

# Hard and soft photoproduction with PYTHIA 8

PYTHIA DOWN UNDER 2019

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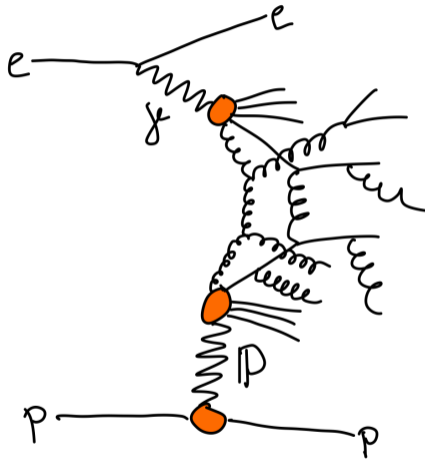
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# Outline

## Outline

1. Photoproduction framework  
with Torbjörn
2. Hard diffraction in photoproduction  
with Christine and Torbjörn
3. Ultra-peripheral collisions at the LHC
4. Soft QCD in photoproduction  
with Christine and Torbjörn
5. Summary & Outlook



# Event classification in ep

Virtuality of photon related to scattering angle of the lepton

$$Q_\gamma^2 \approx 2E_l^2(1-x)(1-\cos\theta)$$

## Deep inelastic scattering (DIS)

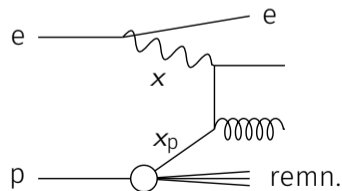
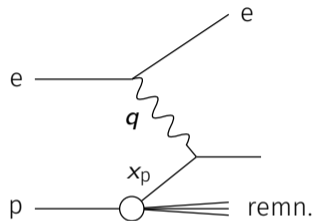
- High virtuality,  $Q_\gamma^2 > \text{a few GeV}^2$
- Hard scattering between the lepton and a parton

## Photoproduction

- Low virtuality,  $Q_\gamma^2 \lesssim 1 \text{ GeV}^2$
- Factorize  $\gamma$  flux, evolve  $\gamma p$  system

$$f_\gamma^e(x) = \frac{\alpha_{em}}{2\pi} \frac{1+(1-x)^2}{x} \log \left[ \frac{Q_{max}^2}{Q_{min}^2} \right]$$

- Hard scattering between the photon and a parton
- Also soft QCD processes



# Hard processes in photoproduction

## Direct processes

- Photon initiator of the hard process (DIS-like)
- Convolute photon flux  $f_\gamma$  with proton PDFs  $f_i^p$  and  $d\hat{\sigma}$

$$d\sigma^{ep \rightarrow kl+X} = f_\gamma^e(x) \otimes f_i^p(x_p, Q^2) \otimes d\hat{\sigma}^{\gamma i \rightarrow kl}$$

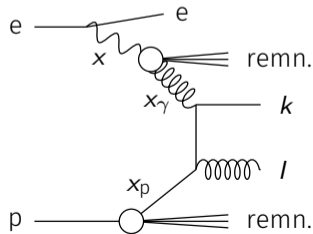
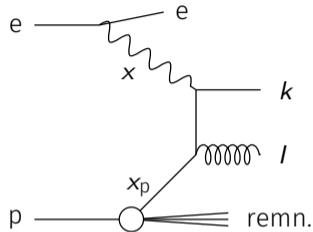
- Generate FSR and ISR for proton side

## Resolved processes

- Convolute also with photon PDFs

$$d\sigma^{ep \rightarrow kl+X} = f_\gamma^e(x) \otimes f_j^\gamma(x_\gamma, Q^2) \otimes f_i^p(x_p, Q^2) \otimes d\sigma^{ij \rightarrow kl}$$

- Sample  $x$  and  $Q^2$ , setup  $\gamma p$  sub-system with  $W_{\gamma p}$
- Evolve  $\gamma p$  as any hadronic collision (including MPIs)



# Evolution equation and PDFs for resolved photons

## DGLAP equation for photons

- Additional term due to  $\gamma \rightarrow q\bar{q}$  splittings

$$\frac{\partial f_i^\gamma(x, Q^2)}{\partial \log(Q^2)} = \frac{\alpha_{em}}{2\pi} e_i^2 P_{i\gamma}(x) + \frac{\alpha_s(Q^2)}{2\pi} \sum_j \int_x^1 \frac{dz}{z} P_{ij}(z) f_j(x/z, Q^2)$$

where  $P_{i\gamma}(x) = 3(x^2 + (1-x)^2)$  for quarks, 0 for gluons (LO)

- Resulting PDFs has **point-like** (or anomalous) and **hadron-like** components

$$f_i^\gamma(x, Q^2) = f_i^{\gamma, pl}(x, Q^2) + f_i^{\gamma, had}(x, Q^2)$$

- $f_i^{\gamma, pl}$  : Calculable from perturbative QCD
- $f_i^{\gamma, had}$  : Requires non-perturbative input fixed in a global analysis

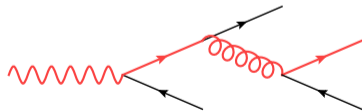
# Evolution equation and ISR for resolved photons

