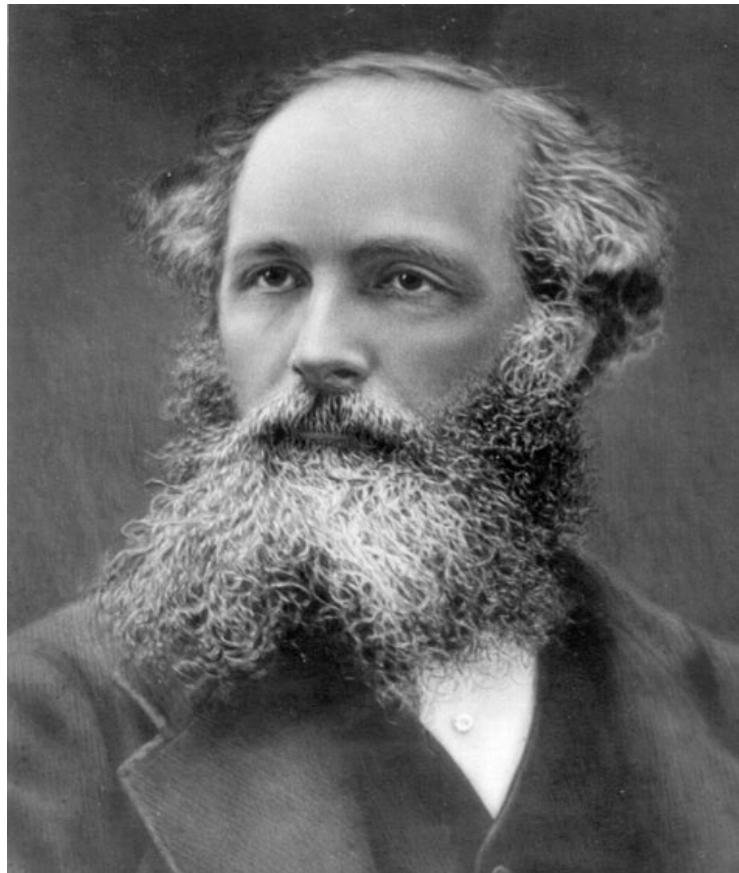


# Review of Electromagnetism



This review is not meant to teach the subject, but to repeat and to refresh, at least partially, what you have learnt at university.

# Maxwell's equations

(in material)

$$\oint \vec{H}(\vec{r}, t) \cdot d\vec{s} = \iint \vec{J}(\vec{r}, t) \cdot d\vec{A} + \frac{d}{dt} \iint \vec{D}(\vec{r}, t) \cdot d\vec{A}$$

$$\oint \vec{E}(\vec{r}, t) \cdot d\vec{s} = -\frac{d}{dt} \iint \vec{B}(\vec{r}, t) \cdot d\vec{A}$$

$$\iiint \vec{D}(\vec{r}, t) \cdot d\vec{A} = \iiint \rho(\vec{r}, t) dV$$

$$\iiint \vec{B}(\vec{r}, t) \cdot d\vec{A} = 0$$

$\vec{E}, \vec{H}$  electric and magnetic field

$\vec{D}, \vec{B}$  electric displacement and magnetic induction

$\vec{J}$  electric current density

$\rho$  electric charge density

$\iint \vec{J}(\vec{r}, t) \cdot d\vec{A}$  stands for all currents going through the area A. It may consist of 3 parts

$$\vec{J}(\vec{r}, t) = \vec{J}_c(\vec{r}, t) + \vec{J}_{cv}(\vec{r}, t) + \vec{J}_i(\vec{r}, t)$$

$$\vec{J}_c(\vec{r}, t) = \kappa \vec{E}(\vec{r}, t) \quad \text{conduction current (Ohm's law)}$$

$$\vec{J}_{cv}(\vec{r}, t) = \rho(\vec{r}, t) \vec{v}(\vec{r}, t) \quad \text{convection current}$$

$$\vec{J}_i(\vec{r}, t) \quad \text{impressed current}$$

$\iiint \rho(\vec{r}, t) dV$  stands for all charges in the volume V

*Current and charge may have different distributions:  
point, line, surface, volume*

With Stokes' theorem:

$$\oint \vec{E} \cdot d\vec{s} = \iint (\vec{\nabla} \times \vec{E}) \cdot d\vec{A} = -\frac{d}{dt} \iint \vec{B} \cdot d\vec{A} = -\iint \frac{\partial \vec{B}}{\partial t} \cdot d\vec{A}$$

$$\iint [\vec{\nabla} \times \vec{E} + \frac{\partial \vec{B}}{\partial t}] \cdot d\vec{A} = 0$$

since this is valid for any area:  $\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$  (2)

correspondingly:

$$\vec{\nabla} \times \vec{H} = \vec{J} + \frac{\partial \vec{D}}{\partial t} \quad (1)$$

With Gauss' theorem:

$$\oint\!\oint \vec{D} \cdot d\vec{A} = \iiint \vec{\nabla} \cdot \vec{D} dV = \iiint \rho dV$$

$$\iiint [\vec{\nabla} \cdot \vec{D} - \rho] dV = 0$$

since this is valid for any volume:  $\vec{\nabla} \cdot \vec{D} = \rho$  (3)

correspondingly:

$$\vec{\nabla} \cdot \vec{B} = 0 \quad (4)$$

## Time-harmonic fields

Time-harmonic fields can be written as complex quantities

$$\vec{E}(\vec{r}, t) = \vec{E}_0(\vec{r}) \cos(\omega t + \varphi) = \Re[\vec{E}_0(\vec{r}) e^{i\varphi} e^{i\omega t}] = \Re[\tilde{\vec{E}}(\vec{r}) e^{i\omega t}]$$

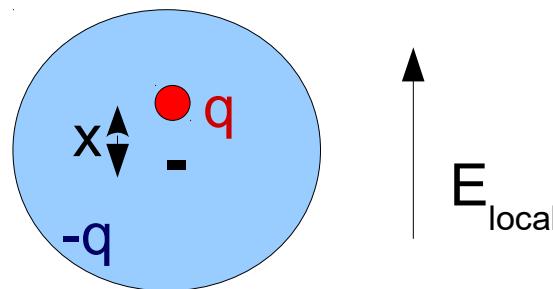
$\tilde{\vec{E}}(\vec{r})$  is called phasor.

- Advantages are:
- $\partial/\partial t \rightarrow i\omega$ ,
  - phasors are vectors in a coordinate system rotating with  $\omega t$ ,
  - $e^{i\omega t}$  cancels out in the equations

We will drop the tilde on following transparencies whenever the situation is sufficiently clear!

The effect of electric fields on matter can be described by a polarization field  $P$ , the effect of magnetic fields by a magnetization field  $M$ .

There are several electric reactions. E.g. a neutral atom changed to a dipole by a local field  $E_{\text{local}}$



$$p_e = qx \rightarrow \vec{P} = n \vec{p}_e = \epsilon_0 \chi_e \vec{E}$$

*n: dipole density*

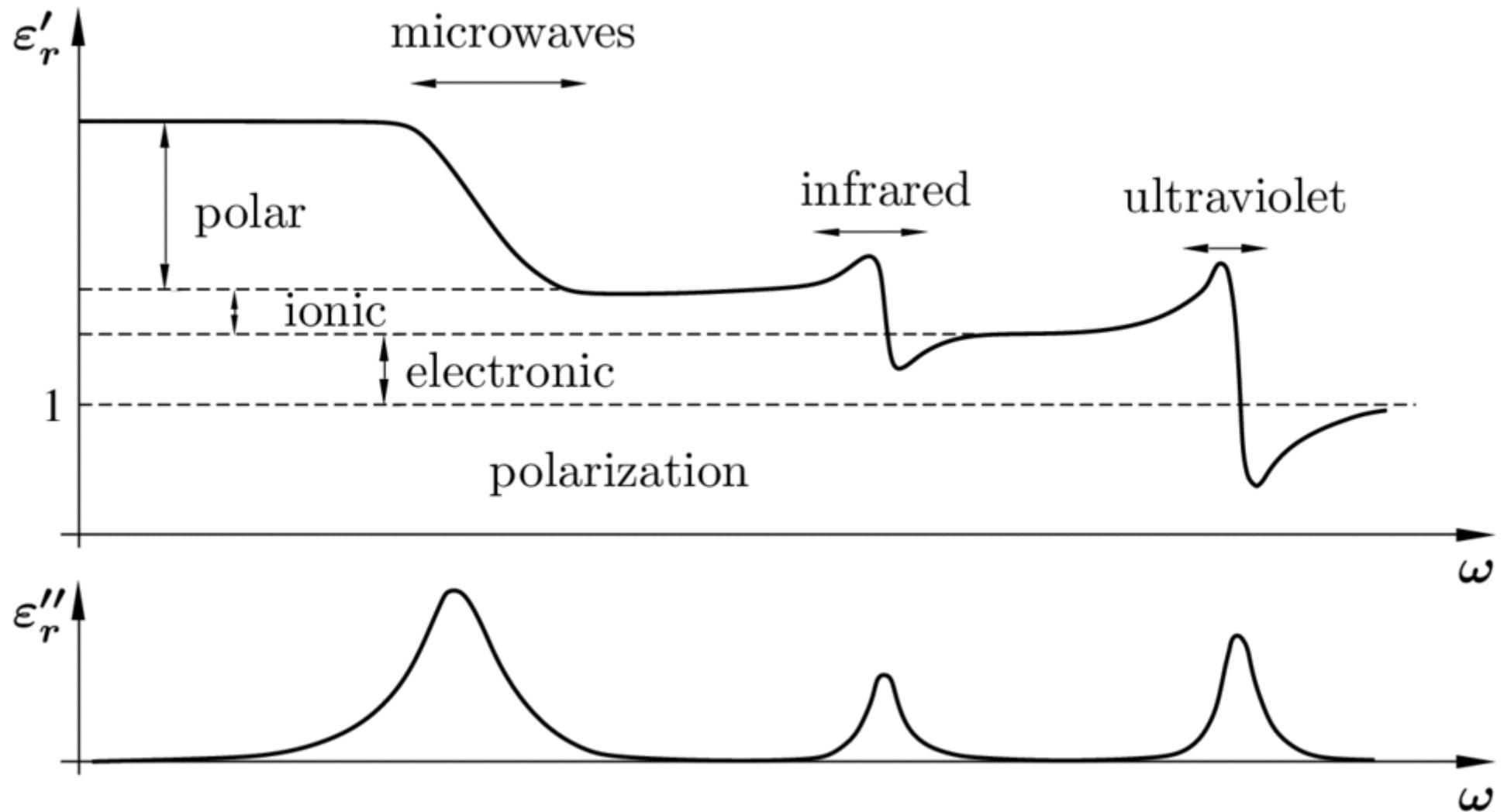
*$\chi_e$ : electric susceptibility*

Linear materials:

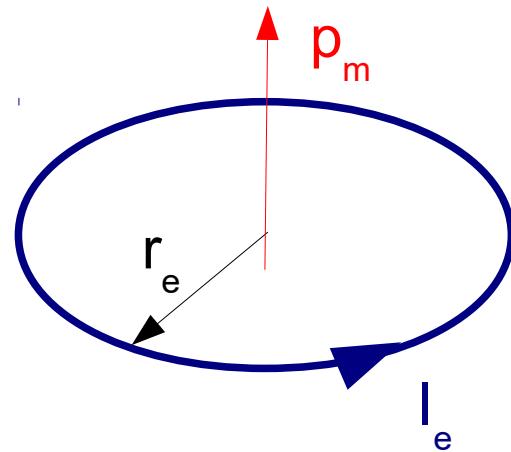
$$\vec{D} = \epsilon_0 \vec{E} + \vec{P} = \epsilon_0 \vec{E} + \epsilon_0 \chi_e \vec{E} = \epsilon_r \epsilon_0 \vec{E} = \epsilon \vec{E}$$

$\epsilon_r = 1 + \chi_e$ : *relative permittivity*

Dielectric behavior is a dynamic process, dependent on frequency ( $\epsilon_r = \epsilon'_r - i \epsilon''_r$ ,  $\epsilon''_r$  represents the losses):



Magnetic reaction of material is due to particle spins (magnetic moments  $p_m$ ). It can be described by means of magnetic dipoles, i.e. by circulating elementary currents:



$$p_m = \pi r_e^2 I_e \rightarrow \vec{M} = n \vec{p}_m = \chi_m \vec{H}$$

*n: dipole density*

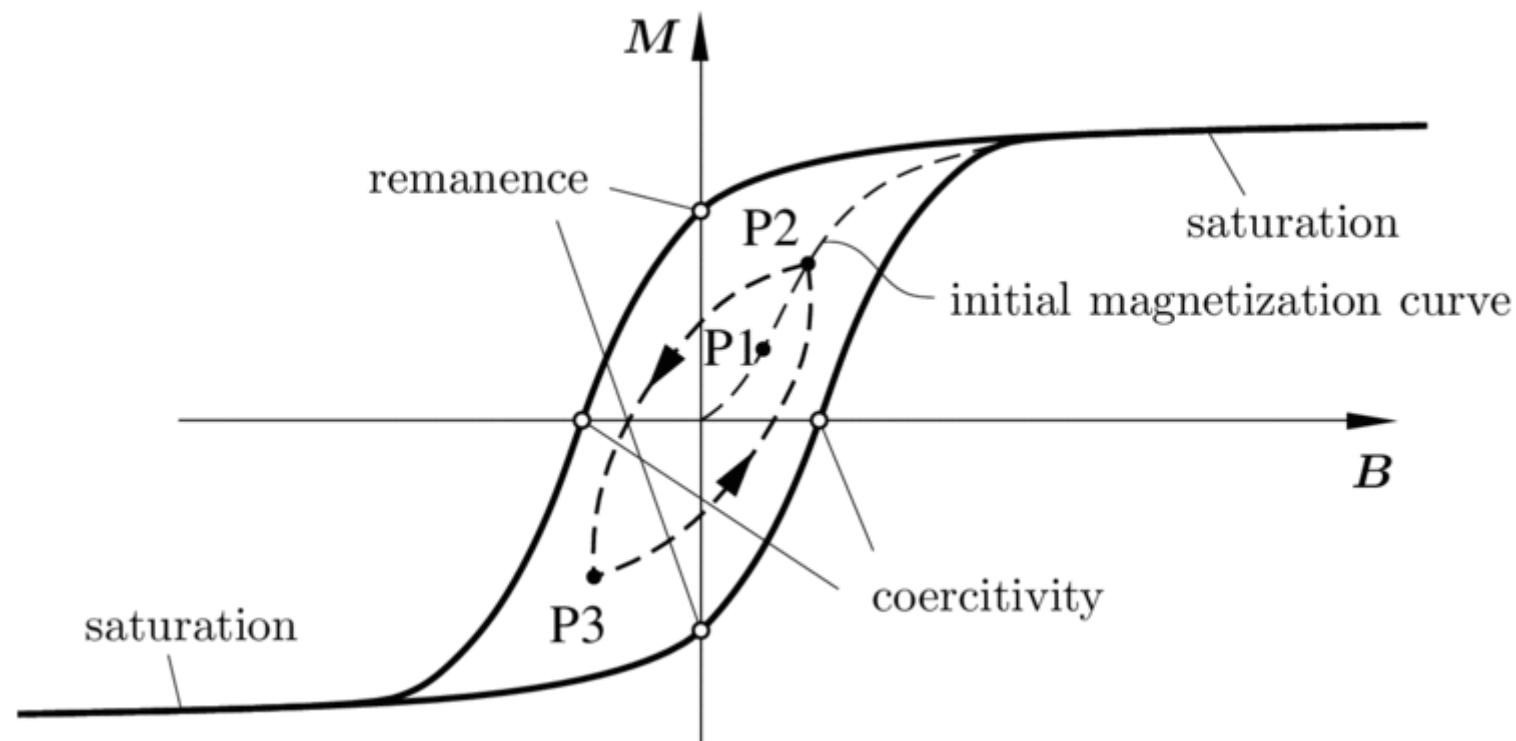
*$\chi_m$ : magnetic susceptibility*

Linear materials:

$$\vec{B} = \mu_0 \vec{H} + \mu_0 \vec{M} = \mu_0 \vec{H} + \mu_0 \chi_m \vec{H} = \mu_r \mu_0 \vec{H} = \mu \vec{H}$$

