

# Measurement of transverse Emittance

The emittance characterizes the whole beam quality, assuming linear behavior as described by second order differential equation.

It is defined within the phase space as:  $\varepsilon_x = \frac{1}{\pi} \int_A dx dx'$

The measurement is based on determination of:

*either* profile width  $\sigma_x$  and angular width  $\sigma_x'$  at one location  
*or*  $\sigma_x$  at different locations and linear transformations.

Different devices are used at transfer lines:

- Lower energies  $E_{kin} < 100$  MeV/u: slit-grid device, pepper-pot (suited in case of non-linear forces).
- All beams: Quadrupole variation & 'three grid' method using linear transformations (**not** well suited in the presence of non-linear forces)

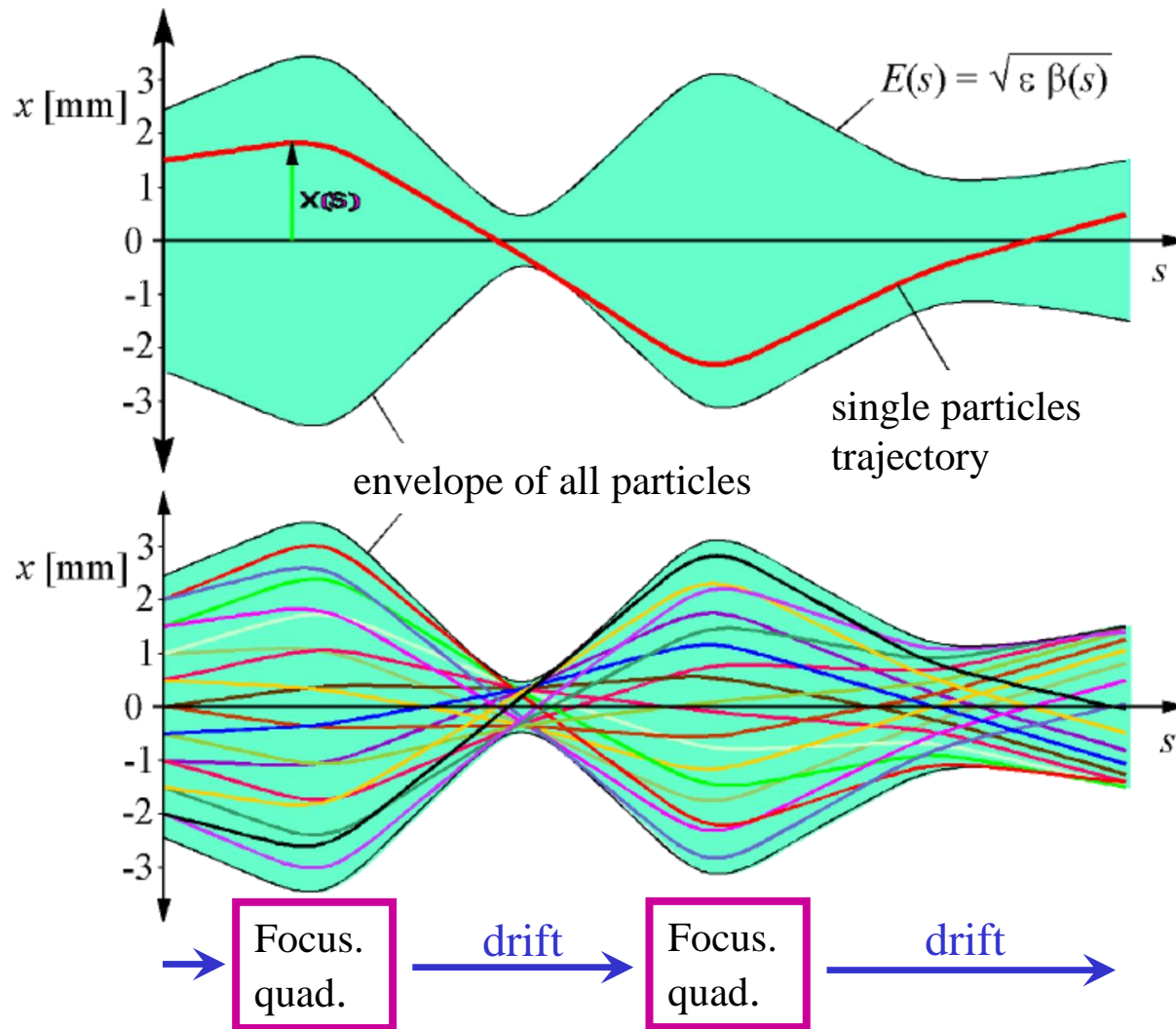
**Synchrotron:** lattice functions results in stability criterion

⇒ beam width delivers emittance:  $\varepsilon_x = \frac{1}{\beta_x(s)} \left[ \sigma_x^2 - \left( D(s) \frac{\Delta p}{p} \right)^2 \right]$  and  $\varepsilon_y = \frac{\sigma_y^2}{\beta_y(s)}$

## Outline:

- Definition and some properties of transverse emittance
- Slit-Grid device: scanning method
- Quadrupole strength variation and position measurement
- Summary

# Excuse: Particle Trajectory and Characterization of many Particles



- Single particle trajectories are forming a beam
- They have a distribution of start positions and angles
- ⇒ Characteristic quantity is the **beam envelope**
- **Goal:** Behavior of whole ensemble

Plot: Wille

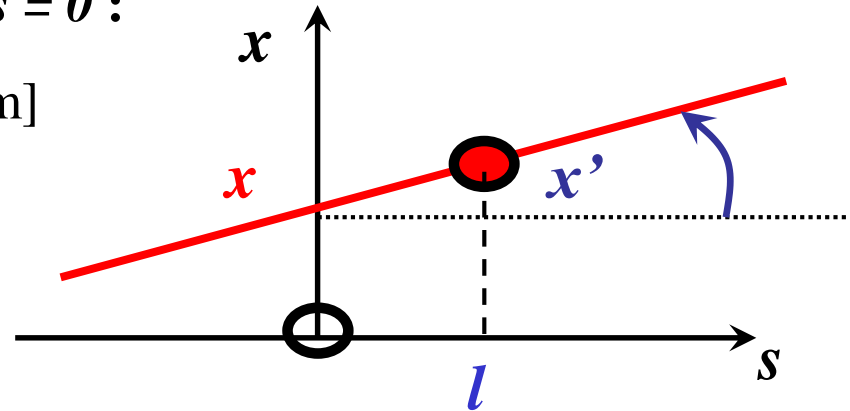
# Excuse: Definition of Offset and Divergence

**Horizontal and vertical coordinates at  $s = 0$  :**

➤  $x$  : Offset from reference orbit in [mm]

➤  $x'$ : Angle of trajectory in unit [mrad]

$$x' = dx / ds$$



**Assumption: par-axial beams:**

➤  $x$  is small compared to  $\rho_0$

➤ Small angle with  $p_x / p_s \ll 1$

**Longitudinal coordinate:**

➤ Longitudinal orbit difference:  $l = -v_0 \cdot (t - t_0)$  in unit [mm]

➤ Momentum deviation:  $\delta = (p - p_0) / p_0$  sometimes in unit [mrad] = [‰]

For **continuous** beam:  $l$  has no meaning  $\Rightarrow$  set  $l \equiv 0$  !

**Reference particle:** no horizontal and vertical offset  $x \equiv y \equiv 0$  and  $l \equiv 0$  for all  $s$

# Excuse: Definition of Coordinates and basic Equations

The basic vector is 6 dimensional:

$$\vec{x}(s) = \begin{pmatrix} x \\ x' \\ y \\ y' \\ l \\ \delta \end{pmatrix} = \begin{pmatrix} \text{hori. spatial deviation} \\ \text{horizontal divergence} \\ \text{vert. spatial deviation} \\ \text{vertical divergence} \\ \text{longitudinal deviation} \\ \text{momentum deviation} \end{pmatrix} = \begin{pmatrix} [\text{mm}] \\ [\text{mrad}] \\ [\text{mm}] \\ [\text{mrad}] \\ [\text{mm}] \\ [\%o] \end{pmatrix}$$