## ISR probability based on DGLAP evolution

- Add a term corresponding to  $\gamma \rightarrow q\bar{q}$  to (conditional) ISR probability

$$d\mathcal{P}_{a \leftarrow b} = \frac{dQ^2}{Q^2} \frac{\alpha_s}{2\pi} \frac{x' f_a^\gamma(x', Q^2)}{x f_b^\gamma(x, Q^2)} P_{a \rightarrow bc}(z) dz + \frac{dQ^2}{Q^2} \frac{\alpha_{em}}{2\pi} \frac{e_b^2 P_{\gamma \rightarrow bc}(x)}{f_b^\gamma(x, Q^2)}$$

- Corresponds to ending up to the beam photon during evolution
  - ⇒ Parton originated from the point-like part of the PDFs
    - No further ISR or MPIs below the scale of the splitting
    - No need for beam remnants



# MPIs with resolved photons

## MPI probability from regularized $d\sigma$

$$\frac{d\sigma^{2\rightarrow 2}}{dp_T^2} \propto \frac{\alpha_s(p_T^2)}{p_T^4} \rightarrow \frac{\alpha_s(p_{T0}^2 + p_T^2)}{(p_{T0}^2 + p_T^2)^2}$$

- Monash tune (for pp):

$$p_{T0}(\sqrt{s}) = p_{T0}^{\text{ref}}(\sqrt{s}/\sqrt{s_{\text{ref}}})^\alpha$$

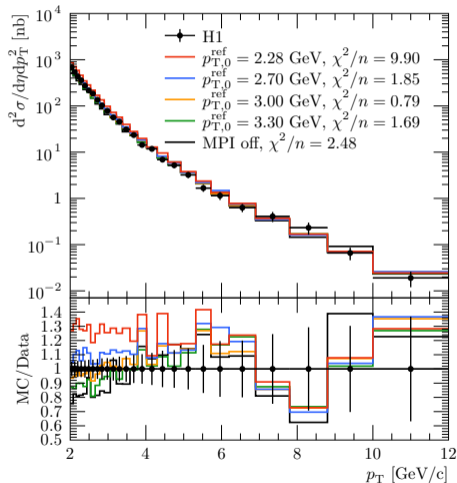
with  $p_{T0}^{\text{ref}} = 2.28$  GeV,  $\alpha = 0.215$ ,  $\sqrt{s_{\text{ref}}} = 7.0$  TeV

- Retune for  $\gamma p$  (and  $\gamma\gamma$ ) ( $p_{T0} \sim 1/d$ )

## Inclusive charged-particle production by H1

- $\langle W_{\gamma p} \rangle \approx 200$  GeV,  $Q_\gamma^2 < 0.01$  GeV<sup>2</sup>
- Same  $W_{\gamma p}$ -dependence as in pp, vary  $p_{T0}^{\text{ref}}$
- Best agreement with  $p_{T0}^{\text{ref}} = 3.00$  GeV  
⇒ Less MPIs than with protons

[H1: Eur.Phys.J. C10 (1999) 363-372]



## ZEUS dijet measurement

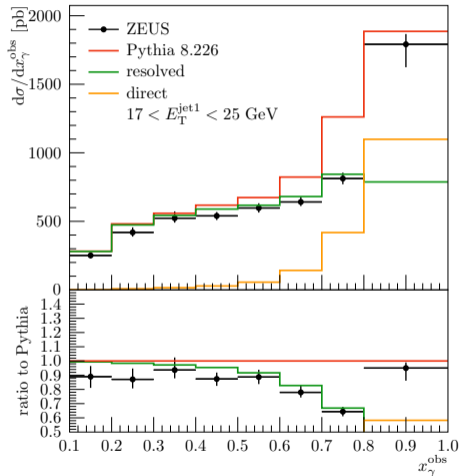
- $Q_\gamma^2 < 1.0 \text{ GeV}^2$
- $134 < W_{\gamma p} < 277 \text{ GeV}$
- $E_T^{\text{jet1}} > 14 \text{ GeV}, E_T^{\text{jet2}} > 11 \text{ GeV}$
- $-1 < \eta^{\text{jet1,2}} < 2.4$

## Two contributions

- Momentum fraction of partons in photon

$$x_\gamma^{\text{obs}} = \frac{E_T^{\text{jet1}} e^{\eta^{\text{jet1}}} + E_T^{\text{jet2}} e^{\eta^{\text{jet2}}}}{2yE_e} \approx x_\gamma$$

- Sensitivity to process type
- At high- $x_\gamma^{\text{obs}}$  direct processes dominate

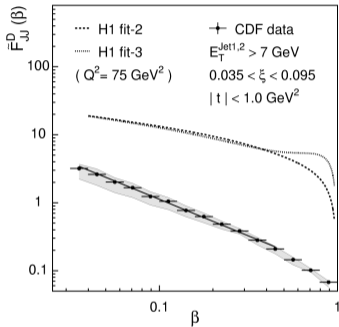


[ZEUS: Eur.Phys.J. C23 (2002) 615-631]



