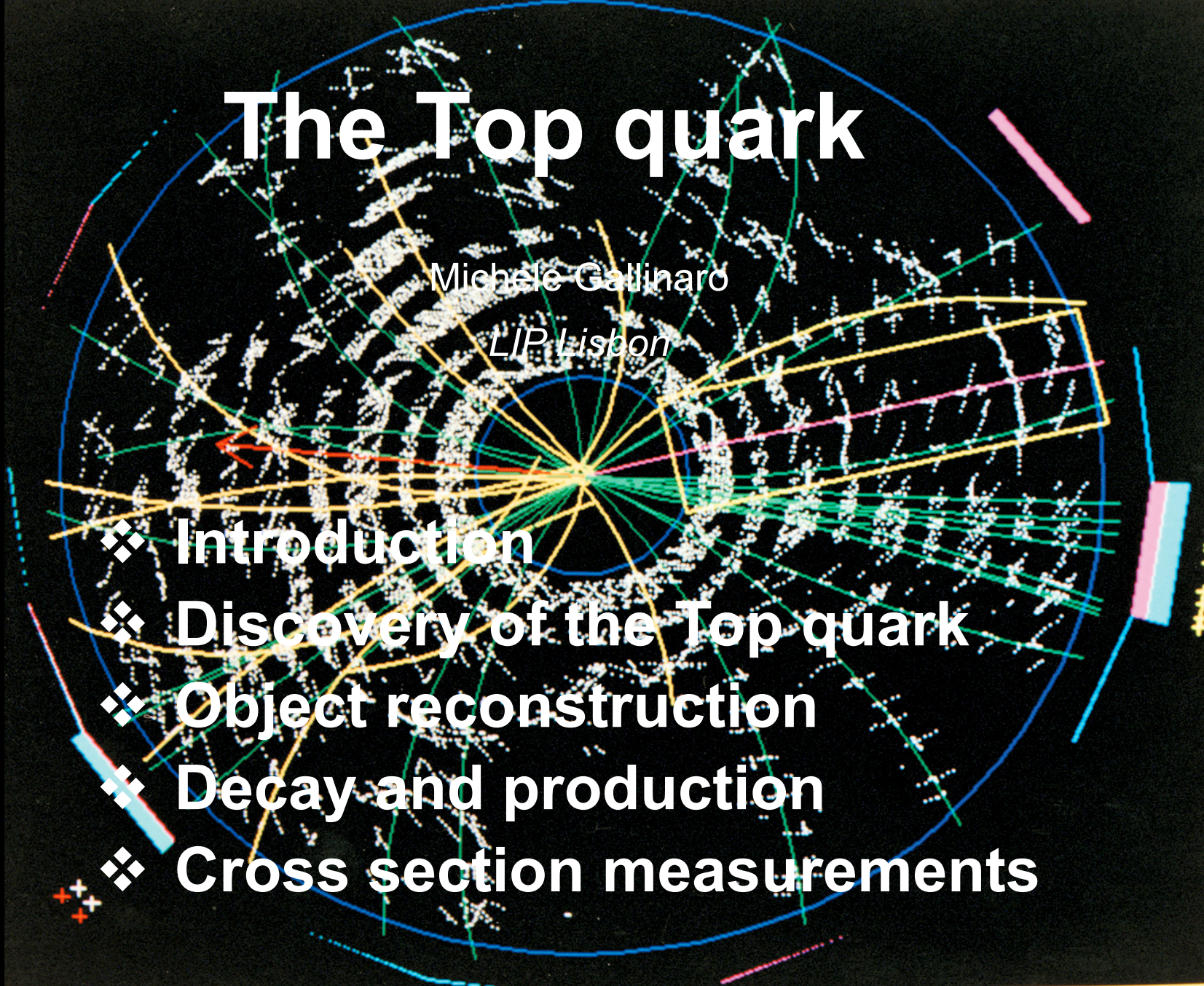


# The Top quark



Michele Gallinaro

*LIP Lisbon*

- ❖ Introduction
- ❖ Discovery of the Top quark
- ❖ Object reconstruction
- ❖ Decay and production
- ❖ Cross section measurements

# Contents

- Introduction (discovery, object ID)
- Top pair production at the Tevatron
- Top pair production at LHC
- Properties: differential cross section
- Mass measurements
- Spin correlation, charge asymmetry
- Single top production
- Flavor Changing Neutral Currents (FCNC)
- Search for top partners and 4<sup>th</sup> generation quarks
- Search for  $t\bar{t}$  resonances



today

will use  $c=1$

# Introduction

- Discovery
- introduction to the top quark

# 1974

With the discovery of the  $J/\Psi$ :

**quarks**

$$\begin{pmatrix} u \\ d \end{pmatrix} \quad \begin{pmatrix} c \\ s \end{pmatrix}$$

**leptons**

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix} \quad \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}$$

# 1975-1977

- Tau ( $\tau$ ) lepton in Mark I data ( $\nu_\tau$  from the decay kinematics)
- Discovery of the Y at Fermilab

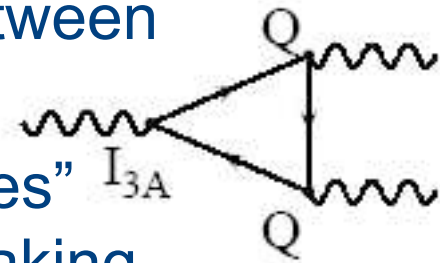
$$\begin{pmatrix} u \\ d \end{pmatrix} \quad \begin{pmatrix} c \\ s \end{pmatrix} \quad \begin{pmatrix} \\ b \end{pmatrix}$$

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix} \quad \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix} \quad \begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}$$

- b: non SM? iso-singlet? SM iso-doublet?
- 1984: DESY measurement of  $e^+e^- \rightarrow b\bar{b}$  FB asymmetry:  $(22.5 \pm 6.5)\%$ 
  - cf. 25.2% SM iso-doublet, 0% iso-singlet
- If SM is correct, there must be a iso-doublet partner, **the top quark**
- Mass? b/c/s 4.5/1.5/0.5: Mass=15 GeV?

# The theory: Why?

- The SM is not a “renormalizable” gauge theory in the absence of the top quark
- **Renormalizability** is a crucial feature, enabling the SM to be theoretically consistent and be usable as a tool to compute the rate of subnuclear processes between quarks, leptons, and gauge bosons
- Diagrams containing so-called “triangle anomalies” (right), **cancel** their contributions, thus avoid breaking the renormalizability of the SM, only if **the sum of electric charges of all fermions** circulating in the triangular loop **is zero**:



$$\Sigma Q = -1 + 3 \times [2/3 + (-1/3)] = 0$$

lepton electric charge

quark (up/down) charge

# Searches at $e^+e^-$ colliders

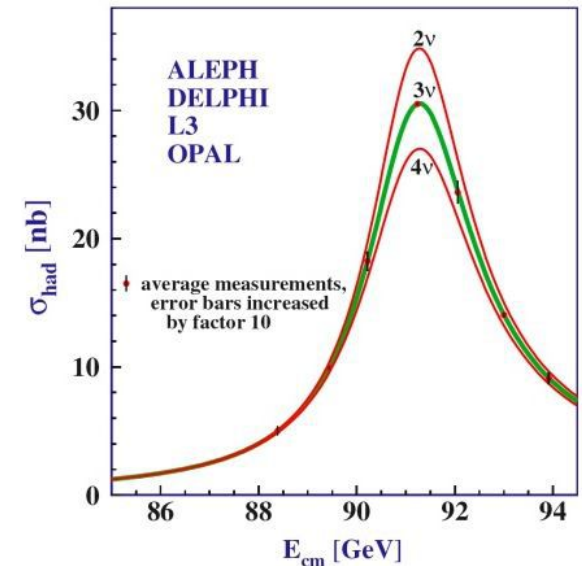
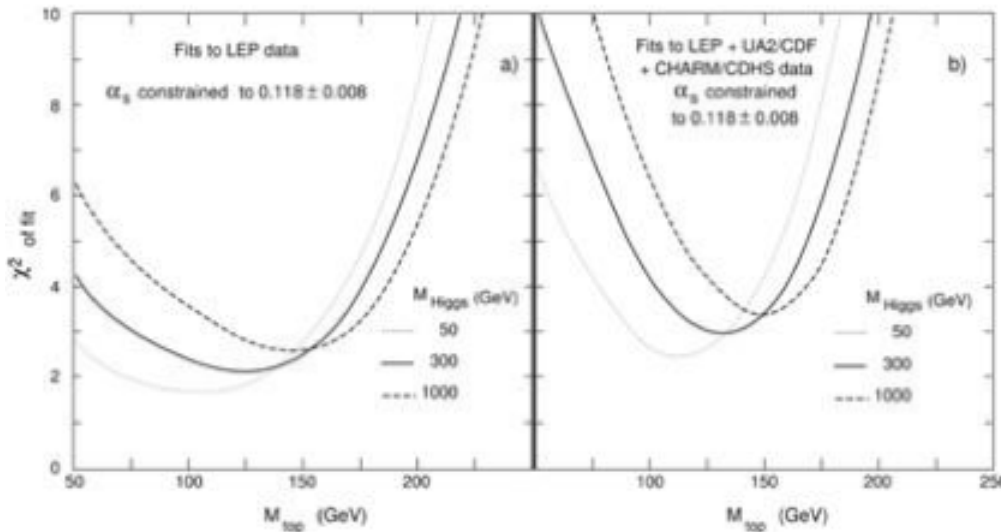
- PETRA (DESY) could reach  $\sim 20$  GeV (late '70s)
  - Search for narrow resonance
  - Look for increase in  $R=(\# \text{ of hadron events})/(\# \text{ of } \mu\mu \text{ events})$
  - Global event characteristics: look for spherical component
  - Negative results. Set limits:  $M_t > 23$  GeV
- TRISTAN (Japan) built to study the top quark (early '80s)
  - Similar search technique:
  - Could reach  $\sim 30$  GeV:  $M_t > 30$  GeV
- SLC/LEP (SLAC)
  - Look for  $Z \rightarrow t\bar{t}$
  - $M_t > 45$  GeV
- Reached kinematic limit for direct searches at  $e^+e^-$  colliders

# Indirect searches at $e^+e^-$ colliders

- In the SM, various EWK observables depend on the mass of the top quark



- Precision measurements of the EWK parameters, allow to measure virtual corrections with sufficient precision to put constraints on  $M_{\text{top}}$ 
  - Prediction upper limit  $< 200\text{-}220$  GeV





# Early searches at hadron colliders

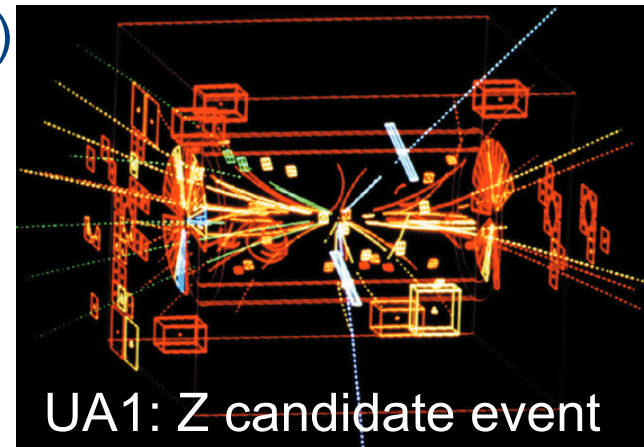
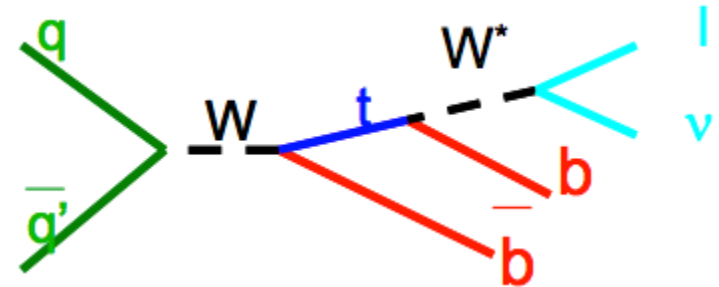
CERN Sp $\bar{p}$ S ( $\sqrt{s}=540$  GeV) built to observe W,Z

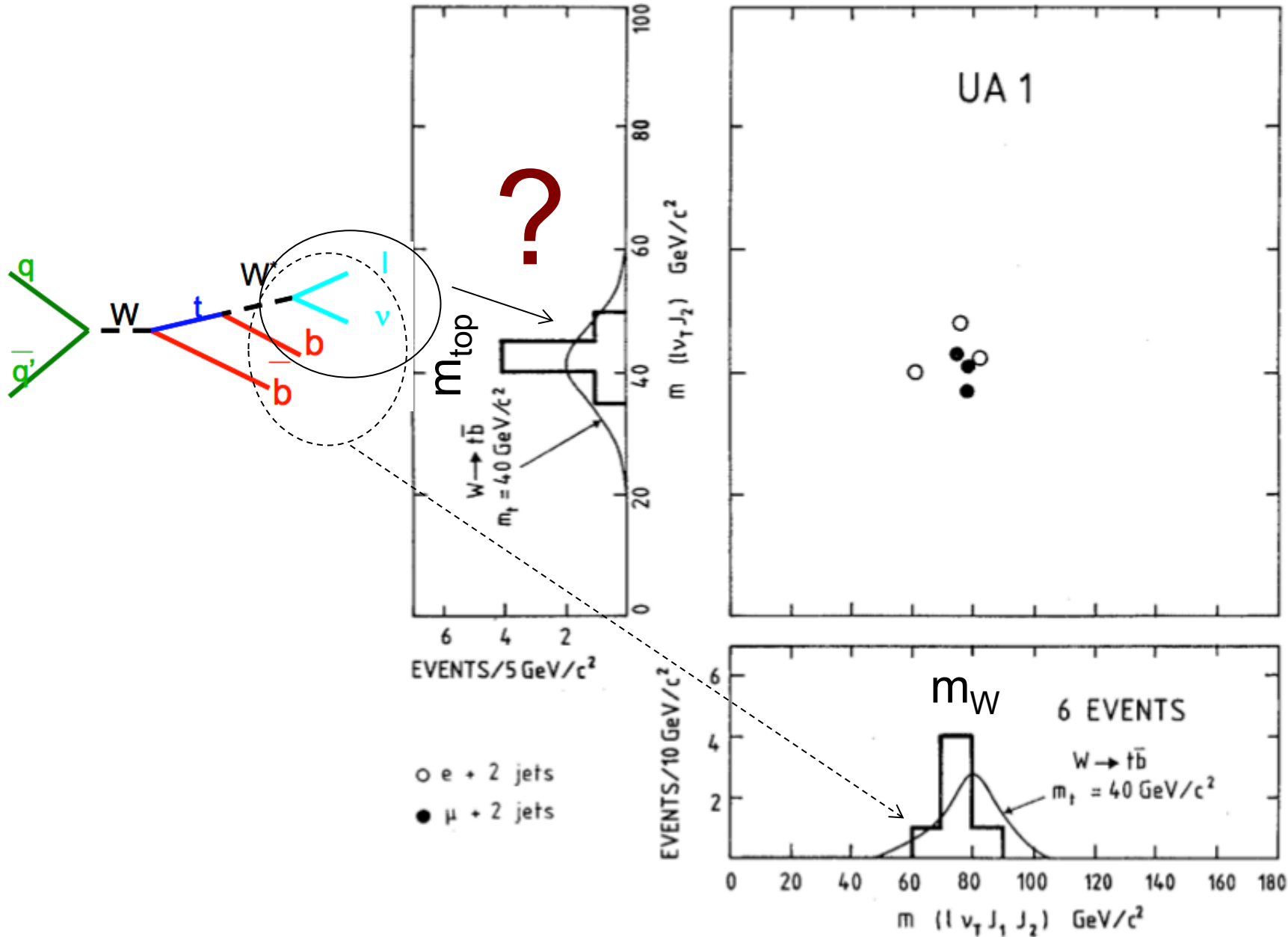
- Access to much higher energies
- Large backgrounds, low event rates
- Difficult reconstruction: jets

1984: UA1

- $W \rightarrow tb \rightarrow l\nu bb$
- Isolated high- $p_T$  lepton
- 2 or 3 hadronic jets
- Observe 5 events ( $e^+ \geq 2$  jets), 4 events ( $\mu^+ \geq 2$  jets)
- Expected background: 0.2 events
  - Fake leptons dominate;  $b\bar{b}/c\bar{c}$  negligible
- Result consistent with  $M_{\text{top}} = 40 \pm 10$  GeV
- Stop before claiming discovery...

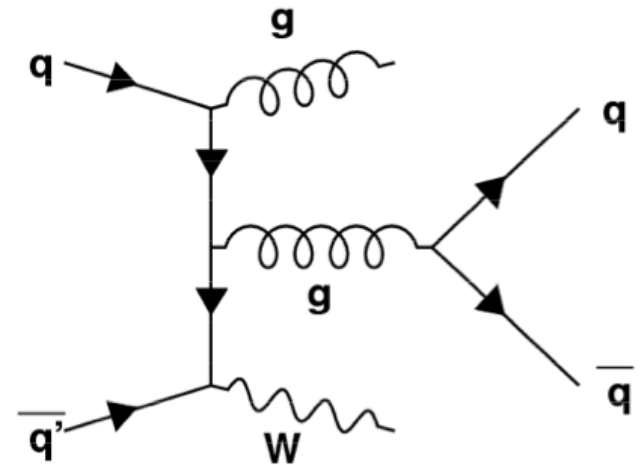
$\Rightarrow$  W+jet background was underestimated





# Searches at hadron colliders

- 1988 UA1
- Larger data sample (x6, total of  $600\text{nb}^{-1}$ )
- Improved understanding of the backgrounds
- Fake leptons,  $W$ +jets,  $DY$ ,  $J/\Psi$ ,  $b\bar{b}/c\bar{c}$



**channel**

$\mu + \geq 2$  jets

$e + \geq 1$  jets

**observed**

**10 events**

**26 events**

**expected background**

**$11.5 \pm 1.5$  events**

**$23.4 \pm 2.8$  events**

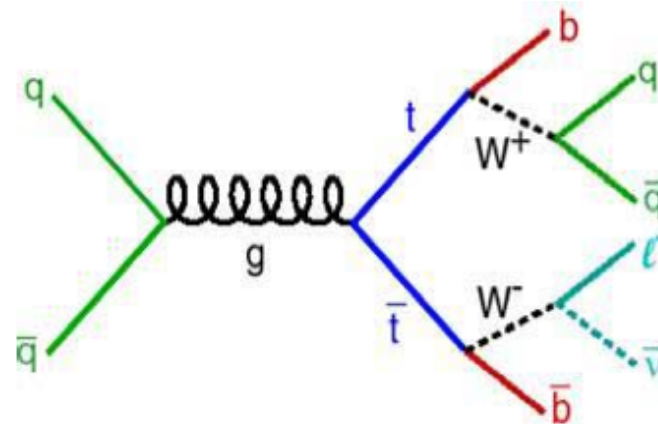
**(+ 23 expected if  $M_{\text{top}} = 40$  GeV)**

$\Rightarrow$  conclude  $M_{\text{top}} > 44$  GeV

# Fermilab joins the hunt

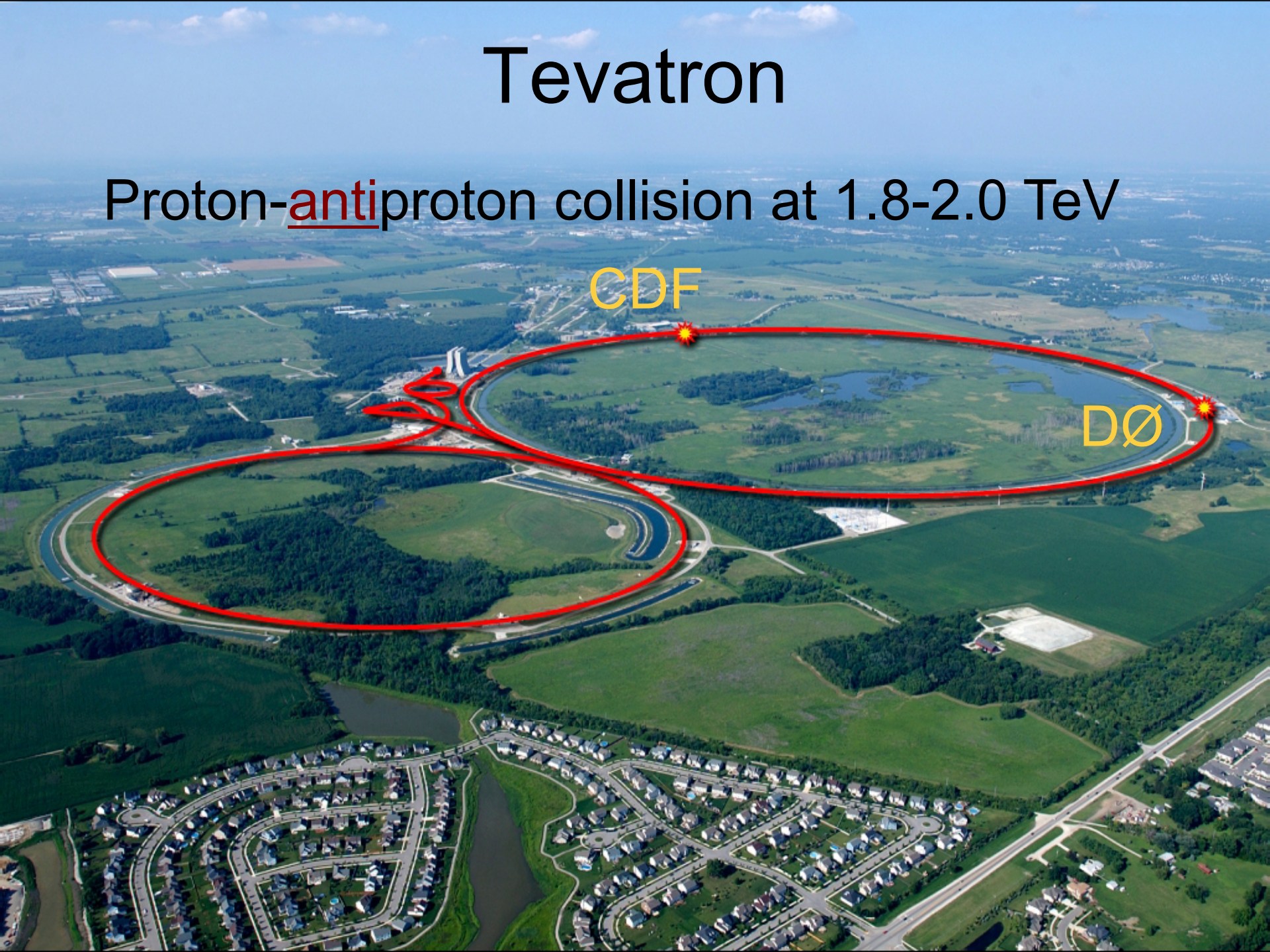
- 1988-89: at CERN, UA2 remains after the upgrades
- $\sqrt{1.8}$  TeV@Fermilab vs.  $\sqrt{0.63}$  TeV@CERN
- Much better reach for larger mass (only 75 GeV@UA2)
- At Tevatron, pair production dominates:  $t\bar{t} \rightarrow Wb W\bar{b}$