The transformation of a single particle from a location  $s_0$  to  $s_1$  is given by the Transfer Matrix R:

$$\vec{x}(s_1) = R(s) \cdot \vec{x}(s_0)$$

The transformation of the envelope from a location  $s_0$  to  $s_1$  is given by the Beam Matrix  $\sigma$ :

$$\sigma(s_1) = R(s) \cdot \sigma(s_0) \cdot R^T(s)$$

6-dim Beam Matrix with decoupled hor. & vert. plane:

$$\sigma = \begin{pmatrix} \sigma_{11} & \sigma_{12} & 0 & 0 & \sigma_{15} & \sigma_{16} \\ \sigma_{12} & \sigma_{22} & 0 & 0 & \sigma_{25} & \sigma_{26} \\ 0 & 0 & \sigma_{33} & \sigma_{34} & 0 & 0 \\ 0 & 0 & \sigma_{34} & \sigma_{44} & 0 & 0 \\ \sigma_{15} & \sigma_{25} & 0 & 0 & \sigma_{55} & \sigma_{56} \\ \sigma_{16} & \sigma_{26} & 0 & 0 & \sigma_{56} & \sigma_{66} \end{pmatrix}$$

horizontal

vertical

longitudinal

hor.-long. coupling

→ 13 values

Beam width for the three coordinates:

$$x_{rms} = \sqrt{\sigma_{11}}$$

$$y_{rms} = \sqrt{\sigma_{33}}$$

$$l_{rms} = \sqrt{\sigma_{55}}$$

Horizontal

beam matrix:

$$\sigma_{11} = \langle x^2 \rangle$$

$$\sigma_{12} = \langle x x' \rangle$$

$$\sigma_{22} = \langle x'^2 \rangle$$

# Excuse: Some Examples for linear Transformations

The 2-dim sub-space  $(x, x')$  can be used in case there is coupling like dispersion  $R_{16} = (x / \delta) = 0$

**Important examples are:**

➤ Drift with length  $L$ :  $\mathbf{R}_{\text{drift}} = \begin{pmatrix} 1 & L \\ 0 & 1 \end{pmatrix}$

➤ Horizontal **focusing** with quadrupole constant  $k$  and effective length  $l$ :

$$\mathbf{R}_{\text{focus}} = \begin{pmatrix} \cos \sqrt{k} l & \frac{1}{\sqrt{k}} \sin \sqrt{k} l \\ -\sqrt{k} \cdot \sin \sqrt{k} l & \cos \sqrt{k} l \end{pmatrix} \Rightarrow \mathbf{R}_{\text{focus}}^{\text{thin lens}} = \begin{pmatrix} 1 & 0 \\ -1/f & 1 \end{pmatrix}$$

➤ Horizontal **de-focusing** with quadrupole constant  $k$  and effective length  $l$ :

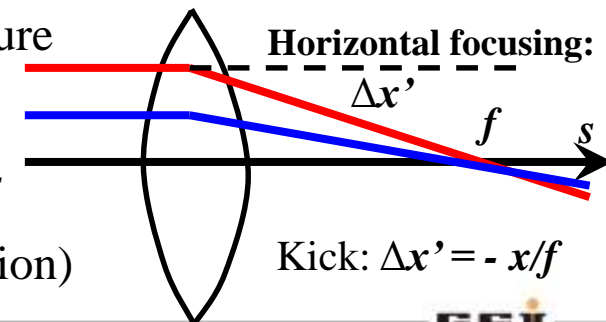
$$\mathbf{R}_{\text{de-focus}} = \begin{pmatrix} \cosh \sqrt{k} l & \frac{1}{\sqrt{k}} \sinh \sqrt{k} l \\ \sqrt{k} \cdot \sinh \sqrt{k} l & \cosh \sqrt{k} l \end{pmatrix} \Rightarrow \mathbf{R}_{\text{de-focus}}^{\text{thin lens}} = \begin{pmatrix} 1 & 0 \\ 1/f & 1 \end{pmatrix}$$

Ideal quad.: field gradient  $g = B_{\text{pole}}/a$ ,  $B_{\text{pole}}$  field at poles,  $a$  aperture

→ quadrupole constant  $k = |g| / (B\rho)_0$

**Thin lens approximation:**  $l \rightarrow 0 \Rightarrow kl \rightarrow \text{const} \Rightarrow kl \equiv 1/f$

⇒ simple transfer matrix (math. proof by 1<sup>st</sup> order Taylor expansion)





# Definition of transverse Emittance

The emittance characterizes the whole beam quality:  $\epsilon_x = \frac{1}{\pi} \int_A dx dx'$

**Ansatz:**

**Beam matrix** at one location:  $\sigma = \begin{pmatrix} \sigma_{11} & \sigma_{12} \\ \sigma_{12} & \sigma_{22} \end{pmatrix} = \epsilon \cdot \begin{pmatrix} \beta & -\alpha \\ -\alpha & \gamma \end{pmatrix}$  with  $\vec{x} = \begin{pmatrix} x \\ x' \end{pmatrix}$

It describes a 2-dim probability distr.

The value of emittance is:

$$\epsilon_x = \sqrt{\det \sigma} = \sqrt{\sigma_{11}\sigma_{22} - \sigma_{12}^2}$$

For the profile and angular measurement:

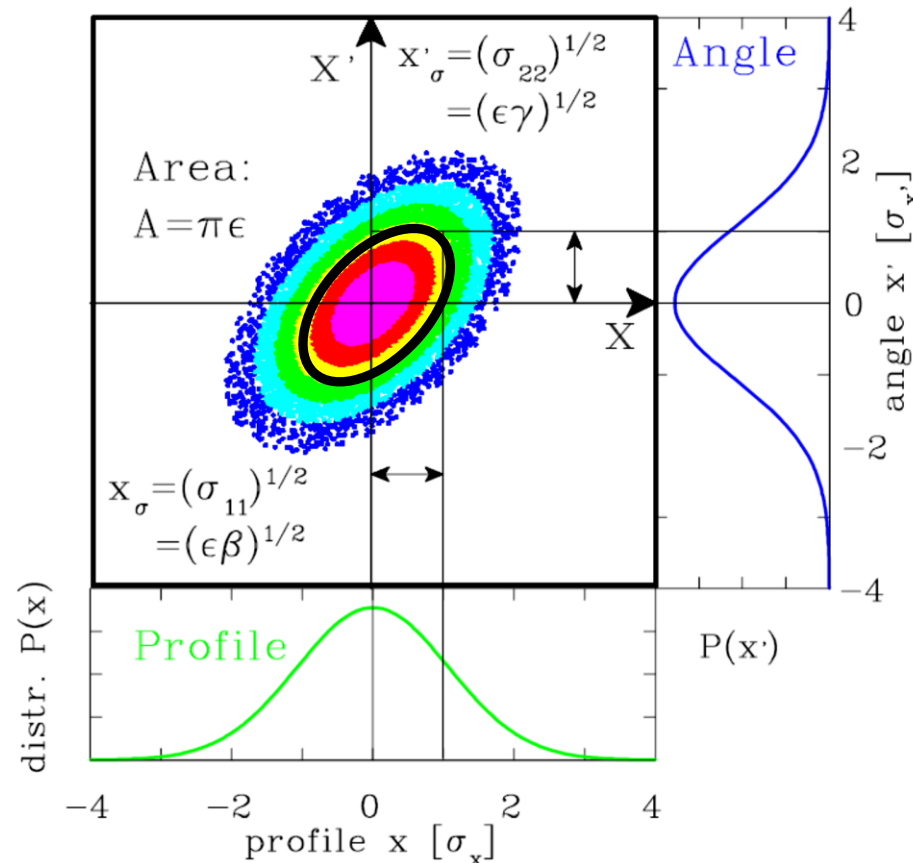
$$x_\sigma = \sqrt{\sigma_{11}} = \sqrt{\epsilon\beta} \quad \text{and}$$

$$x'_\sigma = \sqrt{\sigma_{22}} = \sqrt{\epsilon\gamma}$$

Geometrical interpretation:

All points  $\mathbf{x}$  fulfilling  $\mathbf{x}^t \cdot \sigma^{-1} \cdot \mathbf{x} = 1$  are located on a **ellipse**

$$\sigma_{22}x^2 - 2\sigma_{12}xx' + \sigma_{11}x'^2 = \det \sigma = \epsilon_x^2$$



# The Emittance for Gaussian Beams

The density function for a 2-dim Gaussian distribution is:

$$\rho(x, x') = \frac{1}{2\pi\epsilon} \exp \left[ -\frac{1}{2} \vec{x}^T \sigma^{-1} \vec{x} \right]$$

$$= \frac{1}{2\pi\epsilon} \exp \left[ \frac{-1}{2 \det \sigma} (\sigma_{22}x^2 - 2\sigma_{12}xx' + \sigma_{11}x'^2) \right]$$

It describes an ellipse with the characteristics profile and angle Gaussian distribution of width

$$x_\sigma \equiv \sqrt{\langle x^2 \rangle} = \sqrt{\sigma_{11}} \quad \text{and}$$

