

Search for dark matter produced in association with a single top quark or a top quark pair in proton-proton collisions at

$$\sqrt{s} = 13 \text{ TeV}$$

A. M. Sirunyan *et al.* [CMS Collaboration], JHEP **1903** (2019) 141

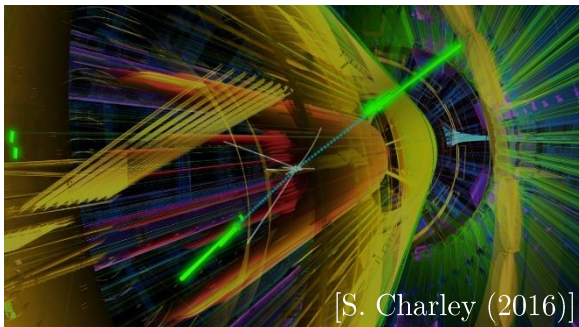
[arXiv: [1901.01553](https://arxiv.org/abs/1901.01553) [hep-ex]]

Débora Barreiros



June 28, 2019

Outline



Introduction

- Particle Dark Matter candidates
- WIMP detection
- Collider searches

Search theoretical framework

CMS detector

Event reconstruction

Data sample and simulation

Event selection

Systematic uncertainties

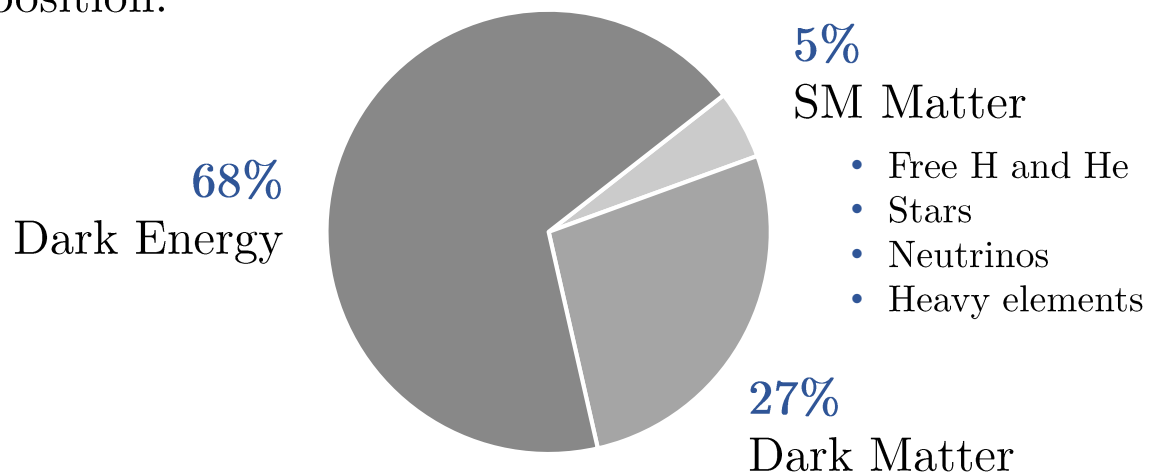
Signal extraction

Results

Summary

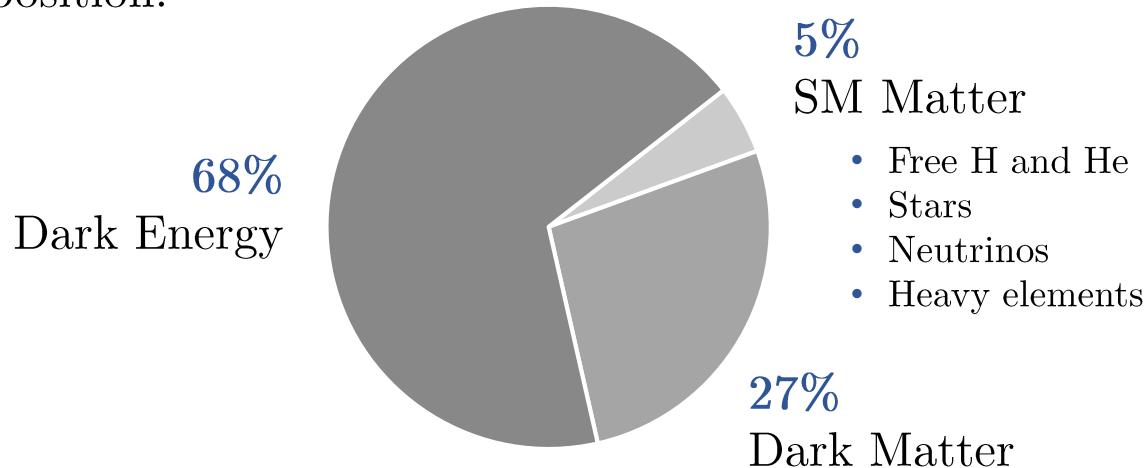
Dark Matter

Universe composition:



Dark Matter

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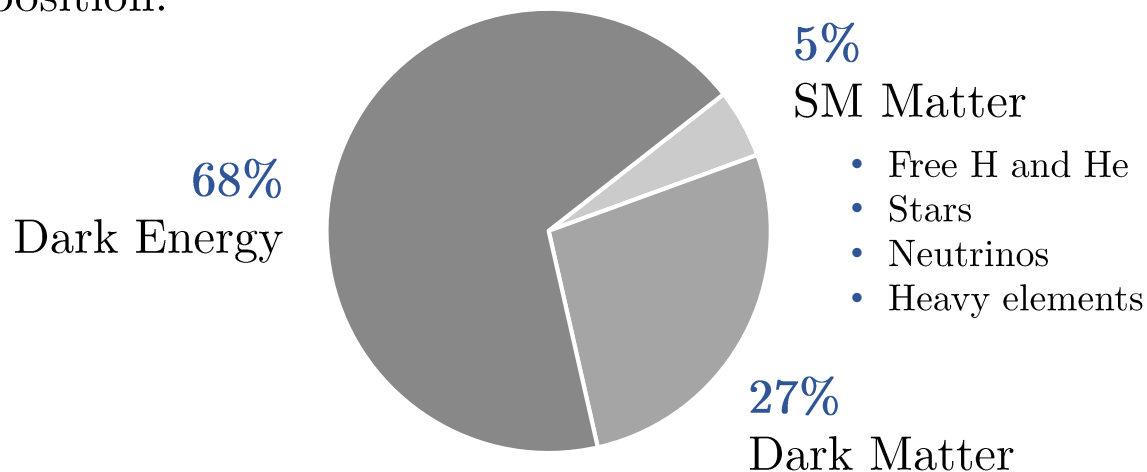
What do we know?

Stable, non-baryonic, cold/warm, collisionless, not charged under strong or electromagnetic forces, interacts weakly or very weakly with SM particles, has a relic abundance of

$$\Omega_{\text{DM}}h^2 = 0.1186$$

Dark Matter

Universe composition:



Astrophysical
observations

- Galactic rotation curves
- Gravitational lensing
- LSS formation
- BBN data
- CMB radiation



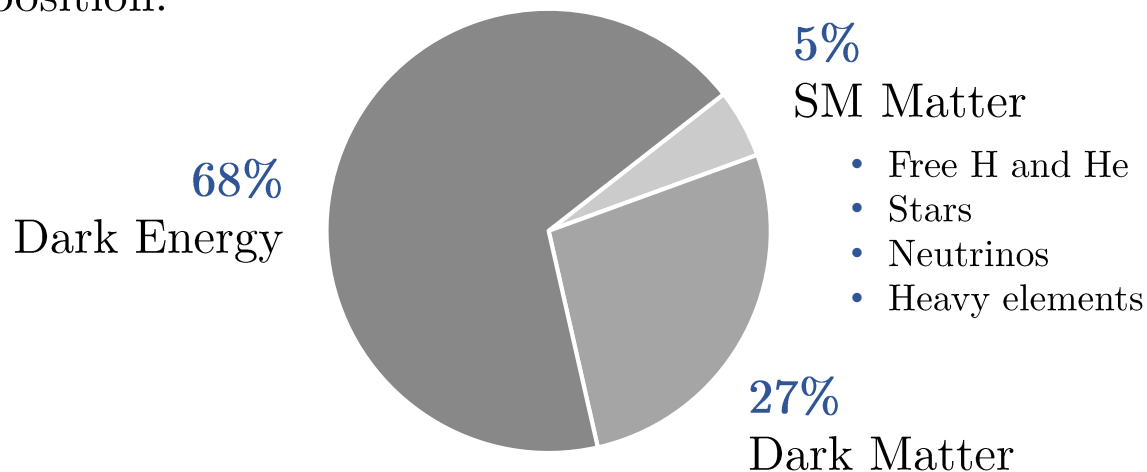
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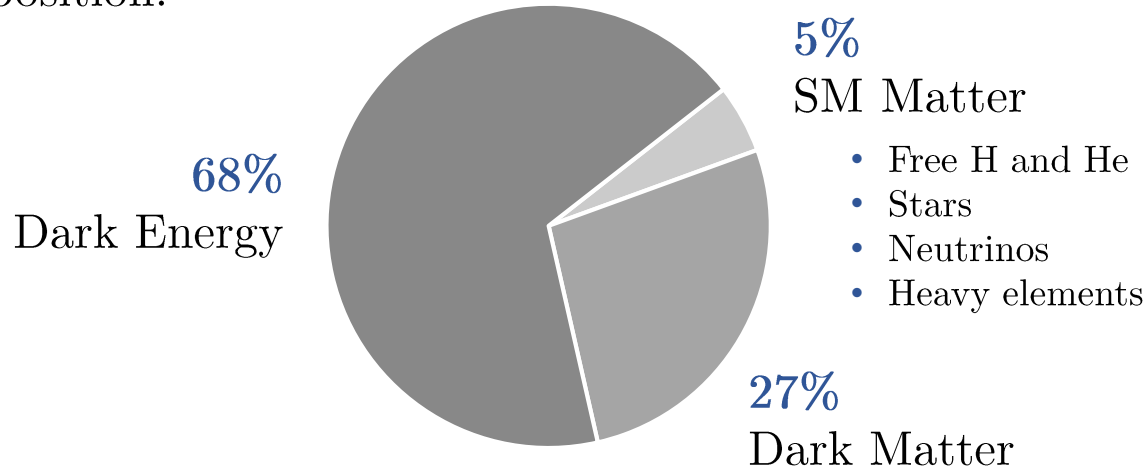
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What is missing?

Dark Matter nature

Dark Matter

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Direct detection
Indirect detection
Collider searches



What is missing?

Dark Matter nature

Particle Dark Matter candidates

Constraints for DM particle candidates:

[G. Arcadi *et al.* (2018)]

- Must be non-baryonic
- Reproduce the correct relic abundance
- Should be cold ($v < 0.1c$) or warm ($0.1c < v < 0.95c$) DM
- Should not be charged under strong or electromagnetic interactions
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- Should be stable at the cosmological scale
- Must have small self-interactions

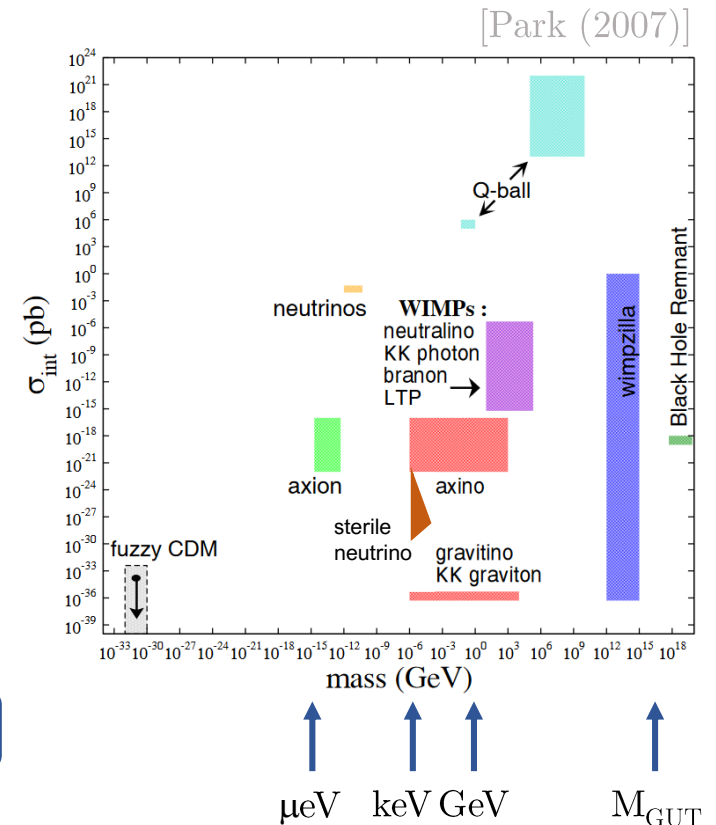
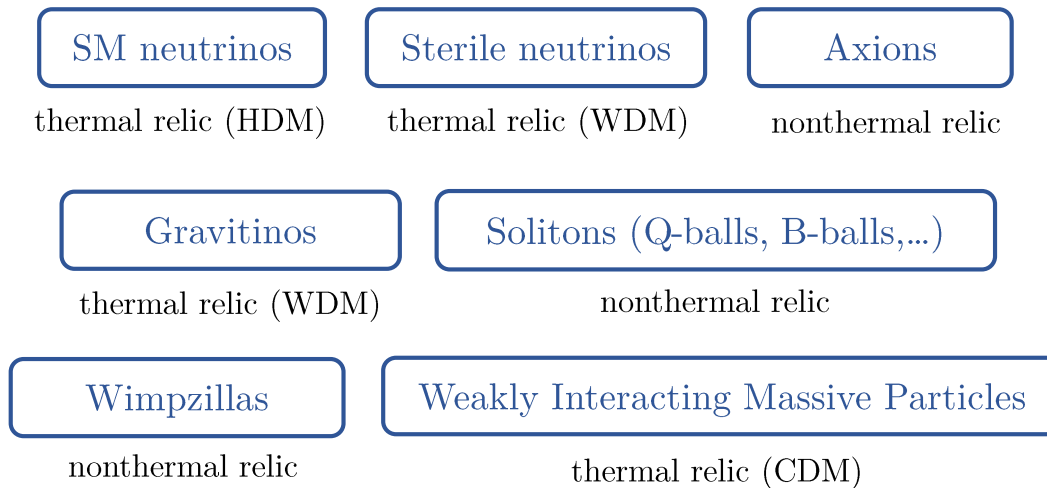
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Popular candidates:



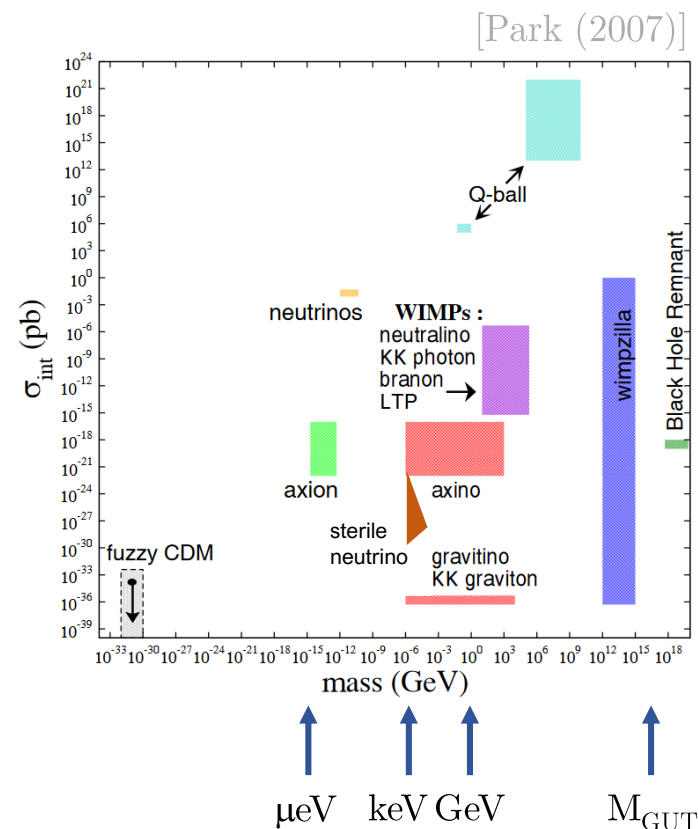
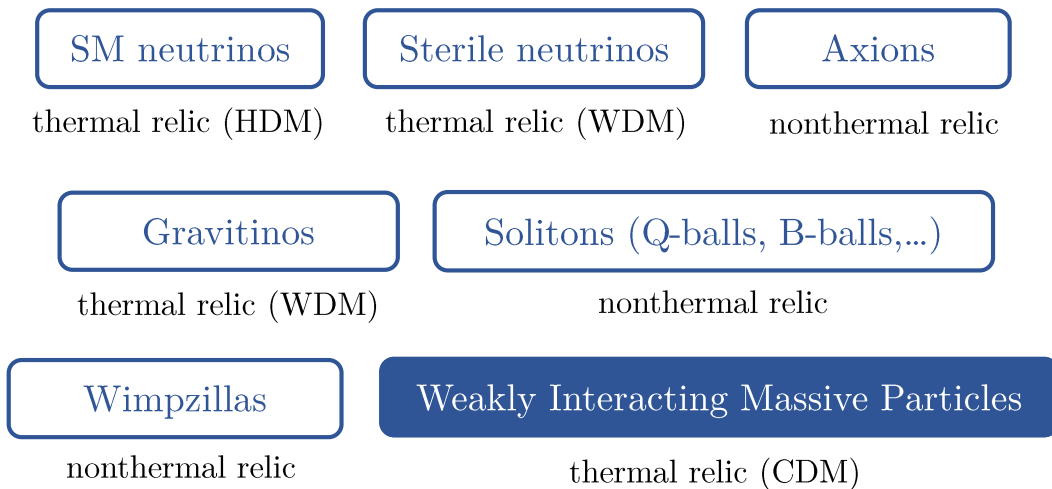
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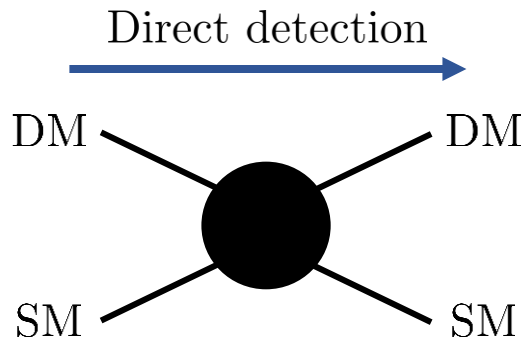
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Popular candidates:



WIMP detection

WIMP detection



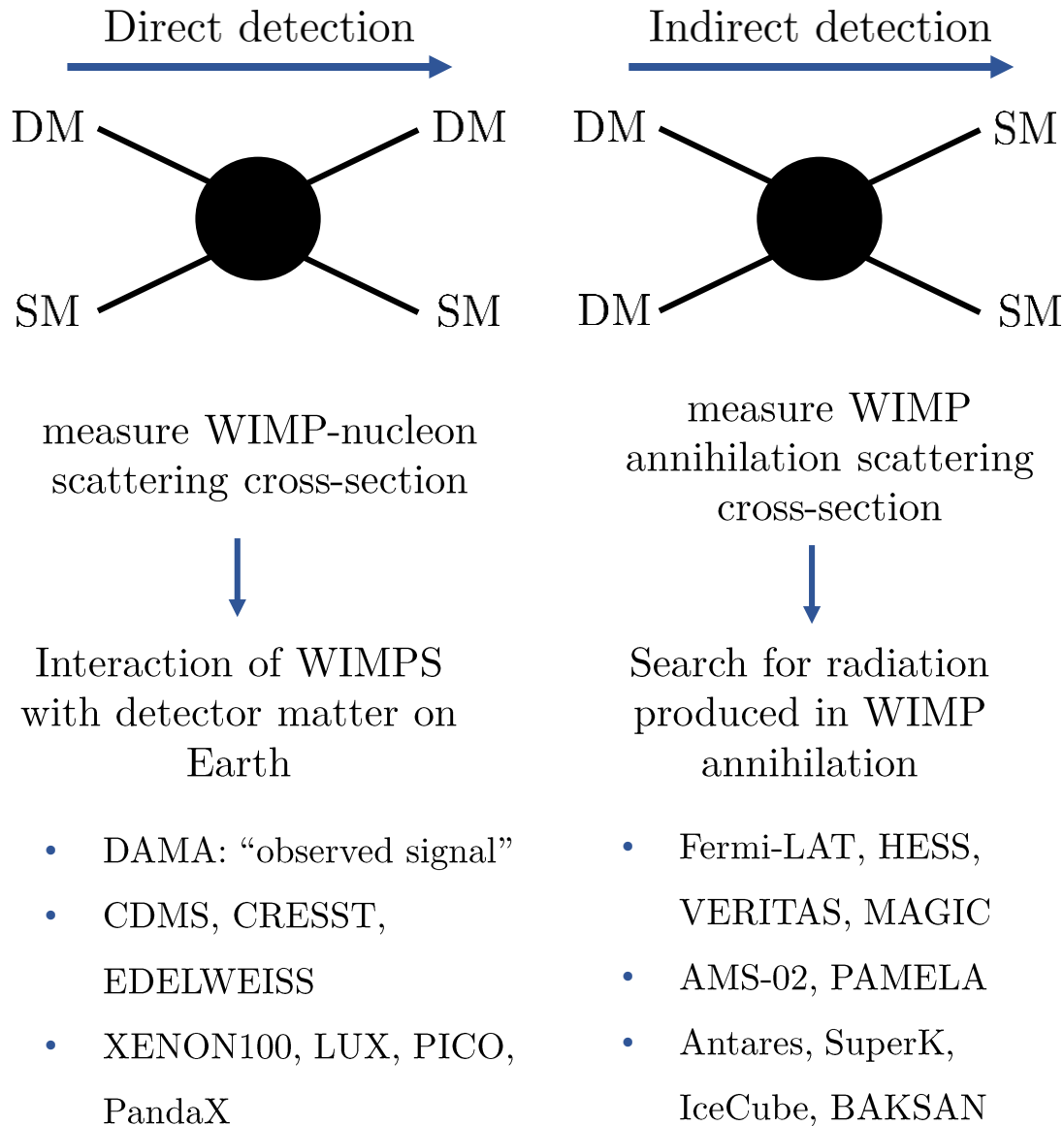
measure WIMP-nucleon
scattering cross-section



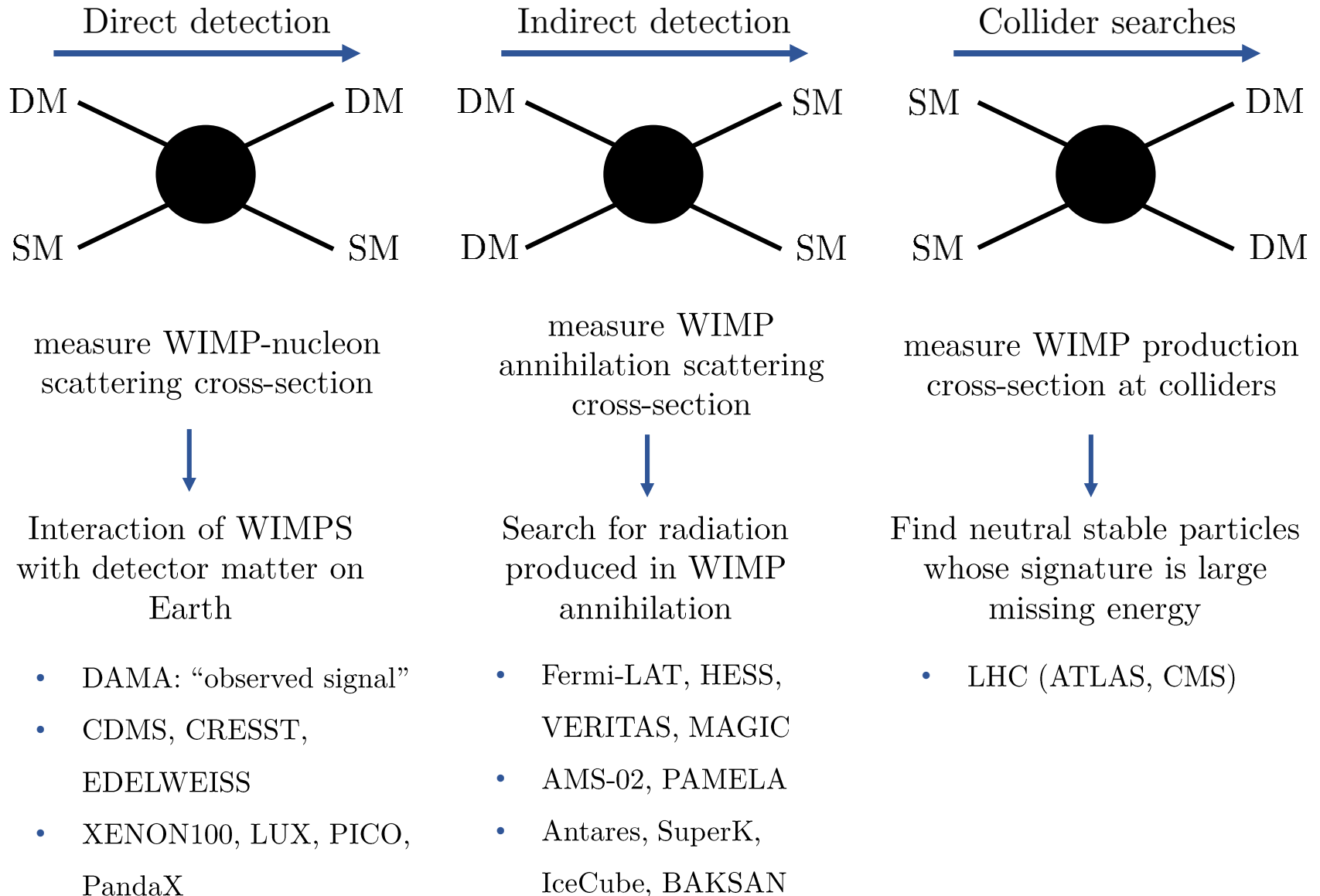
Interaction of WIMPS
with detector matter on
Earth

- DAMA: “observed signal”
- CDMS, CRESST,
EDELWEISS
- XENON100, LUX, PICO,
PandaX

WIMP detection



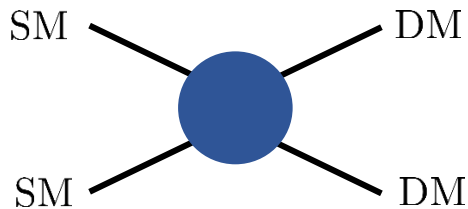
WIMP detection



Collider searches

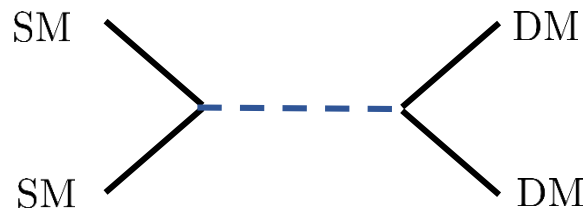
Rely on the choice of a theoretical framework for WIMP production

Effective Field Theories



- Interaction is described by non-renormalizable operators
- Model-independent, few parameters
- Only viable for mediators with masses above the TeV scale

Simplified Models



- Interaction is described by simple renormalizable Lagrangian
- Has a larger number of parameters (WIMP/mediator masses, couplings)
- Look at a single production channel

Complete Models

SUSY, 2HDM,
UED, ...