%	$e\nu$	$\mu\nu$	$\tau\nu$	$q\bar{q}$
$e\nu$	1.2	2.5	2.5	14.8
$\mu\nu$		1.2	2.5	14.8
$\tau\nu$			1.2	14.8
$q\bar{q}$				44.4



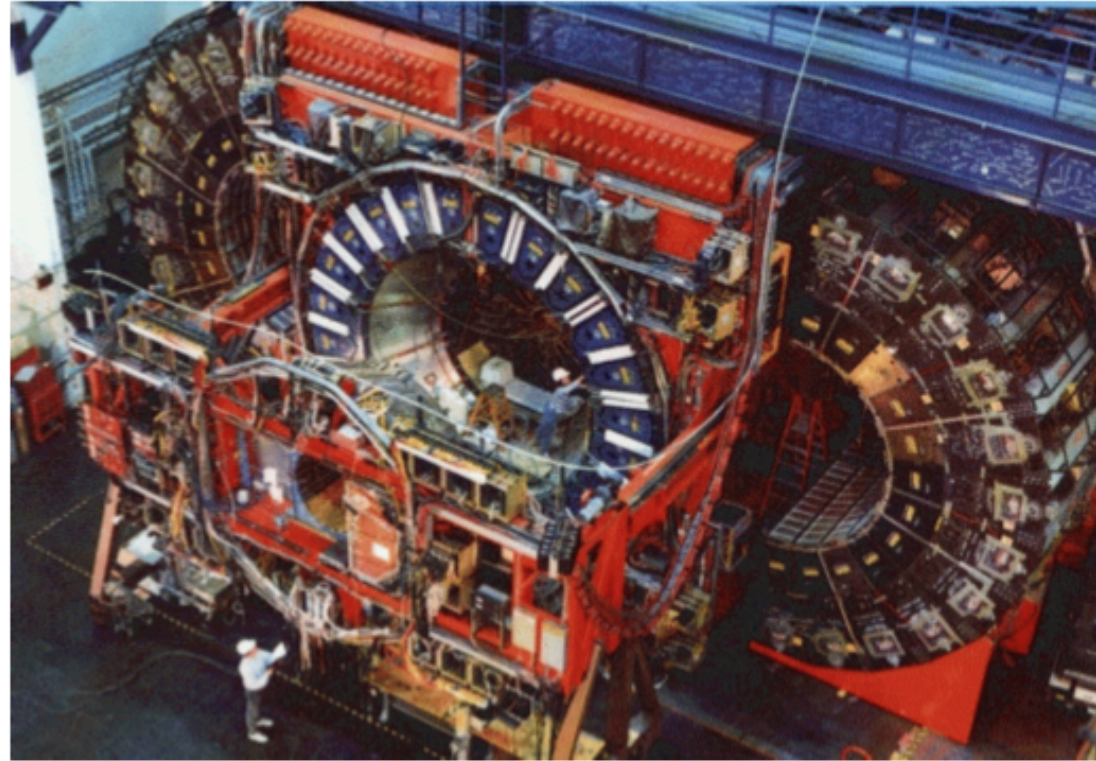
# Tevatron

Proton-antiproton collision at 1.8-2.0 TeV





**12 countries, 62 institutions  
767 physicists**



# Searches at CDF

## $e\mu$ channel

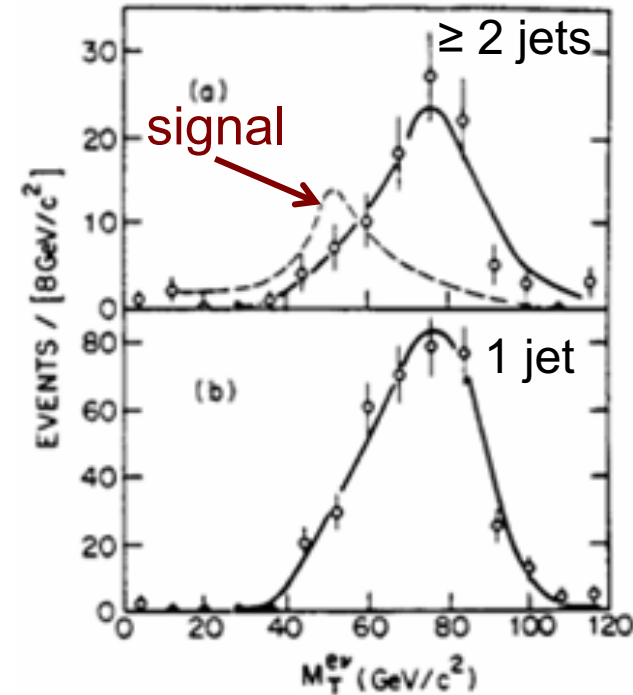
- Event rate lower:  $2 \times \text{BR}(W \rightarrow e\nu)$
- Background small (no  $W$ +jets, no  $DY$ )
- Dominant background is  $Z \rightarrow \tau\tau \rightarrow e\mu X$  (expect 1 evt)
- Observe 1 event (expect 7 evts for  $M_{\text{top}}=70$  GeV)

## $e\nu + \geq 2$ jets

- Dominant background:  $W$ +jets
- Discriminant:  $e\nu$  transverse mass
  - Background:  $W$  on-shell
  - Signal:  $W$  off-shell for  $M_{\text{top}}=40$ -80 GeV

$\Rightarrow M_{\text{top}} > 77$  GeV

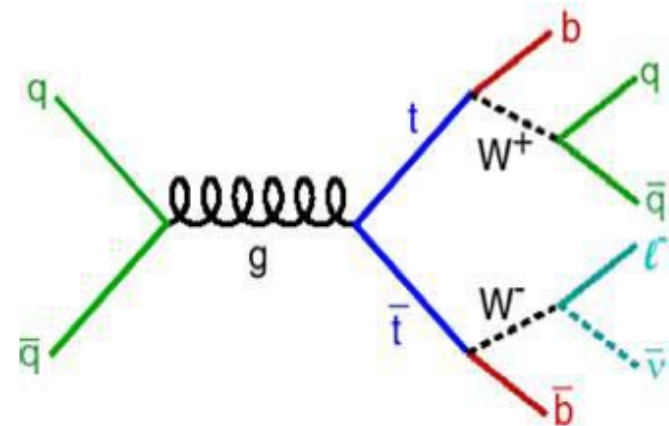
- UA2 uses similar technique:  $M_{\text{top}} > 69$  GeV



# Change of strategy: $M_{\text{top}} > M_b + M_W$

- Top quark decays to on-shell Ws: no  $M_T(l\nu)$  discriminant
- Main differences:
  - background: W+jets (largely quarks and gluons)
  - signal: W+jets (2 jets are b-jets)
- CDF publication on 88-89 data:
  - Dilepton: include  $ee$ ,  $\mu\mu$ ,  $e\mu$  (require missing ET, Z-veto)
  - Single lepton: require low  $p_T$  muon (semi-leptonic b-decays)

$\Rightarrow M_{\text{top}} > 91 \text{ GeV}$

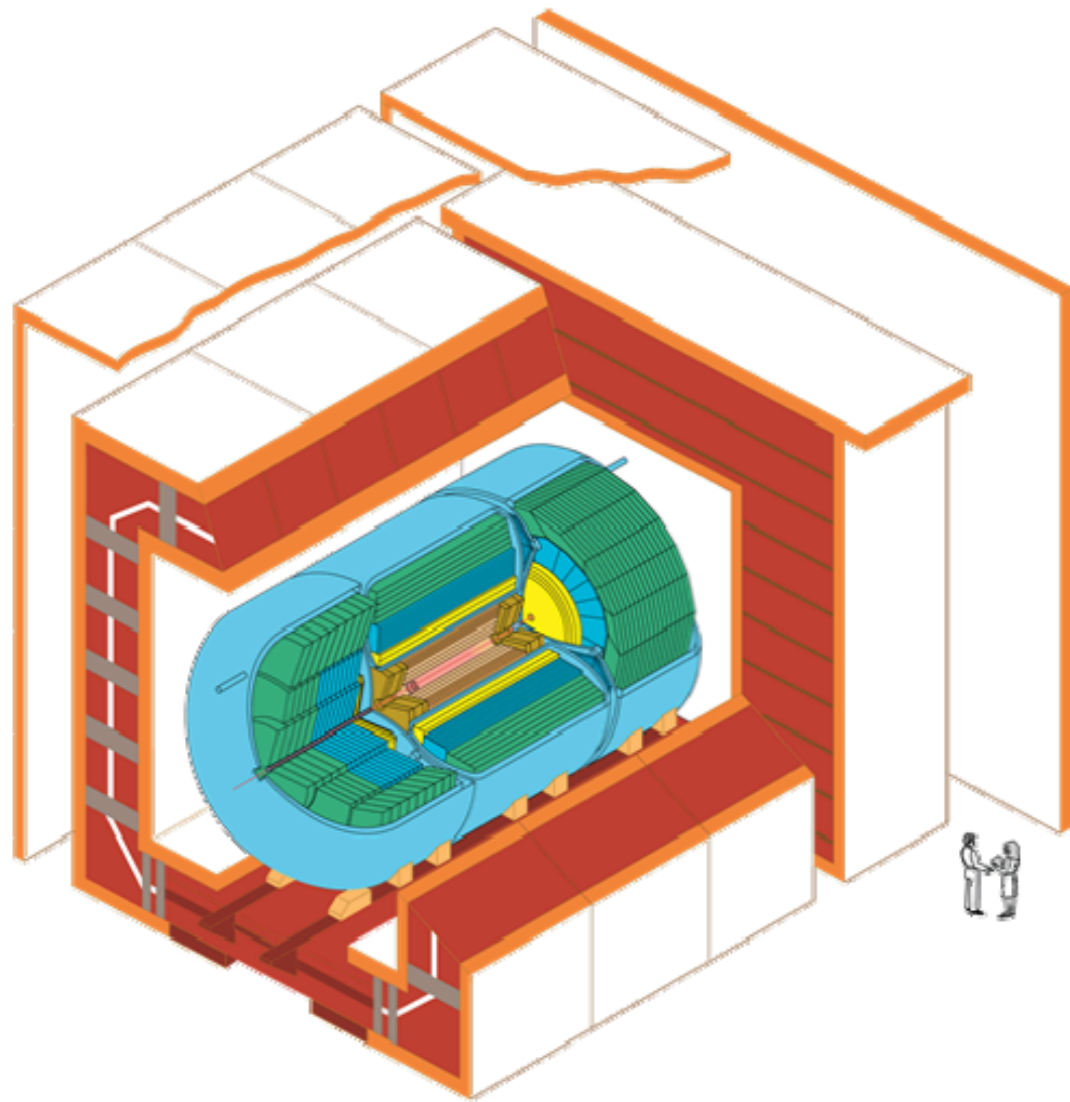




# D0 joins the hunt



**19 countries**  
**83 institutions, 664 physicists**



**D0 Detector**

# Searches at Tevatron: CDF and D0

## 1992-1995

- Tevatron collects more data (higher luminosity)
- D0: excellent calorimetry, large solid angle and coverage
- CDF: precision vertex detector, good tracker, magnetic spectrometer

## Run 1A:

- D0: optimized search for  $M_{\text{top}}=100$  GeV

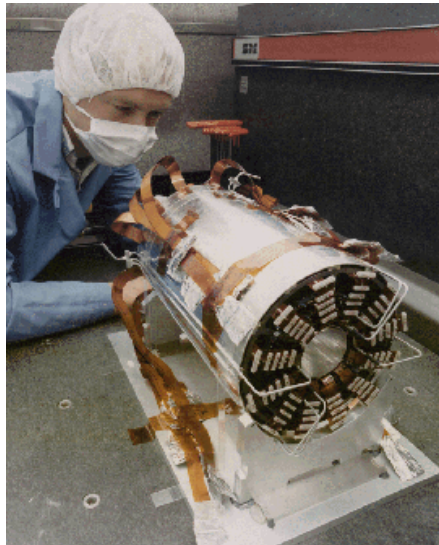
– $e\mu+\geq 1\text{jet}+\text{MET}$	1 evt	(1.1 bkg)
– $ee+\geq 1\text{jet}+\text{MET}$	1	(0.5)
– $e+\geq 4\text{jets}+\text{MET}$	1	(2.7)
– $\mu+\geq 4\text{jets}+\text{MET}$	0	(1.6)

$\Rightarrow M_{\text{top}} > 131$  GeV @ 95% CL

# Detecting the top quark at CDF

- Strategy

- dilepton: +2 jets
- single lepton: b-tagging
  - 1) soft  $e/\mu$ : semi-leptonic b-decay
  - 2) secondary vertex

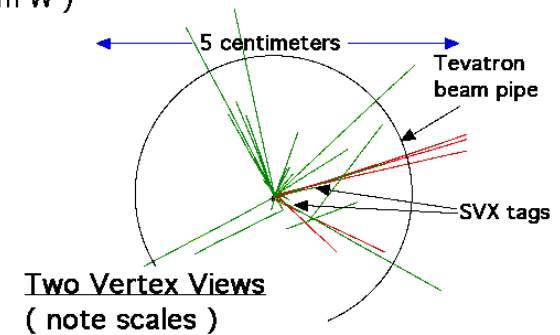
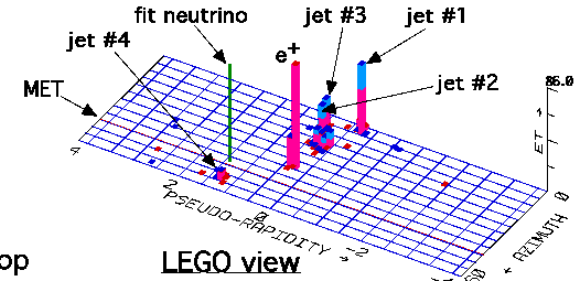
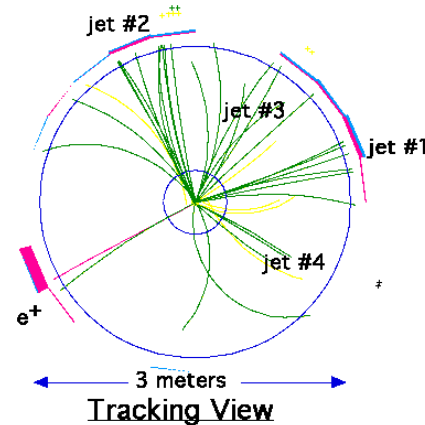


New: CDF vertex detector (SVX)  
 (40  $\mu\text{m}$  impact parameter resolution)  
 powerful discriminant against background

## $e + 4$ jet event

40758\_44414  
 24-September, 1992

TWO jets tagged by SVX  
 fit top mass is  $170 \pm 10$  GeV  
 $e^+$ , Missing  $E_T$ , jet #4 from top  
 jets 1,2,3 from top ( 2&3 from W )

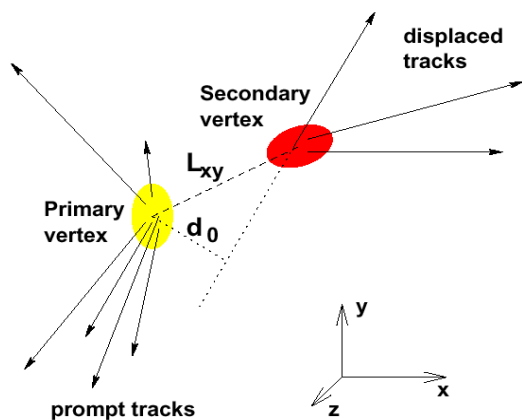


# Tagging b-jets

- Top events contain B hadrons
- Only 1-2% of dominant  $W$ +jets background contains heavy flavor

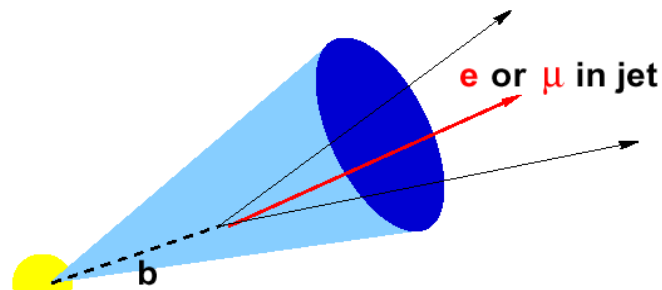
B hadrons are long-lived

Vertex displaced tracks



semileptonic B hadron decay

Soft Lepton Tagging



- $b \rightarrow lvc$  (BR  $\sim 20\%$ )
- $b \rightarrow c \rightarrow lvs$  (BR  $\sim 20\%$ )

55%

0.5%

Top Event Tagging Efficiency

False Tag Rate (QCD jets)

15%

3.6%

# 1993

## Coll. Meeting, Aug. 1993:

- Status report from each group (dilepton, single lepton)
- Small, not significant excess in all channels

Type	observed	background
DIL	2 events	$0.56^{+0.25}_{-0.13}$
SVX	6 tags	$2.3 \pm 0.3$
SLT	7 tags	$3.1 \pm 0.3$
total	12 events	---

← 3 events in  
← common

- In total, an excess of events
- Background fluctuation probability:  $2.8\sigma$
- Skepticism, additional studies, cross-checks
- Additional 8 months before making the results public

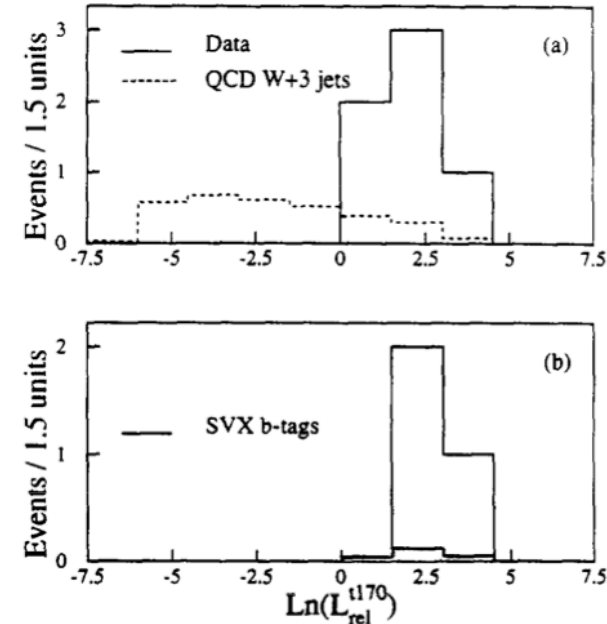
# Final steps: CDF and D0

**CDF:** counting experiment yields  $2.8\sigma$

- Few checks: no major discrepancy
- Other checks consistent with presence of signal
- Mass distribution looked good
- There were also other analyses at CDF
  - Difference of jet  $E_T$  spectra for signal and bkg
  - Separate two component for signal and bkg
  - CDF chose not to use those for first publication
- Use “counting” experiment