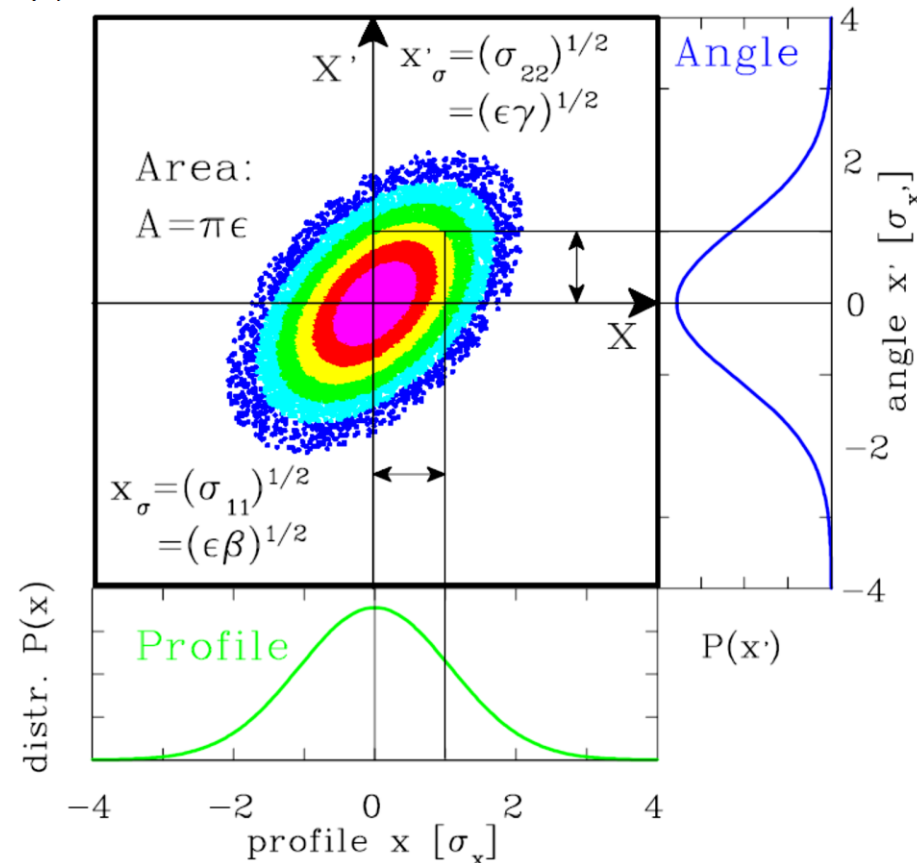
$$x'_\sigma \equiv \sqrt{\langle x'^2 \rangle} = \sqrt{\sigma_{22}}$$

and the correlation or covariance

$$\text{cov} \equiv \sqrt{\langle xx' \rangle} = \sqrt{\sigma_{12}}$$

For  $\mathbf{A} = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  it is  $\mathbf{A}^{-1} = \frac{1}{ad-bc} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$

assuming  $\det(\mathbf{A}) = ad-bc \neq 0 \Leftrightarrow$  matrix invertible





# The Emittance for Gaussian and non-Gaussian Beams

The beam distribution can be non-Gaussian, e.g. at:

- beams behind ion source
- space charged dominated beams at LINAC & synchrotron
- cooled beams in storage rings

General description of emittance

using terms of 2-dim distribution:

It describes the value for 1 standard derivation

**Covariance**  
i.e. correlation

**Variances**

$$\mathcal{E}_{rms} = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2}$$

For discrete distribution:

$$\langle x \rangle = \frac{\sum_{i,j} \rho(i,j) \cdot x_i x'_j}{\sum_{i,j} \rho(i,j)}$$

and correspondingly for all other moments

$$\langle x \rangle \equiv \mu = \frac{\iint x \cdot \rho(x, x') dx dx'}{\iint \rho(x, x') dx dx'}$$

$$\langle x' \rangle \equiv \mu' = \frac{\iint x' \cdot \rho(x, x') dx dx'}{\iint \rho(x, x') dx dx'}$$

$$\langle x^n \rangle = \frac{\iint (x - \mu)^n \cdot \rho(x, x') dx dx'}{\iint \rho(x, x') dx dx'}$$

$$\langle x'^n \rangle = \frac{\iint (x' - \mu')^n \cdot \rho(x, x') dx dx'}{\iint \rho(x, x') dx dx'}$$

$$\text{covariance : } \langle xx' \rangle = \frac{\iint (x - \mu)(x' - \mu') \cdot \rho(x, x') dx dx'}{\iint \rho(x, x') dx dx'}$$

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The beam distribution can be non-Gaussian, e.g. at:

- beams behind ion source
- space charged dominated beams at LINAC & synchrotron
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General description of emittance  
using terms of 2-dim distribution:

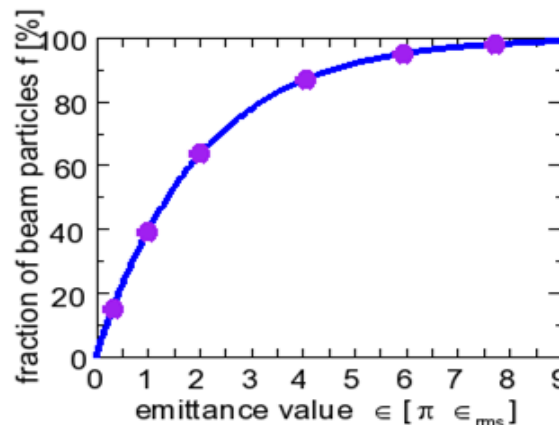
$$\varepsilon_{rms} = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2}$$

Variances      Covariance  
i.e. correlation

It describes the value for 1 stand. derivation

**For Gaussian beams only:**  $\varepsilon_{rms}$  ↔ interpreted as area containing a fraction  $f$  of ions:

$$\varepsilon(f) = -2\pi\varepsilon_{rms} \cdot \ln(1-f)$$



Emittance $\varepsilon(f)$	Fraction $f$
$1 \cdot \varepsilon_{rms}$	15 %
$\pi \cdot \varepsilon_{rms}$	39 %
$2\pi \cdot \varepsilon_{rms}$	63 %
$4\pi \cdot \varepsilon_{rms}$	86 %
$8\pi \cdot \varepsilon_{rms}$	98 %

**Care:**

No common definition  
of emittance concerning  
the fraction  $f$

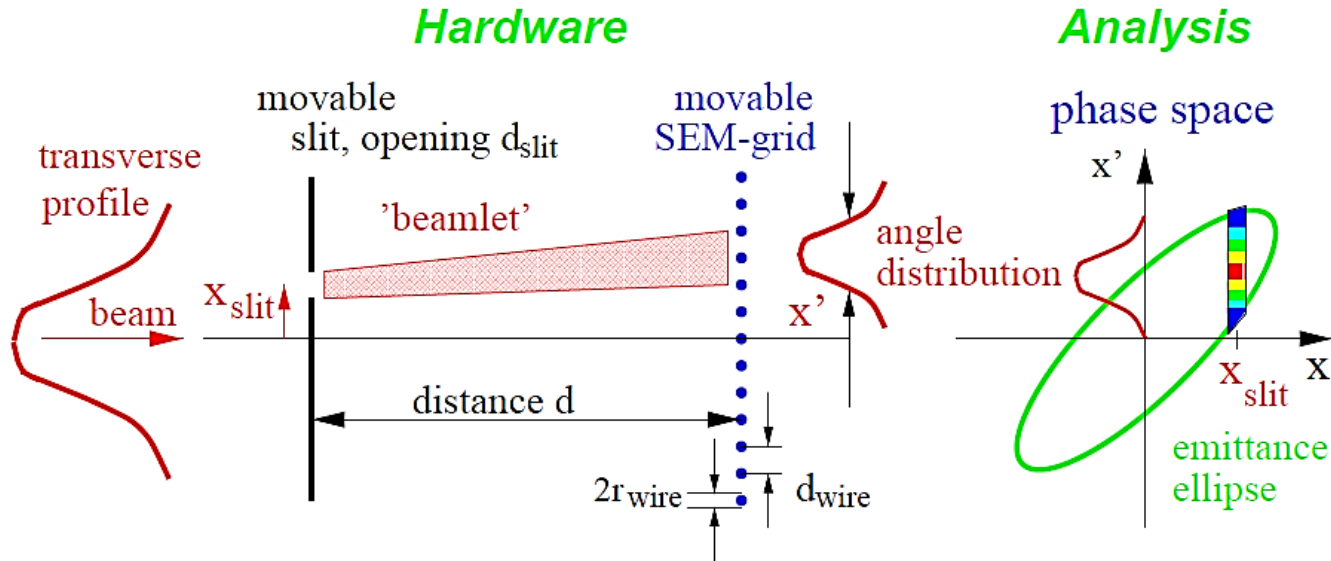
## Outline:

- Definition and some properties of transverse **emittance**
- **Slit-Grid device: scanning method**  
scanning slit → beam position & grid → angular distribution
- **Quadrupole strength variation and position measurement**
- **Summary**

# The Slit-Grid Measurement Device

Slit-Grid: Direct determination of position and angle distribution.

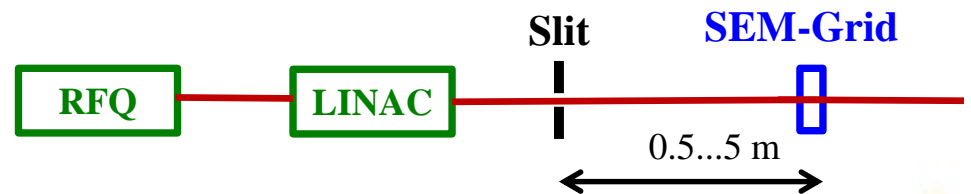
Used for protons with  $E_{kin} < 100 \text{ MeV/u} \Rightarrow \text{range } R < 1 \text{ cm}$ .