- Interaction is included in a complete model
- Important correlations between observables are taken into account
- Too many parameters

Collider energy

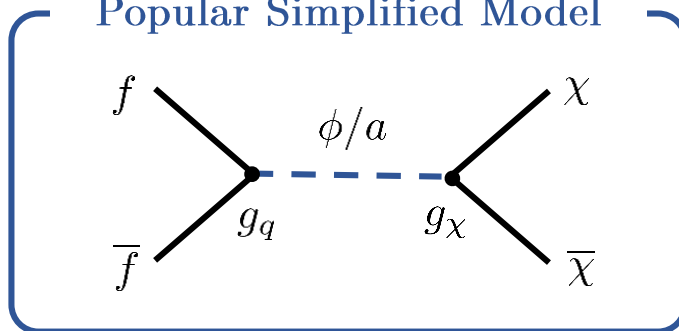


Search framework



Search for DM produced in association with **single top quark** or **top quark pair** in proton-proton collisions at $\sqrt{s} = 13$ TeV

Popular Simplified Model



may be easily embedded in models with extended scalar sector (e.g. 2HDM)

scalar mediator:

$$\mathcal{L}_\phi \supset g_\chi \phi \bar{\chi} \chi + \frac{g_q}{\sqrt{2}} \phi \sum_f y_f \bar{f} f$$

pseudoscalar mediator:

$$\mathcal{L}_a \supset i g_\chi a \bar{\chi} \gamma^5 \chi + \frac{i g_q}{\sqrt{2}} a \sum_f y_f \bar{f} \gamma^5 f$$

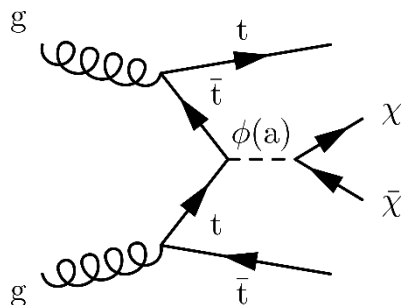
$$(y_f = \sqrt{2} m_f / v)$$

Assume:

- Dirac fermion DM
- Minimal flavour violation: mediators couple preferably to third generation quarks
- No mixing between the new scalar mediator and the Higgs

DM production channels

Associated production
with top quark pair
 $t\bar{t} + \text{DM}$

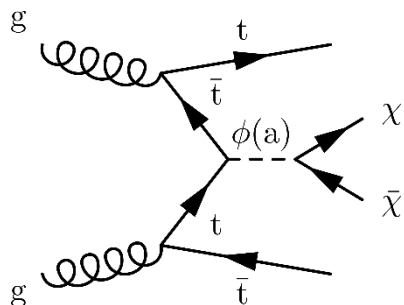


Several previous searches (ATLAS
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- 8 TeV (EFT)
- 13 TeV (simplified models)

DM production channels

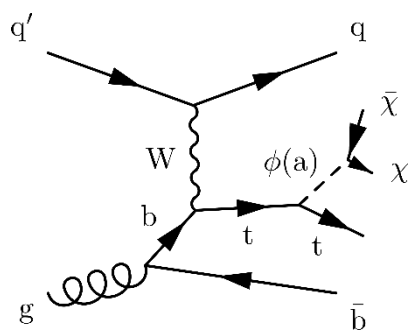
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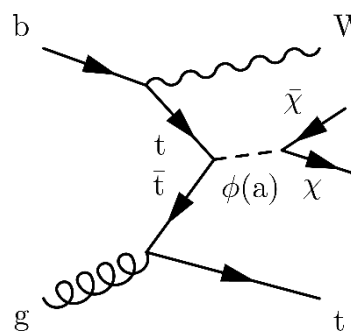
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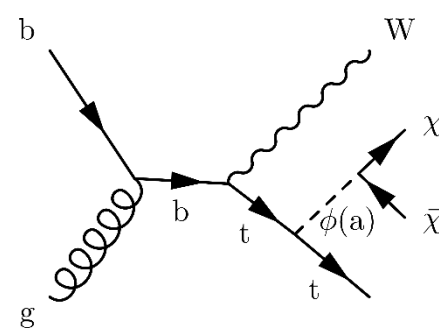
Associated production
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t-channel W boson
production

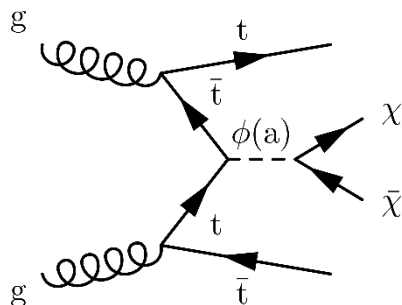


associated tW
production



DM production channels

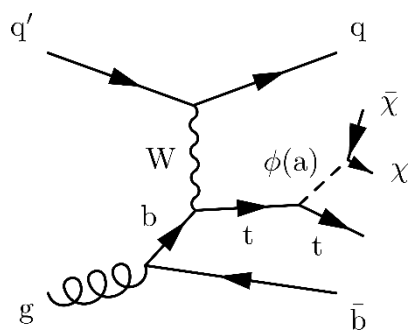
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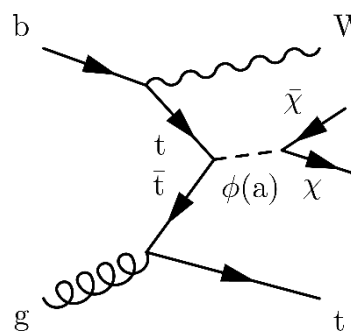
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New!

Importance of $t/\bar{t} + \bar{\nu} + \text{DM}$

[D. Pinna *et al.* (2017), arXiv: 1701.05195]

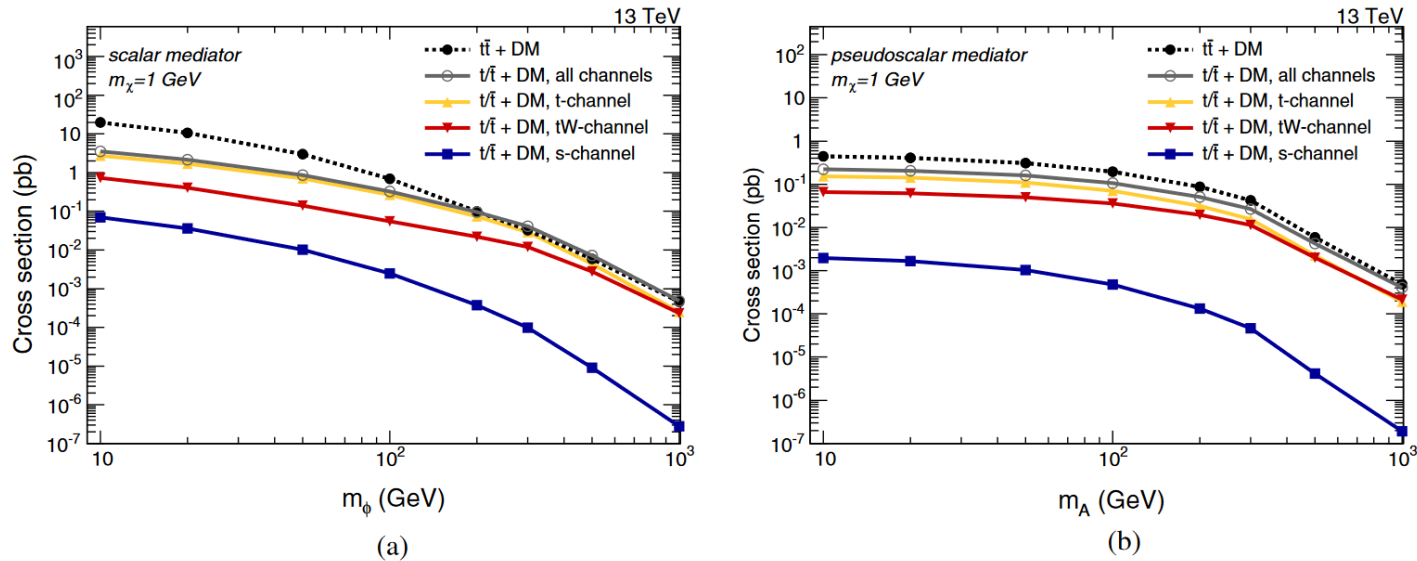


FIG. 2. Cross sections of the $t/\bar{t} + \text{DM}$ and $t\bar{t} + \text{DM}$ processes for the scalar (a) or pseudoscalar (b) hypothesis assuming different mediator masses m_ϕ and $m_\chi = 1 \text{ GeV}$. The $t/\bar{t} + \text{DM}$ processes are split by production mode (t -, s -, and tW channels). The sum of the three channels is also shown.

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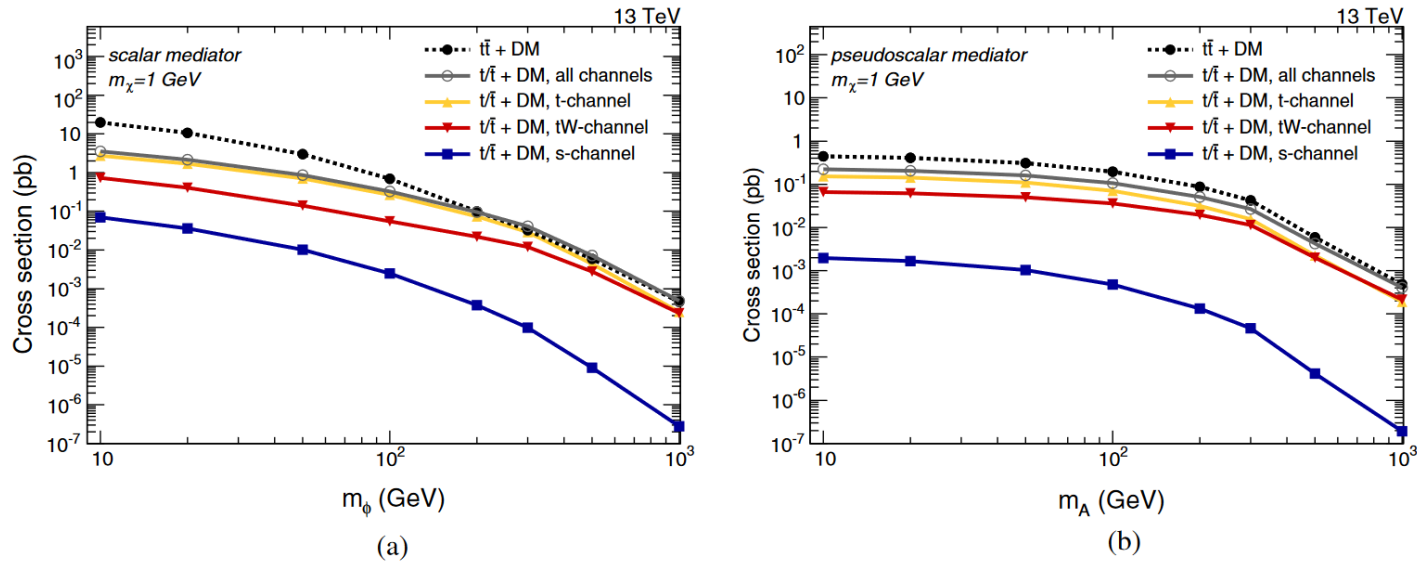


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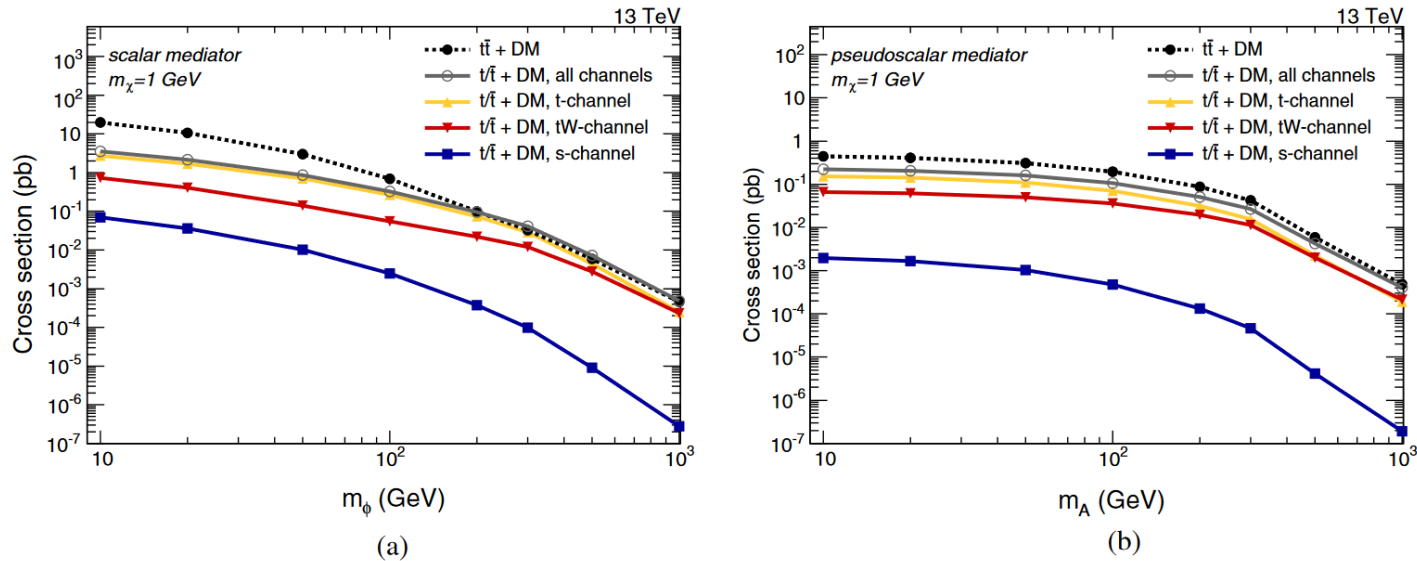
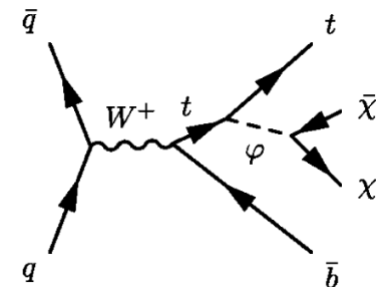
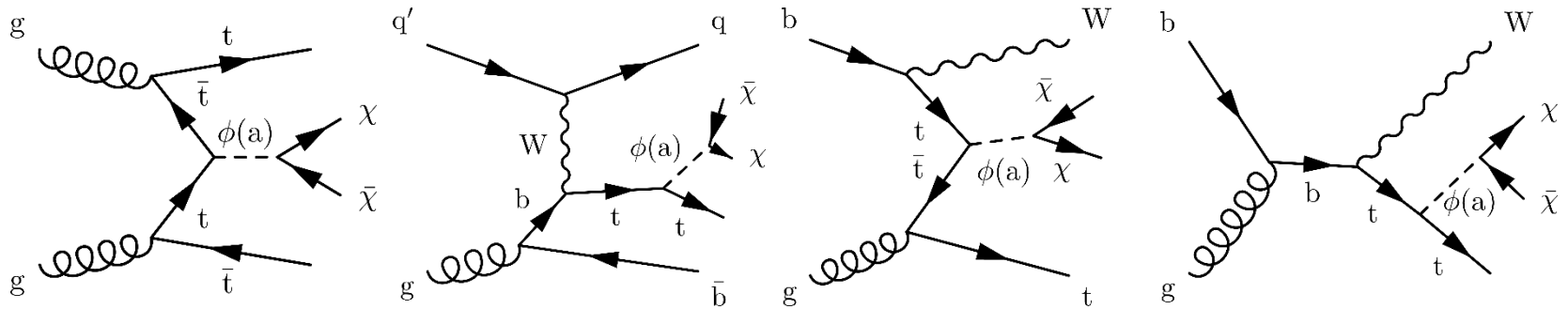


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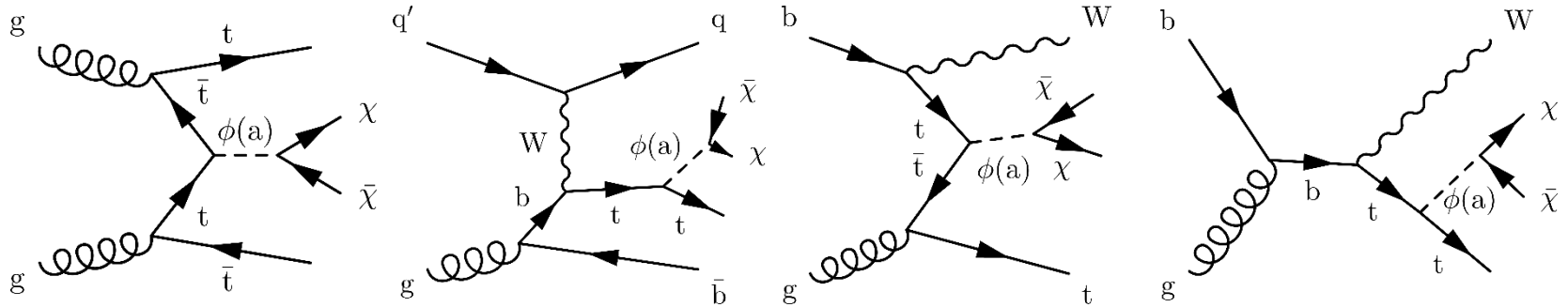
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- s -channel W production has much smaller contribution (not considered in this search)



Simplified model:



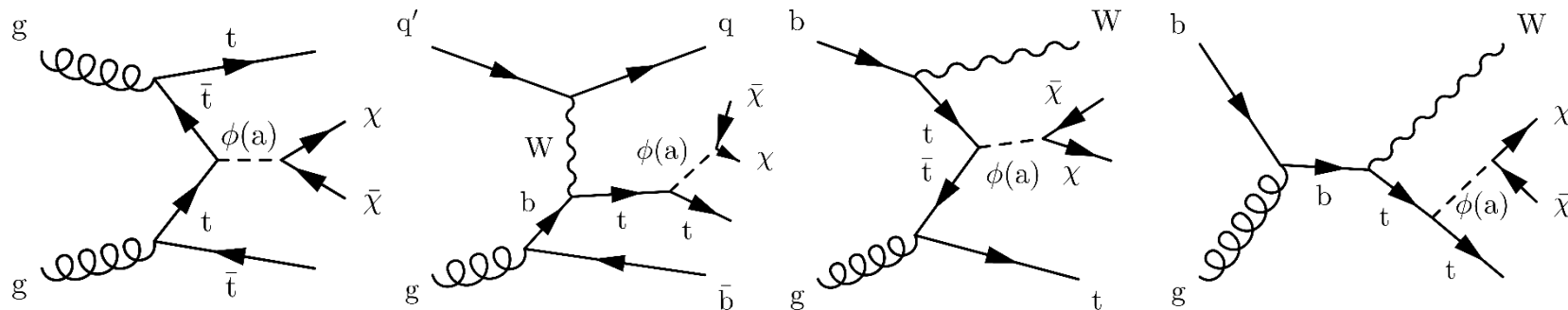
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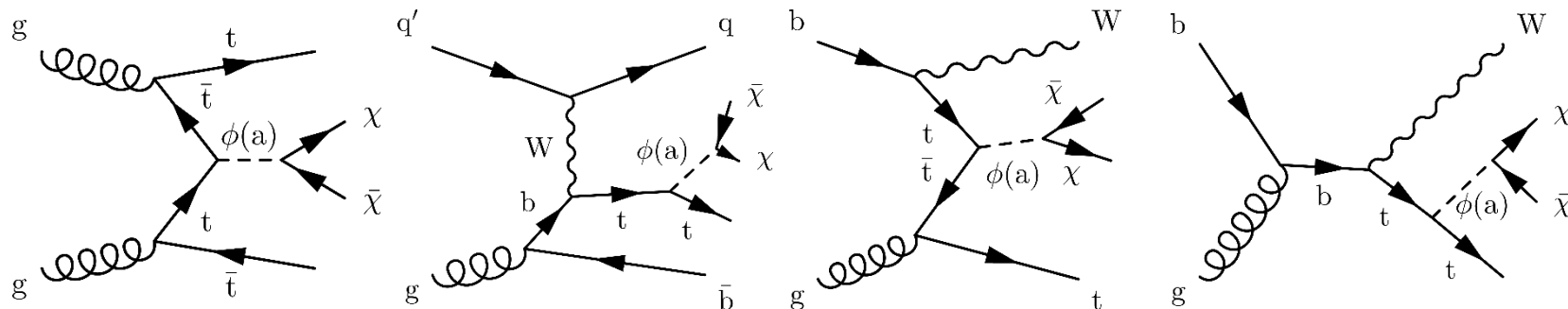
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$$\text{BR}(t \rightarrow \mu \nu_\mu b) \sim 13\%$$

$$\text{BR}(t \rightarrow \tau \nu_\tau b) \sim 7\%$$

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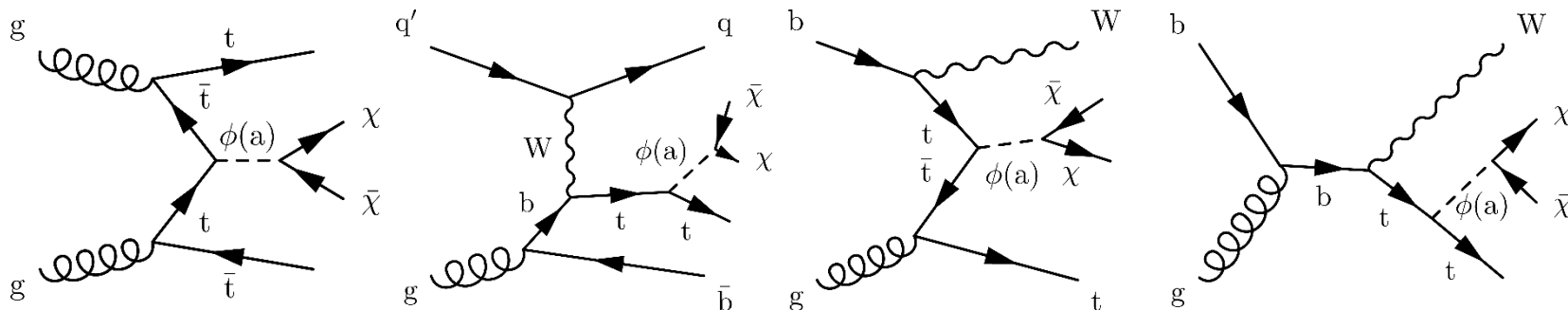
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What are we looking for?

Excess of events in the **missing transverse momentum spectrum**

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Events with

- exactly one lepton (e or μ): “single-lepton” (SL)
- zero leptons: “all-hadronic” (AH)

CMS detector

CMS DETECTOR

Total weight : 14,000 tonnes
Overall diameter : 15.0 m
Overall length : 28.7 m
Magnetic field : 3.8 T

STEEL RETURN YOKE
12,500 tonnes

SILICON TRACKERS
Pixel ($100 \times 150 \mu\text{m}$) $\sim 1\text{m}^2 \sim 66\text{M}$ channels
Microstrips ($80 \times 180 \mu\text{m}$) $\sim 200\text{m}^2 \sim 9.6\text{M}$ channels

SUPERCONDUCTING SOLENOID
Niobium titanium coil carrying $\sim 18,000\text{A}$

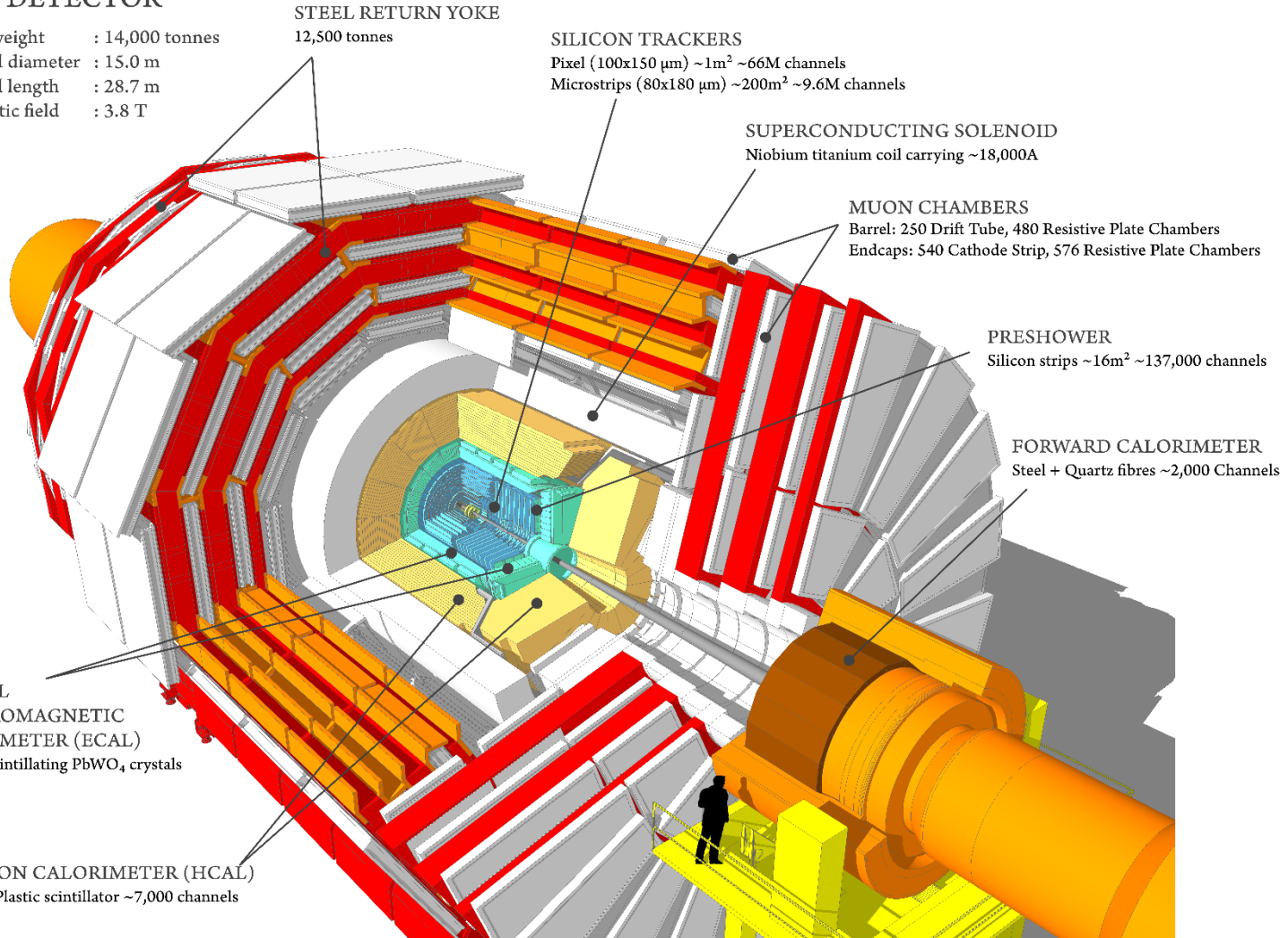
MUON CHAMBERS
Barrel: 250 Drift Tube, 480 Resistive Plate Chambers
Endcaps: 540 Cathode Strip, 576 Resistive Plate Chambers

PRESHOWER
Silicon strips $\sim 16\text{m}^2 \sim 137,000$ channels

FORWARD CALORIMETER
Steel + Quartz fibres $\sim 2,000$ Channels

CRYSTAL
ELECTROMAGNETIC
CALORIMETER (ECAL)
 $\sim 76,000$ scintillating PbWO_4 crystals

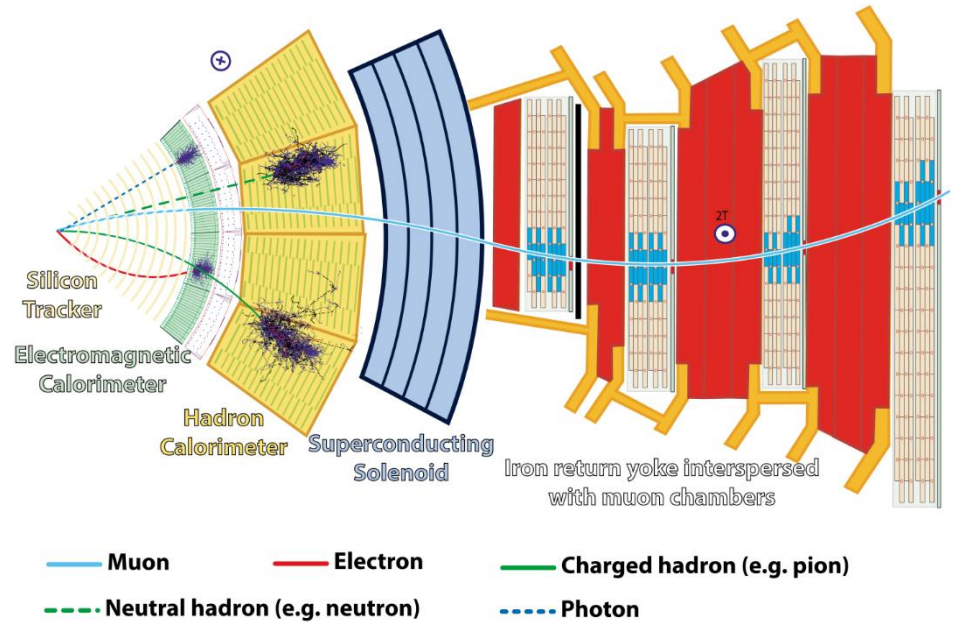
HADRON CALORIMETER (HCAL)
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Event reconstruction

Trigger

- First level: selection rate of 100kHz
- Second level (high-level): reduces to 1 kHz



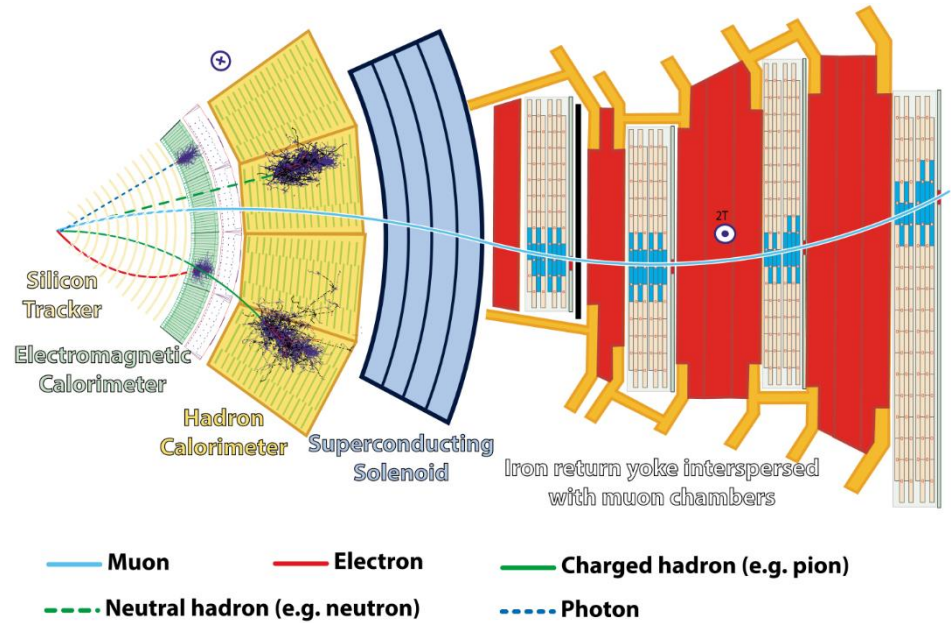
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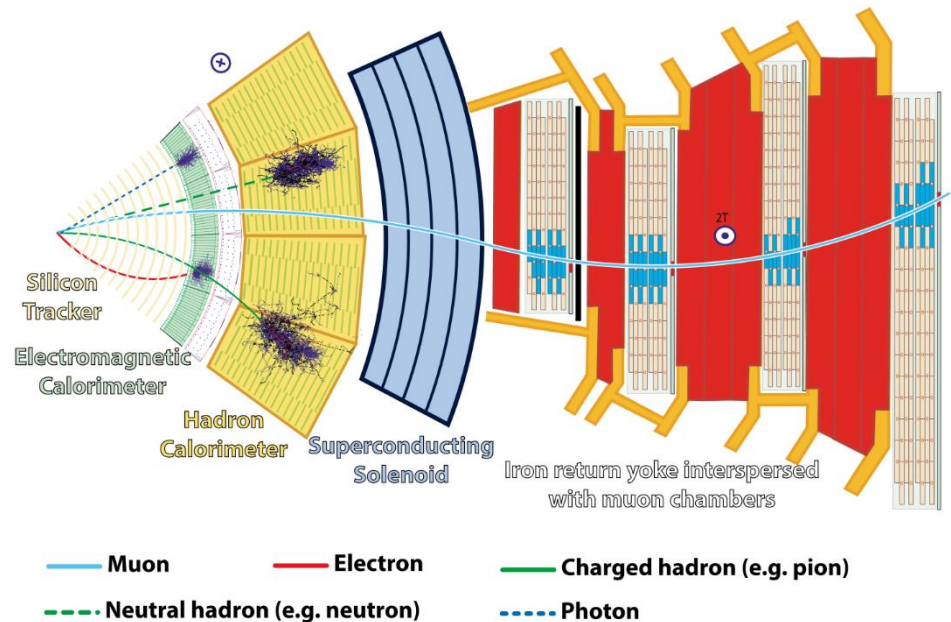
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Anti- k_T algorithm

- Clusters hadronic jets from particles reconstructed from the PF algorithm
- Jet momentum is the vectorial sum of all particle momenta in the jet



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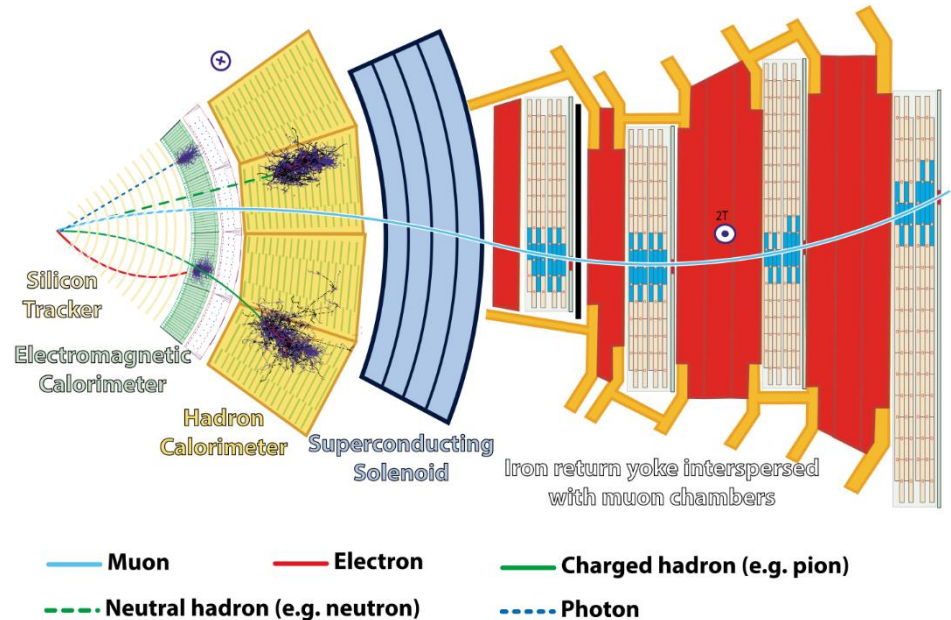
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- b-tagging efficiency in tt events $\sim 80\%$



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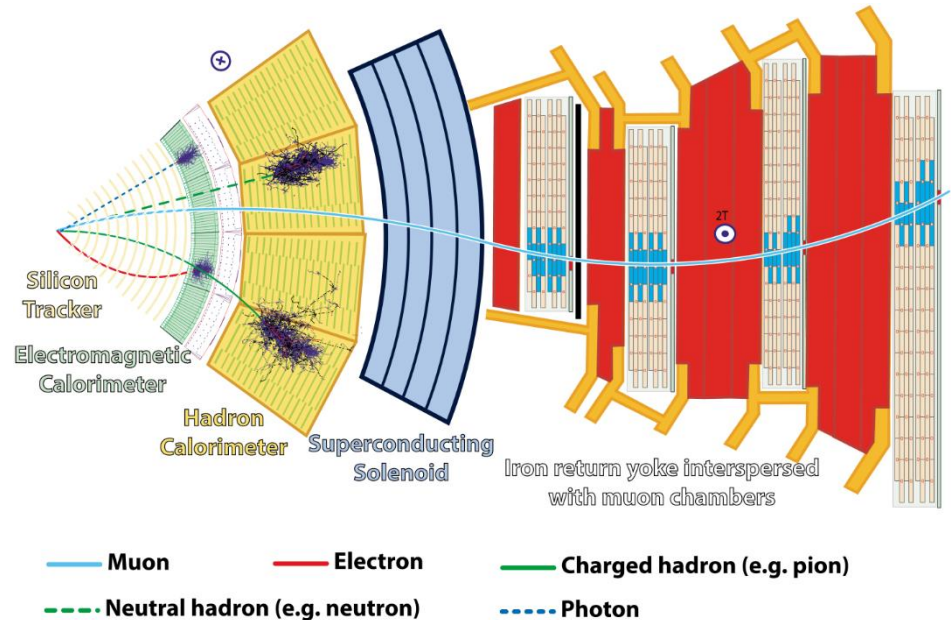
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Missing transverse momentum

$$\vec{p}_T^{\text{miss}} = - \sum_{\text{PF}} \vec{p}_T$$

Calculated using all PF particles originating from the primary vertex (with the largest momentum sum) and taking into account jet corrections

Data sample and simulation

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	Simulator
t \bar{t} +jets	POWHEG v2 (NLO)
single top	POWHEG v1 (NLO)
Z+jets, W+jets, QCD multijets	MADGRAPH5_aMC@NLO (LO)
t \bar{t} +W, t \bar{t} +Z	MADGRAPH5_aMC@NLO and FxFx (NLO)
WW, WZ, ZZ, WH, ZH	MADGRAPH5_aMC@NLO or POWHEG v2 (NLO)
t \bar{t} +DM, t/ \bar{t} +DM	MADGRAPH5_aMC@NLO v2.4.2 (LO)
parton showering and hadronization	PYTHIA 8.205 (LO or NLO)
CMS detector	GEANT4

Data sample and simulation

- Data recorded by CMS in **2016** corresponding to **35.9 fb⁻¹** at $\sqrt{s} = 13$ TeV
- Collected using several trigger criteria:
 - Events with **zero leptons** with p_T^{miss} and $H_T^{\text{miss}} > 120$ GeV
 - Events with at least **one isolated electron (muon)** with $p_T > 27(25)$ GeV
- Event selection is optimized using **MC simulated samples** of SM background and DM signal processes
- Main background sources: **tt + jets**, **Z + jets** and **W + jets** production
- All background samples are normalized using most accurate NLO and NNLO cross section calculations available

	Simulator	
Background	t \bar{t} +jets	POWHEG v2 (NLO)
	single top	POWHEG v1 (NLO)
	Z+jets, W+jets, QCD multijets	MADGRAPH5_aMC@NLO (LO)
	t \bar{t} +W, t \bar{t} +Z	MADGRAPH5_aMC@NLO and FxFx (NLO)
	WW, WZ, ZZ, WH, ZH	MADGRAPH5_aMC@NLO or POWHEG v2 (NLO)
	t \bar{t} +DM, t/ \bar{t} +DM	MADGRAPH5_aMC@NLO v2.4.2 (LO)
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	single top	POWHEG v1 (NLO)
	Z+jets, W+jets, QCD multijets	MADGRAPH5_aMC@NLO (LO)
	t \bar{t} +W, t \bar{t} +Z	MADGRAPH5_aMC@NLO and FxFx (NLO)
	WW, WZ, ZZ, WH, ZH	MADGRAPH5_aMC@NLO or POWHEG v2 (NLO)
Signal	t \bar{t} +DM, t/ \bar{t} +DM	MADGRAPH5_aMC@NLO v2.4.2 (LO)
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	single top	POWHEG v1 (NLO)
	Z+jets, W+jets, QCD multijets	MADGRAPH5_aMC@NLO (LO)
	t \bar{t} +W, t \bar{t} +Z	MADGRAPH5_aMC@NLO and FxFx (NLO)
	WW, WZ, ZZ, WH, ZH	MADGRAPH5_aMC@NLO or POWHEG v2 (NLO)
Signal	t \bar{t} +DM, t/ \bar{t} +DM	MADGRAPH5_aMC@NLO v2.4.2 (LO)
	parton showering and hadronization	PYTHIA 8.205 (LO or NLO)
	CMS detector	GEANT4

Benchmark

$$g_\chi = g_q = 1$$

$$m_\chi = 1 \text{ GeV}$$

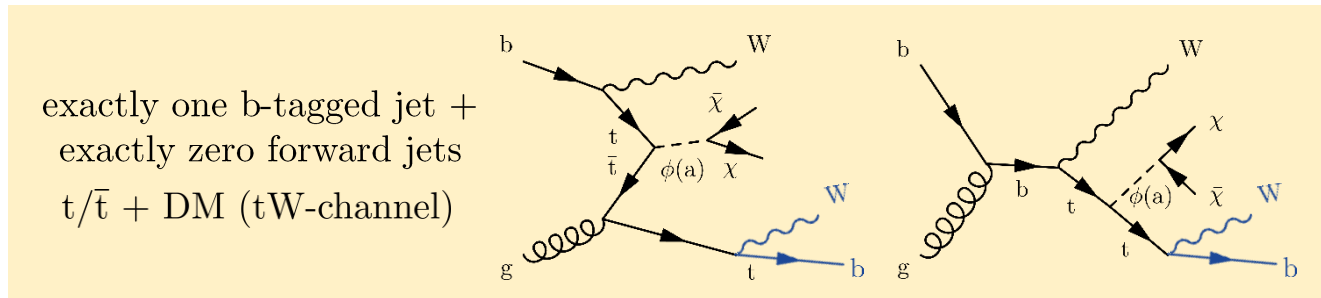
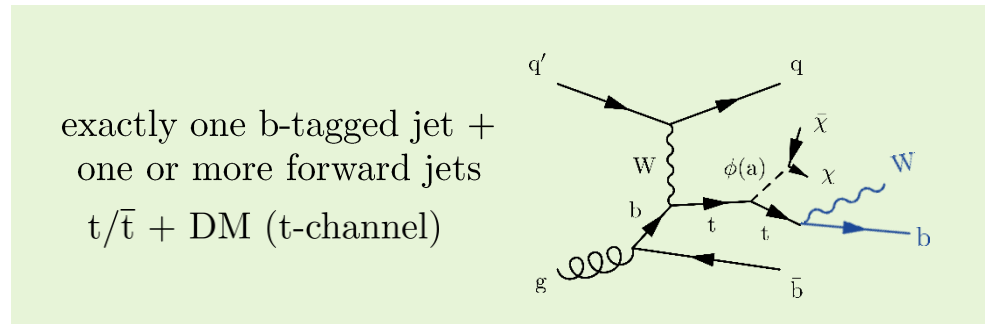
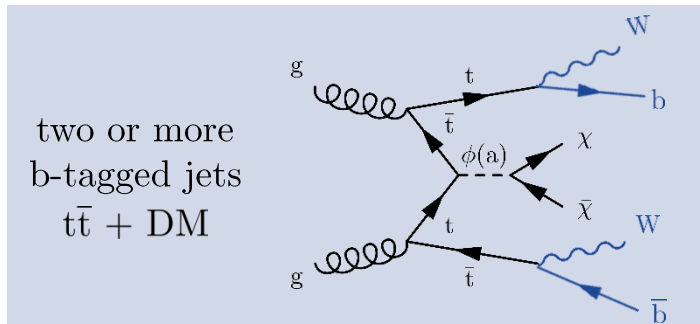
(production cross section is almost independent of the DM mass for on-shell mediators)

Event selection

Selection criteria:

- Jets: $p_T > 30$ GeV (central jet: $|\eta| < 2.4$, forward jet: $2.4 < |\eta| < 4.0$)
- b-tagged jets: $p_T > 30$ GeV and $|\eta| < 2.4$
- Electrons and muons: $p_T > 30$ GeV, $|\eta| < 2.1$, $\Delta R_e < 0.3$ and $\Delta R_\mu < 0.4$

Signal regions: # of b-tagged jets, # of forward jets



Control regions: orthogonal to signal regions, where SM background dominates

Signal and control regions

Signal regions

	Single-lepton SRs			All-hadronic SRs		
	1 ℓ , 1 b-tag, 0 FJ	1 ℓ , 1 b-tag, 1FJ	1 ℓ , 2 b-tag	0 ℓ , 1 b-tag, 0 FJ	0 ℓ , 1 b-tag, 1 FJ	0 ℓ , 2 b-tag
Forward jets	=0	≥ 1	—	= 0	≥ 1	—
n_b	=1	=1	≥ 2	= 1	=1	≥ 2
n_{lep}	=1	=1	=1	= 0	=0	=0
$p_T(j_1)/H_T$		—			—	<0.5
n_{jet}		≥ 2			≥ 3	
p_T^{miss}		>160 GeV			>250 GeV	
m_T		>160 GeV			—	
m_{T2}^W		>200 GeV			—	
$\min\Delta\phi(j_{1,2}, \vec{p}_T^{miss})$		>1.2 rad.			>1.0 rad.	
m_T^b		>180 GeV			>180 GeV	

Signal and control regions

exactly one lepton with $p_T > 30$ GeV

	Single-lepton SRs			All-hadronic SRs		
	1 ℓ , 1 b-tag, 0 FJ	1 ℓ , 1 b-tag, 1FJ	1 ℓ , 2 b-tag	0 ℓ , 1 b-tag, 0 FJ	0 ℓ , 1 b-tag, 1 FJ	0 ℓ , 2 b-tag
Forward jets	=0	≥ 1	—	=0	≥ 1	—
n_b	=1	=1	≥ 2	=1	=1	≥ 2
n_{lep}	=1	=1	=1	=0	=0	=0
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Signal regions

Signal and control regions

Signal regions	exactly one lepton with $p_T > 30$ GeV			exactly zero leptons with $p_T > 10$ GeV		
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	1 ℓ , 1 b-tag, 0 FJ	1 ℓ , 1 b-tag, 1FJ	1 ℓ , 2 b-tag	0 ℓ , 1 b-tag, 0 FJ	0 ℓ , 1 b-tag, 1 FJ	0 ℓ , 2 b-tag
Forward jets	=0	≥ 1	—	= 0	≥ 1	—
n_b	=1	=1	≥ 2	= 1	=1	≥ 2
n_{lep}	=1	=1	=1	= 0	=0	=0
$p_T(j_1)/H_T$		—			—	<0.5
n_{jet}		≥ 2			≥ 3	
p_T^{miss}		>160 GeV			>250 GeV	
m_T		>160 GeV			—	
m_{T2}^W		>200 GeV			—	
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	1 ℓ , 1 b-tag, 0 FJ	1 ℓ , 1 b-tag, 1FJ	1 ℓ , 2 b-tag	0 ℓ , 1 b-tag, 0 FJ	0 ℓ , 1 b-tag, 1 FJ	0 ℓ , 2 b-tag
Forward jets	=0	≥ 1	—	=0	≥ 1	—
n_b	=1	=1	≥ 2	=1	=1	≥ 2
n_{lep}	=1	=1	=1	=0	=0	=0
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m_T		>160 GeV			—	
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	1 ℓ , 1 b-tag, 0 FJ	1 ℓ , 1 b-tag, 1FJ	1 ℓ , 2 b-tag	0 ℓ , 1 b-tag, 0 FJ	0 ℓ , 1 b-tag, 1 FJ	0 ℓ , 2 b-tag
Forward jets	=0	≥ 1	—	=0	≥ 1	—
n_b	=1	=1	≥ 2	=1	=1	≥ 2
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Forward jets	=0	≥ 1	—	=0	≥ 1	—
n_b	=1	=1	≥ 2	=1	=1	≥ 2
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Background mainly from
 $t\bar{t}(2\ell)$ and W+jets

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Forward jets	=0	≥ 1	—	=0	≥ 1	—
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m_T		>160 GeV			—	
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$\min\Delta\phi(j_{1,2}, \vec{p}_T^{miss})$		>1.2 rad.			>1.0 rad.	
m_T^b		>180 GeV			>180 GeV	

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 $t\bar{t}(2\ell)$ and W+jets

Background mainly from
 $t\bar{t}(1\ell)$, W+jets and $Z \rightarrow \nu\nu$

Signal and control regions

Signal regions	exactly one lepton with $p_T > 30$ GeV			exactly zero leptons with $p_T > 10$ GeV		
	Single-lepton SRs			All-hadronic SRs		
	1 ℓ , 1 b-tag, 0 FJ	1 ℓ , 1 b-tag, 1FJ	1 ℓ , 2 b-tag	0 ℓ , 1 b-tag, 0 FJ	0 ℓ , 1 b-tag, 1 FJ	0 ℓ , 2 b-tag
Forward jets	=0	≥ 1	—	=0	≥ 1	—
n_b	=1	=1	≥ 2	=1	=1	≥ 2
n_{lep}	=1	=1	=1	=0	=0	=0
$p_T(j_1)/H_T$		—			—	< 0.5
n_{jet}		≥ 2			≥ 3	
p_T^{miss}		> 160 GeV			> 250 GeV	
m_T		> 160 GeV			—	
m_{T2}^W		> 200 GeV			—	
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m_T^b		> 180 GeV			> 180 GeV	

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 $t\bar{t}(2\ell)$ and W+jets

Background mainly from
 $t\bar{t}(1\ell)$, W+jets and $Z \rightarrow \nu\nu$

But how can we estimate the SM background in these different regions?

Signal and control regions

		exactly one lepton with $p_T > 30$ GeV			exactly zero leptons with $p_T > 10$ GeV		
		Single-lepton SRs			All-hadronic SRs		
		1 ℓ , 1 b-tag, 0 FJ	1 ℓ , 1 b-tag, 1FJ	1 ℓ , 2 b-tag	0 ℓ , 1 b-tag, 0 FJ	0 ℓ , 1 b-tag, 1 FJ	0 ℓ , 2 b-tag
Signal regions	Forward jets	=0	≥ 1	—	= 0	≥ 1	—
	n_b	=1	=1	≥ 2	= 1	=1	≥ 2
	n_{lep}	=1	=1	=1	= 0	=0	=0
	$p_T(j_1)/H_T$		—			—	< 0.5
	n_{jet}		≥ 2			≥ 3	
	p_T^{miss}		> 160 GeV			> 250 GeV	
	m_T		> 160 GeV			—	
	m_{T2}^W		> 200 GeV			—	
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Define orthogonal regions where the different background sources are isolated

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		Single-lepton SRs			All-hadronic SRs		
		1 ℓ , 1 b-tag, 0 FJ	1 ℓ , 1 b-tag, 1FJ	1 ℓ , 2 b-tag	0 ℓ , 1 b-tag, 0 FJ	0 ℓ , 1 b-tag, 1 FJ	0 ℓ , 2 b-tag
Signal regions	Forward jets	=0	≥ 1	—	=0	≥ 1	—
	n_b	=1	=1	≥ 2	=1	=1	≥ 2
	n_{lep}	=1	=1	=1	=0	=0	=0
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But how can we estimate the SM background in these different regions?

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Control regions	Single-lepton CRs		All-hadronic CRs		
	CR $t\bar{t}(2\ell)$	CR W($l\nu$)	CR $t\bar{t}(1\ell)$	CR W($l\nu$)	CR Z($l\ell$)
n_b	≥ 1	=0	≥ 1	=0	=0
n_{lep}	=2	=1	=1	=1	=2
n_{jet}	≥ 2	≥ 2	≥ 3	≥ 3	≥ 3
p_T^{miss}	>160 GeV	>160 GeV	>250 GeV	>250 GeV	>250 GeV
m_T	—	>160 GeV	<160 GeV	<160 GeV	—
$\min\Delta\phi(j_{1,2}, \vec{p}_T^{miss})$	—	—	>1.0 rad.	—	—
$m_{\ell\ell}$	—	—	—	—	[60, 120] GeV

Systematic uncertainties

- Consequences for either the simulation of background processes or the underlying theoretical modelling
- All uncertainties are included in the global simultaneous fit
- Uncertainties in b-tagging scale factor and limited statistics on the dilepton tt CR have the largest impacts on final result

Sources of uncertainties

only affect the normalization of the p_T spectrum	also affect the shape of the p_T^{miss} distribution
Lepton reconstruction, selection and trigger (2.2% for e and 1% for μ)	Jet energy scale
p_T^{miss} trigger (2%)	PDF uncertainties
b-tagging efficiency scale factors	W/Z+heavy-flavor fraction
Forward jets (2 - 7%)	Electroweak and QCD K factors
Pileup modeling (4.6%)	Top quark p_T reweighting
Luminosity (2.5%)	Factorization and renormalization scales
QCD multijet background normalization (100%)	Simulation sample size
Single top quark background normalization (20%)	
ECAL mistiming (10%)	

Signal Extraction

Signal Extraction

- DM signal is extracted from a **simultaneous fit** to the missing transverse momentum distribution in the various **signal and control regions**, taking into account all the **uncertainties** (ROOSTATS statistical package, combining both SL and AH regions)

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- Potential DM signal contributions scaled by **signal strength modifier** ($\mu = \sigma/\sigma_{\text{th}}$)

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- The values for the background multiplicative factors extracted from the fit are on average close to one, with root-mean-square deviations from 5% to 21%

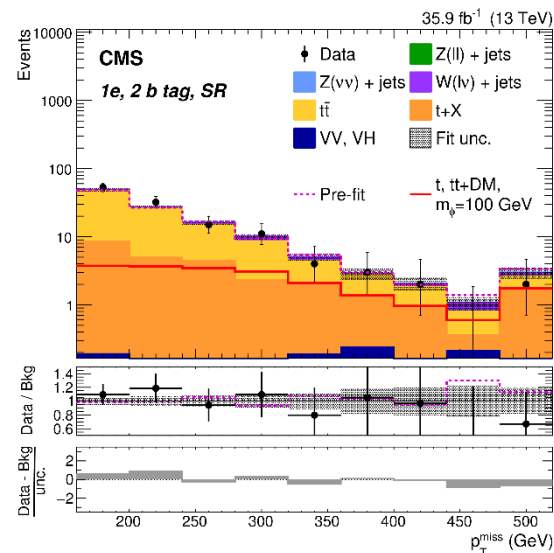
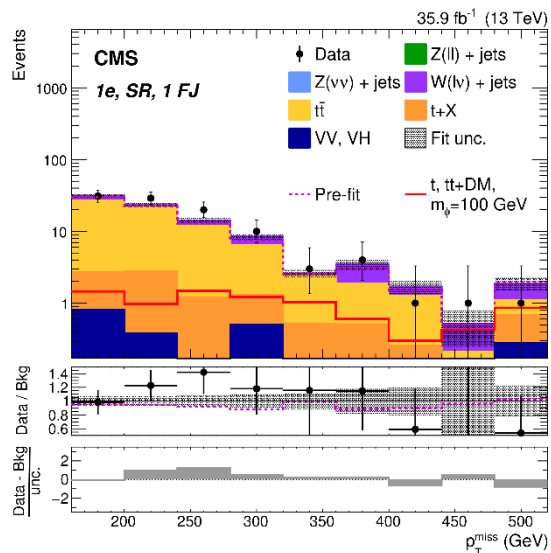
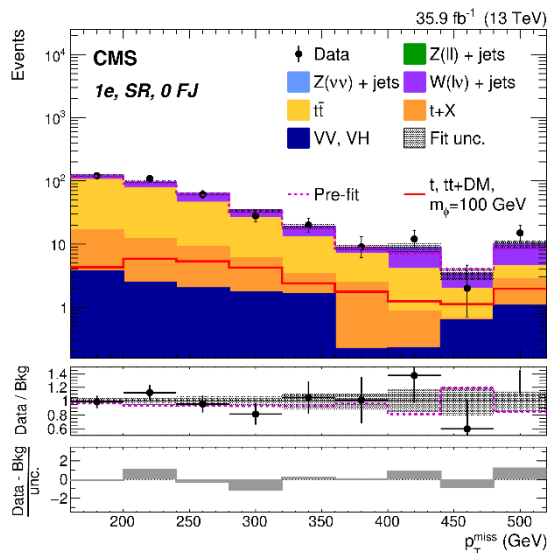
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- The values for the background multiplicative factors extracted from the fit are on average close to one, with root-mean-square deviations from 5% to 21%
- No significant excess at high missing transverse momentum is observed in any of the SRs

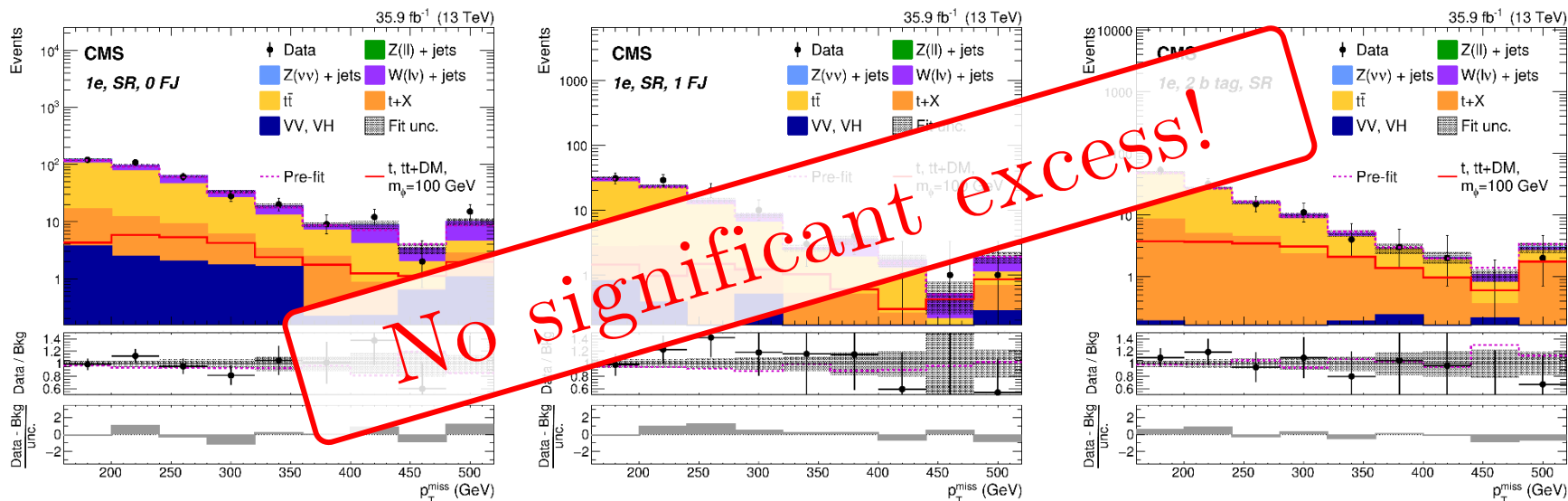
Example: background-only post-fit distribution for the SRs of the single-lepton selection (electron case)



Signal Extraction

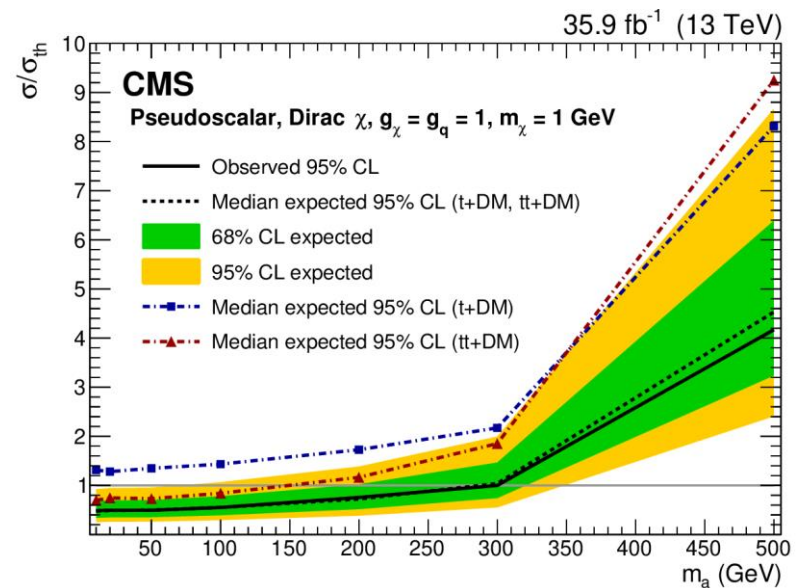
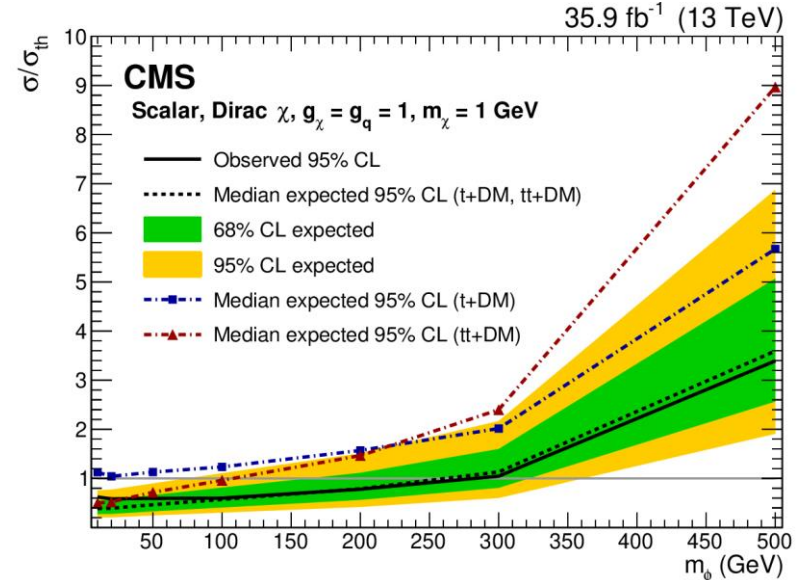
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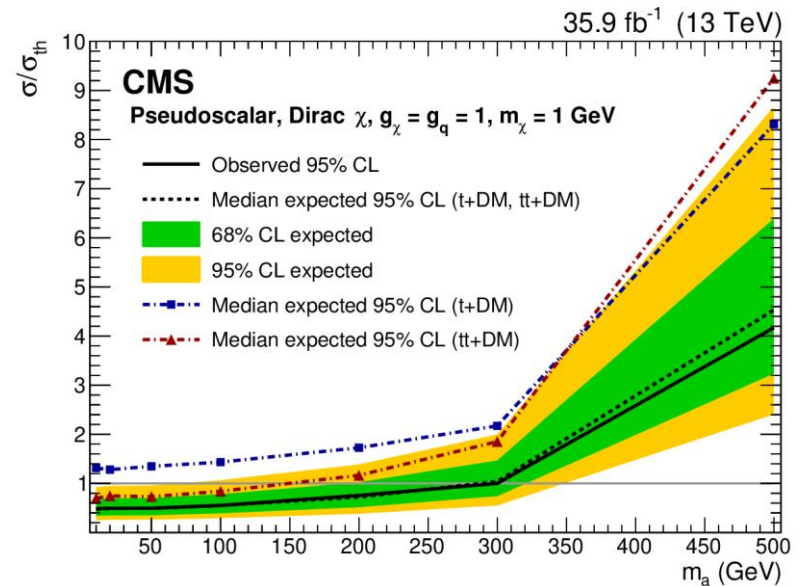
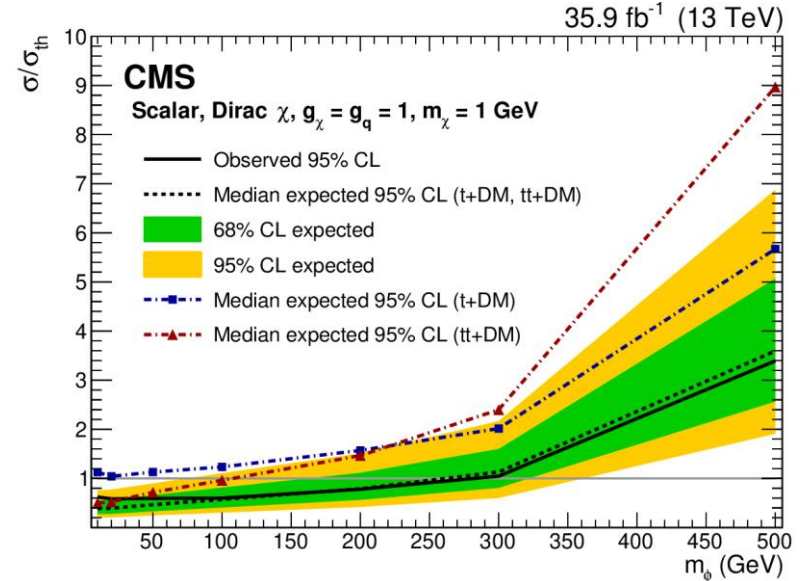
Results

- Data is in agreement with the expected SM in each SR



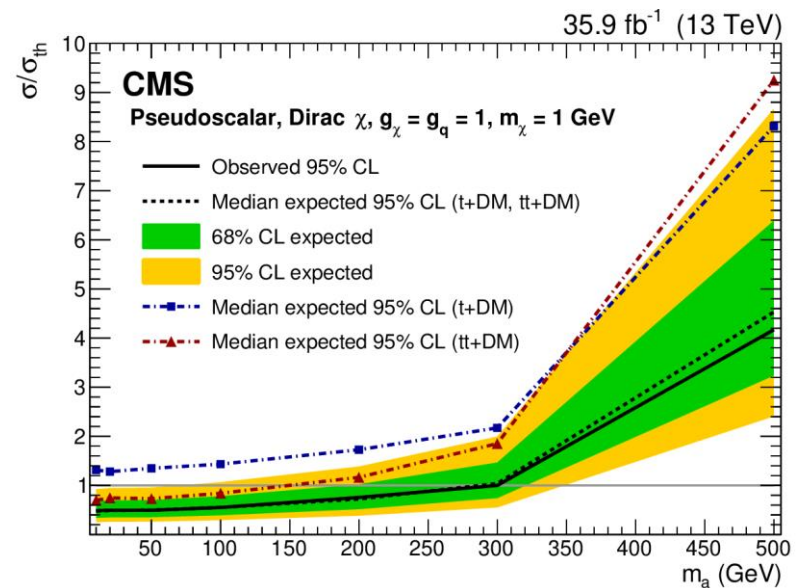
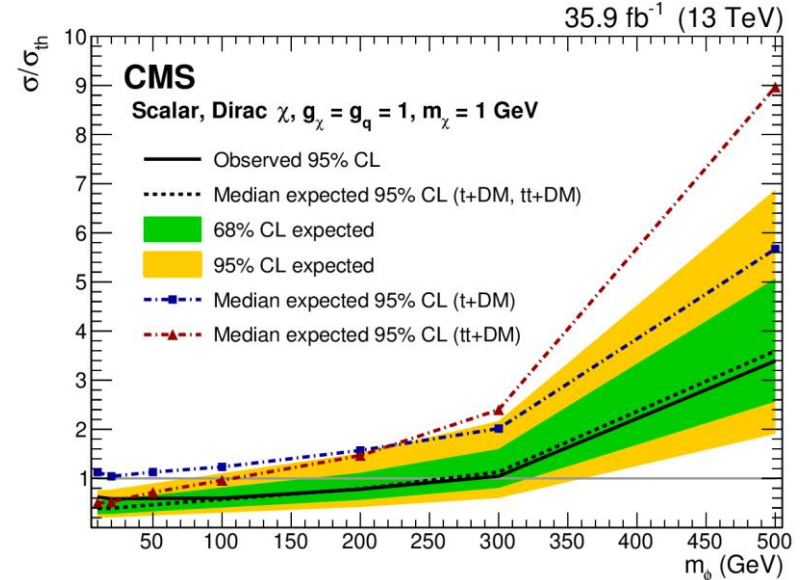
Results

- Data is in agreement with the expected SM in each SR
- Upper limits @ 95% CL are computed for the signal strength modifier



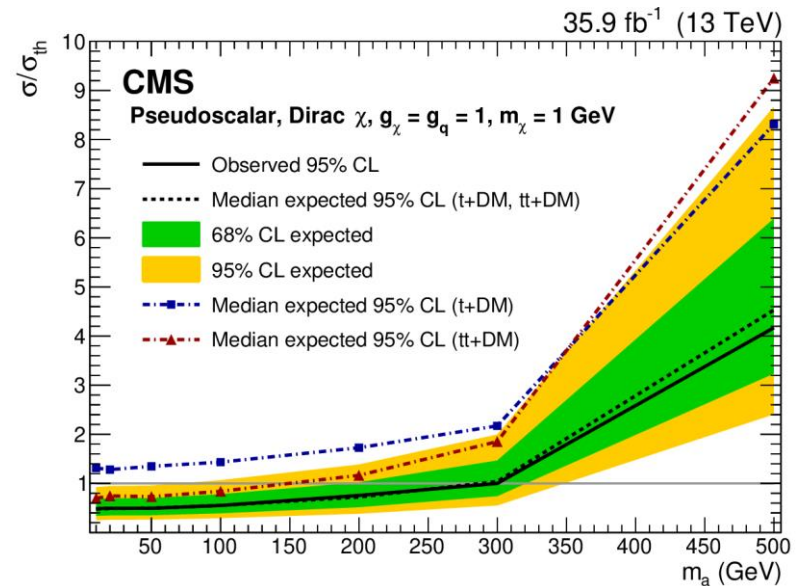
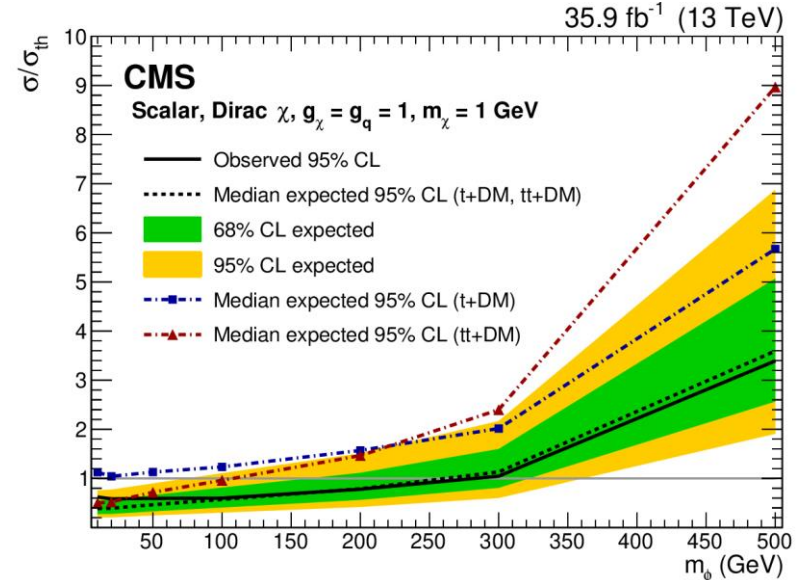
Results

- Data is in **agreement with the expected SM** in each SR
- **Upper limits @ 95% CL** are computed for the signal strength modifier
- Theoretical cross sections for associated DM production with top pair and single top are calculated at LO



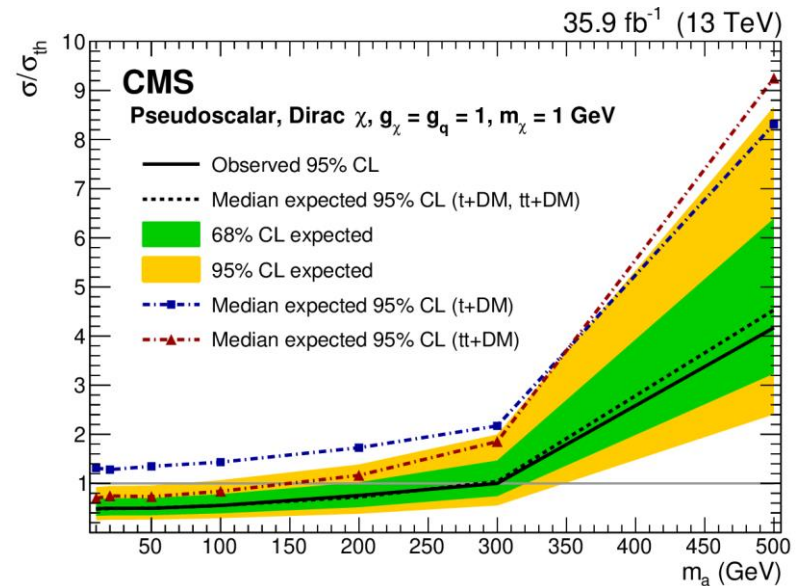
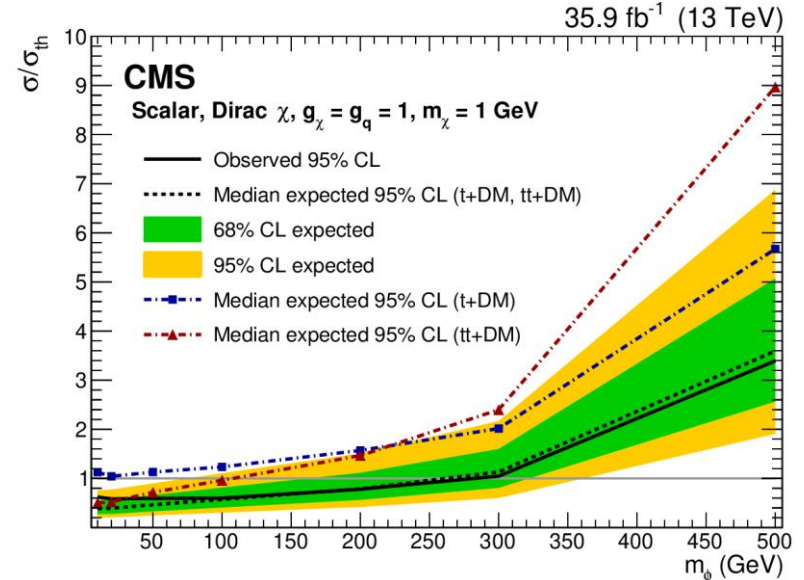
Results

- Data is in **agreement with the expected SM** in each SR
- **Upper limits @ 95% CL** are computed for the signal strength modifier
- Theoretical cross sections for associated DM production with top pair and single top are calculated at LO
- $tt+DM$ is the leading contribution for scalar (pseudoscalar) mass below 200 GeV (300 GeV)