**D0:** added more data and re-optimized for heavy top (single- and di-lepton)

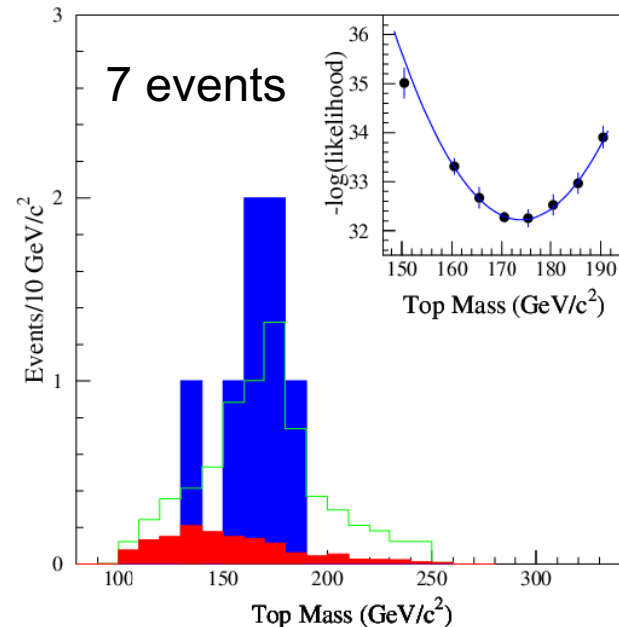
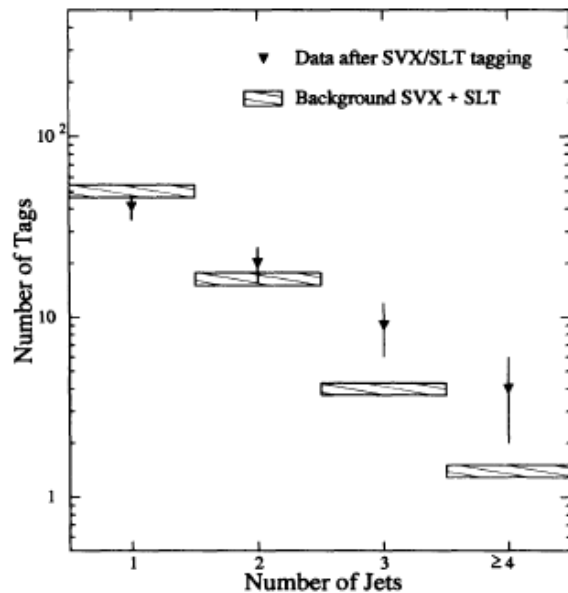
- Observed 7 events (expect 4-6 from bkg)
- No independent evidence



# First evidence (1994)

## Evidence for Top Quark Production in $\bar{p}p$ Collisions at $\sqrt{s} = 1.8$ TeV

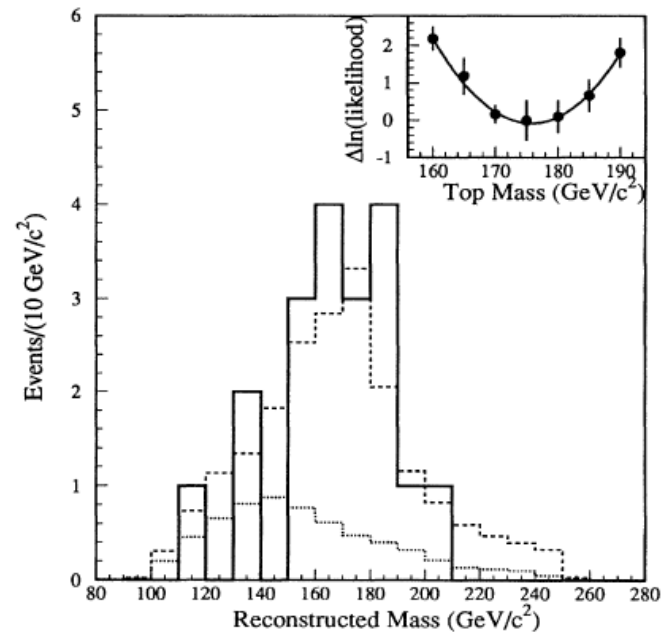
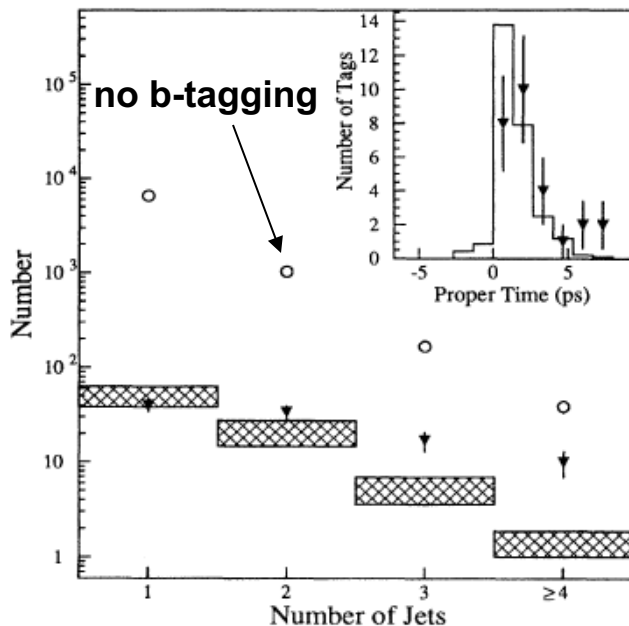
We summarize a search for the top quark with the Collider Detector at Fermilab (CDF) in a sample of  $\bar{p}p$  collisions at  $\sqrt{s} = 1.8$  TeV with an integrated luminosity of  $19.3 \text{ pb}^{-1}$ . We find **12 events** consistent with either two  $W$  bosons, or a  $W$  boson and at least one  $b$  jet. The probability that the measured yield is consistent with the background is 0.26%. Though the statistics are too limited to establish firmly the existence of the top quark, a natural interpretation of the excess is that it is due to  $t\bar{t}$  production. Under this assumption, constrained fits to individual events yield a top quark mass of  **$174 \pm 10 \pm 3$**   $\text{GeV}/c^2$ . The  $t\bar{t}$  production cross section is measured to be  **$13.9 \pm 6.1$**   $\text{pb}$ .



# First measurements

## Observation of Top Quark Production in $\bar{p}p$ Collisions with the Collider Detector at Fermilab

We establish the existence of the top quark using a  $67 \text{ pb}^{-1}$  data sample of  $\bar{p}p$  collisions at  $\sqrt{s} = 1.8 \text{ TeV}$  collected with the Collider Detector at Fermilab (CDF). Employing techniques similar to those we previously published, we observe a signal consistent with  $t\bar{t}$  decay to  $WWb\bar{b}$ , but inconsistent with the background prediction by  $4.8\sigma$ . Additional evidence for the top quark is provided by a peak in the reconstructed mass distribution. We measure the top quark mass to be  $176 \pm 8(\text{stat}) \pm 10(\text{syst}) \text{ GeV}/c^2$ , and the  $t\bar{t}$  production cross section to be  $6.8^{+3.6}_{-2.4} \text{ pb}$



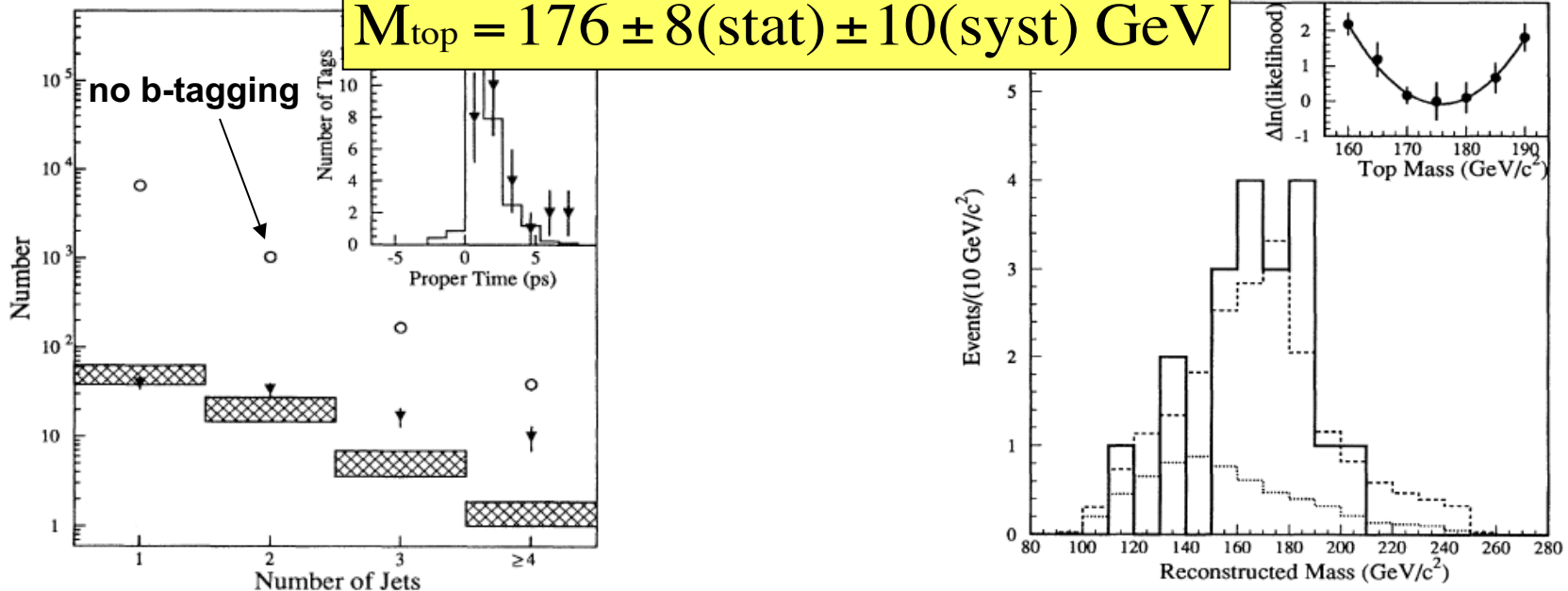


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$176 \pm 8(\text{stat}) \pm 10(\text{syst}) \text{ GeV}$   $\sigma_{tt} = 6.8^{+3.6}_{-2.4} \text{ pb}$   $M_{\text{top}} = 176 \pm 8(\text{stat}) \pm 10(\text{syst}) \text{ GeV}$

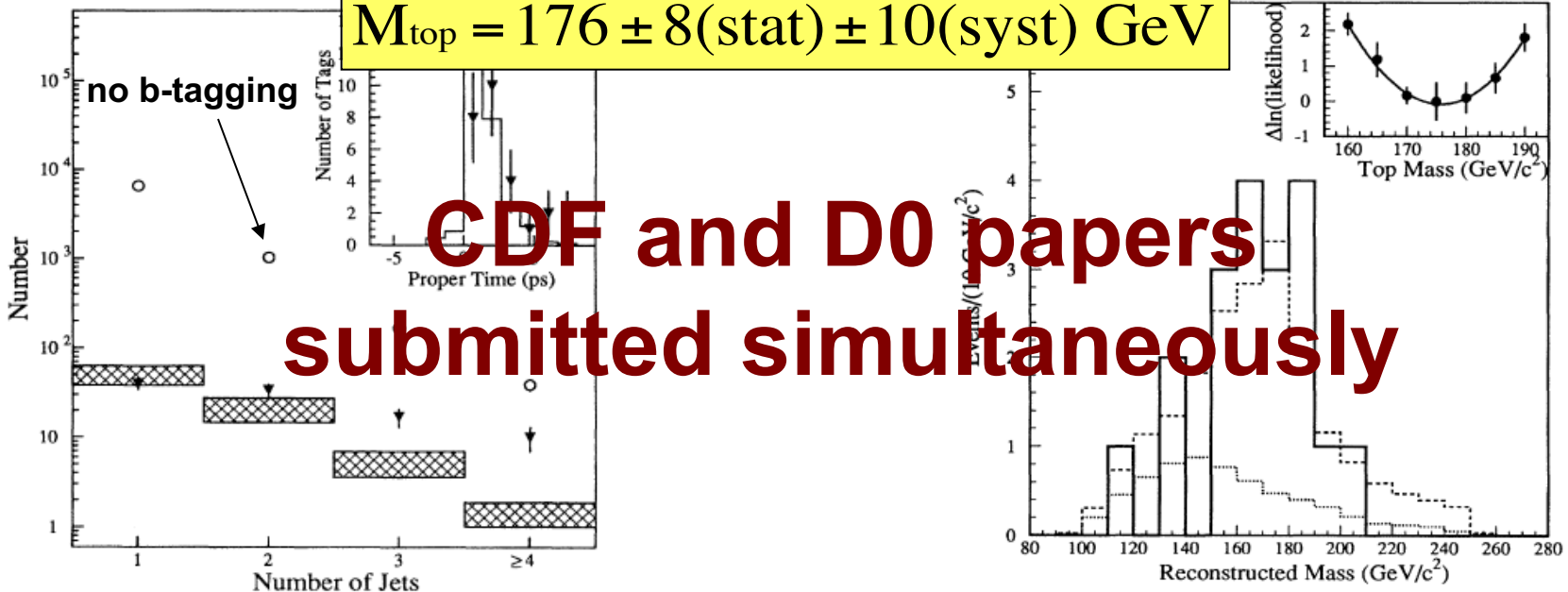


# First measurements

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$$\sigma_{tt} = 6.8^{+3.6}_{-2.4} \text{ pb}$$
$$M_{\text{top}} = 176 \pm 8(\text{stat}) \pm 10(\text{syst}) \text{ GeV}$$



# Top quark and its relevance

- Basics
- How to detect the top quark
- Tevatron vs LHC

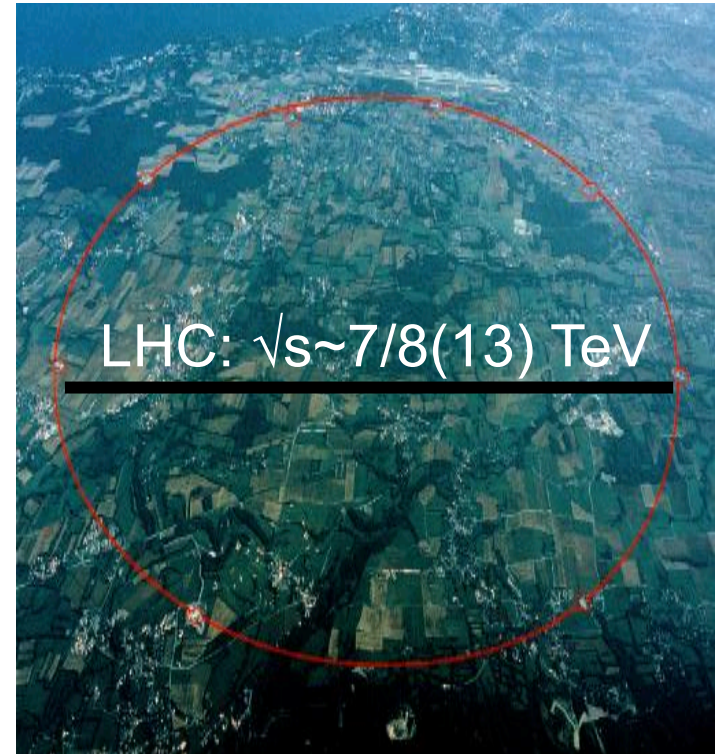
Three generations of matter (fermions)

	I	II	III		
mass	2.4 MeV/c <sup>2</sup>	1.27 GeV/c <sup>2</sup>	171.2 GeV/c <sup>2</sup>	0	? GeV/c <sup>2</sup>
charge	2/3	2/3	2/3	0	0
spin	1/2	1/2	1/2	1	0
name	<b>u</b> up	<b>c</b> charm	<b>t</b> top	<b>γ</b> photon	<b>H</b> Higgs boson
Quarks	4.8 MeV/c <sup>2</sup>	104 MeV/c <sup>2</sup>	4.2 GeV/c <sup>2</sup>	0	
	-1/3	-1/3	-1/3	0	
	1/2	1/2	1/2	1	
	<b>d</b> down	<b>s</b> strange	<b>b</b> bottom	<b>g</b> gluon	
Leptons	<2.2 eV/c <sup>2</sup>	<0.17 MeV/c <sup>2</sup>	<15.5 MeV/c <sup>2</sup>	91.2 GeV/c <sup>2</sup>	
	0	0	0	0	
	1/2	1/2	1/2	1	
	<b>ν<sub>e</sub></b> electron neutrino	<b>ν<sub>μ</sub></b> muon neutrino	<b>ν<sub>τ</sub></b> tau neutrino	<b>Z<sup>0</sup></b> Z boson	
	0.511 MeV/c <sup>2</sup>	105.7 MeV/c <sup>2</sup>	1.777 GeV/c <sup>2</sup>	80.4 GeV/c <sup>2</sup>	
	-1	-1	-1	±1	
	1/2	1/2	1/2	1	
	<b>e</b> electron	<b>μ</b> muon	<b>τ</b> tau	<b>W<sup>±</sup></b> W boson	

Gauge bosons

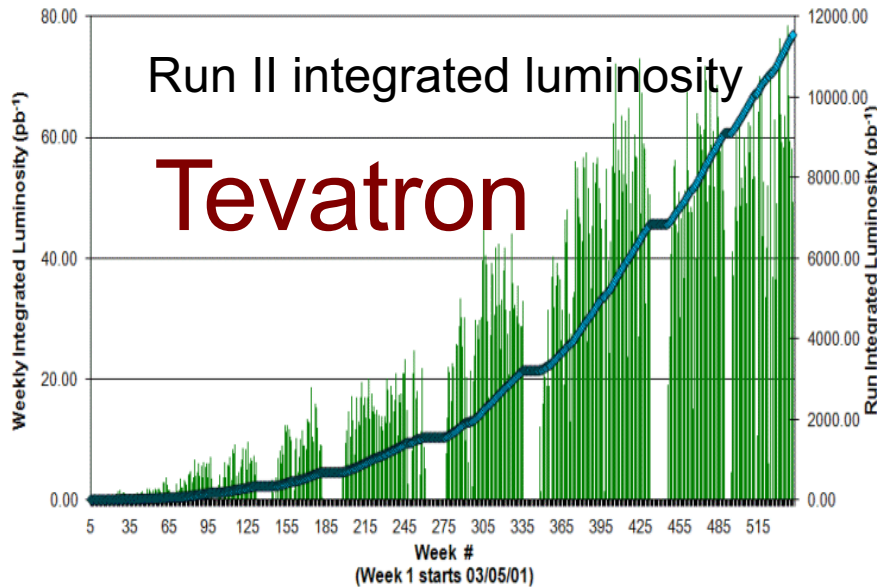
# The Large Hadron Collider

- Built to explore new energy frontiers
  - First colliding beams in 2009
  - started with “low” luminosity in 2010
  - $\sim 5 \text{ fb}^{-1}$  @ 7 TeV delivered in 2011
  - $\sim 20 \text{ fb}^{-1}$  @ 8 TeV in 2012
  - $> 150 \text{ fb}^{-1}$  @ 13 TeV in 2015-2018
- re-establish SM measurements
- access to new physics processes

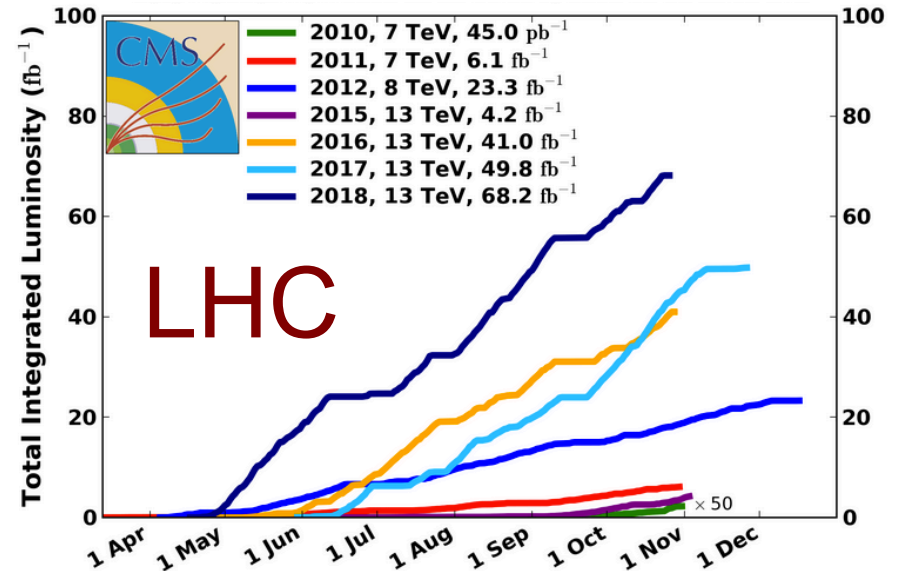


⇒ Top quarks give access to SM and BSM (?)

