HV)
No DCDC broke in 2018!



“disabled”
 Before irradiation: 10nA

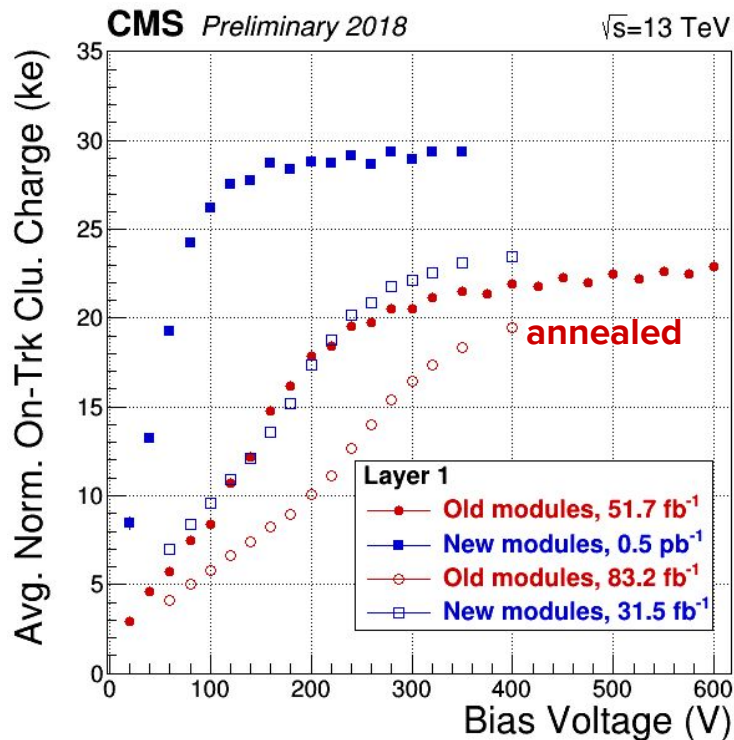
From Federico Faccio



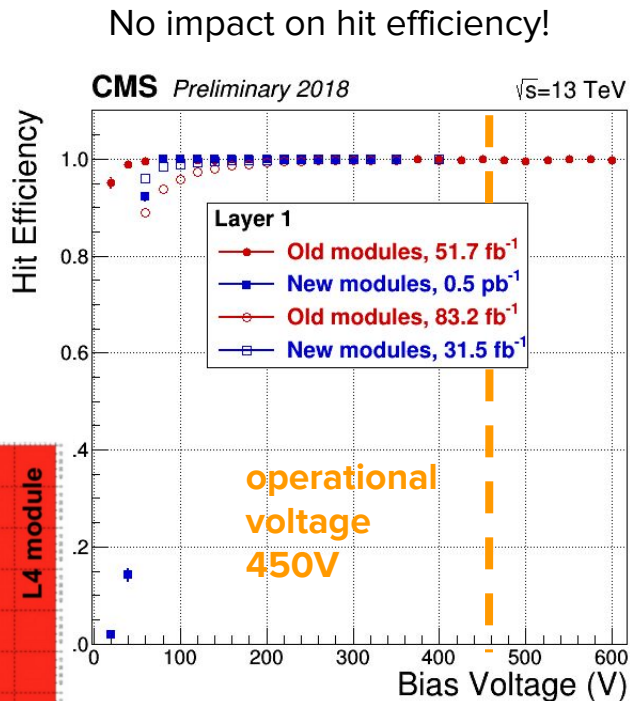
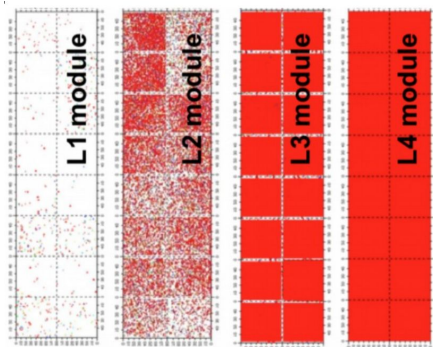
CMS pixel operations
 CMS pixel offline

