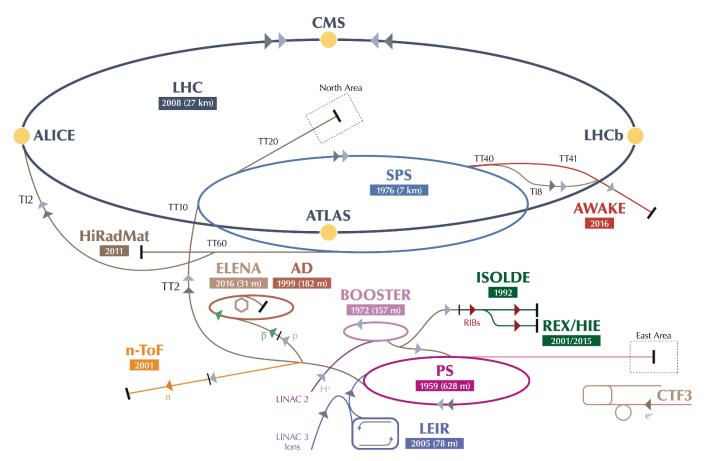




#### JUAS 2019, Archamps, France

## Tutorial on Gas Flow, Conductance, Pressure Profiles

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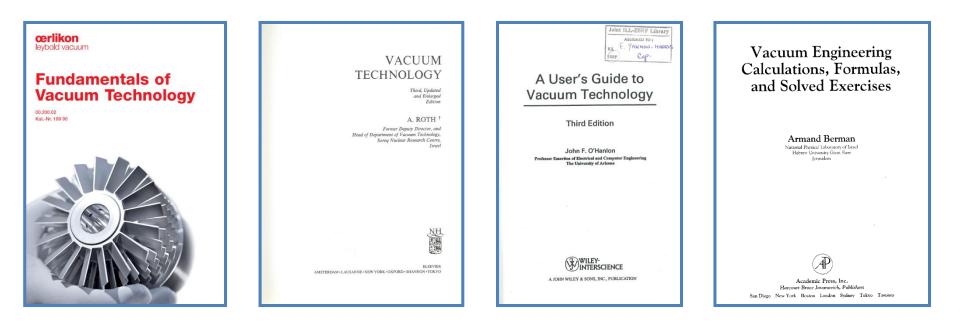
## Content:

- •Concepts of gas flow, conductance, pressure profile as relevant to the design of the vacuum system of modern accelerators;
- A quick definition of the terms involved;
- Some computational models and algorithms: analytical vs numerical;
- Several examples
- Summary;

Acknowledgments: <u>C. Pasquino</u> for providing some slides on ENA method and LINAC4 simulations, <u>M. Ady</u>, for code support and PhD thesis excerpts; CERN-TE-VSC

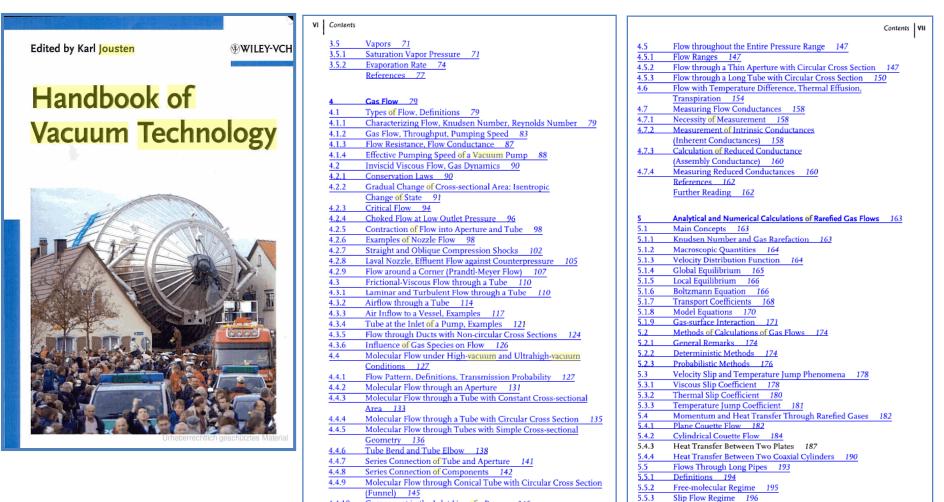
Sources: "[1] Fundamentals of Vacuum Technology", Oerlikon-Leybold (\*); [2] "Vacuum Technology, A. Roth, Elsevier; [3] "A User's Guide to Vacuum Technology", J.F. O'Hanlon, Wiley-Interscience; [4] "Vacuum Engineering Calculations, Formulas, and Solved Exercises", A. Berman,

Academic Press;



(\*) Not endorsing products of any kind or brand

#### Sources: [5] "Handbook of Vacuum Technology", K. Jousten ed., Wiley-Vch, 1002 p.

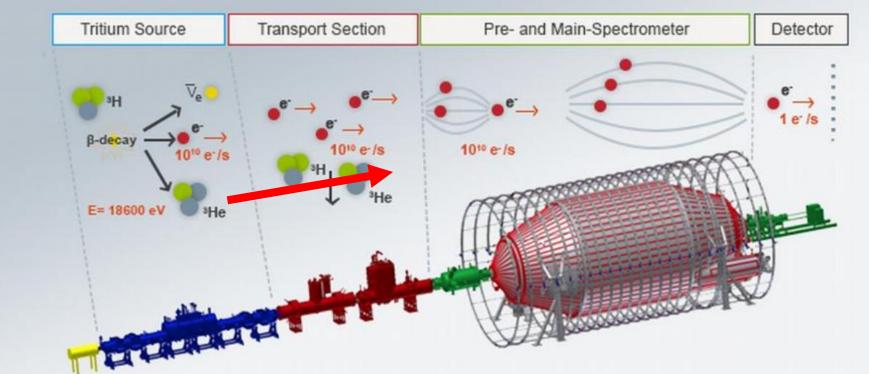


4.4.10 Component in the Inlet Line of a Pump 146

KATRIN neutrino mass experiment: a <u>very sophisticated</u> vacuum system (cryogenic system with eguiding solenoids integrated into the cryostats, plus turbo pumps to decrease the source-todetector transmission probability, and more...); Fully analysed, mand modelled via Test-Particle

Monte Carlo (TPMC) simulations

**Deconstruction: KATRIN's odvssev** 

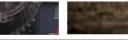


Tritinium decays, releasing an electron and an antielectron-neutrino. While the neutrino escapes undetected, the eletron starts ist journey to the detector.

Electrons are guided towards the sprectrometer by magnetic fields. Tritium has to be pumped out to provide tritium free spectrometers. The electron energy is analyzed by applying an electrostatic retarding potential. Electrons are only transmitted if their kinetic energy is sufficiently high.

At the end of their journey, the electrons are counted at the detector. Their rate varies with the spectrometer potential and hence gives an integrated β-spectrum.







#### Units and Definitions

Unit	N · m <sup>-2</sup> , Pa <sup>2)</sup>	mbar	bar	Torr	
1 N · m <sup>−2</sup> (= 1 Pa)		1 · 10 <sup>-2</sup>	1 · 10 <sup>-5</sup>	7.5 · 10 <sup>-3</sup>	
1 mbar	100	1	1 · 10 <sup>–3</sup>	0.75	
1 bar	1 · 10 <sup>5</sup>	1 · 103	1	750	
1 Torr <sup>3)</sup>	133	1.33	1.33 · 10 <sup>-3</sup>	1	
<ol> <li>The torr is included in the table only to facilitate the transition from this familiar unit to the statutory units N · m<sup>2</sup>, mbar and bar. In future the pressure units torr, mm water column, mm mercury column (mm Hg), % vacuum, technical atmosphere (at), physicalatmosphere (atm), atmosphere absolute (ata), pressure above atmospheric and pressure below atmospheric may no longer be used. Reference is made to DIN 1314 in this context.</li> <li>The unit Newton divided by square meters (N · m<sup>2</sup>) is also designated as Pascal (Pa): 1 N · m<sup>2</sup> = 1 Pa. Newton divided by square meters or Pascal is the SI unit for the pressure of fluids.</li> <li>1 torr = 4/3 mbar; fl torr = 1 mbar.</li> </ol>					

Table I: Permissible pressure units including the torr 1) and its conversion

Abbrev.	Gas	$C^* = \lambda \cdot p$ [cm · mbar]
H <sub>2</sub>	Hydrogen	12.00 · 10 <sup>-3</sup>
He	Helium	18.00 · 10 <sup>-3</sup>
Ne	Neon	12.30 · 10 <sup>-3</sup>
Ar	Argon	6.40 · 10 <sup>-3</sup>
Kr	Krypton	4.80 · 10 <sup>-3</sup>
Xe	Xenon	3.60 · 10 <sup>-3</sup>
Hg	Mercury	3.05 · 10 <sup>-3</sup>
02	Oxygen	6.50 · 10 <sup>-3</sup>
$N_2$	Nitrogen	6.10 · 10 <sup>-3</sup>
HĈI	Hydrochloric acid	4.35 · 10 <sup>-3</sup>
$CO_2$	Carbon dioxide	3.95 · 10 <sup>−3</sup>
H₂Ó	Water vapor	3.95 · 10 <sup>-3</sup>
NH <sub>3</sub>	Ammonia	4.60 · 10 <sup>-3</sup>
C₂H <sub>₅</sub> OH	Ethanol	2.10 · 10 <sup>-3</sup>
Cĺ <sub>2</sub>	Chlorine	3.05 · 10 <sup>-3</sup>
Air	Air	6.67 · 10 <sup>-3</sup>

Table III: Mean free path I

Values of the product c\* of the mean free path  $\lambda$  ( and pressure p for various gases at 20 °C (see also Fig. 9.1)