# Tevatron vs LHC



Energy: 1.96 TeV  
 Int. Luminosity: 12 fb<sup>-1</sup>  
 Age: ~25 years  
 Events/exp (1 fb<sup>-1</sup>)  
 350 ee eμ, μμ  
 2k lepton + jets



Energy: 7/8/(13) TeV  
 Int. Luminosity: 5/20/(150) fb<sup>-1</sup>  
 Age: ~9 years  
 Events/exp (1 fb<sup>-1</sup>)  
 40k ee eμ, μμ  
 250k lepton + jets

# What is the Top quark?

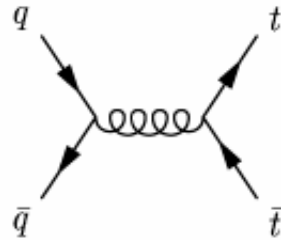
Quarks:  $\begin{pmatrix} u \\ d \end{pmatrix}$   $\begin{pmatrix} c \\ s \end{pmatrix}$   $\begin{pmatrix} t \\ b \end{pmatrix}$

Leptons:  $\begin{pmatrix} \nu_e \\ e \end{pmatrix}$   $\begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}$   $\begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}$

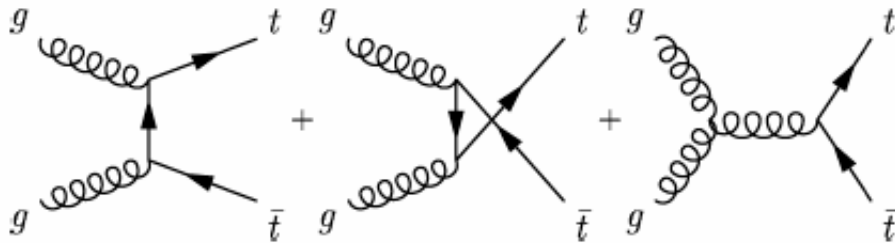


- It is the heaviest fundamental particle
  - $M_{\text{top}} = 174.3 \pm 0.6 \text{ GeV}$  (arXiv:1407.2682)
- Weak isospin partner of the b-quark
- Completes the SM of quarks and leptons

# How is the top quark produced?



← Dominant at Tevatron



← Dominant at the LHC

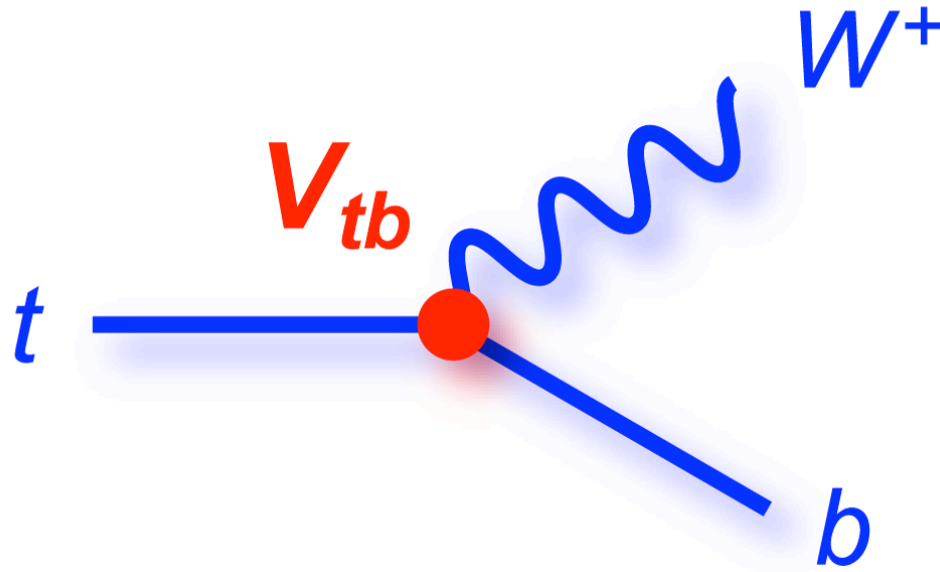
## Predicted cross sections:

Collider	$\sigma_{\text{tot}}$ [pb]	scales [pb]	PDF [pb]
Tevatron	7.164	+0.110(1.5%) -0.200(2.8%)	+0.169(2.4%) -0.122(1.7%)
LHC 7 TeV	172.0	+4.4(2.6%) -5.8(3.4%)	+4.7(2.7%) -4.8(2.8%)
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LHC 14 TeV	953.6	+22.7(2.4%) -33.9(3.6%)	+16.2(1.7%) -17.8(1.9%)

	LHC	Tevatron
gg	~85%	~10%
qq	~15%	~90%

Czakon et al. PRL 110, 252004 (2013)

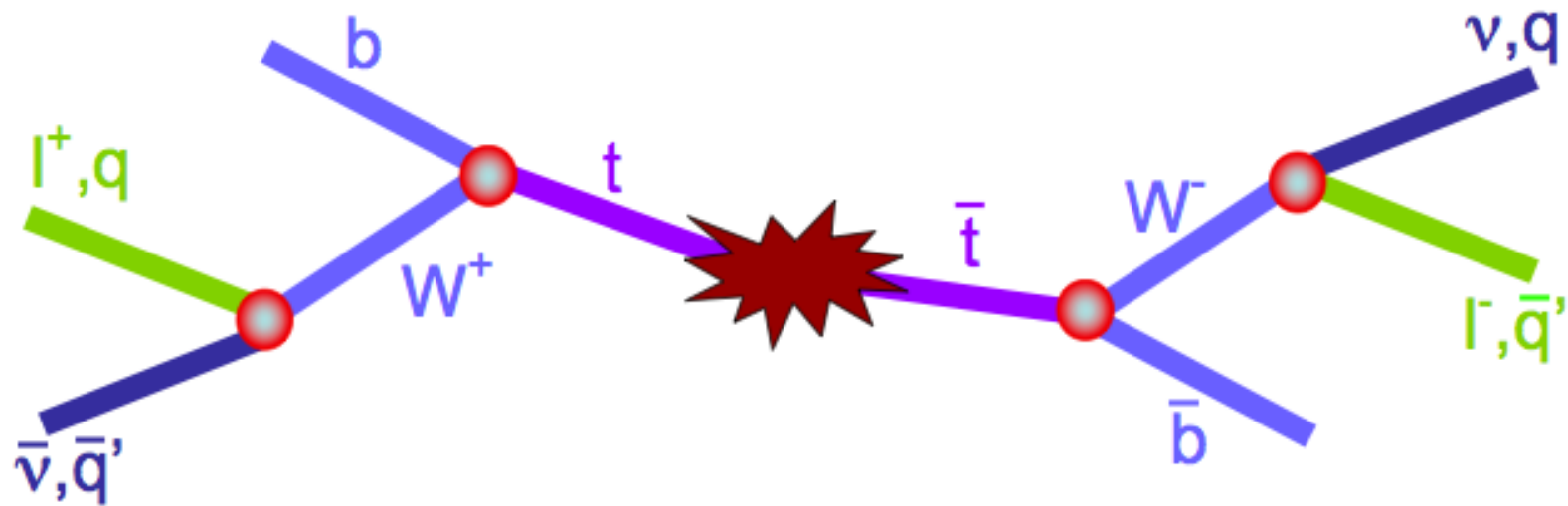
# How does a top quark decay?



- almost always  $t \rightarrow Wb$  (i.e.  $V_{tb} \sim 1$ )
- lifetime is short, and it decays before hadronizing
- the  $W$  is real:
  - can decay  $W \rightarrow l\nu$  ( $l=e,\mu,\tau$ ),  $BR \sim 1/9$  per lepton
  - can decay  $W \rightarrow qq$ ,  $BR \sim 2/3$



# Interesting physics with Top quark



## PRODUCTION

Cross section  
Resonances  $X \rightarrow tt$   
Fourth generation  $t'$   
Spin-correlations  
New physics (SUSY)  
Flavour physics (FCNC)

...

## PROPERTIES

Mass  
Kinematics  
Charge  
Lifetime and width  
W helicity  
Spin

...

## DECAY

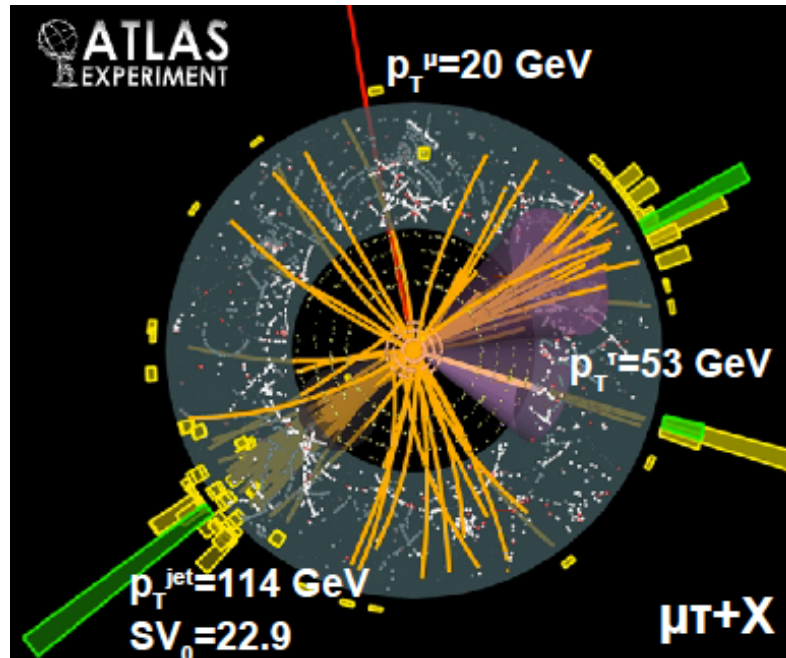
Branching ratios  
Charged Higgs (non-SM)  
Anomalous couplings  
Rare decays  
CKM matrix elements  
Calibration sample @LHC

...

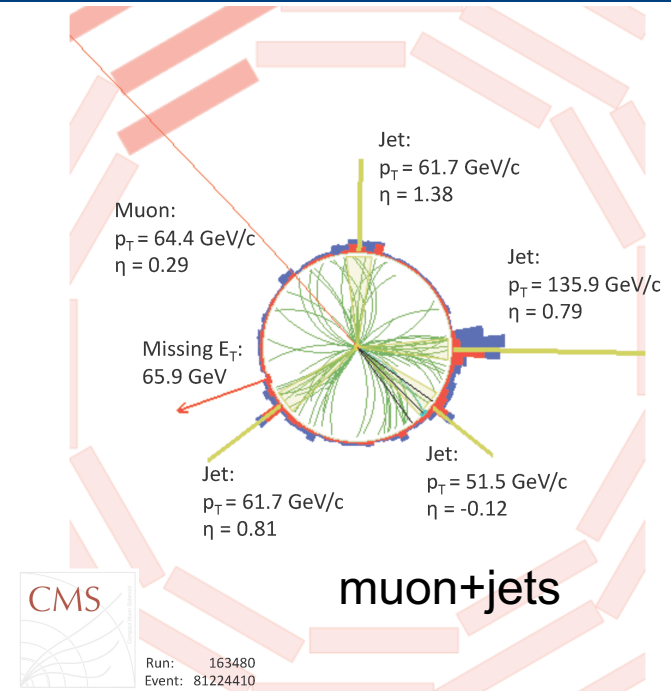
# Particle identification

- Object identification and reconstruction

# Selection of top quark events



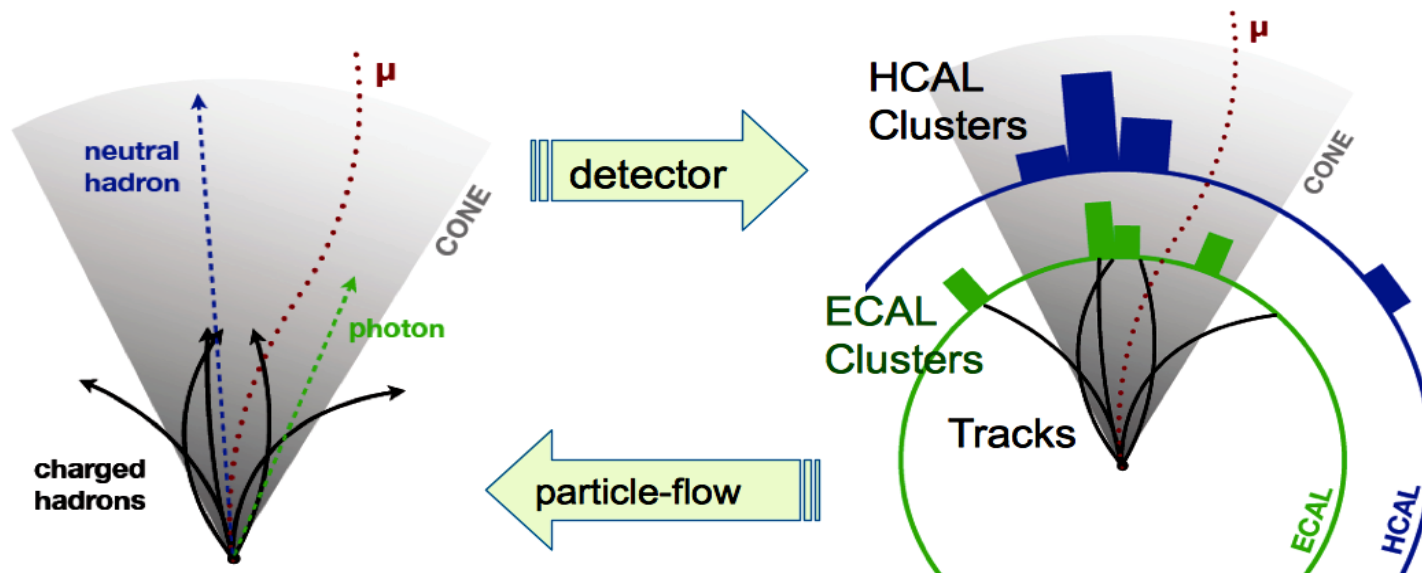
- Trigger:
  - single or double (isolated) lepton
- Leptons:
  - e/ $\mu$ ,  $p_T > 20/30$  GeV,  $|\eta| < 2.5$
  - Identification/reconstruction
  - Tracker/calorimeter isolation



- Jets:
  - at least 2 jets,  $p_T > 30$  GeV,  $|\eta| < 2.5$
  - anti-kT algorithm, with cone 0.4-0.5
  - b-tagging is optional
- Missing transverse energy:
  - Typically require 30-40 GeV

# Particle Flow event reconstruction

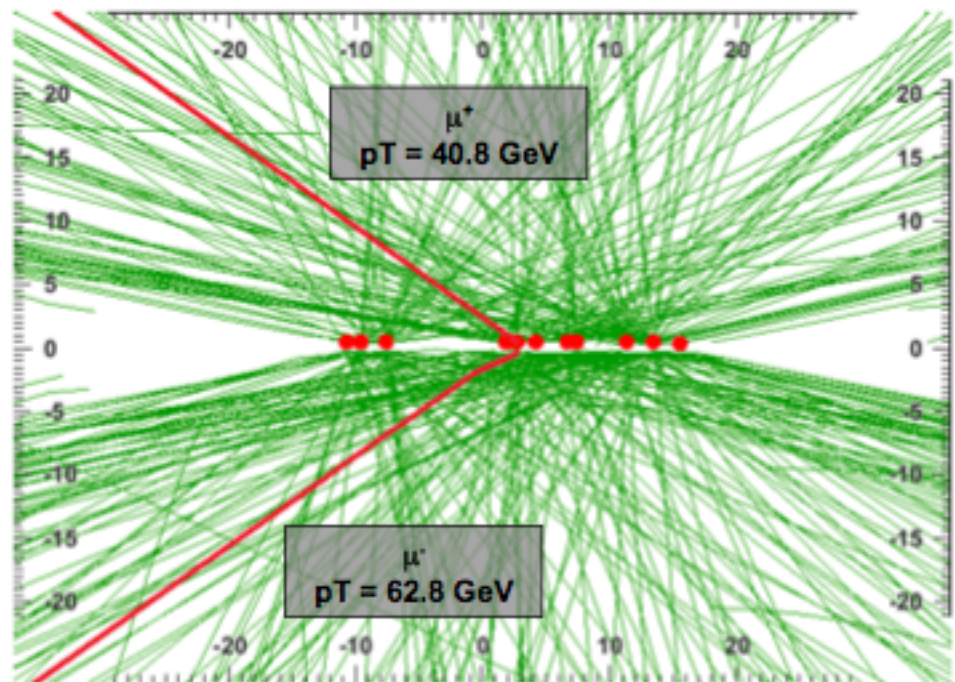
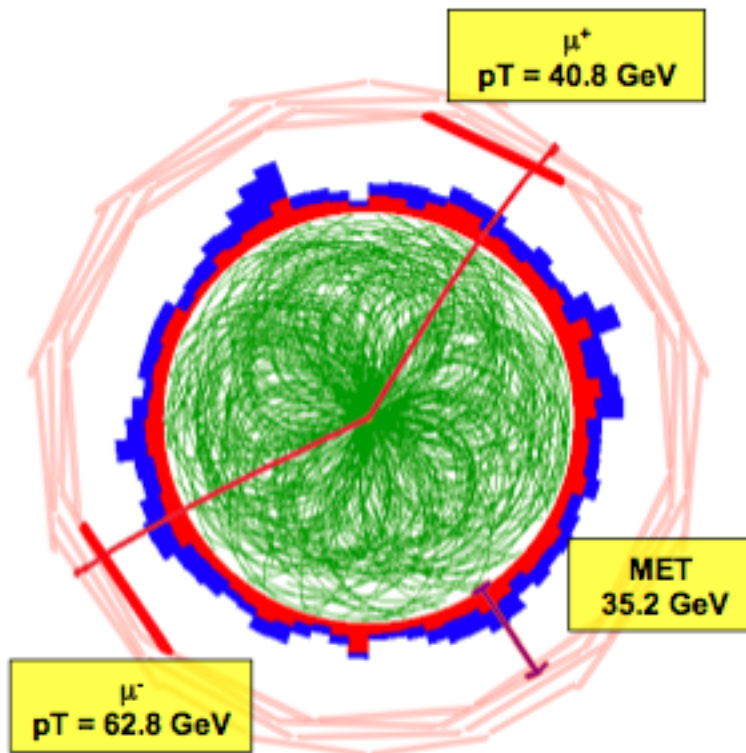
- Particle Flow (PF) combines information from all subdetectors to reconstruct particles produced in the collision
  - charged hadrons, neutral hadrons, photons, muons, electrons
  - use complementary info. from separate detectors to improve performance
  - tracks to improve calorimeter measurements
- From list of particles, can construct higher-level objects
  - Jets, b-jets, taus, isolated leptons and photons, MET, etc.



# Challenge: Pile-up

$Z \rightarrow \mu\mu$   
Expected MET = 0

10 in-time + 10 out-of-time  
pileup

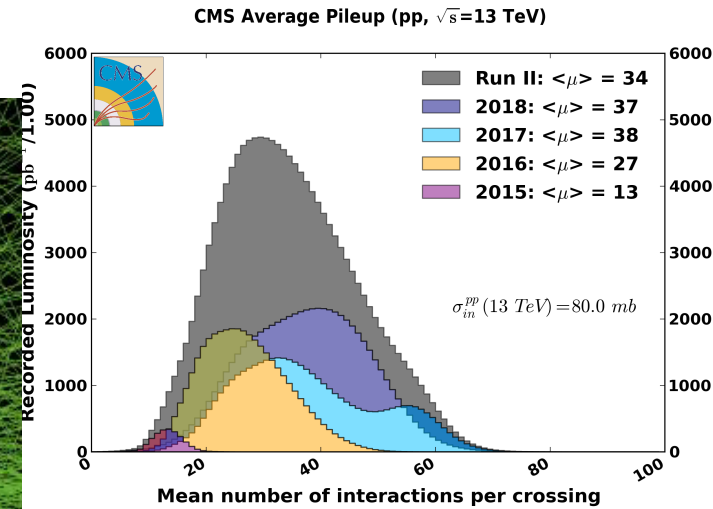


# ...in a challenging environment



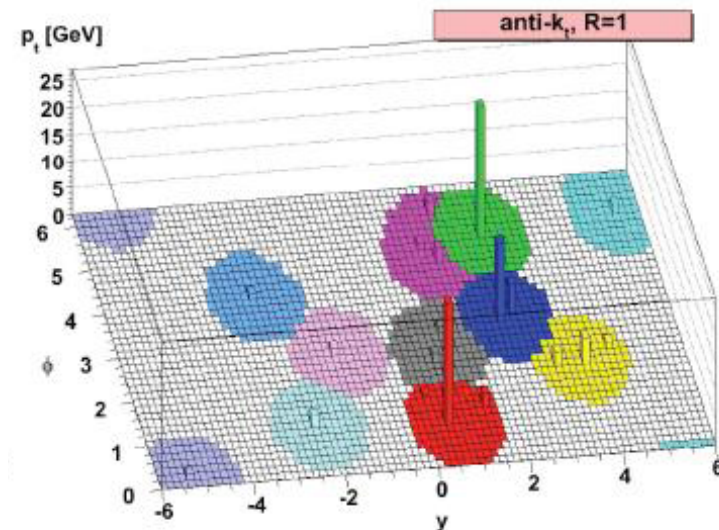
CMS Experiment at LHC, CERN  
Data recorded: Fri Oct 26 09:06:57 2018 CEST  
Run/Event: 325309 / 244518  
Lumi section: 1  
Orbit/Crossing: 121529 / 1650

136 vertices !