Damaged modules behind failed DCDCs in 2017

Radiation effects: on-track cluster charge



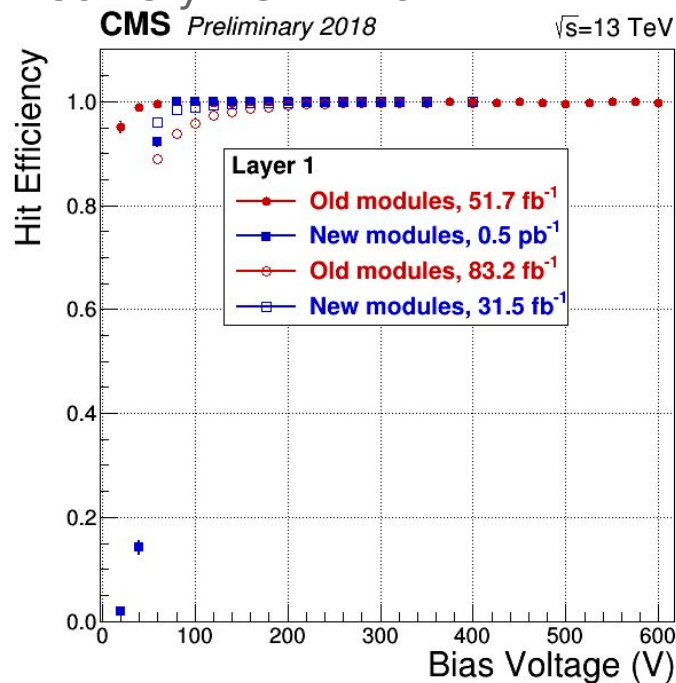
In long shutdown
2017-2018: replaced
6 of 8 layer 1
modules damaged
by high voltage
leakage current



CMS pixel offline

Summary and lessons learned

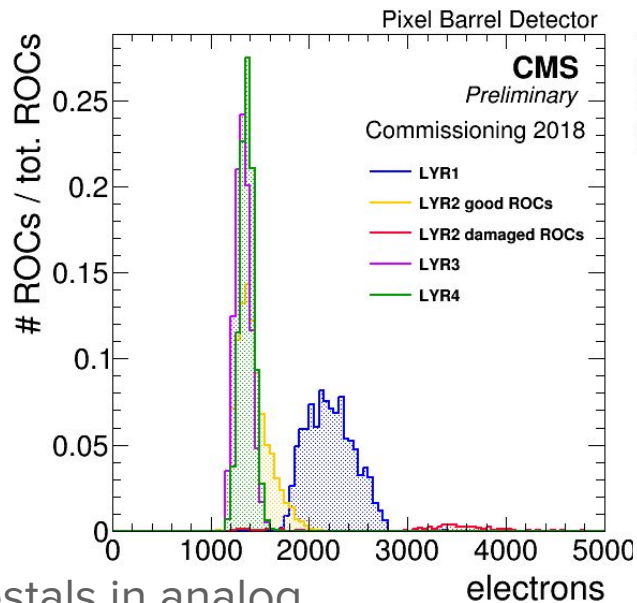
- Successful commissioning of CMS pixel detector since start of 2017
- Despite many challenges, pixel detector performed very well in 2017 and 2018
- Good to have test setups to study:
 - CO₂ cooling with adjustable heat load for understanding
 - module temperatures
 - radiation effects
- HV and LV granularity: Ideally the same
- Monitoring temperatures, chip properties important for studying radiation effects