1↓=→	mbar	Pa	dyn · cm <sup>−2</sup>	atm	Torr	inch	Micron	cm	kp · cm <sup>−2</sup>	lb · in <sup>−2</sup>	lb · ft <sup>−2</sup>
		(N/m <sup>3</sup> )	(µbar)	(phys.)	(mm Hg)	Hg	(μ)	H <sub>2</sub> O	(at tech.)	(psi)	
mbar	1	10 <sup>2</sup>	10 <sup>3</sup>	9.87 · 10 <sup>-4</sup>	0.75	2.953 · 10 <sup>-2</sup>	7.5 · 10 <sup>2</sup>	1.02	1.02 · 10 <sup>-3</sup>	1.45 · 10 <sup>-2</sup>	2.089
Pa	10 <sup>-2</sup>	1	10	9.87 · 10 <sup>-6</sup>	7.5 · 10 <sup>−3</sup>	2.953 · 10 <sup>-4</sup>	7.5	1.02 · 10 <sup>-2</sup>	1.02 · 10 <sup>-5</sup>	1.45 · 10 <sup>-4</sup>	2.089 · 10 <sup>-2</sup>
μbar	10 <sup>-3</sup>	0.1	1	9.87 · 10 <sup>-7</sup>	7.5 · 10 <sup>-4</sup>	2.953 · 10 <sup>-5</sup>	7.5 · 10 <sup>-1</sup>	1.02 · 10 <sup>-3</sup>	1.02 · 10 <sup>-6</sup>	1.45 · 10 <sup>-5</sup>	2.089 · 10 <sup>-3</sup>
atm	1013	1.01 · 10 <sup>5</sup>	1.01 · 10 <sup>6</sup>	1	760	29.92	7.6 · 10 <sup>5</sup>	1.03 · 10 <sup>3</sup>	1.033	14.697	2116.4
Torr	1.33	1.33 · 10 <sup>2</sup>	1.33 · 10 <sup>3</sup>	1.316 · 10 <sup>-3</sup>	1	3.937 · 10 <sup>-2</sup>	10 <sup>3</sup>	1.3595	1.36 · 10 <sup>-3</sup>	1.934 · 10 <sup>-2</sup>	2.7847
in Hg	33.86	33.9 · 10 <sup>2</sup>	33.9 · 10 <sup>3</sup>	3.342 · 10 <sup>-2</sup>	25.4	1	2.54 · 10 <sup>4</sup>	34.53	3.453 · 10 <sup>-2</sup>	0.48115	70.731
μ	1.33 · 10 <sup>-3</sup>	1.33 · 10 <sup>-1</sup>	1.333	1.316 · 10 <sup>-6</sup>	10 <sup>-3</sup>	3.937 · 10 <sup>-5</sup>	1	1.36 · 10 <sup>-3</sup>	1.36 · 10 <sup>-6</sup>	1.934 · 10 <sup>-5</sup>	2.785 · 10 <sup>-3</sup>
cm H <sub>2</sub> O	0.9807	98.07	980.7	9.678 · 10 <sup>-4</sup>	0.7356	2.896 · 10 <sup>-2</sup>	7.36 · 10 <sup>2</sup>	1	10 <sup>−3</sup>	1.422 · 10 <sup>-2</sup>	2.0483
at	9.81 · 10 <sup>2</sup>	9.81 · 10 <sup>4</sup>	9.81 · 10 <sup>5</sup>	0.968	7.36 · 10 <sup>2</sup>	28.96	7.36 · 10 <sup>5</sup>	103	1	14.22	2048.3
psi	68.95	$68.95 \cdot 10^2$	68.95 · 10 <sup>3</sup>	6.804 · 10 <sup>-2</sup>	51.71	2.036	51.71 · 10 <sup>3</sup>	70.31	7.03 · 10 <sup>-2</sup>	1	1.44 · 10 <sup>2</sup>
lb · ft <sup>−2</sup>	0.4788	47.88	478.8	4.725 · 10 <sup>-4</sup>	0. 3591	1.414 · 10 <sup>-2</sup>	359.1	0.488	4.88 · 10 <sup>-4</sup>	6.94 · 10 <sup>-3</sup>	1

Normal conditions: 0 °C and sea level, i.e. p = 1013 mbar = 760 mm Hg = 760 torr = 1 atm

in Hg = inches of mercury; 1 mtorr (millitorr) =  $10^{-3}$  torr = 1  $\mu$  (micron ...  $\mu$ m Hg column)

Pounds per square inch = lb · in 2 = lb / sqin = psi (psig = psi gauge ... pressure above atmospheric, pressure gauge reading; psia = psi absolute ... absolute pressure)

Pounds per square foot = lb / sqft = lb / ft<sup>2</sup>; kgf/sqcm<sup>2</sup> = kg force per square cm = kp / cm<sup>2</sup> = at; analogously also: lbf / squin = psi

1 dyn  $\cdot$  cm<sup>-2</sup> (cgs) = 1 µbar (microbar) = 1 barye; 1 bar = 0.1 Mpa; 1 cm water column (cm water column = g / cm<sup>2</sup> at 4 °C) = 1 Ger (Geryk)

atm ... physical atmosphere - at ... technical atmosphere; 100 - (x mbar / 10.13) = y % vacuum

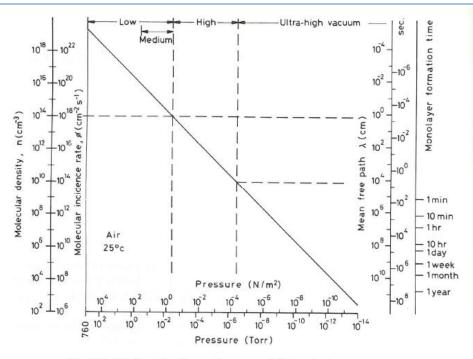
Table II: Conversion of pressure units

Units and Definitions [2]

•Without bothering Democritus, Aristoteles, Pascal, Torricelli et al... a <u>modern</u> definition of "vacuum" is the following (American Vacuum Society, 1958):

"... a given space or volume filled with gas at pressures below atmospheric pressure"

•Keeping this in mind, the following curve [2] defines the <u>molecular density</u> vs <u>pressure</u> and the <u>mean free path (MFP)</u>, a very important quantity:

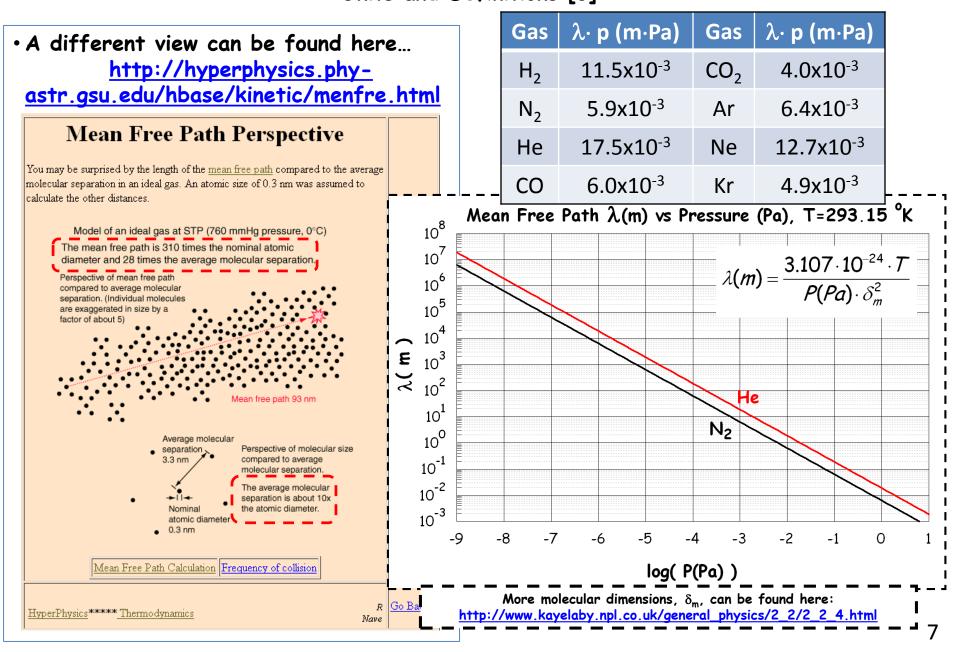




<u>Mean-free path</u>: average distance travelled by a molecule before hitting another one (ternary, and higher-order, collisions are negligible)
The importance of obtaining a low pressure, in accelerators, is evident:
reduce collisions between the particle beams and the residual gas;
increase beam lifetime;
reduce losses;
reduce activation of components;
reduce doses to personnel;

- decrease number of injection cycles;
- improve beam up-time statistics;
- more..

#### Tutorial on Gas Flow, Conductance, Pressure Profiles Units and Definitions [3]



### Tutorial on Gas Flow, Conductance, Pressure Profiles Units and Definitions [4]

Definition of "flow regime"

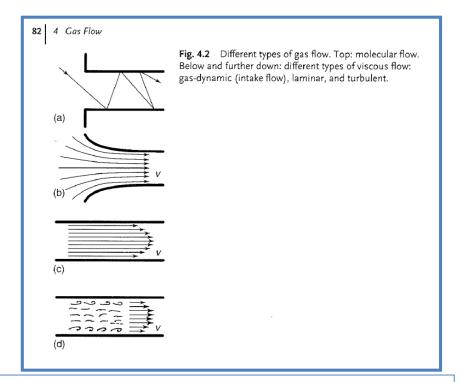
The so-called "Knudsen number" is defined as this:

$$Kn = \frac{\lambda}{D}$$

And the different flow (pressure) regimes are identified as follows:

FREE MOLECULAR FLOW:Kn >1TRANSITIONAL FLOW:0.01CONTINUUM (VISCOUS) FLOW:Kn0.01

Practically all accelerators work in <u>the</u> <u>free-molecular regime</u> i.e. in a condition where the MFP  $\lambda$  is bigger than the "typical" dimension of the vacuum chamber (e.g.its diameter), and therefore molecular collisions can be neglected.

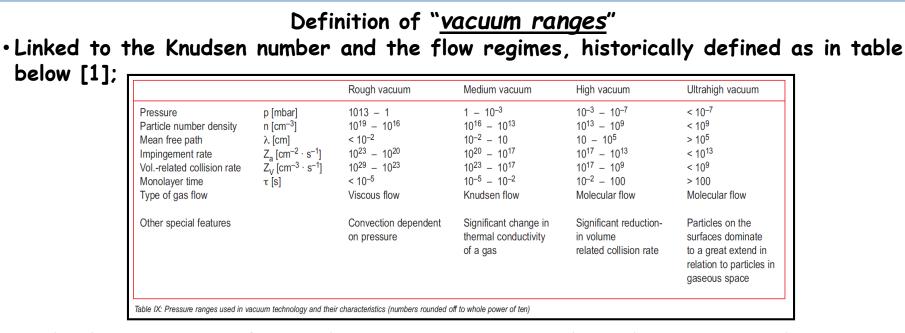


IMPORTANT: in molecular flow regime, the absence of collisions between molecules translates into the fact that high-vacuum pumps DO NOT "SUCK" GASES. they simply generate some probability s that once a molecule enters into the pump it is permanently removed from the system. s can be identified as the <u>equivalent</u>

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sticking coefficient.

