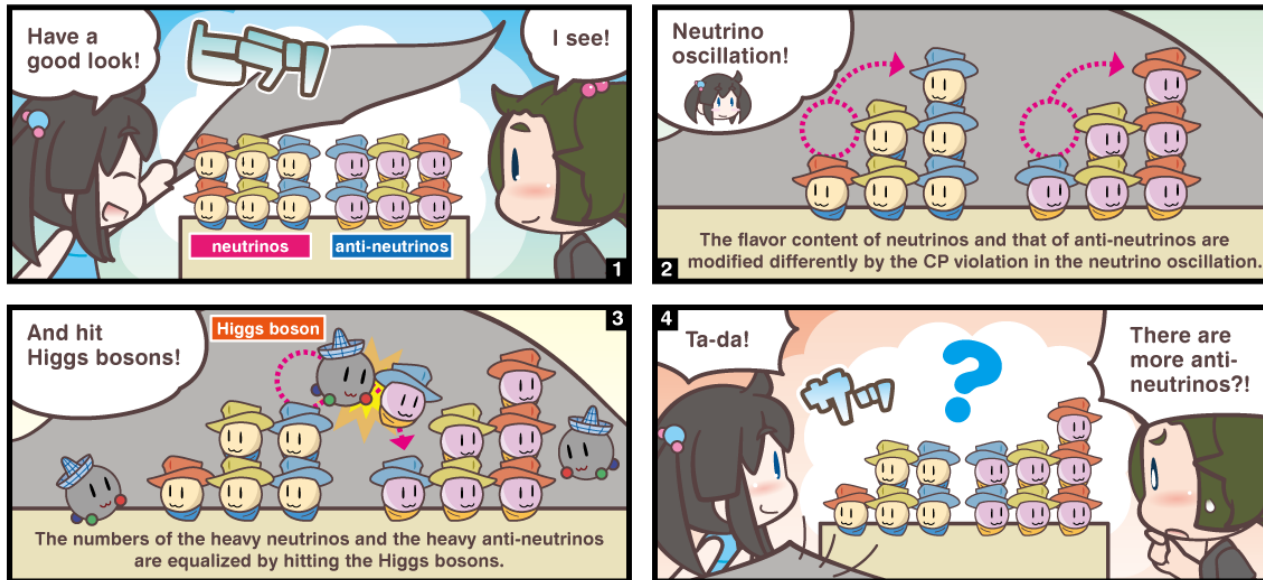


Baryogenesis via active neutrino oscillation

Wen Yin, KAIST in Korea

Neutrino Magic!



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More anti-neutrinos than neutrinos?
 Starting with the same numbers of neutrinos and anti-neutrinos, some magic under the cloth created an imbalance between them. This CP violating phenomenon, if it has really happened in the early Universe, give the reason for the Universe being made of matter rather than anti-matter.

comic by Yuki Akimoto,
higgstan.com

1. Introduction

How to generate the baryon asymmetry?

Sakharov's conditions

**Baryon/Lepton number violation*

**C and CP violation*

**Out of thermal equilibrium*

Unfortunately, the SM does not sufficiently satisfy...

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$$- \frac{\kappa_{ij}}{2} (\bar{l}_i^c P_L l_j) H H + \text{h.c.}$$

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**C and CP violation* ✓

Neutrino oscillation can provide CP violation. Observed at 2sigma level.

**Out of thermal equilibrium*

[T2K Collaboration, 1701.00432](#)

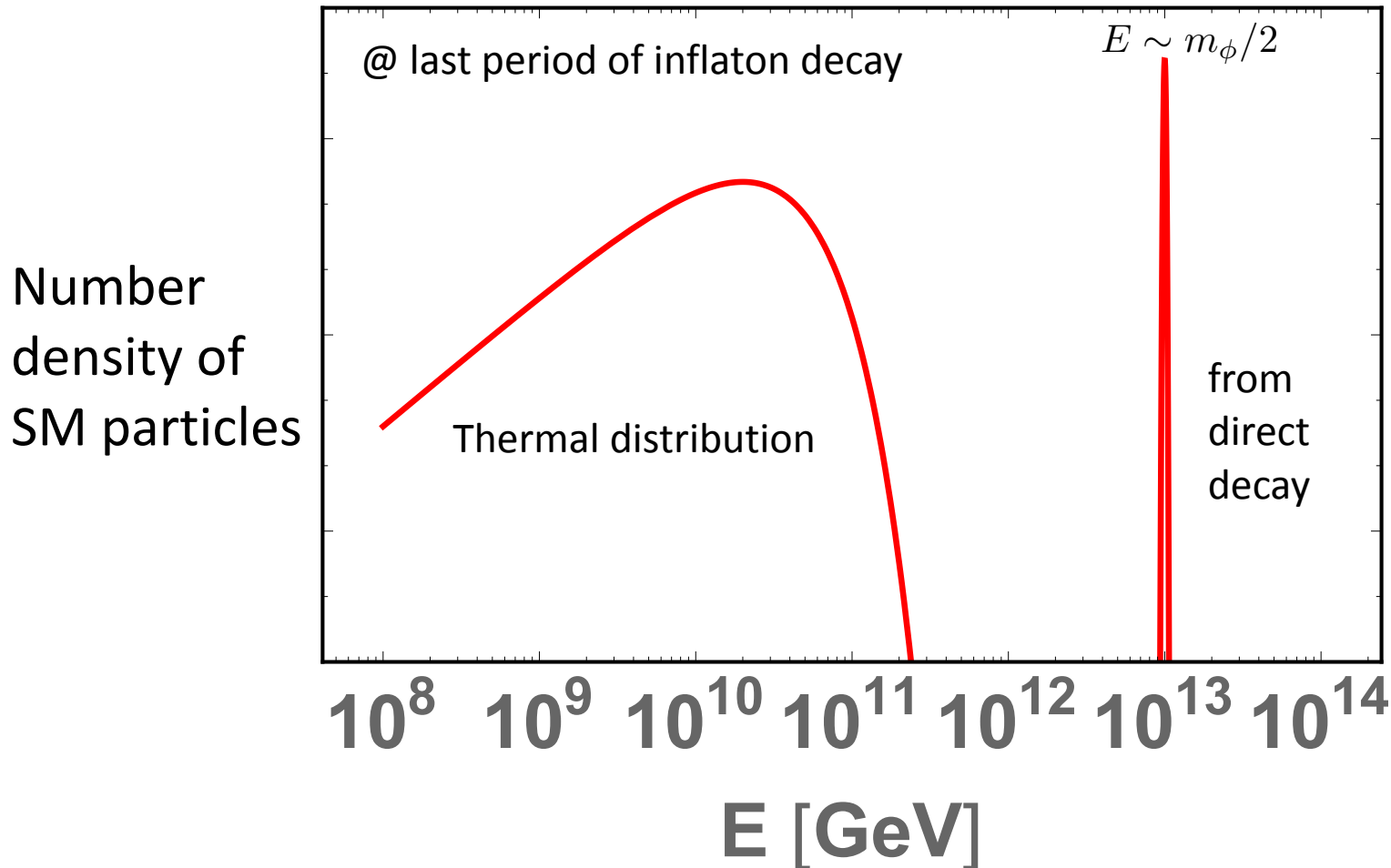
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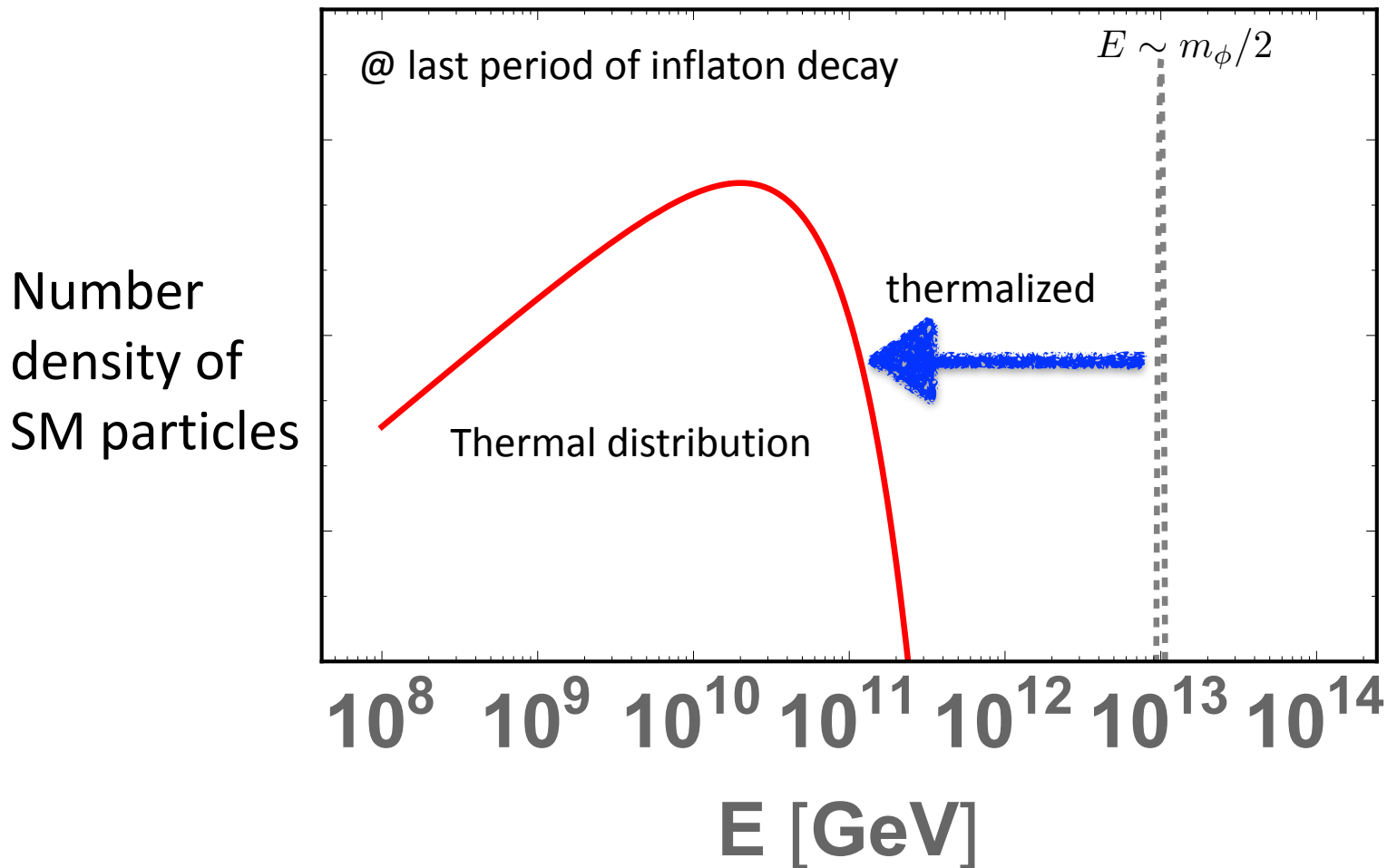
Big bang is a one way process

Inflaton decay: $\phi \rightarrow \text{SM particles}$



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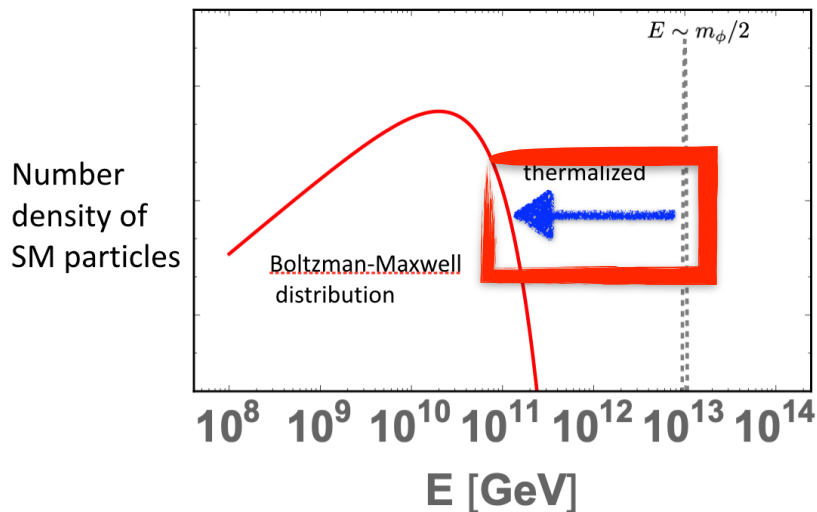


What I will show

Setup:

$$\mathcal{L} = \mathcal{L}_{SM} - \frac{\kappa_{ij}}{2} (\bar{l}_i^c P_L l_j) H H + \text{h.c.}$$

Baryogenesis due to active neutrino oscillation during the reheating/thermalization.