# Jet reconstruction

- A “jet” is a cluster of energy deposited in a “small”  $\eta$ - $\phi$  region of the detector
  - It is not a unique object, it is defined by the jet algorithm (different choices yield different jets)
- The jet algorithm uses detector reconstructed objects (clusters, tracks, combined objects)
- It is “safe” to higher order effects when it does not change jet quantities
- Efficient and pure: jets correspond to partons



# Missing transverse momentum

- **Neutrinos** (and “dark matter”) escape the detector without detection
  - Also longitudinal momentum and energy of other final state particles escape undetected (along the beam-pipe)
  - Momentum is not measured along the z-direction
  - Missing momentum along z is unknown
- The momentum of the neutrinos can be reconstructed in the transverse plane
- Momentum which is **missing** to balance the total momentum to zero

transverse energy vector

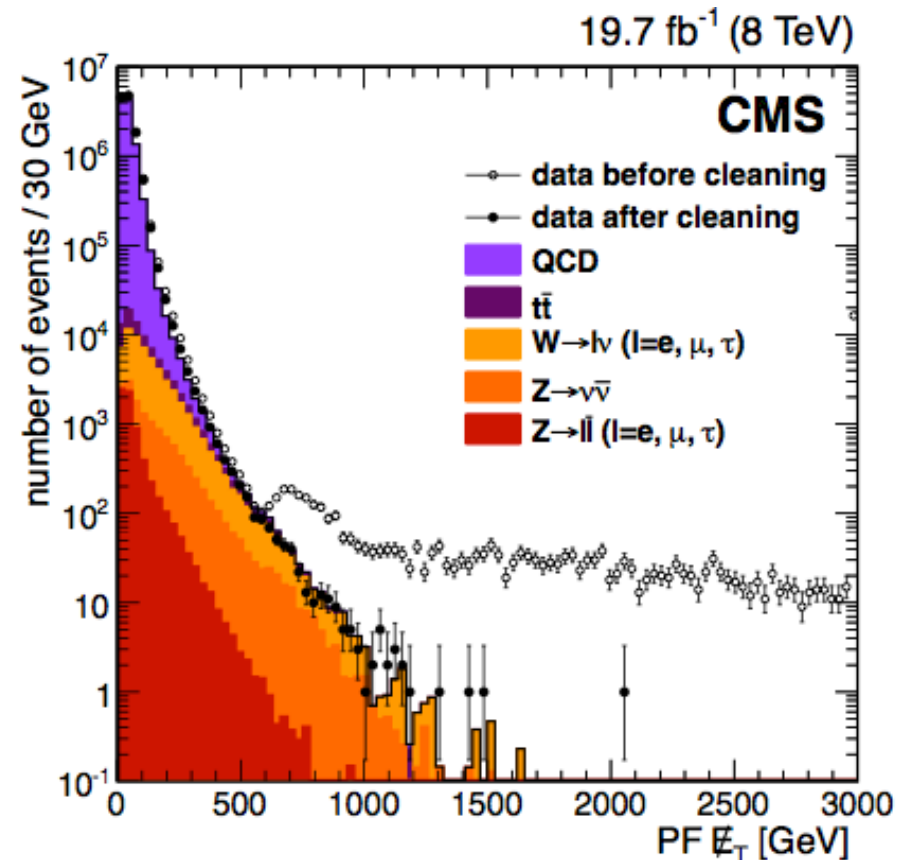
$$\mathbf{E}_T^{\text{miss}} = - \sum_i \mathbf{p}_T(i)$$

where the sum runs over the transverse momenta of all visible final state particles.

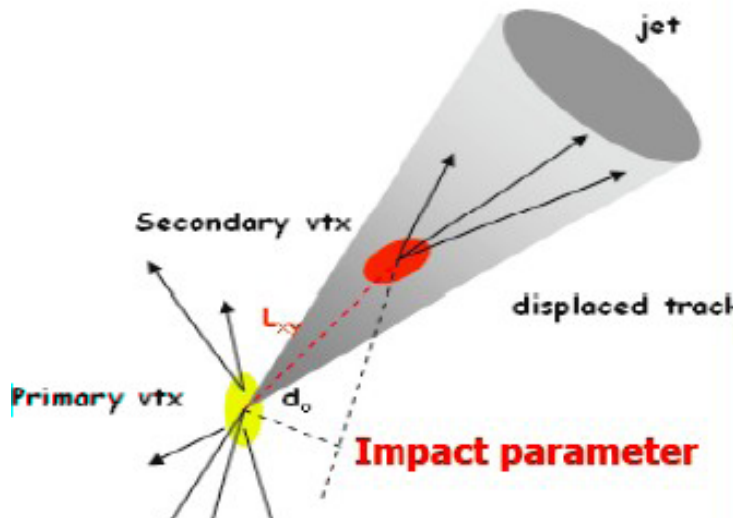


# Challenge: MET

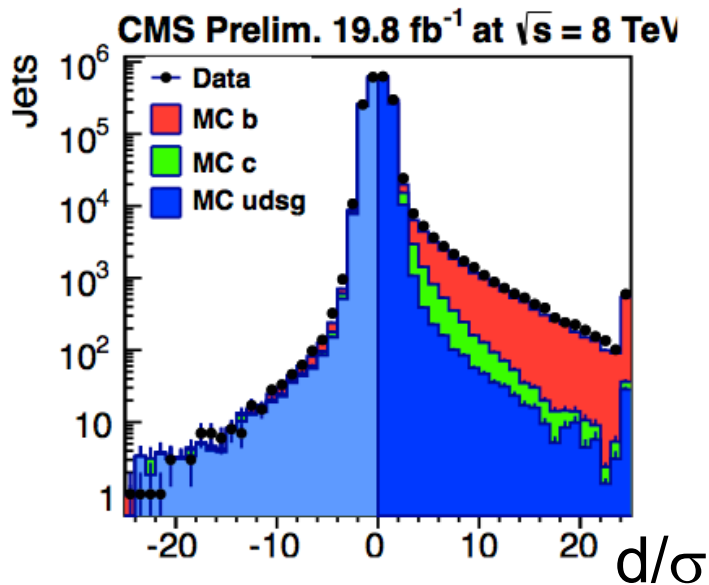
- Performance of the MET measurement depends on the measurement of ALL particles in the event
- Measurement is affected by:
  - Noise, mis-calibration, various calorimeter problems (dead channels, etc)
  - Modeling of QCD background events, pile-up, multiple interactions, ...
  - Muon momentum measurement (muons inside jets)
  - Cosmic background events
  - Beam halo (i.e. collisions upstream of detector, parallel to beam)
- MET significance



# Challenge: b-tagging



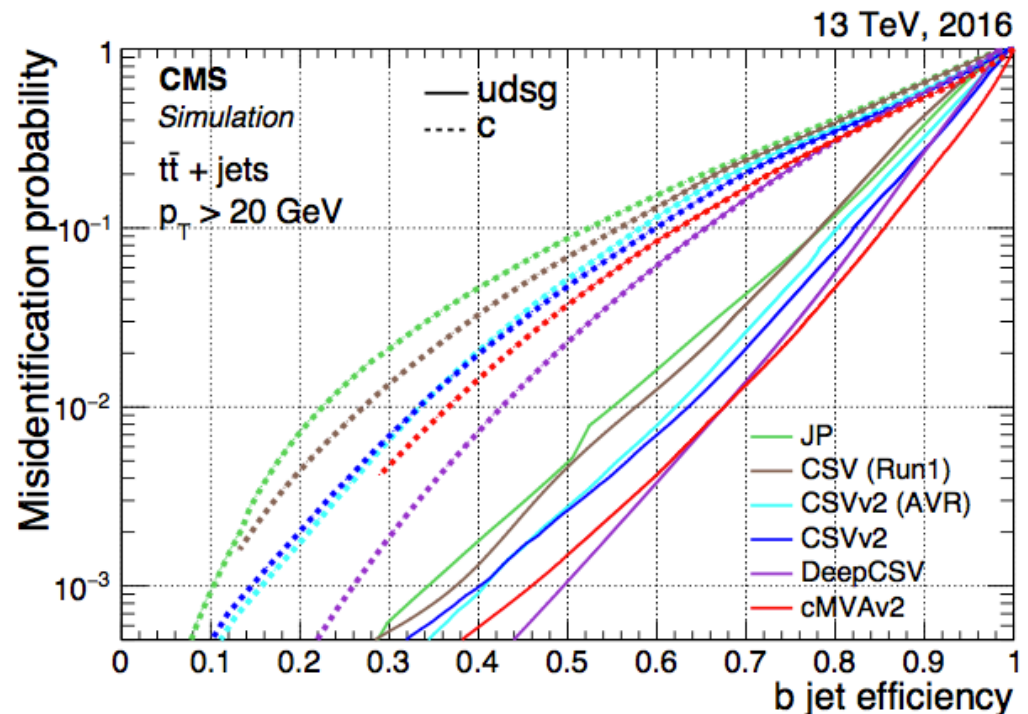
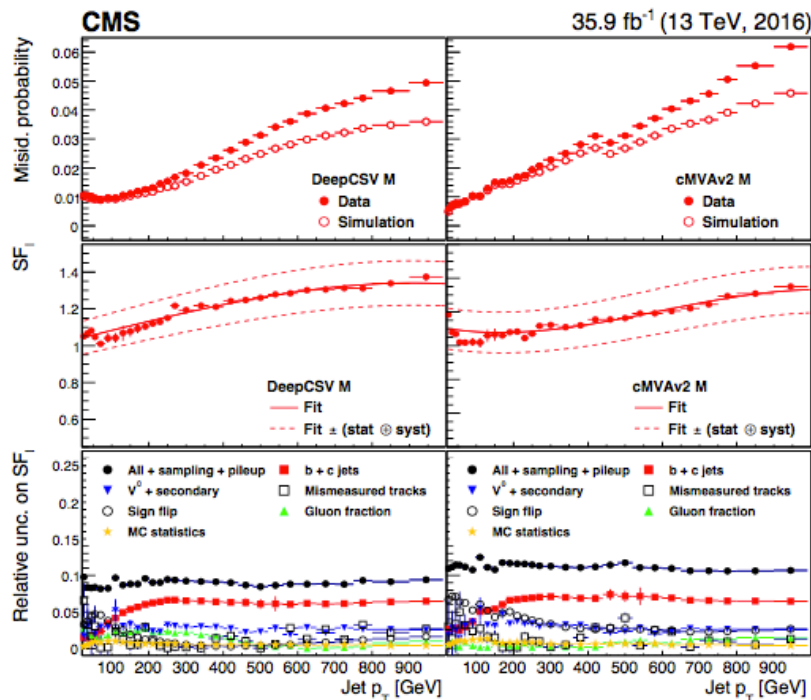
- Lifetime:  $\tau_b \sim 1\text{-}2$  psec
- Reduction of background obtained by identifying jets from b-quarks
- Two methods:
  - Secondary vertex tagging
  - Semileptonic decays of b-hadrons in jets ( $b \rightarrow l \nu_l X$ )



# b-tag: fake rates and efficiencies

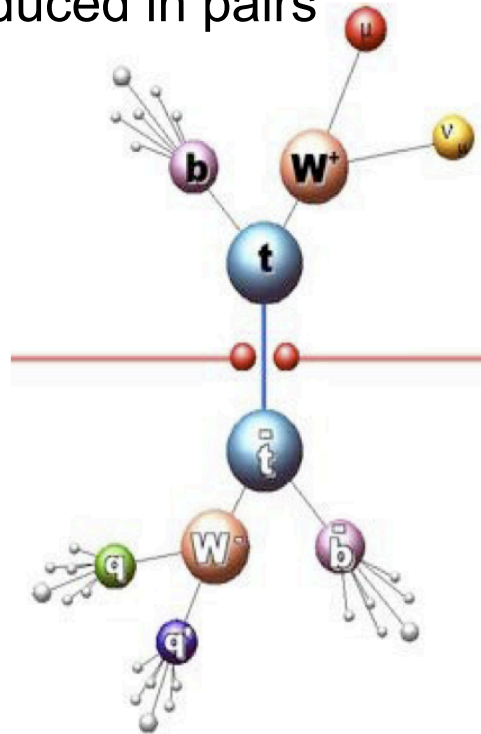
arXiv:1712.07158

- b-tag optimization: trade-off between fake rate and efficiency
- study performance of different tagging working points
- Uncertainty on data/MC scale factor, depending on algorithms

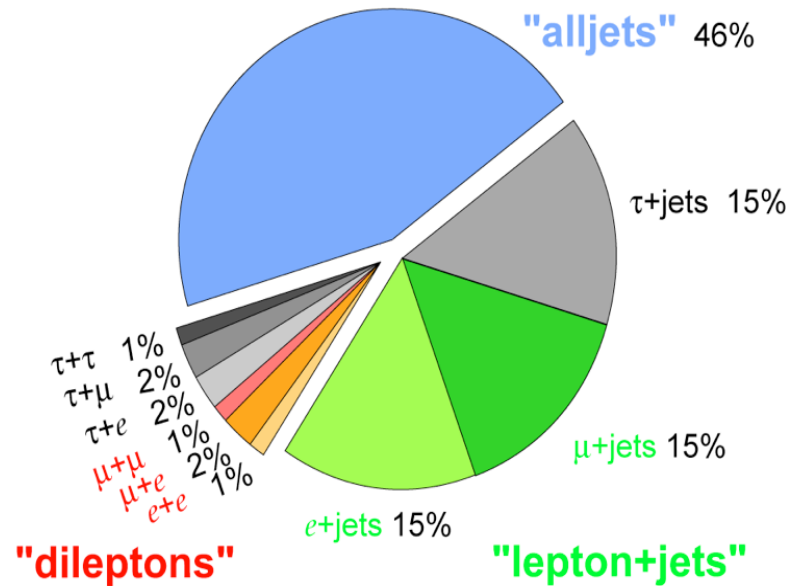


# Top quark decays

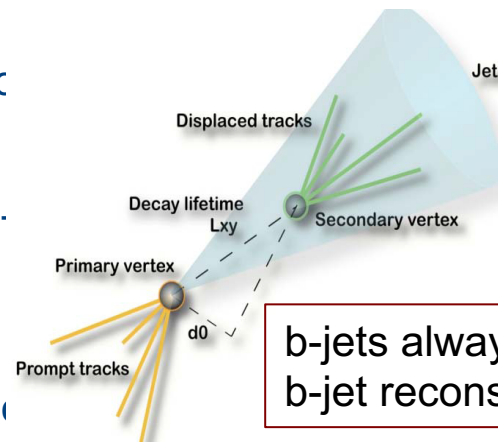
Top quarks (mostly) produced in pairs



Top Pair Branching Fractions



- Dilepton ( $ee$ ,  $\mu\mu$ ,  $e\mu$ ):
  - BR~5%, 2 leptons+2 b-jets+2 neutrino
- Lepton ( $e$  or  $\mu$ ) + jets
  - BR~30%, one lepton+4jets (2 from b)-neutrino
- All hadronic
  - BR~44%, 6 jets (2 from b), no neutrino



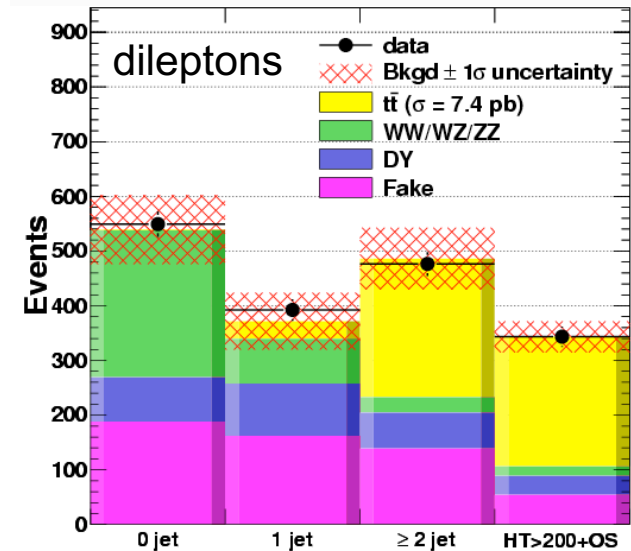
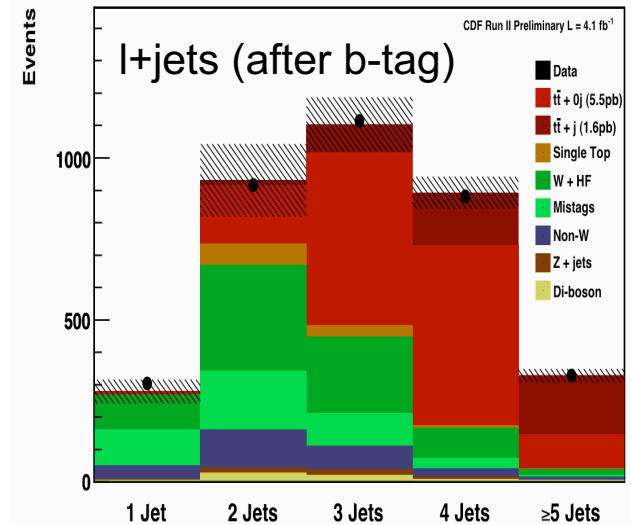
b-jets always present  
b-jet reconstruction plays important role

# Measurements

- Measurement of the cross section

# Top quark events

- LHC@13TeV cross section  $\sim 100$  times larger than Tevatron
- select  $t\bar{t}$  events at LHC:
  - understand/calibrate detector
  - measure properties
- event selection includes SM control events
- $t\bar{t}$  final state is complex (ie not mass peak)
- Top quarks and new physics:
  - $t\bar{t}$  sample may contain new physics
  - look at jet multiplicity bins (since  $t\bar{t}$  is background e.g. for SUSY), or other variables



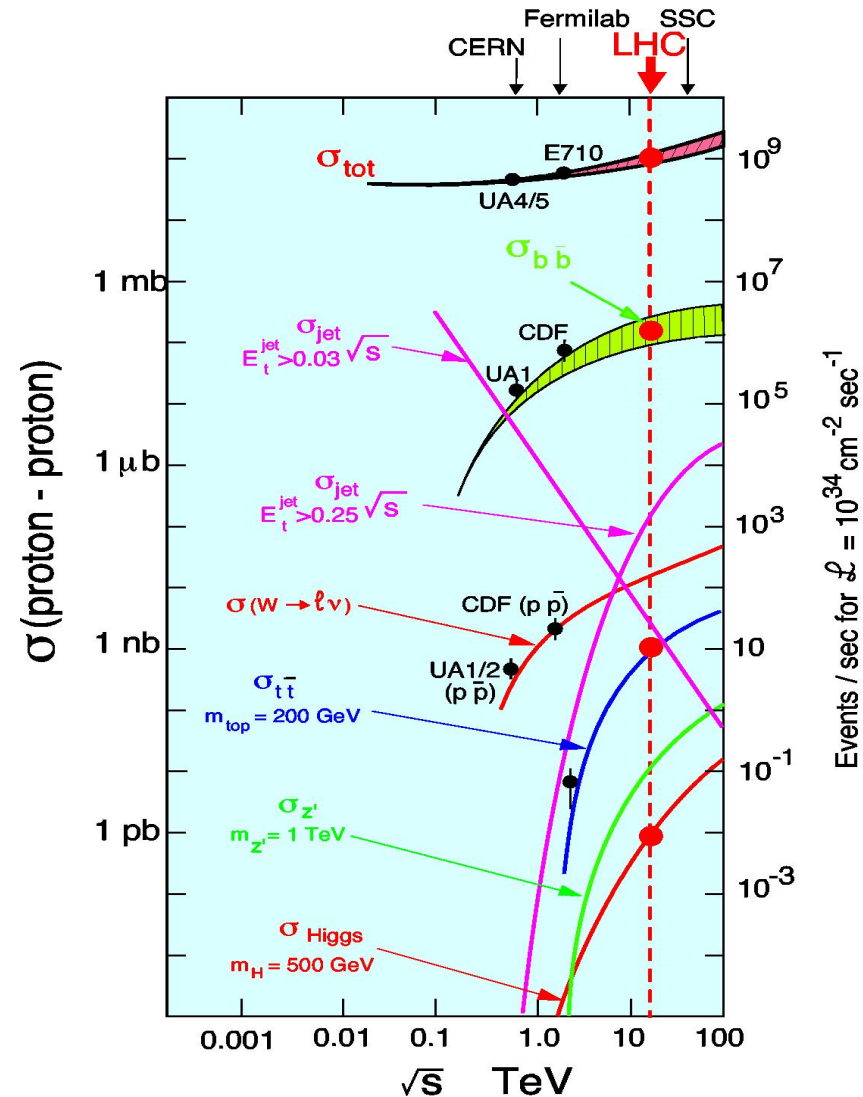
# Theory cross sections: TeV vs LHC

Collider	$\sigma_{\text{tot}}$ [pb]	scales [pb]	PDF [pb]
Tevatron	7.164	+0.110(1.5%) −0.200(2.8%)	+0.169(2.4%) −0.122(1.7%)
LHC 7 TeV	172.0	+4.4(2.6%) −5.8(3.4%)	+4.7(2.7%) −4.8(2.8%)
LHC 8 TeV	245.8	+6.2(2.5%) −8.4(3.4%)	+6.2(2.5%) −6.4(2.6%)
LHC 14 TeV	953.6	+22.7(2.4%) −33.9(3.6%)	+16.2(1.7%) −17.8(1.9%)

Including NNLO+NNLL approximations  
PRL 110, 252004 (2013) (M. Czakon et al.)