Units and Definitions [5]

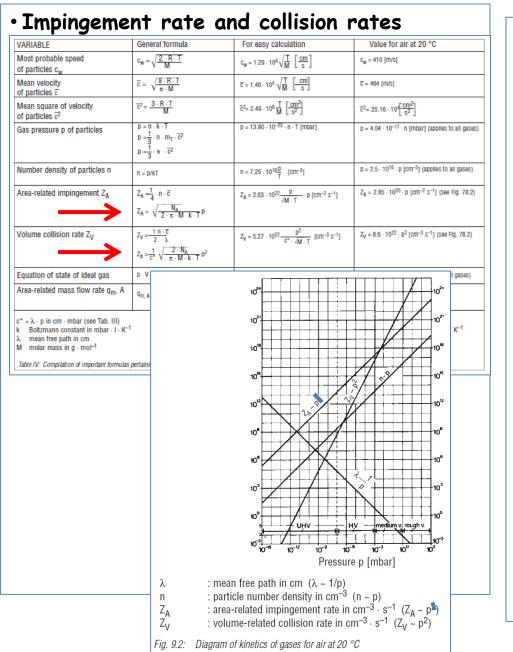


•With the invention of very low-outgassing materials and treatments (e.g. NEGcoating), "Ultrahigh vacuum" (UHV) is sometimes split up into "UHV" and "XHV" (eXtreme High Vacuum) regimes

	Table 4 Classification of vacuum ranges [8].					
	Vacuum	Pressure Units				
	Ranges	F	Pa		mbar	
		min	max	min	max	
	Low (LV)	$3.3 \times 10^{3}$	$1.0 \times 10^{5}$	$3.3 \times 10$	$1.0 \times 10^{3}$	
	Medium (MV)	$1.0 \times 10^{-1}$	$3.3 \times 10^{3}$	$1.0 \times 10^{-3}$	$3.3 \times 10$	
	High (HV)	$1.0 \times 10^{-4}$	$1.0 \times 10^{-1}$	$1.0 \times 10^{-6}$	$1.0 \times 10^{-3}$	
Ref. N. Marquardt,	Very High (VHV)	$1.0 \times 10^{-7}$	$1.0 \times 10^{-4}$	$1.0 \times 10^{-9}$	1.0×10 <sup>-6</sup>	
CERN CAS 1999)	Ultra-High (UHV)	$1.0 \times 10^{-10}$	$1.0 \times 10^{-7}$	$1.0 \times 10^{-12}$	$1.0 \times 10^{-9}$	
	Extreme	<u>≤</u> 1.0	× 10 <sup>-10</sup>	<u>≤</u> 1.0	$\times 10^{-12}$	
	Ultra-High (XHV)					

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Tutorial on Gas Flow, Conductance, Pressure Profiles Impingement and Collision rates; Ideal Gas Law



•The <u>ideal gas law</u> states that the pressure P of a diluted gas is given by  $PV = \frac{m}{M}RT = n_M RT = n_M N_A k_B T$ ... where: V = volume,  $m^3$ ; m = mass of gas, kg M = molecular mass, kg/mole T = absolute temperature, °K R = gas constant = 8.31451 J/mol/K  $n_{M}$  = number of moles  $N_A$  = Avogadro's number = 6.022E+23 molecules/mole  $k_{\rm B}$  = Boltzmann's constant = 1.381E-23 J/K

• Deviation from this law are taken care of by introducing higher-order terms, the so called <u>virial expansion</u>,...

 $PV = RT(1 + BP + CP^2 + \dots)$ 

... which are not discussed here.

Volumetric Flow Rate – Throughput – Basic Equations – Conductance

How does all this translates into "accelerator vacuum"?

•Let's imagine the simplest vacuum sistem, a straight tube with constant cross-section <u>connecting two large</u> <u>volumes</u>, P<sub>1</sub>>P<sub>2</sub>

 $Q = P \cdot dV/dt$ 

Q, which in the SI has the units of

 $[Pa \cdot m^3/s] \rightarrow [N \cdot m /s] = [J/s] = [W]$ 

... is called the <u>throughput</u>. Therefore the throughput is <u>the power carried by</u> <u>a gas flowing out (or in) of the volume V</u> <u>at a rate of dV/dt and pressure P.</u>

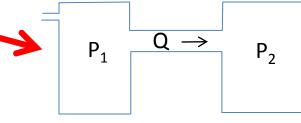
dV/dt is also called "<u>volumetric</u> <u>flow rate</u>", and when applied to the inlet of a pump, it is called "<u>pumping</u> <u>speed</u>".

Therefore, we can also write a first basic equation of vacuum technology

 $Q = P \cdot S$ 

• Having defined the throughput, we move now to the concept of <u>conductance</u>, C:

Suppose we have two volumes  $V_1$  and  $V_2$ , at pressures  $P_1 > P_2$  respectively, connected via a tube



...we can define a second <u>basic</u> <u>equation</u> of vacuum technology

 $Q = C \cdot (P_1 - P_2) = C \cdot \Delta P$ 

... which, making an electrical analogy...

I= V / R

... gives an obvious interpretation of C as the reciprocal of a resistance to flow.

<u>The higher the conductance the</u> <u>more "current" (throughput) runs</u> <u>through the system.</u> 11

Kinetic Theory of Gases

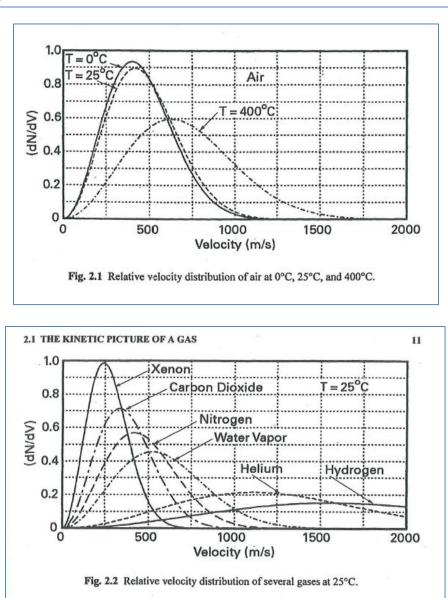
How can conductances be calculated? How does the dimension, shape, length, etc... of a vacuum component define its conductance?

- •We need to recall some concepts of kinetic theory of gases:
- The Maxwell-Boltzmann velocity distribution defines an ensemble of N molecules of given mass m and temperature T as

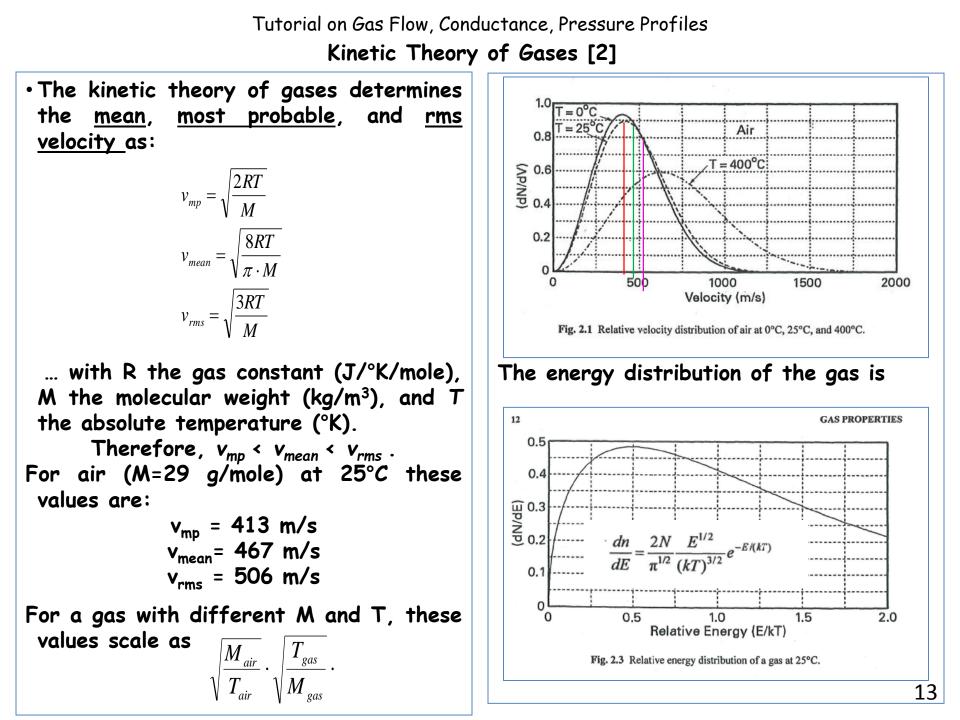
$$\frac{dn}{dv} = \frac{2N}{\pi^{1/2}} \left(\frac{m}{2kT}\right)^{3/2} v^2 e^{-m \cdot v^2 / (2 \cdot k_B \cdot T)}$$

... with n the molecular density, and  $k_b$  as before.

• The shape of this distribution for air at different temperatures, and for different gases at 25°C are shown on the right:



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Transmission probability

• Within the kinetic theory of gases, it can be shown that the volumetric flow rate q passing through an infinitely thin hole of surface area A between two volumes is given by  $q = A \cdot \frac{v_{mean}}{r} \Delta P$ 

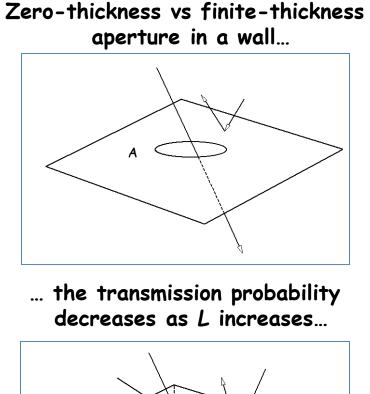
... and by the analogy with the second basic equation we get that the <u>conductance c</u> of this thin hole is

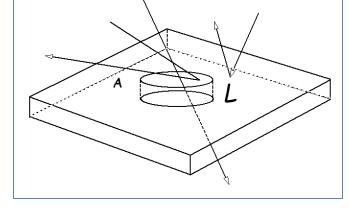
For holes which are not of zerothickness, a "reduction" factor k, 0<k<1, can be defined. K is called <u>transmission probability</u>, and can be visualized as the effect of the "side wall" generated by the thickness.

It depends in a complicated way from the shape of the hole.

So, in general, for a hole of area A across a wall of thickness L

$$c(A,L) = A \cdot \frac{v_{mean}}{4} \cdot k(A,L)$$





 $c = A \cdot \frac{v_{mean}}{\Delta}$ 

Transmission probability [2]

- •Only for few simple cross-sections of the hole, an analytic expression of k(A,L) exists.
- For arbitrary shapes, numerical integration of an integro-differential equation must be carried out, and no analytical solution exists:

#### 7. Clausing's investigation of the transmission probability of a tube

Clausing 25-34 calculated the probability W for a tube of circular cross-section

$$W = \int_{0}^{L} W_{SR}(x) \cdot w(x) dx + W_{ss}(L)$$
(27)

where w(x) is given by an integral equation

$$w(x) = \int_{0}^{L} W_{RR}(\xi - x) d\xi . w(\xi) + W_{RS}(L - x)$$
(28)

down w(x) in the case of a circular tube:

$$w(x) = \frac{1}{4R} \int_{0}^{L} \left\{ 2 + \frac{(\xi - x)^{3}}{[(\xi - x)^{2} + 4R^{2}]^{\frac{1}{2}}} - \frac{3(\xi - x)}{[(\xi - x)^{2} + 4R^{2}]^{\frac{1}{2}}} \right\}$$
  

$$w(\xi) d\xi + \frac{1}{4R} \left\{ [(\overline{L - x)^{2} + 4R^{2}}]^{\frac{1}{2}} + \frac{(L - x)^{2}}{[(L - x)^{2} + 4R^{2}]^{\frac{1}{2}}} - \frac{2(L - x)}{[(L - x)^{2} + 4R^{2}]^{\frac{1}{2}}} \right\}$$
(29)

(ref. W. Steckelmacher, Vacuum 16 (1966) p561-584)

Where  $W_{SR}$ ,  $W_{SS}$ ,  $W_{RR}$  and  $W_{RS}$  are appropriate functions of R and relate to probabilities of the molecular passage and emittance of molecules (assuming a cosine law of emission) from different parts of the tube wall. Clausing also showed that the function w(x) was related to the impact density g(x) for molecules impinging on the walls of the tube, where x is measured along the tube length. Defining the relative impact

density 
$$h(x) = \frac{g(x)}{N_0}$$
 he proved the identity

$$h(x) \equiv w(L-x) \tag{30}$$

This proof depends on the principle of detailed balancing according to which for each direction and velocity the number of emitted molecules is equal to the number adsorbed (see also Clausing<sup>27</sup>).