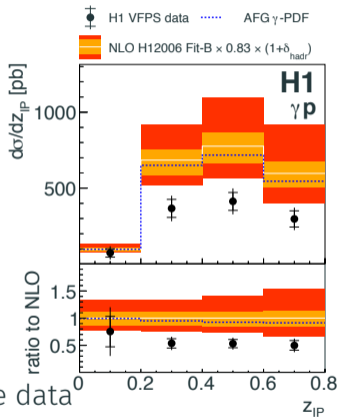
# Hard diffraction: dijets

pp: [CDF: PRL 84 (2000) 5043-5048]



- Factorization-based calculations overshoot the data
  - By a factor of two in photoproduction
  - By order-of-magnitude in pp

ep: [H1: JHEP 1505 (2015) 056]



## Hard diffraction in photoproduction

- Process with a hard scale, described with a colour-neutral Pomeron (IP) exchange
- Experimentally identified from rapidity gap

## Factorization of the diffractive cross section

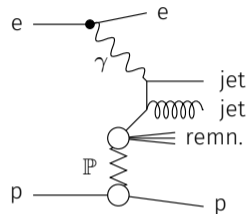
- Direct: Pomeron flux and diffractive PDFs

$$d\sigma_{\text{direct}}^{2\text{jets}} = f_{\gamma}^e(x) \otimes d\sigma^{\gamma j \rightarrow 2\text{jets}} \otimes f_j^{\text{P}}(z_{\text{P}}, Q^2) \otimes f_{\text{P}}^{\text{P}}(x_{\text{P}}, t)$$

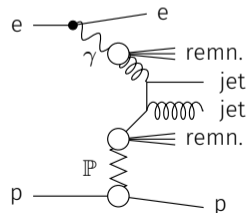
- Resolved: photon PDFs

$$d\sigma_{\text{resolved}}^{2\text{jets}} = f_{\gamma}^e(x) \otimes f_i^{\gamma}(x_{\gamma}, Q^2) \otimes d\sigma^{ij \rightarrow 2\text{jets}} \otimes f_j^{\text{P}}(z_{\text{P}}, Q^2) \otimes f_{\text{P}}^{\text{P}}(x_{\text{P}}, t)$$

## Direct:



## Resolved:



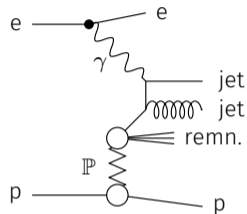
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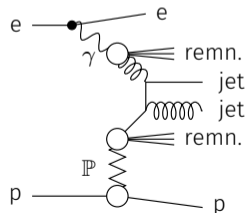
## Dynamical rapidity gap survival model

1. Generate diffractive events with dPDFs (PDF)

## Direct:



## Resolved:



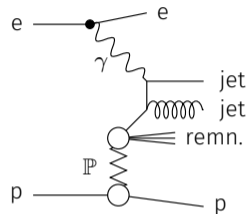
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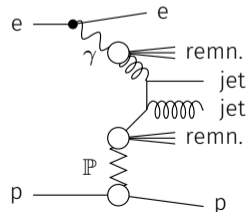
## Dynamical rapidity gap survival model

1. Generate diffractive events with dPDFs (PDF)
2. Reject events where MPIs in  $\gamma p$  system (MPI)

## Direct:



## Resolved:



} X

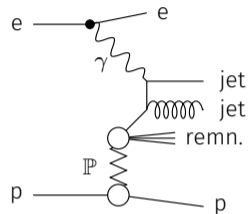
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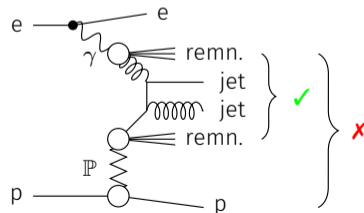
## Dynamical rapidity gap survival model

1. Generate diffractive events with dPDFs (PDF)
2. Reject events where MPIs in  $\gamma p$  system (MPI)
3. Evolve  $\gamma IP$  system, allow MPIs for this system

## Direct:



## Resolved:



## Hard diffraction in photoproduction

- Process with a hard scale, described with a colour-neutral Pomeron (IP) exchange
- Experimentally identified from rapidity gap

## Dynamical rapidity gap survival model

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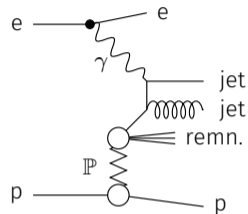
Implemented from PYTHIA 8.235 onwards

[I.H. and C.O. Rasmussen, arXiv:1901.05261 [hep-ph]]

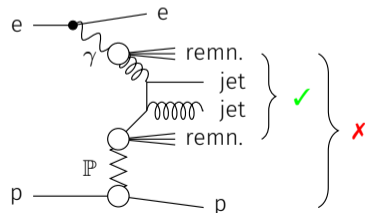
Model originally introduced for pp collisions

[C.O. Rasmussen and T. Sjöstrand, JHEP 1602 (2016) 142]

Direct:



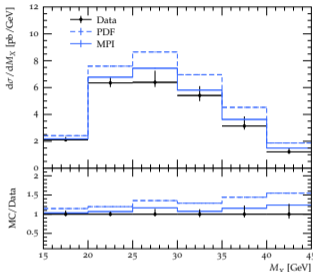
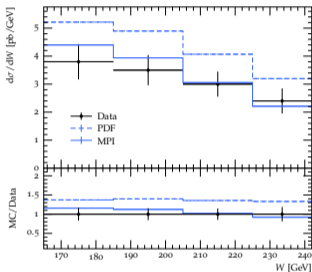
Resolved:



# Comparisons to HERA data

H1: [EPJC 51 (2007) 549]

ZEUS: [EPJC 55 (2008) 177]



- PDF selection overshoots the data by 20–50 %
- Impact of the MPI rejection increases with  $W$
- Stronger suppression in H1 analysis due to looser cuts on  $E_T^{\text{jets}}$  and  $x_{\text{IP}} \Rightarrow$  More MPIs

Cuts

|  | H1   | ZEUS  |
|--|------|-------|
| $Q_{\text{max}}^2$ [GeV <sup>2</sup> ] | 0.01 | 1.0   |
| $E_{T,\text{min}}^{\text{jet1}}$ [GeV] | 5.0  | 7.5   |
| $E_{T,\text{min}}^{\text{jet2}}$ [GeV] | 4.0  | 6.5   |
| $x_{\text{IP}}^{\text{min}}$           | 0.03 | 0.025 |

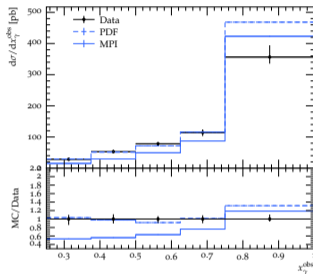
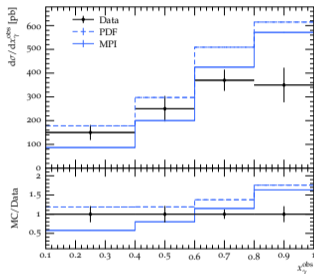
PYTHIA setup

- dPDFs from H1 fit B LO
- $\gamma$ PDFs from CJKL
- $p_{T0}^{\text{ref}} = 3.00$  GeV/c (Tuned to inclusive charged particle data from  $\gamma p$  at HERA)

# Comparisons to HERA data

H1: [EPJC 51 (2007) 549]

ZEUS: [EPJC 55 (2008) 177]



- Stronger suppression at low- $x_\gamma^{\text{obs}}$  (more MPIs)
- ZEUS cuts select events at high- $x_\gamma^{\text{obs}}$  region
- Some theoretical uncertainty from  $\gamma$ PDFs, dPDFs and scale variation

Cuts

$Q_{\text{max}}^2$  [GeV<sup>2</sup>]

$E_{T,\text{min}}^{\text{jet1}}$  [GeV]

$E_{T,\text{min}}^{\text{jet2}}$  [GeV]

$x_{\text{ip}}^{\text{min}}$

H1

0.01

5.0

4.0

0.03

ZEUS

1.0

7.5

6.5

0.025

$\chi^2$  analysis

H1

ZEUS

H1 & ZEUS

PDF

5.2

9.6

7.6

MPI

1.4

5.1

3.4

(with all observables)



## Photon flux from protons

- Take the proton form factor into account

$$f_{\gamma}^p(x) = \frac{\alpha_{em}}{2\pi} \frac{(1 + (1-x)^2)}{x} \left[ \log(A) - \frac{11}{6} + \frac{3}{A} - \frac{3}{2A^2} + \frac{1}{3A^3} \right]$$

where  $A = 1 + Q_0^2/Q_{min}^2$  and  $Q_0^2 = 0.71 \text{ GeV}^2$

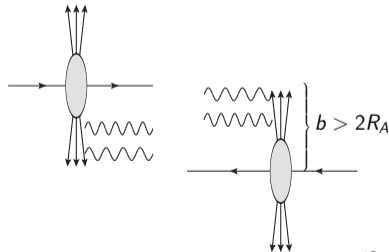
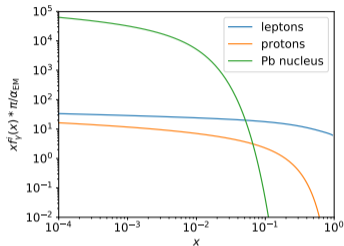
- The form factor suppress contribution from high- $Q^2 \Rightarrow$  photoproduction regime

## UPCs with heavy ions

- Define photon flux in impact-parameter space to reject events where colliding nuclei overlap

$$f_{\gamma}^A(x) = \frac{2\alpha_{EM}Z^2}{x\pi} \left[ \xi K_1(\xi)K_0(\xi) - \frac{\xi^2}{2} (K_1^2(\xi) - K_0^2(\xi)) \right]$$

where  $Z$  charge,  $\xi = b_{min}xm$



# Diffractive dijets in UPCs

- Apply the dynamical rapidity gap survival model to UPCs in pp and pPb
- In pPb the photon flux from Pb dominates ( $\propto Z^2$ ), p neglected

## Kinematics similar to HERA

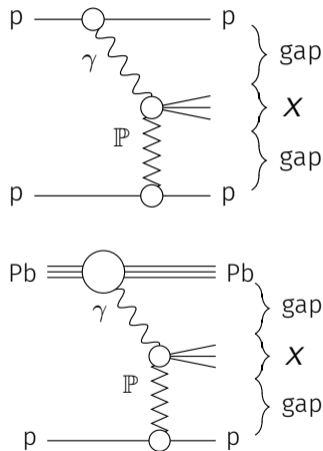
- $E_T^{\text{jet1(2)}} > 8(6) \text{ GeV}$ ,  $|\eta^{\text{jet1,2}}| < 4.4$
- $M_{\text{jets}} > 14 \text{ GeV}$ ,  $x_{\text{IP}} < 0.025$

## PYTHIA setup

- Same PDFs as for HERA
- Vary MPI parameter:

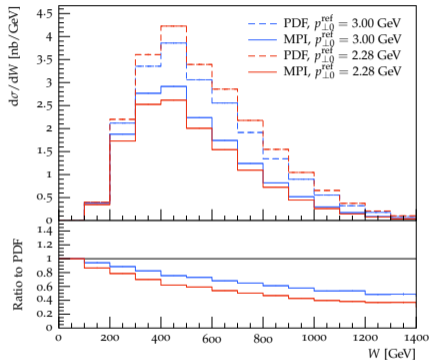
$$p_{T0}^{\text{ref}} = 3.00 \text{ GeV (HERA } \gamma p)$$

$$p_{T0}^{\text{ref}} = 2.28 \text{ GeV (LHC pp)}$$

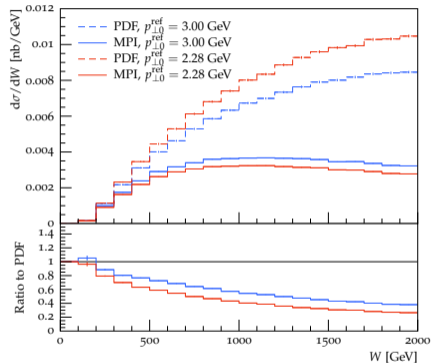


# Predictions for diffractive dijets in UPC

pPb  $\sqrt{s} = 5.0$  TeV



pp  $\sqrt{s} = 13$  TeV



- Extended  $W$  range wrt. HERA, especially in pp (harder flux)
- Stronger suppression from MPIs than at HERA
- ⇒ Ideal process to study factorization-breaking effects in hard diffraction

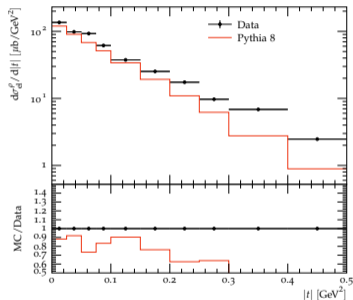
## Soft QCD process implemented for photoproduction

- Based on Schuler and Sjöstrand model in PYTHIA 6
- Vector meson dominance (VMD) with  $\rho$ ,  $\omega$ ,  $\phi$  and  $J/\psi$  mesons for
  - Soft diffraction (high- and low-mass)
  - Elastic scattering
- Non-diffractive from MPI machinery
- Total Cross section parametrized as

$$\sigma_{\text{tot}}^{AB}(s) = \chi^{AB} s^\epsilon + \gamma^{AB} s^{-\eta}$$

where  $\epsilon = 0.0808$  and  $\eta = 0.4525$  are universal,  $\chi^{AB}$  and  $\gamma^{AB}$  process-dependent

Elastic  $\rho$  production at  $\langle W_{\gamma p} \rangle = 70$  GeV



[Data from ZEUS:  
Z.Phys. C69 (1995) 39-54]

## Produced via elastic scattering

- Parametrized with

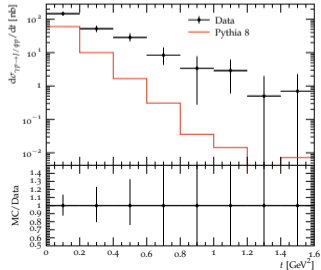
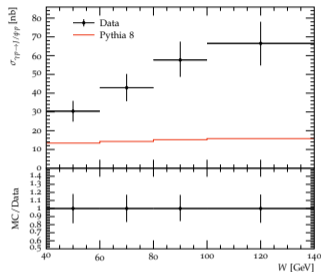
$$\frac{d\sigma_{\text{el}}}{dt} = (1 + \rho^2) \frac{\sigma_{\text{tot}}^2(s)}{16\pi} \exp(B_{\text{el}}(s)t)$$

where  $B_{\text{el}}(s) = 2b_A + 2b_B + 4s^\epsilon - 4.2$

- $b_{A,B}$  process-dependent

## Comparison to HERA data

- Energy ( $W$ ) dependence too flat
- Too steep  $|t|$ -dependence (also for  $\phi$ )
- New parametrizations for  $\sigma_{\text{tot}}$  and  $\sigma_{\text{el}}$  based on HERA data?
- Can be measured in UPCs at the LHC



# Summary & Conclusions

## Current status of photoproduction

- Include both hard and soft processes
- Internal setup for ep (and ee), can be applied to UPCs with appropriate flux  
`Pythia::setPhotonFluxPtr(PDF*, PDF*)`
- Now also hard diffraction with dynamical rapidity gap survival  
⇒ Can describe observed factorization-breaking effects in ep and pp

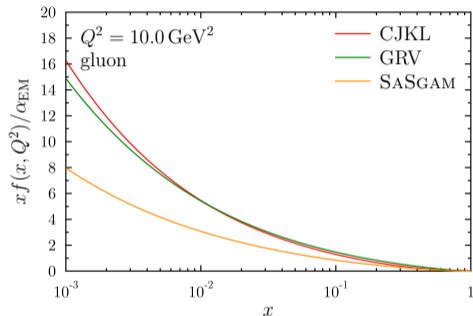
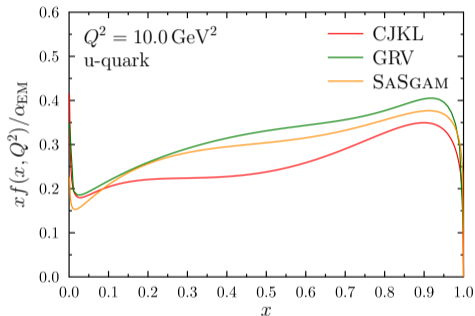
## Future directions

- Improved description for soft processes
- Combine with ANGANTYR for eA and UPCs in AA
- Smooth merging with DIS (currently handled separately)

Backup slides

# PDFs for resolved photons

## Comparison of different photon PDF analysis



- Some differences between analyses, especially for gluon  
⇒ Theoretical uncertainty for resolved processes
- CJKL used as a default in PYTHIA 8, others via LHAPDF5 but only for hard-process generation



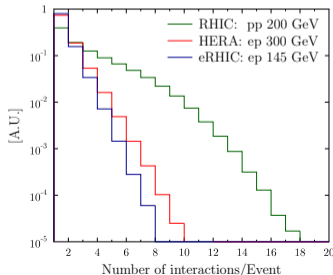
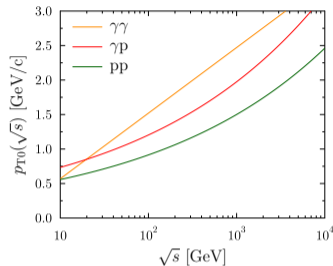
# MPIs with resolved photons

## Parametrization for $\gamma p$

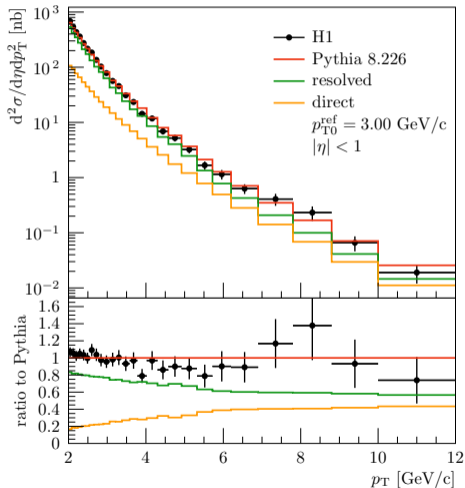
- $p_{T0}$  values between  $\gamma\gamma$  (using LEP data) and pp
- Relevant energies:
  - HERA:  $W_{\gamma p} \approx 200$  GeV
  - eRHIC:  $W_{\gamma p} \approx 100$  GeV

## Number of MPIs in different colliders

- Non-diffractive events with resolved photons
- Less MPIs in ep than pp
  - Larger  $p_{T0}$
  - Point-like PDF in PS



# Charged particle $p_T$ spectra in ep collisions at HERA



[H1: Eur.Phys.J. C10 (1999) 363-372]

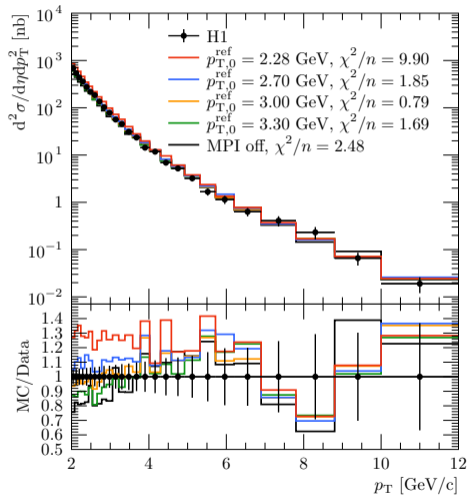
## H1 measurement

- $E_p = 820 \text{ GeV}$ ,  $E_e = 27.5 \text{ GeV}$
- $\langle W_{\gamma p} \rangle \approx 200 \text{ GeV}$
- $Q_\gamma^2 < 0.01 \text{ GeV}^2$

## Comparison to PYTHIA 8

- Resolved contribution dominates
  - Good agreement with the data using  $p_{T0}^{\text{ref}} = 3.00 \text{ GeV}/c$
- ⇒ MPI probability between pp and  $\gamma\gamma$

# Charged particle $p_T$ spectra in ep collisions at HERA



[H1: Eur.Phys.J. C10 (1999) 363-372]

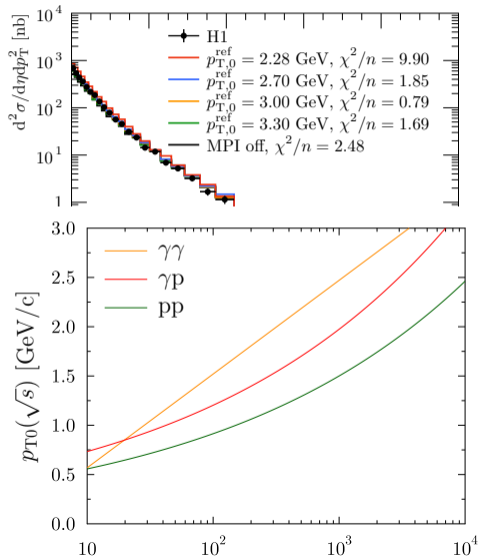
## H1 measurement

- $E_p = 820 \text{ GeV}, E_e = 27.5 \text{ GeV}$
- $\langle W_{\gamma p} \rangle \approx 200 \text{ GeV}$
- $Q_\gamma^2 < 0.01 \text{ GeV}^2$

## Comparison to PYTHIA 8

- Resolved contribution dominates
  - Good agreement with the data using  $p_{T0}^{\text{ref}} = 3.00 \text{ GeV}/c$
- ⇒ MPI probability between pp and  $\gamma\gamma$

# Charged particle $p_T$ spectra in ep collisions at HERA



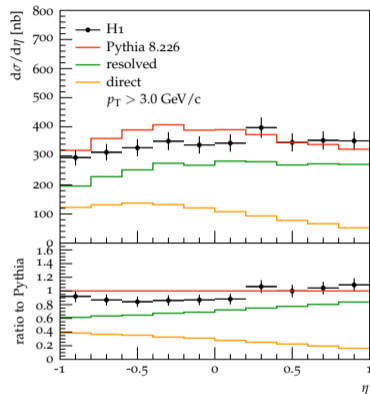
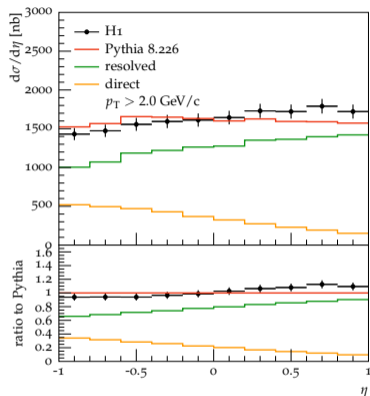
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- $\langle W_{\gamma p} \rangle \approx 200$  GeV
- $Q_\gamma^2 < 0.01$  GeV<sup>2</sup>

## Comparison to PYTHIA 8

- Resolved contribution dominates
  - Good agreement with the data using  $p_{T0}^{\text{ref}} = 3.00$  GeV/c
- $\Rightarrow$  MPI probability between  $pp$  and  $\gamma\gamma$

# Charged-particle $\eta$ dependence

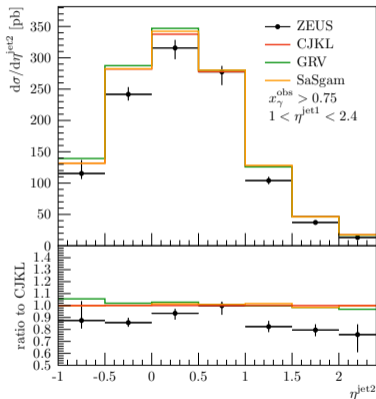
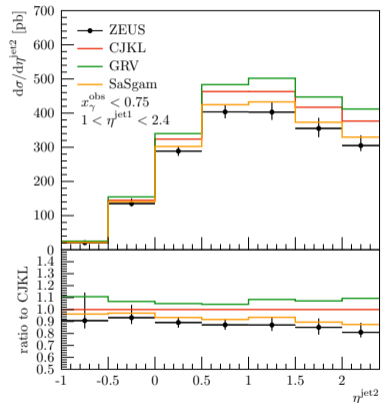


[H1: Eur.Phys.J. C10 (1999) 363-372]

- Good agreement also for charged-particle  $\eta$  dependence
- Resolved contribution dominates the cross section

## Pseudorapidity dependence of dijets

[Eur.Phys.J. C23 (2002) 615-631]



- Simulations tend to overshoot the dijet data by  $\sim 10\%$
- $\sim 10\%$  uncertainty from photon PDFs for  $x_\gamma^{\text{obs}} < 0.75$

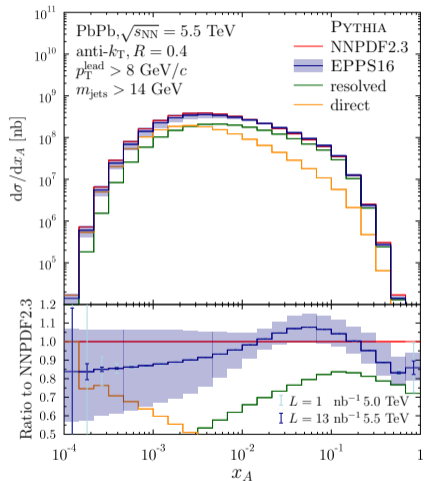
# Predictions for dijets in UPCs

## Event selection similar to HERA

- anti- $k_T$  with  $R = 0.4$
- $p_T^{\text{lead}} > 8 \text{ GeV}$ ,  $p_T^{\text{jets}} > 6 \text{ GeV}$
- $|\eta^{\text{jets}}| < 4.4$ ,  $m_{\text{jets}} > 14 \text{ GeV}$
- Event-level variables:
  - $H_T = \sum_i p_{Ti}$ ,  $x_A = \frac{m_{\text{jets}}}{\sqrt{s}} e^{-y_{\text{jets}}}$

## Results from PYTHIA 8

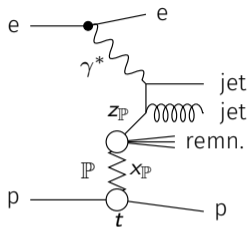
- Resolved dominant at high- $x_A$ , direct at low- $x_A$
- Sensitive to nuclear PDFs
- Statistical uncertainty estimated at different luminosities



[I.H., arXiv:1811.10931]

[also Guzey, Klasen, arXiv:1902.05126]

# Hard diffraction in DIS



## Diffractive dijets

- Virtual photon interacts with Pomeron from proton producing jets
- Signature: scattered proton or a rapidity gap between proton and Pomeron remnant

## Factorized cross section for diffractive dijets

- DIS:  $d\sigma^{2\text{jets}+X} = f_i^{\mathbb{P}}(z_{\mathbb{P}}, \mu^2) \otimes f_{\mathbb{P}}^{\text{D}}(x_{\mathbb{P}}, t) \otimes d\sigma^{ie \rightarrow 2\text{jets}}$

where  $f_{\mathbb{P}}^{\text{D}}$  is Pomeron flux and  $f_j^{\mathbb{P}}$  diffractive PDF (dPDF)

- Factorization verified by H1 and ZEUS at HERA

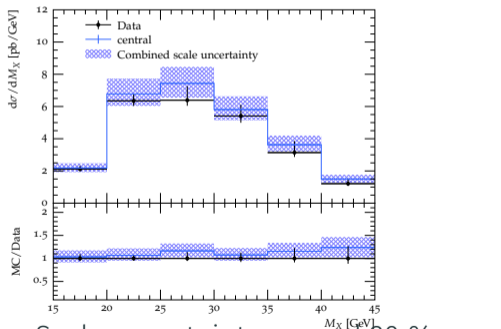


# Theoretical uncertainties

## Largest uncertainties arise from

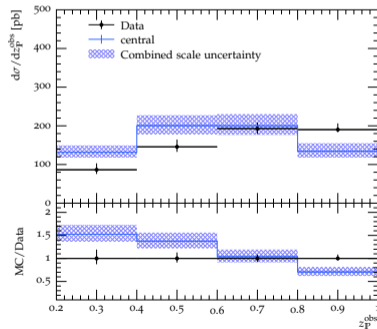
- LO ME (vary factorization and renormalization scales)
- diffractive PDFs (H1fitB, ZEUS-SJ and GKG18A)

### ZEUS 2008:



- Scale uncertainty around 20 %

### ZEUS 2008:

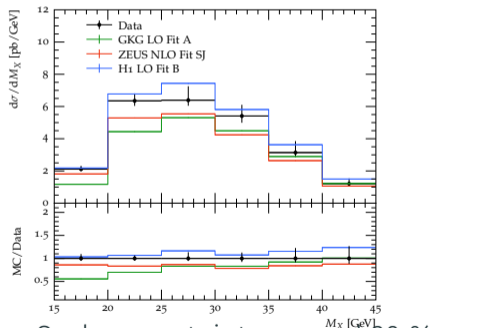


# Theoretical uncertainties

Largest uncertainties arise from

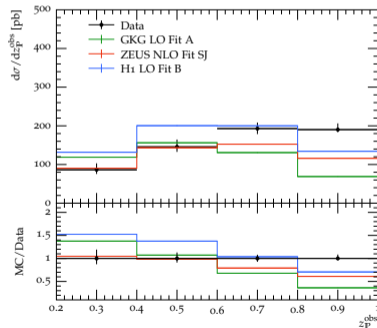
- LO ME (vary factorization and renormalization scales)
- diffractive PDFs (H1fitB, ZEUS-SJ and GKG18A)

ZEUS 2008:

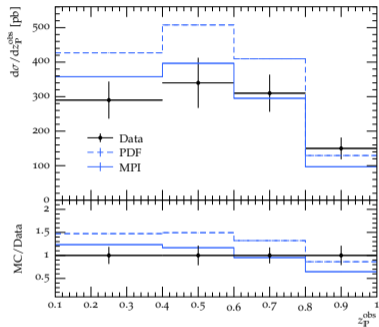


- Scale uncertainty around 20 %
- Better agreement for the shape of  $z_{\text{p}}^{\text{obs}}$  with ZEUS-SJ

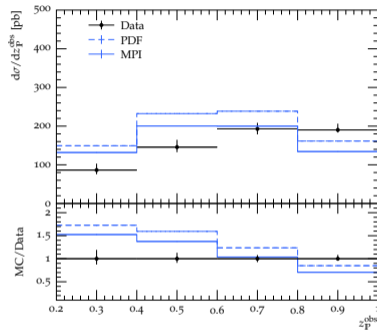
ZEUS 2008:



## H1 2007:



## ZEUS 2008:



- MPI suppression not dependent on  $z_{\text{IP}}^{\text{obs}}$
- Better agreement with H1 data after MPI rejection
- Shape a bit off in both cases, observable sensitive to
  - dPDFs, Jet reconstruction