**Slit:** position  $P(x)$  with typical width: 0.1 to 0.5 mm

**Distance:** typ. 0.5 to 5 m (depending on beam energy 0.1 ... 100 MeV)

**SEM-Grid:** angle distribution  $P(x')$



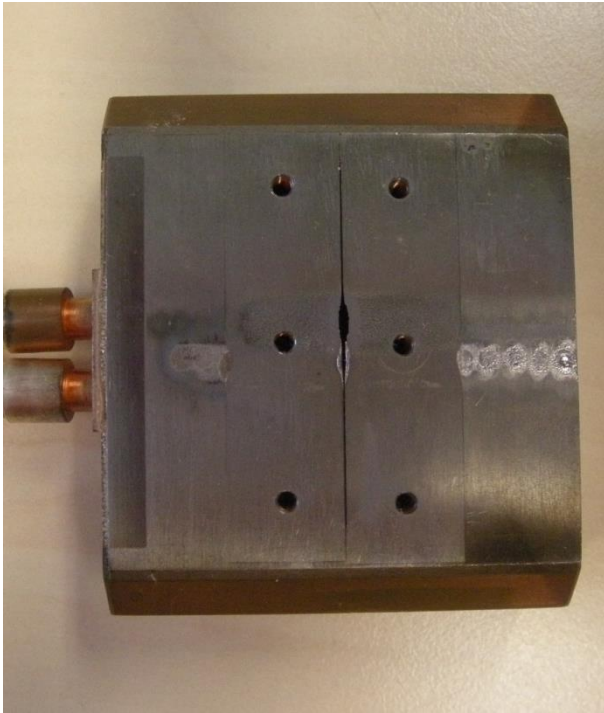
# Slit & SEM-Grid

Slit with e.g. 0.1 mm thickness

→ Transmission only from  $\Delta x$ .

*Example: Slit of width 0.1 mm (defect)*

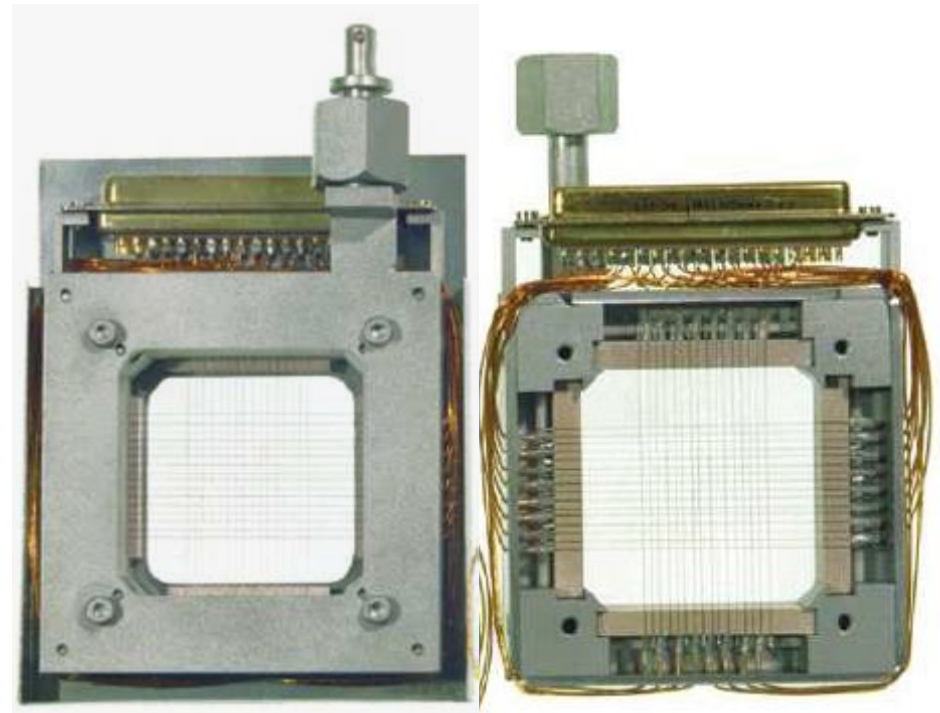
*Moved by stepping motor, 0.1 mm resolution*



Beam surface interaction:  $e^-$  emission

→ measurement of current.

*Example: 15 wire spaced by 1.5 mm:*



Each wire is equipped with one I/U converter  
different ranges settings by  $R_i$

→ very large dynamic range up to  $10^6$ .



# Display of Measurement Results

The distribution of the ions is depicted as a function of

- Position [mm]
- Angle [mrad]

The distribution can be visualized by

- Mountain plot
- Contour plot

Calc. of 2<sup>nd</sup> moments  $\langle x^2 \rangle$ ,  $\langle x'^2 \rangle$  &  $\langle xx' \rangle$

Emittance value  $\mathcal{E}_{rms}$  from

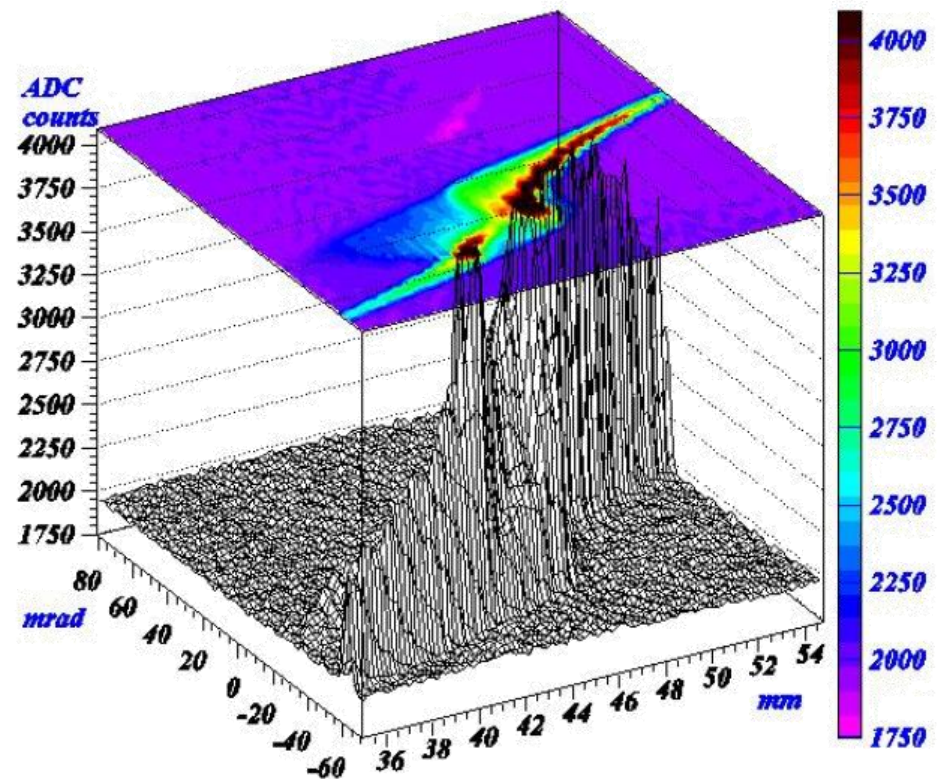
$$\mathcal{E}_{rms} = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2}$$

**Problems:**

- Finite **binning** results in limited resolution
- **Background** → large influence on  $\langle x^2 \rangle$ ,  $\langle x'^2 \rangle$  and  $\langle xx' \rangle$

**Or fit of distribution i.e. ellipse to data**

⇒ **Effective emittance only**



Beam: Ar<sup>4+</sup>, 60 KeV, 15 μA  
 at Spiral2 Phoenix ECR source.  
 Plot from P. Ausset, DIPAC 2009