In trying to solve the integral equation Clausing<sup>32-34</sup> assumes that for  $\frac{R}{r}$  large ( $\geq 1$ ) a good solution is given by

$$v(x) = \alpha + \frac{1 - 2\alpha}{L} \cdot x \tag{31}$$

with  $\alpha$  =const. Substitution of this in the integral actually gave an expression for  $\alpha$  which may be written in the form:

$$\alpha = \frac{\left[u(u^{2}+1)^{\frac{1}{2}} - u^{2}\right] - \left[v(v^{2}+1)^{\frac{1}{2}} - v^{2}\right]}{\frac{u(2v^{2}+1) - v}{(v^{2}+1)^{\frac{1}{2}}} - \frac{v(2u^{2}+1) - u}{(u^{2}+1)^{\frac{1}{2}}} = \alpha \left(\frac{R}{L}, \frac{x}{L}\right)$$
(32)

where 
$$u = (L-x)/2R$$
 and  $v = x/2R$ , ie  $u = (L/R) - v$  (34)

He then selected  $\alpha$  such that  $W = \frac{\sigma R}{3L}$  for long tubes, is assuming the Knudsen formula for long tubes. He showed that a good approximation was obtained for short tubes when  $L \leq 4R$  by taking

$$\alpha = \frac{\sqrt{L^2 + 4R^2 - L}}{4R^2}$$
(35), and when  $L > 4R$ ,  $\alpha = \alpha \left(\frac{R}{L}, \frac{x}{L}\right)$ (35)  
$$2R + \frac{4R^2}{\sqrt{L^2 + 4R^2}}$$
given by the above formula for  $\alpha \left(\frac{R}{L}, \frac{x}{L}\right)$  but with

given by the above formula for  $\alpha\left(\frac{r}{L},\frac{s}{L}\right)$  but with

$$x/L = 2R\sqrt{7}/(3L + 2R\sqrt{7})$$
 ie  $u = \frac{L\sqrt{7}}{3L + 2R\sqrt{7}}$  (36)

With this choice (he points out, it is one of many), for very small R/L

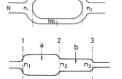
$$\alpha \rightarrow \frac{4R}{3L}$$
 so that  $W \rightarrow \frac{8R}{3L}$ .

With these approximations Clausing then calculated W for a range of values of L/R, which he tabulated, and these Clausing probability factors formed the basis for flow calculations in tubes for more than 20 years.

Sum of Conductances

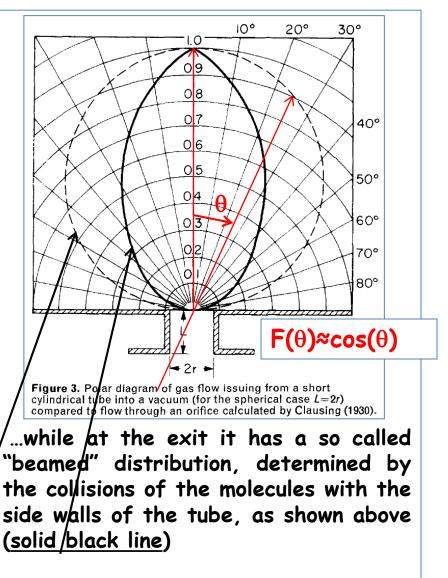
- Keeping in mind the interpretation of the <u>conductance as the reciprocal of a</u> <u>resistance</u> in an electric circuit, we may be tempted to use "summation rules" similar to those used for series and parallel connection of two resistors.
- It turns out that these rules are not so far off, they give meaningful results provided some "correction factors" are introduced

 $C = C_1 + C_2 \text{ parallel}$   $\frac{1}{C} = \frac{1}{C_1} + \frac{1}{C_2} \text{ series}$ 



and they can be extended to more elements by adding them up.

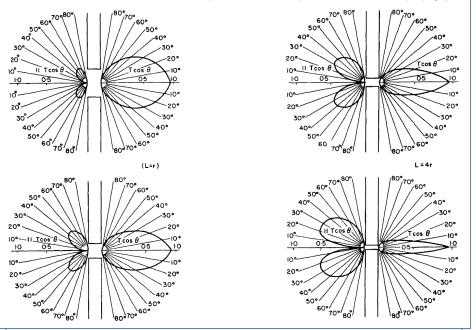
- The correction factor takes into account also the fact that the flow of the gas as it enters the tube "develops" a varying angular distribution as it moves along it, even for a constant cross-section.
- At the entrance, the gas crosses the aperture with a "cosine distribution"



• As the length of the tube increases...

**Molecular Beaming Effect** 

... so does the beaming, and the forward- and backward-emitted molecules become more and more skewed, as shown here...



W Steckelmacher : The molecular flow conductance for systems of tubes and components and measurement of pumping speed

- The transmission probability of <u>any</u> shape can be calculated with arbitrary precision by using the <u>Test-Particle</u> <u>Montecarlo method (TPMC)</u>.
- The TPMC generates "random" molecules according to the cosine distribution...

- ... at the entrance of the tube, and then follows their traces until they reach the exit of the tube.
- Time is not a factor, and residence time on the walls is therefore not an issue (although they can be taken into account).
- Each collision with the walls is followed by a random emission following, again, the cosine distribution...
- ... this is repeated a very large number of times, in order to reduce the statistical scattering and apply the large number theorem.
- The same method can be applied not only to tubes but also to threedimensional, arbitrarily-shaped components, i.e. "models" of any vacuum system.
- In this case, pumps are simulated by assigning "<u>sticking coefficients</u>" to the surfaces representing their inlet flange.
- The sticking coefficient is nothing else than the probability that a molecule... 17

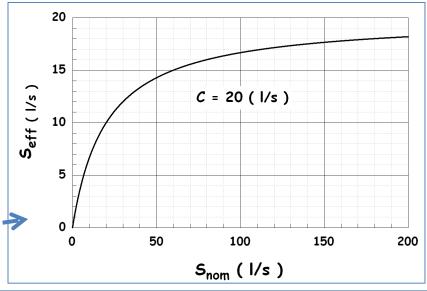
Effective Pumping Speed

- ... hitting that surface gets pumped, i.e. removed from the system.
- The <u>equivalent sticking coefficient</u> s of a pump of pumping speed S [l/s] represented by an opening of A [cm<sup>2</sup>] is given by
  - $s = \frac{S}{A \cdot \frac{v_{mean}}{4}}$

... i.e. it is the ratio between the given pumping speed and the conductance of the zero-thickness hole having the same surface area of the opening A.

• The "interchangeability" of the concept of conductance and pumping speed, both customarily defined by the units of [l/s] (or [m<sup>3</sup>/s], or [m<sup>3</sup>/h]), suggests that if a pump of nominal speed  $S_{nom}$  [l/s] is connected to a volume V via a tube of conductance C, the <u>effective pumping</u> <u>speed</u> of the pump will be given by the relationship  $\frac{1}{S_{eff}} = \frac{1}{S_{nom}} + \frac{1}{C}$  • From this simple equation it is clear that it doesn't pay to increase the installed pumping speed much more than the conductance *C*, which therefore sets a limit to the <u>achievable effective pumping speed</u>.

• This has <u>severe implications</u> for accelerators, as they typically have vacuum chambers with a tubular shape: they are "<u>conductance-</u> <u>limited systems</u>", and as such need a specific strategy to deal with them



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#### Tutorial on Gas Flow, Conductance, Pressure Profiles Transmission Probability and Analytical Formulae

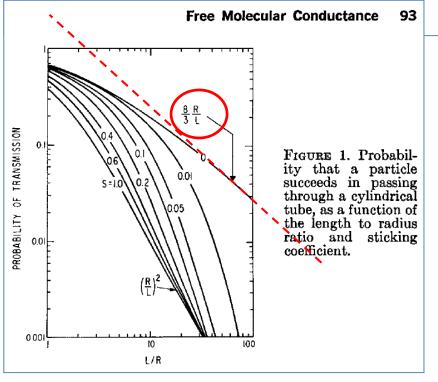
• The transmission probability of tubes has been calculated many times. This paper (J.Vac.Sci.Technol. 3(3) 1965 p92-95)...

#### Free Molecular Conductance of a Cylindrical Tube with Wall Sorption

#### Craig G. Smith and Gerhard Lewin

Plasma Physics Laboratory, Princeton University, Princeton, New Jersey (Received 26 October 1965)

A Monte Carlo method was used to calculate the probability that a molecule passes through a cylindrical tube with wall sorption. This probability is presented as a function of the ratio of length to radius and the sticking coefficient s of the wall. For s = 0, the results confirm those of Clausing for the conductance of a tube of finite length. For  $s \neq 0$ , wall pumping can greatly roduce the flow of measurement of the wall values of s. The backettering statel has rotationable.



... gives us a way to calculate the conductance of a cylindrical tube of any length to radius ratio L/R >0.001:

 $C_{transm}(l/s) = A_{inlet}(cm^2) \cdot 11.77(l/s/cm^2) \cdot P_{transm}$ 

... where P<sub>transm</sub> is the transmission probability of the tube, as read on the graph and 11.77 is the "usual" kinetic factor of a mass 28 gas at 20°C.

• Other authors have given approximate equations for the calculation of  $C_{\text{transm}}$ , namely <u>Dushman</u> (1922), prior to the advent of modern digital computers

$$C_{transm}(l/s) = 12.4 \frac{D^3/L}{1+4 \cdot \frac{D}{3 \cdot L}}$$

... with D and L in m.

•We can derive this equation by considering a tube as two conductances in series:  $C_A$ , the aperture of the tube followed by the tube itself,  $C_B$ .

• By using the summation rule for....

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Dushman's Formula for Tubes

• This fundamental conductance limitation ... 2 conductances in series...  $\frac{1}{C} = \frac{1}{C_A} + \frac{1}{C_B}$ ... we obtain: has *profound effects* on the design of the pumping system of accelerators: the location, number and size of the pumps must be decided on the merit of  $C_{A} = 9.3 \cdot D^{3}$  and  $C_{B} = 12.4 \cdot D^{3} / L$ minimizing the average pressure seen by the beam(s), and reducing local bumps. ... with D and L in cm. Substituting above... •The process is carried out in several steps: first a "back of the envelope"  $C = \frac{C_A \cdot C_B}{C_A + C_B} = \frac{12.4 \cdot D^3 / L}{1 + 4 \cdot D / (3 \cdot L)}$ calculation with evenly spaced pumps, followed by a number of iterations where the position of the pumps and Beware: the error can be large! eventually their individual size (speed) are customized. • Exercise: 1) estimate the conductance of a tube of D=10 [cm] and L=50 [cm] • Step 1 resembles to this: a crossby using the transmission probability section common to all magnetic elements concept and compare it to the one is chosen, i.e. one which fits inside all obtained using Dushman's formula. magnets (dipoles, quadrupoles, sextupoles, etc...): this determines a 2) Repeat for a tube with L=500 [cm]. <u>specific conductance</u> for the vacuum 3) Calculate the relative error. chamber  $c_{spec}$  (I·m/s), by means of, for instance, the <u>transmission</u> probability

method.