$\mu_r = 1 + \chi_m$ : *relative permeability*

For ferromagnetic materials the relation between the external field and the magnetization is non-linear and depends on the history of the material (hysteresis).



$$\vec{D} = \epsilon_0 \vec{E} + \epsilon_0 \chi \vec{P} \text{ and } \vec{B} = \mu_0 \vec{H} + \mu_0 \vec{M}$$

take into account the reaction of the material due to fields E and H. The reaction is averaged over all atoms and/or molecules, i.e. over all elementary electric and magnetic dipoles.

In *many materials* the relations  $\vec{P} = \vec{P}(\vec{E})$  and  $\vec{M} = \vec{M}(\vec{H})$  are *linear*.

But in *general* they are *nonlinear*, *anisotropic*, i.e. dependent on the direction of  $\vec{E}$  or  $\vec{H}$ , and they are *time* or *frequency dependent*.

They may also include *losses*.

There are losses due to radiation and interaction between electric and magnetic dipoles. Losses are responsible for the imaginary parts.

$$\epsilon = \epsilon' - i\epsilon'' = \epsilon'(1 - i\tan\delta_\epsilon)$$

$\tan\delta_\epsilon = \epsilon''/\epsilon'$ ,  $\delta_\epsilon$  electric loss angle

$$\mu = \mu' - i\mu'' = \mu'(1 - i\tan\delta_\mu)$$

$\tan\delta_\mu = \mu''/\mu'$ ,  $\delta_\mu$  magnetic loss angle

There are also losses due to collisions between free charges

$$\vec{\nabla} \times \vec{H} = \vec{J} + i\omega\epsilon\vec{E} = \kappa\vec{E} + i\omega\epsilon\vec{E} = i\omega\epsilon[1 + \kappa/(i\omega\epsilon)]\vec{E}$$

$$\epsilon_c = \epsilon' - i\epsilon'' = \epsilon[1 - i\kappa/(\omega\epsilon)]$$

In most dielectrics is  $\tan(\delta_\epsilon) \ll 1$

In good conductors is  $\kappa/\omega\epsilon \gg 1 \rightarrow \epsilon_c \approx \kappa/i\omega$

## Boundary / continuity conditions

Maxwell's theory is a continuum theory. It requires continuous, double differentiable functions.

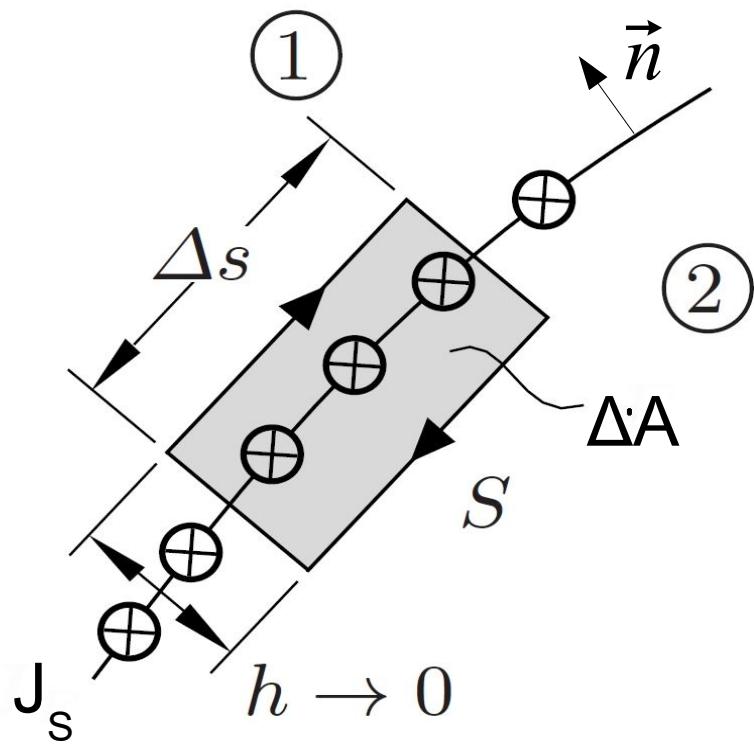
Solutions in different media have to be matched at the interface by boundary or continuity conditions.

Take Maxwell's equs. in integral form

$$\oint \vec{H}(\vec{r}, t) \cdot d\vec{s} = \iint \vec{J}(\vec{r}, t) \cdot d\vec{A} + \frac{d}{dt} \iint \vec{D}(\vec{r}, t) \cdot d\vec{A}$$

$$\oint \vec{E}(\vec{r}, t) \cdot d\vec{s} = -\frac{d}{dt} \iint \vec{B}(\vec{r}, t) \cdot d\vec{A}$$

and make an intelligent choice for the integration area:



$\Delta s$  is finite but small, such that the fields are constant, then

$$H_{t1}\Delta s - H_n h - H_{t2}\Delta s + H_n h = \\ = J_s \Delta s + \frac{\partial}{\partial t} \iint_{\Delta A} \vec{D} \cdot \Delta \vec{A}$$

for  $h \rightarrow 0$  it becomes

$$H_{t1} - H_{t2} = J_s$$

$$E_{t1} - E_{t2} = 0, \quad \text{correspondingly}$$

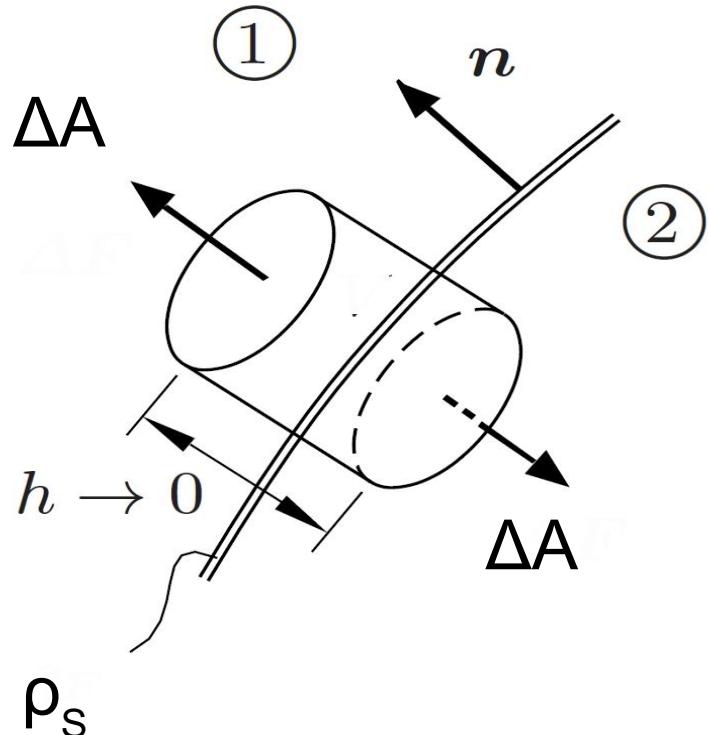
If medium 2 is perfectly electric conducting (pec) :

$$E_{t1} = 0, \quad H_{t1} = J_s$$

$J_s$  is a surface current density.

An intelligent choice of the integration volume:

$$\oint \vec{D}(\vec{r}, t) \cdot d\vec{A} = \iiint \rho(\vec{r}, t) dV$$
$$\oint \vec{B}(\vec{r}, t) \cdot d\vec{A} = 0$$



$$D_{n1} \Delta A - D_{n2} \Delta A + \iint_{\Delta A_{zyl}} \vec{D} \cdot d\vec{A} = \rho_s \Delta A$$

for  $h \rightarrow 0$  it becomes

$$D_{n1} - D_{n2} = \rho_s$$

$B_{n1} - B_{n2} = 0$ , correspondingly

If medium 2 is pec:  $D_{n1} = \rho_s$ ,  $B_{n1} = 0$

$\rho_s$  is a surface charge density.

# Application of Maxwell's equations

Electrostatic fields

( $H=0$ ,  $\delta/\delta t=0$ ,  $\epsilon=\text{const.}$ )

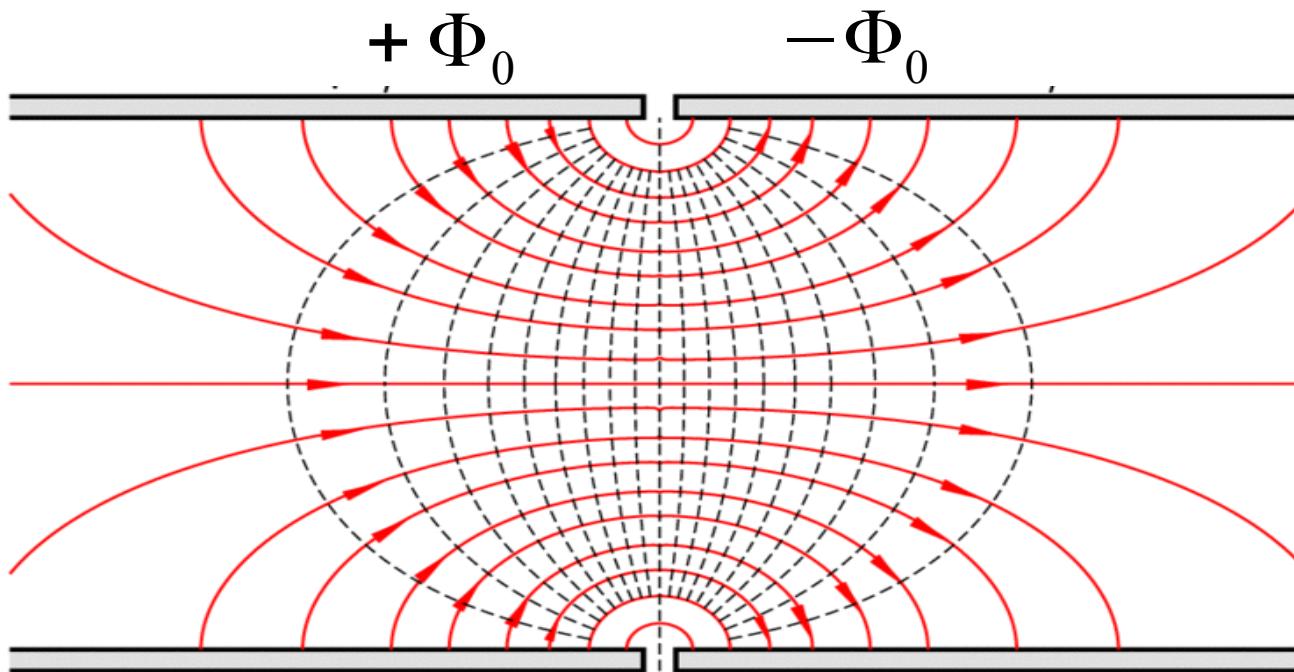
Maxwell's equations

$$\vec{\nabla} \times \vec{E} = 0 \rightarrow \vec{E} = -\vec{\nabla} \Phi \quad \text{since} \quad \vec{\nabla} \times \vec{\nabla} \Phi \equiv 0$$
$$\vec{\nabla} \cdot \vec{D} = \rho$$

Poisson equation:

$$\vec{\nabla} \cdot \vec{D} = \vec{\nabla} \cdot (\epsilon \vec{E}) = \rho \rightarrow \vec{\nabla}^2 \Phi = -\frac{\rho}{\epsilon} \quad (1)$$

Example: Two round tubes forming an electrostatic lens



E-field pattern

(1) becomes circular symmetric Laplace equation

$$\vec{\nabla}^2 \Phi = \frac{\partial^2 \Phi}{\partial \rho^2} + \frac{1}{\rho} \frac{\partial \Phi}{\partial \rho} + \frac{\partial^2 \Phi}{\partial z^2} = 0 \quad (2)$$

## Bernoulli ansatz

$$\Phi(\rho, z) = R(\rho)Z(z)$$

substituted in (2) and devived by RZ

$$\frac{1}{R} \frac{d^2 R}{d \rho^2} + \underbrace{\frac{1}{R \rho} \frac{d R}{d \rho}}_{k_z^2} + \frac{1}{Z} \frac{d^2 Z}{d z^2} = 0 \quad (3)$$

Last term is independent of  $\rho$  and must be constant. It yields

$$\frac{d^2 Z}{d z^2} - k_z^2 Z = 0$$

with solutions

$$Z = \begin{cases} C_0 + D_0 z, & k_z = 0 \\ C e^{k_z z} + D e^{-k_z z}, & k_z \neq 0 \end{cases}$$

## Condition at infinity

$$\Phi \text{ finite for } z=\pm\infty: C=D_0=0, \quad Z = \begin{cases} C_0, & k_z=0 \\ D e^{-k_z|z|}, & k_z \neq 0 \end{cases}$$

The left over equ. (3) is the Bessel differential equation

$$\frac{d^2 R}{d\rho^2} + \frac{1}{\rho} \frac{dR}{d\rho} + k_z^2 R = 0$$

with solutions

$$R = \begin{cases} A_0 + B_0 \ln(\rho/\rho_0), & k_z=0 \\ AJ_0(k_z\rho) + BN_0(k_z\rho), & k_z \neq 0 \end{cases}$$

Condition at  $\rho \rightarrow 0$

$$\Phi \text{ finite for } \rho=0: \quad B_0=B=0$$

# Boundary conditions

$$\Phi = \begin{cases} -\Phi_0 & \text{for } \rho=a, z>0 \\ +\Phi_0 & \text{for } \rho=a, z<0 \end{cases} \quad (4)$$
$$A_0 C_0 = -\text{sign}(z) \Phi_0, \quad J_0(k_z a) = 0 \rightarrow k_{zn} a = j_{0n}$$

Using above conditions  $\Phi$  becomes

$$\Phi = \text{sign}(z) \left[ -\Phi_0 + \sum_{n=1}^{\infty} A_n J_0 \left( j_{0n} \frac{\rho}{a} \right) e^{-j_{0n}|z|/a} \right] \quad (5)$$

and due to symmetry (4),  $\Phi(z=0)=0$ , (5) becomes

$$\Phi_0 = \sum_{n=1}^{\infty} A_n J_0 \left( j_{0n} \frac{\rho}{a} \right) \quad (6)$$

To calculate the coefficients  $A_n$  we use a Fourier-Bessel expansion.