# The Resolution of a Slit-Grid Device

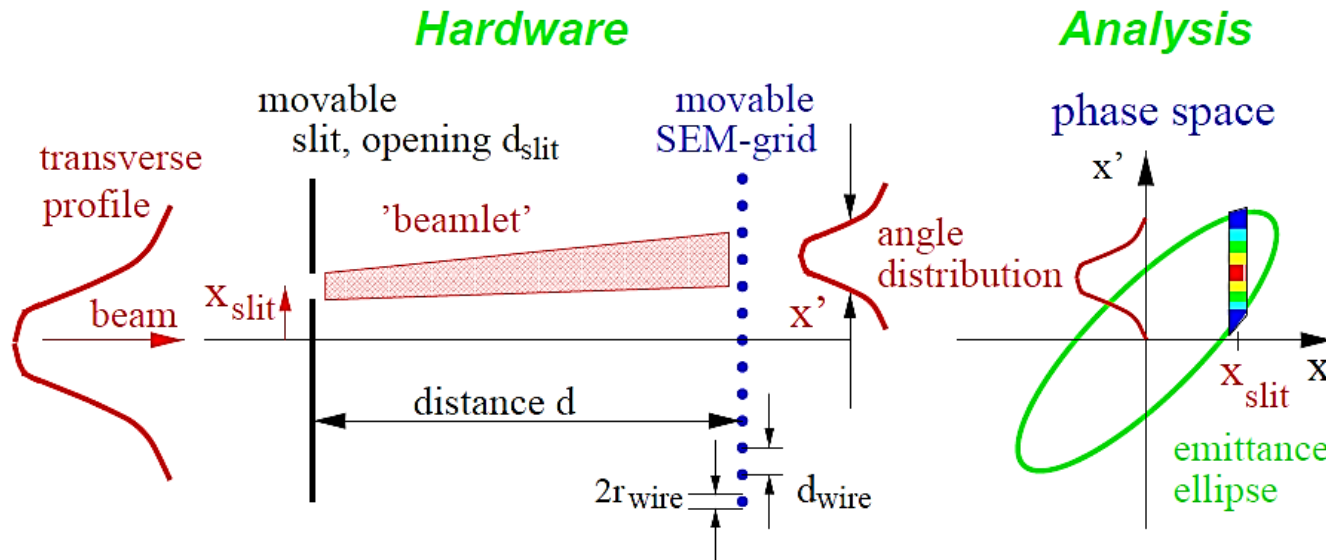
The width of the slit  $d_{slit}$  gives the resolution in space  $\Delta x = d_{slit}$ .

The angle resolution is  $\Delta x' = (d_{wire} + 2r_{wire})/d$

⇒ discretization element  $\Delta x \cdot \Delta x'$ .

By scanning the SEM-grid the angle resolution can be improved.

Problems for small beam sizes or parallel beams.

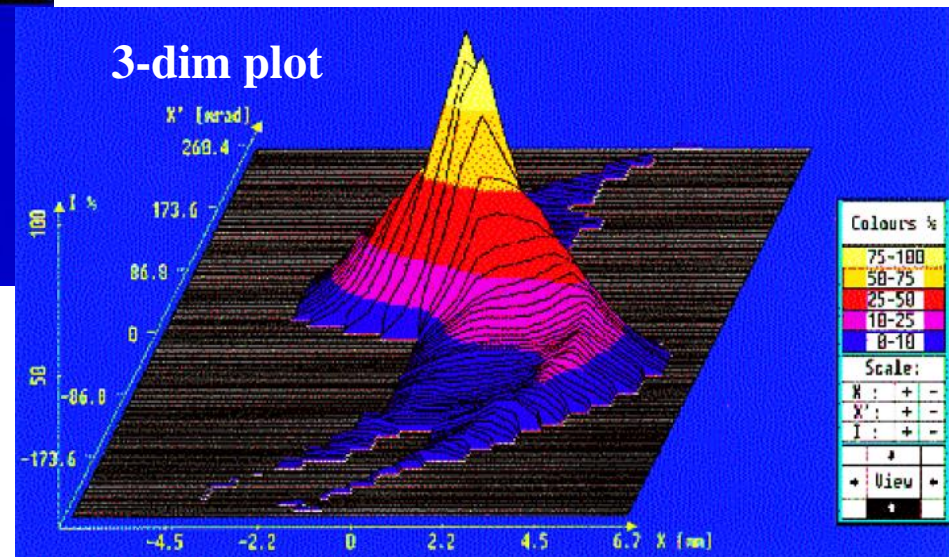
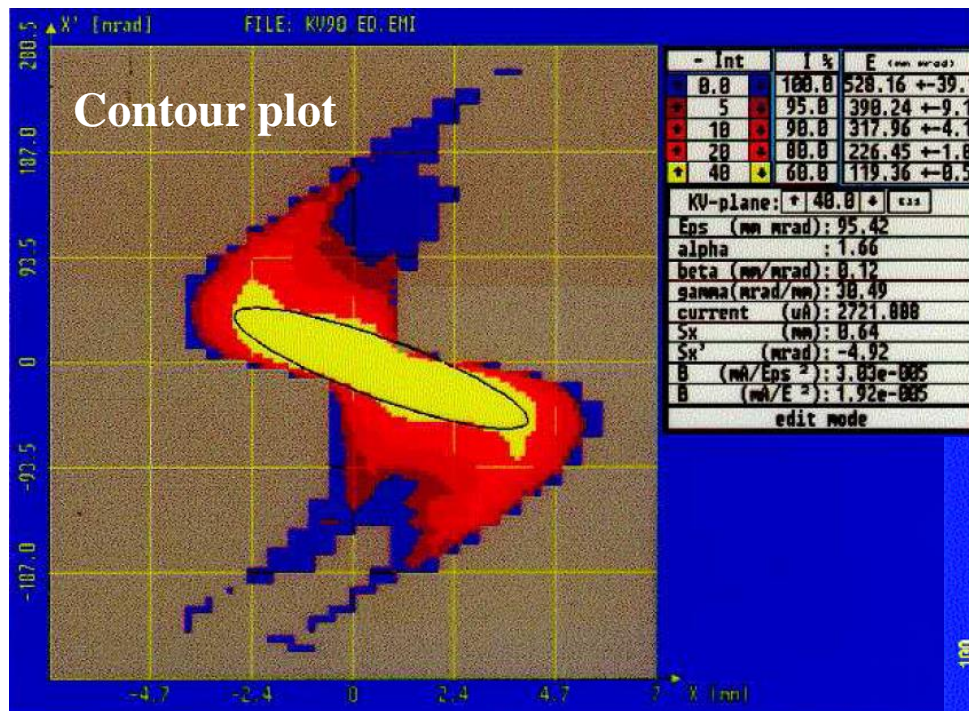


For pulsed LINACs: Only one measurement each pulse → long measuring time required.

# Result of an Slit-Grid Emittance Measurement

**Result for a beam behind ion source:** ➤ here aberration in quadrupoles due to large beam size

- different evaluation and plots possible
- can monitor any distribution



**Low energy ion beam:**

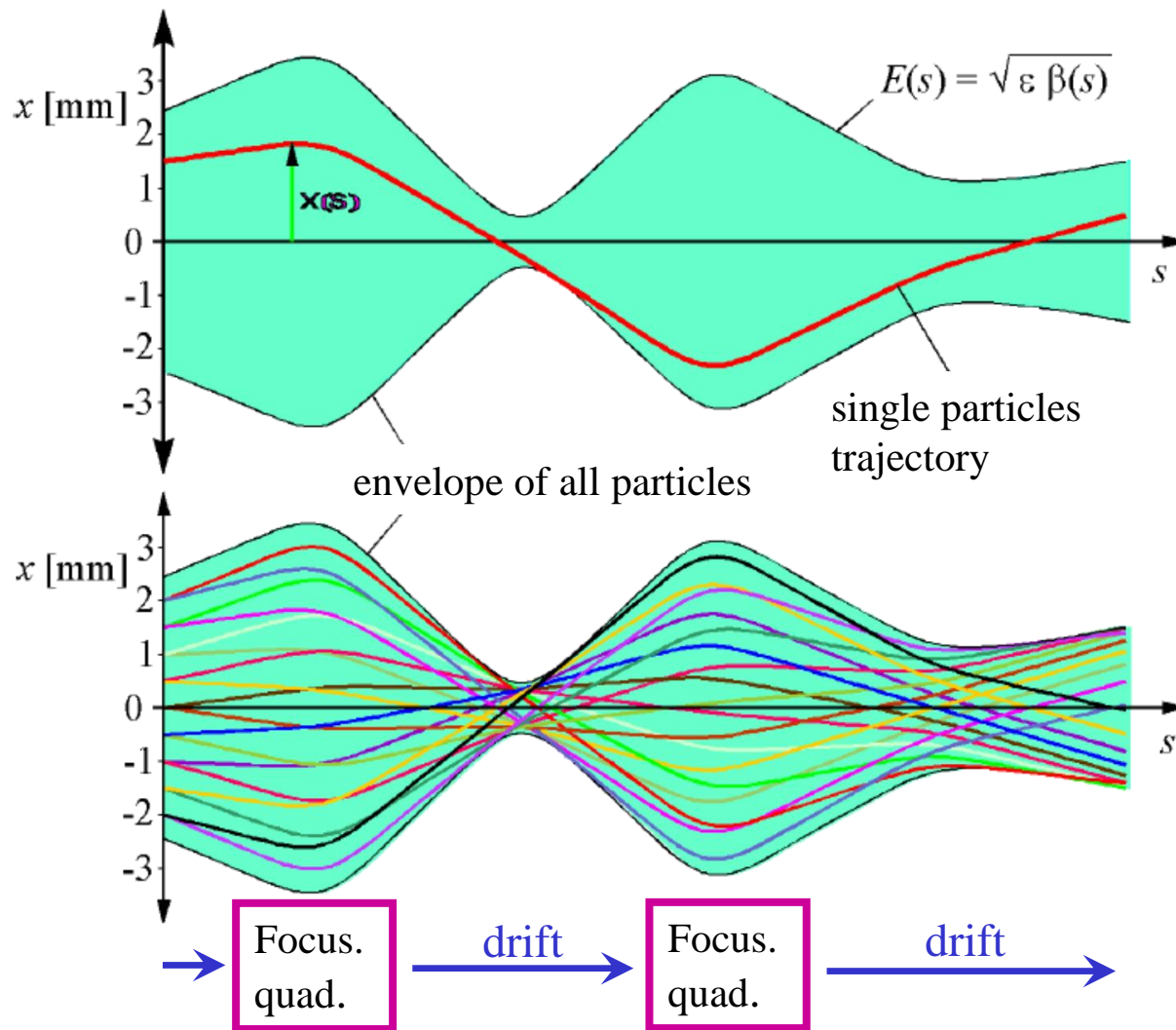
→ well suited for emittance showing space-charge effects or aberrations.