Tutorial on Gas Flow, Conductance, Pressure Profiles Pressure Profiles and Conductance

•We then consider a chamber of uniform cross-section, of specific surface A [cm<sup>2</sup>/m], specific outgassing rate of q [mbar·l/s/cm<sup>2</sup>], with equal pumps (pumping speed S [l/s] each) evenly spaced at a distance L. The following equations can be written:

$$Q(x) = -c \frac{dP(x)}{dx}$$
$$\frac{dQ(x)}{dx} = Aq$$

...which can be combined into

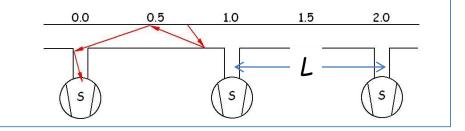
$$c\frac{d^2P}{dx^2} = -Aq$$

... with boundary conditions

$$\frac{dP}{dx}(x = L/2) = 0$$
$$P(x = 0) = AqL/S$$

... to obtain the final result

$$P(x) = \frac{Aq}{2c} \left( Lx - x^2 \right) + \frac{AqL}{S}$$



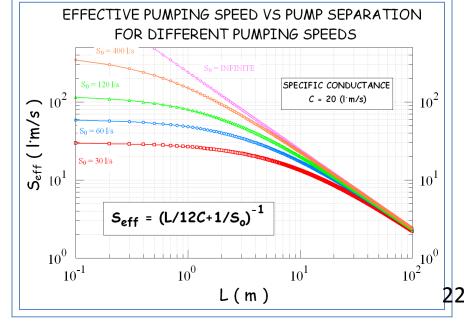
#### Tutorial on Gas Flow, Conductance, Pressure Profiles Pressure Profiles and Conductance [2]

•From this equation for the pressure profile, we derive three interesting quantities: the <u>average pressure</u>, the <u>peak pressure</u>, and the <u>effective</u> <u>pumping speed</u> as:

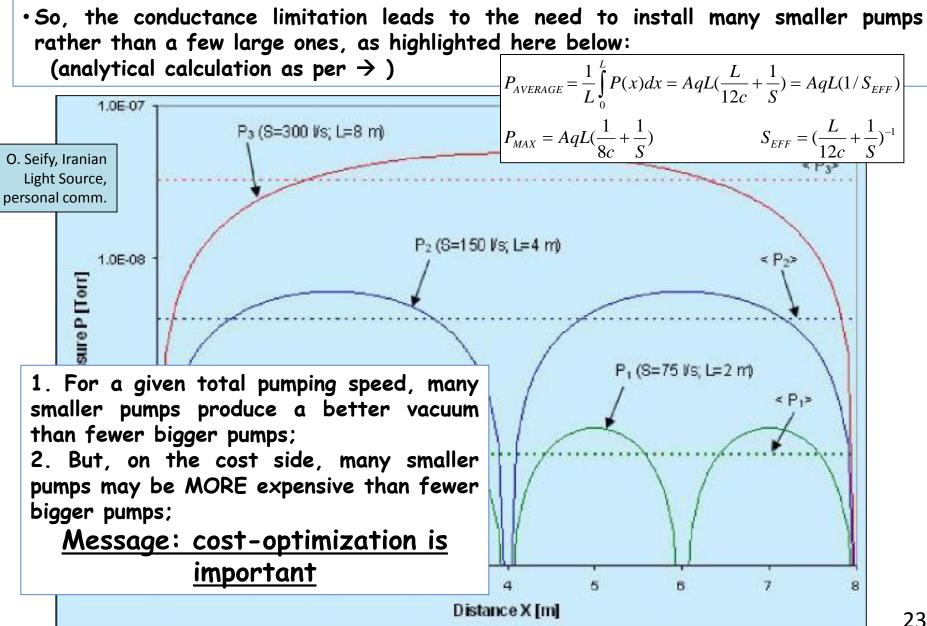
$$P_{AVERAGE} = \frac{1}{L} \int_{0}^{L} P(x) dx = AqL(\frac{L}{12c} + \frac{1}{S}) = AqL(1/S_{EFF})$$
$$P_{MAX} = AqL(\frac{1}{8c} + \frac{1}{S}); \quad AqL = Q_{tot}; \quad S_{EFF} = (\frac{L}{12c} + \frac{1}{S})^{-1}$$

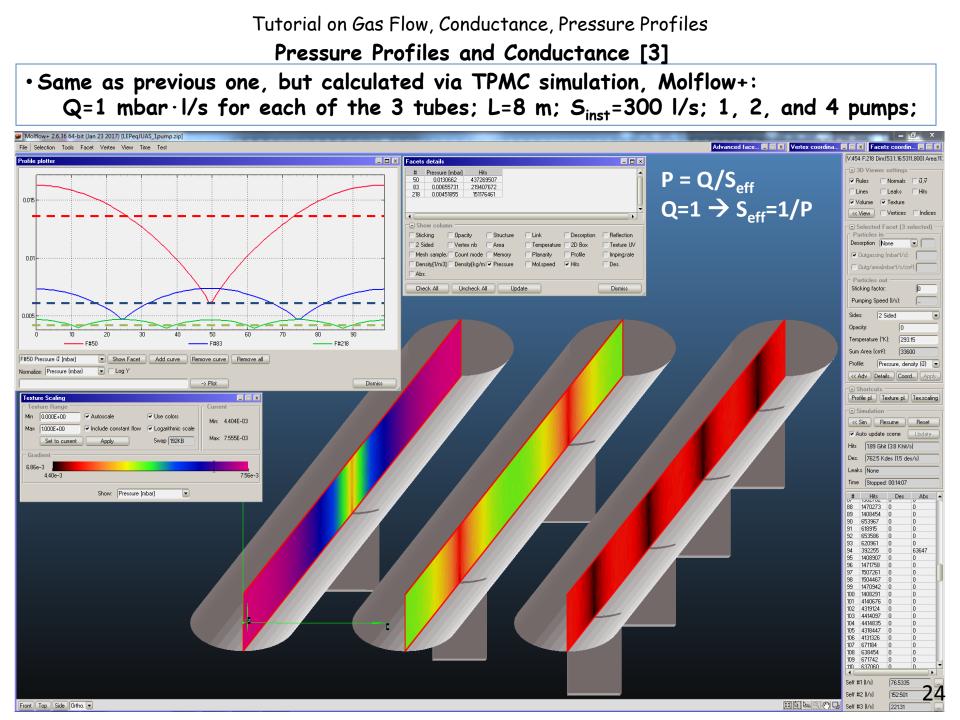
- •From the 1<sup>st</sup> and 3<sup>rd</sup> ones we see that once the specific conductance is chosen (determined by the size of the magnets, and the optics of the machine), how low the average pressure seen by the beam can be is limited by the effective pumping speed, which in turn depends strongly on c.
- The following graph shows an example of this: for c=20  $[l \cdot m/s]$  and different nominal pumping speeds for the pumps, the graphs show how  $S_{eff}$  would change.

- This, in turn, determines the average... <u>pump spacing</u>, and ultimately the <u>number of pumps</u>.
- Summarizing: in one simple step, with a simple model, one can get an estimate of the size of the vacuum chamber, the number and type of pumps, and from this, roughly, a first estimate of the capital costs for the vacuum system of the machine. Not bad! <sup>(1)</sup>



#### Tutorial on Gas Flow, Conductance, Pressure Profiles Pressure Profiles and Conductance [3]





#### Tutorial on Gas Flow, Conductance, Pressure Profiles **Pressure Profiles and Distributed Pumping**

• From the previous analysis it is clear that there may be cases when either because of the size of the machine or the dimensions of (some of its) vacuum chambers, the number of pumps which would be necessary in order to obtain a sufficiently low pressure could be too large, i.e. impose <u>technical and cost</u> <u>issues</u>. One example of this was the LEP accelerator, which was 27 kmlong, and would have needed thousands of pumps, based on the analysis we've carried out so far.

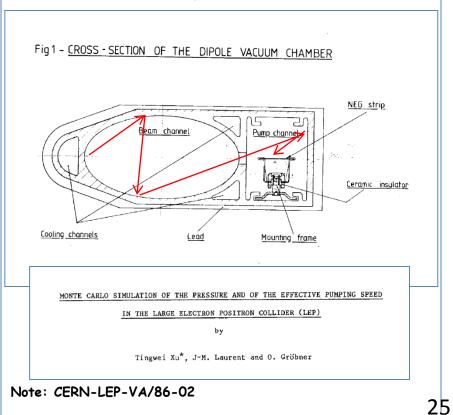
- So, what to do in this case? Change many small pumps into one more or less continuous pump, i.e. implement <u>distributed pumping</u>.
- In this case if  $S_{dist}$  is the distributed pumping speed, its units are [l/s/m], the equations above become:

$$P_{AVG} = Aq / S_{EFF};$$
  

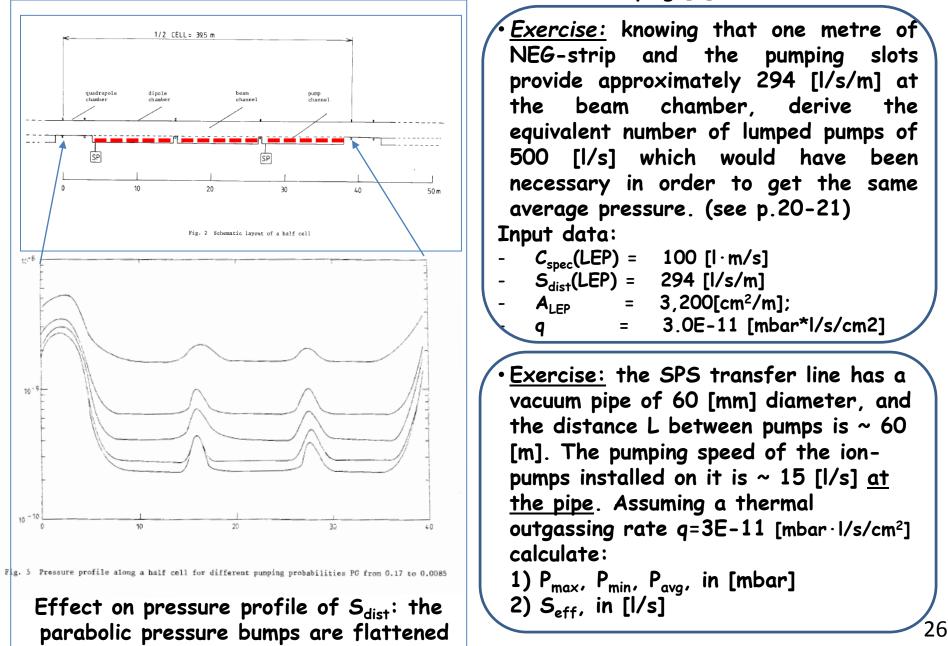
$$P_{MAX} = P_{AVG};$$
  

$$S_{EFF} = S_{dist} \cdot L$$

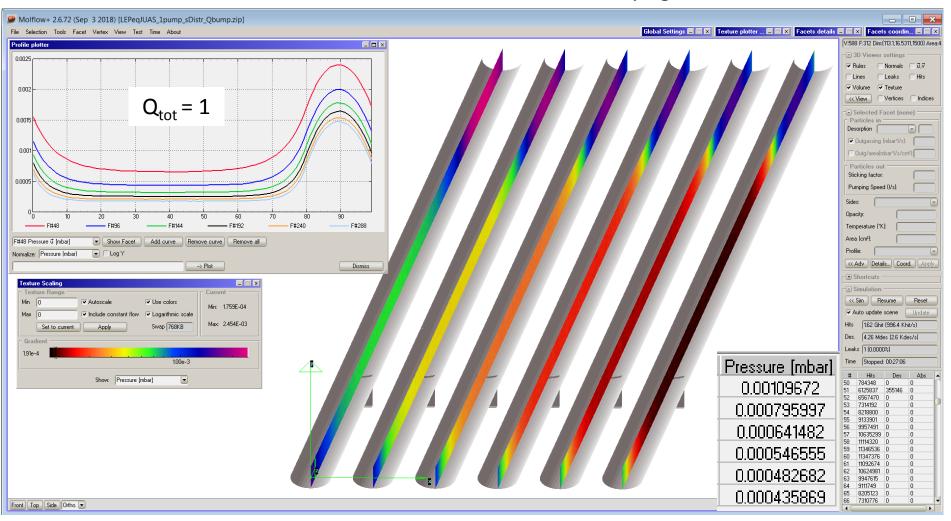
- •We obtain a flat, <u>constant</u>, pressure profile.
- The distributed pressure profile in LEP had been obtained by inserting a NEG-strip along an <u>ante-chamber</u>, running parallel to the beam chamber, and connected by small oval slots:



Tutorial on Gas Flow, Conductance, Pressure Profiles **Pressure Profiles and Distributed Pumping** [2]

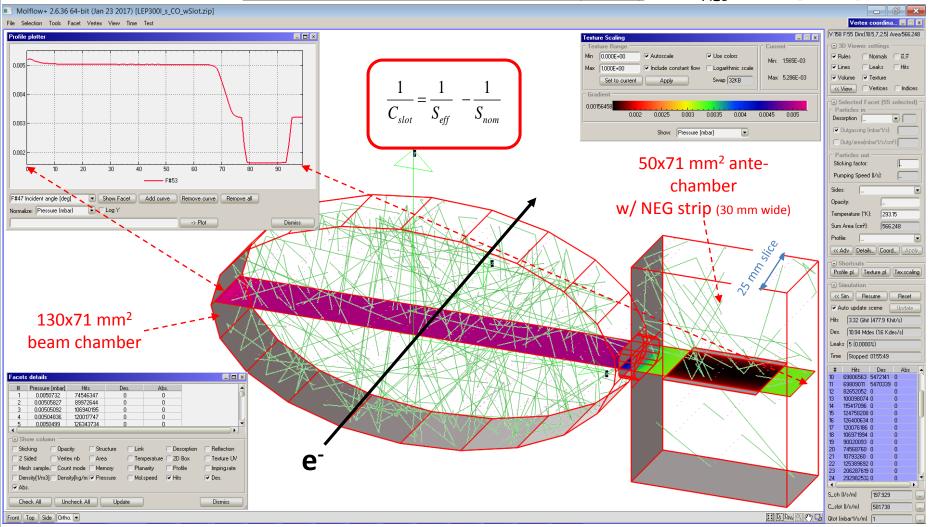


Tutorial on Gas Flow, Conductance, Pressure Profiles Pressure Profiles and Distributed Pumping [2]



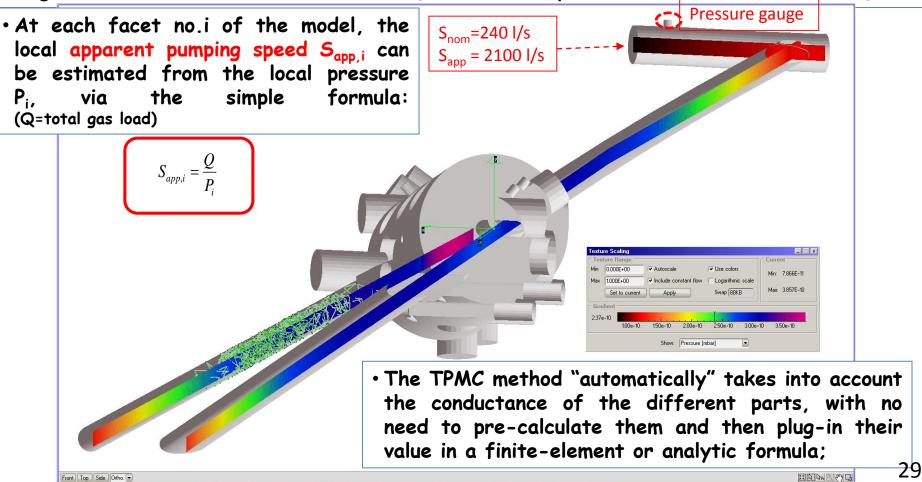
- Molflow+ calculation of LEP-style vacuum system: 15 m-long segments with 12 m-long dipoles and 3 m-long quadrupoles (with no distributed pumping);
- Distributed pumping of (left-to-right) 100, 150, 200, 250, 300, 350 (l/s/m);
- Average pressure at beam location shown on the table;

• A modern way to calculate the effective pumping speed of the 20×9 mm<sup>2</sup> racetrack pumping slots in LEP is via the <u>Test-Particle Montecarlo method (TPMC)</u>: S<sub>NEG</sub>= 300 [l/s/m]

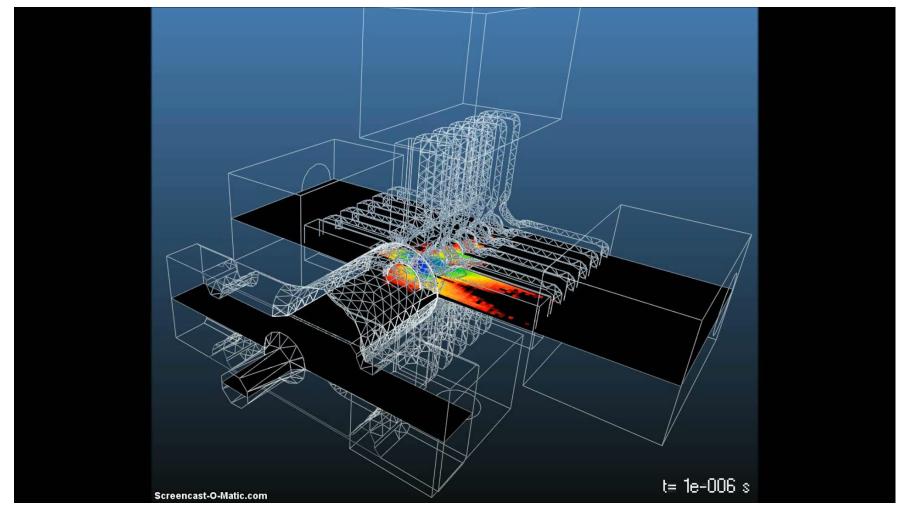


A virtual "test facet" is laid across the chamber's cross-section and the pressure profile is calculated by recording the position of all the rays crossing it; It is then possible to calculate S<sub>eff</sub>(I/s/m) and from this C<sub>slet</sub> [I/s/m] by using the formula shown here above.
 The goal is to maximize C<sub>slot</sub> and therefore S<sub>eff</sub> without affecting too much the beam;<sup>1</sup> 28

- Vacuum chamber geometries of arbitrary complexity can also be designed and analysed via the TPMC method;
- In this case a model can be made with a CAD software, exported in STL format to the TPMC code Molflow+, and then the vacuum properties can be assigned to the different facets, such as outgassing rate, sticking coefficient (equivalent pumping speed), opacity (ratio of void-to-solid, to simulate fine grids, for instance), and more...;
- This example shows the recent analysis of a potential new design of an injection septum magnet for the PS accelerator at CERN (model courtesy of J. Hans<u>en, CERN-TE</u>-VSC);

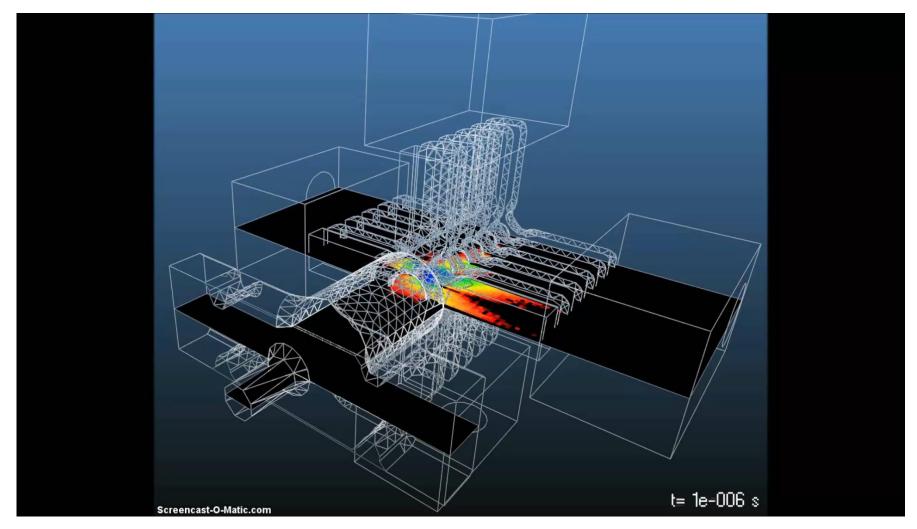


One example of a time-dependent Test-Particle Monte Carlo simulation Propagation of the pressure wave following an RF breakdown in a cell of the CLIC linear accelerator:

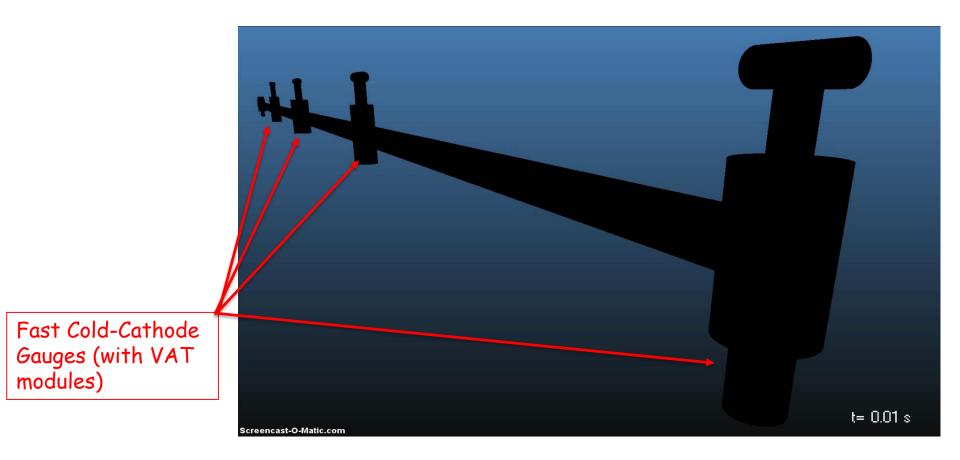


### One example of a time-dependent Test-Particle Monte Carlo simulation

Propagation of the **pressure wave following an RF breakdown** in a cell of the CLIC linear accelerator:

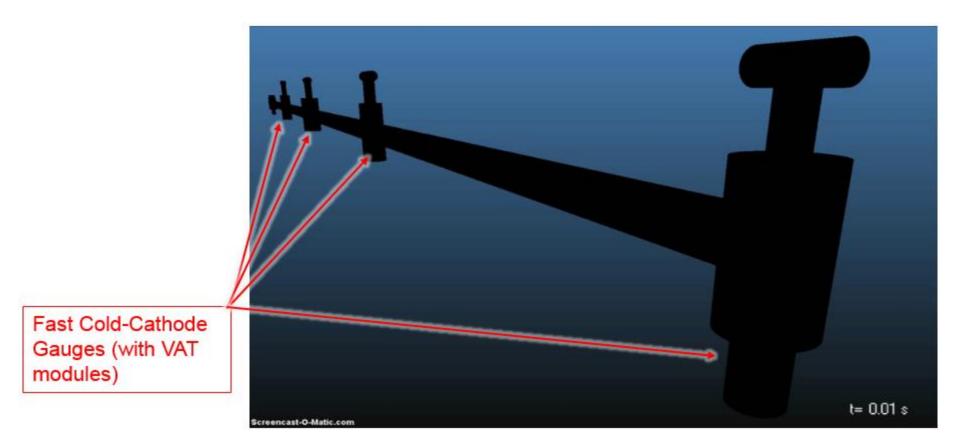


Time-dependent Molflow+ simulation of the propagation of a **pressure wave following an air-inrush** (breaking a thin aluminum foil); 4x 7m-long 80 mm ID tubes, with intermediate bellows and pumping stations (pumps off);



Ref.: M. Ady's PhD thesis, video;

Time-dependent Molflow+ simulation of the propagation of a **pressure wave following an air-inrush** (breaking a thin aluminum foil); 4x 7m-long 80 mm ID tubes, with intermediate bellows and pumping stations (pumps off);

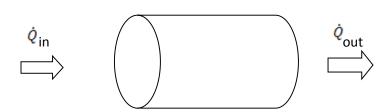


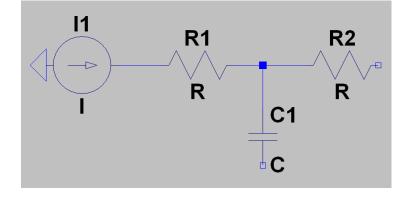
Ref.: M. Ady's PhD thesis, cited above;

# Electrical Network -Vacuum analogy: main principle

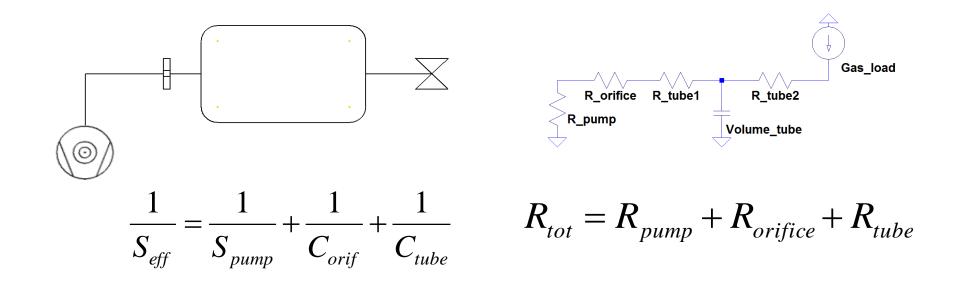
A vacuum line can be analyzed as an electrical network: by means of dedicated programs (LTSPICE, PSPICE...) the dynamic vacuum profile of complex components is evaluated.

VACUUM	ELECTRICAL NETWORK
Volume	Capacitance
Conductance	Resistance
Flow	Current
Pressure	Voltage





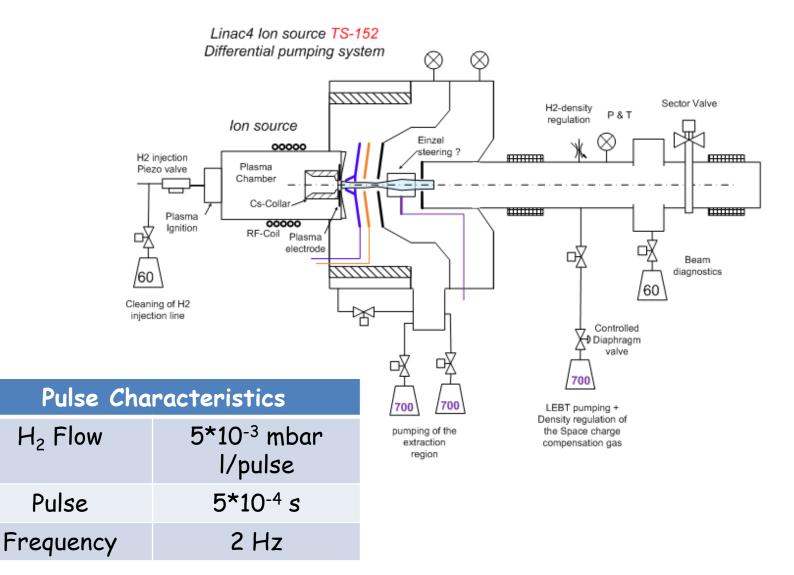
# Electrical Network -Vacuum analogy (ENA): simple example



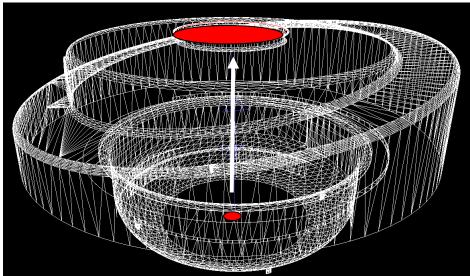
$$P_{tube} = \frac{Q}{S_{eff}}$$

$$V_{tube} = \frac{I}{R_{tot}}$$

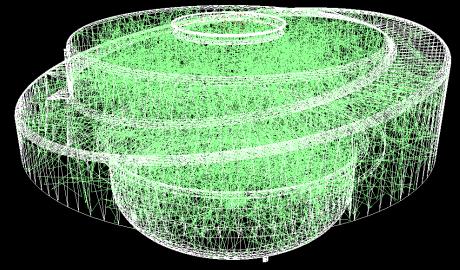
### Electrical Network -Vacuum analogy: Linac4 H- source

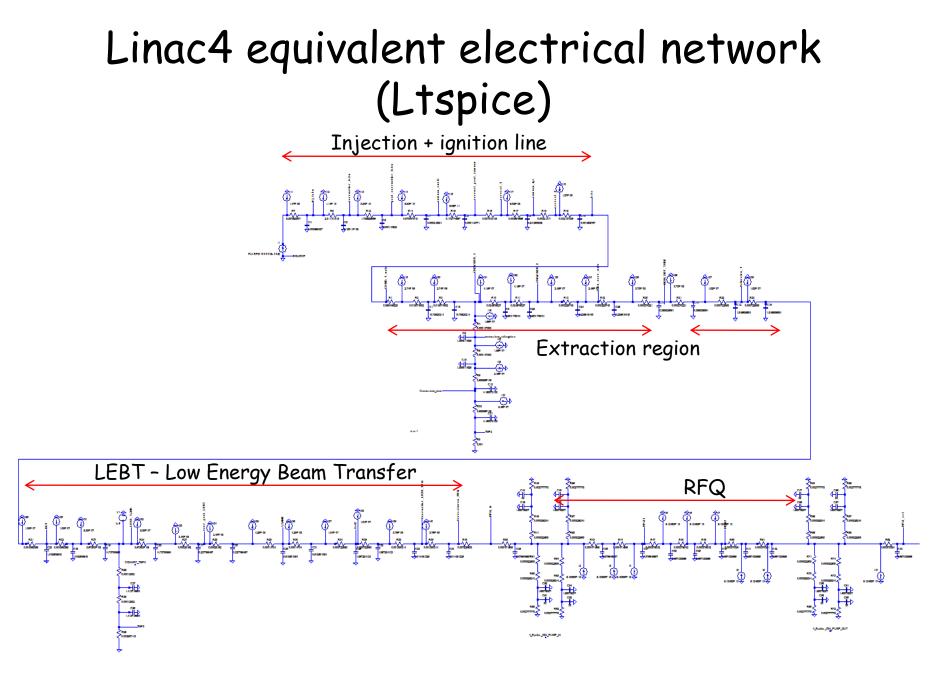


### Electrical Network -Vacuum analogy: Linac4 Hsource, <u>conductance evaluation</u> in Molflow+

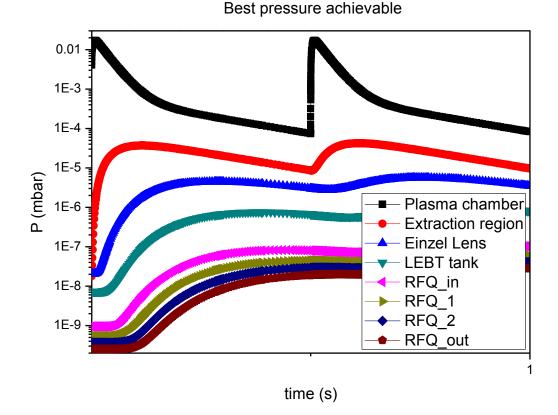


- Injection of particles within the extraction chamber volume
- By counting the number of particles exiting the component, the transmission probability is evaluated.





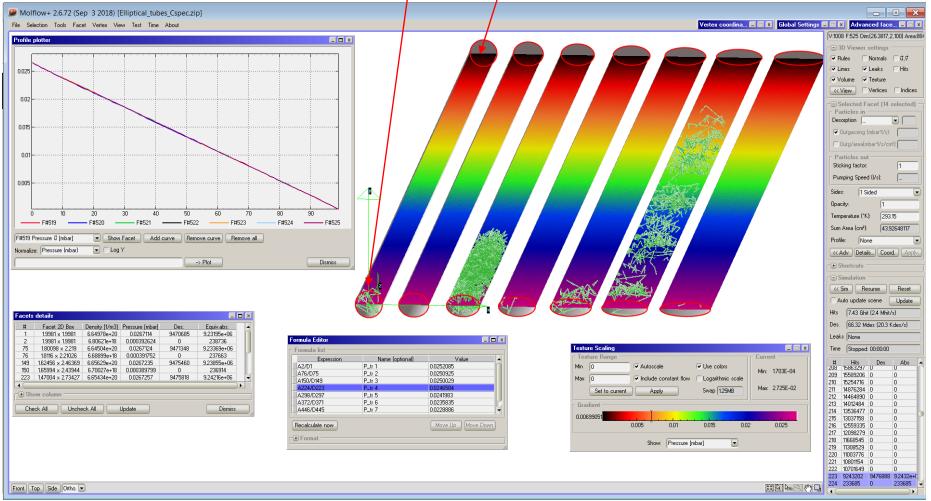
### Linac4 : H<sub>2</sub> Pressures Profiles



Evaluation of the best  $H_2$  partial pressures achievable, considering the dynamic gas load and the effective pumping speed applied.