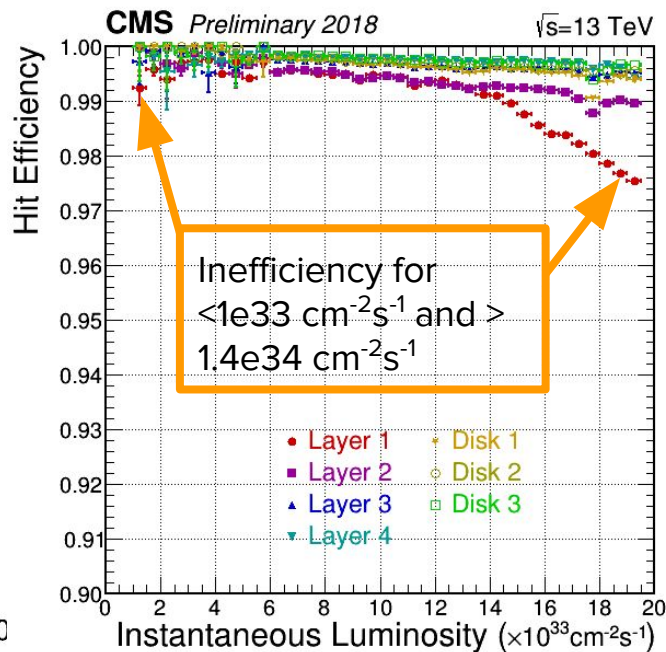
Spares

Inner layer chip: crosstalk, inefficiency, timing

- Layer 1 timing very different from layer 2
- High thresholds resulting from crosstalk and large timewalk
- Inefficiency at high and low rates
- Distribution of pedestals in analog pulse height large, ~80 ADC units



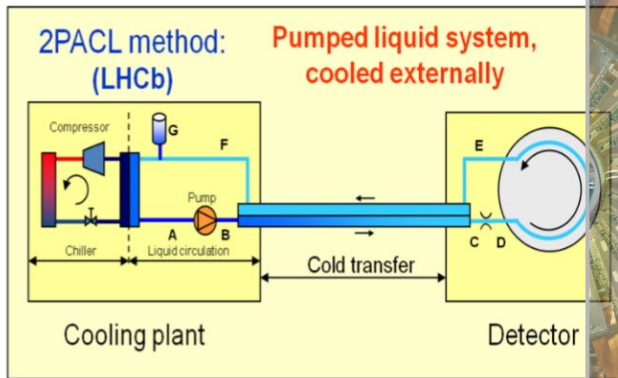
CMS pixel operations



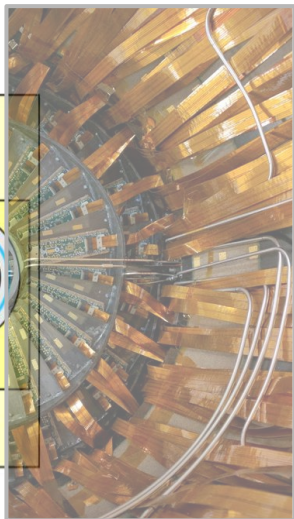
CMS pixel offline

All solved/addressed in next L1 chip version.

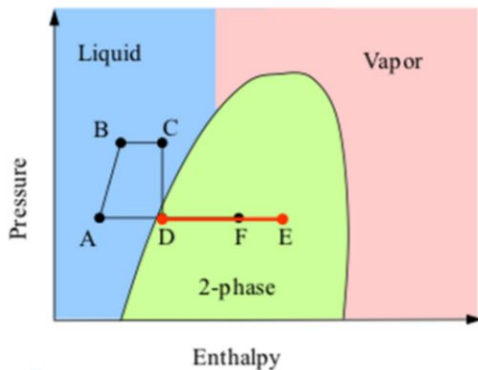
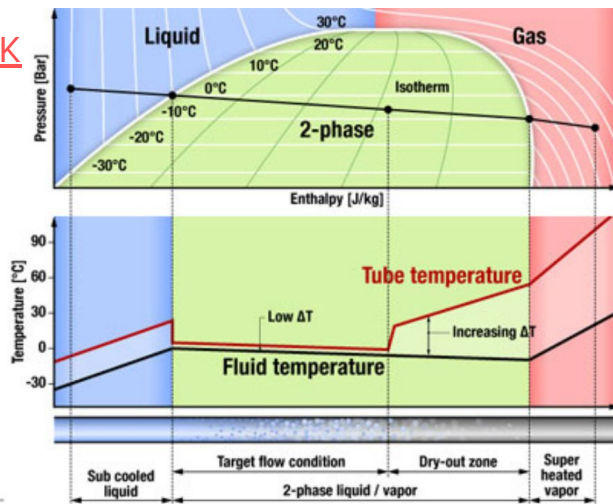
CO₂ cooling