## Outline:

- Definition and some properties of transverse emittance
- Slit-Grid device: scanning method  
scanning slit → beam position & grid → angular distribution
- **Quadrupole strength variation and position measurement**  
**emittance from several profile measurement and beam optical calculation**
- **Summary**



# Excuse: Particle Trajectory and Characterization of many Particles



- Single particle trajectories are forming a beam
- They have a distribution of start positions and angles
- ⇒ Characteristic quantity is the **beam envelope**
- Transformation of envelope
- **Goal:**  
Behavior of whole ensemble

# Excuse: Conservation of Emittance

## Liouville's Theorem:

The phase space density can not change with conservative e.g. linear forces.

The beam distribution at one location  $s_0$  is described by the beam matrix  $\sigma(s_0)$

This beam matrix is transported from location  $s_0$  to  $s_1$  via the transfer matrix

$$\sigma(s_1) = \mathbf{R} \cdot \sigma(s_0) \cdot \mathbf{R}^T$$

6-dim beam matrix with decoupled horizontal, vertical and longitudinal plane:

$$\sigma = \begin{pmatrix} \sigma_{11} & \sigma_{12} & 0 & 0 & 0 & 0 \\ \sigma_{12} & \sigma_{22} & 0 & 0 & 0 & 0 \\ 0 & 0 & \sigma_{33} & \sigma_{34} & 0 & 0 \\ 0 & 0 & \sigma_{34} & \sigma_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & \sigma_{55} & \sigma_{56} \\ 0 & 0 & 0 & 0 & \sigma_{56} & \sigma_{66} \end{pmatrix}$$

Horizontal

beam matrix:

$$\sigma_{11} = \langle x^2 \rangle$$

$$\sigma_{12} = \langle x x' \rangle$$

$$\sigma_{22} = \langle x'^2 \rangle$$

Beam width for

the three

coordinates:

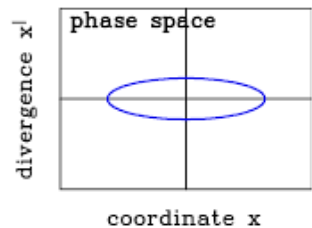
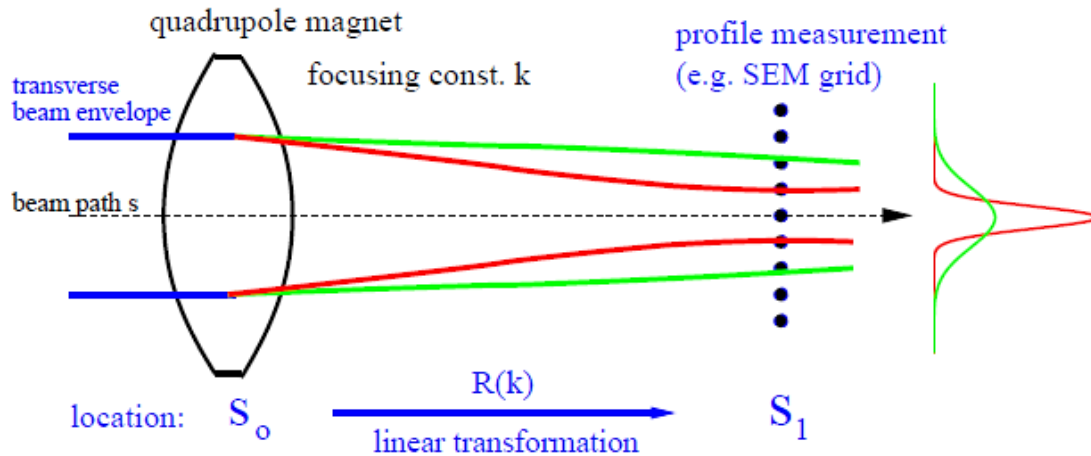
$$x_{rms} = \sqrt{\sigma_{11}} = \sqrt{\langle x^2 \rangle}$$

$$y_{rms} = \sqrt{\sigma_{33}} = \sqrt{\langle y^2 \rangle}$$

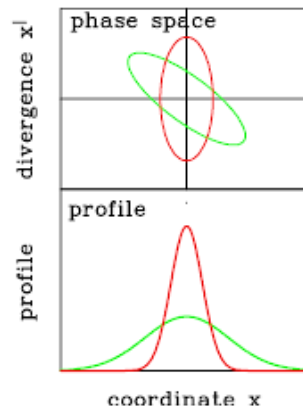
$$l_{rms} = \sqrt{\sigma_{55}} = \sqrt{\langle l^2 \rangle}$$

# Emittance Measurement by Quadrupole Variation

From a profile determination, the emittance can be calculated via linear transformation, if a well known and constant distribution (e.g. Gaussian) is assumed.



beam matrix:  
(Twiss parameters)  
 $\sigma_{11}(0), \sigma_{12}(0), \sigma_{22}(0)$   
to be determined



measurement:  
 $x^2(k) = \sigma_{11}(1, k)$

- Measurement of beam width

$$x^2_{max} = \sigma_{11}(1, k)$$

matrix  $\mathbf{R}(k)$  describes the focusing.

- With the drift matrix the transfer is

$$\mathbf{R}(k_i) = \mathbf{R}_{\text{drift}} \cdot \mathbf{R}_{\text{focus}}(k_i)$$

- Transformation of the beam matrix

$$\sigma(1, k_i) = \mathbf{R}(k_i) \cdot \sigma(0) \cdot \mathbf{R}^T(k_i)$$

**Task: Calculation of  $\sigma(0)$**

**at entrance  $s_0$  i.e. all three elements**



## Measurement of transverse Emittance

- The beam width  $x_{max}(s_l)$  at  $s_l$  is measured  $\Leftrightarrow$  matrix element  $\sigma_{11}(l, k_i) = x_{max}^2(k_i)$
- Different focusing of quadrupoles  $k_1, k_2 \dots k_n$  are used  $\Rightarrow \mathbf{R}_{focus}(k_i)$
- After the drift the transfer matrix is  $\mathbf{R}(k_i) = \mathbf{R}_{drift} \cdot \mathbf{R}_{focus}(k_i)$
- **Task: Calculation of beam matrix  $\sigma(0)$  at entrance  $s_0$  (matrix elements give orientation)**
- **The transformation of the beam matrix is:  $\sigma(1, k_i) = \mathbf{R}(k_i) \cdot \sigma(0) \cdot \mathbf{R}^T(k_i)$**
- $\Rightarrow$  **Result: Redundant system of linear equations for matrix elements  $\sigma_{ij}(0)$**

$$\sigma_{11}(1, k_1) = R_{11}^2(k_1) \cdot \sigma_{11}(0) + 2 R_{11}(k_1) R_{12}(k_1) \cdot \sigma_{12}(0) + R_{12}^2(k_1) \cdot \sigma_{22}(0) \text{ focusing } k_1$$

...

$$\sigma_{11}(1, k_n) = R_{11}^2(k_n) \cdot \sigma_{11}(0) + 2 R_{11}(k_n) R_{12}(k_n) \cdot \sigma_{12}(0) + R_{12}^2(k_n) \cdot \sigma_{22}(0) \text{ focusing } k_n$$

- To have an error estimation at least three measurements must be done

- Assumptions:**
- Constant emittance, in particular no space-charge broadening
  - Only elliptical shaped beam distribution is considered
  - No misalignment, i.e. beam center equals center of the quadrupoles
  - If **not** valid: A self-consistent algorithm can be used .