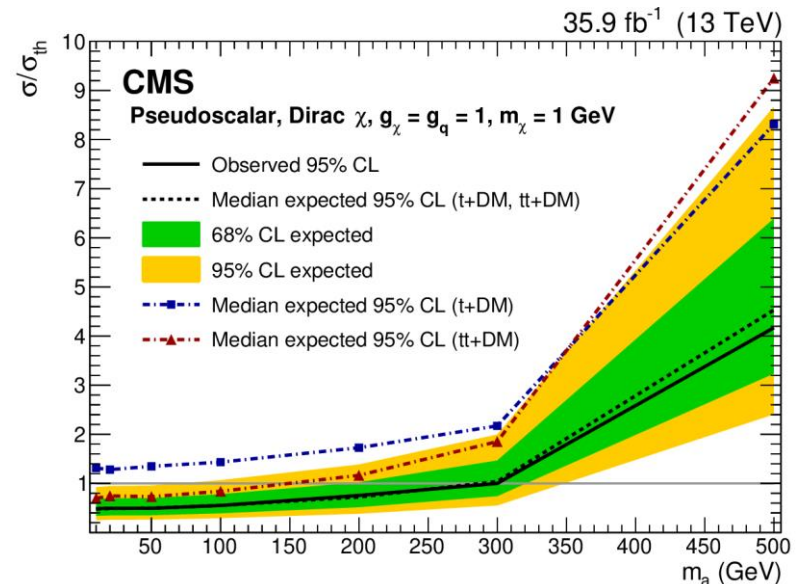
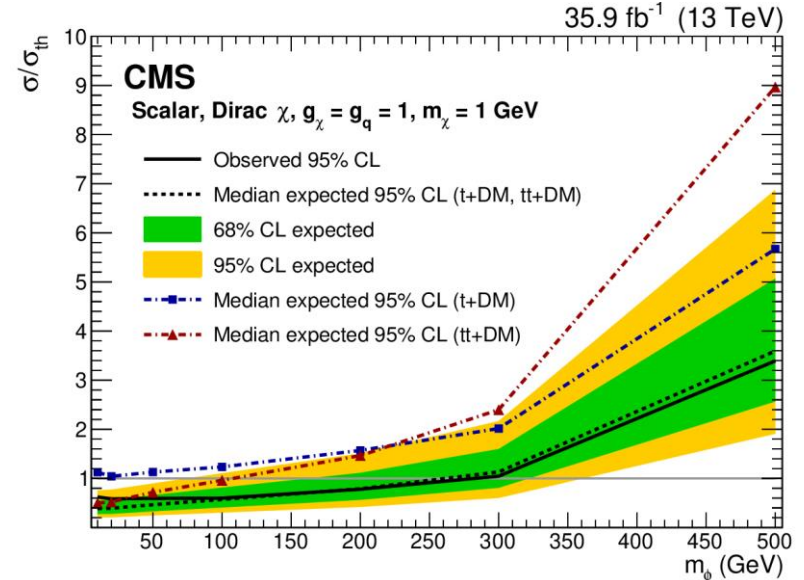
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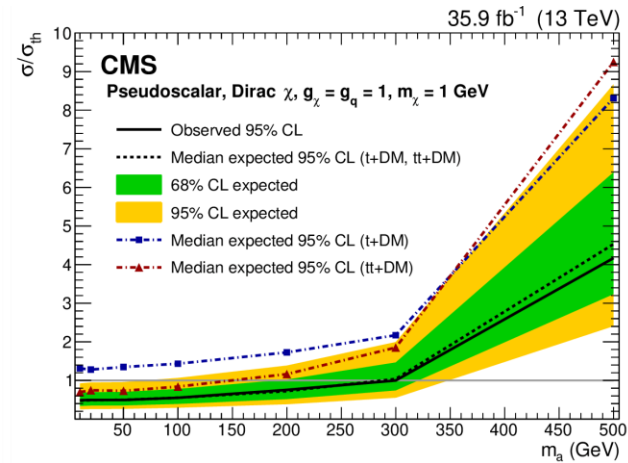
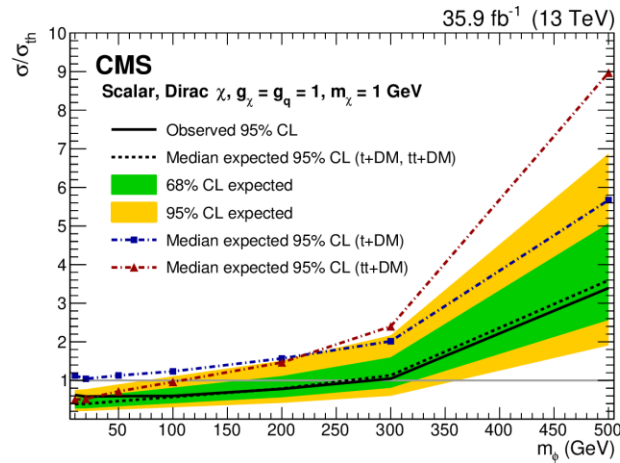
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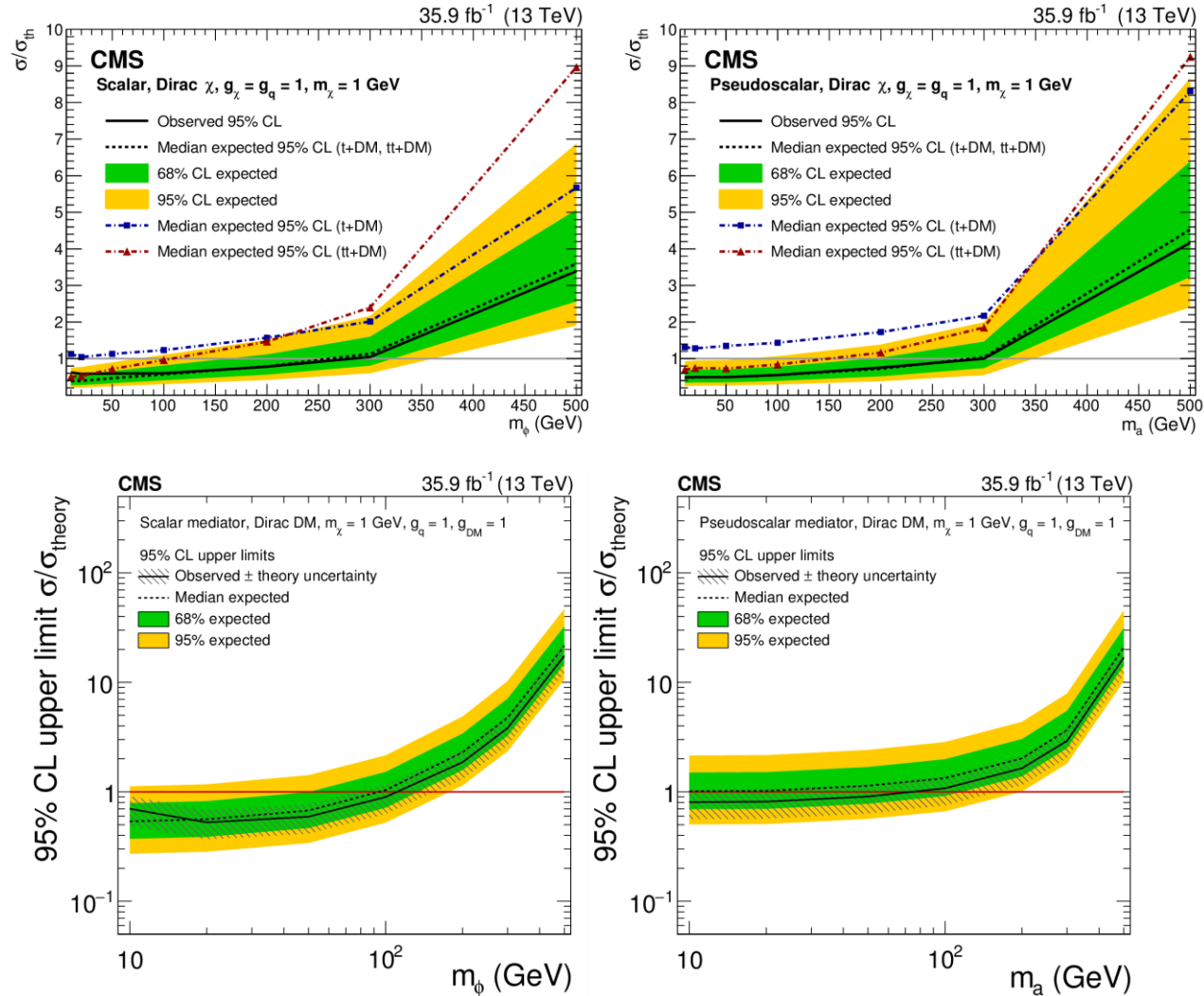
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[A. M. Sirunyan *et al.*, CMS Collaboration (2018), arXiv: 1711.00752]

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Thank you!

Backup slides

Evidence for Dark Matter

Existence of nonluminous matter:

Galactic rotation curves: stars in outer parts of spiral galaxies rotate far more quickly than would be expected if each galaxy consisted only of visible matter

$$v(r) = \sqrt{\frac{GM(r)}{r}}$$

- $M(r) \sim \text{const} \Rightarrow v(r) \propto 1/\sqrt{r}$
- $v(r) \sim \text{const} \Rightarrow M(r) \propto r$

Gravitational lensing: the gravitational potential of a very massive body bends the light originating from an object behind it, allowing to probe its mass distribution

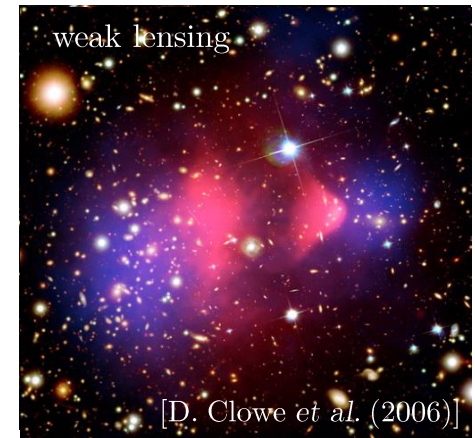
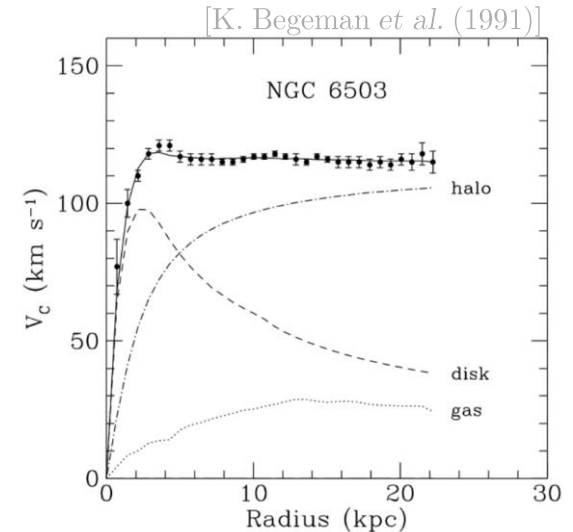
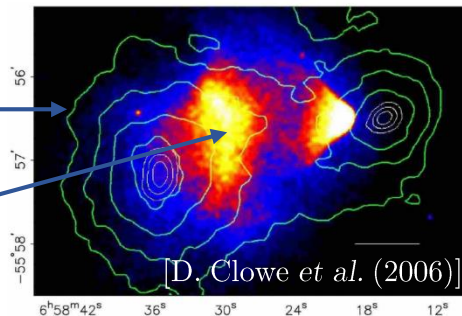
$$\delta\theta \simeq \frac{4GM}{b} \rightarrow \text{allows to infer the mass of the heavy object}$$

Bullet Cluster: system of two colliding clusters, where baryonic hot-gas clouds have been decelerated in the collision while the DM halos remain almost intact

Collisionless nature of DM

mass distribution inferred from gravitational lensing

X-ray distribution from baryonic matter



Evidence for Dark Matter

Proof of non-baryonic nature of DM:

LSS: reflects the history of gravitational clustering of matter since the Big Bang

- N-body simulations show that the observed LSS can only be formed in the presence of a large amount of non-dissipative CDM
- Allows to determine the total matter density of the Universe

$$\Omega_m = 0.308$$

BBN data: comparison between measurements of light element abundance and predictions of standard BBN

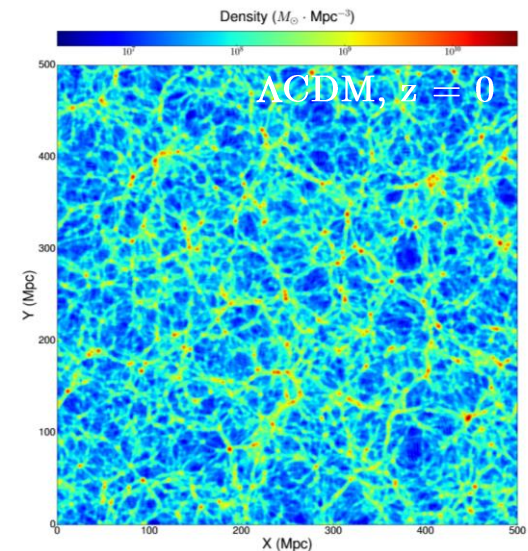
- Allows to determine the total baryon density of the Universe

$$0.021 \lesssim \Omega_b h^2 \lesssim 0.024 \text{ (95\% CL)}$$

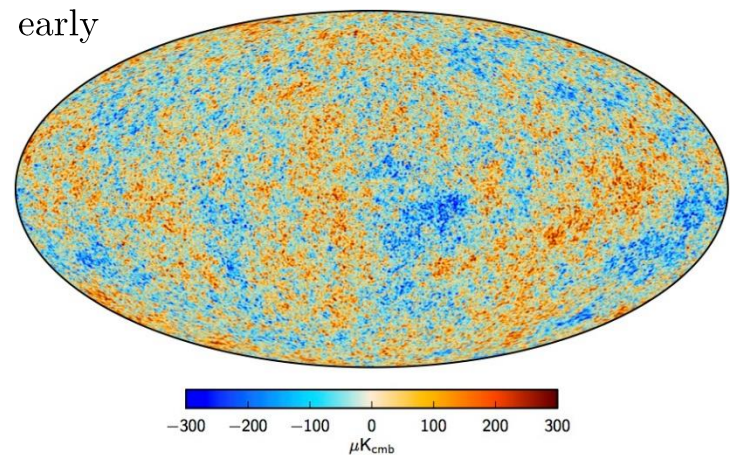
CMB radiation: comes from propagation of photons in the early Universe after decoupling from matter

- Small temperature anisotropies of the order of
- CMB power spectrum is sensitive to the matter and baryon fractions, which allow to determine the non-baryonic DM contribution

$$\Omega_b h^2 = 0.02226 \quad \Omega_{\text{DM}} h^2 = 0.1186$$



[A. Watts *et al.* (2017)]



[R. Adam *et al.*, Planck Collaboration (2016)]

Dark Matter Candidates