CMS-TDR-011

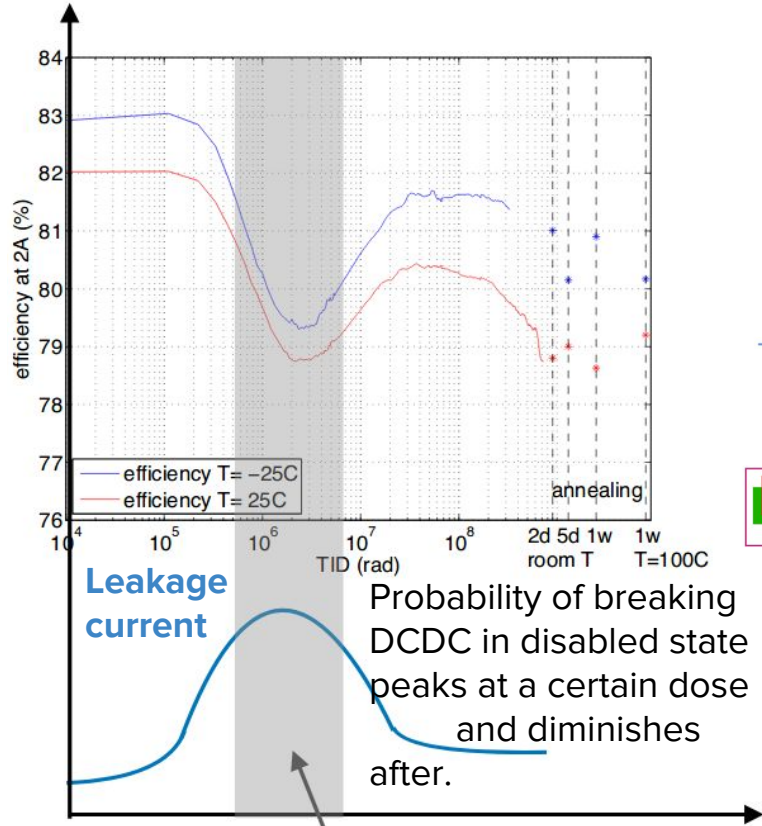


From KEK

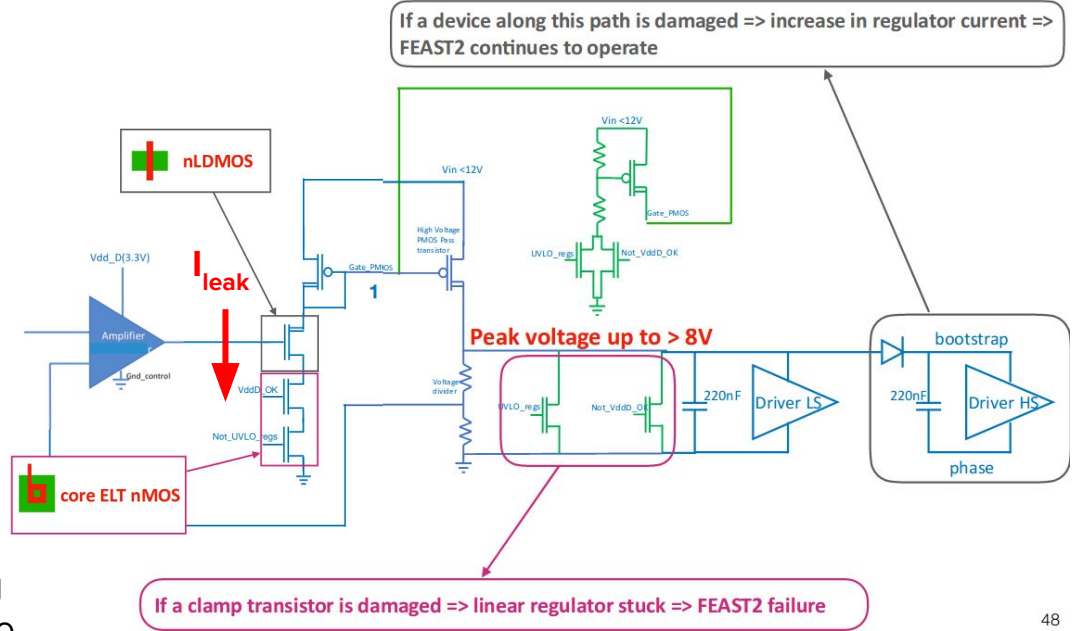


- A→B:** CO₂ pressure is increased for transfer to the experiment
- B→C:** temperature increases from heat exchange with returning CO₂
- C→D:** pressure inside the detector is reduced to reach onset of evaporation
- D→E:** heat from the detector is absorbed
- E→F:** CO₂ liquid/vapor mixture condensates on incoming colder CO₂ pipe
- F→G:** CO₂ is cooled with main chiller
- G:** temperature in detector is regulated with pressure in accumulator:
- D-G low impedance system with \sim constant pressure back to D

DCDC malfunctioning



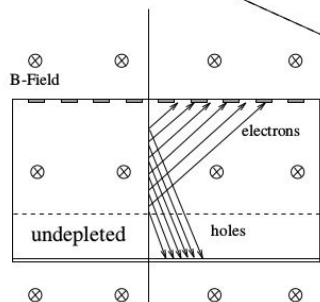
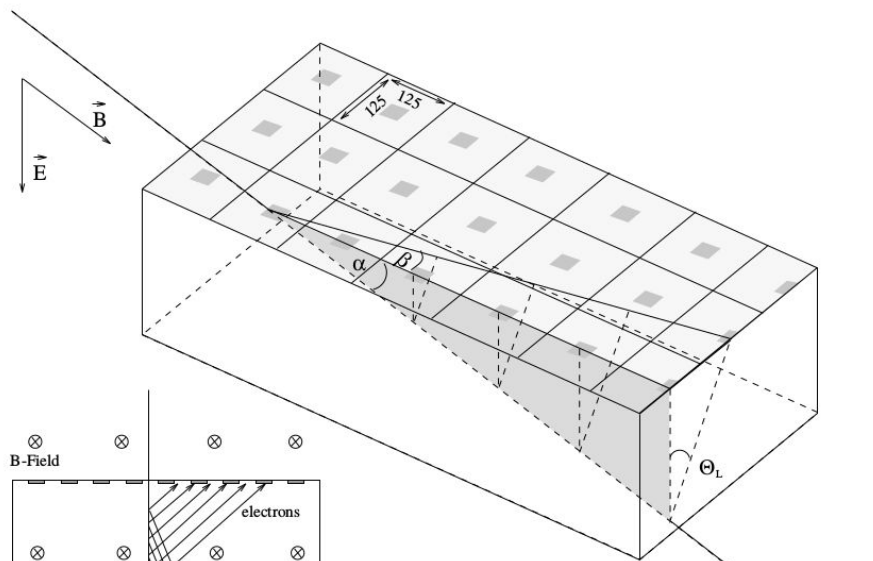
Enclosed layout (ELT) vs linear transistors → can 'cut' leakage current path by adding ELT in series



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Capacitor charges up in disabled state and causes spikes beyond 3.3V

Cluster charge vs depth: grazing angle method



Drift direction of electrons and holes from different depths

From Henrich and Kaufmann

α = grazing angle
 β = mean arrival position
 (mean surface charge deflection)

$\Theta_L = \arctan(\tan(\beta)/\tan(\alpha)) =$
 Lorentz angle

- Ionizing particles arriving at shallow angle create pixel “signal street”
- Each pixel in the street has a charge from part of the track → from a certain depth segment
- Compute drift and depth from track and pixel position and α , β
- Project 3D histogram of drift, depth, and charge along drift path to obtain charge vs depth.