Multiplication of (6) with  $\rho J_0(j_{0m} \rho/a)$  and integration over  $\rho$

$$\underbrace{\Phi_0 \int_0^a J_0\left(j_{0m} \frac{\rho}{a}\right) \rho d\rho}_{\frac{a^2}{j_{0m}} J_1(j_{0m})} = \sum_{n=1}^{\infty} A_n \underbrace{\int_0^a J_0\left(j_{0n} \frac{\rho}{a}\right) J_0\left(j_{0m} \frac{\rho}{a}\right) \rho d\rho}_{\delta_m^n \frac{a^2}{2} J_1^2(j_{0m})}$$

gives the final result

$$\Phi = sign(z) \Phi_0 \left[ -1 + 2 \sum_{n=1}^{\infty} \frac{J_0\left(j_{0n} \frac{\rho}{a}\right)}{j_{0n} J_1(j_{0n})} e^{-j_{0n}|z|/a} \right]$$

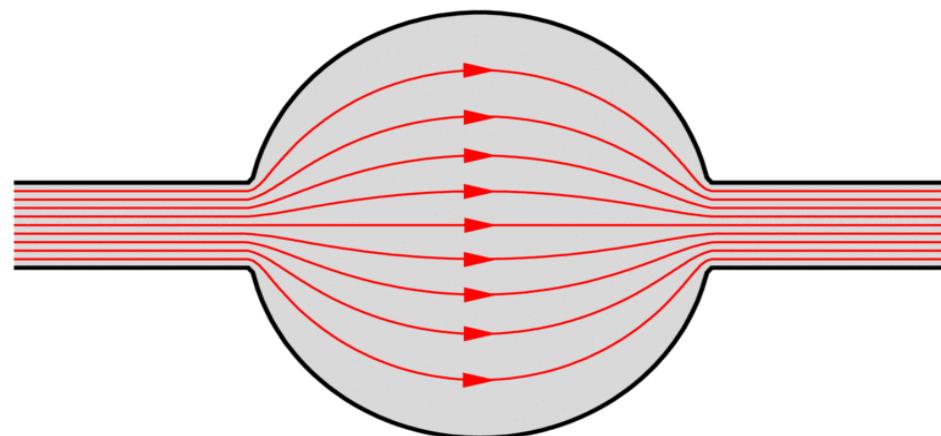
## Stationary currents

( $\delta/\delta t=0$ ,  $\kappa=\text{const.}$ )

Maxwell's equations:  $\vec{\nabla} \times \vec{H} = \vec{J}$ ,  $\vec{\nabla} \times \vec{E} = 0 \rightarrow \vec{E} = -\vec{\nabla} \Phi$

$$\vec{\nabla} \cdot (\vec{\nabla} \times \vec{H}) = 0 = \vec{\nabla} \cdot \vec{J} = \vec{\nabla} \cdot (\kappa \vec{E}) \rightarrow \vec{\nabla}^2 \Phi = 0$$

similar to electrostatics but different boundary / continuity conditions:  $J_n = \kappa E_n = -\kappa d\Phi/dn = 0$ .



J-field lines

## Magnetostatic fields

( $E=0$ ,  $\delta/\delta t=0$ ,  $\mu=\text{const.}$ )

Maxwell's equations

$$\vec{\nabla} \cdot \vec{B} = 0 \rightarrow \vec{B} = \vec{\nabla} \times \vec{A} \quad \text{since } \vec{\nabla} \cdot (\vec{\nabla} \times \vec{A}) = 0$$

$$\vec{\nabla} \times \vec{B} = \mu \vec{J} \rightarrow \vec{\nabla} \times (\vec{\nabla} \times \vec{A}) = \vec{\nabla} (\vec{\nabla} \cdot \vec{A}) - \vec{\nabla}^2 \vec{A} = \mu \vec{J}$$

Vectorpotential  $\vec{A}$  is not fully determined. Substitution  $\vec{A} \rightarrow \vec{A} + \vec{\nabla} \psi$  (gauge transformation) does not change  $\vec{B} = \vec{\nabla} \times \vec{A}$ . Gauge  $\vec{\nabla} \cdot \vec{A} = 0$  yields vectorial Poisson equ.

$$\vec{\nabla}^2 \vec{A} = -\mu \vec{J}$$

The solution of which (see appendix A1) is

$$\vec{A}(\vec{r}) = \frac{\mu}{4\pi} \iiint \frac{\vec{J}(\vec{r}')}{R} dV'$$

## Quasi-stationary fields

$$|\vec{J}| = \kappa |\vec{E}| \gg \left| \frac{d \vec{D}}{d t} \right| = \omega \epsilon |\vec{E}| \rightarrow \frac{\epsilon}{\kappa} = T_r \ll \frac{1}{\omega} = \frac{T}{2\pi}$$

$T_r$  is called relaxation time

## Maxwell's equations

$$\vec{\nabla} \times \vec{B} = \mu \vec{J}, \quad \vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}, \quad \vec{\nabla} \cdot \vec{D} = \rho, \quad \vec{\nabla} \cdot \vec{B} = 0$$

## Potentials

$$\vec{\nabla} \cdot \vec{B} = 0 \quad \rightarrow \quad \vec{B} = \vec{\nabla} \times \vec{A}$$

$$\vec{\nabla} \times \vec{E} = -\vec{\nabla} \times \frac{\partial \vec{A}}{\partial t}, \quad \vec{\nabla} \times (\vec{E} + \frac{\partial \vec{A}}{\partial t}) = 0 \quad \rightarrow \quad \vec{E} = -\vec{\nabla} \Phi - \frac{\partial \vec{A}}{\partial t}$$

$$\vec{\nabla} \times \vec{B} = \vec{\nabla} \times (\vec{\nabla} \times \vec{A}) = \vec{\nabla}(\vec{\nabla} \cdot \vec{A}) - \vec{\nabla}^2 \vec{A} = \\ = \mu \vec{J} = \mu \kappa \vec{E} = -\vec{\nabla}(\mu \kappa \Phi) - \mu \kappa \frac{\partial \vec{A}}{\partial t}$$

$\vec{A}$  and  $\Phi$  are not fully determined. Substituting

$$\vec{A} \rightarrow \vec{A} + \vec{\nabla} \psi, \quad \Phi \rightarrow \Phi - \frac{\partial \psi}{\partial t}$$

does not change  $\vec{B} = \vec{\nabla} \times \vec{A}$ ,  $\vec{E} = -\vec{\nabla} \Phi - \frac{\partial \vec{A}}{\partial t}$

So, use gauge:  $\vec{\nabla} \cdot \vec{A} = -\mu \kappa \Phi$

$$\rightarrow \vec{\nabla}^2 \vec{A} - \mu \kappa \frac{\partial \vec{A}}{\partial t} = 0 \quad \text{vectorial diffusion equation}$$

## Poynting's theorem

( $\epsilon, \mu, \kappa = \text{const.}$  and real,  $J = \kappa E$ , full set of Maxwell's equations)

If fields move a charge  $\rho dV$  by a distance  $\delta s$  in the interval  $\delta t$ , the work done by the fields (dissipated power) is

$$d\frac{\delta W}{\delta t} = d\vec{f} \cdot \frac{\delta \vec{s}}{\delta t} = \rho dV (\vec{E} + \vec{v} \times \vec{B}) \cdot \vec{v} = \vec{E} \cdot \rho \vec{v} dV = \vec{E} \cdot \vec{J} dV$$

Express  $\vec{E} \cdot \vec{J}$  with the aid of Maxwell's equations

$$\vec{E} \cdot \vec{\nabla} \times \vec{H} = \vec{J} + \frac{\partial \vec{D}}{\partial t}$$

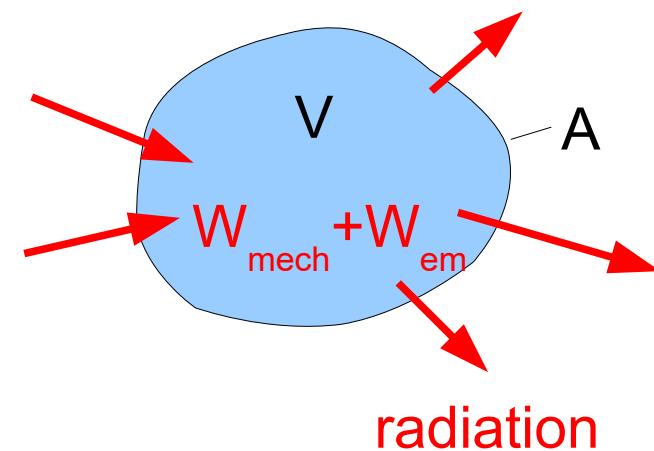
$$-\vec{H} \cdot \vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$$

$$\rightarrow -\vec{\nabla} \cdot (\vec{E} \times \vec{H}) = \vec{E} \cdot \vec{J} + \frac{\partial}{\partial t} \left[ \frac{1}{2} \vec{E} \cdot \vec{D} + \frac{1}{2} \vec{H} \cdot \vec{B} \right]$$



We get Poynting's theorem after integration over V and application of Gauss' law:

$$-\oint (\vec{E} \times \vec{H}) \cdot d\vec{A} = \iiint \vec{E} \cdot \vec{J} dV + \frac{\partial}{\partial t} \iiint \left( \frac{1}{2} \vec{E} \cdot \vec{D} + \frac{1}{2} \vec{H} \cdot \vec{B} \right) dV$$



Poynting vector (radiation flux)

$$\vec{S} = \vec{E} \times \vec{H}$$

dissipated power density

$$p_d = \vec{E} \cdot \vec{J}$$

electric energy density

$$w_e = (1/2) \vec{E} \cdot \vec{D}$$

magnetic energy density

$$w_m = (1/2) \vec{H} \cdot \vec{B}$$

Energy radiated into the volume V equals the dissipation plus the increase of stored electromagnetic energy in V.

## Poynting's theorem for time-harmonic fields

decompose e.g.  $\tilde{E} = \Re[\tilde{E} e^{i\omega t}] = \frac{1}{2} [\tilde{E} e^{i\omega t} + \tilde{E}^* e^{-i\omega t}]$

$$\begin{aligned} w_e &= \frac{1}{2} \tilde{E} \cdot \tilde{D} = \frac{1}{8} [\tilde{E} \cdot \tilde{D} e^{i2\omega t} + \tilde{E}^* \cdot \tilde{D}^* e^{-i2\omega t}] + \frac{1}{8} [\tilde{E} \cdot \tilde{D}^* + \tilde{E}^* \cdot \tilde{D}] \\ &= \frac{1}{4} \Re[\tilde{E} \cdot \tilde{D} e^{i2\omega t}] + \frac{1}{4} \tilde{E} \cdot \tilde{D}^* \end{aligned}$$

and after time-averaging  $\bar{w}_e = (1/4) \tilde{E} \cdot \tilde{D}^*$

correspondingly:  $\bar{w}_m = (1/4) \tilde{H} \cdot \tilde{B}^*$ ,  $\bar{p}_d = (1/2) \tilde{E} \cdot \tilde{J}^*$

$\vec{S}_c = (1/2) \tilde{\vec{E}} \times \tilde{\vec{H}}^*$  complex, time-averaged radiation flux

Again , using Maxwell ' s equations

$$(1/2)\tilde{\vec{E}} \cdot \vec{\nabla} \times \tilde{\vec{H}}^* = \tilde{\vec{J}}^* - i\omega \tilde{\vec{D}}^*$$

$$-(1/2)\tilde{\vec{H}}^* \cdot \vec{\nabla} \times \tilde{\vec{E}} = -i\omega \tilde{\vec{B}}$$

$$\rightarrow -\vec{\nabla} \cdot \left( \frac{1}{2} \tilde{\vec{E}} \times \tilde{\vec{H}}^* \right) = \frac{1}{2} \tilde{\vec{E}} \cdot \tilde{\vec{J}}^* + i2\omega \left( \frac{1}{4} \tilde{\vec{H}}^* \cdot \tilde{\vec{B}} - \frac{1}{4} \tilde{\vec{E}} \cdot \tilde{\vec{D}}^* \right)$$

we get Poynting's theorem after integration over V and application of Gauss' law:

$$-\oint \vec{S}_c \cdot d\vec{A} = \iiint \bar{p}_d dV + i2\omega \iiint (\bar{w}_m - \bar{w}_e) dV$$

Active power (time-averaged Joulean heat, dissipation)

$$\bar{P}_{act} = -\oint \Re[\vec{S}_c] \cdot d\vec{A} = \iiint \bar{p}_d dV = \bar{P}_d$$

Reactive power

$$\bar{P}_{react} = -\oint \Im[\vec{S}_c] \cdot d\vec{A} = 2\omega \iiint (\bar{w}_m - \bar{w}_e) dV = 2\omega (\bar{W}_m - \bar{W}_e)$$

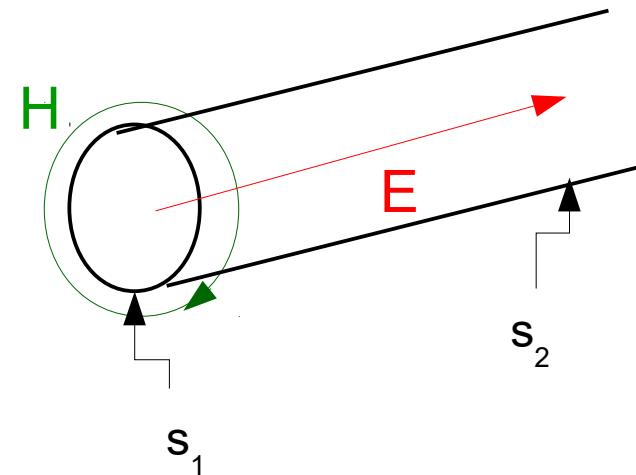
In good conductors is  $W_m \gg W_e$  ( $|E| \ll |H|$ )

$$-\oint \vec{S}_c \cdot d\vec{A} = \bar{P}_c = \bar{P}_d + i2\omega \bar{W}_m$$

This allows to calculate the resistance and internal inductance of a conductor. We define

$$I^* = \oint \vec{H}^* \cdot d\vec{s}$$

$$U = \int_{s_1}^{s_2} \vec{E} \cdot d\vec{s} = I(R + i\omega L_i)$$



and obtain

$$\bar{P}_c = \frac{1}{2} UI^* = \frac{1}{2} |I|^2 (R + i\omega L_i) = \bar{P}_d + i2\omega \bar{W}_m$$

The simplest electromagnetic wave is a **plane wave**. It depends only on one space variable (direction of propagation) and on the time.

$$\vec{E} = \vec{E}(z, t), \quad \vec{H} = \vec{H}(z, t)$$

First two Maxwell's eqs.  $\vec{\nabla} \times \vec{H} = \epsilon \frac{\partial \vec{E}}{\partial t}$ ,  $\vec{\nabla} \times \vec{E} = -\mu \frac{\partial \vec{H}}{\partial t}$

give two sets of uncoupled equations:

$$\begin{aligned} -\frac{\partial H_y}{\partial z} &= \epsilon \frac{\partial E_x}{\partial t} & \frac{\partial E_x}{\partial z} &= -\mu \frac{\partial H_y}{\partial t} \\ \frac{\partial H_x}{\partial z} &= \epsilon \frac{\partial E_y}{\partial t} & -\frac{\partial E_y}{\partial z} &= -\mu \frac{\partial H_x}{\partial t} \end{aligned}$$

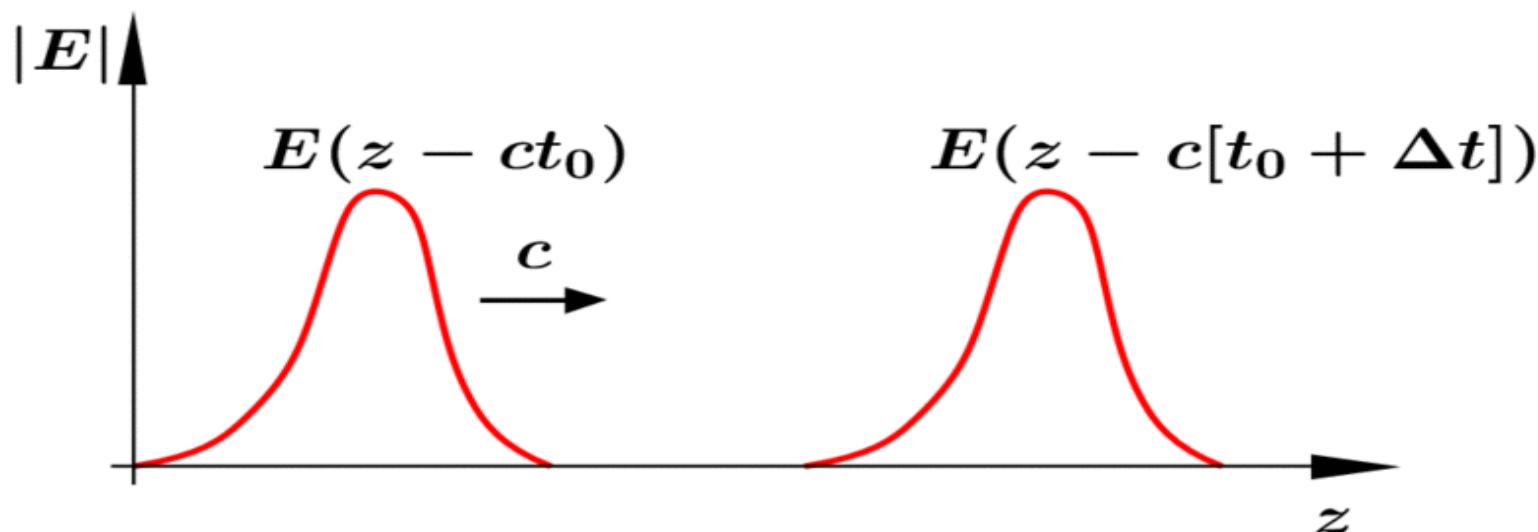
From the red set e.g. follows the wave equation

$$\frac{\partial^2 E_x}{\partial z^2} - \frac{1}{c^2} \frac{\partial^2 E_x}{\partial t^2} = 0, \quad c = \frac{1}{\sqrt{\mu\epsilon}}$$

with d'Alembert's solution

$$E_x = f(z - ct) + g(z + ct) = E_x^+ + E_x^-$$

$$ZH_y = f(z - ct) - g(z + ct) = ZH_y^+ - ZH_y^-, \quad Z = \sqrt{\frac{\mu}{\epsilon}}$$



Similar solutions follow from the blue set with  $E_y$  and  $H_x$ .

*velocity of light:*  $c = \frac{1}{\sqrt{\mu\epsilon}}$

*wave impedance:*  $Z = \sqrt{\frac{\mu}{\epsilon}}$   
 $\approx 377 \Omega$  in free space

*field properties:*

$$\vec{E} \perp \vec{H}$$

$$\vec{S} = \vec{E} \times \vec{H} \rightarrow \text{direction of propagation}$$

$$\vec{E}, \vec{H} \text{ are } \perp \text{ to direction of propagation}$$

$$E^+ / H^+ = -E^- / H^- = Z$$

## Time-harmonic plane wave

$$\left( \frac{\partial}{\partial t} = i\omega, \epsilon_r = \epsilon_r' - i\epsilon_r'' \right)$$

Wave equation becomes Helmholtz equation:

$$\frac{\partial^2 \tilde{E}_x}{\partial z^2} + k^2 \tilde{E}_x = 0, \quad k = \omega \sqrt{\mu \epsilon}$$

$$E_x = A e^{i(\omega t - kz)} + B e^{i(\omega t + kz)} = E_x^+ + E_x^-$$
$$ZH_y = A e^{i(\omega t - kz)} - B e^{i(\omega t + kz)} = ZH_y^+ - ZH_y^-$$

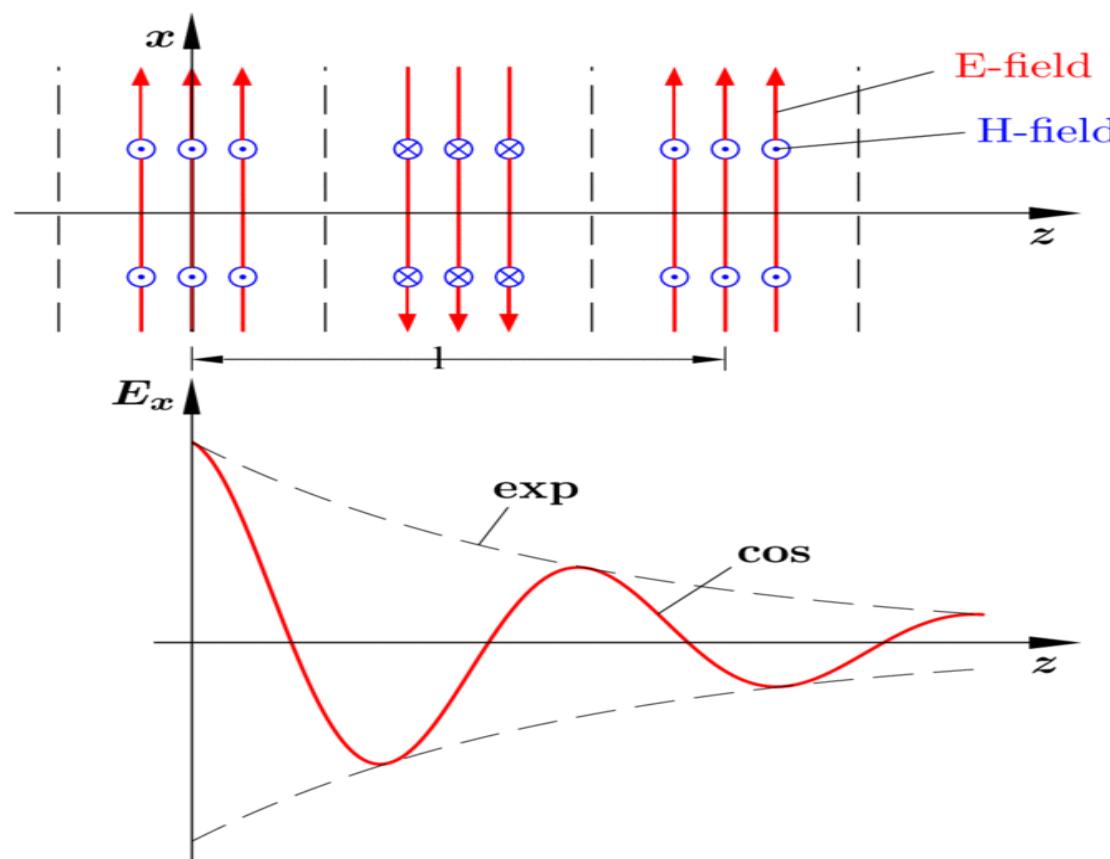
loss-free material:  $k = \omega/c = 2\pi/\lambda$

lossy dielectric:  $k = \omega \sqrt{\mu \epsilon_r \epsilon_0} = \beta - i\alpha$

$\alpha$ : *attenuation constant*,  $\beta$ : *phase constant*

$$\frac{\beta}{k_0} = \sqrt{\frac{\epsilon_r'}{2} + \frac{\epsilon_r'}{2} \sqrt{1 + \left(\frac{\epsilon_r'''}{\epsilon_r'}\right)^2}}, \quad \frac{\alpha}{k_0} = \sqrt{-\frac{\epsilon_r'}{2} + \frac{\epsilon_r'}{2} \sqrt{1 + \left(\frac{\epsilon_r'''}{\epsilon_r'}\right)^2}}$$

real physical field:  $E_x^+ = \Re A e^{i(\omega t - kz)} = A \cos(\omega t - \beta z) e^{-\alpha z}$



Low-loss dielectrics:  $\epsilon_r'' \ll \epsilon_r'$

$$\beta \approx \sqrt{\epsilon_r'} k_0, \quad \alpha \approx \frac{1}{2} \frac{\epsilon_r''}{\sqrt{\epsilon_r'}} k_0, \quad Z \approx \frac{Z_0}{\sqrt{\epsilon_r'}} \left( 1 + \frac{i}{2} \frac{\epsilon_r''}{\epsilon_r'} \right)$$

Example: Polyamide (nylon),  $\kappa = 10^{-8} \Omega^{-1}m^{-1}$ ,  $\epsilon_r = 3$ ,  $f = 10\text{MHz}$   
11% attenuation in 100km, arc  $Z \approx 10^{-4} \text{ }^\circ$

Very good conductors (metallic):  $\epsilon_r'' \approx -i\kappa/\omega \gg \epsilon_r'$

$$\beta \approx \alpha \approx \sqrt{\frac{\omega \mu \kappa}{2}} = \frac{1}{\delta_S}, \quad Z \approx (1 + i) \frac{\alpha}{\kappa}, \quad \text{arc } Z = 45^\circ$$

Skin depth ( $z = \delta_S$ ):  $e^{-\alpha \delta_S} = \frac{1}{e} \rightarrow \alpha \delta_S = 1$

In general,  $\beta$  is a function of  $\omega$  and is called dispersion relation.  
Developing  $\beta$  around  $\omega_0$

$$\beta(\omega) = \beta(\omega_0) + \left( \frac{d\beta}{d\omega} \right)_{\omega_0} d\omega + O[(d\omega)^2]$$

## Phase velocity

$$\phi = \omega t \mp \beta z = const. \rightarrow \frac{d\phi}{dt} = \omega \mp \beta \frac{dz}{dt} = \omega \mp \beta v_{ph} = 0$$

$$v_{ph} = \pm \frac{\omega}{\beta(\omega_0)}$$

$v_{ph}$  has no physical importance. Monochromatic waves carry no information.

Example: Water waves at shore



**Group velocity** (velocity with which a signal propagates)

As an example take two plane waves with  $\omega_1$  and  $\omega_2$

$$\omega_1 = \omega_0 + \delta\omega, \quad \omega_2 = \omega_0 - \delta\omega$$

$$\beta_1 = \beta_0 + \delta\beta, \quad \beta_2 = \beta_0 - \delta\beta$$

$$\Re [e^{i(\omega_1 t - \beta_1 z)} + e^{i(\omega_2 t - \beta_2 z)}] = 2 \cos(\delta\omega t - \delta\beta z) \cos(\omega_0 t - \beta_0 z)$$

$$v_g = \frac{\delta\omega}{\delta\beta} \rightarrow v_g = \left( \frac{d\omega}{d\beta} \right)_{\omega_0}$$

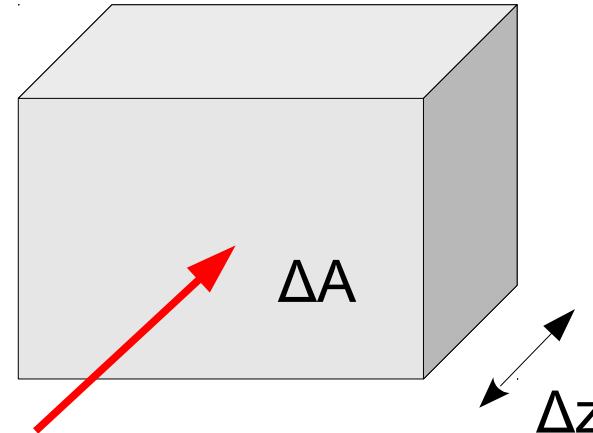
$v_g$  is the velocity with which the envelope propagates.

Signals with **small** bandwidth  $2\delta\omega$  propagate with  $v_g$ .

**Large** bandwidth signals require higher order terms  $O((\delta\omega)^2)$ .

## Energy velocity

Energy transported  
by  $\Delta z$  in time  $\Delta t$ :



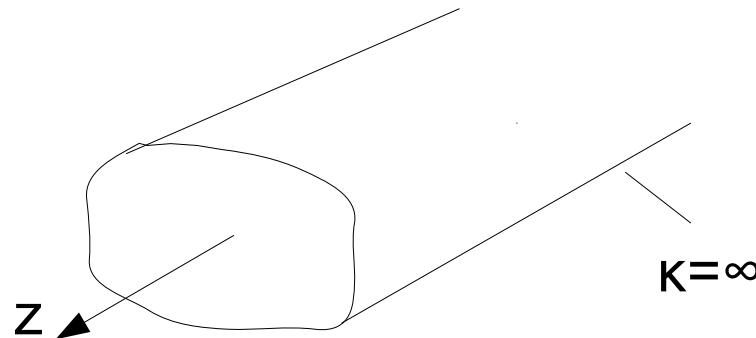
$$\frac{\bar{w} \Delta A \Delta z}{\Delta t} = S_c \Delta A \quad \rightarrow \quad v_e = \frac{\Delta z}{\Delta t} = \frac{S_c}{\bar{w}}$$

for plane waves

$$S_c = \frac{1}{2} (\vec{E} \times \vec{H}^*) = \frac{|E_0|^2}{2Z}, \quad \bar{w} = \frac{1}{4} \vec{E} \cdot \vec{D} + \frac{1}{4} \vec{H} \cdot \vec{B} = \frac{1}{2} \epsilon |E_0|^2$$

$$v_e = \frac{1}{Z \epsilon} = \frac{1}{\sqrt{\mu \epsilon}} = c$$

# Cylindrical, ideal conducting waveguides



Substituting one of the 2 first Maxwell's equ. into the other gives a 2<sup>nd</sup> order diff. equ., which requires 2 independent functions. The 3<sup>d</sup> and 4<sup>th</sup> equ. are additional conditions. These conditions and the required independent functions are fulfilled by

$$\vec{\nabla} \cdot \vec{E} = 0 \rightarrow \vec{E}^{TE} = \vec{\nabla} \times \vec{A}^{TE}, \quad \vec{A}^{TE} = A^{TE} \vec{e}_z, \quad TE-waves$$
$$\vec{\nabla} \cdot \vec{H} = 0 \rightarrow \vec{H}^{TM} = \vec{\nabla} \times \vec{A}^{TM}, \quad \vec{A}^{TM} = A^{TM} \vec{e}_z, \quad TM-waves$$

With the vector potentials  $\mathbf{A}$  one gets e.g. for TE-waves

$$\vec{\nabla} \times \vec{H} = \epsilon \frac{\partial \vec{E}}{\partial t} = \epsilon \frac{\partial}{\partial t} \vec{\nabla} \times \vec{A} \rightarrow \vec{\nabla} \times (\vec{H} - \epsilon \frac{\partial \vec{A}}{\partial t}) \\ \rightarrow \vec{H} = \vec{\nabla} \Phi + \epsilon \frac{\partial \vec{A}}{\partial t}$$

and from Maxwell's 2<sup>nd</sup> equ.

$$\vec{\nabla} \times \vec{E} = -\mu \frac{\partial \vec{H}}{\partial t} \rightarrow \\ \vec{\nabla} \times (\vec{\nabla} \times \vec{A}) = \vec{\nabla}(\vec{\nabla} \cdot \vec{A}) - \vec{\nabla}^2 \vec{A} = -\vec{\nabla}(\mu \frac{\partial \Phi}{\partial t}) - \mu \epsilon \frac{\partial^2 \vec{A}}{\partial t^2}$$

$\vec{A}, \Phi$  are not fully determined. Substituting

$$\vec{A} \rightarrow \vec{A} + \vec{\nabla} \psi, \quad \Phi \rightarrow \Phi - \epsilon \partial \psi / \partial t$$

yields the same  $\vec{E}, \vec{H}$ .

One can make a gauge-transformation and choose  
e.g. the Lorenz gauge

$$\vec{\nabla} \cdot \vec{A} = -\mu \frac{\partial \Phi}{\partial t}$$

which results in a vectorial wave equ.

$$\vec{\nabla}^2 \vec{A} - \frac{1}{c^2} \frac{\partial^2 \vec{A}}{\partial t^2} = 0$$

Similarly, we proceed for the TM-case and obtain the same equ.. Since A has only a cartesian component, the vectorial wave equ. becomes a scalar one and in case of time-harmonic fields a scalar Helmholtz equ.

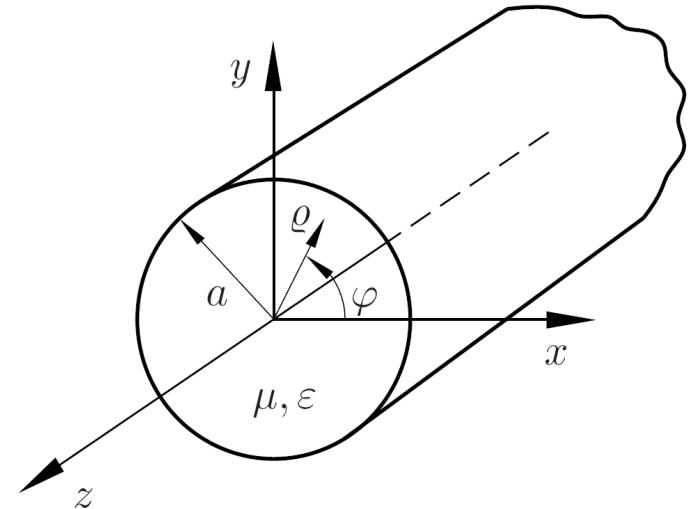
$$\vec{\nabla}^2 A^p + k^2 A^p = 0, \quad k = \frac{\omega}{c} = \omega \sqrt{\mu \epsilon}, \quad p = \begin{cases} TE \\ TM \end{cases}$$



## Circular waveguide

Helmholtz equ. for circular cylinder coordinates:

$$\frac{1}{\rho} \frac{\partial}{\partial \rho} \left( \rho \frac{\partial A}{\partial \rho} \right) + \frac{1}{\rho^2} \frac{\partial^2 A}{\partial \varphi^2} + \frac{\partial^2 A}{\partial z^2} + k^2 A = 0 \quad (1)$$



Bernoulli ansatz:  $A = R(\rho)\Phi(\varphi)Z(z)$

Substituted in (1) and devision by  $R\Phi Z$

$$\frac{1}{\rho R} \frac{\partial}{\partial \rho} \left( \rho \frac{\partial R}{\partial \rho} \right) + \frac{1}{\rho^2 \Phi} \frac{\partial^2 \Phi}{\partial \varphi^2} + \underbrace{\frac{1}{Z} \frac{\partial^2 Z}{\partial z^2}}_{-k_z^2} + k^2 = 0 \quad (2)$$

$$\frac{d^2 Z}{dz^2} + k_z^2 Z = 0 \rightarrow Z = C_1 e^{-ik_z z} + C_2 e^{ik_z z} \rightarrow C_1 e^{-ik_z z}$$

for waves propagating in  $+z$ -direction

(2) becomes with  $k_z$

$$\frac{\rho}{R} \frac{\partial}{\partial \rho} \left( \rho \frac{\partial R}{\partial \rho} \right) + \underbrace{\frac{1}{\Phi} \frac{\partial^2 \Phi}{\partial \varphi^2}}_{-k_\mu^2} + \rho^2 (k^2 - k_z^2) = 0 \quad (3)$$

$$\frac{d^2 \Phi}{d \varphi^2} + k_\mu^2 \Phi = 0 \rightarrow \Phi = C_3 \cos(k_\mu \varphi) + C_4 \sin(k_\mu \varphi)$$

$$\rightarrow \Phi = C_3 \cos(m \varphi)$$

because of  $2\pi$ -periodicity and free choice of origin

With  $m$  and  $k_z$  (3) becomes Bessel's equ.

$$\frac{\partial^2 R}{\partial \rho^2} + \frac{1}{\rho} \frac{\partial R}{\partial \rho} + \left[ k_c^2 - \frac{m^2}{\rho^2} \right] R = 0, \quad k_c = \sqrt{k^2 - k_z^2}$$

$$R = C_5 J_m(k_c \rho) + C_6 N_m(k_c \rho) \rightarrow R = C_5 J_m(k_c \rho)$$

because Neumann's function is infinite at  $\rho=0$

*Vector potential:*

$$A = C_m \cos(m\varphi) J_m(k_c \rho) e^{-ik_z z}$$

*TE-waves:*  $\vec{E} = \vec{\nabla} \times (A \vec{e}_z)$

$$E_\varphi = -\partial A / \partial \rho \sim J_m'(k_c \rho)$$

$$E_\varphi(\rho=a)=0 \rightarrow k_{cmn} a = j'_{mn}$$

$j'_{mn}$ :  $n^{th}$  non vanishing zero of  $J'_m$



$$E_{\rho} = \frac{1}{\rho} \frac{\partial A}{\partial \phi} = -\frac{m}{\rho} C_{mn} \sin(m\varphi) J_m(j'_{mn} \frac{\rho}{a}) e^{-ik_z z}$$

$$E_{\varphi} = -\frac{\partial A}{\partial \rho} = -\frac{j'_{mn}}{a} C_{mn} \cos(m\varphi) J'_m(j'_{mn} \frac{\rho}{a}) e^{-ik_z z}$$

$$\vec{\nabla} \times \vec{E} = -i\omega\mu\vec{H}:$$

$$H_{\rho} = \frac{k_z}{\omega\mu} \frac{j'_{mn}}{a} C_{mn} \cos(m\varphi) J'_m(j'_{mn} \frac{\rho}{a}) e^{-ik_z z}$$

$$H_{\varphi} = -\frac{k_z}{\omega\mu} \frac{m}{\rho} C_{mn} \sin(m\varphi) J_m(j'_{mn} \frac{\rho}{a}) e^{-ik_z z}$$

$$H_z = \frac{-1}{i\omega\mu} \left( \frac{j'_{mn}}{a} \right)^2 C_{mn} \cos(m\varphi) J_m(j'_{mn} \frac{\rho}{a}) e^{-ik_z z}$$

$$TM-waves: \quad \vec{H} = \vec{\nabla} \times (A \vec{e}_z), \quad \vec{\nabla} \times \vec{H} = i \omega \epsilon \vec{E}$$

$$E_z = \frac{k_c^2}{i \omega \epsilon} A \sim J_m(k_c \rho), \quad E_z(\rho=a)=0 \rightarrow k_{cmn} a = j_{mn}$$

$$H_\rho = -\frac{m}{\rho} D_{mn} \sin(m\varphi) J_m(j_{mn} \frac{\rho}{a}) e^{-ik_z z}$$

$$H_\varphi = -\frac{j_{mn}}{a} D_{mn} \cos(m\varphi) J'_m(j_{mn} \frac{\rho}{a}) e^{-ik_z z}, \quad H_z = 0$$

$$E_\rho = -\frac{k_z}{\omega \epsilon} \frac{j_{mn}}{a} D_{mn} \cos(m\varphi) J'_m(j_{mn} \frac{\rho}{a}) e^{-ik_z z}$$

$$E_\varphi = \frac{k_z}{\omega \epsilon} \frac{m}{\rho} D_{mn} \sin(m\varphi) J_m(j_{mn} \frac{\rho}{a}) e^{-ik_z z}$$

$$E_z = \frac{1}{i \omega \epsilon} \left( \frac{j_{mn}}{a} \right)^2 D_{mn} \cos(m\varphi) J_m(j_{mn} \frac{\rho}{a}) e^{-ik_z z}$$

The ratio of the transverse field components  
is the field (wave) impedance

$$Z_F = \frac{E_\rho}{H_\varphi} = -\frac{E_\varphi}{H_\rho} = \left\{ \begin{array}{l} Z_F^{TE} = \frac{\omega \mu}{k_z} \\ Z_F^{TM} = \frac{k_z}{\omega \epsilon} \end{array} \right\}$$

The dependence of the propagation constant  $k_z$  on frequency  
is the dispersion relation

$$k_{cmn}^2 = k^2 - k_{zmn}^2 \rightarrow k_{zmn} = \sqrt{k^2 - k_{cmn}^2}$$

$$k_{zmn} = \begin{cases} \text{real} & k > k_{cmn} \quad \textit{propagation} \\ 0 & \text{for} \quad k = k_{cmn} \\ \text{imaginary} & k < k_{cmn} \quad \textit{attenuation} \end{cases}$$

*critical wavenumber:*  $k_{cmn} = \begin{cases} j_{mn}'/a & \text{for } TE \\ j_{mn}/a & \text{for } TM \end{cases}$

*cutoff frequency:*  $f_{cmn} = c k_{cmn} / 2\pi$

*cutoff wavelength:*  $\lambda_{cmn} = 2\pi/k_{cmn}$

*guide wavelength:*  $\lambda_{zmn} = 2\pi/k_{zmn} = \frac{\lambda}{\sqrt{1 - (\lambda/\lambda_{cmn})^2}}$

*free space wavelength*  $\lambda$

*energy flux density*  $S_{cz} = \frac{1}{2} (\vec{E} \times \vec{H}^*)_z = \frac{1}{2} Z_F [ |H_\rho|^2 + |H_\varphi|^2 ]$

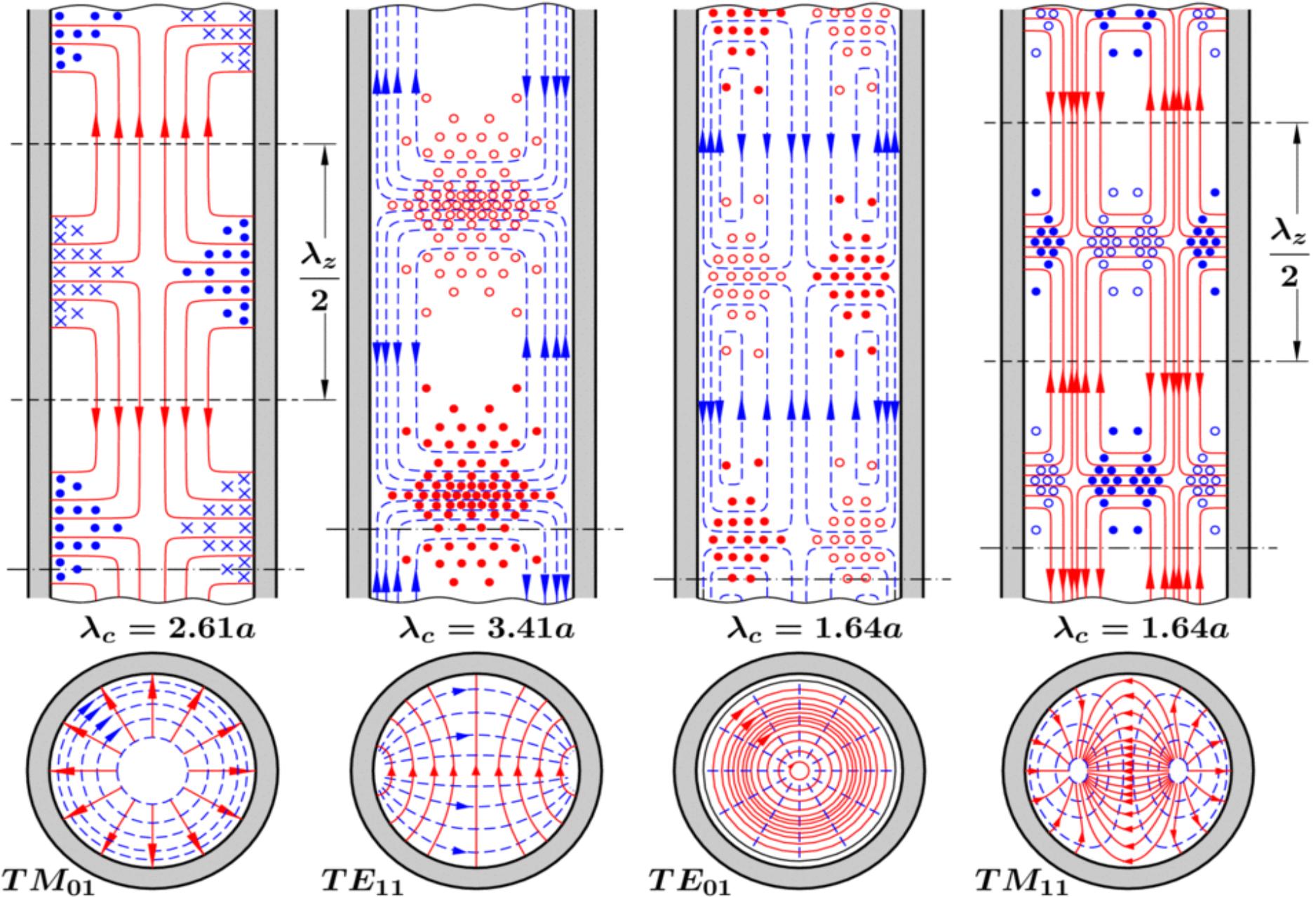
$$= \begin{cases} \text{imaginary} & k < k_c \\ 0 & k = k_c \\ \text{real} & k > k_c \end{cases}$$

Each  $mn$  defines a certain (eigen-) mode. The general solution is the linear combination of all modes

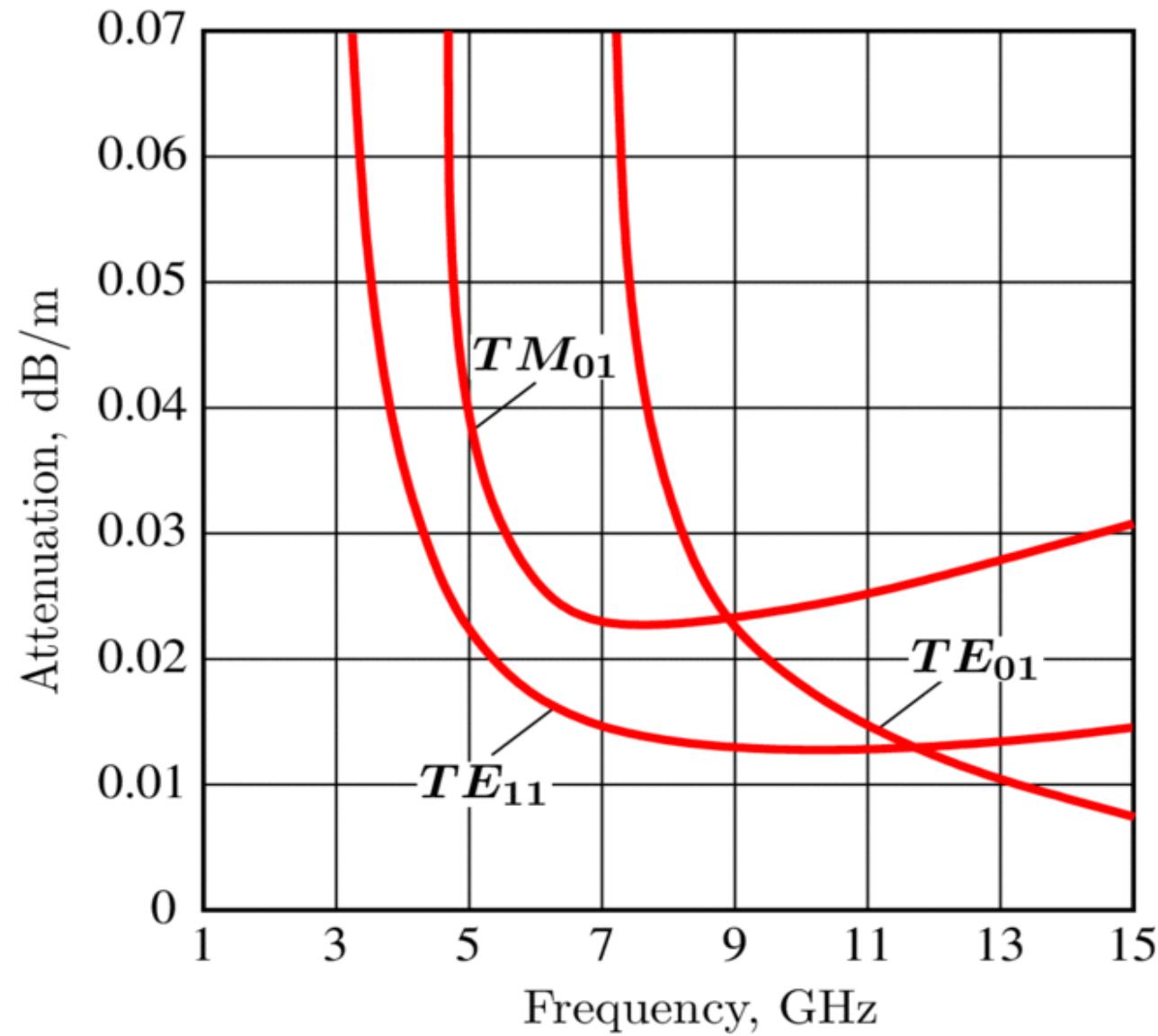
$$\vec{E} = \sum_m \sum_n (\vec{E}_{mn}^{TE} + \vec{E}_{mn}^{TM}), \quad \vec{H} = \sum_m \sum_n (\vec{H}_{mn}^{TE} + \vec{H}_{mn}^{TM})$$

Modes are normally sorted referring to their cutoff frequency:

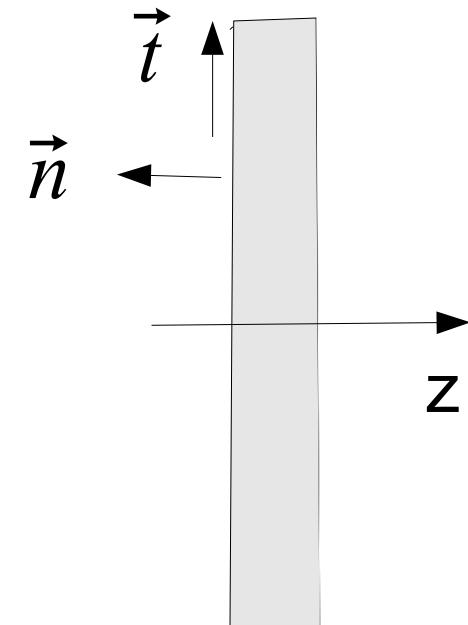
type	m	n	( $f_c$ / GHz)(a/cm)
TE	1	1	8.78
TM	0	1	11.46
TE	2	1	14.56
TE/TM	0/1	1/1	18.29
TE	3	1	20.05



# Copper waveguide with $a=2.5$ cm



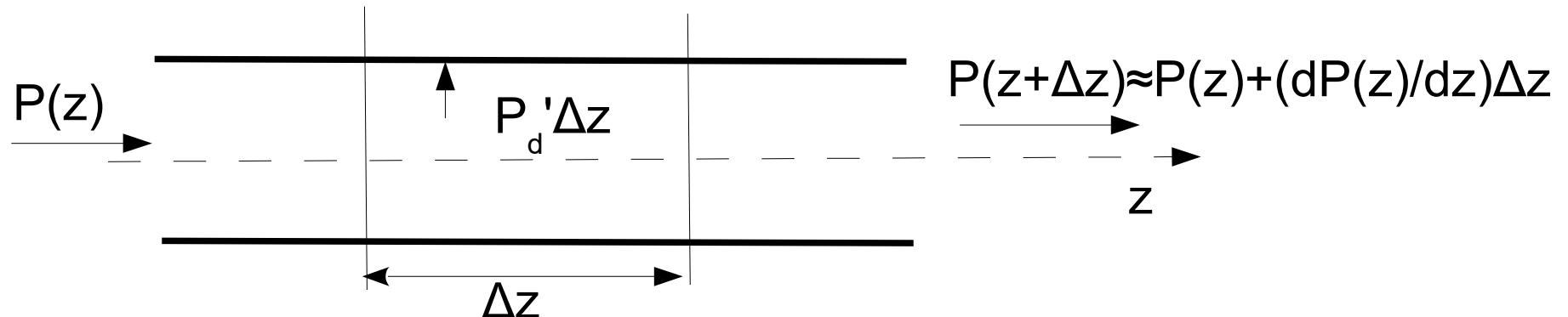
## Impedance boundary condition on good conductors



1. In metals (high conductivity  $\kappa$ ) we can neglect the displacement current compared to the conduction current, ( $|I_D/\delta t| \ll |I_J|$ ).
2. On metallic surfaces is approximately  $E_{\perp}, H_{\parallel}$ .
3. Tangential to the surface the typical length of change is  $\lambda_0$ .  
Normal to the surface, in the metal, the typical length of change is  $\delta_s \ll \lambda_0$ .
4. Assumptions 1 through 3 allow for the derivation of a very good approximation for the tangential surface fields (see appendix A2)

$$\vec{E}_{t0} \approx Z_w (\vec{n} \times \vec{H}_{t0}), \quad Z_w = \frac{1+i}{\kappa \delta_s}, \quad \text{wall impedance}$$

# Attenuation in waveguides (power-loss method)



*conservation of power:* 
$$\frac{dP(z)}{dz} = -P_d'$$

$$\vec{E}, \vec{H} \sim e^{-\alpha z}, \quad P(z) \sim e^{-2\alpha z} \rightarrow \frac{dP(z)}{dz} = -2\alpha P(z) = -P_d'$$

dissipation per waveguide surface area:

$$\frac{\Delta P_d}{\Delta A} = -\vec{n} \cdot \Re(\vec{S}_c) = -\frac{1}{2} \Re(\vec{n} \cdot (\vec{E}_{t0} \times \vec{H}_{t0}^*)) = \frac{1}{2} \Re(Z_w) |\vec{H}_{t0}|^2$$

$$\frac{\Delta P_d}{\Delta A} = \frac{1}{2 \kappa \delta_s} |\vec{H}_{t0}|^2$$

*dissipation per waveguide length:*

$$P_d' = \frac{1}{2 \kappa \delta_s} \oint |\vec{H}_{t0}|^2 ds$$

*transported active power:*

$$\begin{aligned} P(z) &= \iint \Re(\vec{S}_c) \cdot d\vec{A} = \frac{1}{2} \iint \Re(\vec{E} \times \vec{H}^*) \cdot \vec{e}_z dA = \\ &= \frac{1}{2} \iint \Re(\vec{E}_{transv} \times \vec{H}_{transv}^*)_z dA = \frac{1}{2} Z_F \iint |\vec{H}_{transv}|^2 dA \end{aligned}$$

*attenuation:*  $\alpha = \frac{1}{2} \frac{P_d'}{P(z)}$

## Resonant cavities

Example: Cylindrical cavity, radius  $a$ , length  $g$ , TM-modes

Superposition of forward and backward traveling waves  
(see transp. 49)

$$E_\varphi = \frac{k_z}{\omega \epsilon} \frac{m}{\rho} D_{mn} \sin(m\varphi) J_m(k_{cmn}\rho) [e^{-ik_z z} - r_{mn} e^{ik_z z}]$$

Boundary conditions fix  $r$  and  $k_z$

$$E_\varphi(z=0)=0 \quad \rightarrow \quad r_{mn}=1, \quad E_\varphi \sim \sin(k_z z)$$

$$E_\varphi(z=g)=0 \quad \rightarrow \quad k_{zp} g = p\pi, \quad p=0, 1, 2, \dots$$

Now, the other field components can be calculated from the vector potential (see appendix A3).

Example: TM<sub>010</sub>-resonator (m=0, n=1, p=0)

$$H_\varphi = 2 \frac{j_{01}}{a} D_{010} J_1\left(j_{01} \frac{\rho}{a}\right)$$

$$E_z = -i \frac{2}{\omega \epsilon} \left(\frac{j_{01}}{a}\right)^2 D_{010} J_0\left(j_{01} \frac{\rho}{a}\right)$$

Resonance frequency  $k_{010} = \frac{\omega_{010}}{c_0} = k_{co1} = \frac{j_{01}}{a}$

$$f_{010} = \frac{\omega_{010}}{2\pi} = \frac{j_{01} c_0}{2\pi a}$$

Stored energy

$$\bar{W} = \bar{W}_e + \bar{W}_m = 2 \bar{W}_e = \frac{1}{2} \iiint \vec{E} \cdot \vec{D}^* dV = \frac{\epsilon}{2} \iiint |E_z|^2 dV$$

$$\bar{W} = \frac{2\pi g}{\omega_{010}^2 \epsilon} \frac{j_{01}^4}{a^2} |D_{010}|^2 J_1^2(j_{01})$$

Dissipation per unit area

$$\bar{P}_d'' = \frac{1}{2\kappa\delta_s} |\vec{H}_{t0}|^2$$

total dissipation

$$\bar{P}_d = \oint \bar{P}_d'' dA = \frac{4\pi}{\kappa\delta_s} j_{01}^2 \left(1 + \frac{g}{a}\right) |D_{010}|^2 J_1^2(j_{01})$$

Quality factor (Q-value)

$$Q_0 = \frac{\omega_{010} \bar{W}}{\bar{P}_d} = \frac{1}{\delta_s} \frac{g}{1+g/a} \quad \rightarrow \quad \delta_s Q_0 = 2 \frac{V}{S} \sim \frac{\text{Volume}}{\text{Surface}}$$

$Q_0$  gives the decay rate of the stored energy or the time  $T_f$  to fill the cavity.

From *power conservation*

$$-\frac{d\bar{W}}{dt} = \bar{P}_d = \frac{\omega_{010}}{Q_0} \bar{W} \quad \rightarrow \quad \bar{W} = \bar{W}_0 e^{-2t/T_f}, \quad T_f = 2 \frac{Q_0}{\omega_{010}}$$

Example: 3 Ghz copper cavity,  $g=\lambda_{010}/2=5$  cm

$$j_{01}=2.405, \quad J_1(j_{01})=0.5191, \quad \kappa=58 \cdot 10^6 \Omega^{-1}m^{-1}$$

$$a=3.83 \text{ cm}, \quad \delta_s=1.21 \mu\text{m}, \quad Q_0=17963, \quad T_f=1.9 \mu\text{s}$$

## Resonance behaviour of a cavity mode

Instead of lossy walls assume ideal conducting walls and lossy dielectric filling. That preserves the ideal mode but allows for studying losses.

The cavity is driven by a current  $J$  passing through it.  $J$  splits into a conduction current  $J_c = \kappa E$ , responsible for the losses in the dielectric, and an enforced current  $J_0$  as driving term:

$$\begin{aligned}\vec{\nabla} \times (\vec{\nabla} \times \vec{E}) &= \vec{\nabla}(\vec{\nabla} \cdot \vec{E}) - \vec{\nabla}^2 \vec{E} = -\mu \frac{\partial}{\partial t} \vec{\nabla} \times \vec{H} = \\ &= -\mu \frac{\partial}{\partial t} (\vec{J}_0 + \kappa \vec{E} + \epsilon \frac{\partial \vec{E}}{\partial t}) \\ \vec{\nabla}^2 \vec{E} - \mu \kappa \frac{\partial \vec{E}}{\partial t} - \mu \epsilon \frac{\partial^2 \vec{E}}{\partial t^2} &= \mu \frac{\partial \vec{J}_0}{\partial t} \quad \text{with gauge } \vec{\nabla} \cdot \vec{E} = 0 \quad (1)\end{aligned}$$

We expand  $\mathbf{E}$  in (eigen-)modes

$$\vec{E} = \sum_r a_r(t) \vec{e}_r(x, y, z), \quad r \text{ goes over all } m, n, p \quad (2)$$

where  $\vec{\nabla}^2 \vec{e}_r + k_r^2 \vec{e}_r = 0$

$$\vec{\nabla} \cdot \vec{e}_r = 0 \text{ in volume}, \quad \vec{n} \times \vec{e}_r = 0 \text{ on walls}$$

$$\iiint \vec{e}_r \cdot \vec{e}_s dV = \delta_r^s$$

Substituting (2) in (1) and deviding by  $-\mu\epsilon$

$$\sum_r \left[ \frac{d^2 a_r}{dt^2} + \frac{\kappa}{\epsilon} \frac{da_r}{dt} + \frac{k_r^2}{\mu\epsilon} a_r \right] \vec{e}_r = -\frac{1}{\epsilon} \frac{\partial \vec{J}_0}{\partial t}. \quad (3)$$

Multiplying (3) with  $e_s$  and integrating over  $V$

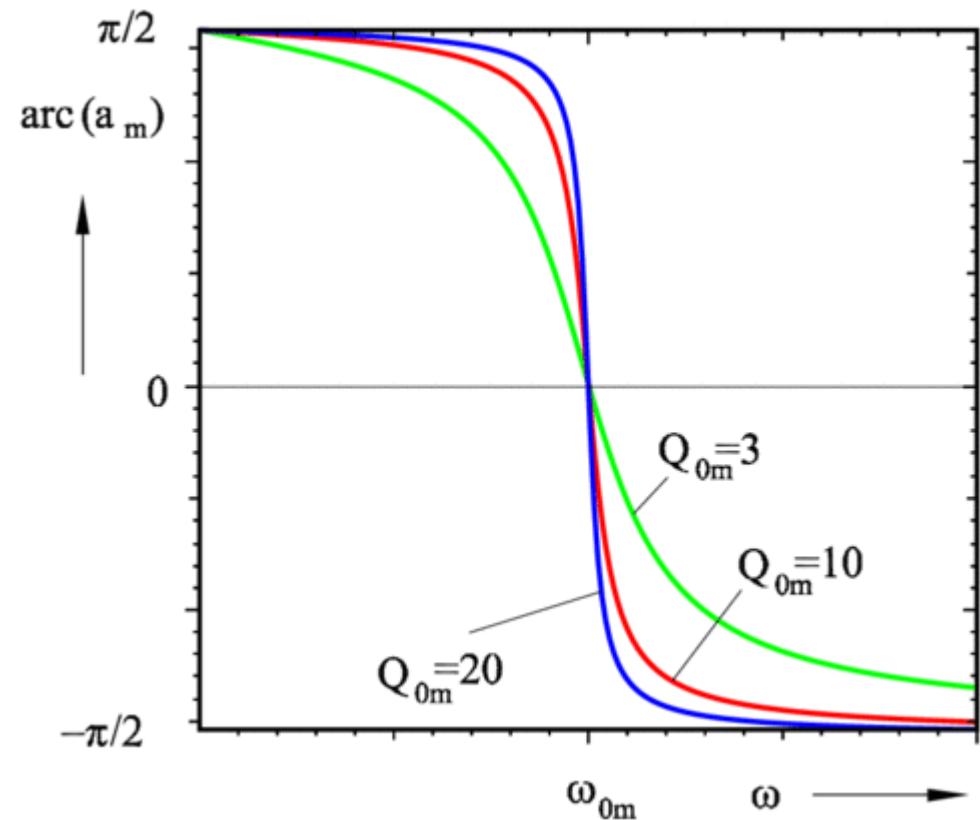
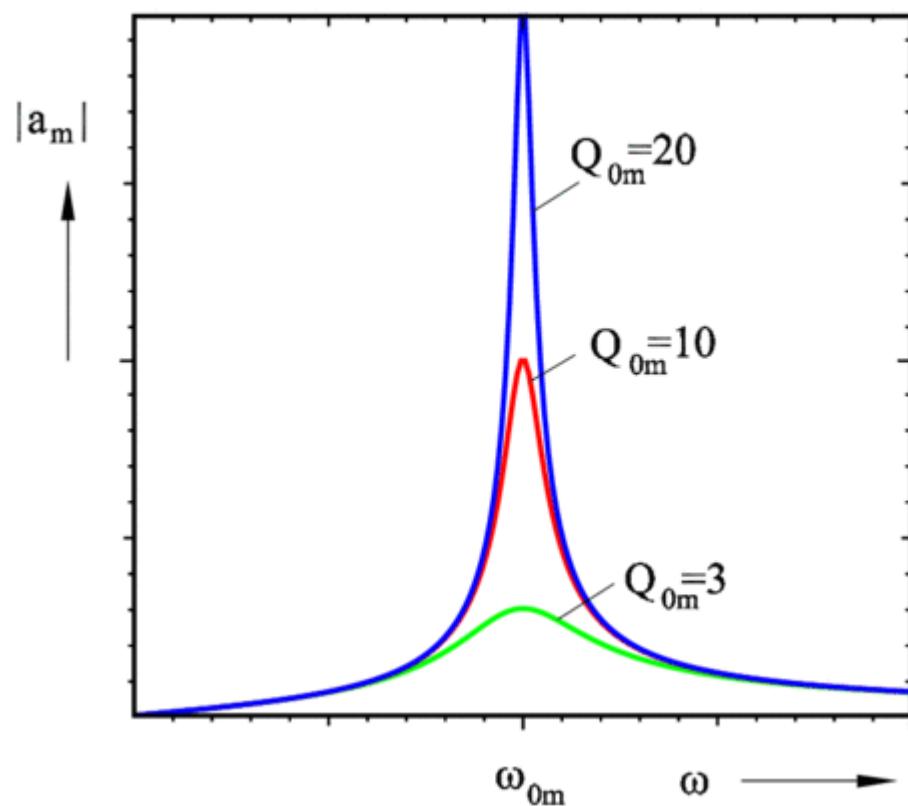
$$\frac{d^2 a_s}{dt^2} + \frac{\kappa}{\epsilon} \frac{da_s}{dt} + \frac{k_s^2}{\mu \epsilon} a_s = -\frac{1}{\epsilon} \iiint \frac{\partial \vec{J}_0}{\partial t} \cdot \vec{e}_s dV = \frac{\partial f_s}{\partial t}. \quad (4)$$

In case of time-harmonic excitation (4) becomes

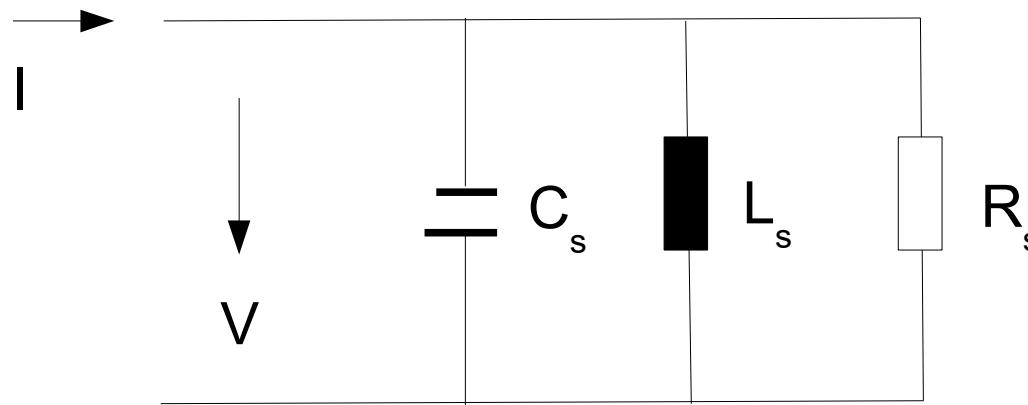
$$[-\omega^2 + i \frac{\kappa}{\epsilon} \omega + \frac{k_s^2}{\mu \epsilon}] a_s = i \omega f_s$$

$$a_s = \frac{Q_s}{\omega_s} \frac{f_s}{1 + i Q_s \left[ \frac{\omega}{\omega_s} - \frac{\omega_s}{\omega} \right]}, \quad \omega_s = c k_s, \quad Q_s = \frac{\epsilon \omega_s}{\kappa}.$$

Now replace  $Q_s$  by  $Q_0$  as calculated with impedance-boundary-condition and  $\omega_s$  by the resonance frequency  $\omega_{mnp}$ .



Well separated modes can be represented by a lumped element resonator



$$\omega_s = \frac{1}{\sqrt{L_s C_s}}, \quad Q_s = \frac{\omega_s W_s}{P_{ds}} = \omega_s R_s C_s$$

*Bandwidth*  $B_s = \frac{(\omega_s + \delta\omega) - (\omega_s - \delta\omega)}{\omega_s} = 2 \frac{\delta\omega}{\omega_s} = \frac{1}{Q_s}$

*Filling time*  $T_{fs} = 2 \frac{Q_s}{\omega_s} = \frac{1}{\delta\omega}$

Accelerating voltage for a particle passing the cavity on-axis with velocity  $v$

$$V_s = \left| \int_0^g a_s \vec{e}_s \cdot \vec{e}_z e^{i\omega t} dz \right|, \quad z = vt$$

Shunt impedance (available  $V_s$  for given  $P_{ds}$ )

$$R_{shs} = \frac{V_s^2}{P_{ds}} = 2R_s$$

R-upon-Q (available  $V_s$  for given  $W_s$ , geometrical quantity, independent of losses)

$$\frac{R_{shs}}{Q_s} = \frac{V_s^2}{\omega_s W_s} = \frac{2}{\omega_s C_s}$$

$\omega_s$ ,  $Q_s$  and  $R_{shs}/Q_s$  define  $R_s$ ,  $L_s$ ,  $C_s$ .



## Appendix

A1

### Solution of the vectorial diffusion equation

We decompose the vectorial equ.

$$\vec{\nabla}^2 \vec{A} = -\mu \vec{J}$$

into cartesian components

$$\vec{\nabla}^2 A_i = -\mu J_i, \quad i=x, y, z \quad (1)$$

Coulomb's law gives the field and scalar potential of a point charge  $q$ :

$$\vec{E} = \frac{q}{4\pi\epsilon r^2} \vec{e}_r = -\vec{\nabla} \Phi \rightarrow E_r = -\frac{d\Phi}{dr} \rightarrow \Phi = \frac{q}{4\pi\epsilon r}$$



$\Phi$  is solution of the inhomogeneous Poisson equ.

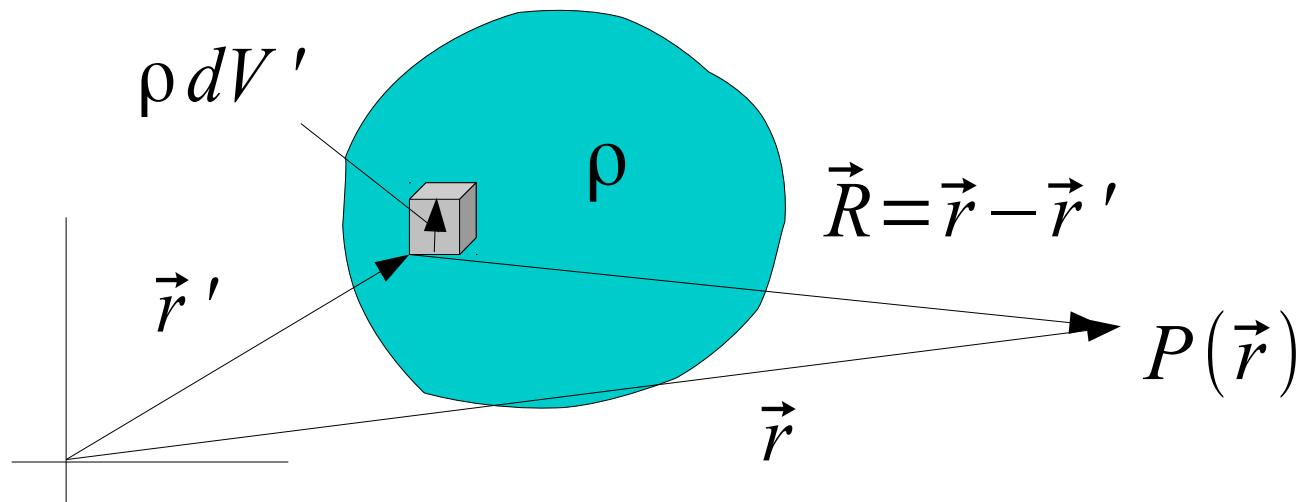
$$\vec{\nabla}^2 \Phi = -\frac{q}{\epsilon} \delta(r) \quad (2)$$

Comparing (1) and (2), we see that (1) follows from (2) by substituting

$$\Phi \rightarrow A_i, \quad \frac{1}{\epsilon} \rightarrow \mu, \quad q \rightarrow J_i \quad (3)$$

Next we use the solution  $\Phi$  of (2) as a „Green's function“ to calculate the potential of a charge distribution. This yields the Coulomb integral

$$\Phi(\vec{r}) = \frac{1}{4\pi\epsilon_0} \iiint \frac{\rho(\vec{r}')}{R} dV' \quad (4)$$

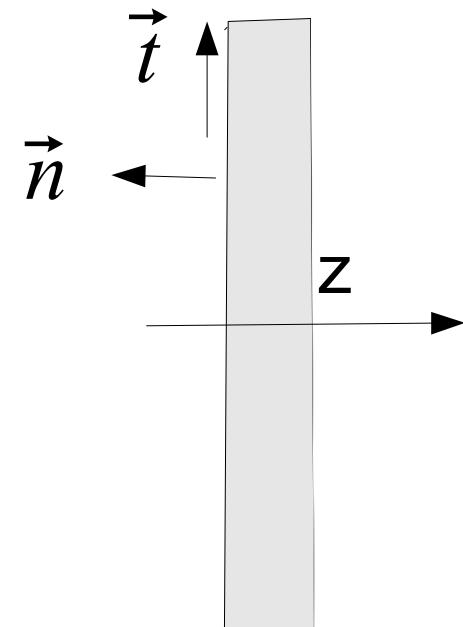


Using the substitution (3) in (4) we get the solution of (1).  
The vectorial form is then

$$\vec{A}(\vec{r}) = \frac{\mu}{4\pi} \iiint \frac{\vec{J}(\vec{r}')}{R} dV'$$

## Impedance boundary condition on good conductors

On ideal conducting surfaces is the E-field perpendicular and the H-field tangential. On good metallic conductors we expect similar behaviour.



We decompose fields and nabla operator into tangential and normal components

$$\vec{E} = \vec{E}_t + E_z \vec{e}_z, \quad \vec{H} = \vec{H}_t + H_z \vec{e}_z, \quad \vec{\nabla} = \vec{\nabla}_t + \vec{e}_z \frac{\partial}{\partial z}$$

and subsequently also Maxwell's equs., where we neglect the displacement current as compared to the conduction current,  $|D/\partial t| \ll |J|$ :

$$\vec{\nabla} \times \vec{H} = \kappa \vec{E}: \quad \vec{E}_t = -\frac{1}{\kappa} \vec{e}_z \times \vec{\nabla}_t H_z + \frac{1}{\kappa} \vec{e}_z \times \frac{\partial \vec{H}_t}{\partial z}$$

$$E_z \vec{e}_z = \frac{1}{\kappa} \vec{\nabla}_t \times \vec{H}_t$$

$$\vec{\nabla} \times \vec{E} = -i \omega \mu_0 \vec{H}: \quad \vec{H}_t = -\frac{i}{\omega \mu_0} \vec{e}_z \times \vec{\nabla}_t E_z + \frac{i}{\omega \mu_0} \vec{e}_z \times \frac{\partial \vec{E}_t}{\partial z}$$

$$H_z \vec{e}_z = \frac{i}{\omega \mu_0} \vec{\nabla}_t \times \vec{E}_t$$

Tangential to the surface the typical length of change is  $\lambda_0$ .  
 Normal to the surface, in metal, the typical length of change  
 is  $\delta_s \ll \lambda_0$ .

With an order of magnitude approximation  $|\vec{\nabla}_t| \approx 1/\lambda_0$   
 one gets for the magnitude of  $E_z$  and  $H_z$

$$|E_z| = \left| \frac{1}{\kappa} \vec{\nabla}_t \times \vec{H}_t \right| \approx \frac{1}{\kappa \lambda_0} |\vec{H}_t| = \pi \left( \frac{\delta_s}{\lambda_0} \right)^2 Z_0 |\vec{H}_t|$$

$$Z_0 |H_z| = \left| \frac{i}{\omega \mu_0} \vec{\nabla}_t \times \vec{E}_t \right| \approx \frac{1}{\omega \mu_0} \frac{Z_0}{\lambda_0} |\vec{E}_t| = \frac{1}{2\pi} |\vec{E}_t|.$$

With that we estimate the green terms

$$\left| \frac{1}{\kappa} \vec{e}_z \times \vec{\nabla}_t H_z \right| \approx \frac{1}{\kappa \lambda_0} |H_z| = \pi \left( \frac{\delta_s}{\lambda_0} \right)^2 Z_0 |H_z| \approx \frac{1}{2} \left( \frac{\delta_s}{\lambda_0} \right)^2 |\vec{E}_t|$$

$$\left| \frac{i}{\omega \mu_0} \vec{e}_z \times \vec{\nabla}_t E_z \right| \approx \frac{1}{\omega \mu_0 \lambda_0} |E_z| = \frac{1}{2\pi Z_0} |E_z| \approx \frac{1}{2} \left( \frac{\delta_s}{\lambda_0} \right)^2 |\vec{H}_t|.$$

One finds that they can be neglected compared to  $E_t, H_t$ .  
So, the tangential parts of Maxwell's equs. are simplified to

$$\kappa \vec{E}_t \approx \vec{e}_z \times \frac{\partial \vec{H}_t}{\partial z} \quad (1)$$

$$i \omega \mu_0 \vec{H}_t \approx -\vec{e}_z \times \frac{\partial \vec{E}_t}{\partial z}.$$

*Eliminating  $\vec{E}_t$ , one gets an equ. for  $\vec{H}_t$*

$$\frac{\partial^2 \vec{H}_t}{\partial z^2} - i \omega \mu_0 \kappa \vec{H}_t = 0$$

*with the solution*

$$\vec{H}_t = \vec{H}_{t0} e^{-(1+i)z/\delta_s}. \quad (2)$$

(2) substituted into (1) gives a boundary condition  
at real (non-ideal) metallic surfaces

$$\vec{E}_{t0} \approx Z_w (\vec{n} \times \vec{H}_{t0}), \quad Z_w = \frac{1+i}{\kappa \delta_s} \quad \text{wall impedance}$$

### A3

## Cylindrical cavity, radius a, length g, TM-modes

Superposition of forward and backward traveling waves (see transp. 49) gives for the  $E_\varphi$  component

$$E_\varphi = \frac{k_z}{\omega \epsilon \rho} m D_{mn} \sin(m\varphi) J_m(k_{cmn}\rho) [e^{-ik_z z} - r_{mn} e^{ik_z z}]$$

Boundary conditions fix r and  $k_z$

$$\begin{aligned} E_\varphi(z=0) = 0 &\rightarrow r_{mn} = 1, \quad E_\varphi \sim \sin(k_z z) \\ E_\varphi(z=g) = 0 &\rightarrow k_{zp}g = p\pi, \quad p=0,1,2,\dots \end{aligned}$$

and the vector potential can be written as

$$A = 2D \cos(m\varphi) \cos(k_{zp}z) J_m(k_{cmn}\rho)$$

TM-modes follow from  $\vec{H} = \vec{\nabla} \times (A \vec{e}_z)$ ,  $i\omega\epsilon \vec{E} = \vec{\nabla} \times \vec{H}$  as

$$H_\rho = -2 \frac{m}{\rho} D_{mnp} \sin(m\varphi) \cos(k_{zp} z) J_m(k_{cmn} \rho)$$

$$H_\varphi = -2 k_{cmn} D_{mnp} \cos(m\varphi) \cos(k_{zp} z) J_m'(k_{cmn} \rho), H_z = 0$$

$$E_\rho = i2 \frac{k_{zp}}{\omega\epsilon} k_{cmn} D_{mnp} \cos(m\varphi) \sin(k_{zp} z) J_m'(k_{cmn} \rho)$$

$$E_\varphi = -i2 \frac{k_{zp}}{\omega\epsilon} \frac{m}{\rho} D_{mnp} \sin(m\varphi) \sin(k_{zp} z) J_m(k_{cmn} \rho)$$

$$E_z = -i2 \frac{k_{cmn}^2}{\omega\epsilon} D_{mnp} \cos(m\varphi) \cos(k_{zp} z) J_m(k_{cmn} \rho)$$

$$k_{cmn} = \sqrt{k^2 - k_{zp}^2} = \frac{j_{mn}}{a} \quad \rightarrow \quad k_{mnp} = \frac{\omega_{mnp}}{c_0} = \left( \frac{j_{mn}}{a} \right)^2 + k_{zp}^2$$

## Literature:

David K. Cheng, Field and wave electromagnetics.  
Addison-Wesley 1990

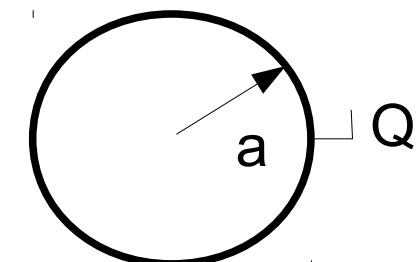
David J. Griffiths, Introduction to electrodynamics.  
Prentice Hall 1999

J. D. Jackson, Classical electrodynamics.  
John Wiley & Sons 1975

## Exercise 1:

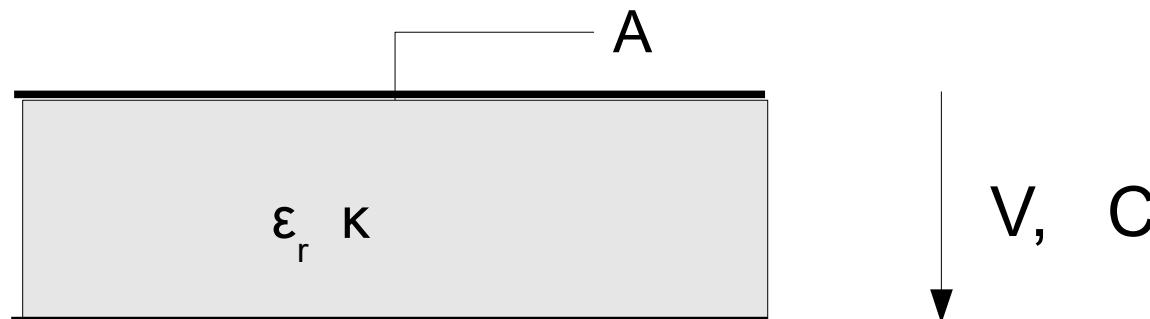
Given is a conducting hollow sphere carrying a charge  $Q$ . What is the field inside and outside and what is the stored energy?

If the sphere is a model for an electron ( $E_{0e} = 511\text{keV}$ ) what is then the classical electron radius  $r_e = a$  ?



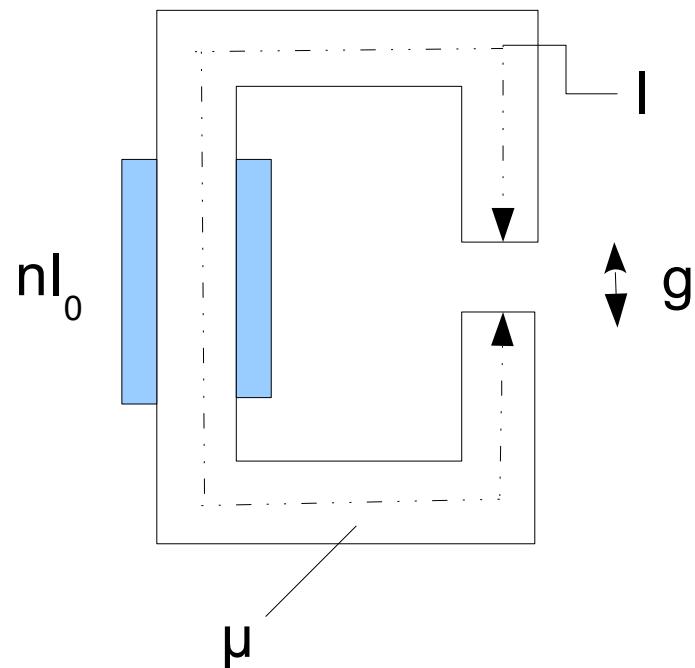
## Exercise 2:

A capacitor is filled with a lossy dielectric and charged to a voltage  $V$ . What is the time constant for discharge?



## Exercise 3:

A long dipole magnet is excited by a coil with  $n$  windings and current  $I_0$ . Calculate the magnetic field in the air gap.



## Exercise 4:

Derive the multi-poles for a static 2-dimensional circular magnetic field.

Remark: Solve the magnetic potential equation in circular cylindrical coordinates and free-space.

## Exercise 5:

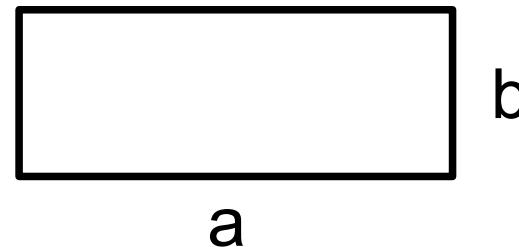
Give the E- and H-field of a z-polarized plane wave which propagates in x-direction.

What is the time-averaged radiated power density?

## Exercise 6:

Derive the longitudinal vector potential for TM-waves in a rectangular waveguide.

What is the equation for the separation constants?



## Exercise 7:

Give the guide wavelength and phase and group velocity of a  $\text{TM}_{11}$ -mode in a rectangular waveguide.

## Exercise 8:

Calculate the accelerating voltage, shunt impedance and R-upon-Q of a  $\text{TM}_{110}$ -mode in a rectangular cavity resonator with dimensions a,b,g.