# Top cross section at 7/8 vs 13 TeV

- LHC collisions started at 7/8 TeV
- LHC design is at 14 TeV
- Top cross section drops faster than background processes at lower  $\sqrt{s}$ 
  - top  $\sigma(7\text{TeV}) = 172 \text{ pb}$
  - top  $\sigma(8\text{TeV}) = 246 \text{ pb}$
  - top  $\sigma(13\text{TeV}) = 832 \text{ pb}$
- Background is more “flat”





# Cross section measurement

$$\sigma_{t\bar{t}} = \frac{N_{obs} - N_{bgd}}{\epsilon_{t\bar{t}} \cdot \int L dt}$$

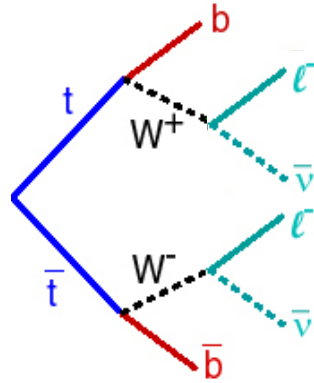
Number of observed events

Number of background events  
(from data, calculated from theory)

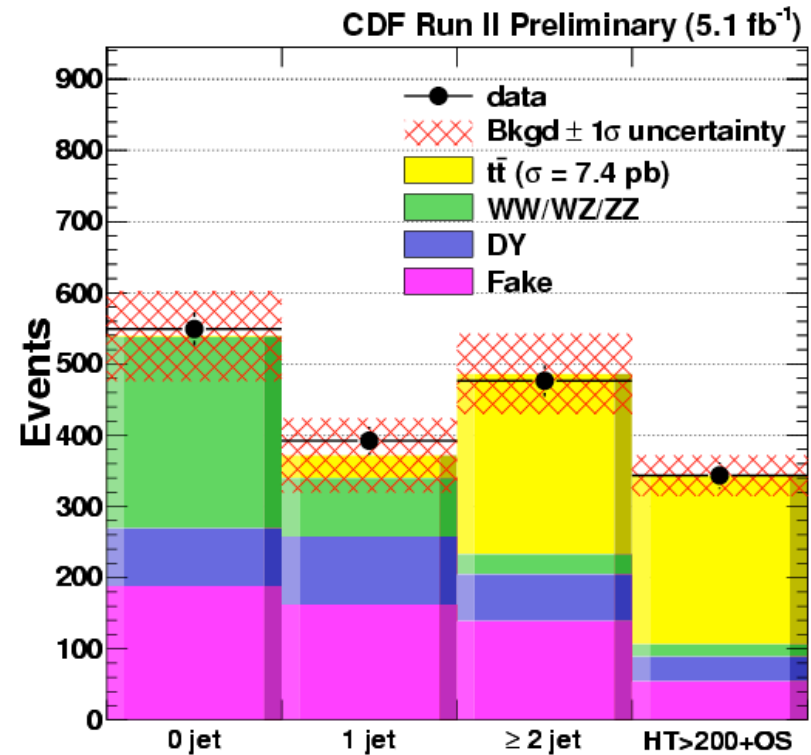
Acceptance  
(experimental: detector, efficiencies)

Luminosity  
(determined by amount of data, accelerator, triggers, etc)

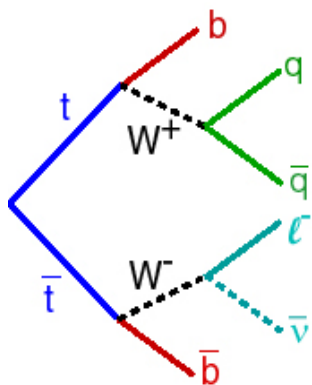
# Dilepton channel



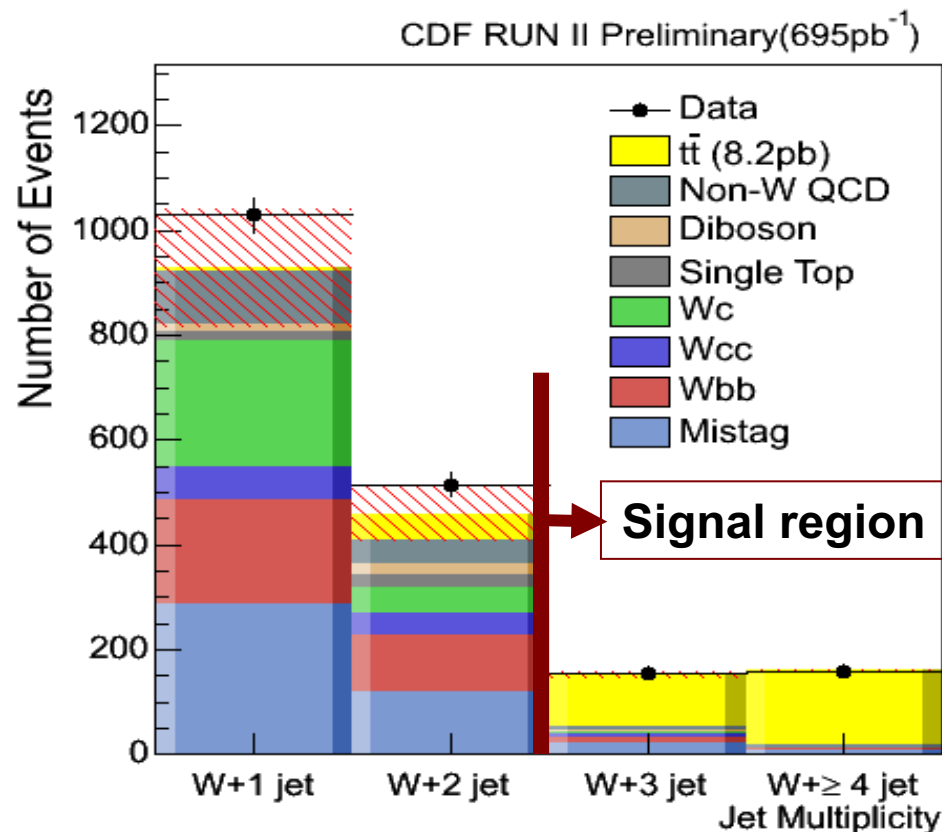
- Branching ratio (BR)  $\sim 5\%$
- Background: **small**
- Clean final state
  - two leptons +  $\geq 2$  jets + MET
  - kinematic variables
- Signal visible w/without b-tagging
- Main systematics: JES, lepton ID, (pileup, b-tag, signal modeling)



# Lepton + jets

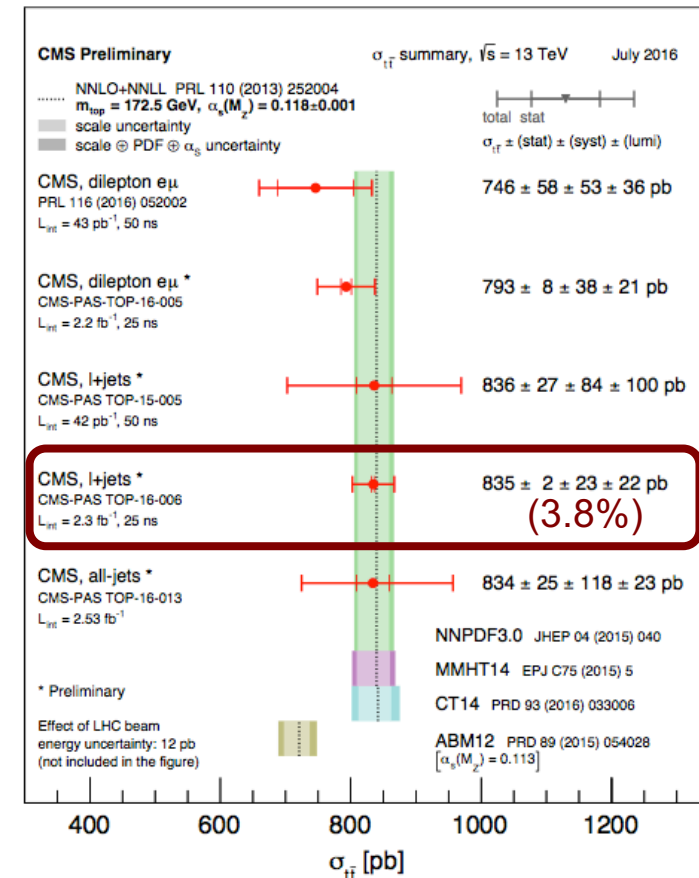
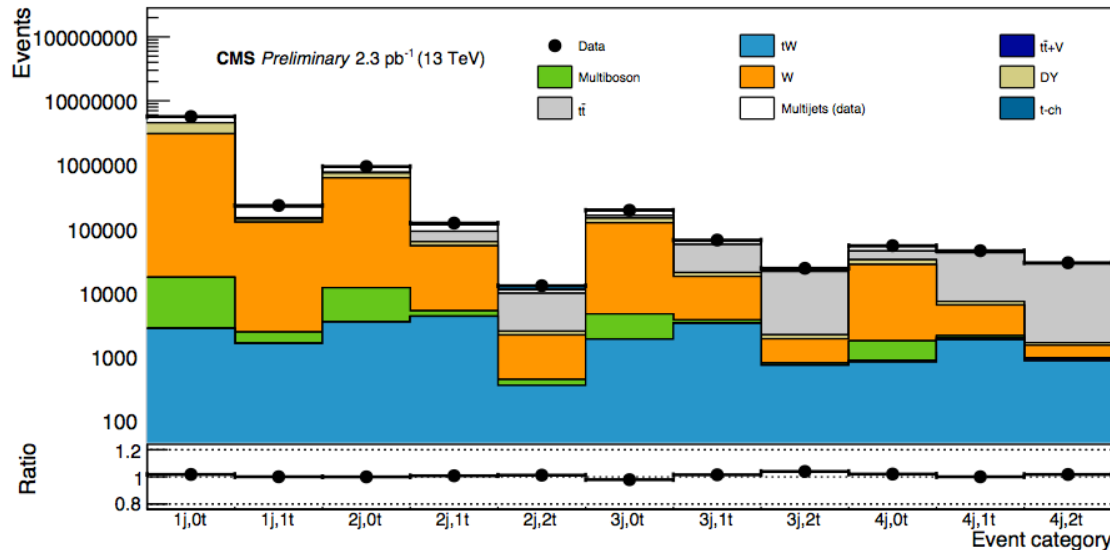


- BR  $\sim 30\%$
- Background: moderate
- Selection:
  - one lepton +  $\geq 3$  jets + MET
  - may require b-tag
- Main backgrounds:
  - hadronic multi-jet, W+jets



# Cross section: multi-dimensional fit

- Lepton+jet final state
- Keep selection as inclusive as possible
- Categorize events according to (b-) jet multiplicity
  - high-purity vs background dominated
  - Constrain systematics (JES, ISR/FSR, modeling, etc)
- Combined fit of  $M_{lb}$  to signal and backgrounds
- **Precise cross section measurement**



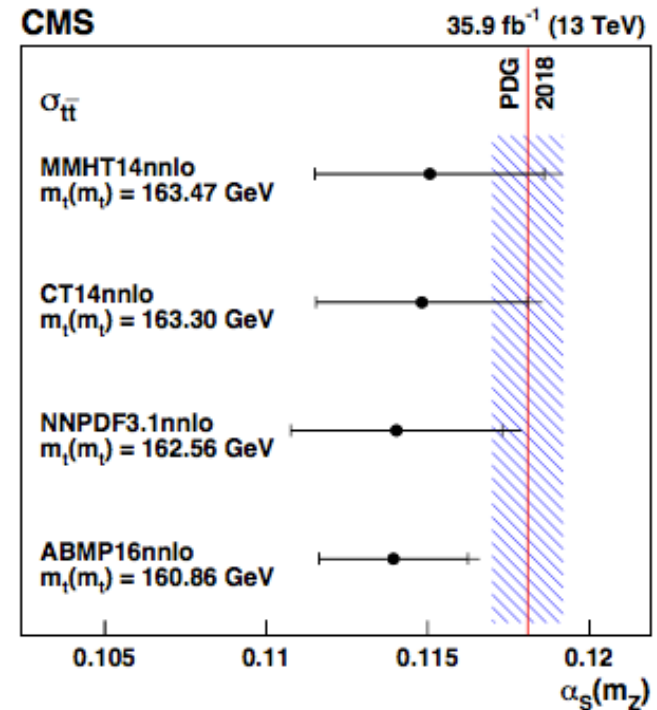
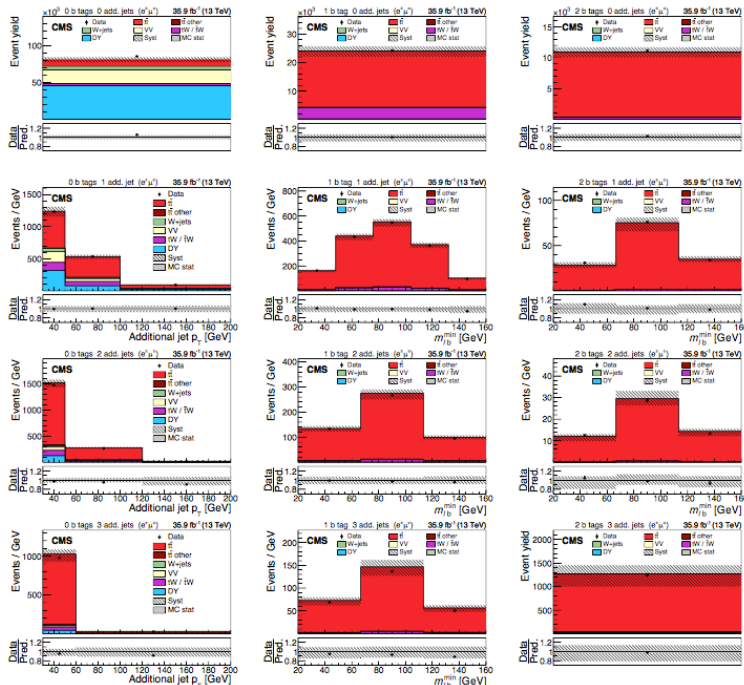
# Cross section: multi-dimensional fit

- Dilepton final state
- Simultaneous fit in  $(N_{\text{additional jet}}, N_{\text{b-jet}})$  categories
- Fit of  $\sigma_{t\bar{t}}$  and  $m(\text{top})$

(~4%)

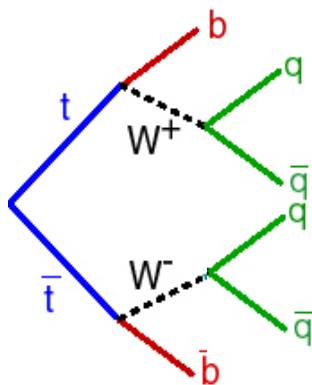
$$\sigma_{t\bar{t}} = 803 \pm 2 (\text{stat}) \pm 25 (\text{syst}) \pm 20 (\text{lumi}) \text{ pb}$$

$$m_t^{\text{MC}} = 172.33 \pm 0.14 (\text{stat})^{+0.66}_{-0.72} (\text{syst}) \text{ GeV}$$

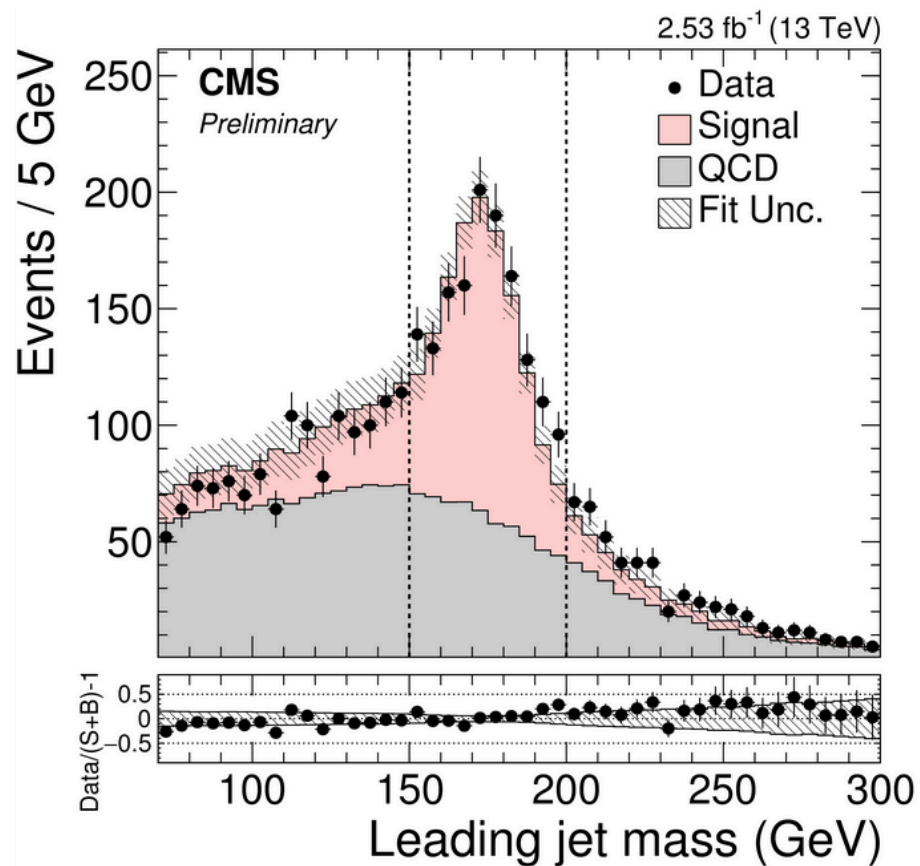


# All hadronic

CMS-TOP-16-013

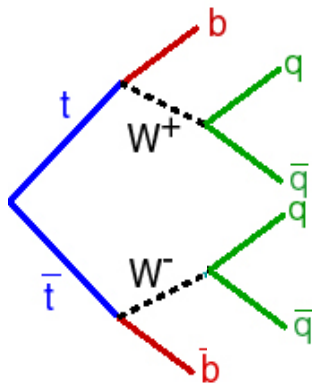


- BR  $\sim 46\%$
- Background: large
- Selection:
  - $\geq 6$  jets + kinematical selection
  - require b-tag
- Main backgrounds:
  - hadronic multi-jet

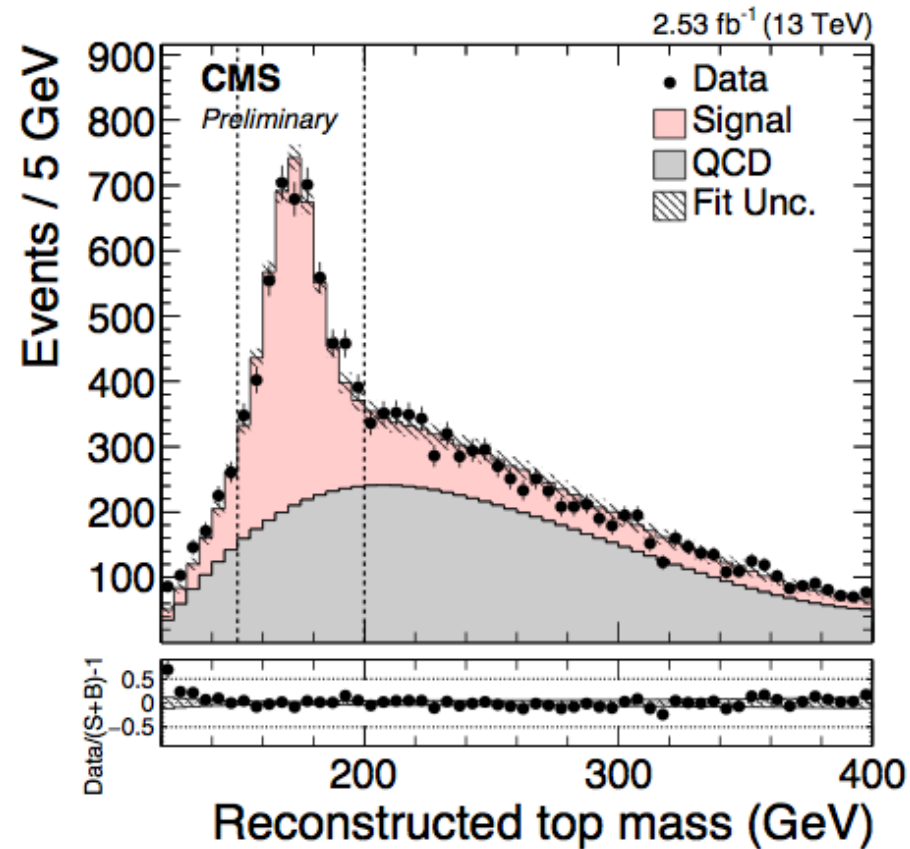


# All hadronic

CMS-TOP-16-013

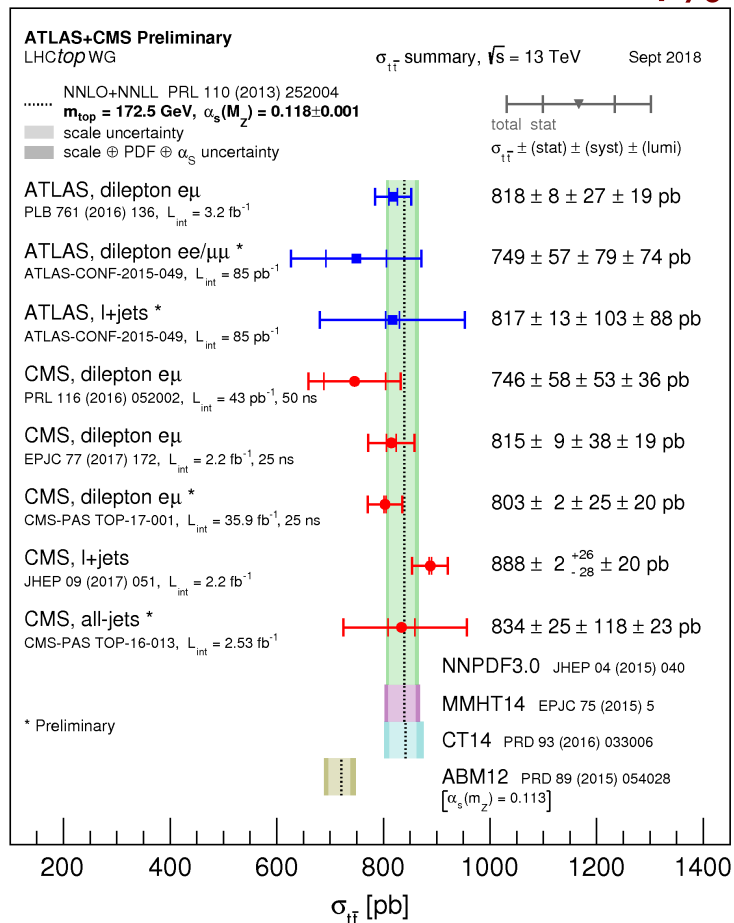


- BR  $\sim 46\%$
- Background: large
- Selection:
  - $\geq 6$  jets + kinematical selection
  - require b-tag
- Main backgrounds:
  - hadronic multi-jet
  - same selection without b-tag