Leptogenesis from active neutrino oscillation with two higher dimensional terms.
[Kitano, Hamada 1609.05028.](#)

c.f. Leptogenesis with light enough right-handed neutrinos.
[Fukugida, Yanagida, 86; Pilaftsis, 97;](#)
[Akhmedov et al, 98;](#)

2. Neutrino Oscillation at big bang

$$\phi \rightarrow \nu_{\text{ini}} + X, \bar{\nu}_{\text{ini}} + \bar{X}$$

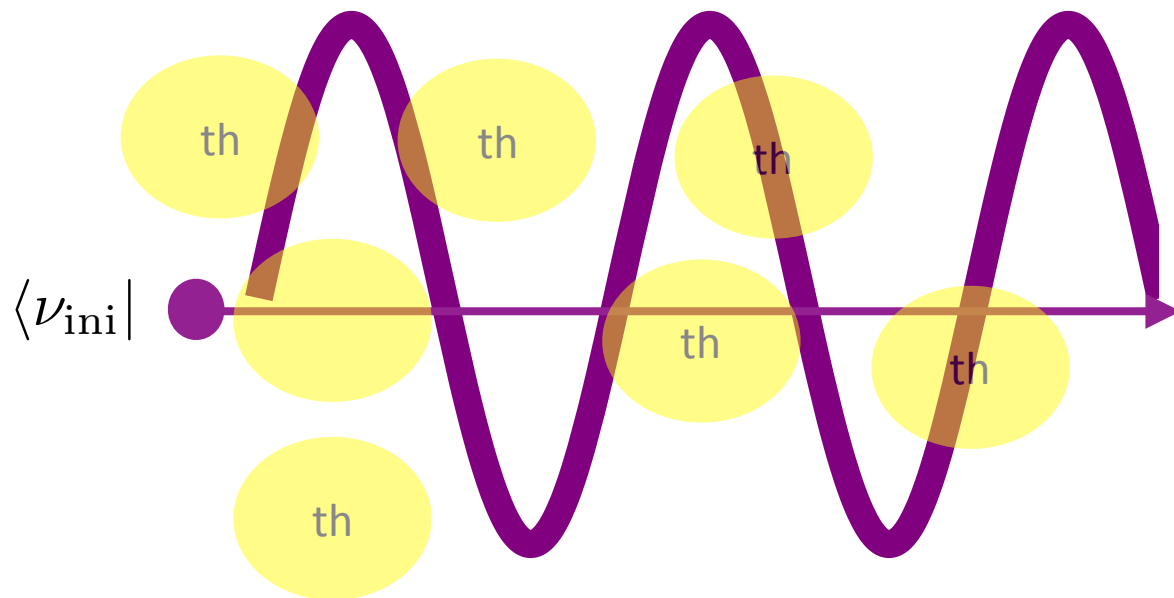


$$P_{\text{ini} \rightarrow I} \simeq \left| \sum_{\alpha} \langle \nu_{\text{ini}} | \nu_{\alpha} \rangle e^{it_{\text{MFP}} (m_{\nu_{\alpha}}^{\text{th}})^2 / k} \langle \nu_{\alpha} | \nu_I \rangle \right|^2$$

c.f. $P_{e \rightarrow \mu} \simeq \left| \sum_{\alpha} \langle \nu_e | \nu_{\alpha} \rangle e^{it_{\text{MFP}} m_{\nu_{\alpha}}^2 / k} \langle \nu_{\alpha} | \nu_{\mu} \rangle \right|^2$ @ vacuum

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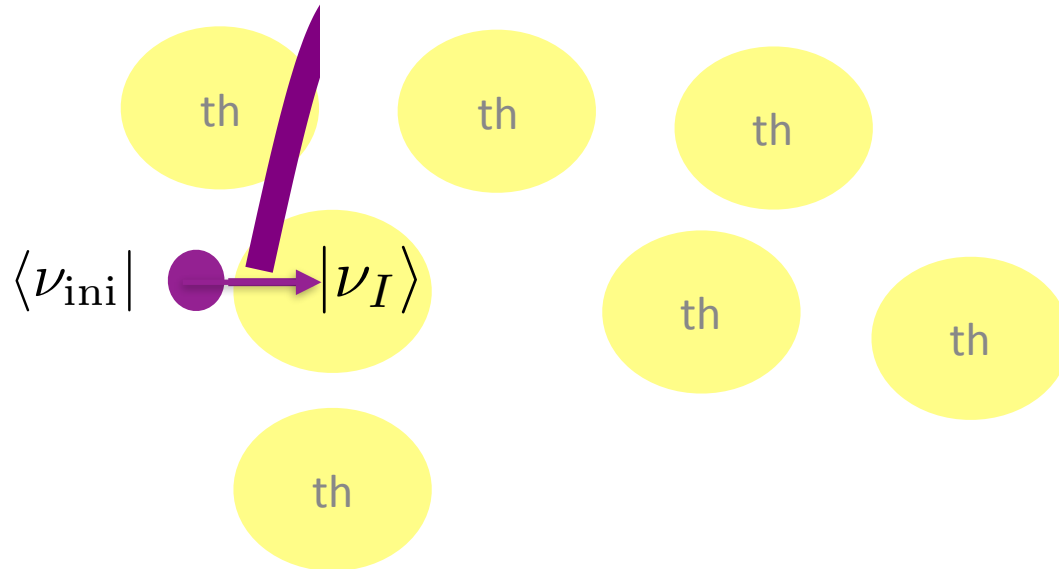


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Neutrino Oscillation provides CP violation

$$P_{\text{ini} \rightarrow I} \simeq \left| \sum_{\alpha} \langle \nu_{\text{ini}} | \nu_{\alpha} \rangle e^{it_{MFP} (m_{\nu_{\alpha}}^{\text{th}})^2 / k} \langle \nu_{\alpha} | \nu_I \rangle \right|^2$$

$$t_{MFP} \sim \frac{1}{\alpha_2^2 T} \sqrt{\frac{k}{T}} \quad (m_{\nu, \alpha}^{\text{th}})^2 = \text{eigen} \left[\frac{y_i^2 T^2}{16} \delta_{ij} + 0.046 (\kappa^* \kappa)_{ij} T^4 \right] + C \delta_{ij}$$

$$P_{\text{ini} \rightarrow I} - P_{\text{ini} \rightarrow \bar{I}} \propto \frac{\Delta(m_{\nu}^{\text{th}})^2}{k} t_{MFP} \sim 0.01 \sqrt{T/k}$$

c.f. $P_{e \rightarrow \mu} - P_{\bar{e} \rightarrow \bar{\mu}} \propto \sin[t \Delta m_{\nu}^2 / k]$ @vacuum

Oscillation phase is not too small at the reheating era.

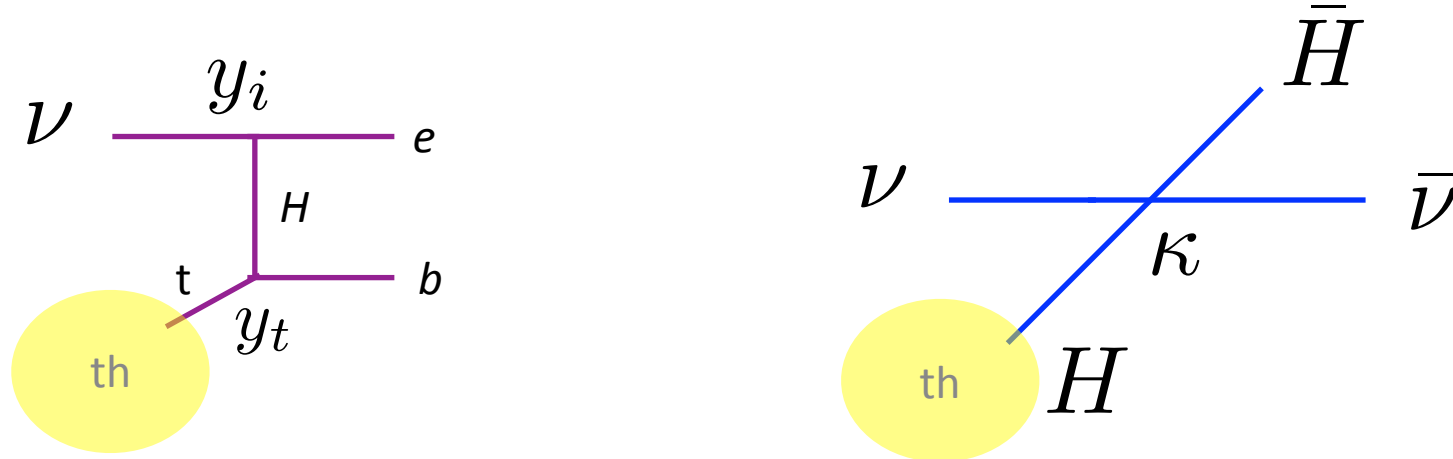
(In fact it is even larger than the naive estimate by factor $\sqrt{k/T}$)

How to observe the “flavor”?

$$P_{\text{ini} \rightarrow I} \simeq \left| \sum_{\alpha} \langle \nu_{\text{ini}} | \nu_{\alpha} \rangle e^{it_{\text{MFP}} (m_{\nu_{\alpha}}^{\text{th}})^2 / k} \langle \nu_{\alpha} | \nu_I \rangle \right|^2$$

Only flavor dependent process can identify the flavor.

“Observation” is made due to the following interaction process.



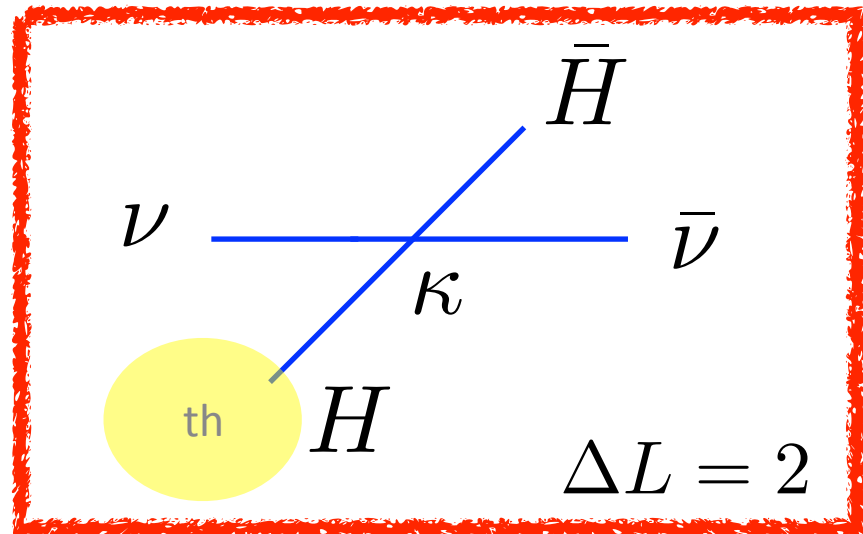
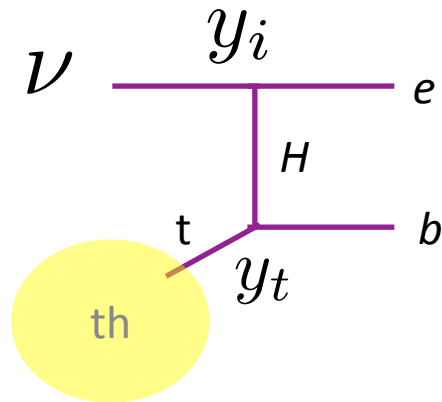
$|\nu_I\rangle$ is the state defined by the interaction.

Lepton number violation happens through “observation”.

$$P_{\text{ini} \rightarrow I} \simeq \left| \sum_{\alpha} \langle \nu_{\text{ini}} | \nu_{\alpha} \rangle e^{it_{\text{MFP}} (m_{\nu_{\alpha}}^{\text{th}})^2 / k} \langle \nu_{\alpha} | \nu_I \rangle \right|^2$$

Only flavor dependent process can identify the flavor.

“Observation” is made due to the following interaction process.



Lepton asymmetry can be made!

The (naive) estimation of lepton asymmetry

$m_\phi \sim T \ll 10^{12} \text{ GeV}$ with certain CP phase

$$\frac{\Delta n_L}{s} \propto Br_{\phi \rightarrow \nu_{\text{ini}} + X / \overline{\nu_{\text{ini}}} + \overline{X}} \times \underbrace{t_{MFP} \frac{\Delta m_\nu^2}{T}}_{\text{CP violation}} \times \underbrace{\frac{\sigma_{llHH}^{\text{th}}}{\sigma_{\text{yukawa}}^{\text{th}}}}_{\text{lepton \# violation}}$$

— Flavor dependent asymmetry of order $\frac{\Delta m_{\text{th}}^2}{T} \frac{1}{\Gamma_{\text{th}}} \sim 0.01$

— How frequently the flavor is observed by the llHH interaction.

$$\frac{\sigma_{llHH}^{\text{th}}}{\sigma_{\text{yukawa}}^{\text{th}}} \sim \frac{\Delta m_\nu^2 / v^4 T^2}{y_\tau^2 y_t^2}$$

The (naive) estimation of lepton asymmetry

$$m_\phi \sim T \ll 10^{12} \text{ GeV}$$

$$\frac{\Delta n_L}{s} \propto Br_{\phi \rightarrow \nu_{\text{ini}} + X / \bar{\nu}_{\text{ini}} + \bar{X}} \times 10^{-9} \left(\frac{T_R}{10^9 \text{ GeV}} \right)^2$$

c.f. required asymmetry $|\Delta n_L / s| \sim 10^{-10}$

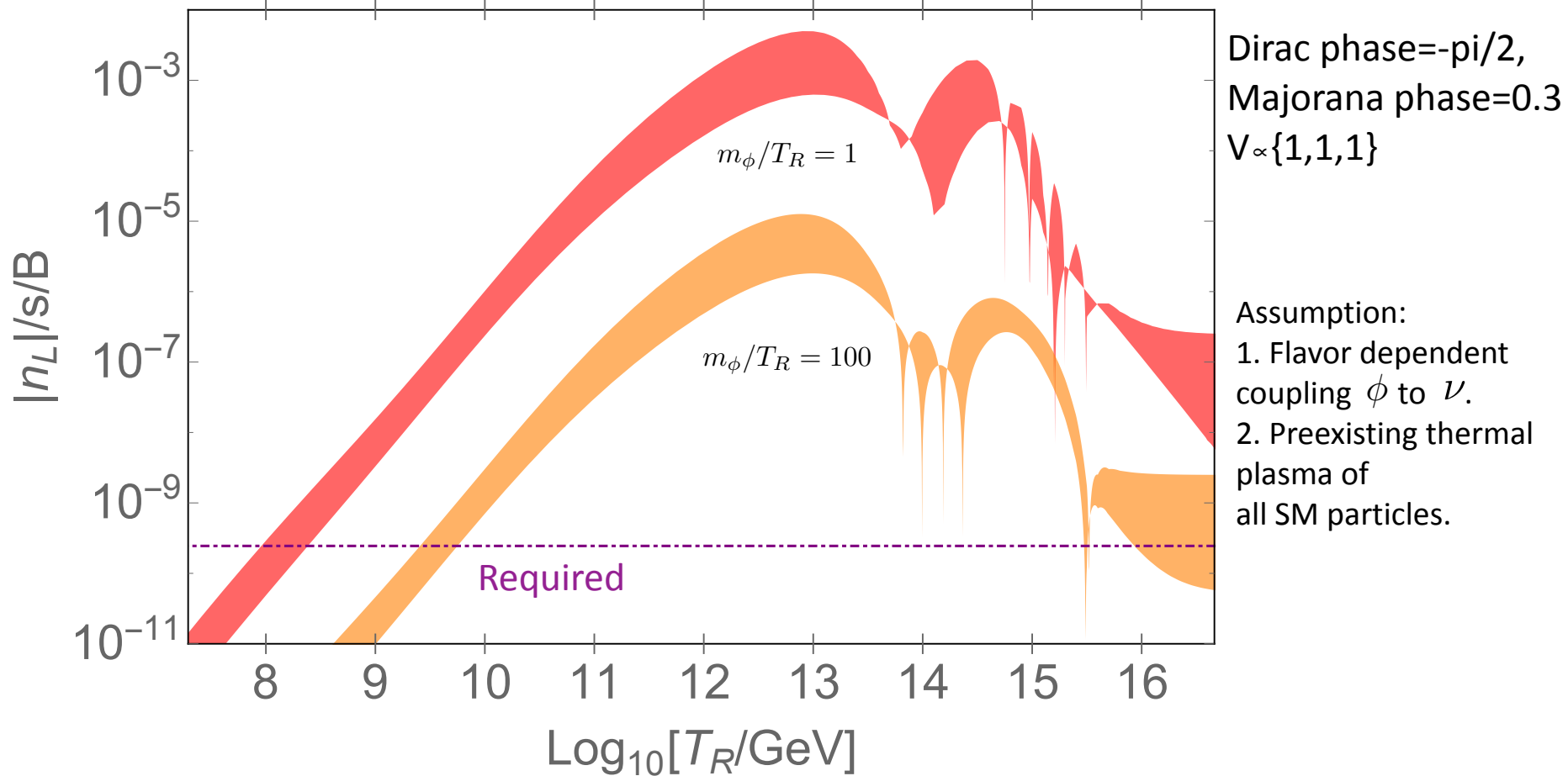
Enough asymmetry can be made for sufficiently high reheat temperature.

For a precise prediction or more complicated processes, we need a systematic approach.

3. Numerical result (Normal Hierarchy)

By solving kinetic equations, [Sigl, Raffelt, 1993](#)

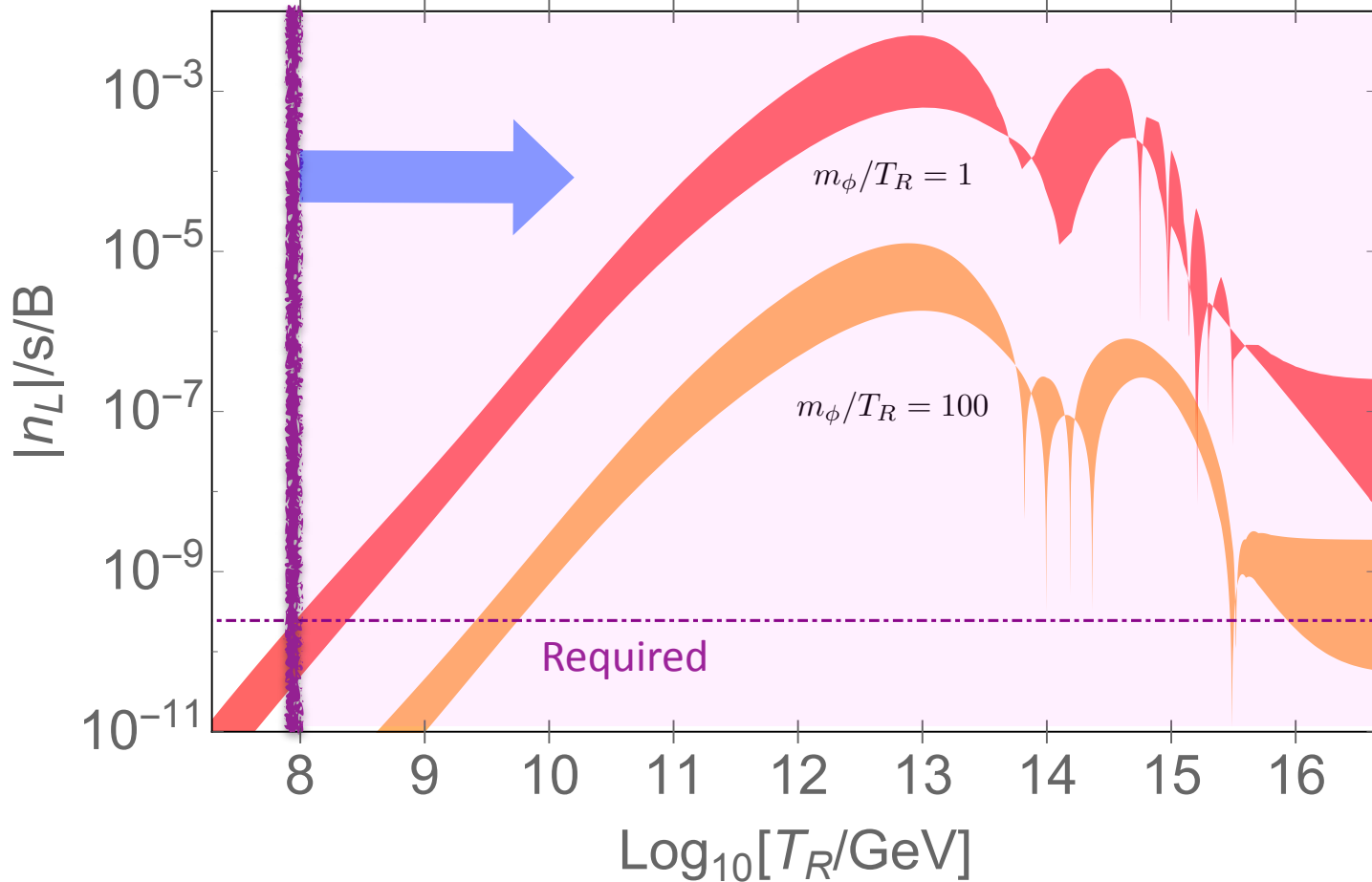
we get



3. Numerical result (Normal Hierarchy)

By solving kinetic equations, [Sigl, Raffelt, 1993](#)

we get



Dirac phase= $-\pi/2$,
Majorana phase= 0.3
 $V \propto \{1,1,1\}$

Assumption:

1. Flavor dependent coupling ϕ to ν .
2. Preexisting thermal plasma of all SM particles.

Baryogenesis can be successful for $T_R \gtrsim 10^8 \text{ GeV}$ due to active ν oscillation during thermalization.

Summary

Kitano, Hamada, WY 1807.06582

Baryon asymmetry explained within SM with

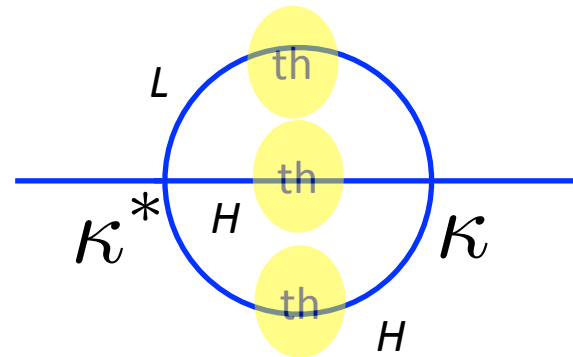
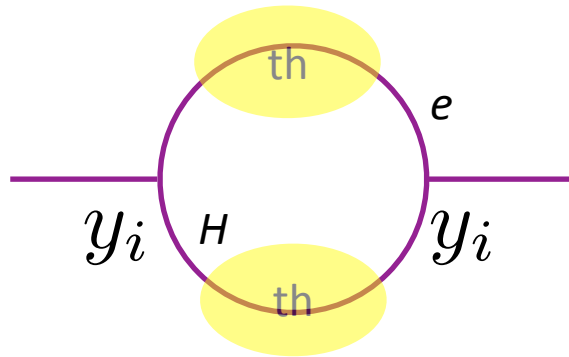
$$- \frac{\kappa_{ij}}{2} (\bar{l}_i^c P_L l_j) H H + \text{h.c.}$$

- Required reheat temperature $> 10^8 \text{ GeV}$.
- The scenario can be tested in future neutrino exps, such as neutrinoless double beta decay exps, especially for inflaton dominantly decays to Higgs. (given in our paper.)

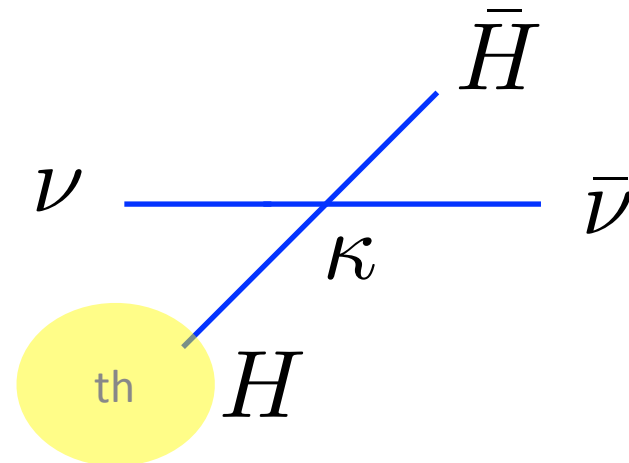
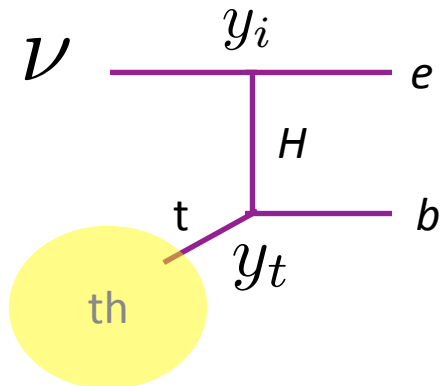
Backups

Mass basis \neq interaction basis!

Mass:



Interaction:

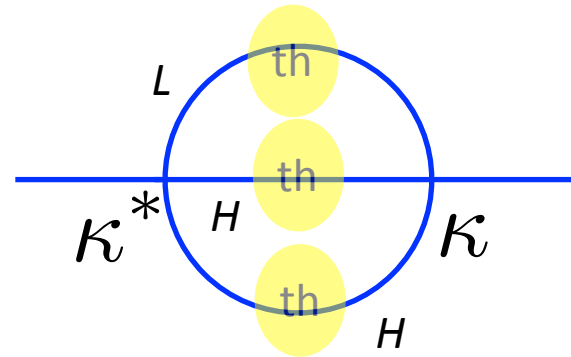
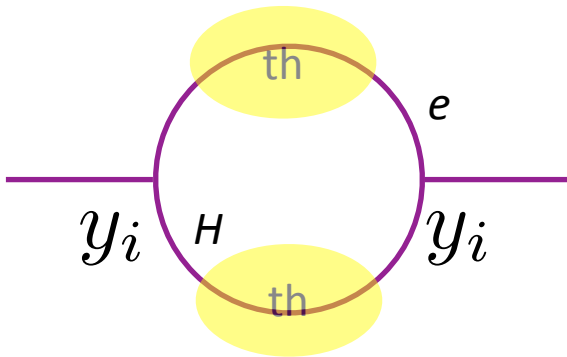


CP violation can take place!

Oscillating frequency during reheating.

$$P_{\text{ini} \rightarrow I} \simeq \left| \sum_{\alpha} \langle \nu_{\text{ini}} | \nu_{\alpha} \rangle e^{it_{\text{MFP}} (m_{\nu_{\alpha}}^{\text{th}})^2 / k} \langle \nu_{\alpha} | \nu_I \rangle \right|^2$$

$$(m_{\nu, \alpha}^{\text{th}})^2 = \text{eigen} \left[\frac{y_i^2 T^2}{16} \delta_{ij} + 0.046 (\kappa^* \kappa)_{ij} T^4 \right] + C \delta_{ij}$$



$|\nu_{\alpha}\rangle$ is the mass eigen state.

Kinetic Equation (Extended Boltzmann Eqs)

density matrix for left-handed leptons $\rho(\mathbf{p}) \equiv \rho_{ij}(\mathbf{p}) \quad i, j = e, \mu, \tau$

$$i \frac{d\rho(\mathbf{p})}{dt} = [\Omega(\mathbf{p}), \rho(\mathbf{p})] - \frac{i}{2} \{\Gamma_{\mathbf{p}}^d, \rho(\mathbf{p})\} + \frac{i}{2} \{\Gamma_{\mathbf{p}}^p, 1 - \rho(\mathbf{p})\},$$

Oscillation term

Interaction terms (with CP phase)

$$\left(i \frac{d\bar{\rho}(\mathbf{p})}{dt} = - [\Omega(\mathbf{p}), \bar{\rho}(\mathbf{p})] - \frac{i}{2} \{\Gamma_{\mathbf{p}}^d, \bar{\rho}(\mathbf{p})\} + \frac{i}{2} \{\Gamma_{\mathbf{p}}^p, 1 - \bar{\rho}(\mathbf{p})\}, \right)^*$$

Hamiltonian: $\Omega_{ij}(\mathbf{p}) \simeq \frac{y_i^2 T^2}{16|\mathbf{p}|} \delta_{ij} + 0.046(\kappa^* \kappa)_{ij} \frac{T^4}{|\mathbf{p}|}, \quad \text{for } |\mathbf{p}| \gtrsim T.$

This is absent in ordinary Boltzmann eqs.

$$\text{tr}[\Omega, \rho] = 0$$

$$\text{tr}[\rho - \bar{\rho}]$$

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$$\begin{aligned}
 i \frac{d\rho(\mathbf{p})}{dt} &= \boxed{[\Omega(\mathbf{p}), \rho(\mathbf{p})]} - \frac{i}{2} \{\Gamma_{\mathbf{p}}^d, \rho(\mathbf{p})\} + \frac{i}{2} \{\Gamma_{\mathbf{p}}^p, 1 - \rho(\mathbf{p})\}, \\
 &\quad \text{Different} \quad \updownarrow \quad \text{Interaction terms (with CP phase)} \\
 \left(i \frac{d\bar{\rho}(\mathbf{p})}{dt} &= \boxed{-[\Omega(\mathbf{p}), \bar{\rho}(\mathbf{p})]} - \frac{i}{2} \{\Gamma_{\mathbf{p}}^d, \bar{\rho}(\mathbf{p})\} + \frac{i}{2} \{\Gamma_{\mathbf{p}}^p, 1 - \bar{\rho}(\mathbf{p})\}, \right)^* \\
 &\quad \text{strong phase}
 \end{aligned}$$

Hamiltonian: $\Omega_{ij}(\mathbf{p}) \simeq \frac{y_i^2 T^2}{16|\mathbf{p}|} \delta_{ij} + 0.046(\kappa^* \kappa)_{ij} \frac{T^4}{|\mathbf{p}|}, \quad \text{for } |\mathbf{p}| \gtrsim T.$

This is absent in ordinary Boltzmann eqs.

Oscillation term generates flavor dependent lepton asymmetry, but $\text{tr}[\Omega, \rho] = 0$ means total asymmetry $\text{tr}[\rho - \bar{\rho}]$ is not generated.

Two scales approximation

Two scales approximation, $p \sim m_\phi, p \sim T$

$$(\rho_{\mathbf{k}})_{ij} = \int_{|\mathbf{p}| \sim m_\phi} \frac{d^3 \mathbf{p}}{(2\pi)^3} \frac{\rho_{ij}(\mathbf{p}, t)}{s},$$

$$(\delta \rho_T)_{ij} = \int_{|\mathbf{p}| \sim T} \frac{d^3 \mathbf{p}}{(2\pi)^3} \left(\frac{\rho_{ij}(\mathbf{p})}{s} - \frac{\rho_{ij}^{\text{eq}}(\mathbf{p})}{s} \right),$$

$$i \frac{d\rho_{\mathbf{k}}}{dt} = [\Omega_{\mathbf{k}}, \rho_{\mathbf{k}}] - \frac{i}{2} \{\Gamma_{\mathbf{k}}^d, \rho_{\mathbf{k}}\},$$

$$i \frac{d\delta \rho_T}{dt} = [\Omega_T, \delta \rho_T] - \frac{i}{2} \{\Gamma_T^d, \delta \rho_T\} + i \delta \Gamma_T^p,$$

Equations to be solved

$$i\frac{d\rho_{\mathbf{k}}}{dt} = [\Omega_{\mathbf{k}}, \rho_{\mathbf{k}}] - \frac{i}{2}\{\Gamma_{\mathbf{k}}^d, \rho_{\mathbf{k}}\},$$

+ eqs of right-handed/anti leptons

$$i\frac{d\delta\rho_T}{dt} = [\Omega_T, \delta\rho_T] - \frac{i}{2}\{\Gamma_T^d, \delta\rho_T\} + i\delta\Gamma_T^p,$$

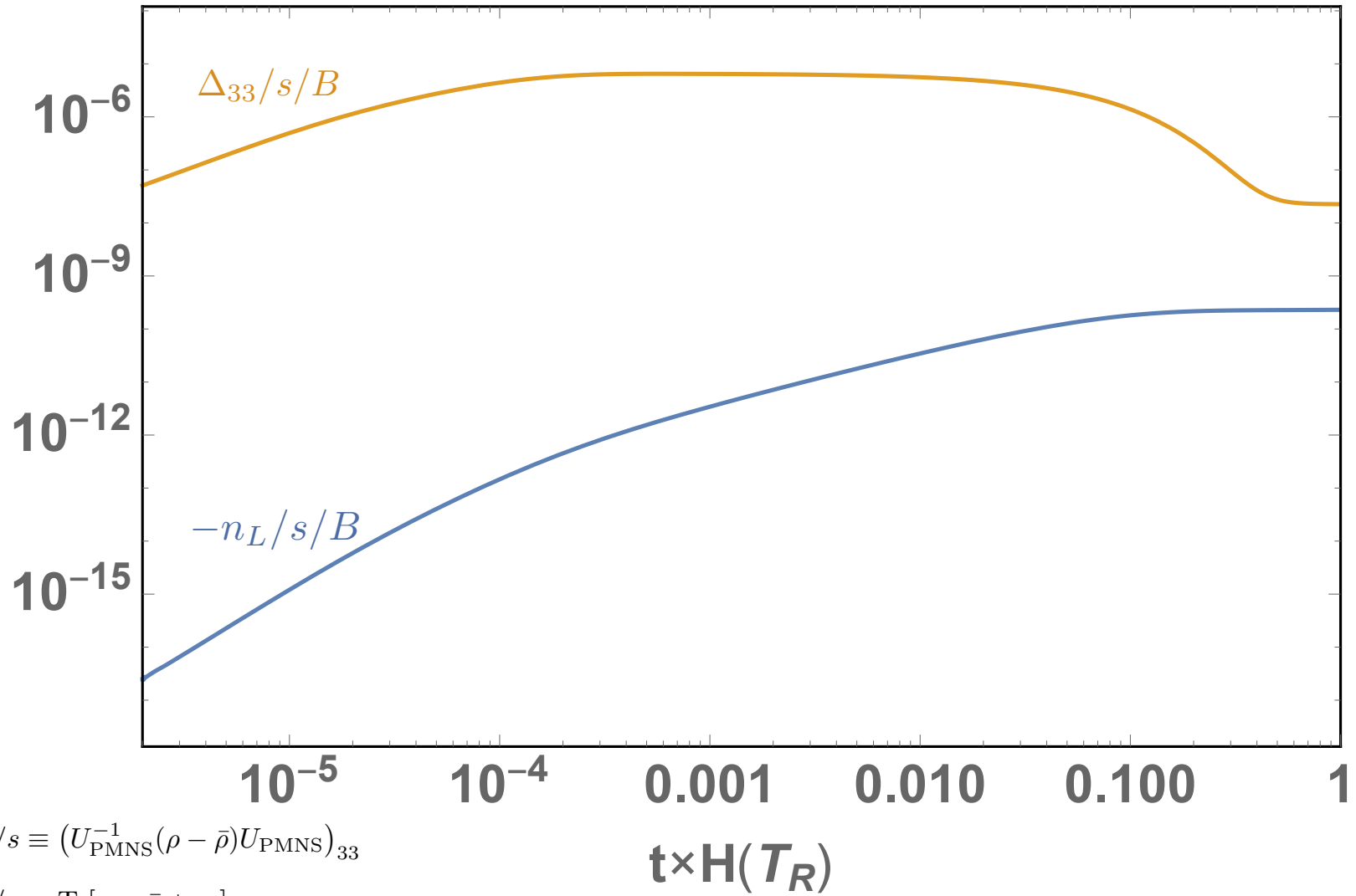
$$\Omega_{\mathbf{k}} = \frac{y_i^2 T^2}{16m_\phi} \delta_{ij} + 0.046(\kappa^* \kappa)_{ij} \frac{T^4}{m_\phi} \quad \Omega_T = \frac{y_i^2 T}{16} \delta_{ij} + 0.046(\kappa^* \kappa)_{ij} T^3$$

$$(\Gamma_{\mathbf{k}}^d)_{ij} \simeq C\alpha_2^2 T \sqrt{\frac{T}{|\mathbf{k}|}} \delta_{ij} + \frac{9y_t^2}{64\pi^3 |\mathbf{k}|} T^2 (\delta_{i\tau} \delta_{\tau j} y_\tau^2 + \delta_{i\mu} \delta_{\mu j} y_\mu^2) + \frac{21\zeta(3)}{32\pi^3} (\kappa^* \cdot \kappa)_{ij} T^3,$$

$$(\Gamma_T^d)_{ij} \simeq C'\alpha_2^2 T \delta_{ij} + \frac{9y_t^2}{64\pi^3} T (\delta_{i\tau} \delta_{\tau j} y_\tau^2 + \delta_{i\mu} \delta_{\mu j} y_\mu^2) + \frac{21\zeta(3)}{32\pi^3} (\kappa^* \cdot \kappa)_{ij} T^3,$$

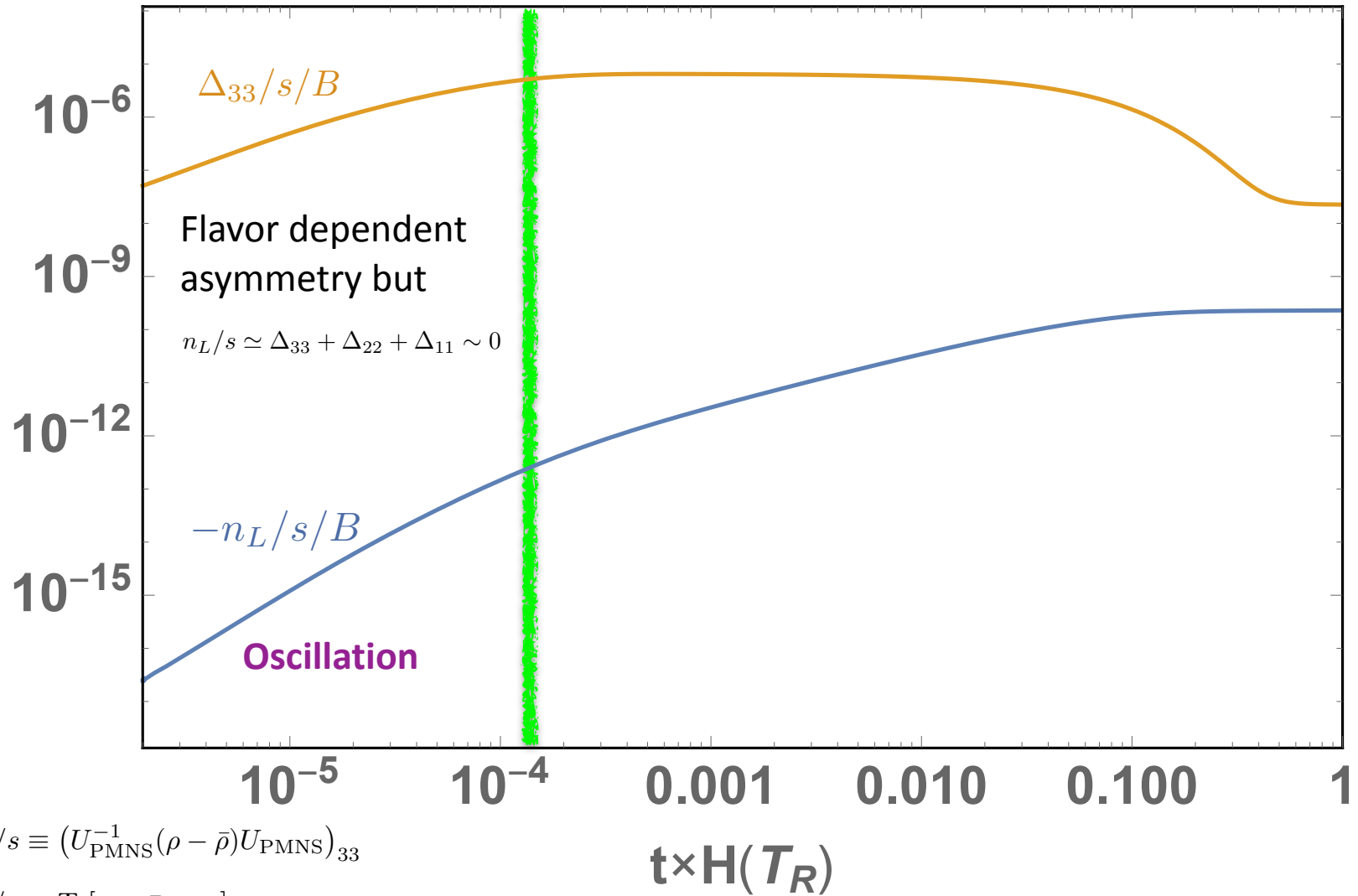
$$(\delta\Gamma_T^p)_{ij} \simeq C\alpha_2^2 T \sqrt{\frac{T}{|\mathbf{k}|}} (\rho_{\mathbf{k}})_{ij} - C'\alpha_2^2 T (\delta\bar{\rho}_T)_{ij} \\ + \frac{3\zeta(3)}{8\pi^3} (\kappa^* \cdot (\bar{\rho}_{\mathbf{k}} - 3/4\rho_{\mathbf{k}})^t \cdot \kappa)_{ij} T^3 + \frac{3\zeta(3)}{8\pi^3} (\kappa^* \cdot (\delta\bar{\rho}_T - 3/4\delta\rho_T)^t \cdot \kappa)_{ij} T^3.$$

Some formula can be also found in [Akhmedov, et al. 9803255](#); [Abada, et al. 0601083](#).; [Asaka, et al. 1112.5565](#).
See Refs. [[Landau and Pomeranchuk 1953](#); [Migdal 1956](#)] for LPM effect.



$$\Delta_{33}/s \equiv (U_{\text{PMNS}}^{-1}(\rho - \bar{\rho})U_{\text{PMNS}})_{33}$$

$$n_L/s \equiv \text{Tr}[\rho - \bar{\rho} + \dots]$$



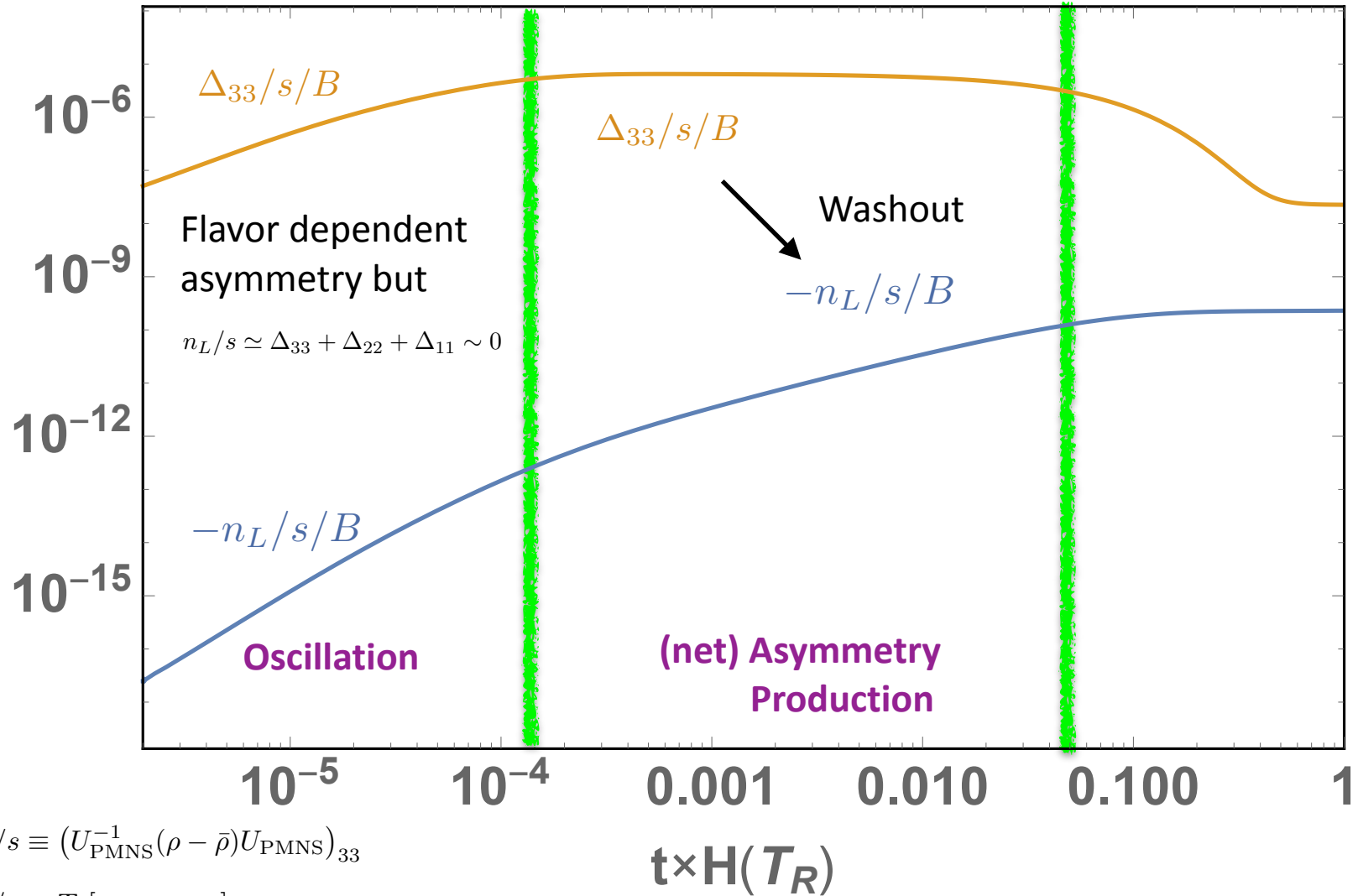
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$$(\Gamma_{wo})_\alpha \propto m_{\nu_\alpha}^2$$

Normal hierarchy: $(\Gamma_{wo})_3$ is largest.



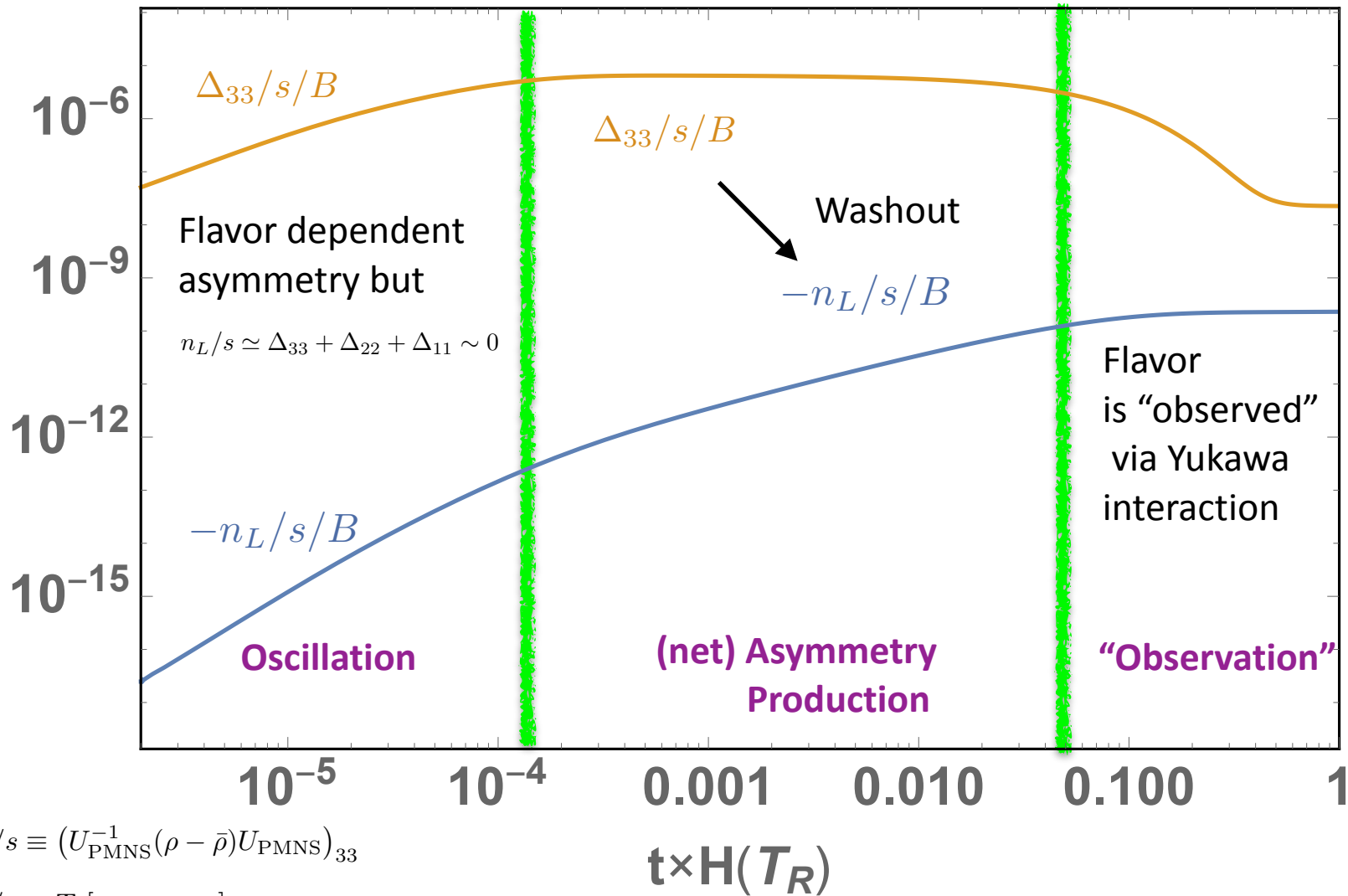
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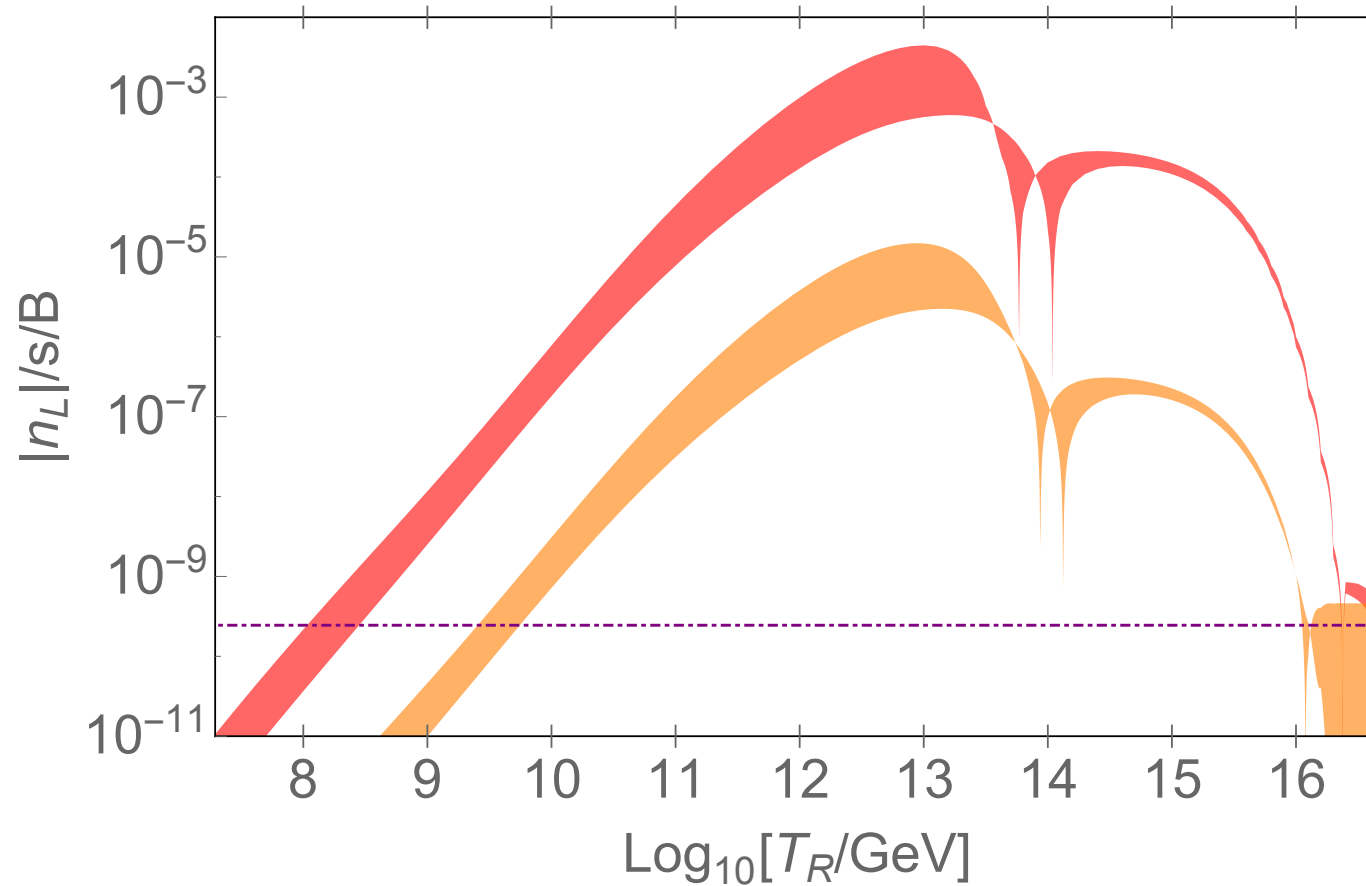
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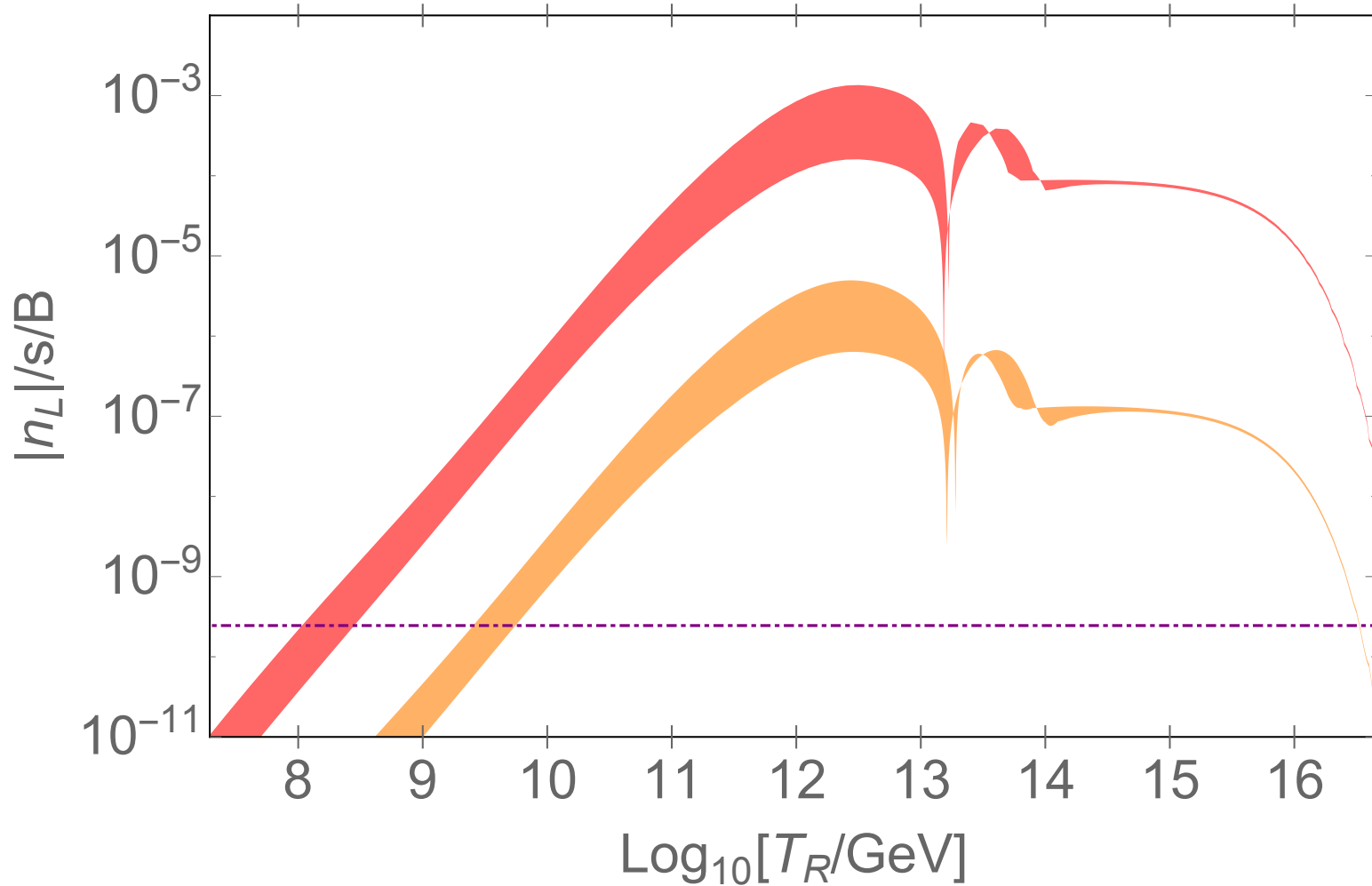
$t \times H(T_R)$

FIGURES FOR INFLATON->LEPTON

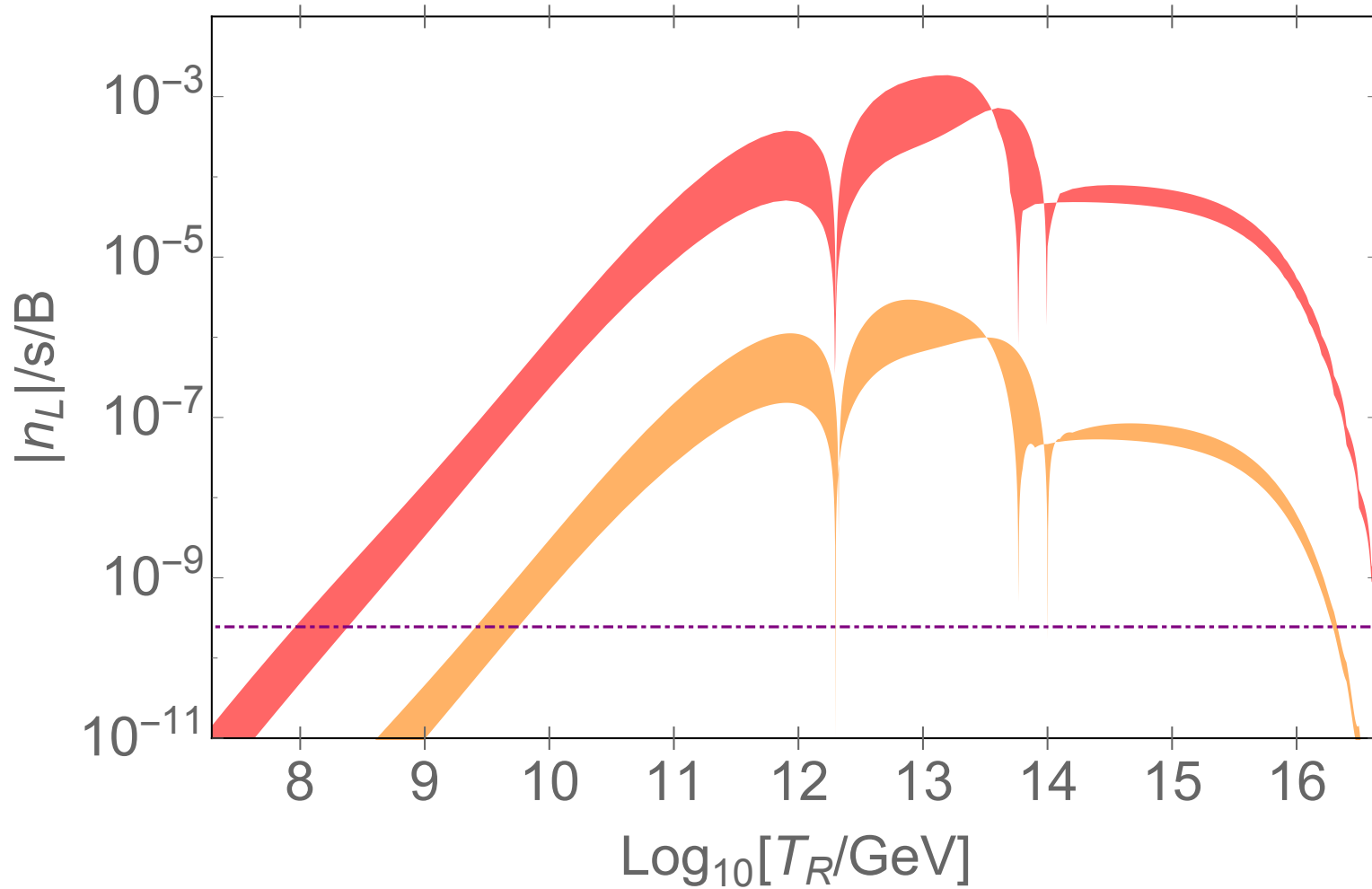
Inverted hierarchy one massless neutrino.
Other parameters are same as the main part one.



normal order degenerate mass. $m_{\text{ulightest}}=0.07\text{eV}$
Other parameters are same as the main part one.

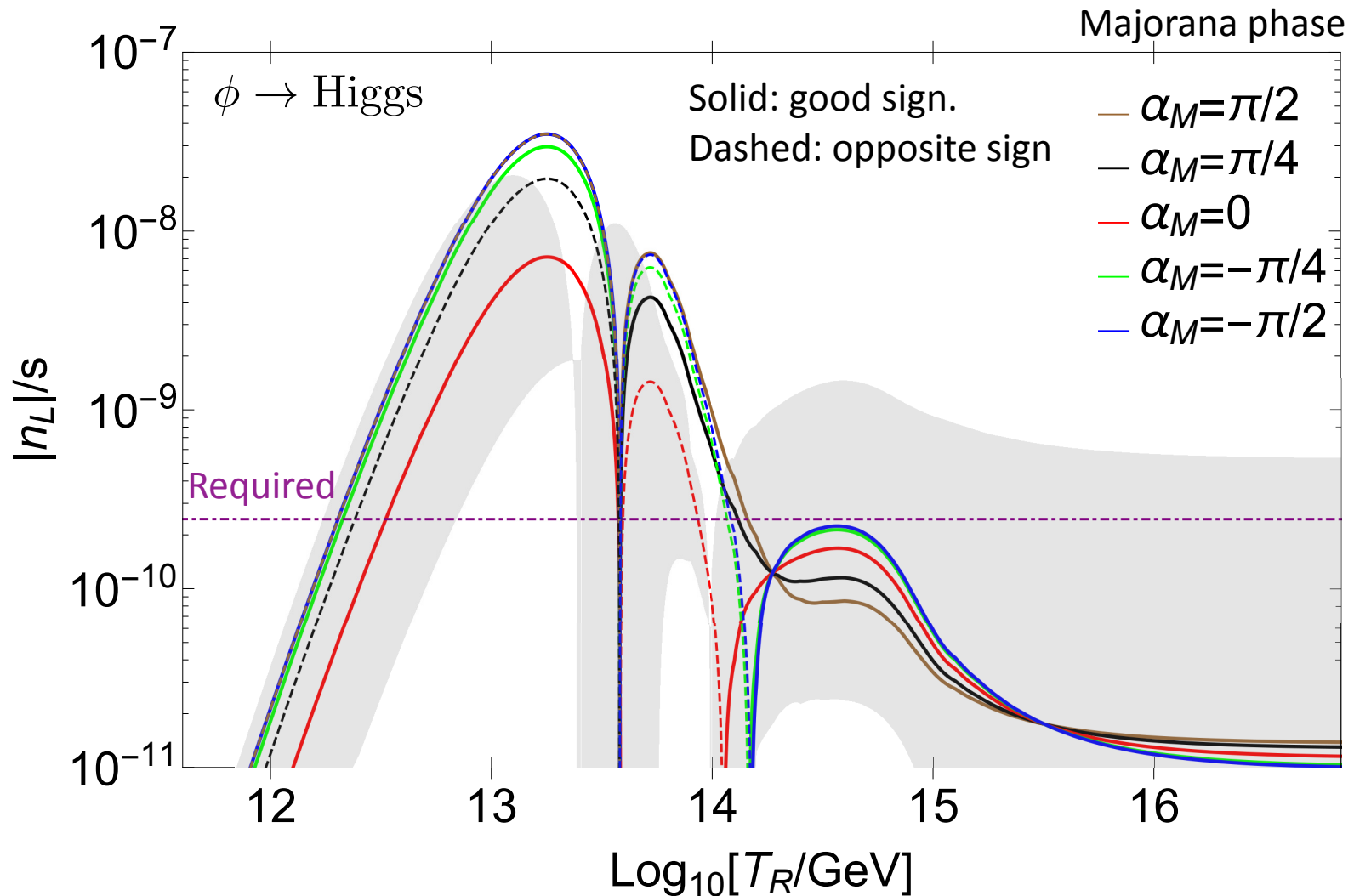


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FIGURES FOR INFLATON->HIGGS

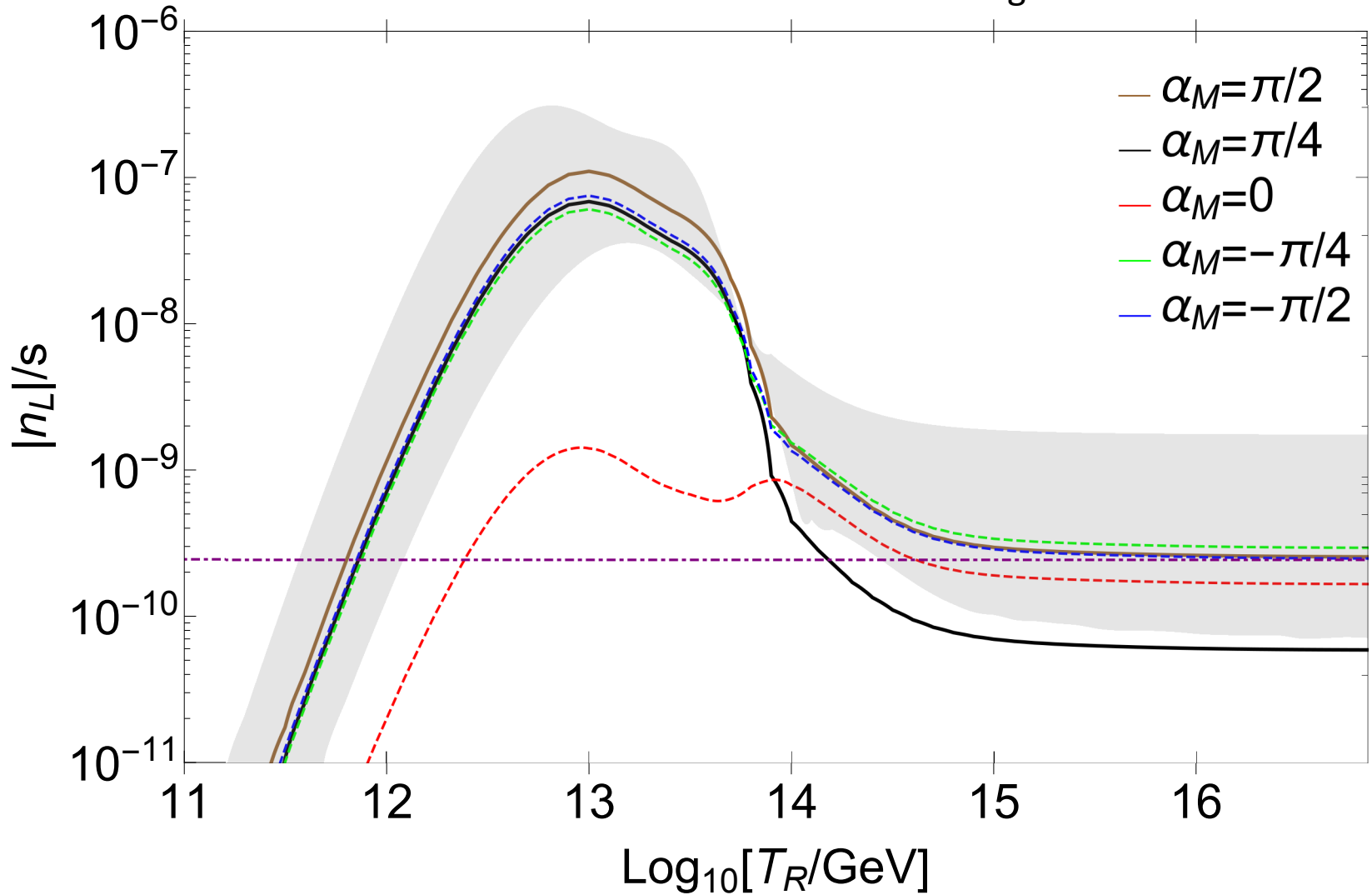
Solution (Normal Hierarchy)



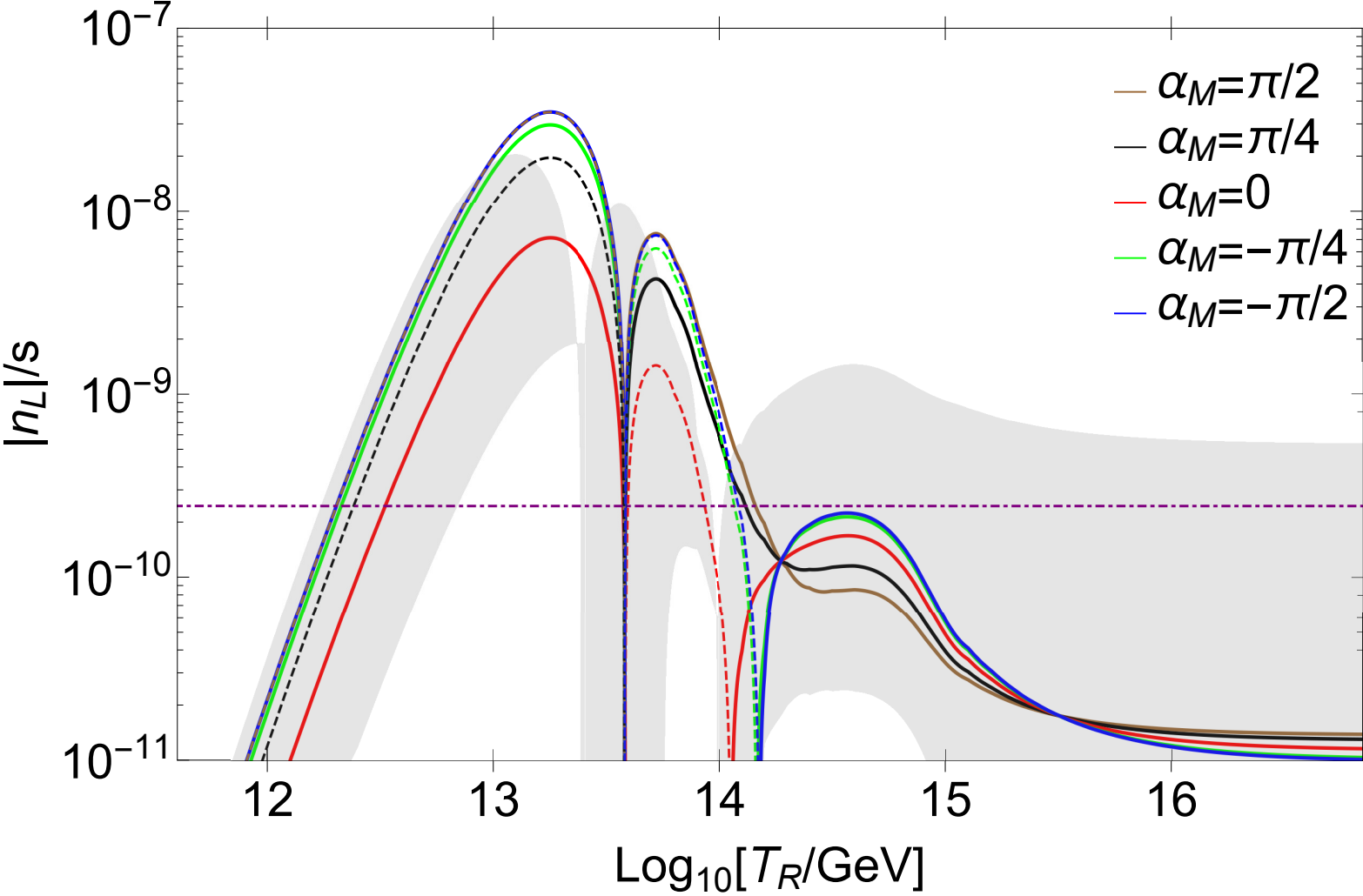
Inflaton mass = $100 T_R$

Two massive neutrinos. Dirac Phase = $-\pi/2$

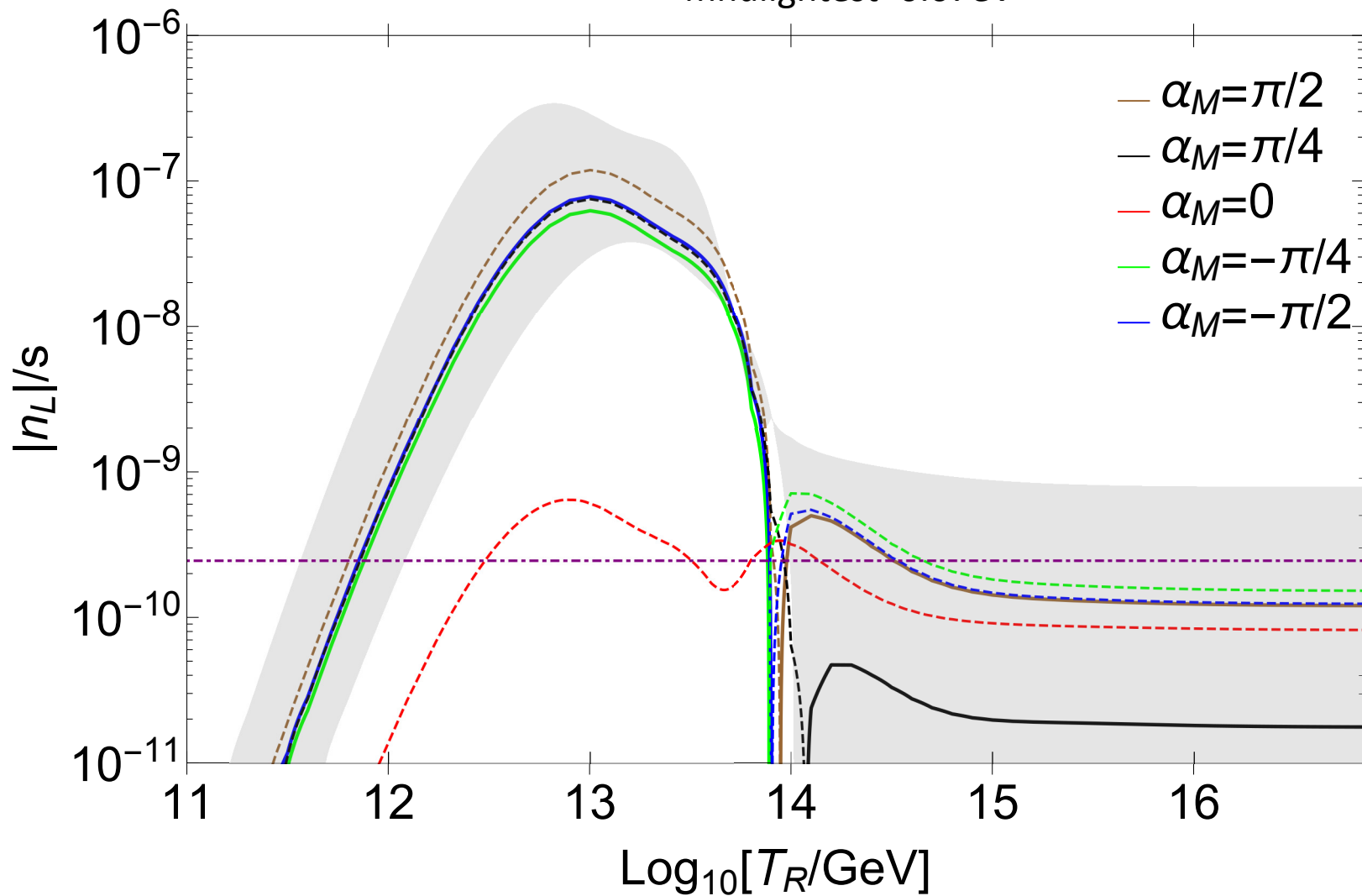
Inverted degenerate case.
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Normal hierarchy one massless neutrino.

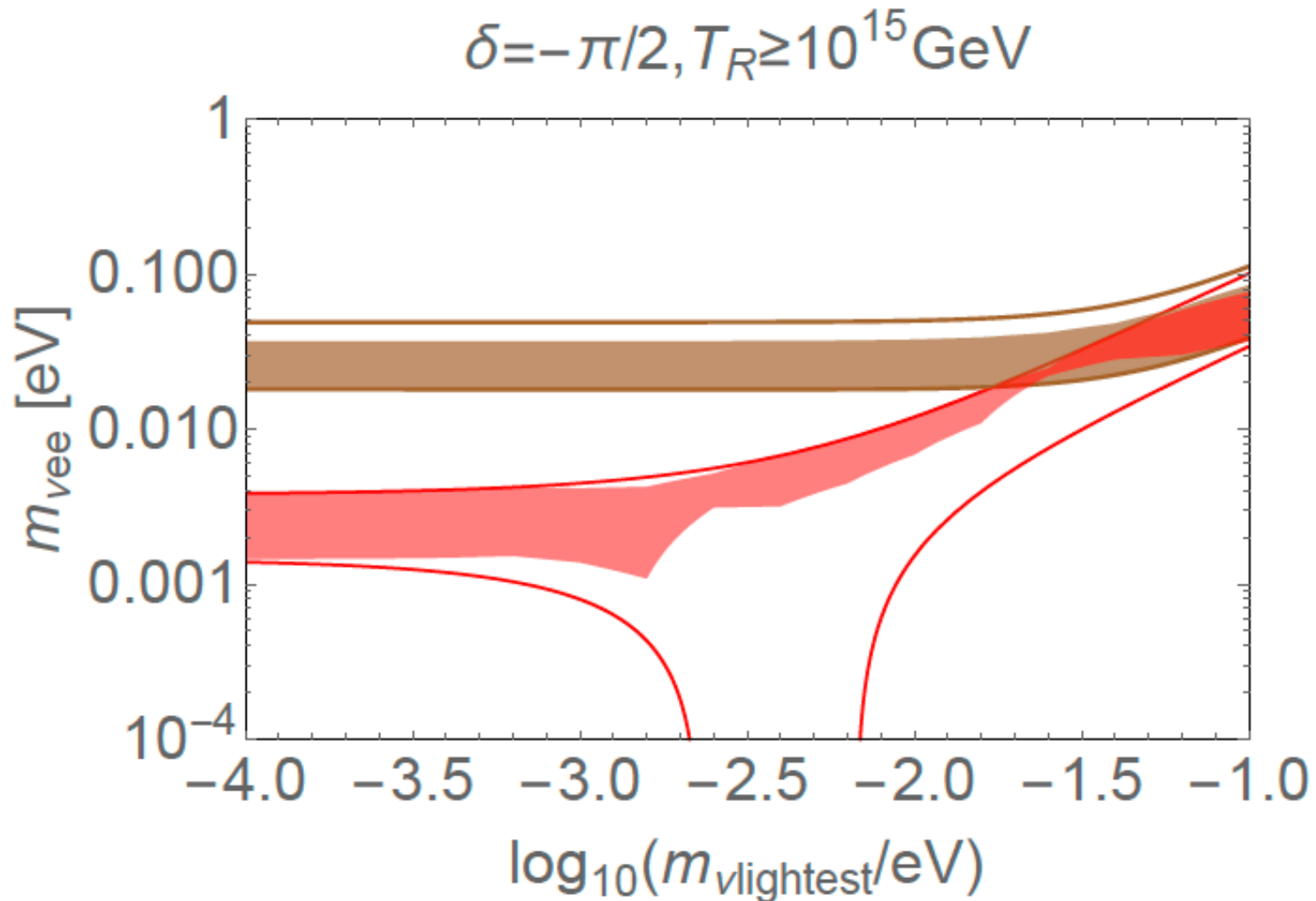


Normal hierarchy degenerate case.
m_νlightest=0.07eV



Neutrinoless double beta decay

The CP phase and neutrino mass can be tested from neutrino exps.



$\delta = -3\pi/4, T_R \leq 10^{13} \text{ GeV}$