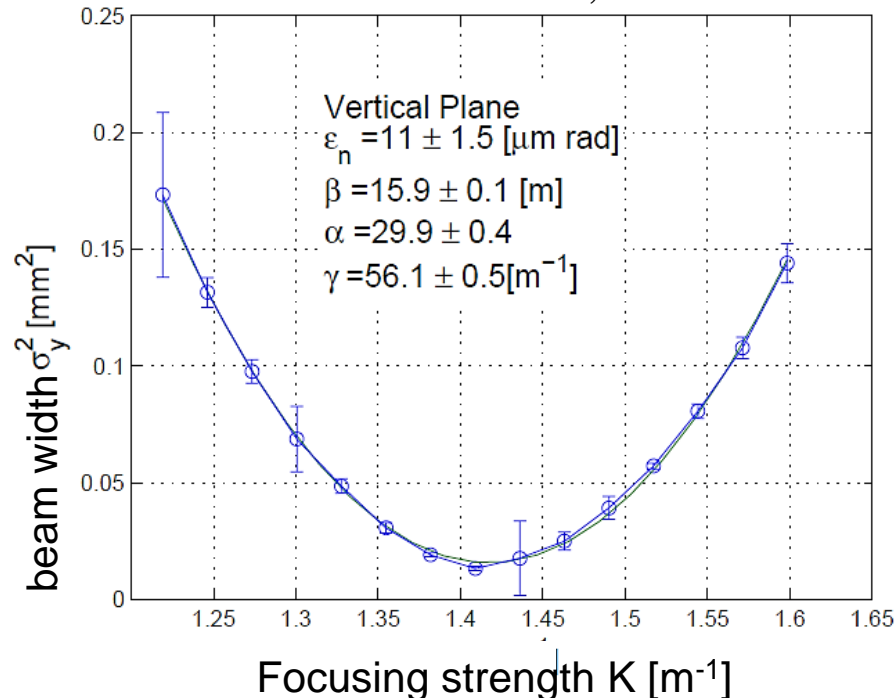
# Measurement of transverse Emittance

Using the 'thin lens approximation' i.e. the quadrupole has a focal length of  $f$ :

$$\mathbf{R}_{focus}(K) = \begin{pmatrix} \mathbf{1} & \mathbf{0} \\ -\mathbf{1}/f & \mathbf{1} \end{pmatrix} \equiv \begin{pmatrix} \mathbf{1} & \mathbf{0} \\ K & \mathbf{1} \end{pmatrix} \Rightarrow \mathbf{R}(L, K) = \mathbf{R}_{drift}(L) \cdot \mathbf{R}_{focus}(K) = \begin{pmatrix} \mathbf{1} + LK & L \\ K & \mathbf{1} \end{pmatrix}$$

Measurement of the matrix-element  $\sigma_{11}(L, K)$  from  $\sigma(L, K) = \mathbf{R}(L, K) \cdot \sigma(0) \cdot \mathbf{R}^T(L, K)$

**Example:** Square of the beam width at ELETTRA 100 MeV  $e^-$  Linac, YAG:Ce:



For completeness: The relevant formulas

$$\begin{aligned} \sigma_{11}(L, K) &= L^2 \sigma_{11}(0) \cdot K^2 \\ &+ 2 \cdot (L \sigma_{11}(0) + L^2 \sigma_{12}(0)) \cdot K \\ &+ L^2 \sigma_{22}(0) + \sigma_{11}(0) \\ &\equiv a \cdot K^2 - 2ab \cdot K + ab^2 + c \end{aligned}$$

The three matrix elements at the quadrupole:

$$\sigma_{11}(0) = \frac{a}{L^2}$$

$$\sigma_{12}(0) = -\frac{a}{L^2} \left( \frac{1}{L} + b \right)$$

$$\sigma_{22}(0) = \frac{1}{L^2} \left( ab^2 + c + \frac{2ab}{L} + \frac{a}{L^2} \right)$$

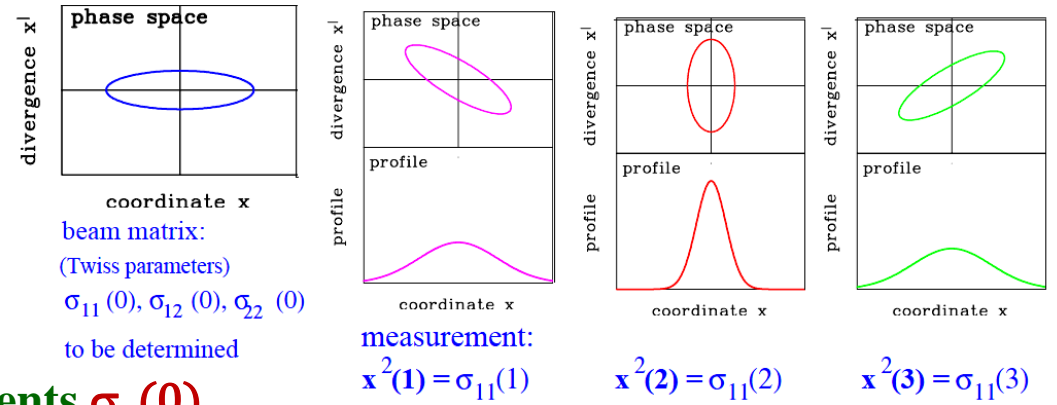
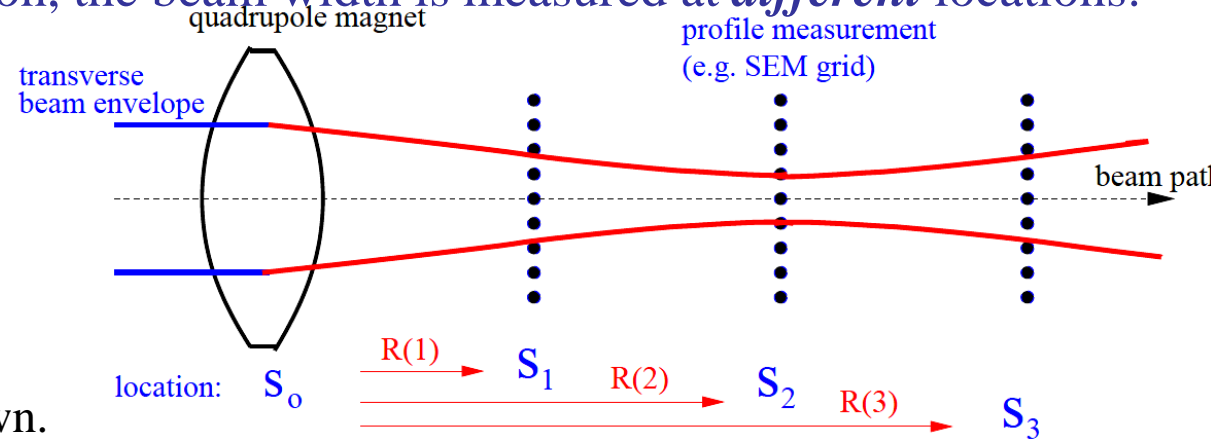
$$\epsilon_{rms} \equiv \sqrt{\det \sigma(0)} = \sqrt{\sigma_{11}(0) \cdot \sigma_{22}(0) - \sigma_{12}^2(0)} = \sqrt{ac} / L^2$$

# The 'Three Grid Method' for Emittance Measurement

Instead of quadrupole variation, the beam width is measured at *different* locations:

## The procedure is:

- Beam width  $x(i)$  measured at the locations  $s_i$   
 ⇒ beam matrix element  $x^2(i) = \sigma_{11}(i)$ .
- The transfer matrix  $\mathbf{R}(i)$  is known.  
 (without dipole a  $3 \times 3$  matrix.)
- The transformations are:  
 $\sigma(i) = \mathbf{R}(i) \cdot \sigma(0) \cdot \mathbf{R}^T(i)$   
 ⇒ redundant equations:



⇒ **Result: at least equations for elements  $\sigma_{ij}(0)$**

$$\sigma_{11}(1) = R_{11}^2(1) \cdot \sigma_{11}(0) + 2 R_{11}(1) R_{12}(1) \cdot \sigma_{12}(0) + R_{12}^2(1) \cdot \sigma_{22}(0) \text{ for } \mathbf{R}(1): s_0 \rightarrow s_1$$

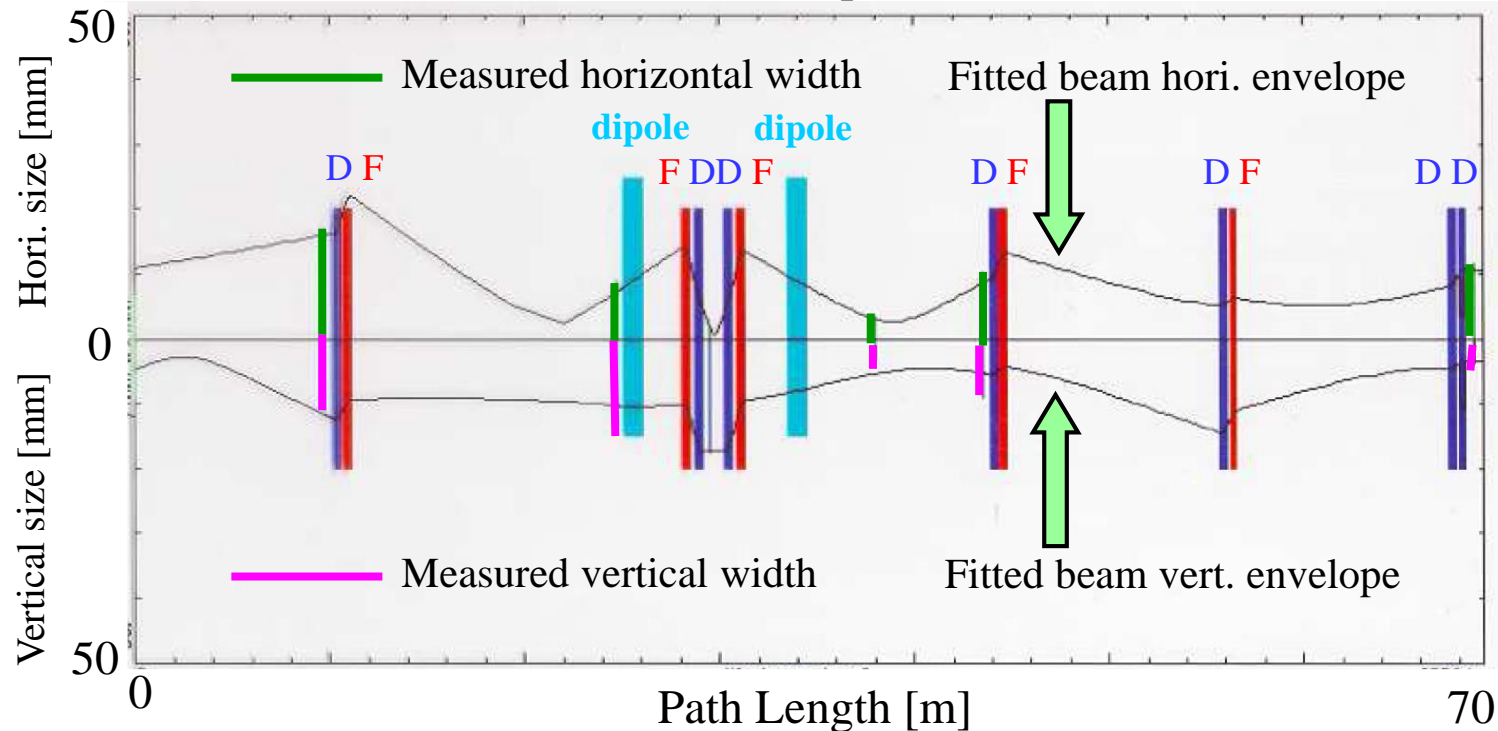
...

$$\sigma_{11}(n) = R_{11}^2(n) \cdot \sigma_{11}(0) + 2 R_{11}(n) R_{12}(n) \cdot \sigma_{12}(0) + R_{12}^2(n) \cdot \sigma_{22}(0) \text{ for } \mathbf{R}(n): s_0 \rightarrow s_n$$

# Results of a 'Three Grid Method' Measurement

**Solution:** Solving the linear equations like for quadrupole variation or fitting the profiles with linear optics code (e.g. MADX, TRANSPORT, WinAgile).

*Example:* The hor. and vert. beam envelope and the beam width at a transfer line:



- Assumptions:**
- constant emittance, in particular no space-charge broadening
  - 100 % transmission i.e. no loss due to vacuum pipe scraping
  - no misalignment, i.e. beam center equals center of the quadrupoles.

## Summary for transverse Emittance Measurement

Emittance measurements are very important for comparison to theory.

It includes size (value of  $\varepsilon$ ) and orientation in phase space ( $\sigma_{ij}$  or  $\alpha$ ,  $\beta$  and  $\gamma$ )

i.e three independent values  $\varepsilon_{rms} = \sqrt{\sigma_{11} \cdot \sigma_{22} - \sigma_{12}^2} = \sqrt{\langle x^2 \rangle \cdot \langle x'^2 \rangle - \langle xx' \rangle^2}$

***Low energy beams*** → ***direct measurement of x- and x'-distribution***

- ***Slit-grid***: movable slit →  $x$ -profile, grid →  $x'$ -profile
- Variances exists: slit-slit, slit-kick, pepperpot .... method

***All beams*** → ***profile measurement + linear transformation***:

- ***Quadrupole variation***: one location, different setting of a quadrupole
- ***'Three grid method'***: different locations
- ***Assumptions***:
  - well aligned beam, no steering
  - no emittance blow-up due to space charge.

**Important remark:** For a synchrotron with a *stable beam storage*,

width measurement is sufficient using  $x_{rms} = \sqrt{\varepsilon_{rms} \cdot \beta}$



# Appendix GSI Ion LINAC: Emittance Measurement Devices

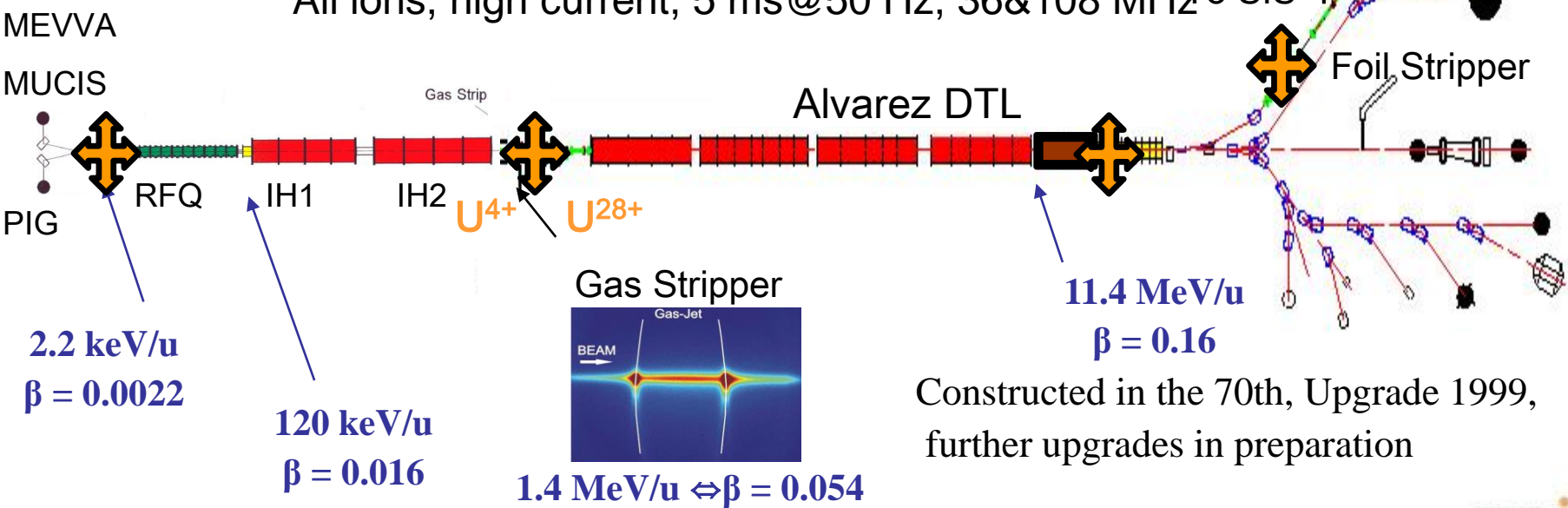


**Slit Grid Emittance:** Standard device, total 9 device



**Pepper-pot Emittance:** Special device, total 1 device

Transfer to Synchrotron



Constructed in the 70th, Upgrade 1999, further upgrades in preparation



# Excuse: Definition of Coordinates

The basic vector  
is 6 dimensional:

$$\vec{x}(s) = \begin{pmatrix} x \\ x' \\ y \\ y' \\ l \\ \delta \end{pmatrix} = \begin{pmatrix} \text{hori. spatial deviation} \\ \text{horizontal divergence} \\ \text{vert. spatial deviation} \\ \text{vertical divergence} \\ \text{longitudinal deviation} \\ \text{momentum deviation} \end{pmatrix} = \begin{pmatrix} [\text{mm}] \\ [\text{mrad}] \\ [\text{mm}] \\ [\text{mrad}] \\ [\text{mm}] \\ [\text{\%o}] \end{pmatrix}$$

The transformation  
from a location  $s_0$  to  $s_1$  is given  
by the Transfer Matrix  $\mathbf{R}$

$$\vec{x}(s_1) = \mathbf{R}(s) \cdot \vec{x}(s_0) = \begin{pmatrix} R_{11} & R_{12} & R_{13} & R_{14} & R_{15} & R_{16} \\ R_{21} & R_{22} & R_{23} & R_{24} & R_{25} & R_{26} \\ R_{31} & R_{32} & R_{33} & R_{34} & R_{35} & R_{36} \\ R_{41} & R_{42} & R_{43} & R_{44} & R_{45} & R_{46} \\ R_{51} & R_{52} & R_{53} & R_{54} & R_{55} & R_{56} \\ R_{61} & R_{62} & R_{63} & R_{64} & R_{65} & R_{66} \end{pmatrix} \cdot \begin{pmatrix} x_0 \\ x_0' \\ y_0 \\ y_0' \\ l_0 \\ \delta_0 \end{pmatrix}$$

**Remark:** At ring accelerator a  
comparable (i.e. a bit different)  
matrix is called  $\mathbf{M}$