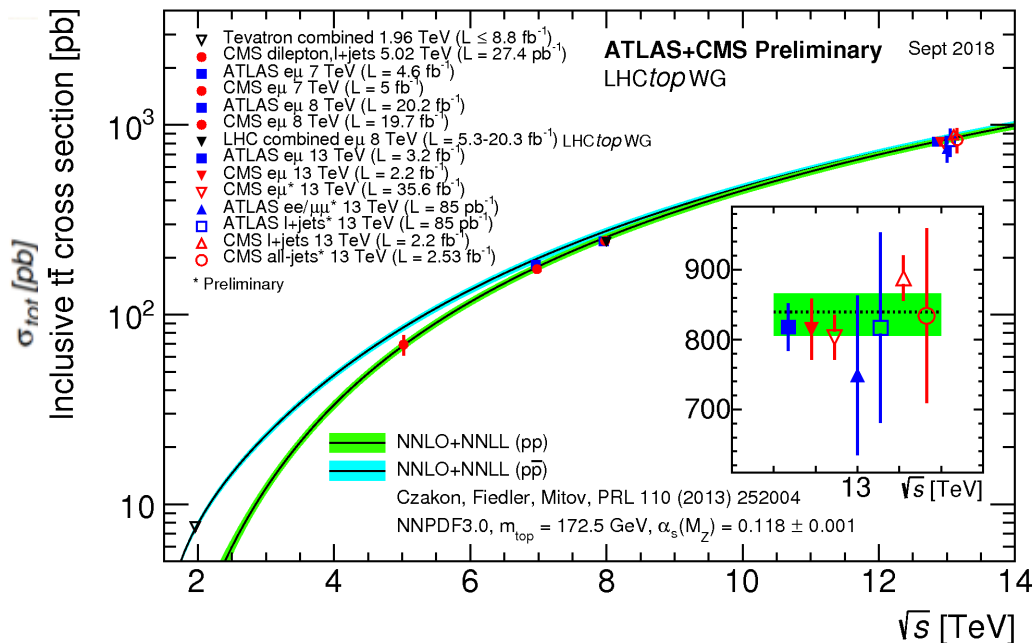


# Cross sections

$\pm 4\%$



$\Rightarrow$  measurements challenging theory



Collider	$\sigma_{tot}$ [pb]	scales [pb]	pdf [pb]
Tevatron	7.164	+0.110(1.5%) -0.200(2.8%)	+0.169(2.4%) -0.122(1.7%)
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LHC 14 TeV	953.6	+22.7(2.4%) -33.9(3.6%)	+16.2(1.7%) -17.8(1.9%)

$\pm 3-5\%$



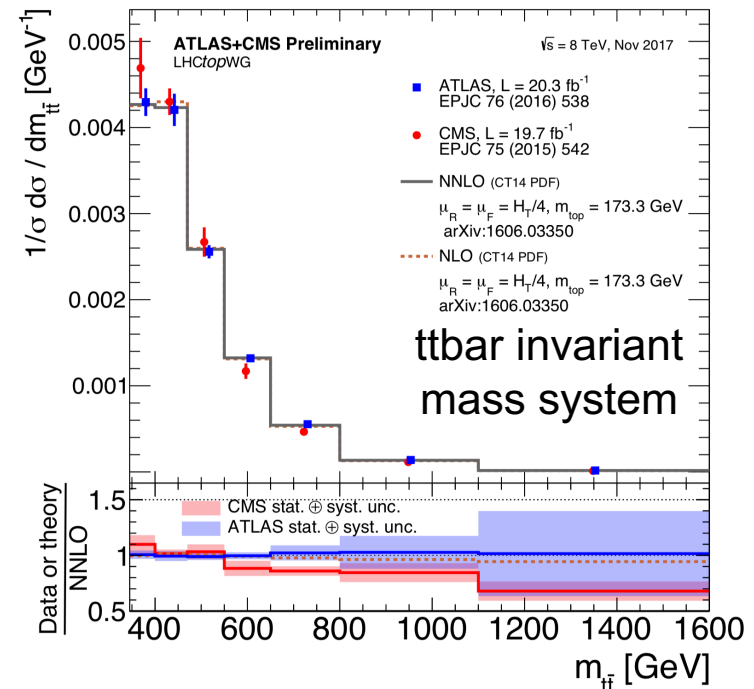
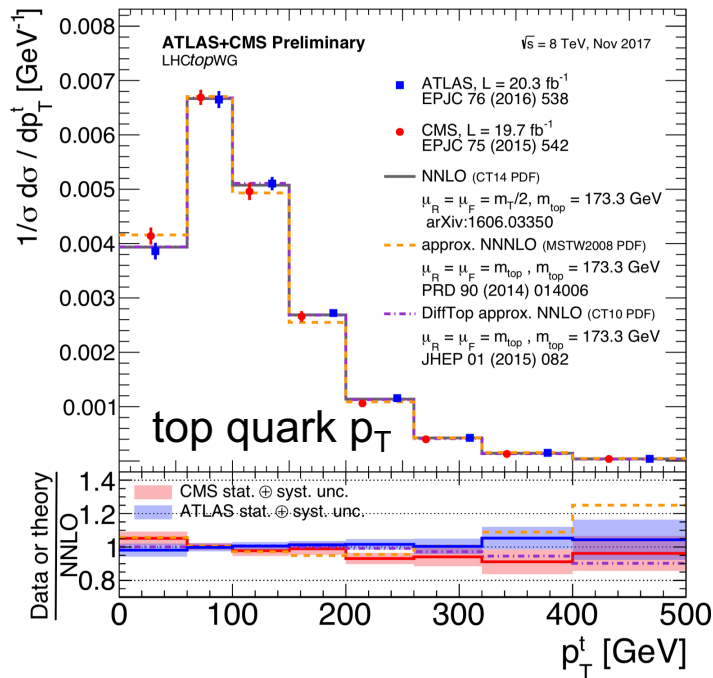
# Differential cross section

- Measure differential cross section

- Test perturbative QCD
- Test BSM scenarios (Z' decays, etc)

$$\frac{1}{\sigma_{t\bar{t}}} \frac{d\sigma_{t\bar{t}}}{dX}$$

- Cross sections measured as a function of  $p_T$ ,  $\eta$ , invariant mass of the final state leptons, top quarks,  $t\bar{t}$  system, etc.
- Good agreement with expectations



# end

- Introduction on top quark
- Basic concepts on production and decays
- Cross section measurements and relevance to BSM searches
  
- Next lecture: ``Top quark properties and beyond''

# Interesting physics with top quarks

- Cross section
- Mass
- Kinematical properties
  - Is there a  $X \rightarrow t\bar{t}$ ?
  - W polarization
  - Spin correlations
- Rare decays
- Single top
- Top quark is unusually heavy: maybe is it different?

# Role of top quark physics

- Top quark physics after the Higgs discovery

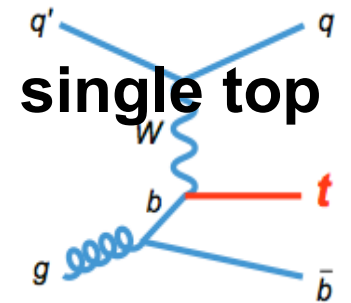
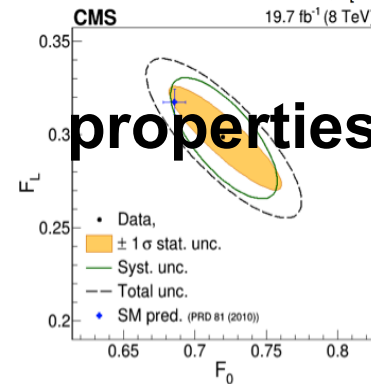
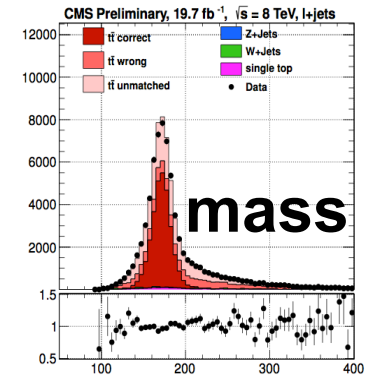
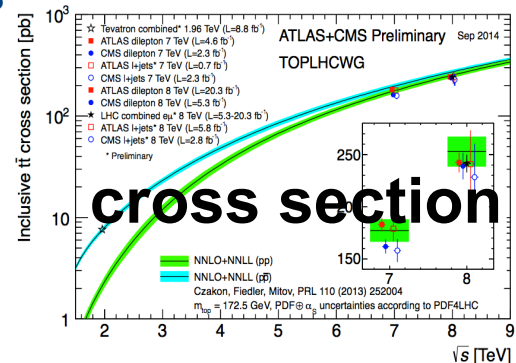
- Special role in EWSB mechanism?
- Does it play a role in non-SM physics?
- Are the couplings affected?
- Main background for many NP searches

- Monitoring of production mechanism

- Interpretation of  $m_{\text{top}}$ : top, W, Higgs masses

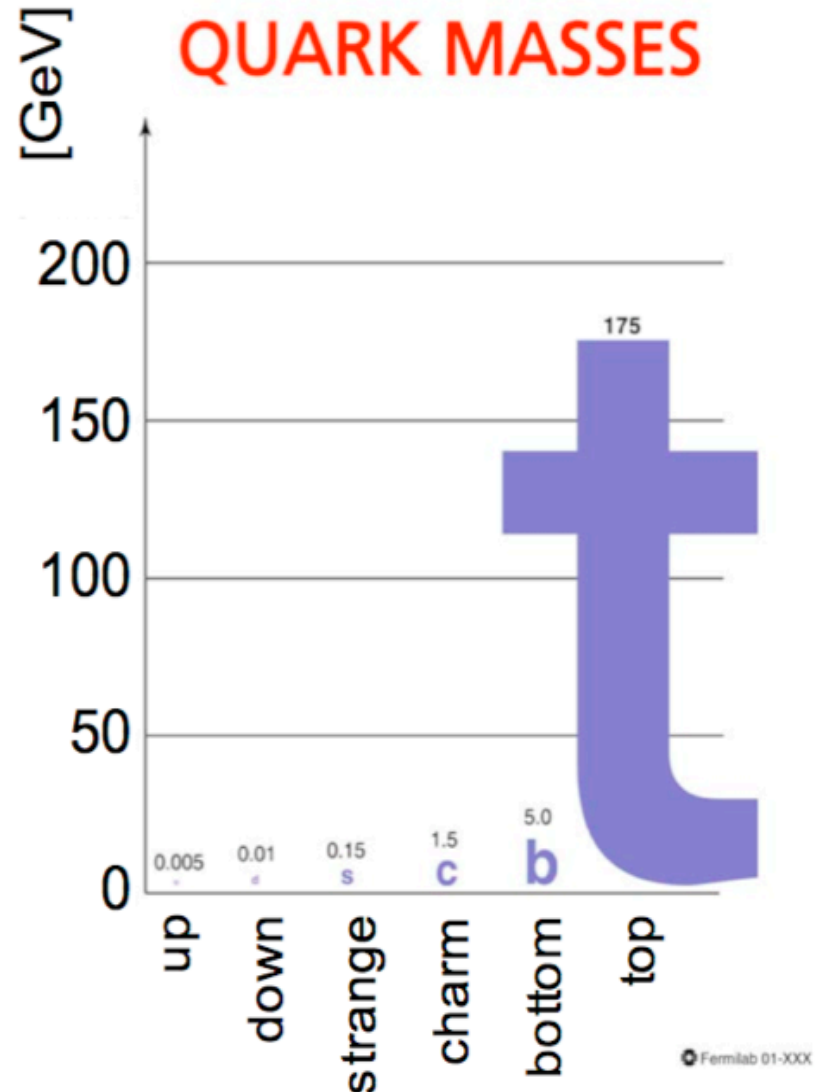
- Are properties consistent with our understanding of EWSB?

- Is there any sign of NP in top production/decay?



# About the top quark

- The heaviest known elementary particle
- Large mass, coupling to the Higgs  $\sim 1$   
 $\Rightarrow$  no hadronization
- Several open questions
  - Is top mass generated by the Higgs mechanism?
  - Special role in EWSB mechanism?
  - Does it play a role in non-SM physics?
  - Are the couplings affected?
- Main background for many New Physics searches
- Top quark measurements may provide insight into physics beyond SM



# Collider energies for top searches

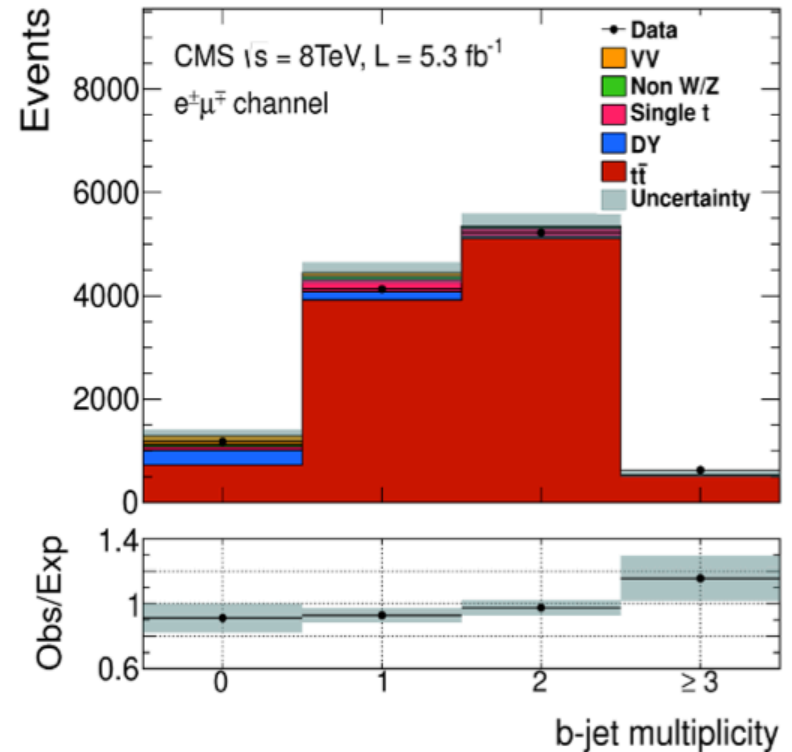
- A summary of colliders in search for the top quark

Year	Collider	Particles	References	Limit on $m_t$
1979-84	PETRA (DESY)	$e^+e^-$	[45]-[58]	$> 23.3 \text{ GeV}/c^2$
1987-90	TRISTAN (KEK)	$e^+e^-$	[59]-[63]	$> 30.2 \text{ GeV}/c^2$
1989-90	SLC (SLAC), LEP (CERN)	$e^+e^-$	[64]-[67]	$> 45.8 \text{ GeV}/c^2$
1984	Sp $\bar{p}$ S (CERN)	$p\bar{p}$	[70]	$> 45.0 \text{ GeV}/c^2$
1990	Sp $\bar{p}$ S (CERN)	$p\bar{p}$	[71, 72]	$> 69 \text{ GeV}/c^2$
1991	TEVATRON (FNAL)	$p\bar{p}$	[73]-[75]	$> 77 \text{ GeV}/c^2$
1992	TEVATRON (FNAL)	$p\bar{p}$	[76, 77]	$> 91 \text{ GeV}/c^2$
1994	TEVATRON (FNAL)	$p\bar{p}$	[79, 80]	$> 131 \text{ GeV}/c^2$
1995	TEVATRON (FNAL)	$p\bar{p}$	[37]	$= 174 \pm 10^{+13}_{-12} \text{ GeV}/c^2$
			[38]	$= 199^{+19}_{-21} \pm 22 \text{ GeV}/c^2$

# Dilepton channel

JHEP 02(2014)024

- Branching ratio (BR)  $\sim 5\%$
- Background: **small**
- Clean final state
  - two leptons +  $\geq 2$  jets + MET
  - kinematic variables
- Signal visible w/without b-tagging
- Measure cross section:
  - ee,  $\mu\mu$ ,  $e\mu$  final states
  - btag (CSV): eff 85%, misID 10%
  - Cut and count
- Main systematics: JES, lepton ID, (pileup, b-tag, signal modeling)



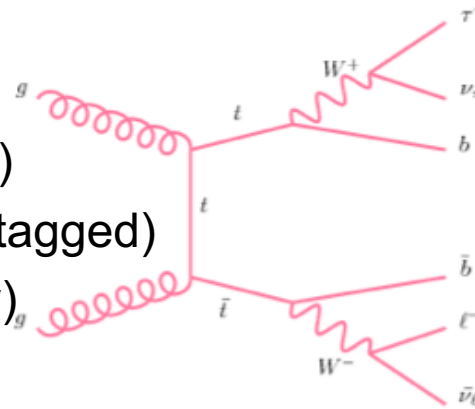
$$\sigma_{t\bar{t}} = 239 \pm 2 \text{ (stat.)} \pm 11 \text{ (syst.)} \pm 6 \text{ (lum.) pb} \quad \pm 5\%$$

# Tau<sub>h</sub>+lepton final state

PLB 739(2014)23

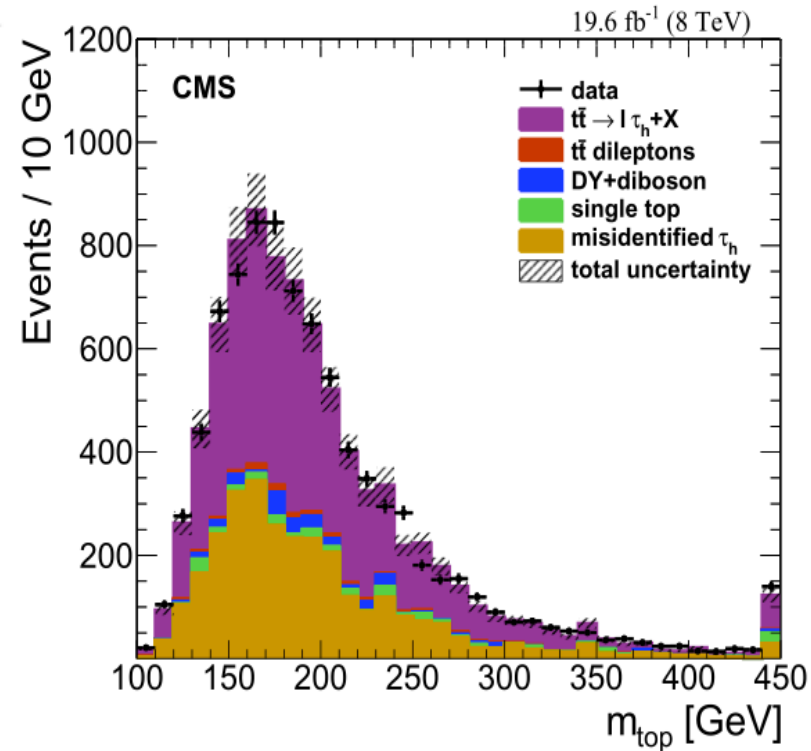
## • Selection:

- one isolated lepton (e/μ)
- at least two jets (one b-tagged)
- OS tau (hadronic decay)
- MET



## • Determine τ fakes from data

- Expected to be dominated by quark/gluon jets
- Estimate from multi-jet/W+jets: use data



dominant syst.: τ fakes, b-tag

Good agreement between measurement and predictions

$$\sigma_{t\bar{t}}(e\tau_h) = 255 \pm 4 \text{ (stat)} \pm 24 \text{ (syst)} \pm 7 \text{ (lumi)} \text{ pb;}$$