## When using montecarlo simulations, like Molflow+, there are two ways to calculate the specific conductance of a tube of arbitrary cross section:

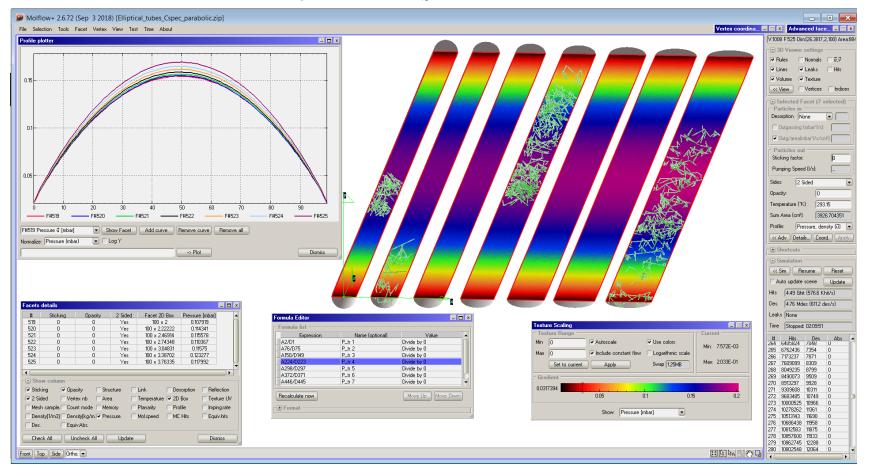
 Apply the <u>concept of transmission probability</u>, and use the formula C<sub>tr</sub>= P<sub>tr</sub> ·A · <v>/4; In this case the molecules are generated at the inlet, with cosine distribution, and tracked until they cross the outlet; Both the <u>inlet</u> and <u>outlet</u> have sticking coefficient set to 1



## When using montecarlo simulations, like Molflow+, there are two ways to calculate the specific conductance of a tube of arbitrary cross section:

2) Generate the molecules uniformly along the walls of the tube, as if to simulate a uniform thermal outgassing, and set the extremities at some sticking value (like 0.5); The ensuing pressure profile is then fitted to a second order polynomial, and the specific conductance c is obtained by taking -½ the value of the reciprocal of the second order

parameter, as per 
$$P(x) = \frac{Aq}{2c} (Lx - x^2) + \frac{AqL}{S}$$
, with AqL = Q<sub>tot</sub> = 1



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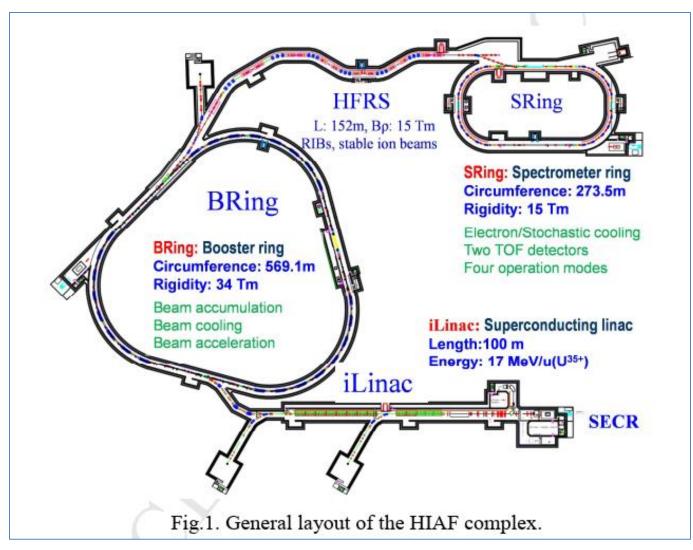
# Beware: Some methods alternative to the test-particle montecarlo simulations may be prone to systematic errors

One very recent example in literature:

Accepted	■ VACUUM						
•	namic vacuum simulation for the Booster Ring in the high-intensity heavy ion celerator facility						
	eng Li, Lars Bozyk, Zhiqiang Dong, Peter Spiller, Min Li, Jiancheng Yang, Youjin uan, Cheng Luo, Wenjun Xie, Wenheng Zhen						
PII: DOI: Reference: To appear in:	S0042-207X(18)32237-1 https://doi.org/10.1016/j.vacuum.2019.02.004 VAC 8531 <i>Vacuum</i>						
Revised Date:	5 November 2018 3 February 2019 4 February 2019						

Please cite this article as: Li P, Bozyk L, Dong Z, Spiller P, Li M, Yang J, Yuan Y, Luo C, Xie W, Zhen W, Dynamic vacuum simulation for the Booster Ring in the high-intensity heavy ion accelerator facility, *Vacuum* (2019), doi: https://doi.org/10.1016/j.vacuum.2019.02.004.

#### Dynamic vacuum simulation for the <u>Booster Ring</u> in the High-Intensity Heavy Ion Accelerator Facility, Vacuum, in press, Feb 2019



Heavy Ion Research Facility at Lanzhou (HIRFL), China

The time-dependent balance-equation:

$$v\frac{\partial P}{\partial t} = \frac{\partial}{\partial z}c\frac{\partial P}{\partial z} - sP + q$$

... is solved via a finite-elements method (VAKDYN code, V. Ziemann, Uppsala Univ.)

The vacuum chamber around the ring is sliced  $\rightarrow$ Element i-1 Element i Element i+1 NEXTorr D 200 Dipole mann TSP  $P_{i+1}C_i$  $P_{i-1}C_{i-1}$ **Ouadrupole** magn IE514 gauge  $P_iC_{i-1}$ ≻PiCi |P<sub>i</sub>Si Qi Fig.3. Schematic vacuum layout of one super period of BRing. C<sub>i-1</sub> Ci

The outgassing  $(Q_i)$ , conductance  $(C_i)$ , and pumping speed  $(S_i)$  of each slice are pre-calculated or assigned in advance, and the equation is then solved via a matrix-method, in order to get the pressure  $P_i$  for each slice, as follows:

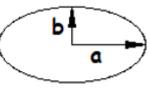
$$P_i^{n+1} - P_i^n = \frac{\Delta t}{2V_i} \left[ C_{i-1} (P_{i-1}^{n+1} - P_i^{n+1}) + C_i (P_{i+1}^{n+1} - P_i^{n+1}) - S_i P_i^{n+1} + Q_i + C_i (P_{i-1}^n - P_i^n) + C_i (P_{i+1}^n - P_i^n) - S_i P_i^n + Q_i \right]$$

The cross-section of the booster is approximated with an elliptical or rectangular shape;

The conductance of each slice is calculated via the approximate analytical formulae:

For the ellipse type chamber, the conductance is calculated by [20]:

$$C=13.6\frac{1}{L}\frac{a^{2}b^{2}}{\sqrt{a^{2}+b^{2}}}\sqrt{\frac{T}{M}}$$



Or for the rectangular type chamber, the conductance is calculated by:

C=3.069K<sub>j</sub>
$$\frac{1}{L}\frac{a^2b^2}{(a+b)}\sqrt{\frac{T}{M}}$$

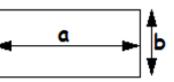


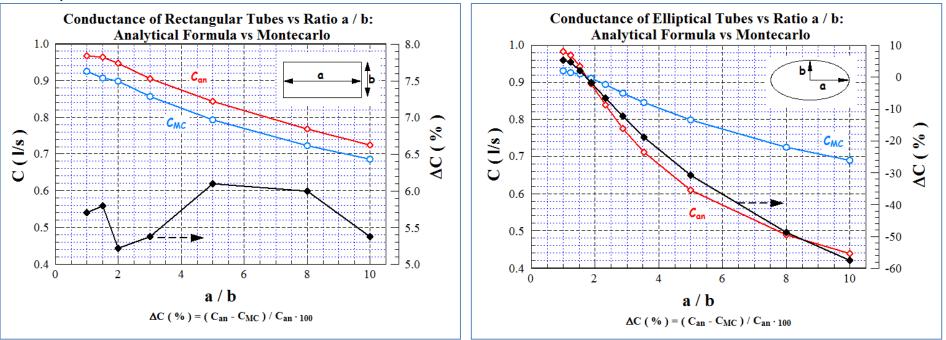
Table 5. Coefficient of the rectangular chamber to calculate conductance.

b/a	1	2/3	1/2	1/3	1/5	1/8	1/10
K <sub>j</sub>	1.108	1.126	1.151	1.198	1.297	1.400	1.444

Unfortunately, when compared to 3D montecarlo simulations, <u>the</u> <u>precision of these two formulae is not very high</u>, in particular the one for the elliptical cross section case, as can be seen in the following graphs.

Specific conductance in  $l \cdot m/s$  for elliptical and rectangular crosssections vs ratio of ellipse semi-axes or rectangle sides (a/b), ellipse area-rectangular area =  $\pi$  cm<sup>2</sup>; L=1 m;

The percent deviation of the montecarlo simulation is calculated with respect to the analytical formula:



It becomes evident that choosing the right value for the conductance elements is <u>very important</u>, as the pressure profile calculation is very much sensitive on the C<sub>i</sub> values, and error propagation is likely when using a matrix solution.

#### Summary:

- During this short tutorial we have reviewed some important concepts and equations related to the field of vacuum for particle accelerators.
- We have seen that one limiting factor of accelerators is the fact that they always have long tubular chambers, which are inherently <u>conductance limited</u>.
- We have also seen some basic equations of vacuum, namely the P=Q/S which allows a very first glimpse at the level of pumping speed S which will be necessary to implement on the accelerator in order to get rid of the outgassing Q, with the latter depending qualitatively and quantitatively on the type of accelerator (see V. Baglin's lessons on outgassing, synchrotron radiation, ion-induced desorption, etc... this school).
- Links between the thermodynamic properties of gases and the technical specification of pumps (their pumping speed) as been given: the link is via the <u>equivalent sticking</u> <u>coefficient</u> which can be attributed to the inlet of the pump.
- One simple model of accelerator vacuum system, having uniform desorption, evenly spaced pumps of equal speed has allowed us to derive some preliminary but useful equations relating the *pressure* to the *conductance* to the <u>effective pumping speed</u>, and ultimately giving us a ballpark estimate about the number of pumps which will be needed in our accelerator.
- An example of a real, now dismantled, accelerator has been discussed (LEP), and the advantages of <u>distributed pumping</u> vs <u>lumped pumping</u> detailed.
- Several examples of a more modern way of calculating conductances and pressure profiles (ENA, VAKDYN, TPMC) have been discussed: TPMC should be the preferred method for the serious vacuum scientist; See literature example of large deviations when using approximate analytical formulae.

Tutorial on Gas Flow, Conductance, Pressure Profiles

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### Thank you for your attention ©