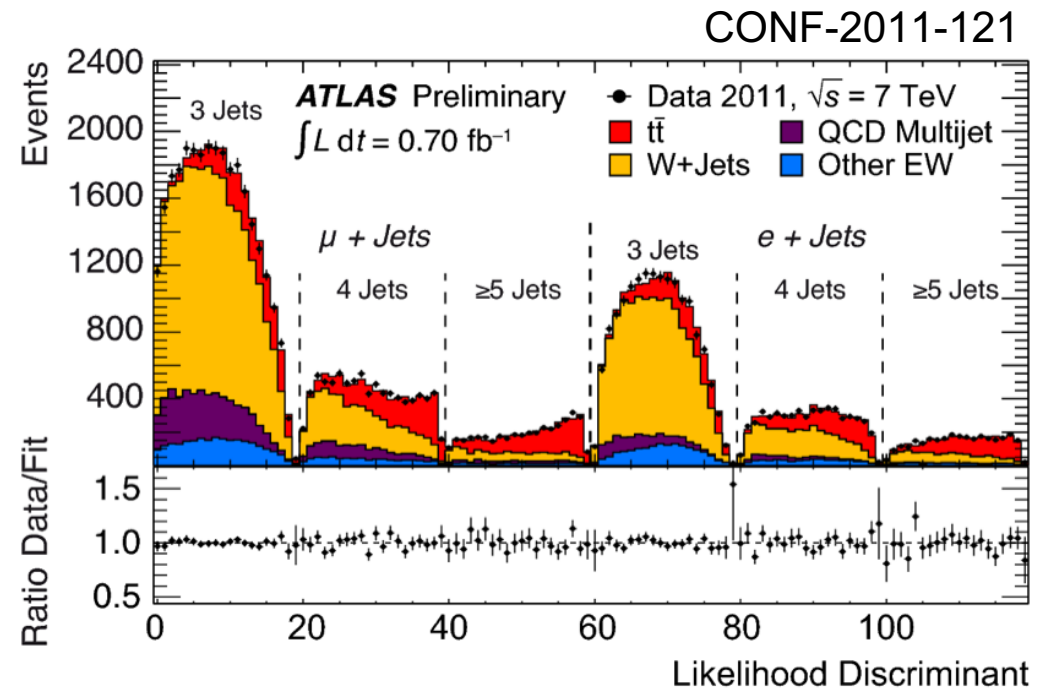
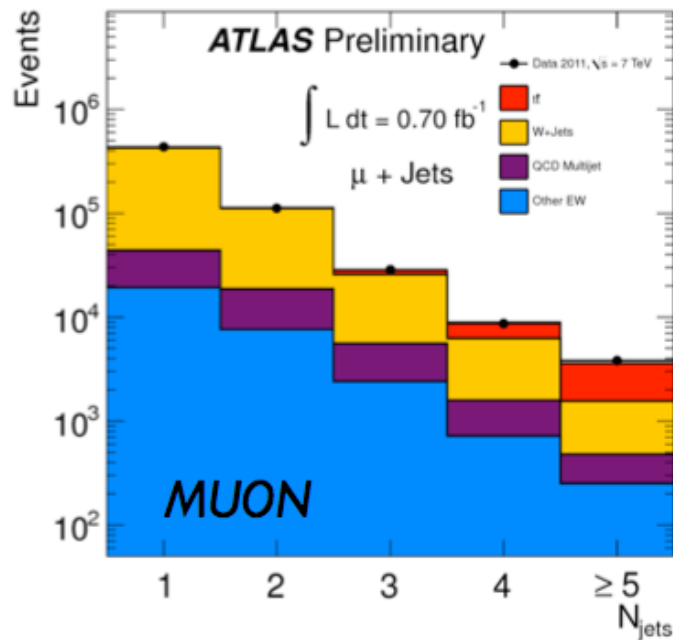
$$\sigma_{t\bar{t}}(\mu\tau_h) = 258 \pm 4 \text{ (stat)} \pm 24 \text{ (syst)} \pm 7 \text{ (lumi)} \text{ pb.}$$

**± 10%**



# Single lepton channel

- Include both muon and electron channels (untagged)
- Use kinematical differences between  $t\bar{t}$  and  $W$ +jets



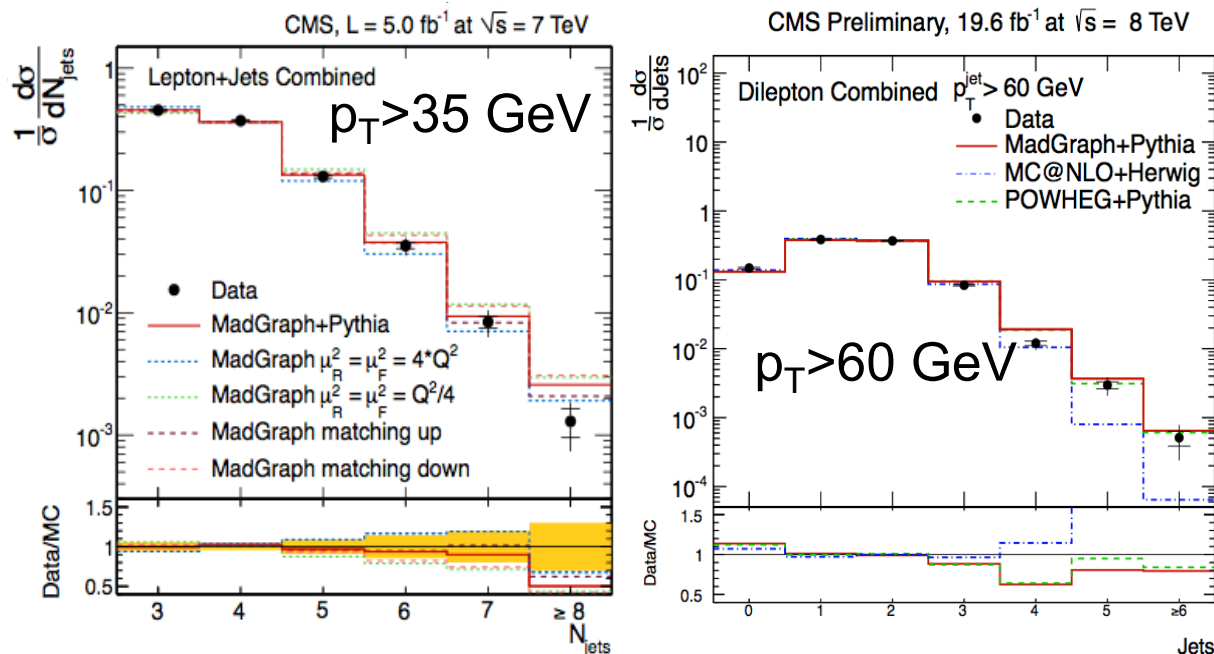
$$\sigma_{t\bar{t}} = 179.0 \pm 3.9 \text{ (stat)} \pm 9.0 \text{ (syst)} \pm 6.6 \text{ (lumi)} \text{ pb}$$

# Differential cross sections

CMS-TOP-12-041, arXiv:1404.3171

- Measurements performed in fiducial volume to minimize model dependency
- Improve  $t\bar{t}$  modeling and reduce uncertainties
- Sensitive to BSM effects
- Correct for detector effects (“unfolding” to particle level) and acceptances
- Good agreement in dilepton and lepton+jet channels, at different energies
- Large uncertainties at high jet multiplicities dominated by JES and MC modeling

$$\frac{1}{\sigma_{t\bar{t}}} \frac{d\sigma_{t\bar{t}}}{dX}$$

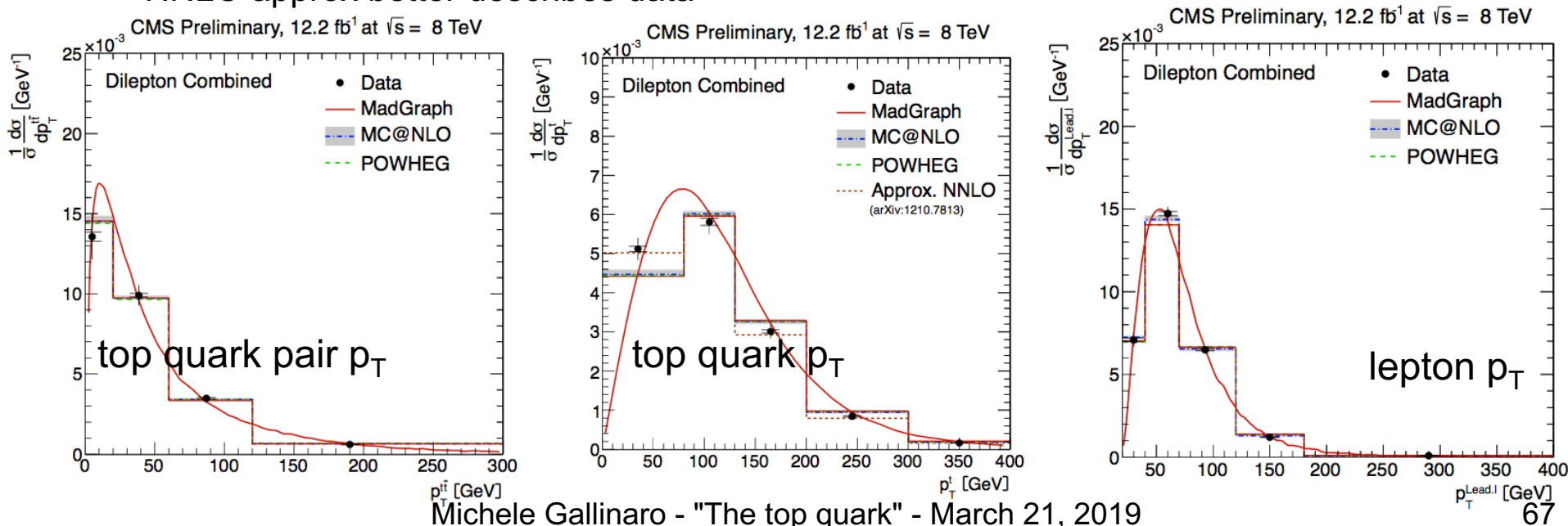


# Differential cross section

CMS-TOP-12-028

- Measure differential cross section
  - Test perturbative QCD
  - Test BSM scenarios (Z' decays, etc) with narrow resonance
- Reconstruct event kinematic properties
- Cross sections measured as a function of  $p_T$ ,  $\eta$ , invariant mass of the final state leptons, the top quarks, and the ttbar system
- Good agreement found in dilepton and lepton+jet channels
  - NNLO approx better describes data

$$\frac{1}{\sigma_{t\bar{t}}} \frac{d\sigma_{t\bar{t}}}{dX}$$



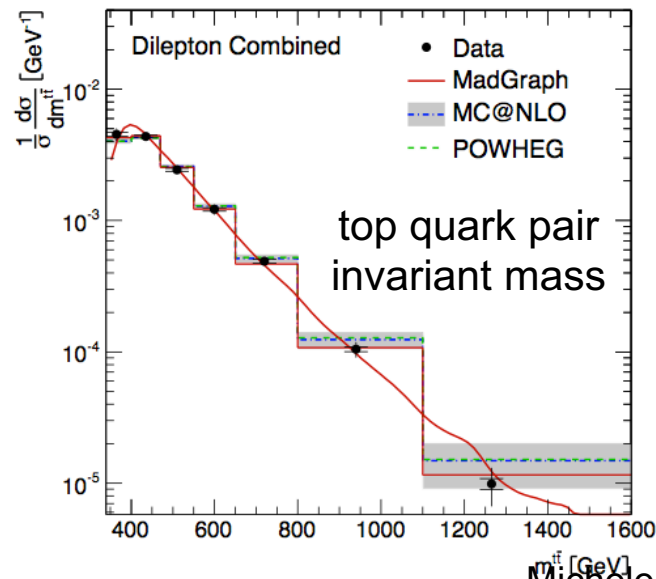
# Differential cross section

CMS-TOP-12-028

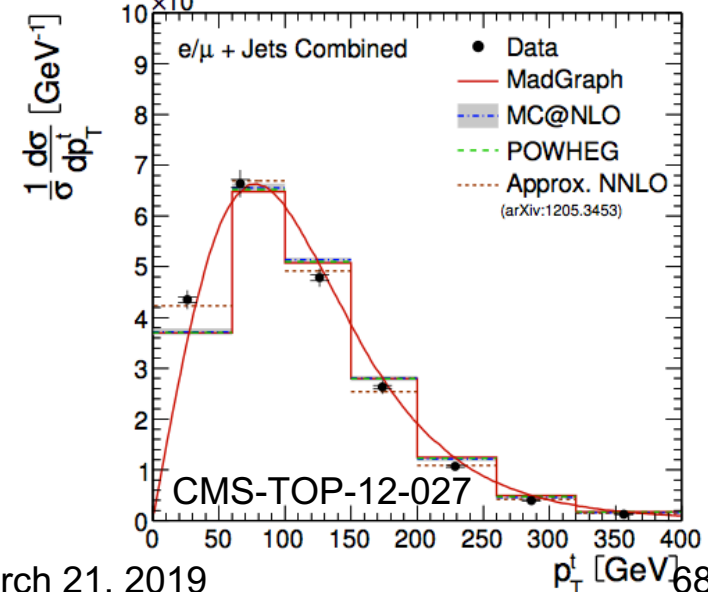
- Measure differential cross section
  - Test perturbative QCD
  - Test BSM scenarios (Z' decays, etc) with narrow resonance
- Reconstruct event kinematic properties
- Cross sections measured as a function of  $p_T$ ,  $\eta$ , invariant mass of the final state leptons, the top quarks, and the ttbar system
- Good agreement found in dilepton and lepton+jet channels
  - NNLO approx better describes data

$$\frac{1}{\sigma_{t\bar{t}}} \frac{d\sigma_{t\bar{t}}}{dX}$$

CMS Preliminary, 12.2 fb<sup>-1</sup> at  $\sqrt{s} = 8$  TeV



CMS Preliminary, 12.1 fb<sup>-1</sup> at  $\sqrt{s} = 8$  TeV



# All-hadronic: cross section

JHEP 05(2013)065. EPJC 74(2014)2758

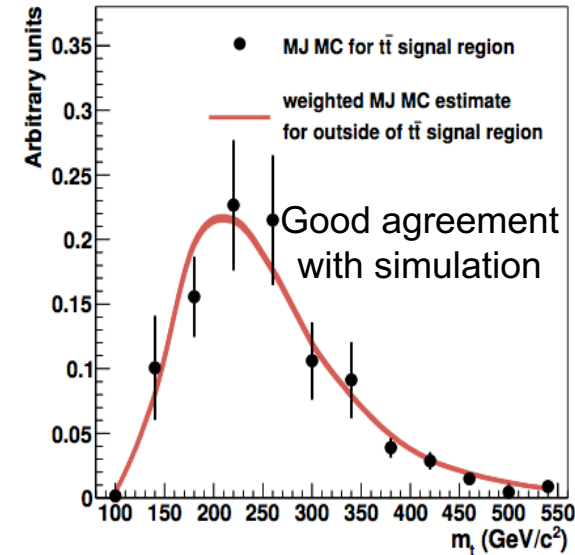
- Fully hadronic final state (BR~46%)
- Six jets and no leptons in the final state
- Reconstruct  $t\bar{t}$  system and fit with least  $\chi^2$  method
  - reconstruct both W bosons
  - $m_{\text{top1}}=m_{\text{top2}}$  are free parameters
  - b-jets are taken as b-quark candidates
  - take permutation with smallest  $\chi^2$
- Multijet QCD is main background (from data)
  - Use same selection without b-tag req.
  - Re-weigh mass spectrum from anti-tagged sample
- Templates are inputs for likelihood fit for cross section measurement
  - Signal and background templates
  - Signal fraction is a free parameter

$\pm 20\%$

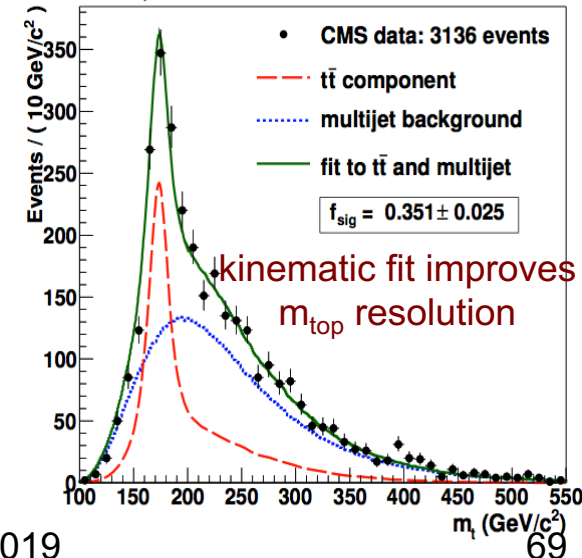
$$\sigma_{t\bar{t}} = 139 \pm 10 \text{ (stat.)} \pm 26 \text{ (syst.)} \pm 3 \text{ (lum.) pb}$$

- Dominant syst.: JES, b-tag

CMS simulation at  $\sqrt{s} = 7$  TeV

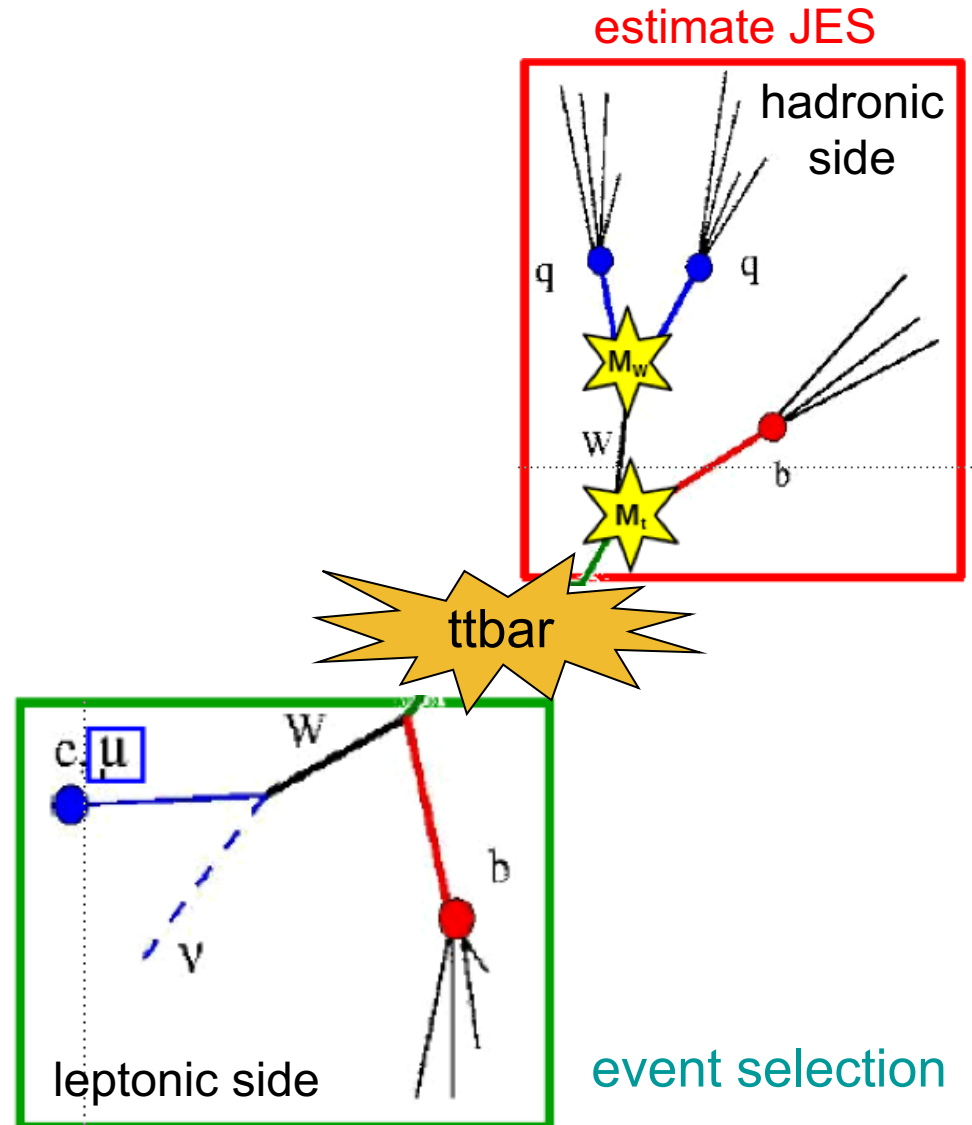
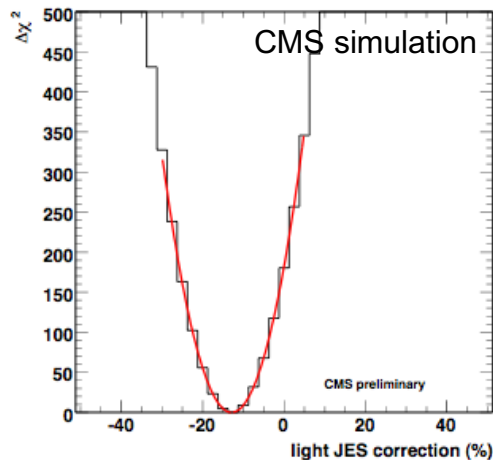


CMS, 3.54 fb<sup>-1</sup> at  $\sqrt{s} = 7$  TeV



# Jet energy correction from Top

- Use semi-leptonic events
  - 1 isol  $\mu$  ( $p_T > 30$  GeV) +  $\geq 4$  jets (40 GeV)
- Estimate jet energy corrections by applying event-by-event kinematical fit to  $W$  and Top masses
- Likelihood is used to assign jets
- Kinematical fit returns  $P(\chi^2)$
- Find best JES by minimizing  $\chi^2$



# Measuring the top mass

## Challenging:

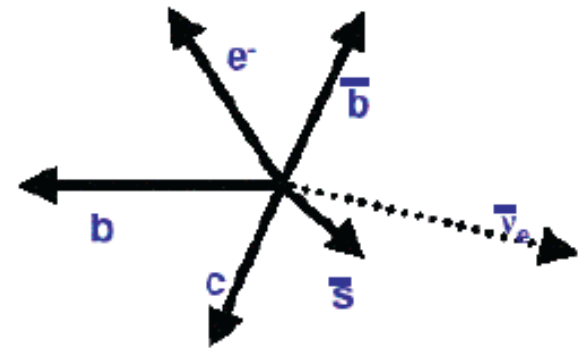
### ➤ Lepton+jets

- undetected neutrino
  - $P_x$  and  $P_y$  from  $E_T$  conservation
  - 2 solutions for  $P_z$  from  $M_W = M_{l\nu}$
- leading 4-jet combinatorics
  - 12 possible jet-parton assignments
  - 6 with 1 b-tag
  - 2 with 2 b-tags
- ISR + FSR

### ➤ Dileptons

- (less statistics)
- two undetected neutrinos
- less combinatorics: 2 jets

LO final state:



experiment sees:

